The relation among the ring current, subauroral polarization stream, and the geospace plume: MAGE Simulation of the March 31 2001 Super Storm

Shanshan Bao¹, Wenbin Wang², Kareem Sorathia³, Viacheslav G. Merkin³, Frank R. Toffoletto¹, Dong Lin⁴, Kevin H Pham⁴, Jeffrey Garretson⁵, Michael Wiltberger⁶, John G. Lyon⁷, and Adam Michael⁸

¹Rice University
²HAO/NCAR
³The Johns Hopkins University Applied Physics Laboratory
⁴National Center for Atmospheric Research
⁵Johns Hopkins Applied Physics Lab
⁶National Center for Atmospheric Research (UCAR)
⁷Dartmouth College
⁸The John Hopkins Applied Physics Laboratory

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Abstract

The geospace plume, referring to the combined processes of the plasmaspheric and the ionospheric storm-enhanced density (SED)/total electron content (TEC) plumes, is one of the unique features of geomagnetic storms. The apparent spatial overlap and joint temporal evolution between the plasmaspheric plume and the equatorial mapping of the SED/TEC plume indicate strong magnetospheric-ionospheric coupling. However, a systematic modeling study of the factors contributing to geospace plume development has not yet been performed due to the lack of a sufficiently comprehensive model including all the relevant physical processes. In this paper, we present a numerical simulation of the geospace plume in the March 31, 2001 storm using the Multiscale Atmosphere Geospace Environment model. The simulation reproduces the observed linkage of the two plumes, which, we interpret as a result of both being driven by the electric field that maps between the magnetosphere and the ionosphere. The model predicts two velocity channels of sunward plasma drift at different latitudes in the dusk sector during the storm main phase, which are identified as the sub-auroral polarization stream (SAPS) and the convection return flow, respectively. The SAPS is responsible for the erosion of the plasmasphere plume and contributes to the ionospheric TEC depletion in the midlatitude trough region. We further find the spatial distributions of the magnetospheric ring current ions and electrons, determined by a delicate balance of the energy-dependent gradient/curvature drifts and the E'B drifts, are crucial to sustain the SAPS electric field that shapes the geospace plume throughout the storm main phase.

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4 Shanshan Bao¹, Wenbin Wang², Kareem Sorathia³, Viacheslav Merkin³, Frank Toffoletto¹,

5 Dong Lin², Kevin Pham², Jeffrey Garretson³, Michael Wiltberger², John Lyon⁴ and Adam

6 Michael³

- 7 ¹Department of Physics and Astronomy, Rice University, Houston TX
- 8 ²High Altitude Observatory, National Center for Atmospheric Research, Boulder CO
- 9 ³Applied Physics Laboratory, Johns Hopkins University, Laurel MD
- ⁴Department of Physics and Astronomy, Dartmouth College, Hanover NH
- 11 Corresponding author: Shanshan Bao (<u>shanshan.bao@rice.edu</u>)

12 Key Points:

- The first whole geospace simulation to demonstrate coherent storm-time evolution of
 plasmaspheric and total electron content (TEC) plumes.
 - The model demonstrates plasmasphere erosion and TEC depletion by the subauroral polarization streams (SAPS).
- SAPS is sustained by magnetospheric ion and electron distributions formed by a delicate
 balance of energy-dependent and E×B drifts.
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21 Abstract

22 The geospace plume, referring to the combined processes of the plasmaspheric and the 23 ionospheric storm-enhanced density (SED)/total electron content (TEC) plumes, is one of the 24 unique features of geomagnetic storms. The apparent spatial overlap and joint temporal evolution 25 between the plasmaspheric plume and the equatorial mapping of the SED/TEC plume indicate 26 strong magnetospheric-ionospheric coupling. However, a systematic modeling study of the 27 factors contributing to geospace plume development has not yet been performed due to the lack 28 of a sufficiently comprehensive model including all the relevant physical processes. In this 29 paper, we present a numerical simulation of the geospace plume in the March 31, 2001 storm using the Multiscale Atmosphere Geospace Environment model. The simulation reproduces the 30 31 observed linkage of the two plumes, which, we interpret as a result of both being driven by the 32 electric field that maps between the magnetosphere and the ionosphere. The model predicts two 33 velocity channels of sunward plasma drift at different latitudes in the dusk sector during the 34 storm main phase, which are identified as the sub-auroral polarization stream (SAPS) and the 35 convection return flow, respectively. The SAPS is responsible for the erosion of the 36 plasmasphere plume and contributes to the ionospheric TEC depletion in the midlatitude trough 37 region. We further find the spatial distributions of the magnetospheric ring current ions and 38 electrons, determined by a delicate balance of the energy-dependent gradient/curvature drifts and 39 the E×B drifts, are crucial to sustain the SAPS electric field that shapes the geospace plume

40 throughout the storm main phase.

41 **1 Introduction**

42 During geomagnetically active times, multiscale dynamic processes are triggered 43 throughout the magnetosphere, the ionosphere and the thermosphere in response to the solar 44 wind driving. Once the global magnetospheric convection initiates, the ring current starts to 45 accumulate, reshaping the global structure of the magnetosphere and establishing a distinctive dynamic storm-time pattern of the electromagnetic field and plasmas. The Imager for 46 47 Magnetopause-to Aurora Global Exploration (IMAGE) satellite observed the dynamic evolution 48 of the cold (~1eV) and dense (~ 10^{4} /cc) plasmasphere (Lemaire et al., 1998) and the sunward 49 extension of a plume-like high density structure from the dusk edge of the plasmasphere (the 50 "drainage plume") through the EUV images (Burch et al., 2001; Sandel et al., 2001; Goldstein, 51 2004; Goldstein & Sandel, 2005). The IMAGE satellite also detected, through the high-energy 52 neutral atom (HENA) images, that the spatial distribution of the partial ring current roughly 53 complements the shape of the plasmapause (Pulkkinen et al., 2005; Goldstein, 2007). In the 54 ionosphere, the storm-time electron density enhancement at low to midlatitudes in the day and 55 dusk sectors is a prominent feature known as a "positive storm effect" (Liu et al., 2016; 56 Fagundes et al., 2016). Furthermore, a plume-like high total electron content (TEC) structure 57 extends from the positive storm effect region in the noon-to-dusk sector toward higher latitudes 58 and into the polar cap, which is commonly observed by incoherent scatter radars, ground-based 59 Global Positioning System (GPS) measurements and near-Earth satellites (e.g., Foster, 1993; 60 Zou et al., 2013, 2014; Foster et al., 2020). The term "geospace plume" has been used to refer to the coupled, jointly evolving high plasma density structures including the plasmaspheric 61 62 drainage plume and the storm-enhanced density (SED)/TEC plume in the ionosphere (Foster et 63 al., 2020). Foster et al. (2002) first pointed out the "linkage" between the plasmaspheric plume 64 and the ionospheric SED/TEC plume by comparing the IMAGE EUV plasmasphere image and 65 the equatorial mapping of the GPS TEC map. They noted that the co-location of the plumes

66 indicates strong magnetosphere-ionosphere (MI) coupling. Further observations show that the 67 sub-auroral polarization stream (SAPS), a latitudinally narrow large plasma drift channel in the 68 sub-auroral ionosphere in the dusk-to-midnight sector (Foster & Burke, 2002), may play an 69 important role in shaping the dusk edge of the plasmasphere and further depleting the middle latitude electron density trough in the ionosphere. In other words, the SAPS electric field maps 70 71 across the plasmapause on the dusk side causing strong westward ion transport and contributes to 72 the formation of the ionospheric electron density trough which is co-located with the SAPS 73 channel (Foster & Burke, 2002; Foster, 2002; Foster et al., 2007, 2014; Zou et al., 2021).

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75 Numerical simulations of the geospace plume system have been conducted along with the 76 observational studies. Goldstein et al. (2003, 2005, 2014) used cold test particles at the 77 plasmapause that were driven by empirical convection and an ad-hoc SAPS electric potential to 78 track the plasmasphere evolution. They found that the SAPS electric field is crucial in order to 79 reproduce the storm-time, dusk-side structures of the plasmasphere, such as the plasmapause 80 radius and the plasmaspheric plume. The first 3D simulation of the plasmasphere was conducted 81 using the SAMI3 model that was driven by an empirical electrostatic potential (Huba & Krall, 82 2013). The study found that the simulated plasmasphere evolves from a toroidal symmetric shape 83 into a contracted size with a development of a plume-like structure after the storm. The 84 Thermosphere Ionosphere Electrodynamics General Circulation Model (TIEGCM) (Richmond et 85 al., 1992; Qian et al., 2014) has been extensively used to investigate thermosphere-ionospheric 86 response to geospace disturbances and SAPS. For example, C. H. Lin et al. (2005) used the TIEGCM with the $\mathbf{E} \times \mathbf{B}$ drift derived from satellite measurements of the ion velocity to study the 87 88 relative importance of winds and electric field for low and midlatitude electron density 89 enhancements. Wang et al. (2012) and Lu et al. (2020) used a synthetic SAPS electric field 90 model to investigate the response of neutral winds, SED plume and traveling ionospheric 91 disturbances to SAPS. SAMI3 coupled with the Rice Convection Model (RCM) of the inner 92 magnetosphere was used to simulate the evolution of the ionosphere-plasmasphere system and 93 demonstrated the linkage between the plamaspheric plume and the mapped SED/TEC plume 94 during a geomagnetic storm (Huba & Sazykin, 2014, 2017).

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96 In recent years, magnetosphere-ionosphere-thermosphere (M-I-T) coupled models have 97 been developed and used to simulate SAPS (Raeder et al., 2016; Lin et al., 2019, 2021, 2022). 98 These studies showed that the coupled geospace models can capture the complex interactions 99 and feedback loops in the M-I-T system and reproduce the distinctive features of SAPS in 100 observations. Yet, such coupled models have not yet been used to study the geospace plume. 101 Most of the previous modeling studies simulated the plasmaspheric plume and the ionospheric 102 SED/TEC plume separately, precluding studies that would elucidate the physics underlining the 103 linkage between the two plumes. The use of ad-hoc or empirical SAPS electric field instead of 104 self-consistent, physics-based SAPS modeling prevents an investigation of the magnetosphere-105 ionospheric coupling processes involved, where the coupled processes of the ring current 106 buildup, the Region-2 current generation and the electron precipitation could play important 107 roles in the generation of SAPS (Lin et al., 2021, 2022) and the plume dynamics. SAMI3-RCM 108 simulation (Huba & Sazykin, 2014, 2017) had the advantage of a common electromagnetic field 109 driving both ionospheric and magnetospheric plumes in the closed-field-line region, but it lacked 110 a physics-based representation of high-latitude dynamics coupled to the rest of the simulation 111 domain and an outer-magnetosphere model that can provide the ring current model with stormtime plasma injections at its boundary (Bao et al., 2021; Cramer et al., 2017; De Zeeuw et al.,
2004; Lin et al., 2021; Pembroke et al., 2012).

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115 In this study, we use such a coupled M-I-T model to gain a comprehensive understanding 116 of the geospace plume evolution during storm-times. We address three science questions: (1) 117 What is the cause of the linkage between the plamaspheric plume and the ionospheric SED/TEC 118 plume? (2) What specific processes are important for shaping the geospace plume? (3) What is 119 the relation between the ring current build-up and the geospace plume development? For this 120 purpose, we employ the Multiscale Atmosphere-Geospace Environment (MAGE) model (Lin et 121 al., 2021, 2022; Pham et al., 2022) to simulate the multiscale dynamics throughout the outer and 122 inner magnetosphere, the ionosphere and the thermosphere to determine the relevant correlations 123 and potential causal relationships. The coupled whole geospace model requires a number of key 124 components. First is the global magnetospheric MHD model that can capture both global and 125 inner magnetospheric dynamics such as large-scale storm-time magnetospheric convection and 126 particle gradient/curvature drifts and provide self-consistent dynamic magnetic field 127 configuration along with the associated current system. A coupled thermosphere-ionospheric 128 model is also needed to not only self-consistently evolve the upper atmospheric neutral species 129 but also simulate ionospheric electron densities, and provide ionospheric conductance to solve 130 the current continuity equation for the global ionospheric electrostatic potential. Finally, the 131 model must include the coupling of FACs, particle precipitation, ionospheric conductance, and 132 ionospheric electric field to ensure feedback and self-consistency within the entire geospace

133 system.

134 **2 The MAGE model**

135 The MAGE model used in this study provides a comprehensive and self-consistent 136 description of multiscale physical processes in the different domains of geospace. The current 137 version of MAGE (1.0) couples the global magnetosphere, the inner magnetosphere, the 138 ionosphere and the thermosphere (Lin et al., 2021, 2022; Pham et al., 2022). As shown in Figure 1, the global magnetospheric MHD model, Grid Agnostic MHD with Extended Research 139 140 Applications (GAMERA) model (Zhang et al., 2019; Sorathia et al., 2020) solves the single-fluid 141 MHD equations and passes FACs to the ionosphere potential solver, and the RE-developed 142 Magnetosphere-Ionosphere Coupler/Solver (REMIX) which is a rewrite of the Magnetosphere-143 Ionosphere Coupler/Solver (MIX) code (Merkin & Lyon, 2010). REMIX solves the electric 144 potential for both hemispheres. The GAMERA plasma moments and electromagnetic field are 145 passed to the Rice Convection Model (RCM), the inner magnetosphere ring current model 146 (Toffoletto et al., 2003), to evolve the drifting plasma distribution in the form of multiple-fluids 147 with different energy invariants. The plasmasphere is modeled as a zero-energy proton channel 148 in RCM and follows the $\mathbf{E} \times \mathbf{B}$ drift including corotation. The plasmasphere is initialized with a 149 2D density profile as a function of the Kp index modified from the 1D Gallagher model 150 (Gallagher et al., 2000). The total plasma density and pressure are fed back to the GAMERA model. There are also two kinds of electron precipitation simulated by the current MAGE model: 151 152 the RCM-computed diffuse electron precipitation, i.e., pitch-angle scattered electrons falling into 153 the loss cone (Wolf, 1983; Bao, 2019), and the GAMERA-computed mono-energetic electron 154 precipitation accelerated by field-aligned potential drops (Zhang et al., 2015). The electron 155 precipitation and the electric potential are used as input to the TIEGCM that calculates the 156 density, temperature and transport of electrons, ions, and neutrals. The electron precipitation,

- 157 along with the solar EUV radiation, produces ionization in the ionosphere and the ionospheric 158 conductivity.
- 158

160 Two important physical processes are not yet included in MAGE and therefore are not addressed in this study. The first is a physics-based representation of the plasmaspheric refilling 161 162 process. In the current version of MAGE, we model the plasmasphere inside the inner-163 magnetosphere model, RCM, with a simple empirical refilling model being used, whereas the 164 ionospheric electron density is solved separately in a coupled thermosphere-ionospheric model, 165 TIEGCM. Specifically, in this study, the refilling model is in fact turned off to isolate the effects 166 of electrodynamic coupling and investigate whether the linkage of the two plumes still exists 167 without mass exchange. Plasmasphere refilling is a slow process (~ days) compared with the 168 storm-time plasmaspheric density changes (~ hours) (Lawrence et al., 1999; Denton et al., 2012; 169 Krall et al., 2014) and the exclusion of the refilling should not fundamentally change the storm-

- 170 time plasmaspheric dynamics.
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Figure 2. Solar wind dynamic pressure and velocity (top two panels), IMF components (third panel), and the SYM-H index (bottom panel) during the March 31, 2001, super storm. Data source: CDAWeb/NASA

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173 2.1 Simulation Setup

174 The event studied in the paper is the super storm that occurred on March 31, 2001. The 175 super storm was a result of a coronal mass ejection event that caused the SYM/H index to reach -400 nT. Figure 2 shows the solar wind profile, including, from top to bottom, the dynamic ram 176 177 pressure, the solar wind velocity components, the interplanetary magnetic field (IMF) 178 components, and the SYM/H index, extracted from the NASA/GSFC's OMNI dataset through 179 CDAWeb. There are two periods of southward (SW) IMF, the first, 03:00~08:00UT 03-31-2001, 180 which was followed by a period of northward IMF, and the second, 15:00~22:00UT. The 181 simulation covered the entire storm, but our analysis focuses mostly on the geospace plume 182 development in the first period of the southward IMF (highlighted in Figure 2 in blue shade).

183

In this work, we use the so called 'Quad' resolution for GAMERA, which corresponds to 96, 96, and 128 grid cells in radial, meridional and azimuthal directions (the spherical axis of the grid is aligned with the Solar Magnetic (SM) x-axis). The non-uniform 3D grid is much denser in the inner magnetosphere region with its inner boundary set at $1.5R_E$. The REMIX 2D grid is $1^{\circ}\times1^{\circ}$ in longitude and latitude with a low latitude boundary at 35° magnetic latitude (MLAT). The RCM 2D physical grid is $1^{\circ}\times1/3^{\circ}$ in longitude and latitude and for the energy grid, it uses 1

190 energy channel for the plasmasphere, 29 energy channels for the electrons and 84 energy

channels for the protons. Oxygen channels are not used in this study. GAMERA, REMIX and
 RCM grids are defined in the SM coordinates. The TIEGCM 3D grid covers the entire globe and

is defined in the geographic coordinates. Its resolution is $1.25^{\circ} \times 1.25^{\circ}$ in longitude and latitude

and it has 57 levels of vertical pressure grid (1/4 scale height resolution), ranging from ~97 km to

approximately 900 km. The coupling exchange interval between GAMERA and REMIX is 5 s,

while for GAMERA and RCM, the exchange interval is 15 s and for REMIX and TIEGCM, it is
5 s. The MAGE simulation starts at 16:00 UT, March 30, 2001 and lasts for 48 hours.

198 **3. Overview of the simulation results**

199 The evolution of the geospace plume is shown in Figure 3 through different stages of the 200 storm (specifically for the 1st period of the southward IMF in Figure 2). The entire process of 201 geospace plume development is demonstrated in Movies S1 and S2. In Figure 3(a1)-(a5) (the 202 first row) and (d1)-(d5) (the fourth row), we map the relevant processes onto the magnetic 203 equatorial plane defined as the surface of minimum magnetic field. The plasmapause as the 204 100/cc iso-surface of the cold proton density. The colored areas are within the closed-field-line 205 region and the blanked areas are for the open-field-line region. The electric potential ϕ plotted in the equatorial plane is a combination of the ionospheric electrostatic potential ϕ_I and the 206 207 corotation potential ϕ_c , defined as

$$\phi = \phi_I + \phi_c \tag{1}$$

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$$\phi_c = -\frac{\omega_E B_0 R_E^3}{r} \tag{2}$$

where *r* is the radial distance; ω_E is the angular speed of the Earth's rotation; B_0 is the strength of the Earth's dipole moment; R_E is the radius of the Earth (Toffoletto et al., 2003). The contours of the electric potential represent the streamlines of the plasma **E**×**B** drift flow. Here, the ionospheric electrostatic potential ϕ_I is calculated by REMIX and shown in Figure 3(b1)-(b5) (the second row). The ionospheric potential calculated by TIEGCM is shown in Figure(c1)-(c5) (the third row), where it uses the electric potential from REMIX in the high latitude region (MLAT > 60) and solves for global ionospheric potential including the neutral wind dynamo. All

- the ionospheric plots in this paper are for the northern hemisphere.
- 219

At the pre-storm stage (Figures 3(a1)-(d1), the first column), both the FACs and the ionospheric electric potential are weak. The co-rotation electric field dominates and drives the plasmasphere co-rotate with the Earth, with the plasmapause around $3.5 \sim 4R_E$ (bottom row, Figure 3(d1) The ionospheric TEC peaks near 20 MLAT with a value of ~140 TECu and has a higher value (>50TECu) in the noon-to-dusk sector from low- to midlatitude than in the

225 midnight-to-dawn sector.

226

227 Around 02:30~04:30UT, 03-31-2001, the impact of the CME event arrives at Earth, with an 228 evident increase in the ram pressure and fluctuations in the IMF (Figure 2) causing the storm 229 initial phase. By the end of the initial phase (Figure 3(a2)-(d2), the second column) the solar 230 wind driving has caused the formation of the Region-1 current, while the Region-2 current is still 231 relatively weak. The two-cell convection pattern in the electric potential starts to establish and 232 this initiates global-scale sunward convection on the nights side (Figure 3(b2)), although the ring 233 current has not yet developed (Figure $3(a_2)$). From the extent of the dashed contour lines of the 234 potential in Figure 3(d2), we can see that a plume-like structure began to emerge in the 235 plasmasphere as well as in the middle-high latitude ionosphere. Specifically, the plasmasphere 236 starts to expand sunward and a finger-like structure (a plasmaspheric "finger") starts to develop 237 at the dusk edge of the plasmapause (heavy line in Figure 3(d2)). We will briefly discuss its 238 cause in Section 4.2.1.

239

240 During the early main phase (Figure 3(a3)-(d3), the third column), the FACs and the 241 ionospheric convection electric fields become stronger (Figure 3(b3)). The Region-2 current is 242 enhanced due to a substantial ring current pressure accumulation (Figure 3(a3)). The peak of the 243 TEC has moved to 30 MLAT and a TEC plume occurs with ~70TECu and expands toward the 244 polar cap from 45 MLAT to 75 MLAT near 14 MLT driven by the dusk-cell of the convection 245 (Figure 3(c3)). The plasmaspheric plume has formed, with the plasmasphere finger merged into 246 its main body (Figure 3(d3)). The shape of the plasmaspheric plume maintains approximate 247 dawn-dusk symmetry about the noon-midnight line in the equatorial plane.

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249 In the late main phase (Figure 3(a4)-(d4), the fourth column), the strength of the ring 250 current reaches its maximum. Due to the westward drifting of the ion population, the ring current 251 pressure distribution is skewed toward pre-midnight (Figure 3(a4)). The FACs become much 252 more intense (Figure 3(b4)) causing stronger central convection electric field that enhances the 253 ionospheric-poleward/equatorial-sunward expansion of the geospace plume (Figure 3(b4), (c4) 254 and (d4)). The high TEC region due to the positive storm effect extends from 30 MLAT to 45 255 MLAT and 60 MLAT in the ionosphere (Figure 3(c4)) and it becomes the center of the TEC 256 plume on the equatorial plane (Figure 3(d4)). Meanwhile, the two-cell convection pattern is 257 skewed clockwise, where the dusk-side pair of the Region-1 and the Region-2 current is located 258 mostly in the afternoon sector and the dawn-side pair of the Region-1 and Region-2 current is 259 skewed to the pre-dawn sector (Figure 3(b4)). The clockwise twist of the convection pattern 260 reshapes the geospace plume. As shown in Figure 3(d4), the shape of the plasmasphere and the 261 TEC contour follows the shape of the potential contour lines. The potential contours show a 262 prominent dawn-dusk asymmetry. As positive storm effects occur primarily in the late afternoon 263 to dusk (~14-19 MLT in Figure 3(c4)) at low and middle latitudes, the strong convection in the 264 dusk sector transport plasma toward high latitudes. The plume structure follows the potential 265 contours and the plume is biased to the dusk side. Another prominent feature during the main 266 phase is the presence of TEC depletion channels. In the dusk sector, a low TEC channel expands 267 from the equator and merges into the midlatitude (~45 MLAT) electron density/TEC trough 268 region. There is another low-TEC channel located around 60 MLAT inside the auroral oval. Both 269 TEC depletion channels (marked by red arrows in Figure 3(c4)) extend sunward. In the 270 equatorial plane, the midlatitude depletion channel is located at the dusk edge of the 271 plasmaspheric plume and we can see the plasmaspheric plume has become much narrower 272 compared with its shape in the early main phase. We refer to this depletion of the TEC and the

273 narrowing of the plasmaspheric plume as geospace plume "erosion". We will discuss these two
274 features in Sections 4.2.2.
275

276 In the last stage, 08:00~12:00UT, 03-31-2001, which is the storm recovery phase (Figure 277 3(a5)-(d5), the fifth column), the IMF turns northward and the ram pressure decreases. The 278 ionospheric convection becomes much weaker (Figure 3(b5)). The two TEC depletion channels 279 merge into one and cut across the tongue of ionization and leaves some polar cap patches (Figure 280 3(c5)). In the equatorial plane (Figure 3(d5)), the sunward driving of the plasmaspheric plume 281 diminishes and the co-rotation takes over again. Due to the loss of the particles through the 282 dayside to the open-field-line region, the size of the plasmasphere shrinks significantly with a 283 plasmapause radius of $2 \sim 2.5 R_{\rm E}$.

284

285 There are three prominent features from the simulation results. The first is that the 286 equatorial plane mapping of the TEC colored contour resemble the shape of the plasmapause. 287 Especially during the storm's main phase, their synchronized sunward expansion and the overlap 288 of the two plumes is quite apparent. We will discuss the major cause of their linkage in Section 289 4.1. The second feature, as mentioned above, is the density depletion channels in the dusk sector 290 at midlatitude. We investigate their role in eroding the dusk edge of the plasmasphere plume in 291 Section 4.2. Thirdly, the ring current development with its dusk-preferred accumulation 292 significantly impacts the distribution of the FACs and thus the electric fields, which eventually 293 control to the geospace plume development. We investigate the relation between the ring current 294 build-up and the geospace plume evolution in detail in Section 4.3.

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Figure 3. (a1)-(e1) to **(a5)-(e5)** show five stages of the storm (the 1st period of southward IMF shaded in Figure 2) with each column, top to bottom, depicting the equatorial plane view of the ring current pressure, the ionospheric FAC, the ionospheric TEC and the equatorial plane mapping of the TEC and the plasmapause (heavy black contour). The thin black lines (dashed for negative values) are contours of the electric potential, with 10keV interval on equatorial plane (bottom row) and 20keV in the ionosphere (third row from top).

295 **4. Discussion**

4.1 Linkage between the plasmaspheric and the ionospheric plumes

297 Foster et al. (2002) first reported the overlap of the plasmaspheric plume with the magnetospheric mapping of the ionospheric plume during the March 31, 2001 storm. The 298 299 IMAGE satellite and the World-wide TEC measurement jointly observed the resemblance of the 300 two plumes for the second period of the southward IMF. Figure 4(a) shows the IMAGE EUV image of the plasmasphere at 21:23UT, March 31, 2001 (Source: http://euv.lpl.arizona.edu/euv/). 301 302 Figure 4(b) is the World-wide TEC data (Source: Madrigal database) mapped to the equatorial plane using the magnetic field-line traced in the MAGE model. Figures 4(a) and (b) are adjusted 303 304 to a similar length scale as Figure 4 of Moldwin et al., 2016. The plasmapause is located at

- 305 approximately $2R_{\rm E}$ on the nightside and the plasmaspheric drainage plume expands from the
- 306 dusk edge of the plasmasphere toward the subsolar magnetopause (Figure 4(a)). The projection
- 307 of the observed global TEC on the equatorial plane at 21:22UT (Figure 4(b)) shows similar
- 308 shape and orientation with the plasmaspheric plume. Figure 4(c) gives the MAGE simulated
- 309 plasmapause and TEC projected to the equatorial plane on the same color scale. The simulated
- 310 plasmaspheric plume is co-located with the TEC plume which is consistent with the observations.
- 311
- 312



Figure 4. (a) IMAGE EUV image of the plasmasphere taken at 21:23UT, 03-31-2001. (b) The equatorial-plane projection of the global TEC measurement at 21:22UT, 03-31-2001, mapped by the MAGE magnetic field line. (c) The contour plot of the MAGE simulated plasmapause and TEC projection on the equatorial plane. The plasmasphere and the TEC are presented in SM coordinates. The sun is to the right. Black solid circle represents the Earth and the dashed black circle has a radius of 2R_E.

313 This overlap in the equatorial mapping of the two plumes can be seen throughout the 314 storm. As described in Section 3 and shown in Figure 4, both the plasmasphere and the electron 315 content co-rotate eastward when the two-cell potential pattern has not yet been established. 316 During the strong southward IMF driving, e.g., at $T = 650 \sim 950$ min in Movie S1, the 317 ionospheric two-cell convection dominates over the co-rotation. The evolution of the plumes is 318 controlled by the dusk cell and follows the geometry of local electric potential contours. In the 319 noon-to-dusk sector, the plumes extend from the low middle latitudes to the pole, which 320 corresponds to the sunward expansion in the equatorial plane.

321

322 Compared to the SAMI3-RCM simulation of the same event (Huba & Sazykin, 2014), in 323 our MAGE simulation, the plasmaspheric plume (from RCM) and the TEC (from TIEGCM) 324 plume are driven by the midlatitude electric fields that are controlled by the same high-latitude 325 electric field, but the mass exchange between the ionosphere and the plasmasphere is not 326 included. However, the overlap and joint evolution of the two plumes are still successfully 327 reproduced. This indicates that the electrodynamic coupling in the M-I-T system, rather than the 328 mass connection, plays a dominant role in the formation and evolution of the geospace plume in 329 both the plasmasphere and the ionosphere.

330





Figure 5. (a)-(d) Keograms of the simulated westward (sunward) ion drift velocity, plasmaspheric density, TEC and Δ TEC at 18 MLT. Δ TEC is calculated by subtracting the quiet-time (March 29, 2001) TEC from the storm-time TEC at the same UT. The colored boxes mark the sunward velocity peaks and the corresponding erosion region in the plasmaspheric density, TEC and Δ TEC. The boxes of the same color cover the same range of latitude in different panels. The orange box marks the WID in the initial phase; the purple box marks the WID velocity peak in the midlatitude; the black box marks the?????

332 To further investigate the geospace plume erosion on the dusk side, we sample the 333 MAGE simulated ion drift velocity (from REMIX), ionospheric mapping of the plasmaspheric 334 density (from RCM), TEC and Δ TEC (from TIEGCM) along 18 MLT for the first period of 335 southward IMF (Figures 5(a) - (d)). The Y-axes of different panels cover different ranges of 336 magnetic latitude since the variables are calculated in different MAGE component models. In 337 Figure 5(a), the ion drift velocity is a combination of the corotation velocity and the **E**×**B** ion 338 drift velocity in the SM coordinates. The red color signifies a westward (i.e., sunward at 18 339 MLT) direction, while the blue color signifies an eastward/tailward direction.

340

341 4.2.1 The plasmasphere finger

342

343 During the initial phase (around 01:30~04:30UT), when the two-cell convection pattern 344 starts to establish, a single band of WID velocity peak moves from high latitude toward 345 midlatitude (Figure 5(a)). A weak reduction in the TEC can be seen at the similar MLAT 346 according to Figures 5(c) and (d). When the WID peak extends to 60 MLAT, it reaches the 347 plasmapause and drives the expansion of a plasmasphere finger (Figure 5(b)), a f. The orange 348 box in Figure 5(b) marks the period of finger development in the initial phase ($T = 705 \sim 750$ min 349 in Movie S1) and Figure 6 shows a snapshot of the finger-like structure that develops at the dusk 350 edge of the plasmapause and expands radially following the electric potential contour (marked 351 by the orange arrows) at 04:24 UT. We can see from Figure 6 that the cause of the plasmaspheric 352 finger is a result of the interaction between the plasmapause which is around $3.5 \sim 4R_E$ at the 353 early stage of the storm and the equatorward-expanding dusk convection cell of the electric field.



Figure 6. (a)-(c) Snapshots taken at 04:24UT 03-31-2001 at the end of the initial phase. **(a)** Simulated FAC in SM coordinates. **(b)** and **(c)** are ionospheric mapping and equatorial plane view of the plasmaspheric density with contour lines of the indicated MLT (red) and latitude (white) mapped to the plane. Black contour lines in **(a-b)** and **(c)** show electric potential with 20kV and 10kV intervals respectively, dashed for negative values. The orange arrows point to the location of the enhanced electric fields and the plasmasphere finger between 55 to 60 MLAT during the initial phase, which correspond to the westward drift velocity peak marked in Figure 5(a) by the box of the same color.

354





Figure 7. (a)-(c) Snapshots taken at 07:07UT 03-31-2001 in the late main phase. **(a)** Simulated TEC in SM coordinate. **(b)** and **(c)**, same variables as in Figure 6. The purple and black arrows point to the locations of the density depletion, which correspond to the SAPS channel and the CRF marked in Figure 5 by the box of the same colors.

357 During the main phase (around $04:30 \sim 8:10$ UT, T = 750~970 min in Movie S1), the twocell convection pattern has established, double-band WID velocity peaks appear (Figure 5(a)), 358 359 with one peak located at higher latitudes around 60 MLAT marked by the black box and another 360 located at midlatitudes around 45~50 MLAT marked by the purple box. As shown in Figure 5(b), the plasmapause in the purple box moves from 55 MLAT to 45 MLAT. The velocity peak 361 362 around 60 MLT does not overlap with the plasmasphere and thus, cannot directly interact with 363 and influence it. In Figure 5(c), two large WID velocity channels are collocated with regions of low TEC, which can be seen in both black and purple boxes. The TEC depletion effect along 364 365 with the midlatitude subauroral velocity peak is much more prominent according to Figure 5(d), 366 where the depletion in TEC and ionospheric electron densities is quite obvious. However, ΔTEC in the black box does not show a negative value, which means the decrease of the TEC around 367 60 MLAT may not be an obvious storm effect. Figure 7 shows the snapshots of TEC depletion 368 and the plasmaspheric erosion corresponding to the double-band WID velocity channels (marked 369

by the black and purple arrows). Figure 7(c) demonstrates clearly that in the equatorial plane, the midlatitude velocity peak (purple arrow) is collocated with TEC depletion and erodes the dusk edge of the plasmaspheric plume, while the higher latitude velocity peak (black arrow) is only coincident with a TEC depletion, since the plasmapause has been eroded to lower L-shell and latitude.

375

4.2.2.1 Erosion on the plasmasphere377

The double-peak feature in the WIDs is examined by Lin et al. (2021), who used the 378 379 MAGE model to perform a set of controlled numerical experiments and demonstrated that the 380 diffuse electron precipitation plays a crucial role in causing the latitudinal structure of the SAPS 381 electric field. Figures 8(a) - (d) show the sampled FAC, electron precipitation, ionospheric 382 conductance and electric field strength along 18 MLT at 07:07UT 03-31-2001. The green shade 383 marks the auroral region which consists of the diffuse electron precipitation and the mono-384 energetic electron precipitation. The electron precipitation increases the local ionization rate in 385 the ionosphere E-region and enhances the Pedersen and Hall conductance. A portion of the 386 Region-2 current is located equatorward of the diffuse electron precipitation, where the 387 conductance is comparatively low. This leads to a strong poleward electrostatic field in the sub-388 auroral region marked by the orange shade. The sub-auroral polarization stream (SAPS) is the 389 WID that is constrained between the low latitude boundary of the dusk-side diffuse electron 390 precipitation and the low latitude boundary of the dusk-side Region-2 current. The SAPS 391 velocity peaks around 45°~50° and it overlaps with the plasmapause, causing a sunward ion flow 392 shown in Figure 8(f). The advection flow transports the cold plasma away from the plasmasphere 393 and to the dayside, which explains the erosion on the plasmapause marked by the purple box in 394 Figure 5(b). The velocity peak located at higher latitudes around 57° is inside the range of the 395 Region-1 current. It peaks in the region between the diffuse and the mono-energetic precipitation 396 where the conductance is also comparatively low and the closure of Region-1 and the Region-2 397 currents results in a strong electric field. This electric field causes WID velocity peak and we call it the convection return flow (CRF). The CRF does not interact with the plasmasphere, which is 398 399 consistent with Figure 5(b).

400

401 4.2.2.1 Depletion of the TEC

402 403 Figure 8(g) shows the MLAT profile of TEC, where there are two electron density/TEC 404 troughs with one co-located with the peak of CRF around 55 MLAT and the other located around 405 42 MLAT, to the equatorward of the SAPS peak. The factors that contribute to the depletion of 406 the ionospheric TEC are much more complicated than the plasmaspheric erosion. The formation 407 of the electron density trough is discussed by Lu et al. (2020), where they examined the rate of 408 change for O⁺ density in the TIEGCM, which is considered as a proxy for the electron content. In 409 general, the rate of change is determined by the O⁺ production and loss rate, ambipolar diffusion, 410 neutral wind transport, and the $\mathbf{E} \times \mathbf{B}$ transport. Lu et al. gave a detailed analysis of the 411 contribution from each process. They showed that the $\mathbf{E} \times \mathbf{B}$ transport is the major contributor to 412 the formation of the sub-auroral electron density trough. This transport brings the low electron 413 density into the high-density region, and this depletion is further enhanced with the increased 414 loss/recombination rate of the ions at a higher temperature caused by the significantly enhanced 415 frictional heating due to the strong ion drifts in the WID channel (Schunk et al., 1976). The

- 416 analysis above is consistent with our results. However, the equatorward shift of the midlatitude
- 417 electron density trough in Figure 8(g) indicates other transport effects and needs further
- 418 investigation in the future.
- 419



Figure 8. (a)-(e) Latitudinal profiles of REMIX ionospheric quantities sampled at 18 MLT and 07:07UT. **(a)** Upward Region-1(red) and downward Region-2 current (blue). **(b)** Energy flux of diffuse (magenta) and mono-energetic (olive) electron precipitation. **(c)** Pedersen conductance (blue) and Hall conductance (green). **(d)** Electric field strength. **(e)** Calculated $E \times B$ ion drift velocity (red) with corotation velocity shown by the dashed line. The cyan curve is the flux-tube-averaged (FTA) plasmaspheric density mapped to the ionospheric grid. **(f)** Calculated sunward ion flux based on density and ion drift velocity in **(e)**. **(g)** Total electron content.



421 4.2.2.1 Data-model comparison on the erosion effect

Figure 9. DMSP F13 and F15 (~850km in altitude) measurements at 06:57 ~ 07:09UT, March 31, 2001: **(a1)-(a2)** magnetic perturbation in the downward (dBd), forward (dBf) and perpendicular (horizontal cross-track) (dBp) direction relative to the satellite track direction; **(b1)-(b2)** precipitating electron energy flux; **(c1)-(c2)** horizontal cross-track sunward ion drift velocity; and **(d1)-(d2)** electron density. The dashed black lines in **(a1)-(a2)** divide the range of the Region-1 and the Region-2 currents based on the slopes of the magnetic perturbation curves. The dashed red line is the equatorward auroral boundary. Simulated quantities sampled along the satellite track: **(e1)-(e2)** field-aligned current; **(f1)-(f2)** energy flux of diffuse electron precipitation (magenta) and mono-energetic precipitation (olive); **(g1)-(g2)** simulated ion drift velocity in the DMSP horizontal cross-track sunward direction; **(h1)-(h2)** simulated electron density (navy) and flux-tube-averaged plasmaspheric density (black).

422

423 Sections 4.2.2.1 and 4.2.2.2 discuss the double-peak feature in WIDs on the dusk side and 424 their effect on the plasmaspheric erosion and ionospheric TEC depletion. In this section, we

425 investigate this subject with DMSP observations. Figure 9 shows a data-model comparison of the 426 FAC, the ionospheric differential number flux of the electron precipitation, the horizontal ion 427 drift velocity, and the electron density. Figures 9(a1) - (d1) and (a2) - (d2) are DMSP F13 and 428 F15 measurements during 06:57 ~ 07:09UT and 08:26 ~ 08:41UT, 03-31-2001 respectively. 429 Ranges of the Region-1 and the Region-2 currents can be derived from the magnetic field 430 perturbation following the method from J. Liu et al. (2022), which are divided by the back 431 dashed line in Figures 9(a1) and (a2). Figures 9(e1) - (h1) and (e2) - (h2) are the corresponding 432 physical quantities from the MAGE simulation along the satellite trajectories. Since the satellites 433 usually fly beyond the altitude range of TIEGCM, we plot the TEC and the plasmaspheric flux-434 tube-averaged (FTA) density instead. The dashed red line is the equatorward auroral boundary 435 using the same criteria as in Figure 8. 436 437 Figures 9(a1) - (d1) and (a2) - (d2) show that dusk-side electron precipitation is located 438 mostly within the range of the Region-1 current with a slight overlap on the Region-2 current. 439 The SAPS is located in the sub-auroral region within the range of the Region-2 current. The CRF 440 covers the region between the Region-1 and Region-2 current and some portion of the Region-1 441 current. The spikes in the electron precipitation, e.g., around 55 MLAT at 07:05UT correspond 442 to the dips in the CRF velocity. The large velocity of SAPS (Figure 9(c1)) is collocated with an 443 acute trough in the ionospheric electron density. The electron density is also low at higher 444 latitudes, corresponding to the location of CRF. This is consistent with the result shown in Figure 445 8. 446

447 Compared with the DMSP data, the simulation predicts similar location of the Region-1/2 448 currents and electron precipitation. The model overestimates the strength of the precipitation 449 energy flux in Figure 9(f1), but according to the following REMIX output it is transient and it 450 does not impact the ionospheric conductance much. In terms of the WID velocity, the double-451 band feature of WIDs, SAPS and CRF peaks, are successfully reproduced. The SAPS velocity is 452 around 2000 m/s at 07:03UT and 1000m/s at 08:33UT, which are in reasonable agreement with 453 the observations.

454 455 In Figures 9(h1) and (h2), the location of the plasmapause and the TEC depletion can be 456 seen and compared with the observations. The simulated TEC troughs corresponding to SAPS 457 are much broader than the electron density troughs in the observation. The FTA plasmaspheric 458 density, on the other hand, has good agreement with the observations of the electron density in 459 Figures 9(d1) and (d2): At 07:03UT (near 48 MLAT, 17 MLT), in the observation (Figure 9(d1)), 460 the SAPS velocity peak still overlaps with the region with substantial electron content and 461 causing a density trough to mirror the SAPS peak. In the simulation (Figure 9(h1)), the SAPS 462 peak also overlaps with a portion of the plasmasphere which causes sunward plasmaspheric particle transport. In the later satellite pass at 08:32UT (near 46 MLAT, 20 MLT) as shown in 463 464 Figure 9(d2), the SAPS velocity peak corresponds to a substantially depleted electron density 465 that forms a distinct boundary in the density profile. In the simulation (Figure 9(h2)), the same 466 sharp drop in the FTA density is captured by the model. Combined with the analysis of the 467 sunward ion flux caused by SAPS at the plasmapause in Figure 8(e)-(f), this data-model 468 comparison confirms the effect of SAPS in depleting the local plasma content. 469

470 In Section 4.2, we have discussed the formation of the WIDs and investigated their role 471 in depleting the local plasma density. During storm main phase, in both simulation and 472 observation, two velocity peaks can be found in the WIDs. The one at the midlatitude is the 473 SAPS channel. The transportation effect of SAPS causes the erosion of the plasmapause at its 474 dusk side and the TEC depletion in the trough region. In the next section, we further investigate 475 the factors that determine the spatial distribution of Region-2 current and the electron 476 precipitation that lead to the SAPS electric field and their relation to the geospace plume 477 evolution.

478

479 480 4.3 Relationship between ring current build-up and geospace plume development

As discussed in Section 4.2, the Region-2 current and electron precipitation directly
control the SAPS electric field development in the ionosphere. In this section, we further explore
the major factors in the magnetosphere that drive SAPS development and the geospace plume
evolution from the perspective of M-I coupling.

485

486 Figures 10(a) and Figure 11(a) show contours of the specified values in the plasma profile 487 as a marker of the plasmapause (black), the ring current ion pressure (red), the electron pressure 488 (green), the equatorial mapping of the energy flux of diffuse electron precipitation (orange), the 489 FAC (background) and the electrostatic potential (light solid and dashed contours) at the 490 beginning (04:48UT) and the end (07:41UT) of the storm main phase. The variable values 491 enclosed by the colored contour lines are larger than the contour values. The contour values are chosen to best describe the spatial distribution of the variables (see Figure S1). The red arrows 492 493 point to the critical region, where the dusk-side Region-2 current (in blue) is located. At the 494 sunward azimuthal edge of the ring current ion pressure (represented by the magenta contour), 495 the large pressure gradient distorts the magnetic field lines and generates the Region-2 current 496 that connects to the partial ring current and flows into the ionosphere. The magnetospheric 497 source region of diffuse precipitation is located in the region with high electron pressure and it 498 partially overlaps with the Region-2 current. This results in a strong SAPS electric field co-499 located with the low-latitude portion of the Region-2 current where there is little electron 500 precipitation. This result is consistent with previous MAGE modeling work of SAPS (Lin et al., 501 2021) and earlier works describing the basic physics of SAPS (e.g., Foster & Vo, 2002). 502

503 During the period of geospace plume erosion by SAPS (from Figure 11(a) to Figure 504 11(a)), the high electron pressure region, which is the source region of the electron precipitation, 505 is always located at the outer boundary of the ring current ion pressure 100 nPa contour. As a 506 result, the electron precipitation always covers a portion of the Region-2 current which maintains 507 the persistent SAPS electric field located at the inner boundary of the ring current contour and 508 the dusk edge of the plasmapause. The strong SAPS electric field dominates the spatial 509 distribution of the electric potential and evolves the plasmaspheric plume into the dusk side. The 510 joint evolution of the ring current and the plasmasphere is shown in Movie S3 and a similar 511 spatial relation of the two is observed by the IMAGE satellite (Figure S2).

We now ask, what determines the spatial distributions of the ring current electron and ion
pressure that impact the source regions of diffuse electron precipitation and the Region-2
currents? The cold plasmaspheric protons are subject to the E×B drift and corotation. Besides

- 516 these two drifts, the hot protons and electrons, after being transported to the inner
- 517 magnetosphere, are also subjected to gradient/curvature drift in opposite directions. In RCM, the total drift effect is represented by the effective potential defined by adding the term $V^{-2/3}$. 518
- 519 $\lambda_{i,k}/e$ to the equatorial electric potential (Toffoletto et al., 2003). This effective electric potential
- for species i at energy channel k is given by 520
 - (3)

 $\phi_{eff,k,i} = \phi_I + \lambda_{i,k} \cdot V^{-\frac{2}{3}}/e + \phi_c$ (3) where $\lambda_{i,k}$ is the energy invariant for species *i* at channel *k* in RCM and the closed magnetic flux 522 523 tube volume V is given by

524

521

 $V = \int \frac{ds}{B_{MHD}}$ (4)

525

526 Figures 10, 11(b) - (d) show the flux tube content (η) in the magnetic flux tube from the RCM characteristic energy channels of the plasmasphere ($\lambda_0 = 0$), hot protons and hot electrons 527 at the beginning and the end of the storm main phase. The proton and the electron channels 528 shown ($\lambda_p = 1639.46$, $\lambda_e = -234.21$, in RCM units, $eV \cdot (R_E/nT)^{\frac{2}{3}}$) are the ones that contribute 529 530 most to the total proton pressure and the total electron pressure (as well as the diffuse 531 precipitation energy flux) respectively. The corresponding energy of the proton and the electron 532 at the selected channels is around 80 keV and 8 keV at the location of the ring current and the 533 electron precipitation. The effective potential of the channel is plotted as black contour lines with 534 dashed lines for negative values. 535

536 Comparing the effective electric potential contours in Figures 10 (b) - (d), we can see the gradient and curvature term in the proton effective electric field (*Potential_P*) dominates the 537 inner magnetosphere and is a result of the comparatively large energy invariant of that proton 538 539 channel. The electron effective electric potential (*Potential E*) contours look similar to the 540 plasmaspheric electric potential (*Potential* 0)) especially outside the geosynchronous orbit, 541 since the gradient and curvature term for electrons is comparatively weak due to their lower 542 particle energy. During the early main phase, the ring current particles have just been transported 543 by the convection electric field to the inner magnetosphere and started to drift following their 544 effective electric potential contours. Meanwhile, the plasmaspheric plume expands sunward 545 driven by the convection electric field. At this moment, the η distributions of the plasmasphere, 546 proton and electron are approximately symmetric about the x-axis (Y = 0) and the conditions for 547 the SAPS formation have just started to appear on the dusk edge of the plasmasphere. 548

549 At the late main phase, as shown in Figure 11(c), the η distribution shows that the ring 550 current hot protons have drifted westward from the nightside and penetrated deep inside $2R_E$ on 551 the dayside (marked by the yellow arrow). The Region-2 current originating at the outer edge of the partial ring current beyond $2R_E$ has shifted toward the dayside accordingly (in Figure 11(a)). 552 553 The SAPS electric field have been very strong and dominated the geometry of Potential 0 and 554 Potential E. On one hand, it causes the plamaspheric plume to move to the dusk side. On the 555 other hand, the hot electrons (in Figure 11(d)) are obstructed by the strong dusk-side electric 556 fields with major contribution from SAPS. The electrons accumulate outside the SAPS flow 557 channel around $3R_E$ and form a sharp edge in the electron η distribution (marked by the orange 558 arrow). This leads to a distinct equatorward edge of the ionospheric diffuse precipitation and the

absence of electron precipitation in part of the Region-2 current that helps to maintain the strong

560 SAPS electric field.

561



Figure 10. (a) Contour plots of the equatorial plane view of plasmapause (black), ring current pressure (pink), electron pressure (green), equatorial energy flux of diffuse electron precipitation (orange), electrostatic potential with 10kV spacing (navy, dashed for negative values) and FAC (red-blue) on the equatorial plane at the early main phase. The red arrow points to the location where the Region-2 current is strong while the diffuse precipitation is weak and the resultant (SAPS) electrostatic field is intense. (b)-(d) Contour plots of the RCM η variable for the plasmaspheric energy channel, the proton energy channel that contributes most to the ring current pressure and the electron energy channel that contributes most to the electron pressure/diffuse precipitation energy flux and their corresponding effective electric potential on the equatorial plane.



562

563 The analysis above demonstrates that the storm-time energy-dependent electron and 564 proton drifts determine the spatial distribution of Region-2 currents and diffuse electron 565 precipitation. The ring current ion can overcome the existing SAPS electric field and penetrate 566 into deeper L-shell at dayside, while the ring current electrons cannot due to their featured adiabatic invariants of their drifts. This delicate balance between the energy-dependent

568 gradient/curvature drift and the $\mathbf{E} \times \mathbf{B}$ drifts self-consistently maintains the SAPS electric field to 569 further erode the dusk-side plasmasphere and push the plasmaspheric plume shifting toward the 570 dusk side.



571

572 4.5 Model limitations

573

574 The MAGE simulation of the March 31, 2001 storm presented above demonstrates 575 clearly the close relation between the global-scale convection, ring current build-up, FAC, and 576 electron precipitation which dynamically alter the geospace plume system. However, there are 577 some limitations. First, the model did not include the plasma transport between the plasmasphere 578 and the ionosphere. Although, as discussed in Section 1.2.2.2, plasmaspheric refilling is much 579 slower compared with the dynamic transport of the plasma within the plasmasphere and 580 ionosphere during the storm main phase (Lawrence et al., 1999; Denton et al., 2012; Krall et al., 581 2014), plasma exchange between the plasmasphere and ionosphere can be important in the storm recovery phase (Carpenter & Lemaire, 1997). Second, as discussed in Section 4.3, during the 582 583 later stage of the storm main phase, the simulated ring current overlaps with the plasmasphere, 584 especially in the plume region. In this work, our model did not include ring current ion loss due

to the EMIC wave scattering (Erlandson & Ukhorskiy, 2001; Goldstein et al., 2003), although it

is possible that ion precipitation may affect the ionospheric conductance and feed back to the

587 magnetospheric system (Tian et al., 2022). Furthermore, inside the plasmasphere, the energetic

electrons resonate with hiss waves and contribute to diffuse electron precipitation (Ma et al.,
2021). The current version of the model did not take into account such wave-particle

589 2021). The current version of the model did not take into account such wave-particle
590 interactions. We are working on including these important precipitation mechanisms to better

inform the ring current particle loss and ionospheric precipitation in future versions of the model

- 592 (Bao et al., 2022; Lin et al., 2022).
- 593

594 4 Summary and Conclusions

595 In this paper, we investigate the evolution of the geospace plume during the March 31, 596 2001 superstorm using the MAGE model, which coupled the global and inner magnetosphere, 597 the ionosphere and the thermosphere. Combined with satellite observations, we used the MAGE 598 simulation to address the three major science questions raised in the introduction section. 599

600The first question is the cause of the linkage and joint evolution of the two counterparts601of the geospace plume, the plasmaspheric plume and the ionospheric SED plume. We conclude602that the $\mathbf{E} \times \mathbf{B}$ transport of the plasma by the coupled magnetosphere-ionosphere electric field is603the major process that causes the plasmaspheric plume and the ionospheric SED plume to evolve604in a similar way and to have co-located footprints on the equatorial plane.

605

606 The second and third science questions are closely tied together. The second science 607 question focuses on identifying the specific processes that are important for shaping the 608 plasmasphere and the ionosphere plume. The third science question explores the relationship 609 between the build-up of the ring current and the development of the geospace plume. The 610 simulation shows that geospace plume in the equatorial plane expands sunward due to the 611 convection electric field in the early main phase. The plume shifts toward the dusk side in the 612 late main phase. We also find two channels of TEC depletion, with one in the ionosphere 613 midlatitude trough region, corresponding to the dusk edge of the plasmasphere, and the other at 614 higher latitudes inside the auroral oval. By investigating the related physical quantities along 18 615 MLT and comparing with DMSP observations, we find that the westward SAPS flow is the 616 major cause of the erosion on the dusk edge of the plasmasphere and duskward shift of the 617 geospace plume, which answers science question two. We further investigate the cause of the spatial distributions of the Region-2 currents and diffuse precipitation that are responsible for the 618 619 occurrence of SAPS by analyzing the effective electric fields of ring current protons and 620 electrons. Region-2 current is located at the sunward boundary of the ring current mostly 621 contributed by hot protons, where the pressure gradient distorts the magnetic field. Due to the 622 energy-dependent charged particle drifts, the ring current pressure is located preferentially on the 623 dusk side. The region of energetic ring current electrons, as the source region of the diffuse 624 precipitation, are at larger L-shells compared to the region of high ion pressure. As a result, the 625 SAPS electric field is generated at the location where a part of the Region-2 current does not 626 overlap with the diffuse precipitation and the ionospheric conductance is low. The analysis of the 627 RCM energy channels shows the ring current ions can overcome the existing SAPS electric field 628 and penetrate into deeper L-shell at dayside, while the ring current electrons cannot due to their 629 featured adiabatic invariants of their drifts. This delicate balance between the energy-dependent

- 630 gradient/curvature drift and the $\mathbf{E} \times \mathbf{B}$ drifts self-consistently maintains the SAPS electric field.
- 631 We conclude that the intrinsic cause of the SAPS and the resulting plasmasphere erosion as well
- as the plume geometry is the energy-dependent drifts of the ring current electrons and ions that
- 633 impact the coupled geospace system.
- 634

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- 645 wide TEC data and the DMSP data are provided by the CEDAR Madrigal Database
- 646 (<u>http://cedar.openmadrigal.org/index.html</u>); the simulation data selected for figures in this paper
- are stored and published on Zenodo (via: <u>https://zenodo.org/record/7843840</u>).

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1The relation among the ring current, subauroral polarization stream, and the2geospace plume: MAGE Simulation of the March 31 2001 Super Storm

3

4 Shanshan Bao¹, Wenbin Wang², Kareem Sorathia³, Viacheslav Merkin³, Frank Toffoletto¹,

5 Dong Lin², Kevin Pham², Jeffrey Garretson³, Michael Wiltberger², John Lyon⁴ and Adam

6 Michael³

- 7 ¹Department of Physics and Astronomy, Rice University, Houston TX
- 8 ²High Altitude Observatory, National Center for Atmospheric Research, Boulder CO
- 9 ³Applied Physics Laboratory, Johns Hopkins University, Laurel MD
- ⁴Department of Physics and Astronomy, Dartmouth College, Hanover NH
- 11 Corresponding author: Shanshan Bao (<u>shanshan.bao@rice.edu</u>)

12 Key Points:

- The first whole geospace simulation to demonstrate coherent storm-time evolution of
 plasmaspheric and total electron content (TEC) plumes.
 - The model demonstrates plasmasphere erosion and TEC depletion by the subauroral polarization streams (SAPS).
- SAPS is sustained by magnetospheric ion and electron distributions formed by a delicate
 balance of energy-dependent and E×B drifts.
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21 Abstract

22 The geospace plume, referring to the combined processes of the plasmaspheric and the 23 ionospheric storm-enhanced density (SED)/total electron content (TEC) plumes, is one of the 24 unique features of geomagnetic storms. The apparent spatial overlap and joint temporal evolution 25 between the plasmaspheric plume and the equatorial mapping of the SED/TEC plume indicate 26 strong magnetospheric-ionospheric coupling. However, a systematic modeling study of the 27 factors contributing to geospace plume development has not yet been performed due to the lack 28 of a sufficiently comprehensive model including all the relevant physical processes. In this 29 paper, we present a numerical simulation of the geospace plume in the March 31, 2001 storm using the Multiscale Atmosphere Geospace Environment model. The simulation reproduces the 30 31 observed linkage of the two plumes, which, we interpret as a result of both being driven by the 32 electric field that maps between the magnetosphere and the ionosphere. The model predicts two 33 velocity channels of sunward plasma drift at different latitudes in the dusk sector during the 34 storm main phase, which are identified as the sub-auroral polarization stream (SAPS) and the 35 convection return flow, respectively. The SAPS is responsible for the erosion of the 36 plasmasphere plume and contributes to the ionospheric TEC depletion in the midlatitude trough 37 region. We further find the spatial distributions of the magnetospheric ring current ions and 38 electrons, determined by a delicate balance of the energy-dependent gradient/curvature drifts and 39 the E×B drifts, are crucial to sustain the SAPS electric field that shapes the geospace plume

40 throughout the storm main phase.

41 **1 Introduction**

42 During geomagnetically active times, multiscale dynamic processes are triggered 43 throughout the magnetosphere, the ionosphere and the thermosphere in response to the solar 44 wind driving. Once the global magnetospheric convection initiates, the ring current starts to 45 accumulate, reshaping the global structure of the magnetosphere and establishing a distinctive dynamic storm-time pattern of the electromagnetic field and plasmas. The Imager for 46 47 Magnetopause-to Aurora Global Exploration (IMAGE) satellite observed the dynamic evolution 48 of the cold (~1eV) and dense (~ 10^{4} /cc) plasmasphere (Lemaire et al., 1998) and the sunward 49 extension of a plume-like high density structure from the dusk edge of the plasmasphere (the 50 "drainage plume") through the EUV images (Burch et al., 2001; Sandel et al., 2001; Goldstein, 51 2004; Goldstein & Sandel, 2005). The IMAGE satellite also detected, through the high-energy 52 neutral atom (HENA) images, that the spatial distribution of the partial ring current roughly 53 complements the shape of the plasmapause (Pulkkinen et al., 2005; Goldstein, 2007). In the 54 ionosphere, the storm-time electron density enhancement at low to midlatitudes in the day and 55 dusk sectors is a prominent feature known as a "positive storm effect" (Liu et al., 2016; 56 Fagundes et al., 2016). Furthermore, a plume-like high total electron content (TEC) structure 57 extends from the positive storm effect region in the noon-to-dusk sector toward higher latitudes 58 and into the polar cap, which is commonly observed by incoherent scatter radars, ground-based 59 Global Positioning System (GPS) measurements and near-Earth satellites (e.g., Foster, 1993; 60 Zou et al., 2013, 2014; Foster et al., 2020). The term "geospace plume" has been used to refer to the coupled, jointly evolving high plasma density structures including the plasmaspheric 61 62 drainage plume and the storm-enhanced density (SED)/TEC plume in the ionosphere (Foster et 63 al., 2020). Foster et al. (2002) first pointed out the "linkage" between the plasmaspheric plume 64 and the ionospheric SED/TEC plume by comparing the IMAGE EUV plasmasphere image and 65 the equatorial mapping of the GPS TEC map. They noted that the co-location of the plumes

66 indicates strong magnetosphere-ionosphere (MI) coupling. Further observations show that the 67 sub-auroral polarization stream (SAPS), a latitudinally narrow large plasma drift channel in the 68 sub-auroral ionosphere in the dusk-to-midnight sector (Foster & Burke, 2002), may play an 69 important role in shaping the dusk edge of the plasmasphere and further depleting the middle latitude electron density trough in the ionosphere. In other words, the SAPS electric field maps 70 71 across the plasmapause on the dusk side causing strong westward ion transport and contributes to 72 the formation of the ionospheric electron density trough which is co-located with the SAPS 73 channel (Foster & Burke, 2002; Foster, 2002; Foster et al., 2007, 2014; Zou et al., 2021).

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75 Numerical simulations of the geospace plume system have been conducted along with the 76 observational studies. Goldstein et al. (2003, 2005, 2014) used cold test particles at the 77 plasmapause that were driven by empirical convection and an ad-hoc SAPS electric potential to 78 track the plasmasphere evolution. They found that the SAPS electric field is crucial in order to 79 reproduce the storm-time, dusk-side structures of the plasmasphere, such as the plasmapause 80 radius and the plasmaspheric plume. The first 3D simulation of the plasmasphere was conducted 81 using the SAMI3 model that was driven by an empirical electrostatic potential (Huba & Krall, 82 2013). The study found that the simulated plasmasphere evolves from a toroidal symmetric shape 83 into a contracted size with a development of a plume-like structure after the storm. The 84 Thermosphere Ionosphere Electrodynamics General Circulation Model (TIEGCM) (Richmond et 85 al., 1992; Qian et al., 2014) has been extensively used to investigate thermosphere-ionospheric 86 response to geospace disturbances and SAPS. For example, C. H. Lin et al. (2005) used the TIEGCM with the $\mathbf{E} \times \mathbf{B}$ drift derived from satellite measurements of the ion velocity to study the 87 88 relative importance of winds and electric field for low and midlatitude electron density 89 enhancements. Wang et al. (2012) and Lu et al. (2020) used a synthetic SAPS electric field 90 model to investigate the response of neutral winds, SED plume and traveling ionospheric 91 disturbances to SAPS. SAMI3 coupled with the Rice Convection Model (RCM) of the inner 92 magnetosphere was used to simulate the evolution of the ionosphere-plasmasphere system and 93 demonstrated the linkage between the plamaspheric plume and the mapped SED/TEC plume 94 during a geomagnetic storm (Huba & Sazykin, 2014, 2017).

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96 In recent years, magnetosphere-ionosphere-thermosphere (M-I-T) coupled models have 97 been developed and used to simulate SAPS (Raeder et al., 2016; Lin et al., 2019, 2021, 2022). 98 These studies showed that the coupled geospace models can capture the complex interactions 99 and feedback loops in the M-I-T system and reproduce the distinctive features of SAPS in 100 observations. Yet, such coupled models have not yet been used to study the geospace plume. 101 Most of the previous modeling studies simulated the plasmaspheric plume and the ionospheric 102 SED/TEC plume separately, precluding studies that would elucidate the physics underlining the 103 linkage between the two plumes. The use of ad-hoc or empirical SAPS electric field instead of 104 self-consistent, physics-based SAPS modeling prevents an investigation of the magnetosphere-105 ionospheric coupling processes involved, where the coupled processes of the ring current 106 buildup, the Region-2 current generation and the electron precipitation could play important 107 roles in the generation of SAPS (Lin et al., 2021, 2022) and the plume dynamics. SAMI3-RCM 108 simulation (Huba & Sazykin, 2014, 2017) had the advantage of a common electromagnetic field 109 driving both ionospheric and magnetospheric plumes in the closed-field-line region, but it lacked 110 a physics-based representation of high-latitude dynamics coupled to the rest of the simulation 111 domain and an outer-magnetosphere model that can provide the ring current model with stormtime plasma injections at its boundary (Bao et al., 2021; Cramer et al., 2017; De Zeeuw et al.,
2004; Lin et al., 2021; Pembroke et al., 2012).

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115 In this study, we use such a coupled M-I-T model to gain a comprehensive understanding 116 of the geospace plume evolution during storm-times. We address three science questions: (1) 117 What is the cause of the linkage between the plamaspheric plume and the ionospheric SED/TEC 118 plume? (2) What specific processes are important for shaping the geospace plume? (3) What is 119 the relation between the ring current build-up and the geospace plume development? For this 120 purpose, we employ the Multiscale Atmosphere-Geospace Environment (MAGE) model (Lin et 121 al., 2021, 2022; Pham et al., 2022) to simulate the multiscale dynamics throughout the outer and 122 inner magnetosphere, the ionosphere and the thermosphere to determine the relevant correlations 123 and potential causal relationships. The coupled whole geospace model requires a number of key 124 components. First is the global magnetospheric MHD model that can capture both global and 125 inner magnetospheric dynamics such as large-scale storm-time magnetospheric convection and 126 particle gradient/curvature drifts and provide self-consistent dynamic magnetic field 127 configuration along with the associated current system. A coupled thermosphere-ionospheric 128 model is also needed to not only self-consistently evolve the upper atmospheric neutral species 129 but also simulate ionospheric electron densities, and provide ionospheric conductance to solve 130 the current continuity equation for the global ionospheric electrostatic potential. Finally, the 131 model must include the coupling of FACs, particle precipitation, ionospheric conductance, and 132 ionospheric electric field to ensure feedback and self-consistency within the entire geospace

133 system.

134 **2 The MAGE model**

135 The MAGE model used in this study provides a comprehensive and self-consistent 136 description of multiscale physical processes in the different domains of geospace. The current 137 version of MAGE (1.0) couples the global magnetosphere, the inner magnetosphere, the 138 ionosphere and the thermosphere (Lin et al., 2021, 2022; Pham et al., 2022). As shown in Figure 1, the global magnetospheric MHD model, Grid Agnostic MHD with Extended Research 139 140 Applications (GAMERA) model (Zhang et al., 2019; Sorathia et al., 2020) solves the single-fluid 141 MHD equations and passes FACs to the ionosphere potential solver, and the RE-developed 142 Magnetosphere-Ionosphere Coupler/Solver (REMIX) which is a rewrite of the Magnetosphere-143 Ionosphere Coupler/Solver (MIX) code (Merkin & Lyon, 2010). REMIX solves the electric 144 potential for both hemispheres. The GAMERA plasma moments and electromagnetic field are 145 passed to the Rice Convection Model (RCM), the inner magnetosphere ring current model 146 (Toffoletto et al., 2003), to evolve the drifting plasma distribution in the form of multiple-fluids 147 with different energy invariants. The plasmasphere is modeled as a zero-energy proton channel 148 in RCM and follows the $\mathbf{E} \times \mathbf{B}$ drift including corotation. The plasmasphere is initialized with a 149 2D density profile as a function of the Kp index modified from the 1D Gallagher model 150 (Gallagher et al., 2000). The total plasma density and pressure are fed back to the GAMERA model. There are also two kinds of electron precipitation simulated by the current MAGE model: 151 152 the RCM-computed diffuse electron precipitation, i.e., pitch-angle scattered electrons falling into 153 the loss cone (Wolf, 1983; Bao, 2019), and the GAMERA-computed mono-energetic electron 154 precipitation accelerated by field-aligned potential drops (Zhang et al., 2015). The electron 155 precipitation and the electric potential are used as input to the TIEGCM that calculates the 156 density, temperature and transport of electrons, ions, and neutrals. The electron precipitation,

- 157 along with the solar EUV radiation, produces ionization in the ionosphere and the ionospheric 158 conductivity.
- 158

160 Two important physical processes are not yet included in MAGE and therefore are not addressed in this study. The first is a physics-based representation of the plasmaspheric refilling 161 162 process. In the current version of MAGE, we model the plasmasphere inside the inner-163 magnetosphere model, RCM, with a simple empirical refilling model being used, whereas the 164 ionospheric electron density is solved separately in a coupled thermosphere-ionospheric model, 165 TIEGCM. Specifically, in this study, the refilling model is in fact turned off to isolate the effects 166 of electrodynamic coupling and investigate whether the linkage of the two plumes still exists 167 without mass exchange. Plasmasphere refilling is a slow process (~ days) compared with the 168 storm-time plasmaspheric density changes (~ hours) (Lawrence et al., 1999; Denton et al., 2012; 169 Krall et al., 2014) and the exclusion of the refilling should not fundamentally change the storm-

- 170 time plasmaspheric dynamics.
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Figure 2. Solar wind dynamic pressure and velocity (top two panels), IMF components (third panel), and the SYM-H index (bottom panel) during the March 31, 2001, super storm. Data source: CDAWeb/NASA

172

173 2.1 Simulation Setup

174 The event studied in the paper is the super storm that occurred on March 31, 2001. The 175 super storm was a result of a coronal mass ejection event that caused the SYM/H index to reach -400 nT. Figure 2 shows the solar wind profile, including, from top to bottom, the dynamic ram 176 177 pressure, the solar wind velocity components, the interplanetary magnetic field (IMF) 178 components, and the SYM/H index, extracted from the NASA/GSFC's OMNI dataset through 179 CDAWeb. There are two periods of southward (SW) IMF, the first, 03:00~08:00UT 03-31-2001, 180 which was followed by a period of northward IMF, and the second, 15:00~22:00UT. The 181 simulation covered the entire storm, but our analysis focuses mostly on the geospace plume 182 development in the first period of the southward IMF (highlighted in Figure 2 in blue shade).

183

In this work, we use the so called 'Quad' resolution for GAMERA, which corresponds to 96, 96, and 128 grid cells in radial, meridional and azimuthal directions (the spherical axis of the grid is aligned with the Solar Magnetic (SM) x-axis). The non-uniform 3D grid is much denser in the inner magnetosphere region with its inner boundary set at $1.5R_E$. The REMIX 2D grid is $1^{\circ}\times1^{\circ}$ in longitude and latitude with a low latitude boundary at 35° magnetic latitude (MLAT). The RCM 2D physical grid is $1^{\circ}\times1/3^{\circ}$ in longitude and latitude and for the energy grid, it uses 1

190 energy channel for the plasmasphere, 29 energy channels for the electrons and 84 energy

channels for the protons. Oxygen channels are not used in this study. GAMERA, REMIX and
 RCM grids are defined in the SM coordinates. The TIEGCM 3D grid covers the entire globe and

is defined in the geographic coordinates. Its resolution is $1.25^{\circ} \times 1.25^{\circ}$ in longitude and latitude

and it has 57 levels of vertical pressure grid (1/4 scale height resolution), ranging from ~97 km to

approximately 900 km. The coupling exchange interval between GAMERA and REMIX is 5 s,

while for GAMERA and RCM, the exchange interval is 15 s and for REMIX and TIEGCM, it is
5 s. The MAGE simulation starts at 16:00 UT, March 30, 2001 and lasts for 48 hours.

198 **3. Overview of the simulation results**

199 The evolution of the geospace plume is shown in Figure 3 through different stages of the 200 storm (specifically for the 1st period of the southward IMF in Figure 2). The entire process of 201 geospace plume development is demonstrated in Movies S1 and S2. In Figure 3(a1)-(a5) (the 202 first row) and (d1)-(d5) (the fourth row), we map the relevant processes onto the magnetic 203 equatorial plane defined as the surface of minimum magnetic field. The plasmapause as the 204 100/cc iso-surface of the cold proton density. The colored areas are within the closed-field-line 205 region and the blanked areas are for the open-field-line region. The electric potential ϕ plotted in the equatorial plane is a combination of the ionospheric electrostatic potential ϕ_I and the 206 207 corotation potential ϕ_c , defined as

$$\phi = \phi_I + \phi_c \tag{1}$$

208 209

210

$$\phi_c = -\frac{\omega_E B_0 R_E^3}{r} \tag{2}$$

where *r* is the radial distance; ω_E is the angular speed of the Earth's rotation; B_0 is the strength of the Earth's dipole moment; R_E is the radius of the Earth (Toffoletto et al., 2003). The contours of the electric potential represent the streamlines of the plasma **E**×**B** drift flow. Here, the ionospheric electrostatic potential ϕ_I is calculated by REMIX and shown in Figure 3(b1)-(b5) (the second row). The ionospheric potential calculated by TIEGCM is shown in Figure(c1)-(c5) (the third row), where it uses the electric potential from REMIX in the high latitude region (MLAT > 60) and solves for global ionospheric potential including the neutral wind dynamo. All

- the ionospheric plots in this paper are for the northern hemisphere.
- 219

At the pre-storm stage (Figures 3(a1)-(d1), the first column), both the FACs and the ionospheric electric potential are weak. The co-rotation electric field dominates and drives the plasmasphere co-rotate with the Earth, with the plasmapause around $3.5 \sim 4R_E$ (bottom row, Figure 3(d1) The ionospheric TEC peaks near 20 MLAT with a value of ~140 TECu and has a higher value (>50TECu) in the noon-to-dusk sector from low- to midlatitude than in the

225 midnight-to-dawn sector.

226

227 Around 02:30~04:30UT, 03-31-2001, the impact of the CME event arrives at Earth, with an 228 evident increase in the ram pressure and fluctuations in the IMF (Figure 2) causing the storm 229 initial phase. By the end of the initial phase (Figure 3(a2)-(d2), the second column) the solar 230 wind driving has caused the formation of the Region-1 current, while the Region-2 current is still 231 relatively weak. The two-cell convection pattern in the electric potential starts to establish and 232 this initiates global-scale sunward convection on the nights side (Figure 3(b2)), although the ring 233 current has not yet developed (Figure $3(a_2)$). From the extent of the dashed contour lines of the 234 potential in Figure 3(d2), we can see that a plume-like structure began to emerge in the 235 plasmasphere as well as in the middle-high latitude ionosphere. Specifically, the plasmasphere 236 starts to expand sunward and a finger-like structure (a plasmaspheric "finger") starts to develop 237 at the dusk edge of the plasmapause (heavy line in Figure 3(d2)). We will briefly discuss its 238 cause in Section 4.2.1.

239

240 During the early main phase (Figure 3(a3)-(d3), the third column), the FACs and the 241 ionospheric convection electric fields become stronger (Figure 3(b3)). The Region-2 current is 242 enhanced due to a substantial ring current pressure accumulation (Figure 3(a3)). The peak of the 243 TEC has moved to 30 MLAT and a TEC plume occurs with ~70TECu and expands toward the 244 polar cap from 45 MLAT to 75 MLAT near 14 MLT driven by the dusk-cell of the convection 245 (Figure 3(c3)). The plasmaspheric plume has formed, with the plasmasphere finger merged into 246 its main body (Figure 3(d3)). The shape of the plasmaspheric plume maintains approximate 247 dawn-dusk symmetry about the noon-midnight line in the equatorial plane.

248

249 In the late main phase (Figure 3(a4)-(d4), the fourth column), the strength of the ring 250 current reaches its maximum. Due to the westward drifting of the ion population, the ring current 251 pressure distribution is skewed toward pre-midnight (Figure 3(a4)). The FACs become much 252 more intense (Figure 3(b4)) causing stronger central convection electric field that enhances the 253 ionospheric-poleward/equatorial-sunward expansion of the geospace plume (Figure 3(b4), (c4) 254 and (d4)). The high TEC region due to the positive storm effect extends from 30 MLAT to 45 255 MLAT and 60 MLAT in the ionosphere (Figure 3(c4)) and it becomes the center of the TEC 256 plume on the equatorial plane (Figure 3(d4)). Meanwhile, the two-cell convection pattern is 257 skewed clockwise, where the dusk-side pair of the Region-1 and the Region-2 current is located 258 mostly in the afternoon sector and the dawn-side pair of the Region-1 and Region-2 current is 259 skewed to the pre-dawn sector (Figure 3(b4)). The clockwise twist of the convection pattern 260 reshapes the geospace plume. As shown in Figure 3(d4), the shape of the plasmasphere and the 261 TEC contour follows the shape of the potential contour lines. The potential contours show a 262 prominent dawn-dusk asymmetry. As positive storm effects occur primarily in the late afternoon 263 to dusk (~14-19 MLT in Figure 3(c4)) at low and middle latitudes, the strong convection in the 264 dusk sector transport plasma toward high latitudes. The plume structure follows the potential 265 contours and the plume is biased to the dusk side. Another prominent feature during the main 266 phase is the presence of TEC depletion channels. In the dusk sector, a low TEC channel expands 267 from the equator and merges into the midlatitude (~45 MLAT) electron density/TEC trough 268 region. There is another low-TEC channel located around 60 MLAT inside the auroral oval. Both 269 TEC depletion channels (marked by red arrows in Figure 3(c4)) extend sunward. In the 270 equatorial plane, the midlatitude depletion channel is located at the dusk edge of the 271 plasmaspheric plume and we can see the plasmaspheric plume has become much narrower 272 compared with its shape in the early main phase. We refer to this depletion of the TEC and the

273 narrowing of the plasmaspheric plume as geospace plume "erosion". We will discuss these two
274 features in Sections 4.2.2.
275

276 In the last stage, 08:00~12:00UT, 03-31-2001, which is the storm recovery phase (Figure 277 3(a5)-(d5), the fifth column), the IMF turns northward and the ram pressure decreases. The 278 ionospheric convection becomes much weaker (Figure 3(b5)). The two TEC depletion channels 279 merge into one and cut across the tongue of ionization and leaves some polar cap patches (Figure 280 3(c5)). In the equatorial plane (Figure 3(d5)), the sunward driving of the plasmaspheric plume 281 diminishes and the co-rotation takes over again. Due to the loss of the particles through the 282 dayside to the open-field-line region, the size of the plasmasphere shrinks significantly with a 283 plasmapause radius of $2 \sim 2.5 R_{\rm E}$.

284

285 There are three prominent features from the simulation results. The first is that the 286 equatorial plane mapping of the TEC colored contour resemble the shape of the plasmapause. 287 Especially during the storm's main phase, their synchronized sunward expansion and the overlap 288 of the two plumes is quite apparent. We will discuss the major cause of their linkage in Section 289 4.1. The second feature, as mentioned above, is the density depletion channels in the dusk sector 290 at midlatitude. We investigate their role in eroding the dusk edge of the plasmasphere plume in 291 Section 4.2. Thirdly, the ring current development with its dusk-preferred accumulation 292 significantly impacts the distribution of the FACs and thus the electric fields, which eventually 293 control to the geospace plume development. We investigate the relation between the ring current 294 build-up and the geospace plume evolution in detail in Section 4.3.

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Figure 3. (a1)-(e1) to **(a5)-(e5)** show five stages of the storm (the 1st period of southward IMF shaded in Figure 2) with each column, top to bottom, depicting the equatorial plane view of the ring current pressure, the ionospheric FAC, the ionospheric TEC and the equatorial plane mapping of the TEC and the plasmapause (heavy black contour). The thin black lines (dashed for negative values) are contours of the electric potential, with 10keV interval on equatorial plane (bottom row) and 20keV in the ionosphere (third row from top).

295 **4. Discussion**

4.1 Linkage between the plasmaspheric and the ionospheric plumes

297 Foster et al. (2002) first reported the overlap of the plasmaspheric plume with the magnetospheric mapping of the ionospheric plume during the March 31, 2001 storm. The 298 299 IMAGE satellite and the World-wide TEC measurement jointly observed the resemblance of the 300 two plumes for the second period of the southward IMF. Figure 4(a) shows the IMAGE EUV image of the plasmasphere at 21:23UT, March 31, 2001 (Source: http://euv.lpl.arizona.edu/euv/). 301 302 Figure 4(b) is the World-wide TEC data (Source: Madrigal database) mapped to the equatorial plane using the magnetic field-line traced in the MAGE model. Figures 4(a) and (b) are adjusted 303 304 to a similar length scale as Figure 4 of Moldwin et al., 2016. The plasmapause is located at

- 305 approximately $2R_{\rm E}$ on the nightside and the plasmaspheric drainage plume expands from the
- 306 dusk edge of the plasmasphere toward the subsolar magnetopause (Figure 4(a)). The projection
- 307 of the observed global TEC on the equatorial plane at 21:22UT (Figure 4(b)) shows similar
- 308 shape and orientation with the plasmaspheric plume. Figure 4(c) gives the MAGE simulated
- 309 plasmapause and TEC projected to the equatorial plane on the same color scale. The simulated
- 310 plasmaspheric plume is co-located with the TEC plume which is consistent with the observations.
- 311
- 312



Figure 4. (a) IMAGE EUV image of the plasmasphere taken at 21:23UT, 03-31-2001. (b) The equatorial-plane projection of the global TEC measurement at 21:22UT, 03-31-2001, mapped by the MAGE magnetic field line. (c) The contour plot of the MAGE simulated plasmapause and TEC projection on the equatorial plane. The plasmasphere and the TEC are presented in SM coordinates. The sun is to the right. Black solid circle represents the Earth and the dashed black circle has a radius of 2R_E.

313 This overlap in the equatorial mapping of the two plumes can be seen throughout the 314 storm. As described in Section 3 and shown in Figure 4, both the plasmasphere and the electron 315 content co-rotate eastward when the two-cell potential pattern has not yet been established. 316 During the strong southward IMF driving, e.g., at $T = 650 \sim 950$ min in Movie S1, the 317 ionospheric two-cell convection dominates over the co-rotation. The evolution of the plumes is 318 controlled by the dusk cell and follows the geometry of local electric potential contours. In the 319 noon-to-dusk sector, the plumes extend from the low middle latitudes to the pole, which 320 corresponds to the sunward expansion in the equatorial plane.

321

322 Compared to the SAMI3-RCM simulation of the same event (Huba & Sazykin, 2014), in 323 our MAGE simulation, the plasmaspheric plume (from RCM) and the TEC (from TIEGCM) 324 plume are driven by the midlatitude electric fields that are controlled by the same high-latitude 325 electric field, but the mass exchange between the ionosphere and the plasmasphere is not 326 included. However, the overlap and joint evolution of the two plumes are still successfully 327 reproduced. This indicates that the electrodynamic coupling in the M-I-T system, rather than the 328 mass connection, plays a dominant role in the formation and evolution of the geospace plume in 329 both the plasmasphere and the ionosphere.

330





Figure 5. (a)-(d) Keograms of the simulated westward (sunward) ion drift velocity, plasmaspheric density, TEC and Δ TEC at 18 MLT. Δ TEC is calculated by subtracting the quiet-time (March 29, 2001) TEC from the storm-time TEC at the same UT. The colored boxes mark the sunward velocity peaks and the corresponding erosion region in the plasmaspheric density, TEC and Δ TEC. The boxes of the same color cover the same range of latitude in different panels. The orange box marks the WID in the initial phase; the purple box marks the WID velocity peak in the midlatitude; the black box marks the?????

332 To further investigate the geospace plume erosion on the dusk side, we sample the 333 MAGE simulated ion drift velocity (from REMIX), ionospheric mapping of the plasmaspheric 334 density (from RCM), TEC and Δ TEC (from TIEGCM) along 18 MLT for the first period of 335 southward IMF (Figures 5(a) - (d)). The Y-axes of different panels cover different ranges of 336 magnetic latitude since the variables are calculated in different MAGE component models. In 337 Figure 5(a), the ion drift velocity is a combination of the corotation velocity and the **E**×**B** ion 338 drift velocity in the SM coordinates. The red color signifies a westward (i.e., sunward at 18 339 MLT) direction, while the blue color signifies an eastward/tailward direction.

340

341 4.2.1 The plasmasphere finger

342

343 During the initial phase (around 01:30~04:30UT), when the two-cell convection pattern 344 starts to establish, a single band of WID velocity peak moves from high latitude toward 345 midlatitude (Figure 5(a)). A weak reduction in the TEC can be seen at the similar MLAT 346 according to Figures 5(c) and (d). When the WID peak extends to 60 MLAT, it reaches the 347 plasmapause and drives the expansion of a plasmasphere finger (Figure 5(b)), a f. The orange 348 box in Figure 5(b) marks the period of finger development in the initial phase ($T = 705 \sim 750$ min 349 in Movie S1) and Figure 6 shows a snapshot of the finger-like structure that develops at the dusk 350 edge of the plasmapause and expands radially following the electric potential contour (marked 351 by the orange arrows) at 04:24 UT. We can see from Figure 6 that the cause of the plasmaspheric 352 finger is a result of the interaction between the plasmapause which is around $3.5 \sim 4R_E$ at the 353 early stage of the storm and the equatorward-expanding dusk convection cell of the electric field.



Figure 6. (a)-(c) Snapshots taken at 04:24UT 03-31-2001 at the end of the initial phase. **(a)** Simulated FAC in SM coordinates. **(b)** and **(c)** are ionospheric mapping and equatorial plane view of the plasmaspheric density with contour lines of the indicated MLT (red) and latitude (white) mapped to the plane. Black contour lines in **(a-b)** and **(c)** show electric potential with 20kV and 10kV intervals respectively, dashed for negative values. The orange arrows point to the location of the enhanced electric fields and the plasmasphere finger between 55 to 60 MLAT during the initial phase, which correspond to the westward drift velocity peak marked in Figure 5(a) by the box of the same color.

354





Figure 7. (a)-(c) Snapshots taken at 07:07UT 03-31-2001 in the late main phase. **(a)** Simulated TEC in SM coordinate. **(b)** and **(c)**, same variables as in Figure 6. The purple and black arrows point to the locations of the density depletion, which correspond to the SAPS channel and the CRF marked in Figure 5 by the box of the same colors.

357 During the main phase (around $04:30 \sim 8:10$ UT, T = 750~970 min in Movie S1), the twocell convection pattern has established, double-band WID velocity peaks appear (Figure 5(a)), 358 359 with one peak located at higher latitudes around 60 MLAT marked by the black box and another 360 located at midlatitudes around 45~50 MLAT marked by the purple box. As shown in Figure 5(b), the plasmapause in the purple box moves from 55 MLAT to 45 MLAT. The velocity peak 361 362 around 60 MLT does not overlap with the plasmasphere and thus, cannot directly interact with 363 and influence it. In Figure 5(c), two large WID velocity channels are collocated with regions of low TEC, which can be seen in both black and purple boxes. The TEC depletion effect along 364 365 with the midlatitude subauroral velocity peak is much more prominent according to Figure 5(d), 366 where the depletion in TEC and ionospheric electron densities is quite obvious. However, ΔTEC in the black box does not show a negative value, which means the decrease of the TEC around 367 60 MLAT may not be an obvious storm effect. Figure 7 shows the snapshots of TEC depletion 368 and the plasmaspheric erosion corresponding to the double-band WID velocity channels (marked 369

by the black and purple arrows). Figure 7(c) demonstrates clearly that in the equatorial plane, the midlatitude velocity peak (purple arrow) is collocated with TEC depletion and erodes the dusk edge of the plasmaspheric plume, while the higher latitude velocity peak (black arrow) is only coincident with a TEC depletion, since the plasmapause has been eroded to lower L-shell and latitude.

375

4.2.2.1 Erosion on the plasmasphere377

The double-peak feature in the WIDs is examined by Lin et al. (2021), who used the 378 379 MAGE model to perform a set of controlled numerical experiments and demonstrated that the 380 diffuse electron precipitation plays a crucial role in causing the latitudinal structure of the SAPS 381 electric field. Figures 8(a) - (d) show the sampled FAC, electron precipitation, ionospheric 382 conductance and electric field strength along 18 MLT at 07:07UT 03-31-2001. The green shade 383 marks the auroral region which consists of the diffuse electron precipitation and the mono-384 energetic electron precipitation. The electron precipitation increases the local ionization rate in 385 the ionosphere E-region and enhances the Pedersen and Hall conductance. A portion of the 386 Region-2 current is located equatorward of the diffuse electron precipitation, where the 387 conductance is comparatively low. This leads to a strong poleward electrostatic field in the sub-388 auroral region marked by the orange shade. The sub-auroral polarization stream (SAPS) is the 389 WID that is constrained between the low latitude boundary of the dusk-side diffuse electron 390 precipitation and the low latitude boundary of the dusk-side Region-2 current. The SAPS 391 velocity peaks around 45°~50° and it overlaps with the plasmapause, causing a sunward ion flow 392 shown in Figure 8(f). The advection flow transports the cold plasma away from the plasmasphere 393 and to the dayside, which explains the erosion on the plasmapause marked by the purple box in 394 Figure 5(b). The velocity peak located at higher latitudes around 57° is inside the range of the 395 Region-1 current. It peaks in the region between the diffuse and the mono-energetic precipitation 396 where the conductance is also comparatively low and the closure of Region-1 and the Region-2 397 currents results in a strong electric field. This electric field causes WID velocity peak and we call it the convection return flow (CRF). The CRF does not interact with the plasmasphere, which is 398 399 consistent with Figure 5(b).

400

401 4.2.2.1 Depletion of the TEC

402 403 Figure 8(g) shows the MLAT profile of TEC, where there are two electron density/TEC 404 troughs with one co-located with the peak of CRF around 55 MLAT and the other located around 405 42 MLAT, to the equatorward of the SAPS peak. The factors that contribute to the depletion of 406 the ionospheric TEC are much more complicated than the plasmaspheric erosion. The formation 407 of the electron density trough is discussed by Lu et al. (2020), where they examined the rate of 408 change for O⁺ density in the TIEGCM, which is considered as a proxy for the electron content. In 409 general, the rate of change is determined by the O⁺ production and loss rate, ambipolar diffusion, 410 neutral wind transport, and the $\mathbf{E} \times \mathbf{B}$ transport. Lu et al. gave a detailed analysis of the 411 contribution from each process. They showed that the $\mathbf{E} \times \mathbf{B}$ transport is the major contributor to 412 the formation of the sub-auroral electron density trough. This transport brings the low electron 413 density into the high-density region, and this depletion is further enhanced with the increased 414 loss/recombination rate of the ions at a higher temperature caused by the significantly enhanced 415 frictional heating due to the strong ion drifts in the WID channel (Schunk et al., 1976). The

- 416 analysis above is consistent with our results. However, the equatorward shift of the midlatitude
- 417 electron density trough in Figure 8(g) indicates other transport effects and needs further
- 418 investigation in the future.
- 419



Figure 8. (a)-(e) Latitudinal profiles of REMIX ionospheric quantities sampled at 18 MLT and 07:07UT. **(a)** Upward Region-1(red) and downward Region-2 current (blue). **(b)** Energy flux of diffuse (magenta) and mono-energetic (olive) electron precipitation. **(c)** Pedersen conductance (blue) and Hall conductance (green). **(d)** Electric field strength. **(e)** Calculated $E \times B$ ion drift velocity (red) with corotation velocity shown by the dashed line. The cyan curve is the flux-tube-averaged (FTA) plasmaspheric density mapped to the ionospheric grid. **(f)** Calculated sunward ion flux based on density and ion drift velocity in **(e)**. **(g)** Total electron content.



421 4.2.2.1 Data-model comparison on the erosion effect

Figure 9. DMSP F13 and F15 (~850km in altitude) measurements at 06:57 ~ 07:09UT, March 31, 2001: **(a1)-(a2)** magnetic perturbation in the downward (dBd), forward (dBf) and perpendicular (horizontal cross-track) (dBp) direction relative to the satellite track direction; **(b1)-(b2)** precipitating electron energy flux; **(c1)-(c2)** horizontal cross-track sunward ion drift velocity; and **(d1)-(d2)** electron density. The dashed black lines in **(a1)-(a2)** divide the range of the Region-1 and the Region-2 currents based on the slopes of the magnetic perturbation curves. The dashed red line is the equatorward auroral boundary. Simulated quantities sampled along the satellite track: **(e1)-(e2)** field-aligned current; **(f1)-(f2)** energy flux of diffuse electron precipitation (magenta) and mono-energetic precipitation (olive); **(g1)-(g2)** simulated ion drift velocity in the DMSP horizontal cross-track sunward direction; **(h1)-(h2)** simulated electron density (navy) and flux-tube-averaged plasmaspheric density (black).

422

423 Sections 4.2.2.1 and 4.2.2.2 discuss the double-peak feature in WIDs on the dusk side and 424 their effect on the plasmaspheric erosion and ionospheric TEC depletion. In this section, we

425 investigate this subject with DMSP observations. Figure 9 shows a data-model comparison of the 426 FAC, the ionospheric differential number flux of the electron precipitation, the horizontal ion 427 drift velocity, and the electron density. Figures 9(a1) - (d1) and (a2) - (d2) are DMSP F13 and 428 F15 measurements during 06:57 ~ 07:09UT and 08:26 ~ 08:41UT, 03-31-2001 respectively. 429 Ranges of the Region-1 and the Region-2 currents can be derived from the magnetic field 430 perturbation following the method from J. Liu et al. (2022), which are divided by the back 431 dashed line in Figures 9(a1) and (a2). Figures 9(e1) - (h1) and (e2) - (h2) are the corresponding 432 physical quantities from the MAGE simulation along the satellite trajectories. Since the satellites 433 usually fly beyond the altitude range of TIEGCM, we plot the TEC and the plasmaspheric flux-434 tube-averaged (FTA) density instead. The dashed red line is the equatorward auroral boundary 435 using the same criteria as in Figure 8. 436 437 Figures 9(a1) - (d1) and (a2) - (d2) show that dusk-side electron precipitation is located 438 mostly within the range of the Region-1 current with a slight overlap on the Region-2 current. 439 The SAPS is located in the sub-auroral region within the range of the Region-2 current. The CRF 440 covers the region between the Region-1 and Region-2 current and some portion of the Region-1 441 current. The spikes in the electron precipitation, e.g., around 55 MLAT at 07:05UT correspond 442 to the dips in the CRF velocity. The large velocity of SAPS (Figure 9(c1)) is collocated with an 443 acute trough in the ionospheric electron density. The electron density is also low at higher 444 latitudes, corresponding to the location of CRF. This is consistent with the result shown in Figure 445 8. 446

447 Compared with the DMSP data, the simulation predicts similar location of the Region-1/2 448 currents and electron precipitation. The model overestimates the strength of the precipitation 449 energy flux in Figure 9(f1), but according to the following REMIX output it is transient and it 450 does not impact the ionospheric conductance much. In terms of the WID velocity, the double-451 band feature of WIDs, SAPS and CRF peaks, are successfully reproduced. The SAPS velocity is 452 around 2000 m/s at 07:03UT and 1000m/s at 08:33UT, which are in reasonable agreement with 453 the observations.

454 455 In Figures 9(h1) and (h2), the location of the plasmapause and the TEC depletion can be 456 seen and compared with the observations. The simulated TEC troughs corresponding to SAPS 457 are much broader than the electron density troughs in the observation. The FTA plasmaspheric 458 density, on the other hand, has good agreement with the observations of the electron density in 459 Figures 9(d1) and (d2): At 07:03UT (near 48 MLAT, 17 MLT), in the observation (Figure 9(d1)), 460 the SAPS velocity peak still overlaps with the region with substantial electron content and 461 causing a density trough to mirror the SAPS peak. In the simulation (Figure 9(h1)), the SAPS 462 peak also overlaps with a portion of the plasmasphere which causes sunward plasmaspheric particle transport. In the later satellite pass at 08:32UT (near 46 MLAT, 20 MLT) as shown in 463 464 Figure 9(d2), the SAPS velocity peak corresponds to a substantially depleted electron density 465 that forms a distinct boundary in the density profile. In the simulation (Figure 9(h2)), the same 466 sharp drop in the FTA density is captured by the model. Combined with the analysis of the 467 sunward ion flux caused by SAPS at the plasmapause in Figure 8(e)-(f), this data-model 468 comparison confirms the effect of SAPS in depleting the local plasma content. 469

470 In Section 4.2, we have discussed the formation of the WIDs and investigated their role 471 in depleting the local plasma density. During storm main phase, in both simulation and 472 observation, two velocity peaks can be found in the WIDs. The one at the midlatitude is the 473 SAPS channel. The transportation effect of SAPS causes the erosion of the plasmapause at its 474 dusk side and the TEC depletion in the trough region. In the next section, we further investigate 475 the factors that determine the spatial distribution of Region-2 current and the electron 476 precipitation that lead to the SAPS electric field and their relation to the geospace plume 477 evolution.

478

479 480 4.3 Relationship between ring current build-up and geospace plume development

As discussed in Section 4.2, the Region-2 current and electron precipitation directly
control the SAPS electric field development in the ionosphere. In this section, we further explore
the major factors in the magnetosphere that drive SAPS development and the geospace plume
evolution from the perspective of M-I coupling.

485

486 Figures 10(a) and Figure 11(a) show contours of the specified values in the plasma profile 487 as a marker of the plasmapause (black), the ring current ion pressure (red), the electron pressure 488 (green), the equatorial mapping of the energy flux of diffuse electron precipitation (orange), the 489 FAC (background) and the electrostatic potential (light solid and dashed contours) at the 490 beginning (04:48UT) and the end (07:41UT) of the storm main phase. The variable values 491 enclosed by the colored contour lines are larger than the contour values. The contour values are chosen to best describe the spatial distribution of the variables (see Figure S1). The red arrows 492 493 point to the critical region, where the dusk-side Region-2 current (in blue) is located. At the 494 sunward azimuthal edge of the ring current ion pressure (represented by the magenta contour), 495 the large pressure gradient distorts the magnetic field lines and generates the Region-2 current 496 that connects to the partial ring current and flows into the ionosphere. The magnetospheric 497 source region of diffuse precipitation is located in the region with high electron pressure and it 498 partially overlaps with the Region-2 current. This results in a strong SAPS electric field co-499 located with the low-latitude portion of the Region-2 current where there is little electron 500 precipitation. This result is consistent with previous MAGE modeling work of SAPS (Lin et al., 501 2021) and earlier works describing the basic physics of SAPS (e.g., Foster & Vo, 2002). 502

503 During the period of geospace plume erosion by SAPS (from Figure 11(a) to Figure 504 11(a)), the high electron pressure region, which is the source region of the electron precipitation, 505 is always located at the outer boundary of the ring current ion pressure 100 nPa contour. As a 506 result, the electron precipitation always covers a portion of the Region-2 current which maintains 507 the persistent SAPS electric field located at the inner boundary of the ring current contour and 508 the dusk edge of the plasmapause. The strong SAPS electric field dominates the spatial 509 distribution of the electric potential and evolves the plasmaspheric plume into the dusk side. The 510 joint evolution of the ring current and the plasmasphere is shown in Movie S3 and a similar 511 spatial relation of the two is observed by the IMAGE satellite (Figure S2).

We now ask, what determines the spatial distributions of the ring current electron and ion
pressure that impact the source regions of diffuse electron precipitation and the Region-2
currents? The cold plasmaspheric protons are subject to the E×B drift and corotation. Besides

- 516 these two drifts, the hot protons and electrons, after being transported to the inner
- 517 magnetosphere, are also subjected to gradient/curvature drift in opposite directions. In RCM, the total drift effect is represented by the effective potential defined by adding the term $V^{-2/3}$. 518
- 519 $\lambda_{i,k}/e$ to the equatorial electric potential (Toffoletto et al., 2003). This effective electric potential
- for species i at energy channel k is given by 520
 - (3)

 $\phi_{eff,k,i} = \phi_I + \lambda_{i,k} \cdot V^{-\frac{2}{3}}/e + \phi_c$ (3) where $\lambda_{i,k}$ is the energy invariant for species *i* at channel *k* in RCM and the closed magnetic flux 522 523 tube volume V is given by

524

521

 $V = \int \frac{ds}{B_{MHD}}$ (4)

525

526 Figures 10, 11(b) - (d) show the flux tube content (η) in the magnetic flux tube from the RCM characteristic energy channels of the plasmasphere ($\lambda_0 = 0$), hot protons and hot electrons 527 at the beginning and the end of the storm main phase. The proton and the electron channels 528 shown ($\lambda_p = 1639.46$, $\lambda_e = -234.21$, in RCM units, $eV \cdot (R_E/nT)^{\frac{2}{3}}$) are the ones that contribute 529 530 most to the total proton pressure and the total electron pressure (as well as the diffuse 531 precipitation energy flux) respectively. The corresponding energy of the proton and the electron 532 at the selected channels is around 80 keV and 8 keV at the location of the ring current and the 533 electron precipitation. The effective potential of the channel is plotted as black contour lines with 534 dashed lines for negative values. 535

536 Comparing the effective electric potential contours in Figures 10 (b) - (d), we can see the gradient and curvature term in the proton effective electric field (*Potential_P*) dominates the 537 inner magnetosphere and is a result of the comparatively large energy invariant of that proton 538 539 channel. The electron effective electric potential (*Potential E*) contours look similar to the 540 plasmaspheric electric potential (*Potential* 0)) especially outside the geosynchronous orbit, 541 since the gradient and curvature term for electrons is comparatively weak due to their lower 542 particle energy. During the early main phase, the ring current particles have just been transported 543 by the convection electric field to the inner magnetosphere and started to drift following their 544 effective electric potential contours. Meanwhile, the plasmaspheric plume expands sunward 545 driven by the convection electric field. At this moment, the η distributions of the plasmasphere, 546 proton and electron are approximately symmetric about the x-axis (Y = 0) and the conditions for 547 the SAPS formation have just started to appear on the dusk edge of the plasmasphere. 548

549 At the late main phase, as shown in Figure 11(c), the η distribution shows that the ring 550 current hot protons have drifted westward from the nightside and penetrated deep inside $2R_E$ on 551 the dayside (marked by the yellow arrow). The Region-2 current originating at the outer edge of the partial ring current beyond $2R_E$ has shifted toward the dayside accordingly (in Figure 11(a)). 552 553 The SAPS electric field have been very strong and dominated the geometry of Potential 0 and 554 Potential E. On one hand, it causes the plamaspheric plume to move to the dusk side. On the 555 other hand, the hot electrons (in Figure 11(d)) are obstructed by the strong dusk-side electric 556 fields with major contribution from SAPS. The electrons accumulate outside the SAPS flow 557 channel around $3R_E$ and form a sharp edge in the electron η distribution (marked by the orange 558 arrow). This leads to a distinct equatorward edge of the ionospheric diffuse precipitation and the

absence of electron precipitation in part of the Region-2 current that helps to maintain the strong

560 SAPS electric field.

561



Figure 10. (a) Contour plots of the equatorial plane view of plasmapause (black), ring current pressure (pink), electron pressure (green), equatorial energy flux of diffuse electron precipitation (orange), electrostatic potential with 10kV spacing (navy, dashed for negative values) and FAC (red-blue) on the equatorial plane at the early main phase. The red arrow points to the location where the Region-2 current is strong while the diffuse precipitation is weak and the resultant (SAPS) electrostatic field is intense. (b)-(d) Contour plots of the RCM η variable for the plasmaspheric energy channel, the proton energy channel that contributes most to the ring current pressure and the electron energy channel that contributes most to the electron pressure/diffuse precipitation energy flux and their corresponding effective electric potential on the equatorial plane.



562

563 The analysis above demonstrates that the storm-time energy-dependent electron and 564 proton drifts determine the spatial distribution of Region-2 currents and diffuse electron 565 precipitation. The ring current ion can overcome the existing SAPS electric field and penetrate 566 into deeper L-shell at dayside, while the ring current electrons cannot due to their featured adiabatic invariants of their drifts. This delicate balance between the energy-dependent

568 gradient/curvature drift and the $\mathbf{E} \times \mathbf{B}$ drifts self-consistently maintains the SAPS electric field to 569 further erode the dusk-side plasmasphere and push the plasmaspheric plume shifting toward the 570 dusk side.



571

572 4.5 Model limitations

573

574 The MAGE simulation of the March 31, 2001 storm presented above demonstrates 575 clearly the close relation between the global-scale convection, ring current build-up, FAC, and 576 electron precipitation which dynamically alter the geospace plume system. However, there are 577 some limitations. First, the model did not include the plasma transport between the plasmasphere 578 and the ionosphere. Although, as discussed in Section 1.2.2.2, plasmaspheric refilling is much 579 slower compared with the dynamic transport of the plasma within the plasmasphere and 580 ionosphere during the storm main phase (Lawrence et al., 1999; Denton et al., 2012; Krall et al., 581 2014), plasma exchange between the plasmasphere and ionosphere can be important in the storm recovery phase (Carpenter & Lemaire, 1997). Second, as discussed in Section 4.3, during the 582 583 later stage of the storm main phase, the simulated ring current overlaps with the plasmasphere, 584 especially in the plume region. In this work, our model did not include ring current ion loss due

to the EMIC wave scattering (Erlandson & Ukhorskiy, 2001; Goldstein et al., 2003), although it

is possible that ion precipitation may affect the ionospheric conductance and feed back to the

587 magnetospheric system (Tian et al., 2022). Furthermore, inside the plasmasphere, the energetic

electrons resonate with hiss waves and contribute to diffuse electron precipitation (Ma et al.,
2021). The current version of the model did not take into account such wave-particle

589 2021). The current version of the model did not take into account such wave-particle
590 interactions. We are working on including these important precipitation mechanisms to better

inform the ring current particle loss and ionospheric precipitation in future versions of the model

- 592 (Bao et al., 2022; Lin et al., 2022).
- 593

594 4 Summary and Conclusions

595 In this paper, we investigate the evolution of the geospace plume during the March 31, 596 2001 superstorm using the MAGE model, which coupled the global and inner magnetosphere, 597 the ionosphere and the thermosphere. Combined with satellite observations, we used the MAGE 598 simulation to address the three major science questions raised in the introduction section. 599

600The first question is the cause of the linkage and joint evolution of the two counterparts601of the geospace plume, the plasmaspheric plume and the ionospheric SED plume. We conclude602that the $\mathbf{E} \times \mathbf{B}$ transport of the plasma by the coupled magnetosphere-ionosphere electric field is603the major process that causes the plasmaspheric plume and the ionospheric SED plume to evolve604in a similar way and to have co-located footprints on the equatorial plane.

605

606 The second and third science questions are closely tied together. The second science 607 question focuses on identifying the specific processes that are important for shaping the 608 plasmasphere and the ionosphere plume. The third science question explores the relationship 609 between the build-up of the ring current and the development of the geospace plume. The 610 simulation shows that geospace plume in the equatorial plane expands sunward due to the 611 convection electric field in the early main phase. The plume shifts toward the dusk side in the 612 late main phase. We also find two channels of TEC depletion, with one in the ionosphere 613 midlatitude trough region, corresponding to the dusk edge of the plasmasphere, and the other at 614 higher latitudes inside the auroral oval. By investigating the related physical quantities along 18 615 MLT and comparing with DMSP observations, we find that the westward SAPS flow is the 616 major cause of the erosion on the dusk edge of the plasmasphere and duskward shift of the 617 geospace plume, which answers science question two. We further investigate the cause of the spatial distributions of the Region-2 currents and diffuse precipitation that are responsible for the 618 619 occurrence of SAPS by analyzing the effective electric fields of ring current protons and 620 electrons. Region-2 current is located at the sunward boundary of the ring current mostly 621 contributed by hot protons, where the pressure gradient distorts the magnetic field. Due to the 622 energy-dependent charged particle drifts, the ring current pressure is located preferentially on the 623 dusk side. The region of energetic ring current electrons, as the source region of the diffuse 624 precipitation, are at larger L-shells compared to the region of high ion pressure. As a result, the 625 SAPS electric field is generated at the location where a part of the Region-2 current does not 626 overlap with the diffuse precipitation and the ionospheric conductance is low. The analysis of the 627 RCM energy channels shows the ring current ions can overcome the existing SAPS electric field 628 and penetrate into deeper L-shell at dayside, while the ring current electrons cannot due to their 629 featured adiabatic invariants of their drifts. This delicate balance between the energy-dependent

- 630 gradient/curvature drift and the $\mathbf{E} \times \mathbf{B}$ drifts self-consistently maintains the SAPS electric field.
- 631 We conclude that the intrinsic cause of the SAPS and the resulting plasmasphere erosion as well
- as the plume geometry is the energy-dependent drifts of the ring current electrons and ions that
- 633 impact the coupled geospace system.
- 634

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- 645 wide TEC data and the DMSP data are provided by the CEDAR Madrigal Database
- 646 (<u>http://cedar.openmadrigal.org/index.html</u>); the simulation data selected for figures in this paper
- are stored and published on Zenodo (via: <u>https://zenodo.org/record/7843840</u>).

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Supporting Information for

The relation among the ring current, subauroral polarization stream, and the geospace plume: MAGE Simulation of the March 31 2001 Super Storm

Shanshan Bao¹, Wenbin Wang², Kareem Sorathia³, Slava Merkin³, Frank Toffoletto¹, Dong Lin², Kevin Pham², Jeffrey Garretson³, Michael Wiltberger², John Lyon⁴ and Adam Michael³

¹Department of Physics and Astronomy, Rice University, Houston TX

²High Altitude Observatory, National Center for Atmospheric Research, Boulder CO ³Applied Physics Laboratory, Johns Hopkins University, Laurel MD ⁴Department of Physics and Astronomy, Dartmouth College, Hanover NH

Corresponding author: Shanshan Bao (shanshan.bao@rice.edu)

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Additional Supporting Information

Movie S1 Evolution of the equatorial plane view of the ring current pressure, the ionospheric FAC, the ionospheric view of TEC and the equatorial plane mapping of the plasmapause and the TEC during the storm.

Movie S2 Evolution of the total electron content (TEC) and the plasmapause during the storm.

Movie S3 Evolution of the ring current and the plasmapause during the first period of southward interplanetary magnetic field (IMF).

Introduction

The supporting information contains two figures and two movies. Figure S1 shows the choices of the values of the contour lines in Figure 9 and 10 can describe the spatial distribution of the variables very well. It is reasonable to use the contour lines to represent the location of the plasmapause, ring current pressure, electron pressure, etc. Figure S2 shows Figure 3 from

"Plasmasphere response: Tutorial and review of recent imaging results" (Goldstein, 2006). It shows the observed spatial relationship between the storm-time plasmasphere and the partial ring current, which is similar to our simulation results as shown in Figure 10 and Movie S3.

Movie S1 - S3 are made from the simulation data with 2-miniute interval and 1-minute interval respectively. The simulation data has been converted into readable format for the Tecplot360 scientific visualization software. Movie S1-S2 are produced at 10fps and Movie S3 are produced at 20 fps in the MP4 format. Due to the limitation of the software, the time tag in the movies cannot be shown in HH:MM:SS format. It is counted in minutes from 16:00:00 UT 03-31-2001 when the simulation starts. The variable description in Movie S1 is the same as Figure 2. Movie S2 shows the plasmapause and TEC evolution from the beginning to the end of the storm main phase. The top left panel shows the equatorial plane view of the plasmapause (thick black contour line) and TEC color contour. The top right and lower panels show the ionospheric view of TEC in the SM coordinate and in the geographic coordinate respectively. The electric potential contours are plotted by thin black lines in all three panels, with dashed lines for negative values. Movie S3 shows the evolution of the ring current and the plasmapause during the first period of southward IMF. The electric fields transport both the ring current plasma and the plasmaspheric plume plasma toward the dayside. Since hot ions also follow the gradient and curvature drift, when the ring current particles penetrate to lower L shells, they overlap with the plasmapsheric plume near the dusk side. This process is consistent with the IMAGE satellite results as shown in Figure S2.



Figure S1. Colormap and corresponding color-coded contour lines used in Figure 10 and 11. The variables are (a) flux-tube-averaged plasmaspheric density, (b) plasma pressure, (c) electron pressure and (d) diffuse electron precipitation energy flux projected onto the equatorial plane. In each sub-figure, the physical quantity is mainly distributed inside the region enclosed by the contour line.



Figure 3. (a) Illustration of idealized ring current, format identical to that of Figure 1a. The ring current is the orange torus surrounding the Earth. Westward (eastward) magnetic drift of ions (electrons) indicated by the yellow (orange) curved arrow. (b) Global composite image of the inner magnetosphere (Pulkkinen *et al.*, 2005). IMAGE HENA proton pressure (10–60 keV, 0.5–0.8 nPa) image has been overlaid onto Figure 1b. The HENA image shows the partial ring current that has been injected by a substorm. The plasmasphere and ring current are roughly spatially complementary, although there is some overlap near dusk, at the eastern edge of the plasmaspheric plume. (HENA image courtesy of P. C. Brandt; EUV image courtesy of B. R. Sandel).

Figure S2. Figure 3 of "Plasmasphere response: Tutorial and review of recent imaging results" (Goldstein, 2006) (b) shows the complementary shape of the plasmapause and the ring current in the composite image of the ring current (IMAGE HENA image in orange) and the plasmasphere (IMAGE EUV image in green). Spatial overlap between the ring current and the plasmaspheric plume is seen near the dusk side.

Movie S1 Evolution of the equatorial plane view of the ring current pressure, the ionospheric FAC, the ionospheric view of TEC and the equatorial plane mapping of the plasmapause and the TEC during the storm.

Movie S2 Evolution of the total electron content (TEC) and the plasmapause during the storm. **Movie S3** Evolution of the ring current and the plasmapause during the first period of southward interplanetary magnetic field (IMF).

Reference

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