# Evaluation of Wildfire Plume Injection Heights Estimated from Operational Weather Radar Observations using Airborne Lidar Retrievals

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#### Abstract

The vertical distribution of wildfire smoke aerosols is important in determining its environmental impacts but existing observations of smoke heights generally do not possess the temporal resolution required to fully resolve the diurnal behavior of wildfire smoke injection. We use Weather Surveillance Radar-1988 Doppler (WSR-88D) dual polarization data to estimate injection heights of Biomass Burning Debris (BBD) generated by fires. We detect BBD as a surrogate for smoke aerosols, which are often collocated with BBD near the fire but are not within the size range detectable by these radars. Injection heights of BBD are derived for 2-10 August 2019, using radar reflectivity (Z[?]10 dBZ) and dual polarization correlation coefficients (0.2 < C. < .0.9) to study the Williams Flats Fire event. Results show the expected diurnal cycles with maximum injection heights present during the late afternoon period when the fire's intensity and convective mixing are maximized. Radar and airborne lidar injection height comparisons reveal that this method is sensitive to outliers and generally overpredicts maximum heights by 40%, though mean and median heights are better captured (<20% mean error). Radar heights between the 75<sup>th</sup> and 90<sup>th</sup>percentile seem to accurately represent the maximum, with the exception of heights estimated during the occurrence of pyro-cumulonimbus. Location specific mapping of radar and lidar injection height sevenal that they diverge further away from the fire due to BBD settling. Most importantly, radar-derived injection height estimates provide near continuous smoke height information, allowing for the study of diurnal variability of smoke injections.

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14	Key Points:
15	• Weather radar estimates of biomass burning debris injection heights are evaluated against
16	aerosol heights from airborne lidar.
17	• Radar maximum injection heights tend to be overpredicted while mean, median, 75 <sup>th</sup> and
18	90 <sup>th</sup> percentiles perform better.
19	• The maximum injection height can be predicted generally well by the 75 <sup>th</sup> to 90 <sup>th</sup>
20	percentiles of the radar estimates.

## 21 Abstract

The vertical distribution of wildfire smoke aerosols is important in determining its environmental 22 impacts but existing observations of smoke heights generally do not possess the temporal 23 resolution required to fully resolve the diurnal behavior of wildfire smoke injection. We use 24 Weather Surveillance Radar-1988 Doppler (WSR-88D) dual polarization data to estimate 25 injection heights of Biomass Burning Debris (BBD) generated by fires. We detect BBD as a 26 surrogate for smoke aerosols, which are often collocated with BBD near the fire but are not 27 within the size range detectable by these radars. Injection heights of BBD are derived for 2-10 28 29 August 2019, using radar reflectivity ( $Z \ge 10$  dBZ) and dual polarization correlation coefficients (0.2 < C.C < 0.9) to study the Williams Flats Fire event. Results show the expected diurnal 30 cycles with maximum injection heights present during the late afternoon period when the fire's 31 intensity and convective mixing are maximized. Radar and airborne lidar injection height 32 comparisons reveal that this method is sensitive to outliers and generally overpredicts maximum 33 heights by 40%, though mean and median heights are better captured (<20% mean error). Radar 34 heights between the 75<sup>th</sup> and 90<sup>th</sup> percentile seem to accurately represent the maximum, with the 35 exception of heights estimated during the occurrence of pyro-cumulonimbus. Location specific 36 mapping of radar and lidar injection heights reveal that they diverge further away from the fire 37 38 due to BBD settling. Most importantly, radar-derived injection height estimates provide near continuous smoke height information, allowing for the study of diurnal variability of smoke 39 injections. 40

#### 41 Plain Language Summary

Wildfire smoke aerosols injected into the atmosphere pose a serious threat to human health and 42 the environment. Once in the atmosphere, aerosols travel long distances and affect air quality in 43 regions much farther away. These 'long distances' are strongly correlated with the maximum 44 heights aerosols can reach near their source, making it important to observe these 'injection 45 heights'. However, existing observations of injection heights are limited temporally, making it 46 difficult to study their diurnal and day-to-day variability. Here, we use weather radar data to 47 estimate injection heights of Biomass Burning Debris (BBD), which is assumed to be collocated 48 with aerosols that are too small to be detected by radars. Injection heights are estimated for the 49 Williams Flats Fire event in Washington for 2-10 August 2019. Results show that daily 50

51 maximum injection heights occur in the late afternoon, when the wildfire's intensity is strongest.

52 Further, radar-derived heights are compared to airborne lidar-derived heights for the same fire,

revealing that the maximums are overpredicted but intermediate values like the mean are well

represented. Radar-derived injection height estimates allow for near continuous smoke heights,

55 making it relevant for future studies.

#### 56 **1 Introduction**

The issue of air quality is a pressing concern due to the rapidly developing global 57 economy and increased industrialization and urbanization (Manisalidis et al., 2020). Not only is 58 the deterioration of air quality significant due to its environmental and ecological impacts, but 59 also due to the health risk it poses for humans (Gakidou et al., 2017). Wildfires contribute to this 60 burden on human health by emitting smoke aerosols into the atmosphere (Balmes, 2020), which 61 is a rising concern as the number of catastrophic wildfires worldwide are increasing with climate 62 change (Deb et al., 2020; Higuera & Abatzoglou, 2021). Furthermore, wildfire smoke aerosols 63 injected into the atmosphere above the boundary layer can travel long distances and affect 64 surface air quality in downwind regions (Buchholz et al., 2022; Hung et al., 2020). The injection 65 heights of these aerosols in the atmosphere are closely related to the residence time of aerosols in 66 the atmosphere and the distance they are transported (Schum et al., 2018), implying that greater 67 68 injection heights could lead to more widespread impacts on air quality, making it important to better observe the vertical distribution of these smoke aerosols. 69

According to prior studies, smoke injection heights have been estimated in multiple ways. 70 Multiple space-based estimation techniques exist, including the vertical profiles of aerosol and 71 72 cloud backscatter provided by the Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) instrument (Amiridis et al., 2010; Winker et al., 2004) and using the smoke height products 73 74 retrieved from various passive remote sensing instruments such as the Multi-angle Imaging SpectroRadiometer (MISR) (M. Val Martin et al., 2010; Maria Val Martin et al., 2018), the 75 76 Tropospheric Monitoring Instrument (TROPOMI) (Chen et al., 2021; Michailidis et al., 2022; Veefkind et al., 2012), the Moderate Resolution Imaging Spectroradiometer (MODIS), and the 77 Visible Infrared Imaging Radiometer Suite (VIIRS) (Hsu et al., 2019; Lee et al., 2015; Loría-78 Salazar et al., 2021; Saver et al., 2019). However, these retrievals are limited by the fact that the 79 80 sun-synchronous orbits of all these satellites only allow for one or two overpasses in a given day

(Maria Val Martin et al., 2018). Though stereo imaging from a pair of geostationary (GEO) 81 82 satellites with overlapping coverage is able to overcome the aforementioned limitation, this method has not been extensively validated and is only available during the daytime (Carr et al., 83 2020; Hasler, 1981). Thus, there is a need to develop and evaluate smoke injection height 84 estimates that cover full diurnal cycles and have the potential to provide real-time measurements. 85 Here, we explore the use of the weather surveillance radar, an under-utilized tool for 86 studying wildfires (McCarthy et al., 2019). Since smoke aerosols are often collocated with lofted 87 88 debris in the vicinity of the fire, the radar can be used to retrieve the injection heights of Biomass Burning Debris (BBD) produced from wildfires as a possible surrogate for the injection heights 89 of smoke aerosol plumes (Jones & Christopher, 2009). The significance of this approach lies in 90 the fact that it possesses adequate spatial and temporal coverage and allows for the retrieval of a 91 92 complete time series of plume injection heights and depicts day-to-day variability of the same (Jones & Christopher, 2009). While radar estimates of wildfire plume structure are being used to 93 94 evaluate models (Shamsaei et al., 2023), they have not been thoroughly compared to more established observations of smoke plume height. Drawing inspiration from Jones & Christopher 95 96 (2009), who have previously provided injection heights with an hourly resolution over a 2-day period, we retrieved plume injection heights for the whole lifetime of a fire and performed an 97 98 evaluation of these retrievals. In the following study, we describe the methods used to derive smoke injection heights from radars, show results for the 2019 Williams Flats Fire, and evaluate 99 100 them using airborne lidar data from the Fire Influence on Regional to Global Environments and Air Quality (FIREX-AQ) field campaign (Warneke et al., 2023). Conclusions and future 101 directions are outlined in the sections to follow. 102

# 103 2 Data and methods

#### 104

2.1 Weather Surveillance Radar-1988 Doppler (WSR-88D)

The WSR-88D network spread through the United States currently consists of 160 SBand (10 cm) precipitation radars operated by the National Oceanic and Atmospheric
Administration National Weather Service (Crum & Alberty, 1993; Holleman et al., 2022).
Doppler Radars in the WSR-88D network alternate between two modes (i.e., clear-air mode and
precipitation mode) and characterize echoes through reflectivity, correlation coefficient, radial
velocity, and spectrum width, i.e., the base radar products (Crum & Alberty, 1993). In either

111 clear-air and precipitation mode, the radar is operated in one of many Volume Coverage Patterns

112 (VCPs), which consists of the radar antenna making a series of 360° scans of the surrounding

atmosphere for pre-determined, increasing elevation angles (Crum & Alberty, 1993; Kingfield &

114 French, 2022; NOAA National Weather Service et al., 2023).

115 The localized instability and increased buoyancy produced by the heat of the fire may 116 result in lofting of significant amounts of debris, ash, and other particulate matter several 117 kilometers into the atmosphere (Kingsmill et al., 2023; Rodriguez et al., 2020; Thurston et al., 118 2017). It is important to note that the Doppler Radar is not sensitive to smoke particles (diameter 119  $D < 100 \mu$ m), rather they are sensitive to BBD (diameter D > 1 mm) that are large enough to be 120 detected by the weather radars (Banta et al., 1992; McCarthy et al., 2019).

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2.2 Data collected: reflectivity and correlation coefficient characteristics

To estimate the plume injection heights of BBD for the 2019 Williams Flats Fire event in northeast Washington, ~216 hours of data was obtained (from 00:04:38 on 2 August 2019 to

124 00:04:47 on 11 August 2019) of Level II WSR-88D data from Doppler Radar KOTX

125 (DOC/NOAA/NWS/ROC, 1991), which is approximately 80 km from the fire. During this

period, the Doppler Radar operated in two modes: VCP-35 (clear-air mode) and VCP-215

127 (precipitation mode). When operated in VCP-35, radar data is collected at 9 elevation angles

 $(0.5^{\circ}, 0.9^{\circ}, 1.3^{\circ}, 1.8^{\circ}, 2.4^{\circ}, 3.1^{\circ}, 4.0^{\circ}, 5.1^{\circ}, and 6.4^{\circ})$  approximately every 7 minutes, whereas in

VCP-215, data is collected for 15 elevation angles (VCP-35 angles, 8.0°, 10.0°, 12.0°, 14.0°,

130 16.7°, and 19.5°) approximately every 6 minutes (NOAA National Weather Service et al., 2023).

131 The WSR-88D is designed to detect atmospheric targets or precipitation-sized hydrometeors (diameter  $D > 100 \,\mu\text{m}$ ) from backscattered electromagnetic energy in the 132 133 microwave spectrum and the returned energy is used to determine the reflectivity (measured in dBZ) (Donald Burgess & Peter S. Ray, 1986). The Doppler Radar is also designed to detect how 134 similarly the horizontally and vertically polarized pulses (of returned energy) are behaving; this 135 similarity is quantified using the correlation coefficient (Doviak et al., 2000). Atmospheric 136 targets that are highly variable in size and shape (such as debris or birds) will likely have less 137 138 similarly behaving horizontal and vertical pulses, leading to lower correlation coefficient values (Melnikov et al., 2008; Zrnic et al., 2020); targets that are more uniform in size and shape (such 139

140 as rain droplets or snow) will have more similarly behaving horizontal and vertical pulses,

141 leading to higher correlation coefficient values (Liu & Chandrasekar, 2000).

Radar reflectivity and correlation coefficient data were passed through the injection height estimation algorithm (details provided in Section 2.3) and hence used to estimate the injection heights of smoke aerosols.

145 2.3 Injection height estimation algorithm

The following injection height estimation algorithm uses Py-ART, a Python module 146 developed for parsing weather radar data (Helmus & Collis, 2016). The radar data (i.e., the 147 reflectivity and correlation coefficient data) was re-gridded into cartesian coordinates using Py-148 ART and then passed through the injection height estimation algorithm developed for this study. 149 The algorithm works by analyzing a pre-determined, three-dimensional grid around a fire. Here, 150 we studied the 2019 Williams Flats Fire (located at 47.98°N latitude, -118.624°E longitude) 151 (Peterson et al., 2022; Ye et al., 2021, 2022), with the pre-determined grid defined to extend 152 from 47.85°N to 48.05°N latitude and -118.70°E to -118.20°E longitude. The horizontal grid 153 spacing is considered to be ~1000 m, similar to the range resolution described in National 154 Research Council (2002). The radar vertical resolution can be approximated by the difference 155 between the height of the center of the beams at consecutive angles, which at 80 km distance is 156 500-700m for the first four angles (0-2.5 km altitude) and increases from there (e.g., ~1 km 157 resolution at  $\sim$ 4 km altitude,  $\sim$ 2 km resolution at 9-11 km altitude). Thus, the vertical resolution 158 of the grid was set 500 m to get the most the radar vertical resolution at the lower levels. At each 159 timestamp, the algorithm searches for vertical regions of continguous reflectivity exceeding or 160 161 equal to a defined minimum reflectivity threshold, returning the maximum injection height if the reflectivity value falls below the minimum threshold (Figure 1a). For each (x, y) position within 162

- the pre-determined grid, the algorithm can search up to a height of 14727 m in 500 m increments
- 164 (Note that the height of the radar is 727 m above sea level).



Figure 1. Upper panel (a): Diagrammatic representation of initial version of the injection height 166 estimation algorithm. (Left) The algorithm searches upwards for each grid square within the pre-167 determined grid for regions of contiguous reflectivity, (Middle) the algorithm searches upwards 168 iteratively if the current region satisfies the reflectivity threshold, (Right) the algorithm returns 169 the last height for which the reflectivity threshold was satisfied if 2 'bad' reflectivity values are 170 retrieved. The algorithm allows a buffer of 2 'bad' reflectivity values before retrieving the 171 maximum height; case A depicts a contiguous reflectivity situation whereas case B depicts a 172 (likely rare) discontiguous reflectivity situation. Lower panel (b): Similar to (a) but for the 173 modified version of the injection height estimation algorithm. (Left) For each grid square, the 174 algorithm iteratively searches upwards, (Middle) moving upwards if the reflectivity and 175 correlation coefficient conditions are satisfied. (Right) The algorithm allows a buffer of 2 'bad' 176 reflectivity or correlation coefficient values before retrieving the maximum injection height. 177

In previous studies, scientists utilized polarimetric data to identify smoke plumes,
observing reflectivity values on the range of 10-25 dBZ (Lang et al., 2014; Zrnic et al., 2020).
Therefore, drawing inspiration from existing literature, reflectivity threshold values for lofted

- debris were tested in a range of 5-20 dBZ (Figure 2a). We considered 10 dBZ to be an
- appropriate minimum threshold as a 5 dBZ threshold generated heights that likely did not
- 183 correspond to the smoke top since fire activity was very low between 14-19 UTC and thresholds
- of 15 dBZ and 20 dBZ tended to produce significantly lower heights for the more active fire
- 185 period after 20 UTC (Ye et al., 2021). The 10 dBZ threshold is also consistent with the
- assumptions made in previous work (Jones & Christopher, 2009).





Figure 2. Injection heights estimated on 2 August 2019 (UTC). (a) Heights estimated using
different lower bounds of reflectivity values: 5 dBZ, 10 dBZ, 15 dBZ, and 20 dBZ. The

appropriate minimum threshold chosen was 10 dBZ. (b) Heights estimated using minimum

reflectivity threshold of 10 dBZ and different upper reflectivity thresholds: 25 dBZ, 40 dBZ, and

192 55 dBZ. (c) Initial (grey) and modified (orange) algorithms. The extremely high injection heights 193 (upwards of 10 km above sea level) occur around the time the Williams Flats Fire began (dotted, 194 blue vertical line), leading to the conclusion that the initial algorithm was likely picking up on 195 the hydrometeors from the early-morning thunderstorm in the Colville Reservation, WA. The 196 correlation coefficient constraint within the modified algorithm successfully reduces the heights 197 retrieved.

The Williams Flats Fire, first reported at 10:23 UTC on 2 August 2019, was ignited by 198 199 lightning strikes associated with the thunderstorm ~80 km northwest of the Doppler Radar (KOTX) (Ye et al., 2021). Therefore, the initial algorithm (Figure 1a) ran the risk of retrieving 200 201 heights of atmospheric targets whose reflectivity exceeded the minimum threshold of 10 dBZ and were likely not BBD, but instead were more likely the hydrometeors present in the 202 thunderstorm that initiated the fire. Attempts were made to discriminate between BBD and 203 hydrometeors by setting an upper bound on the reflectivity values (Figure 2b), but this did not 204 help in discriminating between BBD and the hydrometeors from the thunderstorm. Hence, other 205 approaches were tested. A correlation coefficient constraint was embedded within the algorithm 206 to curb the possible overestimation of injection heights; heights were only retrieved if both the 207 208 reflectivity and correlation coefficient conditions were met to improve the injection height retrievals when rain or snow is present (Figure 1b). Based on existing literature, the correlation 209 210 coefficient values inside smoke plumes tend to be below 0.8 (Melnikov et al., 2008; Zrnic et al., 2020) and rain or drizzle tends to have values above 0.9 (Liu & Chandrasekar, 2000), and thus a 211 range of 0.2-0.9 was assumed for detecting BBD. Results from this modification are discussed in 212 Sections 3 and 4. This modification proved to be effective in discerning between debris and 213 214 hydrometeors as the injection heights retrieved for 2 August 2019 with the modified algorithm 215 successfully eliminated the convective system (Figure 2c).

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2.4 Datasets used as reference for evaluation

The Differential Absorption Lidar (DIAL) – High Spectral Resolution Lidar (HSRL) (Hair et al., 2018) from DC-8 aircraft during the FIREX-AQ field campaign was used as reference. The DC-8 sampled the Williams Flats Fire plume on 3, 6, and 7 August 2019 (PST), capturing multiple phases of the fire. Images of eleven transects overpassing the Williams Flats Fire on these days can be found in Ye et al. (2021). The DIAL-HSRL system is capable of

providing measurements of aerosol depolarization (355, 532, 1064 nm), aerosol/cloud extinction 222 (532 nm), and backscatter coefficients (355, 532, 1064 nm) above and below flight height at a 223 temporal resolution of 10 seconds. Maximum smoke injection heights were derived based on the 224 vertical gradients of 532 nm backscatter coefficients, and are used in this study (Ye et al., 2021). 225 It should be noted that while the lidar footprint is narrow, the measuring strategy implemented in 226 FIREX-AQ consisted on doing an overpass at altitude across the axis of the plume, followed by 227 several plume crossings at increasing distances downwind of the fire (Warneke et al., 2023). 228 229 Since DIAL-HSRL detects aerosols that do not settle immediately (as is likely the case with BBD), the measurement strategy allows us to say with confidence that the retrieved heights are 230 an accurate representation of the whole plume. 231

Geostationary satellite imagery produced specifically for FIREX-AQ by the Florida State University team was used to provide context regarding smoke and aircraft location (Warneke et al., 2023). Airborne lidar and satellite imagery are available in the FIREX-AQ data repository (NASA/LARC/SD/ASDC, 2020).

# 236 **3 Results**

# 237 3.1 Time series of injection heights

Using the injection height estimation algorithm (detailed in Section 2.3), an extended 238 239 time series of the plume injection heights was retrieved for the 2019 Williams Flats Fire event (Figure 3). It should be noted that the time series captures the typical diurnal cycle of fires, with 240 daily maximums occurring during the latter half of the day when the fire's intensity and 241 convective mixing is maximized (Jones & Christopher, 2009; Zrnic et al., 2020). We also note 242 that despite regular retrievals of radar data, there are visible gaps in the extended time series. 243 This is likely due to the weak reflectivity observed during the morning period, as such we may 244 conclude that the buoyancy flux of the fire was not strong enough to lift sufficient BBD to meet 245 the reflectivity threshold or the correlation coefficient constraint (Rodriguez et al., 2020; Tory et 246 al., 2018). The time series also shows large differences between intermediate heights (i.e., 247 heights within the 25-75<sup>th</sup> percentile range) and the maximum heights during the most intense 248 periods of the diurnal cycles, sometimes reaching >6 km differences, which needs to be further 249



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Figure 3. Box and whisker plots depicting an extended time series of radar-derived smoke 252 injection heights (2-10 August 2019) aggregrated over 3-hour intervals. Central, solid lines 253 indicate the median, circles indicate the mean, boxes indicate the lower and upper quartiles, 254 whiskers indicate the upper and lower deciles, and the crosses connected with solid lines indicate 255 the maximums. The time series captures the diurnal cycle of fires, displaying that the daily 256 maximum injection heights are present during the late afternoon period. Visible gaps in the time 257 series occur when the reflectivity and correlation coefficient conditions are not satisfied or when 258 259 there were less than 10 samples in each time interval.

## 260 3.2 Comparison to injection heights retrieved from airborne lidar data

To evaluate the algorithm's accuracy in retrieving injection heights of BBD, they were 261 compared to the injection heights derived from airborne lidar data from the 2019 FIREX-AQ 262 campaign. The flight path of the aircraft (with the airborne lidar) tended to sample the whole 263 extent of the plume, going beyond the pre-determined grid used to retrieve the radar-derived 264 injection heights (the red box(es) in Figures 4a-c). Hence, the lidar-derived injection heights 265 outside the pre-determined grid were removed for this comparison as BBD is expected to settle 266 quickly and is therefore unlikely to match the smoke heights further away from the fire. Figure 5 267 shows distributions of injection heights for 3, 6, and 7 August 2019 (PST), which include the 268



269 days when the aircraft was sampling this fire.

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- determined grid (red box) for flights on August 3, 6, and 7; Imagery from GOES-17 satellite
- imagery. The blue star represents the aircraft location at the time. **Right panels (b) (d) (f):** Maps
- of maximum injection heights derived from the radar data for the corresponding times.



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Figure 5. Box and whisker plots (similar to Figure 3) of the injection heights derived from both radar (blue) and airborne lidar (red) data for each hour (local time) on (**a**) 3 August 2019, (**b**) 6 August 2019, and (**c**) 7 August 2019. The number of data points for each hour is shown at the top of each panel, color-coded according to the corresponding box and whisker plot(s). For further details, see Table 1.

Table 1. Distribution of hourly maximum  $(R_{max}, L_{max})$ , mean  $(R_{mean}, L_{mean})$ , and median  $(R_{median}, L_{median})$  injection heights derived from both radar and lidar data (in meters). The heights in the following table correspond to the maximum heights retrieved at the time the aircraft was airborne. Lidar-derived heights are retrieved according to the flight path and radarderived heights are retrieved within the pre-determined grid. All values are rounded to 3 significant figures.

Date (PST)	Time (PST)	R <sub>max</sub> (m)	L <sub>max</sub> (m)	R <sub>mean</sub> (m)	L <sub>mean</sub> (m)	R <sub>median</sub> (m)	L <sub>median</sub> (m)	$R_{75th_p}$ (m)	$L_{75th\_p}$ (m)	$R_{90th_p}$ (m)	$L_{90th\_p}$ (m)
	14:00	5410	3310	3590	3020	3860	3010	4380	3220	5410	3310

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	15:00	6450	4450	3480	3000	3340	3000	4380	3160	5410	3280
3 Aug	17:00	5930	4450	3800	3220	3860	3100	4380	3420	4890	4000
2019	18:00	6450	4420	3280	3470	3340	3430	3860	3620	4380	4270
	19:00	5410	3940	3710	3230	3860	3070	4380	3550	4890	3910
6 Aug 2019	14:00	5410	3970	3270	3280	3340	3250	3860	3470	4380	3700
7	16:00	9040	7090	5210	5230	5410	5130	6450	5770	6970	6890
7 Aug 2010	18:00	9560	7420	5420	5250	5410	5500	5930	5930	6970	6970
2019	19:00	9040	6340	4630	5330	4380	5320	5410	5530	6450	6040
Mean b	oias [m]	1920		151		221		596		820	
Mean	% bias	40.	0%	5.7	7%	8.5	8.5%		17.1%		7%
Mean error [m]		19	20	356		470		62	22	82	20
Mean % error		40.	0%	10.0%		13.3%		17.5%		22.7%	

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288 From Figure 5, it can be concluded that the distribution of maximum injection heights derived from radar data is significantly wider than the injection heights derived from the lidar 289 290 data. The maximum injection heights retrieved from the radar are overpredicted by ~2000 m, a 40% difference on average (Table 1). However, the mean, median, 75<sup>th</sup> and 90<sup>th</sup> percentiles seem 291 to agree better with the injection heights derived from airborne lidar data (350-820 m mean 292 293 error), though a general overprediction of heights persists (given the assumption that the airborne lidar data is the reference). Figure 5 also shows that the radar data is capable of capturing the 294 increase in top injection heights from the 3<sup>rd</sup> and 6<sup>th</sup> of August to the 7<sup>th</sup>, thus capturing the day-295 to-day variability. As mentioned above, the time series of heights derived from radar data has 296 gaps when fire intensity is not strong enough, but shallow smoke aerosol injections into the 297 boundary layer could still occur during these periods (as seen during 18-20 UTC on 6 August 298 2019). On the other hand, each time free-tropospheric injections were detected by the lidar, the 299 radar shows strong diurnal signals (Figure 5a, c). Thus, for applications using radar data to inject 300 smoke into models, a fair assumption for injection when the radar signal is not available would 301 be to place it within the boundary layer. 302

The overprediction by the injection height estimation algorithm (using radar data) could be occurring for several reasons. One potential reason for the overprediction is that the algorithm is retrieving maximum injection heights for each timestamp within the pre-determined grid whereas the lidar-derived injection heights are retrieved according to the flight path. Therefore, there is a possibility that the radar and lidar-derived injection heights are being retrieved for different locations. Hence, to make this comparison more robust, a location-specific injection height mapping was used. The injection heights were derived using the algorithm at the latitude310 longitude position of the aircraft. Reflectivity cross-sections over the flight track with the



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Figure 6. Left panels: Temporal cross-sections of reflectivity according to portions of the flight paths on (a) 3 August 2019 and (b) 7 August 2019. Injection heights are super-imposed on the cross-section. Radar derived injection heights are highlighted in red for both plots. Lidar-derived injection heights within the pre-determined grid are highlighted as yellow triangles. All other lidar-derived heights are shown as white dots. **Right panels:** Similar to Figure 5, but using radar data mapped according to the flight track instead for (a) 3 August 2019, (b) 6 August 2019, and (c) 7 August 2019.

Table 2. Further details on Figure 6. Similar to Table 1, but with radar data mapped according to
 the flight track for the appropriate days.

Date (PST)	Time (PST)	R <sub>max</sub> (m)	L <sub>max</sub> (m)	R <sub>mean</sub> (m)	L <sub>mean</sub> (m)	R <sub>median</sub> (m)	L <sub>median</sub> (m)	$R_{75th_p}$ (m)	$L_{75th_p}$ (m)	$\begin{array}{c} R_{90th\_p} \\ (m) \end{array}$	$L_{90th_p}$ (m)
	14:00	4380	3310	3550	3020	3860	3010	3990	3220	4380	3310
2 4 11 2	15:00	3860	4450	3170	3000	3600	3000	3860	3160	3860	3280
5 Aug	17:00	5930	4450	3310	3220	3340	3100	3860	3420	4060	4000
2019	18:00	2300	4420	2300	3470	2300	3430	2300	3620	2300	4270
	19:00	3340	3940	2730	3230	2820	3070	3340	3550	3340	3910

6 Aug 2019	14:00	2820	3970	2300	3280	2300	3250	2820	3470	2820	3700
7 4 11 2	16:00	6450	7090	5000	5230	4890	5130	5410	5770	5930	6890
7 Aug 2010	18:00	9040	7420	6230	5250	5930	5500	6970	5930	8110	6970
2019	19:00	7490	6340	4460	5330	3340	5320	5410	5530	6810	6040
Mean b	oias [m]	24.4		-220		-2	70	32	2.2	-84	4.4
Mean	% bias	-1.0%		-6.1%		-5.4%		0.8	3%	-2.	0%
Mean error											
[m]		1160		613		74	41	62	23	88	39
Mean % error		24.	4%	16.1%		19.	19.6%		16.2%		9%

322

After the location-specific mapping, we note that the maximum heights are much closer 323 together within the pre-determined grid around the fire (Table 2), with the mean error dropping 324 to 1160 m and near 0 bias. While bias is reduced, similar errors perist for other metrics (mean, 325 median, and percentiles) (610-890 m). We also note that there are still some instances where the 326 maximum injection height is largely overpredicted (e.g., 00 UTC on 4 August 2019, 01-02 UTC 327 on 8 August 2019 in Figure 3). Previously mentioned in Section 2, the maximum injection 328 329 heights for the whole domain should not substantially deviate from the lidar-derived injection heights due to the sampling strategy and the relatively low settling velocities of smoke aerosols. 330 Thus, we hypothesize that the overprediction of maximum injection heights observed by the 331 radar is due to outliers that are present throughout the time period of the fire. A reason for this 332 could be the coarse vertical resolution of the radar which is 1-2 km for these heights (Section 333 2.3). Thus, using the mean, median, 75<sup>th</sup> percentile, and 90<sup>th</sup> percentile heights appears to be a 334 more reliable use of radar data as resulting errors and biases are well within the expected radar 335 resolution. 336

337 Figure 6 also shows that BBD (which is much larger and heavier than other intermediate particles) is settling or sinking much faster as opposed to the smoke particles that are likely to 338 remain suspended for much longer, which could create differences in the injection heights 339 recorded for downwind regions and contribute to the errors. Another minor note is that the radar 340 341 records data approximately every 6-7 minutes for extended period of time, but the airborne lidar records data continuously for shorter periods of time-the time comparison is not exact, 342 introducing some uncertainty in this comparison. Also important to note is that the grid spacing 343 of ~1000 m within the pre-determined grid could be impacting radar-derived results and that the 344

radar itself may have outliers and artifacts that contribute to discrepencies in this comparison,
adding to the overprediction of heights.

Overall, usage of these retrievals is only recommended in the vicinity of the fire, for 347 which the overall, average percentage difference difference was small (Table 2). Further, to 348 capture the maximum injection heights of a fire with radar retrievals over the whole grid, an 349 appropriate percentile (of the radar-derived heights) would need to be determined. Mean and bias 350 metrics were computed using data from Table 1 using the lidar-derived maximum heights and 351 the 75<sup>th</sup>-90<sup>th</sup> percentiles of radar-derived heights, resulting in ~600 m mean error and bias 352 ranging from -2% to 13%. Hence, radar-derived heights within the 75<sup>th</sup>-90<sup>th</sup> percentile range 353 would appropriately capture the maximum injection heights, given the >1 km expected 354 355 resolution at these heights.

One characteristic of the 2019 Williams Flats Fire event was the occurrence of fire-356 generated thunderstorms (pyro-cumulonimbus or 'pyroCb' for short) around 06 UTC on 8 357 August 2019 and 00 UTC on 9 August 2019 (Peterson et al., 2022). The DC-8 aircraft flew on 8 358 August 2019 and sampled the latter pyroCbs. Lidar retrievals for these flights were not included 359 in the analysis given that the aircraft sampled smoke and anvils mostly downwind of the pre-360 determined grid, primarily due to safety issues. However, the radar-derived heights can be 361 compared to the anvil heights derived in Ye et al., (2021), which are between 9-10 km during 00-362 03 UTC on 9 August 2019. Shown in Figure 3, the maximum heights for this temporal range are 363 ~13 km, showing a similar overprediction as the other days. However, the heights in the 75<sup>th</sup>-90<sup>th</sup> 364 percentiles are within the 5-8 km range, below the maximum injection heights. Thus, a larger 365 percentile may need to be used to better capture the top injection heights for pyroCbs. 366

### 367 4 Conclusions

We have shown that it is possible to fully resolve the diurnal and day-to-day behavior of wildfires using WSR-88D dual polarization data to estimate the injection heights of smoke plumes using BBD as a surrogate for smoke aerosol particles around the source of the fire. The injection height estimation algorithm, which was constructed with the help of previous observations of polarimetric data characteristic to smoke plumes (i.e., Reflectivity  $Z \ge 10$  dBZ and correlation coefficient 0.2 < C. C. < 0.9), was able to estimate the injection heights of BBD at regular time intervals for the whole life-span of a fire. These extended time series of injection
heights derived from the radar data depicted a strong diurnal variability of injection heights, with
the deepest smoke injection during the latter half of the day.

To validate the injection height estimation algorithm, the derived injection heights were 377 compared to injection height retrievals from airborne lidar data. For a given time, the radar data 378 was used to retrieve the maximum injection heights within the pre-determined grid whereas the 379 lidar data was retrieved along the flight path. Results indicate that that statistical metrics such as 380 the mean, median, 75<sup>th</sup> percentile, and 90<sup>th</sup> percentile heights were well captured (350-820 m 381 mean error). However, the maximum injection heights were consistently over predicted (40% on 382 average) likely due to outliers resulting from the coarsening of the radar vertical resolution with 383 higher altitude. Reflectivity profiles were plotted over time according to the flight path of the 384 aircraft; these location-specific injection height retrievals within the vicinity of the fire yielded 385 better results for maximum injection heights but similar errors for other metrics. Results show 386 that the true maximum smoke injection heights are generally correspond to the radar heights 387 between the 75<sup>th</sup> and 90<sup>th</sup> percentile, except for pyroCbs for which a larger percentile value may 388 need to be determined. 389

While radars allow for the retrieval of real-time smoke injection measurements and for 390 the analyses of the diurnal behavior of smoke plumes, several sources of uncertainty persist. 391 Location-specific comparisons of injection heights derived from both radar and lidar data seem 392 to indicate that as distance from the source of the fire increases, the accuracy of the injection 393 394 heights (estimated form the radar data) decreases. Further work may involve combining data from several Doppler Radars and accounting for how debris particles are likely to behave when 395 suspended in the atmosphere using numerical models. Another source of uncertainty would be 396 whether the reflectivity and correlation coefficient thresholds are optimal for the accurate 397 estimation of injection heights. Currently, these values have been chosen using prior 398 399 observations of polarimetric data, however since reflectivity and correlation coefficient values vary from fire to fire, other classifiers need to be explored. Future work will also need to 400

evaluate the skill of estimating injections from radar data against other methods of estimating
 injection heights, such as those from satellites that use passive remote sensing.

While having inherent uncertainties, the close to full-time coverage of radar-derived smoke injection heights from operational weather radars has strong potential to help monitor the conditions of fires in a real-time manner. Additionally, it can be used in a variety of applications including the evaluation of smoke injection approacjes in the context of air quality and atmospheric composition modeling (Thapa et al., 2022; Ye et al., 2021), supporting the identification of pyroconvection (Peterson et al., 2022), and assessing historical trends of smoke injection (Wilmot et al., 2022).

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414

# 415 **Open Research**

NOAA Next Generation Radar (NEXRAD) Level II data that can be accessed in the 416 NOAA National Centers for Environmental Information repository (NOAA National Weather 417 Service (NWS) Radar Operations Center, 1991), was used in the algorithm described in this 418 manuscript. Also used within the algorithm to process and interpret the WSR-88D data, is the 419 Python ARM Radar Toolkit, Py-ART version 1.11.6 (Helmus & Collis, 2016). 420 The FIREX-AQ data, used to evaluate the results of the algorithm described in the 421 422 manuscript, is archived by the National Aeronautics and Space Administration, U.S. Government (NASA/LARC/SD/ASDC, 2020). GOES-17 satellite imagery (used in some figures) is also 423 424 available in the FIREX-AQ repository. The code for the algorithm, figures, tables, and data analysis are written in Python 425 (Python version 3.9.7), available under the license https://www.python.org and MATLAB 426 version R2020b (The MathWorks Inc., 2020), available at https://www.mathworks.com. The 427 Python code is written with the help of several libraries, including NumPy version 1.21.2 (Harris 428 et al., 2020) under the license https://numpy.org, Matplotlib version 3.4.3 (Hunter, 2007) under 429 the license https://www.matplotlib.org, SciPy version 1.2.1 (Virtanen et al., 2020) under the 430

- 431 license <u>https://scipy.org</u>, and Pandas version 1.2.5 (McKinney, 2010; The pandas development
- team, 2021) under the license <u>https://pandas.pydata.org</u>. Some figures were also made with the
- help of Microsoft PowerPoint version 16.75 (Microsoft Corporation, 2023), Keynote version
- 434 13.1 (Apple Inc, 2023), and Adobe Illustrator 2023 version 27.4.1 (Adobe Inc., 2023). Code
- 435 associated with this manuscript is published on GitHub
- 436 (<u>https://github.com/mansakrishna23/Injection\_Height\_Estimation\_Algorithm</u>) and Zenodo
- 437 (https://doi.org/10.5281/zenodo.8306303).

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1	Evaluation of Wildfire Plume Injection Heights Estimated from Operational
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14	Key Points:
15	• Weather radar estimates of biomass burning debris injection heights are evaluated against
16	aerosol heights from airborne lidar.
17	• Radar maximum injection heights tend to be overpredicted while mean, median, 75 <sup>th</sup> and
18	90 <sup>th</sup> percentiles perform better.
19	• The maximum injection height can be predicted generally well by the 75 <sup>th</sup> to 90 <sup>th</sup>
20	percentiles of the radar estimates.

## 21 Abstract

The vertical distribution of wildfire smoke aerosols is important in determining its environmental 22 impacts but existing observations of smoke heights generally do not possess the temporal 23 resolution required to fully resolve the diurnal behavior of wildfire smoke injection. We use 24 Weather Surveillance Radar-1988 Doppler (WSR-88D) dual polarization data to estimate 25 injection heights of Biomass Burning Debris (BBD) generated by fires. We detect BBD as a 26 surrogate for smoke aerosols, which are often collocated with BBD near the fire but are not 27 within the size range detectable by these radars. Injection heights of BBD are derived for 2-10 28 29 August 2019, using radar reflectivity ( $Z \ge 10$  dBZ) and dual polarization correlation coefficients (0.2 < C.C < 0.9) to study the Williams Flats Fire event. Results show the expected diurnal 30 cycles with maximum injection heights present during the late afternoon period when the fire's 31 intensity and convective mixing are maximized. Radar and airborne lidar injection height 32 comparisons reveal that this method is sensitive to outliers and generally overpredicts maximum 33 heights by 40%, though mean and median heights are better captured (<20% mean error). Radar 34 heights between the 75<sup>th</sup> and 90<sup>th</sup> percentile seem to accurately represent the maximum, with the 35 exception of heights estimated during the occurrence of pyro-cumulonimbus. Location specific 36 mapping of radar and lidar injection heights reveal that they diverge further away from the fire 37 38 due to BBD settling. Most importantly, radar-derived injection height estimates provide near continuous smoke height information, allowing for the study of diurnal variability of smoke 39 injections. 40

#### 41 Plain Language Summary

Wildfire smoke aerosols injected into the atmosphere pose a serious threat to human health and 42 the environment. Once in the atmosphere, aerosols travel long distances and affect air quality in 43 regions much farther away. These 'long distances' are strongly correlated with the maximum 44 heights aerosols can reach near their source, making it important to observe these 'injection 45 heights'. However, existing observations of injection heights are limited temporally, making it 46 difficult to study their diurnal and day-to-day variability. Here, we use weather radar data to 47 estimate injection heights of Biomass Burning Debris (BBD), which is assumed to be collocated 48 with aerosols that are too small to be detected by radars. Injection heights are estimated for the 49 Williams Flats Fire event in Washington for 2-10 August 2019. Results show that daily 50

51 maximum injection heights occur in the late afternoon, when the wildfire's intensity is strongest.

52 Further, radar-derived heights are compared to airborne lidar-derived heights for the same fire,

revealing that the maximums are overpredicted but intermediate values like the mean are well

represented. Radar-derived injection height estimates allow for near continuous smoke heights,

55 making it relevant for future studies.

#### 56 **1 Introduction**

The issue of air quality is a pressing concern due to the rapidly developing global 57 economy and increased industrialization and urbanization (Manisalidis et al., 2020). Not only is 58 the deterioration of air quality significant due to its environmental and ecological impacts, but 59 also due to the health risk it poses for humans (Gakidou et al., 2017). Wildfires contribute to this 60 burden on human health by emitting smoke aerosols into the atmosphere (Balmes, 2020), which 61 is a rising concern as the number of catastrophic wildfires worldwide are increasing with climate 62 change (Deb et al., 2020; Higuera & Abatzoglou, 2021). Furthermore, wildfire smoke aerosols 63 injected into the atmosphere above the boundary layer can travel long distances and affect 64 surface air quality in downwind regions (Buchholz et al., 2022; Hung et al., 2020). The injection 65 heights of these aerosols in the atmosphere are closely related to the residence time of aerosols in 66 the atmosphere and the distance they are transported (Schum et al., 2018), implying that greater 67 68 injection heights could lead to more widespread impacts on air quality, making it important to better observe the vertical distribution of these smoke aerosols. 69

According to prior studies, smoke injection heights have been estimated in multiple ways. 70 Multiple space-based estimation techniques exist, including the vertical profiles of aerosol and 71 72 cloud backscatter provided by the Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) instrument (Amiridis et al., 2010; Winker et al., 2004) and using the smoke height products 73 74 retrieved from various passive remote sensing instruments such as the Multi-angle Imaging SpectroRadiometer (MISR) (M. Val Martin et al., 2010; Maria Val Martin et al., 2018), the 75 76 Tropospheric Monitoring Instrument (TROPOMI) (Chen et al., 2021; Michailidis et al., 2022; Veefkind et al., 2012), the Moderate Resolution Imaging Spectroradiometer (MODIS), and the 77 Visible Infrared Imaging Radiometer Suite (VIIRS) (Hsu et al., 2019; Lee et al., 2015; Loría-78 Salazar et al., 2021; Saver et al., 2019). However, these retrievals are limited by the fact that the 79 80 sun-synchronous orbits of all these satellites only allow for one or two overpasses in a given day

(Maria Val Martin et al., 2018). Though stereo imaging from a pair of geostationary (GEO) 81 82 satellites with overlapping coverage is able to overcome the aforementioned limitation, this method has not been extensively validated and is only available during the daytime (Carr et al., 83 2020; Hasler, 1981). Thus, there is a need to develop and evaluate smoke injection height 84 estimates that cover full diurnal cycles and have the potential to provide real-time measurements. 85 Here, we explore the use of the weather surveillance radar, an under-utilized tool for 86 studying wildfires (McCarthy et al., 2019). Since smoke aerosols are often collocated with lofted 87 88 debris in the vicinity of the fire, the radar can be used to retrieve the injection heights of Biomass Burning Debris (BBD) produced from wildfires as a possible surrogate for the injection heights 89 of smoke aerosol plumes (Jones & Christopher, 2009). The significance of this approach lies in 90 the fact that it possesses adequate spatial and temporal coverage and allows for the retrieval of a 91 92 complete time series of plume injection heights and depicts day-to-day variability of the same (Jones & Christopher, 2009). While radar estimates of wildfire plume structure are being used to 93 94 evaluate models (Shamsaei et al., 2023), they have not been thoroughly compared to more established observations of smoke plume height. Drawing inspiration from Jones & Christopher 95 96 (2009), who have previously provided injection heights with an hourly resolution over a 2-day period, we retrieved plume injection heights for the whole lifetime of a fire and performed an 97 98 evaluation of these retrievals. In the following study, we describe the methods used to derive smoke injection heights from radars, show results for the 2019 Williams Flats Fire, and evaluate 99 100 them using airborne lidar data from the Fire Influence on Regional to Global Environments and Air Quality (FIREX-AQ) field campaign (Warneke et al., 2023). Conclusions and future 101 directions are outlined in the sections to follow. 102

# 103 2 Data and methods

#### 104

2.1 Weather Surveillance Radar-1988 Doppler (WSR-88D)

The WSR-88D network spread through the United States currently consists of 160 SBand (10 cm) precipitation radars operated by the National Oceanic and Atmospheric
Administration National Weather Service (Crum & Alberty, 1993; Holleman et al., 2022).
Doppler Radars in the WSR-88D network alternate between two modes (i.e., clear-air mode and
precipitation mode) and characterize echoes through reflectivity, correlation coefficient, radial
velocity, and spectrum width, i.e., the base radar products (Crum & Alberty, 1993). In either

111 clear-air and precipitation mode, the radar is operated in one of many Volume Coverage Patterns

112 (VCPs), which consists of the radar antenna making a series of 360° scans of the surrounding

atmosphere for pre-determined, increasing elevation angles (Crum & Alberty, 1993; Kingfield &

114 French, 2022; NOAA National Weather Service et al., 2023).

115 The localized instability and increased buoyancy produced by the heat of the fire may 116 result in lofting of significant amounts of debris, ash, and other particulate matter several 117 kilometers into the atmosphere (Kingsmill et al., 2023; Rodriguez et al., 2020; Thurston et al., 118 2017). It is important to note that the Doppler Radar is not sensitive to smoke particles (diameter 119  $D < 100 \mu$ m), rather they are sensitive to BBD (diameter D > 1 mm) that are large enough to be 120 detected by the weather radars (Banta et al., 1992; McCarthy et al., 2019).

121

2.2 Data collected: reflectivity and correlation coefficient characteristics

To estimate the plume injection heights of BBD for the 2019 Williams Flats Fire event in northeast Washington, ~216 hours of data was obtained (from 00:04:38 on 2 August 2019 to

124 00:04:47 on 11 August 2019) of Level II WSR-88D data from Doppler Radar KOTX

125 (DOC/NOAA/NWS/ROC, 1991), which is approximately 80 km from the fire. During this

period, the Doppler Radar operated in two modes: VCP-35 (clear-air mode) and VCP-215

127 (precipitation mode). When operated in VCP-35, radar data is collected at 9 elevation angles

 $(0.5^{\circ}, 0.9^{\circ}, 1.3^{\circ}, 1.8^{\circ}, 2.4^{\circ}, 3.1^{\circ}, 4.0^{\circ}, 5.1^{\circ}, and 6.4^{\circ})$  approximately every 7 minutes, whereas in

VCP-215, data is collected for 15 elevation angles (VCP-35 angles, 8.0°, 10.0°, 12.0°, 14.0°,

130 16.7°, and 19.5°) approximately every 6 minutes (NOAA National Weather Service et al., 2023).

131 The WSR-88D is designed to detect atmospheric targets or precipitation-sized hydrometeors (diameter  $D > 100 \,\mu\text{m}$ ) from backscattered electromagnetic energy in the 132 133 microwave spectrum and the returned energy is used to determine the reflectivity (measured in dBZ) (Donald Burgess & Peter S. Ray, 1986). The Doppler Radar is also designed to detect how 134 similarly the horizontally and vertically polarized pulses (of returned energy) are behaving; this 135 similarity is quantified using the correlation coefficient (Doviak et al., 2000). Atmospheric 136 targets that are highly variable in size and shape (such as debris or birds) will likely have less 137 138 similarly behaving horizontal and vertical pulses, leading to lower correlation coefficient values (Melnikov et al., 2008; Zrnic et al., 2020); targets that are more uniform in size and shape (such 139

140 as rain droplets or snow) will have more similarly behaving horizontal and vertical pulses,

141 leading to higher correlation coefficient values (Liu & Chandrasekar, 2000).

Radar reflectivity and correlation coefficient data were passed through the injection height estimation algorithm (details provided in Section 2.3) and hence used to estimate the injection heights of smoke aerosols.

145 2.3 Injection height estimation algorithm

The following injection height estimation algorithm uses Py-ART, a Python module 146 developed for parsing weather radar data (Helmus & Collis, 2016). The radar data (i.e., the 147 reflectivity and correlation coefficient data) was re-gridded into cartesian coordinates using Py-148 ART and then passed through the injection height estimation algorithm developed for this study. 149 The algorithm works by analyzing a pre-determined, three-dimensional grid around a fire. Here, 150 we studied the 2019 Williams Flats Fire (located at 47.98°N latitude, -118.624°E longitude) 151 (Peterson et al., 2022; Ye et al., 2021, 2022), with the pre-determined grid defined to extend 152 from 47.85°N to 48.05°N latitude and -118.70°E to -118.20°E longitude. The horizontal grid 153 spacing is considered to be ~1000 m, similar to the range resolution described in National 154 Research Council (2002). The radar vertical resolution can be approximated by the difference 155 between the height of the center of the beams at consecutive angles, which at 80 km distance is 156 500-700m for the first four angles (0-2.5 km altitude) and increases from there (e.g., ~1 km 157 resolution at  $\sim$ 4 km altitude,  $\sim$ 2 km resolution at 9-11 km altitude). Thus, the vertical resolution 158 of the grid was set 500 m to get the most the radar vertical resolution at the lower levels. At each 159 timestamp, the algorithm searches for vertical regions of continguous reflectivity exceeding or 160 161 equal to a defined minimum reflectivity threshold, returning the maximum injection height if the reflectivity value falls below the minimum threshold (Figure 1a). For each (x, y) position within 162

- the pre-determined grid, the algorithm can search up to a height of 14727 m in 500 m increments
- 164 (Note that the height of the radar is 727 m above sea level).



Figure 1. Upper panel (a): Diagrammatic representation of initial version of the injection height 166 estimation algorithm. (Left) The algorithm searches upwards for each grid square within the pre-167 determined grid for regions of contiguous reflectivity, (Middle) the algorithm searches upwards 168 iteratively if the current region satisfies the reflectivity threshold, (Right) the algorithm returns 169 the last height for which the reflectivity threshold was satisfied if 2 'bad' reflectivity values are 170 retrieved. The algorithm allows a buffer of 2 'bad' reflectivity values before retrieving the 171 maximum height; case A depicts a contiguous reflectivity situation whereas case B depicts a 172 (likely rare) discontiguous reflectivity situation. Lower panel (b): Similar to (a) but for the 173 modified version of the injection height estimation algorithm. (Left) For each grid square, the 174 algorithm iteratively searches upwards, (Middle) moving upwards if the reflectivity and 175 correlation coefficient conditions are satisfied. (Right) The algorithm allows a buffer of 2 'bad' 176 reflectivity or correlation coefficient values before retrieving the maximum injection height. 177

In previous studies, scientists utilized polarimetric data to identify smoke plumes,
observing reflectivity values on the range of 10-25 dBZ (Lang et al., 2014; Zrnic et al., 2020).
Therefore, drawing inspiration from existing literature, reflectivity threshold values for lofted

- debris were tested in a range of 5-20 dBZ (Figure 2a). We considered 10 dBZ to be an
- appropriate minimum threshold as a 5 dBZ threshold generated heights that likely did not
- 183 correspond to the smoke top since fire activity was very low between 14-19 UTC and thresholds
- of 15 dBZ and 20 dBZ tended to produce significantly lower heights for the more active fire
- 185 period after 20 UTC (Ye et al., 2021). The 10 dBZ threshold is also consistent with the
- assumptions made in previous work (Jones & Christopher, 2009).





Figure 2. Injection heights estimated on 2 August 2019 (UTC). (a) Heights estimated using
different lower bounds of reflectivity values: 5 dBZ, 10 dBZ, 15 dBZ, and 20 dBZ. The

appropriate minimum threshold chosen was 10 dBZ. (b) Heights estimated using minimum

reflectivity threshold of 10 dBZ and different upper reflectivity thresholds: 25 dBZ, 40 dBZ, and

192 55 dBZ. (c) Initial (grey) and modified (orange) algorithms. The extremely high injection heights 193 (upwards of 10 km above sea level) occur around the time the Williams Flats Fire began (dotted, 194 blue vertical line), leading to the conclusion that the initial algorithm was likely picking up on 195 the hydrometeors from the early-morning thunderstorm in the Colville Reservation, WA. The 196 correlation coefficient constraint within the modified algorithm successfully reduces the heights 197 retrieved.

The Williams Flats Fire, first reported at 10:23 UTC on 2 August 2019, was ignited by 198 199 lightning strikes associated with the thunderstorm ~80 km northwest of the Doppler Radar (KOTX) (Ye et al., 2021). Therefore, the initial algorithm (Figure 1a) ran the risk of retrieving 200 201 heights of atmospheric targets whose reflectivity exceeded the minimum threshold of 10 dBZ and were likely not BBD, but instead were more likely the hydrometeors present in the 202 thunderstorm that initiated the fire. Attempts were made to discriminate between BBD and 203 hydrometeors by setting an upper bound on the reflectivity values (Figure 2b), but this did not 204 help in discriminating between BBD and the hydrometeors from the thunderstorm. Hence, other 205 approaches were tested. A correlation coefficient constraint was embedded within the algorithm 206 to curb the possible overestimation of injection heights; heights were only retrieved if both the 207 208 reflectivity and correlation coefficient conditions were met to improve the injection height retrievals when rain or snow is present (Figure 1b). Based on existing literature, the correlation 209 210 coefficient values inside smoke plumes tend to be below 0.8 (Melnikov et al., 2008; Zrnic et al., 2020) and rain or drizzle tends to have values above 0.9 (Liu & Chandrasekar, 2000), and thus a 211 range of 0.2-0.9 was assumed for detecting BBD. Results from this modification are discussed in 212 Sections 3 and 4. This modification proved to be effective in discerning between debris and 213 214 hydrometeors as the injection heights retrieved for 2 August 2019 with the modified algorithm 215 successfully eliminated the convective system (Figure 2c).

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2.4 Datasets used as reference for evaluation

The Differential Absorption Lidar (DIAL) – High Spectral Resolution Lidar (HSRL) (Hair et al., 2018) from DC-8 aircraft during the FIREX-AQ field campaign was used as reference. The DC-8 sampled the Williams Flats Fire plume on 3, 6, and 7 August 2019 (PST), capturing multiple phases of the fire. Images of eleven transects overpassing the Williams Flats Fire on these days can be found in Ye et al. (2021). The DIAL-HSRL system is capable of

providing measurements of aerosol depolarization (355, 532, 1064 nm), aerosol/cloud extinction 222 (532 nm), and backscatter coefficients (355, 532, 1064 nm) above and below flight height at a 223 temporal resolution of 10 seconds. Maximum smoke injection heights were derived based on the 224 vertical gradients of 532 nm backscatter coefficients, and are used in this study (Ye et al., 2021). 225 It should be noted that while the lidar footprint is narrow, the measuring strategy implemented in 226 FIREX-AQ consisted on doing an overpass at altitude across the axis of the plume, followed by 227 several plume crossings at increasing distances downwind of the fire (Warneke et al., 2023). 228 229 Since DIAL-HSRL detects aerosols that do not settle immediately (as is likely the case with BBD), the measurement strategy allows us to say with confidence that the retrieved heights are 230 an accurate representation of the whole plume. 231

Geostationary satellite imagery produced specifically for FIREX-AQ by the Florida State University team was used to provide context regarding smoke and aircraft location (Warneke et al., 2023). Airborne lidar and satellite imagery are available in the FIREX-AQ data repository (NASA/LARC/SD/ASDC, 2020).

# 236 **3 Results**

# 237 3.1 Time series of injection heights

Using the injection height estimation algorithm (detailed in Section 2.3), an extended 238 239 time series of the plume injection heights was retrieved for the 2019 Williams Flats Fire event (Figure 3). It should be noted that the time series captures the typical diurnal cycle of fires, with 240 daily maximums occurring during the latter half of the day when the fire's intensity and 241 convective mixing is maximized (Jones & Christopher, 2009; Zrnic et al., 2020). We also note 242 that despite regular retrievals of radar data, there are visible gaps in the extended time series. 243 This is likely due to the weak reflectivity observed during the morning period, as such we may 244 conclude that the buoyancy flux of the fire was not strong enough to lift sufficient BBD to meet 245 the reflectivity threshold or the correlation coefficient constraint (Rodriguez et al., 2020; Tory et 246 al., 2018). The time series also shows large differences between intermediate heights (i.e., 247 heights within the 25-75<sup>th</sup> percentile range) and the maximum heights during the most intense 248 periods of the diurnal cycles, sometimes reaching >6 km differences, which needs to be further 249



251



Figure 3. Box and whisker plots depicting an extended time series of radar-derived smoke 252 injection heights (2-10 August 2019) aggregrated over 3-hour intervals. Central, solid lines 253 indicate the median, circles indicate the mean, boxes indicate the lower and upper quartiles, 254 whiskers indicate the upper and lower deciles, and the crosses connected with solid lines indicate 255 the maximums. The time series captures the diurnal cycle of fires, displaying that the daily 256 maximum injection heights are present during the late afternoon period. Visible gaps in the time 257 series occur when the reflectivity and correlation coefficient conditions are not satisfied or when 258 259 there were less than 10 samples in each time interval.

## 260 3.2 Comparison to injection heights retrieved from airborne lidar data

To evaluate the algorithm's accuracy in retrieving injection heights of BBD, they were 261 compared to the injection heights derived from airborne lidar data from the 2019 FIREX-AQ 262 campaign. The flight path of the aircraft (with the airborne lidar) tended to sample the whole 263 extent of the plume, going beyond the pre-determined grid used to retrieve the radar-derived 264 injection heights (the red box(es) in Figures 4a-c). Hence, the lidar-derived injection heights 265 outside the pre-determined grid were removed for this comparison as BBD is expected to settle 266 quickly and is therefore unlikely to match the smoke heights further away from the fire. Figure 5 267 shows distributions of injection heights for 3, 6, and 7 August 2019 (PST), which include the 268



269 days when the aircraft was sampling this fire.

270



- determined grid (red box) for flights on August 3, 6, and 7; Imagery from GOES-17 satellite
- imagery. The blue star represents the aircraft location at the time. **Right panels (b) (d) (f):** Maps
- of maximum injection heights derived from the radar data for the corresponding times.



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Figure 5. Box and whisker plots (similar to Figure 3) of the injection heights derived from both radar (blue) and airborne lidar (red) data for each hour (local time) on (**a**) 3 August 2019, (**b**) 6 August 2019, and (**c**) 7 August 2019. The number of data points for each hour is shown at the top of each panel, color-coded according to the corresponding box and whisker plot(s). For further details, see Table 1.

Table 1. Distribution of hourly maximum  $(R_{max}, L_{max})$ , mean  $(R_{mean}, L_{mean})$ , and median  $(R_{median}, L_{median})$  injection heights derived from both radar and lidar data (in meters). The heights in the following table correspond to the maximum heights retrieved at the time the aircraft was airborne. Lidar-derived heights are retrieved according to the flight path and radarderived heights are retrieved within the pre-determined grid. All values are rounded to 3 significant figures.

Date (PST)	Time (PST)	R <sub>max</sub> (m)	L <sub>max</sub> (m)	R <sub>mean</sub> (m)	L <sub>mean</sub> (m)	R <sub>median</sub> (m)	L <sub>median</sub> (m)	$R_{75th_p}$ (m)	$L_{75th\_p}$ (m)	$R_{90th_p}$ (m)	$L_{90th\_p}$ (m)
	14:00	5410	3310	3590	3020	3860	3010	4380	3220	5410	3310

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	15:00	6450	4450	3480	3000	3340	3000	4380	3160	5410	3280
3 Aug	17:00	5930	4450	3800	3220	3860	3100	4380	3420	4890	4000
2019	18:00	6450	4420	3280	3470	3340	3430	3860	3620	4380	4270
	19:00	5410	3940	3710	3230	3860	3070	4380	3550	4890	3910
6 Aug 2019	14:00	5410	3970	3270	3280	3340	3250	3860	3470	4380	3700
7	16:00	9040	7090	5210	5230	5410	5130	6450	5770	6970	6890
7 Aug 2010	18:00	9560	7420	5420	5250	5410	5500	5930	5930	6970	6970
2019	19:00	9040	6340	4630	5330	4380	5320	5410	5530	6450	6040
Mean b	oias [m]	1920		151		221		596		820	
Mean	% bias	40.	0%	5.7	7%	8.5	8.5%		17.1%		7%
Mean error [m]		19	20	356		470		62	22	82	20
Mean % error		40.	0%	10.0%		13.3%		17.5%		22.7%	

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288 From Figure 5, it can be concluded that the distribution of maximum injection heights derived from radar data is significantly wider than the injection heights derived from the lidar 289 290 data. The maximum injection heights retrieved from the radar are overpredicted by ~2000 m, a 40% difference on average (Table 1). However, the mean, median, 75<sup>th</sup> and 90<sup>th</sup> percentiles seem 291 to agree better with the injection heights derived from airborne lidar data (350-820 m mean 292 293 error), though a general overprediction of heights persists (given the assumption that the airborne lidar data is the reference). Figure 5 also shows that the radar data is capable of capturing the 294 increase in top injection heights from the 3<sup>rd</sup> and 6<sup>th</sup> of August to the 7<sup>th</sup>, thus capturing the day-295 to-day variability. As mentioned above, the time series of heights derived from radar data has 296 gaps when fire intensity is not strong enough, but shallow smoke aerosol injections into the 297 boundary layer could still occur during these periods (as seen during 18-20 UTC on 6 August 298 2019). On the other hand, each time free-tropospheric injections were detected by the lidar, the 299 radar shows strong diurnal signals (Figure 5a, c). Thus, for applications using radar data to inject 300 smoke into models, a fair assumption for injection when the radar signal is not available would 301 be to place it within the boundary layer. 302

The overprediction by the injection height estimation algorithm (using radar data) could be occurring for several reasons. One potential reason for the overprediction is that the algorithm is retrieving maximum injection heights for each timestamp within the pre-determined grid whereas the lidar-derived injection heights are retrieved according to the flight path. Therefore, there is a possibility that the radar and lidar-derived injection heights are being retrieved for different locations. Hence, to make this comparison more robust, a location-specific injection height mapping was used. The injection heights were derived using the algorithm at the latitude310 longitude position of the aircraft. Reflectivity cross-sections over the flight track with the



312



Figure 6. Left panels: Temporal cross-sections of reflectivity according to portions of the flight paths on (a) 3 August 2019 and (b) 7 August 2019. Injection heights are super-imposed on the cross-section. Radar derived injection heights are highlighted in red for both plots. Lidar-derived injection heights within the pre-determined grid are highlighted as yellow triangles. All other lidar-derived heights are shown as white dots. **Right panels:** Similar to Figure 5, but using radar data mapped according to the flight track instead for (a) 3 August 2019, (b) 6 August 2019, and (c) 7 August 2019.

Table 2. Further details on Figure 6. Similar to Table 1, but with radar data mapped according to
 the flight track for the appropriate days.

Date (PST)	Time (PST)	R <sub>max</sub> (m)	L <sub>max</sub> (m)	R <sub>mean</sub> (m)	L <sub>mean</sub> (m)	R <sub>median</sub> (m)	L <sub>median</sub> (m)	$R_{75th_p}$ (m)	$L_{75th_p}$ (m)	$\begin{array}{c} R_{90th\_p} \\ (m) \end{array}$	$L_{90th_p}$ (m)
	14:00	4380	3310	3550	3020	3860	3010	3990	3220	4380	3310
2 4 11 2	15:00	3860	4450	3170	3000	3600	3000	3860	3160	3860	3280
5 Aug	17:00	5930	4450	3310	3220	3340	3100	3860	3420	4060	4000
2019	18:00	2300	4420	2300	3470	2300	3430	2300	3620	2300	4270
	19:00	3340	3940	2730	3230	2820	3070	3340	3550	3340	3910

6 Aug 2019	14:00	2820	3970	2300	3280	2300	3250	2820	3470	2820	3700
7 Aug 2019	16:00	6450	7090	5000	5230	4890	5130	5410	5770	5930	6890
	18:00	9040	7420	6230	5250	5930	5500	6970	5930	8110	6970
	19:00	7490	6340	4460	5330	3340	5320	5410	5530	6810	6040
Mean bias [m]		24.4		-220		-270		32.2		-84.4	
Mean % bias		-1.0%		-6.1%		-5.4%		0.8%		-2.0%	
Mean error											
[m]		1160		613		741		623		889	
Mean % error		24.4%		16.1%		19.6%		16.2%		19.9%	

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After the location-specific mapping, we note that the maximum heights are much closer 323 together within the pre-determined grid around the fire (Table 2), with the mean error dropping 324 to 1160 m and near 0 bias. While bias is reduced, similar errors perist for other metrics (mean, 325 median, and percentiles) (610-890 m). We also note that there are still some instances where the 326 maximum injection height is largely overpredicted (e.g., 00 UTC on 4 August 2019, 01-02 UTC 327 on 8 August 2019 in Figure 3). Previously mentioned in Section 2, the maximum injection 328 329 heights for the whole domain should not substantially deviate from the lidar-derived injection heights due to the sampling strategy and the relatively low settling velocities of smoke aerosols. 330 Thus, we hypothesize that the overprediction of maximum injection heights observed by the 331 radar is due to outliers that are present throughout the time period of the fire. A reason for this 332 could be the coarse vertical resolution of the radar which is 1-2 km for these heights (Section 333 2.3). Thus, using the mean, median, 75<sup>th</sup> percentile, and 90<sup>th</sup> percentile heights appears to be a 334 more reliable use of radar data as resulting errors and biases are well within the expected radar 335 resolution. 336

337 Figure 6 also shows that BBD (which is much larger and heavier than other intermediate particles) is settling or sinking much faster as opposed to the smoke particles that are likely to 338 remain suspended for much longer, which could create differences in the injection heights 339 recorded for downwind regions and contribute to the errors. Another minor note is that the radar 340 341 records data approximately every 6-7 minutes for extended period of time, but the airborne lidar records data continuously for shorter periods of time-the time comparison is not exact, 342 introducing some uncertainty in this comparison. Also important to note is that the grid spacing 343 of ~1000 m within the pre-determined grid could be impacting radar-derived results and that the 344

radar itself may have outliers and artifacts that contribute to discrepencies in this comparison,
adding to the overprediction of heights.

Overall, usage of these retrievals is only recommended in the vicinity of the fire, for 347 which the overall, average percentage difference difference was small (Table 2). Further, to 348 capture the maximum injection heights of a fire with radar retrievals over the whole grid, an 349 appropriate percentile (of the radar-derived heights) would need to be determined. Mean and bias 350 metrics were computed using data from Table 1 using the lidar-derived maximum heights and 351 the 75<sup>th</sup>-90<sup>th</sup> percentiles of radar-derived heights, resulting in ~600 m mean error and bias 352 ranging from -2% to 13%. Hence, radar-derived heights within the 75<sup>th</sup>-90<sup>th</sup> percentile range 353 would appropriately capture the maximum injection heights, given the >1 km expected 354 355 resolution at these heights.

One characteristic of the 2019 Williams Flats Fire event was the occurrence of fire-356 generated thunderstorms (pyro-cumulonimbus or 'pyroCb' for short) around 06 UTC on 8 357 August 2019 and 00 UTC on 9 August 2019 (Peterson et al., 2022). The DC-8 aircraft flew on 8 358 August 2019 and sampled the latter pyroCbs. Lidar retrievals for these flights were not included 359 in the analysis given that the aircraft sampled smoke and anvils mostly downwind of the pre-360 determined grid, primarily due to safety issues. However, the radar-derived heights can be 361 compared to the anvil heights derived in Ye et al., (2021), which are between 9-10 km during 00-362 03 UTC on 9 August 2019. Shown in Figure 3, the maximum heights for this temporal range are 363 ~13 km, showing a similar overprediction as the other days. However, the heights in the 75<sup>th</sup>-90<sup>th</sup> 364 percentiles are within the 5-8 km range, below the maximum injection heights. Thus, a larger 365 percentile may need to be used to better capture the top injection heights for pyroCbs. 366

### 367 4 Conclusions

We have shown that it is possible to fully resolve the diurnal and day-to-day behavior of wildfires using WSR-88D dual polarization data to estimate the injection heights of smoke plumes using BBD as a surrogate for smoke aerosol particles around the source of the fire. The injection height estimation algorithm, which was constructed with the help of previous observations of polarimetric data characteristic to smoke plumes (i.e., Reflectivity  $Z \ge 10$  dBZ and correlation coefficient 0.2 < C. C. < 0.9), was able to estimate the injection heights of BBD at regular time intervals for the whole life-span of a fire. These extended time series of injection
heights derived from the radar data depicted a strong diurnal variability of injection heights, with
the deepest smoke injection during the latter half of the day.

To validate the injection height estimation algorithm, the derived injection heights were 377 compared to injection height retrievals from airborne lidar data. For a given time, the radar data 378 was used to retrieve the maximum injection heights within the pre-determined grid whereas the 379 lidar data was retrieved along the flight path. Results indicate that that statistical metrics such as 380 the mean, median, 75<sup>th</sup> percentile, and 90<sup>th</sup> percentile heights were well captured (350-820 m 381 mean error). However, the maximum injection heights were consistently over predicted (40% on 382 average) likely due to outliers resulting from the coarsening of the radar vertical resolution with 383 higher altitude. Reflectivity profiles were plotted over time according to the flight path of the 384 aircraft; these location-specific injection height retrievals within the vicinity of the fire yielded 385 better results for maximum injection heights but similar errors for other metrics. Results show 386 that the true maximum smoke injection heights are generally correspond to the radar heights 387 between the 75<sup>th</sup> and 90<sup>th</sup> percentile, except for pyroCbs for which a larger percentile value may 388 need to be determined. 389

While radars allow for the retrieval of real-time smoke injection measurements and for 390 the analyses of the diurnal behavior of smoke plumes, several sources of uncertainty persist. 391 Location-specific comparisons of injection heights derived from both radar and lidar data seem 392 to indicate that as distance from the source of the fire increases, the accuracy of the injection 393 394 heights (estimated form the radar data) decreases. Further work may involve combining data from several Doppler Radars and accounting for how debris particles are likely to behave when 395 suspended in the atmosphere using numerical models. Another source of uncertainty would be 396 whether the reflectivity and correlation coefficient thresholds are optimal for the accurate 397 estimation of injection heights. Currently, these values have been chosen using prior 398 399 observations of polarimetric data, however since reflectivity and correlation coefficient values vary from fire to fire, other classifiers need to be explored. Future work will also need to 400

evaluate the skill of estimating injections from radar data against other methods of estimating
 injection heights, such as those from satellites that use passive remote sensing.

While having inherent uncertainties, the close to full-time coverage of radar-derived smoke injection heights from operational weather radars has strong potential to help monitor the conditions of fires in a real-time manner. Additionally, it can be used in a variety of applications including the evaluation of smoke injection approacjes in the context of air quality and atmospheric composition modeling (Thapa et al., 2022; Ye et al., 2021), supporting the identification of pyroconvection (Peterson et al., 2022), and assessing historical trends of smoke injection (Wilmot et al., 2022).

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414

# 415 **Open Research**

NOAA Next Generation Radar (NEXRAD) Level II data that can be accessed in the 416 NOAA National Centers for Environmental Information repository (NOAA National Weather 417 Service (NWS) Radar Operations Center, 1991), was used in the algorithm described in this 418 manuscript. Also used within the algorithm to process and interpret the WSR-88D data, is the 419 Python ARM Radar Toolkit, Py-ART version 1.11.6 (Helmus & Collis, 2016). 420 The FIREX-AQ data, used to evaluate the results of the algorithm described in the 421 422 manuscript, is archived by the National Aeronautics and Space Administration, U.S. Government (NASA/LARC/SD/ASDC, 2020). GOES-17 satellite imagery (used in some figures) is also 423 424 available in the FIREX-AQ repository. The code for the algorithm, figures, tables, and data analysis are written in Python 425 (Python version 3.9.7), available under the license https://www.python.org and MATLAB 426 version R2020b (The MathWorks Inc., 2020), available at https://www.mathworks.com. The 427 Python code is written with the help of several libraries, including NumPy version 1.21.2 (Harris 428 et al., 2020) under the license https://numpy.org, Matplotlib version 3.4.3 (Hunter, 2007) under 429 the license https://www.matplotlib.org, SciPy version 1.2.1 (Virtanen et al., 2020) under the 430

- 431 license <u>https://scipy.org</u>, and Pandas version 1.2.5 (McKinney, 2010; The pandas development
- team, 2021) under the license <u>https://pandas.pydata.org</u>. Some figures were also made with the
- help of Microsoft PowerPoint version 16.75 (Microsoft Corporation, 2023), Keynote version
- 434 13.1 (Apple Inc, 2023), and Adobe Illustrator 2023 version 27.4.1 (Adobe Inc., 2023). Code
- 435 associated with this manuscript is published on GitHub
- 436 (<u>https://github.com/mansakrishna23/Injection\_Height\_Estimation\_Algorithm</u>) and Zenodo
- 437 (https://doi.org/10.5281/zenodo.8306303).

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