Modular compositional learning improves 1D hydrodynamic lake model performance by merging process-based modeling with deep learning

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9 Disclaimer

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13	Key Points:
14	• Deep learning models were pretrained on process-based lake water temperature
15	model output and fine-tuned on observed high-frequency data.
16	• Fine-tuned deep learning model was integrated into process-based model creat-
17	ing the hybrid model.
18	• Hybrid model outperformed process-based model and two alternative deep learn-
19	ing models in projecting hydrodynamic lake characteristics.

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20 Abstract

Hybrid Knowledge-Guided Machine Learning (KGML) models, which are deep learn-21 ing models that utilize scientific theory and process-based model simulations, have shown 22 improved performance over their process-based counterparts for the simulation of wa-23 ter temperature and hydrodynamics. We highlight the modular compositional learning 24 (MCL) methodology as a novel design choice for the development of hybrid KGML mod-25 els in which the model is decomposed into modular sub-components, that can either be 26 process-based models and/or deep learning models. We develop a hybrid MCL model 27 that integrates a deep learning model into a modularized, process-based model. To achieve 28 this, we first train individual deep learning models with the output of the process-based 29 models. In a second step, we fine-tune one deep learning model with observed field data. 30 In this study, we replaced process-based calculations of vertical diffusive transport with 31 deep learning. Finally, this fine-tuned deep learning model is integrated into the process-32 based model, creating the hybrid MCL model with improved overall projections for wa-33 ter temperature dynamics compared to the original process-based model. We further com-34 pare the performance of the hybrid MCL model with the process-based model and two 35 alternative deep learning models and highlight how the hybrid MCL model has the best 36 performance for projecting water temperature, Schmidt stability, buoyancy frequency, 37 and depths of different isotherms. Modular compositional learning can be applied to ex-38 39 isting modularized, process-based model structures to make the projections more robust and improve model performance by letting deep learning estimate uncertain process cal-40 culations. 41

42 Plain Language Summary

Lake models based on physical processes are powerful tools for investigating how 43 lakes and reservoirs respond to local weather and for projecting lake responses to long-44 term climate change. Historically, physical processes are the basis for designing these mod-45 els. Due to an abundance of long-term and high-frequency data, deep learning models 46 are used more frequently although they do not reflect our domain expertise about hy-47 drodynamics and heat transport. Recently, the modeling community is focusing on merg-48 ing models based on physical processes with deep learning. We are highlighting a novel 49 methodology, modular compositional learning, that merges different modeling types in 50 a modularized framework. Our resulting hybrid model outperformed the original model 51 based on physical processes as well as alternative deep learning models regarding the sim-52 ulation of various lake variables related to water temperature, and showed physically valid 53 results. We are further showing various ways on how modular compositional learning can 54 improve future lake model development and applications. 55

56 1 Introduction

The conceptual model of aquatic ecosystem dynamics as a linked set of physical, 57 chemical, and biological processes is fundamental to lake ecosystems research (Håkanson, 58 2009) and has led to rapid development of hydrodynamic-water quality simulation mod-59 els in the past couple of decades (Mooij et al., 2010). Given the importance of temper-60 ature as a "master variable" in ecosystems (Magnuson et al., 1979; Read et al., 2019), 61 hydrodynamic simulations are used to model the physical environment in which biogeo-62 chemical processes occur. As climate patterns become more uncertain and a growing hu-63 man population increases the need for reliable, expediant assessments of freshwater re-64 sources, process-based aquatic ecosystem models can be used to understand and explore 65 how these stressors can impact aquatic ecosystems by detailing the mechanistic effects 66 of external and internal forcings (Janssen et al., 2015). Lake hydrodynamic models may 67 be used to understand how warming air temperatures will alter lake thermal structure 68 (Woolway et al., 2021) and linked biogeochemical conditions such as dissolved oxygen 69

concentrations (Jane et al., 2022). Due to their low computational costs but sufficient
replication of lake mixing dynamics (Ishikawa et al., 2022), one-dimensional hydrodynamic lake models are commonly employed to project changes in water temperature (Moore
et al., 2021), when it is reasonable to neglect horizontal mixing and focus only on resolving the vertical transport.

Although the low spatial dimensionality provides for computational efficiency, 1D 75 hydrodynamic lake models have a number of drawbacks. First, overparameterization of 76 processes can make calibration challenging (e.g., Guerrero et al. (2017)), especially when 77 78 field data are sparse. Second, integration of a multitude of processes (atmospheric fluxes, vertical transport, inflow entrainment, etc.) can lead to model equifinality (i.e., alter-79 native model parameterizations can result in the same model output, see also Beven (2006)). 80 Third, implementations of these models tend to be stiff in their structures, creating a 81 high cost to exploring the model's underlying assumptions and utilizing information in 82 observational data that may improve model skill. For example, the MyLake model ne-83 glects the influence of internal oscillations by seiches on mixing (Saloranta & Andersen, 84 2007), whereas the Simstrat model parameterizes turbulent kinetic energy production 85 by such internal movements (Goudsmit et al., 2002). Consequently, a stiff model struc-86 ture makes incorporating additional data in certain models without major revisions to 87 the source code impossible. 88

To overcome the limitations of process-based models, the paradigm of "Knowledge-89 Guided Machine Learning" (KGML) focuses on creating hybrid models that combine process-90 based principles (or theory) with data-driven deep learning models (Karpatne et al., 2017; 91 Appling et al., 2022). Whereas deep learning models alone need extensive data for train-92 ing, neglect fundamental physical principles, and can have poor performance when pro-93 jecting outside of the training range, hybrid KGML models balance design with discov-94 ery (Appling et al., 2022), in essence how much prior knowledge we specify for the deep 95 learning models (design) with the potential for learning useful, and sometimes novel, re-96 lationships between input data and target (discovery). Initial work in developing KGML 97 models has revealed how physical laws can be encoded as loss terms in deep learning mod-98 els to make projections more physically valid (Daw et al., 2021), and how recurrent neu-99 ral networks can improve model performance (Jia et al., 2021). Comparisons of hybrid 100 model performance with both process-based and purely data-driven deep learning mod-101 els for data-sparse experimental conditions highlight that the hybrid models outperformed 102 their counterparts (Read et al., 2019; Jia et al., 2020). Even predicting water temper-103 ature dynamics outside of monitored lake sites has been achieved using a hybrid model, 104 which performed better than the process-based counterpart (Willard et al., 2021). The 105 applications of such hybrid models have extended to water quality modeling, e.g., phos-106 phorus simulations in Hanson et al. (2020). In general, the current generation of KGML 107 hybrid models for water temperature projections have common characteristics: (1) us-108 ing recurrent neural networks, (2) pretraining of these neural networks using process-109 based model output, (3) fine-tuning of neural network weights using *in-situ* temperature 110 data, and (4) incorporating of physical laws as loss terms. Pretraining is the initializa-111 tion of the deep learning network structure using process-based model output in advance 112 of fine-tuning with true observations, and has been shown to vastly improve the accu-113 racy and generalizability of model projections (Read et al., 2019). Here, both pretrain-114 ing and fine-tuning are training steps for the deep learning model, but the former acts 115 on an uninitialized model structure, whereas the latter trains an already trained model. 116

As highlighted above, most current hybrid KGML models incorporate only oneway feedbacks from the process-based to the deep learning side, with additional expertise added through loss functions in the training step. This structure highlights how most hybrid KGML models are primarily engineered from a deep learning model with addons for a specific physical or theoretical process. Although this architecture works well for single target studies (e.g., water temperature), water quality modeling includes mul-

tiple target variables that need to be accounted for in a flexible framework. Most process-123 based 1D water quality models have a modularized model structure to account for al-124 ternative configurations of biogeochemical, ecological and food web-related interactions 125 (e.g., FABM in Bruggeman and Bolding (2014), GLM-AED2 in Hipsey et al. (2019)). 126 Here, a flexible framework, in which individual building blocks, or modules, can be re-127 placed with process-based or data-driven calculations can potentially enhance design op-128 tions for future KGML studies. Calculations of uncertain processes can be replaced by 129 deep learning to improve overall model confidence. The concept of applying modular-130 ized frameworks for compositional learning (modular compositional learning, or MCL) 131 states that domain expertise (scientific knowledge) can be used to decompose the over-132 all modeling goal into modular sub-aspects, hence into a combination of multiple deep 133 learning models and/or process-based models (Karpatne et al., 2017). MCL advances 134 current hybrid KGML model designs, which generally focus on developing a single deep 135 learning model that incorporates process knowledge. Here, hybrid MCL models would 136 have a design similar to the modularized structure of process-based lake models, and in-137 dividual sub-parts could either be process-based or deep learning models. This MCL ap-138 proach allows the modeler to balance between framework design (how much prior knowl-139 edge is inserted into the model formulation) and chances for the discovery of relation-140 ships. 141

To further the union of process-based and deep learning models, we develop and 142 test the MCL concept for hydrodynamic modeling focusing on projecting water temper-143 ature dynamics. Each hydrodynamic, process-based calculation can be envisioned as a 144 module that is linked to other modules. The complexity of each module's process descrip-145 tion depends on the assumptions and relative complexity given to a particular process. 146 For example, the effects of atmospheric surface heat fluxes on lake temperature are well 147 described using similarity theory *sensu* Monin-Obukhov, whereas vertical transport through 148 turbulent diffusion can be parameterized using alternative approaches, i.e., integral en-149 ergy approach as in GLM (Hipsey et al., 2019) vs. turbulence-based approach as in Sim-150 strat (applies k- ϵ turbulence closure scheme, Goudsmit et al. (2002)). By replacing a process-151 based module with a deep learning model, we can feed additional data into the model 152 without the need for formulating a process relationship. Consequently, the link between 153 modules will ensure that the overall hybrid MCL model will produce physically-valid re-154 sults. 155

To test MCL, we are replacing the process-based modules of a 1D hydrodynamic 156 lake model, which modularizes vertical heat transport by sequentially accounting for (a) 157 heat generation, (b) ice and snow formation, (c) vertical diffusion, and (d) convective 158 overturn, with individual deep learning models. Each deep learning model is used to rep-159 resent each 1D process-based model component. These deep learning models are pretrained 160 on the input data going into the process-based model as well as the process-based model 161 output temperatures. Subsequently, one deep learning module is fine-tuned on observed 162 high-frequency water temperature data to improve model performance. In this study, 163 we replaced the diffusion model with a deep learning model to improve the overall ac-164 curacy of the model to capture vertical transport processes. This fine-tuned deep learn-165 ing module is plugged back into the process-based modular framework creating the hy-166 brid MCL model. The performance of the hybrid MCL in replicating hydrodynamic char-167 acteristics of Lake Mendota, USA, is compared with the original process-based model, 168 a deep learning model that does not use any process-based information, and a pretrained 169 deep learning model that incorporates process-based information. These alternative deep 170 learning models reflect different design and discovery ideas; whereas the deep learning 171 model with no process information can be used for discovering relationships between in-172 put data and targets, the pretrained deep learning model with process information is con-173 figured to reflect physical processes. With this test of the novel MCL methodology for 174 water temperature simulations, we aim to highlight how the hybrid model incorporates 175

potentially both design and discovery, while allowing lake modelers the flexibility of repli cating future, more complex, aquatic ecosystem structures.

178 **2 Data**

As a test site for model development, we chose Lake Mendota (Wisconsin, USA), 179 which has been the subject of many limnological and modeling studies over the last cen-180 tury (e.g., Snortheim et al. (2017); Magee et al. (2016); Ladwig et al. (2021)). Lake Men-181 dota is a 3,961 ha lake with a maximum depth of 25 m that stratifies during the sum-182 mer and winter seasons. Lake Mendota has a residence time of 4.3 years (McDonald & 183 Lathrop, 2017), which allows us to assume that inflows and outflows would have a mi-184 nor effect on in-lake water temperatures. All in-lake measurements were collected in the 185 center of the lake (43.0988N, -89.4054W) and include: depth-discrete measurements of 186 water temperature (collected fortnightly, when ice-free, to monthly, when ice-covered by 187 the North Temperate Lake Long-term Ecological Research program [NTL-LTER] since 188 1995), and high-frequency water temperature data collected by a sensor-chain connected 189 to a buoy (data since 2006 for the ice-free season) (Magnuson, J.J. and Carpenter, S.R. 190 and Stanley, E.H., 2023b, 2023a). The 1-min high-frequency data were averaged to hourly 191 values. Biweekly measurements were taken with a YSI Pro-ODO meter (YSI, resolution 192 of 0.1 °C). For high-frequency measurements, TempLine loggers (Apprise Tech, resolu-193 tion of 0.1 °C) were used in 2006, and since 2007 Concerto loggers (RBR, resolution of 194 < 0.00005 °C) are used. Data loggers were placed every 0.5 m from the surface through 195 7 m, and every meter from 7 m to 15 m in 2006, and are placed every 0.5 m from the 196 surface through 2 m, and every meter from 2 m to 20 m since 2007. Fortnightly and high-197 frequency temperature data were merged into one data set, in which missing hourly and 198 depth-discrete data were extrapolated using cubic-spline interpolation. This tempera-199 ture data set consists of hourly, depth-discrete (every 0.5 m) water temperature data at 200 the lake's deepest site. As data during the ice-covered period were sparse and interpo-201 lation was high, we set all water temperatures of the layer closest to the surface to a freez-202 ing temperature of 0 °C whenever air temperature were \leq 0 °C. 203

Meteorological forcing data were obtained from the second phase of the North Amer-204 ican Land Data Assimilation System (NLDAS-2; Xia et al., 2012). The NLDAS-2 data 205 are at an hourly resolution and a grid cell that covered most of Lake Mendota's surface 206 area was selected (Mitchell, 2004). Meteorological parameters used in this study included 207 wind speed, air temperature, specific humidity, surface pressure, surface downward short-208 and longwave radiation. Relative humidity was calculated as a function of specific hu-209 midity, air temperature, and surface pressure. Cloud cover was calculated as a function 210 of air temperature, relative humidity, shortwave radiation, latitude and longitude, and 211 elevation above sea level. Air vapor saturation was calculated as a function of relative 212 humidity and air temperature. 213

²¹⁴ 3 Methods

In the following sections, we highlight the equations and workflow of the process-215 based lake model, which provided synthetic data for training and testing of deep learn-216 ing model architectures. To develop a hybrid MCL model (Fig. 1), we first show the nec-217 essary steps of modular compositional learning, pretraining and fine-tuning, and how each 218 step performed for the training and testing period against two alternative deep learn-219 ing models (Fig. 2): one without process information and one without modularisation. 220 Finally, we describe the design ideas behind the hybrid MCL model that combines a fine-221 tuned deep learning model in the process-based model. To highlight how the hybrid MCL 222 model performs in capturing key physical limnological lake characteristics, we ran the 223 hybrid MCL model against the process-based model, the deep learning with no process, 224 and the deep learning with no modularisation in a time period that was not used pre-225

viously for neither training nor testing. To avoid confusion, we are using the following nomenclature in this study:

- KGML: Knowledge-Guided Machine Learning, a modeling paradigm that aims 228 to combine process-based knowledge and modeling with deep learning models (Karpatne 229 et al., 2017, 2022). 230 • MCL: Modular Compositional Learning, a KGML methodology in which the over-231 all model is decomposed into modular sub-aspects, each modular sub-aspect can 232 be a deep learning model or a process-based model (Karpatne et al., 2017). 233 • **Pretraining**: Training of an uninitialized deep learning model using process-based 234 model data as targets. 235 • Fine-tuning: Training of an already trained/initialized deep learning model us-236 ing observed field data as targets. 237 • Process-based model: 1D hydrodynamic lake model that decomposes each time 238 step into the modeling of heat generation, ice and snow formation, vertical diffu-239 sive transport, and convective overturn. 240 Hybrid MCL model: A process-based model in which one (or more) modular 241 sub-aspect is modeled through a deep learning model. In this study, we replaced 242 the process-based diffusive transport calculations with a deep learning model. This 243 deep learning model was pretrained on the process-based model data, and sub-244 sequently fine-tuned on observed field data. The hybrid MCL includes process in-245 formation and is modularised. 246 • Deep learning model with no process information: Deep learning model 247 that is trained on observed field data with general data inputs, e.g., meteorology 248 and lake characteristics. 249
- Pretrained deep learning model with no modularisation: Deep learning
 model that is pretrained on process-based model output, and subsequently fine tuned on observed field data. This model has no feedback between the deep learning
 ing model and any process-based model.

3.1 Process-based model

A one-dimensional hydrodynamic lake model was developed to simulate the temperature, heat flux and stratification dynamics in a lake. The algorithms are based on the eddy diffusion approach *sensu* Henderson-Sellers (1985) and the MyLake (Saloranta & Andersen, 2007) model. Using the one-dimensional temperature diffusion equation for heat transport, we neglected any inflows and outflows, mass losses due to evaporation and water level changes:

$$\frac{\partial h}{\partial t} = 0 \tag{1}$$

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$$A\frac{\partial T}{\partial t} = A\frac{\partial}{\partial z}(K_z\frac{\partial T}{\partial z}) + \frac{\partial}{\partial z}\frac{AH(z)}{\rho_w c_p} + \frac{\partial}{\partial z}\frac{AH_{geo}(z)}{\rho_w c_p}$$
(2)

where h is the water level (m), A is lake area (m²), T is water temperature (°C), t is time (s), K_z is the vertical diffusion coefficient m⁻²s⁻¹), H is internal heat generation due to incoming solar radiation (W m⁻²), ρ_w is water density (kg m⁻³), c_p is specific heat content of water (J kg⁻¹ °C⁻¹), and H_{geo} is internal geothermal heat generation (W m⁻²). Internal heat generation is implemented based on Beer-Lambert law for attenuation of short-wave radiation as a function of a constant light attenuation coefficient:

$$H(z) = (1 - \alpha) I_s \exp(-k_d z) \tag{3}$$

where α is the albedo (-), I_s is total incident short-wave radiation (W m⁻²), and k_d is a light attenuation coefficient (m⁻¹). For the boundary conditions, we assume a Neumann type for the temperature diffusion equation at the atmosphere-surface boundary, and a zero-flux Neumann type at the bottom:

$$\rho_w c_p (K_z \frac{\partial T}{\partial z})_{surface} = H_{net} \tag{4}$$

$$K_z(\frac{\partial T}{\partial z})_{bottom} = 0 \tag{5}$$

where H_{net} is the net heat flux exchange between atmosphere and water column (W m⁻²). The neat heat flux exchange consisted of four terms:

$$H_{net} = H_{lw} + H_{lwr} + H_v + H_c \tag{6}$$

where H_{lw} is the incoming long-wave radiation (W m⁻²), H_{lwr} is emitted radiation from the water column (W m⁻²), H_v is the latent heat flux (W m⁻²), and H_c is the sensible heat flux (W m⁻²). Incoming and outgoing long-wave heat fluxes were derived using the formulations from Livingstone and Imboden (1989) and Goudsmit et al. (2002). The latent and sensible heat fluxes were calculated taking into account atmospheric stability using the algorithm by Verburg and Antenucci (2010).

The calculation of a temperature profile at every time step is modularized into four steps: (a) heat generation from boundary conditions, (b) ice and snow formation, (c) vertical diffusion, and (d) convective overturn. The one-dimensional temperature diffusion equation was discretized using the implicit Crank-Nicolson scheme (Press et al., 2007), which being second-order in both space and time allows the modeling time step to be dynamic without numerical stability issues. The model was implemented in Python 3.7 with a time step of $\Delta t = 3,600$ s and a spatial discretization of $\Delta z = 0.5$ m.

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3.1.1 Heat generation from boundary conditions (a)

In the first step, the heat fluxes H, H_{geo} and H_{net} are applied over the vertical water column. For Lake Mendota, we set the constant light extinction coefficient k_d to 0.4 m⁻¹ based on the upper end of observed Secchi depth measurements since 1995 (Magnuson, J.J. and Carpenter, S.R. and Stanley, E.H., 2023c).

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3.1.2 Ice, snow, and snow ice formation (b)

In the second step, the ice and snow cover algorithm from MyLake (Saloranta & Andersen, 2007) was applied to the model. Whenever water temperatures were equal or 300 below the freezing point of water (set to 0 °C), ice formation was triggered. All layers 301 with water temperatures below the freezing point were set to 0 °C, and the heat deficit 302 from atmospheric heat exchange was converted into latent heat of ice formation. Ste-303 fan's law was applied to calculate ice thickness when air temperatures were below freez-304 ing point triggering ice formation (e.g., Leppäranta (1993)). The formation of a snow 305 layer on top of the ice layer depended on the amount of precipitation. Further, when-306 ever the weight of snow exceeded the buoyancy capacity of the ice layer, enough water 307 to offset the exceedance forms a snow ice layer with the same properties as ice. When 308 air temperatures were above the freezing point, ice and snow growth ceased, and snow 309 and ice melting were initiated with ice melt requiring no snow to exist. Here, total en-310 ergy of melting was taken from the total heat flux H_{net} . Once the ice layer has disap-311 peared, the default model routine continued. For more details, we refer the reader to Saloranta 312 and Andersen (2007). 313

314 3.1.3 Vertical (turbulent) diffusion (c)

In the third step, vertical turbulent diffusion between adjacent grid cells was calculated. Here, we applied a centered difference approximation for temperature at the next time step. The vertical turbulent diffusion coefficients, K_t , were calculated under nonneutral conditions in relation to the Richardson number (Henderson-Sellers, 1985):

$$K_t = \frac{kw^*z}{P_0\left(1+37R_i^2\right)} \exp\left(-k^*z\right)$$
(7)

where k is the Karman constant $(k = 0.4), w^*$ is the wind friction velocity $(m \text{ s}^{-1}), P_0$ is the turbulent Prandlt number $(P_0 = 1.0), R_i$ is the Richardson number, and k^* is a function of wind speed and latitude. Friction velocity was calculated as:

$$w^* = C_D U_2 \tag{8}$$

where the drag coefficient C_D was set to 1.3 x 10^{-3} , and U_2 is the wind speed at 2 m above surface (m s⁻¹). The Richardson number was quantified as:

$$R_i = \frac{-1 + \left[1 + 40N^2k^2z^2 / \left(w^{*2}\exp(-2k^*z)\right)\right]^{(1/2)}}{20} \tag{9}$$

with the squared buoyancy frequency, $N^2 = \frac{g}{\rho_w} \frac{\partial \rho_w}{\partial z} (s^{-2})$. All values of N^2 less than 7.0 x 10⁻⁵ s⁻² were set to 7.0 x 10⁻⁵ s⁻² (Hondzo & Stefan, 1993).

Further, we applied the turbulent eddy diffusivity modifications from Gu et al. (2015) for the Henderson-Sellers parameterization and a lake depth between 15 to 150 m:

$$K_t = \begin{cases} 10^2 K_t, & \text{if } T_{surface} > 4^{\circ} C\\ 10^4 K_t, & \text{if } 0^{\circ} C < T_{surface} \le 4^{\circ} C\\ 0, & \text{if } T_{surface} \le 0^{\circ} C \end{cases}$$
(10)

To replicate a lag in the mixing dynamics, we set the values of K_t to the average between the current profile and the one from the previous time step (Piccolroaz & Toffolon, 2013). The vertical diffusion coefficient was calculated as:

$$K_z = K_t + K_m \tag{11}$$

with the molecular diffusivity K_m set to 1.4 x 10⁻⁷ m² s⁻¹.

337 3.1.4 Convective overturn (d)

In the final step, any density instabilities over the vertical water column were mixed with the first stable layer below an unstable layer. Here, we applied the area weighed mean of temperature between two layers to calculate the new temperature of the previously unstable grid cell. Density differences between two layers were averaged until the difference was equal or less than $1 \ge 10^{-3}$ kg m⁻³.

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3.2 Modular compositional learning workflow

MCL aims to merge process-based modeling and deep learning to create an overall flexible model with improved performance in which individual processes and state variables are linked through a modularized approach (Fig. 1 1A). The steps to create a hybrid MCL model consisted of:

- 1. Developing and running a process-based model (see subplots 1A and 2A in Fig. 1)
- 2. Pretraining step: sequence of deep learning models are pretrained with simulated data to replicate the process-based model output data (see subplots 1B and 2B in Fig. 1, Tab. 1)
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 3. Fine-tuning step: the pretrained deep learning model surrogating the performance
 of the diffusion module was trained on the observed water temperature data (see
 subplots 1C and 2C in Fig. 1, Tab. 1)

356 357 4. Developing the hybrid MCL model (described in more detail in Section 3.3): the fine-tuned deep learning model mimicking the diffusion module is put back into the process-based model (see subplots 1D and 2D in Fig. 1)

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The process-based model descriptions are detailed above. We note that the process-359 based model was not thoroughly calibrated using e.g., an automatic optimization algo-360 rithm. Only the light extinction coefficient was modified to represent field clarity con-361 ditions. For deep learning, we used multi-layer perceptrons (MLP) with 2 hidden lay-362 ers each with 32 neurons, respectively, and Gaussian Error Linear Units (GELU) acti-363 vation functions. The selection of the number of layers and neurons per layer often in-364 volves a hyperparameter search with a validation set. However, our experiments revealed 365 that a simple configuration of 2 layers with 32 neurons each is capable of effectively cap-366 turing the data. Incorporating more layers could potentially yield slightly improved per-367 formance. However, we intentionally opted for a simpler model design for each module 368 within our modular compositional framework. Seven years of data (2011-12-31 01:00:00 369 to 2017-12-28 23:00:00) were used to train and test the process-based model (Fig. 1 1A). 370 During pretraining (Fig. 1 1B), the training and testing data were split 60-40 and each 371 deep learning model was trained for 100 epochs to replicate the temperature output of 372 its respective process-based counterpart (for all inputs and targets see Tab. 1). Train-373 ing and testing data for the MLP models consisted of hourly, depth-discrete ($\Delta z = 0.5$ 374 m) simulated data from the process-based model output. Although each MLP was trained 375 individually (Fig. 1 1B), overall model performance was evaluated by linking each MLP 376 to the next one (Fig. 1 2B), similar to the process-based model (Fig. 1 2A), i.e., the pro-377 jected temperature from the first deep learning model replaced the respective input tem-378 perature of the next deep learning model (Fig. 1 2B). 379

In the fine-tuning step (Fig. 1 1C, 7 years of data from 2011-12-31 01:00:00 to 2017-380 12-28 23:00:00 with a split of 60-40 % for training and testing), each trained deep learn-381 ing model got linked to mimic the process-based model. The linked deep learning mod-382 els did not include recurrent information because for every time step, the initial temper-383 ature got derived from the the process-based model output and not from the previously 384 projected final temperature profile (see Fig. 1 2C - there is no loop between final pro-385 jected temperature and the initial temperature of the next time step because the latter 386 was taken from the process-based model simulations). To improve model performance, 387 we only fine-tuned the weights of the third module mimicking the vertical diffusive trans-388 port (the weights of all other MLP's were unaltered during fine-tuning). Fine-tuning was 389 done using high-frequency observed water temperature data with 1,000 epochs. We chose 390 to fine-tune the diffusion module as among state-of-the-art hydrodynamic lake models, 391 different approaches are taken in their parameterization of diffusive transport dynam-392 ics. Eddy-diffusion models quantify the eddy diffusivity coefficients for turbulent transport as a function of the gradient Richardson number (e.g., Henderson-Sellers (1985) or 394 Hostetler and Bartlein (1990) models), whereas turbulence-based models use additional 395 equations to quantify production and dissipation of turbulent kinetic energy, e.g., the 396 $k-\epsilon$ approach as in Simstrat (Goudsmit et al., 2002) and LAKE2.0 (Stepanenko et al., 397 2016). For our calculations, we chose the method *sensu* Henderson-Sellers (1985) and 398 parameterised the vertical turbulent diffusivity coefficients as a function depending on 399 the gradient Richardson number, Eq. 9, in which external wind energy is directly used 400 to compute turbulent transport. Although these alternative calculations have common 401 physical assumptions and foundations, the degree to which they replicate the complex-402 ity of a specific lake's hydrodynamics is uncertain. Therefore, by letting deep learning 403 estimate the turbulent diffusive transport, we are actively reducing the process uncer-404 405 tainty in the hybrid MCL model.

We further tested the performance of two alternative deep learning models (Fig. 2, Tab. 1). One model, deep learning (no process, Fig. 2 A), acted as our test case to investigate if deep learning with no process-based information in its input data would

perform as well as the hybrid MCL model. The other model, pretrained deep learning
(no modularisation, Fig. 2 B), had a similar setup as the hybrid MCL model (including pretraining on process-based model simulations and fine-tuning on observed data,
Fig. 1 2D), but without the deep learning model being part of the modularised workflow. This model was tested to see if the feedbacks between the process-based modules
and the deep learning were improving model performance and stability. The two deep
learning models consisted of:

- Deep learning model (no process): a MLP was trained on the observed data (Fig. 2 A). The deep learning model had the same amount of hidden layers as the hybrid MCL model, and the input data for training were lake characteristics and meteorological driver data (Tab. 1).
 - 2. Pretrained deep learning model (no modularisation): a MLP was pretrained with the final simulation output from the process-based model (Tab. 1). The deep learning model had the same amount of hidden layers as the hybrid MCL model, and the input data for training were lake characteristics, initial projected process-based water temperature and meteorological driver data. The pretrained deep learning model was subsequently fine-tuned on the observed water temperature data (Fig. 2 B)

In total, model performance for the training and testing periods were evaluated for the process-based model, the intermediate MCL steps of pretraining and fine-tuning, the hybrid MCL model, the deep learning model (no process), and the the pretrained deep learning model (no modularisation) on how well they replicated the observed water temperature data for training and testing periods (quantified using the root-mean squared error, RMSE).

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3.3 Hybrid MCL model

To develop a hybrid MCL model (Fig. 1 2D) in which the fine-tuned MLP mim-435 icking vertical diffusive transport was integrated into the modularised process-based model, 436 we had to acknowledge the architecture of the pretraining and fine-tuning steps (Fig. 1.2) 437 B+C). We recall that each deep learning model used the projected output of its previ-438 ous process-based module counterpart during pretraining, and that during fine-tuning 439 the first deep learning model (acting as substitute for heating) received its initial water 440 temperature profile from the process-based model projections, whereas subsequent deep 441 learning models used the simulated output from their deep learning predecessors as in-442 put (Fig. 1 2C). This bias of pretraining and fine-tuning to rely on process-based infor-443 mation needed to be replicated in the hybrid MCL model. To ensure the inclusion of un-444 biased process-based initial values in the hybrid MCL model, we ran two parallel paths 445 inside the hybrid MCL model at each iteration (Fig. 1 2D). For each iteration (repre-446 senting the calculations for one time step), a complete process-based model calculation 447 is performed (heating, ice, diffusion, convection) and the final process-based model out-448 put is used as the input temperature profiles for the next time step (process-based model 449 path in Fig. 1 2D). In parallel, after accounting for ice and snow formation, the fine-tuned 450 deep learning model is run with its output further processed by a process-based convec-451 tion module. This final temperature profile is stored as the "true" model output (hybrid 452 path in Fig. 1 2D), which is not used as the initial profile for the next time step. Even-453 tually, these parallel processes mean that at each iteration the process-based modules are run to create a biased, process-based initial temperature profile for the next itera-455 tion. But on top of this loop, the deep learning model calculates the final modeled tem-456 perature profile for each time step in parallel. This diffusive adjustment by the deep learn-457

Configuration	Input data	Pretraining target	Fine-tuning target
Modular compositie	onal learning		
Deep learning model for heating (a)	depth, air temp., longwave radiation, sensible heatflux, latent heatflux, short-wave radiation, light extinction, area, ice, snow, snow ice, initial process-based temperature, day of year, time of day	process-based heating (a) temperature	
Deep learning model for ice and snow formation (b)	depth, ice, snow, snow ice, initial process-based temperature, process- based heating temperature (a), day of year, time of day	process-based ice temperature (b)	-
Deep learning model for diffusion (c)	depth, area, wind speed, process- based buoyancy profile, process- based diffusivity coefficient values, ice, snow, snow ice, initial process- based temperature, process-based heating temperature (a), process- based ice temperature (b), day of year, time of day	process-based diffusion temperature (c)	observed temperature
Deep learning model for convection (d)	depth, area, ice, snow, snow ice, initial process-based temperature, process-based heating temperature (a), process-based ice temperature (b), process-based diffusion temperature (c), day of year, time of day	process-based convection temperature (d)	-
Alternative deep learning models			
Deep learning model (no process)	depth, air temp., longwave radiation, sensible heatflux, latent heatflux, short-wave radiation, light extinction, area, wind speed, day of year, time of day	observed temperature	
Pretrained deep learning model (no modularisation)	depth, air temp., longwave radiation, sensible heatflux, latent heatflux, short-wave radiation, light extinction, area, wind speed, day of year, time of day, initial process- based temperature	process-based convection temperature (d)	observed temperature

 Table 1. Overview of the deep learning models regarding input data and target variable



Figure 1. Design process for modular compositional learning. Gray boxes represent processbased modules, cyan boxes represent pretrained deep learning models, and red boxes represent fine-tuned deep learning modules. 1: Workflow to create the hybrid MCL model consisting of (A) the process-based model, (B) pretraining step (deep learning models learn to surrogate performance of process-based counterparts), (C) fine-tuning step (one deep learning model is trained on observed data), and the (D) hybrid MCL model. 2: Evaluation of model performance for the (A) process-based model, the (B) pretraining step, the (C) fine-tuning step, and the (D) hybrid MCL model.

ing module using data-driven information of the temperature profile provided by the process-458 based model was due to inherent numerical instabilities of the hybrid MCL model. Ini-459 tial, non-published experiments highlighted that a hybrid MCL framework in which the 460 final projected temperature profile of the hybrid path would be the input for the next 461 iteration was susceptible to numerical oscillations, which over time could develop into 462 unrealistic water temperature values. Therefore, in our hybrid MCL model, the process-463 based model is used as the structural backbone (for heat fluxes, ice/snow formation and 464 convection and also for temporal evolution), but the diffusive processes are "adjusted" 465 using the deep learning model, which was trained on observed water temperature data. 466

The hybrid MCL model is tested against the performance of the process-based model, the deep learning model (no process), and the pretrained deep learning model (no modularisation) in replicating the observed data for the period from 2018-04-26 00:00:00 to



Figure 2. Architectures of alternative deep learning models: the gray boxes represent processbased modules, and red boxes represent trained models. **A**: Evaluation of the deep learning model with no process information performance. **B**: Evaluation of the pretrained deep learning model (no modularisation) performance.

2019-10-27 11:00:00, which was outside of the training-testing period (2011-12-31 01:00:00) 470 to 2017-12-28 23:00:00). We quantified model fits using the RMSE and the Nash-Sutcliffe 471 coefficient of efficiency (NSE). We evaluated model performance for a set of hydrody-472 namic metrics: whole water temperature profiles over the time period, near-surface wa-473 ter temperatures (depth at 0.5 m), near-bottom water temperature (depth at 24 m), ther-474 mocline depths, upper and lower metalimnion depths, the isothermals of 13, 15 and 17 475 °C over time, max. buoyancy frequency, and Schmidt stability. Thermocline depths rep-476 resent if the model can accurately replicate vertical transport dynamics as well as inter-477 nal mixing processes due to oscillations or entrainment, whereas the isothermals at tem-478 peratures close to the thermocline explore the ability of the model to mimick oscillations 479 due to internal waves. Maximum buoyancy frequency was quantified as the maximum 480 value of each hour's profile of the Brunt–Väisälä frequency, or squared buoyancy frequency 481 N^2 (Lerman et al., 1995): 482

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$$N^2 = \frac{g}{\rho_0} \frac{\partial \rho}{\partial z} \tag{12}$$

where g is gravitational acceleration (m s⁻²). The Schmidt stability, St (J m⁻²) (Idso, 1973; Schmidt, 1928), quantifies the amount of external energy needed to mix the entire water column without affecting the amount of stored internal energy:

$$St = \frac{g}{A_0} \int_0^{z_{max}} (z - z_g) \left(\rho_z - \hat{\rho_z}\right) A_z dz$$
(13)

where z is the depth referenced from the water surface, z_g is the depth of the center of mass, and $\hat{\rho}_z$ is the mean density.

Further, we investigated if the respective models produced unstable density pro-490 files over the water column. For this, we calculated average epilimnion and metalimnion 491 densities, respectively, and compared their differences over time for the process-based 492 model, the hybrid MCL model, the deep learning model (no process), and the pretrained 493 deep learning model (no modularisation). To understand if the hybrid MCL model and 494 the two deep learning models projected unrealistic fluctuations around their output variables, we quantified monthly signal-to-noise ratios by dividing the monthly mean by its 496 standard deviation for surface water temperature, bottom water temperature and Schmidt 497 stability. 498

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Model	Train RMSE (°C)	Test RMSE (C)
Process-based model	5.31	4.46
Pretraining step	4.78	5.27
Fine-tuning step	1.31	1.94
Hybrid MCL model	1.97	1.60
Deep learning model (no process)	0.83	2.10
Pretrained deep learning model (no modularisation)	1.42	1.42

Table 2. Performance of models in recreating the full observed temperature profiles of the training (2011-12-31 to 2015-08-05) and test periods (2015-08-06 to 2017-12-28). Bold numbers highlight the best performance metric.

3.4 Computational implementation

The process-based, the deep learning models, and the hybrid MCL model were developed and run in Python 3.7. Deep learning models were trained using PyTorch v1.11.0 (Paszke et al., 2019). All calculations to assess the performance of the hybrid models and its competitors were done using R v4.3.1 (R Core Team, 2023) and the package rLakeAnalyzer (Read et al., 2011).

505 4 Results

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4.1 Performance of modular compositional learning

The process-based model had shortcomings replicating the field temperature dy-507 namics of the test period (Tab. 2, Fig. 3 A+D). The deep-water heat transport is un-508 derestimated, resulting in bottom temperatures being approx. 5 °C colder than what the 509 field data suggest. Further, observed temperature fluctuations near the thermocline were 510 not replicated by the process-based model. The pretraining step, with four individual 511 deep learning models surrogating the performance of their process-based counterparts, 512 achieved a similar performance as the process-based model (Tab. 2, Fig. 3 A+E1). Once 513 trained or fine-tuned on observed data, the deep learning model (no process), the pre-514 trained deep learning model (no modularisation), the fine-tuning step, and the hybrid 515 MCL model are able to capture the thermal dynamics of the observed data with RMSE's 516 for the test period of 2.10, 1.42, 1.94, and 1.60 °C, respectively (Tab. 2, Fig. 3 B+C, E2+F). 517 Training performance suggests that all fine-tuned deep learning models had a very sim-518 ilar performance (1.94 and 1.42 °C for fine-tuning step and pretrained deep learning with 519 no modularisation, respectively). The deep learning model with no process information 520 had a better performance during training than testing. The combination of pretraining 521 and fine-tuning caused the error to decrease from an initial RMSE of 4.46 °C (process-522 based model) to 1.60 °C (hybrid MCL model). Past modeling studies (process-based and 523 hybrid KGML models) achieved a similar performance for water temperature simulations, 524 i.e., 1.96 °C in Ladwig et al. (2021) and 1.56 °C in Read et al. (2019). 525

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4.2 Performance of the hybrid MCL model

The performance of the hybrid MCL model was further evaluated against the processbased model, the deep learning model (no process), and the pretrained deep learning model (no modularisation) for the time period 2018-04-26 00:00:00 to 2019-10-27 11:00:00 (data that were previously not used in training/testing). The hybrid MCL model vastly out-



Figure 3. Model performance for replicating thermodynamics during the test period (2015-08-06 to 2017-12-28) visualised through heatmaps of vertical water temperature profiles over time. A: Observed data. B: Output from deep learning model with no process information (Fig. 2 A) with erratic oscillations. C: Output from pretrained deep learning model with no modularisaton (Fig. 2 B). D: Output from process-based model highlighting the shortcomings of the process-based model to replicate deep-water heat transport (Fig. 1 2A). E1: Output from pretraining step, which is almost identical to process-based model performance as the process-based output was used to train the deep learning models (Fig. 1 2B). E2: Output from fine-tuning step, almost identical to hybrid output for the testing period (Fig. 1 2C). Model performance improved for deep-water heat transport compared to process-based model. F: Output from hybrid MCL model (Fig. 1 2D).

performed the process-based model (Tab. 3) regarding the replication of total temper-

ature dynamics (RMSE of 2.14 and 4.63 °C, respectively), water column stability (i.e., 533 NSE of Schmidt stability of 0.92 and 0.79, respectively), and density layer fluctuations 534 (i.e., NSE of 15 °C isotherm depth of 0.68 and -1.09, respectively). The improved per-535 formance of the hybrid MCL model compared to the process-based model is further high-536 lighted in the time series of projected surface and bottom water temperature dynamics 537 (Fig. 4 A+B). The process-based model is not able to capture deep-water heat trans-538 port (revealed by its nearly constant bottom water temperature through summer strat-539 ification), and its earlier decline of surface water temperatures. Further, the process-based 540 model generally underestimated the depths of the thermocline, upper metalimnion, lower 541 metalimnion and all investigated isotherms (Tab. 3, Fig. 4). 542

The hybrid MCL model and the pretrained deep learning model with no modular-543 isation performed similarly for water temperature dynamics, energy budgets, and den-544 sity layer depths. However, the pretrained deep learning (no modularisation) has a bet-545 ter projection of surface water temperatures than the hybrid MCL model, RMSE of 1.57 546 to 2.12 °C and NSE of 0.97 to 0.94, respectively. Conversely, the hybrid MCL model bet-547 ter projected overall heat budgets the both alternative deep learning models: NSE of Schmidt 548 stability of 0.92, and NSE of maximum buoyancy frequency of 0.25 (Tab. 3, Fig. 4 C-549 D). Although performance metrics suggest that the deep learning model (no process) has 550 good projections regarding thermocline and metalimnion depths (i.e., RMSE of thermo-551 cline depth of 3.16 m, which is similar to the RMSE of 2.71 m by the hybrid MCL model), 552 subplots E+F as well as H+I in Fig. 4 reveal that the deep learning model has profound 553 high-frequency noise around its projections. Overall, the deep learning model with no 554 process information performed worse than the other deep learning models for temper-555 ature projections, which is further evident in the depths of the isotherms, e.g., RMSE 556 for the 17 °C isotherm of 1.57 m and 2.97 m for hybrid MCL model and deep learning 557 (no process), respectively. The hybrid MCL model and the pretrained deep learning model 558 (no modularisation) failed to project surface temperatures close to 0 °C during the win-559 ter season of 2018-2019 (Fig. 4 A), similar to the interpolated observed data. Compared 560 to the results presented in Section 4.1, the performance of the deep learning with no pro-561 cess has a worse performance for the evaluation period 2018-2019 than during the train-562 ing and testing periods. Contrary, the performance of the hybrid MCL model improved 563 during this additional verification period compared to its initial performance during train-564 ing and testing. 565

To investigate whether the models project unrealistically long unstable conditions, 566 with the assumption that any density instability in the water column would be resolved 567 rather quickly under field conditions, we compared depth-integrated epilimnetic and met-568 alimnetic water densities over time (Fig. 5). The hybrid MCL model occasionally pro-569 jected density instabilities (meaning that average metalimnion density was lower than 570 average epilimnion density), which were resolved quickly and only occurred during the 571 winter ice-covered period. Both other deep learning models produced more frequent den-572 sity instabilities (Fig. 5), especially during turnover conditions (before and after sum-573 mer stratification). The process-based model did also produce density instabilities dur-574 ing turnover conditions as the convective mixing algorithm only considered a threshold 575 of equal or less than $1 \ge 10^{-3}$ kg m⁻³ for mixing, which, when integrated over a layer, 576 can result in occasional unstable profiles. However, the models' susceptibility to gener-577 ate unstable conditions was not critical (max. density differences were $< 2 \ge 10^{-1}$ kg 578 m^{-3}). 579

The signal-to-noise ratios were generally low for the deep learning model (no process) during the winter seasons for surface and bottom water temperature (Fig. 6 A+B). The deep learning model with no process further produced low signal-to-noise ratios for bottom water temperatures (Fig. 6 B). Regarding water column stability, all three deep learning models had similar signal-to-noise ratios (Fig. 6 C). Some of the models occasionally projected unstable density profiles that resulted in negative Schmidt stability

Variable	Process-based model	Hybrid MCL model	Deep learning (no process)	Pretrained deep learning (no modularisation)
		RMSE	E(NSE)	
Whole water temperature profiles (°C)	4.63(0.53)	2.14 (0.90)	4.14(0.62)	2.11 (0.90)
Surface temperature (°C	4.34(0.77)	2.12(0.94)	5.99(0.57)	1.57 (0.97)
Bottom temperature (°C)	4.02 (-1.35)	1.73(0.56)	3.10(-0.40)	2.10(0.35)
Schmidt Stability (J m ⁻²)	139.92(0.79)	85.43 (0.92)	255.54(0.31)	85.85 (0.92)
Max. buoyancy frequency (s^{-2})	0.006 (-0.14)	0.004 (0.25)	0.006 (-0.19)	0.005 (-0.02)
Thermocline depth (m)*	5.63(-4.64)	2.71(-0.31)	3.16(-0.77)	2.65 (-0.25)
Upper metalimnion depth (m)*	6.93 (-5.21)	4.05 (-1.13)	3.60 (-0.68)	4.71 (-1.88)
Lower metalimnion depth (m)*	3.28 (-2.00)	1.64 (0.24)	2.88 (-1.31)	2.53 (-0.78)
13 °C isotherm depth (m)	4.40(-0.76)	$1.94 \ (0.65)$	3.64(-0.20)	3.88(-0.37)
15 °C isotherm depth (m)	4.34(-1.09)	1.68 (0.68)	2.94(0.03)	2.58(0.25)
17 °C isotherm depth (m)	4.16(-1.94)	1.57 (0.57)	2.97 (-0.50)	2.34(0.08)

Table 3. Performance of the process-based model, the hybrid MCL model, and deep learning model with no process information, and the pretrained deep learning model with no modularisation regarding the replication of a set of water quality variables for the period 2018-04-26 00:00:00 to 2019-10-27 11:00:00. RMSE is given outside of brackets, whereas NSE is given inside of brackets. Bold numbers highlight the best performance metric for each variable of interest.

*Variable fit was calculated from June to September to avoid a bias to strong density fluctuations during overturn.

values, hence a negative signal-to-noise ratio. The hybrid MCL model and the pretrained deep learning model with no modularisation simulated consistently higher signal-to-noise ratios than the deep learning model (no process). The lower signal-to-noise ratios for the deep learning model (no process) are potentially caused by the spurious oscillations of its water temperature projections (Fig. 3 B, Fig. 4).

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⁵⁹² 5 Discussion and conclusions

A hybrid MCL model incorporating process-based formulations and trained deep 593 learning models through MCL improved overall model performance and provided phys-594 ically sound results. Compared to three alternative configurations, a process-based model, 595 a deep learning model with no process information, and a pretrained deep learning model 596 with no modularisation, the hybrid MCL model had the overall best replications of phys-597 ical limnological characteristics of Lake Mendota. Through fine-tuning, the overall hy-598 brid MCL model learned how to enhance deep-water heat transport as well as thermo-599 cline oscillations compared to the original process-based model. The alternative deep learn-600 ing model with no process information (a design heavily inspired by discovery applica-601 tions, hence learning novel relationships between input data and target without hard-602 wiring potential interactions) performed very well during training, but had several short-603



Figure 4. Performance analysis of the four models to replicate physical metrics: blue represents the pretrained deep learning model with no modularisation, green represents the deep learning model with no process information, orange represents the hybrid MCL model, black represents observed data, yellow line represents the process-based model. A: Surface water temperature dynamics. B: Bottom water temperature dynamics. C: Schmidt stability dynamics. D: Max. buoyancy frequency dynamics. E: Thermocline dynamics in 2018. F: Lower metalimnion depth dynamics in 2018. G: 15 °C isotherm dynamics in 2018. H: Thermocline dynamics in 2019. I: Lower metalimnion depth dynamics in 2019. J: 15 °C isotherm dynamics in 2019.



Figure 5. Density differences between averaged epilimnion and metalimnion layers. Here, only density violations $\left(\frac{\rho_{Epi}}{\rho_{Meta}} > 1\right)$ are highlighted: blue represents the pretrained deep learning model with no modularisation, green represents the deep learning model with no process information, orange represents the hybrid MCL model, yellow represents the process-based model.

comings during the model application to a new time frame: (a) worse performance than
the hybrid MCL model and the pretrained deep learning model (no modularisation), (b)
more physically-unrealistic density profiles, and (c) lower signal-to-noise ratio. A pretrained deep learning model that lacked any modularisation between the process-based
component and the deep learning component performed similarly to our hybrid MCL
model, but eventually also produced occasional and more pronounced unstable density
profiles.

In this study, we merged a simple, process-based 1D hydrodynamic model with a 611 fine-tuned MLP both (1) to highlight the potential of the MCL methodology and (2) to 612 develop a hybrid MCL model. Notably, there are several shortcomings in the current method-613 ology. The process-based model was not thoroughly calibrated. This step was omitted 614 intentionally to highlight MCL's potential to improve the performance of a broadly un-615 calibrated process-based model. Regarding process uncertainty, a more advanced process-616 based formulation, i.e. as in LAKE2.0 (Stepanenko et al., 2016), that is better guided 617 by physical theory, or straightforward improvements like transient light extinction co-618 efficients instead of a constant value could improve the pretraining of the deep learning 619 models, which would improve overall hybrid MCL model performance. Further, mem-620 ory of past events is only included in the hybrid MCL model through process-based cal-621 culations; memory could likewise be added to the deep learning through recurrent deep 622 learning models. Data uncertainty is confounding our results, as observed data were sparse 623 during ice-covered conditions and hence interpolated. The pretraining did not consider 624 any testing data to tune the deep learning model because we used test data exclusively 625 only for tuning hyperparameters and validating model performance. Potential bias could 626 also originate from interpolating the original observed water temperature data to match 627 the resolution and time step of the process-based model. Data interpolation can add fic-628 titious trends to depth-discrete water temperature time series data, as well as add in-629 terpolation artifacts. However, all these limitations do not weaken the overall study out-630 come of highlighting how MCL can guide future hybrid KGML model developments. 631

Balancing between design and discovery, and best practises to incorporate process knowledge into deep learning models, will guide future developments of hybrid KGML



Figure 6. Signal-to-Noise ratios (mean divided by standard deviation) for monthly values: blue represents the pretrained deep learning model with no modularisation, green represents the deep learning model with no process information, orange represents the hybrid MCL model.
A: Surface water temperature dynamics. B: Bottom water temperature dynamics. C: Schmidt stability dynamics.

models. In this study, we have highlighted the potential of MCL, in which the final hybrid MCL model directly couples process-based modules with a fine-tuned deep learning model. The worse performance of the deep learning model with no process information reveals again the importance of including discipline-specific expertise in the design
of machine learning models. Although we used MLPs and only accounted for recurrence through process information, these results highlight future potentials for aquatic ecosystem modeling. Similar to the approach in this study, future hybrid MCL models could

incorporate *in-situ* data to fine-tune incorporated deep learning models for different mod-

ular sub-aspects (Fig. 7 A). Further, coupled ecosystem models could improve projections of complex food web dynamics by driving zooplankton projections using long-term
and high-frequency data through a deep learning model, whereas other components, e.g.,
nutrient dynamics and phytoplankton, could be simulated using process-based modeling (Fig. 7 A). On a technical level, deep learning models can utilize data from remote
sensing to incorporate spatial information, which is challenging to be included in 1D processbased models due to static input-output relationships (Fig. 7 A).

A next step for hybrid MCL models could be to add a water quality modules, such as coupling a dissolved oxygen concentrations to the hydrodynamic model. For example, dissolved oxygen models account for lake metabolism through:

$$A\frac{\partial DO}{\partial t} = A\frac{\partial}{\partial z}(K_z\frac{\partial DO}{\partial z}) + A\frac{\partial}{\partial z}NEP$$
(14)

where DO is the dissolved oxygen concentration (kg m⁻³), and NEP is net ecosystem 653 production (kg $m^{-3} d^{-1}$), which is the difference between an ecosystem's gross primary 654 production and its respiration (Hoellein et al., 2013). Metabolism formulations account 655 for the atmospheric exchange and the sediment oxygen demand (a term included in ecosys-656 tem respiration) as boundary conditions near the surface and near the sediment, respec-657 tively (e.g., Perga et al. (2023); Ladwig et al. (2022)). Using a MCL approach, both, tem-658 perature and oxygen calculations could be hybrid MCL models, in which diffusion is es-659 timated by deep learning model (and as an input for the vertical dissolved oxygen transport), but net ecosystem production could be estimated by a deep learning model for 661 the water quality side. Here, additional *in-situ* data (e.g., zooplankton biomass, depth 662 of the photic zone, Chlorophyll-a concentrations) can be used to get improved forecasts 663 of net ecosystem production (Fig. 7 B). We envision that the formulation of future hy-664 brid MCL models has the potential to not only improve model performance through bet-665 ter designed KGML models but also through the discovery of feedback relationships be-666 tween input data and target variables. 667

In this study we have highlighted the potential of MCL, which is a novel design phi-668 losophy for creating hybrid KGML models consisting of process-based and deep learn-669 ing models. The developed hybrid MCL model, which has process-based formulations 670 for heating, snow and ice formation, and convection, that was coupled to a pretrained 671 and fine-tuned deep learning model to account for diffusive transport, produced improved 672 projections for lake thermal variables compared to the original process-based model, a 673 deep learning model with no process information, and a pretrained deep learning model 674 without modularisation. Past hybrid KGML models have focused mostly on developing 675 a single, deep learning model to project one target variable (Read et al., 2019; Hanson 676 et al., 2020). The breaking down of processes into modules and assigning them either 677 process-based calculations if the domain expertise is high or deep learning if process for-678 mulation is uncertain allows modelers flexibility in balancing design choices with oppor-679 tunities for discovery. Further, by ensuring that deep learning is an integrated part of 680 a process-based model, the results are physically valid and to a certain extent explain-681 able as the whole model is not a black box. As the deep learning side is pretrained with 682 synthetic data, the overall hybrid MCL model can be used under (observed) data-scare 683 conditions. Further development of hybrid KGML models that support understanding, 684 exploring, and mitigating the impacts of global changes on water resources could sup-685 port water resources managers and other decision makers. 686

687 6 Open Research

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All development code and input data for the models as well as output are available at https://github.com/robertladwig/LakePIAB with scripts in R and Python (relying on PyTorch for training the deep learning models) under a GNU General Pub-



Figure 7. Outlook for future modular compositional learning (MCL) approaches. A: Future MCL approaches can directly incorporate *in-situ* data to improve model performance, replace uncertain process formulations in food web modeling with deep learning, and/or directly incorporate additional data like remote sensing in the deep learning model. B: Proposed framework for a coupled water temperature - dissolved oxygen hybrid MCL model in which dissolved oxygen calculations are coupled to temperature through simulated diffusivity coefficients for vertical transport. On the dissolved oxygen side, atmospheric exchange and sediment oxygen demand calculations are process-based, whereas net primary production is data-driven through deep learning.

lic License Version 2.0 (GPL-2.0). All data and scripts will be archived at Zenodo data repository once manuscript is accepted.

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