Envisioning U.S. Climate Predictions and Projections to Meet New Challenges

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Abstract

In the face of a changing climate, the understanding, predictions and projections of natural and human systems are increasingly crucial to prepare and cope with extremes and cascading hazards, determine unexpected feedbacks and potential tipping points, inform long-term adaptation strategies, and guide mitigation approaches. Increasingly complex socio-economic systems require enhanced predictive information to support advanced practices. Such new predictive challenges drive the need to fully capitalize on ambitious scientific and technological opportunities. These include the unrealized potential for very high-resolution modeling of global-to-local Earth system processes across timescales, a reduction of model biases, enhanced integration of human systems and the Earth Systems, better quantification of predictability and uncertainties; expedited science-to-service pathways and co-production of actionable information with stakeholders. Enabling technological opportunities include exascale computing, advanced data storage, novel observations and powerful data analytics, including artificial intelligence and machine learning. Looking to generate community discussions on how to accelerate progress on U.S. climate predictions and projections, representatives of Federally-funded U.S. modeling groups outline here perspectives on a six-pillar national approach grounded in climate science that builds on the strengths of the U.S. modeling community and agency goals. This calls for an unprecedented level of coordination to capitalize on transformative opportunities, augmenting and complementing current modeling center capabilities and plans to support agency missions. Tangible outcomes include projections with horizontal spatial resolutions finer than 10 km, representing extremes and associated risks in greater detail, reduced model errors, better predictability estimates, and more customized projections to support the next generation of climate services.

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- 1 Supplementary Figure 1: Federally-funded modeling groups provide state-of-the-art science information
- 2 and products in support of agency missions and national needs. They leverage an extensive set of national
- 3 and international collaborations. Observations and partnerships play a crucial role in their success.
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28 Abstract

29 In the face of a changing climate, the understanding, predictions and projections of natural and human 30 systems are increasingly crucial to prepare and cope with extremes and cascading hazards, determine 31 unexpected feedbacks and potential tipping points, inform long-term adaptation strategies, and guide 32 mitigation approaches. Increasingly complex socio-economic systems require enhanced predictive 33 information to support advanced practices. Such new predictive challenges drive the need to fully 34 capitalize on ambitious scientific and technological opportunities. These include the unrealized potential 35 for very high-resolution modeling of global-to-local Earth system processes across timescales, a reduction 36 of model biases, enhanced integration of human systems and the Earth Systems, better quantification of predictability and uncertainties; expedited science-to-service pathways and co-production of actionable 37 information with stakeholders. Enabling technological opportunities include exascale computing, 38 39 advanced data storage, novel observations and powerful data analytics, including artificial intelligence 40 and machine learning.

41 Looking to generate community discussions on how to accelerate progress on U.S. climate predictions 42 and projections, representatives of Federally-funded U.S. modeling groups outline here perspectives on a 43 six-pillar national approach grounded in climate science that builds on the strengths of the U.S. modeling 44 community and agency goals. This calls for an unprecedented level of coordination to capitalize on 45 transformative opportunities, augmenting and complementing current modeling center capabilities and plans to support agency missions. Tangible outcomes include projections with horizontal spatial 46 47 resolutions finer than 10 km, representing extremes and associated risks in greater detail, reduced model 48 errors, better predictability estimates, and more customized projections to support the next generation of 49 climate services.

50 New Predictive Challenges

51 Climate change is making extremes like floods, fires, heat waves, and droughts more frequent, more 52 intense, and more costly (1,2). These hazards often result in multiple, cascading, interconnected, and 53 compounded effects across the natural and human systems. For example, precipitation and wind extremes 54 have direct effects such as flooding and wind damage, as well as indirect effects such as landslides, 55 coastal inundation, and reduced water quality. Similarly, the local dangers of extreme heat, fire, and dust 56 events can be followed by increased air pollution, with the associated human health impacts that can 57 extend over large regions. All these extremes and their associated damage affect society, economies, 58 health, and livelihoods differently depending on environmental specifics under changing conditions. 59 Impacting individual citizens as well as businesses and governments, these extremes can cost lives and 60 tens of billions of dollars in damages each year (3). Disadvantaged and marginalized communities are 61 more vulnerable to the impacts of such extremes (4). It is clear that damages can be reduced by more 62 skillful and earlier forecasts (5,6). Benefits of improved predictions also include supporting advances in socio-economic activities such as more sophisticated practices for agriculture, water resources, energy 63 management and recreation, among many others. Here we make the case that as we enter the uncharted 64 65 territory of a changed climate and increasingly complex socio-economic systems, improved predictions and projections¹ allow better decision making and resilience, and repay the investment several times over. 66 67 Governments, businesses and communities are already formulating strategies to increase their resilience 68 to the consequences of climate change, including adapting to more frequent and/or severe extremes, sealevel rise, increasing temperatures and changing ecosystems. They are using climate projections to inform 69 plans for hundreds of billions of dollars in climate-smart infrastructure for the electricity grid, water 70 distribution, transportation, buildings, etc. For example, they will need accurate information to make 71

¹ Climate predictions are model simulations that are started from our best estimate of the state of the climate system at a particular time. Climate projections, on the other hand, are simulations started from a statistically representative initial state. While projections are made using considerations of future technological/emission scenarios, predictions can also employ such scenarios. The goal of projections is to look at the statistics of the simulated climate and how they change; the goal of predictions is to forecast the evolution of the actual climate state.

72 practical decisions such as how to evolve current infrastructure, and how best to configure and build 73 future urban environments so that they are increasingly flood, drought, heat, and fire resistant. There is an 74 urgent demand to quantify the array of risks associated with climate change and their global ramifications 75 to socio-economic systems (e.g., water, food and energy security, population migrations, financial shocks, geopolitical instabilities). For instance, a recent report by the President's Council of Science and 76 77 Technology Advisors recommends "a focused federal effort to provide estimates of the risk that a weather 78 event of a given severity will occur in any location and year between now and midcentury" (7). 79 Conversely, increasingly advanced socio-economic activities present opportunities that benefit from 80 improved predictive information (e.g., precision agriculture and renewable energy systems). There is 81 demand to develop and understand scenarios and thresholds that represent potentially irreversible changes 82 in the Earth system (also referred to as tipping points). There is the need to better understand which 83 predictions and projections are credible (i.e., what is predictable, at what lead times and what are the 84 uncertainties).

Policymakers are developing strategies for how to mitigate future climate change, balancing costs and 85 86 benefits of various response options (e.g., clean energy, management of long- and short-lived climate 87 forcers including via carbon dioxide removal, manufacturing and agricultural innovations to decarbonize 88 the economy). They will need to understand the interplay of various climate adaptation and mitigation 89 policies, and also trade-offs and co-benefits with regard to other key priorities (e.g., air quality, national 90 security, economic prosperity and equity, biodiversity, health). They will need the best predictive information within their decision-making timeframe, not constrained by routine assessment cycles, 91 augmenting the predictions and projections available off-the-shelf when the stakes demand it. Certain 92 climate solutions, such as the expanded use of wind and solar renewable energy for climate mitigation, 93 94 and the pursuit of new socio-economic opportunities, will require improved predictive information. In 95 response to these growing demands for climate services, commercial entities are investing in climate 96 modeling, predictions and projections. These private investments and customers' willingness-to-pay exemplify the economic value of predictive climate information. Indeed, in the face of hundreds of 97

billions of dollars of annual costs associated with U.S. climate change damages as well as preparedness 98 99 and mitigation solutions, investments in improved climate science, predictions and projections to support 100 services that allow better decision making and improved resilience, appear well worthwhile with several 101 orders of magnitude smaller costs than those of resulting damages.² A similar case can be made for the 102 benefits of improved predictive information in support of expanded economic opportunities. If it is 103 considered critical that in the future the best climate predictions and projections still be equitably 104 available to all, and that all underpinning information be openly available (i.e. not proprietary), then it is 105 also critical for the Federal government to continue to lead in the development of next-generation 106 predictive information in partnership with the broader enterprise.

107 "Next-generation" Predictions and Projections

108 Following significant steady progress over the last few decades (e.g., 8), the predictions and projections 109 that we have today are providing invaluable and freely available information for a broad array of climate 110 and environmental services. However, the new challenges outlined above result in a growing public demand for a "next-generation" of actionable predictions and projections in support of better and 111 112 expanded services (9, 10). Desirably, these would better represent extremes, hazards and tipping points, 113 integrate across natural and human systems, and provide finer details, higher fidelity and accuracy; they 114 would better quantify predictability, uncertainty, risks and opportunities. To render it more actionable, 115 predictive information could be increasingly customized to decision-making; could simultaneously and 116 more consistently depict climate, socio-economic impacts, adaptation and mitigation responses; could be 117 accompanied by more rapid science-based translations of implications, risks and opportunities. There are 118 several ways in which predictions and projections can be transformed to increasingly meet these new needs. The U.S. modeling enterprise provides a solid basis for this transformation as, thanks to the 119 120 sustained support by federal agencies and private sector innovation, there is pioneering research and 121 progress that can be accelerated.

²As an example, the enacted FY 2022 U.S. Global Change Research Program budget was \$3,270 B (https://www.globalchange.gov/about/budget).

122 Foundational Game-Changing Ideas

123 Over the past several years valuable game-changing ideas to accelerate the pace of improvements in 124 climate modeling, and associated predictions and projections, have been proposed by leading community 125 experts and have been useful to spur discussion and initiatives worldwide, including informing the vision 126 laid out in this perspective (a full review of such ideas is out of scope here; for a review, see e.g., 11). In 127 2012, the National Research Council (NRC) recommended an evolutionary change in U.S. climate 128 modeling institutions toward a more collaborative approach across agency modeling efforts (12). They 129 recommended greater collaboration around a single common modeling framework in which software, 130 data standards and tools, and model components are shared by all major modeling groups nationwide. The 131 recommended framework was to cut across modeling efforts, across a hierarchy of model types, across 132 modeling communities focused on different space and time scales, and across model developers and 133 model output users. The recommended common national software infrastructure was to support a diverse 134 hierarchy of different models for different purposes; supporting a vigorous research program aimed at improving the performance of climate models on extreme-scale computing architectures. Other key 135 136 elements of the proposed strategy included: the pursuit of advances in climate science, physical process 137 understanding, and uncertainty research; an annual climate modeling forum; a unified weather-climate 138 modeling effort that better exploits the synergies between weather forecasting, data assimilation, and 139 climate modeling. It recommended training, accreditation, and continuing education for "climate 140 interpreters", as a two-way interface between modeling advances and diverse user needs; and a training 141 and reward system for computer and climate scientists in climate model development. The strategy 142 emphasized the critical importance of state-of-the-art computing systems, a strong international climate 143 observing system, and national and international infrastructure to support climate model data sharing and 144 distribution. The NRC report was extremely valuable in laying out a comprehensive and balanced 145 approach. Over time, several of its recommendations were implemented at the discretion of the agencies. 146 For example, software infrastructure now enables the sharing of some community modeling components 147 across centers; there are now shared model diagnostic packages for model improvement; several U.S.

148 modeling systems have been developed for seamless application across timescales, with real-time 149 prediction capabilities (e.g. weather-to-seasonal and seasonal to decadal scales; 13, 14) as well as applications for climate model intercomparisons; and an annual U.S. Climate Modeling Summit³ 150 151 organized by the U.S. Global Change Research Program (USGCRP) Interagency Group for Integrative 152 Modeling (IGIM) fosters useful communication and collaborations across modeling centers (e.g., 15, 16), 153 although it has not yet been a forum for the broader coordination envisioned by the NRC report. 154 Internationally, another recurring idea is to have modeling systems that would pursue the increase of 155 model resolution down to ~1 km to explicitly resolve fast-physics processes such as atmospheric 156 convection and reduce the need for some parameterizations (e.g., 17, 18, 19, 20, 21, 22). Ultra-high 157 resolution models have been shown to simulate the spontaneous development of cyclones (e.g. DYAMOND experiments), intense atmospheric convection and ocean eddies, and could be applied from 158 159 weather forecasts to climate projections. A primary goal of the proposed ultra-high resolution is the 160 capability to simulate fine-grained features of atmospheric and oceanic patterns together with the optimization required to also yield greater realism of the Earth system. There is the anticipated significant 161 162 reduction of some persistent climate model errors which can affect the ability to simulate climatic 163 phenomena on scales larger than the grid-scale (e.g., 23). The theory behind this is the nonlinear upscale 164 propagation of information whereby any errors introduced by fast-physics process parameterizations 165 could result in a degradation in the representation of climate-scale phenomena. Because of associated 166 costs (an increase by a factor of a million in computational capacity), such a modeling system has been 167 proposed as an international venture with an underpinning unified infrastructure such as that of CERN (Conseil Européen pour la Recherche Nucléaire) for particle physics. Overtime, while the focus on high 168 169 resolution has remained central, for some in the community a CERN-like approach has become a code 170 word for much larger investments of human and computing resources devoted to developing and applying 171 the most advanced weather and climate models based on the current knowledge of science. With climate

³ Co-authors of this perspective include the representatives to the Summit from all Federally-funded climate modeling groups.

172 modeling needing to produce trustworthy information for a wide range of stakeholders, there has been an 173 evolution towards a concept more directly applicable to the climate predictions and projections arena. 174 Most recently, the World Climate Research Program (WCRP) climate modeling community has argued 175 for a "multiverse" modeling approach, among other key recommendations (24). The "multiverse" 176 includes connected modeling approaches to address the many different types of problems, embracing both 177 existing tools and developing new ones such as process-specific models, digital Earths, improved Earth System Models, physical emulators and machine learning approaches. The "multiverse" is to be more 178 179 responsive and agile to focus efforts on specific scientific discoveries and target user needs. This "multiverse" approach underscores needed advances on multiple fronts, and the need for more effective 180 181 coordination and collaborations both domestically and internationally.

182 Rationale for a New Collective U.S. Approach

183 Grounding in Climate Science. There are inherent uncertainties still associated with climate modeling, 184 with repercussions to predictions and projections, with differences from those at weather timescales. For weather forecasting, while model errors do affect forecasts, the primary source of uncertainty is internal 185 186 atmospheric variability, and initial conditions are most crucial (model errors also impact initial 187 conditions, and in tropical areas can be comparable to initial condition errors). As we move into climate 188 timescales (i.e. annual to decadal to centennial), model uncertainty arising from the physics of the climate 189 system, emission scenarios and external forcings becomes increasingly prevalent, adding on to internal 190 variability uncertainties (25). This is parametric uncertainty (parameter choices that affect the 191 simulations) as well as structural uncertainty (i.e., processes that are entirely missing or represented 192 incorrectly), even at km-scale. For this reason, ensembles of diverse climate models provide seasonal and longer lead predictions that are consistently superior to those of any single model in the ensemble (e.g., 193 194 the North American Multi-Model Ensemble; 26). At centennial timescales there are additional 195 uncertainties from scenarios (i.e., what will humans do?). Again, ensembles of climate projections from 196 diverse models as part of the Coupled Model Intercomparison Project (CMIP) are deemed more reliable

197 than those from any single model. Since there are multiple issues and no well-defined single way to make 198 progress and characterize future climates for a growing set of needs, it is critical to have a "multiverse" of 199 modeling approaches as called for by the WCRP climate community. In full agreement with this 200 rationale, we envision an approach that provides the necessary flexibility to push to very high resolution 201 to examine the benefits of such an approach with a number of models, and maintain the model diversity 202 necessary to gauge uncertainties. While it is widely recognized that increasing climate model resolution is 203 highly beneficial (e.g., 27; all U.S. modeling groups are engaged in such experimentation), the optimal 204 balance between increasing resolution and other improvements is still debated (e.g., 28, 29). Hence, our 205 rationale is to combine the focus on high resolution experimentation with increased fidelity and the 206 exploration of modeling uncertainty via a diversity of state-of-the-art models and process representations; 207 the benefits of resolution are examined in conjunction with improved process understanding and 208 representation via mechanistic studies with a hierarchy of models of varying complexity. Climate research 209 aims to address the causes of the spread in, for instance, equilibrium climate sensitivity, aerosol-cloud 210 interactions, full Earth System simulations, and other key physics which dominate the uncertainty in 211 medium and long term climate projections. Given their crucial importance, a key focus is to explore the 212 benefits of high resolution to reduce model biases and better represent extremes. 213 Building on U.S. Modeling Strengths and Addressing Agency Missions. The U.S. climate modeling 214 enterprise lends itself very well to providing a choice of modeling tools and diverse research approaches 215 for a hierarchy of experimentations and continued innovation by the broad community, as needed to make 216 progress in climate modeling (see Supplementary for more information). High-end experimentation leverages historic U.S. modeling efforts each supporting the specific mission of their sponsoring agency, 217 their demonstrated distinctive strengths, and diverse foci and benefits with models "suit for purpose". The 218 219 strength of the U.S. climate modeling community and its long-term success depends on such diversity and 220 independent innovation to scientifically confront climate uncertainty and drive actionable solutions; 221 national and international partnerships are key U.S. strengths. The rationale is for a new collective approach grounded in climate science that builds on U.S. strengths and optimally addresses the diverse 222

missions of U.S. federal agencies and their stakeholders. The intent is to align with and complement plans
by individual modeling centers to meet their agency mission needs, and augment them by enabling
activities that would otherwise be out of reach via new collective action.

226 <u>Collective Action on Shared Priorities, Enterprise-Scale Opportunities and Challenges</u>. U.S. modeling

227 centers are capitalizing on scientific and technological opportunities to meet the increasing demands for

next generation predictions and projections, prototyping advanced models and techniques (see Figure 1).

229 They are pioneering on multiple fronts (e.g., several are actively practicing the currently highest

resolution of climate models being run in the world). Their limitations are not conceptual but rather

231 practical (see Supplementary for a full discussion). Challenges beyond the reach of individual modeling

centers are limiting progress on the most transformative outcomes. Thus, the rationale for collective

action by the U.S. modeling centers, in collaboration with the broader community, to address this special

class of enterprise-scale opportunities and challenges, and accelerate progress on shared priorities. Our

envisioned new collective U.S. approach has six main interconnected pillars, two focused on outcomes:

transformative science (#1) and co-production of information (#2); and the remainder pillars enabling

such outcomes: high-end computing modeling (#3), data storage, data analytics and observations (#4),

workforce (#5) and partnerships and external collaborations (#6; see Figure 2 and below for descriptions).

239 While the approach builds on U.S. capabilities and is envisioned in full coordination and synergy with

240 modeling center and agency plans, the proposed collective U.S. action calls for an unprecedented degree

of interagency collaboration and coordination around the six pillars that is transformative.

242 Six Pillars of Coordination and Collaboration

243 <u>Pillar 1: Transformative science.</u> Scientific thrusts with the potential to transform predictions and

244 projections include research on very high spatial model resolutions to represent extremes and reduce

climate model biases, global-to-local Earth system process modeling across weather to climate timescales,

enhanced integration of human systems, and systematic evaluations of predictability, risks and

247 opportunities, vulnerabilities and uncertainties (see below). A key pillar of the envisioned new collective

248 approach is to have collaborative and evolving goals and activities complement and augment modeling

249 center-specific plans to make progress on these most challenging and high priority opportunities, taking 250 advantage of unprecedented national-scale capabilities (as envisioned under Pillars #3-6) for 251 transformative outcomes (i.e., the most ambitious and high-risk/high-reward experimentation). For all 252 participating modeling centers and experts, success as part of the collaborative program would be 253 assessed by national predictions and projections advances (i.e., knowledge that can be transferred broadly 254 across modeling systems; practical improvements in national predictive information, etc.). 255 Interdisciplinary teams of experts across U.S. modeling centers and the broader enterprise would 256 collaborate on the transformative common science thrusts listed below, enhancing current collaborations. Very high horizontal and vertical spatial resolution and reduced model biases. A key opportunity 257 to vastly improve upon the global-to-local modeling of atmosphere, land, ocean, and sea- and 258 259 land-ice is to go to very high spatial resolution to represent extreme events at the global scale and 260 in their changing climate context. Fine-scale features that can be better resolved at km-scale 261 include land topography and ocean bathymetry, land-atmosphere interactions driven by surface 262 heterogeneity, mesoscale and submesoscale ocean eddies, atmospheric and oceanic convection 263 (30, 31, 32). Precipitation extremes result from an interplay of dynamics and thermodynamics and are particularly sensitive to spatial resolution. Km-scale resolution will likely better represent the 264 265 intensity and frequency of extremes (27) such as major hurricanes and intense rain events. At this 266 resolution processes such as cloud convection may be resolved or at least permitted, and certain parameterizations are no longer needed⁴. In addition to benefits for the representation of 267 268 extremes, higher resolution in tandem with improved process understanding is a key modeling 269 approach as part of a systematic and mechanistic examination of the processes that lead to climate 270 model biases (i.e., model errors in climatological means and variances) that have persisted over 271 generations of models. The fidelity of model simulations will likely be improved by increased 272 spatial resolution (both in the vertical and horizontal directions). Processes underpinning 273 predictability involve fine-scale interactions, e.g., between ocean eddies or fine-scaled

⁴ Note that 'gray zone' modeling, where features are only half resolved and not parameterized, may not result in improvements.

274 topography and the atmosphere, with the allowance of two-way interactions between the smaller 275 spatial scale and larger scale dynamics. Through up-scale propagation of information, fine-scale 276 interactions also influence large-scale climate phenomena, and so higher resolution could also be 277 beneficial for the representation of phenomena like the El Nino Southern Oscillation and for 278 increased accuracy and reliability of predictions and projections, though this has not yet been clearly demonstrated. Arguably, km-scale predictions and projections for a particular region can 279 280 be produced by downscaling lower-resolution global simulations (e.g., using statistical methodologies, regional dynamical models, or regionally refined global models) and can be 281 useful to better resolve regional processes and for certain applications^{5,6}. Indeed, there is a 282 283 constructive interplay between these various modeling methodologies. For instance, global km-284 scale models can inform the development of lower resolution models which can be used in combination with novel downscaling approaches (e.g., using machine learning/artificial 285 intelligence, ML/AI hereafter) and run in different modes such as "storylines" (33) to examine 286 driving factors for past events or the plausibility of future events. 287 Global-to-local Earth system process modeling. Advancing the understanding and modeling of 288 289 Earth system processes such as those underpinning clouds and precipitation and reducing 290 persistent model errors are paramount transformative opportunities with far ranging benefits. For 291 example, highly valuable predictions and projections of precipitation extremes and resulting 292 hazards to human systems critically depend on these advances; similarly estimating climate 293

- sensitivity to greenhouse gases, as well as other elements such as aerosols, other atmospheric
 constituents, land use etc., depends on better understanding and representation of cloud processes
- 295

in models (e.g., 34, 35). Progress on modeling of physical and biogeochemical processes, key to

⁵ Outside of the downscaled region, these simulations still lack fine-scale processes and interactions. Because of global teleconnections in the Earth system, this affects the fidelity of the model and predictability on global scales, including in the region of interest.

⁶ While the classical forcing of a regional model by larger-scale boundary conditions lacks of two-way interactions between global and regional processes, these interactions are now being accounted for in a new class of atmospheric models e.g. the nested Hurricane Analysis and Forecast System (HAFS) model for Atlantic hurricane track and intensity predictions.

296 reducing model errors and harnessing predictability, can be significantly accelerated by 297 enterprise-wide research efforts such as interdisciplinary Climate Process Teams (see 298 Supplementary). These efforts will involve full exploitation of existing observations with 299 advanced data analytics, ML/AI, observational campaigns and process studies to fill knowledge 300 gaps and develop improved model representations; systematic diagnostic and mechanistic 301 modeling studies including the use of very high-resolution models to understand and remedy 302 persistent model and prediction errors. The opportunity is to develop process representations that reduce model errors and are suitable for global-to-local Earth system modeling, including for 303 304 models at very high resolution, and for predictions and projections across weather-to-climate timescales. WCRP community efforts to improve precipitation prediction under the Global 305 Precipitation Experiment (GPEX) represent the type of ambitious efforts that would be facilitated 306 307 through the envisioned collective action (36). 308 Enhanced integration of human systems. Another key scientific opportunity is to enhance the 309 integration of human systems in Earth system models, e.g., the urban built environment, large-310 scale human infrastructure systems such as for water, food, energy, and transportation. The integration approach (whether embedding human system processes directly in an Earth system 311 312 model or running offline simulations of impacts) can be determined based on systematic modeling experiments and analysis of the feedbacks of processes on the global system for 313 different applications being pursued. Regardless, what will be game-changing is to have a 314 315 seamless suite of models effectively spanning the Earth and human systems configurable for 316 coupled and uncoupled simulations. We envision collective action to pursue integrated modeling 317 capabilities that will enable examining simultaneously and consistently the climate, its drivers and impacts and response options rather than with a sequence of disconnected cascading 318 319 modeling and predictive systems.

Harnessing predictability and quantifying uncertainties. Next-generation predictive systems could
 more effectively tap into inherent Earth and human systems predictability, where it exists. The

322 opportunity is to improve how our predictive systems and methodologies harness precursor 323 information from the initial state, how they simulate the forward evolution and range of future 324 possibilities, and how they extract a future anomaly signal from background noise. These 325 improvements entail incorporating an expanded theoretical understanding of underpinning 326 processes⁷; enhancing observations, optimally utilizing data (e.g., with sophisticated data 327 analytics, ML/AI); and advancing modeling and data assimilation across all Earth system 328 components. Quantification of uncertainties, risks and opportunities can be improved with predictive systems that have a larger number of predictions and projections (hundreds of 329 330 simulations) using different types of models (including a hierarchy of models with varying 331 complexity) to depict structural uncertainties, and slightly different initial conditions to depict 332 internal variability uncertainties. ML/AI may provide opportunities to significantly and cost-333 effectively increase the simulations' ensemble size (potentially into the thousands; e.g., for the CMIP ensemble) if adequately trained on a set of simulations from a diverse set of models. These 334 improvements are particularly critical to predict the characteristics of future extremes, the most 335 336 challenging features of future climate. We envision collective efforts to push the limits of 337 predictability, with rigorous scientific evaluations for credible and authoritative quantifications of 338 uncertainties, risks and opportunities. 339 Pillar 2: Expedited science-to-service pathways and co-production of information A key opportunity is 340 for the co-production of predictive information so that predictions and projections are most useful and 341 used, information is more customized to address public needs and timelier; includes sound and accessible 342 scientific interpretations of implications, risks and opportunities in support of services and their 343 stakeholders. The opportunity is for a more seamless interface between the development of next 344 generation predictions and projections and the service providers, so that services are using the state-of-art 345 capabilities and the scientific community is addressing service gaps as they arise. Realizing this

⁷ In some cases, important model assumptions are going beyond the observations and are thus increasing uncertainty (i.e., cloud microphysics, ice cloud nucleation, ice sheet/ocean interactions, vegetation dynamics etc.).

346 opportunity entails not only advances in technical capabilities but also collaborations that facilitate culture 347 shifts across all relevant organizations. Hence, we envision interdisciplinary collaborative teams that provide a sustained and bidirectional science-to-service pathway and co-produce actionable information. 348 349 Examples of co-produced information include storylines of direct relevance to decision making, 350 projections for parameters needed for sectoral or regional applications, etc. Sustained transdisciplinary 351 support for these efforts is critical for success as they may include Earth system scientists, computational 352 and data scientists, as well as service providers and stakeholders in addition to experts embedded from the 353 modeling centers. A data analytics platform (see Pillar #4) is envisioned to support the work of the teams 354 to co-produce information based on models and data. This platform interfaces seamlessly with climate service providers so that APIs, AI/ML applications can be directly built on top of the data and modeling. 355 356 Pillar 3: High-end computing modeling. A crucial technological opportunity enabling transformational 357 progress in predictions and projections is to take full advantage of the unprecedented energy-efficient 358 multi-level parallel computing architectures that are disrupting high-end scientific computing. Dedicated hybrid CPU/GPU, scalable, high-end systems for both capability and capacity computing are at the basis 359 360 of next-generation predictions and projections research and development. Success is dependent on 361 addressing associated technological issues, including code performance and portability across computing 362 architectures, data input-output, and computational challenges associated with execution and analysis of 363 large ensemble simulations. Hence, we envision national coordination for a substantially expanded high-364 end capability and capacity computing at specific agencies dedicated to a coordinated modeling effort. 365 This would enable an unprecedented enhancement of predictions and projections at existing U.S. 366 modeling centers and programs through joint experimentation on transformative cross-agency priorities (see Pillar #1). The expanded federated computed systems, with long-term recapitalization plans, would 367 368 support an interconnected ecosystem of high-end agency models, data, and workflows. Software 369 engineers would support modeling centers so that the code is computationally performant. The computing 370 is highly integrated with a scalable data storage and analytics infrastructure (see Pillar #4) and supports 371 workflows for advanced data processing and visualization.

Pillar 4: Data storage, data analytics and observations. Exascale data storage enables creating repositories 372 373 to facilitate the access to all observational and model data necessary to accelerate research and 374 development, and the data analytics to go from data to actionable knowledge. Advanced data analytics 375 such as ML/AI algorithms provide expanded opportunities to exploit observational and model data. This 376 includes new strategies for exploring models' parameter space, and for using observational data to 377 accelerate model tuning and improve the fidelity and accuracy of models; for generating hybrid systems 378 that incorporate ML/AI-based parameterizations; for systematically evaluating structural model 379 differences; for increasing computational efficiency for high-resolution simulations; for ensembles of simulations and forecasts of unprecedented size; and for identifying predictability precursors and anomaly 380 381 signals. To be clear, data analytics includes and goes beyond ML/AI: it enables much broader interrogation of data as part of infrastructure to co-develop useful knowledge out of "data lakes". 382 383 Observations support modeling efforts in a variety of ways – model initialization, process representation, 384 quantitative evaluation, data assimilation, and creation of reanalysis products that are used in scientific studies of the Earth system as well as service applications. In particular, the higher spatial resolution of 385 386 many observational systems can be critical for modeling systems of increasing resolution. The breadth, 387 quality, and resolution (temporal, spatial, spectral) of the observations that inform predictive models will 388 be dramatically improving over the next few years. These improvements come not only from the incorporation of new technology⁸, but there are also new sources of data, especially those from the private 389 390 sector with small satellite constellations providing higher spatial resolution and/or temporal revisit than 391 by traditional government/agency procured satellites. In addition, the parameters being measured increasingly deal with the properties of the Earth surface (including hydrology, biology, geology, and 392 cryospheric science) and they complement the physical/chemical atmospheric/oceanic observations that 393 394 have been central to climate modeling efforts to date. This broader set of observed parameters enhances 395 the ability of models to fully represent the interacting Earth system components (including human-created

⁸ Including hyperspectral observations, more frequent data coming from use of higher orbits and/or small satellite constellations, enhanced use of active remote sensing techniques to complement the passive techniques that have formed the bulk of the observational suite to date.

ones) that are needed to support the transition from physical climate-focused models to true Earth Systemmodels that can effectively interface with humans.

398 We envision the build-up of coordinated model data storage/management and data analytics capacity and 399 capabilities to turn existing and future observational data and model output into useful knowledge. The 400 coordinated effort would support research, and the co-production with service organizations of actionable 401 predictions and projections. The new federated infrastructure would provide interfaces among individual 402 modeling centers and with the broader Earth system and climate enterprise. It would connect seamlessly 403 with service providers, as appropriate, to expedite the pathway from science to service applications 404 (including operational), facilitating the convergence of methodologies, data, workflows and knowledge 405 across the science and service communities. Stored data would follow common data standards; data types 406 would include predictions and projections, climate model hindcasts, reanalyses and observational data 407 (e.g., for process research, data assimilation). Stored data and workflows would enable data analytics 408 (e.g., ML/AI and visualization). The infrastructure may be a flexible hybrid of physical and cloud storage, 409 the most advantageous solution to meet needs.

410 Pillar 5: A skilled, diverse and interdisciplinary workforce. A broad set of skilled experts, disciplinary and 411 interdisciplinary, is crucial to advance research, modeling and predictions; co-produce actionable 412 information; operate and interface with the high-end computing and data infrastructure; develop 413 performant code and data analytics (see Pillars #1-4). We envision a workforce program that would assess 414 needs and develops solutions to avoid human resource issues (e.g., current gap in data assimilation 415 experts and software engineers to port models to GPUs) with larger picture policy in place to address 416 training/employment/diversity issues (e.g., training, retraining and retention of experts). Exemplary objectives include enhancing and diversifying pathways from academia to the modeling centers, 417 418 broadening workforce participation, providing access to the above-mentioned modeling and data 419 facilities, and inherent data, modeling codes and diagnostics packages to students and professionals for 420 career development; a focus on staff retention via changes in the promotion and reward systems and 421 retraining opportunities.

Pillar 6: Partnerships and external collaborations. Modeling centers already productively engage in many 422 423 successful partnerships and external collaborations that help optimize the use of enterprise resources. The 424 multi-faceted approach envisioned here continues and strategically augments partnerships across all areas. 425 For example, areas where enhanced partnerships may be desirable include the future computer 426 architectures and purpose-built computers, and storage solutions for big-data. New opportunities may 427 arise as U.S. philanthropies and commercial entities are increasingly investing in data and data analytics, 428 research, modeling, predictions and tailored services. Enhanced international cooperation could also 429 accelerate progress on shared problems and solutions, for global benefit, and especially those of lessdeveloped but most vulnerable countries. The envisioned WCRP "multiverse" approach emphasizes the 430 need for broad collaborations and new partnerships⁹. In addition to the technical cooperation that already 431 432 takes place under the World Meteorological Organization (WMO), WCRP and other programs, there 433 could be enhanced cooperation with-like minded countries on topics of mutual interests. For example, there could be opportunities to coordinate with the European Union Destination Earth ($DestinE^{10}$) 10-year 434 program which aims to develop a high resolution digital "twin" of the Earth to model, monitor and 435 436 simulate natural phenomena and related human activities. Planned activities include higher resolution 437 reanalysis and forecasts; better and deeper interaction with impact models; and better visualization and 438 more 'interactivity'. Indeed, several DestinE goals closely align with the objectives discussed above and 439 there could be productive synergies. More generally, we envision how a collective U.S. approach around 440 the Pillars outlined above could facilitate strategic and highly beneficial partnerships and collaborations. 441 **Tangible Outcomes**

- We envision how the new collective U.S. approach described above would result in a number of tangibleand sought-after outcomes for next generation predictions and projections. These would include
- 444 projections at less than 10 km representing extremes and associated risk (e.g., in support of the National

⁹ For example, Earth Visualization Engines (EVE); https://eve4climate.org/

¹⁰ https://digital-strategy.ec.europa.eu/en/policies/destination-earth

445 Climate Assessment), reduced model errors, better predictability estimates, and more customized 446 projections. All would be crucial to support the next generation of climate services (9, 10). Projections of extremes and risks with higher resolution and accuracy. Models have progressively 447 448 advanced and they are on a trajectory for higher resolution as process knowledge and computational 449 capabilities have improved (e.g., 37). State-of-the-art global climate projections used in the Intergovernmental Panel for Climate Change (IPCC) Sixth Assessment Report (AR6) based on CMIP 450 451 simulations have nominal spatial scales of ~ 100 km in the atmosphere³ and ~ 50 km in the ocean¹¹; projections for the National Climate Assessment are directly derived from these. This means that global 452 453 climate model projections are limited in their capability to represent extreme events and hazards (e.g., 454 tornados, tropical cyclones, floods, etc.) at the level of specificity needed for local applications. For example, IPCC-class models have been used to study flood statistics, but most of them simulate tropical 455 456 cyclones that are larger than observed and also with lower intensities. While they may simulate 457 environmental conditions that lead to tornadic outbreaks, they cannot simulate tornados. Some include fire parameterizations and can capture general statistics of naturally-occurring fires but are limited in their 458 459 ability to accurately simulate burnt area and fire emissions (38). Despite these limitations, current model 460 projections are nonetheless an invaluable tool to inform climate policy and actions. We envision that the 461 new collective U.S. effort would result in projections with finer spatial details (i.e., at a resolution of 10 462 km or finer, as recommended by the PCAST), increased fidelity and accuracy, the use of stronger observational constraints, and increased integration of natural and human systems. 463 464 Quantification of predictability, uncertainty, risks and opportunities. There is a growing demand for longer-lead predictions (e.g., from weeks to decades), for earlier alerts and for new types of 465 environmental and socio-economic predictions (e.g., ecological forecasting); for projections for specific 466 467 communities or even properties. However, demand alone nor the availability of such data establishes 468 whether certain predictions and projections can be skillfully made. Predictability science, grounded in

¹¹An overwhelming majority of CMIP6 models use 100 km in all their components. A set of ~25-50 km resolution projections were performed under the CMIP-6 HiResMip protocol, under a variety of experimental configurations.

interdisciplinary observations and decades of research on processes and evaluations, can reliably help
assess what types of predictions and projections are feasible and trustworthy, and what types of systems
are best suited for certain prediction applications (39). *We envision an improved quantification of predictability, probabilities and uncertainties associated with predictive information. This is foundational for characterizing risks and opportunities, and credibly informing decision making as part of next generation climate services.*

474 generation climate services.

494

475 <u>Customized, actionable, and consistent predictions and projections across climate, socio-economic</u>

476 impacts, and response options. A standard set of scenarios underpin CMIP experiments and the IPCC 477 assessments, as exemplary of potential future conditions (i.e., most recently the Representative 478 Concentration Pathways and the Shared Socioeconomic Pathways). There is typically a cascade of 479 sequential modeling and analyses from the scenarios to the actionable information needed by decision-480 makers. Scenarios drive global climate projections; these are then downscaled (statistically or 481 dynamically) to derive regional and local climate impacts that can be constrained by observational data; regional climate information drives impact models for specific sectors (e.g., agriculture, water resource 482 483 management, fisheries and coastal planning); global projections drive integrated assessment models; 484 results from integrated assessments are often translated into indices for socio-economic and sectoral 485 applications. It has been invaluable to produce and authoritatively assess all this information based on the 486 standard scenarios at regular time intervals in assessment reports (typically every five to seven years). 487 However, the standard sequential approach to assessing climate impacts has a number of limitations 488 including the lack of possible feedbacks from the impacts to climate and the socioeconomic pathways. 489 This approach also provides little flexibility to interactively examine response options and with a faster 490 pace than the assessment cycles. Critical factors here are the linear knowledge value chain from scenarios 491 to climate models to downscaling to impacts to policy analysis, as well as the definition of scenarios as an 492 enabling step (40). We envision a next generation of predictions and projections that is increasingly 493 customized and actionable: co-produced with service providers and stakeholders to be most relevant and

understandable to them, at a pace closer to the decision-making timeframes (a year or less, depending on

the specific application), and include flexibility to explore "what if" questions and trade-offs beyond the
constraints of predefined off-the-shelf scenarios. For example, customizable scenarios may be needed to
explore what happens to our climate, environment, and society if certain tipping points were to be

498 surpassed (e.g. the thawing of Arctic permafrost), certain mitigation choices were to be made (e.g., in the 499 clean energy technologies portfolio) or certain adaptation solutions were to be implemented (e.g., changes 500 in agricultural or water management practices). Increased flexibility in predictions and projections will be 501 extremely valuable as climate services evolve over the next several years (9, 10).

502 Integration of natural and human systems. Modeling capabilities that increasingly integrate natural and 503 human systems will enable a next generation of predictive information that is more actionable. There is 504 significant community research on this topic (e.g., 41). Currently, global climate models do not represent 505 cities and critical infrastructure (e.g., for transportation, water, energy and food), and the socio-economic 506 systems that are affected by climate hazards (e.g., supply chains). Hence, they lack the capability to 507 simulate cascading impacts across the natural and human systems and their feedbacks on the global 508 scales. It is debatable, and a matter of research, whether socio-economic processes and impact models 509 need to be included directly in global Earth system models (among other things this depends on the level 510 of expected feedback of a particular process on the global climate system and also the specific model 511 application at hand). However, what is clear is the need for a modeling suite that provides the flexibility 512 to rapidly and consistently go from climate predictions and projections to environmental and socio-513 economic impacts, and that considers any significant feedbacks to climate projections. We envision 514 collective U.S. action for a modeling suite that appropriately integrates natural and human systems so as 515 to enable the exploration of options to minimize damages and maximize resilience. As a result, for example, near-term predictions of extremes such as tropical cyclones could increasingly portray not only 516 517 the physical hazards (e.g., extreme rainfall and winds) but also the potential biogeochemical and human 518 impacts (e.g., the impact on infrastructure and associated hazardous spills), with potential feedbacks on 519 climate and the extremes.

520 The way forward

521 This perspective has the intent to generate community discussions and engagements on ways to transform 522 climate predictions and projections and accelerate progress to meet the new challenges posed by climate 523 change as well as support the pursuit of new socio-economic opportunities. Our proposed vision is 524 grounded in climate science, the strength of the U.S. modeling community and its partners, and is to best 525 support agency missions. If a substantial and sustained collective U.S. effort were to be made, building 526 off current capabilities to address the types of enterprise-scale opportunities and challenges we outlined, 527 this could result in much improved and more actionable climate predictive information. What's at stake is going into the uncharted territory of a changed climate, and increasingly complex socio-economic 528 529 systems, and delivering the best predictive information. Federal capabilities underpin equity in the 530 availability of next-generation predictions and projections and provide opportunities for the private as well as other sectors. The opportunities are at hand to accelerate progress. The effort would need 531 532 significant resources to support the infrastructure and programs outlined above, and the organization necessary to use them effectively. Overcoming budgetary, bureaucratic, organizational, legislative and 533 cultural barriers, and general inertia would be challenging and would require a concerted national effort¹² 534 535 (these important aspects are beyond the scope of our paper). White House-level leadership in coordination with the USGCRP IGIM and other relevant interagency bodies¹³ could spearhead such an ambitious 536 537 collective U.S. effort: convene partners and organizations, provide direction, develop governance, and 538 plan for resources. High-level and long-term agreements between agencies on a shared effort could 539 greatly help to overcome barriers and coordinate processes. Community engagement is crucial for the 540 development and ultimate success of the envisioned effort. Ideas outlined in this paper are perspectives, 541 illustrative of the possibilities to transform predictions and projections to meet public demand. We hope they will serve the purpose of engaging the broad community to accelerate progress on this important 542 topic. 543

¹² The PCAST recently recommended a "national effort to quantify extreme weather risk" noting that "the work of multiple agencies together with an effective leadership framework is critical because [] this activity does not fit within a single existing administrative unit within the federal government."

¹³ ICAMS and also the Interagency Arctic Research Policy Committee (IARPC) are of relevance here, among others.

544 Data Availability Statement

545 No new data has been used for this publication.

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699 Figures

Figure 1: Scientific and technological opportunities for transformational progress in climate predictionsand projections building on the solid foundations of the U.S. enterprise.

Figure 2: A collective U.S. effort to transform climate predictions and projections and support crossagency priorities. The approach preserves U.S. modeling and research diversity, and advances the missions of the agencies and the interests of their stakeholders; it complements and augments plans by individual modeling centers to meet their agency mission needs.



Next-Gen Climate Predictions and Projections

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- 710 Figure 1: Scientific and technological opportunities for transformational progress in climate predictions
- and projections building on the solid foundations of the U.S. enterprise.

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A Collective U.S. Approach for Transformative Outcomes

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- 713 Figure 2: A collective U.S. effort to transform climate predictions and projections and support cross-
- agency priorities. The approach preserves U.S. modeling and research diversity, and advances the
- missions of the agencies and the interests of their stakeholders; it complements and augments plans by
- 716 individual modeling centers to meet their agency mission needs.