# Quantifying landfill emission potential using a weakly coupled particle filter

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#### Abstract

The emission potential, which represents the total leachable mass in landfill waste body, is hard to measure directly. Therefore we propose to quantify it by assimilating available measurements. The leachate production rate is influenced by the total water storage in the waste body, while both total chloride mass and total water storage in the waste body influence the chloride concentration in the leachate. Thus assimilating leachate volume and chloride concentration simultaneously will help quantify the uncertainties in emission potential. This study investigated the feasibility of using particle filter in a concentration-volume coupled travel time distribution model to estimate the emission potential. Leachate production rates and chloride concentrations were assimilated simultaneously by a weakly coupled data assimilation(WCDA) method. The time lag issue in the travel time distribution model was solved by adding a daily model error to cover layer states. The proposed method was tested in synthetic experiments firstly to investigate the performance. The results show that the uncertainties in chloride mass and waste body total water storage were quantified and reduced. The predictions of chloride concentrations were also improved.















































## Quantifying landfill emission potential using a weakly coupled particle filter

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#### 6 Key Points:

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7	•	A new weakly coupled particle filtering method on a travel time distribution model
8		is used for landfill emission potential estimation.
9	•	Analysis clearly demonstrates added value derived from assimilating both leachate
10		production rate and concentration measurements.
11	•	The effectiveness of data assimilation is maximized when the measurable state exhibits
12		a strong sensitivity to the pertinent hidden state.

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#### 13 Abstract

The emission potential, which represents the total leachable mass in landfill waste body, 14 is hard to measure directly. Therefore we propose to quantify it by assimilating available 15 measurements. The leachate production rate is influenced by the total water storage in 16 the waste body, while both total chloride mass and total water storage in the waste body 17 influence the chloride concentration in the leachate. Thus assimilating leachate volume 18 and chloride concentration simultaneously will help quantify the uncertainties in emission 19 potential. This study investigated the feasibility of using particle filter in a concentration-20 volume coupled travel time distribution model to estimate the emission potential. Leachate 21 production rates and chloride concentrations were assimilated simultaneously by a weakly 22 coupled data assimilation (WCDA) method. The time lag issue in the travel time distribution 23 model was solved by adding a daily model error to cover layer states. The proposed method 24 was tested in synthetic experiments firstly to investigate the performance. The results show 25 that the uncertainties in chloride mass and waste body total water storage were quantified 26 and reduced. The predictions of chloride concentrations were also improved. 27

#### <sup>28</sup> Plain Language Summary

This study presents a method for estimating the amount of harmful chloride in land-29 fill waste and predicting leachate emissions. By combining measurements of water flow 30 (leachate production rate) and chloride concentration, we improved our understanding of 31 total water storage and chloride mass in the waste. Our approach performed best when 32 both measurements were assimilated, and the leachate production rate was sensitive to the 33 variations in water storage within the waste body. The method showed promise in estimat-34 ing both water storage and chloride mass with correct model parameters, paving the way 35 for future research on understanding uncertainties caused by model parameters. 36

#### 37 1 Introduction

Municipal solid waste(MSW) landfill leachate is a primary source of pollution to the 38 surrounding environment because it is a source of contamination for soil and groundwater 39 (Brand, 2014; Gworek et al., 2016; Fatoba et al., 2021). The environmental risk of leachate 40 is determined by its composition and the amount released to the environment. The leachate 41 flux from old landfills is mainly controlled by the water balance of the landfill which depends 42 on precipitation and evapotranspiration. Leachate composition is influenced by the water 43 storage and pollutant mass present in the waste body (Yang et al., 2015; Grugnaletti et 44 al., 2016; Laner et al., 2011). Also, reliable predictions of leachate emissions in the long 45 term require a quantitative assessment of total pollutant mass and water storage in the waste body. As such, this quantitative assessment is an important criterion to determine 47 the aftercare strategy (Kattenberg & Heimovaara, 2011). 48

Direct measurement of pollutant mass and water storage is virtually impossible due to 49 the size and heterogeneity of waste bodies. Instead, an alternative approach can be used, 50 based on using a forward model predicting leachate flux and composition and simulating the 51 evolution of pollutant mass and water storage in the waste body. A series of deterministic 52 models have been developed to predict leachate production in landfills. Pantini et al. (2014) 53 developed a process-based landfill water balance model where biodegradation and waste 54 compression processes are included. The initial water storage in the model is obtained by 55 a preliminary optimization process. Grugnaletti et al. (2016) got more accurate leachate 56 production predictions by carrying out a step-by-step parameter calibration. It is generally 57 known that the contaminants are leached out from waste through preferential flow (Fellner 58 & Brunner, 2010). J. Zhang et al. (2021) proposed a pollutant concentration, leakage rate, 59 and a solute transport coupled model that allows prediction of concentrations. Quantifying 60 initial values for total water storage in the waste body is required for prediction of leachate 61 production rates, and in addition initial total mass is required when the concentration also 62

needs to be predicted. Generally, the initial values are often approximated by waste characteristics (São Mateus et al., 2012; Yang et al., 2015). However, these estimations could be biased because of the significant spatial variation in initial states and the lack of information on waste composition. Furthermore, some parameters in these deterministic models can be quantified through lab experiments. Nevertheless, similar small-scale laboratory investigations of waste characteristics usually result in wrong estimations of the actual behaviour of full-scale landfills (Fellner et al., 2009).

In recent years, Bayesian inference has been widely applied to hydrology models. It 70 allows for estimating the probability distribution of model parameters by comparing model 71 results with available measurements. We have recently developed a travel time distribu-72 tion(TTD) model to predict leachate production rate (LPR) and chloride concentration 73 from landfill waste bodies. Parameters in this model are obtained by optimization using 74 the DREAM<sub>zs</sub> algorithm (Vrugt, 2016), a Markov chain Monte Carlo (MCMC) method for 75 Bayesian inference. The detailed model results analysis will be published soon, and the audi-76 ence can refer to the supporting information for model equations. Although good pridiction 77 results are obtained in the model, obtaining parameters by fitting or 'history-matching' to 78 data is generally a batch processing method that defines the best fit in an average way. This 79 implies that we get the best fit of the measured data over the whole time range rather than 80 the best estimation of model states. Hence, it cannot recursively benefit from new informa-81 tion from new measurement data to infer model states (Liu & Gupta, 2007). Also, it usually 82 ignores the uncertainty in model structure and input data. Thus, the total water storage 83 and pollutant mass simulation in the waste body could be biased. Significant uncertainty in 84 model states remains, leading to considerable uncertainty in the long-term future prediction 85 of landfill emissions.

Data assimilation (DA) is another Bayesian inference method. It is widely used because of its power to recursively assimilate new measurements to improve understanding of immeasurable or hidden states (Liu et al., 2012; Carrassi et al., 2018). Most DA experiments consist of a forecast step and an analysis step. Model states are propagated with time using a forward model to get predictions, and then measurements are used to filter the predictions in analysis steps. Because of its sequential updating characteristic, it is possible to integrate model, input, and measurement errors.

Among the main data assimilation methods such as Kalman filter (Kalman, 1960) and 94 ensemble Kalman filter (Evensen, 2003), particle filter (PF) (Djurić et al., 2003) is designed 95 to deal with fully nonlinear systems. It has been widely used in hydrology (Plaza Guingla 96 et al., 2013; Vrugt et al., 2013; H. Zhang et al., 2017; Abbaszadeh et al., 2019). Many of the 97 models used with PF, like Hymod (Moore, 1985), are too simple to represent the water and 98 mass transport in landfills. Also, most models used so far only estimate water storage states. 99 We developed the coupled TTD model to predict the leachate production rates and chloride 100 concentrations (see supporting information). Since the concentration states are coupled to 101 the water balance model, we can also estimate the total mass. 102

The application of DA in the proposed TTD model is a coupled data assimilation 103 (CDA) problem, as the coupled model directly updates both pollutant concentration and 104 water volume states. The CDA is popular because of its ability to make each model com-105 ponent receive information from measurements in other domains (S. G. Penny & Hamill, 106 2017; S. Penny et al., 2019; Laloyaux et al., 2016; Smith et al., 2015; Tardif et al., 2015). 107 Weakly CDA concepts are developed, where the individual model domains are predicted 108 simultaneously by forward models but updated separately by measurements (S. G. Penny & 109 Hamill, 2017). In strongly CDA, states are updated simultaneously by cross-assimilation of 110 measurements in all domains (Ng et al., 2009), but the required interaction physics between 111 components remains challenging (S. Zhang et al., 2020). Most CDA systems in practical 112 applications are weakly CDA (S. Zhang et al., 2020). 113

In a synthetic experiment, comparative research was performed by El Gharamti et al. 114 (2013), where an ensemble Kalman filter was used in a 2D subsurface flow-transport coupled 115 model. The hydraulic head and contaminant concentration observations in multiple wells 116 are assimilated to estimate the evolution of these two states. However, hydraulic head can 117 barely represent the water storage in landfill due to the high spatial heterogeneity of water 118 distribution. Also, the risk of losing mass balance in the model exists in ensemble Kalman 119 filter as the model states are adjusted by measurements directly. Particle filtering approaches 120 can preserve the mass balance because the measurements are used to weigh particles instead 121 of adjusting particles. 122

This study investigates the feasibility of using a particle filtering approach in a landfill 123 TTD model for estimating the emission potential. The emission potential is determined by 124 the waste body's pollutant mass states and water storage states. Based on our knowledge, no 125 research has used particle filtering approaches to estimate both volume quantities and solute 126 concentrations in hydrochemical coupled models. We also believe this is the first time data 127 assimilation has been used to estimate landfill emission potential. Moreover, mass state 128 estimation remains a problem in many data assimilation applications in hydrology. Six 129 synthetic assimilation scenarios were tested to verify the proposed method and optimize the 130 assimilation strategy. Several implementation steps of the algorithm were adjusted to make 131 it suitable for the TTD model. The uncertainties of these hidden states were quantified, and 132 improvement in prediction was evaluated. The chloride mass in the landfill was selected as 133 the representative emission potential in this research. 134

#### 135 2 Methods

This data assimilation framework uses a coupled TTD model as the forward model. The weakly coupled particle filter was used as a data assimilation algorithm. The first part of this section describes the theory of weakly coupled particle filter. The second part introduces the forward model and its specific characteristics, which must be addressed in the DA application. The last part concerns synthetic experiment design, implementation procedure, and performance estimation matrices.

#### <sup>142</sup> 2.1 Weakly coupled particle filter

#### <sup>143</sup> 2.1.1 Sequential importance sampling

The weakly coupled PF is based on the sequential importance sampling (SIS) PF. Model and measurement equations are required during the state estimation process as given by Arulampalam et al. (2002). We take  $\boldsymbol{x}_t$  to represent a state vector that contains all the model states at the current time step t. Firstly, the state vector is propagated from the former time step to the current step with the model equation

$$\boldsymbol{x}_t = M_t(\boldsymbol{x}_{t-1}) + \boldsymbol{\varepsilon}_{model} \tag{1}$$

where  $M_t(\cdot)$  denotes the forward model, and  $\varepsilon_{model}$  represents the model error vector caused by different sources of uncertainty. The state vector will then be linked to measurements through the measurement equation

$$\boldsymbol{y}_t = H_t(\boldsymbol{x}_t) + \boldsymbol{\varepsilon}_{mea} \tag{2}$$

in which  $H_t(\cdot)$  denotes the measurement operator that connects model states to measured states, and  $\varepsilon_{mea}$  represents the measurement error vector.

The main task of state estimation is to estimate the probability density function (pdf) of immeasurable states based on measurement series. We use the subscript 1: t to represent the time range from the initial step to step t. Hence,  $\boldsymbol{y}_{1:t}$  are the available measurements till current step t and  $p(\boldsymbol{x} \mid \boldsymbol{y}_{1:t})$  represents the pdf of current state vector  $\boldsymbol{x}$  given  $\boldsymbol{y}_{1:t}$ . Bayes' theorem is used to calculate  $p(\boldsymbol{x} | \boldsymbol{y}_{1:t})$ , the so-called posterior pdf, by combining prior pdf  $p(\boldsymbol{x} | \boldsymbol{y}_{1:t-1})$  from last time step with likelihood pdf  $p(\boldsymbol{y}_t | \boldsymbol{x}_t)$  as

$$p(\boldsymbol{x}_{t} \mid \boldsymbol{y}_{1:t}) = \frac{p(\boldsymbol{y}_{t} \mid \boldsymbol{x}_{t}) p(\boldsymbol{x}_{t} \mid \boldsymbol{y}_{1:t-1})}{p(\boldsymbol{y}_{t} \mid \boldsymbol{y}_{1:t-1})}$$
(3)

If the posterior pdf  $p(\boldsymbol{x}_{t-1} | \boldsymbol{y}_{1:t-1})$  at the previous assimilation step is known, the prior pdf  $p(\boldsymbol{x}_t | \boldsymbol{y}_{1:t-1})$  could be calculated as  $p(\boldsymbol{y}_t | \boldsymbol{x}_t)$  as

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$$p(\boldsymbol{x}_t \mid \boldsymbol{y}_{1:t-1}) = \int p(\boldsymbol{x}_t \mid \boldsymbol{x}_{t-1}) p(\boldsymbol{x}_{t-1} \mid \boldsymbol{y}_{1:t-1}) d\boldsymbol{x}_{t-1}$$
(4)

Then we obtain the aim posterior pdf  $p(\boldsymbol{x} \mid \boldsymbol{y}_{1:t})$  as

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$$p(\boldsymbol{x}_{t} \mid \boldsymbol{y}_{1:t}) = \frac{p(\boldsymbol{y}_{t} \mid \boldsymbol{x}_{t}) \int p(\boldsymbol{x}_{t} \mid \boldsymbol{x}_{t-1}) p(\boldsymbol{x}_{t-1} \mid \boldsymbol{y}_{1:t-1}) d\boldsymbol{x}_{t-1}}{p(\boldsymbol{y}_{t} \mid \boldsymbol{y}_{1:t-1})}$$
(5)

The core idea of sequential importance sampling is to approximate the required pdf through N independent particles with weight  $w_i$  respectively. More specifically, sampling from  $p(\boldsymbol{x}_{t-1} \mid \boldsymbol{y}_{1:t-1})$  means several particles are obtained from the previous time step.  $p(\boldsymbol{x}_t \mid \boldsymbol{x}_{t-1})$  indicates propagating these particles with forward model (equation 1).  $p(\boldsymbol{y}_t \mid \boldsymbol{y}_{1:t-1})$  $\boldsymbol{y}_{1:t-1}$  is a normalization factor in making sure the sum of pdf is 1. Therefore the posterior pdf  $p(\boldsymbol{x}_t \mid \boldsymbol{y}_{1:t})$  can be calculated as

$$p(\boldsymbol{x}_t \mid \boldsymbol{y}_{1:t}) \approx \sum_{i=1}^{N} w_t^i \delta(\boldsymbol{x}_t - \boldsymbol{x}_t^i)$$
(6)

In which  $\delta$  represents the Dirac delta function. N is the number of particles. The  $w_t^i$  is calculated recursively as  $w^i - p(u + x^i)$ 

$$w_t^i = \frac{w_{t-1}p(\boldsymbol{y}_t \mid \boldsymbol{x}_t)}{\sum_{i=1}^N (w_{t-1}^i p(\boldsymbol{y}_t \mid \boldsymbol{x}_t^i))}$$

The conditional probability  $p(\boldsymbol{y}_t \mid \boldsymbol{x}_t)$  is often computed as

$$p(\boldsymbol{y}_t \mid \boldsymbol{x}_t) = \exp\left\{-0.5[\boldsymbol{y}_t - H_t(\boldsymbol{x}_t^i)]^T R^{-1}[\boldsymbol{y}_t - H_t(\boldsymbol{x}_t^i)]\right\}$$
(8)

where  $H_t(\cdot)$  is the measurement operator, R is the error covariance of the measurements (Van Leeuwen, 2009). Common statistics can be easily acquired with the posterior pdf or weighted particles. For instance, the mean of state vector  $\boldsymbol{x}$  is calculated as

$$\overline{\boldsymbol{x}}_t = \sum_{i=1}^N w_t^i \boldsymbol{x}^i \tag{9}$$

(7)

2.1.2 Systematic resampling

Particle degeneracy is one main limitation of sequence importance sampling, which occurs after several assimilation steps when the weights of all but one particle can be neglected.
 The effective ensemble size is used to evaluate the degeneracy problem. It is computed as

$$N_t^{eff} = \frac{1}{\sum_{i=1}^N (w_t^i)^2} \tag{10}$$

When the effective ensemble size is smaller than N/2, resampling should be performed. The idea of resampling is duplicating particles with high weights and discarding those with low weights. After that, all weights will be set as 1/N. The general resampling algorithms include multinomial, stratified, systematic, and residual resampling methods. In this research, systematic resampling is used as it has good resampling quality. A more detailed description of resampling algorithms is given in Hol et al. (2006).

#### 2.1.3 Weakly coupled data assimilation (WCDA)

Coupled data assimilation is used when there is more than one measurement type. 196 Also, a coupled model should be available. In WCDA, a coupled model is used to predict 197 all the model states at the current time step, while the weighting and updating steps are 198 performed within each component domain. Then the updated states are propagated to the 199 next step by the coupled model. Although the measurements in one model domain are used 200 to update the states in the same domain, the coupled model propagates the information to 201 the other domain (S. Zhang et al., 2020). The details about the implementation of WCDA 202 are introduced in section 2.7. 203

#### 204 2.2 Coupled travel time distribution model

The coupled travel time distribution (TTD) model predicts leachate production rates and chloride concentrations. For a detailed description of the coupled TTD model, we refer the readers to the supporting information where we present the governing equations and selected model parameters. Here we briefly introduce the model to help understand the approach.



Figure 1. A schematic overview of model structure.

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As shown in Figure 1, the model consists of two layers representing a cover layer and 210 waste body in a landfill. The forcing data at the top boundary are rainfall (R) and potential 211 evapotranspiration (Pev), which will enter or leave the landfill from the cover layer. The 212 water storage in the cover layer determines the amount of water  $(q_{inf})$  infiltrating to waste 213 body. The waste body is conceptually divided into a single bulk storage and P cells to 214 represent different travel times of water parcels before they flow out. The time difference 215 between neighbouring cells is one day. So, the leachate in the last cell takes P days to go 216 out. The base flow from the bulk will be distributed to P cells by a baseflow travel time 217 distribution function. Similarly, the  $q_{inf}$  from the cover layer is distributed to the waste 218 body with another constant travel time distribution function. 219

Similar to the transport model from El Gharamti et al. (2013), the chloride concentration is one-way coupled in the water balance model. The concentration states in P cells are determined by time propagation, as well as distributed leachate from baseflow and infiltration from the cover layer. The parameters and initial states were optimized using DREAM(ZS) (Vrugt, 2016; Shockley, 2020). The state vector is given by

$$\boldsymbol{x_t} = [V_{cl_t}, M_{cl_t}, C_{cl_t}, v_{bulk_t}, m_{bulk_t}, c_{bulk_t}, v_{cell_t^i}, m_{cell_t^i}, c_{cell_t^i}]^T$$
(11)

where *i* represents *i* th cell state. The concentration defined as c = m/v applies to all elements in the conceptual model. Also,  $V_{wb_t} = v_{bulk_t} + \sum_{i=0}^{P-1} v_{cell_t}$  and  $M_{wb_t} = m_{bulk_t} + \sum_{i=0}^{P-1} m_{cell_t}$  are used in the following parts to represent the entire storage states in the waste body.  $C_{wb}$  indicates the average concentration in the waste body. We use capital letters to represent the overall state variables of each layer, and we use lowercase letters to represent all internal variables. A detailed explanation of the variables in the model is presented in the nomenclature list.

#### 233 2.3 Specific model characteristics

#### 234 2.3.1 One way coupled model

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The TTD model we use is based on a one-way coupling between water volume and 235 chloride concentration. The leachate production rates only contain information on water 236 volume states, while the concentration states depend both on water volume and solute mass. 237 However, it is unknown how much information concentration measurements contain about 238 water volume states. Is it possible to only assimilate concentration measurements or do 239 we need both the leachate outflow and concentration measurements? El Gharamti et al. 240 (2013) always use the concentration measurements to update the water head states, while 241 the research does not investigate the benefits of assimilating both measurements compared 242 to assimilating only one. For example, it could be that when assimilating both measurement 243 types, we may get poorer estimation results for volume states when the concentration mea-244 surements contain significant measurement errors. In order to explore this issue, we have 245 designed different scenarios to investigate the optimal assimilation strategy. 246

#### 247 2.3.2 Time lags in TTD model

In particle filtering approaches, we can estimate hidden states in the model using mea-248 surements of observable states because the measurements contain some information about 249 hidden states. Assuming the model is not entirely correct, the model errors will be added to 250 model states during the state propagation process. If the errors are only added to observable 251 states in the state vector, the diversity of hidden states may disappear with resampling. In 252 other words, adding model errors to hidden states gives us the possibility to explore the 253 hidden state space. The hidden states with model error will be assessed in the following time steps because they influence the measurable states. However, if this influence is weak 255 or does not exist, the hidden states will be updated randomly, and the estimation will be 256 poor (Plaza Guingla et al., 2013). 257

In the forward TTD model we use, we have explicit time lags between many model 258 states and measurements because the travel time distribution considers the time informa-259 tion explicitly. For instance, the oldest cell states will only influence the measurements 260 after P-1 days. This time lag complicates the estimation of multiple hidden states using 261 current measurements. Several studies are trying to solve these challenges with time-lagged 262 measurements in data assimilation (Noh et al., 2013; Li et al., 2013; McMillan et al., 2013; 263 Noh et al., 2014). McMillan et al. (2013) used the current measurements to update states 264 at previous time steps within the time lag. Noh et al. (2013, 2014) used the measurements 265 after an extended time to estimate current model states to consider the time lag effect. 266 These methods use the forward models as measurement operators to link the model states to corresponding lagged measurements. In these approaches, the assumption is that the for-268 ward models are accurate for this extended prediction; otherwise, the representation error 269 (Janjić et al., 2018) in the measurement operator should be considered. The maximum time 270

lag in the landfill TTD model we use is around five years. This is much longer than those
previously used in distributed catchment models. Consequently, model error accumulation
is expected to be severe during the extended prediction process(Noh et al., 2013, 2014), so
it is unreasonable to assume a correct model for such a long prediction period. Additionally,
the TTD model has thousands of states which are lagged in time, whereas the published
applications usually have time lag issues for only one hidden state. To overcome these issues
we have developed a specific strategy for the TTD model.

In the TTD landfill model, the cell states are propagated with time. After P (the number of cells) days, there will be a connection among all cells and bulk states. We call this implicit relationship 'history'. We can estimate hidden states by current measurements if this' history' is maintained. Hence, the initialization of particles and the model errors should guarantee this 'history'. The implementation strategy is further explained in section 270 271 272 273 275

#### 2.4 Site and data description

The model parameter calibration is based on actual measurements from the Braamber-285 gen landfill in the Netherlands (Duurzaam stortbeheer, 2023). Daily meteorological forcing 286 data (same as model resolution) are obtained from the nearest weather station affiliated with 287 Royal Dutch Meteorological Institute (KNMI) (2023). The leachate is pumped out from the drainage system, and the daily production volume is acquired. The chloride concentration 289 is measured by sampling from the drainage layer generally with a bi-weekly frequency (with 290 some larger intervals up to 28 days). In practical cases, there are many irregular values 201 in daily production rate measurements because of the management of the leachate pump 292 system by the landfill operator. When the pump system is broken, the outflow remains in 293 the drainage layer, resulting in an observed leachate production volume of zero. Afterward, 294 the water is pumped out, a large leachate volume is measured. In order to limit the ef-295 fect of these operational irregularities, seven days' average leachate production rates were 296 calculated from the cumulative leachate measurements and used as measurements. The 297 measurement equations for leachate production rate and chloride concentration are: 298

 $C_t = c_{cell_{0_t}} + \varepsilon_{C_{mea}}$ 

$$LPR_t = \frac{\sum_{i=t-6}^{t} v_{cell_{0_i}}}{7} + \varepsilon_{LPR_{mea}}$$
(12)

(13)

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### 2.5 Synthetic truth generation

Synthetic experiments are often designed to evaluate the performance of data assimi-302 lation techniques. Artificial truth states are generated by running a known forward model. 303 If the DA algorithm is effective, estimated states or parameters are expected to converge to 304 the synthetic truth by assimilating the simulated measurements obtained from the forward 305 model. The method of creating artificial truth is highly dependent on the aim of the applied 306 DA technique and the assumption of existing underlying uncertainties. The primary sources 307 of uncertainty for a deterministic model are errors in forcing data, initial states, model pa-308 rameters, and model concepts. The most simple scenario assumes that the model is correct 309 and only adds white noise to simulated measurements as measurement error. Weerts and 310 El Serafy (2006) perturbed forcing data to consider the forcing data uncertainties in a state 311 estimation problem. Plaza Guingla et al. (2013) further added Gaussian noise to model 312 parameters, although only model states are updated in that research. Li et al. (2013) chose 313 to perturb the state variables in a probability-distributed hydrological model. All the uncer-314 tainties above are considered to be included in state variables. Gelsinari et al. (2020) used 315 the 'truth' generated from the unperturbed model, while the model used in assimilation is 316 with a perturbed parameter set. Since we aim to assess the feasibility of estimating emis-317 sion potential in the TTD model by coupled particle filter, we assume the forward model 318

parameters to be correct in order to simplify the problem. The initial states and input data
 were perturbed in order to simulate a scenario where we have a poor understanding of initial
 states, and the input measurements are inaccurate.

The initial states in 2003 were obtained from model calibration in order to generate 322 a synthetic truth. Zero mean Gaussian error with a standard deviation of  $10\% \times c_{ini}$  and 323  $10\% \times v_{ini}$  were added to perturb the initial states. Zero-mean Gaussian errors were added 324 to daily rainfall and potential evapotranspiration during the simulation period from 2003 to 325 2021. The uncertainty range of rainfall is often chosen as  $(0-15\%) \times R_t$  (Weerts & El Serafy, 326 2006). Here the standard deviation of random rainfall error was set as  $15\% \times R_t$ . The 327 perturbation of evapotranspiration followed Plaza Guingla et al. (2013) where a  $30\% \times Pev_t$ 328 standard deviation was used. 329

Although this study primarily focuses on synthetic experiments, we aim to adapt the framework to accommodate the assimilation of real-world data for further research. Hence, the data assimilation frequency was set to be identical to the frequency of the real concentration measurements.

Once the simulation results are obtained as synthetic truth, the measurement errors should be added to observable states to simulate measurements as shown in equation 12 and equation 13. The standard deviations of Gaussian measurement error are selected as 10%of  $LPR_t$  and  $C_t$ , respectively.

All the errors are presented in Table 1. It is worth emphasizing that although we try to simulate the actual case in the synthetic experiment, the artificial truth is only trying to approach the natural world in the context of a proof-of-concept study (Matgen et al., 2010).

Table 1. Standard deviation of Gaussian random errors for truth generation

Variables	R	Pev	$v_{ini}$	$c_{ini}$
Standard deviation	$0.15 \times R_t$	$0.3 \times Pev_t$	$0.1 \times v_{ini}$	$0.1 \times c_{ini}$

 $v_{ini}$  and  $c_{ini}$  represent all the initial volume and concentration states in the model.

#### <sup>341</sup> 2.6 Ensemble generation performance control

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The performance of DA relies on the appropriate representation of uncertainties in the prediction. More specifically, the model error in equation 1 should make the spread of generated ensembles realistic compared to real measurements. Following the method proposed by De Lannoy et al. (2006), the ensemble spread( $ensp_t$ ), the mean square  $error(mse_t)$ , and the ensemble skill( $ensk_t$ ) are calculated as:

$$ensp_t = \frac{1}{N} \sum_{i=1}^{N} (y_t^i - \overline{y_t})^2$$
(14)

$$mse_t = \frac{1}{N} \sum_{i=1}^{N} (y_t^i - y_{mea_t})^2 \tag{15}$$

$$ensk_t = (\overline{\boldsymbol{y}_t} - y_{mea_t})^2 \tag{16}$$

 $N, i, t, y, y_{mea}$  represent ensemble size, *i*th ensemble number, assimilation time step, simulated observable states, and assimilated measurements, respectively. According to De Lan-

noy et al. (2006), to ensure the generated ensembles' statistical accuracy, the following requirements should be considered:

$$\frac{\langle ensk \rangle}{\langle ensp \rangle} \approx 1 \tag{17}$$

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 356 1 indicates insufficient ensemble spread, while a value smaller than 1 indicates excessive
 357 spread. If the truth is indistinguishable from a member of the ensemble, the following
 358 equation should be true(De Lannoy et al., 2006):

$$\frac{\langle \sqrt{ensk} \rangle}{\langle \sqrt{mse} \rangle} \approx \sqrt{\frac{N+1}{2N}} \tag{18}$$

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When both leachate production rate and concentration measurements are assimilated, 361 we need a sufficiently large ensemble spread in the simulated output. This is achieved by 362 manually optimizing the standard deviations of model error. Firstly we obtained the model 363 error for the cover layer water storage using an interval search to get an appropriate spread in 364 leachate production rate simulations. If the spread for concentration states is not sufficient 365 or excessive with the chosen model error, we adjust the initial uncertainty range for the 366 concentration states. Using this approach allows us to obtain a good ensemble spread for 367 concentration states while not making the spread in leachage production excessive. 368

#### 369 2.7 Implementation procedure

Based on the theory and model characteristics, the implementation of sequential importance resampling in this coupled TTD model is as follows:

- 1. Initialization: from the model calibration results, we take one parameter set and initial 372 states in 2003. The initial samples are sampled from Gaussian distributions where 373 the means are the optimized initial values. Initially, the corresponding percentiles of 374 standard deviations in Gaussian distributions are set to be the same as the ones used 375 in the generation of synthetic initial states (see table 1). Subsequently, the standard 376 deviations undergo adjustment to meet the ensemble spread criteria, as is discussed in 377 section 3.1. With a warm-up simulation, the samples are propagated to the starting 37 date of data assimilation on the 19th June 2012, a time step 7 days earlier than the 379 first measurement date. The reason to perform this warm-up propagation is that we 380 need to build connections among waste body states. Otherwise, the time lag between 381 bulk states and measurements will make the estimation unreliable. 382
- 2. Update step: all the particles are propagated to the next assimilation step with 383 equation 1, where  $M(\cdot)$  indicates the coupled TTD model. The choice of model error is 384 crucial for representing uncertainties and ensuring a good data assimilation technique 385 performance. Most studies applying particle filter or ensemble Kalman filter choose to 386 add a Gaussian random error to perturb forcing data, model states, and/or parameters 387 (Weerts & El Serafy, 2006; Mattern et al., 2013; Vrugt et al., 2013; Tran et al., 388 2020). Considering the time lag issue, if we add independent model error to each 38 state directly, the accumulation of errors of states like  $v_{bulk}$  will be huge after several 390 years' lag. Therefore, we choose to add daily error to  $V_{cl}$ . The daily errors will be 391 propagated to waste body states with time, which means we are adding correlated 302 model errors to waste body states. Since the influence of error in  $V_{cl}$  on fast flow 393 cells can be estimated by measurements very quickly, we can avoid adding too much 394 unreasonable errors to old states like  $v_{hulk}$ . Additionally, this error choice maintains 395 the total mass balance in all waste body volume states.
- No model error is introduced to the concentration states directly. Once the initial concentration values are determined, the concentration variation is assumed to be determined by volume states only.

- 3. Analysis step: the weights for particles are calculated by equation 7. Based on differ-400 ent assimilation strategies, we weigh the states differently. In a coupled assimilation 401 scenario, the weights for volume  $w_v$  and concentration states  $w_c$  are calculated sep-402 arately using their corresponding measurements. Both concentration and leachate 403 volume are used to calculate  $w_m$ :  $w_m = w_c * w_v$ . Then  $w_m$  is normalized before 404 estimating the mass states. If only concentration measurements are assimilated, all 405 the model states are weighted based on the concentration measurements. When only 406 LPR measurements are assimilated, the weights are used to estimate all states except 407 concentration states. 408
- 409 4. Resampling step: this step is the same as the weights calculation, effective ensemble 410 size  $N_v^{eff}$ ,  $N_c^{eff}$  is computed according to equation 10. Then the corresponding 411 particles will be resampled when  $N_t^{eff}$  is smaller than N/2. The mass states are 412 recalculated from the resampled volume and concentration states, and the weights 413  $w_m$  are also updated with new  $w_v$  and  $w_c$ .
- 5. Iteration: all former steps after initialization are repeated until the last assimilationstep.

#### 416 2.8 Performance estimation

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Besides the evolution of hidden states, the accuracy of state estimation results is evaluated with the temporal mean root-mean-square error, which is described in equation 19. The *L* indicates the number of assimilation time steps.

$$MRMSE = \frac{\sum_{t=1}^{L} \sqrt{\sum_{i=1}^{N} w_t^i (x_t^i - x_t^{truth})^2}}{L}$$
(19)

<sup>421</sup> The prediction accuracy is also evaluated using a logarithmic form( $\eta$ ) proposed by (Ercolani & Castelli, 2017):

$$\eta = -\ln(1 - NSE) \tag{20}$$

<sup>424</sup> where NSE is the Nash-Sutcliffe efficiency calculated as:

$$NSE = 1 - \frac{\sum_{t=1}^{T} (y_t - y_{mea_t})^2}{\sum_{t=1}^{T} (y_{mea_t} - \overline{y_{mea}})^2}$$
(21)

where  $y_{mea_t}$  are the measurements at time step t,  $y_t$  represents the model prediction, and the over bar means the average over time. The logarithmic scale allows dealing with high NSE values(close to 1). It tends to plus infinity when the observations and predictions achieve a perfect match. The reliability of ensemble prediction is not considered here because the model error is optimized to get reliable predictions.

431 2.9 Synthetic scenarios

Different synthetic scenarios are designed to test the application's feasibility. As shown 432 in table 2, in total six scenarios are used to test the assimilation performance and get 433 optimal assimilation strategy. Scenarios A, D follow the proposed coupled assimilation pro-434 cedure described above. In other scenarios, only LPR or concentration measurements are 435 assimilated. Scenarios D to F are similar to A to C but with the difference that we initialize 436 the simulation with much smaller initial bulk volume values. These scenarios are used to 437 test the influence of the baseflow function, which will be discussed in the following part. 438 Two open-loop simulations are also performed to get reference results for scenarios A - C439 and D-E. The open loop simulations have the same initial sample distributions and model errors as corresponding scenarios, but no measurements are assimilated to update model 441 442 states. The related state estimation and prediction results of scenarios B - C, E - F are provided in the supporting information. 443

Scenario	Assimilate LPR	Assimilate C	Small initial $V_{\text{bulk}}$
A	Yes	Yes	No
В	Yes	No	No
С	No	Yes	No
D	Yes	Yes	Yes
Ε	Yes	No	Yes
F	No	Yes	Yes
$OL_{A-C}$	No	No	No
$OL_{D-F}$	No	No	Yes

Table 2. Synthetic scenarios

#### **3** Results and discussion

#### **3.1** Ensemble generation

The appropriateness of ensemble generation and the generated initial particles on the starting date of data assimilation, which is the 19th of June, is verified using equations 17 and 18. Based on the results of a preliminary sensitivity analysis of ensemble size, all experiments use 10240 particles to ensure stable performance. The final choice of initialization, model errors and the corresponding ensemble generation skills are presented in table 3.

 Table 3.
 Ensemble generation performance

Scenario	$M_{v_{bulk}}$	$\sigma_{v_{bulk}}$	$\sigma_{c_{bulk}}$	$\epsilon_{V_{cl}}$	$\frac{<\!ensk>}{<\!ensp>}_{LPR}$	$\frac{<\!\!\sqrt{ensk}\!\!>}{<\!\!\sqrt{mse}\!\!>}_{LPR}$	$\frac{<\!ensk>}{<\!ensp>}_C$	$\frac{<\!\sqrt{ensk}\!>}{<\!\sqrt{mse}\!>}_C$
A-C	4.067	0.100	0.130	0.0145	1.002	0.651	1.082	0.587
D-E	2.000	0.100	0.100	0.0135	0.998	0.624	1.013	0.583

Note. All the initial states in 2003 are sampled from Gaussian distributions  $N(M, \sigma \times M)$ . The distribution parameters are the same as truth generation if not explicitly defined in the table.  $M_{v_{bulk}}$  represents the initial mean of bulk water storage.  $\sigma_{v_{bulk}}$  and  $\sigma_{c_{bulk}}$  refer to the standard deviation percentile of bulk water storage and chloride concentration, respectively.  $\epsilon_{V_{cl}}$  shows the standard deviation percentile for model error added to cover layer water storage.

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#### 451 3.2 Assimilation performance

The assimilation performance of the method is evaluated using the proposed performance matrices (equation 19 - 21). The estimation and prediction results in different scenarios are compared to investigate the performance under different conditions in Table 4.

455 3.2.1 Estimation of hidden states

The estimated values for total water storage in the cover layer, chloride mass, water storage in the waste body are presented in Table 4. In addition, the results of average chloride concentration are presented to understand the state update process better. Although there is a small amount of chloride in the cover layer, it can be ignored compared with the amount in the waste body.

State	$\rm OL_{A-C}$	А	В	$\mathbf{C}$	$\mathrm{OL}_{\mathrm{D}\text{-}\mathrm{F}}$	D	Е	F
$V_{cl}[m]$	7.92e-3	7.06e-3	7.36e-3	7.02e-3	6.12e-3	5.22e-3	5.41e-3	5.43e-3
$V_{wb}[m]$	0.636	0.577	0.590	0.609	0.296	0.187	0.198	0.226
$C_{wb}[g/m^3]$	258.422	83.996	264.225	87.850	197.498	52.667	183.845	58.087
$M_{wb}[g/m^2]$	1327.724	1273.604	1161.753	1388.788	640.975	310.564	482.107	388.053

 Table 4.
 MRMSE of estimated model states

3.2.1.1 Total water storage in cover layer

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As shown in Table 4, the four MRMSE values for the storage in the cover layer  $(V_{cl})$  in A-C 462 and  $OL_{A-C}$  scenarios are similar. This observation is supported by the standard deviations 463 of MRMSE, which are within a magnitude of  $4 \times 10^{-3}m$ . Similar estimation performance 464 is observed in scenarios D-F and  $OL_{D-F}$ , where the standard deviations of MRMSE are 465 within a magnitude of  $3 \times 10^{-3} m$ . The values of the standard deviations of RMSE are in the 466 uploaded output file. All the scenarios, including open loop realizations in Figure 2, Figure 467 3 and Figure S1 - S4 (see the supporting information), show good consistency with actual 468 states. This is caused by the buffering effect of the unsaturated soil model used to simulate 469  $V_{cl}$ . When saturation is high, infiltration to the waste body will be high as well. If no model 470 error or forcing data errors were added, the  $V_{cl}$  starting with different values would converge 471 to a same value after a period of time. The random model error added during DA is the 472 main source for the uncertainty in  $V_{cl}$ . Figure 2 and Figure 3 show that the uncertainty 473 ranges in scenarios A and D vary with time but within a limited bandwidth because no 474 decreasing trend exists. Similar results are observed in Figure S1 - S4 in the supporting information when only leachate production rate or chloride concentration measurements are 476 assimilated. The estimates during wet periods are better than those during dry periods. 477 This is most likely caused by the limited information content of  $V_{cl}$  in the outflow when 478 there is only little infiltration from cover layer, and the outflow is mainly dominated by 479 baseflow from the waste body. 480

#### 3.2.1.2 Total water storage in waste body

Scenarios A - C are initialized with high values for initial bulk water storage. Scenario B 482 has similar waste body water storage  $(V_{wb})$  estimation results as scenario A because of the 483 same assimilation procedure for volume states (see Figure 4, Figure S5 in the supporting 484 information). As shown in Figure 4, S5 and S6 (see supporting information), the mean 485 estimation shows no noticeable improvement for the whole period in scenarios A, B and C. When the model is initialized with a lower value for the initial bulk water storage in 487 scenarios D, E and F, the behaviour is quite different. As shown in Figure 5, S7 and S8 (see 488 supporting information), the biases in the total water storage are corrected by assimilation 489 of new measurements compared with the large bulk water storage scenarios. In scenarios D and E, the particles finally converge to true values, and the uncertainties are much smaller 491 compared with the open-loop results. The MRMSE values in Table 4 also show greater 492 improvement compared with large storage scenarios. 493

The difference in assimilation performance of two different initial values in water storage 494 is caused by the baseflow function. As discussed in the time lag issue, we can only esti-495 mate hidden states if the measurements are sensitive to their variations. Figure 6 shows the 496 baseflow function, which links the bulk water storage to generated baseflow volume. Bulk 497 water storage takes up a large part of the total water storage in the waste body. Hence, the 498 estimation of bulk water storage is crucial for  $V_{wb}$  estimation. As we can see, baseflow is only sensitive to bulk water storage variation when bulk water storage falls between 0 and 2 500 meters. In addition, Figure 7 shows the travel time distribution of baseflow. Almost all the 501 generated baseflow is distributed to the oldest cell. It means the information of any change 502



**Figure 2.** Water storage in the cover layer (scenario A). The red line represents the mean estimation of the particle filter. The green and yellow lines represent the open loop results and synthetic truth, respectively. The individual particles are shown as grey points. The two black arrows point to the wet and dry period during the assimilation process, with corresponding probabilities plotted. The black vertical lines in the probability histograms are the truth at specific time steps.



Figure 3. Water storage in the cover layer (scenario D). Colors of lines as in Figure 2.

in bulk storage takes five years before it is observed in simulated leachate production rates. 503 According to the synthetic truth, the bulk water storage five years before the last measure-504 ment in scenarios A-C is around 2.18m. Obviously, the information in the measurements 505 to quantify bulk water storage is limited. Lower values of the bulk water storage allow the 506 baseflow to reduce during the simulation time span. As a consequence, measured leachate 507 production rates contain information on this reduced water storage because of lower base-508 flow values. This improves the estimate of bulk water storage and  $V_{wb}$ , leading to lower 509 uncertainty. 510

511 Figure S8 (see supporting information) also shows that when the information content of the measurements is high, the concentration measurements can be used to estimate  $V_{wb}$ . 512 Another influencing factor of the uncertainty quantification capacity is the measurement 513 error. While the measurement errors are small, it can detect smaller baseflow changes. For 514 example, the bulk water content will still influence the baseflow when it varies between 2 and 515 3 meters. When the measurement error is relatively large compared to the corresponding 516 baseflow variation, most of the particle sets in this range will have close wights as they all 517 give similar baseflow output. As shown in Figure 4, only large and small particle sets are 518 discarded with assimilation. Also, the MRMSE values in Table 4 show slight improvement 519 compared with open-loop results. To further quantify the uncertainty and correct the bias 520 in mean estimation, the time series of measured leachate production rates should be long 521 enough to capture the effect of reducing bulk water storage values in the sensitive range. 522

Compared with scenario D, the mean estimation in scenario F takes more time to correct the bias. And the final uncertainty estimation is not as good as scenario D. It is because the weights in scenario F are calculated using concentration measurements, which are also influenced by mass states. The particles with the wrong volume and mass values but correct concentration values are also considered with high probability. This is also reflected in Table 4, where the MRMSE of scenario F is larger than D and E.

In scenarios A, B and C, the posterior distributions in wet periods are close to the ones obtained during dry periods. This means that the estimation results of  $V_{wb}$  are stable during the last wet-dry cycle. However, in scenarios D and E, the posterior distributions in dry periods still change compared with wet periods. This indicates that the measurements in the last cycle still contain new information content which are being assimilated to reduce the uncertainty.

3.2.1.3 Average chloride concentration in waste body 535 Estimation of the average chloride concentration in the waste body is another case where the 536 'history' is required. All available measurements are linked to the first cell only. Neverthe-537 less, the estimation of the average concentration becomes possible because of the 'history' 538 connection between cells and bulk. As shown in Figures 8, Figure 9, S10 and S12 (see sup-539 porting information), the uncertainties in average concentration are reduced compared with 540 the open-loop results. Irrespective of the sensitivity of the baseflow to bulk water storage 541 variation, the chloride in waste body bulk is the source of chloride in the mobile cells, which 542 enables us to use concentration measurements to estimate the average concentration. 543

As shown in Figures S9 and S11 (see supporting information), the estimations of aver-544 age concentration are poor when only Leachage Production Rate (LPR) measurements are 545 assimilated. More specifically, the posterior distributions and mean estimations are very 546 close to open-loop results. Although the volume states can be quantified in scenario D, 547 it doesn't help reduce the uncertainty range in average concentration. It is worth noting 548 that when only concentration states are assimilated in scenarios F, Figure S12 shows larger 549 uncertainty but slightly better mean estimation results compared with corresponding sce-550 nario D, where both measurements are assimilated. Although assimilation using only LPR 551 measurements does not really influence the estimation of the concentration state, all the 552 volume and mass state combinations with correct concentration values but wrong volume 553 values will be discarded in scenarios D. The impact on the estimation step is minimal while 554



Figure 4. Water storage in the waste body in scenario A. Colors of lines as in Figure 2.



Figure 5. Water storage in the waste body in scenario D. Colors of lines as in Figure 2.



Figure 6. Baseflow change with bulk water storage variation



Figure 7. Baseflow travel time distribution
the diversity in predicted concentration samples is reduced because the prediction is based on both concentration and water storage states. Overall, the assimilation of concentration states helps quantify the uncertainty in concentration states.



Figure 8. Average concentration in the waste body in scenario A. Colors of lines as in Figure 2.

#### 3.2.1.4 Total chloride mass in waste body

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The total chloride mass in the waste body is calculated from the estimated water volume and concentration states. The uncertainty reduction in either volume states or concentration states reduces the uncertainty of mass states. On the other hand, bias in estimation of volume or concentration states can result in bias in mass estimation even if the other estimation is perfect.

As shown in Table 4, when initial bulk water storage is small, all the synthetic experiments have better MRMSE results than open-loop simulations. Assimilating both measurements achieves the best estimation results. In contrast, when the initial bulk storage is high, the MRMSE remains relatively high after assimilation. Starting with different initial bulk water content, scenario B performs best among scenarios A-C while scenario E is the worst among scenarios D-F. The MRMSE result for scenario B is unusually small because it yields a higher estimation of volume and a lower estimation of average concentration states. This, in turn, results in a better mean estimation of mass states.

Figures 10 and 11 show the evolution of  $M_{wb}$  estimation when both measurements 572 are assimilated. Scenario A shows slightly reduced posterior uncertainty, which indicates 573 insufficient information in flow measurements. As a comparison, scenario D gains much more 574 improvement in posterior distributions, which is because of the good estimations of both 575 volume and concentration states. As discussed in section 3.2.1.2, the difference is because 576 of the different sensitivities of baseflow to bulk storage volume. Similarly, the improvement 577 from the wet period to the dry period in scenario D is caused by the information content in 578 LPR measurements. 579

<sup>550</sup> When only LPR measurements are assimilated, there is little improvement in mass <sup>551</sup> estimation if the baseflow is not sensitive to bulk storage change, as shown in Figure 13 <sup>562</sup> (see supporting information). In scenario E, as shown in Figure S15 (see supporting in-<sup>563</sup> formation), the uncertainty range of  $M_{wb}$  is reduced, and the mean estimation accuracy is <sup>564</sup> better than open-loop results. However, we cannot make a conclusion that LPR measure-



Figure 9. Average concentration in the waste body in scenario D. Colors of lines as in Figure 2.

ment assimilation is sufficient to quantify the uncertainty in total chloride mass. Because the estimation of  $M_{wb}$  is also controlled by the initialization of concentration states. If the generated initial concentration states are biased, there is always a risk of biased estimation of  $M_{wb}$ .

In scenario C (see S14 in the supporting information), reduced uncertainty is observed, but the mean estimations are not better than open-loop results. However, scenario F shows much better mean estimation convergence compared with open-loop results. The difference between scenarios C and F is also mainly because of the different estimation performance of  $V_{wb}$ .

Following the conclusion from volume and concentration estimations, solely assimilating LPR measurements is not sufficient for emission potential estimation. When the sensitivity of baseflow to bulk storage is high, we can use concentration measurements solely to estimate the  $M_{wb}$ . Assimilating both measurements achieves the best performance in the sense of both mean estimation and uncertainty reduction.

#### 599

### 3.2.2 Prediction performance

 Table 5.
 Prediction performance

	Scenario	$OL_{A-C}$	А	В	С	$OL_{D-F}$	D	Е	F
LPR	$MRMSE[m^3]$	3.332	2.360	2.444	2.555	3.116	2.178	2.248	2.465
	NSE	0.940	0.944	0.944	0.944	0.948	0.950	0.950	0.954
	$\eta$	2.805	2.892	2.893	2.884	2.955	2.989	2.988	3.071
C	$MRMSE[g/m^3]$	186.728	66.377	178.000	68.791	138.320	47.741	122.924	50.743
	NSE	0.798	0.878	0.808	0.874	0.866	0.918	0.881	0.914
	$\eta$	1.600	2.101	1.653	2.074	2.009	2.497	2.126	2.458



Figure 10. Chloride mass in the waste body in scenario A. Colors of lines as in Figure 2.



Figure 11. Chloride mass in the waste body in scenario D. Colors of lines as in Figure 2.

#### 3.2.2.1 Leachate production rates

Table 5 shows the metrics we use to quantify the quality of the predicted states. All six scenarios have smaller MRMSE values and greater  $\eta$  values compared with the corresponding open-loop simulations. This indicates reduced prediction uncertainty and improved accuracy. However, the  $\eta$  values of three scenarios with the same initial bulk storage are very close, and the difference between similar scenarios is small. This is also observed in Figures 12, 13 and S17-S20 in the supporting information, where the open-loop simulations also have good LPR prediction performance.

As discussed in section 3.2.1.1, the estimation of cover layer water storage has a relatively good consistency with the truth in all scenarios which guarantees the accuracy of LPR prediction, especially in wet periods where infiltration from the cover layer takes up most of the outflow. Additionally, when the bulk storage in the waste body,  $v_{bulk}$ , reduces below m (see Figure 6), the baseflow magnitude will reduce significantly. Under such conditions baseflow will show a large sensitivity to infiltration from the cover layer reaching the bulk storage.

Although scenario D, as shown in Figure 5, has a better waste body water storage 615 estimation than scenario F (see Figure S8 in supporting information), scenario F has a higher 616  $\eta$  value compared with scenario D. This is because more particles smaller than one meter remain in the posterior distribution of scenario F, which is important to catch the effect of 618 change in bulk storage on the base flow. Also,  $\eta$  is calculated using synthetic measurements 619 which contain measurement error. There are some values smaller than truth in the dry 620 period which cannot be covered by true  $v_{bulk}$  values. This can also be observed by comparing 621 Figure 13 and S20 (see supporting information), where more low LPR measurements are 622 covered in scenario F.



Figure 12. LPR prediction in scenario A. The blue crosses indicate the behaviour of synthetic measurements. Colors of lines as in Figure 2.



Figure 13. LPR prediction in scenario D. Colors of lines and crosses as in Figure 12.

*3.2.2.2 Chloride concentrations* 

As shown in Table 5, when concentration measurements are assimilated in scenarios A, C, 625 D and F, the values of prediction accuracy  $\eta$  improve significantly compared with open-loop realizations. As shown in Figure 14, Figure 15, S22 and S24 (see supporting information), the 627 red lines follow the yellow truth quite well. When only LPR measurements are assimilated, 628 we also observe the reduction of MRMSE and improvement of  $\eta$ . However, compared with 629 the scenarios assimilating concentrations, the improvement is very small. The uncertainty 63 in concentrations is only controlled by the assigned uncertainty when calculating the initial 631 concentration states. Filtering the water storage states does not introduce new information 632 to the concentration states. If there are infinite random particles, we expect to see an 633 identical prediction as in the open-loop simulations. 634

### 635

#### 4 Summary and Conclusions

This work presents a weakly coupled particle filter framework to assimilate leachate pro-636 duction rates and chloride concentrations with the aim to estimate the emission potential of 637 landfill waste bodies. The emission potential in this paper is defined as the mass of leachable 638 chloride present in the waste body. A concentration-coupled travel time distribution model 639 was used as a forward model for data assimilation. Synthetic experiments were performed 640 to investigate the feasibility of state estimation and improving prediction. Six scenarios 641 were developed to investigate the best assimilation strategy. Two synthetic measurement 642 data sets were generated with the same forward model using different initial bulk water 643 content values under identical meteorological forcing conditions. On each synthetic data set, three types of Data Assimilation were carried out: DA using both Leachate Produc-645 tion Rate (LPR) and concentration measurements and DA using only LPR or concentration 646 measurements. 647



Figure 14. Concentration predication in scenario A. Colors of lines and crosses as in Figure 12.



Figure 15. Concentration predication in scenario D. Colors of lines and crosses as in Figure 12.

The results from the different scenarios show that sensitivity of baseflow to bulk water storage volume plays a vital role in controlling the assimilation performance. When the bulk water storage is within the range where its change has limited influence on baseflow, assimilating measurements cannot reduce the uncertainties in waste body water storage.

The results also indicate that the improvement in the estimation of cover layer water 652 storage is limited as the open-loop realizations already have good consistency with synthetic 653 truth. Assimilating concentration measurements improves the estimation of average con-654 centration states in the waste body. It also benefits the estimation of water storage states 655 as the concentration states are coupled to the water balance model. However, assimilation 656 with concentration measurements alone reduces the convergence of water storage estimation 657 in comparison with assimilating both LPR and concentration measurements. In contrast, 658 assimilating LPR helps quantify the uncertainty in water storage states in the waste body, while it doesn't reduce the uncertainties in concentration states. The proposed coupled as-660 similation method leads to good estimation results in both water storage and concentration 661 states. 662

The estimation of emission potential heavily relies on accurate estimation of the total water storage and concentration states within the waste body. Reducing uncertainties in volume or concentration states leads to a reduction in uncertainties associated with the emission potential. Therefore, improving the estimation of volume and concentration states directly contributes to minimizing uncertainties in emission potential. The results show the uncertainty is reduced in all the tested scenarios where the baseflow is sensitive to bulk storage change.

The LPR prediction improvement after assimilation is not significant, as the open-loop realizations also have good predictions. In contrast, the concentration predictions improved considerably when the chloride concentration measurements were assimilated.

Overall, the results of this study indicate that the proposed coupled assimilation procedure can be used to estimate total water storage and chloride mass in the waste body. As such, Data Assimilation is demonstrated to be a viable approach to quantify the emission potential of landfill waste bodies. The assimilation of LPR rates helped improve the accuracy of the estimation of total water storage,  $V_{wb}$ , compared to assimilating concentrations alone. The gap between volume states and mass states is filled by concentration assimilation. Future studies will focus on quantifying the uncertainty caused by model parameters, which, for example, determine the sensitivity of baseflow to bulk water storage volume.

## 5 Data Availability Statement

The data and codes used in this paper are available at link: https://data.4tu.nl/ private\_datasets/DdrykoWpu1L5rI7SIOYpr9LFlyOMiLtcXbMEeMmvIDk.

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Figure 5.







Water storage ( $V_{wb}$ ) distribution [m]

Figure 3.









Figure 13.



Figure 11.



Figure 15.



Figure 9.



Figure 4.



Figure 2.







0.00

Figure 12.



Figure 10.



Figure 14.



Figure 8.



Figure 1.



# **Observations:**

Leachate production rate Chloride concentration
Figure 7.



Figure 6.

