# Technical Note: Revisiting the Procedure for Quantification of the Young Water Fraction Based on Seasonal Tracer Cycles

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#### Abstract

The transit time (TT) of streamflow encapsulates information about how catchments store and release water and solutes of different ages. The young water fraction (Fyw), the fraction of streamflow that is younger than a certain age (normally 2–3 months), has been increasingly used as an alternative metric to the commonly used mean TT (mTT). In the commonly used ('traditional') procedure presented by Kirchner (2016), the age threshold ( $\tau$ yw) of Fyw separating young from old water is not pre-defined and differs from catchment to catchment depending on the shape of the (gamma) transit time distribution. However, it can be argued that it is important to use the same pre-defined  $\tau$ yw for inter-catchment comparison of Fyw. In this study, we propose an alternative ('proposed') procedure for the estimation of Fyw with any pre-defined  $\tau$ yw. We applied the traditional and proposed procedures using daily oxygen isotope ( $\delta$ 18O) data in the Alp and Erlenbach catchments, Switzerland. We found that our proposed and the traditional procedure can give very different Fyw values. With the proposed procedure, the estimated Fyw significantly increases when the sampling frequency changes from sub-monthly to monthly time steps. Overall, our study highlights the importance of the selection of  $\tau$ yw and the sampling frequency in Fyw estimation, which should be given more attention.

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3	Fraction Based on Seasonal Tracer Cycles			
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13	Key Points:			
14 15	• We propose an alterative procedure to estimate the young water fraction with any given age threshold			
16 17	• The estimated young water fractions from the traditional and proposed procedures can be substantially different			
18 19 20	• The estimated young water fraction increases significantly when the isotope sampling frequency changes from submonthly to monthly time steps			

#### 21 Abstract

22 The transit time (TT) of streamflow encapsulates information about how catchments store and release water and solutes of different ages. The young water fraction (F<sub>yw</sub>), the fraction of 23 streamflow that is younger than a certain age (normally 2–3 months), has been increasingly used 24 as an alternative metric to the commonly used mean TT (mTT). In the commonly used 25 ('traditional') procedure presented by Kirchner (2016), the age threshold ( $\tau_{vw}$ ) of F<sub>vw</sub> separating 26 young from old water is not pre-defined and differs from catchment to catchment depending on 27 the shape of the (gamma) transit time distribution. However, it can be argued that it is important 28 to use the same pre-defined  $\tau_{yw}$  for inter-catchment comparison of F<sub>yw</sub>. In this study, we propose 29 an alternative ('proposed') procedure for the estimation of  $F_{yw}$  with any pre-defined  $\tau_{yw}$ . This 30

allows us to also compare the effects of data sampling frequencies on the results of  $F_{yw}$ 

32 estimation using the same  $\tau_{yw}$ . We applied the traditional and proposed procedures using daily

oxygen isotope ( $\delta^{18}$ O) data in the Alp and Erlenbach catchments, Switzerland. We found that our proposed and the traditional procedure can give very different F<sub>vw</sub> values. With the proposed

proposed and the traditional procedure can give very different  $F_{yw}$  values. With the proposed procedure, the estimated  $F_{yw}$  significantly increases when the sampling frequency changes from

sub-monthly to monthly time steps. Overall, our study highlights the importance of the selection

of  $\tau_{yw}$  and the sampling frequency in  $F_{yw}$  estimation, which should be given more attention.

#### 38 **1 Introduction**

39 The transit time (TT) of streamflow, the time between a water parcel entering a catchment as precipitation and exiting as streamflow at the catchment outlet, is an important 40 descriptor for understanding how catchments store and release water and solutes (McGuire & 41 42 McDonnell, 2006). TT can be incorporated into water quality models to estimate the time origin of water and solutes in streamflow (Hrachowitz et al., 2016; Nguyen et al., 2021; Nguyen et al., 43 2022), thus providing useful implications for water quality management and vulnerability 44 assessment. In recent years, the young water fraction ( $F_{vw}$ ), the fraction of streamflow that is 45 younger than a speficic age threshold ( $\tau_{yw}$ ), typically around 2-3 months (Kirchner, 2016), has 46 been emerging as an alternative metric of the TT distribution (TTD) of catchments compared to 47 the often used mean TT (mTT). The use of  $F_{yw}$  has gained momentum because it can be derived 48 robustly and with much higher accuracy than the mTT at the catchment scale even from coarse 49 50 and irregular tracer sampling frequencies or under conditions of spatial heterogeneity (Kirchner, 2016). The young water fraction has been used from local and regional (Burt et al., 2022; Lutz et 51 al., 2018; Song et al., 2017; von Freyberg et al., 2018) to global (Jasechko et al., 2016) scales. In 52 these studies, F<sub>yw</sub> is often used for inter-catchment comparisons to shed light on the underlying 53 hydrological functioning of different catchments. 54

One of the challenges when using F<sub>yw</sub> estimated from the seasonal tracer concentrations 55 in precipitation and streamflow using the procedure proposed by Kirchner (2016) is that the 56 estimated  $\tau_{yw}$  will vary between catchments depending on the actual shape of the TTD. Still, the 57 58 actual  $\tau_{yw}$  values are often not reported in inter-catchment comparisons using F<sub>yw</sub> (Gallart, von Freyberg, et al., 2020; Lutz et al., 2018; von Freyberg et al., 2018). Therefore, it is unclear how 59 60 we can evaluate the effects of climate and catchment properties on Fyw among catchments if they 61 correspond to different  $\tau_{yw}$  values. This is because differences in F<sub>yw</sub> among catchments can 62 either be driven by climate factors and physical characteristics of the catchment or different  $\tau_{yw}$ values. Therefore, it would be highly beneficial to use the same  $\tau_{yw}$  when comparing  $F_{yw}$  among 63 64 catchments. Alternatively,  $\tau_{yw}$  could also be a user-defined (but fixed) parameter, allowing F<sub>yw</sub> calculation for any given  $\tau_{yw}$  (e.g., 7, 75, or 365 days; Benettin et al., 2020), depending on the 65 hydrological/water quality process of interest. 66

Varying tracer sampling frequencies are another potential source of inaccuracy in the 67 comparison of Fyw across various catchments. While Fyw can be estimated with coarse and 68 irregular tracer sampling frequencies spanning over multiple years (e.g., at least 2-3 years; 69 Benettin et al., 2022), it is unclear how the tracer sampling frequency affects the estimated F<sub>yw</sub>, 70 especially when the same  $\tau_{yw}$  is considered. Stockinger et al. (2016) found that the estimated  $F_{yw}$ 71 72 increases almost two-fold with a high sampling frequency (daily and sub-daily) compared to that of weekly sampling frequency. Gallart, Valiente, et al. (2020) also found that the estimated Fyuw at 73 weekly sampling frequency is significantly lower than that of dynamic sampling (sub-weekly 74 75 with variable time steps). However, in these studies, F<sub>yw</sub> estimated with different sampling frequencies corresponds to different  $\tau_{yw}$ . Stockinger et al. (2016) suggested considering the role 76 of isotope sampling frequency in inter-catchment comparisons based on Fyw. Based on these 77 insights, we see the need to conduct such a study using a fixed  $\tau_{yw}$  for  $F_{yw}$ . 78

The objective of this study is to propose an alternative procedure for estimating  $F_{yw}$  based on seasonal tracer cycles in precipitation and streamflow proposed by Kirchner (2016). Here, we (a) propose a more direct procedure, allowing  $F_{yw}$  to be estimated with any user-defined  $\tau_{yw}$ , and (b) evaluate the effect of tracer sampling frequencies on the estimated  $F_{yw}$  with a fixed  $\tau_{yw}$ . To this end, we used high-frequency tracer (isotope) data from the Alp catchment and one of its
tributaries (Freyberg et al., 2022).

#### 85 2 Materials and Methods

86 2.1 Study area and data

We selected the Alp catchment (47 km<sup>2</sup>) and one of its tributary catchments (i.e., the 87 Erlenbach, 0.7 km<sup>2</sup>; Fig. 1a) of which high-frequency stable isotopes of hydrogen ( $\delta^2$ H) and 88 89 oxygen ( $\delta^{18}$ O) in precipitation and streamflow and hydro-meteorological data are publicly available (Freyberg et al., 2022). The elevation of the Alp catchment ranges from 840 to 1896 m 90 91 above sea level (a.s.l) while that of the Erlenbach catchment spans from 1,111 to 1,654 m a.s.l. The average annual average precipitation in the Alp and Erlenbach catchments amounts to about 92 1413 and 1,625 mm/year, respectively. The runoff coefficient (streamflow divided by 93 precipitation) of the Alp is 93% while that of the Erlenbach is 70% (derived from data from 94 Freyberg et al. (2022)). The hydro-climatic regime of both catchments is classified as a hybrid 95 96 catchment (i.e., streamflow affected by both rainfall and snow (Staudinger et al., 2017; Von 97 Freyberg et al., 2018).

In this study, we only used oxygen-18 isotope ( $\delta^{18}$ O) data because of its high correlation

99 with deuterium isotope ( $\delta^2$ H) (von Freyberg et al., 2022) and a negligible evaporation-induced fraction of  $\delta^{18}$ O (1 ytz et al. 2018). In both establishments, the  $\delta^{18}$ O values in streamflow years

fractionation of  $\delta^{18}$ O (Lutz et al., 2018). In both catchments, the  $\delta^{18}$ O values in streamflow vary

- 101 in a much narrower range compared to the ones in precipitation (Fig. 1b-c). More information
- about the study area as well as the data can be found in Freyberg et al. (2022).



Figure 1. (a) Location and digital elevation model (DEM) of the Alp and Erlenbach catchments along with observed  $\delta^{18}$ O composition of precipitation (c<sub>P</sub>) and streamflow (c<sub>S</sub>) in the (c) Alp and (d) Erlenbach catchments.

106 2.2 Theoretical background

107 The approach for estimating the young water fraction  $(F_{yw})$  from seasonal tracer (e.g., 108 stable isotopes of oxygen and hydrogen) cycles (Kirchner, 2016) in precipitation and streamflow 109 assumes that the catchment is in a steady state, and that the transit time distribution (TTD) is 110 time-invariant. While TTDs can have very different shapes, here we focus on a family of gamma

111 distributions of the following form:

112 
$$h(\tau) = \frac{\tau^{\alpha-1}}{\beta^{\alpha} \Gamma(\alpha)} e^{-\tau/\beta}$$
(1)

113 where  $h(\tau)$  (-) is the gamma function (in the form of a probability density distribution function) 114 with shape factor  $\alpha$ , scale factor  $\beta$ , and transit time  $\tau$ . The tracer concentration in precipitation, 115  $c_P(t)$ , is assumed to follow the sine wave function throughout the year:

116 
$$c_P(t) = A_P \sin(2\pi f t - \varphi_P) + k_P$$
 (2)

117 where  $A_P$  is the amplitude, f is the frequency of the cycle (f = 1 year<sup>-1</sup> for annual cycle),  $\varphi_P$  is 118 the phase of the tracer cycle in precipitation (ranging from [0,  $2\pi$ ], corresponding to the start and the end of a calendar year),  $k_P$  is the average tracer concentration in precipitation, and the subscript "P" refers to precipitation.

121 The tracer concentration in streamflow,  $c_s(t)$ , is given by the convolution of the forward 122 TTDs (Eq. 1) with tracer concentration in precipitation  $c_P(t)$  (Eq. 2), ignoring the effect of 123 evaporative fractionation (Kirchner, 2016):

124 
$$c_S(t) = \int_{t=0}^{\tau=\infty} h(\tau) \cdot c_P(t-\tau) \cdot d\tau$$
(3)

where  $c_P(t - \tau)$  is the tracer concentration in precipitation at time  $t - \tau$ , and the subscript "S" refers to streamflow. Fyw can be estimated using the regularized lower incomplete gamma function (Eq. 1), as shown below:

$$F_{yw} = \int_{\tau=0}^{\tau=\tau_{yw}} \frac{\tau^{\alpha-1}}{\beta^{\alpha}\Gamma(\alpha)} \cdot e^{-\tau/\beta} \cdot d\tau$$
(4)

129 2.3. Traditional procedure for  $F_{vw}$  estimation from Kirchner (2016)

130 The <u>first step</u> is to fit the sine wave (Eq. (2) or its revised form, Eq. (5), as shown below) 131 to observed tracer concentrations in precipitation (Kirchner, 2016; Lutz et al., 2018; Stockinger 132 et al., 2016; von Freyberg et al., 2018). The fitted sine wave is often in the form of a linear 133 function between  $c_P(t)$  and parameters that are needed to be estimated, as shown below:

134 
$$c_P(t) = A_P \sin(2\pi f t - \varphi_P) + k_P$$

135 
$$= A_P \sin(2\pi f t) \cdot \cos(\varphi_P) - A_P \cos(2\pi f t) \cdot \sin(\varphi_P) + k_P$$

136 
$$= -A_P \cdot \sin(\varphi_P) \cdot \cos(2\pi ft) + A_P \cdot \cos(\varphi_P) \cdot \sin(2\pi ft) + k_P$$

137 
$$= a_P \cdot \cos(2\pi ft) + b_P \cdot \sin(2\pi ft) + k_P \tag{5}$$

138 where

128

139 
$$a_P = -A_P \cdot \sin(\varphi_P) \tag{6}$$

140 
$$b_P = A_P \cdot \cos(\varphi_P) \tag{7}$$

141 
$$a_P^2 + b_P^2 = A_P^2 \cdot \sin^2(\varphi_P) + A_P^2 \cdot \cos^2(\varphi_P) = A_P^2$$
 (8)

142 
$$\frac{a_P}{b_P} = \frac{-A_P \cdot \sin(\varphi_P)}{A_P \cdot \cos(\varphi_P)} = -\tan(\varphi_P)$$
(9)

143 
$$\varphi_P = \arctan\left(-a_P/b_P\right)$$

The parameters  $(a_P, b_P \text{ and } k_P)$  can be estimated based on observed tracer concentration in precipitation using the iteratively least squares (IRLS) regression approach which can limit the effect of outliers in observed data. Equation (10) presented here differs from the one given by Kirchner (2016) ( $\varphi_P$  = arctan ( $b_P/a_P$ )), which we suppose is due to a typo in the Kirchner (2016) work. Equation (10) always give two values of  $\varphi_P$  within the range of [0,  $2\pi$ ] as tan( $\varphi_P$ ) = tan( $\varphi_P \pm \pi$ ), the correct value of  $\varphi_P$  could be selected using Eqs. (6-7).

The <u>second step</u> is to fit the sine wave (Eq. (11) or (12)) to the observed tracer concentration in streamflow (Kirchner, 2016; Lutz et al., 2018; Freyberg et al., 2018). This is because convolutions (Eq. 3) are linear operators and, therefore, transform the sine wave input signal (Eq. 2) into a sine wave output signal with a phase shift and damped amplitude due to

(10)

mixing and dispersion processes within the catchment (Kirchner, 2016). It can be expressed inthe following form:

156 
$$c_s(t) = A_s \sin(2\pi f t - \varphi_s) + k_s$$
 (11)

where  $A_S$ ,  $\varphi_S$ , and  $k_S$  are the amplitude, phase, and average tracer concentration in streamflow, respectively. Similar to Eq. (5), Eq. (11) can be rewritten as follows:

159 
$$c_S(t) = a_S \cdot \cos(2\pi f t) + b_S \cdot \sin(2\pi f t) + k_S$$
 (12)

160 with

$$a_S = -A_S \cdot \sin(\varphi_S) \tag{13}$$

$$b_S = A_S \cdot \cos(\varphi_S) \tag{14}$$

163 
$$a_S^2 + b_S^2 = A_S^2 \cdot \sin^2(\varphi_S) + A_S^2 \cdot \cos^2(\varphi_S) = A_S^2$$
 (15)

164 
$$\varphi_S = \arctan\left(-a_S/b_S\right) \tag{16}$$

165 Due to the delay in the tracer concentration signal from rainfall to streamflow when it travels 166 through the catchment,  $\varphi_S$  must be greater than  $\varphi_P$ . With the yearly tracer cycle,  $\varphi_S - \varphi_P$  must be 167 within the range of  $[0, 2\pi)$ .

168 The <u>third step</u> is to find  $F_{yw}$ . Kirchner (2016) demonstrated that the amplitude ratio 169 (As/A<sub>P</sub>) approximates  $F_{yw}$  and is free from aggregation bias, therefore, this ratio is used to 170 estimate  $F_{yw}$ :

171 
$$F_{yw} \approx \frac{A_S}{A_P} \tag{17}$$

172 The amplitude ratio (As/A<sub>P</sub>) is controlled by the shape  $\alpha$  and scale  $\beta$  factors of the gamma 173 TTD since the tracer concentration in streamflow is a convolution of the tracer concentration in 174 precipitation with the gamma TTD (Eq. (3)):

175 
$$\frac{A_S}{A_P} = (1 + (2\pi f\beta)^2)^{-\alpha/2}$$
(18)

176 From Eqs. (4), (17), and (18), we have:

177 
$$(1 + (2\pi f\beta)^2)^{-\alpha/2} \approx \int_{\tau=0}^{\tau=\tau_{yw}} \frac{\tau^{\alpha-1}}{\beta^{\alpha}\Gamma(\alpha)} \cdot e^{-\tau/\beta} \cdot d\tau$$
(19)

178 Kirchner (2016) then numerically searched for the  $\tau_{yw}$  which makes the left- and right-hand sides

of Eq. (19) approximately equal. If  $\alpha$  and are  $\beta$  within the range of [0.2, 2] and [0,  $\infty$ ),

respectively,  $\tau_{yw}$  can be estimated using the following approximation with a root mean square

difference between the left- and right-hand sides of Eq. (19) of less than 2.3% (Kirchner, 2016):

182 
$$\tau_{yw}/T \approx 0.0949 + 0.1065\alpha - 0.0126\alpha^2$$
 (20)

183 where T = 1 (year) for the annual tracer cycle.

Parameters ( $\alpha$  and  $\beta$ ) of the gamma TTD can be calculated from the phase shift and amplitude ratio of the fitted sine waves of the tracer concentrations in streamflow and precipitation as follows (Kirchner, 2016):

187 
$$\varphi_S - \varphi_P = \alpha \cdot \arctan\left(\sqrt{(A_S/A_P)^{-2/\alpha} - 1}\right)$$
(21)

188 
$$\beta = \frac{1}{2\pi f} \sqrt{(A_S/A_P)^{-2/\alpha} - 1}$$
(22)

189

190 2.4. Proposed procedure for F<sub>yw</sub> estimation

- 191 Here, we propose an alternative procedure for F<sub>yw</sub> estimation, as described in the following steps:
- Step 1: Fit the sine wave function (Eq. (2)) to the observed tracer concentration in precipitation to obtain a sine wave input signal.
- Step 2: Adjust the transfer function (Eq. 3) so that the convolution results in a sine wave function that represents the observed tracer concentration in streamflow to find the shape
   (α) and scale (β) parameters of the gamma TTD.
- 197 **Step 3**: Estimate  $F_{yw}$  directly from the fitted gamma TTD with any given age threshold 198  $\tau_{yw}$  using Eq. (4).

Steps 1 and 2 can be done with any non-linear fitting or optimization techniques. As an example, 199 200 we propose a simple approach for fitting these non-linear functions to observed data and for quantifying associated parameter uncertainty. In step 1, we first define the range for the 201 parameters  $(A_P, \phi_P, k_P)$  of the sine wave function, which can be roughly estimated based on the 202 observed seasonal tracer concentration in precipitation. Then, we randomly sample different 203 parameter sets (within their respective ranges) using uniform Latin Hypercube Sampling (LHS). 204 The best (or list of behavioral) parameter set(s) can be selected based on the (weighted) mean 205 square error (MSE), depending on the estimated F<sub>vw</sub> (weighted or unweighted). The weights for 206 the MSE can, for example, be the precipitation volumes. This approach could be used for 207 estimating parameters ( $\alpha$ ,  $\beta$ ) of the gamma distribution in step 2. Here, we generate a number of 208 parameter sets for the gamma distribution using LHS; then we randomly combine them with the 209 behavioral parameter sets of the sine wave function found in step 1. The best (or behavioral) 210 parameter set(s) of the gamma function can be selected based on a given error measure (e.g., 211 MSE) estimated between simulated and observed instream tracer concentrations. The mean 212 square error can be weighted by discharge volume to get the weighted F<sub>yw</sub>. The uncertainty in the 213 estimated F<sub>yw</sub> can be quantified from behavioral parameter sets of the gamma function. 214

215 2.5. Experimental design

We first compare the estimated  $F_{yw}$  from the traditional and proposed procedures for both catchments. In this experiment, the original high-frequency  $\delta^{18}$ O data are used. As the original and proposed procedures are similar in the first step, we use the same best-fit sine wave function to observed  $\delta^{18}$ O composition in precipitation for the traditional and proposed procedure. For the

proposed procedure, we then use the 100 best-fit gamma functions and calculate  $F_{yw}$  with the

221  $\tau_{yw}$  found from the traditional procedure to account for parameter uncertainty. With the

- traditional procedure, we only used the best-fit sine wave function when fitting to observed  $\delta^{18}$ O
- composition in streamflow as the uncertainty in this method does not correspond to the same  $\tau_{yw}$ value.

In the second experiment, we evaluate the effect of data sampling frequency on the 225 estimated young water fraction using our proposed procedure. To have continuous daily data 226 227 (isotope, precipitation, and streamflow), we interpolated missing values using the linear (in time) interpolation technique with the "approx" function in R (R Core Team, 2021). Then, we sample 228 the data at weekly (7 days), bi-weekly (14 days), and monthly (30 days) intervals with a random 229 starting date. The dates of sampling are the same for precipitation, isotope, and streamflow data 230 to maintain their temporal consistency. The thresholds of  $\tau_{yw}$  are set as 2 months (0.164 years) 231 and 3 months (0.246 years) (e.g., Kirchner, 2016; Lutz et al., 2018; Song et al., 2017; Von 232

and 5 months (0.246 years) (e.g., Kirchner, 2016; Lutz et al., 2018
 Freyberg et al., 2018).

The (weighted)  $F_{yw}$  values calculated from the above experiments have often been used in previous studies (Lutz et al., 2018; Stockinger et al., 2016; von Freyberg et al., 2018). Here, we consider snowpack storage as part of the catchment storage (e.g., Jasechko et al., 2016; Song et al., 2017); in other words, we do not distinguish between precipitation in liquid and snow form since differentiating them has almost no effect on the estimated  $F_{yw}$  (Freyberg et al., 2018).

### 239 **3 Results**

240 3.1. Traditional versus proposed procedures

Figure 2(a-b) shows that the fitted sine waves to the observed  $\delta^{18}$ O in precipitation from

the traditional and proposed procedures for the two catchments only have minor differences,

which is also shown by their similarity in the amplitude (A<sub>P</sub>), the average  $\delta^{18}O(k_P)$ , and the

phase ( $\varphi_P$ ) (Table 1). In the traditional procedure, the fitted sine wave is in theory the one with

the lowest weighted MSE as linear regression is used in this procedure (Su et al., 2012). In the



proposed procedure, the fitted sine wave will be similar to the ones from the traditional approachwhen the number of parameter sets sampled by LHS is large.

248



The sine wave that is fitted directly to the observed  $\delta^{18}$ O in streamflow from the 255 traditional procedure has a better fit compared to the fitted sine waves derived from the 256 convolution of the input sine wave via a gamma distribution from the proposed procedure 257 (Figure 2c-d). This is expected because the sine wave fitted directly to  $\delta^{18}$ Os in streamflow from 258 the traditional procedure is not constrained by mass conservation. In other words, the mean  $\delta^{18}$ O 259 in precipitation equals the mean  $\delta^{18}$ O in streamflow from the proposed procedure. However, they 260 are not exactly equal to the observed data (e.g., Alp catchment:  $k_P = -10.581\%$ ,  $k_S = -11.507\%$ ; 261 Erlenbach catchment:  $k_P = -10.921\%$ ,  $k_S = -11.802\%$ ; Table 1) due to uncertainty in observed 262 data, or the time series data are not sufficiently long, or unaccounted processes. As a result, the 263 observed  $\delta^{18}$ O in streamflow is systematically overestimated in the proposed procedure. In this 264 case, parameters of the gamma distribution cannot be inferred precisely from the sine waves 265 fitted directly to the observed  $\delta^{18}$ O in precipitation and streamflow from the traditional procedure 266 as Eq. (3) is violated. In that sense, the errors in the estimated F<sub>yw</sub> could be much larger than the 267 random errors in the observed  $\delta^{18}$ O data (Kirchner, 2016). In fact,  $\delta^{18}$ O in streamflow calculated 268

- by convolving the gamma function found from the traditional procedure with the sine wave input
- signals does not fit better (larger weighted MSE) than the ones from the proposed procedure.
- 271

271

**Table 1.** The estimated young water fractions and other parameters from the traditional and

proposed procedures for the two test catchments. Numbers in subscripts indicate the equations

used for the estimation of these values. In the proposed procedure, the  $\alpha$ ,  $\beta$ , and  $F_{yw}$  values are reported as the mean (numbers outside the brackets) and interquartile range (numbers inside the

275 reported as the mean (numbers outside the brackets) and interquartile range (numbers
276 brackets) from the 100 best-fit gamma distributions.

277

	Alp		Erlenbach	
Parameters	Traditional procedure	Proposed procedure	Traditional procedure	Proposed procedure
Ap (‰)	2.186 <sup>(8)</sup>	2.134	1.972 <sup>(8)</sup>	1.991
φ <sub>P</sub> (rad)	1.947 <sup>(6,7, 10)</sup>	1.946	$2.017^{(6,7,10)}$	1.977
kp (‰)	-10.581	-10.699	-10.921	-11.100
As (‰)	0.971 <sup>(15)</sup>	not defined	1.530 <sup>(15)</sup>	not defined
φs (rad)	2.698(13,14,16)	not defined	2.626 <sup>(13,14,16)</sup>	not defined
ks (‰)	-11.507	not defined	-11.802	not defined
$\phi_S - \phi_B \text{ (rad)}$	0.751	not defined	0.609	not defined
α(-)	0.564 <sup>(21)</sup>	0.802 [0.740, 0.906]	0.813 <sup>(21)</sup>	2.708 [2.170, 3.695]
$\beta$ (years)	0.650 <sup>(22)</sup>	0.246 [0.212, 0.285]	0.148 <sup>(22)</sup>	0.046 [0.034, 0.058]
$\tau_{yw}$ (years)	0.151 <sup>(20)</sup>	0.151 (user-defined)	0.173 <sup>(20)</sup>	0.173 (user-defined)
Fyw	0.444 <sup>(17)</sup>	0.556 [0.539, 0.576]	0.775 <sup>(17)</sup>	0.779 [0.744, 0.803]

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In this study, the estimated parameters of the gamma TTDs from the traditional procedure are outside the interquartile ranges determined by the proposed procedure, resulting in different  $F_{yw}$ , especially in the Alp catchment (Table 1 and Figure 3a-b). In the Erlenbach catchment, despite discrepancies between the estimated parameters from the traditional and proposed procedures, the estimated  $F_{yw}$  from the traditional procedure is similar to the mean  $F_{yw}$  found from the proposed procedure (Figure 3b). However, if other age thresholds are used, there could be a large difference in the estimated  $F_{yw}$  from the two procedures as the parameters of the TTD from these procedures are substantially different (e.g., if  $\tau_{yw} = 0.22$  years, then  $F_{yw} = 0.83$  (given  $\alpha = 0.813$  and  $\beta = 0.148$ ),  $F_{yw} = 0.94$  (given  $\alpha = 2.170$  and  $\beta = 0.046$ ), Eq. (4)).



**Figure 3.** Parameters of the gamma function ( $\alpha$  and  $\beta$ ) and young water fraction ( $F_{yw}$ ) estimated

from the traditional (blue point) and proposed procedure (boxplots) for (a) the Alp and (b) the Erlenbach catchments.

#### 3.2. Effect of data sampling frequency on F<sub>yw</sub> estimation

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Figure 4 shows the effect of data sampling frequency on the estimated  $F_{yw}$  (with  $\tau_{yw}$  of 2 and 3 months) for the Alp and Erlenbach catchments. In most cases, the differences in the mean  $F_{yw}$  from different sampling frequencies are significant (*p*-value < 0.05) (Figure 4). For both  $\tau_{yw}$ and both catchments,  $F_{yw}$  decreases with decreasing sampling frequency from daily to weekly or bi-weekly. Changing the sampling frequency from weekly to bi-weekly has no consistent effect on the estimated mean  $F_{yw}$  among catchments. However,  $F_{yw}$  becomes highest when the sampling frequency changes from sub-monthly (daily, weekly, or bi-weekly) to monthly in all cases.





**Figure 4.** Effect of tracer data sampling frequency on the estimated  $F_{yw}$  using the proposed procedure for (**a**, **b**) the Alp and (**c**, **d**) the Erlenbach catchments. The left panel (**a**, **c**) and right panel (**b**, **d**) correspond to the age thresholds ( $\tau_{yw}$ ) of 2 and 3 months, respectively. The boxplots show the uncertainty of the estimated  $F_{yw}$  values from the 100 best parameter sets. The *p*-value indicates the significance levels when comparing the mean values between these groups. If no *pvalue* is given below two groups it indicates that their means are significantly different from each other (*p*-value < 0.05).

To analyze the factors contributing to changes of  $F_{yw}$  with sampling frequency, we plot 309 (boxplot) the input data (precipitation, streamflow, and  $\delta^{18}$ O), the parameters of the sine wave 310 function fitted to  $\delta^{18}$ O in precipitation (A<sub>P</sub>,  $\phi_P$ , k<sub>P</sub>), as well as the parameters of the gamma TTD 311 ( $\alpha$  and  $\beta$ ) for each catchment (Alp, Erlenbach) and sampling frequency (daily, weekly, bi-312 weekly, monthly) (Figure 5). It can be seen that extreme events might not be captured (Figure 313 5a-d) when the sampling frequency is reduced, but the spread (interquartile range) of the data 314 does not necessarily decrease (Figure 5a-d). The amplitude of the fitted sine wave to  $\delta^{18}$ O in 315 precipitation (A<sub>P</sub>) either increased or decreased with decreasing sampling frequency (Figure 4e) 316 and does not follow the pattern of  $F_{yw}$  (Fig. 4). Among the selected factors, only k<sub>P</sub> (mean  $\delta^{18}$ O 317

- in precipitation) reflects the pattern found between  $F_{yw}$  and the sampling frequency (Fig. 5g).
- 319 However, it is unclear how differences in k<sub>p</sub> affect the differences in F<sub>yw</sub> among different
- 320 sampling frequencies.



#### 321

Figure 5. Boxplots of (a, b) precipitation amount and  $\delta^{18}$ O in precipitation, (c, d) streamflow amount and  $\delta^{18}$ O in streamflow, (e, f, g) parameters of the fitted sine wave to  $\delta^{18}$ O in precipitation, and (h, i) parameters of the gamma distribution from 100 best-fit runs for different sampling intervals (daily, weekly, bi-weekly, monthly) and catchments (Alp, Erlenbach).

#### 326 4 Discussion

The proposed procedure for estimating  $F_{yw}$  uses the same assumptions as the traditional 327 procedure. For example, the system is in a steady state, and tracer concentration in precipitation 328 and streamflow follow the sine wave function (Kirchner, 2016). The advantages of the traditional 329 method are that  $F_{yw}$  estimated from the amplitude ratio (As/A<sub>P</sub>) is free from aggregation bias. 330 331 The proposed procedure is limited to the gamma TTD while the traditional procedure can be used to estimate F<sub>yw</sub> even if the TTD is a non-gamma TTD as demonstrated in the virtual 332 experiments by Kirchner (2016). In these virtual experiments, the tracer amplitude ratio from 333 334 runoff of the combined catchment is approximately equal to the average F<sub>yw</sub> from individual catchments (Figs. (11) and (13) in Kirchner (2016)). However, it is unclear what the age 335

thresholds corresponding to (1) the tracer amplitude ratio from runoff of the combined catchment and (2) the average  $F_{yw}$  from individual catchments are. For inter-catchment comparison using  $F_{yw}$ , it is necessary to know  $\tau_{yw}$ , therefore, parameters of the TTD. Nevertheless, practical applications of the traditional procedure often approximate  $F_{yw}$  using the amplitude ratio ( $F_{yw} \approx$ 

 $A_{S}/A_{P}$ ) without knowing the parameters of the TTD.

In this study, we showed that the estimated TTDs and  $F_{yw}$  from the traditional and the proposed procedure might largely differ. This is expected as we have a direct solution to find the (gamma) TTDs in the proposed procedure. In the traditional procedure, however, the TTDs are inferred from the sine waves fitted directly to  $\delta^{18}$ O in precipitation and streamflow. By doing this, the mass balance equation (Eq. 3) might not be hold, resulting error in the estimated TTDs.

Using the proposed procedure also allows us to evaluate the effects of data sampling frequency on the estimated young water fraction in a more precise way. Here, our results show that data sampling frequency could significantly affect the estimated  $F_{yw}$ , especially between sub-monthly and monthly sampling frequencies. Therefore, for inter-catchment comparison on  $F_{yw}$ , this effect should be accounted for if data of different frequencies are used. Here, we could not find which factor affects changes in  $F_{yw}$  with different sampling frequencies, suggesting that  $F_{yw}$  could vary from case to case (e.g., catchment, date of sample, sampling values).

The proposed procedure in this study also has some limitations. Our procedure for fitting 353 (a) the sine wave to observed  $\delta^{18}$ O in precipitation and (b) the convolution of the input sine wave 354 with the gamma distribution using a number of random parameter sets generated from LHS to 355 the observed  $\delta^{18}$ O in streamflow are not computationally efficient and not robust when there are 356 outliers in the data (which was not the case here). If this procedure is used, outliers should be 357 removed from the data beforehand and more efficient nonlinear parameter estimation and 358 optimization techniques (J. C. Nash, 2014) could be used. In addition, as the observed input and 359 output  $\delta^{18}$ O data do not perfectly follow the sinusoidal function, various solutions exist 360 (parameters of the sine wave and gamma distribution) that have similar goodness-of-fit 361 (measured by the mean square error in this case). Furthermore, if users select too many 362 simulations, the estimated  $F_{yw}$  could vary across the entire theoretical range [0,1], which is not a 363 meaningful result. Therefore, one can further re-define behavioral simulations based on other 364 measures for the goodness-of-fit, e.g., the Nash-Sutcliffe efficiency (NSE, Nash & Sutcliffe, 365 1970), the Kling-Gupta efficiency (KGE, Gupta et al., 2009), and R-square (R<sup>2</sup>), to select only a 366 subset of simulations that will yield a meaningful estimate of F<sub>yw</sub> while ensuring a sufficiently 367

368 captured uncertainty in the estimated F<sub>yw</sub>.

### 369 **5** Conclusions

The young water fraction estimated from the seasonal tracer (isotope) cycles in

371 precipitation and streamflow has been used in various inter-catchment comparison studies.

However, the age thresholds associated with the estimated values of F<sub>yw</sub> are often not given

enough attention in such studies. Here, we proposed a more direct procedure, allowing the

estimation of  $F_{yw}$  for any given age threshold. The proposed procedure is compared with the

traditional procedure (Kirchner, 2016) and further used to evaluate the effects of data sampling

frequencies on the estimated F<sub>yw</sub> using high-frequency tracer data in the Alp and its tributary catchment, the Erlenbach. We concluded that:

- The estimated F<sub>yw</sub> can differ significantly between the traditional and proposed procedures.
- For inter-catchment comparison of F<sub>yw</sub>, τ<sub>yw</sub> should be fixed as changes in τ<sub>yw</sub> cause changes in the estimated F<sub>yw</sub>.
- Tracer sampling frequency can the estimated F<sub>yw</sub>. F<sub>yw</sub> increases when the sampling frequency decreases from daily to bi-weekly time steps, but it increases when the sampling frequency is further decreased to monthly time steps.
- Overall, the proposed procedure presented in this study facilitates an improved use of the young

387 water fraction for inter-catchment comparison studies that aim at improving our understanding of

the underlying hydrological processes controlling streamflow generation and instream water

389 quality status.

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#### **Open Research**

- The R package (Fyw) used in this work for estimating the young water fraction is available at
- 394 <u>https://doi.org/10.5281/zenodo.7757959</u>. The results, including figures, in this study can be
- reproduced using the R Markdown document from <u>https://doi.org/10.5281/zenodo.8068420</u>. The
- isotope data used in this study can be downloaded from
- 397 <u>https://www.doi.org/10.16904/envidat.242</u>.

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