## The curious case of a strong relationship between ENSO and Indian summer monsoon in CFSv2 model

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### Abstract

An ensemble of forecasts is necessary to identify the uncertainty in predicting a non-linear system like climate. While ensemble averages are often used to represent the mean state and diagnose physical mechanisms, they can lead to information loss and inaccurate assessment of the model's characteristics. We highlight an intriguing case in the seasonal hindcasts of the Climate Forecast System version-2. While all ensemble members often agree on the sign of predicted El Nino Southern Oscillation (ENSO) for a particular season, non-ENSO climate forcings, although present in individual members, are disparate. As a result, an ensemble mean retains ENSO anomalies while diminishing non-ENSO signals. This difference between ENSO and non-ENSO predictions and a more decisive impact of ENSO on seasonal climate increases the ensemble mean ENSO-Indian Summer Monsoon Rainfall correlation. Thus, a model's teleconnection skills, which often help interpret physical mechanisms, should be studied using individual members rather than ensemble averages.

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11 Key Points:

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# In CFSv2, the consensus on ENSO forcing sign among ensemble members effectively represents ENSO's influence in the ensemble mean.

- Non-ENSO climate forcings, despite being present in individual members, vary con siderably, attenuating non-ENSO signals in the ensemble mean.
- Hence, the ensemble mean shows a strong ENSO-ISMR correlation, while individual
   ensemble members do not exhibit the same relationship.

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### 18 Abstract

An ensemble of forecasts is necessary to identify the uncertainty in predicting a non-linear 19 system like climate. While ensemble averages are often used to represent the mean state and 20 diagnose physical mechanisms, they can lead to information loss and inaccurate assessment 21 of the model's characteristics. We highlight an intriguing case in the seasonal hindcasts of 22 the Climate Forecast System version-2. While all ensemble members often agree on the sign 23 of predicted El Nino Southern Oscillation (ENSO) for a particular season, non-ENSO climate 24 forcings, although present in individual members, are disparate. As a result, an ensemble 25 mean retains ENSO anomalies while diminishing non-ENSO signals. This difference between 26 ENSO and non-ENSO predictions and a more decisive impact of ENSO on seasonal climate 27 increases the ensemble mean ENSO-Indian Summer Monsoon Rainfall correlation. Thus, 28 a model's teleconnection skills, which often help interpret physical mechanisms, should be 29 studied using individual members rather than ensemble averages. 30

### <sup>31</sup> Plain Language Summary

When it comes to predicting a chaotic system like climate, we generate a set of fore-32 casts known as an ensemble. Each forecast in the ensemble starts from slightly different 33 initial conditions. To evaluate the performance of the climate model, we often calculate the 34 average of the ensemble. But only looking at the ensemble average can sometimes over-35 look important information and make our evaluations of the climate model less accurate. 36 Here, we presented one such example where the ensemble mean fails to represent the true 37 characteristic of the model. Previous studies reported that the year-to-year variations of 38 the Indian summer monsoon rainfall in many climate models are heavily influenced by the 39 climate of the central and eastern Pacific oceans. However, our analysis reveals that this 40 relationship stems from the methodology used to compute ensemble mean rather than be-41 ing an inherent characteristic of the model. Hence, our study highlights the importance of 42 examining individual ensemble members to evaluate the models' forecasting abilities. 43

### 44 1 Introduction

Ensemble forecasting has become widely adopted for predicting inherently chaotic and 45 non-linear systems like weather and climate (Molteni et al., 1996; Palmer, 2000). This 46 approach involves running a numerical prediction model multiple times with different initial 47 48 conditions or numerical atmospheric representations to address forecast uncertainty (Palmer, 2000; Leutbecher & Palmer, 2008; Weisheimer et al., 2011). Moreover, ensemble averages 49 of forecasts are commonly used to address systematic model errors and represent forecasts 50 as anomalies. This approach relies on the forecast value for a specific start time, lead 51 time, and target period. However, there can be challenges if a forecast ensemble mean is 52 needed for start times that are not included in the hindcasts or if the number of hindcasts 53 is considerably smaller than the variance of the forecast anomaly (Tippett et al., 2018). 54 Despite these challenges, numerous studies have extensively utilized this method to evaluate 55 the model's teleconnection patterns and forecast skill in simulating Indian summer monsoon 56 rainfall (ISMR). 57

The year-to-year variation of ISMR is primarily influenced by low-frequency varia-58 tions in tropical sea surface temperatures (Charney & Shukla, 1981), particularly El Nino 59 Southern Oscillation (ENSO) (Rasmusson & Carpenter, 1983; J. Shukla & Wallace, 1983). 60 However, the impact of these SST variations on monsoons can vary due to the inherent 61 chaotic nature of the climate system. Hence, the generation of ensemble forecasts becomes 62 imperative to estimate the uncertainty associated with the ISMR predictions and to evaluate 63 the model performance in predicting monsoons. Many of the climate models like ECMWF-64 SYSTEM4, North American Multi-Model Intercomparison Project (NMME), and CMIP 65 models heavily rely on ENSO for ISMR prediction (Kim et al., 2012; Pillai et al., 2021; He 66 et al., 2022; Rajendran et al., 2022). Interestingly, some models exhibit an ENSO-ISMR 67 relationship that is nearly twice as strong as observed (Singh et al., 2019). For example, 68 many coupled models of CMIP5 show a similar strong association, which attributes it to the 69 westward shift of the anomalous low-level anticyclonic circulation over the tropical Indian 70

Ocean and western subtropical northwest Pacific. This shift causes an advection of dry 71 air from the extratropics to the Indian region, causing a stronger ENSO-ISMR relationship 72 (Ramu et al., 2018). The NCEP Climate Forecast system version-2 (CFSv2) model also 73 demonstrates an overestimation of this relationship (George et al., 2016; Chattopadhyay et 74 al., 2016), potentially due to an underestimation of synoptic activity over the Bay of Bengal 75 in August, which amplifies the impact of ENSO on ISMR in the model (Das et al., 2022). 76 Furthermore, the ENSO-ISMR relationship in the CFSv2 might also be influenced by SST 77 biases in the equatorial central Pacific and Indian oceans (R. P. Shukla & Huang, 2016). 78 Another study highlighted that the observed fluctuation in the ENSO-ISMR correlation over 79 a longer period could also be ascribed to sampling variability (Cash et al., 2017; Gershunov 80 et al., 2001). This finding is shown using a large ECMWF Ensemble Prediction System 81 ensemble. Several studies also examine the impact of another variability on ISMR in the 82 CFSv2. One of these studies suggests that the model has a problem capturing the air-sea in-83 teraction over the Indian Ocean and low-level winds over the Indian region (Krishnamurthy, 84 2018). Additionally, another study by (Sabeerali et al., 2019) indicates that CFSv2 has poor 85 predictive skills in forecasting the teleconnection between the Atlantic zonal mode and ISM. 86

Although the above studies analyzed the model's teleconnection patterns using the 87 ensemble average of the forecast, relying solely on this approach could lead to the loss 88 of valuable information embedded within the individual ensemble forecasts. Hence, our 89 objective is to investigate whether the ensemble mean of the forecasts reflects the true 90 behavior of the model or displays distinct characteristics when compared to the individual 91 ensemble members. We also want to ascertain whether the model errors discussed earlier 92 are a consequence of inherent limitations in the model or are influenced by the methodology 93 employed to analyze the teleconnection patterns. 94

### <sup>95</sup> 2 Model Description, Experimental Design, and Observational Data sets

We utilize the National Centers for Environmental Prediction (NCEP) CFSv2 model, 96 which is fully coupled and includes the NCEP GFS (Global forecast system) for the atmo-97 spheric component, Geophysical Fluid Dynamics Laboratory Modular Ocean Model version 98 4 for the ocean model, a two-layer sea ice model, and a four-layer Noah land surface model 99 (Saha et al., 2014). GFS has a horizontal resolution of 0.91° and 64 vertical levels. The 100 model simulation is performed at the computing platform of Council for Scientific and In-101 dustrial Research (CSIR) Fourth Paradigm Institute, Bengaluru, following the experimental 102 setup used by Rajendran et al. (2021) and Singhai et al. (2023). The model is integrated for 103 nine months using five different initial conditions for the period of 1979–2016. The initial 104 conditions include 00UTC of 21 April (member 1), 26 April (member 2), 1 May (member 105 3), 6 May (member 4), and 11 May (member 5). This is referred to as "Model 1" or M1 in 106 this study. Additionally, to verify the M1 results, the study also analyzes 124 nine months 107 of reforecast (referred to as "Model 2" or M2) initialized from CFS-based initial conditions 108 every fifth day from 1 January to 31 May, with four reforecasts per day (00, 06, 12, 18 UTC) 109 from 1982 to 2010 (Saha et al., 2010). 110

The objective of this study is to investigate the difference in the model's characteristics in individual ensemble members and their mean. We treat each of the initial conditions reforecasts as a distinct entity to obtain the characteristic of individual members  $(E_{all})$ . Conversely, we calculate the ensemble mean using the following approach:

By assuming the linear superposition of different forcings, such as ENSO, IOD, and ATL, on ISMR, we can express ISMR (P) as follows:

$$P = C_0 + \sum_{j=1}^n C_j f_j \tag{1}$$

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where  $f_j$  is the  $j^{th}$  forcing and  $C_j$  are constants. The term  $C_0$  can be neglected with the removal of the climatological values. If there are m members of an ensemble prediction system, the above equation applies separately to each of the ensemble members. Thus, the ensemble mean  $(E_M)$  for ISMR can be computed in the following way.

$$\bar{P} = \sum_{j=1}^{n} C_j \bar{f}_j \tag{2}$$

Here,  $C_j$  remains unchanged as it represents the model's characteristics, while  $\bar{f}_j$  represents the ensemble mean forcing and is computed by averaging the values across each ensemble member, as shown below.

$$ar{f_j} = rac{1}{m}\sum_{i=1}^m f_i$$

For model validation against observations, we use the June-September (JJAS) averaged Extended reconstructed sea surface temperature (ER-SST) version 5 data to derive the ENSO index (Huang et al., 2017). The India Meteorological Department (IMD) monthly mean gridded rainfall dataset with a spatial resolution of  $1^{\circ} \times 1^{\circ}$  is used to calculate ISMR (Rajeevan et al., 2006). JJAS average GPCP (Global Precipitation Climatology Project) data is also utilized to depict changes in precipitation over land and ocean (Huffman et al., 2009).

### 135 Index calculation

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The area-averaged rainfall over the region  $(7.5^{\circ}-27.5^{\circ}N, 70^{\circ}-90^{\circ}E)$  during the boreal 136 summer monsoon season is used to define ISMR (Parthasarathy et al., 1994). For ISMR 137 computation, only land grid points are considered. The ENSO index is the area-average 138 SST anomaly over the Nino 3.4 region (5°S–5°N, 170°W–120°W). SST anomaly greater 139 (less) than  $0.5^{\circ}$ C (- $0.5^{\circ}$ C) is classified as El Nino (La Nina). The ATL is defined as the 140 averaged SST anomaly over a region (20°S-Eq, 30°W-20°E) (Kucharski et al., 2008, 2007). 141 The positive (negative) phases of ATL are identified when the JJAS averaged values exceed 142 one (less than minus one) standard deviation. 143

### 144 Likelihood histogram

The likelihood histogram displays the distribution of ensemble members exhibiting coherent behavior, with a threshold of  $0^{\circ}$ C for both the ENSO and ATL indices. For a particular year, we determine the maximum number of ensemble members showing the same sign of anomaly (>0 or <0). For instance, years where all five ensemble members showed either a positive or negative ENSO index, are grouped in bin 5, while the 4 and 3 contained years with fewer coherent members.

### 151 **3 Results**

ENSO has a strong relationship with ISMR, with a correlation coefficient of -0.58, as 152 shown in Fig 1a. However, the majority of the climate models, including CFSv2, overes-153 timate the impact of ENSO on boreal summer monsoon rainfall, as reported in previous 154 studies (Kim et al., 2012; R. P. Shukla & Huang, 2016; He et al., 2022; Rajendran et al., 155 2022). These studies often use the ensemble average method to examine the teleconnec-156 tion patterns in the seasonal and sub-seasonal prediction systems. Although this method 157 effectively reduces the random variations or "noise" inherent in ensemble forecasts, it also 158 results in the loss of important information. For instance, Figure 1a depicts the relationship 159 between ENSO and ISMR for the CFSv2 models 1 (M1) and 2 (M2). This association is 160 shown using both individual  $(E_{all}, \text{ yellow bars})$  and the mean of ensemble members  $(E_M,$ 161 red bars). It should be noted that there is a significant difference in the ENSO-ISMR rela-162 tionship computed from these two methods for both M1 and M2. The correlation coefficient 163 (CC) for the  $E_{all}$  ( $CC_{M1} = -0.55$  and  $CC_{M2} = -0.58$ ) is comparable to that seen in the ob-164 servation (CC=-0.58). However, the relationship is greatly overestimated for  $E_M$ , resulting 165 in a high correlation coefficient of -0.7 (M1) and -0.88 (M2). Furthermore, despite model 166 M2 having a significantly larger number of ensemble members compared to model M1, there 167 is a greater disparity in the correlation coefficient between  $E_M$  and  $E_{all}$  for M2 than for 168 M1. This suggests that the strong relationship between ENSO and ISMR in the CFSv2 169

model, as reported by previous literature, is not a characteristic inherent to the model but
stems from the ensemble average method. In addition, it is worth noting that our primary
finding remains robust and consistent regardless of the number of ensemble members used
in models M1 and M2. This highlights the reliability of our results, despite the potential
impact of the number of ensemble members on the efficacy of the ensemble average method
(Atger, 1999).



Figure 1. (a) The bar plot illustrates the relationship between ENSO and ISMR for the observation, as well as the CFSv2 models M1 (with 5 ensemble members) and M2 (with 124 ensemble members). This relationship is depicted using both individual members ( $E_{all}$ ) and the ensemble mean ( $E_M$ ) of the CFSv2 seasonal hindcasts. (bh) The spatial composite of the correlation coefficient between the ENSO index and precipitation over the South Asian region. Panel (b) represents the observation, panel (c) shows the ensemble mean ( $E_M$ ), and panels (d-h) present the correlation for all five individual ensemble members of model M1 (21 April (Mem 1), 26 April (Mem 2), 1 May (Mem 3), 6 May (Mem 4), and 11 May (Mem 5)). The inset value in (b-h) is for the correlation coefficient (CC) between ISMR and ENSO index for 1979-2016.

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Figure 1b-h displays the spatial patterns showing the response of ENSO to ISMR for observation (Fig. 1b), ensemble mean (Fig. 1c), and the individual ensemble members (Fig. 1d-h) of model M1. Negative values of the correlation coefficient mark the entire Indian region in all three cases. However, these values are significantly higher for the ensemble mean

than for observation and other ensemble members. The negative values in the ensemble 180 mean mainly concentrate on the western ghats and northern parts of the Indian region, 181 particularly over the Indo-Gangetic belt (Fig 1c). The reason for such high negative values 182 in the ensemble mean can be understood by examining the behavior of individual ensemble 183 members. It is worth noting that the response of ENSO to ISMR varies significantly among 184 ensemble members, ranging from -0.37 to -0.62. Member 1 displays the weakest ENSO 185 response to ISMR with a CC of -0.37. In contrast, other members show a considerably 186 strong relationship, albeit weaker than the ensemble mean. In addition, the negative CC 187 among the ensembles, particularly for members 2, 4, and 5, are heterogeneously clustered 188 over northern India. As a result, when the ensemble average is computed, the negative 189 values are superimposed in a manner that causes the ENSO-ISMR relationship to be higher 190 in the ensemble mean than in the individual members. 191



Figure 2. (a) The probability density function (PDF) of the correlation coefficient (CC) between ENSO forcing and Indian summer monsoon rainfall (ISMR). This analysis is based on a 38-year sample extracted from a total of 190 individual ensemble members. This process is randomized and repeated over 1000 iterations. Additionally, the CC values for the observation, the ensemble mean, and all five individual ensemble members (21 April (Mem 1), 26 April (Mem 2), 1 May (Mem 3), 6 May (Mem 4), and 11 May (Mem 5)) for the period of 1979–2016 are also indicated as markers. (b) The bar plot shows the ENSO-ISMR relationship when all 5 and less than 5 ensemble members exhibit the same sign of ENSO anomalies. The year distribution of the cases where there are 5 and <5 members having the same sign of ENSO anomalies are shown in Figure 3.

Figure 2a shows the probability distribution of the potential ENSO-ISMR correlation 192 coefficients, generated by randomly selecting 38 years from the ensemble forecast of 190 193 years (38 years  $\times$  five initial conditions). This process is randomized and repeated over 1000 194 times. Interestingly, the maximum likelihood of getting the correlation coefficient between 195 ENSO-ISMR is -0.55 (mode), which is similar to the correlation corresponding to the 196 observation and individual ensemble members. Additionally, four out of the five ensemble 197 members are clustered around the mode value. The probability of getting the correlation 198 coefficient of the ensemble mean (CC=-0.7) is much lower than that of CC computed 199 using individual ensemble members (CC = -0.55). Once again, this finding confirms that 200 the strong relationship between ENSO and ISMR observed in  $E_M$  is not an intrinsic feature 201 of the CFSv2 model. 202



Figure 3. The histograms show the distribution of the maximum number of ensemble members exhibiting the same signs of an anomaly for (a) ENSO Index (N34) and (b) Atlantic tropical variability (ATL).

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To investigate the differing response of ENSO on ISMR between the ensemble mean and individual ensemble members, we generate a histogram in Figure 3a to examine the behavior of each individual member under ENSO forcing. Additionally, since external forcings such as ATL can suppress the influence of ENSO on ISMR (Kucharski et al., 2008), the histogram

for ATL is also shown in Fig 3b. Our analysis shows that there is a high probability (around 207 66%) of obtaining the same sign of anomaly (either N34>0 or N34<0) by all five ensembles 208 under ENSO forcing. Our analysis shows that there is a high probability (around 66%) of 209 obtaining the same signs of anomaly (either N34>0 or N34<0) for each year across all five 210 ensemble members under ENSO forcing. This leads to the ENSO forcing dominating the 211 ensemble mean over individual members. As a result, the ENSO-ISMR relationship in the 212 ensemble mean is majorly determined by these five coherent members (CC5 = -0.77, Figure 213 2c). This leads to the retainment of the ENSO forcing in the ensemble mean, leading to a 214 pronounced ENSO-ISMR relationship. This relationship in the ensemble mean is majorly 215 determined by the years where all five ensemble members exhibit the coherent anomaly 216 signs (CC5=-0.77, Figure 2c). In contrast, the contribution of members showing incoherent 217 behavior (<5) is negligible ( $CC_{<5} = -0.08$ , Figure 2b). Notably, we also observe that the 218 ENSO-ISMR relationship derived from the ensemble mean of the incoherent member (<5)219 is weaker than that computed from individual members (Fig 2b). This can be due to the 220 cancellation of the ENSO forcing caused by the varying responses of ENSO among different 221 ensemble members. On the other hand, for non-ENSO forcing, such as ATL, the likelihood 222 of all five ensemble members exhibiting the same sign is much lower than ENSO forcing 223 (Fig 3b). This may be due to non-linear processes over the Atlantic Oceans, contributing to 224 the model's differing behavior among ensembles. Hence, in the case of non-ENSO forcing, 225 even though it exists in individual members, it shows significant variability, resulting in the 226 weakening of non-ENSO signals in the ensemble mean. 227

External climatic forcings such as ENSO and ATL tend to perturb the surface pressure patterns surrounding the Indian region, leading to modifications in the incoming and outgoing moisture fluxes (Chakraborty & Singhai, 2021). These fluxes, primarily from the Arabian Sea  $(F_W)$  and the Bay of Bengal  $(F_E)$ , play a vital role in driving atmospheric convection over India during the boreal summer monsoon. Figure 4 shows a scatter plot that facilitates the examination of potential disparities in the responses of ENSO and ATL to moisture fluxes between individual members and the ensemble mean. To accomplish this,



Figure 4. The scatter plots show the relationship between the ENSO index and moisture flux over the Bay of Bengal  $(F_E)$  when there is a maximum of (a) five and (b) less than five ensemble members with the same signs of ENSO anomaly. Similarly, in plots (c, d), we examine the relationship between ATL forcing and moisture flux over the Arabian Sea  $(F_W)$  for these two cases. To quantify the impact of ATL, we regress out the impact of ENSO from total moisture fluxes (explained in Supplementary Note 1).

we focus on dominant moisture fluxes such as  $F_E$ , which plays a crucial role in regulating 235 ENSO-driven rainfall in the model (Supplementary Figure 1), also shown by Singhai et al. 236 (2023) through analysis of individual ensemble members. Additionally, we examine the role 237 of  $F_W$ , the primary factor driving rainfall during ATL events (Supplementary Figure 2). 238 We then segregate the forcing and moisture fluxes based on years where five and less than 239 five members show the same sign of forcings (same way as in Figure 3). We notice that the 240 correlation between ENSO and  $F_E$  is higher in years when all members are coherent in sign 241 (CC=0.84) than in fewer coherent members (CC=0.42). Hence, the impact of  $F_E$  on the 242 ensemble mean is maintained when all members exhibit consistent signs, while its influence 243 diminishes when there are fewer members with coherent signs. Furthermore, as depicted in 244 Figure 4b, it is evident that the variability of ENSO forcing is significantly reduced when 245 fewer than five ensemble members exhibit the same sign, in contrast to the case when all 246 five members have coherent signs. It is due to the opposite signs of ENSO forcing in the in-247 dividual ensemble members, which tend to cancel out each other, resulting in the decreased 248 variability of ENSO in the <5 case. As depicted in Figure 3b, the number of members 249 with coherent signs is lower for ATL than for ENSO. As a result, the impact of ATL in 250 the ensemble mean is reduced compared to ENSO. This reduction in ATL forcing leads to 251 a weaker response, as shown in Fig 4c and 4d. Moreover, similar to ENSO, the impact of 252

ATL forcing on  $F_W$  is more pronounced when all members have the same anomaly sign, as opposed to when there are fewer coherent sign members. This emphasizes that disparity in the impact of ENSO and ATL forcing on moisture fluxes between the ensemble mean and individual ensemble members is primarily influenced by the maximum number of ensemble members exhibiting a consistent sign of forcing.



Figure 5. The box plot shows the ISMR response to positive and negative phases of ENSO (El Nino and La Nina) and ATL (Warm-ATL and Cold ATL) forcing.

Figure 5 illustrates the response of rainfall to positive and negative phases of ENSO 258 and ATL in both the ensemble mean and individual ensemble members. The relationship 259 between El Nino (La Nina) events and ISMR is observed to be different in the ensemble 260 mean compared to the individual members, with almost all El Nino (La Nina) events leading 261 to a decrease (increase) in ISMR in the former, but this is not the case in the latter. This 262 difference is attributed to the high ENSO-ISMR relationship observed in the ensemble mean, 263 which is a result of a maximum number of members exhibiting the coherent sign (as shown 264 in Figure 3a). This finding also suggests that the model simulates the mean response of 265 positive and negative ENSO phases to ISMR correctly. This response is largely governed 266 by the climate of the Bay of Bengal (Singhai et al. (2023), Figure 4a). Conversely, similar 267 to ENSO events, the rainfall variability sharply decreases in the ensemble mean compared 268

to the individual ensemble member during ATL events. This could be attributed to the suppressed effect of ATL forcing due to the negation of forcing caused by members having opposite anomaly signs. To summarize, the stronger relationship between ENSO and ISMR observed in the ensemble mean is primarily influenced by the agreement among ensemble members with the same ENSO anomaly sign. Nevertheless, the non-ENSO climate forcings present in individual members display substantial variability, leading to a reduction in the strength of non-ENSO signals within the ensemble mean.

<sup>276</sup> 4 Summary and discussions

The primary aim of this study is to address the critical issue of imprudent usage of 277 the ensemble mean approach for evaluating the forecasting skills of climate models. It is 278 observed that relying solely on the ensemble mean method neglects the valuable information 279 embedded within individual ensemble members, potentially leading to erroneous evaluations 280 of the model's teleconnection patterns. Our study highlights a notable case of a strong 281 ENSO-ISMR relationship in the CFSv2 seasonal hindcasts. Previous studies have reported 282 that the CFSv2 model, like many other climate forecast models, is subject to the strong 283 influence of ENSO on ISMR (Kim et al., 2012; R. P. Shukla & Huang, 2016; He et al., 284 2022; Rajendran et al., 2022). Our analysis, however, suggests that this pronounced ENSO-285 ISMR relationship is primarily observed in the ensemble mean, while it is not apparent in 286 the individual ensemble members. Hence, we aim to discern the underlying mechanisms 287 contributing to the distinctive response of ENSO to ISMR in the ensemble mean versus 288 individual ensemble members. 289

This observed discrepancy between the ensemble mean and individual ensemble members attributes to a change in the nature of forcing and its associated response during the computation of the ensemble mean. In particular, the strong relationship between ENSO and ISMR observe in the ensemble mean primarily stems from the consensus among ensemble members regarding the sign of ENSO anomaly. This retains the influence of ENSO in the ensemble mean. Conversely, the significant variability of the non-ENSO forcings in individual members diminishes the strength of non-ENSO signals within the ensemble mean.

Our study highlights the significance of examining individual ensemble members rather than solely relying on the ensemble mean in order to gain a comprehensive understanding of a climate model's characteristics and forecasting abilities. Specifically, we find that the prevalent issue of a strong ENSO-ISMR relationship in many climates models may not necessarily stem from a fundamental lacuna within the model but rather arises from the methodology employed in calculating the ensemble mean.

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### 310 5 Open Research

### 311 Data availability

The rainfall data utilized in the study are obtained from the IMD (https://imdpune 312 .gov.in/ndc\_new/Request.html) and GPCP (https://psl.noaa.gov/data/gridded/data 313 .gpcp.html). The SST dataset is accessible at https://psl.noaa.gov/data/gridded/ 314 data.noaa.ersst.v5.html. The CFSv2 simulations of model M1 are based on following 315 the experimental setup employed by Rajendran et al. (2021) and Singhai et al. (2023), while 316 the NCEP-CFSv2 retrospective runs used for verification purposes are generated by Saha et 317 al. (2010) and are available through NCEP at https://www.ncdc.noaa.gov/data-access/ 318 model-data/model-datasets/climate-forecast-system-version2-cfsv2. 319

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### The curious case of a strong relationship between ENSO and Indian summer monsoon in CFSv2 model

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11 Key Points:

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# In CFSv2, the consensus on ENSO forcing sign among ensemble members effectively represents ENSO's influence in the ensemble mean.

- Non-ENSO climate forcings, despite being present in individual members, vary con siderably, attenuating non-ENSO signals in the ensemble mean.
- Hence, the ensemble mean shows a strong ENSO-ISMR correlation, while individual
   ensemble members do not exhibit the same relationship.

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### 18 Abstract

An ensemble of forecasts is necessary to identify the uncertainty in predicting a non-linear 19 system like climate. While ensemble averages are often used to represent the mean state and 20 diagnose physical mechanisms, they can lead to information loss and inaccurate assessment 21 of the model's characteristics. We highlight an intriguing case in the seasonal hindcasts of 22 the Climate Forecast System version-2. While all ensemble members often agree on the sign 23 of predicted El Nino Southern Oscillation (ENSO) for a particular season, non-ENSO climate 24 forcings, although present in individual members, are disparate. As a result, an ensemble 25 mean retains ENSO anomalies while diminishing non-ENSO signals. This difference between 26 ENSO and non-ENSO predictions and a more decisive impact of ENSO on seasonal climate 27 increases the ensemble mean ENSO-Indian Summer Monsoon Rainfall correlation. Thus, 28 a model's teleconnection skills, which often help interpret physical mechanisms, should be 29 studied using individual members rather than ensemble averages. 30

### <sup>31</sup> Plain Language Summary

When it comes to predicting a chaotic system like climate, we generate a set of fore-32 casts known as an ensemble. Each forecast in the ensemble starts from slightly different 33 initial conditions. To evaluate the performance of the climate model, we often calculate the 34 average of the ensemble. But only looking at the ensemble average can sometimes over-35 look important information and make our evaluations of the climate model less accurate. 36 Here, we presented one such example where the ensemble mean fails to represent the true 37 characteristic of the model. Previous studies reported that the year-to-year variations of 38 the Indian summer monsoon rainfall in many climate models are heavily influenced by the 39 climate of the central and eastern Pacific oceans. However, our analysis reveals that this 40 relationship stems from the methodology used to compute ensemble mean rather than be-41 ing an inherent characteristic of the model. Hence, our study highlights the importance of 42 examining individual ensemble members to evaluate the models' forecasting abilities. 43

### 44 1 Introduction

Ensemble forecasting has become widely adopted for predicting inherently chaotic and 45 non-linear systems like weather and climate (Molteni et al., 1996; Palmer, 2000). This 46 approach involves running a numerical prediction model multiple times with different initial 47 48 conditions or numerical atmospheric representations to address forecast uncertainty (Palmer, 2000; Leutbecher & Palmer, 2008; Weisheimer et al., 2011). Moreover, ensemble averages 49 of forecasts are commonly used to address systematic model errors and represent forecasts 50 as anomalies. This approach relies on the forecast value for a specific start time, lead 51 time, and target period. However, there can be challenges if a forecast ensemble mean is 52 needed for start times that are not included in the hindcasts or if the number of hindcasts 53 is considerably smaller than the variance of the forecast anomaly (Tippett et al., 2018). 54 Despite these challenges, numerous studies have extensively utilized this method to evaluate 55 the model's teleconnection patterns and forecast skill in simulating Indian summer monsoon 56 rainfall (ISMR). 57

The year-to-year variation of ISMR is primarily influenced by low-frequency varia-58 tions in tropical sea surface temperatures (Charney & Shukla, 1981), particularly El Nino 59 Southern Oscillation (ENSO) (Rasmusson & Carpenter, 1983; J. Shukla & Wallace, 1983). 60 However, the impact of these SST variations on monsoons can vary due to the inherent 61 chaotic nature of the climate system. Hence, the generation of ensemble forecasts becomes 62 imperative to estimate the uncertainty associated with the ISMR predictions and to evaluate 63 the model performance in predicting monsoons. Many of the climate models like ECMWF-64 SYSTEM4, North American Multi-Model Intercomparison Project (NMME), and CMIP 65 models heavily rely on ENSO for ISMR prediction (Kim et al., 2012; Pillai et al., 2021; He 66 et al., 2022; Rajendran et al., 2022). Interestingly, some models exhibit an ENSO-ISMR 67 relationship that is nearly twice as strong as observed (Singh et al., 2019). For example, 68 many coupled models of CMIP5 show a similar strong association, which attributes it to the 69 westward shift of the anomalous low-level anticyclonic circulation over the tropical Indian 70

Ocean and western subtropical northwest Pacific. This shift causes an advection of dry 71 air from the extratropics to the Indian region, causing a stronger ENSO-ISMR relationship 72 (Ramu et al., 2018). The NCEP Climate Forecast system version-2 (CFSv2) model also 73 demonstrates an overestimation of this relationship (George et al., 2016; Chattopadhyay et 74 al., 2016), potentially due to an underestimation of synoptic activity over the Bay of Bengal 75 in August, which amplifies the impact of ENSO on ISMR in the model (Das et al., 2022). 76 Furthermore, the ENSO-ISMR relationship in the CFSv2 might also be influenced by SST 77 biases in the equatorial central Pacific and Indian oceans (R. P. Shukla & Huang, 2016). 78 Another study highlighted that the observed fluctuation in the ENSO-ISMR correlation over 79 a longer period could also be ascribed to sampling variability (Cash et al., 2017; Gershunov 80 et al., 2001). This finding is shown using a large ECMWF Ensemble Prediction System 81 ensemble. Several studies also examine the impact of another variability on ISMR in the 82 CFSv2. One of these studies suggests that the model has a problem capturing the air-sea in-83 teraction over the Indian Ocean and low-level winds over the Indian region (Krishnamurthy, 84 2018). Additionally, another study by (Sabeerali et al., 2019) indicates that CFSv2 has poor 85 predictive skills in forecasting the teleconnection between the Atlantic zonal mode and ISM. 86

Although the above studies analyzed the model's teleconnection patterns using the 87 ensemble average of the forecast, relying solely on this approach could lead to the loss 88 of valuable information embedded within the individual ensemble forecasts. Hence, our 89 objective is to investigate whether the ensemble mean of the forecasts reflects the true 90 behavior of the model or displays distinct characteristics when compared to the individual 91 ensemble members. We also want to ascertain whether the model errors discussed earlier 92 are a consequence of inherent limitations in the model or are influenced by the methodology 93 employed to analyze the teleconnection patterns. 94

### <sup>95</sup> 2 Model Description, Experimental Design, and Observational Data sets

We utilize the National Centers for Environmental Prediction (NCEP) CFSv2 model, 96 which is fully coupled and includes the NCEP GFS (Global forecast system) for the atmo-97 spheric component, Geophysical Fluid Dynamics Laboratory Modular Ocean Model version 98 4 for the ocean model, a two-layer sea ice model, and a four-layer Noah land surface model 99 (Saha et al., 2014). GFS has a horizontal resolution of 0.91° and 64 vertical levels. The 100 model simulation is performed at the computing platform of Council for Scientific and In-101 dustrial Research (CSIR) Fourth Paradigm Institute, Bengaluru, following the experimental 102 setup used by Rajendran et al. (2021) and Singhai et al. (2023). The model is integrated for 103 nine months using five different initial conditions for the period of 1979–2016. The initial 104 conditions include 00UTC of 21 April (member 1), 26 April (member 2), 1 May (member 105 3), 6 May (member 4), and 11 May (member 5). This is referred to as "Model 1" or M1 in 106 this study. Additionally, to verify the M1 results, the study also analyzes 124 nine months 107 of reforecast (referred to as "Model 2" or M2) initialized from CFS-based initial conditions 108 every fifth day from 1 January to 31 May, with four reforecasts per day (00, 06, 12, 18 UTC) 109 from 1982 to 2010 (Saha et al., 2010). 110

The objective of this study is to investigate the difference in the model's characteristics in individual ensemble members and their mean. We treat each of the initial conditions reforecasts as a distinct entity to obtain the characteristic of individual members  $(E_{all})$ . Conversely, we calculate the ensemble mean using the following approach:

By assuming the linear superposition of different forcings, such as ENSO, IOD, and ATL, on ISMR, we can express ISMR (P) as follows:

$$P = C_0 + \sum_{j=1}^n C_j f_j \tag{1}$$

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where  $f_j$  is the  $j^{th}$  forcing and  $C_j$  are constants. The term  $C_0$  can be neglected with the removal of the climatological values. If there are m members of an ensemble prediction system, the above equation applies separately to each of the ensemble members. Thus, the ensemble mean  $(E_M)$  for ISMR can be computed in the following way.

$$\bar{P} = \sum_{j=1}^{n} C_j \bar{f}_j \tag{2}$$

Here,  $C_j$  remains unchanged as it represents the model's characteristics, while  $\bar{f}_j$  represents the ensemble mean forcing and is computed by averaging the values across each ensemble member, as shown below.

$$ar{f_j} = rac{1}{m}\sum_{i=1}^m f_i$$

For model validation against observations, we use the June-September (JJAS) averaged Extended reconstructed sea surface temperature (ER-SST) version 5 data to derive the ENSO index (Huang et al., 2017). The India Meteorological Department (IMD) monthly mean gridded rainfall dataset with a spatial resolution of  $1^{\circ} \times 1^{\circ}$  is used to calculate ISMR (Rajeevan et al., 2006). JJAS average GPCP (Global Precipitation Climatology Project) data is also utilized to depict changes in precipitation over land and ocean (Huffman et al., 2009).

### 135 Index calculation

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The area-averaged rainfall over the region  $(7.5^{\circ}-27.5^{\circ}N, 70^{\circ}-90^{\circ}E)$  during the boreal 136 summer monsoon season is used to define ISMR (Parthasarathy et al., 1994). For ISMR 137 computation, only land grid points are considered. The ENSO index is the area-average 138 SST anomaly over the Nino 3.4 region (5°S–5°N, 170°W–120°W). SST anomaly greater 139 (less) than  $0.5^{\circ}$ C (-0.5°C) is classified as El Nino (La Nina). The ATL is defined as the 140 averaged SST anomaly over a region (20°S-Eq, 30°W-20°E) (Kucharski et al., 2008, 2007). 141 The positive (negative) phases of ATL are identified when the JJAS averaged values exceed 142 one (less than minus one) standard deviation. 143

### 144 Likelihood histogram

The likelihood histogram displays the distribution of ensemble members exhibiting coherent behavior, with a threshold of  $0^{\circ}$ C for both the ENSO and ATL indices. For a particular year, we determine the maximum number of ensemble members showing the same sign of anomaly (>0 or <0). For instance, years where all five ensemble members showed either a positive or negative ENSO index, are grouped in bin 5, while the 4 and 3 contained years with fewer coherent members.

### 151 **3 Results**

ENSO has a strong relationship with ISMR, with a correlation coefficient of -0.58, as 152 shown in Fig 1a. However, the majority of the climate models, including CFSv2, overes-153 timate the impact of ENSO on boreal summer monsoon rainfall, as reported in previous 154 studies (Kim et al., 2012; R. P. Shukla & Huang, 2016; He et al., 2022; Rajendran et al., 155 2022). These studies often use the ensemble average method to examine the teleconnec-156 tion patterns in the seasonal and sub-seasonal prediction systems. Although this method 157 effectively reduces the random variations or "noise" inherent in ensemble forecasts, it also 158 results in the loss of important information. For instance, Figure 1a depicts the relationship 159 between ENSO and ISMR for the CFSv2 models 1 (M1) and 2 (M2). This association is 160 shown using both individual  $(E_{all}, \text{ yellow bars})$  and the mean of ensemble members  $(E_M,$ 161 red bars). It should be noted that there is a significant difference in the ENSO-ISMR rela-162 tionship computed from these two methods for both M1 and M2. The correlation coefficient 163 (CC) for the  $E_{all}$  ( $CC_{M1} = -0.55$  and  $CC_{M2} = -0.58$ ) is comparable to that seen in the ob-164 servation (CC=-0.58). However, the relationship is greatly overestimated for  $E_M$ , resulting 165 in a high correlation coefficient of -0.7 (M1) and -0.88 (M2). Furthermore, despite model 166 M2 having a significantly larger number of ensemble members compared to model M1, there 167 is a greater disparity in the correlation coefficient between  $E_M$  and  $E_{all}$  for M2 than for 168 M1. This suggests that the strong relationship between ENSO and ISMR in the CFSv2 169

model, as reported by previous literature, is not a characteristic inherent to the model but
stems from the ensemble average method. In addition, it is worth noting that our primary
finding remains robust and consistent regardless of the number of ensemble members used
in models M1 and M2. This highlights the reliability of our results, despite the potential
impact of the number of ensemble members on the efficacy of the ensemble average method
(Atger, 1999).



Figure 1. (a) The bar plot illustrates the relationship between ENSO and ISMR for the observation, as well as the CFSv2 models M1 (with 5 ensemble members) and M2 (with 124 ensemble members). This relationship is depicted using both individual members ( $E_{all}$ ) and the ensemble mean ( $E_M$ ) of the CFSv2 seasonal hindcasts. (bh) The spatial composite of the correlation coefficient between the ENSO index and precipitation over the South Asian region. Panel (b) represents the observation, panel (c) shows the ensemble mean ( $E_M$ ), and panels (d-h) present the correlation for all five individual ensemble members of model M1 (21 April (Mem 1), 26 April (Mem 2), 1 May (Mem 3), 6 May (Mem 4), and 11 May (Mem 5)). The inset value in (b-h) is for the correlation coefficient (CC) between ISMR and ENSO index for 1979-2016.

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Figure 1b-h displays the spatial patterns showing the response of ENSO to ISMR for observation (Fig. 1b), ensemble mean (Fig. 1c), and the individual ensemble members (Fig. 1d-h) of model M1. Negative values of the correlation coefficient mark the entire Indian region in all three cases. However, these values are significantly higher for the ensemble mean

than for observation and other ensemble members. The negative values in the ensemble 180 mean mainly concentrate on the western ghats and northern parts of the Indian region, 181 particularly over the Indo-Gangetic belt (Fig 1c). The reason for such high negative values 182 in the ensemble mean can be understood by examining the behavior of individual ensemble 183 members. It is worth noting that the response of ENSO to ISMR varies significantly among 184 ensemble members, ranging from -0.37 to -0.62. Member 1 displays the weakest ENSO 185 response to ISMR with a CC of -0.37. In contrast, other members show a considerably 186 strong relationship, albeit weaker than the ensemble mean. In addition, the negative CC 187 among the ensembles, particularly for members 2, 4, and 5, are heterogeneously clustered 188 over northern India. As a result, when the ensemble average is computed, the negative 189 values are superimposed in a manner that causes the ENSO-ISMR relationship to be higher 190 in the ensemble mean than in the individual members. 191



Figure 2. (a) The probability density function (PDF) of the correlation coefficient (CC) between ENSO forcing and Indian summer monsoon rainfall (ISMR). This analysis is based on a 38-year sample extracted from a total of 190 individual ensemble members. This process is randomized and repeated over 1000 iterations. Additionally, the CC values for the observation, the ensemble mean, and all five individual ensemble members (21 April (Mem 1), 26 April (Mem 2), 1 May (Mem 3), 6 May (Mem 4), and 11 May (Mem 5)) for the period of 1979–2016 are also indicated as markers. (b) The bar plot shows the ENSO-ISMR relationship when all 5 and less than 5 ensemble members exhibit the same sign of ENSO anomalies. The year distribution of the cases where there are 5 and <5 members having the same sign of ENSO anomalies are shown in Figure 3.

Figure 2a shows the probability distribution of the potential ENSO-ISMR correlation 192 coefficients, generated by randomly selecting 38 years from the ensemble forecast of 190 193 years (38 years  $\times$  five initial conditions). This process is randomized and repeated over 1000 194 times. Interestingly, the maximum likelihood of getting the correlation coefficient between 195 ENSO-ISMR is -0.55 (mode), which is similar to the correlation corresponding to the 196 observation and individual ensemble members. Additionally, four out of the five ensemble 197 members are clustered around the mode value. The probability of getting the correlation 198 coefficient of the ensemble mean (CC=-0.7) is much lower than that of CC computed 199 using individual ensemble members (CC = -0.55). Once again, this finding confirms that 200 the strong relationship between ENSO and ISMR observed in  $E_M$  is not an intrinsic feature 201 of the CFSv2 model. 202



Figure 3. The histograms show the distribution of the maximum number of ensemble members exhibiting the same signs of an anomaly for (a) ENSO Index (N34) and (b) Atlantic tropical variability (ATL).

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To investigate the differing response of ENSO on ISMR between the ensemble mean and individual ensemble members, we generate a histogram in Figure 3a to examine the behavior of each individual member under ENSO forcing. Additionally, since external forcings such as ATL can suppress the influence of ENSO on ISMR (Kucharski et al., 2008), the histogram

for ATL is also shown in Fig 3b. Our analysis shows that there is a high probability (around 207 66%) of obtaining the same sign of anomaly (either N34>0 or N34<0) by all five ensembles 208 under ENSO forcing. Our analysis shows that there is a high probability (around 66%) of 209 obtaining the same signs of anomaly (either N34>0 or N34<0) for each year across all five 210 ensemble members under ENSO forcing. This leads to the ENSO forcing dominating the 211 ensemble mean over individual members. As a result, the ENSO-ISMR relationship in the 212 ensemble mean is majorly determined by these five coherent members (CC5 = -0.77, Figure 213 2c). This leads to the retainment of the ENSO forcing in the ensemble mean, leading to a 214 pronounced ENSO-ISMR relationship. This relationship in the ensemble mean is majorly 215 determined by the years where all five ensemble members exhibit the coherent anomaly 216 signs (CC5=-0.77, Figure 2c). In contrast, the contribution of members showing incoherent 217 behavior (<5) is negligible ( $CC_{<5} = -0.08$ , Figure 2b). Notably, we also observe that the 218 ENSO-ISMR relationship derived from the ensemble mean of the incoherent member (<5)219 is weaker than that computed from individual members (Fig 2b). This can be due to the 220 cancellation of the ENSO forcing caused by the varying responses of ENSO among different 221 ensemble members. On the other hand, for non-ENSO forcing, such as ATL, the likelihood 222 of all five ensemble members exhibiting the same sign is much lower than ENSO forcing 223 (Fig 3b). This may be due to non-linear processes over the Atlantic Oceans, contributing to 224 the model's differing behavior among ensembles. Hence, in the case of non-ENSO forcing, 225 even though it exists in individual members, it shows significant variability, resulting in the 226 weakening of non-ENSO signals in the ensemble mean. 227

External climatic forcings such as ENSO and ATL tend to perturb the surface pressure patterns surrounding the Indian region, leading to modifications in the incoming and outgoing moisture fluxes (Chakraborty & Singhai, 2021). These fluxes, primarily from the Arabian Sea  $(F_W)$  and the Bay of Bengal  $(F_E)$ , play a vital role in driving atmospheric convection over India during the boreal summer monsoon. Figure 4 shows a scatter plot that facilitates the examination of potential disparities in the responses of ENSO and ATL to moisture fluxes between individual members and the ensemble mean. To accomplish this,



Figure 4. The scatter plots show the relationship between the ENSO index and moisture flux over the Bay of Bengal  $(F_E)$  when there is a maximum of (a) five and (b) less than five ensemble members with the same signs of ENSO anomaly. Similarly, in plots (c, d), we examine the relationship between ATL forcing and moisture flux over the Arabian Sea  $(F_W)$  for these two cases. To quantify the impact of ATL, we regress out the impact of ENSO from total moisture fluxes (explained in Supplementary Note 1).

we focus on dominant moisture fluxes such as  $F_E$ , which plays a crucial role in regulating 235 ENSO-driven rainfall in the model (Supplementary Figure 1), also shown by Singhai et al. 236 (2023) through analysis of individual ensemble members. Additionally, we examine the role 237 of  $F_W$ , the primary factor driving rainfall during ATL events (Supplementary Figure 2). 238 We then segregate the forcing and moisture fluxes based on years where five and less than 239 five members show the same sign of forcings (same way as in Figure 3). We notice that the 240 correlation between ENSO and  $F_E$  is higher in years when all members are coherent in sign 241 (CC=0.84) than in fewer coherent members (CC=0.42). Hence, the impact of  $F_E$  on the 242 ensemble mean is maintained when all members exhibit consistent signs, while its influence 243 diminishes when there are fewer members with coherent signs. Furthermore, as depicted in 244 Figure 4b, it is evident that the variability of ENSO forcing is significantly reduced when 245 fewer than five ensemble members exhibit the same sign, in contrast to the case when all 246 five members have coherent signs. It is due to the opposite signs of ENSO forcing in the in-247 dividual ensemble members, which tend to cancel out each other, resulting in the decreased 248 variability of ENSO in the <5 case. As depicted in Figure 3b, the number of members 249 with coherent signs is lower for ATL than for ENSO. As a result, the impact of ATL in 250 the ensemble mean is reduced compared to ENSO. This reduction in ATL forcing leads to 251 a weaker response, as shown in Fig 4c and 4d. Moreover, similar to ENSO, the impact of 252

ATL forcing on  $F_W$  is more pronounced when all members have the same anomaly sign, as opposed to when there are fewer coherent sign members. This emphasizes that disparity in the impact of ENSO and ATL forcing on moisture fluxes between the ensemble mean and individual ensemble members is primarily influenced by the maximum number of ensemble members exhibiting a consistent sign of forcing.



Figure 5. The box plot shows the ISMR response to positive and negative phases of ENSO (El Nino and La Nina) and ATL (Warm-ATL and Cold ATL) forcing.

Figure 5 illustrates the response of rainfall to positive and negative phases of ENSO 258 and ATL in both the ensemble mean and individual ensemble members. The relationship 259 between El Nino (La Nina) events and ISMR is observed to be different in the ensemble 260 mean compared to the individual members, with almost all El Nino (La Nina) events leading 261 to a decrease (increase) in ISMR in the former, but this is not the case in the latter. This 262 difference is attributed to the high ENSO-ISMR relationship observed in the ensemble mean, 263 which is a result of a maximum number of members exhibiting the coherent sign (as shown 264 in Figure 3a). This finding also suggests that the model simulates the mean response of 265 positive and negative ENSO phases to ISMR correctly. This response is largely governed 266 by the climate of the Bay of Bengal (Singhai et al. (2023), Figure 4a). Conversely, similar 267 to ENSO events, the rainfall variability sharply decreases in the ensemble mean compared 268

to the individual ensemble member during ATL events. This could be attributed to the suppressed effect of ATL forcing due to the negation of forcing caused by members having opposite anomaly signs. To summarize, the stronger relationship between ENSO and ISMR observed in the ensemble mean is primarily influenced by the agreement among ensemble members with the same ENSO anomaly sign. Nevertheless, the non-ENSO climate forcings present in individual members display substantial variability, leading to a reduction in the strength of non-ENSO signals within the ensemble mean.

<sup>276</sup> 4 Summary and discussions

The primary aim of this study is to address the critical issue of imprudent usage of 277 the ensemble mean approach for evaluating the forecasting skills of climate models. It is 278 observed that relying solely on the ensemble mean method neglects the valuable information 279 embedded within individual ensemble members, potentially leading to erroneous evaluations 280 of the model's teleconnection patterns. Our study highlights a notable case of a strong 281 ENSO-ISMR relationship in the CFSv2 seasonal hindcasts. Previous studies have reported 282 that the CFSv2 model, like many other climate forecast models, is subject to the strong 283 influence of ENSO on ISMR (Kim et al., 2012; R. P. Shukla & Huang, 2016; He et al., 284 2022; Rajendran et al., 2022). Our analysis, however, suggests that this pronounced ENSO-285 ISMR relationship is primarily observed in the ensemble mean, while it is not apparent in 286 the individual ensemble members. Hence, we aim to discern the underlying mechanisms 287 contributing to the distinctive response of ENSO to ISMR in the ensemble mean versus 288 individual ensemble members. 289

This observed discrepancy between the ensemble mean and individual ensemble members attributes to a change in the nature of forcing and its associated response during the computation of the ensemble mean. In particular, the strong relationship between ENSO and ISMR observe in the ensemble mean primarily stems from the consensus among ensemble members regarding the sign of ENSO anomaly. This retains the influence of ENSO in the ensemble mean. Conversely, the significant variability of the non-ENSO forcings in individual members diminishes the strength of non-ENSO signals within the ensemble mean.

Our study highlights the significance of examining individual ensemble members rather than solely relying on the ensemble mean in order to gain a comprehensive understanding of a climate model's characteristics and forecasting abilities. Specifically, we find that the prevalent issue of a strong ENSO-ISMR relationship in many climates models may not necessarily stem from a fundamental lacuna within the model but rather arises from the methodology employed in calculating the ensemble mean.

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### 310 5 Open Research

### 311 Data availability

The rainfall data utilized in the study are obtained from the IMD (https://imdpune 312 .gov.in/ndc\_new/Request.html) and GPCP (https://psl.noaa.gov/data/gridded/data 313 .gpcp.html). The SST dataset is accessible at https://psl.noaa.gov/data/gridded/ 314 data.noaa.ersst.v5.html. The CFSv2 simulations of model M1 are based on following 315 the experimental setup employed by Rajendran et al. (2021) and Singhai et al. (2023), while 316 the NCEP-CFSv2 retrospective runs used for verification purposes are generated by Saha et 317 al. (2010) and are available through NCEP at https://www.ncdc.noaa.gov/data-access/ 318 model-data/model-datasets/climate-forecast-system-version2-cfsv2. 319

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## *Supplement of* "The curious case of a strong relationship between ENSO and Indian summer monsoon in CFSv2 model"

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### 1 Supplementary Note 1

### 1.1 Moisture flux computation

The variability of ISMR is influenced by external climatic forcing, which alters the surface pressure gradient surrounding the Indian region and thus modifies the boundary moisture fluxes. The incoming moisture flux over the Arabian Sea ( $F_W$ ) and the

5 outflux over the Bay of Bengal ( $F_E$ ) plays significant in controlling moisture convergence over the Indian region. We compute the vertically integrated moisture flux at the western (70°E) and eastern (90°E) boundaries using the following method:

$$F = \int_{P_{sfc}}^{P_{top}} (qu) \, \mathrm{d}p/g$$

10

Here, the q and u vectors represent the specific humidity (kg/kg) and winds vector (m/s) at the respective boundaries. The integration is performed from the surface  $(P_{sfc})$  to the top of the atmosphere  $(P_{top})$ , which is set to 100 hPa. All variables are detrended, and departures from the monthly mean are considered over the entire period.

### 1.2 ENSO and Non-ENSO components

To determine the impact of tropical variability apart from ENSO, we remove the linear dependence of ENSO from all variables. This involves computing the residual time series of all variables, such as ISMR and moisture flux.

$$Res(t) = M(t) - ENSO(t), \tag{1}$$

$$ENSO(t) = b.Nino34(t), \tag{2}$$

where Res(t) is residual at time t, M(t) and ENSO(t) are variables and ENSO time series, respectively. Equation 2 uses the least-squares linear fit to obtain the value of b. This method helps us examine ATL's role in modulating the Indian monsoon, as shown in Figures ?? and ??. It is important to note that we have not regressed the impact of ENSO on SST representing ATL, as it follows a standard definition.



Supplementary Figure 1. The scatter plot shows the relationship between the ENSO index and moisture flux over the Arabian Sea ( $F_W$ ) when there is a maximum of (a) five and (b) less than five ensemble members with the same signs of ENSO anomaly.



Supplementary Figure 2. The scatter plot shows the relationship between the ATL forcing and moisture flux over the Bay of Bengal  $(F_E)$  when there is a maximum of (a) five and (b) less than five ensemble members with the same signs of ATL anomaly.