Seasonal Mixed-Layer Temperature in the Congolese Upwelling System (CUS)

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October 14, 2023

Abstract

The Congolese upwelling system (CUS), located along the West African coast north of the Congo River, is one of the most productive and least studied systems in the Gulf of Guinea. The Sea Surface Temperature minimum in the CUS occurs in austral winter, when the winds are weak and not particularly favorable to coastal upwelling. Here, for the first time, we use a high-resolution regional ocean model to identify the key atmospheric and oceanic processes that control the seasonal evolution of the mixed-layer temperature in a 1°-wide coastal band from 6°S to 4°S. The model is in good agreement with observations on seasonal timescales, and in particular reproduces the signature of the surface upwelling during the austral winter, the shallow mixed-layer due to salinity stratification, and the signature of coastal wave propagation. The analysis of the mixed-layer heat budget reveals a competition between warming by air-sea fluxes, dominated by the solar flux throughout the year, and cooling by vertical mixing at the base of the mixed-layer, as other tendency terms remain weak. The seasonal cooling is induced by vertical mixing, but is not controlled by the local wind. A subsurface analysis shows that remotely-forced coastal trapped waves raise the thermocline from April to August, which strengthens the vertical temperature gradient at the base of the mixed-layer and leads to the mixing-induced seasonal cooling in the Congolese upwelling system.

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16 Key Points:

- Competition between solar flux inducing heating and vertical mixing at the base of the mixed-layer inducing cooling in the Congolese upwelling system (CUS).
- Seasonal cooling induced by vertical mixing, not controlled by the local wind, but rather by remotely forced coastal trapped waves via the rise of the thermocline and the strengthening of the vertical temperature gradient at the base of the mixed-layer in the CUS.

22

23 Abstract

The Congolese upwelling system (CUS), located along the West African coast north of the Congo 24 River, is one of the most productive and least studied systems in the Gulf of Guinea. The Sea 25 26 Surface Temperature minimum in the CUS occurs in austral winter, when the winds are weak and not particularly favorable to coastal upwelling. Here, for the first time, we use a high-resolution 27 28 regional ocean model to identify the key atmospheric and oceanic processes that control the seasonal evolution of the mixed-layer temperature in a 1°-wide coastal band from 6°S to 4°S. The 29 model is in good agreement with observations on seasonal timescales, and in particular reproduces 30 31 the signature of the surface upwelling during the austral winter, the shallow mixed-layer due to salinity stratification, and the signature of coastal wave propagation. The analysis of the mixed-32 layer heat budget reveals a competition between warming by air-sea fluxes, dominated by the solar 33 flux throughout the year, and cooling by vertical mixing at the base of the mixed-layer, as other 34 tendency terms remain weak. The seasonal cooling is induced by vertical mixing, but is not 35 controlled by the local wind. A subsurface analysis shows that remotely-forced coastal trapped 36 37 waves raise the thermocline from April to August, which strengthens the vertical temperature gradient at the base of the mixed-layer and leads to the mixing-induced seasonal cooling in the 38 39 Congolese upwelling system.

40 Plain Language Summary

41 The Congolese upwelling system is located along the West African coast north of the Congo River. It is one of the highly productive systems in the Gulf of Guinea and has received the least attention 42 due to the lack of historical data in this coastal region. The low temperatures occur during the 43 44 austral winter when winds are weak in the area. We use a high-resolution regional ocean model to 45 identify the main atmospheric and oceanic forcing controlling the seasonal changes in sea surface temperature. We find a competition between warming by air-sea fluxes, dominated by the solar 46 flux throughout the year, and cooling by vertical mixing. The seasonal occurrence of low 47 temperature induced by vertical mixing is not controlled by the local wind, but rather by remotely 48 49 forced coastal trapped waves.

50 Acronyms:

- 51 **CTW**: Coastal trapped waves
- 52 CUS: Congolese Upwelling System
- 53 **EKW**: Equatorial Kelvin Waves
- 54 **GG**: Gulf of Guinea
- 55 ILD: Isothermal Layer Depth
- 56 MLD: Mixed Layer Depth
- 57 MLT: Mixed Layer Temperature
- 58 SSS: Sea Surface Salinity
- 59 SST: Sea Surface Temperature
- 60 **TD**: Thermocline Depth

62 **1 Introduction**

The Congolese upwelling system (CUS), located along the western African coast 63 between 6°S and 4°S, just north of the mouth of the Congo River, is one of the most productive 64 systems in the Gulf of Guinea (GG), and likely has a strong influence on regional fisheries 65 (Voituriez & Herbland, 1982). The low Sea Surface Temperature (SST) in the CUS occurs in 66 austral winter (June-July-August), when the winds favorable to Ekman upwelling are weak 67 (Berrit, 1976) as in the Angola upwelling system (Ostrowski et al., 2009; Awo et al., 2022), 68 which suggests that it is not associated with wind-driven Ekman transport, unlike the Benguela 69 upwelling system further south (Carr and Kearns, 2003; Gutknecht et al., 2013; Bordbar et al., 70 71 2021). The equatorial undercurrent (EUC), which extends into the Gabon-Congo Coastal Undercurrent (GCUC) flowing southeastward, probably brings the source waters for the CUS 72 (Wacongne & Piton, 1992). At the eastern boundary of the Atlantic, the termination of the 73 equatorial current systems between the EUC through the GCUC at the subsurface and the South 74 75 Equatorial Current (SEC) at the surface could induce an upwelling of coastal waters (Piton, 1988), thus affecting the SST. In the CUS, seasonal changes in SST can also be affected by 76 77 eastward propagating equatorial Kelvin waves (EKW) triggering southward propagating coastal trapped waves (CTW), as shown recently in the Cape Lopez region (Herbert and Bourlès, 2018) 78 79 north of the CUS. The influence of CTWs has already been highlighted in the Angola and Benguela upwelling systems (Bachèlery et al., 2016; Illig et al., 2020; Körner et al., 2023) 80 81 located further south of the Congo River mouth. Analysis of altimetry and tide gauges data as well as model outputs reveals also the semi-annual cycle for sea level anomalies associated with 82 CTW along the coast of Africa in the Gulf of Guinea (Dieng et al., 2021). The CUS is also 83 probably under the influence of the Congo River plume, a large tongue of low-salinity water as 84 well as sediment, organic matter and nutrient charged. Indeed, the plume, driven by the 85 topography, the Coriolis effect, and prevailing winds, generally extends northwestward, partially 86 covering the Congolese continental shelf and is potentially associated with mesoscale activity 87 (Denamiel et al., 2013; Vic et al., 2014). Because of the shallow mixed-layer (MLD) due to the 88 strong salinity stratification near the surface, the plume can inhibit vertical mixing, which can 89 reduce upwelling and nutrient flux to the surface (Voituriez and Herbland, 1982; Dossa et al., 90 2019; Houndegnonto et al., 2021; Topé et al., 2023). Materia et al., (2012) associated positive 91 interannual SST anomalies with years of high Congo River discharge and high precipitation in 92 93 the GG from observational analysis. Contrary to Materia et al. (2012), White and Toumi (2014) show that the Congo River impacts on SST are limited in coastal regions under the influence of 94 the River plume, and their modeling results are in agreement with the previous analysis of 95 Hopkins et al. (2013) based on satellite observations. The impact of high stratification due to 96 River plume has been highlighted to explain the warm event in 2016 off the coast of Angola 97 (Lübbecke et al., 2019), in agreement with the interannual Congo river plume southward 98 extension deduced from satellite Sea Surface Salinity (SSS) (Martins and Stammer, 2022). On 99 the contrary, the density front around the Congo estuary could locally create upwelling through 100 the secondary ageostrophic circulation (Pham & Sarkar, 2018). The Congo plume could also 101 induce coastal geostrophic divergence to its north, mirroring the effect of the Niger River the 102 other side of the equator, with possible upwelling enhancement (Alory et al., 2021). The seasonal 103 SST minimum coincides with a maximum in chlorophyll-a (CHL-a) concentrations north of the 104 Congo, like in other coastal regions of the GG (Brandt et al., 2023). Thus, understanding the 105

seasonal variability of SST will also provide some insight on certain processes involved in nutrient flux and thus productivity in the CUS.

Contrary to the Angola and Benguela systems that have received more attention, the 108 dynamics of the mixed-layer temperature (MLT) in the CUS is still debated. Several studies have 109 focused on the impact of the Congo River at the scale of the tropical Atlantic basin. For example, 110 111 Da-Allada et al. (2014) and Camara et al. (2015) used an ocean model to analyze seasonal changes in mixed-layer salinity in large regions of the tropical Atlantic. In the southern GG 112 including the Congo River plume area, their results reveal that diffusion and vertical advection 113 act against the action of horizontal advection and freshwater fluxes (mainly dominated by the 114 Congo River) that freshen the mixed-layer. These results are also in agreement with those of 115 Houndegnonto et al. (2021) based on SMOS (Soil Moisture and Ocean Salinity) satellite 116 observations. Also, the studies carried out to understand the seasonal cycle of the MLT have 117 been limited to the larger regions around the Congo River. Using PIRATA (Prediction and 118 Research Moored Array in the Tropical Atlantic, Bourlès et al., 2019) mooring data, Foltz et al. 119 (2003) showed that the seasonal cycle of the MLT is controlled by the net surface heat flux, 120 mainly the solar flux and the latent heat flux along 10°W at 10°S and 6°S. Peter et al. (2006) also 121 found in numerical simulations that the mixed-layer heat budget is governed by the net surface 122 heat flux in the southern GG, and particularly at 3°E, between 8°S and 4°S. The dominant role of 123 net surface heat flux is also confirmed by Wade et al. (2011) in their box extending from 3°E to 124 the African coast, between 10°S and 4°S. In addition, from simulated mixed-layer heat budget, 125 Ngakala et al. (2023) have also underlined this dominant role of net surface heat flux along the 126 African coast, especially in the Senegal, Angola and Benguela regions. Also, Scannell and 127 McPhaden (2018) used data from a PIRATA mooring located off the Congo River at [8°E; 6°S] 128 to understand seasonal changes in MLT. Their results show that the seasonal variations of the net 129 surface heat flux are strongly governed by the solar flux and the latent heat flux whose variations 130 are influenced by the meridional displacement of the intertropical convergence zone (ITCZ) and 131 the formation of marine stratocumulus at low altitude. In boreal spring, they associate the 132 133 warming of the mixed-layer with the action of the solar flux amplified by the shallow MLD due to heavy precipitation and the freshwater input from the Congo River. In austral winter, they 134 attribute the cooling of the MLD to turbulent vertical entrainment (although not explicitly 135 resolved) favored by upwelling conditions. Their study suggests the importance of taking into 136 account precipitation to understand the mixed-layer heat budget in the southeast Atlantic ocean 137 because of their effect on the MLD. Also, results from experiments based on simulations (with 138 and without the Congo River) by White and Toumi (2014) suggested that the Congo River 139 induces all year long a slight cooling in the plume by shallowing of the MLD, which reduces the 140 short wave absorption within the mixed layer. 141

Further south, using available observations, Körner et al. (2023) conducted a heat budget to understand the processes responsible for the cross-shore SST gradient observed in the Angolan upwelling system. Their results have shown that cooling by turbulent mixing at the base of the mixed-layer is stronger at the coast in the shallow regions of the shelf than offshore. However, the net surface heat flux attenuates the spatial differences in SST by inducing a stronger warming at the coast.

Here, we use for the first time a high-resolution regional ocean model to determine the main atmospheric and oceanic processes that control the seasonal evolution of the MLT in the CUS. We focus our study along the Congolese coast between 6°S and 4°S, in the 1°-wide coastal band to capture the influence of coastal trapped waves (Illig, 2004; Bachèlery et al., 2016). In addition, we will evaluate if the seasonal cooling is associated with local forcing and/or remote oceanic forcing (coastal trapped waves). In the following, we describe the observations, the model and the methodology used in section 2. Then, we will present the results concerning the model's ability to reproduce the observations, the analysis of the MLT seasonal cycle and various processes involved in section 3. Finally, sections 4 and 5 are devoted to the discussion and conclusion respectively.

158 **2 Data, Model and Methods**

159 **2.1 Data**

160 Satellite and *in situ* observations are used to evaluate the ability of the model to 161 realistically simulate the spatial and seasonal variations of some key surface and subsurface 162 fields, such as SST, SSS, sea level anomalies (SLA), temperature.

163 - The Multi-scale Ultra-high Resolution (MUR) satellite product with $1/100^{\circ}$ spatial resolution (~

164 1 km) and daily temporal resolution (Chin et al., 2017) is used to assess the realism of the model

165 SST. This product is an optimal combination of SST data from infrared and microwave sensors.

- The observed SSS is derived from the Soil Moisture Active Passive (SMAP) satellite product

with $1/4^{\circ}$ (~25 km) spatial and daily temporal resolution, which is obtained from a temporal extrapolation of 8-day products (Fore et al., 2016).

169 Although both of these satellite products have a daily resolution, we used the monthly MUR and 170 SMAP products to validate the model SST over the period 2007-2016 (10 years) and SSS over

SMAP products to validate the model SST over the period 2007-2016 (10 years) and SSS of the period 2016-2022 (i.e. 7 years, due to the availability of the SMAP product), respectively.

The CMEMS (Copernicus Marine Environment Monitoring Service) altimetry product, which
combines data from several satellite missions with 1/4° spatial and daily temporal resolution (Le
Traon et al., 1998; Ducet et al., 2000), helped to evaluate the model SLA. For a better
comparison between the model and the CMEMS product, we computed the SLA from the daily
sea surface height over a common reference period (2007-2016). This SLA product is then used
to assess the ability of the model to track the EKW eastward propagations and the subsequent
CTW southward propagation along the African coast.

- SSS from underway thermosalinographs (TSG) collected during 6 crossings of the Hawk
Hunter commercial ship and 3 PIRATA cruises onboard French research ship in the Gulf of
Guinea on the June-July-August season between 2000 and 2021 are averaged along transects
repeated at last 3 times (Alory et al., 2015; Gaillard et al., 2015; Bourlès et al., 2019).

- In situ monthly climatological data from World Ocean Atlas 2018 (WOA18) with a horizontal
resolution of 1/4° (~ 25 km) and a vertical resolution of 5 m in the first 100 m (Garcia et al.,
2019) are used for subsurface temperature validation.

- In addition, we used daily in situ data from the PIRATA mooring (Rouault et al., 2009) located off the mouth of the Congo River at [8°E; 6°S] over the 3-year period of 2014-2016 to consolidate the model validation in surface and subsurface. Following Scannell and McPhaden (2018), we first applied orthogonal linear least squares regression between 10 and 1 m and then 10 and 1 m to fill in the data gap for salinity at 1 and 5 m depth in 2016. And second, we linearly interpolated along the vertical with 1 m resolution from temperature and salinity profiles at depths of 1, 5, 10, 20, 40, 60, 80, 100, and 120 m. From this new time series, the associated subsurface daily density is computed and then the mixed-layer depth and isothermal layer depth (ILD) are determined following Scannel (2018) using a density criterion (0.08 kg/m³) and temperature criterion (0.3 °C), respectively, relative to a reference depth of 1 m.

196 **2.2 Model**

We use a regional configuration of the NEMO ocean model (Nucleus for European 197 Modeling of the Ocean, Madec et al., 2017) to understand the thermodynamics in the Congolese 198 upwelling system. The regional simulation covers the Gulf of Guinea from 11°S to 6°N, and 199 from 10°W to the west African coast. The model solves the discretized primitive equations on a 200 horizontal Arakawa C-grid following the GLS turbulent closure scheme, with a horizontal 201 resolution of 1/36° (~ 3 km). The vertical grid, in z coordinates, has 50 levels with 18 levels in 202 203 upper 50 m. The model is forced by daily MERCATOR GLORYS12V1 reanalysis outputs (Jean-Michel et al., 2021) with a horizontal resolution of $1/12^{\circ}$ (~ 9 km) at its lateral open boundaries. 204 Atmospheric fluxes used for surface forcing are from the Japanese Meteorological Agency JRA-205 55 reanalyzes (Kobayashi et al., 2015), except for the daily surface winds which are from the 206 ASCAT satellite product with a horizontal resolution of $1/4^{\circ}$ or ~ 25 km (Bentamy and Fillon, 207 2012). The river runoff forcing for the model is provided by the daily outputs of the ISBA-208 209 CTRIP hydrological model (Decharme et al., 2019), except at the mouth of the Congo River (around 6°S), where the runoff is based on the daily flows measured by the Brazzaville station 210 managed by the HYBAM network (HYdro-geochemistry of the AMazonian Basin) (Laraque et 211 al., 2020). The simulation was run from 2005 to 2016 including two years of spin-up (2005-212 2006, initialized with GLORYS in 2005). The heat budget terms are computed online (5-min 213 time step) at each depth level for year 2016. The processes driving the seasonal changes of MLT 214 along the Congolese coasts are inferred from the analysis of year 2016 year, due to the 215 availability of heat budget terms. The interannual variability mode of SST over the 2007-2016 216 period shows that 2007 and 2016 years are relatively warm compared to other years (not shown) 217 in our study region. This warm event in early 2016 was investigated in a previous study based on 218 observations (in situ and satellite) in the southeastern tropical Atlantic off the coast of Angola 219 and Namibia (Lübbecke et al., 2019). In the following, we will take into account this 220 particularity of year 2016. Note that this model configuration, with slightly different forcing, has 221 already been used to study the impact of the Niger River warming effect in coastal upwelling 222 systems north of the GG (Topé et al., 2023). 223

224 **2.3 Methods**

The processes locally controlling the mixed-layer temperature along the Congolese coast within a 1°-wide band from the coast are examined from the mixed-layer heat budget. This approach has already been used in several studies based either on observations (Wade et al.,

228 2011; Scannell & McPhaden, 2018) or with our model (Peter et al., 2006; Jouanno et al., 2011).

229 The evolution of the MLT is given by **Eq.1**:

$$230 \qquad \partial_t \langle T \rangle = \underbrace{\frac{Q^* + Q_s(1 - f_{z=-h})}{\rho_0 c_p h}}_{A} \underbrace{-\langle u. \, \partial_x T \rangle - \langle v. \, \partial_y T \rangle + \langle D_l(T) \rangle}_{B} \underbrace{-\langle w. \, \partial_z T \rangle - \frac{(k_z \partial_z T)_{z=-h}}{h} - \frac{1}{h} \partial_t h(\langle T \rangle - T_{z=-h})}_{C} \tag{1}$$

where T is the potential temperature, (u, v, w) are the zonal, meridional, and vertical components 231 of the velocity vector, and k_z the vertical diffusion coefficient parametrized in the model 232 following the Generic Length Scale (GLS) scheme (Umlauf and Burchard, 2003, 2005). <> 233 denotes the averaged quantities in the mixed-layer of depth h. We calculated the MLD from 234 daily outputs following a density criterion with 0.5 m (first level of the model) as reference level 235 236 and 0.08 kg/m³ as threshold from daily vertical profile outputs as done in Scannell and McPhaden (2018), and integrated vertically the online heat budget terms over this MLD. In the 237 discussion section, we will return to the choice and the sensitivity of the MLD criterion. 238

The left side of equation (1) represents the mixed-layer temperature tendency term, and 239 the right side represents all terms contributing to the mixed-layer heat budget. A is the net 240 surface forcing due to non-solar surface fluxes (sum of longwave, latent and sensible heat fluxes, 241 Q^*) and the penetrating solar flux (Q_s) . B is the horizontal oceanic processes: the horizontal 242 advection, composed of zonal and meridional components, and the lateral diffusion. C 243 represents the sum of the vertical oceanic processes: *i.e.* vertical advection, vertical diffusion at 244 the base of the mixed-layer, and entrainment. The latter represents temperature variations within 245 the mixed-layer due to changes in mixed-layer thickness and is estimated as a residual to close 246 247 the mixed-layer budget (Jouanno et al., 2011).

In addition, we also investigated the link between surface and subsurface dynamics at the seasonal scale based on the three-dimensional (*x*-zonal, *y*-meridional, *z*-vertical) online heat budget detailed in **Eq.2**. This showed how the MLT seasonal changes are related to the subsurface dynamics and also underlined the sensitivity of the mixed-layer heat budget terms to the choice of the reference depth.

253
$$\partial_t T = \underbrace{\frac{Q^* + Q_s \times f(z)}{\rho_0 c_p}}_{A} \underbrace{-u. \, \partial_x T - v. \, \partial_y T + D_l(T)}_{B} \underbrace{-w. \, \partial_z T - \partial_z (k_z \partial_z T) + Res(z)}_{C}$$
(2)

In Eq.2, the terms A, B, and C are similar to those described in Eq.1, but at each model vertical level, not averaged in the mixed layer. A has a vertical and decaying structure and depends on non-solar fluxes only at the surface. The term C, denoted Res(z), is the residual and include the numerical diffusion term due to the temporal scheme. Res(z) is very small and will be disregarded.

Since seasonal changes in the MLT can also be influenced by remote forcing, such as the 259 equatorially-forced CTW, we analyze its signature along the equatorial band $(8^{\circ}W - 12^{\circ}E, 1^{\circ}S - 12^{\circ}E)$ 260 1°N) and along the Congolese coast (1°-wide coastal band between 6°S - 4°S) using SLA from 261 both observations and the model, and also examine thermocline depth (TD) variations in the 262 model. Previous studies have often used the 20°C isotherm as a proxy for the thermocline depth 263 noted by Z₂₀ (Lübbecke et al., 2019; Herbert and Bourlès, 2018). Here, we used the depth 264 corresponding to the daily maximum vertical temperature gradient at each grid point below the 265 isothermal layer as a proxy for TD. We found this criteria to be a better proxy than Z_{20} for the 266 thermocline depth in our coastal area influenced by the vertical salinity gradient (not shown). 267

268 **3 Results**

269 **3.1 Evaluation of model skills**

270 **3.1.1 Surface evaluation from satellite data**

In austral winter (June-July-August), the model (Figure 1b) qualitatively reproduces the 271 average SST conditions observed by the MUR satellite product (Figure 1a) in our study region. 272 Indeed, the spatial analysis shows warm SSTs offshore and cold SSTs at the coast, so we find the 273 characteristics of regions dominated by upwelling systems like EBUS (Chavez and Messié, 274 2009) in both observations and model. This cooling could also be associated with the 275 276 contribution of CTW as mentioned in the studies of Angola and Benguela upwelling systems (Bachèlery et al., 2016, 2020). This cooling has a wider cross-shore extension and a more 277 homogeneous alongshore extension in the observations than in the model. In the model, it is 278 clearly accentuated on each side of the Congo River mouth, which is slightly visible in the MUR 279 product. Although the model and observations show similar large-scale structures, the model 280 remains warmer offshore with a positive bias of about 1°C relative to the MUR product. These 281 differences can be attributed to either the MUR product or the model. For example, the heat 282 fluxes used or lateral forcing at western boundary to force the model or again insufficient sub-283 surface cooling can overestimate the SST and be the source of this larger difference offshore 284 relative to the coast. Note that a warm bias in SST is often mentioned in the southeastern tropical 285 Atlantic, and this bias is likely either atmospheric in origin, such as excessive shortwave 286 radiation due to poor cloud representation, or oceanic in origin, such as the misrepresentation of 287 ocean dynamics (Xu et al., 2014; Richter, 2015; Deppenmeier et al., 2020; Kurian et al., 2021). 288

The model successfully reproduces the main features of the SSS (Figure 1d) on a large 289 scale as observed by SMAP satellite product (Figure 1c). We find saltier waters offshore and 290 291 fresher waters at the coast due to the freshwater input from the Congo River. The model also captures well the northwestward extension of the Congo River plume (bounded by the 32 psu 292 isohaline) associated with the southeast trade winds regime in agreement with previous studies in 293 the region (Denamiel et al., 2013; Vic et al., 2014). Despite the model representing similar 294 patterns of the observed mean SSS, it remains fresher than the SMAP mean and this negative 295 bias is amplified at the coast. These differences can be partially explained by the freshwater 296 297 fluxes (dominated here by the Congo runoff) used as model forcing. The model also simulates freshwater in the plume and saltwater out of the plume, as measured along TSG transects from 298 299 commercial ships and PIRATA cruises. Differences could be due to inter-annual variability and low sampling density (often a few hours or days). 300



Figure 1. Mean austral winter (Jun-Jul-Aug) surface conditions (Sea Surface Temperature (°C, 302 303 top panels) and Sea Surface Salinity (PSU, bottom panels)) over the period 2007-2016 (except for SMAP SSS: 2016-2022) for observations (a for MUR SST and c for SMAP SSS) and model 304 305 SST (b) and SSS (d). Colored tracks in panel c are SSS from repeated TSG and 3 PIRATA cruise transects during the period 2000-2021. The 32 PSU isohaline delineates the extent of the Congo 306 river plume. The red contour represents the 6°S-4°S 1°-wide coastal box used in Fig.4, Fig.8, 307 and Fig.9. The blue line is the Congo River and the magenta star in panel a is the PIRATA 308 309 mooring position at [8°E; 6°S].

310 Analysis of the coastal (1°-wide coastal band) monthly climatology of SST and SSS highlights the surface signature of the upwelling during the austral winter in both satellite 311 products (Figure 2a-b) and model (Figure 2c-d). In Figure 2a-d, cold SSTs (<22°C) are 312 observed between June and August, and warm SSTs during the rest of the year. SSTs increase 313 from September to a maximum (~28°C) between February and March, and decrease from April. 314 North of 5°S (Figure 2b-d), the SSS structure appears to be influenced by the combined coastal 315 rainfall and Congo River regime. Saltier water is observed from May to early September, and 316 fresher water appears during the rest of the year corresponding to the periods of maximum 317 Congo River discharge (October-November-December) and coastal rainfall (February-March), 318 319 consistent with previous studies in the Congo River area (Houndegnonto et al., 2021; Awo et al., 2022). South of the Congo River from 6.5° S (less influenced by the Congo River than the north), 320 fresher waters is observed from December to March and saltier waters during the rest of the year. 321 The freshest waters due to the Congo River, presumably associated with strong salinity 322 stratification, are found between 5°S-6.5°S throughout the year. And this signature due to the 323 Congo River is strongly pronounced in the model compared to the SMAP product. 324



Figure 2. Monthly climatology of coastal (averaged within the 1°-wide coastal band) surface conditions (SST (°C, top panels) and SSS (PSU, bottom panels)) estimated over the period 2007-2016 (except for SMAP SSS: 2016-2022) for observations (a for MUR SST and c for SMAP SSS) and model SST (b) and SSS (d). The dashed line at 6°S highlights the location of the mouth of the Congo River.

Above, we have shown that the coldest SSTs occur in June-August when winds favorable 331 for Ekman upwelling are relatively weak (not shown here), which is consistent with studies 332 along the African coast in the southern tropical Atlantic (Berrit, 1976; Ostrowski et al., 2009; 333 Herbert and Bourlès, 2018; Lübbecke et al., 2019), suggesting that CTW could play a role on the 334 SST seasonal variability. Therefore, we examine the seasonal signature of long waves on the 335 SLA and thermocline depth (TD) respectively along the equator (EKW eastward propagation) 336 and along the African coast in the south (CTW southward propagation) in observations and 337 model. The wave signature on the SLA is clearly visible in the CMEMS satellite product (Figure 338 3a-b) and the model (Figure 3d-e). This signature can also be seen on the TD in the model 339 (Figure 3c-3f). In the observations, negative SLA anomalies occur from May to August along 340 the equator (Figure 3a) and along the southern coast (Figure 3b). Except for January between 341 7°W-1°W and December between 5°S-4°S, positive SLA anomalies are observed for the rest of 342 the year. On the other hand, the negative SLA anomalies appear earlier in the model, from March 343 to August along the equator and then from April to August along the coast. The model also 344 reproduces the negative anomalies in early December, extending to about 5.5°S. There is a slight 345 seasonal shift in the modeled negative SLA anomalies compared to the observed ones. At the 346 equator, the model shows shallow TDs between April and August, the main period of negative 347 SLA anomalies. Deeper TDs are found throughout the rest of the year, except east of 8°E. Along 348 the coast, the model shows that shallow TDs occur around March and August, while deeper TDs 349 occur throughout the year except from November to December south of 3°S. 350



Figure 3. Eastern equatorial (averaged within 1°S-1°N, panels a, c, and d) and coastal (averaged within the 1°-wide coastal band, panels b, e, and f) monthly climatology of altimetry (CMEMS satellite product, panels a and b) and model (panels d and e) Sea Level Anomaly (SLA, cm). Panels c and f show the model monthly climatology of eastern equatorial and coastal Thermocline Depth (TD, m), respectively.

357 **3.1.2 Sub-surface evaluation from in situ data**

The upwelling signature is also visible in the subsurface in the *in situ* observations 358 (Figure 4a) and in the model (Figure 4b) during austral winter by analyzing of the monthly 359 climatology of the temperature averaged in our study box (1°-wide coastal fringe from 6°S to 360 4°S, see red contour in Figure 1). The model clearly reproduces the monthly evolution of the 361 subsurface temperature observed in WOA climatology, and in particular the seasonal vertical 362 displacements of the thermocline depth. The seasonal cycle of the observed and modelled 363 vertical movements of the MLD, ILD, and TD are in phase. The observed and modeled MLD 364 remain shallow (less than 3 m) all year. The ILD and TLD are maximum in October in both 365 observations and model, but a secondary maximum in January is more pronounced in the WOA 366 climatology than in the model and it occurs one month later in the model. The modeled 367 thermocline rises from March and is shallower (~3 m) than observed (5 m) between June and 368 August when SST is below 22°C. However, the model is slightly colder than the WOA 369 climatology for most of the year. In the GG, several studies have mentioned that the haline 370 stratification due to strong freshwater inputs from precipitation and river discharge can create 371 372 barrier layers, that limit vertical exchanges between the surface and subsurface (Materia et al., 2012; Dossa et al., 2019; Houndegnonto et al., 2021). In our box, the ILD is deeper than the 373 MLD leading to a barrier layer; the associated barrier layer thickness (BLT: difference between 374 ILD and MLD) is negligible during the upwelling season but reaches about 3 m in February-375 376 March and 7 m in October, which is about twice the mixed-layer thickness. These differences may be related to the poor density sampling of in situ data in the Congo River region or model 377 378 bias.





Figure 4. Subsurface (0-50m) temperature (°C) monthly climatology averaged within the 6°S-4°S 1°-wide coastal box (red contour in **Fig.1**) from a) WOA and b) the 2007-2016 model climatology. Black, magenta, and blue lines represent the MLD, ILD, and TD respectively for the observations (dashed lines) and the model (solid lines). Here, TD is obtained from spatially averaged monthly profiles, while MLD and ILD are obtained from monthly profiles.

Furthermore, the model also reproduces the seasonal evolution of the vertical profiles of 385 temperature, salinity, and density observed by the PIRATA mooring at [8°E; 6°S] (Figure 5). In 386 June-August, the mixed-layer cools (Figure 5a-d) and becomes saltier (Figure 5b-e), leading to 387 denser waters (Figure 5c-f) during this period. This also corresponds to the third annual rise of 388 the thermocline, from July until October, which then deepens steeply in both the PIRATA 389 observations and the model. During the rest of the year, the mixed-layer becomes warmer and 390 fresher, and thus less dense. Also, the MLD, ILD, and TD in the model show a seasonal cycle in 391 agreement with the PIRATA observations, although all 3 layers are deeper in PIRATA, 392 especially outside of the upwelling season. Consequently, the modeled and observed BLTs are in 393 394 phase during the cycle and reach their maximum in November-December, which is larger in the model. Although the model reproduces the salinity increase with depth, it remains saltier than 395 PIRATA from December to February around 25-30 m depth. Note that, due to the availability of 396 397 the model outputs, MLD, ILD, and TD are calculated offline from monthly profiles, rather than from daily profiles as in PIRATA. This can partly explain the difference between model and 398 observations. 399



Figure 5. Subsurface (0-50m) monthly climatology of temperature (left panels, °C), salinity (middle panels, PSU), and density (right panels, kg.m⁻³) at [8°E; 6°S] from PIRATA (top panels) and the model (bottom panels) for the period 2014-2016. Black, magenta, and blue lines represent the MLD, ILD, and TD, respectively, for the observations (dashed lines) and the model (solid lines). Due to the availability of the model outputs, the model MLD, ILD, and TD are computed offline from monthly profiles, rather than from daily profiles as for the PIRATA data.

Despite the differences between the model and the observations, the model reproduces the observed surface and subsurface upwelling signature quite well. Thus, we can rely on our model to evaluate the processes responsible for the seasonal change of the MLT along the Congolese coast and to analyze how the surface dynamics are related to the subsurface dynamics in the Congolese upwelling system.

412 **3.2 Mixed-layer heat budget analysis**

413 **3.2.1** Processes driving the mixed-layer temperature seasonal changes

In this section, we analyze the processes involved in the seasonal variation of the mixedlayer temperature (**Figures 6 and 7**) with a focus on the ocean fringe along the Congolese coast. We use the model heat budget averaged within the mixed-layer (see section 2.3) to quantify the dominant processes responsible for the observed cooling in the Congolese upwelling system (CUS). Note that lateral diffusion and entrainment contributions are found to be very weak compared to other process contributions (not shown).

As expected, the climatology of the coastal MLT (Figure 6a) is very similar to the SST 420 cycle (Figure 2a-c) along the coast. North of 6°S, we observe cold waters below 23.5 °C 421 422 between June and September, and warm waters the rest of the year. The mixed-layer temperature tendency Figure 6b shows mainly a cooling (negative values) between March and July and a 423 424 warming (positive values) the rest of the year. However, we note a warming between $7^{\circ}S-6^{\circ}S$ and then close to 5.5°S in April, another warming around 4.75°S-4°S in May, and a cooling 425 around 6.15°S-5.5°S in December. These seasonal changes in the tendency term reflect the 426 427 balance of processes that drive the variations in the MLT. Air-sea heat fluxes (Figure 6c) warm the mixed-layer throughout the year, and this means that the solar fluxes are dominant compared 428

to non-solar fluxes (latent heat, longwave, and sensible heat), which have a cooling effect. At the 429 coast, the surface forcing (term A in Eq.2) variations are also controlled by the MLD associated 430 with the strong salinity stratification of the Congo River discharge. A shallow MLD tends to trap 431 the incoming solar flux in the first few meters at the surface, which probably explains the 432 maximum in the river plume area just north of 6° S. As a result, the seasonal cooling is clearly 433 due to oceanic processes (Figure 6d), which cool the MLD throughout the year and exceed the 434 warming of the air-sea heat flux in March-July. The contribution of the horizontal processes 435 (term B in Eq.2, Figure 7a) remains weak compared to that of the vertical processes (term C in 436 Eq.2, Figure 7d). North of 6°S, zonal advection (Figure 7b) cools throughout the seasonal 437 cycle, and its effect is reduced or slightly enhanced by meridional advection (Figure 6c), which 438 either warms or cools depending on the latitude during the season. Vertical advection (Figure 439 7e) mostly cools the mixed-layer but its contribution is very weak compared to the vertical 440 diffusion contribution (Figure 7f). The latter mainly controls the seasonal cycle of vertical 441 processes, so it is vertical diffusion that is responsible for the coastal cooling of the MLT 442 between March and July. 443



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Figure 6. Model seasonal coastal mixed-layer heat budget for the year 2016: a) mixed-layer temperature (MLT, in °C), b) mixed-layer temperature tendency (in °C.day⁻¹), c) net surface forcing term (*A* in Eq.1, in °C.day⁻¹), and d) summed-up contribution of all oceanic processes (B + C in Eq.1, in °C.day⁻¹). All terms are longitudinally averaged within the 1°-wide coastal band from 7°S to 4°S.

In conclusion, this analysis clearly shows a competition between two main terms: air-sea heat flux and vertical diffusion at the base of the mixed-layer, but the latter controls the seasonal variations of the MLT along the Congolese coast.



Figure 7. Model seasonal coastal mixed-layer heat budget (°C.day⁻¹) for the year 2016: a) summed-up contribution of horizontal oceanic processes (*B* in Eq.1), b) zonal advection, c) meridional advection, d) sum of sum of all vertical oceanic processes (*D* in Eq.1), e) vertical diffusion, and f) vertical advection. All terms are longitudinally averaged within the 1°-wide coastal band from 7°S to 4°S.

459 **3.2.2 Seasonal variations of subsurface processes**

We have shown that vertical diffusion is the main process that can explain the mixedlayer cooling in March-July season. We now assess the possible link between the mixed-layer cooling and the subsurface dynamics in the Congolese upwelling system (**Figure 8**). This is also an indication of the sensitivity of the MLD criterion.

Figure 8a shows similar characteristics to Figure 4a-b with a dominant semi-annual 464 seasonal cycle, although the year 2016 is slightly warm compared to the 2007-2016 climatology. 465 In Figure 8b, the temperature tendency term over the vertical shows a cooling over a long period 466 between March and July from the surface to at least 50 m depth. We observe a further cooling in 467 November-December below 5-10 m. On the other hand, we observe a warming between 0-50 m 468 in January-February, a stronger warming around September, which persists from surface to the 469 bottom. Here, we see that the role of vertical oceanic processes involved in the surface cooling 470 differs from that in the subsurface. Vertical diffusion (Figure 8c) strongly cools the mixed-layer, 471 which largely offsets the strong warming effect of the heat fluxes. Below the mixed-layer, it 472 generally warms the subsurface but sometimes cools it, at certain depths and seasons. Vertical 473 advection (Figure 8d) warms the water between 15-50 m and 30-50 m, in January-February and 474 September, respectively. Otherwise, vertical advection predominantly cools the water column, 475 and this cooling is most pronounced below the mixed-layer in the 5-15m interval approximately 476 from March to July, and around December. From this subsurface analysis, it can be concluded 477 that vertical mixing cooling is mostly confined to the mixed-layer throughout the seasonal cycle. 478



Figure 8. Subsurface (0-50m) model coastal seasonal heat budget for the year 2016, averaged within the 6°S-4°S 1°-wide coastal box (red contour in **Fig.1**): a) temperature (°C), b) temperature tendency (°C.day⁻¹), c) vertical diffusion (°C.day⁻¹), and d) vertical advection (°C.day⁻¹). Black, magenta, and blue lines denote the MLD, ILD, and TD, respectively. The dashed black line represents the MLD calculated online according to the density criterion with a threshold of 0.01 kg/m⁻³ and a reference depth of 10 m.

Note that the effect of vertical mixing on the MLT (within term D of Eq.1) depends on 486 both the parameterized vertical diffusion coefficient noted k_z and the vertical temperature 487 gradient at the MLD. The former is not directly calculated as a function of the Richardson 488 number *Ri* with the GLS turbulent closure scheme used in the model. In the following, we start 489 our analysis with the seasonal cycle of stratification and vertical shear of horizontal currents 490 along the vertical in the CUS, which can modulate variations in surface-subsurface exchanges on 491 492 a seasonal scale and thus affect the vertical mixing. On the one hand, the seasonal cycle of stratification is quantified by the Brunt Vaïsala N^2 frequency (Figure 9a), which presents two 493 peaks in April-May and then in November-December, more pronounced at the surface around 494 5m. The stratification is mostly controlled by the salinity stratification N_s^2 rather than the 495 temperature stratification N_T^2 (not shown), due to the high freshwater contribution from 496 precipitation and runoffs (mainly dominated by the Congo River). In May, the rise of the 497 thermocline brings cold and salty (and therefore dense) waters from the bottom to the near-498 surface, which explains the increase in the vertical gradient in temperature, salinity, and density, 499 and therefore of N^2 . On the other hand, the total shear Sh^2 (Figure 9b) also undergoes two 500 peaks in March-April and October-November, in agreement with the surface wind regime at the 501 coast (Figure 9c). Its effect is also more intense at the surface, at about 5 m. When decomposed 502 into zonal shear Sh_{μ}^2 and meridional shear Sh_{ν}^2 (not shown), we find that both components seem 503 to contribute to the seasonal changes in total shear, but its maximum in October-November can 504 be mainly attributed to the meridional shear, in phase with the peaks of the meridional wind 505 stress (Figure 9c), which are stronger than the peaks of the zonal wind stress. The Richardson 506 number, Ri, defined as the ratio of buoyant suppression of turbulence to the shear generation of 507 turbulence N^2/Sh^2 , is used to quantify the dynamic stability. The minima of Ri (red contour in 508 Figure 9f), with deep extension, agree well with the maxima of local wind stress (Figure 9c) in 509 510 March and October. During the rest of the year, stratification largely dominates over shear at

depth, and to a lesser extent near the surface during the cooling period, thus limiting mixing, 511 which suggests that the shear of the wind-driven flow alone cannot explain the increased vertical 512 diffusion in the heat budget during austral winter. In addition, k_z (Figure 9f) and Ri (contours in 513 Figure 9f) show a close relationship, with opposite variations. However, the seasonal cycle of 514 the vertical temperature gradient (Figure 9e) is closely related to variations in the thermocline 515 depth (blue line superimposed on Figures 9d and 9e), which are largely controlled by vertical 516 currents (Figure 9d). Indeed, vertical mixing-driven seasonal cooling is strong when the 517 thermocline is shallow (and wind stress is weak) and weak when the thermocline is deep (and 518 wind stress is strong). 519



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Figure 9. Model monthly climatology of vertical profiles (0-50 m), wind stress, and MLD for the 521 year 2016 averaged within the 6°S-4°S 1°-wide coastal box (red contour in Fig.1): a) Brunt 522 Vaïsäla frequency (N², in $10^{-3}s^{2}$), b) total square vertical shear (Sh², in $10^{-3}s^{2}$), c) wind stress 523 (N.m⁻²) magnitude (green) with zonal (blue) and meridional (red) contributions, and MLD 524 (black, in m), d) vertical velovity (in 10⁻⁶m.s⁻¹), e) vertical temperature gradient (in °C.m⁻¹), and 525 f) vertical diffusion coefficient k_z (colors, in m.s⁻²) and Richardson number (Ri, contours, 526 unitless). The red contours in panel f highlight Ri = 1. In panels a, b, d, and e, the black, 527 magenta, and blue lines denote the MLD, ILD, and TD respectively. The dashed blue line in 528 panel e shows the TD obtained from spatially averaged monthly profiles. 529

The above results suggest the importance of remote forcing. Indeed, the k_z and the local 530 wind stress are in phase along the cycle, with the weak k_z values occurring during the seasonal 531 cooling. In addition, the analysis of the seasonal cycle of the modeled SLA (Figure 3d-e) and 532 thermocline depth (Figure 3c-f) clearly shows the semi-annual cycle of gravity waves 533 534 propagating eastward along the equator and then southward along the African coast as CTWs, with strong upwelling (negative SLA and shallower TD) during the austral winter surface 535 cooling (Figures 6b and 8b), between two downwelling phases (positive SLA and deeper TD). 536 The upwelling associated with the CTWs is concomitant with the upward vertical velocities from 537 April to August (Figure 9d), the rise of the thermocline and the strengthening of the vertical 538 temperature gradient at the base of the mixed layer (Figure 9e), and the resulting mixed-layer 539 cooling by vertical mixing. 540

541 4 Discussion

We investigated the seasonal changes of the MLT in the CUS, in a 6°S-4°S 1°-wide 542 coastal box just north of the Congo estuary, and in particular the austral winter cooling leading to 543 SSTs as cold as ~22°C, through the mixed-layer heat budget of a high-resolution oceanic model. 544 The heat budget analysis reveals a competition between two main processes, as other tendency 545 terms are relatively negligible (Figures 6 and 7). These processes are the surface forcing 546 dominated by incoming solar flux (Figure 6a), which is counterbalanced by vertical mixing at 547 the base of the mixed-layer (Figure 7e). The second one is the main driver of the austral winter 548 cooling. 549

Air-sea heat flux is dominated by incoming solar flux and therefore tends to warm the 550 mixed-layer all year long in our region. This warming is stronger around the Congo River mouth 551 probably due to the thin mixed-layer associated with by the strong salinity stratification in the 552 river plume (Figure 9a-b). Previously, Scannell and McPhaden (2018), using in situ 553 measurements at the PIRATA mooring about 500 km off the mouth of the Congo River, found 554 555 that the latent heat flux drives the cooling of the mixed-layer in austral winter. Herbert and Bourlès (2018), using an oceanic model, found the same result in a large coastal box (5°E-556 14°E;7°S-0°N) including both the mooring and our own box in the South-East corner. These 557 results differ from ours but there is no contradiction. Indeed, recently, Körner et al. (2023) also 558 559 did, from combined satellite and in situ data, a comparative mixed-layer heat budget between an offshore and a coastal box located in the Angola region south of ours (15°S-8°S). They found 560 561 that the solar flux warming is stronger while the latent heat flux cooling is weaker in the coastal box relatively to the offshore box, respectively due to a stronger cloud cover away from the 562 coast, and weaker wind near the coast. ASCAT satellite winds that are used to force our model 563 were specifically selected to include this wind drop-off at the coast, due to increased friction with 564 land, that can notably affect coastal upwelling through Ekman pumping in the Benguela region 565 (Fennel et al., 2012). These cross-shore variations result, in the Angola upwelling system, in net 566 air-sea heat fluxes that can cool the ocean for a few months offshore but warm it all year long at 567 the coast, especially when combined with the salinity stratification effect, like in our region. This 568 is also in agreement with the study of Lübbecke et al. (2019) in the Angola region, which explain 569 the warm event in early 2016 by the combined action of reduced latent heat flux due to 570 weakened wind and the freshwater input due to local precipitation and river discharge 571 (dominated by the Congo River). Note however that the net downward air-sea heat flux could be 572 overestimated in the model, as suggested by the 1°C warm offshore SST bias in the model 573 compared to satellite data (Figure 1a-b), although this bias is reduced at the coast (Figure 2a-b). 574

However, cooling by vertical mixing exceeds warming by air-sea fluxes between March 575 and July, which then leads to mixed-layer cooling along the Congolese coast (Figure 6). To 576 understand the vertical mixing-induced cooling, we have examined the influence of local and 577 remote forcing at seasonal scale. Comparison of seasonal variations of the vertical shear of 578 horizontal currents, controlled by wind stress, and the mostly salinity-driven stratification shows 579 that the former does not dominate over the latter during the cooling season (Figure 9). Indeed, 580 wind stress peaks in March and October when vertical temperature diffusion is weak and, 581 conversely, vertical temperature diffusion becomes strong from May to August when wind stress 582 is weak (Figures 7e and 9a-b-c) north of 6°S. The salinity-driven stratification due to strong 583 584 freshwater inputs from precipitation and Congo River influence the vertical mixing through the

barrier layer. The latter is particularly thick in March and October (Figure 8) which, despite 585 strong wind-driven mixing, inhibits the heat exchanges between the surface and subsurface 586 (Materia et al., 2012; Scannell and McPhaden, 2018; Lübbecke et al., 2019; Dossa et al., 2019; 587 Houndegnonto et al., 2021). The seasonal maxima in vertical temperature diffusion, in May-588 August and November-December (Figure 7e), match those of the vertical temperature gradient 589 at the base of the MLD, when the thermocline depth is shallower (Figure 9e). Along the equator 590 and the southern African coast, the seasonal variations of sea level and thermocline anomalies 591 are often associated with the eastward and southward propagation of CTW respectively (Herbert 592 and Bourlès, 2018; Lübbecke et al., 2019). These waves have a semi-annual cycle, characterized 593 by downwelling CTWs (reflected by positive SLA and deep thermocline) passing by our region 594 around February, followed by upwelling CTWs (negative SLA and shallow thermocline) from 595 April to August, then downwelling CTWs again in October and weaker downwelling CTWs in 596 December (Figure 3). The CTW signature can be followed further south along the Angola coast 597 (Awo et al., 2022). During austral winter, the upwelling CTWs lead to a shallow thermocline 598 inducing a strong vertical temperature gradient in the upper 10 m which, combined with the 599 relatively weak wind-induced mixing, results in the surface cooling and seasonal SST minimum 600 in the CUS. The influence of EKW on SST seasonal changes have been already found further 601 south in the Angola and Benguela upwelling systems (Ostrowski et al., 2009; Bachèlery et al., 602 2016, 2020). Also north of the CUS, between 3°S-0°N, Herbert and Bourlès (2018) have shown 603 604 that surface cooling events in 2005 and 2006 were influenced by subsurface oceanic conditions the arrival of upwelling EKW. 605

From our subsurface analysis, we have identified two main vertical oceanic processes 606 that can cool the upper ocean: vertical diffusion (Figure 8c) and vertical advection (Figure 8d). 607 The former has a strong cooling effect limited to the upper 3 m all year long, maximum from 608 April to August, but often a weak warming effect below and particularly at 10 m depth. The 609 latter has mostly a cooling effect in the upper 50 m, particularly strong around 10 m from April 610 611 to August, which is associated with the rise of the thermocline by upwelling CTWs discussed above (strong vertical temperature gradient and upward current in Figures 9d and 9e). The 612 relative contribution of these two processes to the mixed-layer temperature variations is highly 613 dependent on the definition of the MLD, and particularly the chosen reference depth, which is 614 still the subject of a debate in the GG. In this region, earlier mixed-layer heat budget studies 615 based on model or observation often used a density criteria relative to a reference depth of 10 m 616 (Materia et al., 2012; White and Toumi, 2014; Lübbecke et al., 2019; Kanga et al., 2021; Alory 617 et al., 2021), as globally recommended by de Boyer Montégut et al. (2004) to avoid diurnal 618 variations when MLD is estimated from Argo profiles. However, in our highly stratified Congo 619 River plume region, the MLD computed online in the model with this reference depth and a 620 density criterion of 0.01 kg/m⁻³ is almost constant and very close to 10 m (Figure 8). Vertical 621 advection would be considered the dominant cooling term for the mixed layer in this case. But 622 the thermocline is often shallower than 10 m, which is inconsistent, and the vertical shear from 623 the wind-driven current is concentrated in a thinner layer (Figure 9b). This strongly suggests 624 that the MLD should be computed differently. Therefore, we defined the MLD with a reference 625 depth at the surface (actually 0.5 m that is the first depth level in the model), like other recent 626 studies around the Congo plume region (Scannell & McPhaden, 2018; Körner et al., 2023), 627 which puts the emphasis on the dominant role of vertical diffusion on the cooling in the mixed 628 629 layer.

630 **5 Conclusions**

A high-resolution regional ocean model is used to investigate the seasonal changes of mixed-layer temperature in the Congolese upwelling system, north of the Congo River. The modeled heat budget within the mixed-layer analysis allows to identify the main processes driving these seasonal variations.

The model compares well with available observations in terms of spatial and seasonal variations of oceanic variables and remotely-forced coastal trapped waves along the coast.

The mixed-layer heat budget analysis reveals a competition between warming by heat 637 fluxes, dominated all year long by the solar flux, and cooling by the vertical mixing at the base of 638 the mixed layer, since other tendency terms are weak throughout the seasonal cycle. The 639 seasonal cooling is induced by vertical mixing, though not controlled by the local wind. A 640 subsurface analysis shows that remotely-forced coastally trapped waves rise the thermocline 641 from April to August, which strengthens the vertical temperature gradient at the mixed layer base 642 and leads to the mixing-induced seasonal cooling in the Congolese upwelling system. These 643 main driving processes, deduced from our model, are similar to those deduced from a recent 644 observation-based study for the Angola upwelling system further south, on the other side of the 645 Congo River mouth (Körner et al., 2023). 646

647 However, in the Angola upwelling system, Zeng et al. (2021) have suggested that internal tides can induce the strong mixing in shallow area then cool the mixed layer near the coast. By 648 using microstructure measurements of shear to estimate turbulent heat fluxes, Körner et al. 649 (2023) have indeed found that the cooling due to turbulent mixing at the base of the mixed layer 650 is stronger at the coast in the shallow area than offshore. Our model can reproduce the seasonal 651 cooling without tides, but a twin experiment including tidal forcing could be conducted to 652 evaluate the contribution of internal tides in the CUS. Recently, Alory et al. (2021) and Topé et 653 al. (2023) used paired simulations with and without rivers to quantify the role of the Niger River 654 discharge along the northern coast of the Gulf of Guinea. Their results show that the river runoff 655 induces warming by reducing the vertical mixing and the onshore meridional advection. There 656 are contrasting results on the Congo River effect suggesting either SST warming (Materia et al., 657 2012) or cooling (White and Toumi, 2014) and we plan to evaluate this effect on the mixed-layer 658 heat budget in our model, with sensitivity experiments now available. We could also modify the 659 equatorial wind forcing in our model to suppress EKW and quantify their effects along the 660 Congolese coast. Finally, a reference coupled physical-biogeochemical simulation based on our 661 model configuration is in preparation, which would help to assess the respective biological 662 contribution of upwelling and Congo River nutrient input in our region. 663

664 Acknowledgments

This work is part of Roy Dorgeless Ngakala's Ph.D. thesis funded by the German Academic Exchange Service (DAAD) under the In-Country/In-Region Scholarship Program for Sub-Saharan Africa. This study has benefited from product sets freely available, we thank these diverse platforms and in particular SNO-SSS and PIRATA. We also thank the TRIATLAS project funded by the European Union's Horizon 2020 research and innovation program under

- grant agreement 817578 and the TOSCA SMOS and SWOT-GG projects funded by the CNES
- for their various supports. Computing resources are provided by DARI under grant GEN7298.

672 Data Availability Statement

All products used here are publicly available, and some require free registration. The 673 MUR SST product created by the JPL MUR MEaSURES program as part of the GHRSST 674 (Group for High-Resolution Sea Surface Temperature) project is 675 obtained from https://podaac.jpl.nasa.gov/dataset/MUR-JPL-L4-GLOB-v4.1. The SMAP SSS product created 676 bv JPL (Jet Propulsion Laboratory) available 677 is at https://podaac.jpl.nasa.gov/dataset/SMAP JPL L2B SSS CAP V5. 678 The CMEMS (COPERNICUS MARINE ENVIRONMENT MONITORING SERVICE) SSHproduct produced 679 by SSALTO/DUAC is available at http://marine.copernicus.eu/. TSG SSS product managed by 680 SNO-SSS/SEDOO is available at http://sss.sedoo.fr/. PIRATA surface and subsurface data are 681 from the ftp site ftp://ftp.ifremer.fr/ifremer/ird/pirata/pirata-data/. The WOA data are from 682 NOAA/NCEI via https://www.nodc.noaa.gov/OC5/woa18/. Model outputs are available from the 683 684 authors, especially GA, ID, GM and JJ.

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