

# Physical and Unphysical Causes of Nonstationarity in the Relationship between Barents-Kara Sea Ice and the North Atlantic Oscillation

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August 12, 2023

## Abstract

The role of internal variability in generating an apparent link between autumn Barents-Kara sea ice (BKS) and the winter North Atlantic Oscillation (NAO) has been intensely debated. In particular, the robustness and causality of the link has been questioned by showing that BKS-NAO correlations exhibit nonstationarity in both reanalysis and climate model simulations. We show that the lack of ice observations makes analysis of nonstationarity using reanalysis questionable in the period 1950-1970 and effectively impossible prior to 1950. Model simulations are used to corroborate an argument that nonstationarity is nevertheless expected due to changes in the ice edge variability due to global warming. Consequently, changes in BKS-NAO correlations over time may simply reflect that the ice edge has moved, rather than that there is no causal link. We discuss potential implications for analysis based on coupled climate models, which exhibit large ice edge biases.

1     **Physical and Unphysical Causes of Nonstationarity in**  
2     **the Relationship between Barents-Kara Sea Ice and the**  
3     **North Atlantic Oscillation**

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6     **Key Points:**

- 7     • A lack of observations means that ice-NAO links cannot be confidently assessed  
8     with reanalysis prior to the 1970s.
- 9     • Changes to the ice-NAO relationship are expected due to ice edge trends and the  
10    dependence of heatflux anomalies on ice edge variability.
- 11    • The location of the ice edge, and hence its potential influence on the NAO, varies  
12    across coupled climate models.

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**Abstract**

The role of internal variability in generating an apparent link between autumn Barents-Kara sea ice (BKS) and the winter North Atlantic Oscillation (NAO) has been intensely debated. In particular, the robustness and causality of the link has been questioned by showing that BKS-NAO correlations exhibit nonstationarity in both reanalysis and climate model simulations. We show that the lack of ice observations makes analysis of nonstationarity using reanalysis questionable in the period 1950-1970 and effectively impossible prior to 1950. Model simulations are used to corroborate an argument that nonstationarity is nevertheless expected due to changes in the ice edge variability due to global warming. Consequently, changes in BKS-NAO correlations over time may simply reflect that the ice edge has moved, rather than that there is no causal link. We discuss potential implications for analysis based on coupled climate models, which exhibit large ice edge biases.

**Plain Language Summary**

Does the amount of ice in the Barents-Kara Sea influence European air pressure or are the patterns we see caused by random changes in the weather? In climate models and in estimates of the atmosphere's history these patterns change depending on which years we look at. This has been interpreted as evidence that the patterns are random. However, there are very few measurements of ice in this region before 1970, so we argue that looking at these years is not helpful. Since 1970, where we have more measurements, the winter sea ice edge has been moving Northwards because of global warming. When the ice in a particular region disappears, it changes the expected relationship with the atmosphere because heat can now quickly leave the ocean. Different climate models put the ice edge in different places and therefore cannot get this change correct.

**1 Introduction**

Many studies have suggested that anomalous Barents-Kara sea ice (BKS) in autumn can trigger predictable shifts in the winter North Atlantic Oscillation (NAO), and hence midlatitude winter weather (Deser et al., 2007; Sun et al., 2015; García-Serrano et al., 2015; Dunstone et al., 2016; Kretschmer et al., 2016; Wang et al., 2017; Caian et al., 2018). This teleconnection manifests as a positive correlation between autumn BKS and the winter NAO, with a reduction in sea ice appearing to force a negative NAO. However, there remains considerable scepticism in the literature on the robustness and even causality of this teleconnection.

One source of scepticism comes from modelling studies. Recent comprehensive studies using large ensembles show that coupled climate models largely reproduce such a positive BKS-NAO correlation over the satellite era (Blackport & Screen, 2021). However, the magnitude of the correlation is notably smaller in the models compared to estimates based on reanalysis (Blackport & Screen, 2021; Siew et al., 2021; Strommen et al., 2022), and there is considerable ensemble spread, with individual ensemble members simulating a wide range of positive and negative correlations (Koenigk & Brodeau, 2017; Blackport & Screen, 2021; Siew et al., 2021). Several studies have argued that this is because the BKS-NAO link seen in reanalysis data is largely reflecting atmospheric internal variability (Koenigk & Brodeau, 2017; Warner et al., 2020), and that the weak links simulated by coupled models may mostly reflect atmospheric forcing on the ice (Blackport & Screen, 2021).

Another source of scepticism arises from the work of Kolstad and Screen (2019) (hereafter KS19), who argue that there is clear evidence of nonstationarity (i.e., variation in time) in the BKS-NAO link in reanalysis data spanning the 20th century, with the recent period standing out as one of unusually high correlations. There is clearly much syn-

62 ergy between these two sources of scepticism, which could be jointly interpreted as sug-  
 63 gesting that the apparently significant BKS-NAO correlation in the satellite era does not  
 64 actually reflect a robust, causal relationship. Indeed, KS19 conclude by cautioning against  
 65 using BKS as a statistical predictor of the NAO.

66 The purpose of this paper is to make two points concerning nonstationarity of BKS-  
 67 NAO links, expanding on brief comments made in Strommen et al. (2022). Firstly, while  
 68 it is well known that observations are sparser further back in time, the implications this  
 69 may have for how confidently one can assess nonstationarity in reanalysis do not appear  
 70 to have been commented on. Secondly, KS19 suggested that one cause of the apparent  
 71 nonstationarity could be a dependence of the BKS-NAO link on the mean state, citing  
 72 decadal North Atlantic variability as a potential source of such mean-state dependence.  
 73 However, the potential role of global-warming induced changes to the sea ice was not men-  
 74 tioned. Here, we will argue:

- 75 1. That the lack of observations of autumn/winter sea ice means nonstationarity can-  
 76 not be meaningfully assessed using reanalysis data extending further back than  
 77 1950, and is dubious even in the period 1950-1970.
- 78 2. That nonstationarity in BKS-NAO links is nevertheless expected because of changes  
 79 to the ice edge over time (e.g. in response to global warming), but that such changes  
 80 simply reflect that the sea ice region capable of exerting an influence on the NAO  
 81 may have moved, rather than reflecting the lack of a robust and causal link be-  
 82 tween BKS and the NAO.

83 In the Discussion and Conclusions we will also comment on the potential implications  
 84 of point 2 for analysis based on coupled models, known to exhibit considerable biases  
 85 in their simulated ice edge.

## 86 2 Data and methods

### 87 2.1 Reanalysis and observational data

88 While KS19 considered three different reanalysis products to boost confidence in  
 89 their analysis, here we only consider one of them, namely ERA20C (Poli et al., 2016).  
 90 This is because all three reanalysis products considered in KS19 ultimately utilise the  
 91 same sea ice data, namely HadISST (Titchner & Rayner, 2014); the sea ice in HadISST  
 92 is itself primarily derived from the Walsh and Chapman dataset (Walsh & Chapman,  
 93 2001; Walsh et al., 2017). Since our focus is on the reliability of HadISST sea ice data,  
 94 it thus suffices to use ERA20C. We also use ERA5 (Hersbach et al., 2020) when assess-  
 95 ing CMIP6 model biases.

96 We assess the number of available observations in the Barents-Kara region over time  
 97 prior to the satellite era (approximately 1979 onwards). To do so, we consider two sources  
 98 of observations. Firstly, we use a count of the number of HadISST ship observations of  
 99 sea surface temperatures (SST) over time. From this we computed the number of avail-  
 100 able observations in November anywhere within the Barents-Kara region (70-85N, 30-  
 101 90E). This assumes that every ship visiting this region took a measurement of the sea  
 102 ice, which is unlikely to be true. This is therefore best thought of as an upper bound on  
 103 the true number. Secondly, we count the number of available ice edge charts from the  
 104 Russian Arctic and Antarctic Research Institute (AARI) (Mahoney et al., 2008). We use  
 105 the average number of charts available in the Barents-Kara region as our measure of chart  
 106 availability. We note that the only other source of Barents-Kara observations used by  
 107 Walsh and Chapman were charts collected by the Danish Meteorological Institute and  
 108 the Arctic Climate System Study (Walsh et al., 2017). However, both these sources of  
 109 charts only cover the summer months and so do not contribute to estimates of sea ice

110 in October or November. The ship observations and AARI chart availability therefore  
111 provide a reasonable picture of the totality of available sea ice observations.

## 112 2.2 Model data

113 To assess how the ice-NAO link may depend on the ice edge mean state, we make  
114 use of an ensemble of coupled climate model simulations with stochastic ice and ocean  
115 parameterizations. This ensemble was introduced and studied in Strommen et al. (2022),  
116 and consists of 6 members spanning the period 1950-2015 using historical forcing data.  
117 The inclusion of stochastic parameterizations results in the model simulating consistently  
118 positive BKS-NAO correlations over the period 1980-2015 which are comparable in mag-  
119 nitude to that observed in reanalysis (Strommen et al., 2022). This close and consistent  
120 fidelity to observations is not observed in other model ensembles (Blackport & Screen,  
121 2021; Siew et al., 2021), making it a valuable resource for studying the BKS-NAO link.  
122 Following Strommen et al. (2022), we will refer to this ensemble as OCE.

123 Details about the model configuration can be found in Strommen et al. (2022). In  
124 brief, the model used is based on the HighResMIP version of EC-Earth3 (Haarsma et  
125 al., 2020), itself based on a version of the Integrated Forecast System (IFS) developed  
126 and used at the European Centre for Medium Range Weather Forecasts (ECMWF). The  
127 ocean component uses NEMO version 3.6 (Madec & the NEMO team, 2016) which in-  
128 cludes the LIM3 sea ice model (Vancoppenolle et al., 2012). Three stochastic ocean schemes  
129 (Juricke et al., 2017, 2018) and one stochastic sea ice scheme (Juricke et al., 2013; Ju-  
130 ricke & Jung, 2014; Juricke et al., 2014) are included. The atmospheric model is run at  
131 a spectral resolution of T255, which roughly corresponds to 80km grid spacing at the equa-  
132 tor, with 91 vertical layers. NEMO is run at a resolution of around  $1^\circ$  with 75 vertical  
133 layers.

## 134 2.3 Methods

135 We follow KS19 and define BKS as sea ice concentration averaged over the box 70-  
136 85N, 30-90E. We focus on November, rather than October as in KS19. The comparison  
137 with October will be discussed. The choice of November is motivated by the fact that  
138 correlations with both the NAO and European surface conditions peak in November and  
139 are more clearly significant then, unlike in October (García-Serrano et al., 2015; Santolaria-  
140 Otín et al., 2021). Furthermore, the physical pathway from October sea ice to the NAO  
141 appears to be primarily via its influence on November sea ice (García-Serrano et al., 2015;  
142 King et al., 2016; King & García-Serrano, 2016), with viable atmospheric pathways from  
143 November sea ice being more widely documented and studied (García-Serrano et al., 2015;  
144 Sun et al., 2015). Finally, seasonal forecasts of the winter NAO, such as those issued by  
145 ECMWF or the UK Met Office, are initialised using November initial conditions, mak-  
146 ing November BKS more relevant for actual forecasts. Thus, unless stated otherwise, in-  
147 formal references to ice, sea ice or BKS always refer to November sea ice concentration.

148 We define the NAO index in the OCE ensemble as the first principal component  
149 of 500hPa geopotential height; a daily principal component timeseries is detrended and  
150 has a seasonal cycle removed from it before DJF averages are taken. When correlating  
151 BKS with the NAO in the OCE ensemble, we concatenate all 6 members back to back  
152 before computing the correlation.

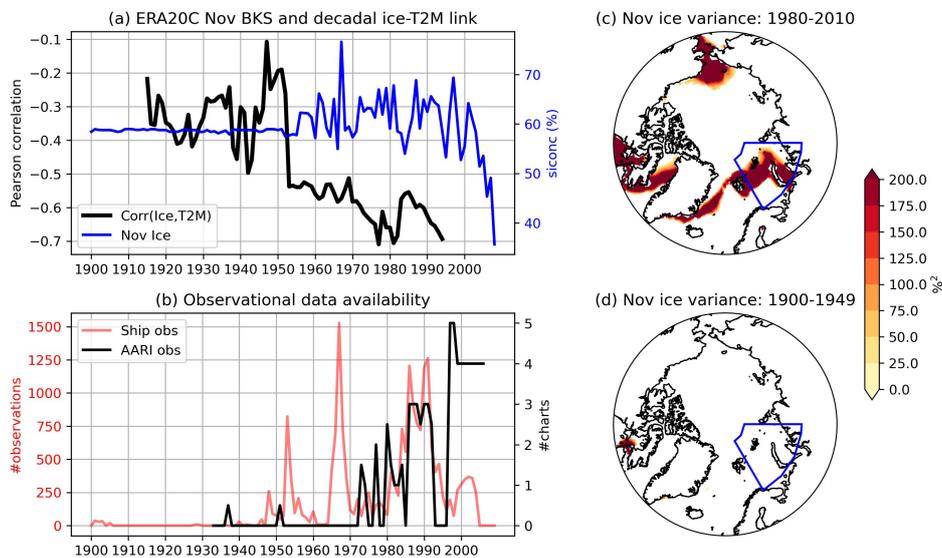
153 When determining statistical significance of correlations between sea ice and the  
154 NAO, our null-hypothesis models the DJF NAO as white noise and sea ice as an inde-  
155 pendent AR1 process with a lag of 1 years, in order to account for the high interannual  
156 autocorrelation in the ice. By fitting these models to the data and generating 1000 ran-  
157 dom timeseries, we can estimate  $p$ -values for the null hypothesis. Modelling the ice us-

158 ing a random Fourier phase shuffle method (Ebisuzaki, 1997), which preserves the au-  
 159 tcorrelation at all lags, produced similar  $p$ -values.

160 All sea ice and heatflux (= sensible+latent) data are regrided onto a regular  $1^\circ$   
 161 grid before analysis is carried out. The heatflux sign convention is that “positive = up-  
 162 wards”, i.e., heat flowing from the surface to the atmosphere.

### 163 3 Unphysical causes of nonstationarity: missing data

164 We begin by examining the impact of data availability. Figure 1(a) shows the Novem-  
 165 ber BKS timeseries in blue. It is immediately apparent that there is a dramatic differ-  
 166 ence in variability before and after 1950, with essentially zero variability before 1950. Fig-  
 167 ure 1(c) shows the November sea ice variance in the modern period 1980-2010 at all grid-  
 168 points in the Arctic, while 1(d) shows the same over the period 1900-1949. It is clear that  
 169 the variability has vanished almost everywhere, not just in the Barents-Kara region. That  
 170 this has a huge impact on assessments of ice-atmosphere interactions can be seen already  
 171 at the level of the local interaction between two-metre temperature (T2M) and sea ice.  
 172 The black line in Figure 1(a) shows correlations between BKS-averaged November T2M  
 173 and November BKS for successive 30-year periods. A sharp discontinuity is apparent,  
 174 with the correlations jumping from around -0.1 to -0.5 depending on whether the 30-year  
 175 period includes years post-1950. The correlations drop again to  $\approx -0.6$  once the period  
 176 includes years post-1980: note that this drop occurs even if trends in ice and T2M from  
 177 1990 onwards are removed, suggesting it is related to changes in variability and not global  
 178 warming.



**Figure 1.** In (a): November BKS timeseries of ERA20C (blue) and successive 30-year correlations between November BKS and November T2M averaged over the same region (black). Each 30-year correlation is centred at the midpoint of the period (i.e. the point at 1965 corresponds to the period 1950-1980). In (b): total number of ship SST observations (red) and average number of AARI charts (black) over the Barents-Kara region. In (c): November interannual sea ice variance of ERA20C (1980-2010). In (d), the same but over the period 1900-1949. The blue boxes highlight the Barents-Kara region.

179 To understand these differences, Figure 1(b) shows the availability of observations  
 180 from the Barents-Kara region over time. Prior to 1950 there are effectively zero obser-  
 181 vations in this region in November. Figure 1(d) suggests this lack of observations extends  
 182 Arctic-wide and that the Walsh and Chapman data set consequently use a climatolog-  
 183 ical value for the sea ice. Indeed, the documentation of the Walsh and Chapman data  
 184 set explicitly states that it consists of “mostly climatologies before 1950”. In the period  
 185 1950-1970, ship observations start becoming available, but there are no AARI charts. From  
 186 around 1970 onwards AARI charts become more frequently available. From 1979 onwards  
 187 satellite data becomes available.

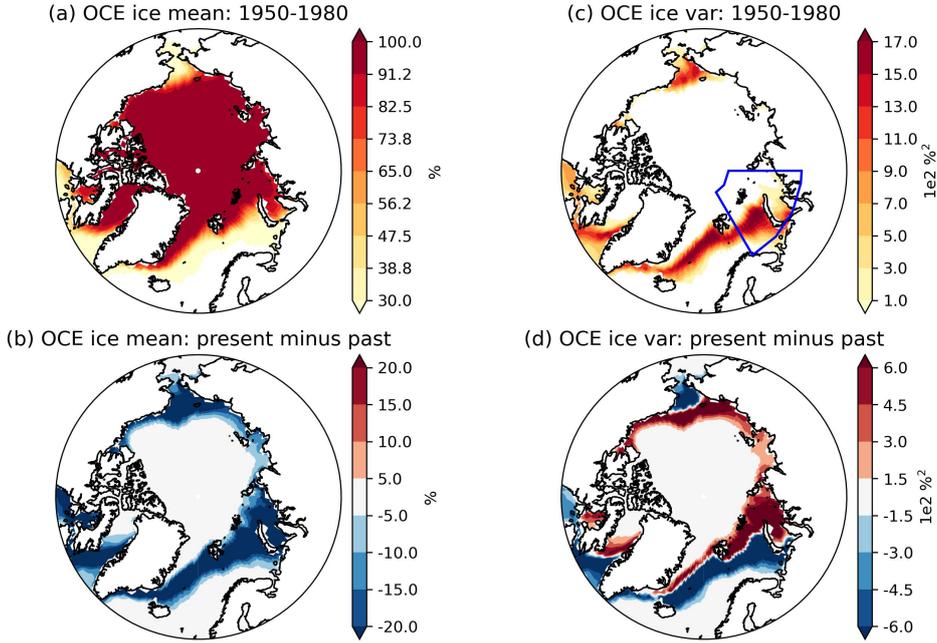
188 We conclude that estimates of BKS-NAO correlations cannot be sensibly made prior  
 189 to 1950 due to the total collapse of variability owing to missing observations, which leads  
 190 to spurious unphysical effects in reanalysis already at the level of local ice-T2M links.  
 191 While there are some ship observations available in the period 1950-1970, AARI obser-  
 192 vations are still lacking, and their availability from the 70s onwards also appears to project  
 193 onto both the variability and estimates of ice-atmosphere links. The fact that sparse ob-  
 194 servations between 1950 and 1970 contaminates ice variability estimates is even more ap-  
 195 parent in the monthly BKS timeseries, which exhibits visibly unphysical variability in  
 196 this period (Figure S1 of the Supporting Information, SI). Estimates of BKS-NAO links  
 197 prior to 1970 must therefore be interpreted with extreme caution.

198 KS19 focused on October BKS, while the above discussed November BKS. The col-  
 199 lapse of past sea ice variability is somewhat less dramatic in October (see Figure S2),  
 200 owing to slightly better availability of observations, but the difference is still consider-  
 201 able and again results in apparent nonstationarity in the ice-T2M link. KS19 do briefly  
 202 comment on the reduced variability in the early 20th century: here we show that the ex-  
 203 tent and source of the reduction places serious limitations for how confidently nonsta-  
 204 tionarity can be assessed. Note that even if one takes the view that the October sea ice  
 205 can be trusted prior to 1950, the total collapse of variability in November still severely  
 206 limits the capacity of reanalysis to simulate a realistic BKS-NAO link, for the simple rea-  
 207 son that any BKS anomaly present in October vanishes in November. Similarly, the sea  
 208 ice evolution from October to November will be compromised by the lack of November  
 209 observations in the period 1950-1970. If BKS anomalies really do force the NAO, biases  
 210 in the October-November evolution would lead to biases in the NAO response. This point  
 211 is further emphasised by the aforementioned studies suggesting that the reason Octo-  
 212 ber BKS anomalies appear to affect the NAO is because the October ice preconditions  
 213 the November ice, with the actual forcing onto the NAO originating from the Novem-  
 214 ber ice anomaly (García-Serrano et al., 2015; King et al., 2016; King & García-Serrano,  
 215 2016). Thus, we argue that October BKS-NAO links also must be treated with extreme  
 216 caution prior to 1970. We conclude that the nonstationarity reported by KS19 using re-  
 217 analysis data does not constitute strong evidence against the existence of a robust and  
 218 causal BKS-NAO teleconnection.

#### 219 4 Physical causes of nonstationarity: a changing ice edge

220 The position of the Arctic ice edge has changed over time, primarily due to global  
 221 warming, which has led to a gradual retreat of the edge (Stroeve & Notz, 2018; Notz &  
 222 Community, 2020a). This is well reproduced by coupled climate models, including the  
 223 OCE ensemble. Figure 2(a) shows the mean state of the OCE ensemble in the period  
 224 1950-1980, and Figure 2(b) the change between this period and the more recent period  
 225 1980-2015, demonstrating this retreat of the ice edge. Changes in the mean ice edge have  
 226 immediate implications for changes to Arctic variability. This is because the interior of  
 227 the Arctic is entirely frozen every November ( $\approx 100\%$  sea ice concentration) and thus  
 228 experiences zero interannual variability. Instead all interannual variability is concentrated  
 229 at the ice edge. This is shown in Figures 2(c) and (d), showing November variance in

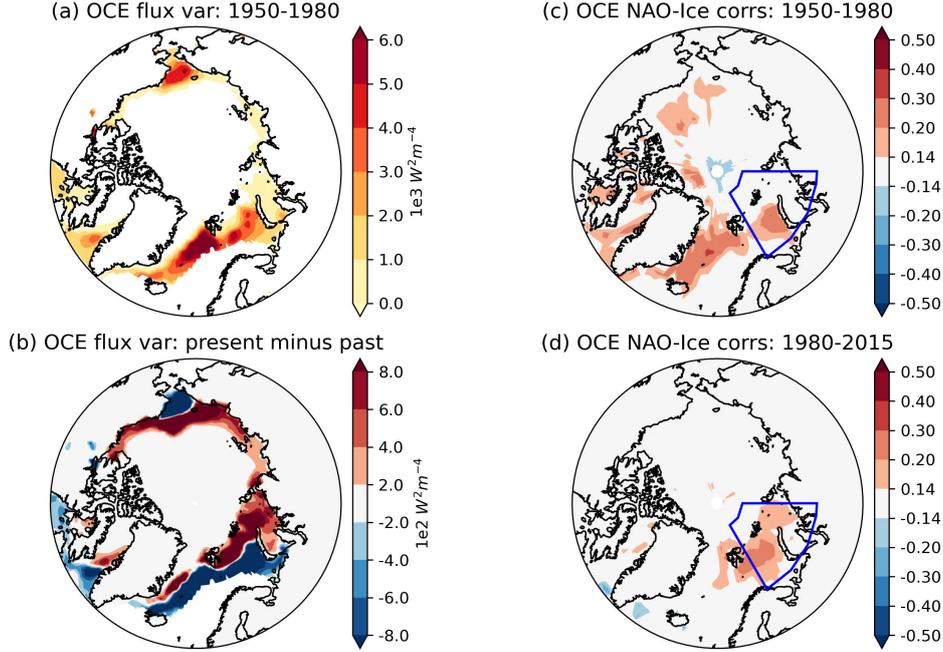
230 the period 1950-1980 and the difference in the modern period. As the ice edge retreats,  
 231 the regions experiencing considerable variability therefore also retreat.



**Figure 2.** In (a): the mean November sea ice across the OCE ensemble in the period 1950-1980. In (b): the difference in the ice mean between 1980-2015 and 1950-1980. In (c) and (d): the same but for the variance rather than the mean. The blue box in (c) highlights the Barents-Kara region.

232 Physical reasoning implies that such changes to the ice edge variability will impact  
 233 teleconnections from the Arctic to the NAO, because the teleconnection is mediated via  
 234 heatfluxes. A negative sea ice anomaly may result in comparatively warm Arctic waters  
 235 being exposed to cold air aloft, and the resulting thermal contrast can trigger heatflux  
 236 anomalies as high as  $500Wm^{-2}$  (Koenigk et al., 2009). These heatflux anomalies gener-  
 237 ate circulation anomalies that can propagate to the lower latitudes, via tropospheric  
 238 (Deser et al., 2007) and/or stratospheric (Sun et al., 2015) pathways. Crucially, signif-  
 239 icant ice-induced heatflux anomalies can only occur at or near the ice edge, since (i) this  
 240 is the only place where anomalous ice can expose or cover up the ocean, and (ii) this is  
 241 the only place where ice variability occurs at all. This is demonstrated using the OCE  
 242 ensemble in Figure 3(a), which shows the 1950-1980 interannual heatflux variability at  
 243 gridpoints with a mean sea ice concentration of at least 5%. The heatflux variability is  
 244 co-located with the 1950-1980 ice edge, and retreats in tandem with the edge under global  
 245 warming (Figure 3(b)).

246 If Arctic sea ice really is capable of forcing the NAO, the above discussion suggests  
 247 that the exact region of the Arctic responsible changes over time. In fact, this is what  
 248 seems to happen in the OCE ensemble. Figure 3(c) and (d) show correlations between  
 249 the winter NAO and November sea ice at every gridpoint for the two time periods. In  
 250 the earlier period 1950-1980, significant correlations are found in the Barents sea, Green-  
 251 land sea, and the coast of Greenland more broadly. These correlations are co-located with  
 252 the peak heatflux variability associated with the more extended ice edge of that period.  
 253 No correlations are found in the Kara sea, consistent with the fact that in OCE the Kara  
 254 sea is almost permanently ice covered in November in the period 1950-1980, and thus



**Figure 3.** In (a): the average November heatflux variance across the OCE ensemble in the period 1950-1980. In (b): the difference in the heatflux variance between 1980-2015 and 1950-1980. In (c): correlations between the DJF NAO and November sea ice concentration at gridpoints in the period 1950-1980 using the OCE ensemble. In (d): the same but over the period 1980-2015. In (c) and (d) all gridpoints outside the zero contour are significantly different from our null-hypothesis ( $p < 0.05$ ). The blue boxes highlight the Barents-Kara region. The heatflux sign convention is “positive = upwards”.

255 experiences little/no ice or heatflux variability (Figure 2(a) and 3(a)). In the later pe-  
 256 riod 1980-2015, correlations are still found in the Barents sea, but have largely vanished  
 257 from around Greenland, consistent with the retreat of the ice and subsequent loss of heat-  
 258 flux variability there. On the other hand, the retreating ice edge in OCE means that the  
 259 Kara sea has now become partially exposed, with roughly 23% of the model gridpoints  
 260 in this region now experiencing ice concentrations of less than 5% every year. The OCE  
 261 ensemble now also shows significant correlations in this region.

262 To summarise: (i) physical reasoning suggests that the regions capable of exert-  
 263 ing a significant forcing on the atmosphere should be co-located with the ice edge, which  
 264 is nonstationary due to global warming; (ii) the OCE ensemble precisely simulates such  
 265 a nonstationary forcing. What does this imply for the BKS-NAO link? As noted, the  
 266 November Kara sea ice is incapable of contributing notably to atmospheric forcing in the  
 267 earlier period by virtue of being almost permanently ice covered, but as it slowly becomes  
 268 more exposed begins contributing significant heatflux anomalies. The Barents sea con-  
 269 tributes significantly and similarly during both periods. Thus one would naively expect  
 270 that the total atmospheric forcing from the combined Barents and Kara seas would ap-  
 271 pear to increase over the period 1950 to present. In fact, this is precisely what happens  
 272 in the OCE ensemble. The BKS-NAO correlation of the concatenated members ( $N =$   
 273  $210$ ) is  $0.13$  ( $p \approx 0.05$ ) in the earlier period, with individual members showing cor-  
 274 relations above and below zero, and rises to  $0.24$  ( $p \ll 0.05$ ) in the modern period, with  
 275 all members exhibiting positive correlations.

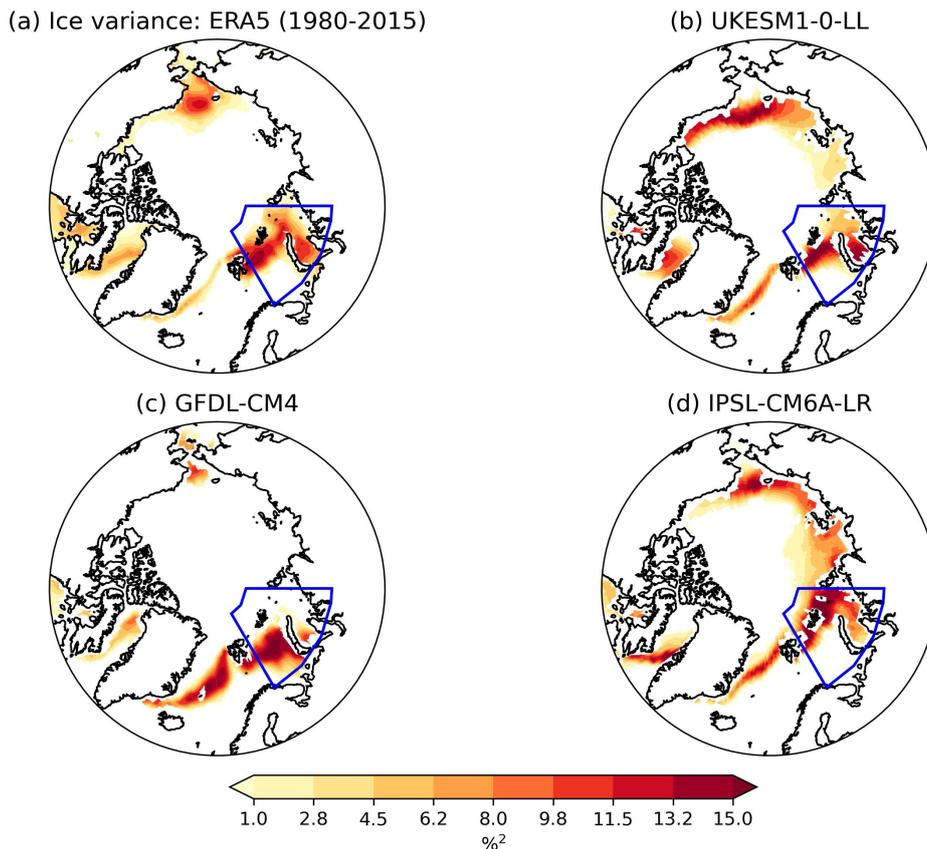
276 We would like to stress that our remarks on the relationship between the ice edge  
277 and heatfluxes are in no way novel, and many studies have emphasised that the Barents  
278 and Kara sea appear to be important by virtue of being where the maximum ice edge  
279 variability takes place (Deser et al., 2000; Vinje, 2001; Koenigk et al., 2009). However,  
280 the implications this has for nonstationarity of teleconnections do not appear to have  
281 been made in the literature before.

## 282 5 Discussion

283 We highlight that the lack of observations implies nonstationarity in the BKS-NAO  
284 link cannot be confidently assessed using reanalysis. We therefore relied on climate model  
285 simulations to corroborate our proposed source of nonstationarity. It is nevertheless in-  
286 teresting to note that the nonstationarity KS19 report in the period 1950-2015 using re-  
287 analysis shows a BKS-NAO correlation slowly increasing from around 0 to around 0.4,  
288 and therefore appears consistent with the analysis of Section 4. Computation of grid-  
289 point correlations between November sea ice and the NAO over the period 1950-1980 shows  
290 that ERA20C has significant correlations ( $p < 0.05$ ) in the Greenland sea, but in con-  
291 trast to OCE the sign of the correlation is negative (Figure S3). We note that there is  
292 no a priori reason why forcing from Greenland sea ice in the past should have a partic-  
293 ular sign, with several studies emphasising that different regions of the Arctic may af-  
294 fect the NAO very differently (Rinke et al., 2013; Sun et al., 2015; Pedersen et al., 2016;  
295 Koenigk et al., 2016). To the extent that the ERA20C correlations can be taken seri-  
296 ously, their difference to OCE could be a result of a different climate mean state (Deser  
297 et al., 2007; Strong & Magnusdottir, 2010); for example, differences in the climatolog-  
298 ical position of the jet may easily result in forcing from the same geographical region af-  
299 fecting the jet differently (Baker et al., 2017, 2019). There are several outstanding ques-  
300 tions about the pathways of Arctic teleconnections (Strommen et al., 2022) which would  
301 need to be answered to understand this better.

302 The fact that Arctic teleconnections may be linked to the location and variability  
303 of the ice edge is not just relevant for nonstationarity in time, but also has potential im-  
304 plications for model studies. It is well known that models exhibit considerable biases in  
305 both the mean and variability of Arctic sea ice (Koenigk et al., 2014; Roach et al., 2018;  
306 Notz & Community, 2020b; Gastineau et al., 2020; Watts et al., 2021; Khosravi et al.,  
307 2022). It follows that the precise Arctic regions capable of forcing the NAO may vary  
308 from model to model. Most studies using models apply a pre-defined BKS region to both  
309 reanalysis and models alike (Kolstad & Screen, 2019; Blackport & Screen, 2021; Siew et  
310 al., 2021). While this avoids potential “cherrypicking”, it also risks exaggerating the weak-  
311 ness of model signals. For example, given a model with a strong forcing from the Bar-  
312 ents sea but no forcing from the Kara sea (e.g. due to the model simulating a perma-  
313 nently ice-covered Kara sea), a correlation based on the Barents-Kara sea could give a  
314 misleading impression. Figure 4 demonstrates that CMIP6 models cannot be assumed  
315 to exhibit non-trivial sea ice variability in either the Barents or Kara seas. It seems of  
316 clear interest to assess how ice edge biases may affect model teleconnections, and fur-  
317 thermore to develop methods that allow for a more objective, physically motivated way  
318 to identify which Arctic regions may be forcing the NAO in a given model.

319 The behaviour of the OCE model corroborated our physical reasoning for nonsta-  
320 tionarity, but this might be a particular feature of OCE which other models do not repli-  
321 cate. This could be because biases in sea ice variability or ice-atmosphere-ocean coupling  
322 mean that most coupled models are unable to simulate a teleconnection from any Arc-  
323 tic region whatsoever (Mori et al., 2019; Strommen et al., 2022). However, while the OCE  
324 ensemble appears to be uniquely good at replicating the observed BKS-NAO correlations,  
325 we emphasise that the reasons for this are still poorly understood, and caution must there-  
326 fore be shown in interpreting the analysis presented here using the OCE ensemble



**Figure 4.** Interannual November sea ice concentration variance over the period 1980-2015 for (a) the ERA5 reanalysis, (b)-(d) three different coupled CMIP6 models (historical forcing scenario). The models have been hand-selected for being illustrative. The Barents-Kara region is highlighted with blue boxes.

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## 6 Conclusions

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KS19 argued that there is clear evidence of nonstationarity in the BKS-NAO link, and concluded that the link is non-robust and potentially non-causal. We have shown that the total lack of observations in the Barents and Kara seas prior to 1950 makes the assessment of nonstationarity prior to 1950 effectively impossible, and that the sparsity of November observations in the period 1950-1970 means correlations computed in this period must be treated with extreme caution. We therefore argue that the apparent nonstationarity reported by KS19 using reanalysis data cannot be used as evidence against the existence of a robust and causal BKS-NAO teleconnection.

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Nevertheless, we have argued that simple physical reasoning suggests nonstationarity is to be expected due to changes in the ice edge over time due to global warming, since the location and variability of the ice edge determines the location of the heatflux anomalies responsible for generating Arctic signals. Importantly, while this does suggest that BKS-NAO correlations may have been lower in the past, it would be wrong to conclude from this that there is no robust and causal forcing on the NAO from BKS in recent decades. Rather, it may simply reflect that ice-edge changes means some regions, like the Greenland sea, are more important in the past, and some are less important, like the Kara sea. These arguments were corroborated using climate model simulations which

345 exhibit strong correlations from the Barents-Kara region to the NAO in the period 1980-  
 346 2015, which move along with the ice edge to the Greenland-Barents region in the period  
 347 1950-1980. In other words, Arctic sea ice may have been causally forcing the NAO across  
 348 the entire 20th century, just not always from the same place.

349 We argue that model biases in the ice edge may have obfuscated a clear understand-  
 350 ing of Arctic-NAO teleconnections in multi-model studies which prescribe the Barents-  
 351 Kara region upfront, and this is a clear avenue of future research. Finally, our analysis  
 352 has potential implications for future predictability of the NAO, since as the ice edge con-  
 353 tinues to retreat, the potential for large interannual sea ice variability decreases. This  
 354 could mean decreased winter NAO predictability in a warming climate.

## 355 7 Open Research

356 The number of HadISST ship observations can be downloaded courtesy of the UK  
 357 Met Office from <https://www.metoffice.gov.uk/hadobs/hadisst/data/download.html>.  
 358 AARI chart availability is included in the NSIDC data set with DOI:10.7265/N5W37T8Z.  
 359 It can be downloaded from <https://nsidc.org/data/g02182/versions/1>.

360 ERA20C data is available via the ECMWF Archive Catalogue: [https://apps.ecmwf](https://apps.ecmwf.int/archive-catalogue/)  
 361 [.int/archive-catalogue/](https://apps.ecmwf.int/archive-catalogue/) The catalogue is public but to download the data you need  
 362 to request access from ECMWF. ERA5 data is publicly available via the Copernicus Data  
 363 Store.

364 Data for the first three ensemble members of OCE is publicly available on Zenodo,  
 365 via the DOI <https://doi.org/10.5281/zenodo.5256102>.

366 CMIP6 data (Eyring et al., 2016) can be freely downloaded from the ESGF at [https://](https://esgf-node.llnl.gov/projects/cmip6/)  
 367 [esgf-node.llnl.gov/projects/cmip6/](https://esgf-node.llnl.gov/projects/cmip6/). We acknowledge the World Climate Research  
 368 Programme, which, through its Working Group on Coupled Modelling, coordinated and  
 369 promoted CMIP6. We thank the climate modeling groups for producing and making avail-  
 370 able their model output, the Earth System Grid Federation (ESGF) for archiving the  
 371 data and providing access, and the multiple funding agencies who support CMIP6 and  
 372 ESGF.

## 373 Acknowledgments

374 KS acknowledges funding from the Horizon Europe grant “EERIE” (grant agreement  
 375 101081383). FC acknowledges funding from the European Research Council under the  
 376 EU’s Horizon 2020 programme (grant agreement 741112). We thank John Walsh for help-  
 377 ful comments on sea ice observations.

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1     **Physical and Unphysical Causes of Nonstationarity in**  
2     **the Relationship between Barents-Kara Sea Ice and the**  
3     **North Atlantic Oscillation**

4                     **Kristian Strommen<sup>1</sup> and Fenwick Cooper<sup>1</sup>**

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6             **Key Points:**

- 7             • A lack of observations means that ice-NAO links cannot be confidently assessed  
8             with reanalysis prior to the 1970s.
- 9             • Changes to the ice-NAO relationship are expected due to ice edge trends and the  
10            dependence of heatflux anomalies on ice edge variability.
- 11            • The location of the ice edge, and hence its potential influence on the NAO, varies  
12            across coupled climate models.

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## Abstract

The role of internal variability in generating an apparent link between autumn Barents-Kara sea ice (BKS) and the winter North Atlantic Oscillation (NAO) has been intensely debated. In particular, the robustness and causality of the link has been questioned by showing that BKS-NAO correlations exhibit nonstationarity in both reanalysis and climate model simulations. We show that the lack of ice observations makes analysis of nonstationarity using reanalysis questionable in the period 1950-1970 and effectively impossible prior to 1950. Model simulations are used to corroborate an argument that nonstationarity is nevertheless expected due to changes in the ice edge variability due to global warming. Consequently, changes in BKS-NAO correlations over time may simply reflect that the ice edge has moved, rather than that there is no causal link. We discuss potential implications for analysis based on coupled climate models, which exhibit large ice edge biases.

## Plain Language Summary

Does the amount of ice in the Barents-Kara Sea influence European air pressure or are the patterns we see caused by random changes in the weather? In climate models and in estimates of the atmosphere's history these patterns change depending on which years we look at. This has been interpreted as evidence that the patterns are random. However, there are very few measurements of ice in this region before 1970, so we argue that looking at these years is not helpful. Since 1970, where we have more measurements, the winter sea ice edge has been moving Northwards because of global warming. When the ice in a particular region disappears, it changes the expected relationship with the atmosphere because heat can now quickly leave the ocean. Different climate models put the ice edge in different places and therefore cannot get this change correct.

## 1 Introduction

Many studies have suggested that anomalous Barents-Kara sea ice (BKS) in autumn can trigger predictable shifts in the winter North Atlantic Oscillation (NAO), and hence midlatitude winter weather (Deser et al., 2007; Sun et al., 2015; García-Serrano et al., 2015; Dunstone et al., 2016; Kretschmer et al., 2016; Wang et al., 2017; Caian et al., 2018). This teleconnection manifests as a positive correlation between autumn BKS and the winter NAO, with a reduction in sea ice appearing to force a negative NAO. However, there remains considerable scepticism in the literature on the robustness and even causality of this teleconnection.

One source of scepticism comes from modelling studies. Recent comprehensive studies using large ensembles show that coupled climate models largely reproduce such a positive BKS-NAO correlation over the satellite era (Blackport & Screen, 2021). However, the magnitude of the correlation is notably smaller in the models compared to estimates based on reanalysis (Blackport & Screen, 2021; Siew et al., 2021; Strommen et al., 2022), and there is considerable ensemble spread, with individual ensemble members simulating a wide range of positive and negative correlations (Koenigk & Brodeau, 2017; Blackport & Screen, 2021; Siew et al., 2021). Several studies have argued that this is because the BKS-NAO link seen in reanalysis data is largely reflecting atmospheric internal variability (Koenigk & Brodeau, 2017; Warner et al., 2020), and that the weak links simulated by coupled models may mostly reflect atmospheric forcing on the ice (Blackport & Screen, 2021).

Another source of scepticism arises from the work of Kolstad and Screen (2019) (hereafter KS19), who argue that there is clear evidence of nonstationarity (i.e., variation in time) in the BKS-NAO link in reanalysis data spanning the 20th century, with the recent period standing out as one of unusually high correlations. There is clearly much syn-

62 ergy between these two sources of scepticism, which could be jointly interpreted as sug-  
 63 gesting that the apparently significant BKS-NAO correlation in the satellite era does not  
 64 actually reflect a robust, causal relationship. Indeed, KS19 conclude by cautioning against  
 65 using BKS as a statistical predictor of the NAO.

66 The purpose of this paper is to make two points concerning nonstationarity of BKS-  
 67 NAO links, expanding on brief comments made in Strommen et al. (2022). Firstly, while  
 68 it is well known that observations are sparser further back in time, the implications this  
 69 may have for how confidently one can assess nonstationarity in reanalysis do not appear  
 70 to have been commented on. Secondly, KS19 suggested that one cause of the apparent  
 71 nonstationarity could be a dependence of the BKS-NAO link on the mean state, citing  
 72 decadal North Atlantic variability as a potential source of such mean-state dependence.  
 73 However, the potential role of global-warming induced changes to the sea ice was not men-  
 74 tioned. Here, we will argue:

- 75 1. That the lack of observations of autumn/winter sea ice means nonstationarity can-  
 76 not be meaningfully assessed using reanalysis data extending further back than  
 77 1950, and is dubious even in the period 1950-1970.
- 78 2. That nonstationarity in BKS-NAO links is nevertheless expected because of changes  
 79 to the ice edge over time (e.g. in response to global warming), but that such changes  
 80 simply reflect that the sea ice region capable of exerting an influence on the NAO  
 81 may have moved, rather than reflecting the lack of a robust and causal link be-  
 82 tween BKS and the NAO.

83 In the Discussion and Conclusions we will also comment on the potential implications  
 84 of point 2 for analysis based on coupled models, known to exhibit considerable biases  
 85 in their simulated ice edge.

## 86 2 Data and methods

### 87 2.1 Reanalysis and observational data

88 While KS19 considered three different reanalysis products to boost confidence in  
 89 their analysis, here we only consider one of them, namely ERA20C (Poli et al., 2016).  
 90 This is because all three reanalysis products considered in KS19 ultimately utilise the  
 91 same sea ice data, namely HadISST (Titchner & Rayner, 2014); the sea ice in HadISST  
 92 is itself primarily derived from the Walsh and Chapman dataset (Walsh & Chapman,  
 93 2001; Walsh et al., 2017). Since our focus is on the reliability of HadISST sea ice data,  
 94 it thus suffices to use ERA20C. We also use ERA5 (Hersbach et al., 2020) when assess-  
 95 ing CMIP6 model biases.

96 We assess the number of available observations in the Barents-Kara region over time  
 97 prior to the satellite era (approximately 1979 onwards). To do so, we consider two sources  
 98 of observations. Firstly, we use a count of the number of HadISST ship observations of  
 99 sea surface temperatures (SST) over time. From this we computed the number of avail-  
 100 able observations in November anywhere within the Barents-Kara region (70-85N, 30-  
 101 90E). This assumes that every ship visiting this region took a measurement of the sea  
 102 ice, which is unlikely to be true. This is therefore best thought of as an upper bound on  
 103 the true number. Secondly, we count the number of available ice edge charts from the  
 104 Russian Arctic and Antarctic Research Institute (AARI) (Mahoney et al., 2008). We use  
 105 the average number of charts available in the Barents-Kara region as our measure of chart  
 106 availability. We note that the only other source of Barents-Kara observations used by  
 107 Walsh and Chapman were charts collected by the Danish Meteorological Institute and  
 108 the Arctic Climate System Study (Walsh et al., 2017). However, both these sources of  
 109 charts only cover the summer months and so do not contribute to estimates of sea ice

110 in October or November. The ship observations and AARI chart availability therefore  
 111 provide a reasonable picture of the totality of available sea ice observations.

## 112 2.2 Model data

113 To assess how the ice-NAO link may depend on the ice edge mean state, we make  
 114 use of an ensemble of coupled climate model simulations with stochastic ice and ocean  
 115 parameterizations. This ensemble was introduced and studied in Strommen et al. (2022),  
 116 and consists of 6 members spanning the period 1950-2015 using historical forcing data.  
 117 The inclusion of stochastic parameterizations results in the model simulating consistently  
 118 positive BKS-NAO correlations over the period 1980-2015 which are comparable in mag-  
 119 nitude to that observed in reanalysis (Strommen et al., 2022). This close and consistent  
 120 fidelity to observations is not observed in other model ensembles (Blackport & Screen,  
 121 2021; Siew et al., 2021), making it a valuable resource for studying the BKS-NAO link.  
 122 Following Strommen et al. (2022), we will refer to this ensemble as OCE.

123 Details about the model configuration can be found in Strommen et al. (2022). In  
 124 brief, the model used is based on the HighResMIP version of EC-Earth3 (Haarsma et  
 125 al., 2020), itself based on a version of the Integrated Forecast System (IFS) developed  
 126 and used at the European Centre for Medium Range Weather Forecasts (ECMWF). The  
 127 ocean component uses NEMO version 3.6 (Madec & the NEMO team, 2016) which in-  
 128 cludes the LIM3 sea ice model (Vancoppenolle et al., 2012). Three stochastic ocean schemes  
 129 (Juricke et al., 2017, 2018) and one stochastic sea ice scheme (Juricke et al., 2013; Ju-  
 130 ricke & Jung, 2014; Juricke et al., 2014) are included. The atmospheric model is run at  
 131 a spectral resolution of T255, which roughly corresponds to 80km grid spacing at the equa-  
 132 tor, with 91 vertical layers. NEMO is run at a resolution of around  $1^\circ$  with 75 vertical  
 133 layers.

## 134 2.3 Methods

135 We follow KS19 and define BKS as sea ice concentration averaged over the box 70-  
 136 85N, 30-90E. We focus on November, rather than October as in KS19. The comparison  
 137 with October will be discussed. The choice of November is motivated by the fact that  
 138 correlations with both the NAO and European surface conditions peak in November and  
 139 are more clearly significant then, unlike in October (García-Serrano et al., 2015; Santolaria-  
 140 Otín et al., 2021). Furthermore, the physical pathway from October sea ice to the NAO  
 141 appears to be primarily via its influence on November sea ice (García-Serrano et al., 2015;  
 142 King et al., 2016; King & García-Serrano, 2016), with viable atmospheric pathways from  
 143 November sea ice being more widely documented and studied (García-Serrano et al., 2015;  
 144 Sun et al., 2015). Finally, seasonal forecasts of the winter NAO, such as those issued by  
 145 ECMWF or the UK Met Office, are initialised using November initial conditions, mak-  
 146 ing November BKS more relevant for actual forecasts. Thus, unless stated otherwise, in-  
 147 formal references to ice, sea ice or BKS always refer to November sea ice concentration.

148 We define the NAO index in the OCE ensemble as the first principal component  
 149 of 500hPa geopotential height; a daily principal component timeseries is detrended and  
 150 has a seasonal cycle removed from it before DJF averages are taken. When correlating  
 151 BKS with the NAO in the OCE ensemble, we concatenate all 6 members back to back  
 152 before computing the correlation.

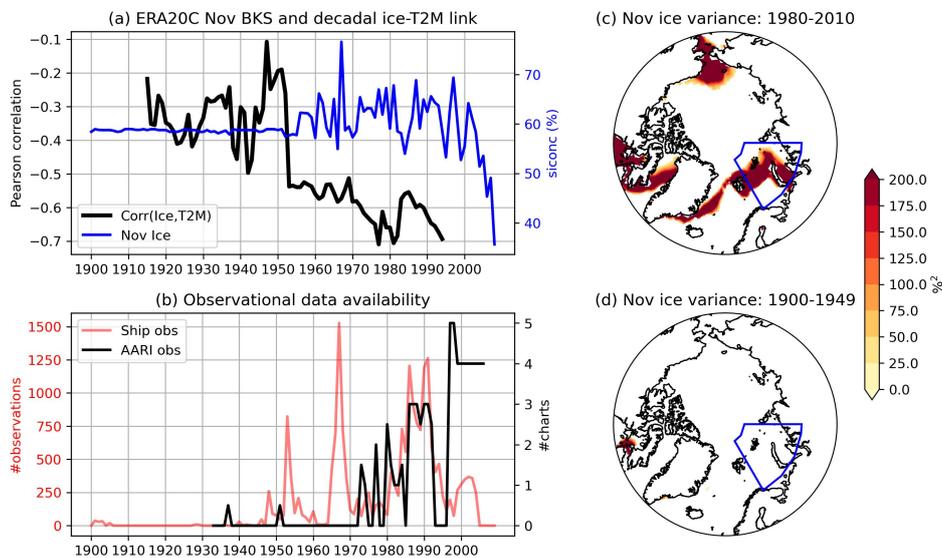
153 When determining statistical significance of correlations between sea ice and the  
 154 NAO, our null-hypothesis models the DJF NAO as white noise and sea ice as an inde-  
 155 pendent AR1 process with a lag of 1 years, in order to account for the high interannual  
 156 autocorrelation in the ice. By fitting these models to the data and generating 1000 ran-  
 157 dom timeseries, we can estimate  $p$ -values for the null hypothesis. Modelling the ice us-

158 ing a random Fourier phase shuffle method (Ebisuzaki, 1997), which preserves the au-  
 159 tcorrelation at all lags, produced similar  $p$ -values.

160 All sea ice and heatflux (= sensible+latent) data are regridded onto a regular  $1^\circ$   
 161 grid before analysis is carried out. The heatflux sign convention is that “positive = up-  
 162 wards”, i.e., heat flowing from the surface to the atmosphere.

### 163 3 Unphysical causes of nonstationarity: missing data

164 We begin by examining the impact of data availability. Figure 1(a) shows the Novem-  
 165 ber BKS timeseries in blue. It is immediately apparent that there is a dramatic differ-  
 166 ence in variability before and after 1950, with essentially zero variability before 1950. Fig-  
 167 ure 1(c) shows the November sea ice variance in the modern period 1980-2010 at all grid-  
 168 points in the Arctic, while 1(d) shows the same over the period 1900-1949. It is clear that  
 169 the variability has vanished almost everywhere, not just in the Barents-Kara region. That  
 170 this has a huge impact on assessments of ice-atmosphere interactions can be seen already  
 171 at the level of the local interaction between two-metre temperature (T2M) and sea ice.  
 172 The black line in Figure 1(a) shows correlations between BKS-averaged November T2M  
 173 and November BKS for successive 30-year periods. A sharp discontinuity is apparent,  
 174 with the correlations jumping from around -0.1 to -0.5 depending on whether the 30-year  
 175 period includes years post-1950. The correlations drop again to  $\approx -0.6$  once the period  
 176 includes years post-1980: note that this drop occurs even if trends in ice and T2M from  
 177 1990 onwards are removed, suggesting it is related to changes in variability and not global  
 178 warming.



**Figure 1.** In (a): November BKS timeseries of ERA20C (blue) and successive 30-year correlations between November BKS and November T2M averaged over the same region (black). Each 30-year correlation is centred at the midpoint of the period (i.e. the point at 1965 corresponds to the period 1950-1980). In (b): total number of ship SST observations (red) and average number of AARI charts (black) over the Barents-Kara region. In (c): November interannual sea ice variance of ERA20C (1980-2010). In (d), the same but over the period 1900-1949. The blue boxes highlight the Barents-Kara region.

179 To understand these differences, Figure 1(b) shows the availability of observations  
 180 from the Barents-Kara region over time. Prior to 1950 there are effectively zero obser-  
 181 vations in this region in November. Figure 1(d) suggests this lack of observations extends  
 182 Arctic-wide and that the Walsh and Chapman data set consequently use a climatolog-  
 183 ical value for the sea ice. Indeed, the documentation of the Walsh and Chapman data  
 184 set explicitly states that it consists of “mostly climatologies before 1950”. In the period  
 185 1950-1970, ship observations start becoming available, but there are no AARI charts. From  
 186 around 1970 onwards AARI charts become more frequently available. From 1979 onwards  
 187 satellite data becomes available.

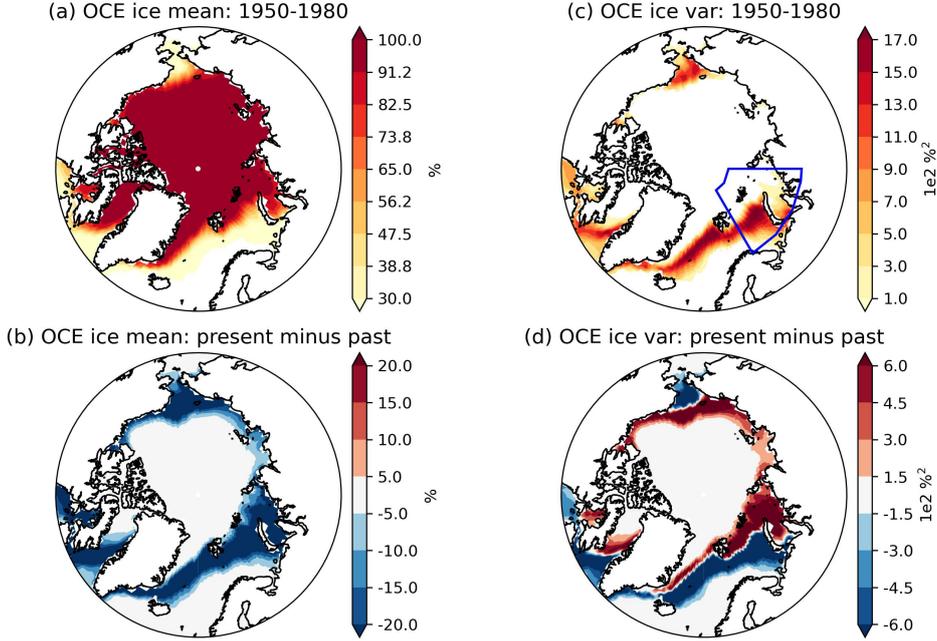
188 We conclude that estimates of BKS-NAO correlations cannot be sensibly made prior  
 189 to 1950 due to the total collapse of variability owing to missing observations, which leads  
 190 to spurious unphysical effects in reanalysis already at the level of local ice-T2M links.  
 191 While there are some ship observations available in the period 1950-1970, AARI obser-  
 192 vations are still lacking, and their availability from the 70s onwards also appears to project  
 193 onto both the variability and estimates of ice-atmosphere links. The fact that sparse ob-  
 194 servations between 1950 and 1970 contaminates ice variability estimates is even more ap-  
 195 parent in the monthly BKS timeseries, which exhibits visibly unphysical variability in  
 196 this period (Figure S1 of the Supporting Information, SI). Estimates of BKS-NAO links  
 197 prior to 1970 must therefore be interpreted with extreme caution.

198 KS19 focused on October BKS, while the above discussed November BKS. The col-  
 199 lapse of past sea ice variability is somewhat less dramatic in October (see Figure S2),  
 200 owing to slightly better availability of observations, but the difference is still consider-  
 201 able and again results in apparent nonstationarity in the ice-T2M link. KS19 do briefly  
 202 comment on the reduced variability in the early 20th century: here we show that the ex-  
 203 tent and source of the reduction places serious limitations for how confidently nonsta-  
 204 tionarity can be assessed. Note that even if one takes the view that the October sea ice  
 205 can be trusted prior to 1950, the total collapse of variability in November still severely  
 206 limits the capacity of reanalysis to simulate a realistic BKS-NAO link, for the simple rea-  
 207 son that any BKS anomaly present in October vanishes in November. Similarly, the sea  
 208 ice evolution from October to November will be compromised by the lack of November  
 209 observations in the period 1950-1970. If BKS anomalies really do force the NAO, biases  
 210 in the October-November evolution would lead to biases in the NAO response. This point  
 211 is further emphasised by the aforementioned studies suggesting that the reason Octo-  
 212 ber BKS anomalies appear to affect the NAO is because the October ice preconditions  
 213 the November ice, with the actual forcing onto the NAO originating from the Novem-  
 214 ber ice anomaly (García-Serrano et al., 2015; King et al., 2016; King & García-Serrano,  
 215 2016). Thus, we argue that October BKS-NAO links also must be treated with extreme  
 216 caution prior to 1970. We conclude that the nonstationarity reported by KS19 using re-  
 217 analysis data does not constitute strong evidence against the existence of a robust and  
 218 causal BKS-NAO teleconnection.

#### 219 **4 Physical causes of nonstationarity: a changing ice edge**

220 The position of the Arctic ice edge has changed over time, primarily due to global  
 221 warming, which has led to a gradual retreat of the edge (Stroeve & Notz, 2018; Notz &  
 222 Community, 2020a). This is well reproduced by coupled climate models, including the  
 223 OCE ensemble. Figure 2(a) shows the mean state of the OCE ensemble in the period  
 224 1950-1980, and Figure 2(b) the change between this period and the more recent period  
 225 1980-2015, demonstrating this retreat of the ice edge. Changes in the mean ice edge have  
 226 immediate implications for changes to Arctic variability. This is because the interior of  
 227 the Arctic is entirely frozen every November ( $\approx 100\%$  sea ice concentration) and thus  
 228 experiences zero interannual variability. Instead all interannual variability is concentrated  
 229 at the ice edge. This is shown in Figures 2(c) and (d), showing November variance in

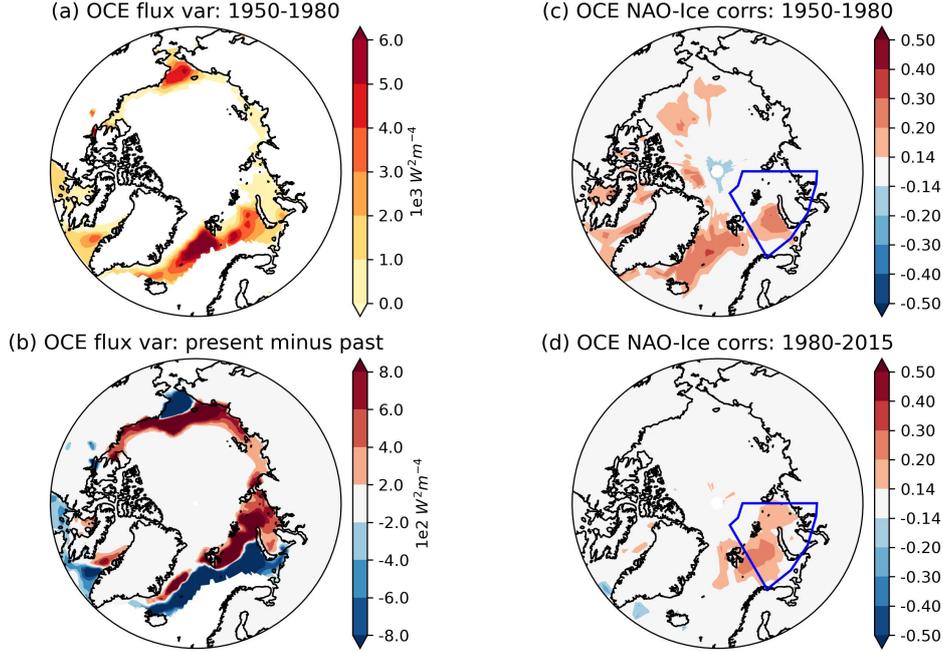
230 the period 1950-1980 and the difference in the modern period. As the ice edge retreats,  
 231 the regions experiencing considerable variability therefore also retreat.



**Figure 2.** In (a): the mean November sea ice across the OCE ensemble in the period 1950-1980. In (b): the difference in the ice mean between 1980-2015 and 1950-1980. In (c) and (d): the same but for the variance rather than the mean. The blue box in (c) highlights the Barents-Kara region.

232 Physical reasoning implies that such changes to the ice edge variability will impact  
 233 teleconnections from the Arctic to the NAO, because the teleconnection is mediated via  
 234 heatfluxes. A negative sea ice anomaly may result in comparatively warm Arctic waters  
 235 being exposed to cold air aloft, and the resulting thermal contrast can trigger heatflux  
 236 anomalies as high as  $500Wm^{-2}$  (Koenigk et al., 2009). These heatflux anomalies gener-  
 237 ate circulation anomalies that can propagate to the lower latitudes, via tropospheric  
 238 (Deser et al., 2007) and/or stratospheric (Sun et al., 2015) pathways. Crucially, signif-  
 239 icant ice-induced heatflux anomalies can only occur at or near the ice edge, since (i) this  
 240 is the only place where anomalous ice can expose or cover up the ocean, and (ii) this is  
 241 the only place where ice variability occurs at all. This is demonstrated using the OCE  
 242 ensemble in Figure 3(a), which shows the 1950-1980 interannual heatflux variability at  
 243 gridpoints with a mean sea ice concentration of at least 5%. The heatflux variability is  
 244 co-located with the 1950-1980 ice edge, and retreats in tandem with the edge under global  
 245 warming (Figure 3(b)).

246 If Arctic sea ice really is capable of forcing the NAO, the above discussion suggests  
 247 that the exact region of the Arctic responsible changes over time. In fact, this is what  
 248 seems to happen in the OCE ensemble. Figure 3(c) and (d) show correlations between  
 249 the winter NAO and November sea ice at every gridpoint for the two time periods. In  
 250 the earlier period 1950-1980, significant correlations are found in the Barents sea, Green-  
 251 land sea, and the coast of Greenland more broadly. These correlations are co-located with  
 252 the peak heatflux variability associated with the more extended ice edge of that period.  
 253 No correlations are found in the Kara sea, consistent with the fact that in OCE the Kara  
 254 sea is almost permanently ice covered in November in the period 1950-1980, and thus



**Figure 3.** In (a): the average November heatflux variance across the OCE ensemble in the period 1950-1980. In (b): the difference in the heatflux variance between 1980-2015 and 1950-1980. In (c): correlations between the DJF NAO and November sea ice concentration at gridpoints in the period 1950-1980 using the OCE ensemble. In (d): the same but over the period 1980-2015. In (c) and (d) all gridpoints outside the zero contour are significantly different from our null-hypothesis ( $p < 0.05$ ). The blue boxes highlight the Barents-Kara region. The heatflux sign convention is “positive = upwards”.

255 experiences little/no ice or heatflux variability (Figure 2(a) and 3(a)). In the later pe-  
 256 riod 1980-2015, correlations are still found in the Barents sea, but have largely vanished  
 257 from around Greenland, consistent with the retreat of the ice and subsequent loss of heat-  
 258 flux variability there. On the other hand, the retreating ice edge in OCE means that the  
 259 Kara sea has now become partially exposed, with roughly 23% of the model gridpoints  
 260 in this region now experiencing ice concentrations of less than 5% every year. The OCE  
 261 ensemble now also shows significant correlations in this region.

262 To summarise: (i) physical reasoning suggests that the regions capable of exert-  
 263 ing a significant forcing on the atmosphere should be co-located with the ice edge, which  
 264 is nonstationary due to global warming; (ii) the OCE ensemble precisely simulates such  
 265 a nonstationary forcing. What does this imply for the BKS-NAO link? As noted, the  
 266 November Kara sea ice is incapable of contributing notably to atmospheric forcing in the  
 267 earlier period by virtue of being almost permanently ice covered, but as it slowly becomes  
 268 more exposed begins contributing significant heatflux anomalies. The Barents sea con-  
 269 tributes significantly and similarly during both periods. Thus one would naively expect  
 270 that the total atmospheric forcing from the combined Barents and Kara seas would ap-  
 271 pear to increase over the period 1950 to present. In fact, this is precisely what happens  
 272 in the OCE ensemble. The BKS-NAO correlation of the concatenated members ( $N =$   
 273  $210$ ) is  $0.13$  ( $p \approx 0.05$ ) in the earlier period, with individual members showing cor-  
 274 relations above and below zero, and rises to  $0.24$  ( $p \ll 0.05$ ) in the modern period, with  
 275 all members exhibiting positive correlations.

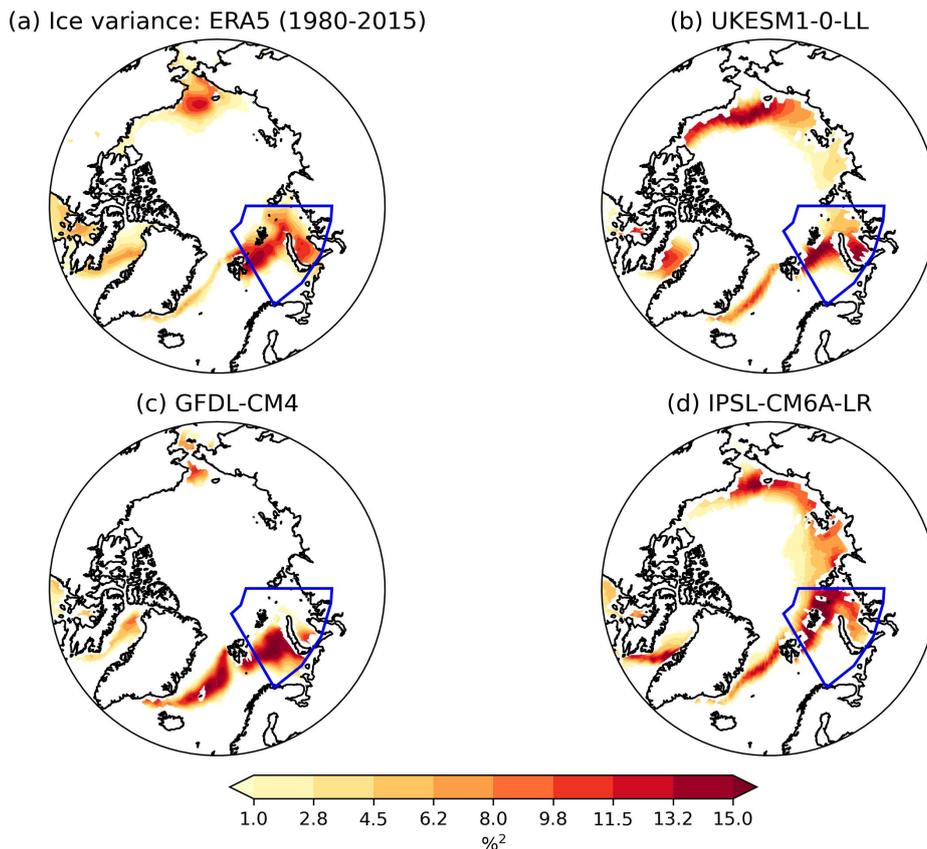
276 We would like to stress that our remarks on the relationship between the ice edge  
 277 and heatfluxes are in no way novel, and many studies have emphasised that the Barents  
 278 and Kara sea appear to be important by virtue of being where the maximum ice edge  
 279 variability takes place (Deser et al., 2000; Vinje, 2001; Koenigk et al., 2009). However,  
 280 the implications this has for nonstationarity of teleconnections do not appear to have  
 281 been made in the literature before.

## 282 5 Discussion

283 We highlight that the lack of observations implies nonstationarity in the BKS-NAO  
 284 link cannot be confidently assessed using reanalysis. We therefore relied on climate model  
 285 simulations to corroborate our proposed source of nonstationarity. It is nevertheless in-  
 286 teresting to note that the nonstationarity KS19 report in the period 1950-2015 using re-  
 287 analysis shows a BKS-NAO correlation slowly increasing from around 0 to around 0.4,  
 288 and therefore appears consistent with the analysis of Section 4. Computation of grid-  
 289 point correlations between November sea ice and the NAO over the period 1950-1980 shows  
 290 that ERA20C has significant correlations ( $p < 0.05$ ) in the Greenland sea, but in con-  
 291 trast to OCE the sign of the correlation is negative (Figure S3). We note that there is  
 292 no a priori reason why forcing from Greenland sea ice in the past should have a partic-  
 293 ular sign, with several studies emphasising that different regions of the Arctic may af-  
 294 fect the NAO very differently (Rinke et al., 2013; Sun et al., 2015; Pedersen et al., 2016;  
 295 Koenigk et al., 2016). To the extent that the ERA20C correlations can be taken seri-  
 296 ously, their difference to OCE could be a result of a different climate mean state (Deser  
 297 et al., 2007; Strong & Magnusdottir, 2010); for example, differences in the climatolog-  
 298 ical position of the jet may easily result in forcing from the same geographical region af-  
 299 fecting the jet differently (Baker et al., 2017, 2019). There are several outstanding ques-  
 300 tions about the pathways of Arctic teleconnections (Strommen et al., 2022) which would  
 301 need to be answered to understand this better.

302 The fact that Arctic teleconnections may be linked to the location and variability  
 303 of the ice edge is not just relevant for nonstationarity in time, but also has potential im-  
 304 plications for model studies. It is well known that models exhibit considerable biases in  
 305 both the mean and variability of Arctic sea ice (Koenigk et al., 2014; Roach et al., 2018;  
 306 Notz & Community, 2020b; Gastineau et al., 2020; Watts et al., 2021; Khosravi et al.,  
 307 2022). It follows that the precise Arctic regions capable of forcing the NAO may vary  
 308 from model to model. Most studies using models apply a pre-defined BKS region to both  
 309 reanalysis and models alike (Kolstad & Screen, 2019; Blackport & Screen, 2021; Siew et  
 310 al., 2021). While this avoids potential “cherry-picking”, it also risks exaggerating the weak-  
 311 ness of model signals. For example, given a model with a strong forcing from the Bar-  
 312 ents sea but no forcing from the Kara sea (e.g. due to the model simulating a perma-  
 313 nently ice-covered Kara sea), a correlation based on the Barents-Kara sea could give a  
 314 misleading impression. Figure 4 demonstrates that CMIP6 models cannot be assumed  
 315 to exhibit non-trivial sea ice variability in either the Barents or Kara seas. It seems of  
 316 clear interest to assess how ice edge biases may affect model teleconnections, and fur-  
 317 thermore to develop methods that allow for a more objective, physically motivated way  
 318 to identify which Arctic regions may be forcing the NAO in a given model.

319 The behaviour of the OCE model corroborated our physical reasoning for nonsta-  
 320 tionarity, but this might be a particular feature of OCE which other models do not repli-  
 321 cate. This could be because biases in sea ice variability or ice-atmosphere-ocean coupling  
 322 mean that most coupled models are unable to simulate a teleconnection from any Arc-  
 323 tic region whatsoever (Mori et al., 2019; Strommen et al., 2022). However, while the OCE  
 324 ensemble appears to be uniquely good at replicating the observed BKS-NAO correlations,  
 325 we emphasise that the reasons for this are still poorly understood, and caution must there-  
 326 fore be shown in interpreting the analysis presented here using the OCE ensemble



**Figure 4.** Interannual November sea ice concentration variance over the period 1980-2015 for (a) the ERA5 reanalysis, (b)-(d) three different coupled CMIP6 models (historical forcing scenario). The models have been hand-selected for being illustrative. The Barents-Kara region is highlighted with blue boxes.

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## 6 Conclusions

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KS19 argued that there is clear evidence of nonstationarity in the BKS-NAO link, and concluded that the link is non-robust and potentially non-causal. We have shown that the total lack of observations in the Barents and Kara seas prior to 1950 makes the assessment of nonstationarity prior to 1950 effectively impossible, and that the sparsity of November observations in the period 1950-1970 means correlations computed in this period must be treated with extreme caution. We therefore argue that the apparent nonstationarity reported by KS19 using reanalysis data cannot be used as evidence against the existence of a robust and causal BKS-NAO teleconnection.

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Nevertheless, we have argued that simple physical reasoning suggests nonstationarity is to be expected due to changes in the ice edge over time due to global warming, since the location and variability of the ice edge determines the location of the heatflux anomalies responsible for generating Arctic signals. Importantly, while this does suggest that BKS-NAO correlations may have been lower in the past, it would be wrong to conclude from this that there is no robust and causal forcing on the NAO from BKS in recent decades. Rather, it may simply reflect that ice-edge changes means some regions, like the Greenland sea, are more important in the past, and some are less important, like the Kara sea. These arguments were corroborated using climate model simulations which

345 exhibit strong correlations from the Barents-Kara region to the NAO in the period 1980-  
 346 2015, which move along with the ice edge to the Greenland-Barents region in the period  
 347 1950-1980. In other words, Arctic sea ice may have been causally forcing the NAO across  
 348 the entire 20th century, just not always from the same place.

349 We argue that model biases in the ice edge may have obfuscated a clear understand-  
 350 ing of Arctic-NAO teleconnections in multi-model studies which prescribe the Barents-  
 351 Kara region upfront, and this is a clear avenue of future research. Finally, our analysis  
 352 has potential implications for future predictability of the NAO, since as the ice edge con-  
 353 tinues to retreat, the potential for large interannual sea ice variability decreases. This  
 354 could mean decreased winter NAO predictability in a warming climate.

## 355 7 Open Research

356 The number of HadISST ship observations can be downloaded courtesy of the UK  
 357 Met Office from <https://www.metoffice.gov.uk/hadobs/hadisst/data/download.html>.  
 358 AARI chart availability is included in the NSIDC data set with DOI:10.7265/N5W37T8Z.  
 359 It can be downloaded from <https://nsidc.org/data/g02182/versions/1>.

360 ERA20C data is available via the ECMWF Archive Catalogue: [https://apps.ecmwf](https://apps.ecmwf.int/archive-catalogue/)  
 361 [.int/archive-catalogue/](https://apps.ecmwf.int/archive-catalogue/) The catalogue is public but to download the data you need  
 362 to request access from ECMWF. ERA5 data is publicly available via the Copernicus Data  
 363 Store.

364 Data for the first three ensemble members of OCE is publicly available on Zenodo,  
 365 via the DOI <https://doi.org/10.5281/zenodo.5256102>.

366 CMIP6 data (Eyring et al., 2016) can be freely downloaded from the ESGF at [https://](https://esgf-node.llnl.gov/projects/cmip6/)  
 367 [esgf-node.llnl.gov/projects/cmip6/](https://esgf-node.llnl.gov/projects/cmip6/). We acknowledge the World Climate Research  
 368 Programme, which, through its Working Group on Coupled Modelling, coordinated and  
 369 promoted CMIP6. We thank the climate modeling groups for producing and making avail-  
 370 able their model output, the Earth System Grid Federation (ESGF) for archiving the  
 371 data and providing access, and the multiple funding agencies who support CMIP6 and  
 372 ESGF.

## 373 Acknowledgments

374 KS acknowledges funding from the Horizon Europe grant “EERIE” (grant agreement  
 375 101081383). FC acknowledges funding from the European Research Council under the  
 376 EU’s Horizon 2020 programme (grant agreement 741112). We thank John Walsh for help-  
 377 ful comments on sea ice observations.

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# Supporting Information for “Physical and Unphysical Causes of Nonstationarity in the Relationship between Barents-Kara Sea Ice and the North Atlantic Oscillation”

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## Contents of this file

1. Figures S1, S2 and S3.

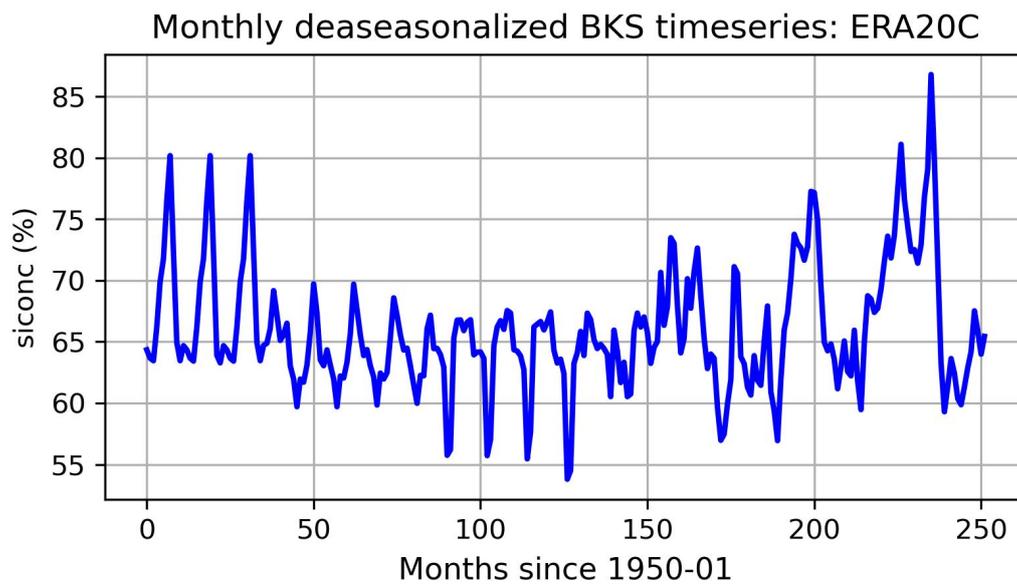
## Introduction

Some figures supporting the main text. See main text for all methodological details.

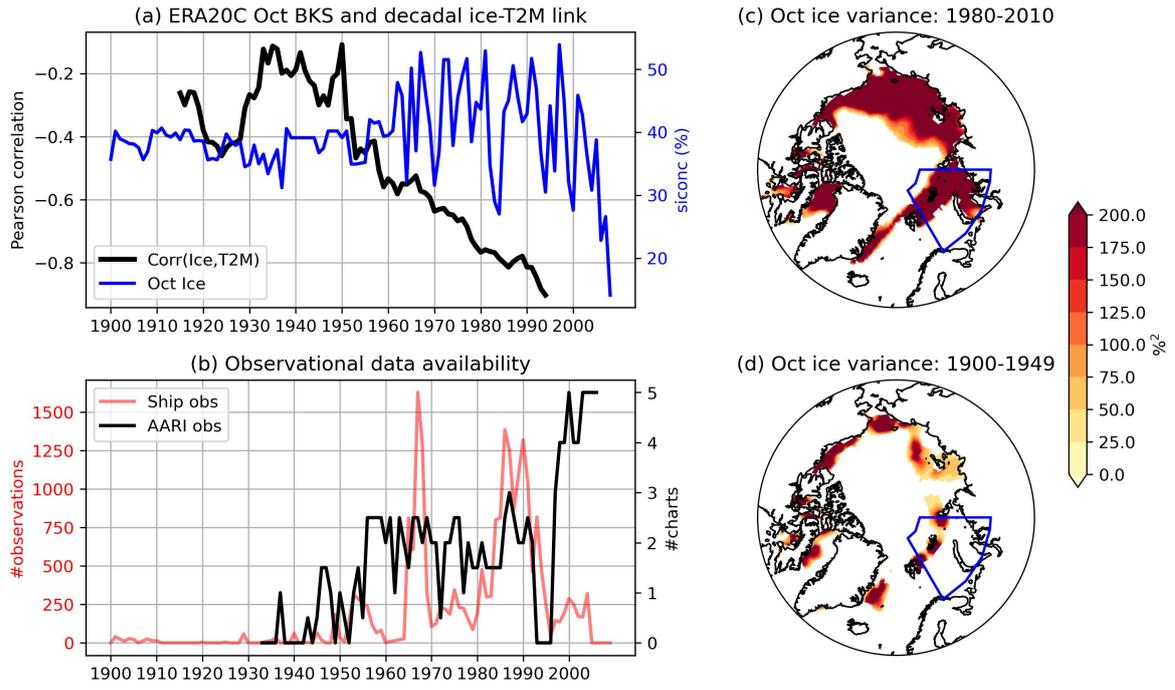
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July 22, 2023, 3:40pm

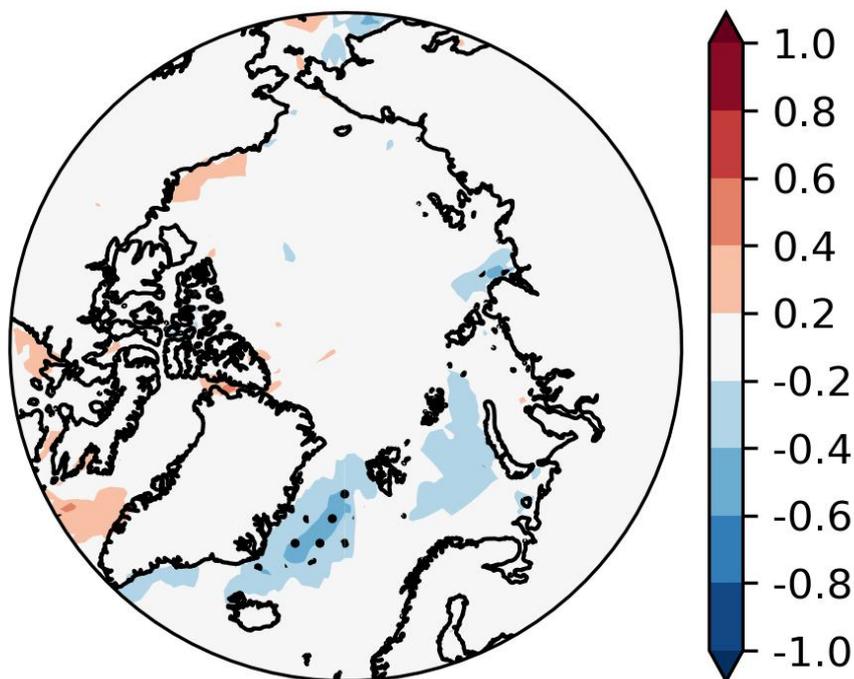


**Figure S1.** Monthly timeseries of BKS sea ice in ERA20C over the period 1950-1970. A seasonal cycle has been fitted to the data and subtracted.



**Figure S2.** As in Figure 1, but using October rather than November.

## ERA20C NAO-Ice corrs: 1950-1985



**Figure S3.** Correlations in ERA20C between the DJF NAO timeseries and November sea ice concentration at each gridpoint. Each gridpoint is detrended prior to the computation of the correlation. The period covered is 1950-1985. Stippling indicates significance ( $p < 0.05$ ) with respect to a null hypothesis modelling the NAO as a normal distribution and sea ice as an AR1 process (see Methods).