Surface turbulent fluxes from the MOSAiC campaign predicted by machine learning

Donald P. Cummins¹, Virginie Guemas², Christopher J. Cox³, Michael R Gallagher⁴, and Matthew D. Shupe⁵

¹CNRM, Université de Toulouse, Météo-France, CNRS ²Barcelona Supercomputing Center ³NOAA-PSL ⁴CIRES/NOAA-ESRL ⁵University of Colorado Boulder

October 27, 2023

Surface turbulent fluxes from the MOSAiC campaign predicted by machine learning

Donald P. Cummins¹, Virginie Guemas¹, Christopher J. Cox², Michael R. Gallagher^{2,3}, Matthew D. Shupe^{2,3}

5	$^1\mathrm{CNRM},$ Université de Toulouse, Météo-France, CNRS, Toulouse, France
6	2 National Oceanic and Atmospheric Administration, Physical Sciences Laboratory, Boulder, CO, USA
7	³ Cooperative Institute for Research in Environmental Sciences, University of Colorado, Boulder, CO, USA

Key Points:

3

4

9	• Neural networks trained on previous Arctic campaigns predict surface turbulent
10	fluxes from MOSAiC more accurately than bulk methods.
11	• Updated parametrizations using the MOSAiC data have been developed and im-
12	plemented in Fortran for deployment in weather/climate models.
13	- Modest performance gains (up to $+7\%~\mathrm{R^2})$ from recalibration on MOSAiC indi-
14	cate good generalizability to the pan-Arctic sea ice domain.

Corresponding author: Donald P. Cummins, donald.cummins@meteo.fr

15 Abstract

Reliable boundary-layer turbulence parametrizations for polar conditions are needed to 16 reduce uncertainty in projections of Arctic sea ice melting rate and its potential global 17 repercussions. Surface turbulent fluxes of sensible and latent heat are typically repre-18 sented in weather/climate models using bulk formulae based on the Monin-Obukhov Sim-19 ilarity Theory (MOST), sometimes finely tuned to high stability conditions and the po-20 tential presence of sea ice. In this study, we test the performance of new, machine-learning 21 (ML) flux parametrizations, using an advanced polar-specific bulk algorithm as a base-22 line. Neural networks, trained on observations from previous Arctic campaigns, are used 23 to predict surface turbulent fluxes measured over sea ice as part of the recent MOSAiC 24 expedition. The ML parametrizations outperform the bulk at the MOSAiC sites, with 25 RMSE reductions of up to 70 percent. We provide a plug-in Fortran implementation of 26 the neural networks for use in models. 27

²⁸ Plain Language Summary

Heat can make its way into or out of sea ice via unpredictable air movements, known 29 as turbulence, near the sea surface. In order to predict how quickly Arctic sea ice will 30 melt in the future, we need to know how much heat the turbulence can transport in dif-31 ferent weather conditions. Traditionally, turbulence calculations have been performed 32 using sophisticated mathematical formulae from physics. In this study, we test an alter-33 native method for predicting turbulent heat exchange: a computer algorithm known as 34 an artificial neural network. By showing turbulence data, measured in the Arctic dur-35 ing previous scientific expeditions, to the network, it can be "trained" to make predic-36 tions in a process known as machine learning. We compare turbulence measurements, 37 taken above sea ice in the recent MOSAiC expedition, with predictions from trained neu-38 ral networks. We find that the neural networks are better than the traditional physics 39 at predicting what the scientists at MOSAiC observed. The trained neural networks have 40 been made publicly available so that they can be used by scientists for predicting climate 41 change. 42

-2-

43 1 Introduction

The polar regions, in particular the Arctic, are on the front line of the climate cri-44 sis. In recent decades, the rate of surface warming in the Arctic has been two to four times 45 higher than the global mean (Rantanen et al., 2022), a phenomenon known as Arctic am-46 plification (e.g., Serreze & Francis, 2006; Graversen et al., 2008; Serreze & Barry, 2011). 47 Alongside rising temperatures have occurred losses of around 50 percent in both thick-48 ness and extent of Arctic sea ice at the end of summer since satellite records began (Gascard 49 et al., 2019). The rate of Arctic sea ice loss in the coming decades remains highly un-50 certain (Bonan, Lehner, & Holland, 2021; Bonan, Schneider, et al., 2021), however the 51 consequences are expected to be severe: for local ecosystems (Kovacs et al., 2011; Post 52 et al., 2013; Tynan, 2015); for indigenous peoples (Meier et al., 2014); and, potentially, 53 for lower-latitude climate (Cohen et al., 2014; Jung et al., 2015; Cohen et al., 2020; Liu 54 et al., 2022). Heat exchanges between sea ice and the atmosphere are a key driver of the 55 Arctic amplification (e.g., Serreze et al., 2009; Lesins et al., 2012; Previdi et al., 2021) 56 and determine the sea ice melting rate (e.g., Rothrock et al., 1999; Screen & Simmonds, 57 2010). 58

Turbulent exchanges of heat and momentum in the planetary boundary layer are 59 not directly simulated in weather/climate models, but are instead represented through 60 parametrizations, typically bulk formulae based on the Monin-Obukhov Similarity The-61 ory (MOST, Monin & Obukhov, 1954; Garratt, 1994). Such parametrizations are semi-62 empirical: although the MOST provides dimensionless relationships, their final forms can-63 not be determined without recourse to observational data (e.g., calibration of roughness 64 models and stability functions). The polar boundary layer is influenced by the presence 65 of sea ice and is characterized by high stability and often intermittent turbulence (e.g., 66 Andreas, 1998). Polar-specific stability functions have been proposed (Grachev et al., 67 2007), as well as formulations of surface roughness (e.g., Andreas, 1987; Andreas, Pers-68 son, et al., 2010; Andreas, 2011). More recently, parametrizations have been developed 69 that account for form drag arising from alternating sea ice floes and leads (e.g., Lüpkes 70 et al., 2012; Lüpkes & Gryanik, 2015; Elvidge et al., 2016). Use of polar-specific turbu-71 lence parametrizations has been found to reduce biases in atmospheric models (Renfrew 72 et al., 2019; Elvidge et al., 2023), however adoption of these advanced parametrizations 73 in climate models has until recently been limited. The historic scarcity of observations 74 in the Arctic likely goes some way to explaining modelers' caution, yet there are also long-75

standing unresolved problems with modeling even homogeneous stable boundary layers
(e.g., the GABLS experiments, Cuxart et al., 2006; Svensson et al., 2011; Bosveld et al.,
2014).

Outside the polar regions, where observations have historically been more readily 79 available, machine learning (ML) has emerged in recent years as an alternative strategy 80 for parametrizing boundary-layer processes (Pal & Sharma, 2021). The basic idea of the 81 ML or *data-driven* approach is that, given sufficient observational data, statistical al-82 gorithms can be used to directly infer empirical relationships between quantities of in-83 terest, such as surface turbulent fluxes, and mean meteorological variables such as tem-84 perature, humidity, etc. Recent studies have found that ML parametrizations, based on 85 artifical neural networks (ANNs), can predict surface turbulent fluxes measured at me-86 teorological towers in extra-polar regions with greater accuracy than bulk algorithms based 87 on the MOST (Leufen & Schädler, 2019; McCandless et al., 2022; Wulfmeyer et al., 2022). 88 These findings were extended to the Arctic by Cummins et al. (2023), hereafter C23, who 89 showed that, even with the relatively small volume of data collected in previous Arctic 90 campaigns, it is nevertheless possible to train ANNs that can outperform a polar-specific 91 bulk algorithm. 92

The present study is motivated by the recent publication of surface turbulent flux 93 observations collected at the Multidisciplinary drifting Observatory for the Study of Arc-94 tic Climate (MOSAiC, Shupe et al., 2022). The MOSAiC dataset provides a unique op-95 portunity to test the hypothesis, motivated by the encouraging results of C23, that ML 96 parametrizations trained on data with limited spatiotemporal scope in the data-sparse 97 Arctic are broadly applicable to the pan-Arctic sea ice domain. In this paper, we em-98 ploy the MOSAiC data first to validate the performance of the ANNs of C23, using a 99 MOST-based bulk algorithm as a baseline. We then incorporate the MOSAiC data into 100 the ANN training set to generate an improved set of flux parametrizations for use in po-101 lar conditions (see Code Availability Statement). The remainder of this paper is orga-102 nized as follows. Section 2 briefly recaps the datasets used in C23 and introduces the new 103 MOSAiC data. Section 3 describes the ML and bulk algorithm flux parametrizations used 104 in this study and the statistical methods used to evaluate their performance. Section 4 105 presents the results. Conclusions and recommendations for modelers are given in Sec-106 tion 5. 107

-4-

108 2 Data

109

2.1 Pre-MOSAiC observational campaigns

C23 trained and validated ANN models using surface turbulent flux measurements 110 from four observational campaigns conducted over Arctic sea ice: Surface Heat Budget 111 of the Arctic Ocean (SHEBA, Andreas et al., 1999; Persson et al., 2002; Uttal et al., 2002); 112 Aerosol-Cloud Coupling and Climate Interactions in the Arctic (ACCACIA, Elvidge et 113 al., 2016); Arctic Cloud in Summer Experiment (ACSE, Sotiropoulou et al., 2016; Pry-114 therch et al., 2017); and Arctic Ocean 2016 (AO16, Tjernström & Jakobsson, 2021; Sri-115 vastava et al., 2022). These datasets sample a range of seasons and meteorological con-116 ditions in the Arctic. The sea ice varies in concentration (between zero and one), as well 117 as in its morphology. For example, the ice surrounding the year-long SHEBA camp was 118 compact and snow-covered in winter (Andreas, Persson, et al., 2010), but littered with 119 deep melt ponds and leads in summer (Andreas, Horst, et al., 2010). It should be noted 120 that C23 omitted from the training set observations in ACCACIA that were collected 121 at heights > 30 m above the surface. Surface turbulent fluxes in climate models are typ-122 ically calculated much closer to the surface (e.g., ~ 10 m in CNRM-CM6-1, Voldoire 123 et al., 2019; Roehrig et al., 2020). Satellite estimates of sea ice concentration were ob-124 tained from the National Snow and Ice Data Center (NSIDC, Meier et al., 2021). 125

126

2.2 MOSAiC

For the MOSAiC expedition, the icebreaker RV Polarstern was frozen into the Arc-127 tic sea ice and drifted with it for most of a year between Oct 2019 and Oct 2020. The 128 original ice floe, on which the MOSAiC camp was established in Oct 2019, exited into 129 the North Atlantic in late July 2020. Polarstern then repositioned near the North Pole 130 at a new ice floe for August and September 2020. Various scientific research sites were 131 established on the ice surrounding the ship, in a fashion similar to SHEBA although on 132 a larger scale. As part of MOSAiC, extensive measurements were taken of the Arctic at-133 mospheric system (Shupe et al., 2022). Surface turbulent fluxes of momentum, sensible 134 heat and latent heat were computed at multiple locations using eddy-covariance tech-135 niques together with high-frequency (sampling rates of 10-20 Hz) observations from ul-136 trasonic anemometers. Eddy covariances were computed over 10-minute sampling pe-137 riods. Turbulence measurements were made at a meteorological tower with sensors at 138

2, 6 and 10 m above the initial snow/ice surface. Data from all three tower levels were 139 used in this study. Flux measurements were also taken at 3.8 m at the three Atmospheric 140 Surface Flux Stations (ASFS), analogous to the Portable Automated Mesonet (PAM) 141 stations in SHEBA. ASFS 30/40 were deployed at ~ 13 km from the tower and ASFS50 142 at ~ 23 km. Note that, due to accumulation and ablation of snow, the actual measure-143 ment heights varied over time. MOSAiC data used in this study were subject to Level3.4 144 quality control (see Data Availability Statement). MOSAiC increased the size of the C23 145 flux database by a factor of five for momentum and sensible heat and four for latent heat. 146

$\mathbf{3}$ **Methods**

Surface turbulent fluxes are typically computed in climate models through bulk al-148 gorithms using wind, temperature and humidity at the single model level closest to the 149 surface (e.g., in the SURFEX module in CNRM-CM6-1, Voldoire et al., 2019). The MOST, 150 or a simplified version thereof, may then be used to extrapolate the vertical profiles of 151 meteorological variables in the surface layer (Geleyn, 1988). Flux parametrizations in 152 this study have been developed as plug-in replacements for bulk algorithms and there-153 fore expect similar inputs. For the MOSAiC ASFS data, the wind and temperature/humidity 154 measurements were made at different heights above the snow/ice surface (3.86 and 2.13/1.84)155 m respectively). While this doesn't preclude a direct application of the bulk approach 156 (since the MOST does not require measurements of those variables at the same height), 157 it means that some pre-processing is required before the ANNs of C23 can be used. The 158 wind speed, measured at a single height, was not interpolated. Instead, the temperature/humidity 159 measurements were linearly extrapolated to 3.86 m, using the observed gradient between 160 the surface and the measurement height. Surface specific humidity was computed from 161 temperature and pressure using the *meteolib* Python library (see Code Availability State-162 ment). More sophisticated alternatives include a logarithmic extrapolation, or one based 163 on the full MOST. However, our own numerical tests, conducted using equivalent mea-164 surements at 2 and 6 m on the meteorological tower, found the linear extrapolation to 165 outperform the logarithmic in a root-mean-square error (RMSE) sense. Using the MOST 166 approach would naturally introduce a bias in favour of that methodology. Different sen-167 sors were also mounted at slightly different heights around the nominal height of each 168 tower level. Taking the heights of different sensors as the measurement height was found 169 to have a small impact on the accuracy of flux predictions ($\pm 10\%$ RMSE). In the final 170

-6-

analysis, it was decided to use the nominal heights of the tower levels, corrected for snow
thickness, which is consistent with how C23 treated data from the meteorological tower
of the SHEBA campaign.

C23 developed ML flux parametrizations based on single-layer, feed-forward ANNs 174 with four nodes in the hidden layer. For a high-level introduction to statistical model-175 ing with neural networks, see Hastie et al. (2009) or Kuhn and Johnson (2013). These 176 models are general-purpose non-linear functions (Hornik et al., 1989), permitting a high 177 degree of variable interaction, and containing 37 tuneable parameters. Each ANN takes 178 seven mean meteorological variables as inputs: the measurement height z; absolute hor-179 izontal windspeed u(z); potential temperatures $\theta(z)$, θ_s of the air and at the surface re-180 spectively; specific humidities q(z), q_s ; and the sea ice concentration C_i , determined over 181 a $25 \times 25 \text{ km}^2$ domain. The relative importance of the different inputs to the bulk and 182 ANN methods was explored by C23, who found that the ANNs depend less critically on 183 the vertical gradients. The models were trained on the pre-MOSAiC data using the *nnet* 184 library for the statistical programming language R (Venables & Ripley, 2002; R Core Team, 185 2021). A weight decay of $\lambda = 0.01$ was used for regularization and the networks were 186 fitted in ensembles of 100 models to reduce variability due to random parameter initial-187 ization (Ripley, 1996). The fitted ANNs output turbulent fluxes of momentum u_{\star}^2 , sen-188 sible heat $u_{\star}\theta_{\star}$ and latent heat $u_{\star}q_{\star}$. Predicted fluxes are returned in kinematic units, 189 i.e. in the same units as the measured eddy covariances, and hence are written here in 190 terms of the MOST scaling parameters $u_{\star}, \theta_{\star}, q_{\star}$. 191

The polar-specific bulk algorithm, used in this study as a baseline against which 192 to compare the ANNs, is the same as that described in C23. Over open water, the it-193 erative COARE 3.0 algorithm is used (Fairall et al., 2003; Edson et al., 2013), with sta-194 bility functions from Grachev et al. (2000) in unstable conditions and from Beljaars and 195 Holtslag (1991) in stable conditions. The COARE 3.0 algorithm has been well tested over 196 the years and is currently in use in large-scale climate models, including CNRM-CM6-197 1. Bulk transfer coefficients are initialized using a non-iterative estimate of the stabil-198 ity (Grachev & Fairall, 1997). Over sea ice, the stability function from Grachev et al. 199 (2007) is used in stable conditions, as well as the scalar roughness model of Andreas (1987) 200 and the aerodynamic roughness model of Andreas, Persson, et al. (2010). For partial sea 201 ice concentrations, we use the *mosaic* approach (e.g., Vihma, 1995), whereby we take a 202 weighted average of fluxes computed over open water and over sea ice, with the weight-203

-7-

ing given by the sea ice concentration. An additional form drag contribution is included 204 when computing the momentum flux, to account for the influence of intermittent sea ice 205 coverage (Lüpkes & Gryanik, 2015). Intermittent ice coverage is associated with verti-206 cal ice surfaces that tend to increase turbulence. This bulk algorithm is available for down-207 load as a Python library (see Code Availability Statement). Compared against estimates 208 from unmodified COARE 3.0, momentum flux estimates from our bulk algorithm have 209 lower RMSE at the MOSAiC sites (up to a 16% reduction). The polar-specific compo-210 nents have less impact on the heat fluxes: there is a 99% correlation between our heat 211 fluxes and those from COARE 3.0. The results of our comparison with ML in Section 212 4 are robust to the use / non-use of polar-specific components in the bulk algorithm. 213

In C23, the ML and bulk algorithm flux parametrizations were tested using a campaign-214 wise cross-validation scheme. Each campaign (or measurement site in the case of SHEBA) 215 was left out of the training set in turn and the trained models validated on that cam-216 paign. Flux predictions from the two methods, together with measured eddy covariances, 217 were used to compute performance metrics, such as RMSE, mean absolute error (MAE) 218 and Pearson correlation. Since the MOSAiC data were not involved in the calibration 219 of either parametrization, they constitute an independent test set and are therefore ideal 220 for model validation and comparison. Mean meteorological variables, measured at each 221 of the MOSAiC sites, were supplied as input variables and predicted fluxes calculated. 222 In addition to these truly out-of-sample predictions, further flux estimates were obtained 223 from ANNs fitted to MOSAiC-augmented training sets: for each site in MOSAiC, an ANN 224 model was fitted to a training set comprising the pre-MOSAiC data plus all MOSAiC 225 data not observed at that site. Iterating over the MOSAiC sites then gives a complete 226 set of out-of-sample predictions, which allows us to quantify any gains in predictive power 227 obtained from the MOSAiC data. 228

229 4 Results

Performance metrics, computed for the bulk algorithm and ANN parametrizations at each of the MOSAiC sites, are given in Table 1. Figures 1-3 show two-dimensional histograms of predicted fluxes against measured eddy covariances at each site. Note that results at the meteorological tower do not differ qualitatively between tower levels in terms of patterns/biases, however there is a small dependence of predictive accuracy on measurement height. Specifically, both the bulk algorithm and neural network methods have

-8-

Table 1. Predictive performance of neural network (nnet/nnet+), a	nnet/nnet+), and Monin-Obukhov (bulk) flux parametrizations at the MOSAiC sites in kinematic units. The
nnet+ columns show results for ANNs trained using MOSAiC-augmer	OSAIC-augmented data. Boldface indicates a better score in one of root-mean-square error (RMSE), mean
absolute error (MAE) or Pearson correlation. Using bootstrapping, all	otstrapping, all score differences were found to be statistically significant at the five-percent level (Davison $\&$
Hinkley, 1997). Note that direct measurements of u_*q_* are not available	are not available at ASFS40.

n nnet+	0.92	0.93	0.93	0.89	0.85	0.78	0.80	0.73	0.63	0.70	0.50
relation	0.92	0.93	0.94	0.89	0.81	0.74	0.81	0.71	0.63	0.67	0.48
coı bulk	0.92	0.94	0.93	0.90	0.80	0.70	0.77	0.69	0.75	0.79	0.67
nnet+	0.019	0.017	0.014	0.023	0.0033	0.0041	0.0036	0.0038	7.85E-07	4.63E-07	3.43E-07
MAEnnet	0.018	0.019	0.013	0.023	0.0043	0.0052	0.0033	0.0040	5.83E-07	4.96E-07	3.53E-07
bulk	0.021	0.020	0.015	0.025	0.0066	0.0089	0.0041	0.0046	2.21 E-06	$6.55 \text{E}{-}07$	2.97E-07
nnet+	0.033	0.029	0.025	0.044	0.0045	0.0058	0.0049	0.0062	1.06E-06	8.50E-07	5.87E-07
RMSE nnet	0.035	0.034	0.027	0.047	0.0057	0.0071	0.0045	0.0066	8.43E-07	8.93E-07	5.94E-07
bulk	0.039	0.035	0.031	0.049	0.0091	0.0113	0.0056	0.0073	3.20E-06	9.88E-07	5.30E-07
samples	22161	18498	18871	66777	22161	18498	18871	66777	2692	3436	33185
site	ASFS30	ASFS40	ASFS50	met. tower	ASFS30	ASFS40	ASFS50	met. tower	ASFS30	ASFS50	met. tower
	u_{\star}^2	¢			$u_{\star} heta_{\star}$				$n_{\star}q_{\star}$		

slightly lower RMSE ($\sim 10\%$) when applied at 10 m compared with 2 m. This is as ex-236 pected: the 10-m differences of the meteorological variables are larger than the corre-237 sponding 2 m differences, so if the measurement errors at the different levels are simi-238 lar in magnitude then the 10-m differences should have lower relative error. Any inac-239 curacies in the estimated measurement heights should also be proportionally smaller at 240 10 m. Overall, the results are encouraging, with the ANN parametrizations consistently 241 delivering performance improvements over the bulk algorithm, particularly in the sta-242 ble conditions which predominate in MOSAiC. 243

Both methods produce similar estimates of the momentum flux u_{\star}^2 and the two-244 dimensional histograms in Figure 1 share common features, such as a conservative bias 245 (systematic underprediction of larger fluxes). However, the ANNs achieve a lower RMSE 246 at all the MOSAiC sites: a result which is robust under bootstrap resampling (Davison 247 & Hinkley, 1997). The conservative bias of the ANNs was noted by C23 and is a known 248 property of the models. In short, the ANNs have an inbuilt reluctance to extrapolate when 249 faced with a combination of inputs not seen in training. That the bulk algorithm also 250 underpredicts u^2_{\star} is unexpected and warrants investigation (see final paragraph of this 251 section). Augmenting the ANN training set with data from MOSAiC reduces the RMSE 252 of the ANNs at all sites and produces a visible attenuation of the conservative bias for 253 larger fluxes. This result indicates that the conditions conducive to large u_{\star}^2 were con-254 sistent across the MOSAiC sites. 255

The ANN parametrization outperforms the bulk algorithm as an estimator of the 256 sensible heat flux $u_{\star}\theta_{\star}$, with RMSE 10-40 percent lower across the sites. It can be seen 257 from Figure 2 that the improvements over the bulk are particularly apparent at the ASFS30 258 and ASFS40 stations. As was the case for u_{\star}^2 , the prediction errors of the two $u_{\star}\theta_{\star}$ parametriza-259 tions share common features, including some clearly non-random deviations from the line 260 y = x. In particular, there is a long tail in the panels for the met. tower in Figure 2, 261 comprised of large upwards fluxes (negative eddy covariance) whose magnitude was un-262 derestimated by both the bulk and ANN parametrizations. These fluxes occurred in near-263 neutral conditions, defined by Högström (1988) as $|\zeta| < 0.1$ where ζ is the Obukhov 264 stability parameter. There was little temperature gradient in the surface layer and it is 265 possible that non-local turbulence played a role. Large prediction errors also occurred 266 when there was a strong surface-layer gradient but observed fluxes were small, again in 267 near-neutral conditions. Including MOSAiC data from other sites in the ANN training 268

-10-



Figure 1. Predicted momentum fluxes u_{\star}^2 at the MOSAiC sites plotted against observed eddy covariances. To the left are estimates obtained from a polar-specific bulk algorithm based on the Monin-Obukhov Similarity Theory; in the centre, estimates from the neural networks of Cummins et al. (2023); to the right, estimates from neural networks trained using MOSAiCaugmented data. The diagonal line y = x would represent a perfect fit.



Figure 2. Predicted sensible heat fluxes $u_{\star}\theta_{\star}$ at the MOSAiC sites plotted against observed eddy covariances. See Figure 1 caption for details.

set produces clear improvements at three of the four sites, with several systematic features in the residuals disappearing. The predictions at the ASFS50 site, however, became worse. Prediction errors at ASFS50 are the lowest for u_{\star}^2 and $u_{\star}\theta_{\star}$, so it doesn't necessarily follow that the site is at fault. There may be locally varying factors, not included in the set of input variables, which affect the fluxes (see final paragraph of this section). Identifying such variables has the potential to deliver further performance gains, especially in near-neutral conditions where performance of both algorithms is worse.

Latent heat flux $u_{\star}q_{\star}$ is by far the most difficult of the three fluxes to predict: $u_{\star}q_{\star}$ 276 was generally small in magnitude at the MOSAiC measurement sites, suggesting a low 277 signal-to-noise ratio. The ANNs are also disadvantaged here by a small training set from 278 previous campaigns, comprised mainly of very small fluxes (C23). Results for $u_{\star}q_{\star}$ are 279 therefore unsurprising: to the extent that the measured fluxes are small in magnitude, 280 the ANNs perform well. For larger fluxes, the ANNs exhibit a strong conservative bias. 281 Conversely, the bulk algorithm tends to overpredict the magnitude of $u_{\star}q_{\star}$. It is because 282 of these contrasting biases that the bulk achieves a higher correlation at the ASFS30 and 283 ASFS50 stations, while at the same time the ANNs give RMSE reductions at those sites 284 of about 70 and 10 percent respectively. At the MOSAiC tower, the bulk algorithm per-285 forms better, achieving a 10-percent lower RMSE. The ANNs trained on the MOSAiC-286 augmented data perform better at the ASFS50 site and the tower, but slightly worse at 287 ASFS30. From Figure 3 it can be seen that the underestimation bias, while still present, 288 is improved by training with MOSAiC data. 289

It should be noted that the biases, visible in the MOSAiC-augmented ANN pre-290 dictions in Figures 1-3, should be further reduced by the next step, which is to incor-291 porate all the MOSAiC data in the ANN training set. As more observations become avail-292 able, we would expect the as-yet-unsampled regions of the input space to diminish, along 293 with the associated biases. That is not to say that all biases can be resolved through more 294 training data. As mentioned above, omission of important predictor variables has the 295 potential to induce biases that will persist regardless of the volume of training data. For 296 example, the upwards and downwards radiation terms are known to contribute signifi-297 cant explanatory power (e.g., Wulfmeyer et al., 2022). These radiation terms are nev-298 ertheless unsuitable for use as parametrization inputs, because the radiative fluxes in GCMs 299 are themselves the output of complex parametrizations with their own errors and un-300 certainties. The surface characteristics may also be an important missing variable. In 301

-13-



Figure 3. Predicted latent heat fluxes $u_{\star}q_{\star}$ at the MOSAiC sites plotted against observed eddy covariances. See Figure 1 caption for details.

MOSAiC, the winter sea ice may have been generally aerodynamically rougher than that seen in the SHEBA campaign. This could potentially explain the underestimation of u_{\star}^2 by both the ANN and bulk algorithm parametrizations (see Figure 1). Finally, turbulent heat fluxes over sea ice are known to be influenced by lead width (e.g., Marcq & Weiss, 2012), although the exact nature of the dependency is a topic of ongoing research (Gryschka et al., 2023). Including the lead-width distribution as an input to the ANNs could shed additional light on this question.

5 Conclusions

Accurate representation in climate models of turbulent heat exchanges between the 310 surface and atmosphere in polar regions is essential for constraining predictions of fu-311 ture climate change, locally and potentially globally. Surface turbulent fluxes in the po-312 lar boundary layer are currently parametrized using the traditional MOST, although al-313 ternative ML parametrizations based on ANNs have recently been proposed (C23). The 314 wealth of new flux observations collected in the Arctic during the MOSAiC campaign 315 has provided an excellent opportunity to validate and calibrate these alternative parametriza-316 tions. 317

In this study, the MOSAiC data have been used to validate ANN parametrizations 318 of momentum, sensible heat and latent heat fluxes, that were originally trained on data 319 from previous Arctic campaigns. The ANNs have been found to generalize well to the 320 new data, particularly for momentum and sensible heat, yielding substantial reductions 321 in error metrics such as RMSE when compared against a polar-specific bulk algorithm 322 based on the MOST. Although the ANNs performed well at predicting small latent heat 323 fluxes, limitations of the training data resulted in systematic underprediction of larger 324 fluxes. 325

The ANN parametrizations, developed in C23 and validated in this study, have been recalibrated on an augmented training dataset that incorporates the observations from MOSAiC. The largest increase in variance explained (R²) after recalibration was only seven percent, despite the training set growing by a factor of 4-5, indicating that a high level of generalizability has already been achieved. These updated parametrizations have been implemented as a Fortran subroutine, suitable for deployment in weather/climate models as a plug-in replacement for bulk algorithms (see Code Availability Statement).

-15-

- An important next step will be to perform sensitivity studies with these new parametriza-
- tions in a climate model. In this way, the implications for the polar atmosphere and melt-
- ³³⁵ ing of Arctic sea ice can be assessed.
- 336 Open Research
- 337

7 Code Availability Statement

- The following publicly available software tools can be used to reproduce the results presented in this study:
- The Python library *CDlib* (Guemas, 2023a) provides functions to compute transfer coefficients and related variables (zeta, stability functions, aerodynamic and scalar roughness etc.), as well as to apply the bulk algorithm parametrizations used in this study.
- The Python library *meteolib* (Guemas, 2023b) provides functions to estimate meteorological parameters (humidity, latent heat as a function of temperature etc.).
- The Fortran subroutine *PolarFlux* (Cummins, 2023) implements the neural network flux parametrizations developed in this study. The networks have been trained on all available datasets, including MOSAiC.
- Neural network ensembles were fitted using the R package *caret* (Kuhn & Johnson, 2013), which itself depends on the R package *nnet* (Venables & Ripley, 2002) to fit the underlying models. Bootstrapping of model performance metrics was performed using the R package *boot* (Canty & Ripley, 2022).
- 353 Dat

354

Data Availability Statement

MOSAiC campaign sites

The MOSAiC data used in this study are available from the National Science Foundation Arctic Data Center: met. tower (Cox, Gallagher, Shupe, Persson, Blomquist, et al., 2023); ASFS30 (Cox, Gallagher, Shupe, Persson, Grachev, et al., 2023a); ASFS40

- (Cox, Gallagher, Shupe, Persson, Grachev, et al., 2023b), ASFS50 (Cox, Gallagher, Shupe,
- ³⁵⁹ Persson, Grachev, et al., 2023c).

Pre-MOSAiC observational campaigns

The SHEBA data are available from the NCAR Earth Observing Laboratory: met. tower (Andreas et al., 2007); PAM stations (Andreas et al., 2012). The ACCACIA flight data are available from the CEDA archive: MASIN (British Antarctic Survey (BAS), 2014); FAAM (Facility for Airborne Atmospheric Measurements et al., 2015). The ACSE cruise data are available from the CEDA archive (Brooks et al., 2022a). The AO16 cruise data are available from the CEDA archive (Brooks et al., 2022a). The AO16 cruise data are available from the CEDA archive (Brooks et al., 2022b). The NSIDC sea ice concentration data are available from the NSIDC archive (Meier et al., 2021).

368 Acknowledgments

360

This work was supported by a national funding by the Agence Nationale de la Recherche within the framework of the Investissement d'Avenir program under the ANR-17-MPGA-0003 reference. This article has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 101003826 via project CRiceS (Climate Relevant interactions and feedbacks: the key role of sea ice and Snow in the polar and global climate system).

Data used in this manuscript were produced as part of the international Multidis-375 ciplinary drifting Observatory for the Study of Arctic Climate (MOSAiC) with the tag 376 MOSAiC20192020. We thank all persons involved in the expedition of the Research Ves-377 sel Polarstern during MOSAiC in 2019-2020 (AWI_PS122_00) as listed in Nixdorf et al. 378 (2021). The MOSAiC observations received support from the US National Science Foun-379 dation Office of Polar Programs (OPP-1724551); the NOAA Physical Sciences Labora-380 tory; and NOAA's Global Ocean Monitoring and Observing Program (FundRef https:// 381 doi.org/10.13039/100018302). 382

This is a contribution to the Year of Polar Prediction (YOPP), a flagship activity of the Polar Prediction Project (PPP), initiated by the World Weather Research Programme (WWRP) of the World Meteorological Organisation (WMO). We acknowledge the WMO WWRP for its role in coordinating this international research activity.

We thank the two anonymous reviewers for their careful and constructive comments, which helped us to improve the manuscript.

-17-

References 389

405

- Andreas, E. L. (1987, January). A theory for the scalar roughness and the scalar 390 transfer coefficients over snow and sea ice. Boundary-Layer Meteorology, 38(1), 391 159-184. doi: 10.1007/BF00121562 392
- Andreas, E. L. (1998). The Atmospheric Boundary Layer Over Polar Marine Sur-393 In M. Leppäranta (Ed.), Physics of Ice-Covered Seas (Vol. 2, pp. 715faces. 394 773). Helsinki: Helsinki University Press. 395
- Andreas, E. L. (2011). A relationship between the aerodynamic and physical rough-396 ness of winter sea ice. Quarterly Journal of the Royal Meteorological Society, 397 137(659), 1581–1588. doi: 10.1002/qj.842 398
- Andreas, E. L., Fairall, C., Guest, P., & Persson, O. (2007). Tower, 5-level hourly 399 measurements plus radiometer and surface data at Met City (ASFG). Ver-400 sion 1.0 [Dataset]. UCAR/NCAR - Earth Observing Laboratory. doi: 401 10.5065/D65H7DNS 402
- Andreas, E. L., Fairall, C., Guest, P., & Persson, O. (2012).Ice Camp Sur-403 face Mesonet NCAR PAM-III 1 hour (FINAL). Version 1.0 [Dataset]. 404 UCAR/NCAR - Earth Observing Laboratory. doi: 10.5065/D6ZC8170
- Andreas, E. L., Fairall, C. W., Guest, P. S., & Persson, P. O. G. (1999).An 406 overview of the SHEBA atmospheric surface flux program. In 13th sympo-407 sium on boundary layers and turbulence. Dallas, TX, Amer. Meteorol. Soc., 408 Proc. (pp. 550–555). 409
- Andreas, E. L., Horst, T. W., Grachev, A. A., Persson, P. O. G., Fairall, C. W., 410
- Guest, P. S., & Jordan, R. E. (2010). Parametrizing turbulent exchange over 411 summer sea ice and the marginal ice zone. Quarterly Journal of the Royal 412 Meteorological Society, 136(649), 927–943. doi: 10.1002/qj.618 413
- Andreas, E. L., Persson, P. O. G., Grachev, A. A., Jordan, R. E., Horst, T. W., 414 Guest, P. S., & Fairall, C. W. (2010, February). Parameterizing Turbulent 415 Exchange over Sea Ice in Winter. Journal of Hydrometeorology, 11(1), 87–104. 416 doi: 10.1175/2009JHM1102.1 417
- Beljaars, A. C. M., & Holtslag, A. a. M. (1991, March). Flux Parameterization 418 over Land Surfaces for Atmospheric Models. Journal of Applied Meteorology 419 doi: 10.1175/1520-0450(1991)030(0327: and Climatology, 30(3), 327-341. 420 FPOLSF>2.0.CO;2 421

422	Bonan, D. B., Lehner, F., & Holland, M. M. (2021, March). Partitioning uncer-
423	tainty in projections of Arctic sea ice. $Environmental Research Letters, 16(4),$
424	044002. doi: 10.1088/1748-9326/abe0ec
425	Bonan, D. B., Schneider, T., Eisenman, I., & Wills, R. C. J. (2021). Constrain-
426	ing the Date of a Seasonally Ice-Free Arctic Using a Simple Model. $Geophysical$
427	Research Letters, $48(18)$, e2021GL094309. doi: 10.1029/2021GL094309
428	Bosveld, F. C., Baas, P., Steeneveld, GJ., Holtslag, A. A. M., Angevine, W. M.,
429	Bazile, E., Svensson, G. (2014, August). The Third GABLS Intercompar-
430	ison Case for Evaluation Studies of Boundary-Layer Models. Part B: Results
431	and Process Understanding. Boundary-Layer Meteorology, 152(2), 157–187.
432	doi: 10.1007/s10546-014-9919-1
433	British Antarctic Survey (BAS). (2014). British Antarctic Survey Twin Otter air-
434	craft Meteorological Airborne Science INstrumentation (MASIN) core data
435	for the Aerosol Cloud Coupling and Climate Interactions in the Arctic (AC-
436	CACIA) project. [Dataset]. NCAS British Atmospheric Data Centre (NCAS
437	BADC). doi: $10.5285/0844186$ DB1BA9E20319A2560F8D61651
438	Brooks, I. M., Prytherch, J., & Srivastava, P. (2022a). CANDIFLOS : Sur-
439	face fluxes from ACSE measurement campaign on icebreaker Oden, 2014
440	[Dataset]. NERC EDS Centre for Environmental Data Analysis. doi:
441	10.5285/C6F1B1FF16F8407386E2D643BC5B916A
442	Brooks, I. M., Prytherch, J., & Srivastava, P. (2022b). CANDIFLOS : Sur-
443	face fluxes from $AO2016$ measurement campaign on icebreaker Oden, 2016
444	[Dataset]. NERC EDS Centre for Environmental Data Analysis. doi:
445	10.5285/614752D35DC147A598D5421443FB50E8
446	Canty, A., & Ripley, B. D. (2022, November). Boot: Bootstrap Functions (Originally
447	by Angelo Canty for S).
448	Cohen, J., Screen, J. A., Furtado, J. C., Barlow, M., Whittleston, D., Coumou, D.,
449	\ldots Jones, J. (2014, September). Recent Arctic amplification and extreme mid-
450	latitude weather. Nature Geoscience, $7(9),627637.$ doi: 10.1038/ngeo2234
451	Cohen, J., Zhang, X., Francis, J., Jung, T., Kwok, R., Overland, J., Yoon, J.
452	(2020, January). Divergent consensuses on Arctic amplification influence on
453	midlatitude severe winter weather. Nature Climate Change, $10(1)$, 20–29. doi:
454	10.1038/s41558-019-0662-y

455	Cox, C., Gallagher, M., Shupe, M., Persson, O., Blomquist, B., Grachev, A., Ut-
456	tal, T. (2023). Met City meteorological and surface flux measurements (Level 3
457	Final), Multidisciplinary Drifting Observatory for the Study of Arctic Climate
458	(MOSAiC), central Arctic, October 2019 - September 2020. [Dataset]. NSF
459	Arctic Data Center. doi: 10.18739/A2PV6B83F
460	Cox, C., Gallagher, M., Shupe, M., Persson, O., Grachev, A., Solomon, A., Ut-
461	tal, T. (2023a). Atmospheric Surface Flux Station #30 measurements (Level 3
462	Final), Multidisciplinary Drifting Observatory for the Study of Arctic Climate
463	(MOSAiC), central Arctic, October 2019 - September 2020. [Dataset]. NSF
464	Arctic Data Center. doi: 10.18739/A2FF3M18K
465	Cox, C., Gallagher, M., Shupe, M., Persson, O., Grachev, A., Solomon, A., Ut-
466	tal, T. (2023b). Atmospheric Surface Flux Station #40 measurements (Level 3
467	Final), Multidisciplinary Drifting Observatory for the Study of Arctic Climate
468	(MOSAiC), central Arctic, October 2019 - September 2020. [Dataset]. NSF
469	Arctic Data Center. doi: $10.18739/A25X25F0P$
470	Cox, C., Gallagher, M., Shupe, M., Persson, O., Grachev, A., Solomon, A., Ut-
471	tal, T. (2023c). Atmospheric Surface Flux Station #50 measurements (Level 3
472	Final), Multidisciplinary Drifting Observatory for the Study of Arctic Climate
473	(MOSAiC), central Arctic, October 2019 - September 2020. [Dataset]. NSF
474	Arctic Data Center. doi: 10.18739/A2XD0R00S
475	Cummins, D. P. (2023, August). donaldcummins/PolarFlux: v0.1 (v0.1) [Software].
476	Zenodo. doi: 10.5281/ZENODO.8207288
477	Cummins, D. P., Guemas, V., Blein, S., Brooks, I. M., Renfrew, I. A., Elvidge,
478	A. D., & Prytherch, J. (2023, March). Reducing parametrization errors for
479	polar surface turbulent fluxes using machine learning (Preprint). In Review.
480	doi: 10.21203/rs.3.rs-2592358/v1
481	Cuxart, J., Holtslag, A. A. M., Beare, R. J., Bazile, E., Beljaars, A., Cheng, A.,
482	Xu, KM. (2006, February). Single-Column Model Intercomparison for a
483	Stably Stratified Atmospheric Boundary Layer. Boundary-Layer Meteorology,
484	$118(2),273\!-\!303.$ doi: 10.1007/s10546-005-3780-1
485	Davison, A. C., & Hinkley, D. V. (1997). Bootstrap Methods and their Application.
486	Cambridge: Cambridge University Press. doi: 10.1017/CBO9780511802843
487	Edson, J. B., Jampana, V., Weller, R. A., Bigorre, S. P., Plueddemann, A. J.,

488	Fairall, C. W., Hersbach, H. (2013, August). On the Exchange of Mo-
489	mentum over the Open Ocean. Journal of Physical Oceanography, $43(8)$,
490	1589–1610. doi: $10.1175/JPO-D-12-0173.1$
491	Elvidge, A. D., Renfrew, I. A., Edwards, J. M., Brooks, I. M., Srivastava, P., &
492	Weiss, A. I. (2023). Improved Simulation of the Polar Atmospheric Boundary
493	Layer by Accounting for Aerodynamic Roughness in the Parameterization of
494	Surface Scalar Exchange Over Sea Ice. Journal of Advances in Modeling Earth
495	Systems, $15(3)$, e2022MS003305. doi: 10.1029/2022MS003305
496	Elvidge, A. D., Renfrew, I. A., Weiss, A. I., Brooks, I. M., Lachlan-Cope, T. A.,
497	& King, J. C. (2016, February). Observations of surface momentum ex-
498	change over the marginal ice zone and recommendations for its parametri-
499	sation. Atmospheric Chemistry and Physics, $16(3)$, $1545-1563$. doi:
500	10.5194/acp-16-1545-2016
501	Facility for Airborne Atmospheric Measurements, Natural Environment Research
502	Council, & Met Office. (2015). FAAM B769 ACCACIA Transit flight:
503	Airborne atmospheric measurements from core instrument suite on board
504	the BAE-146 aircraft [Dataset]. NCAS British Atmospheric Data Centre
505	(NCAS BADC). Retrieved from https://catalogue.ceda.ac.uk/uuid/
506	c064b0c150274a1cbd18c563573f392e
507	Fairall, C. W., Bradley, E. F., Hare, J. E., Grachev, A. A., & Edson, J. B. (2003,
508	February). Bulk Parameterization of Air–Sea Fluxes: Updates and Verifica-
509	tion for the COARE Algorithm. Journal of Climate, $16(4)$, 571–591. doi:
510	$10.1175/1520\text{-}0442(2003)016\langle 0571\text{:}\text{BPOASF}\rangle 2.0.\text{CO}; 2$
511	Garratt, J. R. (1994). The Atmospheric Boundary Layer. Cambridge, UK: Cam-
512	bridge University Press.
513	Gascard, JC., Zhang, J., & Rafizadeh, M. (2019, January). Rapid decline of Arc-
514	tic sea ice volume: Causes and consequences. The Cryosphere Discussions, $1-$
515	29. doi: 10.5194/tc-2019-2
516	Geleyn, JF. (1988). Interpolation of wind, temperature and humidity values from
517	model levels to the height of measurement. Tellus A, $40A(4)$, 347–351. doi: 10
518	.1111/j.1600-0870.1988.tb00352.x
519	Grachev, A. A., Andreas, E. L., Fairall, C. W., Guest, P. S., & Persson, P. O. G.
520	(2007, September). SHEBA flux–profile relationships in the stable atmo-

-21-

521	spheric boundary layer. Boundary-Layer Meteorology, 124(3), 315–333. doi:
522	10.1007/\$10546-007-9177-6
523	Grachev, A. A., & Fairall, C. W. (1997, April). Dependence of the Monin–Obukhov
524	Stability Parameter on the Bulk Richardson Number over the Ocean.
525	Journal of Applied Meteorology and Climatology, 36(4), 406-414. doi:
526	$10.1175/1520\text{-}0450(1997)036\langle 0406\text{:}\text{DOTMOS}\rangle 2.0.\text{CO}\text{;}2$
527	Grachev, A. A., Fairall, C. W., & Bradley, E. F. (2000, March). Convective Profile
528	Constants Revisited. Boundary-Layer Meteorology, 94(3), 495–515. doi: 10
529	.1023/A:1002452529672
530	Graversen, R. G., Mauritsen, T., Tjernström, M., Källén, E., & Svensson, G. (2008,
531	January). Vertical structure of recent Arctic warming. Nature, 451(7174), 53–
532	56. doi: 10.1038/nature06502
533	Gryschka, M., Gryanik, V. M., Lüpkes, C., Mostafa, Z., Sühring, M., Witha, B., &
534	Raasch, S. (2023). Turbulent Heat Exchange Over Polar Leads Revisited: A
535	Large Eddy Simulation Study. Journal of Geophysical Research: Atmospheres,
536	128(12), e2022JD038236. doi: 10.1029/2022JD038236
537	Guemas, V. (2023a, August). donaldcummins/CDlib: v0.1 (v0.1) [Software]. Zenodo.
538	doi: 10.5281/ZENODO.8207293
539	Guemas, V. (2023b, August). donaldcummins/meteolib: v0.1 (v0.1) [Software]. Zen-
540	odo. doi: 10.5281/ZENODO.8207302
541	Hastie, T., Tibshirani, R., & Friedman, J. (2009). Neural Networks. In T. Hastie,
542	R. Tibshirani, & J. Friedman (Eds.), The Elements of Statistical Learning:
543	Data Mining, Inference, and Prediction (pp. 389–416). New York, NY:
544	Springer. doi: $10.1007/978-0-387-84858-7_{-11}$
545	Högström, U. (1988, Jan 01). Non-dimensional wind and temperature profiles in
546	the atmospheric surface layer: A re-evaluation. Boundary-Layer Meteorology,
547	42(1), 55-78. Retrieved from https://doi.org/10.1007/BF00119875 doi: 10
548	.1007/BF00119875
549	Hornik, K., Stinchcombe, M., & White, H. (1989, January). Multilayer feedforward
550	networks are universal approximators. Neural Networks, $2(5)$, 359–366. doi: 10
551	.1016/0893- $6080(89)90020$ - 8
552	Jung, T., Doblas-Reyes, F., Goessling, H., Guemas, V., Bitz, C., Buontempo, C.,
553	Yang, S. (2015, November). Polar Lower-Latitude Linkages and Their Role

-22-

554	in Weather and Climate Prediction. Bulletin of the American Meteorological
555	Society, $96(11)$, ES197-ES200. doi: 10.1175/BAMS-D-15-00121.1
556	Kovacs, K. M., Lydersen, C., Overland, J. E., & Moore, S. E. (2011, March). Im-
557	pacts of changing sea-ice conditions on Arctic marine mammals. Marine Biodi-
558	versity, $41(1)$, 181–194. doi: 10.1007/s12526-010-0061-0
559	Kuhn, M., & Johnson, K. (2013). Applied Predictive Modeling. New York, NY:
560	Springer. doi: 10.1007/978-1-4614-6849-3
561	Lesins, G., Duck, T. J., & Drummond, J. R. (2012, December). Surface Energy Bal-
562	ance Framework for Arctic Amplification of Climate Change. Journal of Cli-
563	mate, 25(23), 8277–8288. doi: 10.1175/JCLI-D-11-00711.1
564	Leufen, L. H., & Schädler, G. (2019, May). Calculating the turbulent fluxes in the
565	atmospheric surface layer with neural networks. Geoscientific Model Develop-
566	ment, $12(5)$, 2033–2047. doi: 10.5194/gmd-12-2033-2019
567	Liu, J., Song, M., Zhu, Z., Horton, R. M., Hu, Y., & Xie, SP. (2022, August). Arc-
568	tic sea-ice loss is projected to lead to more frequent strong El Niño events. $\it Na$ -
569	ture Communications, 13(1), 4952. doi: 10.1038/s41467-022-32705-2
570	Lüpkes, C., & Gryanik, V. M. (2015). A stability-dependent parametrization of
571	transfer coefficients for momentum and heat over polar sea ice to be used
572	in climate models. Journal of Geophysical Research: Atmospheres, $120(2)$,
573	552–581. doi: $10.1002/2014$ JD022418
574	Lüpkes, C., Gryanik, V. M., Hartmann, J., & Andreas, E. L. (2012). A parametriza-
575	tion, based on sea ice morphology, of the neutral atmospheric drag coefficients
576	for weather prediction and climate models. Journal of Geophysical Research:
577	Atmospheres, 117(D13). doi: 10.1029/2012JD017630
578	Marcq, S., & Weiss, J. (2012, February). Influence of sea ice lead-width distribu-
579	tion on turbulent heat transfer between the ocean and the atmosphere. The
580	Cryosphere, $6(1)$, 143–156. doi: 10.5194/tc-6-143-2012
581	McCandless, T., Gagne, D. J., Kosović, B., Haupt, S. E., Yang, B., Becker, C., &
582	Schreck, J. (2022, November). Machine Learning for Improving Surface-
583	Layer-Flux Estimates. Boundary-Layer Meteorology, 185(2), 199–228. doi:
584	10.1007/s10546-022-00727-4
585	Meier, W. N., Fetterer, F., Windnagel, A., & Stewart, S. (2021). NOAA/NSIDC
586	Climate Data Record of Passive Microwave Sea Ice Concentration, Version 4

-23-

587	[Dataset]. NSIDC. doi: 10.7265/EFMZ-2T65
588	Meier, W. N., Hovelsrud, G. K., van Oort, B. E., Key, J. R., Kovacs, K. M., Michel,
589	C., Reist, J. D. (2014). Arctic sea ice in transformation: A review of re-
590	cent observed changes and impacts on biology and human activity. $\ Reviews \ of$
591	Geophysics, 52(3), 185-217.doi: 10.1002/2013 RG000431
592	Monin, A. S., & Obukhov, A. M. (1954). Basic laws of turbulent mixing in the
593	surface layer of the atmosphere. Tr. Akad. Nauk SSSR Geophiz. Inst., $24(151)$,
594	163–187.
595	Nixdorf, U., Dethloff, K., Rex, M., Shupe, M., Sommerfeld, A., Perovich, D. K.,
596	Boetius, A. (2021, September). MOSAiC Extended Acknowledgement.
597	doi: 10.5281/ZENODO.5179738
598	Pal, S., & Sharma, P. (2021, March). A Review of Machine Learning Applications in
599	Land Surface Modeling. Earth, $\mathcal{Z}(1)$, 174–190. doi: 10.3390/earth2010011
600	Persson, P. O. G., Fairall, C. W., Andreas, E. L., Guest, P. S., & Perovich, D. K.
601	(2002). Measurements near the Atmospheric Surface Flux Group tower
602	at SHEBA: Near-surface conditions and surface energy budget. Journal
603	of Geophysical Research: Oceans, 107(C10), SHE 21-1-SHE 21-35. doi:
604	10.1029/2000 JC000705
605	Post, E., Bhatt, U. S., Bitz, C. M., Brodie, J. F., Fulton, T. L., Hebblewhite, M.,
606	Walker, D. A. (2013, August). Ecological Consequences of Sea-Ice Decline.
607	Science, $341(6145)$, 519–524. doi: 10.1126/science.1235225
608	Previdi, M., Smith, K. L., & Polvani, L. M. (2021, September). Arctic amplifica-
609	tion of climate change: A review of underlying mechanisms. Environmental Re-
610	search Letters, $16(9)$, 093003. doi: 10.1088/1748-9326/ac1c29
611	Prytherch, J., Brooks, I. M., Crill, P. M., Thornton, B. F., Salisbury, D. J., Tjern-
612	ström, M., Humborg, C. (2017). Direct determination of the air-sea CO2 $$
613	gas transfer velocity in Arctic sea ice regions. Geophysical Research Letters,
614	44(8), 3770–3778. doi: 10.1002/2017GL073593
615	R Core Team. (2021). R: A language and environment for statistical computing
616	[Manual]. Vienna, Austria.
617	Rantanen, M., Karpechko, A. Y., Lipponen, A., Nordling, K., Hyvärinen, O., Ru-
618	osteenoja, K., Laaksonen, A. (2022, August). The Arctic has warmed
619	nearly four times faster than the globe since 1979. Communications Earth $&$

-24-

620	Environment, $3(1)$, 1–10. doi: 10.1038/s43247-022-00498-3
621	Renfrew, I. A., Elvidge, A. D., & Edwards, J. M. (2019). Atmospheric sensitivity to
622	marginal-ice-zone drag: Local and global responses. Quarterly Journal of the
623	Royal Meteorological Society, $145(720)$, 1165–1179. doi: 10.1002/qj.3486
624	Ripley, B. D. (1996). Feed-forward Neural Networks. In Pattern Recognition and
625	Neural Networks (pp. 143–180). Cambridge: Cambridge University Press. doi:
626	10.1017/CBO9780511812651.006
627	Roehrig, R., Beau, I., Saint-Martin, D., Alias, A., Decharme, B., Guérémy, JF.,
628	Sénési, S. (2020). The CNRM Global Atmosphere Model ARPEGE-Climat 6.3:
629	Description and Evaluation. Journal of Advances in Modeling Earth Systems,
630	12(7), e2020MS002075. doi: 10.1029/2020MS002075
631	Rothrock, D. A., Yu, Y., & Maykut, G. A. (1999). Thinning of the Arctic sea-
632	ice cover. Geophysical Research Letters, 26(23), 3469–3472. doi: 10.1029/
633	1999GL010863
634	Screen, J. A., & Simmonds, I. (2010, April). The central role of diminishing sea ice
635	in recent Arctic temperature amplification. Nature, $464(7293)$, 1334–1337. doi:
636	10.1038/nature09051
637	Serreze, M. C., Barrett, A. P., Stroeve, J. C., Kindig, D. N., & Holland, M. M.
638	(2009, February). The emergence of surface-based Arctic amplification. The
639	Cryosphere, $3(1)$, 11–19. doi: 10.5194/tc-3-11-2009
640	Serreze, M. C., & Barry, R. G. (2011, May). Processes and impacts of Arctic ampli-
641	fication: A research synthesis. Global and Planetary Change, $77(1)$, 85–96. doi:
642	10.1016/j.gloplacha.2011.03.004
643	Serreze, M. C., & Francis, J. A. (2006, June). The Arctic Amplification Debate. Cli-
644	<i>matic Change</i> , 76(3), 241–264. doi: 10.1007/s10584-005-9017-y
645	Shupe, M. D., Rex, M., Blomquist, B., Persson, P. O. G., Schmale, J., Uttal, T.,
646	Yue, F. (2022, February). Overview of the MOSAiC expedition: At-
647	mosphere. Elementa: Science of the Anthropocene, $10(1)$, 00060. doi:
648	10.1525/elementa.2021.00060
649	Sotiropoulou, G., Tjernström, M., Sedlar, J., Achtert, P., Brooks, B. J., Brooks,
650	I. M., Wolfe, D. (2016, December). Atmospheric Conditions during the
651	Arctic Clouds in Summer Experiment (ACSE): Contrasting Open Water and
652	Sea Ice Surfaces during Melt and Freeze-Up Seasons. Journal of Climate,

653	29(24), 8721-8744. doi: 10.1175/JCLI-D-16-0211.1
654	Srivastava, P., Brooks, I. M., Prytherch, J., Salisbury, D. J., Elvidge, A. D., Ren-
655	frew, I. A., & Yelland, M. J. (2022, April). Ship-based estimates of mo-
656	mentum transfer coefficient over sea ice and recommendations for its param-
657	eterization. Atmospheric Chemistry and Physics, 22(7), 4763–4778. doi:
658	10.5194/acp-22-4763-2022
659	Svensson, G., Holtslag, A. A. M., Kumar, V., Mauritsen, T., Steeneveld, G. J.,
660	Angevine, W. M., Zampieri, M. (2011, August). Evaluation of the Diurnal
661	Cycle in the Atmospheric Boundary Layer Over Land as Represented by a
662	Variety of Single-Column Models: The Second GABLS Experiment. Boundary-
663	Layer Meteorology, 140(2), 177–206. doi: 10.1007/s10546-011-9611-7
664	Tjernström, M., & Jakobsson, M. (2021). Data from expedition Arctic Ocean, 2016.
665	Bolin Centre Database. doi: 10.17043/ODEN-AO-2016-EXPEDITION-1
666	Tynan, E. (2015, July). Effects of sea-ice loss. Nature Climate Change, 5(7), 621–
667	621. doi: $10.1038/nclimate2708$
668	Uttal, T., Curry, J. A., McPhee, M. G., Perovich, D. K., Moritz, R. E., Maslanik,
669	J. A., Grenfeld, T. C. (2002, February). Surface Heat Budget of the Arctic
670	Ocean. Bulletin of the American Meteorological Society, $83(2)$, 255–276. doi:
671	$10.1175/1520\text{-}0477(2002)083\langle 0255\text{:} \text{SHBOTA}\rangle 2.3.\text{CO}\text{;}2$
672	Venables, W. N., & Ripley, B. D. (2002) . Modern Applied Statistics with S
673	(J. Chambers, W. Eddy, W. Härdle, S. Sheather, & L. Tierney, Eds.). New
674	York, NY: Springer. doi: 10.1007/978-0-387-21706-2
675	Vihma, T. (1995). Subgrid parameterization of surface heat and momentum fluxes
676	over polar oceans. Journal of Geophysical Research: Oceans, $100(C11)$, 22625–
677	22646. doi: 10.1029/95JC02498
678	Voldoire, A., Saint-Martin, D., Sénési, S., Decharme, B., Alias, A., Chevallier, M.,
679	Waldman, R. (2019) . Evaluation of CMIP6 DECK Experiments With
680	CNRM-CM6-1. Journal of Advances in Modeling Earth Systems, 11(7), 2177–
681	2213. doi: 10.1029/2019MS001683
682	Wulfmeyer, V., Pineda, J. M. V., Otte, S., Karlbauer, M., Butz, M. V., Lee, T. R.,
683	& Rajtschan, V. (2022, November). Estimation of the Surface Fluxes for Heat
684	and Momentum in Unstable Conditions with Machine Learning and Similar-
685	ity Approaches for the LAFE Data Set. Boundary-Layer Meteorology. doi:

686 10.1007/s10546-022-00761-2