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### Abstract

High clouds produced by tropical convection are expected to shrink in area as climate warms, and the radiative feedback associated with this change has long been the subject of controversy. In a recent assessment of climate sensitivity, the World Climate Research Programme (WCRP) estimated that the feedback is significantly negative, albeit with substantial uncertainty. Here we show that such a negative feedback is not supported by an ensemble of high-resolution atmospheric models. Rather, the models suggest that changes in cloud area and opacity act as a modest positive feedback. The positive opacity component arises from the disproportionate reduction in the area of thick, climate-cooling clouds relative to thin, climate-warming clouds. This suggests that thick cloud area is tightly coupled to the rate of convective overturning-which is expected to slow with warming-whereas thin cloud area is influenced by other, less-certain processes. The cloud response is examined from a novel perspective that treats high clouds as part of an optical continuum rather than entities with fixed opacity. The positive feedback differs significantly from previous estimates and leads to a 0.3 \* C increase in climate sensitivity relative to a previous community assessment.

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005	Anvil cloud thinning implies greater climate
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023	response is examined from a novel perspective that treats high clouds as part of an optical continuum rather than entities with fixed opac-
024	ity. The positive feedback differs significantly from previous estimates and leads to a $+0.3$ °C shift in the median estimate of equilib-
025	rium climate sensitivity relative to a previous community assessment.
026	${\bf Keywords:}$ cloud feedback, climate sensitivity, tropical convection
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### 2 Anvil cloud thinning implies greater climate sensitivity

029 Anvil clouds produced by deep convection are widespread in the Tropics and 030 are a leading source of uncertainty in the recent assessment of climate sensitivity by the WCRP [1]. Thermodynamic arguments predict that anvil cloud 031 032 area decreases as the surface warms [2, 3], but this could produce a positive, 033 negative, or neutral radiative feedback, since, unlike other cloud types, anvils 034can have both a positive or negative cloud radiative effect (CRE) at different 035 stages of their life cycle [4, 5]. Deep convective towers and fresh, thick anvils 036 have a high albedo and a strong, negative CRE, while thinner, aged anvils exert a modest, positive CRE [6]. Previous estimates of the anvil area feedback are 037 038 altogether inconclusive; nevertheless, the maximum likelihood value assessed by the WCRP was substantially negative  $(-0.2 \text{ W/m}^2/\text{K}, \text{ with a Gaussian})$ 039standard deviation of  $0.2 \text{ W/m}^2/\text{K}$ ). Here, we will show that such a negative 040 041 feedback is not supported by an ensemble of state-of-the-art, cloud-resolving 042models (CRMs). To the contrary, the models predict that reductions in high 043cloud area come mostly from thick, reflective anvil clouds that cool the cli-044 mate. The clouds left behind are optically thinner on average and have a more 045positive climatological CRE.

046 Previous work examining the relationship between surface temperature  $(T_s)$  and convective cloud area generally supports a reduction in cloud area 047 with warming, albeit with regional and methodological sensitivities [7-17]. 048 049Estimates of the associated radiative feedback, however, range from significantly negative [11, 14, 18] to nearly neutral [7] or slightly positive [17, 19–22]. 050 051This continued uncertainty may arise, in part, from the use of various cloud 052classifications (e.g., cirrus, high cloud, anvil, stratiform, etc.) based on arbi-053trary thresholds that vary from study to study. In reality, tropical convection 054generates a continuum of ice clouds, with thick cumulonimbi on one end and 055thin cirrus on the other. This continuum perspective is valuable because it

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reflects real physical processes—the production, gradual thinning, and eventual dissipation of ice clouds—and provides an intuitive way of understanding
the role of convectively generated clouds in tropical climate.

Here, we examine the ice cloud continuum using ice water path (IWP) as a coordinate. IWP—the total mass of condensed ice in the atmospheric column—can be estimated from satellite observations, is easily calculated from model output, and is closely linked to CRE and cloud optical depth ( $\tau$ ; Fig. S1). Changes in the frequency distribution of IWP are therefore informative for understanding the impact of ice clouds on the top-of-atmosphere radiative balance.

067 We apply the continuum perspective to an ensemble of cloud-resolving 068 models (CRMs) in which deep convection and anvil evolution are explicitly simulated. As part of the Radiative-Convective Equilibrium Model Intercom-069 070 parison Project (RCEMIP) [23], these models were run on a limited-area, oceanic domain large enough to permit large-scale convective organization 071(Methods). Simulations were conducted for three fixed, uniform  $T_s$  values (295, 072 300, and 305 K). We will show that the ice cloud response to warming is char-073 074acterized by two regimes: a robust reduction in thick ice cloud area that is 075 consistent with existing thermodynamic arguments, and a small but uncertain 076 change in thin ice cloud area. Such changes produce an overall thinning of the 077 cloud population and a positive opacity feedback, implying a +0.3 °C shift in 078 the WCRP estimate of equilibrium climate sensitivity.

- 079
- 080 **Results**
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# Convective clouds as a continuum of ice

<sup>083</sup> The continuum of tropical ice clouds can be represented by a discrete frequency <sup>084</sup> distribution of IWP [24, 25]. We denote this distribution as f(IWP), which

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085can be interpreted as the IWP-resolved cloud fraction. Similarly, we denote 086 the mean CRE of convectively generated ice clouds as CRE(IWP) (Methods). 087 Satellite-derived estimates of f from the tropical West Pacific, along with 088 model-estimated CRE, provide an intuitive understanding of convective cloud evolution (Fig. 1). At high IWP (>  $10^3 \text{ g/m}^{-2}$ ), deep convective cores have a 089 090 large, negative CRE but cover a small area. As IWP decreases, f and CRE091 both increase rapidly, which reflects the thinning and spreading of detrained anvils. Maximum f occurs around 15-35 g/m<sup>2</sup> ( $\tau \sim 1-2$ ; Supplementary Fig. 1), 092 093 which approximately coincides with the maximum CRE; the most abundant anvil clouds are therefore those with the strongest warming effect. These clouds 094 095 counteract the cooling effect of thicker clouds, leading to a climatological CRE 096 near zero in tropical convective regions [26, 27].



Fig. 1 The tropical ice cloud continuum. (a) f(IWP) derived from satellite observa-<br/>tions of the tropical West Pacific (150-180°E, 15°S-15°N) for 2009. Three satellite retrievals<br/>and their mean are shown (Methods). (b) Multimodel mean CRE(IWP) for the CRM sim-<br/>ulations with  $T_s$ =300 K. Low cloud effects are treated as described in Methods.

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113The CRM ensemble produces a wide variety of IWP distributions with 114 varying degrees of similarity to the satellite-derived f (Fig. 2). Several aspects 115of the observed distribution are well reproduced by the models: the maximum IWP of  $2-4 \times 10^4$  g/m<sup>2</sup>, the inflection point around  $10^3$  g/m<sup>2</sup>, and the rapid 116117 increase in f as IWP decreases from there. Most of the models are therefore 118 capturing the basic thinning and spreading of anvil clouds after detrainment. 119Their performance is more mixed when it comes to the observed maximum at 15-35  $g/m^2$ , with about half producing a relative maximum or plateau within 120121the observed range. That half of the models place the peak within the narrow 122IWP range constrained by observations suggests that the physical processes



138 Fig. 2 Model representations of the ice cloud continuum. Panels show the IWP distributions f(IWP) for each model and each  $T_s$ . Dashed grey lines show the mean of the three satellite-derived estimates of f, scaled arbitrarily by a factor of 0.75 to aid comparison of distribution shapes. Vertical, dotted grey lines mark the cutoff between thick and thin clouds.

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141 responsible for the maximum can be captured even in idealized representations142 of the tropical atmosphere.

143In the deep Tropics, the ice cloud continuum is dominated by clouds with 144tops near the level of deep convective detrainment [28]. Mid-level ice clouds are very rare in the observations and model simulations considered here (Sup-145plementary Figs. 2-3), so we are confident that f reflects a continuum of high 146147 clouds consisting of deep convective towers, their attached anvils, and thin cir-148 rus of convective or in-situ origin (Supplementary Fig. 4). Based on Fig. 1, 149the continuum can be divided into two categories with physical relevance for 150cloud-climate interactions: clouds with CRE < 0 and those with CRE > 0. We refer to these as thick and thin clouds, respectively, and separate them by 151152an IWP threshold corresponding to the change in sign of the multimodel mean CRE. The area fractions covered by thick and thin clouds are then 153

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155 
$$f_{\text{thick}} = \sum_{200 \text{ g/m}^2}^{\infty} f$$

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$$f_{\rm thin} = \sum_{1 \, g/m^2}^{200}$$

and the total ice cloud fraction is  $f_{ice} = f_{thick} + f_{thin}$ . Clouds with IWP<1 g/m<sup>2</sup> have a small CRE and are excluded from our analysis, which does not affect our results (Supplementary Discussion 1).

162 The domain-averaged CRE of ice clouds, denoted here as  $C_{ice}$ , can be sim-163 ilarly decomposed into thick- and thin-cloud contributions,  $C_{\text{thick}}$  and  $C_{\text{thin}}$ , 164 respectively. We first define the area-weighted CRE as

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- $C(\text{IWP}) = f \cdot CRE \tag{1}$

f

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169 which represents the CRE of a particular IWP bin averaged over the entire 170 domain. Then, as with  $f, C_{ice}, C_{thick}$ , and  $C_{thin}$  are found by summing C over 171 the relevant IWP intervals (Methods; Supplementary Fig. 5).

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## 173 Ice cloud thinning in response to warming

174The response of f to surface warming varies substantially across the ensemble 175(Fig. 2a, Supplementary Figs. 6-7). To identify robust aspects of the response, 176we compute the multimodel mean fractional change in f between 295–305 K 177(Fig. 3a). This shows that f increases with warming at the largest IWPs, 178reflecting an increase in the ice content of the strongest convective updrafts. 179Otherwise, we find that thick clouds consistently contract across the entire 180ensemble, with a mean change in  $f_{\rm thick}$  of -2 %/K. This change, reflective 181 of a decrease in the area occupied by deep convective cores and fresh anvils, 182is in line with the anticipated weakening of the mean convective mass flux 183[29-31]. In theory, this weakening could manifest as a decrease in the con-184vective area fraction, a decrease in the vertical velocity within convection, or 185some combination thereof. Since convective storms are expected to be *more* 186vigorous with warming [32, 33], it seems likely that convective area fraction 187decreases. This could arise from a reduction in the number of convective events 188or a decrease in their typical width, but the present analysis does not discern 189between these two mechanisms. Regardless, the reduction in  $f_{\rm thick}$  seen here 190suggests that changes in convective area fraction affect not only deep convec-191tive cores, but also fresh, thick anvil clouds, which are typically attached to 192convective cores and undergo relatively rapid thinning after their formation 193[34, 35]. The impressive agreement between the CRMs (Fig. 3b, Supplementary 194Fig. 6c) suggests that this response is rooted in fundamental physics shared 195by all of the models.

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Fig. 3 The ice cloud response to warming and its radiative effects. (a) fractional change in f(IWP), CRE(IWP) for  $T_s = 295$  K, and  $\Delta_f C$ , the change in domain-averaged CRE due to changes in f alone. Lines show multimodel means and shading shows 25-75th percentiles. (b) Fractional change in  $f_{ice}$  and its decomposition into thick- and thin-cloud components. (c) the combined area and opacity feedback  $\Delta_f C_{ice}$ , its thick- and thin-cloud components, and its area and opacity components. All changes are evaluated between 295 and 305 K and normalized by  $\Delta T_s$ . For box plots, boxes show Q1-Q3 and outliers differ from Q1 or Q3 by at least 1.5xIQR. Dashes show medians, red triangles show means, and dots show individual models.

In contrast to the reduction in  $f_{\text{thick}}$ , there is no model consensus on 211changes in thin cloud area. The ensemble is evenly split on the sign of  $\Delta f_{\text{thin}}$ , 212resulting in a small ensemble mean response despite wide intermodel spread 213(Fig. 3b). The mismatch between changes in  $f_{\text{thick}}$  and  $f_{\text{thin}}$  suggests that 214the thin cloud response is not as tightly constrained by changes in the con-215vective mass flux. This is in line with our current understanding that the 216spreading, thinning, and maintenance of aged anvils are driven by various 217microphysical and radiative processes that are not directly related to the total 218convective mass flux [4, 5, 36–38]. Intermodel differences in the representation 219of these processes (particularly microphysics) almost certainly impact the sim-220ulated thin cloud response. With these insights into the anvil life cycle, it is 221perhaps unsurprising that  $\Delta f_{\text{thin}}$  is poorly constrained compared to  $\Delta f_{\text{thick}}$ . 222Since thin clouds are much more abundant than thick ones (Supplementary 223Table 1), changes in  $f_{ice}$  largely reflect those in  $f_{thin}$  (Supplementary Table 2). 224

Intermodel spread in  $\Delta f_{ice}$  is best explained by  $\Delta f$  at ~20 g/m<sup>2</sup> ( $r^2=0.92$ ; Supplementary Fig. 8), which closely corresponds to the most abundant IWP in observations and some models.

With a robust reduction in  $f_{\text{thick}}$  and a small mean change in  $f_{\text{thin}}$ , the ensemble suggests that the ice cloud population becomes thinner in response to surface warming. The ratio of thin to thick clouds increases in all but one of the models (Supplementary Fig. 7), demonstrating that this thinning can occur regardless of whether  $f_{\text{ice}}$  increases, decreases, or stays the same. The thinning is qualitatively consistent with recent observational and model-based analyses [8, 16, 21, 39, 40].

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## 236 A positive opacity feedback

237 We now seek to understand how changes in the ice cloud continuum affect 238  $C_{ice}$ , the domain-averaged CRE of ice clouds. The change in C due solely to 239 changes in f is expressed as

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$$\Delta_f C(\text{IWP}) = CRE \cdot \Delta f \tag{2}$$

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where  $\Delta_f$  denotes the change due to f alone, normalized by  $\Delta T_s$ , and CRE is evaluated at the initial  $T_s$ . As before,  $\Delta_f C_{\text{thick}}$ ,  $\Delta_f C_{\text{thin}}$ , and  $\Delta_f C_{\text{ice}}$  are found by summing  $\Delta_f C$  over the respective IWP intervals.  $\Delta_f C_{\text{ice}}$  can be interpreted as a combined area and opacity feedback, although it neglects the part of the opacity feedback related to changes in cloud microphysics (Methods).

We assess  $\Delta_f C$  and  $\Delta_f C_{ice}$  separately for each model between 295–305 K. All but three produce positive  $\Delta_f C_{ice}$  (Fig. 3c), demonstrating that cloud thinning can lead to an increase in climatological CRE regardless of whether  $f_{ice}$  increases or decreases. The ensemble mean  $\Delta_f C_{ice}$  is +0.09 W/m<sup>2</sup>/K;

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253 nearly all of this increase comes from thick cloud changes, while the mean 254 thin-cloud contribution is again very small but with considerably more spread 255 (Fig. 3, Supplementary Fig. 6d). Intermodel spread in  $\Delta_f C_{ice}$  is well explained 256 by its thin-cloud component (r<sup>2</sup>=0.95) and best predicted by  $\Delta_f C$  at 40-70 257 g/m<sup>2</sup> (r<sup>2</sup>=0.97; Supplementary Fig. 8).

258 $\Delta_f C_{\rm ice}$  can be decomposed into two parts analogous to conventional cloud 259area and opacity feedbacks (Methods). The area component assumes a uniform 260fractional change in f and no change in  $\overline{CRE}$ , the conditionally averaged CRE 261of ice clouds. In most of the models, the area component is very small (Fig. 2623c), either because  $\Delta f_{ice}$  is small or because the ice cloud population is about 263radiatively neutral to begin with. This is in line with previous arguments 264suggesting that the radiative neutrality of convective clouds constrains the 265area feedback to be small [41].

266The opacity component of  $\Delta_f C_{ice}$  accounts for changes in  $\overline{CRE}$  brought 267about by nonuniform changes in f, such as the thinning of the cloud population 268described above. Unlike the area component, the opacity component is gener-269ally positive across the ensemble (Fig. 3c), reflecting a mean increase in  $\overline{CRE}$ 270due to cloud thinning. The multimodel-mean opacity component accounts for 271nearly all of the magnitude of  $\Delta_f C_{ice}$ , suggesting that when it comes to anvil 272radiative feedbacks, shifts in opacity are more important than changes in total 273area. The CRMs show impressive agreement in this regard, as does at least 274one general circulation model with parameterized convection [21]. Again, inter-275model spread in the area and opacity components is well explained by the 276spread in  $\Delta f_{\text{thin}}$  (Supplementary Fig. 9).

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### 281 Implications for climate sensitivity

282The positive anvil area and opacity feedback predicted by the CRM ensemble 283represents a significant departure from the WCRP estimate (Supplementary 284Discussion 2) and suggests that clouds act to enhance global warming more 285than is assumed in the WCRP assessment of equilibrium climate sensitivity 286(ECS). To update that assessment, we replace the previous feedback estimate 287with our RCEMIP-informed value and generate a new probability density func-288tion (PDF) of ECS. We calculate the RCEMIP-informed value by converting 289the multimodel mean  $\Delta_f C_{ice}$  to a global mean feedback (Methods). This gives 290a feedback estimate of N(0.03, 0.06) W/m<sup>2</sup>/K, where, following the WCRP 291convention [1], N(x, y) is a Gaussian with mean x and standard deviation y, 292which we set equal to the feedback standard deviation across the RCEMIP 293ensemble. While the RCEMIP-informed feedback is small in magnitude com-294pared to other cloud feedbacks, it is a large change from the previous estimate 295and corresponds to a 51% increase in the WCRP-assessed total cloud feedback.

296Updating the feedback results in a broad +0.3 °C shift in the ECS PDF 297(Fig. 4). The central estimate (median) increases from 3.1 to 3.4 °C, and the 29866% likely range from 2.6-3.9 to 2.8-4.2 °C (Supplementary Table 3). The 299 $\sim 10\%$  widening of the likely range is counterintuitive given the reduction in 300 anvil feedback uncertainty relative to the WCRP assessment. The reduction 301in uncertainty is outweighed by the increase in the central estimate of the 302 feedback, which acts to broaden the PDF due to the nonlinear relationship 303between ECS and feedback strength [42]. The likelihoods of extreme ECS 304values are most dramatically affected by the feedback update: the probability 305 of ECS>6 °C doubles, while that of ECS<2 °C is reduced by 74%. Sensitivity 306tests (Methods) show that the shift in the PDF results from the increase in 307

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Fig. 4 Updating the probability density function of ECS. Grey: WCRP baseline estimate from [1], which uses an anvil area feedback of N(-0.20, 0.20) W/m<sup>2</sup>/K, where N(x, y) is a Gaussian with mean x and standard deviation y. Pink: updated calculation using the RCEMIP-informed value of N(0.03, 0.06) W/m<sup>2</sup>/K. Above, the thin horizontal lines and boxes show 90% and 66% confidence intervals, respectively, and white dashes show the central estimate (median).

the central estimate of the feedback and is quite insensitive to the feedback
uncertainty (Supplementary Fig. 10, Supplementary Table 3).

323 Extrapolating from RCEMIP to a global mean feedback comes with the 324 caveat that certain atmospheric changes cannot be captured in such ideal-325 ized simulation setups. For example, our feedback estimate cannot account for 326warming-induced changes in planetary-scale circulation or dynamical modes of 327 variability, which could affect patterns of convection and cloudiness. However, 328the RCEMIP CRMs produce a wide range of changes in large-scale convec-329tive organization in response to warming [43]; these changes freely affect cloud 330 properties and are thus implicitly included in our analysis. We are therefore 331 confident that our estimate spans a wide range of possible changes in large-scale 332convective dynamics. Furthermore, we have already shown that the CRMs cap-333 ture the expected reduction in deep convective area in response to warming; 334this, along with previous work showing that the ensemble-predicted changes in 335

cloud altitude and temperature are consistent with observational and theoretical expectations [43, 44], adds confidence that the most fundamental aspects
of the convective response are well represented by the CRMs.

340

# 341 Discussion

342 A main takeaway of this work is that changes in tropical ice cloud opacity 343 are a critical part of the cloud response to warming. The possibility of a high 344cloud opacity feedback has been noted before [18, 21, 45] but has received 345comparatively little attention in broader discussions of cloud feedback and 346 ECS. Previous assessments have often assumed fixed anvil opacity [11, 20], 347 perhaps due to the lack of *a priori* expectations for how changes in area would 348 be spread across the distribution of clouds observed in the present-day Tropics. 349By treating tropical ice clouds as a continuum, this work provides an initial 350characterization of that response. While our estimate of the combined area and 351opacity feedback is small, it constitutes a significant increase from the WCRP 352estimate [1] and implies a substantial shift in the PDF of ECS.

353The continuum framework has revealed that thick, climate-cooling and 354thin, climate-warming clouds are affected differently by changes in  $T_s$ . The 355robust decrease in thick cloud area mirrors expected changes in convective mass 356 flux, whereas the uncertain thin-cloud response appears to be influenced by 357 other factors. In particular, thin clouds with IWP between 20-70 g/m<sup>2</sup> ( $\tau \sim 1-3$ ) 358are the leading source of uncertainty in changes in ice cloud area and radiative 359effect. These clouds are known to be shaped by various radiative, dynamic, 360 and microphysical processes that may respond to warming in complex ways 361 [46]. Constraining these changes is a challenging undertaking that requires 362consideration of a wide range of physical scales, but such an endeavor may 363prove critical for understanding tropical climate change. 364

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381 Supplementary information. Supplementary Discussions 1-2, Figures 1-382 13, and Tables 1-3.

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## 600 Methods

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### Satellite Observations of IWP

603The three satellite retrievals shown in Fig. 1b are combined radar-lidar604retrievals that use measurements from the CALIOP lidar [47] and the605CloudSat radar [48]. Both instruments are part of the A-train satellite606constellation. The three retrievals are DARDAR-Cloud version 2.1.1 [49],607DARDAR-Cloud version 3.1 [50], and 2C-ICE R05 [51]. The two versions608of DARDAR-Cloud differ principally in their treatment of cloudy volumes609detected by the lidar only [50].

610

611

### Cloud-resolving model ensemble

612 We use output from the "RCE\_large" simulations of RCEMIP. The full 613 simulation protocol is described in [23]. Briefly, the simulations have a 614 domain size of  $\sim 6,000 \times 400$  km<sup>2</sup> with 3-km horizontal resolution. They 615 used three fixed, uniform sea surface temperatures (295, 300, and 305 K) 616 and were integrated for 100 days. We use the instantaneous 3D output

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617 (every 6 hours) from the last 25 days of each run. Instantaneous IWP
618 is computed by vertically integrating the total (precipitating and non619 precipitating) atmospheric ice content. We included precipitating ice to
620 be consistent with the satellite observations, which do not distinguish
621 between ice types.

622 Our analysis includes all of the RCEMIP CRMs for which the necessary, standardized output is publicly available, with the exception of UKMO-623 RA1-T-nocloud and UKMO-CASIM. UKMO-RA1-T-nocloud is the same 624 625 as UKMO-RA1-T apart from its deactivation of a subgrid cloud scheme. UKMO-CASIM is excluded because the unique vertical structure of con-626 627 vection in that model produces an IWP distribution that does not reflect 628 deep convective cloud climatology, but rather expansive, stratiform ice 629 clouds produced by convective detrainment near the freezing level. We also include the RCEMIP\_large-style simulations described in [52], which use 630 631the SAM model [53] with P3 microphysics [54] (referred to as SAM-P3).

632 633

### Calculation of *CRE*(IWP) and treatment of low clouds

For each column of model output, CRE is computed as the difference 634 between hourly mean all-sky and clear-sky radiative fluxes. We seek to 635 calculate CRE(IWP) such that it reflects the radiative effects of clouds 636 produced by deep convection while excluding the effects of unrelated liq-637 uid clouds below. To this end, we first compute the mean CRE of all 638 columns falling within each IWP bin (the "all-cloud" CRE) as well as 639 that of the columns with liquid water path below  $1 \text{ g/m}^2$  (the "ice-only" 640 CRE). Liquid clouds found in low-IWP columns are typically low clouds 641 at the top of the boundary layer, which are unrelated to the overly-642ing ice clouds but nevertheless have an impact on the top-of-atmosphere 643 CRE [55]. Therefore, to exclude their radiative effects from CRE(IWP), 644

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we set CRE(IWP) equal to the ice-only for  $IWP < 10^2 \text{ g/m}^2$ . On the 645 646 other hand, liquid found in high-IWP columns is typically part of same 647 deep convective cloud as the ice above; we seek to include these liquid effects and therefore set CRE(IWP) equal to the all-sky CRE for IWP 648  $> 10^3$  g/m<sup>2</sup>. Between 10<sup>2</sup> and 10<sup>3</sup> g/m<sup>2</sup>, we use a transition that is lin-649 ear with respect to  $\log_{10}$  IWP (Supplementary Fig. 11). These thresholds 650 were selected based on the multimodel mean liquid cloud fraction within 651652each IWP bin (Supplementary Fig. 12), which increases rapidly between inflection points at  $10^2$  and  $10^3$  g/m<sup>2</sup>, signaling a shift from low clouds 653654unrelated to the high clouds above to deep convective clouds occupying a large portion of the atmospheric column. Our results are not sensitive to 655656the details of this transition, and the multimodel mean CRE(IWP) for  $T_s{=}295~{\rm K}$  changes sign at  ${\sim}200~{\rm g/m^2}$  ( $\tau$   ${\sim}4{\text{-}5};$  Supplementary Fig. 1) , 657 658which is consistent with previous analyses [24, 56, 57].

659 660

### Definitions in the IWP framework

661 We have defined f(IWP) and CRE(IWP) as the IWP-resolved cloud frac-662 tion and CRE, respectively. We have also defined the area-weighted CRE 663 as  $C(IWP) = f(IWP) \cdot CRE(IWP)$ . For any parameter X(IWP), we 664 compute the thick and thin cloud contributions to the domain mean as

X

$$X_{ ext{thick}} = \sum_{200 \text{ g/m}^2}^{\infty}$$

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666

$$X_{\rm thin} = \sum_{1\,{\rm g/m}^2}^{200} X$$

670 and the total ice cloud contribution as  $X_{ice} = X_{thick} + X_{thin}$ . This notation 671 is applied to f(IWP) and C(IWP) throughout the paper, with  $f_{ice}$  and 672 673  $C_{\text{ice}}$  thus representing the domain-averaged ice cloud fraction and the 674 domain-averaged ice cloud radiative effect, respectively. The conditionally 675 averaged ice cloud CRE is defined as  $\overline{CRE} = C_{\text{ice}}/f_{\text{ice}}$ .

676

# 677 Analytical expressions for cloud feedback in the IWP 678 Framework

679The Cess-type cloud feedback is defined as the change in domain-averaged680CRE normalized by  $\Delta T_s$  [58]. It differs slightly from the formal cloud681feedback parameter computed by partial radiative perturbation [59]. In682traditional feedback analysis, the total cloud feedback is often decomposed683into cloud altitude, area, and opacity components. Resolved across the684IWP continuum, the total Cess-type, ice cloud feedback is expressed as

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- 686

$$\Delta C(\text{IWP}) = CRE \cdot \Delta f + f \cdot \Delta CRE + \Delta f \cdot \Delta CRE \tag{3}$$

687

688 where all variables are functions of IWP and all  $\Delta$  terms are assumed to be 689 normalized by  $\Delta T_s$ . The final term on the right-hand side is a small non-690 linear term that we neglect here. The second term on the right-hand side 691 accounts for changes in CRE(IWP), which may occur due to changes in 692clear-sky fluxes or cloud temperature, altitude, and microphysical struc-693 ture. This term encompasses so-called cloud masking effects [59], the entire 694 ice cloud altitude feedback, as well as the microphysical part of the opac-695 ity feedback, which manifests as a change in the optical depth associated 696 with a particular IWP. While this term is significant (Supplementary Fig. 697 13), it is not our focus here.

698 The first term on the right-hand side of Eq. 2, which we define as  $\Delta_f C$ , 699 is the part of  $\Delta C$  attributable to changes in the frequency of a particular

### 26 Anvil cloud thinning implies greater climate sensitivity

701 IWP.  $\Delta_f C_{ice}$ , equal to the sum of  $\Delta_f C$  across all IWP > 1 g/m<sup>2</sup>, is thus 702 the change in the domain-averaged CRE of ice clouds due to changes 703 in f alone.  $\Delta_f C_{ice}$  encompasses the entire ice cloud area feedback and 704 the remaining part of the ice cloud opacity feedback, since nonuniform 705 changes in f can drive changes in mean ice cloud opacity. To formally 706 separate the area and opacity components, we first define the fractional 707 change in f(IWP) as

708

$$g(\text{IWP}) = \frac{\Delta f}{f} \tag{4}$$

709 which can be decomposed as

- 710
- 711 712

 $g(\text{IWP}) = G + g'(\text{IWP}) \tag{5}$ 

713 where  $G = \Delta f_{ice}/f_{ice}$  is the fractional change in total ice cloud fraction 714 and g' is the deviation from G at a particular IWP. Combining equations 715 (4) and (5) yields

which when substituted into equation (2) yields

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 $\Delta f = f \cdot (G + g') \tag{6}$ 

(7)

- 718 719

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721 722

> where we have employed equation (1).  $\Delta_f C_{ice}$  is then found by summing over all IWP > 1 g/m<sup>2</sup>:

 $\Delta_f C = C(G + q')$ 

724 725

$$\Delta_f C_{\rm ice} = G \sum_{1\,{\rm g/m}^2}^{\infty} C + \sum_{1\,{\rm g/m}^2}^{\infty} g'C \tag{8}$$

- 726 727
- 728

which, using the definitions of  $C_{ice}$  and G, simplifies to

#### Anvil cloud thinning implies greater climate sensitivity 27

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756

$$\Delta_f C_{\rm ice} = \Delta f_{\rm ice} \cdot \overline{CRE} + \sum_{1 \,{\rm g/m}^2}^{\infty} g'C \tag{9}$$

733 The first term on the right-hand side is the area component of  $\Delta_f C_{ice}$ , 734which is attributable to changes in total ice cloud fraction assuming fixed 735  $\overline{CRE}$  (i.e., a uniform fractional change in f across all IWP). The second 736 term is the opacity component, which accounts for deviations from a uni-737 form fractional change, which causes bulk thinning or thickening of the 738 ice cloud population and may affect  $\overline{CRE}$ . The opacity component does 739 not account for the microphysically driven opacity changes included in 740the second term of Eq. 3.

741Recently, [17] developed a simplified expression for the anvil cloud area 742feedback (their Equation 9, which they refer to as the Iris feedback). 743 Unlike the Cess-type feedbacks discussed above, their expression aligns 744with traditional feedback formalism. Discretizing their expression shows 745that it is the same as the cloud area component of equation (9), with the 746addition of a cloud overlap term. Therefore,  $\Delta_f C_{ice}$  can be interpreted 747 as the sum of the ice cloud area feedback and the part of the opacity 748feedback related to changes in f. With regard to our treatment of cloud 749overlap here, the formulation of CRE(IWP) described above must be 750kept in mind. Whether or not the radiative effects of cloud liquid are 751included in  $\Delta_f C$  depends on IWP. At high IWPs corresponding to deep 752convective cores and very thick anvil clouds, we have assumed that any 753 liquid present in the column belongs to the same cloud system as the ice, 754and the all-sky CRE is thus used to evaluate  $\Delta_f C$ . On the other hand, 755at low IWPs,  $\Delta_f C$  is evaluated using the ice-only CRE. This means, for

### 28 Anvil cloud thinning implies greater climate sensitivity

757 example, that the ice-free area exposed by a reduction in f is partially 758 occupied by low clouds exerting a negative radiative effect. The low-cloud 759 CRE in the newly exposed regions is assumed to be equal to the difference between the all-sky and ice-only CREs. This is likely an underestimate, 760 761since the CREs of overlapping low and high clouds are not simply additive 762 in reality. However, the impact of this bias on  $\Delta_f C_{ice}$  is small due to 763 compensating effects of models with increasing and decreasing thin cloud 764area. To account for this potential uncertainty, our analysis of equilibrium 765climate sensitivity (ECS) includes sensitivity tests, described below.

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# Converting $\Delta_f C_{\text{ice}}$ to a global mean feedback and estimating ECS

769 The ensemble mean  $\Delta_f C_{ice}$  represents the anvil cloud area and opacity 770 feedback, which we take to be valid over Earth's tropical oceans. To con-771vert this to a global mean feedback, we multiply by the fractional area of 772 the tropical oceans (37%) and assume that the Tropics warm by 0.9 °C 773for every degree of global mean warming [60]. This results in the reported 774feedback value of N(0.03, 0.06) W/m<sup>2</sup>/K, where the Gaussian standard 775deviation is set equal to the standard deviation of the feedback across 776 the RCEMIP ensemble. We then generated updated probability density 777function of ECS using the Bayesian inference code from [1] with all three 778lines of evidence used in their original analysis (historical, process-based, 779 and paleoclimatological).

We conduct sensitivity tests to account for additional sources of uncertainty that may not be captured by the standard deviation of the RCEMIP
ensemble. For example, changes in cloud microphysical structure could
contribute to the opacity feedback but are not included in our estimate

### Anvil cloud thinning implies greater climate sensitivity 29

785due to model output limitations. Overlap between high and low clouds, 786 some of which is accounted for in our estimate, is another possible source of model bias and feedback uncertainty. To assess the impact of greater 787 788 feedback uncertainty, we run the ECS calculations for additional feedback values of N(0.03, 0.16) and N(0.03, 0.20) W/m<sup>2</sup>/K. The value of 789 $0.16 \text{ W/m}^2/\text{K}$  is the maximum deviation of any individual model from 790 791 the multimodel mean and thus encompasses the full ensemble spread. The value of  $0.20 \text{ W/m}^2/\text{K}$  is the WCRP-assessed uncertainty from [1], 792 793 intended to serve as an upper bound. As shown in Supplementary Fig. 10 794and Supplementary Table 3, the resulting PDFs are very similar to that 795 for N(0.03, 0.06).

796 Data availability. The DARDAR-Cloud satellite products are avail-797 able at https://www.icare.univ-lille.fr/dardar/data-access/ and the 2C-798 ICE products at https://www.cloudsat.cira.colostate.edu/data-products/ 799 2c-ice. RCEMIP model output is publicly available at http://hdl.handle. 800 net/21.14101/d4beee8e-6996-453e-bbd1-ff53b6874c0e, and full output 801 from the SAM-P3 model runs is available from the correspond-802 ing author on request. The derived data needed to reproduce the 803 figures in this paper is available at https://github.com/adambsokol/ 804 Ice-cloud-feedbacks-in-RCEMIP.

Code availability. The code used for the climate sensitivity calculations is available from ref. 1 at https://zenodo.org/record/3945276#
.ZFvtAOzMJ8Z. Upon publication, the code needed to generate the figures in this paper will be added to the repository at https://github.
com/adambsokol/Ice-cloud-feedbacks-in-RCEMIP.

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