Evaluating the Representations of Atmospheric Rivers and Their Associated Precipitation in Reanalyses with Satellite Observations

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Abstract

Atmospheric rivers (ARs) are filaments of enhanced horizontal moisture transport in the atmosphere. Due to their prominent role in the meridional moisture transport and regional weather extremes, ARs have been studied extensively in recent years. Yet, the representations of ARs and their associated precipitation on a global scale remains largely unknown. In this study, we developed an AR detection algorithm specifically for satellite observations using moisture and the geostrophic winds derived from 3D geopotential height field from the combined retrievals of the Atmospheric Infrared Sounder and the Advanced Microwave Sounding Unit on NASA Aqua satellite. This algorithm enables us to develop the first global AR catalog based solely on satellite observations. The satellite-based AR catalog is then combined with the satellite-based precipitation (Integrated Muti-SatellitE Retrievals for GPM) to evaluate the representations of ARs and AR-induced precipitation in reanalysis products. Our results show that the spreads in AR frequency and AR length distribution are generally small across datasets, while the spread in AR width is relatively larger. In terms of the AR-induced precipitation, both AR-induced mean and extreme precipitation are too weak nearly everywhere in reanalyses. However, all reanalyses tend to precipitate too often under AR conditions, especially over low latitude regions. This finding is consistent with the "drizzling" bias which has plagued generations of climate models. Overall, the findings of this study can help to improve the representations of ARs and associated precipitation in reanalyses and climate models.

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17										
18	Key Points:									
19 20	• Developed a novel method to detect atmospheric rivers using moisture and wind data from satellite observations									
21 22	• Reanalyses and satellite observations show high agreement with each other in atmospheric river frequency distributions									
23 24	• Under atmospheric river conditions, reanalyses tend to precipitate too often and too lightly									

26 Abstract

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- atmosphere. Due to their prominent role in the meridional moisture transport and regional
- 29 weather extremes, ARs have been studied extensively in recent years. Yet, the representations of
- 30 ARs and their associated precipitation on a global scale remains largely unknown. In this study,
- 31 we developed an AR detection algorithm specifically for satellite observations using moisture
- 32 and the geostrophic winds derived from 3D geopotential height field from the combined
- retrievals of the Atmospheric Infrared Sounder and the Advanced Microwave Sounding Unit on
- NASA Aqua satellite. This algorithm enables us to develop the first global AR catalog based
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- that the spreads in AR frequency and AR length distribution are generally small across datasets,
- 39 while the spread in AR width is relatively larger. In terms of the AR-induced precipitation, both
- 40 AR-induced mean and extreme precipitation are too weak nearly everywhere in reanalyses.
- 41 However, all reanalyses tend to precipitate too often under AR conditions, especially over low
- 42 latitude regions. This finding is consistent with the "drizzling" bias which has plagued
- 43 generations of climate models. Overall, the findings of this study can help to improve the
- representations of ARs and associated precipitation in reanalyses and climate models.

45 Plain language summary

- 46 Atmospheric rivers (ARs) are filaments of enhanced horizontal moisture transport in the
- 47 atmosphere. These weather systems are responsible for most of the poleward atmospheric
- 48 moisture transport over mid-latitudes and can cause extreme precipitation around the world. For
- 49 a long time, researchers relied heavily on reanalysis products to study ARs. Albeit incorporating
- 50 information from observations, reanalyses are produced by numerical models and thus should
- not be treated as real observations. In this study, for the first time, we developed a near global
- 52 AR detection algorithm specially for satellite observations. Unlike previous AR detection
- algorithms designed for satellite observations, which were applicable to mean moisture content and on regional scales, our algorithm utilizes both the moisture field and wind information from
- 55 satellite observations. Our algorithm can thus better characterize the transport nature of the
- 66 detected ARs. Using both the developed algorithm and NASA satellite observations, we
- 57 developed the first satellite-based near global AR catalog. This satellite-based AR catalog
- together with NASA satellite precipitation product was then used to evaluate how well major
- reanalyses represent ARs and their associated precipitation. We found that reanalyses generally
- perform well in representing the AR occurrence frequency and length, but show relatively larger
- 61 uncertainty in representing the AR width. In terms of AR precipitation, ARs in reanalyses
- 62 precipitate too lightly and too often. Our findings can help to improve the representation of ARs
- and associated precipitation in reanalyses and climate models. As the quality of satellite
- observations continues to improve, the methodology presented here can be applied to other
- 65 satellite observations to develop higher resolution or higher frequency AR statistics.

66 **1 Introduction**

- 67 Characterized by filaments of enhanced moisture transport in the atmosphere, atmospheric rivers
- 68 (ARs) play a critical role in the global hydrological cycle. Despite only covering a very small
- 69 fraction of the Earth's circumference, an early study revealed that ARs on average contribute to

more than 90% of the poleward moisture transport over midlatitudes (Zhu & Newell, 1998). At 70

- the regional scale, depending on their strength and duration, ARs can exert either beneficial or 71
- detrimental impacts upon landfall (Eiras-Barca et al., 2021; Ralph et al., 2019). It has been 72
- 73 shown that ARs are important freshwater suppliers to many coastal regions around the world and
- can serve as effective drought busters (Dettinger, 2013; Dettinger et al., 2011; Guan et al., 2010; 74
- Rutz & Steenburgh, 2012; Viale et al., 2018). For example, it has been estimated that up to half 75
- of the annual precipitation over California is delivered by ARs (Dettinger et al., 76
- 2011). Meanwhile, intense ARs making landfall usually lead to a wide range of weather hazards, 77
- such as wind and precipitation extremes, and flooding (Chen et al., 2018; Henn et al., 2020; Kim 78
- et al., 2018; Lamjiri et al., 2017; Lavers & Villarini, 2013; Ma et al., 2020a; Paltan et al., 2017; 79
- 80 Ralph et al., 2006; Waliser & Guan, 2017). In recent years, there are an increasing number of
- studies on ARs' roles in sea ice variability (Hegyi & Taylor, 2018; Wang et al., 2020; Woods & 81 Caballero, 2016; Zhang et al., 2023) and ice shelf stability (Djoumna & Holland, 2021;
- 82
- Mattingly et al., 2018; Wille et al., 2019, 2022), extending the understanding of AR impacts 83
- beyond mid-latitude areas. 84
- 85

Most AR studies have treated reanalysis products as observations (DeFlorio et al., 2019; Guan & 86 Waliser, 2017; Massoud et al., 2019). Yet, reanalyses are not obtained by direct observations but 87 produced by models which are constrained by observations through data assimilation. Since 88 89 reanalyses are model-based "observations", it is expected that each reanalysis would have its own biases intrinsic to the model used to produce it, especially over the regions where the 90 observation networks are sparse (e.g., Guan et al., 2020). However, most AR studies, which use 91 reanalyses as observations, usually assume that ARs in reanalyses are representative of the true 92 observation. Given the inherent errors of the models used to produce these reanalyses, such an 93 assumption needs to be justified. So far, studies on the intercomparison between reanalyses and 94 95 observations of the AR representations are very limited, which reduces our confidence in the ability of reanalyses in representing ARs. By evaluating six AR events in reanalyses against 96 aircraft observations, Ralph et al. (2012) concluded that Climate Forecast System Reanalysis 97 (CFSR) (Saha et al., 2010), Modern-Era Retrospective analysis for Research and Applications 98 (MERRA) (Rienecker et al., 2011) and European Centre for Medium-Range Weather Forecasts 99 (ECMWF) interim reanalysis (ERAI) (Dee et al., 2011) exhibit comparable skills in representing 100 the characteristics of these six ARs. These three reanalyses also have better performance 101 102 compared to National Centers for Environmental Prediction (NCEP) -National Center for Atmospheric Research (NCAR) Reanalysis I (NCEP R1) (Kalnay et al., 1996), Tropospheric 103 Chemistry Reanalysis (TCR) (Miyazaki et al., 2012), and North American Regional Reanalysis 104 (NARR) (Mesinger et al., 2006). Expanding the sample size to 21 AR events, Guan et al. (2018) 105 found that, compared to dropsonde observations, ERAI and MERRA, Version 2 (MERRA-2) 106 (Gelaro et al., 2017) have a mean error of -2% and -8% in AR width, respectively, and +3% and 107 108 -1% in total integrated water vapor transport (IVT), respectively. Using MERRA-2, ECMWF Reanalysis Version 5 (ERA5) (Hersbach et al., 2020) and Japanese 55-year Reanalysis (JRA-55) 109 (Kobayashi et al., 2015), a recent study from the Atmospheric River Tracking Method 110 Intercomparison Project (ARTMIP) (Shields et al., 2018) found that ARs tend to get detected 111 more frequently in MERRA-2 due to its higher climatological IVT and also noted that ARs in 112 ERA5 tend to be narrower due to its finer spatial resolution (Collow et al., 2022). It is 113 114 immediately apparent that the above studies either evaluate AR representations in reanalyses using a small sample of observations over a limited area, or evaluate uncertainty in AR 115

representations based on intercomparing between a few reanalyses themselves. In addition, these studies have only examined the representation of basic AR characteristics, such as AR frequency

- and intensity, in reanalyses, leaving AR-induced precipitation in reanalyses largely unexplored.
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120 Besides reanalyses, satellite observations have also been frequently used to characterize ARs and their associated precipitation (Arabzadeh et al., 2020; Behrangi et al., 2016; Cannon et al., 2017, 121 2020; Guan et al., 2010; Matrosov, 2013; Neiman et al., 2008; Neiman et al., 2008; Ralph et al., 122 2004; Wick et al., 2013). Integrated water vapor (IWV) from the Special Sensor Microwave 123 Imager (SSM/I) (Hollinger et al., 1990) has been instrumental since early studies of ARs. For 124 example, by compositing the IWV from SSM/I of 312 AR events over the eastern North Pacific, 125 Ralph et al. (2004) established the IWV AR threshold and found that, on average, the IWV 126 magnitude and width of a typical AR is about 2.81 cm and 388 km, respectively. Focusing on 127 landfalling ARs along western North America from 1997 to 2005, Neiman et al. (2008) used the 128 IWV from SSM/I to investigate AR seasonality and landfall orientation. They found that warm 129 season ARs tend to occur in the North while cool season ARs tend to occur in the South. Winter 130 landfalling ARs tend to extend northeastward from the tropical eastern Pacific while summer 131 landfalling ARs tend to be more zonally oriented. Building on these studies, an automated AR 132 detection method using satellite IWV was introduced in Wick et al. (2013). Besides AR 133 characteristics, AR-induced precipitation has also been studied using satellite observations. For 134 135 example, using radar reflectivity profiles from the Global Precipitation Measurement Dual-Frequency Precipitation Radar (GPM-DPR), Cannon et al. (2020) showed that both stratiform 136 and convective precipitation is abundant in ARs, and that AR-induced precipitation is usually 137 triggered by forced ascent in the vicinity of a cold front in frontogenetic environments. While 138 these satellite-based studies have improved our understanding of ARs in observations, most of 139 these studies focused on ARs occurring over the eastern North Pacific, and a global satellite-140 based AR study is lacking. Secondly, since 3D satellite observed wind field is currently not 141 available, these studies usually detect ARs by adopting a simple IWV threshold of 2 cm and 142 requiring the detected object to be longer than 2000 km and narrower than 1000 km (Neiman et 143 al., 2008; Ralph et al., 2004; Wick et al., 2013). However, ARs are defined as enhanced moisture 144 transport in the atmosphere (AMS Glossary of Meteorology, 2017). Detecting ARs using only 145 the moisture field would inevitably run the risk of detecting filamentary features which resemble 146 ARs, but are associated with weak moisture transport due to stagnant weather conditions. 147 148 Furthermore, variability in ARs at different time scales can be controlled by the variabilities in both circulation and moisture (Gao et al., 2015; Ma et al., 2021; Ma et al., 2020; Ma & Chen, 149 2022; Payne et al., 2020; Zhang et al., 2021). For example, at the interannual time scale, it has 150 been shown that AR variability is predominantly controlled by the circulation variability (Ma & 151 Chen, 2022). But at the decadal time scale, either the circulation variability (Ma et al., 2020) or 152 the moisture variability (Zhang et al., 2021) can dominate the AR variability. Using only IWV in 153 154 the AR detection can generate AR variability which only reflects the variability in the moisture field and thus fails to capture the variability in the circulation field. Therefore, further 155 improvements are needed to incorporate the wind component into the AR detection methods for 156 satellite observations. 157 158

159 Given the limitations in the previous AR studies discussed above, the goals of this study are

- 160 threefold: i) improve previous AR detection methods for satellite observations by incorporating
- 161 satellite-based wind information, ii) perform a comprehensive intercomparison of AR

representations between seven reanalyses, which are commonly used in AR community, and

satellite observation, iii) evaluate AR precipitation in reanalyses against precipitation from

satellite observation. The structure of this paper is organized as follows. Section 2 describes the

- reanalyses and satellite data used, as well as the approach used to detect ARs in satellite data.
 Main results will be presented in section 3. A brief conclusion and discussion are provided in
- Main results will be presented in section 3. A brief conclusion and discussion are provided in section 4.
- 168

169 **2 Data and Methods**

170 2.1 Satellite Data and Reanalyses

IWV from SSM/I (Hollinger et al., 1990) has been widely used in AR studies. However, 171 the spatial coverage of SSM/I is confined to oceans while observations over land, which 172 are most relevant for AR impacts, are not available. To circumvent this issue, the version 173 6 (V6) Level 3 (L3) total integrated column water vapor (TotH2OVap or IWV) product 174 from the combined retrievals of the Atmospheric Infrared Sounder (AIRS) and the 175 Advanced Microwave Sounding Unit (AMSU) instruments on NASA's Aqua satellite is 176 used in this study (hereafter AIRS/AMSU) (AIRS Science Team/Joao Teixeira, 2013). 177 The AIRS/AMSU dataset has a global coverage (land + ocean) with spatial resolution of 178 179 $1^{\circ} \times 1^{\circ}$ and daily temporal resolution. Observations made each day consist of an ascending orbit and a descending orbit (Tian et al., 2013). We take the average of these 180 two orbits to obtain a much smoother field which is representative of the daily mean. Due 181 to the limited swath width of the satellite observations, gaps with no observation exist 182 between swaths. While averaging the ascending orbit and descending orbit to create the 183 daily mean can effectively remove most of these swath gaps, small gaps remain over 184 subtropical regions after this procedure. We fill in these small gaps using the 185 "poisson grid fill" function from NCL (The NCAR Command Language., 2019). Note 186 that the results presented in this study would not be affected by whether these small gaps 187 are being filled or not. In order to get the lower tropospheric wind information, 188 geopotential heights at 925, 850, 700, and 600 mb levels are also obtained from the 189 AIRS/AMSU and processed in the same way as the IWV field (see section 2.2 for the use 190 of this variable in our study). Observed precipitation is based on the Integrated Muti-191 SatellitE Retrievals for GPM (IMERG) Version 6 Final Run (Huffman etal., 2019). This 192 satellite-based precipitation dataset has been widely used in previous studies for mid-193 latitude weather systems, such as cyclones and ARs (Arabzadeh et al., 2020; Naud et al., 194 2020). Due to the temporal coverage of the AIRS/AMSU combined retrievals, the study 195 period of this work is from 08/31/2002 to 09/24/2016. 196

Seven reanalyses, most of which have been widely used in AR studies, are employed
here: they are MERRA-2 (Gelaro et al., 2017), ERA5 (Hersbach et al., 2020), ERAI (Dee
et al., 2011), JRA-55 (Kobayashi et al., 2015), CFSR (Saha et al., 2010),
NCEP/Department of Energy (DOE) Reanalysis II (NCEP R2) (Kanamitsu et al., 2002)
and NCEP R1 (Kalnay et al., 1996). The inclusion of ERAI, NCEP R1 and NCEP R2
here allows us to see if there are any improvements in the AR representation from older
generations of reanalyses to newer generations of reanalyses. These datasets have varied

204 205 206 207 208 209 210 211 212 213 214 215 216 217 218 210	temporal resolutions. Data at 00 and 12 UTC are averaged to obtain the daily mean. We also tried calculating the daily mean using data at 00, 06, 12, and 18 UTC. The results presented in this study are not sensitive to how the daily mean is calculated (not shown). Both IWV and geopotential height are bilinearly interpolated to a common resolution of $1^{\circ} \times 1^{\circ}$ before analysis. The precipitation fields from reanalyses also have varied temporal resolutions. Some datasets provide the field at the analysis time step while others provide it at forecast time step, although all reanalysis precipitation) and purely generated by the models without any corrections based on observations. Precipitation data are thus processed accordingly to obtain the daily mean. More specifically, daily precipitation. Daily precipitation in ERAI is calculated by summing the 12-hour forecasted accumulated precipitation initialized at 00 and 12 UTC. Daily precipitation in JRA-55 is calculated from the forecasted precipitation rate initialized at 00, 06, 12, and 18 UTC with steps of 3 and 6 hours. Daily precipitation in CFSR is calculated by aggregating the fore at 00, 06, 12, and
219	aggregating the 6-hour forecasted accumulated precipitation initialized at 00, 06, 12, and
220	18 UTC. For NCEP R1 and NCEP R2, mean daily precipitation rate is available for direct
221	download. All reanalysis-based precipitation data and IMERG are regridded to a common
222	$1^{\circ} \times 1^{\circ}$ resolution using an areal conservative method ("area conserve remap" from
223	NCL) before analysis.

224 2.2 AR Detection Method

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As has been discussed above, AR detections for satellite data usually rely only on the 225 IWV because satellite-based 3D wind fields are not available. To introduce wind 226 information into the AR detection, we derive geostrophic winds from the geopotential 227 height at 925, 850, 700, and 600 mb levels using the "z2geouv" function from NCL. 228 229 Outside of the deep tropics in ERA5, geostrophic winds at these levels are found to temporally correlate with the actual winds with correlation coefficients close to one (not 230 shown). Geostrophic winds at these four levels are then vertically averaged to obtain the 231 mass-weighted vertical average geostrophic zonal $\langle U_q \rangle$ and meridional $\langle V_q \rangle$ winds 232 using: 233

$$< U_g > = \frac{U_{925} \times 37.5 + U_{850} \times 112.5 + U_{700} \times 125 + U_{600} \times 50}{(37.5 + 112.5 + 125 + 50)}$$

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$$\langle V_g \rangle = \frac{V_{925} \times 37.5 + V_{850} \times 112.5 + V_{700} \times 125 + V_{600} \times 50}{(37.5 + 112.5 + 125 + 50)}$$

where the subscripts in U and V denote pressure levels. The IVT based on the weighted
vertical average of geostrophic winds, which we will call the geostrophic IVT (GIVT), is
then calculated as follows:

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$$GIVT = \sqrt{(IWV \times \langle U_g \rangle)^2 + (IWV \times \langle V_g \rangle)^2}$$

We find that the GIVT can serve as a good proxy for the actual IVT in terms of 240 magnitude. As demonstrated in Figures 1a and 1b, the snapshot of the GIVT shown for 241 ERA5 is nearly identical to the snapshot of actual IVT. Filaments of enhanced IVT in the 242 actual IVT field can also be found in the GIVT field. Minor differences between these 243 two fields only exist in the magnitude: GIVT tends to slightly overestimate the magnitude 244 of the actual IVT, especially over regions with enhanced IVT. Indeed, as shown in Figure 245 2a, which plots the joint probability distribution function (PDF) of the actual IVT versus 246 GIVT in ERA5 for the year 2003, most of the points fall along the one-to-one line, 247 indicating the good correspondence between the GIVT and the actual IVT. As IVT 248 increases, slightly more points are located above the one-to-one line than those located 249 below it. This corroborates the results in Figures 1a and 1b that GIVT tends to be slightly 250 stronger than the actual IVT over enhanced IVT regions. Such sub-geostrophic wind is 251 expected near a low pressure center due to gradient wind balance. As shown later, such a 252 253 slight overestimate of the IVT magnitude by the GIVT has negligible effect on the ARs detected due to the percentile-based threshold adopted by the AR detection tool (ARDT) 254 used in this study. 255

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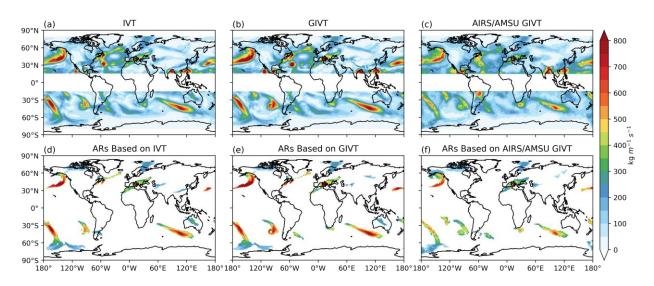


Figure 1. A snapshot of the IVT (a) and GIVT (b) in ERA5 on 10/05/2003. (c) is showing the same snapshot of GIVT, but from AIRS/AMSU. Corresponding ARs detected by the modified algorithm are shown in (d), (e), and (f), respectively.

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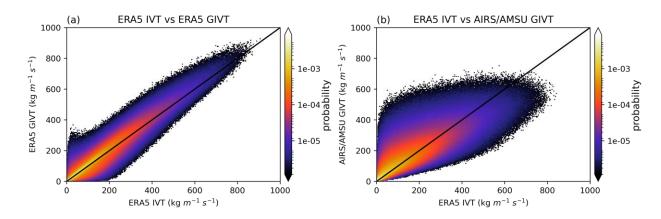


Figure 2. Joint probability distribution function of IVT versus GIVT in ERA5 (a) and IVT from ERA5 versus smoothed GIVT from AIRS/AMSU (b). Note that the color bars are in logarithmic scale and only data from the year 2003 are used.

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The ARDT used in this study is based on the IVT-based Guan & Waliser (2015) 267 algorithm, with modifications so that GIVT can be directly used as input. This ARDT is a 268 global algorithm which has been widely used in AR studies (e.g., Arabzadeh et al., 2020; 269 Espinoza et al., 2018; Ionita et al., 2020; Kim et al., 2021; Nash et al., 2018). Notable 270 criteria adopted by this ARDT are listed here. Readers are referred to Guan & Waliser 271 (2015) for a detailed description of the algorithm. In the first step of the detection, a 272 seasonally and regionally dependent 85th percentile of the IVT magnitude, or 100 kg m⁻¹ 273 s⁻¹, whichever is greater, is used as the intensity threshold. The detected "objects" are 274 then further filtered by three IVT direction criteria. The detected "object" will be filtered 275 out if 1) more than half of the grid cells have IVT direction deviating more than 45° from 276 the object's mean IVT direction (the coherence criterion), 2) mean poleward meridional 277 IVT is less than 50 kg m⁻¹ s⁻¹ (the meridional IVT criterion) and 3) direction of object-278 mean IVT deviates from the overall orientation of the object's shape by more than 45° 279 (the consistency criterion). Objects which pass these three IVT direction criteria are 280 subjected to further geometrical screening, such that the final detected ARs are longer 281 than 2000 km in length and have a length/width ratio greater than two. 282

To test the sensitivity of the algorithm to the input variables, in the first step, we used 283 both the IVT and GIVT from ERA5 as input to the original Guan & Waliser (2015) 284 ARDT. The GIVT-based AR frequency, which is defined as the fraction of time a grid 285 cell experiences AR conditions, is very similar to that based on the IVT (Figure S1). 286 Enhanced AR frequency is found over the mid-latitude storm track regions (Figure S1a). 287 However, compared to the AR frequency based on the IVT, results based on the GIVT 288 underestimate AR frequency over mid-latitude regions and overestimate it over the 289 subtropics (Figure S1b). We then removed the three IVT direction criteria one at a time, 290 and tested the sensitivity of the modified algorithm to the input variables. We found that 291 removing either the coherence criterion or the consistency criterion has very little effects 292 on the AR frequency (Figures S1c and S1e), consistent with the degree of filtering 293 associated with the two criteria reported in Guan and Waliser (2015). The differences 294 between the IVT-based AR frequency and the GIVT-based AR frequency persist (Figures 295 S1d and S1f). However, once we removed the meridional IVT criterion, the differences 296

between the IVT-based AR frequency and the GIVT-based AR frequency mostly vanish 297 (Figure S1h). Meanwhile, the magnitude of the AR frequency also increases nearly 298 everywhere (Figure S1g). These results imply that the differences in the magnitude of the 299 meridional IVT between the IVT and GIVT are likely nonnegligible. This is likely due to 300 boundary layer friction that causes the direction of actual winds to deviate from 301 geostrophic winds. Given the results found in the sensitivity experiments, we removed 302 the three IVT direction criteria of the original algorithm in our modified algorithm. After 303 these three IVT direction criteria are removed, AR frequency increases nearly 304 everywhere and its distribution becomes more uniform (Figure S1i). 305

- Besides removing these three criteria, two additional minor modifications are also made 306 to the algorithm. We found that the modified algorithm tends to detect too many ARs 307 over the Northern Hemisphere continents during boreal summer. To partially alleviate 308 this problem, instead of calculating the IVT threshold for a particular month using all the 309 time steps from the five months centered on that month over the study period, we only 310 311 use the time steps from that month in the modified algorithm. Furthermore, previous studies suggested that the Guan & Waliser (2015) algorithm may occasionally pick up 312 tropical disturbances as ARs (Guan et al., 2018; Lora et al., 2020). To remedy this 313 problem, we impose that, if the detected object has all its area located within 30°N/S, it 314 will be filtered out. This criterion mostly affects ARs within 30°N/S and it reduces the 315 magnitude of AR frequency over these regions. We want to emphasize that the 316 conclusions presented in this study are not sensitive to whether these two additional 317 modifications are adopted or not (not shown). In summary, our modified algorithm is 318 based on the Guan & Waliser (2015) ARDT and detects ARs with an enhanced 319 IVT/GIVT relative to its background state, a length greater than 2000 km, and a 320 length/width ratio greater than two (see table S1 for the summary of the differences 321 between the original Guan & Waliser (2015) algorithm and the modified algorithm used 322 in this study). 323
- Due partly to the low sampling frequency in satellite observations, the geostrophic winds 324 derived from the geopotential height tend to be noisier compared to the smoother fields in 325 reanalyses (Fetzer et al., 2006; Hearty et al., 2014; North et al., 1993; Tian et al., 2013; 326 Tian & Thomas, 2020). Since geostrophic winds are derived based on the gradient of the 327 geopotential height, these noises in the geopotential height result in the derived 328 geostrophic winds being too strong compared to the geostrophic winds in reanalyses. We 329 applied a simple bias correction to the satellite weighted vertical average geostrophic 330 wind speed so that the satellite mean wind speed over midlatitudes is equal to that in 331 ERA5 (see text S1 in the supplementary for details on how the bias correction is carried 332 out). We found that these noises in the geostrophic wind field in satellite data can also 333 increase the "false negative" rate for AR detection. In other words, features which are 334 detected as ARs in reanalyses are occasionally not picked up as ARs in satellite data. 335 After manually examining those ARs which are detected in reanalyses, but not in satellite 336 data, we found that most of those ARs are either broken into more than one smaller 337 object or with stronger GIVT magnitude concentrated within a smaller single object in 338 satellite data. Both situations can result in the AR failing to meet the geometric criteria of 339 AR. To resolve this issue, Gaussian smoothing was applied to the bias-corrected 340 weighted vertical average geostrophic wind speed of the satellite data. (Note that 341

Gaussian smoothing is not applied to the reanalyses because the data from reanalyses is 342 already quite smooth.) We adjusted the size of the smoothing kernel by varying the sigma 343 parameter ranging from 1 to 6 in an increment of 0.5. We found that, when the sigma is 344 too small, the resulting field is not smooth enough. This results in the "false negative" 345 rate remaining high. However, when we set the sigma too large, the field is smoothed out 346 too much. This effect can cause some filaments of enhanced IVT to be smoothed out and 347 thus potentially get filtered out during the detection process. We set the sigma to be three 348 in this study as a balance between smoothing the IVT enough yet not inadvertently 349 erasing any filamentary structure. This smoothing on the AIRS/AMSU geostrophic wind 350 field tends to slightly enhance the weak GIVT values while weakening the strong GIVT 351 values (Figures 1c and 2b). Since the ARDT used in this study uses a percentile-based 352 threshold, the smoothing is expected to have very minor effects on the ARs detected 353 (comparing Figure 1f with 1d and 1e). As a result, the AR frequency difference between 354 ERA5 and AIRS/AMSU is small when sigma is set to three. These two additional 355 operations on the satellite data are based on the assumption that the ERA5 winds are 356 better representative of the true observation, as the satellite-based geostrophic winds are 357 not directly measured or dynamically constrained. Whether such an assumption is valid 358 or not is not a concern in this study, since both satellite data and reanalyses have their 359 own biases in representing AR winds, and the purpose of this study is not to treat satellite 360 data as the true observation and evaluate the biases in reanalyses relative to satellite 361 observations. Instead, our goal is to demonstrate the feasibility of including wind 362 information for AR detection based on satellite data and also comprehensively investigate 363 the spread among reanalyses. Therefore, the assumption we made is justifiable for the 364 purpose of this study. 365

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367 **3 Results**

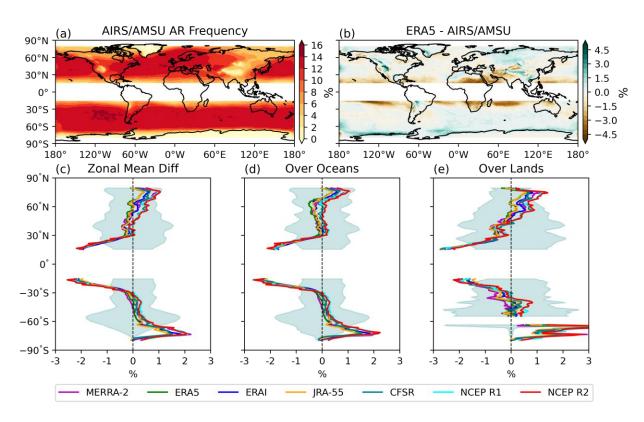
368 3.1 AR Frequency and Characteristics

Figure 3a shows the annual AR frequency distribution in AIRS/AMSU. Enhanced AR 369 frequency is observed over the mid-latitude oceans. Unlike the AR frequency distribution 370 obtained from other global ARDTs that participated in the ARTMIP (Figure S2), the AR 371 frequency distribution in Figure 3a is spatially more uniform, and substantially more ARs 372 are detected over land. It has been shown by previous studies that these features in the 373 AR frequency distribution are unique to algorithms adopting relative AR thresholds (Rutz 374 et al., 2019; Shields et al., 2018). Since our algorithm is modified from the Guan & 375 Waliser (2015) ARDT, it's thus expected that the AR frequency distribution based on our 376 algorithm shares many similarities to that based on the Guan & Waliser (2015) algorithm 377 (Figure S2). The spatial patterns of the AR frequency difference between reanalyses and 378 AIRS/AMSU are very similar across reanalyses: the differences over the storm track 379 regions, where ARs are most active, are generally small. Reanalyses tend to have fewer 380 ARs over the subtropical regions while having more ARs over the higher latitudes 381 poleward of 60°N/S, especially near the coast of Antarctica. As shown in Figure S3, this 382 pattern in the AR frequency difference does not depend on the magnitude of the sigma 383 used in the smoothing function for AIRS/AMSU. Reanalyses also tend to have slightly 384

more ARs over the high latitude land regions over the Northern Hemisphere. Compared 385 to AIRS/AMSU, all reanalyses show more ARs around the date line. This is caused by 386 the temporal discontinuity at the date line in the AIRS/AMSU daily data files which can 387 occasionally prevent the detection of ARs over this region. In each AIRS/AMSU daily L3 388 product file, observations start at the date line and progress westward. This results in the 389 data immediately west of the date line being farthest apart in time (~24 hours) from those 390 immediately east of the dateline, leading to the temporal discontinuity at the date line in 391 AIRS/AMSU daily data files. For the zonal mean figures shown below, regions around 392 the date line $(\pm 10^{\circ})$ are excluded from the calculations. In addition, pressure levels at 393 925 and 850 mb are used in the derivation of the geostrophic winds. This can result in 394 unrealistic AR statistics over topographies. Therefore, regions with climatological surface 395 pressure less than 850 mb (based on ERA5) are also excluded in the calculations of zonal 396 mean. After these bias corrections, we see that the spreads among the reanalyses are 397 small, with NCEP R1 and NCEP R2 having only slightly higher AR 398 399 frequency, suggesting that all reanalyses have similar performance in representing the AR frequency distribution (Figures 3c, 3d, and 3e). 400

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404 405 406

Figure 3. AR frequency detected by the modified algorithm based on AIRS/AMSU (a). AR frequency difference between ERA5 and AIRS/AMSU (b). The differences between the zonal mean AR frequency in reanalysis products and AIRS/AMSU. (d) and (e) are the same as (c), but

407 for the zonal mean differences over oceans and land, respectively. The shading in (c), (d) and (e) 408 represents one standard deviation of the annual zonal mean AR frequency in AIRS/AMSU.

AR frequency is controlled by both the size and the number of detected ARs. In 409 AIRS/AMSU, both the size and the number of detected ARs are sensitive to the value of 410 sigma chosen for the gaussian smoothing. As sigma increases, both the AR length and 411 width increase while the number of detected ARs drops (not shown; consistent with 412 Figure 5k, l of Guan & Waliser (2015)). We set the sigma value to three, which minimizes 413 the AR frequency difference between ERA5 and AIRS/AMSU over midlatitudes (Figure 414 S3). Figure 4a shows that the PDFs of the AR length are consistent across different 415 datasets. Compared to the AR length in reanalyses, ARs in AIRS/AMSU are slightly 416 longer. The spread measured by the standard deviation in the AR length across reanalyses 417 is relatively small and only about 0.5% of the climatology in AIRS/AMSU. However, 418 there is a larger spread in the AR width distribution with the spread across reanalyses 419 reaching about 4% of the climatology (Figure 4b). In particular, the ARs in MERRA-2 420 are the narrowest. Consistent with the narrowest ARs in MERRA-2, ARs in MERRA-2 421 422 also have the smallest area (Figure 4c) and largest length/width ratio (Figure 4d). The total number of ARs in MERRA-2 during the study period is more than other datasets 423 (Figure 4a), consistent with the ARTMIP analysis for MERRA-2 (Collow et al., 2022). 424 This suggests that MERRA-2 tends to simulate more ARs, but with smaller AR size. 425 These two effects in MERRA-2 compensate for each other and result in the AR 426 frequency being comparable to those in other reanalyses. NCEP R1, NCEP R2, and to a 427 lesser extent, CFSR have larger AR size due to the larger AR width in these datasets, but 428 fewer ARs were detected in them. Consequently, the length/width ratio in these three 429 datasets are smallest and AR frequencies in NCEP R1 and NCEP R2 are slightly higher 430 compared to other reanalyses (Figures 3c, 3d and 3e). It is also worth pointing out that 431 NCEP R1, NCEP R2 and CFSR start out with a coarser resolution of 2.5°×2.5°. Even 432 though we have regridded them to a common resolution of $1^{\circ} \times 1^{\circ}$, such a coarser native 433 resolution can still be expected to have some impacts on the geometry of the ARs (e.g., 434 Guan & Waliser. (2017)). The wider ARs found in these three datasets thus could be 435 partially caused by their coarser native resolution. 436

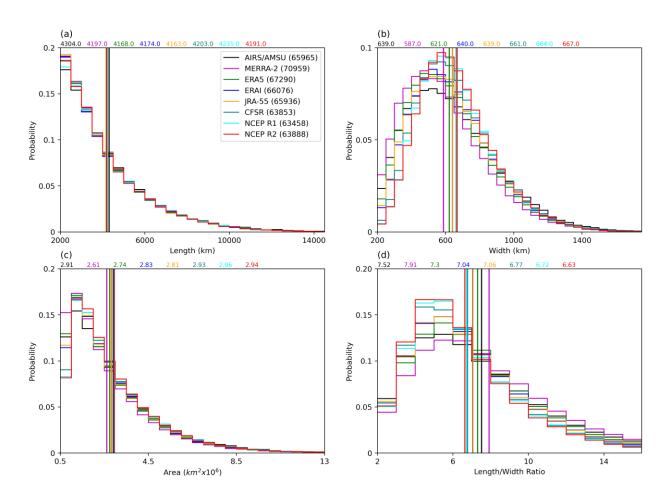


Figure 4. Probability distribution functions of AR length (a), width (b), area (c), and length/width
ratio (d) for all reanalyses and AIRS/AMSU. The numbers inside the parentheses in (a) indicate
the total number of ARs detected during the study period.

438

4433.2 AR Strength

AR GIVT is defined as the GIVT under AR conditions. As shown in Figure 5a, enhanced 444 AR GIVT occurs over the storm track regions. Unlike AR frequency, the spatial 445 distribution of AR GIVT is less uniform and exhibits more spatial variation, with 446 enhanced AR GIVT concentrated in smaller regions of the storm tracks. Compared to the 447 magnitude of the AR GIVT over oceans, AR GIVT over land is substantially weaker. 448 The inter-product differences in AR GIVT between reanalyses and AIRS/AMSU have 449 considerably similar spatial characteristics (Figures 5b, 5c, 5d, and 5e). Reanalyses show 450 stronger AR GIVT over the midlatitudes, especially the storm track regions. Weaker 451 GIVT can be found over subtropical regions. Since the geostrophic wind in AIRS/AMSU 452 is bias-corrected based on ERA5 (see the Method section or text S1 in the 453 supplementary), the differences in AR GIVT between reanalyses and AIRS/AMSU 454 should not be treated as biases from reanalysis winds. Over the Northern Hemisphere, 455 MERRA-2, NCEP R1, and NCEP R2 tend to have stronger AR GIVT. Over the Southern 456

Ocean, AR GIVT in NCEP R1 and NCEP R2 are substantially stronger than the AR GIVT in AIRS/AMSU (up to about 27% stronger) and those in other reanalyses. The spread among these five reanalyses is relatively small, though the AR GIVT in MERRA-2 is slightly stronger, consistent with the stronger IVT magnitude in MERRA-2 found in Collow et al. (2022). It is not surprising that we also find that the results of the AR GIVT are consistent with those of the climatological mean GIVT (Figure S3), suggesting that differences in AR GIVT among datasets are mostly due to the differences in the climatological mean GIVT.

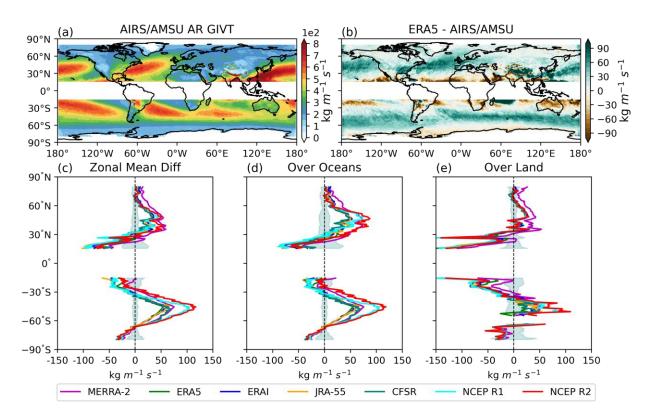


Figure 5. AR GIVT in AIRS/AMSU (a). AR GIVT difference between ERA5 and AIRS/AMSU (b). The differences between zonal mean AR GIVT in reanalyses and AIRS/AMSU (c). (d) and (e) are the same as (c), but for the zonal mean AR IVT over oceans and land, respectively. The shading in (c), (d), and (e) represents one standard deviation of the annual zonal mean AR GIVT in AIRS/AMSU.

474	The inter-product differences in the AR GIVT can be caused by the differences in AR
475	IWV and AR geostrophic wind magnitude. Figure 6a shows the AR IWV in
476	AIRS/AMSU. Enhanced AR IWV mostly occurs over the subtropical regions
477	equatorward of 30°N/S. Poleward of 30°N/S, AR IWV decreases rapidly. Compared to

the AR IWV over oceans, AR IWV over land is substantially weaker. Compared to the

479	AR IWV in AIRS/AMSU, reanalyses simulate stronger AR IWV over midlatitudes
480	poleward of 30°N/S and weaker AR IWV can be found equatorward of 30°N/S. This
481	spatial pattern in the difference is shared by all reanalyses (Figures 6c, 6d and 6e). Over
482	the Northern Hemisphere, the spread among all reanalyses is relatively small, with the
483	AR IWV in NCEP R1 and NCEP R2 being slightly stronger than those in other
484	reanalyses. Over the Southern Ocean, the AR IWV in NCEP R1 and NCEP R2 is
485	substantially stronger than those in the other five reanalyses, which is consistent with the
486	results in AR GIVT. Similar to GIVT, the results of the AR IWV are also reflective of the
487	results in the climatological mean IWV (Figure S4). Since the AIRS/AMSU IWV data
488	are subject to sampling biases and there is no bias correction applied to the AIRS/AMSU
489	IWV field (Hearty et al., 2014; Tian et al., 2013; Tian & Thomas, 2020), the differences
490	between AIRS/AMSU and other reanalyses should not be viewed as the biases in
491	reanalyses. Instead, the spread among datasets should be simply viewed as observed
492	uncertainties.

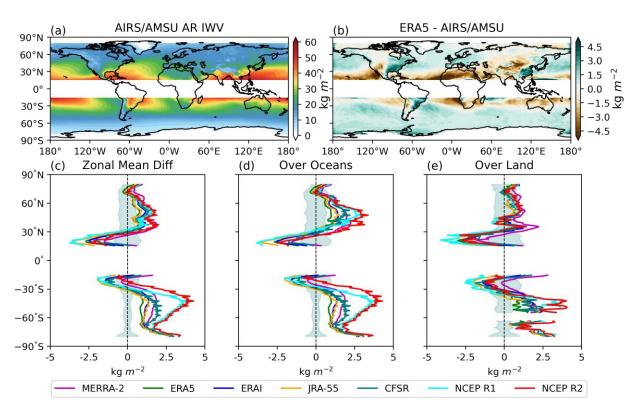


Figure 6. AR IWV in AIRS/AMSU (a). AR IWV difference between ERA5 and AIRS/AMSU (b). The differences between zonal mean AR IWV in reanalyses and AIRS/AMSU (c). (d) and (e) are the same as (c), but for the zonal mean AR IWV over oceans and lands, respectively. The shading in (c), (d), and (e) represents one standard deviation of the annual zonal mean AR IWV in AIRS/AMSU.

Figure 7a shows the AR geostrophic wind magnitude. Unlike the pattern in the AR IWV, 501 enhanced AR wind is found over the regions poleward of 30°N/S. This suggests that the 502 enhanced AR GIVT shown in Figure 5a is dominated by IWV over the subtropics, but by 503 wind over midlatitudes. Consistent with the climatological wind speed (Fig. S5), AR 504 wind over the Southern Hemisphere is stronger compared to that over the Northern 505 Hemisphere. Enhanced wind can also be found along the coastal regions of Antarctica. 506 The enhanced wind over these regions is likely unrealistic, which may be caused by the 507 presence of topography. Compared to the AR wind in AIRS/AMSU, reanalyses 508 overestimate the wind magnitude over the midlatitude Southern Ocean while 509 substantially underestimating it along the coastal regions of Antarctica where sea ice is 510 present. Such a large difference between reanalyses and AIRS/AMSU over these regions 511 usually covered by sea ice likely indicates that the wind over these regions in 512 AIRS/AMSU may be biased high (Yue & Lambrigtsen, 2017, 2020). Weaker wind in 513 reanalyses can be found over subtropical regions and regions at around 60°N. Unlike the 514 AR IWV field, the spread in the AR wind among reanalyses is small (Figures 7c, 7d and 515 7e), indicating higher skills for reanalyses in simulating the wind field. Note that the AR 516 wind in the AIRS/AMSU shown here has been bias-corrected by the wind filed in ERA5 517 based on the climatological wind speed over midlatitudes. The differences between 518 reanalyses and AIRS/AMSU thus should not be treated as biases in reanalyses. 519

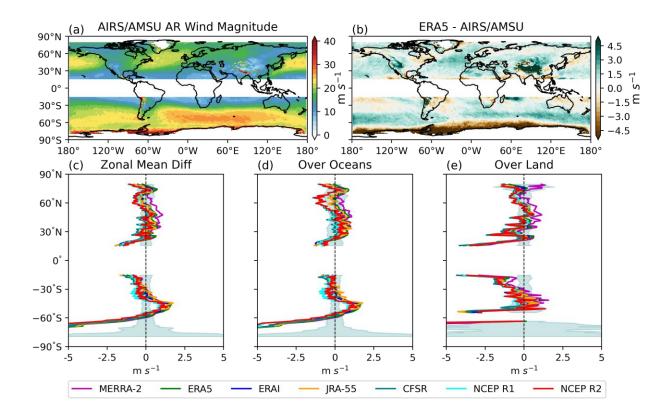


Figure 7. AR geostrophic wind magnitude in AIRS/AMSU (a). AR geostrophic wind magnitude difference between ERA5 and AIRS/AMSU (b). The differences between zonal mean AR geostrophic wind magnitude in reanalyses and AIRS/AMSU (c). (d) and (e) are the same as (c), but for the zonal mean AR geostrophic wind magnitude over oceans and land, respectively. The shading in (c), (d), and (e) represents one standard deviation of the annual zonal mean AR wind magnitude in AIRS/AMSU. The geostrophic winds shown here are the mass-weighted vertical average geostrophic winds.

530 3.3 AR Precipitation

It has been well documented that ARs are associated with enhanced precipitation (e.g., 531 Arabzadeh et al., 2020; Gao et al., 2016; Lavers & Villarini, 2013). It's also quite 532 common that reanalysis-based precipitation is directly used in AR studies (Collow et al., 533 2020; Gao et al., 2016; Kim et al., 2022; Maclennan et al., 2022; Pasquier et al., 2019; 534 Zhou et al., 2022). Yet, reanalysis-based precipitation is not directly constrained by 535 observations. Their performance against observed precipitation thus requires further 536 evaluation. In this section, we will focus on AR precipitation which is defined as the 537 precipitation that falls within the AR boundaries. Figure 8a shows the mean AR 538 precipitation intensity. Since observations of precipitation in IMERG are scarce poleward 539 of 60°N/S, the analyses of AR-related precipitation will be restricted to regions within 540 60°N/S. Over the Northern Hemisphere, enhanced AR precipitation occurs over the 541 poleward flank of the AR active regions over both the North Pacific and North Atlantic. 542 Intense AR precipitation can be observed extending from the southwest of the ocean 543 basin into the northeast of the ocean basin. We note a discontinuity between the western 544 North Pacific and the eastern North Pacific. The intensity west of the date line is much 545 weaker than that over east of the dateline. The exact cause of this discontinuity is unclear. 546 However, after manual examinations of the identified ARs and the precipitation field, 547 there seems to be a time lag between the AR footprints detected from AIRS/AMSU and 548 the precipitation systems in IMERG over the western North Pacific: the precipitation 549 systems tend to locate east/northeast (ahead) of the AR footprints. This spatial mismatch 550 between the AR footprints and the precipitation systems likely contributes to the 551 abnormally weak AR precipitation over this region. Therefore, we will exclude this 552 region from the following analyses and discussion, as well as in the zonal mean 553 calculations followed. 554

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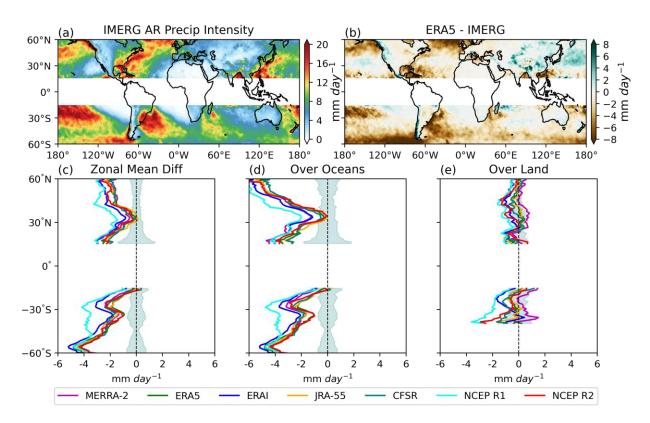


Figure 8. AR precipitation intensity in IMERG (a). AR precipitation intensity difference between
ERA5 and IMERG (b). The differences between zonal mean AR precipitation intensity in
reanalyses and IMERG (c). (d) and (e) are the same as (c), but for the zonal mean AR
precipitation intensity over oceans and land, respectively. The shading in (c), (d), and (e)
represents one standard deviation of the annual zonal mean AR precipitation intensity in IMERG.

Over the Southern Hemisphere, consistent with Collow et al. (2022), strong AR 564 precipitation occurs over the South Pacific and South Atlantic while AR precipitation 565 over the South Indian Ocean is relatively weak. Compared to the AR precipitation over 566 oceans, AR precipitation over land is much weaker, except over some coastal regions 567 (Figures 8a). Reanalyses underestimate the AR precipitation over regions with strong AR 568 precipitation intensity, such as the northeastern North Pacific, the North Atlantic 569 poleward of $50^{\circ}N$, western subtropics over the South Pacific and the Southern Ocean 570 Poleward of around 40°S. The differences between reanalyses and satellite observation 571 are relatively small over regions between 30° and 40° latitude in both hemispheres 572 (Figure 8c). The biases over land are smaller compared to those over oceans, likely due to 573 the smaller AR precipitation intensity over land (Figure 8e). Due to their small areas, land 574 regions poleward of 40°S are excluded from the zonal mean calculation over land. 575 Compared to other reanalyses, AR precipitation intensity is weakest in NCEP R1 while 576 the spread among the other six reanalyses is generally small. 577

As shown in Figure 9a, ARs contribute substantially to the total annual precipitation.
Over many of the oceanic and coastal regions, AR precipitation can account for up to half
of the total annual precipitation. The contribution of ARs to total precipitation over land
is spatially more heterogeneous. For example, ARs can contribute up to half of the annual

582	precipitation over Australia and North Africa. At the same time, East Asia only receives
583	10-30% of its annual precipitation from ARs. Compared to observation, reanalyses
584	generally underestimate ARs' contribution to the total precipitation over oceans,
585	especially over regions equatorward of 30°N/S and poleward of 50°N/S. Smaller
586	differences between reanalyses and satellite observation can be found over regions
587	between 30°N/S and 40°N/S. Over land, ARs in reanalyses can contribute more to the
588	total precipitation, such as over Australia and East Asia. At the same time, they can also
589	underestimate ARs' contribution to the total precipitation over regions such as northern
590	North America and South Africa. The spread across reanalyses is generally small.
591	However, over the Northern Hemisphere and equatorward of about 30°S over the
592	Southern Hemisphere, NCEP R1 simulates the lowest contribution to total precipitation
593	by ARs while CFSR produces the lowest contribution to total precipitation by ARs over
594	oceans poleward of 30°S over the Southern Hemisphere.



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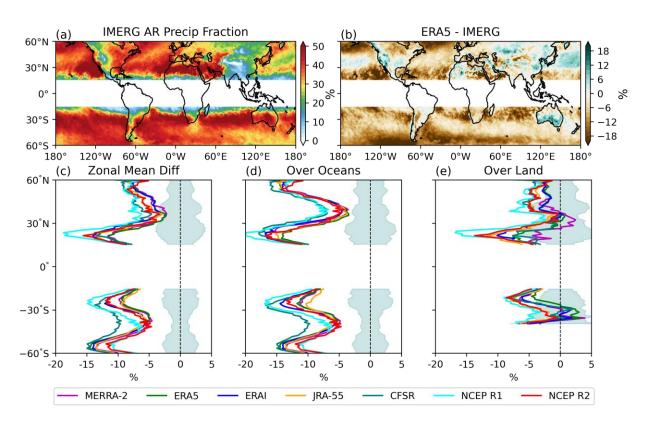


Figure 9. AR precipitation fraction in IMERG (a). AR precipitation fraction difference
between ERA5 and IMERG (b). The differences between zonal mean AR precipitation
fraction in reanalyses and IMERG (c). (d) and (e) are the same as (c), but for the zonal
mean AR precipitation fraction over oceans and land, respectively. The shading in (c), (d),

and (e) represents one standard deviation of the annual zonal mean AR precipitation fraction inIMERG.

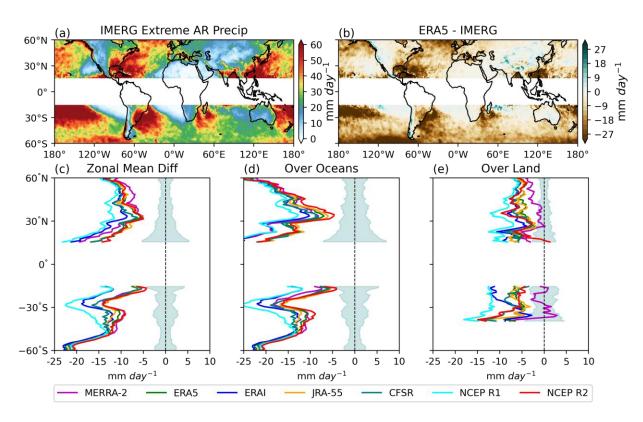
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It has been shown by previous studies that ARs play an even more important role in 606 extreme precipitation compared to mean precipitation (Arabzadeh et al., 2020; Gao et al., 607 2016; Guan et al., 2023; Waliser & Guan, 2017). Defined as the 95th percentile intensity 608 of all the AR precipitation (including non-precipitating days), AR extreme precipitation 609 intensifies substantially (Figure 10a) compared to AR mean precipitation (Figure 8a). 610 Over the western South Pacific subtropics, northwest of the South Atlantic and southwest 611 of the North Atlantic, the intensity of AR extreme precipitation can exceed 60 mm/day. 612 Over the Southern Ocean, the spatial pattern of the AR extreme precipitation intensity is 613 very similar to the AR mean precipitation intensity, with very strong intensity observed 614 over the South Pacific and South Atlantic. The intensity over the South Indian Ocean is 615 substantially weaker. Over the Northern Hemisphere oceans, enhanced AR extreme 616 precipitation can be observed over the entire ocean basins of the North Pacific and North 617 Atlantic, except over the southeast ocean basins. Enhanced AR extreme precipitation can 618 also be found over the west coasts of North America and Chile due to orographic lifting, 619 as well as in eastern North America and eastern South America. The spatial patterns of 620 the difference between reanalyses and satellite observation (Figure 10b) are similar to 621 those for the differences in AR mean precipitation between reanalyses and satellite 622 observation (Figure 8b). The AR extreme precipitation in reanalyses is substantially 623 weaker than the satellite observation nearly everywhere (Figure 10b). The largest 624 underestimate occurs over the subtropical oceans and regions poleward of 50°N/S. The 625 differences over land are smaller compared to the differences over oceans due likely to 626 the weaker AR extreme precipitation over land. Over land, the magnitude in MERRA-2 627 is most comparable to the observation (Figure 10e). The magnitude of the AR extreme 628 precipitation is weakest in NCEP R1, followed by ERAI (Figure 10c). The spread of the 629 other five reanalyses is generally small (Figure 10c). There is an improvement in 630 simulating AR precipitation intensity from NCEP R1 to NCEP R2 and CFSR. Similar 631 improvement can also be found from ERAI to ERA5. 632

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Figure 10. Extreme AR precipitation intensity in IMERG (a). Extreme AR precipitation intensity
difference between ERA5 and IMERG (b). The differences between zonal mean extreme AR
precipitation intensity in reanalyses and IMERG (c). (d) and (e) are the same as (c), but for the
zonal mean extreme AR precipitation intensity over oceans and land, respectively. The shading in
(c), (d), and (e) represents one standard deviation of the annual zonal mean extreme AR
precipitation intensity in IMERG.

Next, we examine the AR fractional contribution to total extreme precipitation amount. 644 For a grid point, we define an extreme precipitation threshold as the 95th percentile of all 645 precipitation with intensity greater than 0.01 mm/day (including both AR days and non-646 AR days). This threshold is calculated separately for each dataset. The total extreme 647 precipitation for a grid point is then calculated by summing all the daily precipitation 648 with intensity greater than or equal to this extreme precipitation threshold. As shown in 649 Figure 11a, the spatial pattern of the fractional contribution to extreme precipitation by 650 ARs is very similar to the spatial pattern in the fractional contribution to mean 651 precipitation by ARs (Figure 9a). However, ARs contribute more to the total extreme 652 precipitation. Compared to the 30-50% in the fractional contribution to mean 653 precipitation, ARs account for 50-70% of the total extreme precipitation over most of the 654 655 oceanic regions. Unlike the fractional contribution to mean precipitation which shows the largest fraction at around 30° N/S, the spatial pattern in the fractional contribution to 656 extreme precipitation is spatially more uniform over oceans. Over land, large fractional 657 contribution can be found over the west coast of North America, Chile, South Africa, 658 eastern North America, eastern South America, Australia, and interestingly North Africa. 659

In contrast to the differences in the fractional contribution to mean precipitation which 660 shows strong underestimates by reanalyses nearly everywhere, the differences in 661 fractional contribution to extreme precipitation are smaller. Underestimates by reanalyses 662 can be found over regions poleward of 50° N/S and some regions over the subtropics. 663 Over midlatitudes and many land regions, ARs in reanalyses tend to contribute slightly 664 more to the total extreme precipitation. Compared to other reanalyses, NCEP R1 and R2 665 tend to simulate a slightly smaller fraction of extreme precipitation contributed by ARs, 666 especially over oceanic regions equatorward of about 40°N/S. 667

Despite using different definition of AR days and/or different precipitation dataset, the 668 satellite-based spatial patterns of the AR precipitation intensity (Figure 8a), fraction 669 (Figure 9a), extreme AR precipitation intensity (Figure 10a) and fraction (Figure 11a) 670 show marked similarity to those based on the original Guan & Waliser (2015) algorithm 671 using reanalysis data (Arabzadeh et al., 2020; Zhao, 2022). These results indicate that our 672 algorithm can correctly capture those precipitating systems with filaments of enhanced 673 moisture transport. Compared to the original Guan & Waliser (2015) algorithm, the 674 modified algorithm used here produces slightly higher AR frequency and with a more 675 uniform spatial pattern (compared Figure 3a to Figure S2d). The consistency in the 676 spatial patterns of the AR precipitation characteristics between our algorithm and the 677 original Guan & Waliser (2015) algorithm suggests that both algorithms likely have high 678 agreement in detecting those relatively strong ARs with intense precipitation. The 679 disagreement in the AR frequency distribution is thus likely caused by the modified 680 algorithm used here being able to detect more relatively weak ARs due to its less 681 stringent criteria. 682

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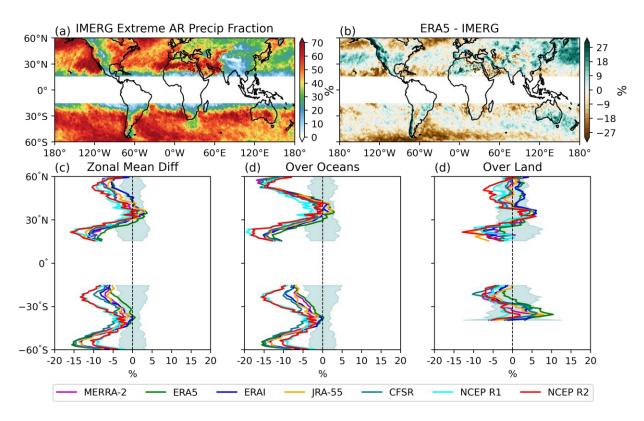


Figure 11. Extreme AR precipitation fraction in IMERG (a). Extreme AR precipitation fraction difference between ERA5 and IMERG (b). The differences between zonal mean extreme AR precipitation fraction in reanalyses and IMERG (c). (d) and (e) are the same as (c), but for the zonal mean extreme AR precipitation fraction over oceans and land, respectively. The shading in (c), (d), and (e) represents one standard deviation of the annual zonal mean extreme AR precipitation fraction in IMERG.

It has been well documented that climate models tend to suffer from the so-693 called "drizzling" bias in which models tend to rain too frequently and too lightly (Chen 694 et al., 2021; Dai, 2006). To investigate whether this problem is also present in AR 695 precipitation, we define a metric called AR precipitation frequency. There is no guarantee 696 that precipitation must occur when a grid point is experiencing AR conditions. We thus 697 define AR precipitation frequency as the fraction of AR days a grid point experiences 698 noticeable precipitation. Here, noticeable precipitation is defined to be greater than or 699 equal to 0.5 mm/day. We tried varied thresholds ranging from 0.1 mm/day to 2 mm/day. 700 The conclusions presented here are not sensitive to the threshold used (not shown). As 701 shown in Figure 12a, over most of the mid-latitude regions, more than 80% of the AR 702 days are associated with noticeable precipitation. This suggests that our algorithm is able 703 to effectively identify precipitating systems with enhanced moisture transport. Smaller 704 705 AR precipitation frequency is generally found over land and subtropical regions, suggesting that ARs are usually less efficient in generating precipitation over these 706 regions. Compared to observation, ARs in reanalyses tend to precipitate too often, 707 especially over subtropics equatorward of 30°N/S, which is consistent with previous 708 studies showing that "drizzling" bias is most severe over lower latitude regions (Chen et 709 al., 2021; Dai, 2006). The "drizzling" bias of ARs in reanalyses discovered here seems to 710

be consistent with previous studies in which they found that reanalyses tend to simulate 711 too many wet days compared to observations (Herold et al., 2016; Naud et al., 2020; 712 Zhou & Wang, 2017). Other regions which also suffer from this problem include regions 713 poleward of 50°S, Eurasia, and the west coasts of North America and South America. 714 Interestingly, this "drizzling" bias is greatly alleviated poleward of about 30°N over 715 North Pacific and North Atlantic, as well as over the Southern Ocean between about 30° S 716 to 40°S. Surprisingly, the biases in the AR precipitation frequency in NCEP R1 and R2 717 are relatively smaller compared to other newer generation of reanalyses (Figures 12c, d 718 and e). This seems to be in line with the finding by Zhou & Wang (2017) who showed 719 that the frequency of the drizzle days over China is lower in NCEP R1 and R2 compared 720 to other reanalysis products. In these two reanalyses, ARs precipitate even less often 721 compared to observation over oceanic regions poleward of about 30°N over the North 722 Atlantic. As shown in Figure S6, the biases in the AR precipitation frequency in 723 724 reanalyses are also consistent with the biases in the precipitation frequency, which is simply defined as the fraction of days with daily precipitation greater than or equal to 0.5725 mm/day. The reasons for the smaller AR-related "drizzling" bias in these two older 726 generation reanalyses are unknown and warrant further studies. 727

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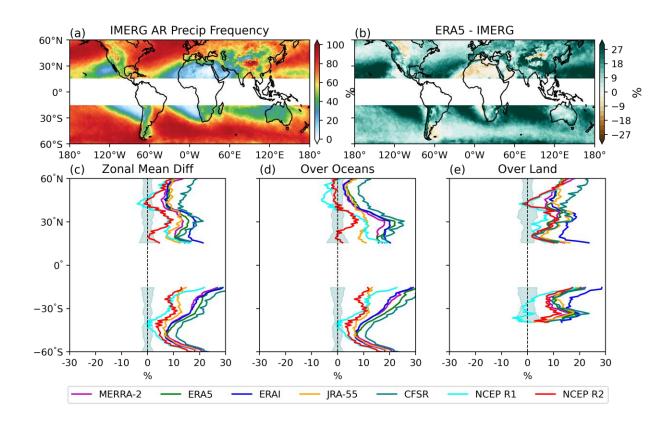


Figure 12. AR precipitation frequency in IMERG (a). AR precipitation frequency difference
between ERA5 and IMERG (b). The differences between zonal mean AR precipitation frequency
in reanalyses and IMERG (c). (d) and (e) are the same as (c), but for the zonal mean AR
precipitation frequency over oceans and land, respectively. The shading in (c), (d), and (e)
represents one standard deviation of the annual zonal mean AR precipitation frequency in
IMERG.

738

739 **5 Conclusions and Discussion**

Satellite observations and reanalyses have been indispensable in characterizing ARs, which are 740 associated with changes in both moisture and wind fields. However, previous AR studies using 741 satellite data usually detect ARs based only on the IWV while studies using reanalyses usually 742 make the assumption that reanalyses are representative of the true observation (Matrosov, 2013; 743 Neiman et al., 2008; Ralph et al., 2004; Wick et al., 2013). In this study, we improve previous 744 satellite-based AR studies by incorporating wind into the AR detection. Low-level geostrophic 745 winds derived from satellite-based geopotential height are combined with the satellite-based 746 IWV to obtain the GIVT. We demonstrate that GIVT can serve as a good proxy for IVT in terms 747 of magnitude. By removing the three IVT direction criteria in the Guan & Waliser (2015) 748 algorithm, namely the coherence criterion, the meridional IVT criterion and the consistency 749 criterion, we show that the AR frequency based on the GIVT and the one based on the IVT are 750 nearly identical. The modified ARDT is then applied to the GIVT from the satellite observation 751 752 by AIRS/AMSU and seven commonly used reanalyses: MERRA-2, ERA5, ERAI, JRA-55, CFSR, NCEP R1, and NCEP R2. We find that all datasets show high agreement on AR 753 frequency. Given that the IWV and wind field in reanalyses are strongly constrained by satellite 754 755 observations, this result should be expected: a weather system which is present in satellite 756 observations should also be present in reanalyses. While the spread in AR length across datasets is relatively small, larger spread in the AR width can be found across datasets. Consequently, the 757 758 spread in width leads to the spreads in the AR area and AR length/width ratio. Compared to other datasets, MERRA-2 tends to simulate narrower and more ARs while CFSR, NCEP R1, and 759 NCEP R2 tend to simulate broader and fewer ARs. Compared to ARs in satellite observation, 760 ARs in reanalyses have stronger GIVT over midlatitudes. The spread in the AR GIVT among 761 762 reanalyses is mostly caused by the spread in AR IWV while the spread in AR wind magnitude is small. 763

764

⁷⁶⁵ Unlike IWV and winds in reanalyses which are heavily constrained by observations,

precipitation in reanalyses is produced by the models without any direct observational

constraints. Larger biases are thus expected in reanalysis-based precipitation. We evaluate the

AR-induced precipitation in reanalyses against that based on IMERG. We reveal systematic

biases in the reanalysis-based AR precipitation characteristics. Specifically, we find that

reanalyses systematically underestimate both the mean and extreme AR precipitation intensity

over oceans, with the strongest underestimates found in NCEP R1. Consequently, the fractional

contributions to both the mean and extreme precipitation by ARs are all underestimated by

reanalyses. It has long been known that climate models suffer from the so-called "drizzling" bias

problem (Chen et al., 2021; Dai, 2006). Namely, models tend to rain too often and too lightly.

Defining AR precipitation frequency as the fraction of AR days when a grid point experiences

noticeable precipitation, we discover that ARs in reanalyses tend to rain too often, especially

over the lower latitude regions. Combined with the weak biases in the AR precipitation intensity,

- we demonstrate that the "drizzling" bias also exists for AR precipitation in reanalyses. These
- findings cast doubts on the direct uses of reanalysis-based precipitation in AR studies.
- 780

Studies have shown that the statistics of ARs and AR precipitation are sensitive to the ARDT 781 used (Collow et al., 2022; Rutz et al., 2019; Shields et al., 2018). In this study, we employed the 782 modified ARDT based on Guan & Waliser (2015) to demonstrate the feasibility of using GIVT 783 for detecting ARs. In this regard, this study thus serves as a proof of concept. We have 784 demonstrated that GIVT can be a good proxy for IVT given that the direction of GIVT/IVT is 785 not considered. Therefore, as long as the IVT-based ARDT doesn't have any IVT direction 786 criteria, GIVT can be used readily as input to the algorithm and produce AR statistics 787 comparable to those based on IVT. As has been shown (Figure S2), the AR statistics based on 788 Guan & Waliser (2015) are quite different from those based on other ARDTs. The results 789 presented in this study are likely algorithm-dependent. For example, we show in this study that 790 there is a high agreement among datasets on the AR frequency. However, this is mostly due to 791 the percentile-based threshold used in our ARDT. It can be expected that reanalyses with larger 792 793 climatological GIVT would have larger AR frequency if an absolute threshold is used. Nevertheless, since an identical ARDT is consistently applied to all datasets, the results 794 regarding the spreads among datasets in this study should be more robust. 795 796 It is well known that the satellite products have sampling biases (Fetzer et al., 2006; Hearty et al., 797 2014; Lin et al., 2002; North et al., 1993; Tian et al., 2013; Tian & Thomas, 2020). As a product 798 obtained from both infrared (AIRS) and microwave (AMSU) sensors, the retrieval quality of 799

- AIRS/AMSU under cloudy conditions degrades rapidly, and the sampling frequency under cloudy regions, such as the ITCZ and mid-latitude regions, is lower than that over the clear regions, such as the subtropics and some land regions. Furthermore, the Aqua satellite on which the AIRS and AMSU sensors board is in a Sun-synchronous polar orbit, both AIRS and AMSU
- can only sample the atmosphere twice daily at low latitude regions and thus cannot adequately
 resolve the diurnal cycle. These sampling issues can result in the sampling biases in the
- AIRS/AMSU observations (Hearty et al., 2014; Tian et al., 2013; Tian & Thomas, 2020). In
- addition, the AIRS/AMSU may also have measurement errors due to the AIRS/AMSU retrieval algorithm (Hearty et al., 2014). Given these factors, the results based on AIRS/AMSU are
- subject to sampling biases and measurement errors and the differences between AIRS/AMSU
- and other reanalyses also should not be viewed as biases in reanalyses. Instead, the spread among
- 811 datasets should be simply viewed as observed uncertainties. Smaller spread of a quantity thus
- gives us more confidence in the ability of reanalyses and satellite observations in representing
- the true observation of that quantity and vice versa. In this sense, we have more confidence in the
- reanalyses and satellite observation's ability in representing AR frequency, AR length and AR
- 815 wind magnitude while our confidence in the AR width and AR IWV representations is lower.
- 816
- 817 While potential biases exist in the IWV and geostrophic wind field from AIRS/AMSU, it is also
- possible that the satellite-based precipitation product IMERG also contains biases. Evaluations
- 819 on the performance of IMERG against other ground observations and remotely sensed products
- have shown varied results (Pradhan et al., 2022). Whether IMERG has better performance than
- other observed precipitation products remains inconclusive. However, IMERG is produced by
- 822 merging the precipitation estimates with highest quality passive microwave sensors and infrared

823 sensors. It thus can be regarded as the state-of-the-art observational precipitation product (Li et al., 2023; Ma et al., 2020; Watters et al., 2021; Xin et al., 2022). Compared to IMERG, it has 824 been well known that models have trouble simulating the precipitation realistically (Chen et al., 825 2021; Christopoulos & Schneider, 2021; Frei et al., 2003; Kim et al., 2021). It thus can be 826 expected that the IMERG precipitation is closer to the true observation compared to reanalysis-827 based precipitation. The differences between IMERG-based AR precipitation and reanalysis-828 based AR precipitation can also be viewed as biases in reanalyses. Furthermore, a previous study 829 (Naud et al., 2020), which uses IMERG to evaluate the modeled precipitation in oceanic 830 extratropical cyclones, found that IMERG-based cyclone precipitation is stronger over the warm 831 sector of the cyclone compared to other model- and reanalysis-based cyclone precipitation. 832 Given that ARs are usually located over the warm sector of the cyclone, this result adds further 833 confidence to the findings presented in this study. 834 835

836 In this study, we have provided a proof of concept for the feasibility of detecting ARs in satellite observations using both moisture and wind information. As the quality of the satellite 837 observations continues to improve, the methodology presented here can be applied to other 838 satellite observations such as geostationary satellites to develop higher resolution or higher 839 frequency AR statistics. We have also conducted a comprehensive intercomparison between 840 reanalyses and satellite observations and among reanalyses on their skills in representing AR 841 842 characteristics and AR-related precipitation. Our findings provide better guidance on the direct uses of reanalyses and reanalysis-based precipitation in future AR studies. The satellite-based 843 AR statistics and AR precipitation developed in this study can also be used to evaluate the 844 climate models' skills in representing ARs and AR precipitation. Such evaluation will be 845 presented in a future study. 846

847

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- 859 **Open Research**
- 860 AIRS/AMSU can be found at: <u>https://disc.gsfc.nasa.gov/datasets/AIRX3STD_006/summary</u>
- 861 IMERG can be found at: <u>https://gpm.nasa.gov/data/directory</u>
- 862 MERRA-2 (https://doi.org/10.1175/JCLI-D-16-0758.1) can be found at:
- 863 <u>https://gmao.gsfc.nasa.gov/reanalysis/MERRA-2/data_access/</u>
- 864 ERA5 (https://doi.org/10.1002/qj.3803) can be found at:
- 865 <u>https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5</u>
- 866 ERAI (https://doi.org/10.1002/qj.828) can be found at:
- 867 <u>https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era-interim</u>
- 868 JRA-55 (<u>https://doi.org/10.2151/jmsj.2015-001</u>) can be found at:
- 869 <u>https://rda.ucar.edu/datasets/ds628.0/</u>
- 870 CFSR (<u>https://doi.org/10.1175/2010BAMS3001.1</u>) can be found at:
- 871 <u>https://rda.ucar.edu/datasets/ds093.0/</u> and https://rda.ucar.edu/datasets/ds094.0/
- 872 NCEP R1 (<u>https://doi.org/10.1175/1520-0477(1996)077<0437:TNYRP>2.0.CO;2</u>) can be found
- 873 at: <u>https://psl.noaa.gov/data/gridded/data.ncep.reanalysis.html</u>
- 874 NCEP R2 (<u>https://doi.org/10.1175/BAMS-83-11-1631</u>) can be found at:
- 875 <u>https://psl.noaa.gov/data/gridded/data.ncep.reanalysis2.html</u>
- 876
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@AGUPUBLICATIONS

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1218	Journal of Geophysical Research: Atmospheres		
1219	Supporting Information for		
1220 1221	Evaluating the Representations of Atmospheric Rivers and Their Associated Precipitation in Reanalyses with Satellite Observations		
1222	Weiming Ma ¹ , Gang Chen ¹ , Bin Guan ^{2,3} , and Christine A. Shields ⁴ , Baijun Tian ³ and Emilio Yanez ¹		
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1231 1232 1233 1234 1235	Contents of this file Text S1 Table S1 Figure S1 to S7		
1236	Text S1.		
1237 1238 1239 1240 1241	Due to the presence of the noises in the geopotential height field in AIRS/AMSU, the derived geostrophic winds are too strong compared to those derived from reanalyses. To alleviate this problem, a bias correction procedure was applied to the geostrophic wind magnitude in AIRS/AMSU. More specifically, the weighted vertical average geostrophic winds were first calculated for each grid point using data at 925, 850, 700 and 600 mb. The climatological mean		

geostrophic wind magnitude for each grid point over mid-latitude oceans from 30° N/S to 60°
N/S in ERA5 was then calculated and regressed onto the unsmoothed climatological mean
geostrophic wind magnitude of the corresponding grid point over mid-latitude oceans from 30°
N/S to 60° N/S in AIRS/AMSU (spatial regression). The obtained regression coefficient and
intercept were then applied to the geostrophic wind magnitude at every grid point and time step
in AIRS/AMSU. This bias correction procedure would correct the mean in AIRS/AMSU so that

1248 the climatological mean geostrophic wind magnitude spatially averaged over mid-latitude oceans

1249 from 30° N/S to 60° N/S in AIRS/AMSU would equal to that in ERA5. Since the AR detection

- algorithm used in this study adopts a percentile-based threshold, such bias correction on the
- 1251 geostrophic wind magnitude would not affect the ARs detected.
- 1252
- 1253

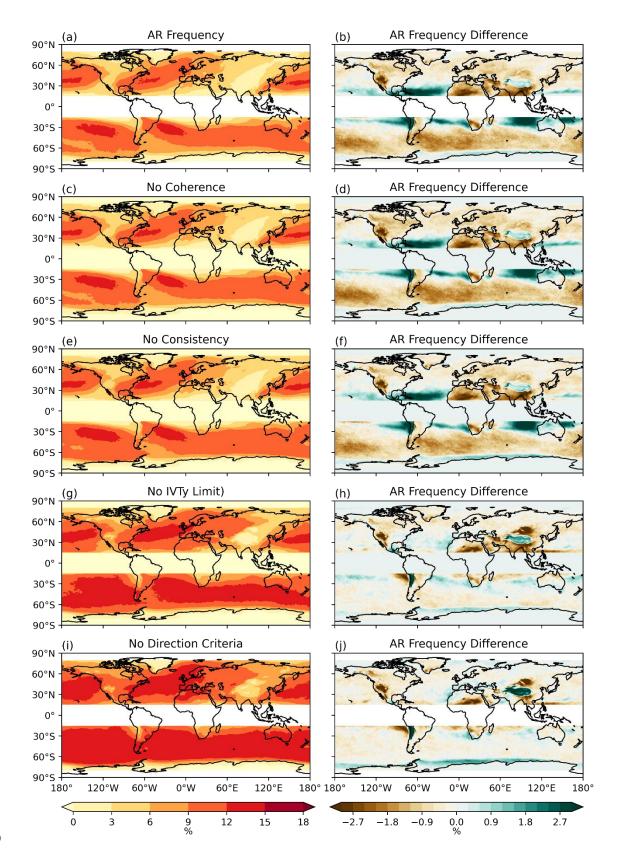
Criteria	Original Guan & Waliser (2015)	Modified Guan & Waliser (2015)
Threshold	85 th percentile IVT for a particular month is based on all the time steps from the five months centered on that month over the study period and a lower limit of 100 kg/m/s	85 th percentile IVT for a particular month is based on all the time steps from that month over the study period and a lower limit of 100 kg/m/s
Coherence criterion	Yes	No
Meridional IVT criterion	Yes	No
Consistency Criterion	Yes	No
Length >= 2000 km	Yes	yes
Length/Width Ratio >= 2	Yes	Yes
Detected Object filtered out if all its area located within 30° N/S	No	Yes

1254 **Table S1**. Summary of the differences between the original Guan & Waliser (2015) algorithm and the

modified algorithm used in this study. The definition of coherence criterion, meridional IVT criterion, and
 consistency criterion can be found in section 2.2 of the main text.

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1258



1261 **Figure S1.** AR frequency distribution based on GIVT using the original Guan & Waliser (2015)

1262 algorithm (a), the original algorithm but with the coherence criterion removed (c), the original algorithm

but with the consistency criterion removed (e), the original algorithm but with the meridional IVT

1264 criterion removed (g), the original algorithm but with all three IVT direction criteria removed (i). (b), (d),

1265 (f), (h), and (j) are showing the AR frequency differences between those based on GIVT and those based

1266 on IVT (GIVT – IVT) using the corresponding modified algorithms. See the main text for more

information on the IVT direction criteria used in the original algorithm. All results shown here are basedon ERA5.



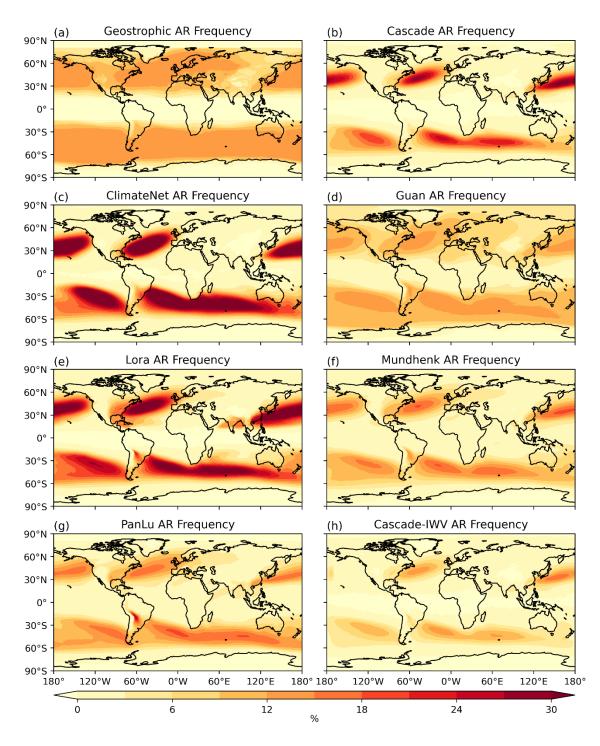
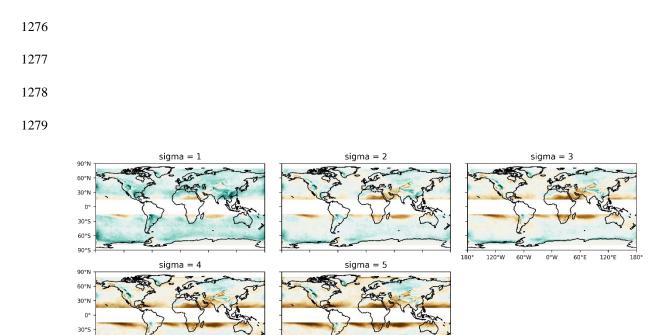


Figure S2. Annual AR frequency based on the AR detection algorithm for satellite data and seven global
 AR detection algorithms participated in the ARTMIP. All panels are based the 6-hourly data from
 MERRA-2.



60°5 90°5

180

120°W

60°W

0°W

-4.5

60°E

120°E

-3.0

180° 180°

1281 **Figure S3**. AR frequency difference between ERA5 and AIRS/AMSU as a function of sigma.

120°W

-1.5

60°W

o∘w

0.0 % 60°E

120°E

1.5

180

3.0

4.5

1282

1283

1284

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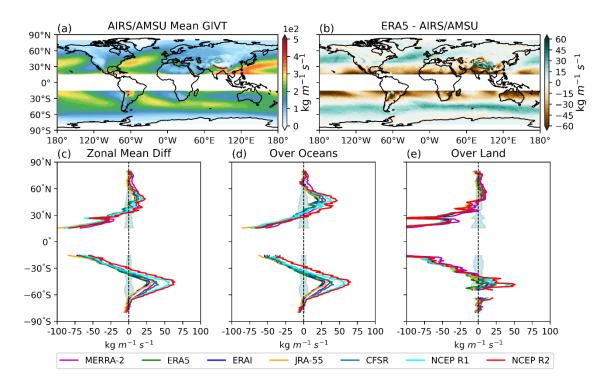


Figure S4. Climatological mean GIVT in AIRS/AMSU (a). Climatological mean GIVT difference
 between ERA5 and AIRS/AMSU (b). The differences between climatological zonal mean GIVT in

1290 reanalyses and AIRS/AMSU (c). (d) and (e) are the same as (c), but for the climatological zonal mean

1291 GIVT over oceans and land, respectively. The shading in (c), (d) and (e) represents one standard deviation

1292 of the annual zonal mean GIVT in AIRS/AMSU

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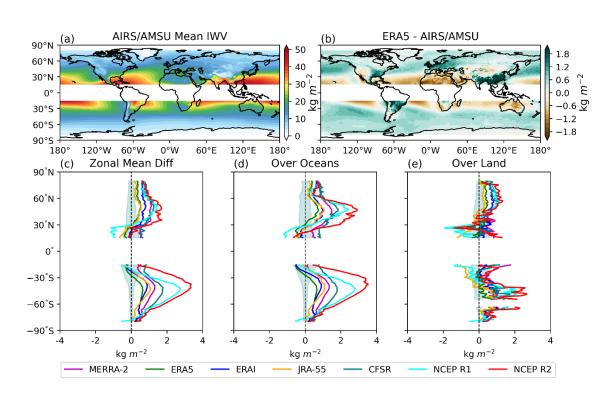
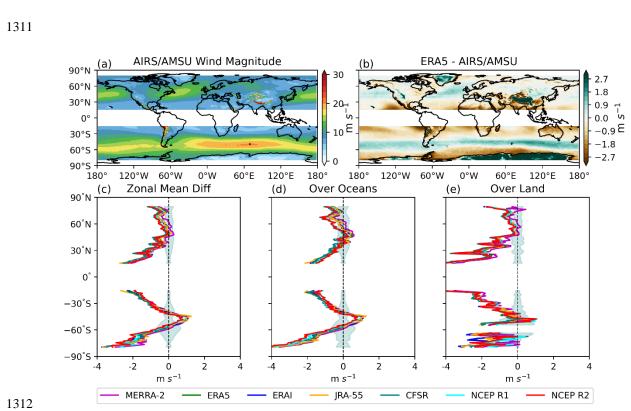
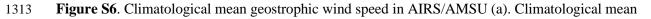


Figure S5. Climatological mean IWV in AIRS/AMSU (a). Climatological mean IWV difference between ERA5 and AIRS/AMSU (b). The differences between climatological zonal mean IWV in reanalyses and AIRS/AMSU (c). (d) and (e) are the same as (c), but for the climatological zonal mean IWV over oceans and land, respectively. The shading in (c), (d) and (e) represents one standard deviation of the annual zonal mean IWV in AIRS/AMSU



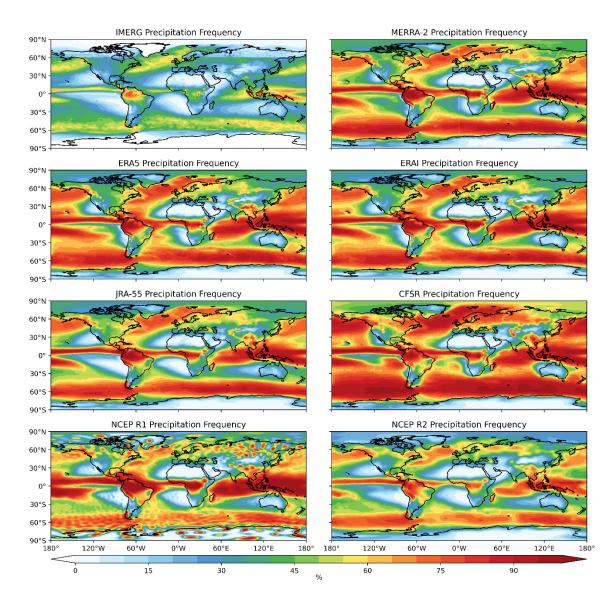


1314 geostrophic wind speed difference between ERA5 and AIRS/AMSU (b). The differences between

1315 climatological zonal mean geostrophic wind speed in reanalyses and AIRS/AMSU (c). (d) and (e) are the

1316 same as (c), but for the climatological zonal mean geostrophic wind speed over oceans and land,

- respectively. The shading in (c), (d) and (e) represents one standard deviation of the annual zonal mean
 wind magnitude in AIRS/AMSU





- 1323 Figure S7. Precipitation frequency in satellite observation and reanalysis products.

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