# Stochastic in Space and Time: Part 1, Characterizing Orographic Gradients in Mean Runoff and Daily Runoff Variability

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#### Abstract

Mountain topography alters the phase, amount, and spatial distribution of precipitation. Past efforts focused on how orographic precipitation can alter spatial patterns in mean runoff, with less emphasis on how time-varying runoff statistics may also vary with topography. Given the importance of the magnitude and frequency of runoff events to fluvial erosion, we evaluate whether orographic patterns in mean runoff and daily runoff variability can be constrained using the global WaterGAP3 water model data. Model runoff data is validated against observational data in the contiguous United States, showing agreement with mean runoff in all settings and daily runoff variability in settings where rainfall-runoff predominates. In snowmelt-influenced settings, runoff variability is overestimated by the water model data. Cognizant of these limitations, we use the water model data to develop relationships between mean runoff and daily runoff variability and how these are mediated by snowmelt fraction in mountain topography globally. A global analysis of topographic controls on hydro-climatic variables using a Random Forest Model were ambiguous. Instead, relationships between topography and runoff parameters are better assessed at mountain range scale. Rulesets linking topography to mean runoff and snowmelt fraction are developed for three mid-latitude mountain landscapes—British Columbia, European Alps, and Greater Caucasus. Increasing topographic elevation and relief together leads to higher mean runoff and lower runoff variability due to the increasing contribution of snowmelt. The three sets of empirical relationships developed here serve as the basis for a suite of numerical experiments in our companion manuscript (Part 2).

## 1 Stochastic in Space and Time: Part 1, Characterizing Orographic

## 2 Gradients in Mean Runoff and Daily Runoff Variability

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### 9 Key Points:

- WaterGAP3 water model data overestimates daily runoff variability in snowmelt
   influenced watersheds
- Global relationships between mean runoff and daily runoff variability are strongly
   mediated by snowmelt fraction
- Topographic drivers of mean runoff, snowmelt fraction, and daily runoff variability are
- 15 best assessed at the mountain range scale

#### 16 Abstract

Mountain topography alters the phase, amount, and spatial distribution of precipitation. Past 17 efforts focused on how orographic precipitation can alter spatial patterns in mean runoff, with 18 less emphasis on how time-varying runoff statistics may also vary with topography. Given the 19 importance of the magnitude and frequency of runoff events to fluvial erosion, we evaluate 20 whether orographic patterns in mean runoff and daily runoff variability can be constrained using 21 22 the global WaterGAP3 water model data. Model runoff data is validated against observational data in the contiguous United States, showing agreement with mean runoff in all settings and 23 daily runoff variability in settings where rainfall-runoff predominates. In snowmelt-influenced 24 settings, runoff variability is overestimated by the water model data. Cognizant of these 25 26 limitations, we use the water model data to develop relationships between mean runoff and daily runoff variability and how these are mediated by snowmelt fraction in mountain topography 27 globally. A global analysis of topographic controls on hydro-climatic variables using a Random 28 29 Forest Model were ambiguous. Instead, relationships between topography and runoff parameters 30 are better assessed at mountain range scale. Rulesets linking topography to mean runoff and snowmelt fraction are developed for three mid-latitude mountain landscapes-British Columbia, 31 European Alps, and Greater Caucasus. Increasing topographic elevation and relief together leads 32 to higher mean runoff and lower runoff variability due to the increasing contribution of 33 snowmelt. The three sets of empirical relationships developed here serve as the basis for a suite 34 of numerical experiments in our companion manuscript (Part 2). 35

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#### 37 Plain Language Summary

It has long been understood that mountain ranges can have profound influences on the location 38 and intensity of precipitation, for example through the formation of rain shadows. Less clear is 39 how these "orographic effects" are reflected in the details of river runoff, specifically how much 40 runoff varies from day-to-day. Understanding this variability of runoff is important as 41 differences in variability directly influence how rivers respond to changes in rock uplift rate. 42 43 Here we use results from a global water model integrated with topography data to explore how runoff variability is related to topography in high relief landscapes. Consistent with prior work, 44 we find and expand on the observation that mean runoff and runoff variability are inversely 45 correlated and that the nature of their relation fundamentally depends on how much runoff comes 46 47 from snowmelt as opposed to rain. In turn, both mean runoff and the importance of snowmelt are positively correlated with aspects of topography. Our results imply that incorporating variability 48 into models of coupled developing orographic patterns in runoff and landscape evolution is 49 50 critical and we identify a simple framework within which to develop such models. Examples of 51 these models are presented in a companion work (Part 2).

#### 52 **1 Introduction**

Weather systems develop over the course of hours to weeks depending on their size (e.g., Trenberth et al., 2003), while landscapes evolve over millennia and longer. Climatic drivers of the long-term evolution of mountain belts (Whipple, 2009) are impeded by this mismatch in timescale. Modeling weather and hydrology over long timescales is a substantial computational challenge (e.g., Shen et al., 2021), and thus the choices made in representation of the hydroclimate are often baked into the simplified process laws we use to construct landscape evolution models. For fluvial landscapes, the most widely used model for river incision and relief

development is the stream power model (Howard, 1994; Whipple & Tucker, 1999). The details 60 of this model have been expounded elsewhere (e.g., see reviews in Kirby & Whipple, 2012; 61 62 Lague, 2014; Whipple et al., 2022; Whipple & Tucker, 1999; Whittaker, 2012) and we present a more complete synopsis in Part 2. In short the shear stress formulation of stream power asserts 63 that fluvial erosion can be expressed as the product of three terms: a coefficient describing the 64 efficiency of erosion, drainage area raised to an exponent, and local slope raised to another 65 exponent. The latter two terms and the ratio of the exponents can be constrained using 66 topographic data alone (e.g., Wobus et al., 2006), leaving the coefficient of erosion and the value 67 of the slope exponent to account for a large number of important process parameters including 68 climate. While unpacking the assumptions underlying generalized forms of stream power have 69 been addressed by many papers (e.g., Kirby & Whipple, 2012; Lague, 2014; Whipple et al., 70 71 2022), we highlight two sets of assumptions of stream power that motivate our analysis of global runoff data. First, it is common to use drainage area as a proxy for discharge. Orographic 72 73 precipitation (Galewsky, 2009; Roe, 2005) is mimicked in 1D stream power models by adding an additional area dependence on runoff that alters concavity (Roe et al., 2002) and fluvial relief 74 75 (Roe et al., 2003). In 2D, these basic effects tend to be more ambiguous (Han et al., 2014) and 76 produce discordance between mainstem and tributary morphology (Leonard & Whipple, 2021). 77 Second, simple stream power typically assumes a characteristic discharge, thus entailing either 78 that erosion thresholds are negligible or that the effects of thresholds are subsumed within the 79 stream power parameters itself. This latter possibility has now been carefully examined by 80 changing the temporal scale over which river erosion is modeled (i.e., at the daily scale). By integrating stream power over the probability distribution of flows above erosional thresholds 81 (Lague et al., 2005; Snyder et al., 2003; Tucker, 2004; Tucker & Bras, 2000), the response of 82

river profiles to climate is not only embedded in the coefficient of erosion but also the effective
slope exponent (DiBiase & Whipple, 2011; Lague, 2014). While the roles of both orographic
precipitation and stochastic climate on stream power have each generated a lot of study on their
own, there has been less effort examining them together.

Integrating orographic effects with stochastic runoff into stream power models requires 87 better constraints on how mean runoff and runoff variability are related (or unrelated) to each 88 other via topography. Prior studies show that mean runoff and the shape of daily runoff 89 distributions are correlated with each other in rainfall-dominated systems (Molnar et al., 2006; 90 Rossi et al., 2016). Figure 1B illustrates this for contiguous United States using streamflow data 91 from select watersheds where the impact of human disturbance and management has been 92 93 minimized (Figure 1A). To select watersheds to motivate and validate the global water model data that we use for the majority of this effort (described in greater detail later), we used the 94 95 Geospatial Attributes of Gages for Evaluating Streamflow (GAGES-II) reference gauges and the Hydro-Climatic Data Network (HCDN-2009). HCDN-2009 is a subset of GAGES-II and thus 96 includes a smaller number of sites. Details for selection of those stations used for validation are 97 98 described below along with how we derived the shape parameters of each distribution. However, note here that higher shape parameters shown in Figure 1 indicate lower runoff variability. The 99 100 empirical data split into two broad relationships. Separation of the two trends appears to 101 correspond to mean annual temperatures of around 0-10° C (Figure 1B), which we hypothesize is due to relatively small changes in the fraction of mean annual streamflow that is derived from 102 103 snowmelt. While prior work has examined how orographic patterns in the spatial distribution of snow alters stream power predictions (Anders et al., 2008), we are not aware of any studies 104 105 showing how snowmelt alters stochastic runoff and stream power predictions. As such, coupled

models of climate and tectonics using stream power (e.g., Beaumont et al., 1992; Willett, 1999)
 may be missing important feedbacks between topographic relief and snowmelt as mountain
 ranges grow.

109 The lack of focus on integrating orographic precipitation and stochastic runoff into stream power models is likely due to data limitations and the dearth of simple hydrological 110 relations that can be upscaled to landscape evolution timescales. Precipitation observations 111 provide a starting point, though simplifying water inputs into streamflow outputs are riddled with 112 nonlinearities that can be hard to generalize. Rainfall runoff is nonlinear due to scaling properties 113 within watersheds and dynamical nonlinearities in hillslope runoff generation (e.g., Sivapalan et 114 al., 2002). Furthermore, the relative contribution of different runoff generation mechanisms (i.e., 115 116 extreme precipitation, soil moisture excess, snowmelt) to flood frequency is only beginning to be characterized under modern climate conditions (e.g., Berghuijs et al., 2019), let alone for time-117 varying ones. Process-based hydrological models help unpack these nonlinearities for a given 118 119 setting (Fatichi et al., 2016), but are typically applied at small spatial scales. Our approach is to use a global water model (Alcamo et al., 2003; Döll et al., 2003) to help constrain how 120 121 topography, runoff generation, and streamflow statistics can be generalized for river incision 122 modeling more broadly.

#### 123 **2 Background**

#### 124 2.1 Orographic effects

Topography perturbs the equilibrium structure of the atmosphere by adding roughness,
obstructing air masses, and serving as a heat source (Smith, 1979). The conventional treatment of
orographic precipitation in landscape evolution studies (e.g., Beaumont et al., 1992; Willett,

1999) focuses on the thermodynamic implications of mountain topography on how precipitation 128 129 is extracted from the atmosphere via forced ascent. The saturation vapor pressure of water in air 130 is related to its temperature via the Clausius-Clapeyron equation (see review in Roe, 2005). As air masses move up and over mountain topography, precipitation on windward slopes increases 131 as partially saturated air cools during ascent. A 'rain shadow' subsequently develops when the 132 133 relatively drier air descends and warms on leeward slopes. This first-order description is wellrooted in atmospheric physics and observations (Barros & Lettenmaier, 1994). To extend these 134 dynamics to air parcels flowing over more complex terrain, Smith & Barstad (2004) developed a 135 linear model of orographic precipitation that accounts for atmospheric dynamics, upwind 136 advection, and downslope evaporation. In this context, linearity does not refer to a single 137 function describing rainfall but is instead a property of the system of differential equations used 138 139 such that they are analytically tractable. Because settling velocities of snow are an order of magnitude lower than rain, this model can be used to examine how snow alters the spatial 140 141 distribution of water inputs (Anders et al., 2008). However, one notable limitation to the linear model of orographic precipitation is that it does not account for the blocking of air by terrain, a 142 143 nonlinear process that depends on the Brunt-Vaisala frequency describing the horizontal 144 propagation of waves, horizontal windspeed, and orogen-scale relief (Barros & Lettenmaier, 1994; Galewsky, 2009; Jiang, 2003). Given that one of the key targets of landscape evolution 145 146 models is to couple topography to climate through time, linear models of orographic precipitation are perhaps best suited to smaller mountain ranges. 147

Another approach towards characterizing orographic precipitation is to use climatological observations, especially since the advent of satellite-based remote sensing. For example, the Tropical Rainfall Measuring Mission (TRMM) was spaceborne for 17 years and provided new

insights into complex spatial patterns in rainfall set up by high topography (e.g., Bookhagen & 151 Burbank, 2006; Bookhagen & Strecker, 2008; Deal et al., 2017; Forte et al., 2016; Nesbitt & 152 153 Anders, 2009). One of the key insights from these studies is the central importance of local relief to driving spatial patterns in rainfall. For example, in the Himalaya, TRMM rainfall revealed two 154 narrow bands of rainfall that correspond to abrupt physiographic transitions into the Lesser 155 156 Himalaya and into the Greater Himalaya which had not been previously identified (Bookhagen & Burbank, 2006, 2010). As such, spatial patterns derived from TRMM rainfall are increasingly 157 being used to inform interpretations of river channel profiles (Adams et al., 2020; Bookhagen & 158 Strecker, 2011; Leonard et al., 2023), though these approaches typically assume mean rainfall is 159 directly proportional mean runoff. While other remote sensing products like MODIS can also 160 161 help constrain snow cover to construct a full water budget (Bookhagen & Burbank, 2010), such products tend to require temperature-index or process-based hydrological models to reliably 162 estimate snowmelt contributions to streamflow (Walter et al., 2005). 163

164 Given the importance of snowmelt to streamflow in mid-latitude mountain ranges (Barnett et al., 2005; Barnhart et al., 2016), the difficulty of obtaining direct estimates of 165 snowmelt leads to substantial uncertainty when using remotely sensed rainfall data as a proxy for 166 runoff. Altering the phase of precipitation can cause up to 100% reductions in snowmelt 167 168 contributions to streamflow in settings near the freezing temperature window (Adam et al., 169 2009). This has prompted some authors to suggest that climate change driven reductions in snowmelt fraction generally leads to lower streamflow as snowfall gives way to rain (Berghuijs 170 171 et al., 2014). Such arguments rest on the premise that snowmelt runoff will lead to higher runoff ratios, all other things being equal, because solid water is stored in the snowpack and released 172 more slowly than rainfall runoff. Better understanding of orographic effects on the snowmelt 173

174 contribution to streamflow in mountain landscapes is sorely needed to improve stream power175 models of river incision.

176 2.2 Stochastic river incision

177 Early efforts to integrate stochastic hydrology into stream power models of river incision 178 (Snyder et al., 2003; Tucker, 2004; Tucker & Bras, 2000) were based on the pioneering work of 179 Eagleson (1978). By simulating rainfall events as Poisson distributions of intensities, durations, and inter-storm periods, rainfall events were represented as rectangular pulses that can be 180 181 converted to runoff and routed across the landscape in order to evaluate the impact erosion 182 thresholds on landscape evolution. Complementary efforts by Lague et al. (2005) chose to simulate streamflow directly at the daily time step using the stochastic 'precipiton' model. This 183 model considers the time travel distribution of quanta of precipitation that produces runoff and 184 185 generates daily streamflow distributions that follow an inverse gamma distribution (Crave & Davy, 2001). 186

Despite the differences in the hydrologic assumptions made by these early modeling 187 efforts, together they highlighted the need for adding stochastic events to stream power in order 188 189 to interpret the long-term evolution of river profiles. Under this view, the steady state form of river profiles was no longer a simple function of mean climate, but instead reflected the complex 190 interplay between the frequency of large flows and erosional thresholds set by coarse sediment 191 192 (Shobe et al., 2016) and the detachment of bedrock (Whipple et al., 2000). While the overall 193 approach of these efforts was similar, the functional form of probability distributions of streamflow differed. The use of daily data, while insufficient for short-duration flash floods, 194 balances important tradeoffs in characterizing magnitude-frequency relationships while also 195 being tractable to simulate over landscape evolution timescales. Poisson rectangular pulses 196

197	always generate light-tailed, exponential, daily runoff distributions while the inverse gamma
198	distribution is able to produce heavy-tailed distributions that do not have a finite variance,
199	depending on the value of shape parameter. There is still an open question as to how heavy-tailed
200	streamflow distributions truly are (Malamud & Turcotte, 2006; Molnar et al., 2006), though the
201	advantage of adopting these stochastic frameworks is that they are well-suited to simulating both
202	frequent and infrequent flows and thus determining the geomorphically effective event (Huang &
203	Niemann, 2006). Rossi et al. (2016) recently suggested that the stretched exponential, or
204	Weibull, distribution provides a flexible probability distribution that spans light-tailed to
205	apparently heavy-tailed distributions (Laherrère & Sornette, 1998), and thus is what we choose
206	to fit observed and model runoff daily runoff data below.
207	Regardless of how stochastic processes are represented, these early efforts prompted a
208	large number of studies to take a closer look at whether relationships between long-term erosion

209 rates and river morphology can be better explained using stochastic-threshold models of river 210 incision (Campforts et al., 2020; Desormeaux et al., 2022; DiBiase & Whipple, 2011; Forte et al., 211 2022; Scherler et al., 2017). While success is decidedly mixed, the general outcome of using 212 stochastic-threshold models has been to provide an alternative interpretation to nonlinear relationships between river channel morphology and long-term erosion rates (Harel et al., 2016; 213 214 Marder & Gallen, 2023). In these cases, nonlinear relationships between river morphology and 215 long-term erosion rates arise because erosional thresholds are exceeded more frequently as erosion rate and relief increase. The climate driver on river profile evolution is not mean annual 216 217 precipitation itself, but how the soil water balance (Deal et al., 2018) and the hydrologic structure of watersheds (Basso et al., 2023) mediate flood frequency. These concepts place the central 218 219 focus on water storage-discharge relationships (Botter et al., 2009; Kirchner, 2009) to condition

220 how rainfall events are converted to runoff ones. The same kind of framework can be used to

account for seasonal snowmelt contributions to streamflow (Schaefli et al., 2013).

#### 222 **3 Datasets**

223 Our overarching goal is to better parameterize 1D models of fluvial profile evolution that 224 account for both stochastic events and orographic controls on runoff generation. Model 225 development is the focus of our companion manuscript (Forte & Rossi, 2023). The focus of this manuscript is on developing empirical relationships between topography and daily runoff 226 227 statistics in mountain settings. Note that runoff and streamflow, i.e., discharge, are not 228 synonymous terms. For empirical data, streamflow data are typically what is measured and runoff is inferred by normalizing the data by drainage area. For water model data, runoffs are 229 simulated directly. We primarily rely on three datasets: (1) a daily, global water model derived 230 231 from climate reanalysis data (WaterGAP3 data including daily runoff), (2) observational stream gauge data from the contiguous United States (HCDN-2009 daily streamflow), and (3) near 232 global topographic data (SRTM-90 and derived HydroSHEDS v1 gridded elevation). 233

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#### 235 3.1 Hydrology Data

Because streamflow data availability and quality is globally variable, we sought a single global runoff dataset that could be used to interrogate modern relationships among topography, snowmelt, and runoff. We used the Water Global Assessment and Prognosis (WaterGAP3), the most recent version of a 20+ year old global water model (Alcamo et al., 2003; Döll et al., 2003). WaterGAP3 improves on prior versions by increasing the spatial resolution from the original 0.5° to 0.25° pixel size (Eisner, 2015) and is one model included in the Earth2Observe Water

Resource Reanalysis project (Schellekens et al., 2017). These model data have broad utility (e.g.,
Schmied et al., 2014), including for parameterization of stochastic-threshold incision models
(STIM) of river incision (Campforts et al., 2020). For this analysis, we downloaded the global,
20-year, daily time series from the Earth2Observe portal (www.earth2observe.eu; last accessed
April 8, 2022) spanning from January 1, 1980 to December 31, 1999. Daily data represent the
mean value of each variable for each day.

For each pixel and day, WaterGAP3 contains a large number of input and derived hydro-248 climatological parameters including precipitation, runoff, discharge, and evapotranspiration. We 249 primarily focus on the derived runoff variables from WaterGAP3, but also briefly consider 250 temperature and precipitation. Daily average surface temperature is not distributed with 251 252 WaterGAP3, so we rely on another reanalysis product of identical resolution from the Earth2Observe set, namely SURFEX-TRIP (Decharme et al., 2010, 2013). Surface temperature 253 data are used to help interpret variation we see within the WaterGAP3 runoff data. Runoff data 254 255 are subdivided into three components in WaterGAP3: surface runoff  $(R_s)$ , subsurface runoff  $(R_{sb})$ , and snowmelt  $(R_{sm})$ , where total daily runoff  $(R_t)$  is the sum of the three. In the original 256 WaterGAP3 dataset, all of these components of runoff are denoted with the variable 'Q'. We do 257 not use this notation here given the common association of Q with discharge  $[L^3/t]$  as opposed to 258 259 runoff [L/t]. For each pixel across the time-series, we calculated mean daily runoff ( $\bar{R}_t$ ), mean daily precipitation ( $\overline{P}$ ), means of each of the three runoff components ( $\overline{R}_s, \overline{R}_{sb}, \overline{R}_{sm}$ ), and 260 Weibull shape (c) and scale ( $R_0$ ) parameters of the daily total runoff distributions (see section 4.1 261 for details). Given our interest in probing the importance of snowmelt, we also calculated the 262 fraction of runoff contributed by snowmelt (SF), where: 263

267 Similarly, we calculate baseflow fraction of runoff (BF), where:

$$BF = \frac{\overline{R_{sb}}}{\overline{R_t}}$$
(2)

that we use to exclude watersheds with a substantial groundwater component to its daily fluxes.

To validate model runoff data, we used observational streamflow data from the Hydro-270 Climatic Data Network – 2009 (HCDN-2009) (Lins, 2012). These 743 stream gauges were 271 272 identified by the USGS to be high quality, long, continuous records for watersheds with minimal impact by humans (e.g., due to landcover change, dams, and diversions). We downloaded 273 streamflow data from the National Water Information System (NWIS) server for the dates 274 between January 1, 1980 and December 31, 1999, to directly compare to the WaterGAP3 data. 275 During the processing of individual HCDN-2009 time series data, any day that included 276 provisional data or data where there was an extra qualifier on the quality (e.g., 'ICE') was 277 removed and treated as NaN data. We characterize the completeness of the time series by 278 dividing the number of days with reliable data by the total number of days. Because HCDN-2009 279 280 stream gauges are a subset of the reference stations in the Gages for Evaluating Streamflow 281 version II (GAGES-II) network, we were able to use watershed boundaries provided by Falcone et al. (2011) to calculate watershed-averaged properties and normalize streamflow by drainage 282 283 area. This latter calculation was used as an estimate for daily runoff. Processing and validation of the WaterGAP3 runoff model against HCDN-2009 observations is described in section 4.2. 284

#### 285 3.2. Topography Data

Because we are focused on how hydroclimatic parameters vary with topography in 286 mountain settings, it is necessary to pair the WaterGAP3 data with a global topographic dataset. 287 We largely used the HydroSheds v1, 15-arcsecond, digital elevation model that is derived from 288 SRTM elevation data (Lehner et al., 2008). We also used the higher resolution SRTM-90 data 289 (Farr et al., 2007) for watershed delineation when validating WaterGAP3 against HCDN-2009 290 291 data. The HydroSheds v1 topographic data are used for two purposes: (1) To screen for portions of the global surface where orographic feedbacks with climate are relevant, and (2) To develop 292 empirical relationships between topography and runoff statistics. With respect to data screening, 293 we only used WaterGAP3 data where the mean elevations are greater than 250 meters above sea 294 295 level and where local reliefs are greater than 500 meters. To calculate local relief at a fixed scale, we first reprojected the global geographic DEM into an equal area cylindrical projection and then 296 calculated local relief within a 2.5 km radius circular moving window. This is a scale that prior 297 298 studies have shown to linearly correlate with river channel steepness (e.g., DiBiase et al., 2010), and thus expect it to be well suited to developing empirical relationships between river 299 300 morphology and local relief. After the relief calculation, we projected the data back into the 301 original WGS 84 geographic coordinate system to facilitate calculation and comparisons with the 302 rest of the datasets that were also in geographic coordinate systems. The initial screening of the 303 WaterGAP3 data using local relief is then further filtered to exclude pixels where baseflow (eq. 2) exceeds 0.25, with an eye towards minimizing the confounding factor of large groundwater 304 305 contributions. To develop relationships between topography and runoff statistics we record minimum, mean, and maximum elevations within a WaterGAP3 pixel and the mean local relief 306

within a WaterGAP3 pixel as calculated from the enclosed 60 HydroSheds pixels (i.e., there are
60 HydroShed pixels within each WaterGAP3 pixel).

#### 309 4 Data Analysis

310 To develop empirical relationships between topography and runoff statistics from 311 WaterGAP3, it was first important to figure out at which scale such relationships might emerge. 312 To this end, we conduct both a global analysis and a set of regional ones that broadly correspond to the mountain range scale. These empirical relationships serve as the basis for the model 313 314 development and analysis we conduct in Part 2 (Forte & Rossi, 2023). There are four main steps 315 to the data analysis: (1) Characterization of statistical parameters for daily runoff; (2) Validation of WaterGAP3 model derived parameters with HCDN-2009 stream gage observations; (3) 316 317 Global assessment of topographic controls on runoff, runoff variability, and snowmelt fraction, 318 and (4) Development of regionally-based relationships between topographic metrics and runoff statistics. 319

#### 320 4.1. Daily Distributions

A number of probability distributions have been considered for the problem of bedrock 321 river incision, including exponential (Snyder et al., 2003; Tucker, 2004), power law (Molnar et 322 al., 2006), inverse gamma (Campforts et al., 2020; DiBiase & Whipple, 2011; Lague et al., 2005; 323 Scherler et al., 2017) and Weibull (Forte et al., 2022; Rossi et al., 2016) distributions. We follow 324 Rossi et al., (2016) and use a two-parameter Weibull distribution to fit the right tail of the daily 325 runoff distribution above a threshold value. Choosing thresholds to fit empirical distributions is a 326 notoriously vexing challenge (e.g., Dupuis, 1998) and makes it more challenging to implement in 327 numerical models (see Forte & Rossi, 2023), though it enables better fidelity to the observed 328

right tail. For this analysis, the threshold is treated as a third parameter that is held constant across sites to enable comparison of fit parameters. Above the threshold, distributions are described by a shape parameter ( $c_x$ ) that describes daily variability and a scale ( $x_0$ ) parameter related to the mean of the distribution, where:

333 
$$pdf(x;x_0,c_x) = \frac{c_x}{x_0} \left(\frac{x}{x_0}\right)^{c_x - 1} exp^{-1(x/x_0)^{c_x}}$$
(3)

Because we are only fitting the right tail of the distribution, the parametric mean and the 334 empirical mean need not match. The mismatch between the two is a measure of how well tail 335 fitting is able to represent the full distribution. We use the fit parameters to characterize both 336 daily precipitation  $(p_0, c_p)$  and daily runoff  $(r_0, c_r)$ . Interpretations of fit parameters primarily 337 focus on the shape parameter because it describes the right tail of daily values, which we 338 colloquially refer to as the variability. Larger values of  $c_x$  indicate lower variability (i.e., smaller 339 relative differences between daily runoff values), where  $c_x=1$  is equivalent to the exponential 340 distribution. The need for three parameters and the inability to analytically integrate the product 341 342 of this distribution with stream power is not ideal, posing important challenges to numerical simulations of bedrock rivers (Forte & Rossi, 2023). 343

To estimate shape parameters, we follow Wilson & Toumi (2005) and perform a linear fit on the natural log linearized right tail of the exceedance frequency distribution above a threshold. On the transformed data, the shape parameter,  $c_x$ , is the slope of the regression, and the scale parameter,  $x_0$ , is exp(-intercept/slope) of the regression. Because parametric fits will be sensitive to threshold choice, distribution parameters were calculated using two thresholds for the daily runoff data, the upper 5% and upper 1% of daily values. These thresholds reflect a compromise between fitting the majority of flows while also honoring the right tail, the latter of which

dictates the nonlinear relationship between channel steepness and long-term erosion rates. 351 Figures and discussion are based on the 1% threshold for both runoff and precipitation 352 353 distributions. This corresponds to the event magnitude that happens 3-4 times per year. While threshold choice did alter the best-fit values for *c*<sub>r</sub>, suggesting that a simple Weibull distribution 354 is not able to fully characterize all cases, this variation in cr did not substantially alter the relative 355 spatial patterns in the shape of the right tail. Runoff parameters were calculated on both the daily 356 streamflow data (HCDN-2009) and the daily total runoff data from WaterGAP3. Pixel-based 357 values in WaterGAP3 are not directly comparable to the watershed-averaged ones in HCDN-358 2009. In the following section, we address this challenge in the context of validating water model 359 runoff data against observations. 360

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4.2. Runoff Parameter Validation

362 Prior validation of WaterGAP3 data suggests that model data robustly reproduce mean river discharge from gauging stations (Beck et al., 2017; Eisner, 2015; Schmied et al., 2014, 363 2020). None of these prior assessments considered how well daily runoff variability is 364 365 represented. Given the importance of daily runoff variability to bedrock river incision modeling, it is thus important to assess the extent to which shape parameters calculated from WaterGAP3 366 are consistent with those observed at stream gauges. For the sake of comparison, we first 367 screened the HCDN-2009 network using the same topographic criteria used to screen 368 WaterGAP3. Namely, we excluded watersheds where catchment relief (i.e., maximum minus 369 minimum elevation within the catchment) is less than 500 meters and where mean elevation is 370 less than 250 meters. Of the retained sites, we also imposed the additional criterion that HCDN-371 2009 daily runoff records are >95% complete within the WaterGAP3 time period (January 1, 372

1980 - December 31, 1999). We also removed data that occurs on leap days because these days
are not calculated in the WaterGAP3 time series.

Once candidate HCDN-2009 stations were identified for validation, we needed to process 375 the WaterGAP3 data to enable fair comparison. The first approach uses the mean runoff and 376 runoff variability parameters calculated for each pixel in WaterGAP3. By oversampling these 377 raster datasets of stochastic parameters to 1.5 seconds per pixel, HCDN-2009 watershed 378 379 boundaries were used to calculate spatially averaged values of runoff parameters. While this treatment may be valid for small HCDN-2009 watersheds of similar scale to the WaterGAP3 380 pixels, this calculation may be problematic for larger watersheds where runoff should be routed 381 downstream. As such, the second approach uses watershed boundaries to clip and route the 382 383 WaterGAP3 data for each day within the 20-year time series. The mean runoff and shape parameter of the routed data are then calculated for the daily, routed data at the river outlet. For 384 this computationally intensive approach, we used TopoToolbox (Schwanghart & Scherler, 2014) 385 386 to: (1) acquire SRTM-90 digital elevation models (DEMs) for each watershed via the 387 OpenTopography API, (2) project each DEM to the Universal Transverse Mercator (UTM) 388 projection, (3) clip each day of the WaterGAP3 data to the watershed boundary and resample to the resolution of the DEM, (4) route discharge through the basin to build a time series of daily 389 390 runoff at the outlet of each watershed, and (5) calculate mean runoff and shape parameters for 391 the outlet time series.

392

#### 4.3. Global Analysis

After understanding the strengths and limitations of WaterGAP3, these model data were used to identify the strongest predictors of mean runoff and daily runoff variability globally. The global analysis used two complementary approaches: (1) Develop relationships between mean

runoff and variability (e.g., Molnar et al., 2006; Rossi et al., 2016), in a way that can account for
the potential influence of snowmelt, and (2) Use unsupervised machine learning to probe the
WaterGAP3 data and help identify strong predictors of mean runoff, snowmelt, and runoff
variability.

For the first approach, we used the snowmelt fraction (Eq. 1) to partition the filtered 400 WaterGAP3 data (see Section 3.1) into bins. Within each bin, we fit both a linear and a power 401 402 law function relating mean runoff and the shape parameters of each pixel within that bin. This approach was motivated by empirical (Rossi et al., 2016) and ecohydrological modeling (Deal et 403 al., 2018) studies that show how climatically driven gradients in daily runoff variability differ 404 between rainfall-runoff and snowmelt-runoff regimes. For example, Rossi et al. (2016) showed 405 406 that watersheds with lower snowmelt contributions were better described by a power law relationship between mean runoff and its associated Weibull shape parameter. In contrast, 407 408 regions with higher snowmelt contributions showed a more linear relationship between these 409 parameters. To compare the fits of both functions, we consider both the RMSE and the reduced 410 chi-squared statistic under the view that that minimization of RMSE and/or reducing the chisquared statistic closer to one should indicate the 'better' fit to the data. 411

In the second approach, we consider a larger suite of hydro-climatological, topographic, and geographic variables. Random forest regression (RFR) was used to assess the relative importance of potential predictor variables with respect to a given 'target' variable (Grömping, 2009). Target variables are hydro-climatic ones chosen based on their potential relevance to relationship between mean runoff and runoff variability (i.e., mean temperature, mean precipitation, mean runoff, daily runoff variability, and snowmelt fraction). The list of predictor variables are broader and varied according to each target. Predictor variables included

topographic (mean elevation, maximum elevation, mean local relief), geographic (latitude), and 419 hydro-climatic (mean temperature, mean precipitation, daily precipitation variability, mean 420 421 runoff, daily runoff variability, and snowmelt fraction) variables. We also attempted to thin predictor variables and remove what amounts to duplicates, e.g., as described in the results, 422 latitude is the primary predictor of mean annual temperature and thus for other RFRs, we only 423 424 include MAT as opposed to both MAT and latitude. Ultimately, we are not interested in the prediction per se, but to use the RFR to help identify which variables emerge as the most viable 425 candidates linking mean runoff, snowmelt fraction, and daily runoff variability. In particular, we 426 sought to discover which and whether any of the topographic metrics can be used to generalize 427 hydro-climatic relationships that may co-evolve with growing topography. To perform the RFR, 428 we used the RandomForestRegressor within SciKit-Learn, using the default values and a seed for 429 the random state of 0. 430

431 4.4. Regional Cases

As we discuss in the context of our findings below, the global analysis revealed that 432 433 generalizable relationships between topography and hydro-climatology were difficult to isolate at this largest spatial scale. While the global analysis reinforced the notion that snowmelt fraction 434 mediates the relationship between mean runoff and daily runoff variability, scatter in these 435 relationships clearly reflect the geographic diversity of montane hydrology. Furthermore, the 436 lack of unambiguous topographic predictors that could be used to build rules for co-evolving 437 stochastic parameters with the growth of mountain ranges limits the utility of the results from the 438 global analysis to the application of 1D bedrock river incision modeling (Forte & Rossi, 2023). 439 As such, we identified relationships between topography and stochastic runoff specific to 440 441 individual mountain ranges, where differences in regional climate and geography can be partially

accounted for. To begin this regional analysis, we started at first at the global scale and used a  $2^{\circ}$ 442 rectangular moving window to calculate the Spearman's rank correlation coefficient between 443 444 candidate topographic variables and hydro-climatological ones. The topographic variables considered were the same as in the global analysis (mean elevation, maximum elevation, and 445 mean local relief). The hydroclimatic variables we focused on were mean runoff and snowmelt 446 fraction, the latter of which can be linked to daily runoff variability using relationships from the 447 global analysis. We opt to focus on snowmelt fraction instead of daily runoff variability directly 448 because one of the hypotheses we are trying to test in the 1D river incision modeling (Forte & 449 Rossi, 2023) is how and whether snowmelt dynamics alter interpretations of stream power based 450 analyses of river profiles. The results of the rank correlation analysis were used as the basis of 451 selecting three regions where well-defined relationships can be developed between topography 452 and hydro-climate. Specifically, these regional cases focus on the mid-latitude mountains of 453 British Columbia, European Alps, and the Greater Caucasus (Figure 2), where snowmelt 454 455 contributes a sizable fraction of daily streamflow.

#### 456 **5 Results**

457 5.1 Validation of WaterGAP3

Figure 3 summarizes the results from our validation of WaterGAP3 model data against historical observations from select HCDN-2009 stream gages. The mean values for both datasets plot around the 1:1 line without obvious bias (Figure 3A), lending support to prior assessments (e.g., Beck et al., 2017; Eisner, 2015; Schmied et al., 2014, 2020). However, scatter around this relationship shows that a >25% mismatch in mean values is not unusual. In general, simple spatial averaging (closed symbols) performs almost as well as the computationally intensive

routed approach (open symbols), though routing matters for individual cases. From this, we 464 conclude that the HCDN-2009 watersheds are at the appropriate scale for WaterGAP3 validation 465 466 and that downstream scaling of streamflow statistics is not strongly influencing our parameter estimates. This perhaps not surprising given that the filtered set of HCDN-2009 watersheds used 467 are relatively small (interquartile range of 105-542 km<sup>2</sup>), well within the average pixel size of the 468 469 WaterGAP3 data and typically smaller than the mountain range scale. For lower values of the shape parameter (i.e., higher runoff variability), the correspondence between the observations 470 and the water model is acceptable (Figure 3B). However, for most watersheds, the shape 471 parameters from WaterGAP3 are less than their empirical counterparts (Figure 3B; D) except at 472 higher shape parameters (i.e., lower daily runoff variability). In these cases, WaterGAP3 values 473 are systematically lower than the HCDN-2009 gage data. This implies that WaterGAP3 tends to 474 *overestimate* variability for these watersheds. For the lower variability watersheds, the routed 475 version of WaterGAP3 does slightly improve water model performance (Figure 3B), but does not 476 477 remove the systematic bias. The residuals of the mismatch between the HCDN-2009 and WaterGAP3 values do not reveal a relationship between the mean and variability (Figure 3C), 478 479 which might occur if the WaterGAP3 model was systematically altering storage-release 480 relationships in hydrographs (e.g., due to limitations in how hydrologic processes are represented 481 in the model). However, comparison of the residuals of the shape parameter to the mean annual 482 temperature each the watershed (Figure 3D) indicates one possible interpretation for why variability in lower variability watersheds is overestimated in the WaterGAP3 data. The majority 483 484 of lower variability basins tend to occur in colder settings, suggesting the possibility that snowmelt processes are not being adequately represented in the WaterGAP3 data. This result 485 supports the argument that WaterGAP3 could benefit from improving the partitioning of runoff 486

into fast and slow components (Eisner, 2015). The direction of the mismatch is consistent with
the notion that snow storage and release may not be fully resolved in WaterGAP3 data even
though mean runoff is well represented in the water model.

While systematic differences between model and empirical estimates of daily runoff 490 variability is an important limitation to consider, we continue to use WaterGAP3 as our base 491 dataset for a few reasons: (1) It is globally uniform, allowing for comparison of stochastic runoff 492 493 in diverse settings, and (2) The systematic bias in variability has been quantified so that its effects can be considered. Importantly, the bias in WaterGAP3 estimates of daily runoff 494 variability lead to a *conservative* estimate of the dynamics we are examining in our 1D modeling 495 of bedrock river incision (Forte & Rossi, 2023). Because hypothesized orographic feedbacks 496 497 induce lower runoff variability as a mountain range grows, thereby increasing the degree of nonlinearity between channel steepness and erosion rate, it is preferable for the underlying rules 498 499 setting these feedbacks to overestimate variability than the alternative.

#### 500 5.2 Global relationships (relating mean and variability)

501 Figures 4-5 summarize the results for how the parametric fit parameters relate to mean runoff after binning the data by snowmelt fraction. Across all bins, WaterGAP3 data show that 502 mean runoffs are inversely related to daily runoff variabilities, consistent with prior studies (e.g., 503 Molnar et al., 2006; Rossi et al., 2016). The large gridded WaterGAP3 dataset allowed us to 504 505 more systematically explore these relationships at relatively fine (5%) intervals of snowmelt fraction (Figure 4). Each subpanel in Figure 4 is a heatmap showing the density of WaterGAP3 506 507 observations of how the best-fit shape parameters relate to the empirical mean. Regressions on the pixel-level data are shown (solid lines show the better fit between linear and power law 508 509 regressions). HCDN-2009 observational data are also shown as points for reference. Figure 4

demonstrates that it would be difficult to constrain these relationships using observational data 510 alone because representation of different snowmelt fractions can be sparse, especially at higher 511 512 snowmelt fractions. More importantly, it shows that the functional form of the relationship between the mean and variability changes from sublinear to linear with increasing snowmelt 513 fraction. Using Figure 4 as our guide, we identified a snowmelt fraction of 0.35 as the transition 514 515 where sublinear relationships give way to linear relationships. Note that this transition is higher than the 10% snowmelt threshold used to delineate snowmelt from rainfall-runoff dominated 516 watersheds in Rossi et al. (2016). This disparity likely arises from two factors. First, that prior 517 analysis focused on the snow fraction of precipitation and not the snowmelt fraction of runoff. 518 Second, the sparsity of observations at higher snowmelt fractions in the HCDN-2009 data are not 519 sufficient to define such a threshold. 520

To more succinctly summarize these findings, Figure 5A-B shows the same plots by 521 binning the data above and below a threshold snowmelt fraction of 0.35. The best of the 522 523 regression lines from Figure 4 are also plotted for reference. Figure 5A-B highlights that individual regressions largely cluster around each other, especially in the domain where they are 524 525 well constrained by data. It also shows that the relative spread of parameter values is smaller when there is a high fraction of snowmelt. The linear relationships shown at higher snowmelt 526 527 fractions (Figure 5B) are strongly underestimating the value of the shape parameter as estimated from gaged basins, consistent with validation results (Figure 3B). However, empirical 528 observations still suggest a linear relationship between the empirical mean runoff and the shape 529 530 of the daily runoff distribution at higher snowmelt fractions.

Because empirical means are not equivalent to the mean value implied by parametric fits,
Figure S1 reports the mismatch between the scale parameter fit to the data (i.e., above the 1%

threshold or ~4 largest floods per year) and the scale parameter implied by the empirical mean. 533 These results are summarized in Figure 5C-D. In general, the parametric fits produce scale 534 535 parameters that are on par with the empirical means only at low snowmelt fractions. At higher snowmelt fractions, the parametric fits have much higher scale parameters than the empirical 536 mean would imply, thereby suggesting that the Weibull distribution is not doing a good job at 537 describing the full distribution of events. Finding a single distribution to describe empirical data 538 is a well-known problem and poses unique challenges to simulating runoff distributions over 539 landscape evolution timescales, a challenge we tackle in part 2 of this analysis (Forte & Rossi, 540 2023). Nevertheless, by treating all the data in the same way, we show that the functional 541 relationship between daily runoff variability and mean runoff is highly sublinear at low 542 snowmelt fractions, much like shown in previous studies (Molnar et al., 2006; Rossi et al., 2016). 543 At high snowmelt fraction, the relationship becomes more linear, albeit with the caveat that the 544 form of the distribution may also be changing. Our estimates of this transition using WaterGAP3 545 546 data provide conservative estimates of orographic feedbacks on runoff variability where both the mean and snowmelt fraction are expected to increase as mountain topography grows. It is 547 548 conservative because biases in the water model data tend to dampen contrasts between rainfall 549 and snowmelt dominated hydrology, and thus our 1D bedrock river incision modeling uses 550 rulesets with weaker feedbacks than might be expected in reality (Forte & Rossi, 2023).

While analyzing the global water model data was motivated by prior studies that identified an inverse relationship between mean runoff and daily runoff variability in the contiguous U.S. (Molnar et al., 2006; Rossi et al., 2016), we felt it also important analyze the global data more generically and explore whether hydro-climatic parameters can be linked to topography itself. This latter objective is essential to building rules that relate stochastic runoff

parameters to mountain range growth and decay. To this end, we opted to use Random Forest
Regression to partition the relative influence of topographic, geographic, and hydro-climatic
predictors on a small subset of target variables.

559 5.3 Global relationships (Random Forest Regression)

Figure 6 summarizes the results of the random forest regression (RFR) analysis 560 561 performed on global, filtered WaterGAP3 data. While principally interested in understanding the controls on mean runoff (Figure 6E-F), daily runoff variability (Figure 6G-H), and snowmelt 562 fraction (Figure 6I-J), we also consider influences on other hydro-climatological variables that 563 564 emerged as important determinants of these target variables, specifically mean annual temperature (Figure 6A-B) and mean precipitation (Figure 6C-D). The results of the RFR are not 565 particularly surprising, but do shed some light on potential causal chains that links mean runoff, 566 567 snowmelt fraction, and daily runoff variability as a mountain range grows.

Mean annual temperature and mean precipitation are the two strongest predictors of both mean runoff and snowmelt fraction, with temperature exerting a stronger influence on snowmelt fraction and precipitation exerting a stronger influence on runoff. Mean runoff is the strongest predictor of the shape of the daily runoff distribution, perhaps explaining why prior efforts have focused on this relationship (e.g., Molnar et al., 2006; Rossi et al., 2016).

573 Importantly, topographic metrics were weak predictors of all three principal targets 574 (mean runoff, snowmelt fraction, daily runoff variability). This may be due to the fact that 575 topography is expected to exert its influence via precipitation and temperature. To assess this, we 576 also set mean precipitation and temperature as target variables in the RFR. The relative 577 predictive power of three topographic metrics and mean temperature on mean precipitation is

relatively uniform. In contrast, latitude is the strongest predictor of mean temperature with mean
elevation providing modest predictive power. At this scale of analysis, topography does not
appear to emerge as a strong predictor in the RFR modeling.

To further probe how topographic relationships might be obscured in this global analysis, 581 582 we binned the pixel-level data by its mean temperature and precipitation, which emerged above as first-order controls on snowmelt fraction and mean runoff. We first removed outlier values 583 using the method described by Doane (1976) where bin boundaries are defined after clipping 584 variables to values below the 99.9<sup>th</sup> percentile. Membership in a given bin was determined by the 585 586 mean temperature and precipitation of the pixel in question. Within each temperature-587 precipitation bin, we calculated Spearman's rank correlation coefficient between one of three 588 topographic metrics (mean elevation, maximum elevation, and mean local relief) and either mean runoff or snowmelt fraction. A correlation coefficient is only calculated if there are at least 10 589 pixels within a given temperature-precipitation bin and if the significance of the correlation 590 591 coefficient exceeds the 95% confidence interval. We used Spearman's rank correlation coefficient because it does not assume linear correlation. 592

Figure 7 summarizes the results of the correlation analysis of WaterGAP3 data after 593 binning by mean temperature and precipitation. The colors in plots show correlations between 594 topography and mean runoff (top row) and correlations between topography and snowmelt 595 fraction (bottom row). Green values indicate strong positive correlations, magenta values 596 indicate strong negative correlations, black values indicate weak to no correlation, and grey 597 values indicate that there was not enough observations in the dataset to evaluate correlation. The 598 patterns in correlation are somewhat difficult to interpret as clusters of strong positive 599 600 correlation are often adjacent to clusters of strong anti-correlation. Topographic predictors of

mean runoff show little sensible pattern (Figures 7A-C), with a hint of positive correlation 601 between local relief and mean runoff at low values of mean precipitation (Figures 7C). 602 Topographic predictors of snowmelt fraction are also complex with a band of positive correlation 603 for lower mean temperatures next to a band of anti-correlation at higher temperatures (Figures 604 7D-F). While we hesitate to interpret these subtle patterns, the snowmelt fraction results do 605 suggest that increasing topographic elevation and relief only leads to more snowmelt where 606 temperatures are conducive to it, though why this relation has a slope is not obvious. 607 As we discuss in more depth in the discussion below, the results from the global analysis 608 suggest that there is no single set of globally applicable 'rules' that relate topography to mean 609

runoff and snowmelt fraction. We suspect this is a consequence of the scale of the analysis (i.e.,

orographic effects are inherently regional) and the lack of accounting for the predominant

direction of weather systems with respect to topography (i.e., steep topography is not

distinguished as windward versus leeward). Based on this, we next explore a set of three regional
analyses that show more promise in constraining orographic controls on mean runoff and
snowmelt fraction.

616

5.4 Regional relationships of mean runoff and daily runoff variability

Given the challenge of identifying simple relationships between topography (i.e., mean elevation, maximum elevation, and mean local relief) and either mean runoff or snowmelt fraction (Figures 6-7), we now examine whether regional relationships between these variables are being obscured by the global treatment. Of the six relationships shown in Figure 7, the relationship between local relief and mean runoff and the relationship between maximum elevation and snowmelt fraction seemed the most promising when evaluated spatially. Figure 8 summarizes the sign and strength of these relationships for all WaterGAP3 data that meet our

selection criteria. The zoom insets highlight three regions of interest – namely the mid-latitude 624 mountains of British Columbia, European Alps, and the Greater Caucasus. Each of these 625 mountain ranges receive a large fraction of their precipitation as snow, with some alpine 626 glaciation under modern climate. In these settings (and others), there is a relatively strong 627 correlation between local relief and mean runoff across the study area (Figure 8A-insets), 628 629 consistent with prior studies (Bookhagen & Burbank, 2006; Bookhagen & Strecker, 2008). The relationship between maximum elevation and snowmelt fraction is more nuanced (Figure 8B-630 insets). The sign of the correlation depends on whether positioned on the windward or leeward 631 side of prevailing weather systems, whereby windward sides show relatively strong positive 632 correlations. Nevertheless, the most complex of these three regional sites is the Greater 633 Caucasus, where relationships among maximum elevation, snowmelt fraction, and runoff 634 generation has been verified using a finer-scale analysis of gauge records and hydroclimatic data 635 (Forte et al., 2022). Taken as whole, this gives us confidence that these three locations are prime 636 637 candidates for building regional relationships among topography, snowmelt, and runoff statistics. To develop these local relationships, we consider similar candidate relationships tested on the 638 global scale (Figure 7), specifically mean runoff or snowmelt fraction as a function of either 639 640 mean elevation, maximum elevation, or local relief (Figure S2).

#### 641 6 Discussion

#### 642

6.1 Mean runoff, runoff variability, and snowmelt

The global analysis of WaterGAP3 data helped solidify interpretations that mean runoff
and daily runoff variability are inversely correlated. This result was born out both in the Random
Forest Regression (Figure 6) and in the individual regressions after binning by snowmelt fraction

(Figures 4-5), thereby supporting findings from prior studies (Molnar et al., 2006; Rossi et al., 646 2016). The functional form of the relationship between mean runoff and the shape of the daily 647 runoff distribution appears to bifurcate at snowmelt fractions around 0.35 (Figure 5). Below this 648 value, the relationship is highly nonlinear. Above this value, relationships vary but become much 649 more linear. The nonlinearity in rainfall-runoff regimes can be interpreted using ecohydrological 650 651 models where climatic parameters can exert different relative influences on mean and tail behavior (Deal et al., 2018). The transition to snowmelt hydrology resulting in lower variability 652 flows (e.g., Pitlick, 1994) is expected due to the effects of both increased runoff ratios and the 653 slow release of water from storage. That this transition is abrupt emphasizes the importance of 654 the phase transition from rain to snow in event-scale runoff variability. The snowmelt fractions 655 where this occurs are relatively low suggesting that snowmelt should not be ignored in fluvial 656 erosion models. We also note here that stochastic-threshold models based on stream power were 657 originally developed for small watersheds (e.g., Lague et al., 2005; Tucker, 2004). Given our 658 659 focus on mountain range scales, it is important to also understand how the spatial footprint of runoff events varies for different runoff generation mechanisms. 660

To assess the importance of spatial scale to runoff generation, Figure 9 compares the 661 exceedance frequency of the spatial footprints of precipitation and runoff events in the 662 663 WaterGAP3 data. The area of each 'event' is determined by finding spatially contiguous objects in the daily data above a given intensity threshold (i.e., 5 - 35 mm/day). It should be noted that 664 unlike much of the analysis in previous sections, we do not filter by 'mountainous topography' 665 (i.e., use elevation or relief to filter the data), and are considering events across all land surfaces. 666 To convert the unprojected pixel-based objects into areas, we multiplied the number of pixels by 667 the size of a pixel in degrees squared. We then calculated the radius of the circle that equals that 668

area. The radius of the circle is converted from degrees to km in both latitude and longitude. 669 Because the conversion in longitude generally differs from the conversion in latitude, this 670 transformation produces an ellipse with area units of km<sup>2</sup>. These are the x-coordinates used for 671 plotting exceedance frequencies (Figure 9A,C,E,G). Furthermore, for runoff data, we labeled 672 each event as snowmelt or rainfall runoff based on the 0.35 snowmelt fraction threshold. Because 673 674 smaller footprints include both rainfall and snowmelt dominated runoff, the right hand panels (Figure 9B,D,F,H) shows the percent of daily runoff events that are classified as snowmelt for 675 log distributed bins of exceedance frequency. Three important insights emerge from this 676 analysis. First, and unsurprisingly, higher intensity thresholds produce smaller event areas. 677 Second, at around the 25 mm/day threshold, the largest area events in runoff and precipitation 678 (i.e., far right tails) are of similar magnitude. Higher thresholds produce runoff areas larger than 679 comparable frequency precipitation events. Third, the far right tail of the size distribution of 680 runoff is all snowmelt. Taken together, these results suggest that the relative contribution of 681 682 snowmelt runoff becomes increasingly important for larger watersheds and for increasing intensities. 683

#### 684

### 6.2 Importance of constraining regional relationships

While global relationships linking mean runoff and daily runoff variability via topography were elusive, regional assessment was much more promising. Figure 10 summarizes the kinds of regional rulesets that can be generated from an analysis like ours. At the regional scale, relationships between local relief and mean runoff emerge, consistent with other studies focused on explaining spatial patterns in rainfall (e.g., Bookhagen & Burbank, 2006; Bookhagen & Strecker, 2008). This is thought to arise because high relief corresponds to increased forced lifting of air masses. Local relief (not shown) and maximum elevation (shown) also correlate

with snowmelt fraction likely due to the role of high topography increasing the probability that 692 precipitation will fall in the form of snow. Regardless of mechanisms, our analysis shows the 693 value of producing regionally constrained links between mean runoff and snowmelt fraction via 694 topography. To generate Figure 10, the pixel-based correlation coefficients presented earlier 695 (Figure 8) are summarized into bins of either mean runoff or snowmelt fraction (y-axes). For 696 each bin, the mean and standard deviation of the correlated topographic metric is shown (local 697 relief for mean runoff and maximum elevation for snowmelt fraction). Marker sizes are scaled to 698 the number of observations within a bin. Power law fits for each relationship are shown as lines. 699 In detail, we tested whether better correlations existed between the hydroclimatic variables of 700 interest (mean runoff and snowmelt fraction) and either mean elevation, maximum elevation, and 701 mean local relief (Figure S2). The selected relationships shown in Figure 10, that we also use to 702 parameterize the models in Part 2, were chosen primarily based on either goodness of fit (i.e., 703 which relationships had the lowest root mean squared error) or which ones would be more 704 705 practical to implement in the models developed in Part 2 when goodness of fit metrics were similar. Each region is described by its own functional relationship, which we interpret as the 706 orographic effects on mean runoff and snowmelt fraction for each mountain range. We suspect 707 708 that some of the non-monotonic behavior of binned values, especially in snowmelt fraction, are a consequence of mixing windward and leeward components of a regional orographic effect (e.g., 709 710 Figure 8), as well as along-strike complexity in precipitation sourcing. Nevertheless, 711 summarizing the data in this way allows us to build empirically based rules for mean runoff and 712 snowmelt fraction specific to each region. Together with the observation that the relationship between mean runoff and daily runoff variability abruptly shifts around snowmelt fractions of 713

714	0.35 allows us to drive a stochastic runoff model using regionally informed parameters from
715	WaterGAP3 in part 2 of this analysis (Forte & Rossi, 2023).

716 The relationships shown in Figure 10 help explain why the role of topography was so 717 hard to extract from the Random Forest Regression (RFR) that included these metrics (Figure 6). First, regional relationships relating topography to runoff generation are quite noisy. While 718 casting runoff parameters as a simple function of topography was our goal, the relatively coarse 719 720 resolution of water model data, the lack of distinguishing between windward from leeward slopes, and hydro-climatic diversity induced by regional climate will each confound simple 721 relationships between topography and runoff parameters. Second, while the power law functions 722 decently describe snowmelt fraction, the bin-averaged values suggest subtle, non-monotonic 723 724 relationships with maximum elevation. Third, and perhaps most importantly, the relationship for each regional setting are distinctly different. Any global analysis would struggle to parse this 725 difference. 726

727

#### 6.3 Implications on landscape evolution studies

Two-way coupled models between climate and tectonics require erosion laws for either 728 729 river incision, glacial erosion, or both. Those testing fluvial dynamics are typically built on the stream power model (e.g., Beaumont et al., 1992; Stolar et al., 2006; Whipple & Meade, 2004; 730 Willett, 1999). Orographic effects in these models focus on the windward ascent and extraction 731 732 of precipitation. By setting up a contrast in the efficiency of erosion on the windward and 733 leeward sides of mountain ranges, mountain belts adjust their width and height in order to achieve a steady state morphology. The widespread use of stream power in these climate-tectonic 734 models has subsequently motivated many studies to interrogate how orographically induced 735 spatial patterns in precipitation might alter the long-term evolution of river profiles and relief 736

(Anders et al., 2008; Han et al., 2014; Leonard & Whipple, 2021; Roe et al., 2002, 2003). At the 737 same time, stream power models are increasingly incorporating the role of stochastic streamflow 738 and erosion thresholds to interpret river profiles (DiBiase & Whipple, 2011; Lague, 2014; Lague 739 et al., 2005; Marder & Gallen, 2023; Scherler et al., 2017; Snyder et al., 2003; Tucker, 2004; 740 Tucker & Bras, 2000). The aim in this study was integrate these two productive research threads 741 742 and explore whether mean runoff, daily runoff variability, and snowmelt fraction can be linked to each other via topographic elevation and relief. As such, we focused our regional analyses on 743 mid-latitude mountain ranges at or near the cusp of glaciation, and where snowmelt contributions 744 to streamflow are significant. While this was our focus, it is worth noting that orographic 745 gradients in stochastic rainfall itself are often poorly constrained. For example, in tropical 746 settings, there can be complex interactions among rainfall type (e.g., convective, monsoonal) that 747 can lead to lower elevation peaks in rainfall maxima (Anders & Nesbitt, 2015) than conventional 748 orographic rules assume, a topic in need of more attention. 749

750 Figure 11 is a conceptual diagram illustrating how stochastic runoff parameters might coevolve with mountain topography in settings where mountain range relief is sufficient to trigger 751 752 the transition from rainfall-dominated to snowmelt-influence runoff, but where river incision is still setting the relief structure of the landscape (e.g., Whipple et al., 1999). The color coded dots 753 754 on the schematic mountains in Figure 11A are intended to correspond to the dots on the hypothetical plots relating topography to runoff and snowmelt (Figure 11B) and those relating 755 mean runoff to daily runoff variability (Figure 11C). On the windward side of mountain ranges 756 757 we expect that the growth of topography will increase mean runoff (Figure 11B solid line) in line with conventional treatments of orographic precipitation (Roe, 2005). This leads to concurrent 758 increases in the frequency of snowfall and thus the snowmelt contribution to runoff (Figure 11B 759

dashed line). While snowmelt fraction has an upper bound of one, in practice, the upper bound 760 we are envisioning in Figure 11B will be less than one because rain continues to fall at lower 761 762 elevations and because the temperatures required to enhance very high snowmelt fractions would also entail a transition to glaciation. The key behavior in this conceptual framework is that 763 accounting for snowmelt dynamics leads to a markedly different relationship between mean 764 765 runoff and the shape parameter of the daily runoff distribution (Figure 11C). Our global analysis of WaterGAP3 data suggests that this transition might be abrupt. We identified a snowmelt 766 fraction of  $\sim 0.35$  corresponds to this transition, with the important caveat that this is based on a 767 water model dataset that tends to produce underestimates of the shape parameter (Figure 3B). 768 Furthermore, while the bulk of the data supports the notion that this transition is relatively 769 abrupt, there are a number of exceptions to this pattern in both the water model and observational 770 data (Figures 1B; 4; 5A). These exceptions may be due uncertainty in the proposed snowmelt 771 transition or evidence for the numerous other hydrological considerations that can reduce daily 772 773 variability in rainfall-dominated regimes (e.g., seasonality, groundwater, drainage basin size). Regardless, the global analysis reveals that the strength and form of these relationships need to 774 775 be assessed independently for any given mountain range (Figure 10). However, by simplifying 776 the hydrology into just two parameters, these kinds of relationships are well-suited to driving long term models of river incision (e.g., Lague et al., 2005; Tucker, 2004) in ways that can be 777 778 linked to mean climate (DiBiase & Whipple, 2011) and ecohydrology (Deal et al., 2018). While we think there is observational evidence for these dynamics in actual landscapes 779

(Forte et al., 2022), we highlight a few important caveats to generalizing from our large-scale analysis of the WaterGAP3 water model data. First, this conceptual model is better suited to explaining the windward side of mountain ranges where precipitation, and thus runoff, is

enhanced by topography. To build better rulesets, higher resolution runoff datasets that honor 783 physiographic transitions and water divides are likely needed. Second, this conceptual model 784 requires that mean runoff and rare runoff events are linked via some common mechanism. This 785 need not be the case. For example, recent work in the Colorado Front Range showed how mean 786 runoff was largely driven by snowmelt throughout the landscape while daily runoff variability 787 was driven by rainfall runoff at lower elevations in response to thinning soils (Rossi et al., 2020). 788 Such mechanistic controls on mean runoff and daily runoff variability are at play in all 789 landscapes and may partially explain the wide variance of runoff parameters observed in our 790 regional rulesets (Figure 10). Third, statistical analyses all assumed independence of daily runoff 791 events which is decidedly not true as runoff events, especially large ones, can extend over 792 multiple days (synoptic-scale storms) to seasons (snowmelt, monsoons). Despite these caveats, 793 this analysis produced empirically-based runoff parameters that vary in space and time. As such, 794 this provides the minimal constraints needed to integrate orographic effects with stochastic 795 796 runoff generation for river profile modeling (Forte & Rossi, 2023).

#### 797 **7. Conclusions**

The results of our global analysis of WaterGAP3 data largely confirm, and significantly expand upon, past results indicating a negative correlation between mean runoff and daily runoff variability. The form of the relationship between variability and mean runoff is linked to the fraction of runoff from snowmelt. For snowmelt fractions <0.35, mean runoff and variability are related via a power law. At higher snowmelt fractions, the two are linearly related. We also find that snowmelt produces runoff events with a much larger areal extent than rainfall runoff.

Exploration of the extent to which mean runoff, runoff variability, and snowmelt fraction are related to topography produces ambiguous results at the global scale. Unsupervised machine

learning methods highlight that simple topographic variables such as mean elevation, maximum 806 elevation, and local relief do not have strong predictive power for our target hydroclimatological 807 parameters of mean runoff, snowmelt fraction, and daily runoff variability. Attempts to identify 808 cross-correlations that may be masking the role of topography were more suggestive, but still 809 difficult to interpret. Results from the global analysis emphasize that exploring relationships 810 811 between topography and hydroclimatology requires a regional approach. For three mid-latitude mountain ranges - the European Alps, Greater Caucasus, and southern British Columbia – we 812 find robust positive relationships between mean runoff and mean local relief and snowmelt 813 fraction and maximum elevation. 814

The links between topography, mean runoff, daily runoff variability, and snowmelt 815 fraction highlight that multiple aspects of hydroclimate of mountain ranges should be expected to 816 evolve as topography grows. Past work on this topic has primarily focused on the influence of 817 growing topography on the development of orographic patterns in rainfall. When coupled to 818 819 tectonic models and simple hydrologic models equating patterns in mean rainfall to mean runoff, orographic effects have been shown to drive a variety of feedbacks between surface processes 820 821 and tectonics. Our results show how to move beyond mean precipitation or mean runoff when 822 considering the coupled evolution of topography, tectonics, and climate. Both snowmelt fraction and mean runoff are expected to increase with growing topography and reduce daily runoff 823 824 variability, emphasizing the need to explicitly consider snowmelt dynamics in coupled tectonic – landscape evolution models. 825

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- 832

### 833 **Open Research**

- Analysis codes necessary to reproduce this work are available in Forte (2024). Larger
- outputs of the processing steps are available in Forte & Rossi (2024). Portions of these analysis
- codes rely on publicly available datasets that we do not have permission to redistribute, but when
- used, we provide comments in the code referencing where these datasets can be downloaded.



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Figure 1. Observational stream gauge data used in this study includes (A) gauged sites in the contiguous United States that are minimally impacted by human management, which are then used to characterize (B) the relationship between mean runoff and the shape parameters describing daily runoff distributions for each stream gauge. In A, a subset of the reference stations in the GAGES-II network were used for the water model validation presented below

(i.e., filtered HCDN-2009). In B, two broad trends between mean runoff and daily runoff
variability organize around mean annual temperature, which prior authors have interpreted as
reflecting the transition from snowmelt-dominated to rainfall-dominated systems (Rossi et al.,
2016).



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Figure 2. Global mean runoff from the WaterGAP3 water model (1980-1999). The dotted black
box corresponds to the area shown in Figure 1A and bounds the geographic extent of the
validation data used. The three smaller colored boxes show the geographic extent of the three
mid-latitude, regional case studies introduced in section 4.4.





Figure 3. Comparison of WaterGAP3 runoff parameters against selected HCDN-2009 stream gage data, colored by the log of the drainage area of individual gaged basins: (A) Mean runoff values, (B) Shape parameters of daily distributions, (C) Mean and shape residuals with respect to 1:1 line, and (D) Shape residuals against mean annual temperatures for each watershed. Open squares are arithmetic means of WaterGAP3 values within watershed boundaries. Closed and colored circles route daily WaterGAP3 data to generate a time series that is then used to calculate

fit parameters. Dashed lines in the upper panels indicate the 1:1 relationship between the water
model and gaged data whereas dashed lines in the lower panels reflect a 0 residual value.



**Figure 4.** Density plots show the relationship between the shape parameter and mean runoff for the filtered WaterGAP3 data: (A-O) Plots binned by snowmelt fraction in increments of 0.05 up

- to 0.75 snowmelt. (P) The last panel is for the remaining data that has >0.75 snowmelt. In all
- 868 panels, both a power law and linear fit are shown. The better fit is shown using a solid line and is
- based on having a lower RMSE. Results are the same if using the reduced chi squared statistic.

870 Black dots are HCDN-2009 watersheds filtered in the same way. For HCDN-2009 data,





Figure 5. Density plots showing relationships among the scale and shape parameters of
parametric fits with the mean runoff observed for the filtered WaterGAP3 data. (A) Relationship
between mean runoff and shape of the right tail for pixels where snowmelt fraction is <0.35. (B)</li>
Relationship between mean runoff and shape of the right tail for pixels where snowmelt fraction

- is >0.35. Because parametric fits include a threshold, the mean of the distribution cannot be
- directly inferred from scale parameters. (C) Relationship between the scale parameters fit to the
- data versus those implied from the empirical mean for pixels where snowmelt fraction is < 0.35.
- (D) Relationship between the scale parameters fit to the data versus those implied from the
- empirical mean for pixels where snowmelt fraction is >0.35.Black dots are HCDN-2009
- watersheds filtered in the same way. The strongest regressions from Figure 4 (A-B) and Figure
- 883 S1 (C-D) subpanels are shown for reference.



Figure 6. Results from the random forest regression for predicting: (A-B) Mean Temperature,
(C-D) Mean Precipitation, (E-F) Mean Runoff, (G-H) Runoff Variability, and (I-J) Snowmelt

- 888 Fraction. For each target variable, the left plot compares observed versus predicted data (linear
- fit with  $R^2$  shown for reference), and the right plot shows the relative importance of predictors.







Figure 8. Relationships among topography, mean runoff, and snowmelt fraction in map view.
(A) Mean spearman rank correlation coefficient within a 2° moving window for mean runoff and
local relief. (B) Mean spearman rank correlation coefficient within a 2° moving window for
maximum elevation and snowmelt fraction. After filtering the WaterGAP3 data for mountain
settings (see text for details), only a small area remains. Insets highlight results for the three
regional cases considered.





Figure 9. Exceedance probability distributions of daily event sizes of different magnitudes: (AB) 5 mm/day, (C-D) 15 mm/day, (E-F) 25 mm/day, and (G-H) 35 mm/day. The left panels show
probability plots for both precipitation and runoff, whereby the latter is color-coded by runoff
generation source. After classifying runoff events in this way, the right panels show what

915 fraction of events are snowmelt dominated within exceedance probability bins. Note that

916 regardless of intensity threshold the largest area runoff events are snowmelt dominated. At

<sup>917</sup> higher intensity thresholds, these event sizes can exceed the largest area precipitation events.



Figure 10. Relationships among topography, mean runoff, and snowmelt fraction for the three regional cases (see Figure 8 for locations): (A) British Columbia, (B) European Alps, and (C) Greater Caucasus. In all three plots, circles are binned mean runoff to local relief, and squares are binned snowmelt fraction to maximum elevation. Symbols are scaled to number of observations in the bin and whiskers show one standard deviation. Power law fits for binned data relate local relief and mean runoff (solid line) and maximum elevation and snowmelt fraction (dashed line). In all three panels, the "Topography" x-axis plots both local relief (solid line) and

maximum elevation (dashed line). These fits serve as the basis for orographic rules used in our



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Figure 11. Conceptual model for how orographic controls on runoff variability can be 931 represented in a landscape evolution model. (A) Cartoon showing how precipitation and runoff 932 generation mechanisms might change as a mountain range grows. (B) Example rules for how 933 topography is translated into more runoff and a larger snowmelt fraction as topography grows. 934 935 (C) Relationship between mean runoff and daily runoff variability in response to those rules. In B, the example ruleset shows that as mountain topography grows, increasing relief leads to more 936 runoff generation on the windward side of a mountain range and increasing elevations lead to a 937 higher fraction of snowmelt. In C, these topography-runoff relationships translate into a much 938 different relationship between mean runoff and daily runoff variability that encodes the transition 939 from rainfall- to snowmelt-dominated runoff events. 940

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