An approach to link climate model tropical cyclogenesis bias to large-scale wind circulation modes

Xiangbo Feng^{1,2}, Ralf Toumi², Malcolm Roberts³, Kevin I Hodges¹, and Pier Luigi Vidale¹

¹Department of Meteorology, National Centre for Atmospheric Science, University of 5 Reading

²Department of Physics, Imperial College London ³Affiliation not available

July 20, 2023

Abstract

Attributing sources of tropical cyclogenesis (TCG) bias to large-scale circulation in global circulation models is challenging. Here, we propose the use of empirical orthogonal functions as an approach to understand model bias of TCG. Two leading modes of large-scale wind circulations in the West Pacific can explain the TCG frequency and location in both climate reanalysis and the MetUM model. In the reanalysis, the two modes distinguish the summer monsoon trough position and the strength of the north Pacific subtropical high. However, in the model, the wind circulations are biased towards the positive phase of simulated modes thus overestimating TCG in the entire Main Development Region. This bias is further related to the north-eastward shifted monsoon trough and a weakened subtropical high, and overly strong tropics-subtropics connections. This approach could be deployed more widely to other basins and models to diagnose the causes of TCG bias.

1 2	An approach to link climate model tropical cyclogenesis bias to large-scale wind circulation modes
3	
4	Xiangbo Feng ^{1,2} , Ralf Toumi ² , Malcolm Roberts ³ , Kevin I. Hodges ¹ , Pier Luigi Vidale ¹
5 6	¹ National Centre for Atmospheric Science and Department of Meteorology, University of Reading, Reading, UK
7	² Department of Physics, Imperial College London, London, UK.
8	³ Met Office Hadley Centre, Exeter, UK
9	
10	Corresponding author: Xiangbo Feng (xiangbo.feng@reading.ac.uk)
11	
12	Key Points:
13 14	• Multivariate empirical orthogonal functions are used to diagnose model bias of tropical cyclogenesis (TCG) in the West Pacific.
15 16	• Two leading modes of large-scale wind circulations can explain most of TCG variability in both ERA5 and MetUM.
17 18	• In MetUM, positive TCG bias is related to large-scale wind circulations biased towards the positive phase of the simulated modes.
19 20 21 22	

23 Abstract

- 24 Attributing sources of tropical cyclogenesis (TCG) bias to large-scale circulation in global
- circulation models is challenging. Here, we propose the use of empirical orthogonal functions as
- 26 an approach to understand model bias of TCG. Two leading modes of large-scale wind
- 27 circulations in the West Pacific can explain the TCG frequency and location in both climate
- reanalysis and the MetUM model. In the reanalysis, the two modes distinguish the summer
- 29 monsoon trough position and the strength of the north Pacific subtropical high. However, in the
- 30 model, the wind circulations are biased towards the positive phase of simulated modes thus 31 overestimating TCG in the entire Main Development Region. This bias is further related to the
- overestimating TCG in the entire Main Development Region. This bias is further related to the north-eastward shifted monsoon trough and a weakened subtropical high, and overly strong
- tropics-subtropics connections. This approach could be deployed more widely to other basins
- 34 and models to diagnose the causes of TCG bias.
- 35

36 Plain Language Summary

37 General Circulation Models (GCMs) have bias in tropical cyclogenesis (TCG). This bias largely

reduces the credibility of GCMs. In this study, we suggest an empirical orthogonal function

39 (EOF)-based approach to trace the sources of TCG bias in the western North Pacific more

40 rigorously back to large-scale wind circulation patterns. We find that TCG bias in both basin-

41 wide and regional scales is a combination of the two biased circulation patterns, associated with

too strong tropics-subtropics connections. The two biased circulation patterns are also featured

43 with a north-eastward shifted monsoon trough and a weakened subtropical high. We choose the

- 44 Met Office climate models to demonstrate the approach. But, it can be applied to other GCMs
- and basins to better understand TCG bias and inform further model development.
- 46

47 **1. Introduction**

48 The western North Pacific (WNP) is the most active ocean basin for tropical cyclones (TCs). Tropical cyclogenesis (TCG) climatology is fundamental for understanding TC variation 49 and changes in the past and future. Large-scale wind circulations can provide favourable 50 conditions for TCG (Lander and Holland, 1993; Ritchie & Holland, 1999; Wang, 2017; Wang et 51 52 al., 2019, 2022; Feng et al., 2022). Through scale interactions with synoptic disturbances, the monsoon trough (MT) and intertropical convergence zone are hypothesized to act a "seeding 53 54 bed" of WNP TCs (Wu et al., 2012; Feng and Wu, 2022; Gu et al., 2022). The above studies mostly composite synoptic wind circulations conditional on TCG events. 55

56 General Circulation Models (GCMs), including the models in the latest CMIP6 High Resolution Model Intercomparison Project (HighResMIP), have TCG bias in the WNP (e.g., 57 Vecchi et al., 2014; Hsu et al., 2019; Roberts et al., 2020a,b; Feng et al., 2020; Vidale et al., 58 2021). Studies speculated that TCG bias in GCMs could be related to model deficiency in 59 representing large-scale wind circulations (e.g., Bengtsson et al., 1995; Feng et al., 2020), 60 because of inadequate model resolution (Roberts et al., 2015; 2020a,b) and uncertainty in 61 stochastic physics (Vidale et al., 2021). Typical TCG bias diagnostics using long-term averages 62 make it difficult to plausibly trace a link to large-scale wind circulations. Long-term TCG bias 63 could be a combination of multiple TCG patterns associated with inadequate circulation 64 anomalies. Thus, the cascade of processes in TCG and regional circulation biases is not clear. To 65

66 quantify the roles of large-scale circulations in TCG bias, sensitivity experiments by restricting

- 67 circulation conditions may be required (e.g., Bush et al., 2014; Feng et al., 2019; Martin et al.,
- 68 2021). However, these experiments are technically challenging and computationally expensive.
- 69 In this study, instead, we use an empirical orthogonal function (EOF)-based approach to readily
- 70 link the TCG bias to wind circulation patterns.

71 The approach helps to address the common questions in climate models: i) How well do climate models simulate the relationship between WNP TCG frequency and large-scale wind 72 circulation patterns?, and ii) what roles do large-scale circulations have in TC bias? The Met 73 Office atmosphere-only climate models are chosen here to demonstrate the approach. For WNP 74 TCG, these models have consistent positive bias through the whole typhoon season in a 75 hierarchy of simulations with various configurations in horizontal resolution and air-sea 76 77 coupling, regardless of verification data source (Camp et al., 2015; Roberts et al., 2015, 2020a,b; Feng et al., 2019, 2020; Vidale et al., 2021). The TCG bias in the Met Office models was found 78 to favour a northward shift and slow translation speed of tracks. This paper's scope is to propose 79 a methodology to enable a clearer cascade of processes from large-scale wind circulations to the 80 TCG bias, which can be adapted to other climate models and basins. 81

82

83 **2 Data and Methods**

84 2.1 Climate model simulations and reanalysis

We evaluated the present (1979–2014) atmosphere-only climate simulations of the UK Met Office Hadley Centre Global Environment Model version 3 (HadGEM3-GC3.1; Roberts et al. 2019; Williams et al. 2018), which participate in the CMIP6 HighResMIP atmosphere-only simulations (Haarsma et al. 2016). The HadGEM3-GC3.1 components include GA7.1 (N512, ~25km, for horizontal resolution; 85 vertical levels) for atmosphere, UKCA-GLOMAP-mode for aerosol, JULES-HadGEM3-GL7.1 for land surface, NEMO-GO6.0 for ocean and CICE-GSI8 for sea ice.

The atmosphere-only climate simulations used here are produced by GA7.1 with daily sea surface temperature (SST) forced by HadISST2 at 0.25° resolution interpolated to the model's atmospheric grid. Three ensemble members are generated by perturbing atmospheric physics tendencies randomly and continuously during the simulation by the Stochastic Kinetic Energy Backscatter v2 scheme (Bowler et al. 2009). Hereafter, in this paper, the GA7.1 simulations are termed as "MetUM" simulations.

We used atmospheric data from the ECMWF fifth generation climate reanalysis (ERA5;
 Hersbach et a., 2020) to validate TCG and wind circulations. The native horizontal resolution of
 ERA5 is 31km.

101 2.2 TC track

102 TCs are tracked and identified in ERA5, and in each member of the MetUM simulations, 103 from six-hourly data on a common spectral grid (T63). Positive vorticity centres that exceed 104 0.5×10^{-5} s⁻¹ in the northern Hemisphere are tracked in the spectrally filtered 850hPa vorticity. 105 Then, the vorticity maxima at levels from 850 to 200hPa are added to the tracks using a warm 106 core search. The TC tracks are identified by applying the vorticity and warm core criteria tailored 107 for the model horizontal resolution. Details of the tracking and identification method are

described in Hodges et al. (2017). TCG is defined as the first point of the identified track. The

109 MetUM simulations and ERA5 have similar horizontal resolution and have the same TC tracking

method, so that it is fairer to validate MetUM against ERA5 TCs than against observed TCs. The conclusions are unchanged when validating against the observed Best Track data, except the

conclusions are unchanged when validating against the observed Best Track data, except the
 slightly larger bias in the east (Feng et al., 2020) related to an earlier identification of TCG in

ERA5 (Feng et al., 2022) and some mismatched storms between the two datasets (Stansfield et

- 114 al., 2020; Bourdin et al., 2022).
- 115 2.3 Analysis methods

The multivariate empirical orthogonal function (EOF) method (Wheeler and Hendon, 2004; Liang et al., 2018), which analyses multiple variables, is used to derive the temporally and

spatially independent modes of horizontal wind circulation. The multivariate EOFs analyse the

zonal and meridional wind velocities at 850hPa in the WNP ($0-40^{\circ}N$, $90-180^{\circ}E$), both in ERA5

and the MetUM ensemble mean. We analyze the typhoon peak season (July–October) when 65–

121 70% of TCs occur, over 1979–2014. Here, we only use the first two EOF components, which

explain >65% of the variance in wind circulation (Figure S1). The 850hPa winds are used
because they have a close relationship with TCG, although the EOF can be applied to winds at

- 124 any other levels.
- 125 2.4 WNP summer monsoon indices

126 The monsoon trough (MT) index is defined as the average relative vorticity at 850hPa

127 over 5–20°N, 135–165°E, indicating the MT longitudinal position (Wang and Wu, 2018). The

strength of the North Pacific subtropical high (NPSH) is defined as 500hPa geopotential height

averaged over 20–30°N, 130–150°E (Wang et al., 2013). The WNP summer monsoon

- 130 (WNPSM) index is defined as the difference of average zonal winds at 850hPa between a south-
- western region (5–15°N, 100–130°E) and a north-eastern region (20–30°N, 110–140°E) (Wang
- 132 and Fan, 1999; Wang et al., 2001).
- 133

134 **3 Results**

135 3.1 Biases in large-scale circulations and TCG

In the WNP, during the typhoon peak season, the horizontal large-scale circulation is 136 dominated by the WNPSM system (Wang and Fan, 1999; Wang et al., 2001). In ERA5, at the 137 lower troposphere, the basic state of the WNPSM consists of prevailing south-westerly monsoon 138 139 winds from the northern Indian Ocean, and easterly trade winds steering along the western and equatorward sides of the NPSH (15-30°N, 140-180°E) (Figure 1a). The steering flow in the 140 equatorward flank of the NPSH is in conjunction with the Pacific Walker circulation. The south-141 westerly monsoon winds and easterly trade winds form a northwest-southeast oriented MT, 142 which is depicted by strong relative vorticity (RV) and convergence in the lower tropospheric, 143 and strong outflow at the upper level (Figure S2a-b). Additionally, the MT is also bounded with 144 low vertical wind shear (VWS) and increased relative humidity (RH) (Figure S2c-d). These 145 conditions create favourable environment for TCG, as indicated by the northwest-to-southeast 146 tilted distribution of TCG in ERA5 (Figure 1a). The Main Development Region (MDR) is east of 147



(GPH, shading), and TCG density (blue contours at 1.0, 1.5 and 1.8 number/season) over 1979-

2014, in ERA5. (b) as (a), but in MetUM. (c) Bias of 850hPa winds (vector) and 500hPa GPH

(shading) over 1979–2014 in MetUM, against ERA5. (d) Bias of TCG density over 1979–2014

in MetUM, against ERA5. In (d), the basin is divided into four sectors: northwest (NW, 20-

and southeast (SE, 0–20°N, 150–180°E). TCG density is calculated at each grid point as the

40°N, 90–150°E), northeast (NE, 20–40°N, 150–180°E), southwest (SW, 0–20°N, 90–150°E)

number of TCG events over an area defined by a $10^{\circ} \times 10^{\circ}$ box around the grid point, per season.

captures the typical features of the WNPSM system, there are significant biases (Figure 1c). The

accompanied by an anomalously cyclonic circulation in the lower troposphere, with westerly

China. In MetUM, other environmental conditions, e.g., RV, VWS and RH, are also biased

anomalies towards east of the Philippines and easterly anomalies towards south Japan and north

(Figure S2-3). The causality between biases in the large-scale circulation and local conditions is

not straighthood. We focus on large-scale circulation as it provides a more complete picture of

most pronounced bias is a weaker NPSH and a northward displaced MT. The 500hPa

geopotential height (GPH) between 10-30°N is 10-20m lower than in ERA5. This is

The regional large-scale circulation in MetUM is shown in Figure 1b. Although MetUM

- the Philippines and the South China Sea.
- 149

150

151

152

153

154

155

156

157

158 159 160

161

162

163

164

165

166

167

168

169

environment.

The MetUM simulates the expected zonal distribution of TCG, with most forming east of the Philippines (Figure 1b). TCG is overestimated in the poleward flank of the MDR (10–30°N), and slightly underestimated in the equatorward flank (0–10°N) (Figure 1d). The bias is also associated with a significantly northward shift of TCG. MetUM has the largest number of TCG at 15°N, which is about 5° further north than that in ERA5 (Figure S4). This indicates that the

- 175 frequency and position of TCG are both biased. The basin-wide frequency of TCG is
- 176 overestimated by ~6 TCs/season.
- 177

178 3.2 Decomposition of large-scale wind circulations

179 **ERA5**

Figure 2a shows the first leading EOF (EOF1) of 850hPa wind variations in ERA5 over 180 1979–2014. EOF1 explains 53% of the variance. In the positive phase, it is characterised by 181 lower-tropospheric westerlies in the western and central tropical Pacific. EOF1 is associated with 182 anomalous convergence in the central Pacific and divergence in the western Pacific. EOF1 is 183 also related to a zonal dipole structure in the upper tropospheric winds, middle-level RH and 184 VWS (Figure S5), resembling an El Nino phase. In the positive phase, the environmental 185 conditions are associated with an eastward displacement of the MT, suppressing TCG occurrence 186 in the western sector (100–140°E) and favouring it the eastern sector (140–180°E) (Figure 3a). 187 We confirm that EOF1 represents the zonal migration of the MT, with r=0.94 between PC1 and 188 the MT index (Table S1). Thus, EOF1 has a longitudinal seesaw effect on regional TCG 189 frequency within the basin, i.e., EOF1 determines the spatial distribution of WNP TCG. There is 190 no significant correlation between the basin-wide TCG frequency and PC1 (Figure 4a). 191

The second mode (EOF2) accounts for 12% of the variance. EOF2 is highlighted with a 192 lower-tropospheric cyclonic circulation in the centre of the basin (10–30°N and 120–160°E) 193 194 (Figure 2b). EOF2 is related to meridional dipole-like variations in environment conditions. In the positive phase, there is anomalous convergence in the equatorward flank of the circulation 195 and divergence in the poleward flank. EOF2-associated meridional variations are also seen in 196 upper-level convergence, RH and VWS (Figure S6). EOF2 has a strong positive impact on TCG 197 in the northern part of the MDR, where most TCG events occur, and a weak negative impact in 198 the southeast sector (Figure 3b). PC2 has a significant correlation with the basin-wide TCG 199 200 frequency (r=0.49; Figure 4b). EOF2 resembles the dynamical features associated with a strengthening of the East Asian summer monsoon (Wang and Fan, 1999; Wang et al., 2001; 201 Vega et al., 2018). Thus, PC2 is significantly correlated with the NPSH index, with r=-0.61. 202 However, the basin-wide TCG frequency has a stronger correlation with PC2 than with the 203 NPSH, suggesting that PC2 is a better descriptor for the basin-wide frequency. 204



205

Figure 2. (a,b) EOF1 and EOF2 (vectors) of 850hPa horizontal winds in the WNP, over 1979–

207 2014 in ERA5. (c,d) as (a,b), but for EOFs in MetUM. Shading is for convergence/divergence

derived from the EOF wind patterns. Percentage of the variance explained by each EOF is

209 provided at the top. In (a,c), the blue box shows the region used to define the MT index. In (b,d),

the blue cross indicates the central position of the wind circulation (maximum relative vorticity).



211

Figure 3. (a) Correlation between TCG density and PC1 of WNP 850hPa horizontal winds, over

213 1979–2014 in ERA5. (b) as (a), but for correlation with PC2. (c,d) as (a,b), but for MetUM.

Hatched areas show significant correlations at 95% confidence level. TCG density is calculated

at each grid point as the number of TCG events over an area defined by a $10^{\circ} \times 10^{\circ}$ box around

the grid point, per season. In (a,c), the red and blue lines represent the MT position in the positive and negative phases of EOF1, respectively; the MT position is defined by the maximum

positive and negative phases of EOF1, respectively; the MT position is defined by the maximum
850hPa relative vorticity. In (b,d), the blue cross indicates the central position of the wind

circulation (maximum relative vorticity). The basin is divided into four sectors as defined in

circulation (maximum relative vorticity). The basin is divided into four sectorsFigure 1d.



Figure 4. (a, b) Timeseries of PC1 and PC2 (blue line) of WNP 850hPa horizontal winds, and the basin-wide TCG frequency (black line) over 1979–2014 in ERA5. (c,d) as (a,b), but for MetUM. Correlation between PC and TCG timeseries is provided in the top right.

225

226 *MetUM*

In MetUM, the first two EOFs explain 58% and 14% of the variance in 850hPa winds 227 228 (Figure 2c-d), respectively. Compared to ERA5, in the positive phase, EOF1 in MetUM has much stronger westerly winds in a wider tropical belt $(0-20^{\circ}N)$ and has an enhanced cyclonic 229 circulation in the subtropics (20-40°N). This corresponds to an overestimated low-level 230 231 convergence across the tropical belt with an equatorward tilt with longitude. We further find that in MetUM EOF1 is related to an anomalously closed vertical circulation cell within the tropics 232 (Figure S7). This implies that the strengthened westerly winds in the tropics and the enhanced 233 cyclonic circulation in the subtropics are dynamically organised. 234

In MetUM, the strengthened and meridionally expanded westerlies in the tropics shift the MT position further north (Figure 3c). Consequently, in the positive phase of EOF1, TCG frequency is overestimated in the south sectors of the basin. In MetUM, related to the position bias of the MT, the positive effect of EOF1 on regional TCG becomes dominant, causing a significant correlation between the basin-wide TCG and PC1 (r=0.31; Figure 4c). In contrast, there is no such basin-wide relationship in ERA5 (Figure 4a).

Although MetUM reasonably simulates EOF2 (Figure 2b,d), there are discrepancies. First, in the southern flank of the circulation, zonal wind anomalies are larger than expected, leading to stronger local convergence. Secondly, the centroid of the subtropical circulation shifts north-eastward by 5° (geographic units). Third, EOF2 in MetUM has a closer link to zonal winds of the central equatorial Pacific (140–180°E, 10°S–10°N). This easterly anomaly could transport

- 246 more moist air to the southern flank of the circulation. This unrealistic tropics-subtropics
- connection is elucidated by an anomalously vertical circulation cell with ascent in the north and
- descent in the south in the positive phase of EOF2 (Figure S7). In contrast, in ERA5 EOF2 has much less link to the circulation to the south $(20^{\circ}N-20^{\circ}S; Figure S6a-b)$. All these point that
- EOF2 and associated NPSH variations are much stronger in MetUM than in ERA5. Because
- TCG occurs mostly in the southern flank of EOF2, the positive correlations between PC2 and
- regional TCG are reasonably simulated (Figure 3b,d). The correlation between the basin-wide
- TCG frequency and PC2 is slightly higher (r=0.61) than that in ERA5 (r=0.49; Figure 4b,d).
- 254

255 **4. Discussion**

Here, we utilize the circulation mode-TCG relationships gained from the above results to paint a picture of the long-term bias in MetUM.

258 In ERA5, EOF1 only affects regional TCG (Figure 4). However, The MetUM has a much stronger EOF1 circulation regime with a north-eastward shifted MT (Figure 3). This biased 259 EOF1 can affect TCG in a large domain and exert a stronger control over the basin-wide TCG 260 frequency (Figure 4). Because the climatological mean wind circulation is biased towards the 261 positive phase of MetUM EOF1 throughout the whole period (Figure 1), the increase of MetUM 262 TCG frequency in the whole basin is mostly related to an EOF1-type wind bias. In ERA5, EOF2 263 dominates the basin-wide TCG frequency, confirming the results of Wang and Wang (2019). In 264 MetUM, because this mode shifts north-eastward and is stronger, its effect on the basin-wide 265 frequency becomes stronger (Table S1). Thus, the EOF2-type wind bias plays a secondary role in 266 the basin-wide TCG bias. In short, the two EOF-type wind biases contribute to the TCG bias by 267 altering both the TCG location and the basin-wide frequency. 268

269 Regional TCG bias is caused by inaccurate simulations of both the position and frequency of TCG. We relate it to different combinations of biased wind patterns. The most 270 noticeable bias in TCG (Figure 1d) is an overestimation in the west quadrants. The bias in the 271 southwest sector resembles the positively biased EOF1-TCG relationship in MetUM (Figure 272 3a,c), driven by the northward shift and strengthening of the MT. MetUM has a significant 273 westerly wind bias in this sector (Figure 1c). Thus, the TCG bias in the southwest is mainly 274 caused by the EOF1-type westerly wind bias. The TCG bias in the northwest sector resembles 275 the biased EOF2-TCG relationship. In this sector, TCG in MetUM has biased positive 276 correlations with EOF2 and has expected correlations with EOF1. Thus, the TCG bias in the 277 northwest is attributed to the EOF2-type wind bias depicted by a weakened NPSH. 278

In the northeast quadrant, a northward shifted and strengthening EOF2 leads to an increase of TCG in the northeast (Figure 3c-d). In contrast, a shifted and strengthening wind pattern resembling EOF1 suppresses TCG in the northeast sector (Figure 3a-b), and this counteracts the positive contribution of the EOF2-type bias. In this quadrant, as the TCG bias is positive, the EOF2-type wind circulation bias plays a dominant role. The TCG bias in the southeast quadrant shows the same pattern as in the EOF2-TCG correlation. This suggests that this TCG bias is related to an enhanced EOF2-type circulation pattern.

Here, we emphasise other implications of the EOF analysis approach, which help understand the model performance. First, in MetUM, the two leading modes of regional winds have an unrealistically merged relationship with the MT and NPSH indices, compared to those in

ERA5 (Table S1). In ERA5, the MT and NPSH indices are clearly separated and preferentially

related to PC1 and PC2, respectively. But, in MetUM, PC1 is strongly correlated with both the

291 MT (r=0.84) and NPSH (r=-0.64) indices, and PC2 is weakly correlated with these two indices

(r=-0.43). This mixed relationship is related to the enhanced tropics-subtropics connections both
 vertically and horizontally in MetUM (Figures S5-7), which damp the expected distinction of

294 these two indices.

Furthermore, in ERA5, both modes are significantly correlated with the WNPSM index (r=0.64 and 0.60, respectively; Table S1), making them good proxies to the WNPSM. However, in MetUM, the WNPSM index has significant correlation only with PC1 (r=0.86). This discrepancy implies that the WNPSM index cannot capture the features of the simulated WNPSM system. Instead, the regional boxes used to define the index should perhaps be relocated to reflect the model bias.

Secondly, the EOF analysis approach can better reveal the erroneous relationship 301 between the basin-wide TCG frequency and wind circulations in models than just using 302 circulation indices (Table S1). Because in MetUM the circulation indices cannot capture the 303 variability of the WNPSM system, the relationships between basin-wide TCG frequency and 304 these indices in models become misleading in understanding the role of regional circulations in 305 TCG. For example, the correlations between TCG frequency and these indices in MetUM are 306 identical to those in ERA5. This does not necessarily mean that the large-scale relationship is 307 accurately simulated in the model. Instead, in the EOF method, comparing the model to ERA5, 308 the PC values have distinct relationships with the TCG frequency, clearly indicating 309 misrepresented relationships between basin-wide TCG and wind circulations. 310

Finally, the EOF approach can further point out the possible factors causing the errors in 311 large-scale circulation. The EOF analysis partitions the WNPSM system into a tropics-oriented 312 regime (EOF1) and a subtropics-oriented regime (EOF2). In MetUM, both modes have an overly 313 strong tropics-subtropics connection, horizontally and vertically (Figures S5-7). This connection 314 can be further related to a biased local Hadley circulation. As the methodology could also be 315 316 applied monthly, it is interesting to know how the TCG-circulation relationship varies with seasonality (Feng et al., 2021). This type of analysis readily and objectively identifies key factors 317 which can inform further model development. 318

The biases in the circulation and the subtropical high in MetUM could be caused by e.g., 319 air-sea interactions, unresolved convection, and coupling between convection and large-scale 320 circulation (Rodriguez et al., 2017; Feng et al., 2019; Martin et al., 2021). A new subgrid 321 parametrization scheme for convection or the convection-resolving simulations (Hanley et al., 322 2019; Rooney et al., 2022) may reduce such biases. Therefore, the EOF diagnostic tool can be 323 used to trace the progress made by any model improvements. Nevertheless, some biases are 324 always expected in any model and the purpose of this paper is to propose a diagnostic tool that 325 continues to be helpful. The relationship between the biased circulations and biased local 326 environments is not straightforward. But the EOF analysis is beneficial as it well explains TCG 327 with a more complete picture of large-scale modes. In contrast, local environments, including the 328 genesis potential index, have difficulty in explaining regional TCG in both observations and 329 330 models (Menkes et al., 2012; Cavicchia et al., 2023).

331

332 **5. Conclusions**

By decomposing the wind circulations in the WNP using the multivariate EOFs, we gain 333 a better understanding of model bias of TCG. Two main circulation patterns explain the regional 334 and basin-wide frequency of WNP TCG in ERA5 and the MetUM simulations. The first 335 circulation pattern, which is typically depicted by westerly wind anomalies in the western 336 337 tropical Pacific, favours TCG in the southeast sector of the MDR and suppresses in the northwest. The second circulation pattern, which is associated with the strength of the North 338 Pacific subtropical high, encourages TCG on the poleward side of the MDR. We confirmed that 339 in ERA5 the second pattern dominates the basin-wide TCG frequency. 340

The long-term bias of wind circulation resembles the positive phase of these two EOF 341 patterns in MetUM, characterised by an anomalous cyclonic circulation and a weakened 342 subtropical high. We found that the mean state of local and remote circulation is biased towards 343 favourable conditions for TCG frequency in both the northern and southern flanks of the MDR, 344 related to two distinct modes of bias. The TCG bias in both basin-wide and regional scales is a 345 combination of these two biased circulation patterns, associated with overly strong tropics-346 subtropics connections. The credibility of models in TCG mean state closely relies on how well 347 the models simulate these distinct wind circulation patterns both in the position and strength. 348 This EOF-based analytical approach can trace the sources of model genesis bias more clearly 349 back to regional large-scale wind circulation. It could be applied to other GCMs and basins. 350

351

352 Acknowledgments

R.T. and X.F. were supported by the Singapore Green Finance Centre. X.F. and K.I.H. were supported by the UK Met Office Weather and Climate Science for Service Partnership for Southeast Asia (H5480800, H5525000), as part of the Newton Fund. X.F. was also supported by the National Centre for Atmospheric Science through the NERC National Capability

- 357 International Programmes Award (NE/X006263/1).
- 358

359 **Open Research**

The ERA5 data used in this study are generated by ECMWF and distributed by

- 361 Copernicus Climate Change Service (C3S) Climate Data Store (CDS)
- 362 (DOI: <u>https://doi.org/10.24381/cds.bd0915c6</u>). The MetUM climate simulations (HadGEM3-
- GA7.1) used in this study are obtained from the HighResMIP project
- 364 (<u>https://hrcm.ceda.ac.uk/research/cmip6-highresmip/</u>). Both datasets are available with open
- access. The code for tropical cyclone identification is available
- from <u>https://doi.org/10.5281/zenodo.7890852</u>. Computing and data storage facilities were
- 367 provided by JASMIN (<u>https://jasmin.ac.uk</u>).

368

369 **References**

- Bengtsson, L., Botzet, M., & Esch, M. (1995). Hurricane-type vortices in a general circulation
- 371 model. *Tellus A*, 47(2), 175-196.

- 372 Bourdin, S., Fromang, S., Dulac, W., Cattiaux, J., & Chauvin, F. (2022). Intercomparison of four
- algorithms for detecting tropical cyclones using ERA5. *Geoscientific Model*
- 374 *Development*, *15*(17), 6759-6786.
- Bowler, N. E., Arribas, A., Beare, S. E., Mylne, K. R., & Shutts, G. J. (2009). The local ETKF
- and SKEB: Upgrades to the MOGREPS short-range ensemble prediction system. *Quarterly*
- 377 Journal of the Royal Meteorological Society: A journal of the atmospheric sciences, applied
- *meteorology and physical oceanography*, *135*(640), 767-776.
- Bush, S. J., Turner, A. G., Woolnough, S. J., Martin, G. M., & Klingaman, N. P. (2015). The
- effect of increased convective entrainment on Asian monsoon biases in the MetUM general
- circulation model. *Quarterly Journal of the Royal Meteorological Society*, 141(686), 311-326
- Camp, J., Roberts, M., MacLachlan, C., Wallace, E., Hermanson, L., Brookshaw, A., ... &
- 383 Scaife, A. A. (2015). Seasonal forecasting of tropical storms using the Met Office GloSea5
- seasonal forecast system. *Quarterly Journal of the Royal Meteorological Society*, *141*(691),
- 385 2206-2219.
- Cavicchia, L., Scoccimarro, E., Ascenso, G., Castelletti, A., Giuliani, M., & Gualdi, S. (2023).
- 387 Tropical Cyclone Genesis Potential Indices in a New High-Resolution Climate Models
- Ensemble: Limitations and Way Forward. *Geophysical Research Letters*, 50(11),
- e2023GL103001.
- 390 Feng, X., Klingaman, N. P., & Hodges, K. I. (2019). The effect of atmosphere–ocean coupling
- on the prediction of 2016 western North Pacific tropical cyclones. *Quarterly Journal of the Royal Meteorological Society*, 145(723), 2425-2444.
- Feng, X., Klingaman, N. P., Hodges, K. I., & Guo, Y. P. (2020). Western North Pacific tropical
- cyclones in the Met office global seasonal forecast system: performance and ENSO
- teleconnections. *Journal of Climate*, *33*(24), 10489-10504.
- 396 Feng, X., Klingaman, N. P., & Hodges, K. I. (2021). Poleward migration of western North
- 397 Pacific tropical cyclones related to changes in cyclone seasonality. *Nature*
- *communications*, *12*(1), 6210.
- 399 Feng, X., Hodges, K. I., Hoang, L., Pura, A. G., Yang, G. Y., Luu, H., ... & Guo, Y. P. (2022). A
- 400 new approach to skillful seasonal prediction of Southeast Asia tropical cyclone
- 401 occurrence. Journal of Geophysical Research: Atmospheres, 127(12), e2022JD036439.
- Feng, X., & Wu, L. (2022). Roles of interdecadal variability of the western North Pacific
 monsoon trough in shifting tropical cyclone formation. *Climate Dynamics*, 1-9.
- Gu, Y., Wu, L., Zhan, R., & Wen, Z. (2022). Climatology of developing and nondeveloping
- disturbances for tropical cyclone genesis over the western North Pacific. *Terrestrial*,
- 406 *Atmospheric and Oceanic Sciences*, *33*(1), 13.
- 407 Haarsma, R. J., Roberts, M. J., Vidale, P. L., Senior, C. A., Bellucci, A., Bao, Q., ... & von
- 408 Storch, J. S. (2016). High resolution model intercomparison project (HighResMIP v1. 0) for
- 409 CMIP6. *Geoscientific Model Development*, *9*(11), 4185-4208.
- 410 Hanley, K., Whitall, M., Stirling, A., & Clark, P. (2019). Modifications to the representation of
- 411 subgrid mixing in kilometre-scale versions of the Unified Model. *Quarterly Journal of the Royal*
- 412 *Meteorological Society*, *145*(725), 3361-3375.

- 413 Hersbach, H., Bell, B., Berrisford, P., Hirahara, S., Horányi, A., Muñoz-Sabater, J., ... &
- 414 Thépaut, J. N. (2020). The ERA5 global reanalysis. *Quarterly Journal of the Royal*
- 415 *Meteorological Society*, 146(730), 1999-2049.
- Hodges, K., Cobb, A., & Vidale, P. L. (2017). How well are tropical cyclones represented in
- reanalysis datasets?. *Journal of Climate*, *30*(14), 5243-5264.
- Hsu, W. C., Patricola, C. M., & Chang, P. (2019). The impact of climate model sea surface
- temperature biases on tropical cyclone simulations. *Climate Dynamics*, *53*, 173-192.
- 420 Lander, M., & Holland, G. J. (1993). On the interaction of tropical-cyclone-scale vortices. I:
- 421 Observations. *Quarterly Journal of the Royal Meteorological Society*, *119*(514), 1347-1361.
- Liang, Y. C., Mazloff, M. R., Rosso, I., Fang, S. W., & Yu, J. Y. (2018). A multivariate
- empirical orthogonal function method to construct nitrate maps in the Southern Ocean. *Journal of Atmospheric and Oceanic Technology*, *35*(7), 1505-1519.
- 425 Martin, G. M., Levine, R. C., Rodriguez, J. M., & Vellinga, M. (2021). Understanding the
- development of systematic errors in the Asian summer monsoon. *Geoscientific Model Development*, 14(2), 1007-1035.
- 428 Menkes, C. E., Lengaigne, M., Marchesiello, P., Jourdain, N. C., Vincent, E. M., Lefèvre, J., ...
- 429 & Royer, J. F. (2012). Comparison of tropical cyclogenesis indices on seasonal to interannual
- 430 timescales. *Climate dynamics*, *38*, 301-321.
- Ritchie, E. A., & Holland, G. J. (1999). Large-scale patterns associated with tropical
 cyclogenesis in the western Pacific. *Monthly Weather Review*, *127*(9), 2027-2043.
- 433 Roberts, M. J., Camp, J., Seddon, J., Vidale, P. L., Hodges, K., Vannière, B., ... & Wu, L.
- 434 (2020a). Projected future changes in tropical cyclones using the CMIP6 HighResMIP
- 435 multimodel ensemble. *Geophysical research letters*, 47(14), e2020GL088662.
- 436 Roberts, M. J., Camp, J., Seddon, J., Vidale, P. L., Hodges, K., Vanniere, B., ... & Ullrich, P.
- 437 (2020b). Impact of model resolution on tropical cyclone simulation using the HighResMIP-
- 438 PRIMAVERA multimodel ensemble. *Journal of Climate*, *33*(7), 2557-2583.
- 439 Roberts, M. J., Vidale, P. L., Mizielinski, M. S., Demory, M. E., Schiemann, R., Strachan, J., ...
- & Camp, J. (2015). Tropical cyclones in the UPSCALE ensemble of high-resolution global
 climate models. *Journal of Climate*, 28(2), 574-596.
- 442 Roberts, M. J., Baker, A., Blockley, E. W., Calvert, D., Coward, A., Hewitt, H. T., ... & Vidale,
- P. L. (2019). Description of the resolution hierarchy of the global coupled HadGEM3-GC3. 1
- 444 model as used in CMIP6 HighResMIP experiments. *Geoscientific Model Development*, 12(12),
 445 4999-5028.
- 446 Rodríguez, J. M., Milton, S. F., & Marzin, C. (2017). The East Asian atmospheric water cycle
- and monsoon circulation in the Met Office Unified Model. *Journal of Geophysical Research:*
- 448 *Atmospheres*, *122*(19), 10-246.
- 449 Rooney, G. G., Stirling, A. J., Stratton, R. A., & Whitall, M. (2022). C-POOL: A scheme for
- 450 modelling convective cold pools in the Met Office Unified Model. *Quarterly Journal of the*
- 451 *Royal Meteorological Society*, *148*(743), 962-980.

- 452 Stansfield, A. M., Reed, K. A., Zarzycki, C. M., Ullrich, P. A., & Chavas, D. R. (2020).
- 453 Assessing tropical cyclones' contribution to precipitation over the eastern united states and
- sensitivity to the variable-resolution domain extent. *Journal of Hydrometeorology*, 21(7), 1425-
- 455 1445.
- 456 Vecchi, G. A., Delworth, T., Gudgel, R., Kapnick, S., Rosati, A., Wittenberg, A. T., ... & Zhang,
- 457 S. (2014). On the seasonal forecasting of regional tropical cyclone activity. *Journal of*
- 458 *Climate*, *27*(21), 7994-8016.
- 459 Vega, I., Gallego, D., Ribera, P., de Paula Gómez-Delgado, F., García-Herrera, R., & Peña-Ortiz,
- 460 C. (2018). Reconstructing the western North Pacific summer monsoon since the late nineteenth 461 century. *Journal of Climate*, *31*(1), 355-368.
- Vidale, P. L., Hodges, K., Vannière, B., Davini, P., Roberts, M. J., Strommen, K., ... & Corti, S.
- (2021). Impact of stochastic physics and model resolution on the simulation of tropical cyclones
 in climate GCMs. *Journal of Climate*, *34*(11), 4315-4341.
- Wang, B., & Fan, Z. (1999). Choice of South Asian summer monsoon indices. *Bulletin of the American Meteorological Society*, 80(4), 629-638.
- 467 Wang, B., Wu, R., & Lau, K. M. (2001). Interannual variability of the Asian summer monsoon:
- Contrasts between the Indian and the western North Pacific–East Asian monsoons. *Journal of climate*, *14*(20), 4073-4090.
- 470 Wang, B., Xiang, B., & Lee, J. Y. (2013). Subtropical high predictability establishes a promising
- 471 way for monsoon and tropical storm predictions. *Proceedings of the National Academy of*472 *Sciences*, *110*(8), 2718-2722.
- 473 Wang, C., & Wu, L. (2018). Future changes of the monsoon trough: Sensitivity to sea surface
- 474 temperature gradient and implications for tropical cyclone activity. *Earth's Future*, 6(6), 919475 936.
- 476 Wang, L. (2017). Tropical cyclogenesis associated with four types of summer monsoon
- circulation over the South China Sea. *International Journal of Climatology*, *37*(11), 4229-4236.
- 478 Wang, C., & Wang, B. (2019). Tropical cyclone predictability shaped by western Pacific
- subtropical high: integration of trans-basin sea surface temperature effects. *Climate Dynamics*, 53(5-6), 2697-2714.
- Wang, Q., Kieu, C., and Vu, T.A. (2019). Large-scale dynamics of tropical cyclone formation
 associated with ITCZ breakdown, Atmos. Chem. Phys., 19, 8383–8397.
- 483 Wang, Z. Q., Chen, G. H., & Zhao, Y. H. (2022). Clustering of large-scale circulation patterns
- 484 during tropical cyclone preformation period using self-organizing maps. *International Journal of* 485 *Climatology*, 42(13), 7013-7027.
- 486 Wheeler, M. C., & Hendon, H. H. (2004). An all-season real-time multivariate MJO index:
- 487 Development of an index for monitoring and prediction. *Monthly weather review*, *132*(8), 1917488 1932.
- 489 Williams, K. D., Copsey, D., Blockley, E. W., Bodas-Salcedo, A., Calvert, D., Comer, R., ... &
- 490 Xavier, P. K. (2018). The Met Office global coupled model 3.0 and 3.1 (GC3. 0 and GC3. 1)
- 491 configurations. Journal of Advances in Modeling Earth Systems, 10(2), 357-380.

- 492 Wu, L., Wen, Z., Huang, R., & Wu, R. (2012). Possible linkage between the monsoon trough
- variability and the tropical cyclone activity over the western North Pacific. *Monthly Weather Review*, *140*(1), 140-150.

Figure 1.







Figure 2.







Figure 3.





Figure 4.

