The physics behind groundwater recession and hydrologically passive mixing volumes.

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September 11, 2023

Abstract

To estimate groundwater flow and transport, lumped conceptual models are widely used due to their simplicity and parsimony - but these models are calibration reliant as their parameters are unquantifiable through measurements. To eliminate this inconvenience, we tried to express these conceptual parameters in terms of hydrodynamic aquifer properties to give lumped models a forward modelling potential. The most generic form of a lumped model representing groundwater is a unit consisting of a linear reservoir connected to a dead storage aiding extra dilution, or a combination of several such units mixing in calibrated fractions. We used one such standard two-store model as our test model, which was previously nicely calibrated on the groundwater flow and transport behaviour of a French agricultural catchment. Then using a standard finite element code, we generated synthetic Dupuit-Forchheimer box aquifers and calibrated their hydrodynamic parameters to exactly match the test model's behaviour (concentration, age etc). The optimized aquifer parameters were then compared with conceptual parameters to find clear physical equivalence and mathematical correlation - we observed that the recession behaviour depends on the conductivity, fillable porosity, and length of the catchment whereas the mixing behaviour depends on the total porosity and mean aquifer thickness. We also noticed that for a two-store lumped model, faster and slower store represents differences only in porosities making it rather a dual porosity system. We ended with outlining a clear technique on using lumped models to run forward simulations in ungauged catchments where valid measurements of hydrodynamic parameters are available.

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2	volumes.
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16	Key Points:
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18	• Synthetic Dupuit-Forchheimer box aquifers resembling the behaviour of lumped model units
19	(linear reservoir + dead storage) were generated.
20	
21	• Equivalence relations were established between conceptual and conventional groundwater
22	parameters via parameter influence analysis.
23	
24	• Procedure to run forward flow and transport simulations in ungauged catchments using
25	lumped models was outlined.
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35 Abstract:

To estimate groundwater flow and transport, lumped conceptual models are widely used due to their 36 37 simplicity and parsimony – but these models are calibration reliant as their parameters are 38 unquantifiable through measurements. To eliminate this inconvenience, we tried to express these 39 conceptual parameters in terms of hydrodynamic aquifer properties to give lumped models a forward 40 modelling potential. The most generic form of a lumped model representing groundwater is a unit 41 consisting of a linear reservoir connected to a dead storage aiding extra dilution, or a combination of 42 several such units mixing in calibrated fractions. We used one such standard two-store model as our 43 test model, which was previously nicely calibrated on the groundwater flow and transport behaviour 44 of a French agricultural catchment. Then using a standard finite element code, we generated 45 synthetic Dupuit-Forchheimer box aquifers and calibrated their hydrodynamic parameters to exactly match the test model's behaviour (concentration, age etc). The optimized aquifer parameters were 46 47 then compared with conceptual parameters to find clear physical equivalence and mathematical 48 correlation – we observed that the recession behaviour depends on the conductivity, fillable porosity, 49 and length of the catchment whereas the mixing behaviour depends on the total porosity and mean 50 aquifer thickness. We also noticed that for a two-store lumped model, faster and slower store represents differences only in porosities making it rather a dual porosity system. We ended with 51 52 outlining a clear technique on using lumped models to run forward simulations in ungauged 53 catchments where valid measurements of hydrodynamic parameters are available.

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55 **1. Introduction:**

56 Transit time distributions give us insights on the behaviour of water and solutes within a hydrological 57 system (Hrachowitz et al., 2016). Transit time estimation has thus become a common tool of process representation in flow and transport models in recent times, and a strong test of model output realism 58 (Benettin et al., 2022). But certain aspects of transit time theory still come under the "unsolved 59 60 problems" in hydrology (Blochl et al., 2019). Subsurface water is an important medium for 61 transporting geochemical constituents on a global scale. But unlike surface water, subsurface water is 62 not easy to access and quantify, and water and solute fluxes through subsurface systems are very difficult to measure (Phillips and Castro, 2003). However, intensification of agriculture and the 63 64 resultant increment in application of nitrate rich products in agricultural catchments for the past few 65 decades has dramatically increased the legacy nitrate concentration in both vadose zone and 66 groundwater along with nitrate loading in streams (Galloway et al., 2004; Seitzinger et al., 2010; 67 Howden et al., 2011; Worrall et al., 2012; Dunn et al., 2012; Ehrhardt et al., 2019) leading to global

issues like the nitrate time-bomb problem (Wang et al., 2013), and exceedance of the planetary 68 69 boundary by the nitrogen cycle (Rockstorm et al., 2009). The attenuated response of legacy nitrogen 70 stored in deeper groundwater compartments often causes catchments to take several decades to flush 71 out existing nitrates (Martinec, 1975; Ruiz et al., 2002; Tomer and Burkart, 2003; Basu et al., 2010; 72 Meals et al., 2010; Stewart et al., 2010; Aquilina et al., 2012; Basu et al., 2022) resulting in a very 73 long timescales to reflect managerial measures on stream nitrate concentration. It is thus necessary to 74 estimate the solute release rate of catchments by modelling groundwater and solute transit time, and 75 it has been thus prevalent in hydrology for a very long time (Maloszewski and Zuber, 1982; 76 Goode,1996; Etcheverry and Perrochet, 1999; Kirchner et al, 2000; Duffy, 2010; Gilmore et al., 77 2016; Bhaduri et al., 2022a). Therefore, a lot of advances have been made in catchment scale flow 78 and transport modelling in the last couple decades (McGuire and McDonnell, 2006; Hrachowitz et 79 al., 2016; Benettin et al., 2022). Amongst these, physics-driven distributed hydrological models like MODFLOW-MT3D (McDonald and Harbaugh, 2003; Zheng et al., 2012), PARFLOW (Kollet and 80 81 Maxwell, 2006), FEFLOW (Diersch, 2013) etc can most accurately simulate catchment flow and 82 transport processes whilst being able to account for the process complexity and heterogeneity. But 83 such models have a large computational expense, and they still deal with ill-posedness in inverse 84 problem definition (Hrachowitz et al., 2016; Bhaduri et al., 2022b). Consequently, reliance in parsimonious lumped conceptual models was reaffirmed in recent times (Birkel et al., 2014; Fovet et 85 al., 2015) primarily due to adaptability and computational simplicity, despite having issues like lack 86 87 of physical basis, non-scalability and inability to forward model (Hrachowitz et al., 2016; Bhaduri et 88 al., 2022b). But the question of whether these models will be able to imitate realistic catchment 89 processes and in turn accurately determine the transit times and produce "right answers for the right 90 reasons" still remains (Kirchner, 2006; Hrachowitz et al, 2013). Furthermore, long-term time series 91 measurements of groundwater levels and solute concentrations is a very daunting task and there are 92 many catchments in the world which lack such extensive measurement (Li et al., 2021) – but it is 93 essential to calibrate lumped models. Therefore, there are new avenues to explore about the linkage 94 of the lumped conceptual parameters to measured realistic field parameters giving hydrologists some 95 perspectives of forward modelling using lumped models and using them as a prediction tool and not 96 just a calibration tool.

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There are multiple ways to improve the transit time predictive performance of lumped conceptual
models. In terms of data, enabling the model to use long-term discharge and concentration time
series of the streams, as well as long term groundwater storage and chemical or tracer information
will help to constrain the model better and yield better results (Seibert and McDonnell, 2002; Gupta

et al., 2008; Fovet et al., 2015; Bhaduri et al., 2022a). In terms of process representation, using 102 103 different static and dynamic mixing coefficients that represent different fractions of input water 104 mixing with resident water (Dunn et al., 2007, Fenicia et al., 2010; McMillan et al., 2012; Soulsby et 105 al., 2015; Birkel et al., 2015) has been quite beneficial, which eventually led to the development of 106 piecewise linear SAS functions (Benettin et al., 2022). One step forward could be an attempt to 107 generate physically equivalent systems resembling conceptual lumped stores, and analyze those 108 systems to understand the physics of the conceptual stores and explore the insights that these stores 109 are providing about the emergent properties of the catchment. This might reduce the calibration 110 dependency of lumped models and provide opportunities to inspect effectiveness of conceptual 111 parameters.

112

Lumped conceptual models usually represent groundwater as a linear reservoir (or a weighted 113 114 combination of multiple linear reservoirs). Each reservoir has an unique recession coefficient, which 115 is a measure of the rate or speed at which the reservoir releases water, the rate being the inverse of its 116 turnover time. These reservoirs are usually attached to an immobile volume / dead storage which aids 117 the additional dilution required for the input mass to reach the measured levels of concentration of 118 the output breakthrough. These parameters are conceptual and can only be calibrated through inverse 119 modelling. Savenije (2018) mathematically connected Darcy law of groundwater flow to linear 120 reservoir theory. He further mentioned that predicting solute transport in such systems is "much less 121 straightforward requiring assumption of dual porosities". This inspired us in attempting to establish a 122 mathematical relationship between empirical calibration parameters of lumped models to physical 123 and measurable hydrodynamic aquifer properties that are used as parameters in conventional 124 groundwater flow and transport equations. To do this, we decided to take a synthetic approach. Our 125 objective here is to calibrate the parameters of a standard finite element code solving Boussinesq and 126 advection-dispersion equations against the outputs of a standard linear reservoir model, which was 127 previously calibrated against the data of a real world catchment with high degree of accuracy. The 128 parameters that we obtained from the exact calibration of a complex and process-intensive model 129 against the outputs of a parsimonious model will give us an exhaustive understanding of three things: 130 (a) parametric equivalence, i.e., to find a proper physics-based explanation on how this generic unit 131 cell (linear reservoir + dead storage) parameters are efficiently reproducing correct groundwater flow 132 and transport behavior; (b) parametric disparity, i.e., to check if two parameters are apparently 133 somewhat equivalent in process reproduction but due to different physical reasons. (c) whether the 134 age distribution of groundwater of the distributed model agrees with the nitrate travel times of the 135 lumped model since both are representative of particle movement timescales. If clear and generic 136 mathematical connections are established, it can create a forward modelling potential for both flow 137 and transport in lumped models, which would be beneficial for catchments with no long-term time 138 series available for calibration. It will also improve calibration performance due to prior knowledge 139 on the parameter ranges.

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141 **2. Materials and Methods:**

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143 **2.1 Study site, observation and modelling data used:**

Kerrien (Figure 1) is a 10.5 ha agriculture dominated headwater catchment located in the Kerbernez
site of South-Western French Brittany (47°35' N; 117°52' E), which belongs to the AgrHys Critical
Zone Observatory (Fovet et al., 2018; <u>https://www6.inra.fr/ore_agrhys_eng/</u>). For the detailed

description of topography, climate, soil, data monitoring and surveys conducted on Kerrien pleaserefer to Fovet et al., 2015.

ETNA (Ruiz et al., 2002) is the most basic form of a linear reservoir model representing

149 150

151 groundwater. In this model, two linear reservoirs + dead storage units operate exclusively – the one 152 with faster recession and lesser dead storage is called the fast store and the one with slower recession 153 and higher dead storage is called the slow store. Daily forcing variables are recharge and the solute 154 concentration of recharge, taken from Fovet et al., 2015 (check Supplementary). The outputs of these 155 stores aggregate at the outlet in a calibrated fraction to produce the desired stream nitrate 156 breakthrough. ETNA was calibrated against the long-term nitrate concentration time series (Fovet et 157 al., 2015) of the Kerrien stream outlet, to determine the groundwater flow and transport behavior of

158 Kerrien and the nitrate transit times. Despite its simplicity, it was very good in reproducing the

stream nitrate concentration pattern of Kerrien. Based on the optimized parameters of ETNA in

160 Kerrien, Bhaduri et al., 2022a hypothesized that these two reservoirs might be representative of the

- 161 groundwater from two parallel hillslopes.
- 162

FEFLOW 7.5 (FEFLOW 7.5 Documentation) is the most widely used finite element-based code for
 solving conventional groundwater flow and transport equations. It is therefore interesting to produce
 synthetic Dupuit-Forchheimer box aquifers using FEFLOW and calibrate the hydrodynamic

166 parameters against the breakthrough produced by individual ETNA stores hypothetically

167 representing those hillslope aquifers. This synthetic approach will allow us to establish a similarity in

- the influence of conceptual and physical parameters and therefore their equivalence. The inputs will
- 169 be the same as the lumped model, just uniformly distributed.
- 170
- 171 A brief description of ETNA, and its adaptation to Kerrien is provided in Supplementary. We
- describe below the analysis that we carried out in a stepwise fashion.
- 173

174 **2.2. Stepwise description of procedure followed:**

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176 **2.2.1.** Step 1: Deciding the geometrical configuration of FEFLOW box aquifers:

177 FEFLOW 7.5 was used to generate synthetic homogeneous box aquifers morphologically equivalent

to hypothetical ETNA reservoirs. To do this, equivalence must be established between the physical

dimensions of the actual catchment and the Dupuit-Forchheimer aquifers.

180

181 In Figure 1, we show that the diagonal of the catchment Kerrien (since Kerrien looks like a rhombus) 182 along the probable mean direction of overall groundwater movement according to the topography 183 and piezometry, is about 385m long (distance of outlet E3 from ridge). We thus decided the 184 dimensions of the rectangular 2D box catchments that we produce will be 400m*270m to match the area of the catchment. The width (W) of 270m does not matter as we took the left and right 185 boundaries to be no-flux boundaries (for both fluid and mass), making the domain behave like an 1d 186 187 Dupuit-Forchheimer aquifer as shown in Figure 1. The length we have taken is 15 m more than the 188 chief diagonal (L=385m) because the observation point representing the outlet of the catchment 189 should be taken slightly inwards to avoid boundary effects.

190

191 A triangular discretization (meshing) was done in the X-Y plane, but due to no-flux boundaries on

192 left and right, and zero transverse dispersivity, both flow and transport was forced along the X-

193 direction (along parallel streamlines). The Upper and the Lower boundaries are thus just

representative of x=0 and x=400 m respectively. Dirichlet boundary conditions for hydraulic head at

the upper and lower boundaries are calibrated in accordance with past studies. The Dirichlet

- boundary conditions for mass is 0 mg/l concentration at both upper and lower boundaries, with a
- 197 minimum mass flow constraint of 0 mg/l at lower boundary. Like any 1D Dupuit-Forchheimer
- 198 aquifer, the parabolic head distribution along X from upper to lower boundary represents the
- 199 curvature of the groundwater table (See Figure 4).





Figure 1: (a) A map of Kerrien catchment (AgrHys Critical Zone Observatory) highlighting important observation locations, stream, catchment limits and elevation contour lines (<u>https://geosas.fr/agrhys/</u>) (b) Outline of the diagonal (since Kerrien looks like a rhombus) representing a hypothetical linear stream tube from ridge to outlet E3 along which all the groundwater flow is hypothesized to be taking place. (c) Line-drawing of the basic 2D box

aquifer blueprint which is optimized to mimic different ETNA stores.

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208 2.2.2. Step 2: Parameter calibration in FEFLOW 7.5:

209 We first decide the initial hydrodynamic parameterization of the synthetic box catchments. In the

- 210 homogeneous representation of Kerrien by Martin et al., 2006, the hydraulic conductivity (K) was
- taken to be 7×10^{-6} m/s (=0.605m/d). We use this as a starting value. The topographic gradient varied
- from 14% in the upslope region to 5% in the downslope region, so we took mean hydraulic gradient

213 (i) of 10% as the value to begin with. The initial value of longitudinal hydrodynamic dispersivity (D)

was taken to be 10m (Martin et al., 2006) based on Gelhar's charts (Gelhar et al., 1992). The initial

total porosity (η) and drainable porosity (η_f) was taken to be 60% and 5% based on RMS

216 measurements (Martin et al., 2006).

217

Models like FEFLOW 7.5 produce a hydraulic head field but does not explicitly display discharge, it just displays a nodal and elemental Darcy flux. Furthermore, whether the net discharge will match the discharge of ETNA will largely depend on the method used to calculate the discharge. Thus, the only way to compare the water release rates of the fast and the slow stores of ETNA and corresponding synthetic FEFLOW box aquifers is to compare their discharge recessions. The discharge recession will be different from groundwater head recessions, the estimation technique of

which is demonstrated below.

225

We took 16 equally spaced observation points along the catchment at 25 m intervals, with point 1 and point 16 at 12.5m distance from the boundaries as shown in Figure 3. We simulated the head distribution profiles at those 16 points, and calculated their daily leakages during recessions over the entire simulation period using the following formula:

$$q_{L} = \frac{\eta_{f} (h_{t-1} - h_{t})}{t (= 1 d)} \qquad \qquad Eq(1)$$

230

Where q_L is the daily leakage in m/d and h is the head in m. The sum of these leakage time series at all 16 locations, when fit using an exponential decay, will give us a decay constant which is our recession constant.

234

235 We optimized our value of K, i and η_f twice (2 realizations, one for fast store one for slow store) in 236 such a way that the mean of such recession constants over the entire simulation period match that of 237 the fast and the slow stores of ETNA. This part of the calibration was done manually by altering K, i 238 and η_f over 2 orders of magnitude – i.e., the range of variations are 0.06 m/d $\leq K \leq 6$ m/d, 0.01 239 $\leq i \leq 1, 0.005 \leq \eta_f \leq 0.5$, at 5% increments. Here it is important to mention that the choice of the 240 Dirichlet Boundary Conditions at the upper and lower boundaries decides not only the gradient (i), 241 but also the volume available for mixing at a particular location. For instance, a variation of 242 boundary heads from 100m to 50m will produce a different unconfined aquifer thickness than boundary heads varying from 50m to 0m because of difference in volume available for mixing (all 243

- other parameters being constant), causing different levels of dilution. We settle for the K, i and η_f
- that best represents the recession whilst assigning the boundary heads in a way that reproduces the i
- and at the same time maintains the average thickness of the Dupuit-Forchheimer parabola close to
- the mean thickness of Kerrien (Martin et al., 2006). We then use the parameter optimization toolbox
- 248 in FEFLOW (FEPEST) to calibrate the η and fine tune the K and η_f (within the range of manually
- calibrated parameter values \pm 5%) to capture both the dilution and seasonality of the lumped
- reservoir output breakthroughs. We then use this K and η_f in reproducing the recessions and check if
- there's any improvement in results. If yes, we update values of K and η_f , otherwise we keep the
- values obtained in the last step. We then settle for these K, i, η_f , η with proper Dirichlet BCs at
- 253 boundaries. A flowchart displaying different steps of this synthetic experiment is outlined in Figure
- 254 2. Dispersivity (D) was kept at a low value of 10m (as mentioned earlier) as the lumped linear
- 255 reservoirs of ETNA do not simulate dispersive behavior.



- 256
- 257 Figure 2: A flowchart illustrating stepwise description of the procedure followed to establish
- 258 parametric equivalence between conceptual and conventional frameworks.
- 259

260 2.2.3. Step 3: Transit time calculation:

- 261 To determine the nitrate transit time using ETNA, same process was followed as Fovet at al., 2015.
- 262 Unit pulses of nitrate were sent on 1st August 1968, 1974 and 1980 representative of dry, average and

wet climatic sequences through the calibrated reservoirs. The mean of the times required to recover

half the input nitrate at the outlet in all the above 3 cases was calculated as Half Nitrate Recovery

- 265 Time (HNRT) which is supposed to be slightly lower than Mean Transit Time (MTT) for long
- tailed distributions, but nevertheless comparable with groundwater MTT found in the literature.
- 267

In FEFLOW we sent an uniformly distributed dirac-delta mass pulse $(1g/m^2/d)$ on the same 3 dates

269 (1st August 1968, 1974 and 1980) through the finalized box aquifers We documented the mean

270 movement of the centroid of the output concentration breakthrough, which is the groundwater MTT.

For this, the rainfall time series from 2020-2070 was generated just by repeating the time series of

272 273 1970-2020.

Furthermore, we calculated the age distribution of the optimized box aquifers. The formula of the mean age is also a centroid calculation formula, and the mean transit time is just the mean age at the outlet. Direct simulation of groundwater age (Goode, 1995) can be done using FEFLOW 7.5 using the following equations:

$$A = \frac{\int_0^\infty tCdt}{\int_0^\infty Cdt} \qquad \qquad Eq(2)$$

$$\mathbf{q}\nabla \mathbf{A} - \nabla(\mathbf{D}\nabla \mathbf{A}) = \mathbf{\eta} \qquad \qquad \mathbf{Eq(3)}$$

278

279 Equation 3 is derived by substituting Equation 2 in advection-dispersion equation for porous media. q = (Ki) is the Darcy velocity and C is the concentration. The boundary condition for age is very 280 simple – the age is 0 at the inflow boundary (upper boundary). Ideally the HNRT and the MTT will 281 282 be close to each other. At what point in space of the groundwater age distribution, the age value 283 matches the HNRT and MTT gives us an estimate on the efficiency of lumped models as well as 284 validity of nitrate as an inert (in groundwater) solute in estimating mean travel times of catchment 285 water. Alteration of the hydraulic conductivity and hydraulic gradient do affect the age distributions to a 286

small degree, but these 2 parameters/variables primarily determine the behavior of mobile water, i.e.,

they affect the recession, therefore the seasonality. The immobile volumes primarily influencing the

289 long-term behavior or solute transit times are conceptual representations of some physical parameter

that aids dilution – it can be dispersivity, 2D hydraulic thickness of unconfined aquifer and immobile

porosity (or a combination). Therefore, we explored the sensitivity of changes in age distributionwith changes in the above 3 parameters.

293



300 3. Results and Discussions:

301 3.1. Parameter Optimization and implications of storages:

302 3.1.1. Parameter values: After performing the hydrological analysis mentioned in section 2.2, we

found that there is not any equifinality in the physical parameters that rationally and accurately

reproduce the fast and slow conceptual stores. Optimal parameters for the best realization are shownin Table 1.

306

307 Table 1: Set of optimal physical parameters, namely hydraulic gradient (i), Dirichlet Boundary

308 Conditions of fixed hydraulic heads in the upper and lower boundaries (DBC), hydraulic

309 conductivity (K), total porosity (η), drainable/fillable porosity (η_f), longitudinal hydrodynamic

310 dispersivity (D), length (L) and width (W), that are reproducing concentration breakthroughs

311 equivalent to the calibrated ETNA stores.

Store	i(%)	Dirichlet BC in upper and lower boundaries aka boundary heads	K (m/d)	η	$\eta_{\rm f}$	D (m)	L (m)
Fast	5	Up = 40m Down= 20m	0.202	0.092	0.022	10	385
Slow	5	Up = 40m Down= 20m	0.202	0.565	0.065	10	385

312

314 **3.1.2.** Analysis of parameter significance:

315 **3.1.2.1. Hydrological equivalence:**

316 The hydraulic gradient of 5% is a constant approximation – it changes along the Dupuit-Forchheimer

317 parabola, getting gradually steeper from upper towards lower boundary (at steady state, when no

mound is formed). Length of both stores, as mentioned in section 2.2.1, is kept to be equal to the

- length of the chief diagonal, which can be visualized as a stream-tube carrying all the groundwater.
- 320 Also, both stores having same length and same boundary heads support the parallel hillslope concept.
- 321
- 322 The K, η_f and the boundary heads mentioned in Table 1 gave us the mean recession values of 0.024
- for fast store and 0.0078 for slow store. We show a sample of the analysis technique for the year

324 2009 in Figure 3 (b). We also show the reproduced groundwater heads at all 16 points of either

optimized store for a period of 2000-2010 in Figure 3 (c). The calibrated mean ETNA recession of

fast store was $a_{\text{fast}}=0.0252\pm11.22\%$ and slow store was $a_{\text{slow}}=0.0079\pm13.42\%$ in Fovet et al., 2015.

327 As can be seen, mean recessions for the slow store and the fast store for our optimized box aquifers

fall within the bounds obtained by Fovet et al., 2015. This part, as mentioned in the introduction, can

- be explained by the linkage of Darcy flow to linear reservoir theory (Savenije, 2018). If we want to
- 330 mathematically represent recession in terms of conventional groundwater parameters, it will be:

$$\mathbf{a} = \frac{\mathbf{K}}{\mathbf{L}\boldsymbol{\eta}_{\mathrm{f}}} \qquad \qquad \mathbf{E}\mathbf{q}(4)$$

331

332 Which comes out to be 0.0238 for fast store and 0.008 for slow store, agreeing with both the

calibrated conceptual stores of ETNA and FEFLOW box aquifers. This substantiates the opinion of

334 Savenije, 2018 on equivalence of Darcy equation to linear reservoir equation.





Figure 3: (a) The box catchment with the location of 16 observation points. (b) Illustration of
sample recession calculation technique for fast and slow FEFLOW stores for 2009 (April to
September). (c) Hydraulic heads at all 16 observation points of both stores for the period 20002010.

- 340
- 341

342 **3.1.2.2.** Equivalence in solute transport:

- 343 Here apparently K, η_f play the role of seasonality reproduction, and boundary heads and immobile
- 344 porosity $(\eta \eta_f)$ play the role of dilution. The 3D view of 2D Dupuit-Forchheimer aquifer with







Figure 4: 3D view of optimized 2D synthetic Dupuit-Forchheimer box aquifer showing
hydraulic head (m) isoline distribution under steady state, and featuring the dimensional
parameters required for a and V calculation.

350

The geometric centroid of a semi-parabola is at $3/8^{th}$ distance from the semi-minor axis. In the case 351 of a Dupuit-Forchheimer parabola, one has to count 3/8th of the total number of isolines from the 352 353 upper boundary, and the head at that corresponding location will be the central head which is 354 demarcated as h in Figure 4. For both stores, as shown in Figure 4, a 5% slope is reproduced by a hydraulic head varying from 40m (up) to 20m (down). There are 20 isolines between 40m and 20m 355 DBC heads, so at 7.5 isolines away from 40m DBC we have the isolinear centroid where h=32.5m. 356 357 For the fast store, since the immobile porosity (η , η) is 0.07, 2.275m is the immobile volume available for mixing which falls within the range of 2354mm±11.01% (Fovet et al., 2015). For the 358 slow store, since the immobile porosity (η, η_f) is 0.5, 16.25m is the immobile volume available for 359 360 mixing which falls within the range of 16032mm±7.22% (Fovet et al., 2015). So, we have an overall low porosity fast store and high porosity slow store. 361

- 362 So apparently, it looks so that the static storage at the isolinear centroids is representative of the
- immobile or passive mixing volume used in lumped models.
- 364

$$\mathbf{V} = \bar{\mathbf{h}}(\boldsymbol{\eta} - \boldsymbol{\eta}_{\mathrm{f}}) \qquad \qquad \mathbf{Eq}(5)$$

Figure 5 shows that the output concentration breakthroughs of FEFLOW box aquifers are in well
agreement with the originally calibrated conceptual storages. The concentration isoline distributions
of the FEFLOW stores across different years during the period of simulation are also shown in
Figure 5.



370

371 Figure 5: Simulated concentration breakthroughs of slow store (a) and fast store (c) vs

372 corresponding ETNA concentration breakthroughs; concentration isolines in mg/l of slow store

373 (b) and fast store (d) for different intermediate years of the simulation period.

374

375 The values of hydrodynamic parameters lie within the broader ranges prescribed from field studies

376 (Martin et al, 2006). However, as mentioned before, our purpose is not to check which configuration

- 377 of hydrodynamic parameters best represents the catchment behavior. It's rather to check what
- 378 parameters reproduce the same outputs as a calibrated lumped model so that we can establish a
- 379 mathematical equivalence.
- 380

381 3.2. Transit time, Age and insights from their sensitivity:

- Table 2 shows the HNRT calculated using ETNA, the MTT using FEFLOW, and mean age for fast
- and slow stores.
- Mean age has been calculated as the same way as mean head the age at age-isolinear centroid (i.e.,
- the age at the location of $3/8^{\text{th}}$ of total number of age isolines from the upper boundary) is the mean
- age. MTT for slow store is slightly on the higher side because the distribution is long tailed.
- 387

Table 2: Transit times calculated using different methods:

Stores	HNRT (ETNA)	INRT (ETNA) MTT (FEFLOW)	
Fast Store	3.22 years	3.15 years	3.08 years
Slow Store	18.44 years	19.3 years	19.17 years

389



390 391



393 (b) fast store. (c) Shows responses of unit mass pulses sent on 1st August 1974 (targeting

394 average climatic sequence) for both stores.

395

Figure 6 shows the age distribution and MTT profiles for different stores (in days). The results of the

- 397 age sensitivity analysis performed are illustrated in Figure 7. It is seen that with the increase in
- 398 hydraulic thickness, concentration breakthroughs become more dilute, but the age remains nearly

- 399 constant; with the increase in dispersivity, concentration breakthroughs become more dilute, and the
- 400 mean age reduces; with the increase in immobile porosity, concentration breakthroughs become more

401 dilute, and the mean age increases.



402

Figure 7: Sensitivity analysis showing changes in concentration breakthroughs in mg/l and age
isolines in days with changes in (a) Dirichlet BCs of hydraulic heads, (b) hydrodynamic
dispersivity and (c) total porosity keeping the hydraulic gradient, hydraulic conductivity and
effective porosity constant.



- 410 to a, but very sensitive to V. Also increase in V reduces the breakthrough concentration which
- 411 means transit time in ETNA is proportional to the dilution.





413 Figure 8: Graphs showing (a) Sensitivity of HNRT (i.e., time taken to recover 50%

- 414 concentration of a pulse sent on 1st August 1968) and (b) sensitivity of breakthrough
- 415 concentration with Burns recharge and leachate as loading with changes in recession and

416 immobile volume (a and V) of one conceptual ETNA box.

- 417
- 418 The above sensitivity analyses clearly demonstrate that:
- 419 i) The difference of total and drainable porosity $(\eta \eta_f)$ is primarily playing the role of immobile
- 420 volume in lumped models.
- 421 ii) Lumped models with parallel stores like ETNA do not simulate dispersivity. The phase lag
- 422 between the responses of the stores arising from different levels of attenuations, when aggregated in
- 423 their respective proportions, apparently displays a pseudo-dispersion in the concentration
- 424 breakthrough as illustrated in Figure 9. The reason it is called a pseudo-dispersion is because there is
- 425 a disparity between the physics of this process and real dispersion increase in actual dispersion
- 426 makes the breakthrough profiles more smeared whilst reducing the groundwater age (Figure 7),
- 427 whereas more pseudo-dispersion increases nitrate transit time because it is associated with higher
- 428 volume of dead storage available for mixing.
- 429



Figure 9: The purpose of the calibrated fraction (f) is illustrated here. (a) Shows the fast and slow store breakthroughs, and the net breakthroughs. (b) Highlights a portion of (a) showing lower f means more dispersion (more smeared and longer tail) and vice versa. (c) Highlights a portion of (a) showing how a phase lag between fast and slow store response in generating the pseudo-dispersion (which explains why lower f means more dispersion as the breakthrough is leaning towards the more lagged slower store).

437 In Table 3, we provide a mathematical equivalence of the 2 primary lumped store parameters -

438 recession (a, in day⁻¹) and immobile volume (V, in mm). The respective fractions (f) at which they

439 mix is a tricky parameter. For simplicity, in a 2-store lumped model, the parameter f:

440 i) Creates a hydrological balance between the faster store which dominates storage accretion and

slower store which dominates recession. ii) Creates a pseudo-dispersion by combining the

442 concentration breakthrough of less attenuated faster store and more attenuated slower store. This

443 combination, in their respective optimized weights, enabling the lumped model to produce a

444 concentration breakthrough that mimics the real breakthrough which is produced by some degree of445 dispersivity in the system.

446 So, f is a purely conceptual calibration parameter, and it is not possible to mathematically connect f

to any measurable conventional parameters. In fact, it is not even essential to use 2 stores to model

- 448 long term groundwater flow and transport (Hrachowitz et al., 2016) in most HRU based semi-
- 449 distributed models, groundwater is considered as one calibration chamber (linear reservoir + dead
- 450 storage) only. Rather, the solute dispersion that is being caused by a dual porosity system is being
- 451 reproduced by f. Globally, in a lot of catchments we can see that a "thin veneer" of faster flowing
- 452 water is disproportionately feeding the stream (Berghuijs and Kirchner, 2017) creating a bias towards

- 453 shorter transit times of solutes. In ETNA, f (=86.5%) being the contribution of the fast store to the
- 454 stream nitrate breakthrough, is apparently creating this kind of a bias. The fact that a and V can be
- 455 expressed in terms of conventional groundwater flow and transport parameters for both stores (fast
- 456 and slow) with differences just in porosity (total and drainable) is a great insight in the process
- 457 representation strategy of lumped models.
- 458

Table 3: Mathematically connecting lumped store parameters a,V with measurable parameters

460 **K**, **L**, $\overline{\mathbf{h}}$, η , η_{f} ,

Lumped Store Parameter	The Distributed Equivalent	Source of Evidence
Recession (a)	$\frac{K}{L\eta_{f}}$ K=Hydraulic conductivity η_{f} =Fillable porosity L=Length of flow path	Mentioned by Savenije, 2018. Validated in this study. (Section 3.1.2.1, Eq (4))
Immobile Volume (V)	$\overline{h} \times (\eta - \eta_f)$ η =Total porosity \overline{h} = Average hydraulic thickness at 3/8 th isolinear distance (defined as isolinear centroid of Dupuit- Forchheimer parabola) from the upper boundary.	(Section 3.1.2.2, Eq (5)) Figure 4.

461

462

463 So, we have shown that lumped parameters of each individual stores are combinations of actual 464 physical parameters, even if these combinations are not obvious. For a field hydrologist who would 465 like to start forward modelling a pristine catchment using a lumped model, at first, he/she needs to 466 look at the boundary heads and up to what depth flow is significant. This can be inferred from 467 geophysical explorations. Then, even analytically, the Dupuit-Forchheimer aquifer can be 468 constructed and the depth at isolinear centroid can be determined. From such a model it would be 469 easy to determine a and V from Table 3 once the K, η , η_f is determined. Based on the heterogeneity, 470 multiple stores can be considered, and their fractions can be adjusted. For 2 stores, we advise to 471 begin with a value of f=0.5 – more pseudo-dispersion will be mimicked by increasing the 472 contribution from the slower store. Apart from knowledge of fundamental hydrodynamic parameters, 473 it is very important to know the length scale of the catchment to avoid equifinality.

474 **4. Conclusion:**

The novelty of the study is in the generation of the synthetic experiment – recalibration of the results of a lumped porous media model (calibrated nicely against a real catchment) using a distributed porous media model to establish extrapolatable parametric influence on different variables is a task with a lot of requirements, but the obtained results are supposedly much more authentic than what we might have obtained from dimensional analysis or something similar. The main findings of this study are:

481

1. The lumped conceptual groundwater flow and transport models have proper physical basis. After detailed analysis it was observed that the fundamental and measurable catchment properties (apart from scale) that affect the hydrologic recession are K and η_f , and the ones that affect mixing (dilution) of solutes are immobile porosity (η - η_f) and mean aquifer thickness. Also, we found that the three proxies of residence time distributions we could estimate from the different modelling approaches - the spatial mean of the age distributions, the mean transit time and the half nitrate recovery time agreed with each other for such lumped (linear reservoir + dead storage) systems.

489

Furthermore, specific to the calibration exercise that we performed, we found the store with overall lower porosity (mobile and immobile) is the faster store and with overall higher porosity is the slower store - which makes sense because lower porosity means steeper recession and less mixing. It suggests that the idea of dual store conceptual representation of groundwater fundamentally came from the proposition of treating aquifers as dual-porosity systems.

495

496 2. Scale is a big issue - all physical representations of lumped parameters are in some way dependent 497 on the catchment dimensions. Lumped conceptual models only operate on dimensions of depth of 498 water column. It is therefore possible for the lumped models to yield the same results for a different 499 set of hydrodynamic parameters for a catchment having different dimensions. Like, for example -500 transit time of a bigger catchment with low porosity might be same as a smaller catchment with high 501 porosity. It is thus important to a) be extra attentive in deciding the catchment dimensions before 502 using lumped models as forward models and b) to normalize the transit times with catchment 503 dimensions whilst using lumped models for comparative study between catchment response rates. 504

3. The hydrodynamic dispersion is not accounted for by individual stores of the lumped models. It is
quite evident from the age distribution profiles that increase in dispersivity makes the concentration
breakthroughs more dilute but at the same time reduces the groundwater age. This is expected based

on the age transport equation. The opposite happens for lumped models where solute transit times are

- 509 primarily dependent on mixing volumes, and an increase in the mixing volume increases both the
- 510 dilution and detention time. Dilution is thus a process quite different from hydrodynamic dispersion.
- 511 The phase lag between the responses of the parallel stores (representing different porosities), when
- assimilated in their respective proportions (f), apparently displays a synthetic dispersion in the
- 513 concentration breakthrough due to differences in their respective attenuations. Therefore, a negligible
- 514 dispersivity of 10m obtained from Gelhar's charts, which shows no difference in breakthrough
- 515 behavior from zero dispersivity, was maintained across all realizations.
- 516
- 517 Overall, this study has established that lumped conceptual models used to determine groundwater
- flow and transport have a genuine physical basis and their empirical parameters have clear
- 519 mathematical correlation with conventional hydrological parameters. This finding can help in
- 520 reducing calibration reliance of lumped models, or decreasing calibration uncertainties by giving
- 521 insights on the parameter ranges, and providing possibilities to scrutinize the effectiveness of
- 522 obtained parameters. It also indirectly creates a lumped forward modelling potential that can be used
- 523 to model the flow and transport behavior and solute transit times of catchments that have proper
- 524 measurements of hydrodynamic properties, but the hydrologic and the breakthrough concentration
- 525 time series are not long enough to run calibration exercises.
- 526

527 Acknowledgements and Funding:

- 528 Funder 1: ANR Ref: ATCHA ANR-16-CE03-0006.
- 529 Funder 2: Indian Institute of Science Ref: SP/INRA-17-0002
- 530
- 531 Code/Data Availability Statement:
- All data sets are publicly available in the agrhys repository of INRAE, France.
- 533 Link to data: <u>Grapheur de VIDAE (agrhys.fr)</u>
- 534 Link to code and user instructions provided in supplementary.
- 535
- 536

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