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## REVIEW

# An introductory review of the thermal structure of subduction zones: I. Motivation and selected examples

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### Abstract

The thermal structure of subduction zones is fundamental to our understanding of physical and chemical processes that occur at active convergent plate margins. These include magma generation and related arc volcanism, shallow and deep seismicity, and metamorphic reactions that can release fluids. Computational models can predict the thermal structure to great numerical precision when models are fully described but this does not guarantee accuracy or applicability. In a pair of companion papers the construction of thermal subduction zone models, their use in subduction zone studies, and their link to geophysical and geochemical observations is explored. In part I the motivation to understand the thermal structure is presented based on experimental and observational studies. This is followed by a description of a selection of thermal models for the Japanese subduction zones.

### Keywords

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Geodynamics, Plate tectonics, Finite element methods, Subduction zone metamorphism, Arc volcanism

## **1 Introduction**

<sup>4</sup> Subduction zones are tectonically active regions on Earth where oceanic plates <sup>5</sup> descend into the Earth's mantle below a continental or oceanic plate. These are lo-<sup>6</sup> cations that experience explosive arc volcanism, large underthrusting earthquakes <sup>7</sup> along the seismogenic zone, and continental crust production. Deeper expression <sup>8</sup> of subduction are, for example, the metamorphic changes that include dehydra-<sup>9</sup> tion reactions that lead to melting in the overlying mantle and that can lead to <sup>10</sup> intermediate-depth and deep seismicity (Figure 1a).

The thermal state of subduction zones exerts fundamental controls on volcanic 11 activity, seismicity, and metamorphic reactions. We will provide an introductory 12 overview of observational, experimental, and modeling approaches that can be used 13 to understand the thermal structure of subduction zones and its impact on global 14 dynamics. We have provided a broad discussion with more detail than is common in 15 review papers. This is intended to broaden the appeal of this review to an audience 16 of advanced undergraduate students, graduate students, and any professionals from 17 outside the field of geodynamics who are interested in an introductory review. We 18 will focus on modeling details that allow readers to better comprehend how subduc-19 tion zone thermal models are formulated, executed, and validated. We will discuss 20

<sup>1</sup> recent literature in particular to highlight the broad and current interest that the

Figure 1 Subduction zone processes and example of thermal structure. a) Cartoon of subduction zone processes that control and are affected by its thermal structure (modified from van Keken (2003)). b) Thermal structure predicted for Tohoku (trench-perpendicular cross-section below Sendai, Miyagi Prefecture) adopted from van Keken et al. (2012)). T is temperature. Contour lines are shown at every 100°C. At the decoupling depth  $d_c$  the slab changes from decoupling at shallower depths to full coupling with the overlying mantle wedge. Note that we use the terms coupling and decoupling here and elsewhere in their long-term geodynamical context. This is in contrast with the context of the frictional-elastic seismic cycle at shorter time scales where these terms are used in the opposite sense. Green line: oceanic Moho. Black top line indicated by M is the continental Moho. This cross-section shows the predicted thermal structure for an end-member cold subduction zone which is caused by the rapid (8.3 cm/yr) subduction of old (130 Myr) oceanic lithosphere. c) Temperature of the top of the oceanic crust (in red) and oceanic Moho (in green) as a function of lithostatic pressure P and depth.



<sup>22</sup> solid Earth scientists have in the thermal structure of subduction zones. For more

<sup>23</sup> "traditional" reviews see van Keken (2003), Wada and King (2015), and Peacock
<sup>24</sup> (2020).

<sup>25</sup> 1.1 Mechanisms and factors controlling thermal structure

The oceanic lithosphere is a rheological boundary layer of the Earth's solid mantle 26 that is relatively strong compared to the underlying asthenosphere. The lithosphere 27 has petrological distinctions with a  $\sim 6$  km thick crust (Christeson et al., 2019) that 28 overlies a depleted layer of harzburgite from which the melt that formed the crust 29 at mid-oceanic ridges has been extracted. As the oceanic lithosphere spreads from 30 the mid-ocean ridge it ages and cools; at an age of 80–100 Myr the lithosphere 31 reaches a typical thickness of 100 km. Upon subduction, the oceanic lithosphere 32 stops cooling and starts warming due to a combination of processes. Along its 33 entirety, the slab warms due to heat flowing from the warm mantle at the base of 34 the slab. At shallow depths (less than  $\sim 50$  km) radiogenic heat produced in the crust 35 of the overriding plate and shear heating due to friction along the plate interface 36 can heat the top of the slab (e.g., Molnar and England, 1990; van Keken et al., 37 2019). In most present-day subduction zones, the slab appears to remain decoupled 38 (over long geodynamical time scales) from the overriding mantle to a depth of 75– 39 80 km forming a "cold corner" in the mantle wedge (Furukawa, 1993; Wada and 40 Wang, 2009, Figure 1a). Below this depth the slab couples to the overriding mantle 41 wedge asthenosphere (Figure 1b). The motion of the subducting plate results in 42 a drag on the overlying mantle that leads to a cornerflow, which causes advective 43 transport of the hot mantle wedge material onto the slab that in turn provides 44 rapid warming of the slab surface and of the underlying oceanic crust and mantle 45 by further conduction. 46

The dramatic heating of the slab surface below the coupling depth (indicated 47 by  $d_c$  in Figure 1) is evident by the tightening of the isotherms near the slab surface 48 (Figure 1b) and the rapid heating of the slab surface (Figure 1c). While the oceanic 49 Moho (green line in Figure 1b) is only  $\sim 6$  km from the top of the oceanic crust, 50 the temperature increase here is modest and lags significantly behind that at the 51 top. The average temperature gradient can be more than  $50^{\circ}$  C/km throughout the 52 subducting crust. The conductive heat flow from the top is in competition with the 53 advective transport of the cold slab that originates at the trench. As a consequence, 54 one can predict that metamorphic reactions (including those involving dehydration) 55 occur at very different depths in the slab as it descends into the mantle. 56

Important primary factors that control the thermal conditions in subduction 57 zones at depth are the age of the incoming plate, the descent rate (which is con-58 trolled by the convergence velocity at the trench and slab geometry), the frictional 59 properties of the shear zone decoupling the slab from the overriding plate, and, at 60 greater depth, the rheology of the mantle wedge that controls the corner flow. The 61 first two parameters are used in the subduction zone thermal parameter  $\Phi$  which 62 is defined as the multiplication of age at the trench in Myr, convergence speed 63 in km/Myr, and the sine of the (average) dip of the slab geometry (Kirby et al., 64 1996). The first two can be readily found for a given subduction zone section from 65 global databases (see approach discussed in Syracuse and Abers, 2006). The dip 66 dependence of  $\Phi$  is useful if one wishes to estimate how fast the thermal effect of

subduction along a straight plane reaches a particular depth. Syracuse and Abers 68 (2006) determined the average dip for any of their 51 subduction zone segments by 69 averaging the dip within the 50 to 150 km depth contours (Ellen Syracuse, personal 70 communication). This approach was also used in determining the average dip for the 71 expanded selection of 56 subduction zone segments used in Syracuse et al. (2010). 72 It should be noted that this parameter is the most uncertain in  $\Phi$  since it can 73 vary greatly depending on specific cross-section and the method used to determine 74 average dip. Since most subduction zones show a change from shallow dip at the 75 trench to intermediate or large dip at depth one should not be overly confident in 76 applying the thermal parameter – it might be more useful to consider a simplified 77 thermal parameter that is just age times convergence speed. 78

The thermal parameter (simplified or not) is a useful indicator whether we 79 might expect a subduction zone to be on the "warm" or "cold" end of the spectrum 80 or that it may be more "intermediate". For example, using the Syracuse et al. (2010) 81 compilation, Cascadia ( $\Phi$ =100 km) and Nankai ( $\Phi$ =450 km) are by this criterion 82 among the warmest subduction zones whereas Tohoku and Hokkaido ( $\Phi \sim 6000$  km) 83 and in particular Tonga ( $\Phi$ =14,800 km) are among the coldest. Cascadia and Tonga 84 occupy the extremes – the average and median values for  $\Phi$  are 2900 km and 85 2200 km, respectively. It should be noted that the current value for Tonga is higher 86 than that in Syracuse and Abers (2006) who estimated  $\Phi = 6300$  km. The difference 87 is because Syracuse et al. (2010) took into account the addition of the high trench 88 retreat velocity due to the opening of the Lau backarc basin. An example that shows 89 a moderate correlation between  $\Phi$  and slab temperatures at the top of the slab is in 90 van Keken et al. (2011, their Figure 2). By contrast, Figure 12F in Syracuse et al. 91 (2010) showed little correlation between the sub-arc slab surface temperature and 92 thermal parameter. There is no internal discrepancy here – the models used in these 93 two papers are largely similar. The reason for the scatter in the temperature at the 94 slab surface below the arc is that this part of the slab surface is still seeing a rapid 95 temperature increase due to the mantle wedge flow whereas at 120 km depth the 96 temperature increase is significantly more gentle (Figure 1c). This clearly suggests 97 that  $\Phi$  in either of its forms should be used with caution when discussing processes 98 that occur below the arc. 99

### <sup>100</sup> 1.2 Why do we need to know the thermal structure of subduction zones?

Before we start a discussion on how we can formulate subduction zone thermal 101 models it may be useful to consider why we might be interested in this in the first 102 place. We will provide a motivation by highlighting work from the last decade or 103 so that use model estimates from compilations of global models as presented, for 104 example, by Wada and Wang (2009) and Syracuse et al. (2010) to inspire exper-105 iments or interpret geochemical and geophysical observations that are relevant to 106 our understanding of the dynamics of subduction zones. We embark on this section 107 with some trepidation as any conclusions and interpretations presented here may 108 only be as strong as the thermal models they are based on. 109

### 110 1.2.1 Design and interpretation of physical experiments

<sup>111</sup> Global compilations of subduction thermal structure have been used extensively

<sup>112</sup> to determine whether experimentally determined metamorphic changes and melt-

<sup>113</sup> ing under various hydration states can occur in present-day subduction zones and

whether they can explain volcano geochemistry. For example, Tsuno et al. (2012) 114 determined that the sub-volcano slab surface below Nicaragua could not produce 115 carbonated sediment melting but that carbonitite production could occur in the 116 warmer overlying wedge after diapiric rise. Jégo and Dasgupta (2013, 2014) used 117 thermal model constraints to show that sulfur could be transferred from the slab to 118 mantle wedge either by aqueous fluids or by melting of the hydrated basaltic crust. 119 Brey et al. (2015) used global estimates to constrain experimental conditions of 120 carbonate melting in the presence of graphite or diamond. A similar approach was 121 taken by Merkulova et al. (2016) but now for studying the role of iron content on 122 serpentinite dehydration. Lee et al. (2021) used thermal models of cold subduction 123 zones to argue for the stability of chloritoid and its contribution to the relatively 124 strong trench-parallel seismicity observed in such regions. 125

Bang et al. (2021) used thermal models to study the stability of subducted 126 glaucophane over Earth's thermal evolution. Codillo et al. (2022) showed chlorite 127 is preferentially formed over talc during Si-metasomatism of ultramafic rocks while 128 also suggesting a limited rheological role of talc in determining the physical struc-129 ture of subduction zones (as suggested to the contrary by Peacock and Wang, 2021). 130 Martindale et al. (2013) used models specific for the Marianas subduction zone to 131 design experiments focusing on high-pressure phase relations of volcaniclastic sedi-132 ments and demonstrated that these sediments contribute widely to the geochemical 133 characteristics of Mariana arc magmas. The global spread of the predicted subduc-134 tion zone thermal structures has also been used to understand the phase stability 135 field of various serpentinite phases and to rule out that a laboratory-produced high-136 pressure form of antigorite could be stable inside the Earth (Reynard, 2013). 137

### 138 1.2.2 Interpretation of geochemistry

Thermal models have been used to interpret processes that contribute to geochem-139 ical heterogeneity seen in arc lavas. Examples include those exploring the rela-140 tionship between geochemical signatures of the subducting slab and arc volcanism 141 (Rustioni et al., 2021) as well as the mechanisms causing volcanism (Marschall and 142 Schumacher, 2012). Global models provided the suggestion that aqueous fluids and 143 hydrous melts produced enhanced chemical recycling particularly in hot subduction 144 zones (Hernández-Uribe et al., 2019). Applications to specific elemental or isotopic 145 systems include those of Ce and Nd under the Mariana volcanic arc (Bellot et al., 146 2018) and the determination that nitrogen subduction in clay minerals is only pos-147 sible in cold subduction zones (Cedeño et al., 2019). Slab surface temperatures 148 strongly correlate with Mg isotope ratios observed in volcanic arcs confirming a 149 thermal control on processes controlling Mg release from the subducting slab (Hu 150 et al., 2020). In a more regional example, slab surface temperatures in the Lesser 151 Antilles are predicted to be lower than that required for slab melting, suggesting the 152 role of dehydration of the slab crust (including sediments) as indicated for example 153 from K isotopic studies (Hu et al., 2021). Vho et al. (2020) used the average subduc-154 tion zone thermal structure to model oxygen isotope variations to study fluid-rock 155 interaction. They suggested the potential for rapid serpentinization of the forearc 156 mantle by slab fluids and that the use of oxygen isotopes allows fluid pathways, the 157 type of flow, and pressure-temperature conditions encountered by the fluid to be 158 tracked. 159

Thermal models of the subducting slab such as those in van Keken et al. (2002) 160 and Syracuse et al. (2010) form a fundamental part of geochemical modeling appli-161 cations facilitated by the Arc Basalt Simulator suite of tools (Kimura, 2017; Kimura 162 et al., 2009). A few examples of the many applications of these tools are as follows. 163 Mazza et al. (2020) found that the slab thermal structure controls release of tung-164 sten and its isotopic ratios which allows for tracing of slab dehydration and slab 165 melting. Kimura et al. (2014) showed that the wide diversity of magma types found 166 through SW Japan in response to the subduction of the young Philippine Sea Plate 167 was caused by melting of the slab and that this induced flux melting of peridotite 168 in the mantle wedge. A combined geochemical and geophysical study explored the 169 role of water in magma genesis in the much colder NE Japan subduction zone and 170 allowed for mass balance constraints on local water fluxes (Kimura and Nakajima, 171 2014). Variations of arc lava composition between the volcanic arc and backarc in 172 the northern Izu arc could be explained by differences in the pressure and tempera-173 ture conditions during melting in addition to variable water content (Kimura et al., 174 2010). 175

### 176 1.2.3 Translation of mineral physics to geophysical quantities

Slab thermal models are routinely used in interpreting how the presence of volatiles 177 could affect geophysical properties predicted from laboratory experiments (e.g., 178 Förster and Selway, 2021; Huang et al., 2021; Pommier et al., 2019). This allows 179 for the interpretation of the role of fluids in explaining electromagnetic and magne-180 totelluric observations over subduction zones (Förster and Selway, 2021; Pommier 181 and Evans, 2017). Chen et al. (2018) used thermal model predictions for various 182 regions to understand the role of phengite dehydration on the formation of high 183 conductivity anomalies above subducting slabs. Similar studies focused on the in-184 fluence of dehydration on the electrical conductivity of epidote (Hu et al., 2017), 185 talc (Wang et al., 2020), NaCl-bearing aqueous fluids (Guo and Keppler, 2019), and 186 glaucophane (Manthilake et al., 2021). 187

### 188 1.2.4 Plate interface earthquakes, slow slip, and episodic tremor

Global thermal models have also been used to explore seismic processes occurring at 189 the plate interface below the forearc, which include the seismogenic zone that expe-190 rience underthrusting seismic events (such as the 2011 Tohoku-oki earthquake) that 191 are separated by interseismic periods. Understanding the rheological properties of 192 the plate interface, for example whether the plate interface is locked or deforms by 193 aseismic creep (see, e.g., Loveless and Meade, 2011), is essential to understand the 194 seismic hazards in a particular subduction zone. The discovery of episodic tremor 195 and slip (e.g., Rogers and Dragert, 2003) and its relation to low-frequency earth-196 quakes (Shelly et al., 2006) has led to a further appreciation of the important role of 197 rheology and fluid production along the plate interface. These processes are both at 198 least in part temperature-dependent and it is expected that various features of the 199 plate interface are controlled by the thermal characteristics of a given subduction 200 zone. As an example, use of specific thermal models showed a relatively low tem-201 perature (less than 300°C) at the down-dip limit of the seismogenic zone (Fagereng 202 et al., 2018). In a study combining field examples of sand-shale mélanges from Ko-203 diak accretionary complex and the Shimanto belt with kinematic modeling, Fisher 204

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et al. (2019) demonstrated the strong influence temperature at the slab top has on

<sup>206</sup> the healing of cracks that modulate the fault zone strength during the interseismic

<sup>207</sup> period. The Syracuse et al. (2010) model for Tohoku was used as a basis for models

explaining the viscoelastic flow after the 2011 Tohoku-oki earthquake (Agata et al.,

209 2019). Condit et al. (2020) showed from warm subduction zone models that locally

<sup>210</sup> produced fluids are sufficient to explain episodic tremor and slip events.

### 211 1.2.5 Nature of intermediate-depth and deep seismicity

Earthquakes in the shallow crust and mantle as well as underthrusting events along 212 the seismogenic zone tend to be caused by brittle failure, which is possible due to dif-213 ferential stresses under modest hydrostatic pressures. At depths greater than  $\sim 40^{-1}$ 214 70 km the hydrostatic pressure becomes large enough to make brittle failure ineffec-215 tive, which therefore requires different physical mechanisms to cause intermediate-216 depth ( $\sim 70-400$  km) and deep ( $\sim 400-700$  km) earthquakes (see Frohlich, 2006). 217 Intriguingly, intermediate-depth seismicity seems to have a strong petrological con-218 trol as shown by Abers et al. (2013). In cold subduction zones such as Tohoku and 219 Hokkaido the upper plane seismicity of the Wadati-Benioff zone peaks in the oceanic 220 crust (Figure 2a). The oceanic crust in warm subduction zones tends to have little 221 seismicity in the oceanic crust with seismicity peaking in the slab mantle (Figure 222 2b). Abundant seismicity and dense seismic networks allow for precise hypocenter 223 locations below Japan (e.g., Kita et al., 2010b). Thermal modeling suggests that 224 the major dehydration reaction of blueschist to lawsonite eclogite facies (informally 225 denoted as the "blueschist-out" boundary; Figure 2c) occurs at a pressure and tem-226 perature range just where seismicity in the upper plane disappears (van Keken 227 et al., 2012, Figure 2d). This strongly suggests that fluids caused by dehydration 228 of blueschist facies rock travel back up the slab triggering the shallower seismic-229 ity, possibly through hydrofracturing caused by fluid overpressure (Padrón-Navarta 230 et al., 2010). The presence of free fluids in parts of the oceanic crust below Tohoku 231 that have abundant seismicity is strongly suggested from observations of very low 232 P-wave speeds in seismically active region of the subducting crust below Tohoku 233 (Shiina et al., 2013, Figure 2e) and Hokkaido (Shiina et al., 2017). 234

Sippl et al. (2019) interpreted the seismicity distribution in the Northern Chile 235 subduction zone to be caused by the production of fluids due to metamorphic de-236 hydration reactions triggered by heating when the slab gets into contact with the 237 hot mantle wedge. In this region, Bloch et al. (2018) demonstrated a correlation 238 between earthquakes and a high  $V_p/V_s$  region in the lower plane of the double seis-239 mic zone that is likely due to antigorite dehydration at depth and the presence of 240 fluids at shallower depths. Wei et al. (2017) showed that the double seismic zone in 241 Tonga extends to a maximum depth of 300 km with a clear trend of the maximum 242 depth along a given profile correlating with the convergence speed, suggesting that 243 metamorphic dehydration, likely that of antigorite, occurs when the slab interior 244 first reaches  $\sim 500^{\circ}$ C. 245

Independent support for the role of free fluids in the subducting oceanic crust is provided by modeling of fluid flow in subduction zones where the (important, but often ignored) driving force of pressure gradients caused by compaction of rock upon dehydration is included. Without this force fluids tend to leave the slab by

buoyancy alone - with compaction pressure fluids released by dehydration reactions 250 in the crust tend to travel back up the subducting crust before exiting the slab 251 (Wilson et al., 2014, Figure 2e). Note that the model with compaction pressure 252 causes the fluids to exit below the arc allowing for a self-consistent explanation of 253 the location of the arc. The broad and distributed fluid release from the slab in the 254 buoyancy-only model would predict multiple volcanic fronts which is generally not 255 observed. The suggestion that distributed seismicity is caused by fluid flow in the 256 slab is an alternative to ideas presented by Ferrand (2019) who used various thermal 257 model estimates of the pressure-temperature conditions in earthquake hypocenters 258 to argue that dehydration of antigorite as well as other hydrous phases causes 259 stress transfer to trigger seismicity. It should be noted that pervasive fluid flow is 260 also evident from field observations of exhumed portions of the oceanic crust (e.g., 261 Bebout and Penniston-Dorland, 2016; Piccoli et al., 2016). 262

Fluids may also play a critical role in deeper seismicity which forms an alterna-263 tive to proposed processes such as shear heating instabilities (Kelemen and Hirth, 264 2007; Prakash et al., 2023). For example, Shirey et al. (2021) explored the corre-265 lation between seismicity, dehydration reactions, and diamond formation in cold 266 subduction zones. They argued from thermal modeling that the conditions for deep 267 intermediate-depth seismicity are principally met in cold subduction zones because 268 in these regions the crust and uppermost mantle can bypass shallow dehydration 269 reactions. 270

Note that seismicity in the subducting slab is generally widely distributed 271 rather than tightly clustered. This appears to be in conflict with the hypothe-272 sis that embrittlement due to mineral dehydration reactions is the main cause for 273 intermediate-depth seismicity (e.g., Jung et al., 2004; Raleigh and Paterson, 1965). 274 Dehydration embrittlement would cause earthquakes to be located at the site of de-275 hydration reactions that are in a narrow pressure-temperature range and therefore 276 would cause clustering of earthquakes around these boundaries which is contrary 277 to observations (see also Ferrand, 2019). While heterogeneity, such as the variable 278 presence (and absence) of hydrous phases would create patches rather than (near-279 ) continuous seismicity but this would still occur under specific pressure-temperature 280 conditions if dehydration embrittlement were the main mechanism and would there-281 fore not explain the widely distributed seismicity. 282

### 283 1.2.6 Mobilization and deep cycling of volatiles

Compilations of thermal subduction zone structures have been critically used (along 284 with predictions of metamorphic phase stability and water content as a function of 285 lithology, pressure, and temperature) to understand where fluids are being released 286 from the slab (Cannaò et al., 2020; Hermann and Lakey, 2021; Rüpke et al., 2004; 287 van Keken et al., 2011; Vitale Brovarone and Beyssac, 2014). This applies partic-288 ularly to the release of  $H_2O$  but also to that of carbon by aqueous fluids (Arzilli 289 et al., 2023; Farsang et al., 2021). Tian et al. (2019) used simplified models of 290 thermal structure with a comprehensive thermodynamic parameterization of open 291 system reactive flow in the subducting slab. They showed the importance of redis-292 tribution of carbon by fluid flow within the lithological layers and that the subduc-293 tion efficiency of  $H_2O$  and  $CO_2$  is increased by fractionation within the subducting 294

**Figure 2** a)-b) Panels showing histogram of earthquakes in crust and mantle for cold and warm subduction zones (modified from Abers et al., 2013). c) Figure showing H<sub>2</sub>O carrying capacity in the oceanic crust (modified from Hacker, 2008). The P-T paths at the top of the crust and oceanic Moho from Figure 1c are overlain - bold blue line shows the relevant "blueschist-out" boundary. d) Earthquakes limited by "blueschist-out" below Tohoku with interpreted fluid flow (modified from van Keken et al., 2012). e) Low  $V_p$  in crust below Tohoku suggesting presence of free fluids (modified from Shina et al., 2013). Note that the blue colors in the legend are accidentally rendered as purple in the figure such that the purple region has  $V_p > 8$  km/s. f) Figure showing fluid flow rises primarily with gravity if compaction pressure is ignored (left frame); if it is included (right frame) the fluids tend to be contained in the crust before leaving the slab below the arc (modified from Wilson et al., 2014) similar to the flow of fluids suggested from the seismicity (frame d) and low P-wave velocities (frame e).



lithologies. These approaches not only facilitate our understanding of the release 295 of fluids and their contribution to subduction zone processes, but also have been 296 used as input to global models predicting the long term chemical evolution of the 297 Earth's mantle (e.g., Kimura et al., 2016; Shimoda and Kogiso, 2019). In a separate 298 study, Smye et al. (2017) used the global set of thermal models to quantify noble 299 gas recycling into the deep mantle. They showed a correlation between noble gases 300 and  $H_2O$  and that strong fractionation occurred in warm subduction zone settings 301 with minimal fractionation in cold slabs. 302

# <sup>303</sup> 2 Geophysical observations guiding modeling of the thermal <sup>304</sup> structure of subduction zones

Figure 1a provides a cartoon of subduction zone structure that builds on geophys-305 ical observations of heat flow, seismology, and geodetics. Combined, these methods 306 indicate that the mantle wedge is composed of a hot region below the arc and 307 backarc that is fairly sharply delineated from a cold forearc mantle in the tip of the 308 wedge where the slab surface is above  $\sim 80$  km depth. This wedge tip has generally 309 been called the "cold corner" or "cold nose" of the mantle wedge that indicates 310 the presence of significant rheological heterogeneity of the slab surface and mantle 311 wedge that directly controls the thermal structure of subduction zones (and can 312 therefore be used to construct thermal models such as the one in Figure 1b). In 313 this section we will explore the main geophysical observations that have led to the 314 concept of the "cold nose" and the partitioning of the mantle wedge into a cold and 315 hot region that is separated by a fairly sharp vertical boundary. 316

### 317 2.1 Heat flow

Early heat flow measurements in the Tohoku subduction zone (see discussion and 318 citations in Honda, 1985) suggested a significant change in heat flow values when 319 moving from the trench to the volcanic arc – very low heat flow values over the 320 forearc are sharply separated from much higher and more scattered heat flow val-321 ues in the arc and backarc. The scattered values in the arc and backarc regions are 322 likely due to local processes such magma transport in the crust and heterogeneous 323 heat production, as well as potential bias in the continental data (Furukawa and 324 Uyeda, 1989). An updated heat flow database for Japan (Tanaka et al., 2004) shows 325 broad consistency of this pattern along Tohoku and Hokkaido (Figure 3a). Simi-326 lar observations are now available for many subduction zones, including the Andes 327 (Henry and Pollack, 1988; Springer and Förster, 1998), Cascadia (see compilation 328 in Currie et al., 2004, and Figure 3b), Kermadec (Von Herzen et al., 2001), and 329 Ecuador–Columbia (Marcaillou et al., 2008). Heat flow data are traditionally ob-330 tained using Fourier's law by measuring the thermal gradient and rock conductivity 331 in boreholes (Pollack et al., 1993) or by marine heat flow probes (e.g., Hyndman 332 et al., 1979). Alternative methods employ electromagnetic measurements of the 333 Curie point depths and seismic observations of the Bottom-Simulating Reflector 334 (BSR). The first method makes use of change from ferromagnetic to paramagnetic 335 behavior in minerals such as magnetite when rock is heated above the Curie tem-336 perature. Determining the depth of this transition therefore allows for estimates 337 of the average thermal gradient in the crust with examples in Mexico (Manea and 338 Manea, 2011), northeast Japan (Okubo and Matsunaga, 1994) and the western Pa-330 cific (Yin et al., 2021). The second method measures the location of the base of 340 the stability field of clathrate hydrates which has a well-calibrated temperature and 341 pressure range. Depth determinations of the BSR lead therefore to determinations 342 of temperature gradients and from that estimates for the average heat flow through 343 the shallow crust. Examples of the application of the BSR technique exist for Cas-344 cadia (Salmi et al., 2017), Costa Rica (Harris et al., 2010), Hikurangi (Henrys et al., 345 2003), and Nankai (Hyndman et al., 1992; Ohde et al., 2018). 346

Figure 3 a)-b) Heat flow measurements over subduction zones show a marked low heat flow in the forearc, transitioning to higher and more scatted observations in the arc and backarc (where the slab is at a depth of greater than 80 km). a) Heat flow measurements for Tohoku. Solid blue triangles show average heat flow for Tohoku as a function of trench distance; solid circles: same but now for heat flow measurements within 100 km distance from the profile T18 from van Keken et al. (2002) shown in Figure 1. b) Heat flow measurements from the global heat flow database (see Pollack et al., 1993, and https://www.geophysik.rwth-aachen.de/IHFC/heatflow.html) near the CAFE profile in Cascadia (Abers et al., 2009). The high heat flow near the trench is due to the young age of the subducting lithosphere. c)-e) Seismic attenuation studies in Central Alaska (modified from Stachnik et al., 2004) show a sharp transition between attenuating properties of the forearc and arc/backarc mantle c) Waveforms observed for an earthquake recorded in a station over the arc. d) Same as c) but now for waveforms obtained for the same earthquake by a station located over the forearc. Note the significant change in amplitude scale as well as the change in frequency content of the waveforms between the two recordings. e) Attenuation tomography showing a sharp transition between high Q in the forearc mantle wedge and low Q elsewhere. Raypaths of the earthquake to stations with waveforms recorded as shown in panel c) and d) are schematically indicated with the arrows.



347 2.2 Seismology

Seismological methods provide critical information on the geometry of the subducting slab and structure of the overlying mantle wedge. For example, teleseismic determinations of intermediate-depth and deep seismicity in Wadati-Benioff zones have been used to delineate the position of subducting slabs (Gudmundsson and Sambridge, 1998). Important improvements over these early models include earthquake hypocenter relocation using global tomographic models (e.g., Portner and

<sup>354</sup> Hayes, 2018; Syracuse and Abers, 2006). Additional information can be obtained

from active-source seismic studies, local seismicity catalogs, and the use of PS and 355 SP converted phases at velocity interfaces that may provide information about the 356 location of the Moho or the top of the subducting crust (Bostock, 2013; Kim et al., 357 2021; Zhao et al., 1994). The most recent and comprehensive global slab surface ge-358 ometries using a combination of these techniques is provided by Haves et al. (2018). 359 Local earthquake conversions (Shiina et al., 2013) and guided-wave studies (e.g., 360 Abers et al., 2006; Rondenay et al., 2008) provide information on the hydration 361 state of the subducting crust which can further constrain thermal models. 362

Observations of seismic attenuation (which is a measure of the absorption of 363 seismic energy by non-elastic processes) is highly sensitive to temperature (Faul and 364 Jackson, 2005; Takei, 2017) and can be used to map out in particular the hot re-365 gions in subduction zones. Commonly observed features are a low attenuation slab 366 dipping below a high attenuation mantle wedge. Seismic attenuation is quantified 367 by the quality factor Q which is inversely proportional to the degree of attenuation. 368 It has been a common and long-standing observation (e.g., Sacks, 1968; Utsu, 1966) 369 that waveforms from local earthquakes tend to have higher frequency and higher 370 amplitude characteristics when they are observed by stations in the forearc com-371 pared to those observed in the arc and backarc (Figure 3c,d). In many regions it 372 has now become possible to map out the attenuation structure in subduction zones 373 in enough detail to see clear evidence of the cold corner with often a sharp, near-374 vertical boundary separating the nose of the wedge down to a slab depth of 75-80 km 375 from the strongly attenuating mantle wedge below the arc and back-arc. Such re-376 gions include Peru (Jang et al., 2019), New Zealand (Eberhart-Phillips et al., 2020), 377 the Lesser Antilles (Hicks et al., 2023), Tohoku (Nakajima et al., 2013), Nicaragua 378 (Rychert et al., 2008), Central Alaska (Stachnik et al., 2004, Figure 3e), Ryukyu 379 (Ko et al., 2012), the Aegean (Ventouzi et al., 2018), Tonga (Wei and Wiens, 2018), 380 and the Marianas (Pozgay et al., 2009). In contrast, a 3D attenuation study of the 381 Kyushu subduction zone showed low Q in the forearc mantle (Saita et al., 2015) 382 which the authors contributed to a relatively high degree of serpentinization. 383

A weak and partially inverted Moho in Cascadia (Bostock et al., 2002; Brocher 384 et al., 2003; Hansen et al., 2016) further illustrates the unusual nature of the forearc 385 mantle. The crust-mantle interface is generally seen as a strong velocity contrast 386 with a change from low crustal velocities to higher mantle velocities. This is the 387 case in the backarc of Cascadia, but the near disappearance of the Moho and partial 388 inversion below the forearc here suggests that the underlying mantle wedge has 389 a lower seismic velocity than the ambient mantle. Extensive serpentinization has 390 been suggested as main cause for this velocity change (Bostock et al., 2002) but 391 the change could also be due to the gabbroic nature of the overlying Siletzia terrain 392 (Crosbie et al., 2019). Low  $V_p$  velocities in the cold corner seem to be largely limited 393 to Cascadia (Abers et al., 2017). This is likely due to the less efficient dehydration 394 of the slab (and limited sourcing of fluids to the overlying forearc mantle wedge) in 395 most other, colder, subduction zones (van Keken et al., 2011). 396

Of further note, particularly for subduction zones in northeastern Japan and Ryukyu, is a marked transition in SKS splitting between forearc and arc (e.g., Long and van der Hilst, 2005; Nakajima and Hasegawa, 2004). This has been interpreted by some to represent B-type olivine fabric in the cold, moderately hydrated, and

relatively high-stress cold corner (Kneller et al., 2007; Long and van der Hilst, 401 2006). It could alternatively be due to the crystal-preferred orientation formed by 402 deformation of serpentine (e.g., Brownlee et al., 2013; Horn et al., 2020; Katayama 403 et al., 2009; Mookherjee and Capitani, 2011; Nagaya et al., 2016; Wang et al., 2019) 404 or perhaps is caused by a combination of these two mechanisms (Kneller et al., 2008; 405 McCormack et al., 2013). Wang et al. (2019) also demonstrated clear evidence of 406 the slab-mantle decoupling depth from anisotropic imaging. Of note here is the 407 anisotropy observed from SKS splitting in Central Alaska, with a marked shift in 408 direction of splitting, but now from trench-normal in the forearc and trench-parallel 409 in the arc and backarc region (Christensen and Abers, 2010). It should be noted 410 that the idea of slow convection with weak fabric development in the forearc of the 411 northeastern Japan subduction zone may need revision given new off-shore seismic 412 evidence that the forearc here may be stagnant and that the weak trench-parallel 413 anisotropy originates from pre-existing fabric in the subducting crust (Uchide et al., 414 2020). 415

### 416 2.3 Geodetics

An intriguing new approach to physically map the extent and properties of the cold 417 corner is through the use of postseismic deformation following large seismic events. 418 Forward modeling can be used to constrain the differences in rheological behav-419 ior between a mostly elastic forearc mantle compared to the visco-plastic arc and 420 backarc. This became a focus in modeling studies of the aftermath of the Tohoku-oki 421 earthquake that took into account the properties of the Pacific slab. Such models 422 require a thermal structure with a cold forearc separated from a warm arc region 423 similar to that suggested from heat flow and seismology as described above (Freed 424 et al., 2017; Hu et al., 2014; Luo and Wang, 2021; Muto et al., 2016, 2019). A useful 425 review of this evolution in thought is in Dhar et al. (2023). Alternative models that 426 focused primarily on temperature-dependent rheology also require a similar thermal 427 structure to fit postseismic uplift data (e.g., Peña et al., 2020; van Dinther et al., 428 2019). Dhar et al. (2022) used a newly deployed geodetic network to demonstrate 429 along-arc variations in the structure of the cold nose, with a narrowing of the nose 430 below Miyagi and a broadening below Fukushima. 431

## 432 2.4 The cold corner requires mechanical decoupling between the slab and shallow 433 mantle wedge

The geophysical evidence presented above requires the presence of a cold corner in 434 the mantle wedge. This in itself requires that this part of the wedge is mostly isolated 435 from the convective cornerflow and that therefore the slab remains decoupled below 436 the seismogenic zone to a depth of 75–80 km. The geophysical data also require a 437 relatively sharp transition to full slab-wedge coupling below this depth. In Figure 4 438 we reevaluate the classical models by Furukawa (1993) for the Cascadia subduction 439 zone. The model is similar to the Cascadia model in Syracuse et al. (2010) but 440 has been modified for the geometry, convergence velocity, and age at the trench 441 of the slab below the imaging Magma Under mount St. Helens (iMUSH) array 442 (Mann et al., 2019). In this model we also take into account the low radiogenic 443 heat production in the continental crust due to the gabbroic nature of the accreted 444

**Figure 4** Demonstration that heat flow constraints show that the slab should remain decoupled from the overriding mantle wedge well past the Moho. In all frames T indicates the location of the trench and VF that of the volcanic front. a) Figure redrawn from Furukawa (1993) (faithfully reproduced with the missing horizontal scale). Solid lines: heat flow predicted from his models with a decoupling depth increasing from 40 to 100 km. Gray boxes: averages of the available heat flow data at the time. b) Heat flow data for Cascadia with heat predictions from a model below the iMUSH profile over Mount St. Helens and Mount Adams (see text) with the same decoupling depths as in Furukawa (1993) in addition to  $d_c$ =80 km that we use in most of our subduction zone models. Open and filled blue circles as in Figure 3b but now with the global heat flow data from Salmi et al. (2017) projected onto the iMUSH profile. Small grey triangles are the BSR-derived data from Salmi et al. (2017) projected onto the location of Mount Adams. While the heat flow data would allow a 100 km decoupling depth ranging from 40 km (c), to 70 km (d), and 100 km (e). The volcanic front is taken to be the location of the volcanic front clearly does not.



Siletzia terrane (Wells et al., 2014), which explains the very low heat flow in the forearc region (Figure 4b). The models are more fully described in Pang et al. (2023) and are available in the Supplementary Information (see data availability statement). These models show that heat flow and position of the volcanic arc are not satisfied by a very shallow (40 km) or deep (100 km) decoupling point, but that a depth of around 70–80 km gives satisfactory model results. Other examples are in Wada and Wang (2009).

We will not delve deeply into the very interesting question of why this decou-452 pling seems to end at that depth but one can find abundant interest and suggestions 453 for potential causes in the literature. Proposed mechanisms and features include the 454 presence of weak phases such as serpentinite (Burdette and Hirth, 2022; Wada et al., 455 2008), the role of secondary phases (Peacock and Wang, 2021), or the convolution 456 of multiple competing effects (Kerswell et al., 2021). It should be noted that ex-457 planations that rely on dehydration reactions that are largely isothermal at 2-4458 GPa (such as those of antigorite and chlorite) lead to dynamics that are difficult to 459 reconcile with a fixed-depth transition (see, e.g., the T550 models in Syracuse et al., 460 2010). Note also that the weak nature of antigorite has been recently questioned 461 using experiments that showed stronger, semi-brittle deformation under relevant 462 forearc conditions (Hirauchi et al., 2020). 463

We will in the remainder of this pair of papers assume that the slab is decoupled from the overriding crust and mantle to a depth of 80 km at which point it couples to and drags down the overriding mantle wedge (Figure 1). We will then explore the resulting effects on the thermal field in subduction zones and compare these to observations.

# <sup>469</sup> 3 Selected literature examples of numerical models exploring <sup>470</sup> subduction zone thermal structure

In wrapping up part I of this review paper we will highlight a few modeling studies.
The literature covering approaches to understand and use the thermal structure
of subduction zones through modeling is vast and cannot be covered fully in an
introductory review. To limit our present scope we will focus on literature that was
published in the last decade or so and that studies the thermal structure of the
Japanese subduction systems in particular.

### 477 3.1 Why Japan?

Subduction zones in Japan (Figure 5a) are predicted to have a broad range of 478 thermal structure with the thermal parameter ranging across more than an order of 479 magnitude, from the relatively slow subduction of the young Philippine Sea Plate in 480 Nankai (thermal parameter  $\Phi = 450$  km) to fast subduction of old oceanic lithosphere 481 in NE Japan ( $\Phi$ =5100–6000 km), with intermediate conditions for Ryukyu and 482 Kyushu ( $\Phi$ =1600–2100 km). An introductory tour of thermal models of this region 483 will therefore provide us with an efficient and focused way of exploring the features 484 that may characterize the global subduction system. 485

## 486 3.2 Nankai

The shallow structure of the Nankai subduction zone is of particular interest to 487 understand the mechanisms leading to large underthrusting events and the role 488 of low frequency earthquakes, tectonic tremors, and slow slip. Harris et al. (2013) 489 complemented a synthesis of the extensive off-shore heat flow measurements with 490 2D thermal modeling to show that heat flow data suggest pervasive fluid flow in 491 the oceanic crust. This leads to differences in estimates of temperature along the 492 seismogenic zone of up to 100°C compared to models that do not take this fluid 493 flow into account. Hamamoto et al. (2011) also combined heat flow data and 2D 494 thermal modeling to show that the shear stress on the plate interface in the central 495 part of the Nankai Trough is very low. Using the, at the time, most recent heat flow 496 data, Yoshioka et al. (2013) demonstrated, using thermal models along a number 497 of 2D cross sections, the importance of shear heating along the plate interface and 498 that the thermal effects of surface erosion and sedimentation due to Quaternary 499 deformation has to be taken into account. Suenaga et al. (2019) performed 2D 500 thermal modeling to show that the metamorphic phase change from amphibolite 501 to eclogite with its associated fluid release controls the location of low-frequency 502 earthquakes and tectonic tremors. 503

A combination of features makes the Nankai subduction zone very challenging 504 for thermal modeling. These include: relative recent (re)initiation of subduction of the Philippine Sea Plate into a region of mature subduction of the Pacific below NW 506 Japan; the complicated and time-variable tectonic history (Kimura et al., 2005); 507 the variable age of the incoming lithosphere (e.g., Seno and Maruyama, 1984); 508 and changes in apparent dip along-strike (see discussion in Wang et al., 2004). In 509 addition, the proximity of the Euler pole between the Philippine Sea Plate and the 510 Eurasian plate (Seno, 1977) causes oblique convergence with changes of obliquity 511 along strike. This suggests that we can draw the most confidence from models 512



that are 3D, time-dependent, and take time-dependent changes in the age of the

incoming slab and convergence parameters into account. Such studies are, aside 514 from complicated, quite expensive computationally but there are a few such studies 515 that we can highlight. Ji et al. (2016) showed that the changes in obliquity caused 516 significant variations in temperature along the plate interface providing a potential 517 example for lateral changes in the occurrence of low-frequency earthquakes and slow 518 slip events. Morishige and van Keken (2017) focused on changes in curvature of the 519 slab and suggested that focused fluid migration explains along-strike differences in 520 accumulated slip rates of slow slip events. Wada and He (2017) focused on the 521 interaction between the recently subducting Philippine Sea plate into the mature 522 subducting of the Pacific below the Kanto region (Figure 5b). This study confirmed 523 that the heat flow data were best explained by a decoupling depth of 75 km here 524 (Figure 5c). Given the relatively young age of the Nankai subduction zone this 525 study suggests the characteristics of the plate interface that lead to the cold corner 526 establishes early. They also found that the down-dip limit of the seismogenic zone 527 is characterized by the 350°C isotherm throughout the region. 528

## 529 3.3 Tohoku and Hokkaido

For a thermal modeler, the relatively uniform subduction of the old Pacific lithosphere below NW Japan provides a welcome respite from the complications in Nankai. Convergence becomes somewhat oblique when moving north from the Japan Trench to the Kurile Trench but convergence characteristics vary relatively little along strike.

Extending the suggestion by Kita et al. (2010b), van Keken et al. (2012) demon-535 strated that the uppermost seismicity contained within  $\sim$ 7 km from the slab top is 536 controlled by metamorphic dehydration reactions in the subducting oceanic crust by 537 showing that, to reasonable confidence, this seismicity disappears at the blueschist-538 out dehydration reaction across most of the Tohoku-Hokkaido subduction zone. An 539 important exception was for a cross-section across SW Hokkaido. Below this region 540 the seismic belt deepens anomalously which was suggested to be caused by the 541 thermal effects of subducted forearc crust (Kita et al., 2010a). Using 2D model-542 ing, van Keken et al. (2012) failed to confirm this hypothesis and suggested that 543 3D flow caused by geometrical changes at the junction of the Tohoku-Kurile arc 544 (as demonstrated by Morishige and Honda, 2013) may be the real cause for the 545 anomalous characteristics of upper plane seismicity here. Using 3D thermal mod-546 eling Morishige and van Keken (2014) provided a negative test of this hypothesis. 547 They showed that the thermal variations caused by 3D flow were too small to ex-548 plain the deepening of the seismic belt. By contrast, Wada et al. (2015) were able to 549 show a significant cooling of the mantle wedge at the transition between the Tohoku 550 and Hokkaido subduction zones potentially because they used a more realistic slab 551 geometry than the idealized one in Morishige and van Keken (2014). Wada et al. 552 (2015) also cautioned that the cooling effect they predicted might be an overes-553 timate due to the assumption of steady state. This suggests that the anomalous 554 character of subduction below SW Hokkaido remains an important topic for future 555 research. 556

The Tohoku subduction zone was the focus in a study by Morishige (2022) to test whether variable thermal properties (such as thermal conductivity and thermal

expansivity) could have a significant effect on the thermal structure of the subduct-559 ing slab. A novel aspect of this study was the use of a Bayesian inversion to make 560 sure the thermal structure of the incoming plate satisfied constraints from heat flow 561 and bathymetry. The conclusion of this study was that one could use constant ther-562 mal properties since differences in thermal structure between these two assumptions 563 were found to be small. It confirmed the importance of the blueschist-out bound-564 ary on controlling the depth of the upper belt of seismicity (Figure 5d) and showed 565 that the lower plane of the double seismic zone was in the serpentinite stability field 566 (Figure 5e), confirming earlier suggestions that the deeper plane seismicity might be 567 related to the production of fluids by metamorphic dehydration of the slab mantle 568 (e.g., Faccenda et al., 2012; Hacker et al., 2003; Peacock, 2001). 569

Horiuchi and Iwamori (2016) explored fluid release and flow in the mantle wedge 570 below Tohoku. They showed they could to a reasonable degree match observations 571 of the location of the volcanic arc, seismic tomography, and heat flow if the initial 572 water content of the incoming slab was 2-3 wt% and the viscosity of the modeled 573 serpentinite layer was in the range of  $10^{20}$ – $10^{21}$  Pa·s. Yoo and Lee (2023) provided a 574 similar study of fluid production and release along with melt generation and freezing. 575 They suggested that the observed melt focusing below the Tohoku volcanic arc can 576 be best explained by a relatively deep decoupling depth (90 km) with an important 577 role for melt freezing. 578

### 579 3.4 Kyushu and Ryukyu

The Kyushu and Ryukyu subduction zones are characterized by faster ( $\sim 7 \text{ cm/yr}$ ), more mature, and steeper subduction of somewhat older (27–43 Myr) lithosphere compared to Nankai. These subduction zones have a northern termination at the Kyushu-Palau ridge and end to the south at Taiwan.

There are a few studies of note in this region that particularly focused on con-584 straining thermal conditions from the seismic characteristics of the plate interface. 585 Thermal modeling showed that lateral variations in the characteristics of short-term 586 slow slip events in Ryukyu could not be explained by thermal variations alone, but 587 could be due to variable fluid flux from the oceanic crust (Suenaga et al., 2021). 588 Gutscher et al. (2016) used 2D thermal models near the southern termination of the 589 Ryukyu subduction zone combined with the characteristics of the seismogenic zone 590 to argue either for a thermal rejuvenation of the westernmost Philippine Sea Plate 591 or that toroidal flow in the mantle wedge caused warmer than expected conditions 592 here (see also the discussion in part II about 3D flow effects on thermal struc-593 ture). Using a 2D model for Kyushu that matched local heat flow data, Suenaga 594 et al. (2018) showed that tectonic tremors occurred in the mantle wedge corner at 595 temperatures between  $450-650^{\circ}$ C and that the afterslip of the 1996 Hyuga-nada 596 earthquake occurred where the plate interface is at  $300-350^{\circ}$ C. This is at the high 597 end of temperatures suggested for the seismogenic zone (Hyndman et al., 1995) 598 suggesting therefore that maximum afterslip occurred near the down-dip end of the 599 seismogenic zone in their model. 600

## 601 4 Conclusions for Part I

We provided the motivation for the need to understand the thermal structure through geodynamical modeling and provided a select number of examples of such

- <sup>604</sup> models. In part II we will turn to explore numerical methods that can be used to
- model this thermal structure, provide ways to test the quality of such models, and
- <sup>606</sup> provide a comparison between model predictions for subduction zone temperatures
- and observations of these from geochemical and geophysical observations.

#### 608 Availability of data and material

- All geophysical and geochemical data and all modeling studies presented (except those in frames b through e of
- Figure 4) are taken from the literature. Modelled temperature and heat flow for Figure 4 (frames b through e) are
- available via the Zenodo repository doi.org/10.5281/zenodo.7843967.

#### 612 Competing interests

613 The authors declare that they have no competing interest.

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#### 616 Authors' contributions

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