

Electrostatic waves around a magnetopause reconnection diffusion region and their associations with whistler and lower-hybrid waves

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June 23, 2023

Abstract

We investigate electrostatic waves in a magnetopause reconnection diffusion region event. In the electron diffusion region on the magnetospheric side, an oblique electrostatic wave is observed. The local distribution exhibits fast non-gyrotropic electron beams with drifts comparable to the electron thermal speed, but the wave has a much lower phase speed. Response of ions and possible cold electrons may contribute to wave excitation. Near the current sheet mid-plane, parallel electron beam-mode waves are modulated by whistler waves. In the separatrix region, parallel waves associated with field-aligned electron beams and perpendicular electron cyclotron waves with loss cone distributions exhibit modulation frequencies in the lower-hybrid wave frequency range. We infer that lower-hybrid waves scatter electrons to produce beams and alter loss cones to modulate electrostatic waves. The results advance our understanding about the regimes and mechanisms of electrostatic waves in reconnection and their coupling with lower-frequency waves.

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Key points

- Oblique electrostatic waves occur with but likely not due to nongyrotropic electron beams in the magnetospheric side EDR
- Parallel electron beam-mode waves are modulated by whistler near the current sheet mid-plane, by driving beams through Landau resonance
- Electron beam-mode and cyclotron waves are modulated by lower-hybrid waves near separatrices, with beam and loss cone distributions

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25 event. In the electron diffusion region on the magnetospheric side, an oblique
26 electrostatic wave is observed. The local distribution exhibits fast non-gyrotropic
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28 a much lower phase speed. Response of ions and possible cold electrons may
29 contribute to wave excitation. Near the current sheet mid-plane, parallel electron
30 beam-mode waves are modulated by whistler waves. In the separatrix region, parallel
31 waves associated with field-aligned electron beams and perpendicular electron
32 cyclotron waves with loss cone distributions exhibit modulation frequencies in the
33 lower-hybrid wave frequency range. We infer that lower-hybrid waves scatter
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37 **Plain Language Summary**

38 Magnetic reconnection is an important energy dissipation process at the Earth's
39 dayside magnetopause. In its central region, plasmas deviate from the thermal
40 equilibrium and form structured distribution functions, which excite plasma waves.
41 We investigate high-frequency electrostatic waves in an event, where the waves are
42 associated with electron beam – plasma interaction or anisotropy of distribution
43 functions. A rarely presented case of an oblique wave is observed, and the wave
44 property is unexpected compared to local distribution features. We further find that
45 electrostatic waves are driven and modulated by lower-frequency waves, as the latter
46 alters the particle distribution functions. The results help us understand how various
47 processes couple with each other to achieve the energy dissipation.

1. Introduction

Magnetic reconnection explosively converts energies from electromagnetic fields to plasmas. Highly structured non-Maxwellian distributions are created in reconnection, which can be unstable to a variety of plasma waves that further interact with particles, so waves may be potential pathways of achieving the energy dissipation in reconnection.

Quasi-electrostatic waves at the electron Debye length (D_e) scale are ubiquitous plasma phenomena, and the responsible excitation mechanisms are often associated with beam-plasma interactions, e.g., an electron beam drifting with respect to background electrons and ions. For one-dimensional electrostatic waves in unmagnetized (or field-aligned) plasmas with multiple populations, the dispersion relation is (modified from eq. (8.4.18) in Gurnett and Bhattacharjee, 2005):

$$1 - \frac{1}{2} \sum_s \frac{\omega_{ps}^2}{k^2 v_{ts}^2} Z'(\zeta^s) = 0 \quad (1)$$

where for each species s , ω_{ps} is the plasma frequency, $v_{ts} = \sqrt{2T_s/m_s}$ is the thermal speed, Z' is the derivative of the plasma dispersion function, $\zeta^s = (\omega - kV_s)/(kv_{ts})$, and V_s is the bulk velocity. For populations with $|\zeta^s| \gg 1$, the contribution to eq. (1) is of the cold beam type $\sim \frac{\omega_{ps}^2}{(\omega - kV_s)^2}$ or with thermal corrections such as in Langmuir waves $\sim \frac{\omega_{ps}^2}{(\omega - kV_s)^2} \left[1 + \frac{3k^2 v_{ts}^2}{2(\omega - kV_s)^2} \right]$. For $|\zeta^s| \ll 1$, the term is $\sim \frac{\omega_{ps}^2}{3k^2 v_{ts}^2}$, such as for hot electrons in ion/electron acoustic waves. The Langmuir wave has $\omega \geq \omega_{pe}$, and it tends to be the dominant mode if the beam is weak and suprathermal (Omura et al., 1996; Lu et al., 2005; An et al., 2019). The beam or

acoustic modes often have $\omega < \omega_{pe}$, though occasions exist in the Earth's magnetosphere regime where $\omega > \omega_{pe}$ (Fuselier et al., 1985). When the electron beam speed is comparable to the background electron thermal speed ($V_{beam} \sim v_{te,bg}$), it tends to excite instabilities through electron-electron interactions, and the resulting wave has high frequencies ($\omega \gg \omega_{pi}$) and high phase speeds (V_{ph}) comparable to $v_{te,bg}$; a slow electron beam ($V_{beam} \ll v_{te,bg}$) tends to excite waves through electron-ion interactions, and the resulting Buneman-like or ion acoustic-like waves have low frequencies ($\omega \lesssim \omega_{pi}$) and low V_{ph} (Norgren et al., 2015; Graham et al., 2016). Such quasi-parallel waves are commonly observed in reconnection regions (e.g., Khotyaintsev et al., 2019 and references therein), which may trap particles and thermalize distributions (e.g., Khotyaintsev et al., 2020). For quasi-perpendicular waves, non-gyrotropic electron distributions in the electron diffusion region (EDR) may excite upper hybrid waves (e.g., Graham et al., 2017; Burch et al., 2019) and electron Bernstein waves (e.g., Li et al., 2020), which may alter the distributions, pressure and potentially the reconnection electric field (Dokgo et al., 2020a, b; Li et al., 2020, 2021).

Waves at higher frequencies may be modulated by those at lower-frequencies, a way to cause energy transfer across scales. For example, inside the magnetosphere, ultra-low-frequency waves may modulate electromagnetic-ion-cyclotron waves (e.g., Liu et al., 2022), whistler and electron cyclotron harmonic (ECH) waves (e.g., Zhang et al., 2019); kinetic Alfvén waves may modulate time domain structures around

injection fronts (An et al., 2021). Whistler waves with oblique propagations may produce parallel beams that drive Langmuir or electron acoustic waves (An et al., 2019), observed in magnetopause reconnection (Li et al., 2018) as well as other environments like the radiation belt (Li et al., 2017) and foreshock (Wang et al., 2020).

During magnetopause reconnection, electrostatic waves are commonly observed and they are capable of penetrating to the central EDR region, e.g., statistical results by Wilder et al (2019). However, there still lacks a systematic picture about what regimes of electrostatic waves are applicable in each reconnection sub-region, and whether and how they are coupled with other waves. Using burst-mode measurements of the Magnetosphere Multiscale (MMS) mission (detailed data descriptions in the Supplementary Information), we find rich electrostatic waves around an EDR event at magnetopause. We analyze the wave properties and corresponding plasma conditions, trying to advance the comprehension of the questions above.

2. Observations

2.1 Overview of the context and waves in the event

The event was on 26 February, 2018 (Figure 1). MMS crossed the magnetopause reconnection current sheet with B_L reversals (Figure 1b), where $B_L > 0$ indicates the magnetospheric side. The LMN coordinate is determined by MVA during 09:52:04.4-09:52:07.1 UT, where $L = [-0.3561, -0.2697, 0.8946]$, $M = [-0.2353, -0.9007,$

-0.3652], $N=[0.9043, -0.3406, 0.2593]$ GSM. Overall the spacecraft was in a reconnection exhaust with large $V_{iL}>0$ (Figure 1c) and dense magnetosheath-origin electrons dominate the spectrogram (Figure 1a). Around 09:52:08 UT, a strong positive V_{eM} (Figure 1d) is observed. The associated V_{eL} reversal indicates a possible crossing from the +L to -L sides of an X-line. As shown later, MMS1 observed non-gyrotropic electron beams with $v_{||}<0$ at 08:52:08.1 UT (Figure 2i) while MMS3 observed intense electron beams with $v_{||}>0$ around 09:52:08.5 - 09:52:08.7 UT (Figure 4g), further supporting the encounter of an EDR (embedded in a primary reconnection outflow) with a break of the magnetic field topology and electron demagnetization.

The event has rich wave activities as seen in the FFT power spectra of electric (Figure 1e) and magnetic fields (Figure 1g). Lower-hybrid waves (LHWs) are observed with electromagnetic fluctuations mainly below the lower-hybrid frequency (f_{lh}), with occasions extending much above f_{lh} . The wave power is strongest where B_L has large positive values and V_{eM} is strong, indicating the close vicinity to the density gradient near the magnetospheric separatrix/boundary of the EDR current sheet. LHWs are possibly also excited near the magnetosheath side separatrix with large negative B_L , and the wave fields penetrate to the current sheet mid-plane with small $|B_L|$, where the wave is weaker (e.g., around 09:51:30 and 09:52:30 UT). In this study, we refer to whistler waves as the narrow-band enhancement slightly below $f_{ce}/2$ (marked in Figure 1g) close to the current sheet mid-plane.

Our focus is the electrostatic wave mainly above $f_{ce}/2$ with the power enhancements marked by a purple oval. On the magnetospheric side ($B_L > 0$, e.g., 09:52:10-09:52:30 UT), quasi-parallel waves dominate with $|E_{||}|^2/|E|^2$ close to unity (Figure 1f). On the magnetosheath side ($B_L < 0$, e.g., 09:51:40-09:52:05 UT), bands of perpendicular waves ($|E_{||}|^2/|E|^2 \sim 0$) are present slightly above f_{ce} , co-existing with parallel waves.

2.2 An isolated oblique wave packet in the EDR

We first zoom in to look at waves in the EDR (Figure 2). Appreciable wave enhancements occur around the ion plasma frequency (f_{pi}) mainly in $E_{||}$ (Figures 2c-2e). One encounter of oblique waves stands out (marked in Figures 2c-2d) with comparable $E_{||}$ and $E_{\perp}$, indicated by the greenish color in $|E_{||}|^2/|E|^2$ (Figure 2e) and the field-aligned coordinate (FAC) waveform (Figure 2f) that further indicates a linear polarization. Based on the 1D FFT spectrum of fields between dashed vertical lines in Figure 2f, the strong wave power expands at $1 \sim 15 f_{ce}$ ($f_{ce} = 313$ Hz) or $0.4 \sim 5.9 f_{pi}$ ($f_{pi} = 802$ Hz, marked in Figure 2g). The wave propagation direction is determined to be $\hat{\mathbf{k}} = [-0.8464, 0.3464, 0.4000]LMN$ by the maximum variance direction of electric fields above f_{ce} , $\theta_{kB} = 125^\circ$. The wave number is estimated by the wavelet coherence analysis of probe-to-satellite potentials at a pair of the spin-plane probes (Graham et al., 2016), oriented 44° from $\mathbf{k}$. The resulting dispersion relation is shown as dots in Figure 2h, where colors represent the wave power. k is mainly at $0.01 \sim 0.02$ m^{-1} , corresponding to $kD_e = 0.17 \sim 0.34$, where $D_e \sim 17$ m. The presented frequencies have been down-shifted to the ion-rest-frame by a small amount of 50-100 Hz. V_{ph} for

individual frequency channels are in the range of 200-1000 km/s, and the solid magenta line in Figure 2h is a linear fit of $f \cdot k$ that requires crossing the origin, which gives a representative $V_{ph}=662$ km/s. Thus, V_{ph} is mostly greater than the $v_{ti} \sim 330$ km/s and much smaller than $v_{te} \sim 5370$ km/s (82eV).

The electron distribution partly sampled in the wave interval (09:52:08.088-09:52:08.118 UT) exhibits a non-gyrotropic population (asymmetric along $v_{\perp 1}$ (bulk $V_{e\perp}$ direction)) with a $v_{\parallel} < 0$ drift. It indicates a location around the magnetospheric boundary of the EDR current layer where magnetosheath-origin electrons are not fully magnetized and move away from the X-line (e.g., Burch et al., 2016; Chen et al., 2016a). Additional background electrons exist, and the extension to large $v_{\parallel} > 0$ is consistent with electrons from the magnetospheric inflow region with parallel heating (e.g., Le et al., 2017; Wang et al., 2017). The non-gyrotropic electrons provide a possible energy source of exciting electrostatic waves. However, we find that the bulk $V_{e\perp}$ is almost perpendicular to $\mathbf{k}_{\perp}$ with an angle of 74° , inconsistent with the expectation that $\mathbf{k}_{\perp}$ should be aligned with $V_{e\perp}$.

In order to further test whether the local distribution with non-gyrotropic electron beams can excite the observed waves, we model the distribution and apply a linear instability analysis using the dispersion solver ‘BO’ (Xie, 2019). The electron distribution is modeled with 5 populations shown in Figure 2j (velocity ranges used for fitting individual populations are marked in Figure 2i, details in the

Supplementary Information). Populations 1&2 may be treated as a background, and populations 3-5 together form a non-gyrotropic beam. Calculating the partial moments, the ratio of relative drift ($v_{d,12}$) between the background (1&2) and the beam (3-5) to the parallel thermal speed of the background ($v_{t,345}$) is 1.12 (greater than unity), and the relative drift is 159° from the magnetic field direction. Ions are approximately at rest, and $\omega_{pe}/\omega_{ce} = 80$. The linear instability analysis predicts a maximum growth rate at $\theta_{kB} = 155^\circ$, along which positive growth occurs at $kD_e = 0.15 - 1.30$, $\omega_r/\omega_{pi} = 3.5 - 38.0$ (solid curves in Figure 2k). The maximum growth is at $kD_e = 0.62$, $\omega_r/\omega_{pi} = 28$, with $V_{ph} = 1.5v_{t,345}$ close to the beam drift speed. The high frequency and V_{ph} demonstrate that the instability is mainly associated with the electron-electron interactions.

We tentatively conclude that the observed wave is not directly related to the free energy provided by the local fast non-gyrotropic electron beam. The predicted electron beam/acoustic instability is roughly along the beam direction, at frequencies much higher than f_{pi} and V_{ph} comparable to v_{te} . In contrast, the observed wave is only up to a few f_{pi} with a rather small V_{ph} , and $\mathbf{k}_\perp$ is almost perpendicular to $\mathbf{V}_{e\perp}$.

The source of the observed wave is inconclusive, but it has a couple of indications. The measurement of low-energy electrons near V_{ph} of a few hundred km/s is missing; however, MMS observed cold ions in the magnetospheric inflow region about 20 min later (not shown). These cold ions and electrons may enter the reconnection region,

which may excite ion/electron acoustic waves (e.g., Ergun et al., 2016). In fact, V_{ph} is close to the acoustic speed $c_s = \sqrt{(3T_i + T_e)/m_i} \sim 624 \text{ km/s}$.

2.3 Parallel electron beam mode waves in whistler

A series of electrostatic waves are present and modulated in the whistler wave in the vicinity of the current sheet mid-plane ($B_L \sim 0$, Figure 3). Whistler occurs at slightly below $f_{ce}/2$ (Figure 3c), associated with the perpendicular anisotropy of energized magnetosheath electrons (seen in Figure 3j) slightly downstream of the central EDR (Wang et al., 2022). We select whistler waves as bins in FFT spectrograms that have magnetic field powers >10 times of the background noise level, degree of polarization > 0.7 , and ellipticity > 0.5 (using the spectral analysis (Samson and Olson, 1980)). The parallel Poynting flux for the identified whistler wave is positive (Figure 3d), indicating a propagation away from the mid-plane toward the magnetosheath side. Enhancements of high-frequency electrostatic wave powers (Figures 3b-3c) lie in $f_{pi} < f < f_{pe}$, with the peak power sometimes slightly below f_{pe} such as around 09:52:09.15-09:52:09.40 UT and sometimes just above f_{pi} such as around 09:52:09.6 UT (the peak power $\sim 3000 \text{ Hz}$ is well resolved). The zoom-in plots (Figures 3g-3i) show that the electrostatic waves are mainly along $E_{||}$ and the occurrence is clearly modulated by whistler. For example, eight wave packets occur during an interval of 0.05s from 09:52:09.32 to 09:52:09.37 UT, corresponding to a modulation frequency of 160 Hz, equal to the whistler frequency. Figure 3i further shows that the electrostatic wave occurs at the negative $E_{||}$ phase of whistler, a feature consistent with the secondary wave produced by an oblique whistler with $V_{ph||} > 0$ (e.g., Li et al.,

2018; An et al., 2019). Electrons with velocities close to $V_{ph||}$ can be trapped by whistler through nonlinear Landau resonance. The trapped population gets accelerated toward larger $v_{||}$ during the negative $E_{||}$ phase, forming a beam to trigger secondary instabilities.

The above scenario is more quantitatively supported by wave properties and electron distribution features. For selected whistler bins in Figure 3d, we estimate $V_{ph||}$ using $\frac{|E|}{|B|\cos\theta_{kB}}$ (magenta dots in Figure 3e) with the median values at each time shown with a black curve, where the calculated θ_{kB} is around 30° . The values are 3000-5000 km/s, slightly larger than those from the cold plasma dispersion relation ($V_{ph||} = \sqrt{\frac{\omega(\Omega_{ce}\cos\theta_{kB}-\omega)}{\omega_{pe}^2}}$) of 2000-2500 km/s (blue diamonds). The electron $v_{||}$ spectrogram (Figure 3f) exhibits holes between background and beams around the estimated median values of $V_{ph||}$ at 09:52:09.2-09:52:09.4 UT, also seen in the 2D distribution (Figure 3j). Beam speeds oscillate, which indicates possible modulations by whistler, though data resolution is not sufficient to fully resolve the whistler-frequency signature.

In the magnetopause reconnection context, electrostatic waves driven and modulated by whistler have been reported (Li et al., 2018). They mainly discussed an event in the magnetospheric separatrix region, where whistler originates from anisotropy of hot magnetospheric electrons. The $V_{ph||}$ is around 2×10^4 km/s above local v_{te} , such that the dominant secondary electrostatic wave is Langmuir wave (An et al., 2019). Our

event, as well as an event mentioned in Li et al. (2018), occurs in the vicinity of the current sheet mid-plane, where whistler arises from the anisotropy of energized magnetosheath-origin electrons in the reconnection exhaust. The corresponding $V_{ph||}$ (a few thousand km/s) is comparable to v_{te} , which theoretically excites electron beam/acoustic mode waves at a fraction of f_{pe} (An et al., 2019). Li et al. (2018) observed waves slightly below f_{pe} ; our event has occasions of waves slightly below f_{pe} and also at lower frequencies just above f_{pi} .

2.4 Electron beam modes and cyclotron waves in LHWs

Modulations of electrostatic waves are also found inside LHWs. Figure 4 (left) shows MMS3 observations in the magnetospheric side separatrix region around the density gradient (Figure 4a). LHWs are present mainly below f_{lh} , and extend to higher frequencies in the most intense intervals near 09:52:07 UT (Figures 4e and 4f). We find that separate high-frequency electrostatic waves mainly along $E_{||}$ are present, seen in the waveform that co-exist with $E_{\perp}$ of LHWs (Figure 4c). Their power spectrum is extracted by plotting $|E_{||}|^2 - |E_{\perp}|^2$ (Figure 4d), mainly at $f_{pi} < f < f_{pe}$. The electron $v_{||}$ spectrogram (Figure 4g) exhibits beams. Persistent intense beams at $v_{||} > 0$ like those around 09:52:06.7-09:52:07.0 UT, with an example distribution in Figure 4h, are possible magnetosheath electrons moving away from the X-line as the outflow. Later in the lower-density region, the less intense beams repeatedly change directions, also seen in 2D distributions (Figures 4i-4k).

It has been reported that large-amplitude $E_{\parallel}$ exist in LHWs (e.g., Ergun et al., 2019). We further analyze that high-frequency $E_{\parallel}$ waves may be modulated by LHWs, readily suggested by wave power enhancements that are discrete in time. The recurrence frequency is quantified with the waveform filtered at 0.3-33 kHz during 09:52:06.7-09:52:07.1UT inside the most intense LHWs (Figure 4h). $E_{\parallel}$ wave packets are present with amplitudes up to ~ 60 mV/m. We identify the envelopes of the wave packets (black curve) and extract their maxima (black dots, required to be >2 mV/m). The reciprocal of intervals between the adjacent maxima are calculated to estimate the recurrence frequency. With 40 identified maxima, the median recurrence frequency is 131 Hz, and the 25% and 75% quartiles are 73 Hz and 164 Hz, respectively. The values well lie in the range of the high-frequency part of LHWs, indicating modulations of $E_{\parallel}$ waves by LHWs. LHWs typically have quasi-perpendicular propagations with non-zero $k_{\parallel}$, and the associated $E_{\parallel}$ may modulate and resonate with electrons (e.g., Cairns and McMillan, 2005; Graham et al., 2019; Wang et al., 2021; Ng et al., 2023). Therefore, LHWs may modify the distribution near their $V_{ph\parallel}$ and excite secondary parallel waves, in a similar way with whistlers. In addition, LHWs produce diffusion for plasmas across boundaries (e.g., Price et al., 2017; Le et al., 2017; Graham et al., 2022), where field-aligned beams can be produced (Le et al., 2018). We infer that electron beams with positive/negative $v_{\parallel}$ (not necessarily near $V_{ph\parallel}$ of LHWs) may be generated during the diffusion process, and the beams excite electrostatic waves.

LHW-modulated high-frequency waves are also observed in the magnetosheath separatrix region with large $B_L < 0$ (Figure 4, right). Waveforms show high-frequency waves (Figure 4m) in the midst of LHWs (dominant fluctuations in Figure 4n). LHWs are mainly below $f_{lh} \sim 25$ Hz (Figure 4q), while high-frequency waves are mainly above f_{ce} (Figure 4o-4p). High-frequency $E_{\perp}$ waves exhibit harmonic features, possibly ECH waves. ECHs have been reported in the magnetosheath separatrix region (Zhou et al., 2016). The FFT spectra of electric and magnetic fields for an example interval between vertical dashed lines are shown in Figures 4s-4t. The harmonics are right at integers of f_{ce} up to $6f_{ce}$ in electric fields, and one peak can be seen in magnetic fields at $1f_{ce}$, indicating a weak electromagnetic component. Additional broadband $E_{\parallel}$ waves are present at higher frequencies than ECH and below f_{pe} . The electron distribution (Figure 4u) that covers the interval of this wave burst shows a loss cone feature (or perpendicular anisotropy) at $v_{\parallel} > 0$. The spacecraft was at +L side of the X-line at this time, so energetic electrons moving away from the X-line are at $v_{\parallel} < 0$, causing the asymmetry between field-aligned directions (Fuselier et al., 2013; Chen et al., 2016b). ECHs are likely excited by loss cone distributions.

ECH wave powers are modulated (Figure 4o): seven bursts show up during ~ 0.5 s at 09:52:03.02-09:52:03.52 UT, corresponding to a modulation frequency of 14 Hz in the LHW range. We further calculate the LHW potential in Figure 4r (method of Norgren et al. (2012)), which shows that ECH enhancements (marked by vertical dotted lines) tend to occur at the slopes of the potential, corresponding to peak wave electric fields

possibly driving electron vortices in LHWs (e.g., Ergun et al., 2019; Chen et al., 2020). These gyro-scale potential structures may inflate/compress electron distributions and generate non-gyrotropic features (e.g., Chen et al., 2020; Wang et al., 2021). We infer that the LHW structures modify the loss cone electron distributions in the magnetosheath separatrix region, possibly changing the phase-space gradient of distributions, and modulate ECHs.

3. Summary and Discussions

Based on MMS observations of one magnetopause reconnection diffusion region crossing, we identify widely presented electrostatic waves in different sub-regions. We analyze the wave and plasma properties, and find their associations with lower-frequency waves. The findings are summarized in Figure 1h.

(1) Inside the EDR on the magnetospheric side of the mid-plane, isolated waves are observed, and one particular wave packet is highly oblique. The wave extends from a fraction of to a few f_{pi} , with a low V_{ph} much smaller than the electron thermal speed. Oblique electrostatic waves have been rarely discussed. Zhong et al. (2021) showed one example downstream of the EDR within the ion diffusion region (illustrated with a magenta word in Figure 1h). The diffusion region provides a special environment of unmagnetized plasmas, which should be critical for the presence of such oblique waves. In both Zhong et al. (2021) and the present case, V_{ph} are low. It indicates that ions and/or cold ionosphere/plasmaspheric electrons may play a role for the wave excitation, and

the mechanism needs to be further understood.

The electron distribution accompanied with the wave has a fast non-gyrotropic beam, expected to excite beam-mode waves at higher frequencies. It requires future work to understand whether and how such typical EDR electron distributions affect electrostatic instabilities.

(2) Parallel electron beam mode waves driven and modulated by whistler are observed in the vicinity of the mid-plane. Adding to Li et al. (2018), with their finding about the Langmuir wave in whistler near the magnetospheric separatrix (included in Figure 1h), we further complete the regimes of whistler and the associated secondary waves in the context of magnetopause reconnection.

(3) Analogous to the idea for whistler, we infer that LHWs can also modulate high-frequency waves. In LHWs near both magnetospheric and magnetosheath side separatrices, high-frequency wave powers are periodically enhanced with recurrence frequencies in the range of the LHW frequency. On the magnetospheric side, field-aligned electron beams that change directions over time are observed. We infer that LHWs may periodically scatter and produce these beams as they diffuse the density gradient, and the beams excite electron beam mode waves. On the magnetosheath side, ECHs tend to occur at the slopes of LHW potentials. We infer that LHWs modify the loss cone distribution in the separatrix region and modulate ECHs. The exact dynamics about LHW modulations still acquire a better understanding.

356

357 This event helps us step forward on building a map of waves in reconnection regions,
358 learning about the applicable wave regimes and understanding the coupling of
359 different processes in reconnection. We expect that more systematic and statistical
360 studies of electrostatic waves in reconnection will help consolidate our understanding
361 and solve the open questions.

362

363 **Acknowledgements**

364 SW thanks Dr. Hua-Sheng Xie for helpful discussions on linear instability analyses
365 and thanks Dr. Chao Yue for suggestions on paper presentations. Research at PKU is
366 supported by “The Fundamental Research Funds for the Central Universities, Peking
367 University” No. 7100604293.

368

369 **Data Availability**

370 MMS data are available at <https://lasp.colorado.edu/mms/sdc/public/>. One can go to
371 the tab of ‘About the Data’, ‘Browse the SDC’, and select data for specific satellites,
372 e.g., ‘mms1’.

373

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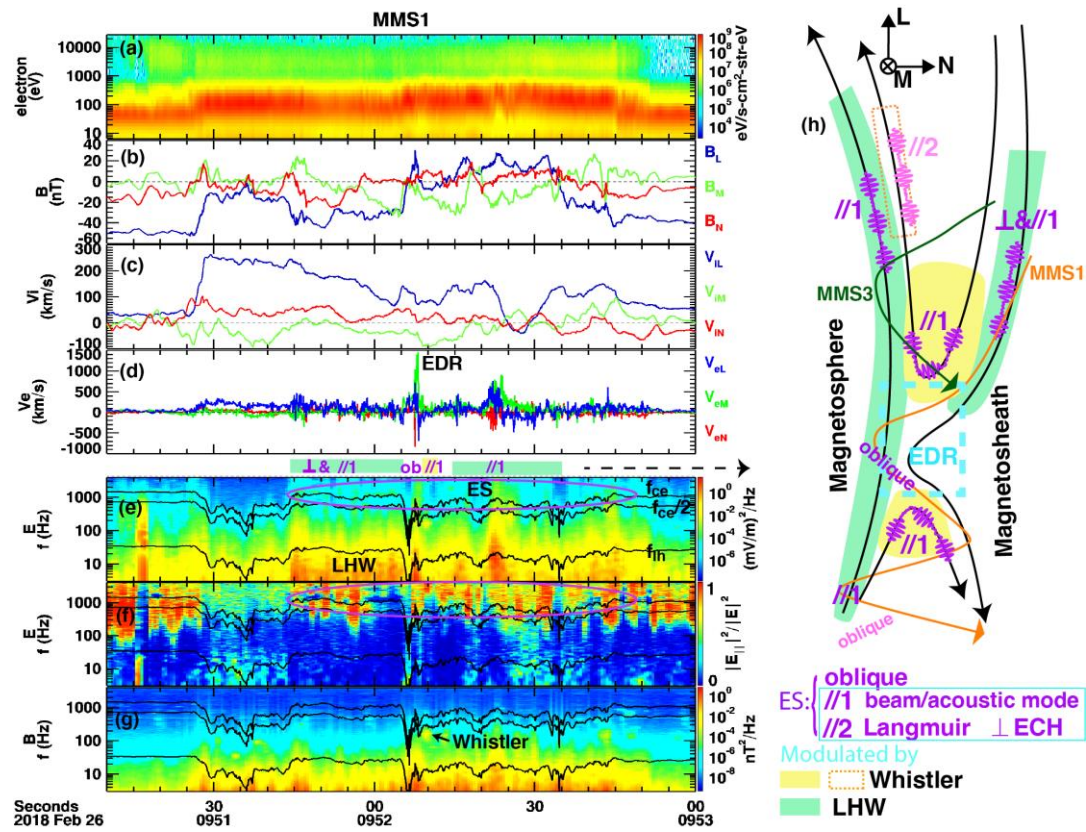


Figure 1. Overview of the event. (a) electron spectrogram; (b) B ; (c) V_i ; (d) V_e ; (e) E power spectrum; (f) $|E_{||}|^2/|E|^2$; (g) B power spectrum. (h) Illustrative summary of electrostatic waves in magnetopause reconnection. Repeated wave packets indicate modulations electrostatic waves by whistler or LHWs.

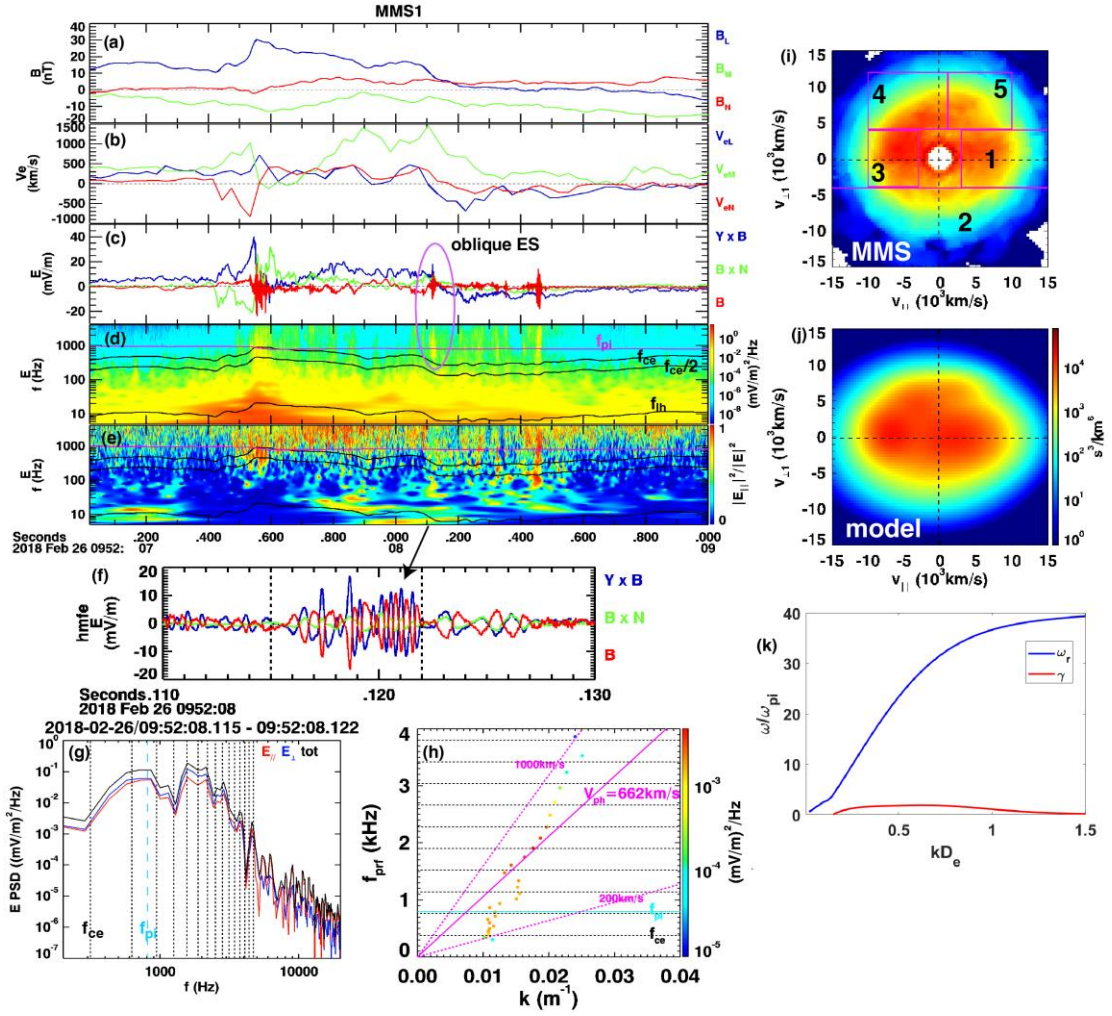


Figure 2. An isolated oblique wave in the EDR. (a) $\mathbf{B}$; (b) electron velocity showing large V_{eM} and V_{eL} reversal; (c) $\mathbf{E}$ in FAC; (d) $\mathbf{E}$ power spectrum; (e) $|E_{\parallel}|^2/|E|^2$; (f) electric field waveform of the oblique wave; (g) FFT spectrum of the oblique wave; (h) deduced dispersion relation of the observed wave; (i)-(j) observed and modeled electron distribution at the wave; (k) dispersion relations for the instability analysis of the model distribution, which shows much higher frequencies than the observed wave.

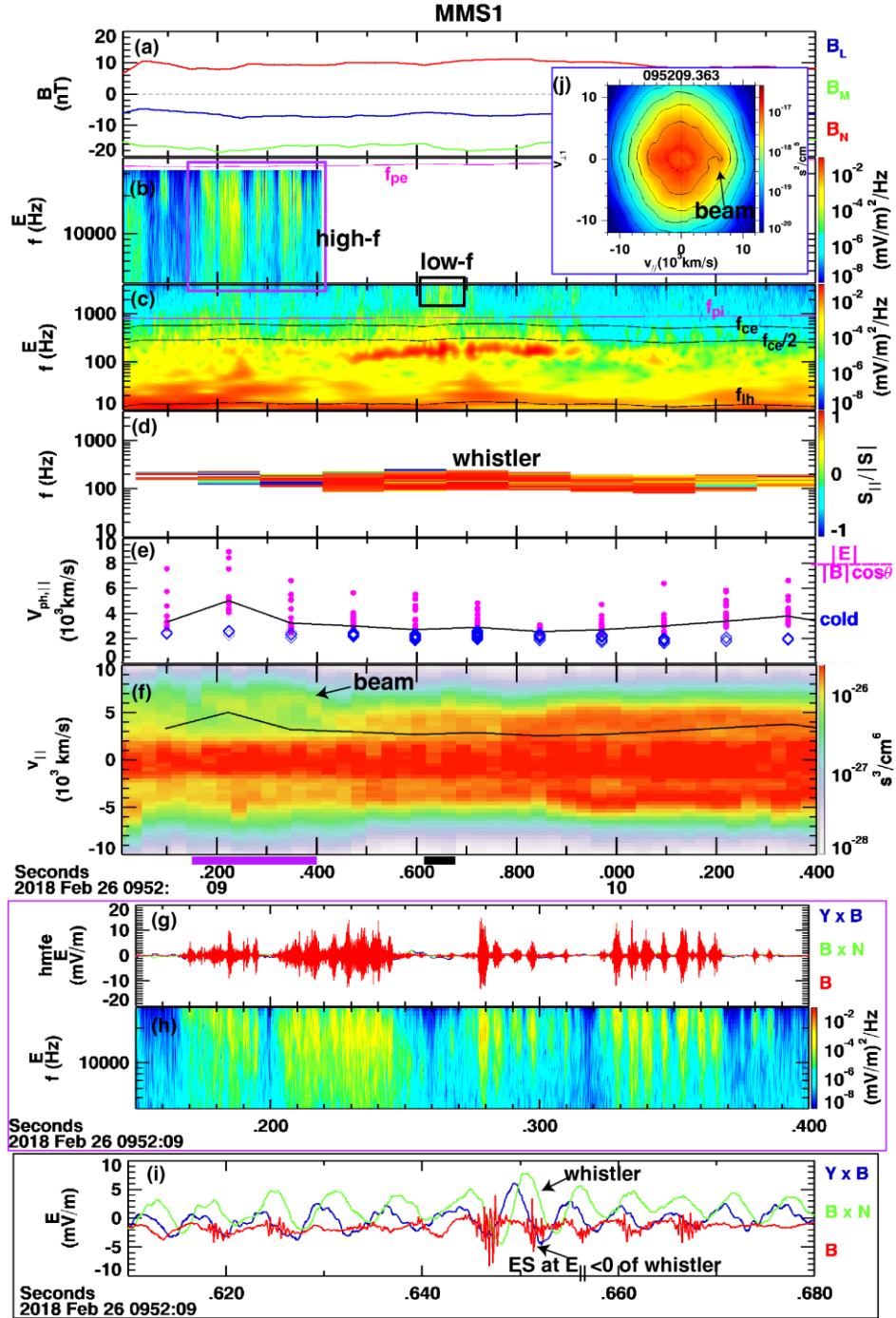


Figure 3. Parallel electrostatic waves (beam/acoustic mode below f_{pe}) modulated by whistler waves near the current sheet mid-plane. (a) B ; (b)-(c) E power spectrum, showing whistler slightly below $f_{ce}/2$ and electrostatic waves at $f_{pi} < f < f_{pe}$. (d) parallel Poynting flux for selected whistler wave bins; (e) estimated parallel phase speed ($V_{ph||}$) of whistler, magenta: $|E|/(|B|\cos\theta_{kB})$, black: median of magenta dots, blue: theoretical values in the cold plasma limit. (f) electron $v_{||}$ spectrogram, showing holes near the whistler $V_{ph||}$ (black curve), also seen in the 2D distribution in (j). (g)-(h) example waveform and power spectrum of modulated electrostatic waves slightly below f_{pe} . (i) example waveform showing electrostatic waves slightly above f_{pi} occurring at the negative $E_{||}$ phase of whistler.

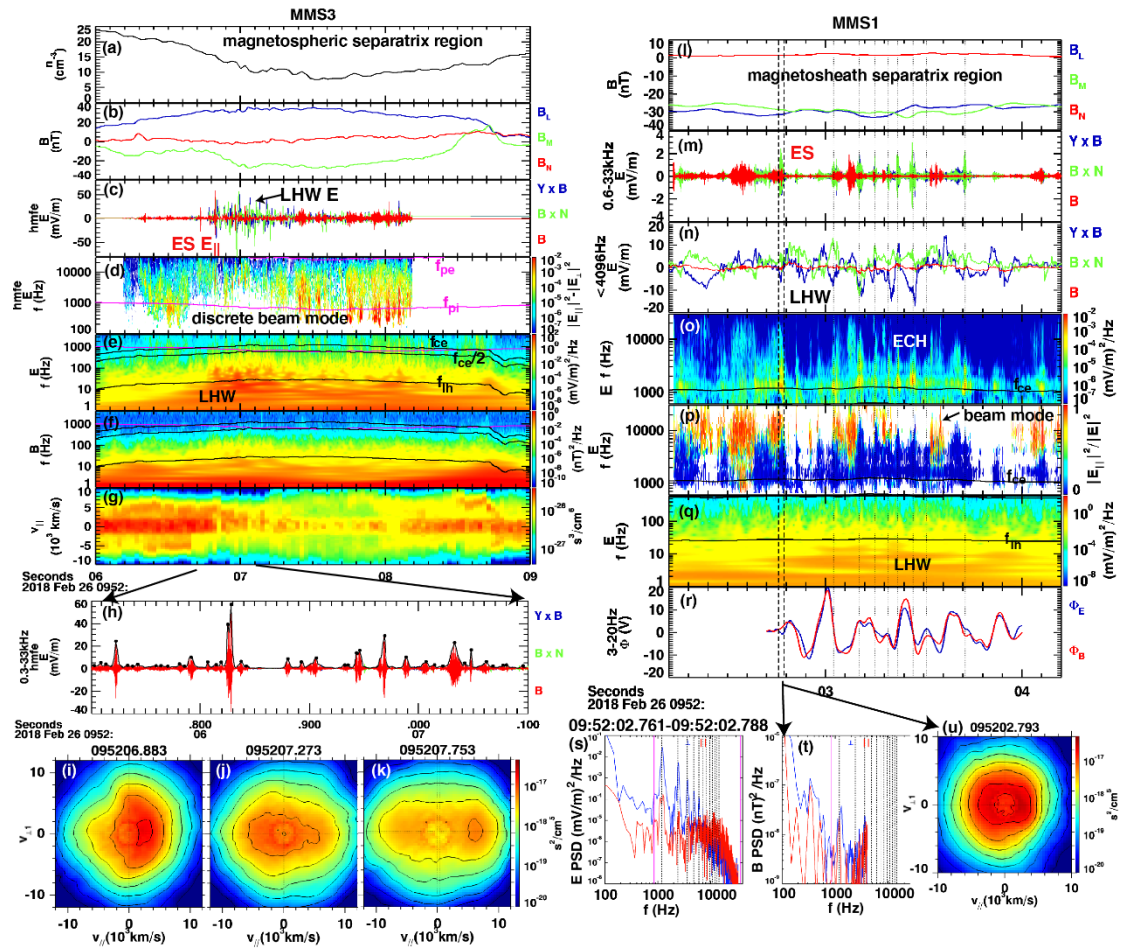


Figure 4. Left: parallel electrostatic waves modulated by lower-hybrid waves in the magnetospheric separatrix region. (a) electron density; (b) $\mathbf{B}$; (c) AC electric field; (d) power spectrum of $|E_{||}|^2 - |E_{\perp}|^2$ showing enhancements of parallel electrostatic waves; (e) E power spectrum at low frequencies showing lower-hybrid waves; (f) $\mathbf{B}$ power spectrum; (g) electron $v_{||}$ spectrogram; (h) filtered electric field waveform showing $E_{||}$ waves (red); black curve: envelop of the wave; black dots: extracted maxima of the envelops showing a recurrence rate in the lower-hybrid wave frequency range. (i)-(k) example electron distributions showing field-aligned beams with varying directions. Right: perpendicular ECH waves modulated by lower-hybrid waves in the magnetosheath separatrix region. (l) $\mathbf{B}$; (m)-(n) high- and low-frequency electric field waveforms; (o)-(p) E power spectrum and $|E_{||}|^2/|E|^2$ showing modulated perpendicular ECH and additional parallel waves. (q) low-frequency electric field power spectrum showing lower-hybrid waves. (r) lower-hybrid wave potential, with ECH enhancements at potential slopes. (s)-(t) electric and magnetic field FFT spectra for the interval between vertical dashed lines in (l)-(r). The corresponding electron distribution exhibits a loss cone at $v_{||} > 0$ (u).

Electrostatic waves around a magnetopause reconnection diffusion region and their associations with whistler and lower-hybrid waves

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Introduction

This supplementary information provides descriptions of satellite data used in the analysis, and details about how we model the observed non-gyrotropic distribution shown in Figure 2.

Text S1

Data are from the Magnetospheric Multiscale (MMS) mission burst-mode measurements. The magnetic fields are from the Flux Gate Magnetometer at 128 samples/s (Russell et al., 2016) and Search Coil Magnetometer at 8,192 samples/s (Le Contel, Leroy, Roux et al.,

2016). Electric fields are from the double probes at 8,192 samples/s (Ergun et al., 2016; Lindqvist et al., 2016; Torbert et al., 2016), where the DC-coupled ‘dce’ product has 8,192 samples/s and the AC-coupled ‘hmfe’ product that have fewer available intervals than ‘dce’ data has 65,536 samples/s. Plasma data are from the Fast Plasma Investigation (Pollock et al., 2016), where the ion and electron measurements have time resolutions of 0.15 s and 0.03 s, respectively.

Text S2

We use 5 populations to model the distribution by finding the optimal fitting result. The corresponding velocity ranges are marked in the observed distribution sliced in the v_{para} - v_{perp1} plane at $v_{perp2}=0$ (Figure S1a). Brief explanations for the populations are written on its right. Figures S1b-S1d show the fitting for population 1. The black boxes mark the velocity range used for fitting, and panel d shows 1D cuts along velocities marked in 2D panels, with reasonable agreements. The parameters are written on the right. Similarly, Figures S1e-S1g show the fitting result for population 2. Note that the modeled distribution extends to a larger velocity range than that used for fitting.

Figure S2 shows the modeling procedure for populations 3-5 that represent the non-gyrotropic beam, with similar formats as in Figure S1. We first subtract the original observed distribution by the model populations 1 and 2 and then fit the remaining distribution. The 1D comparisons again show reasonable agreements between the observation and model distributions.

Figure S3 shows the comparison between the observed distribution with the model distribution that sums over all 5 populations. Two sets of 1D cuts are provided for comparison. The model distribution captures the main features of the observed distribution, though quantitative discrepancies exist.

When applying in the linear instability analysis, ions are set to have a density of 11.7 cm^{-3} to keep the charge neutrality with the model electron distribution, slightly smaller than the observed level of 14.7 cm^{-3} . The ion temperatures are $T_{i\text{para}}=650 \text{ eV}$, $T_{i\text{perp}}=527 \text{ eV}$. The observed ion bulk velocities are $V_{i\text{para}}=75 \text{ km/s}$, $V_{i\text{perp1}}=-25 \text{ km/s}$, and $V_{i\text{perp2}}=-25 \text{ km/s}$, which are neglected in the instability analysis.

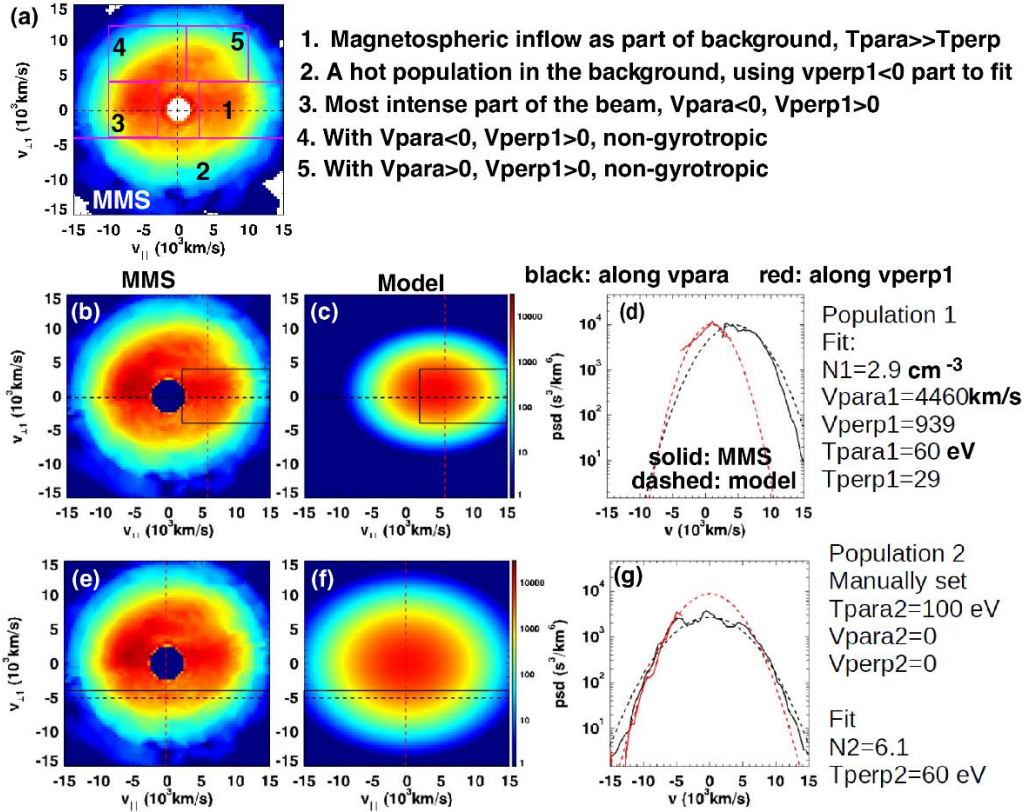


Figure S1. Observed and model distribution for background populations

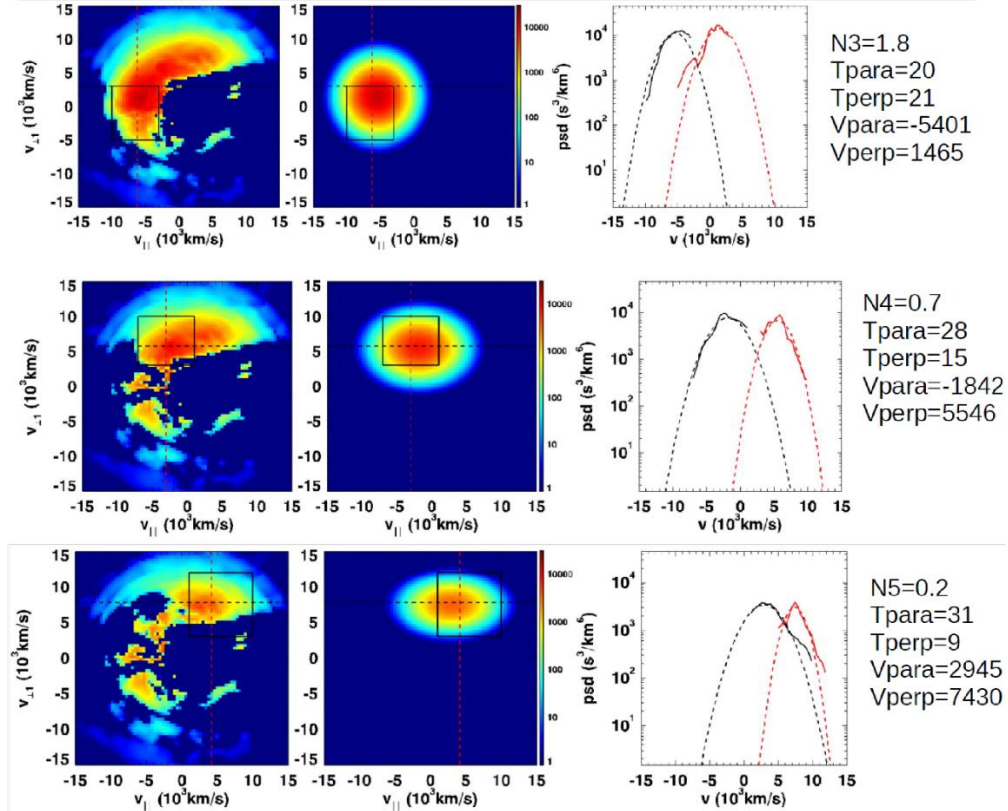


Figure S2. Observed and model distributions for the non-gyrotropic beam

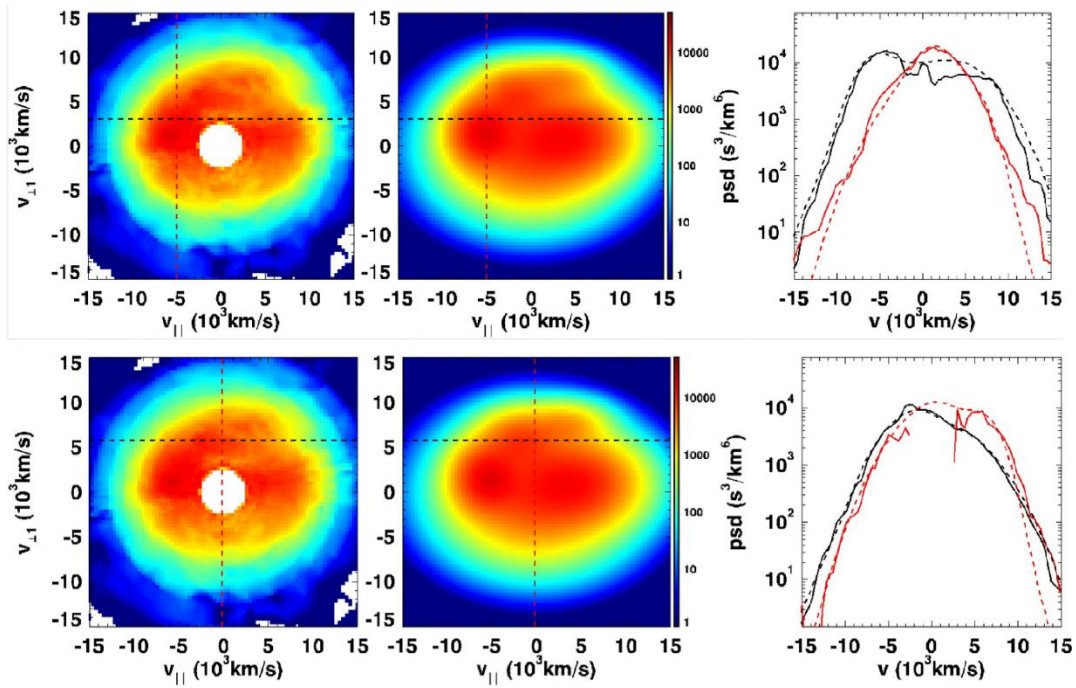


Figure S3. Observed and model distributions that sum up all populations.

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