First-principles investigations of the polysomatism of antigorite under pressure

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Abstract

Antigorite is the high-temperature member of the serpentine group minerals and is broadly considered a primary carrier of water in subducting oceanic lithosphere at the fore-arc. It has a wavy crystal structure along the a-axis and several polysomes with different m-values (m=13-24) have been identified in nature. The number (m) is defined as the number of tetrahedra in one wavelength and is controlled by the misfit between the octahedral and tetrahedral layers. The degree of misfit mainly depends on the volumes of the MgO6 octehedra and SiO4 tetrahedra within the layers, which vary as a function of temperature and pressure. However, it is not well understood which m-values of antigorite are stable at different temperature and pressure conditions. To investigate the pressure dependence of the stability of different m-values in antigorite, we performed first-principles calculations for several polysomes (m=14-19) at high pressure (0-14 GPa) and compared their enthalpies (T: static 0 K). We found that although the energy differences between polysomes are small, polysomes with larger m-values are more stable at ambient pressure, while polysomes with smaller m-values are more stable at elevated pressures. This suggests that the structure of antigorite in oceanic lithosphere that has subducted into the deep Earth may gradually evolve into a different polysome structure than antigorite samples observed at ambient or near-pressure conditions. This structural change may be related to the formation of the lower plane of the double seismic zone, as changes in polysome m-values are accompanied by a minor dehydration reaction.



m:even: space group C2/m

m:odd: space group Pm

Å SiO₄ ₩ MgO₆

m=14 Mg ₃₉ Si ₂₈ O ₇₀ (OH) ₅₀ N _{atom} : 237	$m=15 Mg_{42}Si_{30}O_{75}(OH)_{54}$ N _{atom} : 255
$m = 16 Mg_{45}Si_{32}O_{80}(OH)_{58}$ N _{atom} : 273	$m=17 Mg_{48}Si_{34}O_{85}(OH)_{62} N_{atom}:291$
$m=18 Mg_{51}Si_{36}O_{90}(OH)_{66}$ $N_{atom}: 309$	$m=19 Mg_{54}Si_{38}O_{95}(OH)_{70} N_{atom}:327$







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6	Key Points:
7	• Antigorite crystal structures with various $(m = 14-19)$ were determined by first-
8	principles calculation under pressure.
9	• The relative enthalpy shows that antigorite with smaller m -values are stabilized
10	with increased pressure.
11	• Antigorite in suducting slabs may gradually dehydrate under high pressure as a
12	result of changes to stable m -values.

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13 Abstract

Antigorite is the high-temperature member of the serpentine group minerals and is broadly 14 considered a primary carrier of water in subducting oceanic lithosphere at the fore-arc. 15 It has a wavy crystal structure along the *a*-axis and several polysomes with different *m*-16 values (m=13-24) have been identified in nature. The number (m) is defined as the num-17 ber of tetrahedra in one wavelength and is controlled by the misfit between the octahe-18 dral and tetrahedral layers. The degree of misfit mainly depends on the volumes of the 19 MgO_6 octehedra and SiO₄ tetrahedra within the layers, which vary as a function of tem-20 perature and pressure. However, it is not well understood which *m*-values of antigorite 21 are stable at different temperature and pressure conditions. To investigate the pressure 22 dependence of the stability of different *m*-values in antigorite, we performed first-principles 23 calculations for several polysomes (m=14-19) at high pressure (0-14 GPa) and compared 24 their enthalpies (T: static 0 K). We found that although the energy differences between 25 polysomes are small, polysomes with larger m-values are more stable at ambient pres-26 sure, while polysomes with smaller m-values are more stable at elevated pressures. This 27 suggests that the structure of antigorite in oceanic lithosphere that has subducted into 28 the deep Earth may gradually evolve into a different polysome structure than antigorite 29 samples observed at ambient or near-pressure conditions. This structural change may 30 be related to the formation of the lower plane of the double seismic zone, as changes in 31 polysome m-values are accompanied by a minor dehydration reaction. 32

³³ Plain Language Summary

Antigorite a hydrous mineral that is believe to be an important carrier for trans-34 porting water into the Earth's interior. However, its crystal structure is very complex, 35 and is not well understood which structural variant is stable at the high temperature and 36 pressure conditions of the Earth's interior. In this study, several crystal structures of antig-37 orite were calculated by first-principles calculations, and their enthalpies were compared 38 to determine the stable structure as a function of pressure. The results indicate that the 39 structure and chemical composition of antigorite may change under high pressure, re-40 sulting in the gradual release of water. This behavior may explain the cause of deep fo-41 cus earthquakes reported in the deep Earth. 42

43 1 Introduction

Serpentinite is a hydrous, ultramafic metamorphic rock composed of one or more 44 45 of the serpentine subgroup minerals (antigorite, lizardite, chrysotile), and broadly considered the primary carrier of water in subducting oceanic lithosphere at forearcs. These 46 serpentine subgroup minerals share the nominal formula $D_3[Si_2O_5](OH)_4$, in which D 47 = Mg with minor substitution of Fe, Ni, Mn, Al, or Zn. These minerals are both com-48 mon and hydrous, as they form by the hydrothermal metamorphism of mafic or ultra-49 mafic rocks in the oceanic lithosphere and mantle wedge through the process of serpen-50 tinization (Guillot & Hattori, 2013). Serpentinization also functions as a lubricant for 51 subducting slabs, promoting aseismic slip due to the rheological weakness of serpenti-52 nite (Hilairet et al., 2007; Hirth & Guillot, 2007). Additionally, serpentinite is the pri-53 mary carrier of water in subducting oceanic lithosphere and can transport water in a sub-54 ducting cold plate to a depth of ~200 km (e.g. Schmidt & Poli, 1998; Iwamori, 1998). 55 Moreover, water released by the decomposition of serpentine minerals triggers magma 56 generation in the mantle wedge above the subducting plate and is linked to island arc 57 magmatism (Green, 2013; Tatsumi, 1986). 58

The stability and dehydration of serpentine minerals has also been extensively investigated in connection to intermediate and deep-focus earthquakes (e.g. (Peacock, 1990; Irifune et al., 1996; Omori et al., 2004; Komabayashi et al., 2005)). In particular, serpentine decomposition is thought to be closely related to the lower side of the Wadati-



Figure 1. The structural differences between antigorite (m=17) and lizardite (m= ∞). a^* corresponds to the averaged length of two SiO₄ tetrahedra along *a*-axis of antigorite.

Benioff zone (Nishiyama, 1992; Kirby et al., 1996; Yamasaki & Seno, 2003). However, 63 the pressure-temperature (P-T) conditions under which such deep-focus earthquakes 64 occur are not a perfect match for the phase boundaries of serpentine minerals as deter-65 mined by laboratory experiments. Thus far, this discrepancy has been explained by the 66 persistence of metastable serpentine in the subducting slab that eventually decomposes 67 at sufficiently high P-T conditions (Peacock, 1990). Since the formation and decompo-68 sition of serpentine minerals are intrinsically linked to a wide range of subduction zones 69 dynamics, it is important to determine the depth to which these hydrous phases are sta-70 ble, motivating experimental and theoretical studies that synthesize and/or evaluate ser-71 pentine minerals at variable pressure and temperature conditions. 72

All three serpentine minerals (lizardite, chrysotile, and antigorite) are phyllosili-73 cates, with a 1:1 layer structure comprised of distinct layers of trioctahedral $Mg(O,OH)_6$ 74 and tetrahedral SiO_4 polyhedra, which are more broadly described as MO_6 and TO_4 sheets, 75 respectively. However, even at ambient P-T conditions, there is a mismatch between 76 the distance between the apical oxygen in the tetrahedral sheet (9.18 \AA) and the oxygen-77 oxygen distance in the brucite-like octahedral sheet (9.44 Å) (Bailey, 1966). What dif-78 ferentiates the three serpentine minerals is how this $\sim 3\%$ discrepancy is resolved. In lizardite, 79 which contains planar sheets of tetrahedral TO_4 and octahedral MO_6 , the difference is 80 resolved via Al-substitution in the octahedral layer and very small grain sizes (Mellini, 81 1982). In both chrysotile and antigorite, curvature is key, as the convex side of the TO_4 82 sheet contains stretched oxygen-oxygen distances which can then match the concave (i.e., 83 compressed) side of an MO_6 sheet (Wicks & Whittaker, 1975). In chrysotile, the bend-84 ing of the sheets is continuous, resulting in nanotubes that give the mineral a fibrous habit, 85 whereas in antigorite the structure is alternating wavy or corrugated with layers inter-86 connected and reversing the polarity of tetrahedral sheet at nodes along the *a*-axis (Fig-87 ure 1) (Wicks & O'Hanley, 1988). 88

There are several structural variations of antigorite which are defined by the m-90 value that corresponds to the number of TO_4 tetrahedra within a single wavelength along 91 the *a*-axis. Typically, the tetrahedra form six-membered rings, as in most phyllosilicates. 92 However, the orientations of the tetrahedra are reversed at the nodes or points of inver-93 sion of the wavy structure where bending is most extreme, leading to structures referred 94 to as "8-reversals" and "6-reversals" (Capitani & Mellini, 2005). In 8-reversals, the tetra-95 hedra form the alternating 4- and 8-membered rings, where half of the convex of the tetra-96 hedra are facing up and the other half are facing down. In 6-reversals, the tetrahedra 97 form the 6-membered rings with four facing up and two facing down along the [010] di-98 rection. The 8-reversals and 6-reversals appear alternatively at the nodes of wavy struc-99 ture along the *a*-axis. According to Kunze, 1961, the chemical formula of antigorite is 100 described as $M_{3m-3}T_{2m}O_{5m}(OH)_{4m-6}$, where M is the octahedral site (M:Mg²⁺, Fe²⁺, 101 Al³⁺ etc.) and T is the tetrahedral sites (T: Si⁴⁺, Al³⁺, Fe³⁺ etc.). In natural samples, 102 m = 13 - 24 have been identified, corresponding to $C_{H2O} \simeq 12 - 12.5$ wt% (Mellini et 103 al., 1987). Due to the link between polysomatism and chemical composition, antigorite 104 is not a true polymorph of the other serpentine minerals, lizardite and chrysotile, due 105 to its slight departure from the ideal $D_3[Si_2O_5](OH)_4$ formula. 106

HRTEM studies have shown that antigorite with an m-value of 17 is the most abun-107 dant phase, and many studies have focused exclusively on this crystal polysome (Capitani 108 & Mellini, 2007). Indeed, most first-principles calculations have been performed using 109 the m = 17 antigorite polysome structure (Mookherjee & Capitani, 2011; Capitani & 110 Stixrude, 2012; Ghaderi et al., 2015; Demichelis et al., 2016; Balan et al., 2021). Despite 111 this assumption, TEM studies of hydrothermally synthesized antigorites at various tem-112 perature and pressure conditions show that the m-value changes as a function of pres-113 sure and temperature (Wunder et al., 2001; Shen et al., 2020). Smaller m-value means 114 an increase in the number of Si-O bonds bridging the layers per unit volume. This may 115 cause an increase in attractive interaction between layers and thus an increase in den-116 sity. Therefore, the phase diagram of antigorite which shows a decrease in m-value with 117 increasing temperature is somewhat counter-intuitive. However, to date there has not 118 been sufficient structural and thermodynamic corroboration regarding the stable polysome 119 of antigorite at the P-T conditions of a subducting oceanic plate. 120

Importantly, while lizardite and chrysotile are stable at low temperatures (Evans, 121 2004), antigorite only forms at elevated temperature $(>250^{\circ}C)$ and has the highest ther-122 modynamic stability of the serpentine subgroup, increasing its importance as a host of 123 hydrogen at increased depths. It has recently been suggested that polysomatism in the 124 antigorite may be related to the reason why the deep seismicity at the lower surface of 125 the Wadati-Benioff zone is not consistent with the phase boundary of the antigorite (Ferrant, 126 2019). As the temperature and pressure dependence of antigorite polysomatism, i.e., the 127 m-value, has not been solved, this study uses first-principles calculations to: (1) deter-128 mine structural models of antigorite with various m-values (m = 14-19), and (2) calcu-129 late the pressure dependence of their relative enthalpies. This method allows us to in-130 vestigate how the *m*-value, and therefore the chemical composition and dehydration, of 131 antigorite varies as a function of pressure. The clarification of this behavior will make 132 an important contribution to the understanding of the dehydration mechanism of ser-133 pentine in the deep Earth. 134

135 2 Methods

While density functional theory (DFT) (Hohenberg & Kohn, 1964; Kohn & Sham, 137 1965) is exact for the energy and density of the electronic ground state, in practice it is 138 necessary to employ approximations to describe the many-body effects involved in the 139 exchange-correlation functional. Possible approximations include a local density approx-140 imation (LDA) or a generalized gradient approximation (GGA). A hydrogen bond is an 141 electrostatic force induced between a weakly positive hydrogen atom due to the forma-

tion of a covalent bond with a highly electronegative atom and a surrounding electroneg-142 ative atom. Chemical interactions in phyllosilicates, including antigorites, are the result 143 of subtle competition between covalent, hydrogen bonding, and van der Waals interac-144 tions. Describing the complexity of these interactions continues to be a very challeng-145 ing task. The computational results for systems involving hydrogen bonding are sensi-146 tive to the choice of an appropriate exchange-correlation functional (e.g., Hamann, 1997). 147 as the local-density approximations (LDA) tend to overestimate hydrogen bonding and 148 generalized gradient approximations (GGA), while a significant improvement, do not ac-149 count for long-range electron correlation forces. In this study, our first-principles calcu-150 lations utilize a generalized gradient approximation with a Perdew-Burke-Ernzerhof func-151 tional (GGA-PBE) (Perdew et al., 1996), as it allows for direct comparison with previ-152 ous ab initio calculations of hydrous minerals, especially the lizardite study of Tsuchiya, 153 2013.154

The Troullier-Martins type norm-conserving pseudopotentials (Troullier & Mar-155 tins, 1991), which were extensively tested in previous calculations (e.g., Tsuchiya and 156 Tsuchiya 2008, 2009) were used for Mg, Si and H atoms. The ultrasoft pseudopotential 157 for oxygen atom was generated by the method of Vanderbilt (Vanderbilt, 1990). The elec-158 tronic wave function was expanded in plane waves using a kinetic energy cutoff of 50 Ry. 159 The crystal structures of antigorite with m = 16 (space group C2/m) and 17 (space group 160 Pm) have been reported (Capitani & Mellini, 2004, 2006) based on single crystal X-ray 161 diffraction experiments. In this study, we generated and relaxed antigorite polysome struc-162 tures (m=14-19), where the space groups of odd and even m were set to Pm and C2/m, 163 respectively (Fig. 2) (Capitani & Mellini, 2005). 164

165 The irreducible Brillouin zone of the antigorite primitive cell were sampled on $2 \times 2 \times 2$ (m = 14, 16, 18) and $1 \times 3 \times 3$ (m = 15, 17, 19) Monkhorst-Pack meshes. This sampling 166 is particularly important for the calculation of enthalpies of even and odd m polysomes 167 within comparable accuracy, as the primitive lattice vectors are totally different for the 168 crystal structures of odd and even values of m (Uehara & Shirozu, 1985). In addition 169 to the calculation of antigorites, we also calculated the enthalpies of lizardite, forsterite 170 (Mg_2SiO_4) , brucite $(Mg(OH)_2)$, phase A $(Mg_7Si_2O_8(OH)_6)$, clinoenstatite $(MgSiO_3)$, 171 stishovite (SiO_2) and ice VIII (H_2O) . The k-point samplings are summarized in Table 172 1. In order to estimate the dehydration pressure of antigorite, k-points samplings were 173 conducted at $3 \times 3 \times 2$ (phase A), $2 \times 2 \times 4$ (clinoenstatite), $6 \times 6 \times 6$ (ice-VIII) Monkhorst-174 Pack mesh. All structural parameters were fully relaxed at static 0 K using damped vari-175 able cell shape molecular dynamics using the quantum-espresso code (Giannozzi et al., 176 2009) until residual forces became less than 1.0×10^{-4} Ry/a.u. 177

In order to compare the enthalpies of antigorite with different m-values, the following chemical reactions were constructed with respect to lizardite:

$$Lizardite \leftrightarrow \frac{1}{m}Antigorite_m + \frac{3}{m}Brucite$$
 (1)

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$$Lizardite \leftrightarrow \frac{1}{m+3}Antigorite_m + \frac{6}{m+3}Forsterite + \frac{9}{m+3}IceVIII$$
 (2)

Here $Antigorite_m$ indicates the chemical formula of antigorite with modulation of m which is defined as $Mg_{3m-3}Si_{2m} O_{5m}(OH)_{4m-6}$. In this study, the enthalpy of ice VIII phase was used to investigate the dehydration reaction. This is because temperature effects are not considered in these calculations.

Since antigorite undergoes a phase transition to a dense hydrous magnesium silicate phase, phase A, under high pressure, the following chemical reaction was also calculated:

$$Lizardite \leftrightarrow \frac{1}{3}phaseA + \frac{2}{3}clinoenstatite + \frac{2}{3}stishovite + IceVIII$$
(3)

Phase	k point mesh	number of k points
Antigorite (m:even)	$2 \times 2 \times 2$	4
Antigorite (m:odd)	$1 \times 3 \times 3$	5
Lizardite	$6 \times 6 \times 6$	108
Forsterite	$4 \times 2 \times 4$	54
Brucite	$6 \times 6 \times 4$	72
Phase A	$5 \times 5 \times 5$	63
Clinoenstatite	$4 \times 4 \times 4$	32
Stishovite	$4 \times 4 \times 6$	9
Ice VIII	$6 \times 6 \times 6$	108

Table 1. The Monkhorst-Pack meshes and number of k points used in the calculation.



Figure 2. The model structures of antigorites ($m = 14 \sim 19$). The structures where m is even indicate the primitive cell of the space group C2/m.

¹⁹¹ 3 Results and Discussion

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3.1 Structure optimization

Antigorite_m has $m \operatorname{SiO}_4$ tetrahedra along the *a*-axis in the unit cell, while there are two SiO₄ tetrahedra along the *a*-axis in lizardite. Therefore, the *a*-axis of the antigorites were normalized to the length of two SiO₄ tetrahedra, the same as lizardite, and denoted as a^* (Figure 1). The pressure variation of the lattice constants of antigorites are shown in Figure 3 and Table 2.

Calculated results indicate that the a^* of antigorite is 3-5% shorter than that of 198 lizardite, and this difference is more pronounced for antigorites with smaller m (Figure 199 3A). This shrinkage is caused by pinning of the $m \operatorname{SiO}_4$ tetrahedra by the $m-1 \operatorname{MgO}_6$ 200 octahedra along the *a*-axis of antigorite. Without taking into account thermal expan-201 sion due to temperature effects, antigorites with smaller m-values are subject to more 202 polyhedral distortion. Natural antigorites with m = 13-24 have been reported, and those 203 with smaller *m*-values (m < 13) have not been found. This can be interpreted as the SiO₄ 204 polyhedral distortion leads to structural instability of antigorites with small *m*-value. 205

There is little dependence on the m-value with respect to the change in the b and 206 c-axes of antigorite. As for lizardite, stable and metastable structures have been reported 207 above approximately 8 GPa (Tsuchiya, 2013). No such metastable structures were found 208 in antigorite. At low pressure, the length of the c-axis of antigorite is slightly smaller than 209 that of lizardite, but above 8 GPa, the *c*-axis of the stable structure of lizardite is smaller. 210 The higher *c*-axis compressibility of lizardite relative to that of antigorite has also been 211 confirmed by experiments (Nestora et al., 2010; Hilairet et al., 2006). It was expected 212 that as the *m*-value of antigorite decreases, the number of Si-O bonds connecting the lay-213 ers per unit volume increases, causing an increase in density. However, contrary to this 214 expectation, we found that the *m*-value dependence of the density of antigorite is almost 215 negligible (Figure 3E, Table 2). 216

The high-pressure structure of m = 17 antigorite was reported based on the results 217 of a single-crystal X-ray diffraction experiment (Nestora et al., 2010). The present cal-218 culations are in good agreement with these experiments within the accuracy of first-principles 219 calculations based on GGA. GGA calculations typically result in volumes that are a few 220 percent higher than experimental volumes due to slightly underestimated binding en-221 ergies. The experiment of Nestola et al. (2010) reported softening of the antigorite lat-222 tice, especially the c-axis, and increase of the β angle above 6 GPa. However, while soft-223 ening was observed in the lizardite calculations, our antigorite calculations do not reveal 224 softening when we evaluate the compressibility of the *c*-axis. Additionally, our antigorite 225 calculations do not indicate an increase in the β -axis at pressures up to 14 GPa. 226

Overall, we find that within the antigorite lattice, the *b*-axis is less compressible 227 than the *a*-axis. The *a*-axis of antigorite is also more compressible the *a*-axis of lizardite. 228 These results are consistent with experiments and are tied to the wavy or corrugated struc-229 ture of antigorite along the a-axis. We also observed that the compressibility of the a-230 axis is slightly higher for smaller m-value polysomes of antigorite. Both antigorite and 231 lizardite contain hydrogen bonds along the *c*-axis which are weaker and therefore more 232 233 easily compressed than other bonds. The *c*-axis compressibility of lizardite is higher than that of antigorite because the layers of lizardite are bound together only by hydrogen 234 bonds, whereas antigorite also contains partial Si-O bonds between the layers. Somewhat 235 surprisingly, however, the difference becomes more pronounced at higher pressures. Pre-236 vious first-principles calculation of lizardite reported that the nature of hydrogen bonds 237 in lizardite changes above 10 GPa (Tsuchiya, 2013), which may be responsible for the 238 higher *c*-axis compressibility of lizardite, whereas the *c*-axis of antigorite is already com-239 pressed at low pressure and there is no commensurate shift in the nature of hydrogen 240 bonds observed in antigorite at the pressures of this study (0-14 GPa). 241



Figure 3. The full colored lines indicate the cell parameters (A~D), density ρ (E) and compressibilities (F) of antigorites (m = 14-19). The a^* corresponds to the two SiO₄ polyhedra along the *a* lengths of *Antigorite_m*. Black full and dashed lines correspond to the stable and metastable cell lengths of lizardite (Tsuchiya, 2013), respectively. The black-open and full-gray symbols are previous single-crystal X-ray diffraction experiments of antigorite and lizardite, respectively (Nestora et al., 2010; Hilairet et al., 2006).



Figure 4. Pressure dependence of the OH distances of antigorites (m=14-19). $\langle R_{OH} \rangle_8$ indicates the OH distance oriented to the bridging oxygen atoms between the SiO₄ eight-membered rings (OH bond with green color) at 8-reversals. $\langle R_{OH} \rangle_{int}$ indicates the OH distance in the SiO₄ tetrahedral layers (black bond), and $\langle R_{OH} \rangle_{ext}$ indicates the OH distance oriented toward the interlayer voids (gray bond).

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The pressure-dependence of the OH bond distances within the crystal structure of 243 antigorite is shown in Figure 4. While lizardite has only two types of the OH bonds, one 244 within the tetrahedral layers $R_{OH,int}$ and the other between the layers $R_{OH,ext}$, there 245 are three main groups of OH covalent bonds, reflecting the complexity of antigorite's crys-246 tal structure. Two groups are similar to lizardite; one group of the OH bonds within the 247 SiO_4 layer ($\langle R_{OH} \rangle_{int}$) and the other between layers ($\langle R_{OH} \rangle_{ext}$). The group unique to 248 antigorite is the OH bonding group that forms hydrogen bonds to the bridging oxygen 249 in the SiO₄ 4-membered rings existing at the 8-reversals ($\langle R_{OH} \rangle_8$). The OH bonds in 250 that group have noticeably longer OH distances than the other two groups, suggesting 251 that those hydrogen bonds are stronger. Due to the nature of the pseudopotentials used 252 in this study, our results of the OH distances are longer than those of previous first-principles 253 calculations conducted for m=17 antigorite, but the trend of OH distances at ambient 254 pressure, $\langle R_{OH} \rangle_8 > \langle R_{OH} \rangle_{ext} > \langle R_{OH} \rangle_{int}$ is consistent (Balan et al., 2021). Although 255

Pressure (GPa)	a (Å)	<i>b</i> (Å)	c (Å)	β (°)	$\rho ~({\rm g/cm^3})$
m = 14					
0	71.5529	9.3320	7.3727	91.267	2.4997
2	71.0224	9.2699	7.2252	91.059	2.5868
4	70.5033	9.2113	7.1277	90.867	2.6581
6	69.9822	9.1569	7.0546	90.728	2.7216
8	69.4775	9.1050	6.9948	90.589	2.7805
10	68.9803	9.0557	6.9435	90.445	2.8366
12	68.5050	9.0092	6.8962	90.309	2.8906
14	68.0333	8.9650	6.8521	90.169	2.9438
m = 15			0.00		
0	38 4575	9 3281	73755	91 228	$2\ 4994$
2	38.1727	9 2663	7.9275	91.008	2.1991 2 5866
4	37 0023	0.2000	7 1 2 0 3	90.824	2.6574
4	37 6989	0.1527	7.1233 7.0562	00.6024	2.0014 2.7205
0	97.0202 97.9506	9.1007	6.0067	90.095	2.7203 2.7701
0	37.3300 27.0075	9.1020	0.9907	90.000	2.1191
10	31.0913	9.0528	0.9440	90.427	2.8349
12	30.8422	9.0001	0.8908	90.284	2.8892
14	36.5926	8.9615	6.8509	90.137	2.9429
m = 16	00.0405	o oo - o		04.4 -	a 400 -
0	82.2465	9.3273	7.3770	91.171	2.4997
2	81.6855	9.2654	7.2284	90.971	2.5858
4	81.0803	9.2093	7.1298	90.823	2.6570
6	80.5381	9.1528	7.0564	90.688	2.7192
8	79.9587	9.1013	6.9972	90.584	2.7777
10	79.3946	9.0517	6.9456	90.462	2.8336
12	78.8472	9.0046	6.8976	90.313	2.8881
14	78.3113	8.9595	6.8525	90.206	2.9417
m = 17					
0	43.8113	9.3231	7.3821	91.146	2.4984
2	43.5058	9.2635	7.2300	90.931	2.5852
4	43.2026	9.2062	7.1310	90.776	2.6558
6	42.8897	9.1523	7.0576	90.673	2.7189
8	42.5893	9.1002	6.9983	90.558	2.7770
10	42.2995	9.0500	6.9476	90.445	2.8320
12	42.0140	9.0022	6.9000	90.304	2.8862
14	41.7239	8.9569	6.8553	90.183	2.9399
m = 18					
0	92.9955	9.3215	7.3833	91.096	2.4978
2	92.3451	9.2622	7.2309	90.895	2.5829
4	91.6948	9.2062	7.1317	90.756	2.6534
6	91.0616	9.1540	7.0572	90.643	2.7155
⁸	90.4388	9.0996	6.9989	90.557	2.7730
10	89 8244	9 0499	6 9477	90.428	28302
12	89 2063	9.0019	6 8983	90.301	2.8826
14	88 5949	8 9567	6 8522	90.238	2.0020 2.0307
m - 10	00.0040	0.5001	0.0022	50.200	2.0001
m = 15	40 1722	0.3106	7 3836	01 034	2 1081
0	49.1722	9.3190	7.0000	91.034	2.4904 2.5947
∠ 4	40.0313	9.2000	1.2012	90.849 00.700	2.0041 9.6546
4	40.0004	9.2037	7.1332	90.709	2.0040
0	48.1(84	9.1502	6.0000	90.594	2.(103
ð 10	41.8444	9.0985	0.9998	90.540	2.1139
10	47.5157	9.0483	0.9488	90.411	2.8292
12	47.1905	9.0001	6.9006	90.277	2.88439
14	46.8673	8.9541	6.8548	90.193	2.9382

Table 2. The calculated cell parameters and density (ρ) of antigorite (m = 14-19).

there is a slight variation in the values, this trend is similar for all polysome structures of m=14-19.

The relationship between the strength of the hydrogen bond and the OH stretch-258 ing vibration frequency has been investigated in a wide variety of materials, and it is gen-259 erally believed that the stronger the hydrogen bond, the lower the OH stretching frequency. 260 In the present study, some OH bonds in the 8-reversal are longer than other OH bonds, 261 and the hydrogen bonds in the 8-reversal are considered stronger. First-principles cal-262 culations of the lattice vibrations of antigorite (m=17) under ambient pressure have been 263 performed (Balan et al., 2021). The OH stretching vibration of antigorite has a broad asymmetric band of $3650-3750 \text{ cm}^{-1}$ at ambient pressure, and these OH bonds, which 265 are unique to antigorite, have one of the lowest frequencies among them around 3650 cm^{-1} . 266 This has been suggested to explain the weak and broad shoulder of the OH stretching 267 band observed $\sim 3615 \text{ cm}^{-1}$ measured in the IR absorption band (Mellini et al., 2002). 268

No study has yet published direct, experimental measurements of the OH distances 269 in antigorite under pressure. However, pressure variations in the OH stretching frequen-270 cies of lizardite, chrysotile, polygonal serpentine and antigorite have been reported us-271 ing Raman spectroscopy (Auzende et al., 2004). The OH stretching vibrations attributed 272 to $\langle R_{OH} \rangle_8$ have not yet been reported, but may explain the presence of experimentally 273 determined low vibrational OH bands observed in antigorite at $\sim 3600 \text{ cm}^{-1}$, and which 274 have either a negative pressure dependence or which are pressure independent. In pre-275 vious dehydration experiments on antigorite, it has been reported that dehydration pro-276 ceeds in two stages in the temperature range of 800-1000 K (Liu et al., 2019; Chollet et 277 al., 2011). It is not difficult to speculate that antigorite dehydration may go through mul-278 tiple stages with multiple bonding states as the ambient temperature structure contains 279 three groups of OH bonds with different bond strengths. 280

281

3.2 Relative enthalpies

Figure 5(A) shows the pressure dependence of relative enthalpies for reactions (1) 282 through (3). Reaction (1) is the change of lizardite to antigorite plus brucite. Since lizardite 283 can be regarded as antigorite with $m = \infty$, the larger the number of m of antigorite, 284 the closer the enthalpy is to that of lizardite, and vice versa. Our results show that the 285 enthalpy of the combination of antigorite (m = 19) and brucite is slightly lower than 286 that of lizardite (about $0.2 \sim 0.3$ kJ/mol) between 0-5 GPa, but this is within the mar-287 gin of error of the calculation and the phase stability cannot be evaluated based on such 288 a marginal enthalpy difference. Since lizardite is reported to be the low-temperature phase 289 of antigorite in nature, lizardite should essentially be the stable phase at static 0 K en-290 thalpy. Similar enthalpy results have already been reported by an earlier study (Ghaderi 291 et al., 2015, note that enthalpies in previous literature have been multiplied by m), with 292 antigorite (+ brucite) being stabilized at ambient pressure using GGA, and lizardite ap-293 pearing as the stable phase using LDA. 294

In the Mg₃Si₂O₅(OH)₄ composition, the stable phase changes from the combination of antigorite plus brucite to the combination of antigorite, forsterite plus H₂O at about 5 GPa. The present calculations were performed at static 0 K, so ice VIII phase was used instead of liquid water, but this would correspond to a reaction that dehydrates above room temperature. Furthermore, at 7.2 GPa, the hydrous phase A (+ enstatite + stishovite + H₂O), known as the high-pressure hydrous phase of antigorite, has a lower enthalpy. This causes the stable region of antigorite to disappear.

The enthalpy values tend to increase with decreasing values of m near ambient pressure. The enthalpy difference between m = 19 and 14 of antigorite at ambient pressure was about 1.3 kJ/mol. Antigorites with m = 13 - 24 have been identified in natural samples, and the absence of antigorites with m-values less than 13 may be due to



Figure 5. (A) The pressure dependence of the enthalpies of antigorite polysomes with different *m*-value ($m = 14 \sim 19$) with respect to lizardite ($m = \infty$). The colors in the legend are the same as in Figure 3. Relative enthalpy ΔH is the value of the right-hand side minus the lefthand side of reactions (1)-(3). (B) The ΔH of reaction (1) with respect to right side of reaction (1) for m=17, i.e., the enthalpy difference of reaction(1) in (A) rearranged with respect to that of m=17 line. (C) The ΔH of reaction (2) with respect to right side of m=17 line. the enthalpy difference of reaction (2) in (A) rearranged with respect to that of m=17 line.

their higher enthalpies. On the other hand, no energetic evidence for the absence of antigorites with larger m (> 24) was found from the present calculations.

The pressure dependence of the enthalpy of antigorite behaves differently depend-308 ing on its *m*-value. In other words, at low pressure conditions, the larger the m (i.e., m=19), 309 the more stable the antigorite. On the other hand, at high pressure, the relationship be-310 tween large and small values is reversed (Figure 5 BC). In Reaction (1), the enthalpy value 311 crossing from m=19 to m=18 occurs at about 9.2 GPa, and at higher pressures, progres-312 sively smaller m antigorites have lower enthalpies. This tendency is more pronounced 313 in reaction (2), where the enthalpy value change from m = 19 to 18 occurs at 6.5 GPa, 314 and the lowest change to m = 14 occurring at 8.1 GPa. Antigorite polysomes with m 315 = 19 and 14 have water contents of 12.4 wt% and 12.2 wt%, respectively. Although the 316 difference in water content is slight, the change in m from 19 to 14 requires that the antig-317 orite releases water. 318

319

4 Geophysical implications

In this study, the *m*-dependence of antigorite under high pressure was investigated using first-principles calculations, and a comparison of the enthalpies of antigorite polysomes with different *m*-values reveal that polysomes with smaller *m*-values are less stable at low pressure conditions, but become more stable relative to larger *m*-value polysomes at high pressures. This shift from larger to smaller *m*-value polysomes being stable occurs in a certain range of pressure. As shown in Figure 5C, the shift in *m* from 19 to 14 occurs sequentially within a pressure range of about 1.6 GPa, from 6.5 GPa to 8.1 GPa. This change is accompanied by the release of a small amount of water (about 0.05 wt.%) as the *m* of the antigorite decreases within this pressure range.

Wunder et al., 2001 pointed out that the m-frequency of antigorite may decrease 330 under high temperature conditions. Komabayashi et al., 2005 also reported an *m*-value 331 of 14 for antigorite synthesized at 2 GPa and 600°C, which is consistent with the results 332 of Wunder et al., 2001. In this study, we did not calculate the free energy of antigorite 333 at high temperatures using first-principles calculations, choosing to focus on relative sta-334 bility at static 0 K due to limited computer resources. As a result, we found that the sta-335 ble antigorite polysome structure changes with pressure. Taken together, these results 336 suggest that the most frequent m-value of antigorite may decrease with pressure and tem-337 perature during the process of water transport to the Earth's deep interior through oceanic 338 plate subduction. Thus, the dehydration of antigorite would not occur at a single dis-339 crete pressure and temperature, but rather the boundary is expected to have a fixed width 340 related to the sequential polysomatism from large to small *m*-value polysomes. 341

In this study, calculations are performed only on pure Mg,Si-antigorites and the 342 effects of impurities, especially Al and Fe, are ignored. As mentioned in the introduc-343 tion, the structure of antigorite is controlled by the misfit between M and T sheets. There-344 fore, it is highly possible that impurities in these sheets can change the amount of mis-345 fit between the sheets and influence the stable polysome of antigorite at a given pres-346 sure and temperature. Additionally, as reported based on electron microscopy observa-347 tions, the enthalpy differences between antigorites with different m-values are so small 348 that antigorites with multiple *m*-values coexist metastably under finite temperature con-349 ditions. The results of our enthalpy calculations indicate that the m-value of the most 350 frequent polysomes varies with pressure, but it is likely that multiple polysomes coex-351 ist in each state. 352

The physical mechanisms of intermediate-depth and deep-focus earthquakes, which 353 occur at P-T conditions that should produce ductile deformation rather than brittle frac-354 ture, have been debated because the triggering mechanisms are unexplained. The most 355 commonly cited link to intermediate-depth earthquake activity is dehydration embrit-356 tlement. However, laboratory experiments on dehydration embrittlement do not explain 357 all the characteristics of these earthquakes. In particular, the cause of the lower plane 358 of the Wadati-Benioff double seismic zone has yet to be elucidated (Kita & Ferrand, 2018). 359 It may be important to consider the phase boundary and dehydration of antigorite will 360 have a width when discussing the region where deep-focus earthquakes occur. This study 361 points out the possibility that the structure and chemical composition of antigorite in 362 the Earth's interior may differ from that at the Earth's surface. Changes in the chem-363 ical composition of antigorite are likely to alter its thermodynamic stability fields. Ad-364 ditional experiments and theoretical calculations are needed to investigate the relation-365 ship between the breadth of antigorite stability region and the lower Wadati-Benioff zone. 366

367 5 Conclusions

In this study, first-principles calculations were performed for different polysomes (m=14-19) of antigorite to determine the crystal structure and enthalpy at 0-14 GPa and 0 K. In the pressure range up to 0-6 GPa, polysomes with larger m (m=19) antigorite polysomes were found to be stable, while structures with smaller m (m=14) were found to be stable under high pressure above 8 GPa. The m-value of stable antigorite changes gradually in the pressure range in between. Each of these changes is accompanied by slight

dehydration. Therefore, the dehydration reaction of antigorite under pressure does not 374 occur all at once at the phase boundary, but may proceed stepwise with the m change 375 over a certain pressure range. Such phenomena should be taken into account in study-376 ing the relationship between dehydration and dynamic phenomena in serpentine trans-377 ported into the Earth's interior. It is necessary to quantitatively evaluate the dehydra-378 tion of these phenomena at high temperatures and to investigate the effects of impuri-379 ties such as iron and aluminum in the future. 380

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Figure 1.

Antigorite

Lizardite



Figure 2.

m:even: space group C2/m

 $m = 14 Mg_{39}Si_{28}O_{70}(OH)_{50} N_{atom}: 237$



 $m = 16 Mg_{45}Si_{32}O_{80}(OH)_{58} N_{atom}: 273$



 $m = 18 Mg_{51}Si_{36}O_{90}(OH)_{66} N_{atom}: 309$



m:odd: space group Pm

$$m = 15 Mg_{42}Si_{30}O_{75}(OH)_{54}$$
 N_{atom}: 255



 $m=17 Mg_{48}Si_{34}O_{85}(OH)_{62} N_{atom}:291$



m=19 Mg₅₄Si₃₈O₉₅(OH)₇₀ N_{atom}:327



 MgO_6

Figure 3.



Figure 4.



Figure 5.

