

Can we intercalibrate satellite measurements by means of data assimilation? An attempt on LEO satellites

Angelica Maria Castillo Tibocho¹, Yuri Y Shprits², Nikita Alexandrovich Aseev³, Artem Smirnov⁴, Alexander Yurievich Drozdov⁵, Sebastian Cervantes⁶, Ingo Michaelis⁷, Marina Garcia⁷, and Dedong Wang⁸

¹German Research Centre for Geosciences (GFZ)

²Helmholtz Centre Potsdam

³Helmholtz-Zentrum Potsdam - Deutsches Geoforschungszentrum

⁴GFZ- German Research Centre for Geosciences

⁵University of California Los Angeles

⁶University of Cologne

⁷GFZ German Research Centre For Geosciences

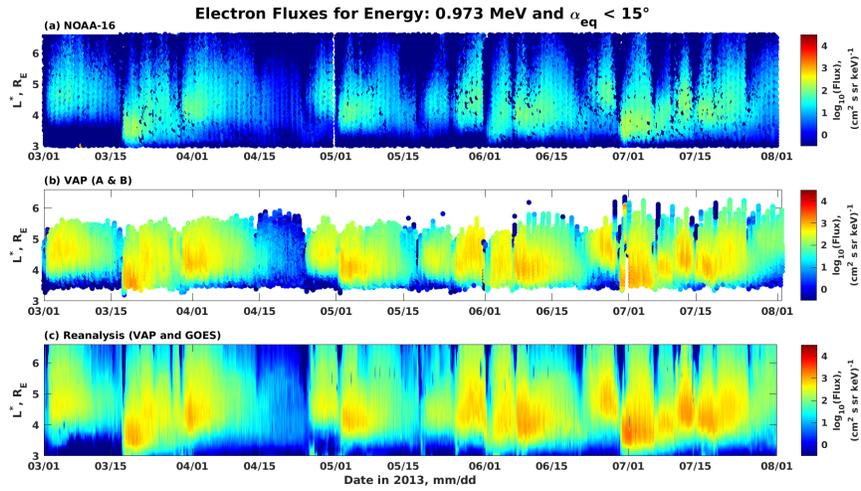
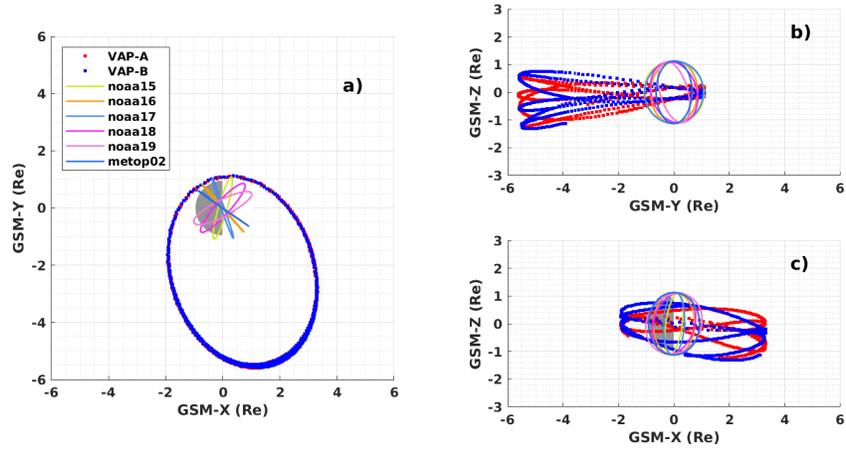
⁸GFZ German Research Center for Geosciences

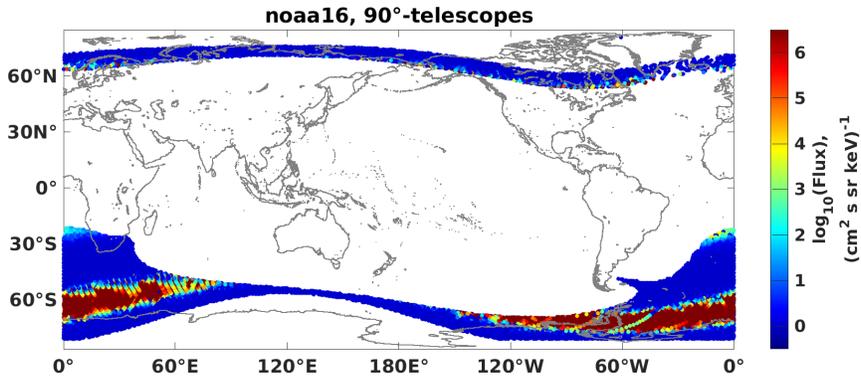
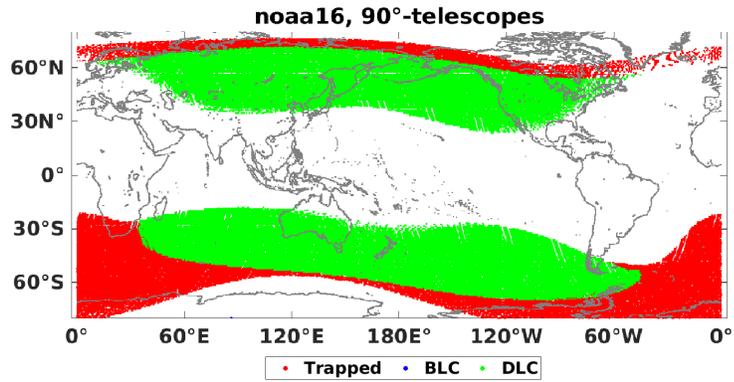
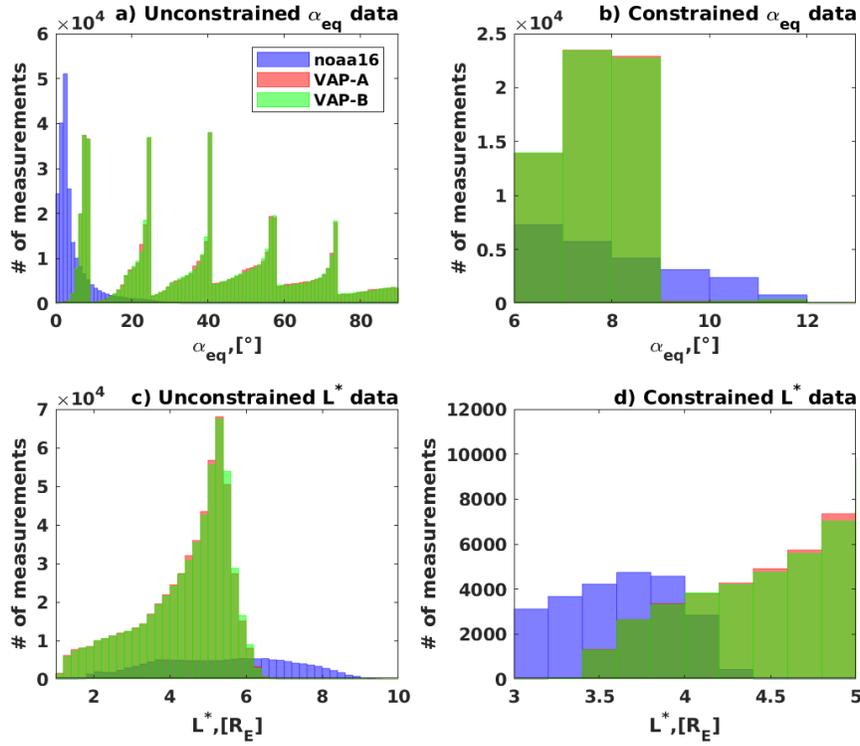
July 7, 2023

Abstract

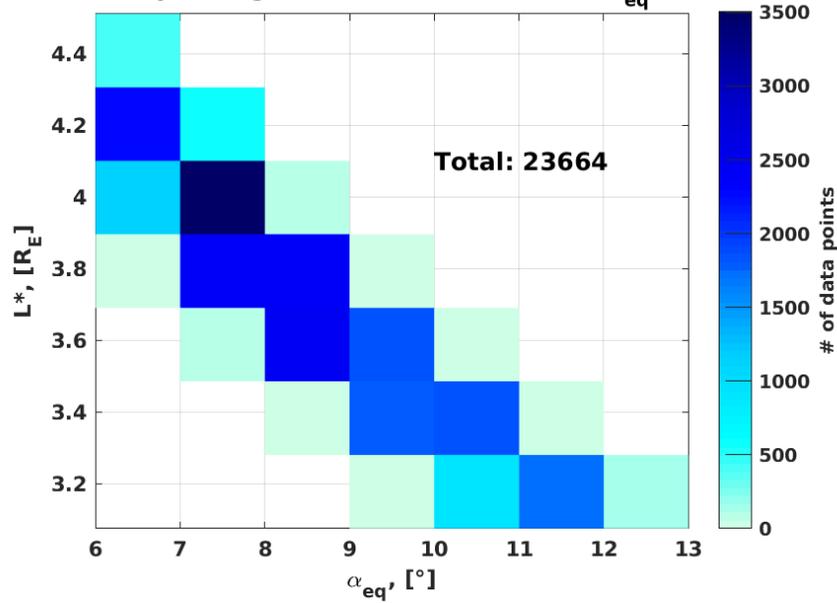
Low Earth Orbit (LEO) satellites offer extensive data of the radiation belt region, but utilizing these observations is challenging due to potential contamination and difficulty of intercalibration with spacecraft measurements at Highly Elliptic Orbit (HEO) that can observe all equatorial pitch-angles. This study introduces a new intercalibration method for satellite measurements of energetic electrons in the radiation belts using a data assimilation approach. We demonstrate our technique by intercalibrating the electron flux measurements of the National Oceanic and Atmospheric Administration (NOAA) Polar-orbiting Operational Environmental Satellites (POES) NOAA-15,-16,-17,-18,-19 and MetOp-02 against Van Allen Probes observations from October 2012 to September 2013. We use a reanalysis of the radiation belts obtained by assimilating Van Allen Probes and Geostationary Operational Environmental Satellites (GOES) observations into 3-D Versatile Electron Radiation Belt (VERB-3D) code simulations via a standard Kalman filter. We compare the reanalysis to the POES dataset and estimate the flux ratios at each time, location and energy. From these ratios we derive energy and L^* dependent recalibration coefficients. To validate our results, we analyse on-orbit conjunctions between POES and Van Allen Probes. The conjunction recalibration coefficients and the data-assimilative estimated coefficients show strong agreement, indicating that the differences between POES and Van Allen Probes observations remain within a factor of two. Additionally, the use of data assimilation allows for improved statistics, as the possible comparisons are considerably increased. Data-assimilative intercalibration of satellite observations is an efficient approach that enables intercalibration of large datasets using short periods of data.

Orbit tracks of Van Allen Probes and POES
01/10/2012 00:00:00 - 03/10/2012 09:15:00 UTC

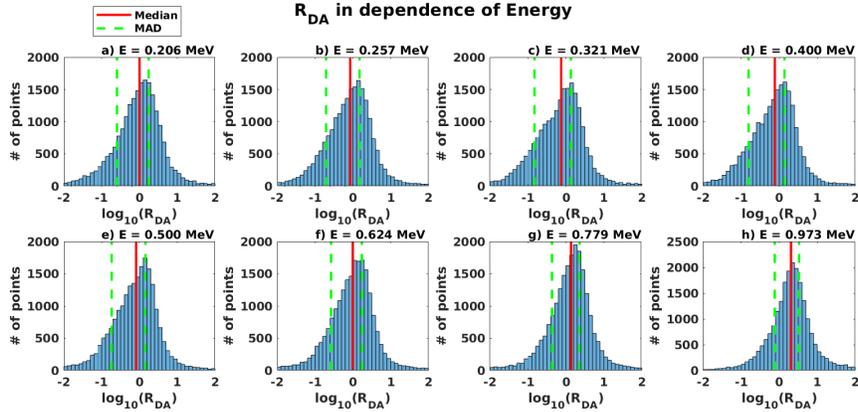




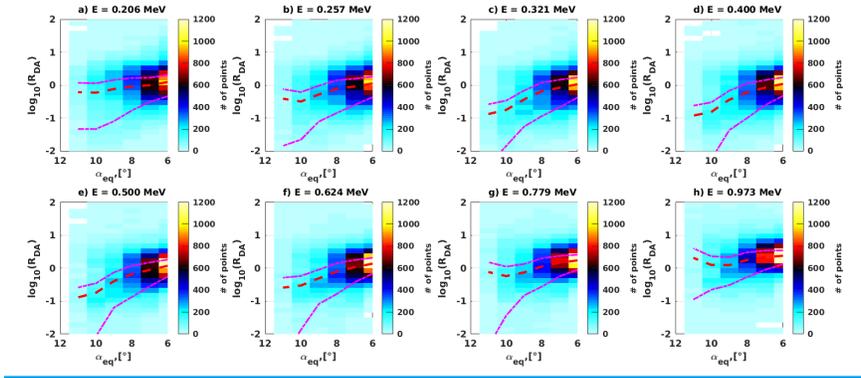
Fly-through data of noaa16 in L^* and α_{eq}



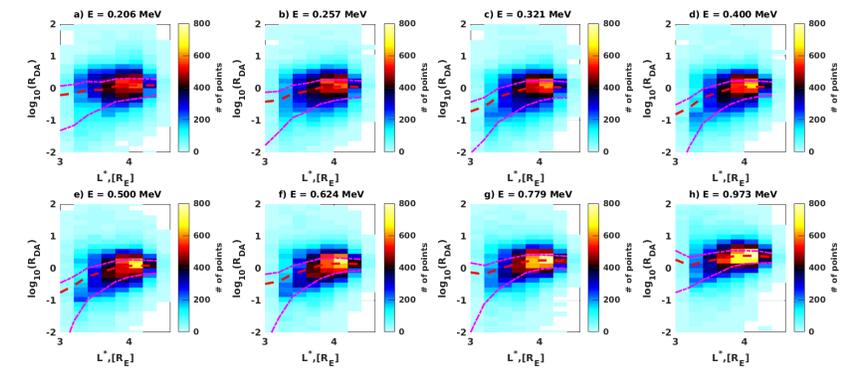
R_{DA} in dependence of Energy



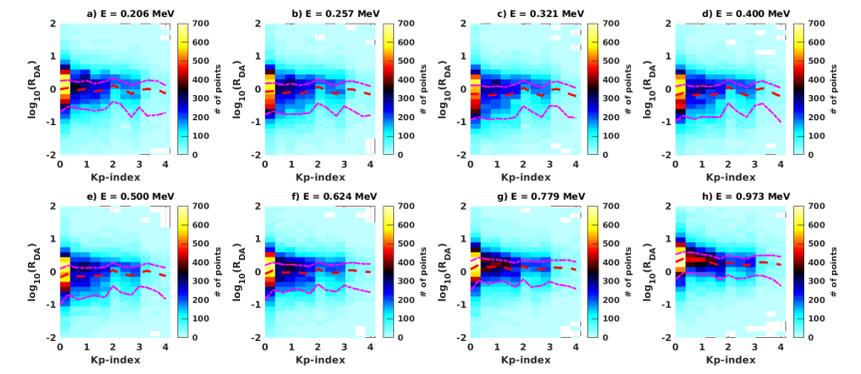
I) R_{DA} vs. α_{eq}

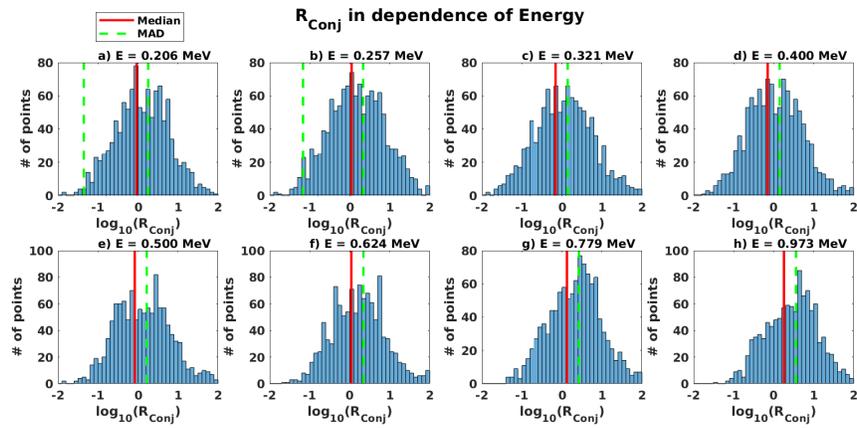
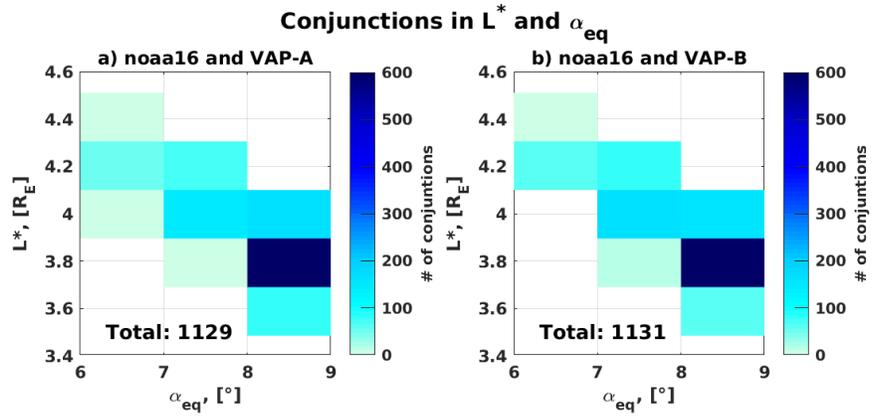


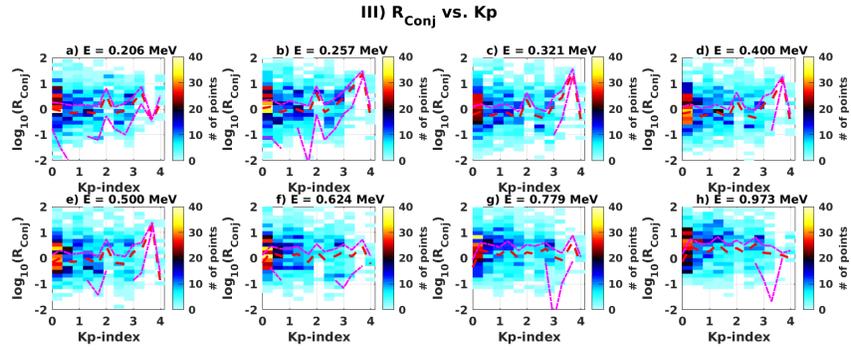
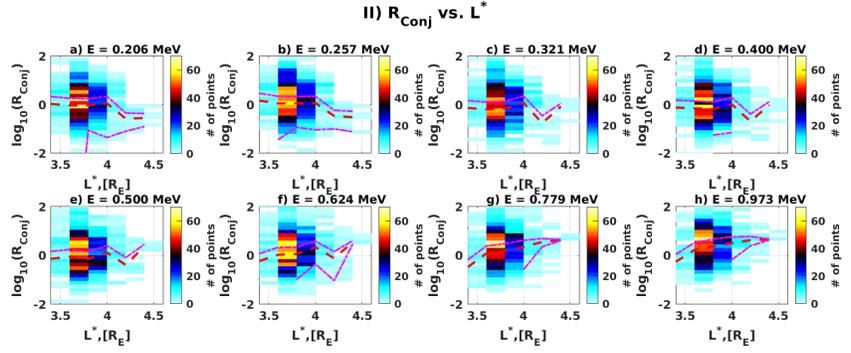
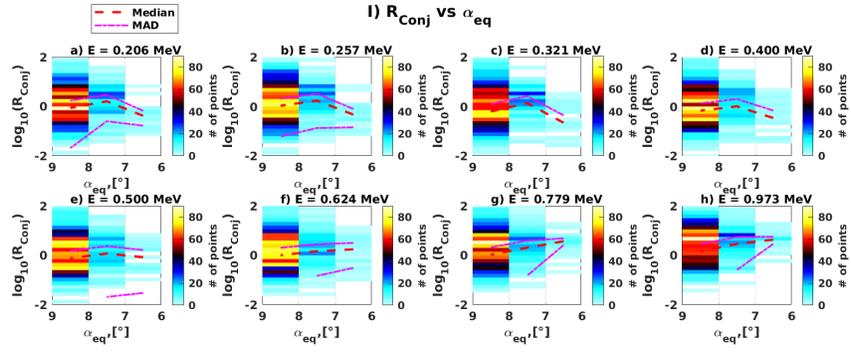
II) R_{DA} vs. L^*

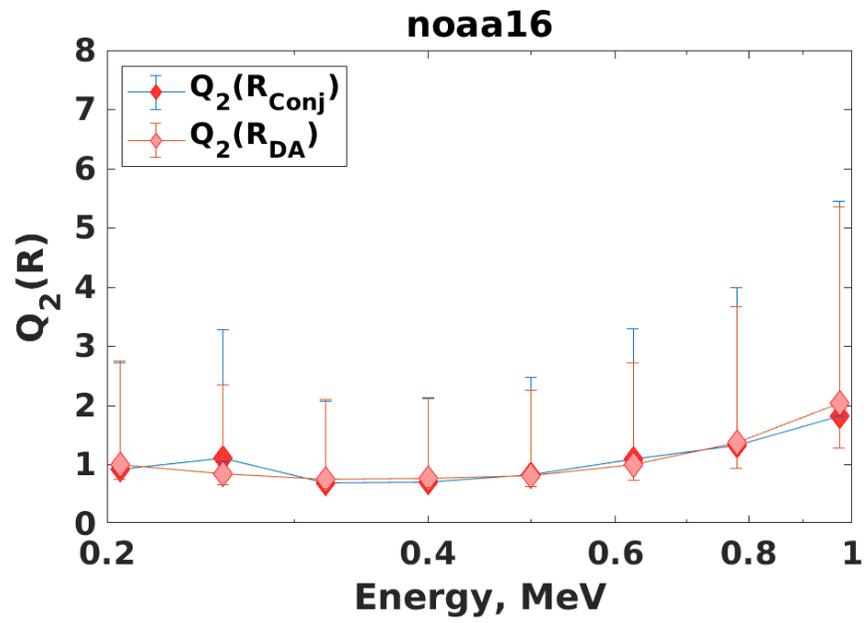


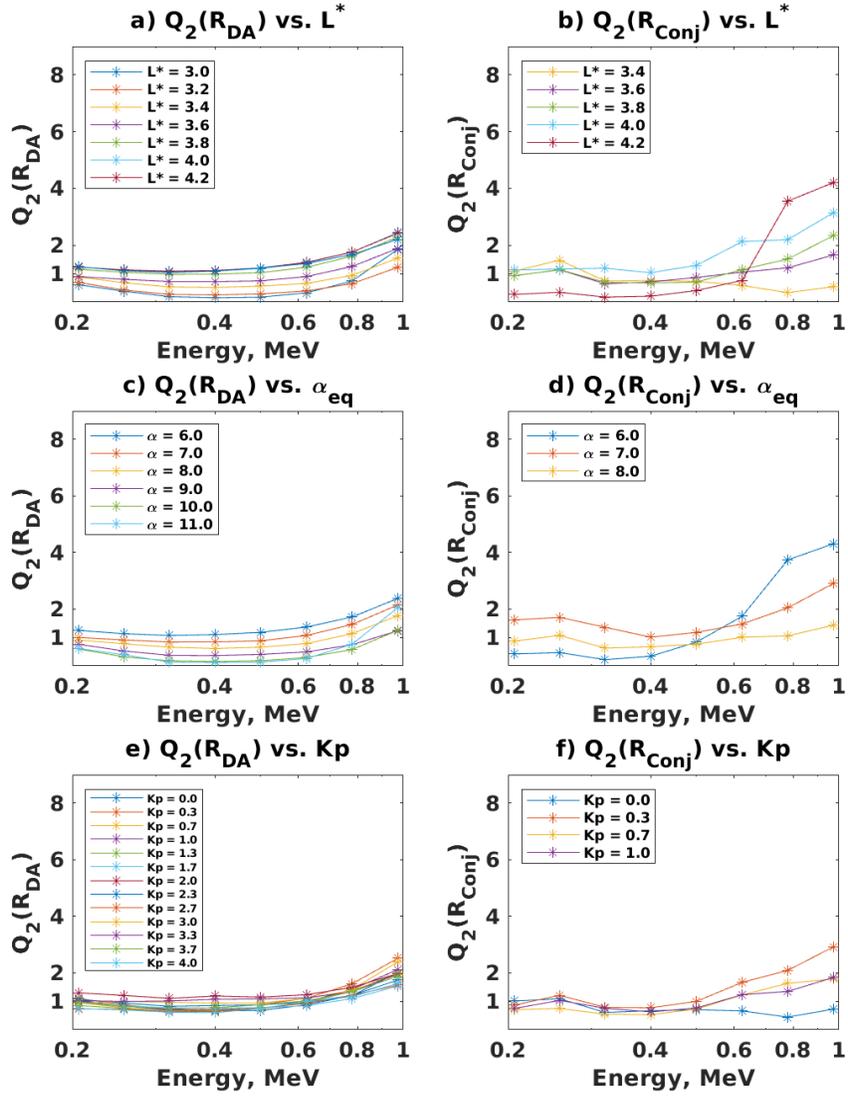
III) R_{DA} vs. Kp

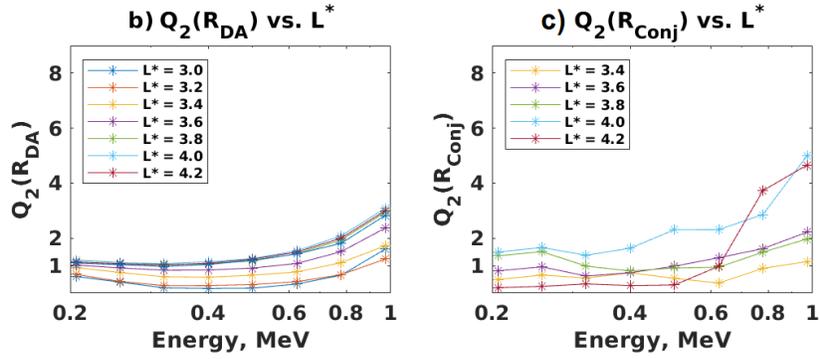
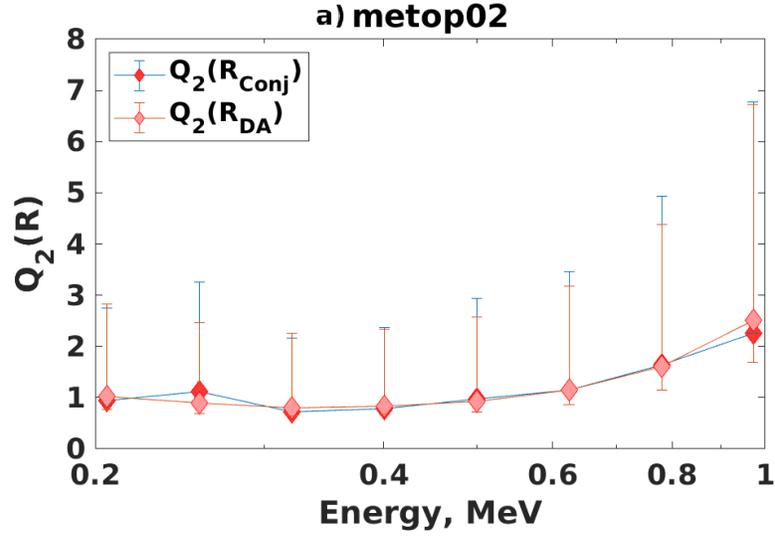






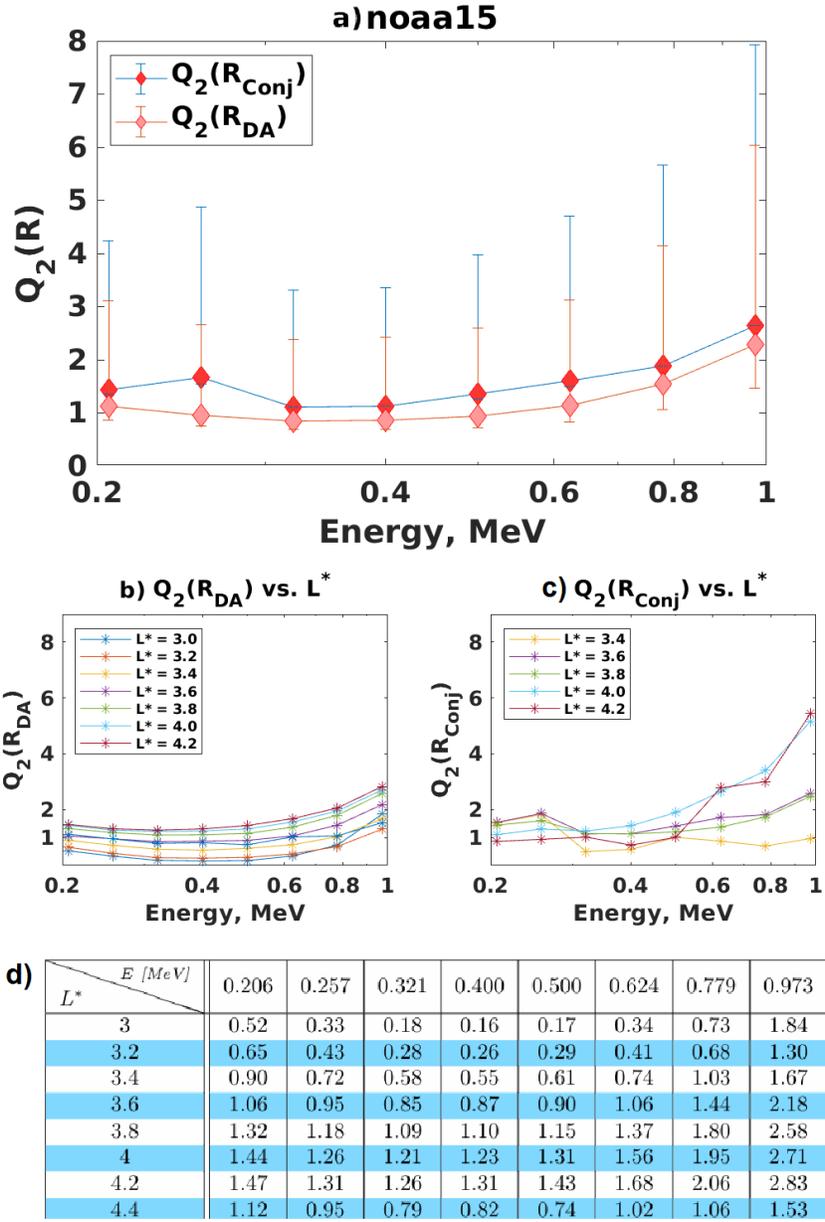


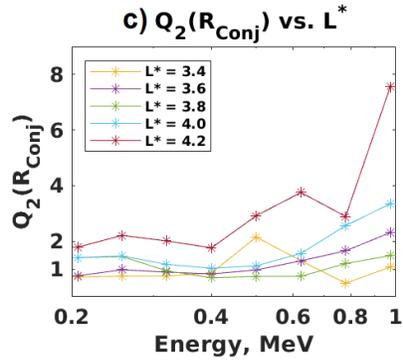
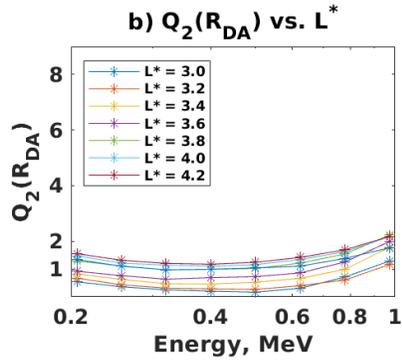
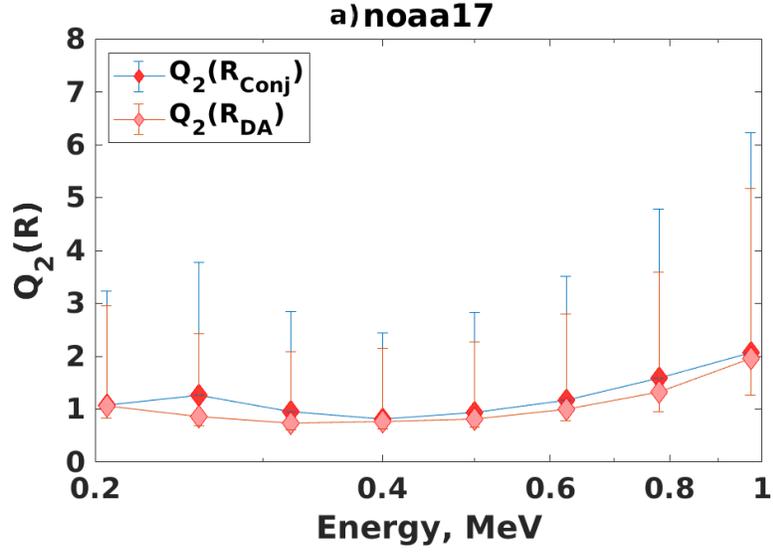




d)

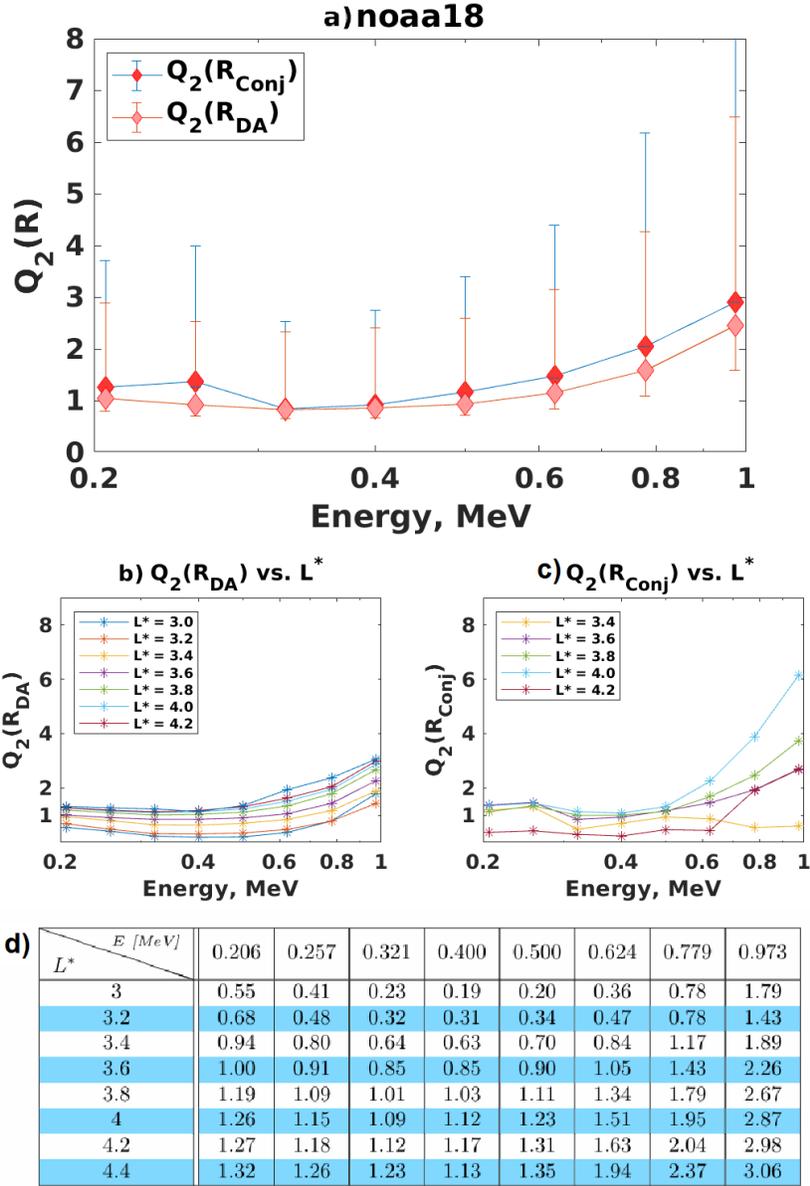
| $L^* \backslash E [MeV]$ | 0.206 | 0.257 | 0.321 | 0.400 | 0.500 | 0.624 | 0.779 | 0.973 |
|--------------------------|-------|-------|-------|-------|-------|-------|-------|-------|
| 3 | 0.61 | 0.40 | 0.19 | 0.17 | 0.18 | 0.33 | 0.65 | 1.60 |
| 3.2 | 0.68 | 0.43 | 0.27 | 0.28 | 0.31 | 0.42 | 0.67 | 1.24 |
| 3.4 | 0.93 | 0.75 | 0.59 | 0.58 | 0.65 | 0.77 | 1.11 | 1.72 |
| 3.6 | 1.02 | 0.92 | 0.84 | 0.84 | 0.91 | 1.08 | 1.51 | 2.37 |
| 3.8 | 1.21 | 1.11 | 1.05 | 1.07 | 1.17 | 1.43 | 1.93 | 2.94 |
| 4 | 1.19 | 1.11 | 1.07 | 1.14 | 1.26 | 1.54 | 2.08 | 3.09 |
| 4.2 | 1.13 | 1.07 | 1.02 | 1.09 | 1.24 | 1.49 | 2.00 | 3.00 |
| 4.4 | 1.10 | 1.04 | 0.97 | 1.04 | 1.20 | 1.43 | 1.81 | 2.83 |

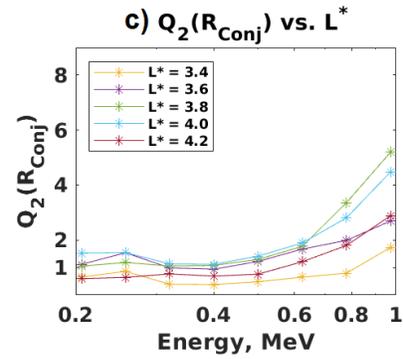
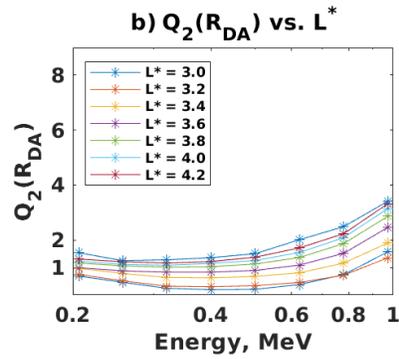
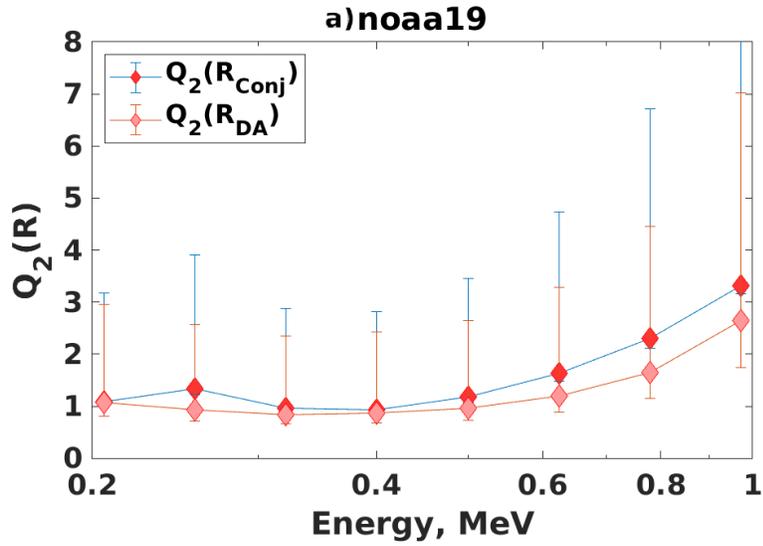




d)

| $L^* \backslash E$ [MeV] | 0.206 | 0.257 | 0.321 | 0.400 | 0.500 | 0.624 | 0.779 | 0.973 |
|--------------------------|-------|-------|-------|-------|-------|-------|-------|-------|
| 3 | 0.54 | 0.36 | 0.25 | 0.20 | 0.17 | 0.31 | 0.71 | 1.29 |
| 3.2 | 0.67 | 0.43 | 0.31 | 0.29 | 0.27 | 0.40 | 0.61 | 1.16 |
| 3.4 | 0.82 | 0.63 | 0.47 | 0.46 | 0.53 | 0.66 | 0.99 | 1.78 |
| 3.6 | 0.92 | 0.76 | 0.63 | 0.69 | 0.73 | 0.86 | 1.25 | 1.99 |
| 3.8 | 1.29 | 1.11 | 0.97 | 0.99 | 1.02 | 1.21 | 1.54 | 2.22 |
| 4 | 1.47 | 1.21 | 1.14 | 1.10 | 1.16 | 1.34 | 1.62 | 2.17 |
| 4.2 | 1.55 | 1.31 | 1.20 | 1.17 | 1.24 | 1.42 | 1.69 | 2.17 |
| 4.4 | 1.34 | 1.10 | 0.97 | 0.99 | 1.04 | 1.10 | 1.38 | 1.76 |





d)

| L^* \ E [MeV] | 0.206 | 0.257 | 0.321 | 0.400 | 0.500 | 0.624 | 0.779 | 0.973 |
|-------------------|-------|-------|-------|-------|-------|-------|-------|-------|
| 3 | 0.69 | 0.46 | 0.25 | 0.20 | 0.21 | 0.38 | 0.74 | 1.57 |
| 3.2 | 0.75 | 0.52 | 0.32 | 0.30 | 0.34 | 0.46 | 0.72 | 1.36 |
| 3.4 | 0.97 | 0.79 | 0.64 | 0.62 | 0.68 | 0.81 | 1.16 | 1.90 |
| 3.6 | 0.99 | 0.88 | 0.83 | 0.83 | 0.89 | 1.09 | 1.52 | 2.46 |
| 3.8 | 1.17 | 1.06 | 1.01 | 1.03 | 1.13 | 1.37 | 1.87 | 2.86 |
| 4 | 1.23 | 1.11 | 1.08 | 1.15 | 1.26 | 1.55 | 2.09 | 3.15 |
| 4.2 | 1.31 | 1.21 | 1.16 | 1.22 | 1.38 | 1.72 | 2.23 | 3.32 |
| 4.4 | 1.54 | 1.25 | 1.28 | 1.36 | 1.51 | 2.01 | 2.49 | 3.41 |

1 **Can we intercalibrate satellite measurements by means**
2 **of data assimilation? An attempt on LEO satellites**

3 **Angélica M. Castillo^{1,2*}, Yuri Y. Shprits^{1,2,3}, Nikita A. Aseev¹, Artem**
4 **Smirnov¹, Alexander Drozdov³, Sebastian Cervantes⁴, Ingo Michaelis¹, Marina**
5 **García Peñaranda^{1,2}, Dedong Wang¹**

6 ¹GFZ German Research Centre For Geosciences, Potsdam, Germany

7 ²University of Potsdam, Institute of Physics and Astronomy, Potsdam, Germany

8 ³Department of Earth, Planetary and Space Sciences, University of California, Los Angeles, CA, USA

9 ⁴ University of Cologne, Institute of Geophysics and Meteorology, Cologne, Germany

10 **Key Points:**

- 11 • A new data-assimilative intercalibration method for electron fluxes in the radi-
12 ation belts is presented and validated against conjunctions.
- 13 • The method is used to intercalibrate POES observations against Van Allen Probes,
14 recalibration coefficients are within a factor of two.
- 15 • The proposed method strongly improves intercalibration statistics, such that less
16 data is required than for traditional conjunction studies.

*GFZ German Research Centre For Geosciences

Corresponding author: Angelica M. Castillo, angelica@gfz-potsdam.de

17 Abstract

18 Low Earth Orbit (LEO) satellites offer extensive data of the radiation belt region,
 19 but utilizing these observations is challenging due to potential contamination and dif-
 20 ficulty of intercalibration with spacecraft measurements at Highly Elliptic Orbit (HEO)
 21 that can observe all equatorial pitch-angles. This study introduces a new intercalibra-
 22 tion method for satellite measurements of energetic electrons in the radiation belts us-
 23 ing a data assimilation approach. We demonstrate our technique by intercalibrating the
 24 electron flux measurements of the National Oceanic and Atmospheric Administration
 25 (NOAA) Polar-orbiting Operational Environmental Satellites (POES) NOAA-15,-16,-
 26 17,-18,-19 and MetOp-02 against Van Allen Probes observations from October 2012 to
 27 September 2013. We use a reanalysis of the radiation belts obtained by assimilating Van
 28 Allen Probes and Geostationary Operational Environmental Satellites (GOES) obser-
 29 vations into 3-D Versatile Electron Radiation Belt (VERB-3D) code simulations via a
 30 standard Kalman filter. We compare the reanalysis to the POES dataset and estimate
 31 the flux ratios at each time, location and energy. From these ratios we derive energy and
 32 L^* dependent recalibration coefficients. To validate our results, we analyse on-orbit con-
 33 junctions between POES and Van Allen Probes. The conjunction recalibration coeffi-
 34 cients and the data-assimilative estimated coefficients show strong agreement, indicat-
 35 ing that the differences between POES and Van Allen Probes observations remain within
 36 a factor of two. Additionally, the use of data assimilation allows for improved statistics,
 37 as the possible comparisons are considerably increased. Data-assimilative intercalibra-
 38 tion of satellite observations is an efficient approach that enables intercalibration of large
 39 datasets using short periods of data.

40 Plain Language Summary

41 This study presents a novel intercalibration method for satellite measurements of
 42 energetic electrons in the radiation belt region using data assimilation. We demonstrate
 43 the technique by comparing electron flux measurements from NOAA Polar-orbiting Op-
 44 erational Environmental Satellites (POES) against Van Allen Probes observations. For
 45 this, we use a data-assimilative reconstruction of the radiation belts, a so-called reanal-
 46 ysis, obtained by assimilating Van Allen Probes and Geostationary Operational Envi-
 47 ronmental Satellites (GOES) observations into code simulations. The results are vali-
 48 dated by analyzing on-orbit conjunctions between the POES and Van Allen Probes. The
 49 recalibration coefficients obtained through data assimilation show strong agreement with
 50 the conjunction recalibration coefficients. While for energies < 700 keV the observations
 51 of both fleets display similar behaviour and need no intercalibration, at higher energies
 52 recalibration coefficients remain within a factor of two. This data-assimilative intercal-
 53 ibration approach allows for efficient recalibration of large datasets using short periods
 54 of data, while also improving statistics through increased comparisons.

55 1 Introduction

56 Since the discovery of the Van Allen belts in the 1960s, a number of inner magne-
 57 topheric satellite missions have been launched to observe the radiation in the near-Earth
 58 environment. Most of these spacecraft operate at LEO, e.g. NOAA-POES; at Medium
 59 Earth Orbit (MEO), s.a. Los Alamos National Laboratory (LANL) LANL-GPS constel-
 60 lation; at HEO, e.g. Van Allen Probes mission (Mauk et al., 2012), Exploration of En-
 61 ergization and Radiation in Geospace (ERG/Arase) (Miyoshi et al., 2018), Polar (NASA),
 62 Time History of Events and Macroscale Interactions during Substorms (THEMIS) (Sibeck
 63 & Angelopoulos, 2008), Cluster (ESA); or at Geostationary Orbit (GEO), e.g. Geosta-
 64 tionary Operational Environmental Satellites (GOES) constellation (Data Book GOES,
 65 2005), LANL-GEO (G. Reeves et al., 1997), among others.

66 In-situ multi-spacecraft measurements are a crucial for studying near-Earth radi-
 67 ation. These measurements provide the foundation for validating existing physics-based
 68 models of various particle populations, improving our understanding of the underlying
 69 physics, and creating more accurate models. Statistical parametrization of the most en-
 70 ergetic magnetospheric regions enables the planning of multi-year satellite missions, par-
 71 ticularly at MEO and HEO orbits (Friedel et al., 2005). Furthermore, recent studies on
 72 data assimilation (Cervantes, Shprits, Aseev, Drozdov, et al., 2020; Castillo et al., 2021)
 73 and assimilative real-time radiation belt forecasting leverage large datasets from mul-
 74 tiple spacecraft. However, the quality and reliability of multi-source observations can be
 75 affected by several factors. Differences in instrumentation performance or design, lack
 76 or degradation of detector shielding, non-standardized instrument calibration (e.g., Cay-
 77 ton & Tuszewski, 2005), and differences in satellite location can all result in significant
 78 deviations between measurements from multiple spacecraft. Thus, even observations from
 79 similar orbits and magnetospheric regions can vary significantly and require proper in-
 80 tercalibration between the different instruments.

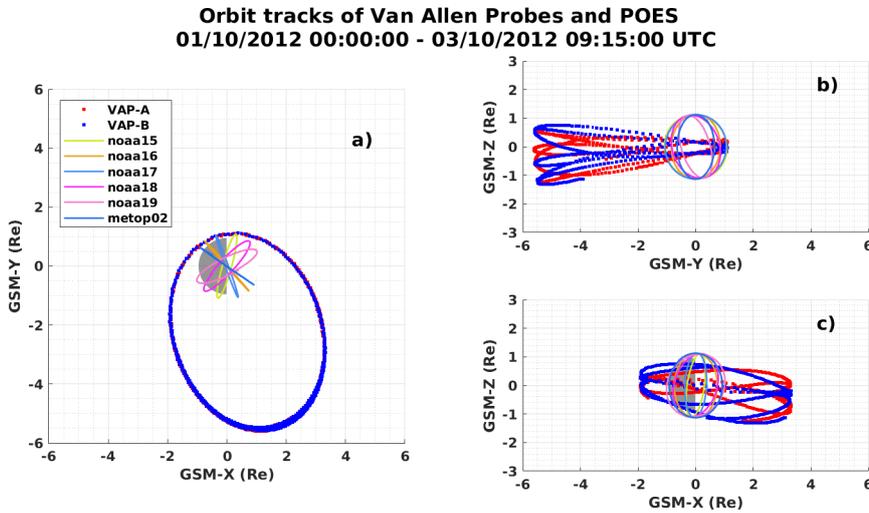


Figure 1. Datasets: Example of the orbital tracks in GSM-coordinates for NOAA-15,-16,-17,-18,-19, MetOp-02 and Van Allen Probes (probes A and B) for October, 1st till 3rd, 2012.

81 Traditionally, satellite data intercalibrations are performed using satellite conjunc-
 82 tions, which involve comparing real data in magnetic coordinates (e.g., Friedel et al., 2005;
 83 C. Wang et al., 2013; Szabó-Roberts et al., 2021) or matching phase space density (PSD)
 84 in adiabatic space (e.g., Chen et al., 2005, 2007; Ni et al., 2011; Zhu et al., 2022). Both
 85 approaches require a benchmark instrument (a "gold standard" (**GS**) as by Friedel et
 86 al. (2005)) that provides high-fidelity data and is used to intercalibrate measurements
 87 from other instruments. A conjunction between different satellites is defined by impos-
 88 ing strict spatial and temporal criteria on the observations to ensure that physical con-
 89 straints are met. Then, statistical analysis of the residuals from data comparisons is per-
 90 formed, and scaling factors can be estimated. Although satellite conjunctions have demon-
 91 strated reliable results and are an established methodology for satellite data cross-calibration,
 92 the strict constraints imposed on the data to make them comparable greatly reduce the
 93 number of observations that qualify as a conjunction. This leads to poor statistics and
 94 requires large amounts of data. These issues are particularly exacerbated when compar-
 95 ing satellites at very different orbits that observe vastly different magnetospheric regions
 96 and particle populations (s.a., LEO vs. HEO, see Figure (1)). In such cases, a spacecraft
 97 with extensive L -coverage should be used as a reference for intercalibration (Friedel et
 98 al., 2005).

99 To address some of the limitations of data cross-calibration via conjunctions, it would
 100 be useful to have an approximation of the state of the entire radiation belts. Data as-
 101 simulation (DA) techniques, s.a. the Kalman filter (**KF**) (Kalman, 1960), the Extended
 102 Kalman filter (**EKF**) (Jazwinski, 1970), or the Ensemble Kalman filter (**EnKF**) (Evensen,
 103 2003), have been utilized in the space weather community since the 2000s to estimate
 104 the optimal state of this region using satellite observations and physics-based models (e.g.,
 105 Naehr & Toffoletto, 2005; Koller et al., 2007; Y. Y. Shprits et al., 2007; Ni et al., 2009;
 106 Kondrashov et al., 2011; Bourdarie & Maget, 2012; Godinez & Koller, 2012; G. D. Reeves
 107 et al., 2012; Schiller et al., 2012; Y. Y. Shprits et al., 2012; A. Y. Drozdov et al., 2023).
 108 The resulting reconstruction of the system (a time-dependent 3-D PSD volume) is re-
 109 ferred to as a data-assimilative reanalysis and represents the state of the radiation belts
 110 system that is statistically closest to the "true state". Reanalyses have been used in the
 111 past to study the dynamic behavior of the system and to identify missing processes in
 112 physics-based models (e.g., Kondrashov et al., 2007; Cervantes, Shprits, Aseev, & Al-
 113 lison, 2020).

114 In this study, we elaborate on an idea proposed by Y. Shprits et al. (2007), and present
 115 a new satellite intercalibration method based on the modeling of the outer radiation belt
 116 by means of data assimilation. We test our novel intercalibration technique by cross-calibrating
 117 six satellites of the NOAA-POES fleet against Van Allen Probes (used here as the refer-
 118 ence dataset). To do so, a one year reanalysis of the radiation belts using Van Allen
 119 Probes and GOES data is estimated. By flying the six NOAA-POES satellites through
 120 the reanalysis, we can perform on-orbit data comparisons at each POES location, and
 121 consequently conduct a statistical analysis of the residuals to estimate the recalibration
 122 coefficients. In order to validate our approach, a traditional conjunction study between
 123 Van Allen Probes and POES is also carried out. Comparison between the cross-calibration
 124 coefficients estimated with both methodologies is presented.

125 In the next Section, we describe the proposed method. In Section 3, we present the
 126 used Van Allen Probes and reanalysis datasets. Utilized POES observations and their
 127 necessary processing is described in Section 4. Section 5 deals with the POES fly-through
 128 the data assimilative reanalysis and the statistical analysis of the related on-orbit com-
 129 parisons. In Section 6, we present the statistical analysis of the comparisons from the
 130 conjunction study. General results, final cross-calibration factors and discussion are of-
 131 fered in Section 7, followed by the conclusions and outlook in Section 8.

132 2 Rationale and Methodology

133 For lab-calibration procedures, the instrument is exposed to a radioactive source
 134 with a well-known spectrum (or signal) and then the measurement is compared to the
 135 expected signal. In the case of satellite observations such a procedure is not feasible, be-
 136 cause lab recreation of the space conditions is not possible. The problem, however, would
 137 be solved, if one could have an approximation of the space environment (the radiation
 138 source), in which the non-calibrated spacecraft (NS) is immersed. In this case, having
 139 the entire state of the radiation belt system or at least an approximation of it would al-
 140 low us to easily compare observations, thus avoiding the limitations tied to conjunction
 141 cross-calibrations.

142 Data assimilation techniques enable us to estimate such a state-approximation by
 143 blending physics-based models and satellite observations in an optimal way. The infor-
 144 mation contained in the satellite data will propagate to other areas of the modeling space,
 145 giving us a time dependent global reconstruction of the system that is statistically clos-
 146 est to the true state of the system, a so-called reanalysis (RA). Once this reconstruction
 147 has been estimated, we can fly satellites/instruments at different orbits through it and
 148 compare the real observations (j_{NS}) with the state-estimate (j_{RA}) at all locations, en-

149 energies and equatorial pitch-angles. The idea is to find factors η , such that for each time,
 150 location and energy of the instrument it holds:

$$j_{RA} = \eta \cdot j_{NS}; \quad \implies \quad \eta = \frac{j_{RA}}{j_{NS}} = R_{DA}.$$

151 We rename η as R_{DA} , the flux ratio between reanalysis and observations. Note that, R_{DA}
 152 may be influenced by a variety of factors, such as geomagnetic activity (or K_p), energy
 153 (E), and even location (L^*) and equatorial pitch-angle (α_{eq}). However, the extent to which
 154 these factors contribute to R_{DA} can only be assessed through a statistical analysis of all
 155 the resulting ratios.

156 The step-by-step procedure can be summarized as follows:

- 157 1. Choose a reference dataset to be used as the GS. Ideally, the GS data is pitch-angle
 158 resolved, has high energy resolution, provides large L^* -coverage and observes the
 159 most dynamic regions of the radiation belts (i.e. satellites at HEO would be most
 160 suitable here), as this will reflect in the quality of the RA.
- 161 2. Select time periods when geomagnetic activity is low to moderate, i.e. $K_p \leq 4^-$
 162 (More details in Section 4.1).
- 163 3. Convert GS observations to PSD to Phase Space coordinates (after (Chen et al.,
 164 2005)) using a realistic magnetic field model.
- 165 4. Combine converted GS data and physics-based radiation belts model using a fil-
 166 tering technique (e.g. KF, EnKF), and estimate the RA of the radiation belts for
 167 the desired period of time.
- 168 5. Convert RA into electron fluxes in observational space.
- 169 6. Process and constrain NS observations if necessary (e.g. for LEO satellites the use
 170 of trapped electron data is greatly important. More details in Section 4.1).
- 171 7. Fly NS satellite through the RA. This is equivalent to an interpolation of GS-data
 172 into the grid of NS satellite.
- 173 8. Estimate the ratios R_{DA} at each NS-time and -location.
- 174 9. Perform statistical analysis of R_{DA} in dependence of L^* , E , α_{eq} and K_p to deter-
 175 mine the most important parameters influencing the ratios R_{DA} .
- 176 10. Estimate recalibration coefficients and their uncertainties in dependence of param-
 177 eters found in the previous step. For this use suitable statistical measures depend-
 178 ing on the shape of the obtained distributions, e.g., statistical mean ($\overline{R_{DA}}$) or medi-
 179 an ($Q_2(R_{DA})$).

180 We validate our approach by presenting a comparison of the recalibration factors
 181 obtained through a traditional geomagnetic conjunction study.

182 3 Reference Dataset and Reanalysis Data

183 For this study, we choose the instruments onboard Van Allen Probes as our refer-
 184 ence GS dataset, and use these observations together with those from GOES 13 and 15
 185 to estimate a data assimilative reanalysis of the radiation belt region for the period of
 186 October, 2012 to September, 2013. A comparison between the POES and Van Allen Probes
 187 datasets, and the Van Allen Probes+GOES reanalysis is displayed in Figure (2). Sim-
 188 ple visual inspection of the figure clearly shows the need for these datasets to be inter-
 189 calibrated. An overview of these datasets is given in this section.

190 3.1 Van Allen Probes and GOES observations

191 NASA's Van Allen Probes mission (former Radiation Belt Storm Probes), launched
 192 on 30 August 2012 from the Cape Cañaveral site, consisted of two spacecraft (probes A

193 and B) at nearly identical HEO orbits with perigee at about 618 km altitude, apogee at
 194 ~ 30400 km ($\sim 5.8 R_E$ geocentric) and 10° inclination (Mauk et al., 2012). The En-
 195 energetic Particle, Composition and Thermal Plasma Suite (ECT) (Spence et al., 2013)
 196 onboard both Van Allen Probes hosts four identical Magnetic Electron Ion Spectrom-
 197 eters (MagEIS) (Blake et al., 2013) and three Relativistic Electron Proton Teles-
 198 copes (REPT) (Baker et al., 2012). These instruments provided pitch-angle resolved differ-
 199 ential electron flux data since 01 September, 2012 covering large energy ranges: a) MagEIS:
 200 electron seed population to relativistic electron population (20 – 240 keV, 80 – 1200
 201 keV, 800–4800 keV) and b) REPT: very energetic electrons (1.8–10 MeV and above).
 202 After more than 7 years on orbit, both spacecraft were deactivated in October, 2019 (JHU/APL,
 203 2022). In this study, we used MagEIS measurements from probes A and B averaged over
 204 30min. An example of the Van Allen Probes dataset used in this work is presented in
 205 panel b) of Figure (2) for fixed energy (~ 1 MeV) and $\alpha_{eq} < 15^\circ$.

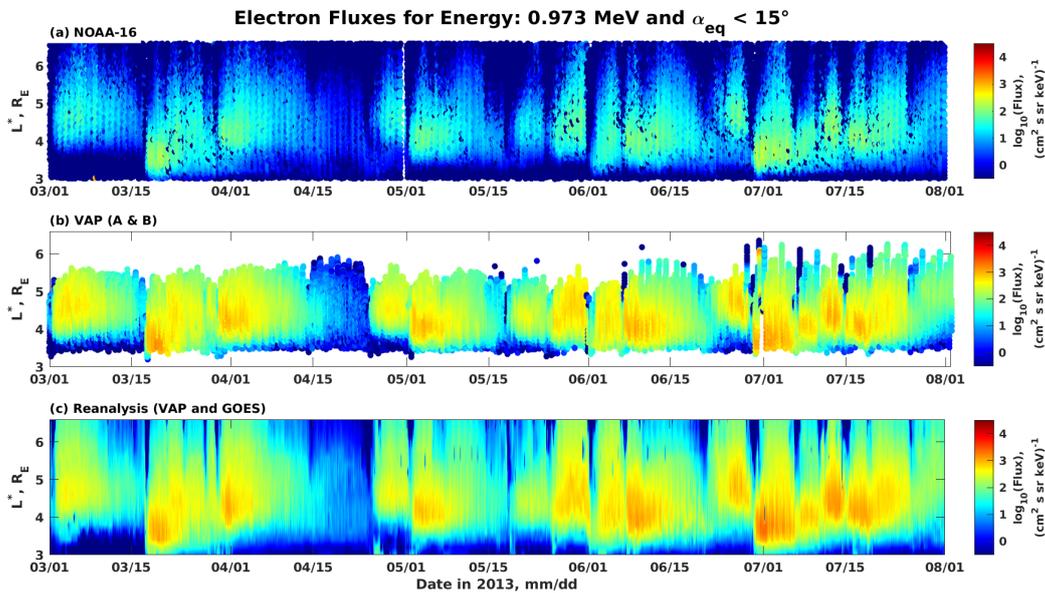


Figure 2. Datasets: Electron fluxes for the period of 01.03 to 01.08.2013 for $E = 0.973$ MeV, $\alpha_{eq} < 15^\circ$ for a) NOAA-16; b) Van Allen Probes (probes A and B); c) Reanalysis using Van Allen Probes + GOES.

206 The GOES fleet are a series of meteorological geostationary satellites operated by
 207 the U.S. NOAA at nearly geosynchronous orbit (Data Book GOES, 2005). Each GOES
 208 spacecraft hosts Magnetospheric Electron Detectors (MAGED) and two Energetic Pro-
 209 ton, Electron, and Alpha Detectors (EPEAD). MAGED consists of nine solid-state-detector
 210 telescopes, five in the east-west (equatorial) plane and the other four in the north-south
 211 (meridional) plane, measuring differential electron fluxes at energies of: 30 – 50 keV,
 212 50 – 100 keV, 100 – 200 keV, 200 – 350 keV and 350 – 600 keV (Hanser, 2011; Ro-
 213 driguez, 2014a). In addition, the EPEADs measure MeV electron and proton flux data
 214 in two energy ranges: > 0.8 MeV and > 2 MeV. To perform the data assimilative re-
 215 analysis, we use MAGED and EPEAD pitch-angle resolved electron flux measurements
 216 from GOES 13 and 15. The observations are averaged over 30min. EPEAD integral fluxes
 217 and pitch-angles are obtained by averaging the measurements of the East and West tele-
 218 scopes (Rodriguez, 2014b). Integral fluxes as a function of energy are fitted to a power
 219 law in order to extend up to 1 MeV energies. We use the 90° pitch-angle differential flux
 220 data from MAGED and fit the two integral channels of EPEAD to an exponential func-
 221 tion to obtain differential flux at the interpolated energies.

222

3.2 Reanalysis data using Van Allen Probes and GOES

223

224

225

226

227

228

229

230

231

232

233

234

In this study, we estimate a data assimilative reanalysis of the outer radiation belt for the period of October, 2012 till September, 2013 following Cervantes, Shprits, Aseev, Drozdov, et al. (2020). We assimilate the observations of Van Allen Probes (probes A and B), as well as GOES-13 and GOES-15 into the VERB-3D code (Y. Y. Shprits et al., 2009; D. A. Subbotin & Shprits, 2009) using a 3D split-operator Kalman filter (Y. Y. Shprits et al., 2013) with a timestep of model and assimilation of 1 hour. In order to assimilate flux measurements, these need to be converted to PSD in coordinates of phase space (L^* , μ , K). To calculate μ , in-situ magnetic field measurements from Van Allen Probes are used. For the calculation of K and L^* , we use the magnetic field model T89 (Tsyganenko, 1989) and IRBEM-ONERA library (Boscher et al., 2022). Differential fluxes (j) are converted to PSD (f) in units of $(c/cm/MeV)^3$ following Rossi and Olbert (1970) by $f = j/p^2$.

235

236

237

238

239

240

241

242

243

244

245

246

247

248

249

250

251

252

The VERB-3D code computes the numerical solution of the bounce-averaged Fokker-Planck-equation (Y. Y. Shprits et al., 2008; D. Subbotin et al., 2010) using a fully implicit finite differences method on a high resolution grid with $(29 \times 101 \times 91)$ points for $(L^* \times E \times \alpha_{eq})$, respectively. VERB-simulations include radial, energy and pitch-angle diffusion, as well as losses to the magnetopause. The radial diffusion coefficient is calculated after Brautigam and Albert (2000) in terms of L^* and used by the VERB-code for all K_p values. The plasmapause position is calculated after Carpenter and Anderson (1992). The bounce-averaged diffusion coefficients for hiss and dayside and nightside chorus waves are computed using the Full Diffusion Code (FDC) (Y. Y. Shprits & Ni, 2009), and with the parameterizations provided by Orlova et al. (2014), and Orlova and Shprits (2014), respectively. The range of L^* reaches values from 1 to 6.6 and for equatorial pitch angles from 0.7° to 89.3° . The energy at the outer radial boundary ($L^* = 6.6$) is defined in the range of 0.01 MeV to 10 MeV. At the low energy boundary, the energy varies in dependence of the L^* value, because electrons are energized during their transport to lower L-shells (e.g., D. Subbotin & Shprits, 2009), and correspond to $\mu \approx 9$ MeV/G for electrons at $\alpha_{eq} = 90^\circ$. For further details about the reanalysis, the boundary and initial conditions, we refer the reader to the work by Cervantes, Shprits, Aseev, Drozdov, et al. (2020).

253

254

255

256

257

258

The resulting assimilated state of the radiation belts is then a time-dependent three-dimensional PSD volume. In order to compare this state to POES measurements, we convert the assimilative reanalysis to differential flux in the coordinates of the observational space (L^* , E , α_{eq}) by $f = j/p^2$. A fragment of the electron fluxes from the reanalysis dataset used in this study is displayed in panel c) of Figure (2) for fixed energy and equatorial pitch-angles $\alpha_{eq} < 15^\circ$.

259

4 POES Dataset

260

261

262

263

Our goal is to test our new intercalibration approach to intercalibrate electron flux data from six satellites of the POES fleet, i.e. MetOp2, NOAA-15, 16, 17, 18, 19 (an overview is given in Table 1). In this study, we focus on the observations over the time period of 01 October 2012 till 30 September 2013.

264

265

266

267

268

269

270

271

The particle flux dataset provided by the POES fleet has gained particular importance due to its large temporal coverage, extensive L^* -distribution, and short orbital period. These spacecraft are in Sun-synchronous LEO at about 850 km altitude and have an orbital period of ~ 100 min. Since the launch of NOAA-15, the fleet carries the Space Environment Monitor (SEM-2) instrument package (Evans & Greer, 2000), which contains the Medium Energy Proton and Electron Detector (MEPED), and the Total Energy Detector (TED). The SEM-2 MEPED instrument consists of eight particle detector systems: two proton solid-state detector telescopes (each $\pm 15^\circ$ wide), two electron

272 solid-state detector telescopes (each $\pm 15^\circ$ wide) and four omni-directional (dome) pro-
 273 ton detector systems. The electron/proton telescopes are mounted with different orien-
 274 tation in order to observe different particle populations: 1) the 0° -telescope has the cen-
 275 tral axis of its field of view rotated 9° in the XZ plane pointing away from the local zenith,
 276 2) the 90° -telescope is oriented almost perpendicular to the 0° -telescope with the cen-
 277 tral axis of its field of view rotated 9° in the YZ plane pointing away from the antiram
 278 direction. Original SEM-2 MEPED electron data are reported in three integral electron
 279 channels (E1, E2, E3) with a nominal energy range of 30 keV to 2.5 MeV, 100 keV to
 280 2.5 MeV, and 300 keV to 2.5 MeV, respectively (Evans & Greer, 2000; Peck et al., 2015).
 281 MEPED count rates (counts/s) are reported in 16 s intervals (Codrescu et al., 1997).

| <i>Satellite</i> | <i>Altitude (km)</i> | <i>Inclination Angle ($^\circ$)</i> | <i>LTAN</i> | <i>Data Window</i> |
|------------------|----------------------|--|-------------|--------------------|
| MetOp-02(A) | 817 | 98.7 | 2129 | 03/12/06–present |
| NOAA-15 | 807 | 98.5 | 1741 | 01/07/98–present |
| NOAA-16 | 849 | 99.0 | 2101 | 10/01/01–09/06/14 |
| NOAA-17 | 810 | 98.7 | 1902 | 12/07/02–10/04/13 |
| NOAA-18 | 854 | 98.7 | 1740 | 07/06/05–present |
| NOAA-19 | 870 | 98.7 | 1429 | 23/02/09–present |

Table 1. NOAA POES satellites used in this study and their characteristics. Columns are satellite name, altitude, inclination angle, local time of the ascending node (LTAN), and the intervals of the data used in this study (Lam et al., 2010; Asikainen & Mursula, 2011).

282 POES observations have been reported to suffer from a number of issues that make
 283 their use rather challenging. The rotation angles of the telescopes allow for a clear field
 284 of view and for monitoring a mixture of particle populations. Thus, the 0° -telescopes ob-
 285 serve mostly particles in the atmospheric loss cone (LC) and only at the geomagnetic
 286 equator trapped populations are measured, while the 90° -telescopes monitor trapped par-
 287 ticles at high latitudes and $L^* > 1.4$ (Evans & Greer, 2000). Additionally, Rodger, Clil-
 288 verd, et al. (2010) documented proton contamination of the SEM-2 MEPED electron data,
 289 as the detectors respond to protons with energies of up to 2.7 MeV. The amount of con-
 290 tamination varies for each electron energy channel (Yando et al., 2011), but electron data
 291 from the 90° -telescopes are of good quality with only 3.5% (on average) to 7% (disturbed
 292 times) contamination occurring beyond $L = 7$. Radiation damage, due to long-term
 293 exposure, may also affect the electron detectors, but its impact on the measurements is
 294 expected to be rather rather negligible (Galand & Evans, 2000; McFadden et al., 2007;
 295 Asikainen & Mursula, 2011).

296 In order to address some of the issues mentioned in the previous paragraph, we use
 297 the corrected differential electron fluxes estimated by Peck et al. (2015). The authors
 298 reduced proton contamination of the MEPED E1 to E3 electron channels. Additionally,
 299 using the information about relativistic electrons embedded in the observations of both
 300 P6 proton detectors (integral proton channel (P6) with a nominal energy range of 30 keV
 301 to > 6.9 MeV), the authors produced a virtual fourth electron channel (E4) with en-
 302 ergies between 300 keV – 2.5 MeV, centered at ~ 612 keV (Green, 2013). The count rates
 303 estimated for the E1 – E4 electron energy channels were then used to calculate contin-
 304 uous spectra over the energy range from 25 keV to 10 MeV (total of 27 energy channels).
 305 Peck-corrected MEPED dataset also contains error estimates accounting for measure-
 306 ment errors and for errors in the fitting of the spectral distributions. An example of the
 307 electron fluxes measured by MEPED onboard NOAA-16 used in this study are displayed
 308 in panel a) of Figure (2) for ~ 1 MeV energy and equatorial pitch-angles $\alpha_{eq} < 15^\circ$.

309

4.1 Processing of POES observations

310

311

312

313

314

315

316

317

318

319

320

321

322

323

For a proper comparison of the Van Allen Probes and POES datasets some considerations need to be taken into account, and consequently further processing and/or constraining of the observations has to be performed. All POES data are processed with the IRBEM-ONERA library using the magnetic field model (T89) (Tsyganenko, 1989). We first constrain the POES data to observations at equatorial pitch-angles $\alpha_{eq} \geq 6^\circ$ because the smallest pitch-angle channel of MagEIS can detect $\alpha_{eq} \sim 6^\circ$ based on the center point. Only time intervals of quiet to low geomagnetic activity are used (i.e. times when $K_p \leq 4^-$) to reduce possible inaccuracies of the magnetic field model. Additionally, we restrict the L^* -range to values between 3–6.6 R_E , as we want to focus on observations of the outer radiation belt. Figure (3) presents the L^* and α_{eq} -distributions of the raw (panels a and c) and the constrained (histograms b and d) datasets. The final overlap of the distributions for the constrained data suggests that comparison of Van Allen Probes and POES observations for the studied time period is only feasible for $L^* = 3 - 5 R_E$ and $\alpha_{eq} = 6^\circ - 12^\circ$.

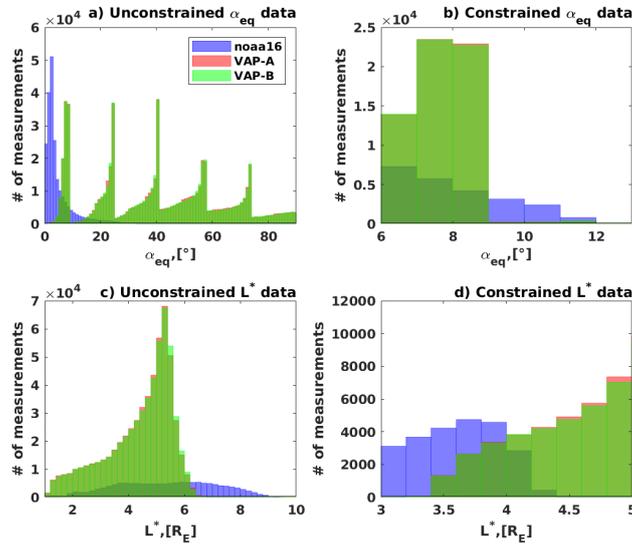


Figure 3. Data distributions: L^* and equatorial pitch-angle (α_{eq}) observed by Van Allen Probes (a and b) and Peck-corrected data of NOAA-16 for 01. October, 2012 till 30. September, 2013. a) and c) L^* and α_{eq} -distributions of raw data, respectively. b) and d) L^* and α_{eq} -distributions of constrained datasets for intercalibration.

324

325

326

327

328

329

330

331

As previously mentioned, the POES-fleet observes a mixture of electron populations, therefore we only use measurements from the 90° -telescopes. Since these observations are very close to the loss cone, we need to isolate the measured populations and remove drift- and bounce loss cone (DLC and BLC, respectively) measurements from our datasets. The purpose of this step is twofold: 1) DLC and BLC observations from POES cannot be compared to Van Allen Probes measurements because Van Allen Probes does not resolve the loss cone; 2) the use of only trapped particles allows us to rely on Liouville's theorem to map PSD at the geomagnetic equator.

332

333

334

335

336

337

338

The approach used to isolate POES populations used in this work is similar to the one presented by Y. Y. Shprits et al. (2023), and is described in the next paragraphs. Measurements of the MEPED detector for each energy channel are reported as the total counts per second estimated over 8 consecutive integration periods of 2s. Due to the wide angle of aperture of the detector and the integration time for the measurement, a large range of electrons with local pitch-angles between $\alpha_{loc} \pm 15^\circ$ can enter the detector, so that the measurement of the central angle may be biased. For this reason, using

339 the local pitch-angle from the central-angle measurement $\alpha_c = \alpha_{loc}$, we estimate the
 340 other two possible edge values for the local pitch-angle at satellite position (assuming
 341 a symmetric detector opening), i.e. $\alpha_{min} = \alpha_{loc} - 15^\circ$ and $\alpha_{max} = \alpha_{loc} + 15^\circ$. Using
 342 the conservation of the first adiabatic invariant (μ), we can calculate the corresponding
 343 magnetic field intensity at the mirror point for each of these pitch-angle values, (i.e. B_c ,
 344 B_{min} , B_{max} , respectively) using IRBEM-ONERA library. For the characterization, we
 345 only use the minimum of the three values (here notated as $B_M = \min(B_c, B_{min}, B_{max})$),
 346 thereby imposing the strongest assumption to ensure that measurements labeled as trapped
 347 are accurate. However, an unambiguous characterization of the observed electron pop-
 348 ulations is rather impossible. The intensity of the Earth's magnetic field at 100km alti-
 349 tude (B_{foot}) is estimated using the IGRF-12 model (Thébault et al., 2015).

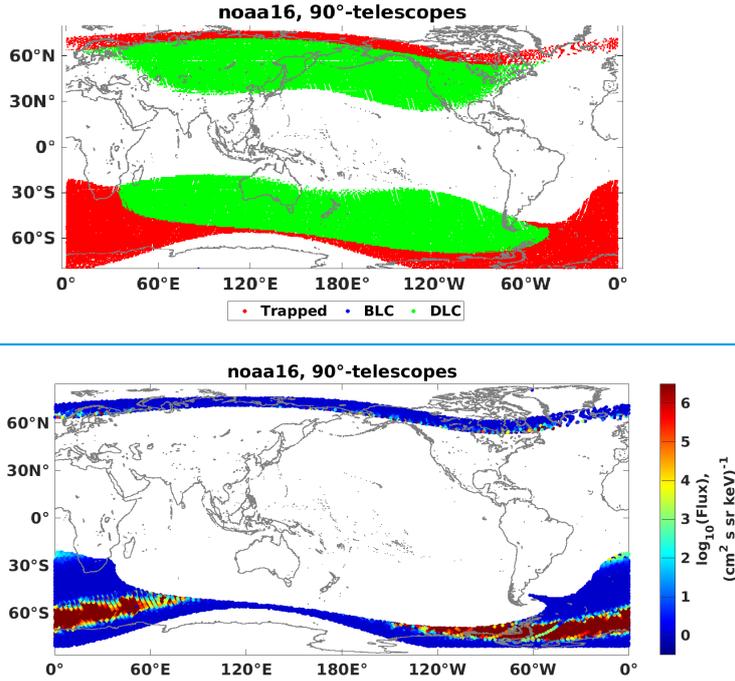


Figure 4. Global distribution of electron populations in the radiation belts as observed by the averaged 90°-telescopes MEPED onboard NOAA-16, as of Peck-corrected SEM-2 data. DLC = Drift loss cone, BLC = Bounce loss cone.

350 We then determine if a particle precipitates into the atmosphere or not, as follows:

- 351 • The **BLC** is defined as the range of pitch-angles at satellite location with mirror
 352 points below the atmosphere in either hemisphere. These particles will precipi-
 353 tate into the atmosphere within one bounce period. For each measurement, we
 354 find the minimum B_{foot} value between both hemispheres and compare this value
 355 to B_M . It holds: if $B_{foot} \leq B_M$, the particle bounces below the atmosphere and
 356 will be lost, therefore the measurement is labeled as **BLC**.
- 357 • The **DLC** is defined as the range of α_{loc} at fixed drift-shell, that reach altitudes
 358 lower than ~ 100 km at the South Atlantic Anomaly (SAA) and will therefore pre-
 359 cipitate into the atmosphere within one drift period. We estimate the L-shell (McIl-
 360 wain value) for each POES measurement using IGRF. We then find the minimum
 361 B_{foot} for the given L-shell along constant longitude (longitude of satellite loca-
 362 tion). This is the magnetic field intensity at the SAA (B_{SAA}) and we compare it
 363 to B_M . It holds: if $B_{SAA} \leq B_M$, the particle drifts below 100km at the SAA and
 364 it will be lost, therefore the measurement is labeled as **DLC**.

- If the measurement is not labeled as BLC nor as DLC, it will be labeled as **TRAPPED**. Only these data are used for the present work.

The obtained geographical distributions of the electron populations agree well with those obtained by Rodger, Carson, et al. (2010) (see Figure 4). Only trapped data are used for the comparison with Van Allen Probes+GOES-reanalysis, Van Allen Probes observations, and for the respective estimation of recalibration coefficients.

5 POES fly-through across the Reanalysis

In this and the following sections, we present the formal tests and results of our intercalibration approach on the NOAA-16 satellite dataset. The results obtained for the other satellite missions mentioned in Table (1) are summarized in the Supporting Information.

Since the reanalysis represents the “optimal state” of the outer radiation belt (i.e. the closest to the true state) at all times and locations, we can fly each POES satellite through this global reconstruction. A spacecraft fly-through across the data assimilative reanalysis is equivalent to an interpolation of the assimilated electron fluxes onto the spatial/temporal-grid of the POES fluxes. For the fly-through, POES data are binned into 1h time bins (i.e. the time step of the reanalysis) and the (L^*, E, α_{eq}) -nodes in the VERB-grid closest to the satellite measurement are labeled. To obtain the flux value of the reanalysis at the satellite location, we perform three 1D interpolations using piecewise cubic splines. We interpolate electron fluxes over 1D intervals enclosing the measured POES-data point and at least five RA grid nodes around it. Since the VERB-code only models diffusion of energetic particles trapped in the radiation belts without convection, we focus on radiation belt energies from ~ 200 keV to 1 MeV (i.e. energy channels 10 to 17 of the Peck-corrected data).

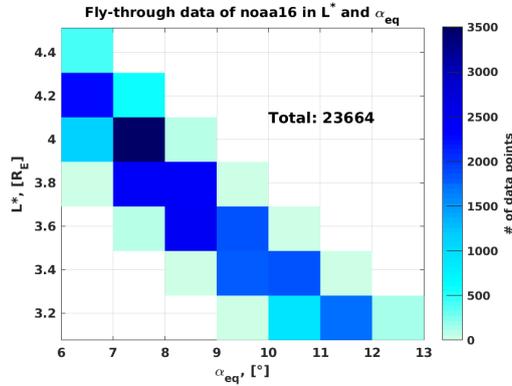


Figure 5. Fly-through data: 2D-histogram of L^* vs. α_{eq} covered by the fly-through of NOAA-16 for the period of October 2012 till September 2013. A total of 23664 data points are available, color-coded is the number of data points per bin.

We then extract the corresponding flux values of the reanalysis (j_{RA}) at POES location (L^*), energy (E) and pitch-angle (α_{eq}), and compare them with the actual flux values measured by the LEO satellites (j_{POES}) at same location, energy and pitch-angle. Figure (5) shows the 2D-histogram of L^* and α_{eq} values, at which fly-through data are available. We find a total of 23664 data points available for comparison in the ranges of $L^* = 3.2 - 4.4$ and $\alpha_{eq} = 6^\circ - 12^\circ$. Bins with the largest number of data points are located around $L^* = 3.2 - 4.2$ and $\alpha_{eq} = 6^\circ - 12^\circ$.

Since we now have two flux values at same location, we can estimate the flux ratios (R_{DA}) between the reanalysis fluxes (j_{RA}) and the measured fluxes (j_{POES}) for each

398 time-bin (reanalysis time (t_{RA})), satellite location (L^*), energy channel (E) and equa-
 399 torial pitch-angle (α_{eq}), as follows:

$$R_{DA}(t_{RA}, L^*, E, \alpha_{eq}) = \frac{j_{RA}(t_{RA}, L^*, E, \alpha_{eq})}{j_{POES}(t_{RA}, L^*, E, \alpha_{eq})} \quad (1)$$

400 We analyse the distributions of R_{DA} in dependence of E , α_{eq} , L^* and K_p , in order
 401 to determine the influence of each of these parameters on the flux ratios. The his-
 402 tograms of R_{DA} in dependence of the energy channel are presented in Figure (6). The
 403 distributions show slightly skewed bell shapes with clear peaks. The spread and skew-
 404 ness of the distributions appears to be larger for $E \leq 500$ keV. We estimate the median
 405 of R_{DA} over time for each energy channel E_i (red line), i.e. $Q_2(R_{DA}(E_i)) = \text{median}(R_{DA}(E_i))$,
 406 and use the Median Absolute Deviation (**MAD**) (green lines) to estimate the median
 407 variation of the residuals around the median of the distribution. For skewed distribu-
 408 tions the MAD is more robust than the standard deviation, because it is more resilient
 409 to outliers, and it is defined as the median of the absolute deviations from the median
 410 of the data, as follows (Rousseeuw & Croux, 1993):

$$\text{MAD} = \text{median}(|R_{DA}(t_{DA}, E_i) - Q_2(R_{DA}(E_i))|). \quad (2)$$

411 The median of R_{DA} for energies < 700 keV remains close to 1 (note that the x -
 412 axis is $\log_{10}(R_{DA})$), but at higher energies it shows a clear increase up to values of \sim
 413 2 for $E = 973$ keV. The lower MAD values constantly fall around 0.8 – 0.9, but notice-
 414 ably increase above 1 for $E = 779$ keV and $E = 973$ keV. For most energy chan-
 415 nels, the upper bounds of the MAD oscillate around 2–3, reaching highest values ($>$
 416 4) at $E = 779$ keV and $E = 973$ keV. These features suggest a strong dependence of
 417 the R_{DA} on the energy channel.

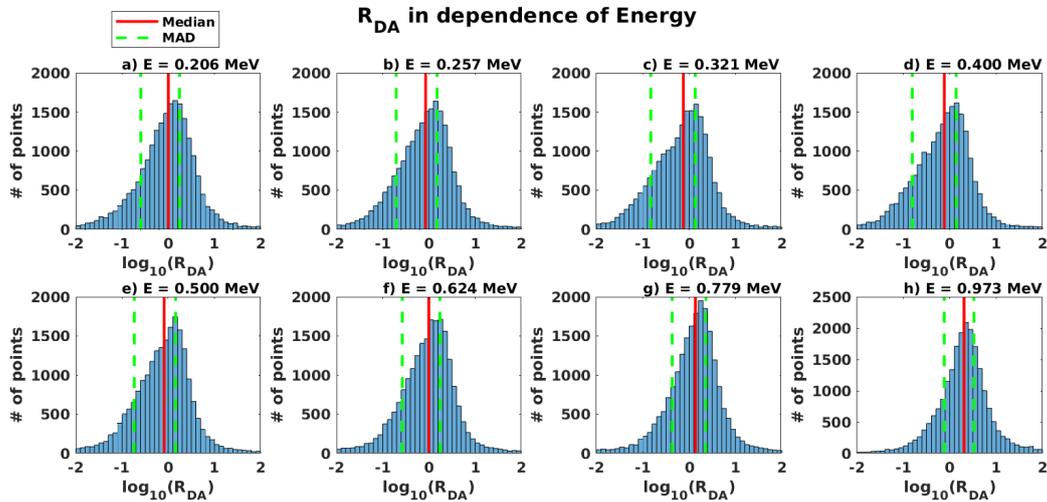


Figure 6. Distribution of R_{DA} in energy for NOAA-16: Histograms of R_{DA} (in \log_{10} scale) vs number of samples for each energy channel (each R_{DA} unit is divided into 10 bins). The median is indicated by the red lines, while the MAD is given by the magenta lines.

418 We further study the dependence of R_{DA} on α_{eq} for each energy channel, as shown
 419 in the 2D-histograms in Figure (7.I). The red dashed line represents the median and the
 420 magenta dashed lines are the MAD of the distributions (note that the y -axis is $\log_{10}(R_{DA})$).

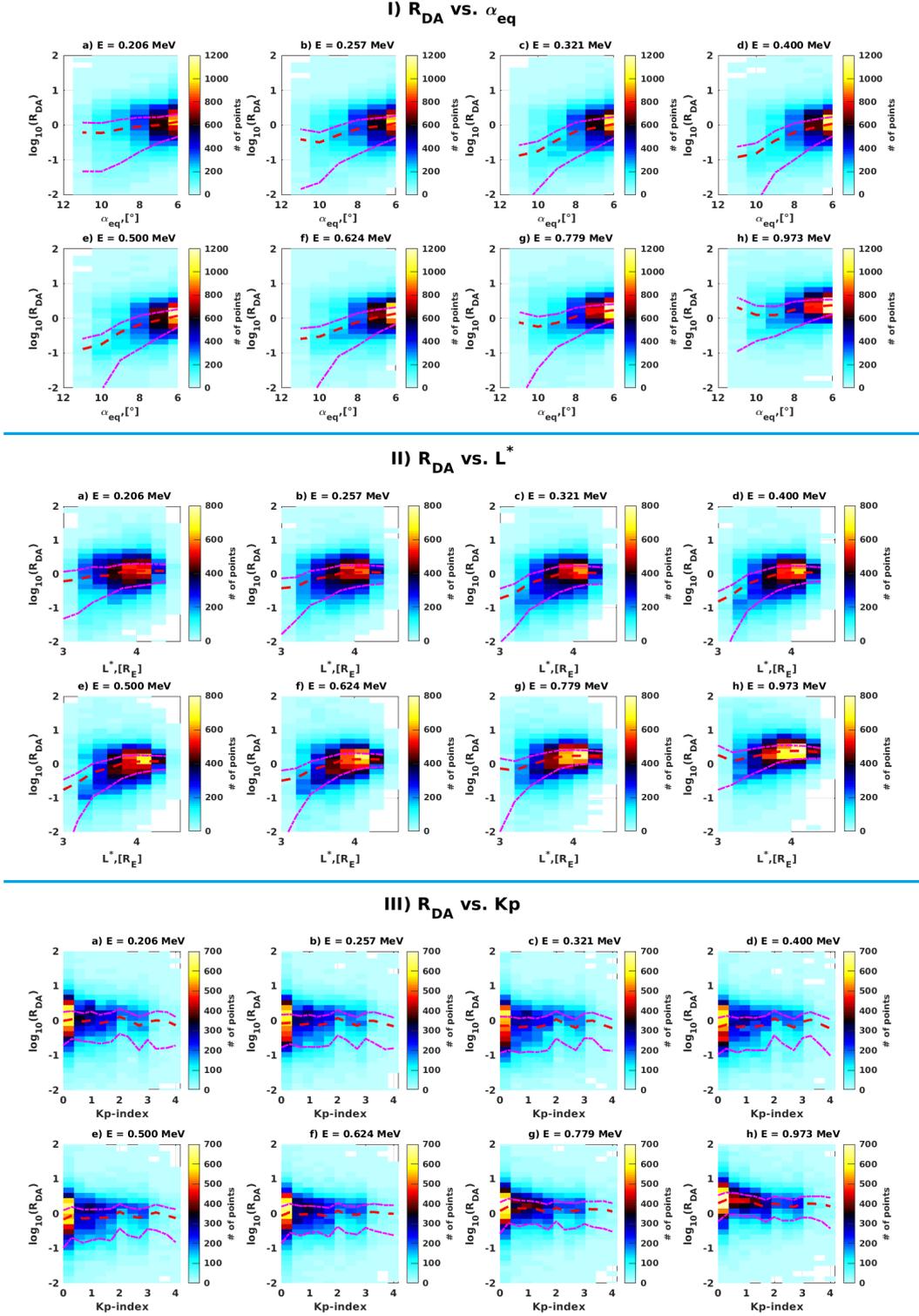


Figure 7. 2D-Distributions of R_{DA} for NOAA-16: I) 2D-Histograms of R_{DA} (in \log_{10} scale) vs. α_{eq} for each energy channel (plotted in 1° -bins and R_{DA} -bins of 1.4 width). II) 2D-Histograms of R_{DA} (in \log_{10} scale) vs. L^* for each energy channel (plotted in L^* -bins with $0.25R_E$ width). III) 2D-Histograms of R_{DA} (in \log_{10} scale) vs. K_p for each energy channel (plotted in K_p -bins of 0.33 width). Color-coded are the number of samples. The median is indicated by the red dashed lines, and the MAD is given by the magenta dashed lines.

421

422

Here, the skewness and spread of the distributions also appear to decrease with increasing energy. Clusters in the data can be well seen for all energy channels at least up

423 to $\alpha_{eq} = 9^\circ$, with highest sample density around $\alpha_{eq} = 6^\circ - 7^\circ$. The median of the
 424 distributions seems to decrease with increasing value of α_{eq} in a non-linear way at all
 425 energies. For $E < 300$ keV, the median of R_{DA} moves from values close to ~ 1 at $\alpha_{eq} =$
 426 6° down to ~ 0.6 at $\alpha_{eq} = 11^\circ$. Furthermore, for $E > 300$ and $E < 700$ keV, the me-
 427 dian of R_{DA} also peaks around 1 at $\alpha_{eq} = 6^\circ$, but it reaches down to ~ 0.2 at $\alpha_{eq} =$
 428 11° . Higher energy channels show larger values for the median of R_{DA} with the max-
 429 imum being > 2 at $\alpha_{eq} = 6^\circ$ and the minimum falling close to 1 at $\alpha_{eq} = 11^\circ$. For
 430 all the energy channels, the upper limit of the MAD remains around 0.3 above the me-
 431 dian, while the lower bound decreases rapidly with increasing value of α_{eq} , so that it can-
 432 not be estimated for $\alpha_{eq} = 11^\circ$ in most of the cases.

433 Similar trends in the skewness and spread are observed in Figure (7.II), which dis-
 434 plays the 2D-histograms R_{DA} vs. L^* for each energy channel. These distributions also
 435 show clear bulks between $L^* = 3.2-4.2$ with peaks at $L^* = 3.6-4.0$ for all ener-
 436 gies. The median curves of R_{DA} present inverse parabolic behaviour that seems to flat-
 437 ten at $E = 973$ keV. The median reaches its minimum at $L^* = 3$ and increases within
 438 one order of magnitude until it finds its maximum at $L^* = 4$ and then begins to de-
 439 crease at $L^* = 4.2$. The median at $L^* = 4$ oscillates close to 1 for $E < 600$ keV, but
 440 increases its value above 2 at higher energies. The trends in the MAD are similar to those
 441 seen in Figure (7.I), which is expected due to the inverse proportionality of L^* and α_{eq} .

442 Finally, we analyse the variation of R_{DA} with respect to the geomagnetic activity
 443 index K_p (see Figure (7.III)). The same trends in the skewness and spread with regard
 444 to the energies observed before, are also seen here. However, in this case the spread of
 445 the distributions appears to be less than one order of magnitude. The histograms show
 446 clear bulks of samples between $K_p = 0 - 3$. Unlike the previous cases, the median of
 447 R_{DA} does not show much variation and oscillates around 1 for all K_p values and $E <$
 448 700 keV. At higher energies, the median curve also increases its values slightly showing
 449 a small peak at $K_p \sim 0.3$, but remaining rather constant otherwise. The MAD shows
 450 larger uncertainties in the upper limits around the median, but remains within 0.4 of the
 451 median values. The spread of the MAD also decreases noticeably with increasing energy.

452 The analysis of R_{DA} presented in this section suggests a strong dependence on the
 453 energy channel, L^* -location and α_{eq} . In contrast, the value of K_p shows a rather small,
 454 if not negligible, influence on the flux-ratios. Before we look deeper into these param-
 455 eters and their influence on R_{DA} , we check in the next section if a traditional conjunc-
 456 tion approach delivers similar insights into the behaviour of the flux-ratios.

457 6 Conjunction Study between Van Allen Probes and NOAA-16

458 In this section, we analyse the behaviour of flux-ratios obtained from a geomag-
 459 netic conjunction study performed between the NOAA-16 and Van Allen Probes (A and
 460 B) satellites. In this case, we choose Van Allen Probes observations to be the "gold stan-
 461 dard", which we use as a reference to carry out on-orbit comparisons with NOAA-16 mea-
 462 surements in geomagnetic space (Friedel et al., 2005). For a pair of (Van Allen Probes,
 463 NOAA-16) observations to be considered a conjunction, the following conditions should
 464 be met: 1) The location of both satellites must be within $\pm 0.1L^*$, 2) ideally the observed
 465 electrons have the same equatorial pitch-angles: $\pm 0.5^\circ \alpha_{eq}$, 3) the energy of the measure-
 466 ments has a maximum deviation of $\pm 10\%$: $E_{VAP} = E_{POES} \pm 10\%$, 4) the conjunction
 467 must occur within a time frame of $\Delta t = \pm 1$ hour, and 5) the conjunction occurs dur-
 468 ing low to moderate levels of geomagnetic activity: $K_p \leq 4^-$.

469 Figure (8) presents the 2D-histogram of L^* and α_{eq} values, at which the geomag-
 470 netic conjunctions are found. We have a total of 1129 conjunctions between Van Allen
 471 Probe-A and NOAA-16 (Figure 8.a) and, 1131 conjunctions between Van Allen Probe-

472 B and NOAA-16 (Figure 8.b), in the ranges of $L^* = 3.6 - 4.4$ and $\alpha_{eq} = 6^\circ - 8^\circ$. Bins
 473 with the largest number of data points are centered around $L^* = 3.8$ and $\alpha_{eq} = 8.5^\circ$.

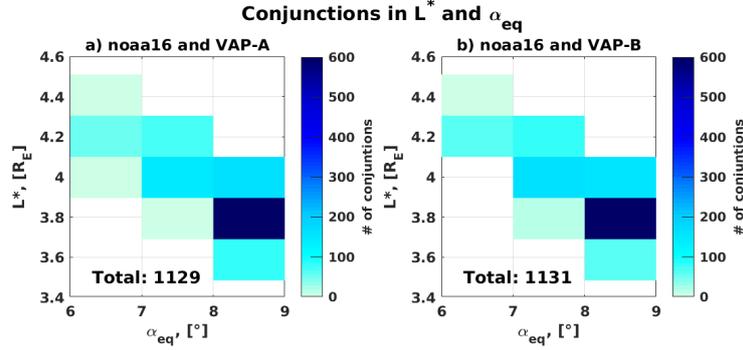


Figure 8. Conjunction data: 2D-histogram of L^* vs. α_{eq} , at which geomagnetic conjunctions between NOAA-16 and a) Van Allen Probe-A; and b) Van Allen Probe-B are available for the period of October 2012 till September 2013. The total number of conjunctions is displayed in the lower left part of each plot, color-coded is the number of data points per bin.

474 Since we now have comparable pairs of (Van Allen Probes, NOAA-16) observations,
 475 we can perform flux-comparisons at same satellite location and estimate the flux ratios
 476 (here notated as R_{Conj}) between Van Allen Probes measured fluxes (j_{VAP}) and POES
 477 measured fluxes (j_{POES}) for each time-bin (Van Allen Probes time (t_{VAP})), satellite location
 478 (L^*), energy channel (E) and equatorial pitch-angle (α_{eq}), as follows:

$$R_{Conj}(t_{VAP}, L^*, E, \alpha_{eq}) = \frac{j_{VAP}(t_{VAP}, L^*, E, \alpha_{eq})}{j_{POES}(t_{VAP}, L^*, E, \alpha_{eq})} \quad (3)$$

479 Similar to the previous section, we analyse the statistical dependence of R_{Conj} on
 480 E , L^* , α_{eq} and K_p . Figure (9) shows the histograms of R_{Conj} per energy channel. Since
 481 the distributions are rather irregular and show large spread, we estimate their peak as
 482 the median of R_{Conj} over time for each energy (i.e. $Q_2(R_{Conj}(E_i)) = \text{median}(R_{Conj}(E_i))$)
 483 (indicated by the red bar); and their deviation through the **MAD** (green lines) is esti-
 484 mated by:

$$\text{MAD} = \text{median}(|R_{Conj}(t_{VAP}, E_i) - Q_2(R_{Conj}(E_i))|). \quad (4)$$

485 For energies < 700 keV, the value of the median remains close to 1, but it increases
 486 for higher energies reaching a maximum at $E = 973$ keV. While the upper bound of
 487 the MAD seems to stick constantly close to the median for all energies, the lower bound
 488 becomes too small for several energies and cannot, therefore, be displayed in \log_{10} scale.

489 Figure (10.I) presents the 2D-histograms of R_{Conj} in dependence of α_{eq} . Although
 490 the distributions show high spread and nonuniform behaviour, a clear peak can be seen
 491 between $\alpha_{eq} = 8^\circ - 9^\circ$. The median of R_{Conj} (red dashed line) appears to remain con-
 492 stant around a value of 1 for energies below 700 keV, showing a decrease in value at the
 493 6° bin. At higher energies the value of the median increases, as also observed in the pre-
 494 vious figure. The MAD bounds (magenta dashed lines) indicate higher deviation to the
 495 upper values of R_{Conj} . Figure (10.II) displays the 2D-histograms of R_{Conj} in dependence
 496 of L^* . The distributions are again rather irregular and show large spread.

497 However, a clear peak in sample density is observed at $L^* = 3.6 - 4$. For $E <$
 498 700 keV, the value of the median of R_{Conj} seems to remain constantly around 1 or in-
 499 creases with increasing L^* value, showing a peak at the $L^* = 4.0$ bin and then decreas-
 500 ing again. MAD values for the upper bound remain around 2 units above the median,

501 but the lower limit becomes too small for $L^* < 3.8$ in most energy channels. Addition-
 502 ally, Figure (10.III) shows the 2D-histograms of R_{Conj} in dependence of the geomagnetic
 503 index K_p .

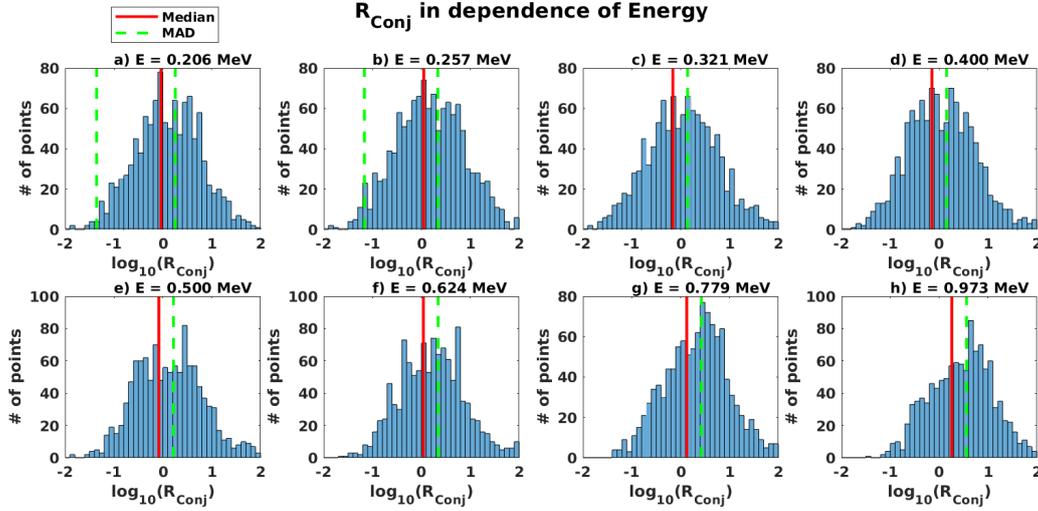


Figure 9. Distribution of R_{Conj} in energy for NOAA-16: Histograms of R_{Conj} (in \log_{10} scale) vs number of samples for each energy channel (each R_{Conj} unit is divided into 10 bins). The median is indicated by the red lines, while the MAD is given by the magenta lines.

504 While a clear peak in sample density can be observed at $K_p = 0$, the distributions
 505 show large spread and for $K_p > 1$ no clear peak can be seen. The median value at the
 506 bulk of the samples is very close to 1 for all energy channels. However, the curve of the
 507 median oscillates in rather random way at higher K_p values, so no clear trend can be ob-
 508 served. While the upper bound of the MAD closely follows the median value, the lower
 509 MAD limit becomes too small for the log-scale.

510 7 Results and Discussion

511 Taking into account the statistical analyses presented in sections 5 and 6, here we
 512 compare the median values of R_{DA} and R_{Conj} (denoted by $Q_2(R_{DA})$ and $Q_2(R_{Conj})$,
 513 respectively), in dependence of E , L^* , α_{eq} and K_p (i.e. the red lines in the previous his-
 514 tograms). We discuss our findings and estimate final intercalibration coefficients for NOAA-
 515 16.

516 7.1 Comparison of Intercalibration Coefficients

517 We begin by analysing how the median values of R_{DA} and R_{Conj} behave in terms
 518 of the energy channel (shown in Figure (11)). The error bars show the spread given by
 519 the MAD (red bars for $Q_2(R_{DA})$ and blue bars for $Q_2(R_{Conj})$, respectively). Both curves
 520 clearly display the similar trends and values for all energy channels. Largest differences
 521 between $Q_2(R_{DA})$ and $Q_2(R_{Conj})$ are seen at $E = 257$ keV and $E = 973$ keV, but
 522 these remain within a factor of ~ 0.5 . The values of both R -medians decrease from low
 523 to middle energies, and then increase again from middle to high energies. Most values
 524 remain below the value of 2, but a clear increase is seen for $E > 600$ keV, where $Q_2(R_{Conj})$ -
 525 values get close to 2.

526 The uncertainties of both datasets are quite large to the upper limits of the me-
 527 dian. Lower bound uncertainties never reach a factor of 1, but they do increase for $Q_2(R_{DA})$
 528 at $E > 600$ keV.

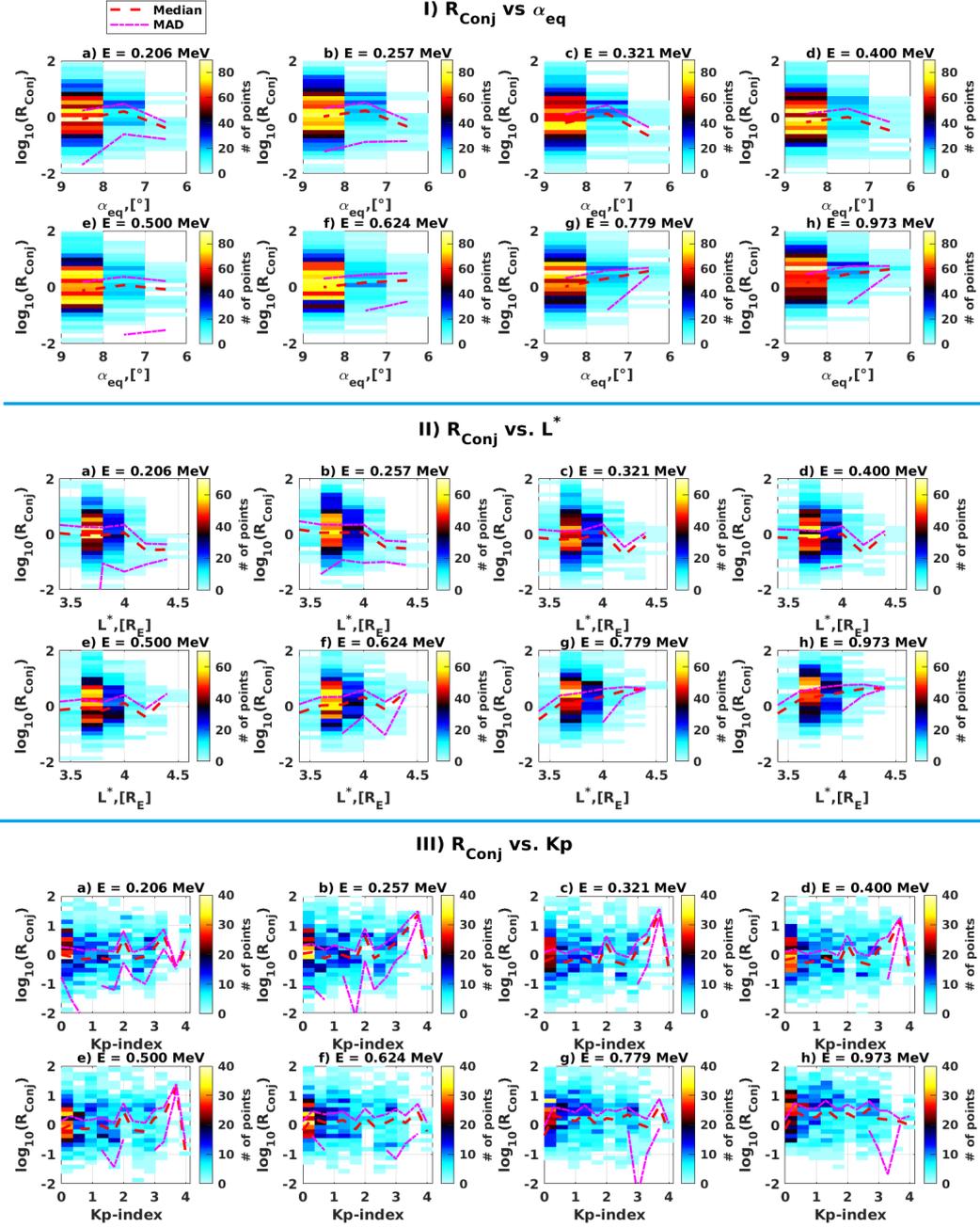


Figure 10. 2D-Distributions of r_{Conj} for NOAA-16: I) 2D-Histograms of R_{Conj} (in \log_{10} scale) vs. α_{eq} for each energy channel (plotted in 1° -bins and R_{Conj} -bins of 1,4 width). II) 2D-Histograms of R_{Conj} (in \log_{10} scale) vs. L^* for each energy channel (plotted in L^* -bins with $0.25R_E$ width). III) 2D-Histograms of R_{Conj} (in \log_{10} scale) vs. K_p for each energy channel (plotted in K_p -bins of 0.33 width). Color-coded are the number of samples. The median is indicated by the red dashed lines, and the MAD is given by the magenta dashed lines.

529 The upper limit uncertainty for $Q_2(R_{DA})$ remains around a factor of ~ 2 for $E <$
 530 700 keV, but increases up to a factor of ~ 3.5 for higher energy channels. The upper
 531 bound uncertainties of $Q_2(R_{Conj})$ are generally larger than those of $Q_2(R_{DA})$, but re-
 532 main within a factor of $\sim 2 - 2.5$.

533 We further study the behaviour of the median values of R_{DA} and R_{Conj} with re-
 534 spect to L^* , α_{eq} and K_p for each energy channel. Panels a) and b) of Figure (12) show
 535 the median of R_{DA} and R_{Conj} (respectively) in terms of L^* and energy. $Q_2(R_{DA})$ curves

536 are smooth and present similar trends as those seen in Figure (11) for all L^* -bins. The
 537 values of $Q_2(R_{DA})$ increase with increasing L^* -value for fixed energy, but remain between
 538 ~ 0.5 and ~ 1.5 at 200 keV, and reach $\sim 1.2 - 2.5$ at 973 keV. At $L^* \leq 3.8$ and for
 539 $E < 0.6$ MeV, MEPED slightly underestimates the reanalysis fluxes. For $E \geq 0.8$ MeV,
 540 this underestimation is seen in all L^* -bins and also maximum values of $Q_2(R_{DA})$ are ob-
 541 served here. Below $L^* = 3.6$ and for $E < 0.6$ MeV MEPED consistently overestimates
 542 the reanalysis fluxes. The curves of R_{Conj} -median values (Figure 12.b) are less smooth
 543 than those of $Q_2(R_{DA})$, and no clear trends are observed. For most L^* and energy val-
 544 ues, MEPED underestimates Van Allen Probes fluxes, only at $L^* = 4$ below 0.5 MeV
 545 mild overestimation or agreement are observed. Highest $Q_2(R_{Conj})$ values are at $E >$
 546 600 keV for most L^* -values.

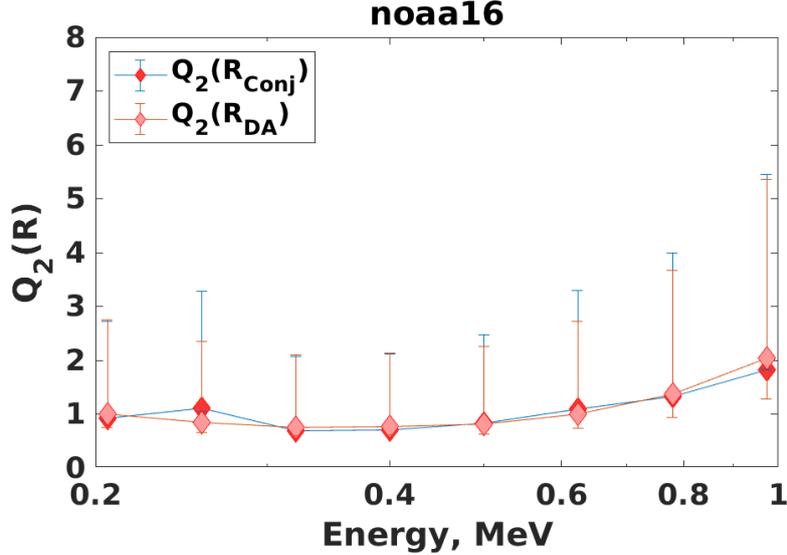


Figure 11. Values of $Q_2(R_{DA})$ and $Q_2(R_{Conj})$ vs. Energy. Plotted in linear scale are the median values of R_{DA} (pink diamonds) and R_{Conj} (red diamonds) estimated for NOAA-16 in dependence of the energy channel. Error bars are estimated from the corresponding MAD values and displayed for $Q_2(R_{DA})$ in pink color and for $Q_2(R_{Conj})$ in blue.

547 The median values of R_{DA} and R_{Conj} in dependence of α_{eq} and energy channel
 548 are presented in Figure (12, panels c and d), respectively. R_{DA} -median curves clearly
 549 resemble the trends observed in Figure (12.a). In general, $Q_2(R_{DA})$ increases with de-
 550 creasing value of α_{eq} for fixed energy. For $E < 600$ keV, most $Q_2(R_{DA})$ values are be-
 551 low 1, indicating that POES measurements tend to be larger than the reanalysis. MEPED
 552 fluxes at $\alpha_{eq} = 6^\circ - 7^\circ$ appear to be very close to the reanalysis fluxes below 700 keV.
 553 The largest difference between the data assimilative output and the POES measurements
 554 is observed above $E = 700$ keV. Trends of $Q_2(R_{Conj})$ in Figure (12 panel d) coincide
 555 well with those in Figure (12, panel b). For $E < 400$ keV, Van Allen Probes measure-
 556 ments at $\alpha_{eq} = 7^\circ$ are higher than MEPED fluxes, but at $\alpha_{eq} = 6^\circ$, the opposite is
 557 the case. For $E > 600$ keV and at $\alpha_{eq} = 6^\circ - 7^\circ$, MEPED fluxes underestimate Van
 558 Allen Probes observations.

559 The curves of $Q_2(R_{DA})$ and $Q_2(R_{Conj})$ in dependence of K_p and energy channel
 560 are displayed in Figure (12, panels e and f, respectively). For most energy channels, $Q_2(R_{DA})$ -
 561 curves are equal or close to 1. At $E > 600$ keV, we observe an increase in the median
 562 value, suggesting that POES underestimates Van Allen Probes fluxes at these energies.
 563 $Q_2(R_{Conj})$ -values move close to 1 only for $E < 600$ keV. At $E > 600$ keV, an increase
 564 in $Q_2(R_{Conj})$ -values up to a factor of 2 is well observed.

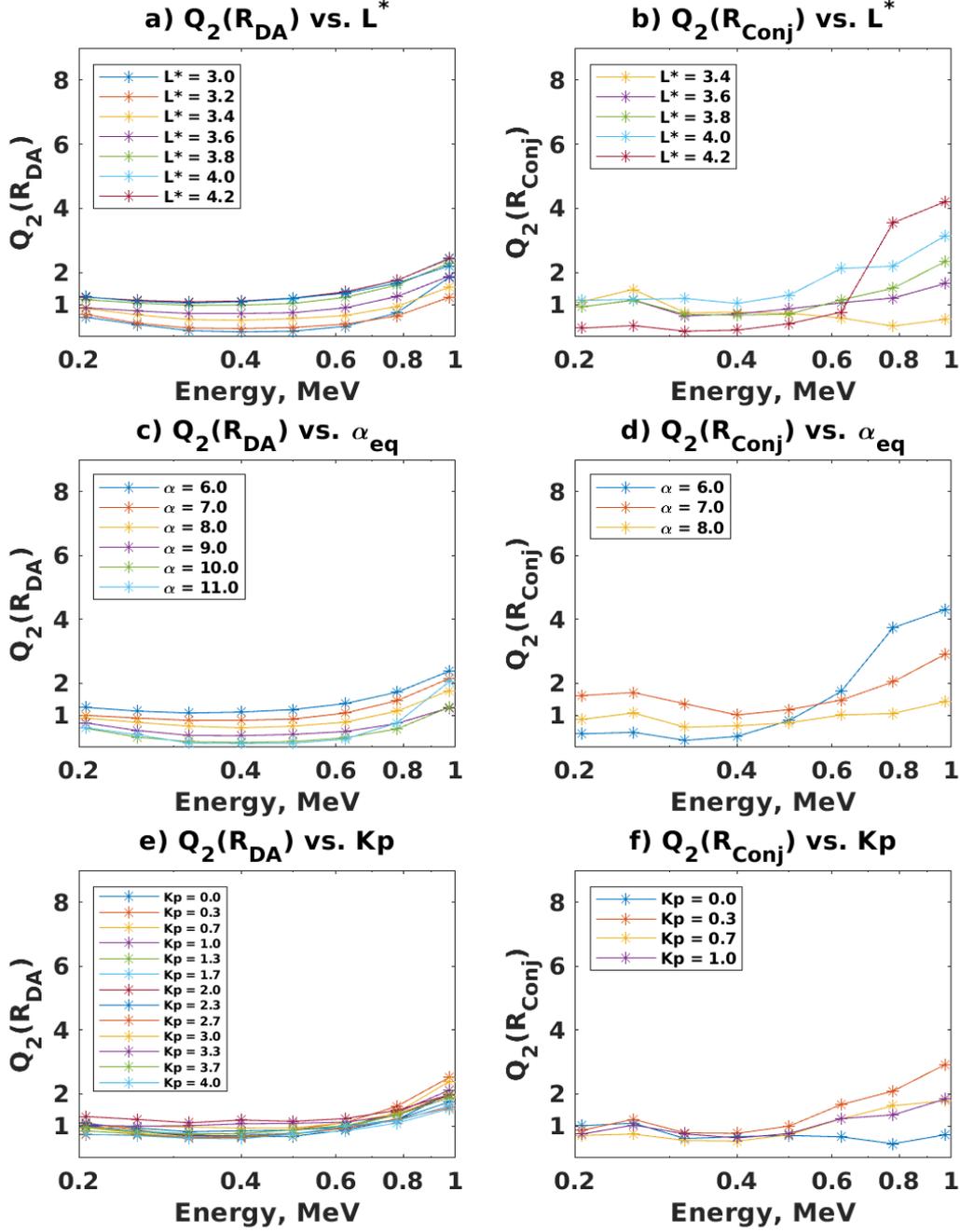


Figure 12. Values of $Q_2(R_{DA})$ and $Q_2(R_{Conj})$ vs. L^* -bin, α_{eq} and K_p . Curves of $Q_2(R_{DA})$ in dependence of the energy channel for NOAA-16, color-coded are the curves a) for each L^* -bin, c) for each α_{eq} -bin, e) for each K_p -bin. Curves of $Q_2(R_{Conj})$ in dependence of the energy channel for NOAA-16, color-coded are the curves b) for each L^* -bin, d) for each α_{eq} -bin, e) for each K_p -bin. The Y-axes in all plots is in linear scale.

565 With increasing K_p -value the statistical significance of the K_p -bins is strongly re-
 566 duced (i.e. points per bin ≤ 10), which resembles in the irregular behaviour of the curves.
 567 Therefore, we only plot the results for $K_p \leq 1$.

568 7.2 Discussion

569 The comparisons presented in the previous sections clearly show how the data-assimilative
 570 method is able to compare more data points (Figure (5)) than the conjunction study (Fig-
 571 ure (8)), thereby consistently improving the statistics for the intercalibration. This is be-

572 cause the reanalysis provides a global reconstruction of the entire space of the radiation
 573 belts, allowing us to compare much of the real observations at all satellite locations. In
 574 Figures (6) and (7), an increase in spread and skewness of the R_{DA} distributions below
 575 $E < 500$ keV is well observed. This is not the case for R_{Conj} (Figures (9) and (10)).
 576 The reason for this may be lay in the physics used by the VERB-3D code, which as a
 577 diffusion model is more suitable to model energetic particles. Comparison of Figures (11)
 578 clearly shows the potential of our data-assimilative intercalibration approach.

579 Differences between $Q_2(R_{DA})$ and $Q_2(R_{Conj})$ may be related to the very differ-
 580 ent statistics of both datasets. All conjunction statistics contain most less data points
 581 than the statistics of the data-assimilative method. Another possibility is a bias com-
 582 ing from the way the on-orbit comparisons are estimated. By just comparing the obser-
 583 vations in space and time, we neglect the dependence of the instrument's response on
 584 the hardness of the real energy spectrum. For instance, if due to a loss process, low en-
 585 ergy particles are removed from the environment, the net energy of the spectrum will
 586 increase. The observed dependence of $Q_2(R_{DA})$ and $Q_2(R_{Conj})$ on L^* and α_{eq} (Figure
 587 12 panels a, b, c and d, respectively) further supports this hypothesis. Such a dependence
 588 was also reported by Peck et al. (2015), in comparison with the dataset from the Detec-
 589 tion of Electro-Magnetic Emissions Transmitted from Earthquake Regions (DEMETER)
 590 satellite Instrument for Detecting Particles (IDP) (Sauvaud et al., 2006). Since the orig-
 591 inal energy channels of the POES measurements were derived as integral fluxes over broad
 592 ranges of energy, the effect of spectrum hardening could be particularly high on the ef-
 593 fective energy of the POES dataset. Restriction of the K_p values to $\leq 4^-$ may help re-
 594 duce the effect of hardening, however, the large width of the real energy channels, the
 595 large field of view of the detector and possible remaining contamination can cause the
 596 observations to be dominated by higher energy particles.

| $L^* \backslash E$ [MeV] | 0.206 | 0.257 | 0.321 | 0.400 | 0.500 | 0.624 | 0.779 | 0.973 |
|--------------------------|-------|-------|-------|-------|-------|-------|-------|-------|
| 3 | 0.61 | 0.38 | 0.19 | 0.15 | 0.17 | 0.32 | 0.74 | 1.85 |
| 3.2 | 0.69 | 0.42 | 0.27 | 0.25 | 0.29 | 0.39 | 0.65 | 1.22 |
| 3.4 | 0.88 | 0.68 | 0.53 | 0.51 | 0.56 | 0.66 | 0.94 | 1.54 |
| 3.6 | 0.90 | 0.80 | 0.72 | 0.72 | 0.75 | 0.90 | 1.25 | 1.89 |
| 3.8 | 1.15 | 1.05 | 0.98 | 0.98 | 1.04 | 1.22 | 1.61 | 2.29 |
| 4 | 1.23 | 1.12 | 1.07 | 1.09 | 1.18 | 1.38 | 1.76 | 2.45 |
| 4.2 | 1.23 | 1.13 | 1.09 | 1.11 | 1.20 | 1.40 | 1.76 | 2.42 |
| 4.4 | 1.24 | 1.10 | 1.04 | 1.09 | 1.20 | 1.36 | 1.67 | 2.21 |

Table 2. Recalibration coefficients for NOAA-16: Final intercalibration coefficients ($Q_2(R_{DA})$) for estimated for NOAA-16 using our new data assimilation approach. The coefficients are given in terms of energy and L^* .

597 Values of $Q_2(R_{DA})$ are similar for all L^* and α_{eq} . This is not the case for $Q_2(R_{Conj})$,
 598 where values in dependence of L^* show higher maxima than those in dependence of α_{eq} .
 599 The proximity of POES pitch-angle measurements to the loss cone may be the reason
 600 for this result. On the VERB-code the loss cone is modelled for a dipole field using an
 601 exponential decay. Additionally, classification of observations as trapped contains un-
 602 avoidable inaccuracies. On the other hand, Van Allen Probes observations in the small-
 603 est pitch-angle channel are also very close to the loss cone, such that measurements from
 604 these channels contain loss cone particles, even though the central angle of the instru-
 605 ment may be outside of the loss cone. The use of a data-assimilative intercalibration ap-
 606 proach also enables us to learn about possible improvements in the physics of our model.
 607 In general, $Q_2(R_{DA})$ values in L^* and α_{eq} are lower than those of $Q_2(R_{Conj})$. This is
 608 potentially an indication of inaccuracies in the latitudinal dependencies of the used dif-
 609 fusion coefficient of the VERB-3D code, which determine the shape of the pitch-angle
 610 distribution. In the future, more advanced diffusion coefficients such as A. Drozdov et
 611 al. (2017); D. Wang et al. (2019); Saikin et al. (2022) may deliver better agreement.

612 The analysis on the K_p dependence of $Q_2(R_{DA})$ and $Q_2(R_{Conj})$ presents large in-
 613 creases in both curves for $E > 600$ keV. Since the last integral channel (E4) of the origi-
 614 nal SEM-2 data is centered at about 612 keV, we find that this is an indication of a pos-
 615 sible bias in the Peck-corrected differential fluxes, perhaps related to the spectral fit. While
 616 this data product delivers large amounts of observations and the possibility to work with
 617 higher energies, the broad width of the energy channels of the original POES dataset may
 618 impose some limitations to extensions of the observations to higher energies.

619 For this reason, our results show that the highest dependence of $Q_2(R)$ is on en-
 620 ergy, L^* and α_{eq} . Since in Figure (12) the inverse relation between L^* and α_{eq} is eas-
 621 ily observed, for the purpose of this study, we present final recalibration coefficients (val-
 622 ues of $Q_2(R_{DA})$) only in dependence of energy and L^* in tabular form (see Table (2)).

623 8 Conclusions

624 In the present study, we have shown the potential of a data-assimilative satellite
 625 intercalibration approach. The proposed method was tested and validated using mea-
 626 surements of energetic electrons in the radiation belt region from POES satellites (NOAA-
 627 15,-16,-17,-18,-19) and MetOp-02, and Van Allen Probes. Using our intercalibration ap-
 628 proach, we are able to considerably improve the statistics of on-orbit data comparisons.
 629 Satellite intercalibration via data assimilative fly-through requires therefore shorter pe-
 630 riods of data than comparisons through conjunctions. Our comparative analysis clearly
 631 show that due to very few conjunctions, flux-ratios may be influenced and falsely esti-
 632 mated, while using data-assimilative intercalibration shows that Peck-corrected POES
 633 data are already in good agreement with Van Allen Probes observations below $E \approx 600$
 634 keV (i.e. $R_{DA} \approx 1$), and can be used to reconstruct the global state of the radiation
 635 belts. For higher energy channels the datasets are within a factor of 2, so that intercal-
 636 ibration is required, as shown by both methods in this study. The recalibration factors
 637 estimated with our data-assimilative method are consistent with the results from the con-
 638 junction study.

639 The results of this study are encouraging as large satellite datasets can be efficiently
 640 and automatically intercalibrated with this technique. In future, we plan to extend the
 641 pitch-angle distribution of the Peck-corrected POES datasets using Smirnov et al. (2022)
 642 approach and perform global reconstruction of the radiation belts using our recalibrated
 643 dataset. We also want to perform a similar analysis using original uncorrected SEM-2
 644 integral fluxes, including lower ring current energies. In this study, we have excluded such
 645 a comparison since it would only concern one energy channel for radiation belt energies.
 646 Additionally, we look forward to using this intercalibration method with other satellite
 647 fleets providing large datasets, such as GPS.

648 Open Research Section

649 Data Availability Statement

650 The data used for this study is publicly available. The K_p index was provided by
 651 GFZ Potsdam (<https://www.gfz-potsdam.de/kp-index/>). All RBSP-ECT data are
 652 publicly available on the website: <http://www.RBSP-ect.lanl.gov/>. GOES electron
 653 data can also be accessed online at [https://satdat.ngdc.noaa.gov/sem/goes/data/](https://satdat.ngdc.noaa.gov/sem/goes/data/full/)
 654 [full/](https://satdat.ngdc.noaa.gov/sem/goes/data/full/). POES electron fluxes can be accessed online at [https://www.ngdc.noaa.gov/](https://www.ngdc.noaa.gov/stp/satellite/poes/dataaccess.html)
 655 [stp/satellite/poes/dataaccess.html](https://www.ngdc.noaa.gov/stp/satellite/poes/dataaccess.html). The IRBEM library can be found under: [http://](http://github.com/PRBEM/IRBEM/)
 656 github.com/PRBEM/IRBEM/.

Acknowledgments

The authors are grateful to the RBSP-ECT team for the provision of Van Allen Probes observations, and to the NOAA-NCEI team for the provision of POES measurements. We also thank the developers of the IRBEM library. We also thank Geoffrey D. Reeves and Mary Hudson for useful advise and discussions. The authors would like to thank the anonymous reviewers for their insightful comments. This research has been partially funded by Deutsche Forschungsgemeinschaft (DFG) - SFB1294/1 - 318763901 Project (B06) Novel methods for the data assimilation of highly variable and dynamic ring current; and partially by the European Union's Horizon 2020 research and innovation program under Grant Agreement No. 870452 (PAGER). Dedong Wang was also supported by the DFG project 520916080.

References

- Asikainen, T., & Mursula, K. (2011). Recalibration of the long-term noaa/meped energetic proton measurements. *Journal of Atmospheric and Solar-Terrestrial Physics*, *73*(2-3), 335–347.
- Baker, D., Kanekal, S., Hoxie, V., Batiste, S., Bolton, M., Li, X., ... others (2012). The Relativistic Electron-Proton Telescope (REPT) Instrument on Board the Radiation Belt Storm Probes (RBSP) Spacecraft: Characterization of Earth's Radiation Belt High-Energy Particle Populations. In *The van allen probes mission* (pp. 337–381). Boston, MA: Springer. doi: 10.1007/978-1-4899-7433-4_11
- Blake, J., Carranza, P., Claudepierre, S., Clemmons, J., Crain, W., Dotan, Y., ... others (2013). The magnetic electron ion spectrometer (MagEIS) instruments aboard the radiation belt storm probes (RBSP) spacecraft. In *The van allen probes mission* (pp. 383–421). Boston, MA: Springer. doi: 10.1007/978-1-4899-7433-4_12
- Boscher, D., Bourdarie, S., O'Brien, P., Guild, T., Heynderickx, D., Morley, S., ... Community., I. C. (2022). *The International Radiation Belt Environment Modeling (IRBEM) library. PRBEM/IRBEM: v5.0.0 (IRBEM-5.0.0)*. Zenodo. doi: 10.5281/zenodo.6867768
- Bourdarie, S., & Maget, V. F. (2012). Electron radiation belt data assimilation with an ensemble Kalman filter relying on the Salammbô code. *Annales Geophysicae*, *30*(6), 929–943. doi: 10.5194/angeo-30-929-2012
- Brautigam, D., & Albert, J. (2000). Radial diffusion analysis of outer radiation belt electrons during the october 9, 1990, magnetic storm. *Journal of Geophysical Research: Space Physics*, *105*(A1), 291–309.
- Carpenter, D., & Anderson, R. (1992). An isee/whistler model of equatorial electron density in the magnetosphere. *Journal of Geophysical Research: Space Physics*, *97*(A2), 1097–1108.
- Castillo, A. M., de Wiljes, J., Shprits, Y. Y., & Aseev, N. A. (2021). Reconstructing the Dynamics of the Outer Electron Radiation Belt by Means of the Standard and Ensemble Kalman Filter With the VERB-3D Code. *Space Weather*, *19*(10).
- Cayton, T., & Tuszewski, M. (2005). Improved electron fluxes from the synchronous orbit particle analyzer. *Space Weather*, *3*(11).
- Cervantes, S., Shprits, Y. Y., Aseev, N. A., & Allison, H. J. (2020). Quantifying the effects of EMIC wave scattering and magnetopause shadowing in the outer electron radiation belt by means of data assimilation. *J. Geophys. Res.-Space*, *125*(8). doi: 10.1029/2020JA028208
- Cervantes, S., Shprits, Y. Y., Aseev, N. A., Drozdov, A. Y., Castillo, A., & Stolle, C. (2020). Identifying Radiation Belt Electron Source and Loss Processes by Assimilating Spacecraft Data in a Three-Dimensional Diffusion Model. *Journal of Geophysical Research: Space Physics*, *125*(1), e2019JA027514. doi: 10.1029/2019JA027514

- 710 Chen, Y., Friedel, R., Reeves, G., Cayton, T., & Christensen, R. (2007). Mul-
 711 tisatellite determination of the relativistic electron phase space density at
 712 geosynchronous orbit: An integrated investigation during geomagnetic storm
 713 times. *Journal of Geophysical Research: Space Physics*, *112*(A11).
- 714 Chen, Y., Friedel, R., Reeves, G., Onsager, T., & Thomsen, M. (2005). Multisatel-
 715 lite determination of the relativistic electron phase space density at geosyn-
 716 chronous orbit: Methodology and results during geomagnetically quiet times.
 717 *Journal of Geophysical Research: Space Physics*, *110*(A10).
- 718 Codrescu, M., Fuller-Rowell, T., Roble, R., & Evans, D. (1997). Medium energy par-
 719 ticle precipitation influences on the mesosphere and lower thermosphere. *Jour-
 720 nal of Geophysical Research: Space Physics*, *102*(A9), 19977–19987.
- 721 Data Book GOES, N. (2005). *Data Book, Prepared for National Aeronautics and
 722 Space Administration Goddard Space Flight Center Greenbelt, Maryland 20771.*
 723 (Tech. Rep.). Maryland 20771: CDRL PM-1-1-03, Section 5, 5–6.
- 724 Drozdov, A., Shprits, Y. Y., Usanova, M., Aseev, N., Kellerman, A., & Zhu, H.
 725 (2017). Emic wave parameterization in the long-term verb code simulation.
 726 *Journal of Geophysical Research: Space Physics*, *122*(8), 8488–8501.
- 727 Drozdov, A. Y., Kondrashov, D., Strounine, K., & Shprits, Y. Y. (2023). Re-
 728 construction of electron radiation belts using data assimilation and machine
 729 learning. *Frontiers in Astronomy and Space Sciences*, *10*, 1072795.
- 730 Evans, D., & Greer, M. (2000). Polar orbiting environmental satellite space environ-
 731 ment monitor. *2000*.
- 732 Evensen, G. (2003). The ensemble Kalman filter: Theoretical formulation and prac-
 733 tical implementation. *Ocean dynamics*, *53*(4), 343–367. doi: 10.1007/s10236-
 734 -003-0036-9
- 735 Friedel, R., Bourdarie, S., & Cayton, T. (2005). Intercalibration of magnetospheric
 736 energetic electron data. *Space Weather*, *3*(9).
- 737 Galand, M., & Evans, D. S. (2000). Radiation damage of the proton meped detector
 738 on poes (tiros/noaa) satellites. *NOAA Technical Memorandum, Boulder, Col-
 739 orado OAR 456-SEC 42*.
- 740 Godinez, H. C., & Koller, J. (2012). Localized adaptive inflation in ensemble data
 741 assimilation for a radiation belt model. *Space Weather*, *10*(8). doi: 10.1029/
 742 2012SW000767
- 743 Green, J. (2013). Meped telescope data processing algorithm theoretical basis docu-
 744 ment (tech. rep. version 1.0). *Boulder, CO: NOAA/NESDIS/NGDC*.
- 745 Hanser, F. (2011). *EPS/HEPAD calibration and data handbook (Tech. Rep. GOESN-
 746 ENG-048D)*. Carlisle, MA.
- 747 Jazwinski, A. (1970). *Stochastic processes and filtering theory*. New York: Academic
 748 Press.
- 749 JHU/APL, V.-W. (2022). *Van Allen Probes, Exploring
 750 Earth's Radiation Belts and the Extremes of Space Weather*.
 751 (<http://vanallenprobes.jhuapl.edu/Mission/index.php/> ; accessed on 2022-
 752 10-10)
- 753 Kalman, R. E. (1960). A New Approach to Linear Filtering and Prediction Prob-
 754 lems. *Transaction of the ASME Journal of Basic Engineering*, 35–45.
- 755 Koller, J., Chen, Y., Reeves, G. D., Friedel, R. H. W., Cayton, T. E., & Vrugt,
 756 J. A. (2007). Identifying the radiation belt source region by data assimi-
 757 lation. *Journal of Geophysical Research: Space Physics*, *112*(A6). doi:
 758 10.1029/2006JA012196
- 759 Kondrashov, D., Ghil, M., & Shprits, Y. (2011). Lognormal kalman filter for assimi-
 760 lating phase space density data in the radiation belts. *Space Weather*, *9*(11).
- 761 Kondrashov, D., Shprits, Y. Y., Ghil, M., & Thorne, R. (2007). A Kalman fil-
 762 ter technique to estimate relativistic electron lifetimes in the outer radia-
 763 tion belt. *Journal of Geophysical Research: Space Physics*, *112*(A10). doi:
 764 10.1029/2007JA012583

- 765 Lam, M. M., Horne, R. B., Meredith, N. P., Glauert, S. A., Moffat-Griffin, T., &
766 Green, J. C. (2010). Origin of energetic electron precipitation; 30 keV into the
767 atmosphere. *Journal of Geophysical Research: Space Physics*, *115*(A4).
- 768 Mauk, B., Fox, N. J., Kanekal, S., Kessel, R., Sibeck, D., & Ukhorskiy, A. (2012).
769 Science objectives and rationale for the Radiation Belt Storm Probes mis-
770 sion. In *The van allen probes mission* (pp. 3–27). Boston, MA: Springer. doi:
771 10.1007/978-1-4899-7433-4_2
- 772 McFadden, J. P., Evans, D. S., Kasprzak, W. T., Brace, L. H., Chornay, D. J.,
773 Coates, A. J., ... others (2007). In-flight instrument calibration and perfor-
774 mance verification. *Calibration of Particle Instruments in Space Physics*, *7*,
775 277–385.
- 776 Miyoshi, Y., Shinohara, I., Takashima, T., Asamura, K., Higashio, N., Mitani, T., ...
777 others (2018). Geospace exploration project erg. *Earth, Planets and Space*, *70*,
778 1–13.
- 779 Naehr, S. M., & Toffoletto, F. R. (2005). Radiation belt data assimilation with an
780 extended Kalman filter. *Space Weather*, *3*(6). doi: 10.1029/2004SW000121
- 781 Ni, B., Shprits, Y., Hartinger, M., Angelopoulos, V., Gu, X., & Larson, D. (2011).
782 Analysis of radiation belt energetic electron phase space density using themis
783 sst measurements: Cross-satellite calibration and a case study. *Journal of*
784 *Geophysical Research: Space Physics*, *116*(A3).
- 785 Ni, B., Shprits, Y. Y., Nagai, T., Thorne, R., Chen, Y., Kondrashov, D., & Kim,
786 H.-j. (2009). Reanalyses of the radiation belt electron phase space density
787 using nearly equatorial CRRES and polar-orbiting Akebono satellite obser-
788 vations. *Journal of Geophysical Research: Space Physics*, *114*(A5). doi:
789 10.1029/2008JA013933
- 790 Orlova, K., & Shprits, Y. Y. (2014). Model of lifetimes of the outer radiation
791 belt electrons in a realistic magnetic field using realistic chorus wave param-
792 eters. *Journal of Geophysical Research: Space Physics*, *119*(2), 770–780. doi:
793 10.1002/2013JA019596
- 794 Orlova, K., Spasojevic, M., & Shprits, Y. Y. (2014). Activity-dependent global
795 model of electron loss inside the plasmasphere. *Geophysical Research Letters*,
796 *41*(11), 3744–3751. doi: 10.1002/2014GL060100
- 797 Peck, E., Randall, C., Green, J., Rodriguez, J., & Rodger, C. (2015). Poes meped
798 differential flux retrievals and electron channel contamination correction. *Jour-
799 nal of Geophysical Research: Space Physics*, *120*(6), 4596–4612.
- 800 Reeves, G., et al. (1997). Using los alamos geosynchronous energetic particle data in
801 support of other satellite missions. *Satellite-ground based coordination source-
802 book*, *1198*, 281.
- 803 Reeves, G. D., Chen, Y., Cunningham, G. S., Friedel, R. W. H., Henderson,
804 M. G., Jordanova, V. K., ... Zaharia, S. (2012). Dynamic Radiation En-
805 vironment Assimilation Model: DREAM. *Space Weather*, *10*(3). doi:
806 10.1029/2011SW000729
- 807 Rodger, C. J., Carson, B. R., Cummer, S. A., Gamble, R. J., Clilverd, M. A.,
808 Green, J. C., ... Berthelier, J.-J. (2010). Contrasting the efficiency of ra-
809 diation belt losses caused by ducted and nonducted whistler-mode waves from
810 ground-based transmitters. *Journal of Geophysical Research: Space Physics*,
811 *115*(A12).
- 812 Rodger, C. J., Clilverd, M. A., Green, J. C., & Lam, M. M. (2010). Use of poes
813 sem-2 observations to examine radiation belt dynamics and energetic electron
814 precipitation into the atmosphere. *Journal of Geophysical Research: Space*
815 *Physics*, *115*(A4).
- 816 Rodriguez, J. (2014a). *GOES 13–15 MAGE/PD pitch angles algorithm theoretical*
817 *basis document, version 1.0*. Boulder, CO: NOAA National Geophysical Data
818 Center.
- 819 Rodriguez, J. (2014b). GOES EPEAD science-quality electron fluxes algorithm theo-

- 820 retical basis document. *NOAA Nat. Geophys. Data Center*.
- 821 Rossi, B. B., & Olbert, S. (1970). *Introduction to the physics of space*. New York,
822 NY: McGraw-Hill.
- 823 Rousseeuw, P. J., & Croux, C. (1993). Alternatives to the median absolute deviation.
824 *Journal of the American Statistical Association*, 88(424), 1273-1283.
- 825 Saikin, A. A., Drozdov, A. Y., & Malaspina, D. M. (2022). Low frequency plas-
826 maspheric hiss wave activity parameterized by plasmopause location: Models
827 and simulations. *Journal of Geophysical Research: Space Physics*, 127(9),
828 e2022JA030687.
- 829 Sauvaud, J., Moreau, T., Maggiolo, R., Treilhou, J.-P., Jacquey, C., Cros, A., ...
830 Gangloff, M. (2006). High-energy electron detection onboard demeter: The
831 idp spectrometer, description and first results on the inner belt. *Planetary and
832 Space Science*, 54(5), 502-511.
- 833 Schiller, Q., Li, X., Koller, J., Godinez, H., & Turner, D. L. (2012). A parametric
834 study of the source rate for outer radiation belt electrons using a Kalman
835 filter. *Journal of Geophysical Research: Space Physics*, 117(A9). doi:
836 10.1029/2012JA017779
- 837 Shprits, Y., Kondrashov, D., Chen, Y., Thorne, R., Ghil, M., Friedel, R., & Reeves,
838 G. (2007). Reanalysis of relativistic radiation belt electron fluxes using cr-
839 res satellite data, a radial diffusion model, and a kalman filter. *Journal of
840 Geophysical Research: Space Physics*, 112(A12).
- 841 Shprits, Y. Y., Daae, M., & Ni, B. (2012). Statistical analysis of phase space den-
842 sity buildups and dropouts. *Journal of Geophysical Research: Space Physics*,
843 117(A1). doi: 10.1029/2011JA016939
- 844 Shprits, Y. Y., Kellerman, A., Kondrashov, D., & Subbotin, D. (2013). Application
845 of a new data operator-splitting data assimilation technique to the 3-D VERB
846 diffusion code and CRRES measurements. *Geophysical Research Letters*,
847 40(19), 4998-5002. doi: 10.1002/grl.50969
- 848 Shprits, Y. Y., Kondrashov, D., Chen, Y., Thorne, R., Ghil, M., Friedel, R., &
849 Reeves, G. (2007). Reanalysis of relativistic radiation belt electron fluxes using
850 CRRES satellite data, a radial diffusion model, and a Kalman filter. *Journal of
851 Geophysical Research: Space Physics*, 112(A12). doi: 10.1029/2007JA012579
- 852 Shprits, Y. Y., Michaelis, I., Wang, D., Allison, H., Vasile, R., Runov, A., ...
853 Smirnov, A. (2023). MLT Dependence of Relativistic Electron Scatter-
854 ing Into the Drift Loss Cone: Measurements From ELFİN-L on Board
855 Lomonosov Spacecraft. *Geophysical Research Letters*, 50(12). doi:
856 10.1029/2023GL103342
- 857 Shprits, Y. Y., & Ni, B. (2009). Dependence of the quasi-linear scattering rates
858 on the wave normal distribution of chorus waves. *Journal of Geophysical Re-
859 search: Space Physics*, 114(A11). doi: 10.1029/2009JA014223
- 860 Shprits, Y. Y., Subbotin, D., & Ni, B. (2009). Evolution of electron fluxes in the
861 outer radiation belt computed with the VERB code. *Journal of Geophysical
862 Research: Space Physics*, 114(A11). doi: 10.1029/2008JA013784
- 863 Shprits, Y. Y., Subbotin, D. A., Meredith, N. P., & Elkington, S. R. (2008). Review
864 of modeling of losses and sources of relativistic electrons in the outer radiation
865 belt ii: Local acceleration and loss. *Journal of atmospheric and solar-terrestrial
866 physics*, 70(14), 1694-1713.
- 867 Sibeck, D., & Angelopoulos, V. (2008). Themis science objectives and mission
868 phases. *Space Science Reviews*, 141, 35-59.
- 869 Smirnov, A., Shprits, Y., Allison, H., Aseev, N., Drozdov, A., Kollmann, P., ...
870 Saikin, A. A. (2022). Storm-time evolution of the equatorial electron pitch
871 angle distributions in earth's outer radiation belt. *Frontiers in Astronomy and
872 Space Sciences*, 9, 836811.
- 873 Spence, H., Reeves, G., Baker, D., Blake, J., Bolton, M., Bourdarie, S., ... oth-
874 ers (2013). Science Goals and Overview of the Radiation Belt Storm Probes

- 875 (RBSP) Energetic Particle, Composition, and Thermal Plasma (ECT) Suite
 876 on NASA's Van Allen Probes Mission. In *The van allen probes mission* (pp.
 877 311–336). Boston, MA: Springer. doi: 10.1007/978-1-4899-7433-4_10
- 878 Subbotin, D., & Shprits, Y. (2009). Three-dimensional modeling of the radiation
 879 belts using the versatile electron radiation belt (verb) code. *Space Weather*,
 880 7(10).
- 881 Subbotin, D., Shprits, Y., & Ni, B. (2010). Three-dimensional verb radiation belt
 882 simulations including mixed diffusion. *Journal of Geophysical Research: Space*
 883 *Physics*, 115(A3).
- 884 Subbotin, D. A., & Shprits, Y. Y. (2009). Three-dimensional modeling of the ra-
 885 diation belts using the Versatile Electron Radiation Belt (VERB) code. *Space*
 886 *Weather*, 7(10). doi: 10.1029/2008SW000452
- 887 Szabó-Roberts, M., Shprits, Y. Y., Allison, H. J., Vasile, R., Smirnov, A. G., Aseev,
 888 N. A., ... others (2021). Preliminary statistical comparisons of spin-averaged
 889 electron data from arase and van allen probes instruments. *Journal of Geo-*
 890 *physical Research: Space Physics*, 126(7), e2020JA028929.
- 891 Thébault, E., Finlay, C. C., Beggan, C. D., Alken, P., Aubert, J., Barrois, O., ...
 892 others (2015). International geomagnetic reference field: the 12th generation.
 893 *Earth, Planets and Space*, 67, 1–19.
- 894 Tsyganenko, N. (1989). A magnetospheric magnetic field model with a warped tail
 895 current sheet. *Planetary and Space Science*, 37(1), 5 - 20. doi: 10.1016/0032
 896 -0633(89)90066-4
- 897 Wang, C., Zhang, X., Li, J., Huang, C., Zhang, X., Jing, T., ... others (2013).
 898 Cross-calibration of high energetic particles data—a case study between fy-3b
 899 and noaa-17. *Science China Technological Sciences*, 56, 2668–2674.
- 900 Wang, D., Shprits, Y. Y., Zhelavskaya, I. S., Agapitov, O. V., Drozdov, A. Y., &
 901 Aseev, N. A. (2019). Analytical chorus wave model derived from van allen
 902 probe observations. *Journal of Geophysical Research: Space Physics*, 124(2),
 903 1063–1084.
- 904 Yando, K., Millan, R. M., Green, J. C., & Evans, D. S. (2011). A monte carlo
 905 simulation of the noaa poes medium energy proton and electron detector in-
 906 strument. *Journal of Geophysical Research: Space Physics*, 116(A10).
- 907 Zhu, C., Zhang, X., Zhang, H., Li, X., Zong, W., Li, J., ... others (2022). Inter-
 908 calibration between the electron flux measurements of fengyun-3b and van
 909 allen probe-a based on electron phase space density conjunctions. *Journal of*
 910 *Geophysical Research: Space Physics*, 127(9), e2022JA030463.

1 **Supporting Information for ”Can we intercalibrate satellite**
2 **measurements by means of data assimilation? An attempt on**
3 **LEO satellites”**

Angélica M. Castillo^{1,2*}, Yuri Y. Shprits^{1,2,3}, Nikita A. Aseev¹, Artem Smirnov¹,
Alexander Drozdov³, Sebastian Cervantes⁴, Ingo Michaelis¹, Dedong Wang¹

¹GFZ German Research Centre For Geosciences, Potsdam, Germany

²University of Potsdam, Institute of Physics and Astronomy, Potsdam, Germany

³Department of Earth, Planetary and Space Sciences, University of California, Los Angeles, CA, USA

⁴ University of Cologne, Institute of Geophysics and Meteorology, Cologne, Germany

8 **Contents of this file**

- 9 1. Summarized results for metOp-02
10 2. Summarized results for NOAA-15
11 3. Summarized results for NOAA-17
12 4. Summarized results for NOAA-18
13 5. Summarized results for NOAA-19

*GFZ German Research Centre For Geosciences

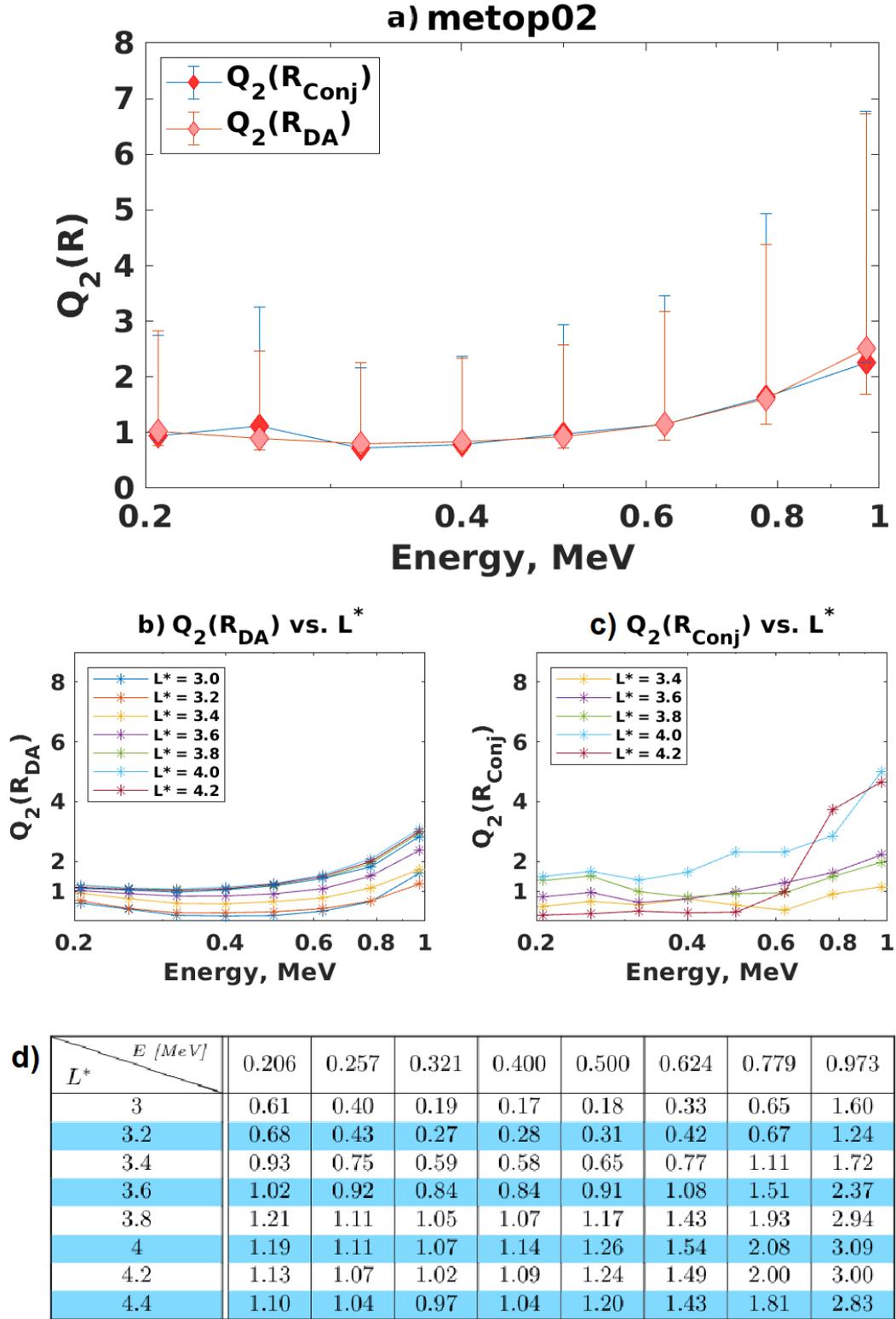


Figure S1. Summarized results for MetOp-02: a) Values of $Q_2(R_{DA})$ and $Q_2(R_{Conj})$ vs. Energy. Plotted in linear scale are the median values of R_{DA} (pink diamonds) and R_{Conj} (red diamonds) estimated for metOp-02 in dependence of the energy channel. Error bars are estimated from the corresponding MAD values and displayed for $Q_2(R_{DA})$ in pink color and for $Q_2(R_{Conj})$ in blue. b) Curves of $Q_2(R_{DA})$ in dependence of the energy channel for metOp-02, color-coded are the curves for each L^* -bin (Y-axes in linear scale). c) Curves of $Q_2(R_{Conj})$ in dependence of the energy channel for metOp-02, color-coded are the curves for each L^* -bin (Y-axes in linear scale). d) Final intercalibration coefficients ($Q_2(R_{DA})$) for estimated for metOp-02 using our new data assimilation approach. The coefficients are given in terms of energy and L^* .

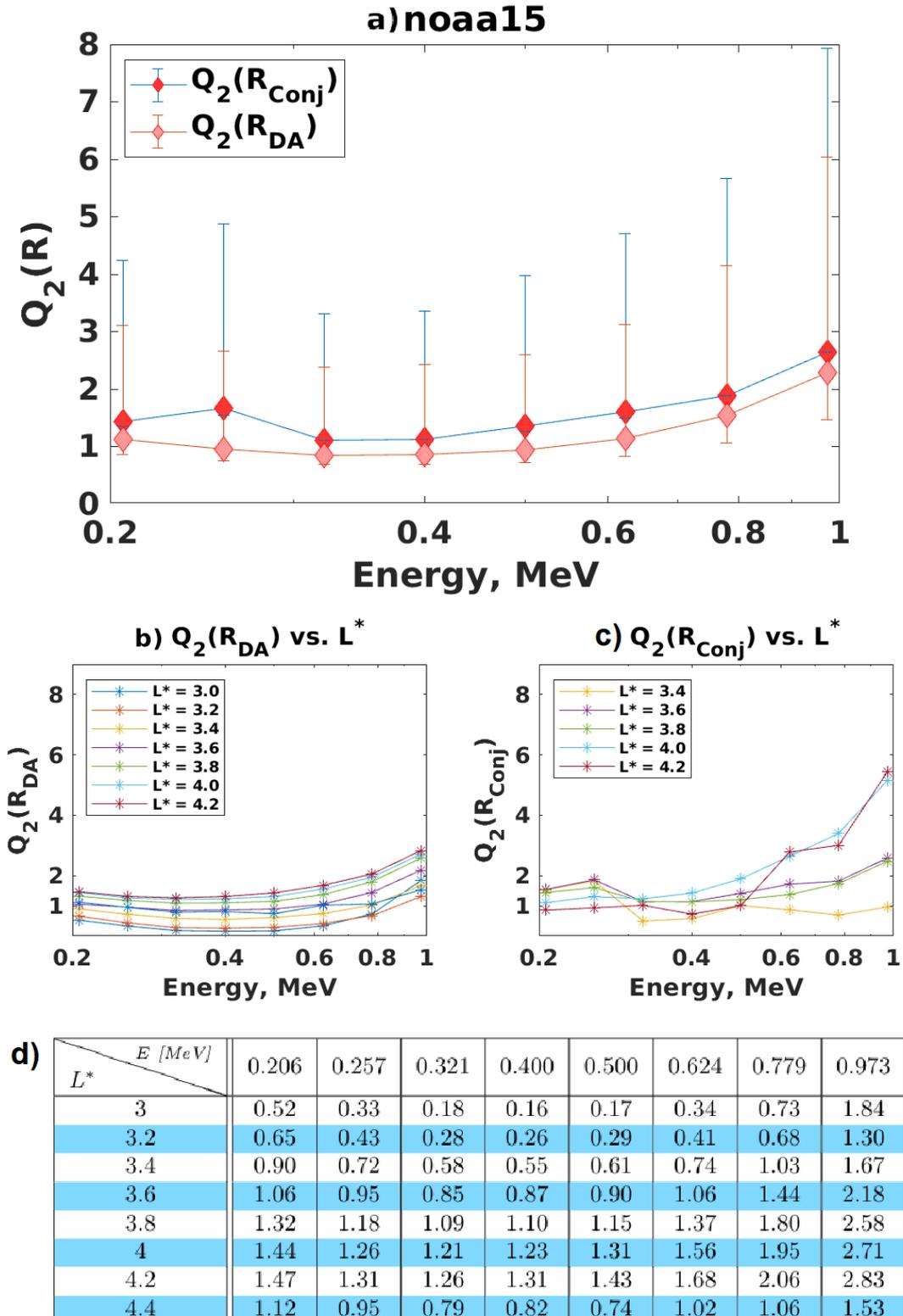


Figure S2. Summarized results for NOAA-15: a) Values of $Q_2(R_{DA})$ and $Q_2(R_{Conj})$ vs. Energy. Plotted in linear scale are the median values of R_{DA} (pink diamonds) and R_{Conj} (red diamonds) estimated for NOAA-15 in dependence of the energy channel. Error bars are estimated from the corresponding MAD values and displayed for $Q_2(R_{DA})$ in pink color and for $Q_2(R_{Conj})$ in blue. b) Curves of $Q_2(R_{DA})$ in dependence of the energy channel for NOAA-15, color-coded are the curves for each L^* -bin (Y-axis in linear scale). c) Curves of $Q_2(R_{Conj})$ in dependence of the energy channel for NOAA-15, color-coded are the curves for each L^* -bin (Y-axis in linear scale). d) Final intercalibration coefficients ($Q_2(R_{DA})$) for estimated for NOAA-15 using our new data assimilation approach. The coefficients are given in terms of energy and L^* .

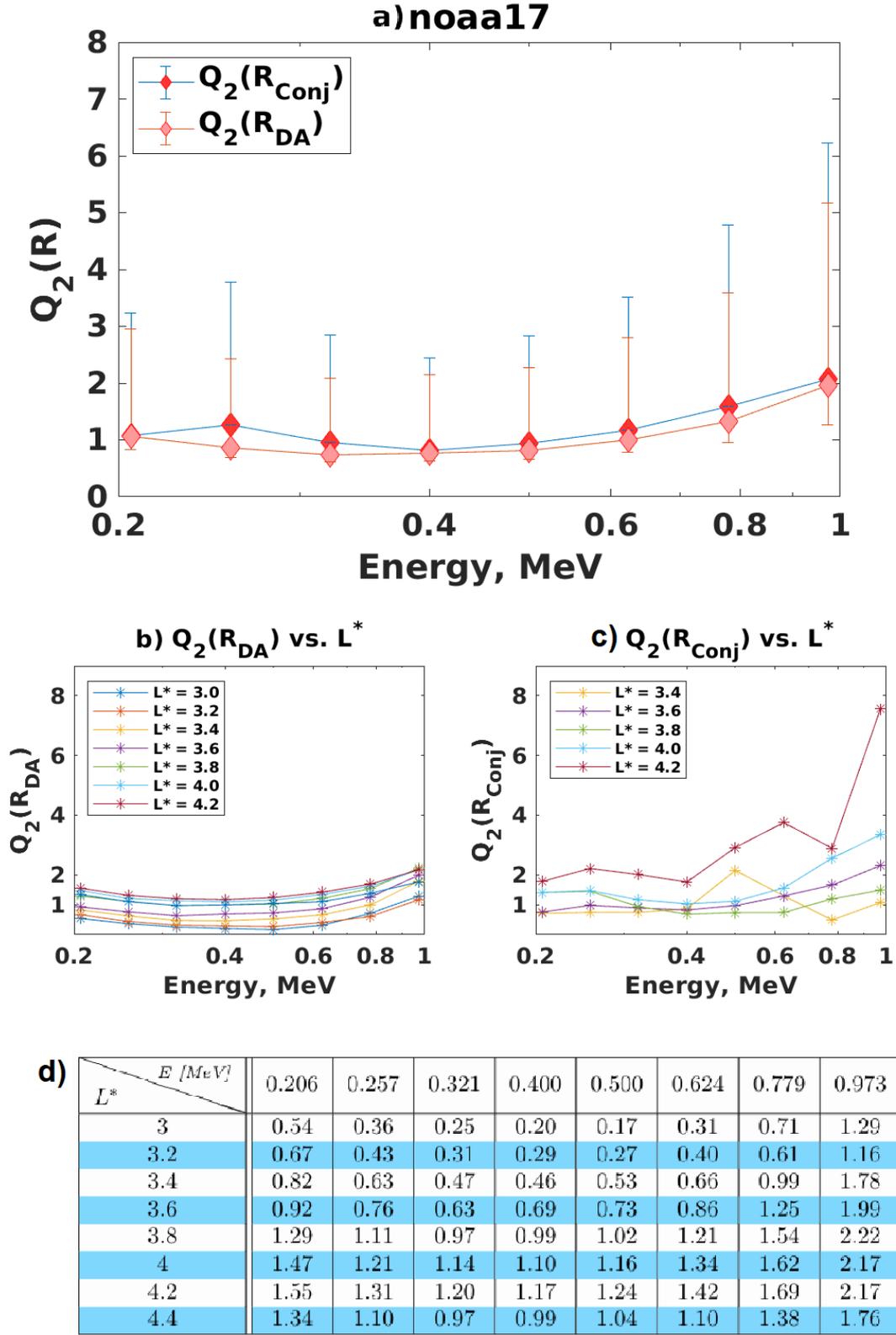


Figure S3. Summarized results for NOAA-17: a) Values of $Q_2(R_{DA})$ and $Q_2(R_{Conj})$ vs. Energy. Plotted in linear scale are the median values of R_{DA} (pink diamonds) and R_{Conj} (red diamonds) estimated for NOAA-17 in dependence of the energy channel. Error bars are estimated from the corresponding MAD values and displayed for $Q_2(R_{DA})$ in pink color and for $Q_2(R_{Conj})$ in blue. b) Curves of $Q_2(R_{DA})$ in dependence of the energy channel for NOAA-17, color-coded are the curves for each L^* -bin (Y-axes in linear scale). c) Curves of $Q_2(R_{Conj})$ in dependence of the energy channel for NOAA-17, color-coded are the curves for each L^* -bin (Y-axes in linear scale). d) Final intercalibration coefficients ($Q_2(R_{DA})$) for estimated for NOAA-17 using our new data assimilation approach. The coefficients are given in terms of energy and L^* . Due to shorter mission duration, the analysis for NOAA-17 was done for the period of October, 2012 till March, 2013.

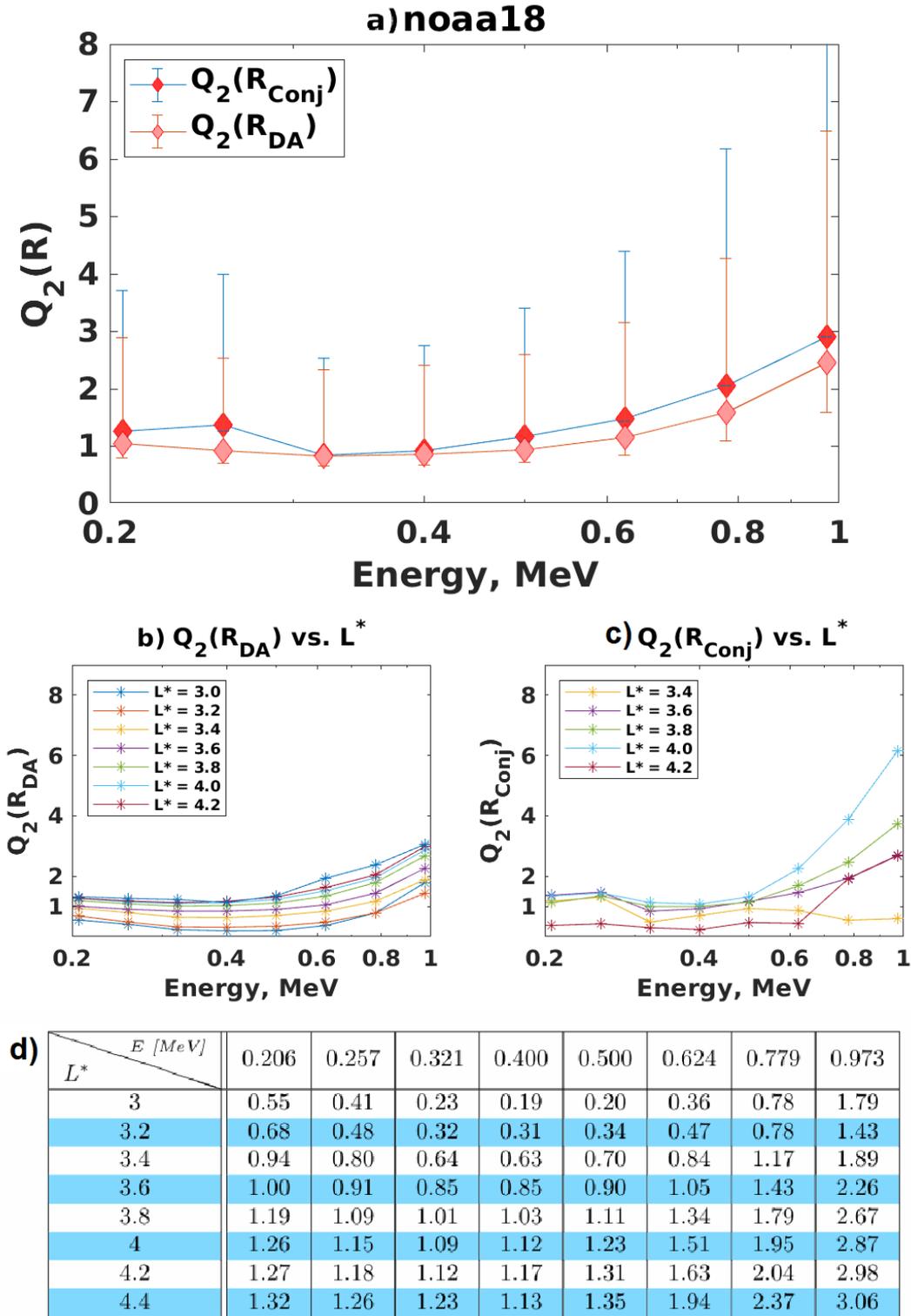


Figure S4. Summarized results for NOAA-18: a) Values of $Q_2(R_{DA})$ and $Q_2(R_{Conj})$ vs. Energy. Plotted in linear scale are the median values of R_{DA} (pink diamonds) and R_{Conj} (red diamonds) estimated for NOAA-18 in dependence of the energy channel. Error bars are estimated from the corresponding MAD values and displayed for $Q_2(R_{DA})$ in pink color and for $Q_2(R_{Conj})$ in blue. b) Curves of $Q_2(R_{DA})$ in dependence of the energy channel for NOAA-18, color-coded are the curves for each L^* -bin (Y-axes in linear scale). c) Curves of $Q_2(R_{Conj})$ in dependence of the energy channel for NOAA-18, color-coded are the curves for each L^* -bin (Y-axes in linear scale). d) Final intercalibration coefficients ($Q_2(R_{DA})$) for estimated for NOAA-18 using our new data assimilation approach. The coefficients are given in terms of energy and L^* .

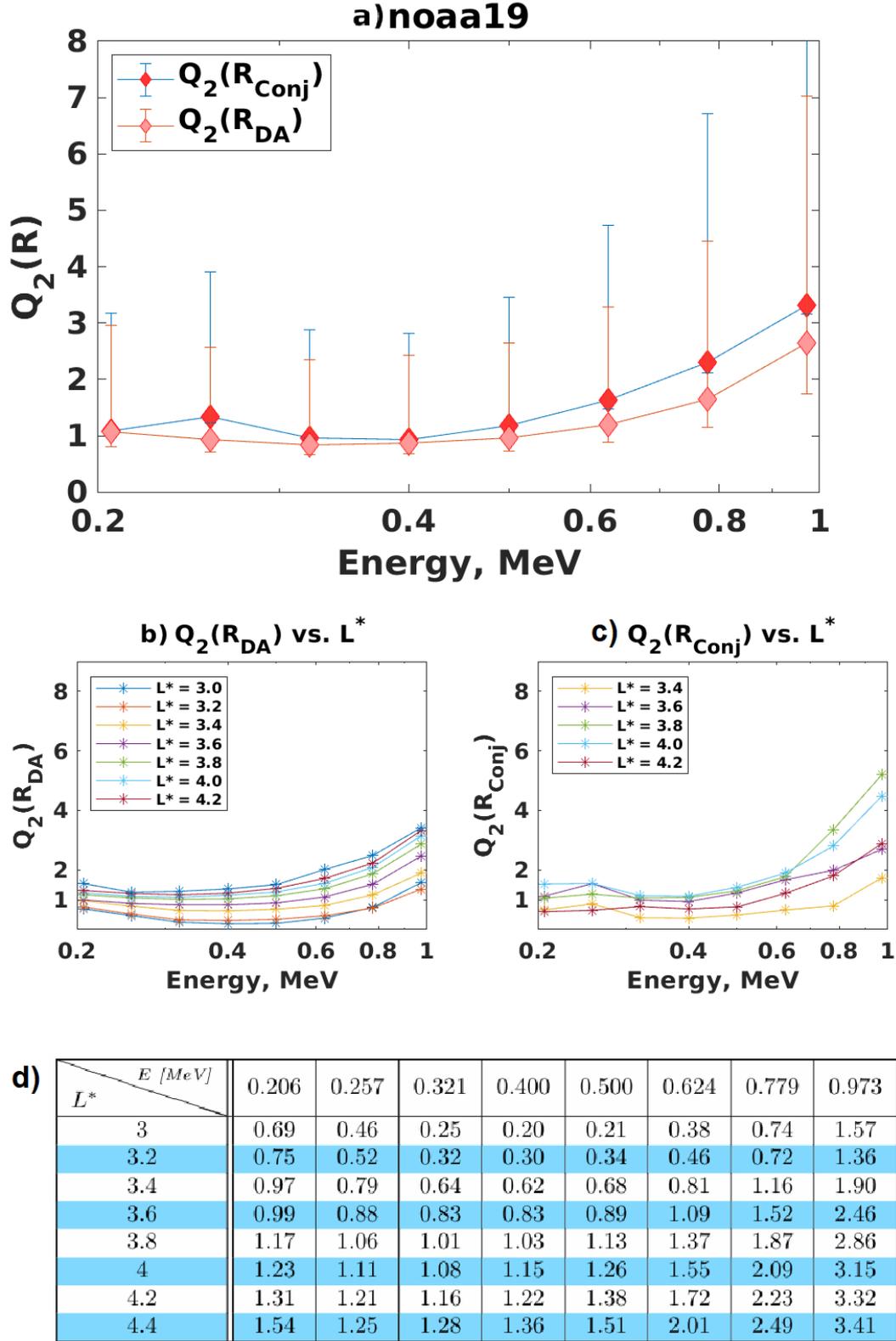


Figure S5. Summarized results for NOAA-19: a) Values of $Q_2(R_{DA})$ and $Q_2(R_{Conj})$ vs. Energy. Plotted in linear scale are the median values of R_{DA} (pink diamonds) and R_{Conj} (red diamonds) estimated for NOAA-19 in dependence of the energy channel. Error bars are estimated from the corresponding MAD values and displayed for $Q_2(R_{DA})$ in pink color and for $Q_2(R_{Conj})$ in blue. b) Curves of $Q_2(R_{DA})$ in dependence of the energy channel for NOAA-19, color-coded are the curves for each L^* -bin (Y-axes in linear scale). c) Curves of $Q_2(R_{Conj})$ in dependence of the energy channel for NOAA-19, color-coded are the curves for each L^* -bin (Y-axes in linear scale). d) Final intercalibration coefficients ($Q_2(R_{DA})$) for estimated for NOAA-19 using our new data assimilation approach. The coefficients are given in terms of energy and L^* .