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- Atmospheric Response to a Collapse of the North Atlantic Circulation Under
- A Mid-Range Future Climate Scenario: A Regime Shift in Northern

## **Hemisphere Dynamics**

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ABSTRACT: Climate models project a future weakening of the Atlantic Meridional Overturning Circulation (AMOC), but the impacts of this weakening on climate remain highly uncertain. A 11 key challenge in quantifying the impact of an AMOC decline is in isolating its influence on 12 climate, relative to other changes associated with increased greenhouse gases. Here we isolate the climate impacts of a weakened AMOC in the broader context of a warming climate using a 14 unique ensemble of Shared Socioeconomic Pathway (SSP) 2-4.5 integrations that was performed 15 using the Climate Model Intercomparison Project Phase 6 (CMIP6) version of the NASA Goddard Institute for Space Studies ModelE (E2.1). In these runs internal variability alone results in a spontaneous bifurcation of the ocean flow, wherein two out of ten ensemble members exhibit an 18 entire AMOC collapse, while the other eight recover at various stages despite identical forcing of each ensemble member and with no externally prescribed freshwater perturbation. We show 20 that an AMOC collapse results in an abrupt northward shift and strengthening of the Northern 21 Hemisphere (NH) HC and intensification of the northern midlatitude eddy-driven jet. We then 22 use a set of coupled atmosphere-ocean abrupt CO<sub>2</sub> experiments spanning the range 1-5xCO<sub>2</sub> to show that this response to an AMOC collapse results in a nonlinear shift in the NH circulation moving from 2xCO<sub>2</sub> to 3xCO<sub>2</sub>. Slab-ocean versions of these experiments, by comparison, do not capture this nonlinear behavior. Our results suggest that changes in ocean heat flux convergences associated with an AMOC collapse — while highly uncertain — can result in profound changes in 27 the NH circulation and continued efforts to constrain the AMOC response to future climate change 28 are needed.

### 1. Introduction

Future projections of the atmospheric circulation remain highly uncertain and reflect uncertainties 31 in the direct radiative response to CO<sub>2</sub> forcing (Deser and Phillips (2009); Grise and Polvani (2014); Shaw and Voigt (2015); Ceppi et al. (2018)), as well as both the (direct) response to changes in sea 33 surface temperatures (SSTs) and the (indirect) response to changes in eddy feedbacks (see Shepherd 34 (2014) and references therein). Uncertainties in SST projections over the subpolar North Atlantic are particularly consequential, as they strongly influence the location and strength of the North Atlantic storm track, with profound downstream impacts on precipitation and wintertime weather over Europe and parts of Africa (e.g., Zhang and Delworth (2006), Smith et al. (2010), Woollings et al. (2012), O'Reilly et al. (2016)). In particular, while increases in greenhouse gases over the 21st century are expected to result in substantial warming over much of the North Atlantic, climate models project considerable cooling over midlatitudes resulting in a so-called "North Atlantic warming hole (NAWH)" (e.g., Josey et al. (2018), Drijfhout et al. (2012), Robson et al. (2016), Caesar et al. (2018)). While the drivers of this NAWH have been under considerable debate, recent detection-attribution analysis suggests that the anthropogenic signal of the NAWH has emerged from internal climate variability and, moreover, that this cooling can be attributed to declining northward oceanic heat flux over recent decades related to increased greenhouse gas emissions 46 (Chemke et al. (2022)). 47

Among other mechanisms contributing to the development of the NAWH, the slowdown of
the Atlantic Meridional Overturning Circulation (AMOC) has been invoked as one potential key
driver (Cheng et al. (2013), Rahmstorf et al. (2015), Menary and Wood (2018)). Studies have
long shown that changes in the strength of the AMOC can have widespread impacts not only
on other components of the ocean circulation but, more generally, on the broader atmospheric
climate system, resulting in a southward shift of the intertropical convergence zone (ITCZ) (e.g.,
Zhang and Delworth (2005), Vellinga and Wood (2008), Jackson et al. (2015)), a strengthening
of the Walker circulation (e.g., Vial et al. (2018), Orihuela-Pinto et al. (2022)) and a northward
shift of the Northern Hemisphere (NH) jet stream (e.g., Liu et al. (2020), Bellomo et al. (2021)).
Understanding the global scale atmospheric response to changes in AMOC strength is important
not only for projections of future climate, but also for understanding paleoclimate records and
the dynamics of past Dansgaard-Oeschger events. In particular, while the future collapse of an

AMOC is still considered unlikely, the latest generation of coupled climate models project stronger weakening with future warming, compared to older generations of models (Weijer et al. (2020)).

In addition to its impacts on global precipitation, SST-related changes in the AMOC can change the baroclinicity of the atmosphere, which can result in changes in the storm tracks (Woollings et al. (2012)). However, the precise impacts of a weakened AMOC on atmospheric baroclinity are not well understood, largely because studies have used models that exhibit a wide diversity in the amplitude and spatial extent of the NAWH (Gervais et al. (2019), Haarsma et al. (2015), Menary and Wood (2018)). Nonetheless, despite these uncertainties in the drivers and extent of the NAWH, Woollings et al. (2012) showed that the response of the North Atlantic storm track to climate change was singularly shaped by changes in ocean-atmosphere coupling.

The role of the AMOC in future projections of the jet stream in the Climate Model Intercomparison Project Phase 5 (CMIP5) and Phase 6 (CMIP6) models was recently examined in Bellomo et al. (2021) (hereafter KB2021), who showed that changes in the AMOC play a primary role in determining the magnitude of the projected poleward displacement of the NH zonal mean jet stream. In particular, by stratifying models according to the strength of their projected AMOC weakening (in response to a quadrupling of CO<sub>2</sub>), the authors showed that models with a larger AMOC decline (> 7 Sv, relative to preindustrial values) exhibit minimum warming over the North Atlantic, a southward displacement of the ITCZ and a poleward shift of the northern midlatitude jet. The results from KB2021 suggest that the AMOC is a major driver of intermodal uncertainty in future projections of the northern jet stream (and associated hydrological impacts).

A key challenge in quantifying the impact of AMOC uncertainties on future projections of the large-scale atmospheric circulation is in isolating its influence on climate, relative to other changes associated with increased greenhouse gases. Thus, while the results from KB2021 are compelling, that study drew conclusions based on the spread among models subject to the same abrupt 4xCO<sub>2</sub> forcing and it is not clear if the models exhibiting greater AMOC weakening were also models that exhibit other characteristics that would independently impact the jet stream. At the same time, previous studies using more traditional freshwater flux perturbations to examine the jet (and other climate) responses to a weakened AMOC, have done so in the absence of other background changes related to increased CO<sub>2</sub> (e.g., Zhang and Delworth (2005), Jackson et al. (2015)). As such, these

studies may produce a circulation response to a weakened AMOC that is different than what might occur if other factors impacting atmospheric temperature gradients are included.

One recent attempt to isolate the climate impacts of a weakened AMOC in the broader context of a warming climate was performed in Liu et al. (2020). In that study, the authors compared fully coupled Representative Concentration Pathway (RCP) 8.5 simulations (Riahi et al. (2011)) using a full physics comprehensive model (CCSM4) with identically forced simulations in which a negative freshwater perturbation over the subpolar North Atlantic was added after year 1980 in order to maintain the AMOC strength (while preserving all other forcings). That study showed results that were generally consistent with KB2021, pointing to a major role of the AMOC in causing widespread cooling stretching from NH high latitudes to the tropics and a poleward displacement of the NH midlatitude jet.

While the results from Liu et al. (2020) represent an important step forward in isolating the 100 impacts of the AMOC on the storm tracks in the context of a warming climate, it is not clear 101 that prescribing a negative freshwater perturbation does not potentially interfere with nonlinear components of the AMOC response in a coupled system. To this end, here we present new results 103 featuring an ensemble of Shared Socioeconomic Pathway (SSP) 2-4.5 integrations (Meinshausen 104 et al. (2020)) that was performed using the CMIP6 version of the NASA Goddard Institute for Space Studies (GISS) ModelE (E2.1) (Kelley et al. (2020)). In particular, we show results from a 106 subset of the runs documented in Romanou et al. (2023) (hereafter AR2023), in which the authors 107 identified a tipping point in the SSP 2-4.5 ensemble occurring during the "extended" portion of the simulations (i.e. beyond year 2090, after which CO<sub>2</sub> emissions are ramped down). During this 109 time period the authors show that internal variability alone results in a spontaneous bifurcation of 110 the ocean flow, wherein two out of ten ensemble members exhibit an entire AMOC collapse, while 111 the other eight recover at various stages (Figure 1a). Note that, in contrast to the aforementioned freshwater hosing studies, in which an AMOC collapse is induced by adding freshwater, in these 113 experiments the AMOC collapse is caused by a reduction in evaporation from the ocean, mediated 114 by sea ice melting (AR2023). As such, the atmospheric configuration that is used to produce this effect in an interactive mode is likely to be very different from an atmosphere which is simply 116 responding to a prescribed freshwater flux perturbation. 117

Whereas AR2023 focused primarily on the oceanic conditions giving rise to this divergence in 118 AMOC behavior among different ensemble members, here we focus on the subsequent impacts 119 this has on the atmospheric large-scale circulation. In particular, we contrast the behavior between 120 two and eight ensemble members in which the AMOC respectively collapses and recovers to historical values by year 2400 (red vs. green lines, Fig. 1a). As such, we isolate the impact of 122 a weakened AMOC on the atmospheric circulation in the presence of increased greenhouse gas 123 warming using a single model (unlike KB2021) and without any need to invoke negative freshwater 124 perturbations (as in Liu et al. (2020)). To the best of our knowledge, this represents the first time 125 that the AMOC imprint on the circulation has been isolated in the context of background increases 126 in greenhouse gases using a fully coupled comprehensive model, absent any externally imposed 127 freshwater perturbations that may potentially interfere with the model's internal dynamics. 128

As discussed in AR2023, the ensemble members in which the AMOC collapses are substantially 129 cooler than those runs in which it recovers, with wintertime global mean surface temperature 130 (GMST) differences of about 1°C by year 2400 (Fig. 1c). Therefore, in documenting the influence of the AMOC on the atmosphere in the different SSP 2-4.5 ensemble members it is natural to ask how 132 the large-scale thermodynamic and dynamical circulations scale with these differences in GMST. 133 Though perhaps naive, it is common practice to assume that the climate system scales linearly with GMST, as reflected in the use of so-called "global warming levels" in the recent IPCC AR6 report 135 (James et al. (2017)) and the widely applied related practice of "pattern scaling" (e.g., Santer et al. 136 (1990), Tebaldi and Arblaster (2014)). Recent studies, however, have shown that the climate's so-called "dynamical sensitivity" – in particular, circulation shifts associated with changes in the 138 Hadley Cell and storm tracks - do not simply scale with equilibrium climate sensitivity across the 139 CMIP5 models (Grise and Polyani (2016)) and strongly depend on the evolution of SST warming 140 patterns in individual climate models (Ceppi et al. (2018)). As those studies, however, focused on large (CMIP5) multi-model ensembles, it is not clear if similar conclusions also apply to single 142 models and to climate states in which the AMOC has undergone a substantial weakening. More 143 precisely, it remains unclear how much of the circulation response to a weakened AMOC is related simply to changes in GMST or, rather, to changes in (free-tropospheric) meridional temperature 145 gradients away from the surface.

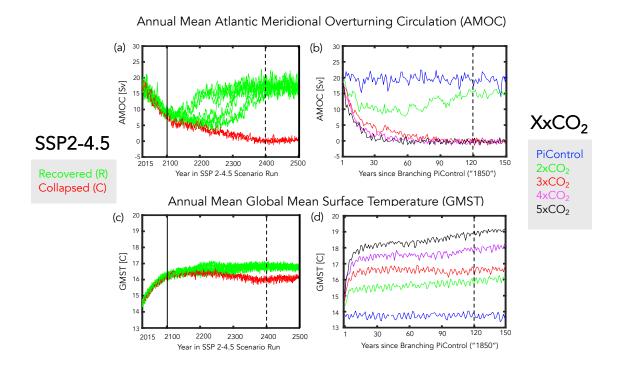


Fig. 1. Top: Evolution of the annual mean maximum overturning streamfunction in the Atlantic ocean, evaluated at 48°N, compared among the SSP 2-4.5 (8) recovered and (2) collapsed ensemble members (top, left) and among the abrupt XxCO<sub>2</sub> runs (top, right). Bottom: Same as top panels, except showing annual mean global surface temperature (GMST). Vertical solid lines mark the beginning of the "extension" portion of the SSP 2-4.5 scenario. Vertical dashed lines indicate the years after which climatological averages are evaluated (i.e., years 2400-2500 (left) and years 120-150 (right)).

To this end, in addition to reporting on the results from the SSP 2-4.5 ensemble we also examine a suite of abrupt 1-5xCO<sub>2</sub> experiments that were conducted using the same model version (Mitevski et al. (2021)). In particular, we exploit the fact that between 2xCO<sub>2</sub> and 3xCO<sub>2</sub> abrupt forcing the AMOC respectively recovers and collapses by year 150 (Fig. 1b), behavior which is generally similar to the differences in AMOC responses between the recovered and collapsed members of the SSP 2-4.5 ensemble, hereafter referred to as SSP 2-4.5 R and SSP 2-4.5 C, respectively (Fig. 1a). However, by spanning a much broader range of GMST changes, compared to the SSP 2-4.5 ensemble – and assuming that the atmospheric responses to an AMOC collapse are similar between the 3xCO<sub>2</sub> and SSP 2-4.5 collapsed ensemble members (a point which we examine in Section 3a3) – the broader set of XxCO<sub>2</sub> experiments affords a unique opportunity to investigate the relationship between dynamical sensitivity and GMST changes in the presence of a collapsed AMOC.

In Section 3 we begin by contrasting the large-scale atmospheric circulation responses between 164 the SSP 2-4.5 R and C members in which the AMOC recovers and remains collapsed after year 165 2400 (Sections 3a1-2, Q1 below). We then compare this behavior with the circulation differences 166 occurring in the 2xCO<sub>2</sub> and 3xCO<sub>2</sub> integrations (Section 3a3, Q2). After showing that the 3xCO<sub>2</sub> circulation changes in the NH are largely dominated by the behavior of the AMOC, we then use the 168 broader set of 1-5xCO<sub>2</sub> abrupt experiments to examine how the collapse of the AMOC modulates 169 the relationship between the NH dynamical circulation and GMST over a much broader range of CO<sub>2</sub> forcing (Section 3b, Q3). In addressing the latter we also use slab-ocean model integrations in order to examine if the behavior exhibited in the coupled atmosphere-ocean runs is reflected in 172 simulations in which ocean heat flux convergence changes associated with an AMOC collapse are not allowed to occur. Finally, to interpret the CO<sub>2</sub> scaling results we examine the compensation 174 that arises between the ocean and atmosphere in response to a decline and eventual collapse of the 175 AMOC (Section 3c). 176

The main goals of the manuscript are centered around addressing these three questions:

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- Q1) How does a collapse of the AMOC influence the atmospheric circulation in the presence of the same background CO<sub>2</sub> forcing (SSP 2-4.5 ensemble)?
- Q2) How does this compare with the response to an AMOC collapse induced by different CO<sub>2</sub> forcing (2xCO<sub>2</sub> vs. 3xCO<sub>2</sub>)?
- Q3) Are AMOC-related circulation changes mediated primarily by GMST or by changes in atmospheric temperature gradients?

In addressing Q1-Q3 we show that the AMOC tipping point described in AR2023 results in a vastly different atmospheric response between ensemble members in which the AMOC collapses versus members in which the AMOC recovers. In particular, in our model the atmospheric response to an AMOC collapse (occurring on the timescales addressed in this study) reflects a regime shift between a climate state in which the NH Hadley Cell and midlatitude jet are substantially weaker and

displaced further equatorward (strong AMOC), compared to a state in which they are substantially stronger and displaced poleward (weak AMOC).

### 196 2. Analysis/Methods

### 197 a. Models and Experiments

Here we use simulations from two sets of experiments produced using the GISS version E2.1 climate model (GISS-E2-1-G) (Kelley et al. (2020)), which consists of a 40-level atmospheric model with a horizontal resolution of 2° x 2.5° latitude/longitude coupled to the 1° horizontal resolution 40-level GISS Ocean v1 (GO1) model (for more details of GO1 see AR2023). Comprehensive reviews of this model's response to historical and future climate change simulations are provided in Miller et al. (2021) and Nazarenko et al. (2022), respectively.

We first examine results from the SSP 2-4.5 ensemble that contributed to the official submission 204 of the NASA-GISS climate group to CMIP6. In particular, we contrast the behaviors of eight 205 members in which the AMOC has recovered by year 2400 (SSP 2-4.5 R) with two members 206 in which it has remained collapsed (SSP 2-4.5 C) (Fig. 1a). As discussed in AR2023, this 207 contrasting behavior emerges during the "extension" portion following year 2090, beyond which 208 CO<sub>2</sub> concentrations slow down in growth from 597 ppm to 643 ppm at year 2200 and decline thereafter (Meinshausen et al. (2020)). That study further showed that the divergence in the 210 behavior of the AMOC results from stochastic variability associated with sea-ice transport and 211 melting in the Irminger Sea that led to a reduction in evaporation and salinity. Note that, whereas AR2023 was primarily focused on identifying the mechanisms leading to different recovery times 213 among the SSP 2-4.5 R ensemble members, our interest is in quantifying the impact of an AMOC 214 collapse on the large-scale circulation after year 2400 up to year 2500. To this end, we treat the SSP 2-4.5 R and C simulations as comprising two distinct "recovered" and "collapsed" ensembles. 216 To put the SSP 2-4.5 results in a broader context, we also examine the coupled atmosphere-217 ocean 1-5xCO<sub>2</sub> abrupt CO<sub>2</sub> experiments reported in Mitevski et al. (2021), which were performed 218 using the same version of the model. We restrict our attention to a subset of the runs, focusing mainly on the 2xCO<sub>2</sub> and 3xCO<sub>2</sub> experiments, but also including results from the 4xCO<sub>2</sub> and 220 5xCO<sub>2</sub> simulations when commenting on the linearity of the atmospheric circulation responses 221 with respect to changes in GMST (Section 3b). As shown in Figure 1, the behavior of the AMOC

by the end of the abrupt 2xCO<sub>2</sub> and 3xCO<sub>2</sub> runs is generally very similar to the AMOC behavior in the SSP 2-4.5 R and C ensemble members, respectively, past year 2400. This similar behavior also appears at lower latitudes (26°N) (not shown), consistent with the findings in AR2023, who showed a strong correlation in AMOC strength at these two latitudes (0.97) within the broader SSP 2-4.5 ensemble.

In addition to the results from the fully coupled ocean-atmosphere model (hereafter FOM) SSP 228 2-4.5 and XxCO<sub>2</sub> integrations, we also show results from q-flux or slab-ocean model (SOM) 229 integrations spanning the range 1-5xCO<sub>2</sub>. In these experiments any changes in ocean horizontal 230 heat transport and vertical heat uptake by the deep ocean are not included as the ocean heat flux 231 convergences in the mixed layer ( $-\nabla \cdot (vT)$ , including both horizontal and vertical heat fluxes) are calculated using preindustrial control values. At the same time, the SOM experiments do capture 233 the mixed layer temperature changes resulting from changes in the net surface heat fluxes (hereafter 234 referred to as "thermodynamic" ocean coupling). As such, contrasting the responses in the FOM 235 and SOM experiments isolates the role of dynamic (i.e., ocean heat flux convergence) coupling on the atmospheric responses in the FOM simulations, consistent with the presentation in Chemke et al. 237 (2022). Note that this approach does not explicitly isolate the contribution of changes in SSTs to the 238 atmospheric circulation response, as the SST response reflects both changes in thermodynamic and dynamic ocean-atmosphere coupling. However, robustly isolating the impact of SSTs can be tricky 240 as previous studies utilizing prescribed SST "warming hole" patterns have shown large sensitivity 241 to how these patterns are prescribed, particularly in relation to SST gradients (see discussion in 242 Gervais et al. (2019)).

## b. Temporal Averaging and Spatial Domains

To compare the atmospheric responses from the SSP 2-4.5 simulations with those from the abrupt CO<sub>2</sub> experiments we focus on climatological averaging periods during which the characteristics of the AMOC are similar, i.e., years when the AMOC has recovered in the 2xCO<sub>2</sub> and SSP 2-4.5 R runs, while the AMOC has remained collapsed in the 3xCO<sub>2</sub> and SSP 2-4.5 C experiments. As indicated in Figure 1 (dashed black vertical lines) this corresponds to years beyond which the maximum value of the overturning streamfunction at 48°N has reached nearly zero, corresponding to years 120-150 and 2400-2500 in the XxCO<sub>2</sub> and SSP 2-4.5 integrations, respectively. We refer

to these periods hereafter as the "equilibrated" responses in the model, bearing in mind that the
AMOC exhibits multi-centennial instability as was illustrated in an older version of the GISS
climate model (Rind et al. (2018)). Variations on these longer timescales are not addressed in this
study.

We begin by presenting differences in climatological means between the SSP 2-4.5 R and C 256 ensembles and between the 2xCO<sub>2</sub> and 3xCO<sub>2</sub> integrations. Statistical significance of the SSP 257 2-4.5 C-R differences is assessed at a confidence level of 95% using a Welch's t-test, given the 258 unequal sample sizes represented by the 8-member R and two-member C ensembles. A two-sample 259 Student's t-test is used when comparing the abrupt CO<sub>2</sub> responses. In addition, when putting the 260 SSP 2-4.5 results in the context of the broader 1-to-5xCO<sub>2</sub> forcing range we define all responses 261 relative to a 150-year average over the preindustrial control simulation from which the abrupt CO<sub>2</sub> 262 experiments are "branched." 263

For the majority of the analysis considered here we focus on December-January-February (DJF) 264 and over the NH. Our focus on DJF is consistent with the presentation in AR2023, while our focus on the NH is motivated by Mitevski et al. (2021), who showed that the AMOC collapse 266 occurring between 2xCO<sub>2</sub> and 3xCO<sub>2</sub> results in a non-monotonic response in global mean surface 267 temperature, driven primarily by changes occurring in the NH (more precisely, the North Atlantic). We deviate from this convention, however, at two different points in this study. First we use annual 269 mean GMST when evaluating the dynamical sensitivity scaling in Section 3b; second, we present 270 the energy budget analysis in Section 3c using annual means in order to facilitate comparison with previous studies. Some results about the Southern Hemisphere (SH) circulation response are also 272 presented, but only discussed briefly. 273

Finally, while our main focus is on the "equilibrated" responses defined above, we are also interested in exploiting the evolution of the responses, as in Grise and Polvani (2017) and Chemke and Polvani (2019). As shown in those studies, consideration of the response timescales of different variables affords insight into possible mechanisms governing their evolution.

### c. Scaling with Global Mean Surface Temperature (GMST)

We begin by comparing the absolute differences in the atmospheric "equilibrated" responses between the SSP 2-4.5 R and C members (Section 3a1-2) and between the 2-and 3xCO<sub>2</sub> simulations

<sup>281</sup> (Section 3a3). When interpreting these differences, however, it is important to note that these could partly be reflective of background differences in the CO<sub>2</sub> forcing. In particular, the CO<sub>2</sub> values in the SSP 2-4.5 extended experiments peak at 643 ppm, or roughly 2.4 times preindustrial values, and decrease thereafter (Figure 2a in AR2023). It is perhaps not surprising, therefore, that this value of CO<sub>2</sub> lies in between the 2xCO<sub>2</sub> and 3xCO<sub>2</sub> levels identified in Mitevski et al. (2021) as the transition point between the AMOC recovering and collapsing under abrupt CO<sub>2</sub> forcing (Fig. 1b).

Given these differences in CO<sub>2</sub> forcing (further exaggerated when considering the broader suite 288 of 1-5xCO<sub>2</sub> experiments) it may seem most natural to compare the simulations with respect to 289 their associated instantaneous radiative forcing (RF) as in Mitevski et al. (2021). However, another 290 difference between the transient SSP 2-4.5 and abrupt 1-5xCO<sub>2</sub> experiments is the evolution of the 291 forcing. As the AMOC is known to be sensitive to the time history of the forcing, this is important 292 to take into consideration, and so we cast our scaling analysis in Section 3b (in which the SSP 2-4.5 293 results are compared against the broader 1-5xCO<sub>2</sub> suite) in terms of GMST. This approach is also more in spirit with Ceppi et al. (2018) as it directly addresses the extent to which the dynamical 295 sensitivity captured in the simulations scales with equilibrium climate sensitivity (Q3). 296

Finally, a related but distinct approach is to normalize by annual mean GMST. KB2021 showed that doing so highlights large differences in temperature gradients and the zonal mean meridional circulation between models in which the AMOC weakens substantially (> 7 Sv), compared to models showing a limited AMOC response (< 7 Sv). However, while this approach is well suited to understanding the multi-model response to the same (4xCO<sub>2</sub>) forcing, it does not directly afford insight into how dynamical sensitivity scales with GMST. As we have tried both normalizing and not normalizing in this study and draw generally very similar conclusions (not shown), we focus on the unnormalized results.

### 305 d. Analysis Approach

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#### 1) HADLEY CELL AND STORM TRACK DIAGNOSTICS

Whereas KB2021 focused on the latitude of the northern midlatitude jet, here we expand their analysis to also include measures of the Hadley Cell (hereafter HC) and the storm tracks. Figure 2a

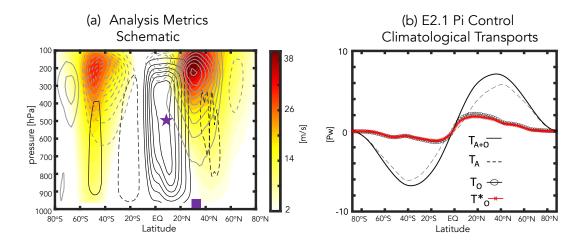


Fig. 2. (a): Schematic of the main zonal mean dynamical metrics considered in this study, illustrated using data from the preindustrial control simulation. The December-January-February (DJF) climatological mean meridional circulation is shown in black contours, with solid and dashed lines denoting clockwise and counterclockwise directions, respectively (contour interval:  $3x10^{10}$  kg/s). The DJF zonally averaged zonal winds are shown in the filled colored contours (only positive values shown; contour interval: 2 m/s) and the DJF eddy momentum fluxes are shown in the grey contours (contour interval: 8 m²/s²). The purple star denotes the Northern Hemisphere (NH) HC strength, or the maximum value of the mean meridional streamfunction at 500 hPa equatorward of where it crosses zero, while the edge is denoted by  $\phi_{UAS}$  (purple square), or the zero-crossing latitude of the surface zonal wind. (b): Annual mean meridional distributions of the total atmospheric ( $T_A$ ; black dashed line) and combined atmosphere-ocean ( $T_{A+O}$ ; black solid line) northward energy transports for the preindustrial control simulation. The implied ocean heat transport ( $T_O$ ; black circled line), calculated by subtracting  $T_A$  from  $T_{A+O}$ , exhibits good agreed with online calculations of the ocean transports ( $T_O^*$ ; red starred line). For more details see Section 2.

highlights how these measures of the HC and midlatitude jet are coupled through eddy momentum fluxes.

To quantify the characteristics of the HC we use metrics calculated using the Tropical-width Diagnostics (TropD) code (Adam et al. (2018)) based on fields that were zonally and seasonally

averaged before calculation of the metrics. The edge of the HC,  $\phi_{UAS}$ , is defined as the zero-crossing latitude of the surface zonal wind (corresponds to UAS in TropD and is calculated using the "zero-crossing" method) (Fig. 2a, purple square). Our use of a surface-wind based measure of the HC edge is partly motivated by previous studies showing a strong signature of an expanded northern edge of the HC on sea level pressure (SLP) (Schmidt and Grise (2017)). This measure of the HC was also shown to correlate well with the latitude at which the mean meridional streamfunction at 500 hPa crosses 0 poleward of its tropical extremum (Waugh et al. (2018)). The value of that tropical extremum ( $\Psi_{500}$ ) is also examined as a measure of HC strength (Fig. 2a, purple star).

In addition to looking at the HC, we also examine its relation to the northern midlatitude jet via 334 the eddy momentum fluxes. This is based on research showing a strong connection between the evolution of the HC and the latitude of the maximum eddy momentum fluxes (Schneider (2006); 336 Chemke and Polvani (2019); Menzel et al. (2019)). The eddy momentum fluxes are calculated 337 as in Chemke and Polvani (2019) as the time mean of [u'v'], where u and v are the zonal and 338 meridional winds, respectively, and primes represent deviations from both the zonal and monthly means. In particular we are interested in the latitude where the eddy momentum flux maximizes 340 (eddy momentum convergence = 0) (Fig. 2a, grey contours). As it is well known that the largest 341 eddy momentum flux convergences are closely collocated with the extratropical storm tracks (e.g., Lau et al. (1978), Lim and Wallace (1991)), we also examine the vertically averaged eddy kinetic 343 energy, calculated using daily output. Connections with static stability  $(S_p)$  and baroclinic eddy 344 generation are also made, where  $S_p = -(\frac{T}{\theta})(\frac{\partial \theta}{\partial P})$  and  $\theta$  is potential temperature. The baroclinic eddy generation is quantified using  $\sim \alpha' \omega'$ , where primes denote zonal deviations and  $\alpha$  and  $\omega$  refer to one over the density and vertical velocity in pressure coordinates, respectively (Lorenz (1955)).

#### 348 2) ENERGETIC ANALYSIS

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To put the results of the dynamical analysis in an energetic context we evaluate the total meridional heat transport of the coupled ocean-atmosphere transport system, further partitioned into its oceanic and atmospheric contributions. Following Magnusdottir and Saravannan (1999) we estimate the total vertically integrated atmospheric heat flux  $(T_A)$  as:

$$\frac{\partial cos\phi}{acos\phi\partial\phi}\overline{[T_{\rm A}]} \equiv \frac{\partial cos\phi}{acos\phi\partial\phi} \int_{1}^{0} \overline{(c_pT + gz + Lq)v\rho d\eta}$$

$$= \overline{\left[ -F_{T} - F_{S} + SHF + LHF \right]} \tag{1}$$

as well as the vertically integrated meridional heat flux in the combined atmosphere-ocean system  $(T_{A+O})$  as:

$$\frac{\partial \cos\phi}{a\cos\phi\partial\phi} \overline{[T_{A+O}]} \equiv \overline{[-F_T]}$$
 (2)

where moist static energy density is the sum of dry static energy density ( $c_pT+gz$ ) and the latent heat density (Lq),  $\rho$  and v refer to the mass density and horizontal velocity on  $\eta$  surfaces. Zonal averages and time averages are denoted by square brackets and overbars, respectively. The terms on the RHS of both equations refer to energy fluxes out of the top of the atmosphere and at the surface:  $F_T$  (net upward flux of radiation at the top of the atmosphere, calculated as outgoing longwave radiation (OLR) minus the absorbed solar radiation (ASR)),  $F_S$  (net downward flux of radiation at the surface equal to the sum of net downward longwave (LWF) and shortwave (SWF) radiation), and the fluxes of latent and sensible heat at the surface (LHF and SHF).

The resulting annual mean meridional distributions of T<sub>A</sub> and T<sub>A+O</sub>, calculated using the E2.1 363 150-year preindustrial control simulation, is consistent with the climatological energy transports presented in other studies (e.g., Magnusdottir and Saravannan (1999), Held and Soden (2006)) 365 (Figure 2b). Note that the implied ocean heat transport, calculated by subtracting the first from 366 the second equation above (Fig. 2b, black circled line) is found to exhibit good agreement with online calculations of the ocean transports (Fig. 2b, red starred line). These northward ocean heat 368 transports, simulated in historical integrations using E2.1, have been shown to agree well with 1992-369 2011 estimates from the ECCO ocean state estimate (Figure 23 in Kelley et al. (2020)). Finally, 370 in addition to examining the compensation between atmospheric and oceanic poleward transports, we also further partition T<sub>A</sub> into its moist versus dry contributions using online calculations of the 372 vertically integrated dry static energy and latent heat northward transports (Section 3c). 373

#### 4 3. Results

We begin by contrasting the regional SSP 2-4.5 C and R responses in sea surface temperature, sea level pressure, precipitation and zonal winds (Section 3a1) and in the large-scale zonal mean

circulation (Section 3a2). Then we compare the SSP 2-4.5 C-R differences to the responses in the  $2xCO_2$  and  $3xCO_2$  simulations (Section 3a3), followed by a discussion of the full set of abrupt  $1-5xCO_2$  experiments, which we use to examine how the changes in thermodynamics and the circulation scale with changes in global mean surface temperature (Section 3b). To interpret the dynamical scaling results we then examine the compensation that arises between the ocean and atmosphere in response to a decline and eventual collapse of the AMOC (Section 3c).

### 383 a. Equilibrated Responses

1) SSP 2-4.5 Collapsed vs. Recovered: Sea Surface Temperatures, Precipitation, Sea Level Pressure and Winds

Figure 1 (bottom panels) shows the evolution of annual global mean surface temperature in the 386 SSP 2-4.5 C and R members (Fig. 1c) and the abrupt CO<sub>2</sub> experiments (Fig. 1d). Comparing the 387 collapsed versus recovered SSP 2-4.5 ensemble members reveals global cooling associated with a 388 sustained collapse of the AMOC such that by the time that the AMOC has recovered in the SSP 389 2-4.5 R members the annual mean global surface temperature is almost one degree warmer, relative 390 to the SSP 2-4.5 C members. In the abrupt CO<sub>2</sub> simulations, the GMST change in the 3xCO<sub>2</sub> 391 experiment is only  $\sim 0.6^{\circ}$ C warmer than the 2xCO<sub>2</sub> simulation, reflective of a clear flattening of the warming trend after years ~60-70. Overall, the changes in GMST are 2.2°C, 2.8°C, 3.0°C, 393 and 2.3°C for the 2xCO<sub>2</sub>, 3xCO<sub>2</sub> and SSP 2-4.5 recovered and SSP 2-4.5 collapsed ensembles, 394 respectively.

That the cooling associated with a steady decline and eventual collapse of the AMOC acts to mitigate, and partially counteract, other components of the global surface temperature change is reflected in a non-monotonic change in equilibrium climate sensitivity that occurs between 2xCO<sub>2</sub> and 3xCO<sub>2</sub> over the broader range of experiments spanning 1-to-5xCO<sub>2</sub> (Figure 1 in Mitevski et al. (2021)). This counteracting of warming due to a weakening of the AMOC has also been shown to occur in 21<sup>st</sup> century warming simulations (Drijfhout et al. (2012), Caesar et al. (2018), Marshall et al. (2015)).

While the AMOC influence on the climate can occur via its changes in GMST, a reduction in AMOC strength can also influence sea surface temperature patterns. We examine this next, with a focus on DJF, and examine changes in SSTs and associated spatial gradients over the Atlantic and

Pacific (Figure 3a). Note that a saturated color bar has been used in order to highlight the structure of SST changes outside of the North Atlantic region.

Examination of the North Atlantic reveals much more cooling in the SSP 2-4.5 collapsed simulations (Fig. 3a) over the subpolar North Atlantic (SPNA), consistent with the results from previous
studies. This cooling within the SPNA region is also associated with a large increase in meridional
SST gradients over the North Atlantic south of 40°N and enhanced zonal gradients between the
western and eastern Atlantic basins. There is also an indication of a slight increase in SST gradients
in the tropics.

The cooler SSTs in the collapsed simulations are not only confined to the Atlantic, but also 414 span the Pacific (Fig. 3a), resulting in stronger meridional SST gradients, particularly over middle 415 northern latitudes. Preliminary analysis of the evolution of the SST response (Appendix Figure 416 1) shows that this cooling over the extratropical Pacific occurs over several centuries and may be 417 related to a deepening and poleward shift of the Aleutian Low (Fig. 3c), resulting in more advection 418 of colder temperatures over the West Pacific (Wu et al. (2008)), although direct thermodynamic advection of colder North Atlantic air may also be occurring. By comparison, the changes in SSTs 420 and associated gradients in the tropical Pacific are much smaller. Unlike some previous studies 421 (Timmermann et al. (2007), Zhang and Delworth (2005)) we find no evidence of an El Niño like response to an AMOC weakening, although the robustness of this response has recently been 423 questioned (KB2021). 424

In the SH, SSTs warm over the extratropics in the SSP 2-4.5 collapsed integrations, compared to the simulations in which the AMOC recovers. This warming takes several centuries to develop (Appendix Figure 1) and resembles the evolution of the SST pattern documented in Pedro et al. (2018) (their Figure 7). This delayed warming over the SH results in increased SST gradients over the South Atlantic (~60°S) in the SSP 2-4.5 C runs, relative to SSP 2-4.5 R, a feature which is not captured in the 3xCO<sub>2</sub> simulation (discussed more in Section 3a3).

In addition to the changes in SSTs, the response in precipitation in the SSP 2-4.5 collapsed simulations reflects large decreases over the North Atlantic subpolar region, reductions over the Amazon and suggestions of a southward shift of the ITCZ over both the Atlantic and East Pacific basins (Fig. 3b). By comparison, the increased precipitation in the West Pacific is not statistically significant, consistent with previous studies (Vellinga and Wood (2008), KB2021).

Moving next to more dynamical measures, we examine changes in sea level pressure and near-436 surface zonal winds (Fig. 3c,d). The changes in SLP show differences over the North Atlantic 437 indicative of enhanced (anticyclonic) high level pressure over the subpolar latitudes in the runs in 438 which the AMOC collapses (Fig. 3c). This increase in SLP is shifted slightly downstream of the SST changes, as noted in Gervais et al. (2019), albeit for the prescribed SST experiments examined 440 in that study. In addition to the changes over the Atlantic, there is also a pronounced dipole of 441 increased and reduced sea level pressure values over the North Pacific middle and high latitudes. 442 While this response was not discussed in KB2021, earlier studies have shown that a weakening of the AMOC is associated with a deepening of the Aleutian Low (Wu et al. (2008), Liu et al. (2020)). 444 Consistent with the SLP changes over the North Pacific, there is a strong signature of a weakened 445 AMOC in the near surface zonal winds (850 hPa) (Fig. 3d). These wind changes over the Pacific 446 reflect a poleward shift of the midlatitude jet, whereas over the North Atlantic the jet mainly 447 accelerates and extends further eastward over Europe. This acceleration over the North Atlantic is 448 more pronounced in the mid-troposphere (Fig. 3e), as was also reported in KB2021, who identified a statistically significant strengthening of the midlatitude jet at 250 hPa, but not at 850 hPa, in 450 models featuring a stronger AMOC decline. Finally, in contrast to the NH, there is a uniform 451 weakening of the zonal winds over the SH extratropics. We discuss the vertical coherence of these wind changes in the next section. 453

### 466 2) SSP 2-4.5 COLLAPSED VS. RECOVERED: VERTICAL STRUCTURE

In addition to its impacts on SSTs, changes in the AMOC impact the vertical structure of meridional temperature gradients in the atmosphere. To interpret the zonal wind changes shown in Figure 3 we therefore next examine the zonal mean changes in temperatures, zonal winds and eddy kinetic energy, as well as their coupling to responses in the tropical mean meridional circulation (Figure 4).

We begin by examining changes in temperature (Fig. 4a), which show much more cooling over the NH high latitude troposphere in the SSP 2-4.5 collapsed runs. A similar reduction in Arctic warming was reported in the "strongly" collapsed models examined in KB2021 (their Figure S5) and in Liu et al. (2020) (their Figure 6). In addition to the changes over the northern extratropics, we also find an indication of weak polar amplification in the SH characterized by warming throughout

# SSP 2-4.5 Collapsed - Recovered

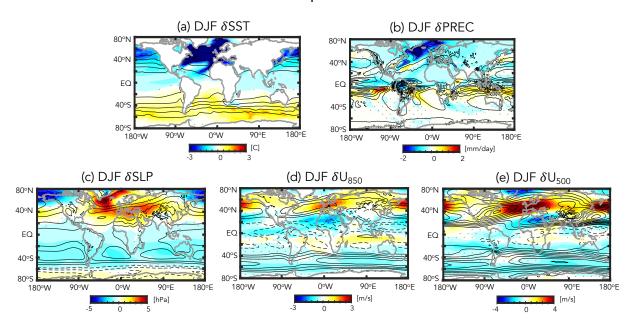


Fig. 3. The difference in the year DJF 2400-2500 climatological mean (a) sea surface temperatures ( $\delta$ SST), (b) precipitation ( $\delta$ PREC), (c) sea level pressure ( $\delta$ SLP), (d) 850 hPa zonal winds ( $\delta$ U<sub>850</sub>) and (e) 500 hPa zonal winds ( $\delta$ U<sub>500</sub>) between the SSP 2-4.5 collapsed (C) and recovered (R) ensemble members. Climatological mean values from the preindustrial control simulation are denoted in the black contours (contour intervals: (a) 5°C, (b) 2 mm/day, (c) 5 mb, (d) 3 m/s and (e) 3 m/s). Grey stippling denotes regions where the SSP 2-4.5 C-R differences are not statistically significant.

the SH middle and high latitudes poleward of 40°S, also seen in the SST differences (Fig. 3a).
This warming in the SH is consistent with Liu et al. (2020) (their Figure 6), but inconsistent with KB2021, which likely reflects their focus on shorter (100-150 year) timescales. In addition,
KB2021 also identified more warming in the tropical upper troposphere, a feature that is also not evident in the SSP 2-4.5 collapsed runs. Normalization of our results by GMST (not shown) produces an anomalous upper tropical tropospheric warming, suggesting that the results reported in KB2021 are reflective of the normalization performed in that study, not of absolute temperature differences.

Moving next to the zonal winds (Fig. 4b) we find that the reduced warming over NH high latitudes is associated with enhanced meridional temperature gradients, which result in a poleward

# SSP 2-4.5 Collapsed - Recovered

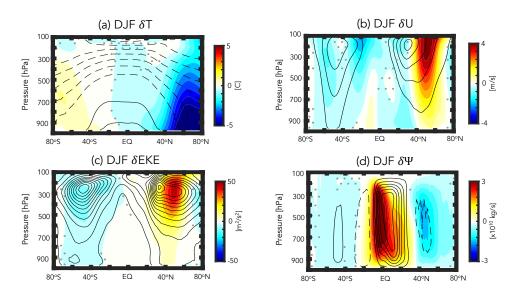


Fig. 4. The difference in the year DJF 2400-2500 climatological mean zonal mean (a) temperature ( $\delta$ T), (b) zonal wind ( $\delta$ U), (c) eddy kinetic energy ( $\delta$ EKE) and (d) Eulerian mean streamfunction ( $\delta$ \Psi) between the SSP 2-4.5 collapsed (C) and recovered (R) ensemble members. Climatological mean values from the preindustrial control simulation are denoted in the black contours (contour intervals: (a) 10°C, (b) 8 m/s, (c) 28 m<sup>2</sup>/s<sup>2</sup> and (d) 3x10<sup>10</sup> kg/s). Note that in (d) solid and dashed lines denoting clockwise and counterclockwise directions, respectively. Grey stippling denotes regions where the SSP 2-4.5 C-R differences are not statistically significant.

shift of the zonal mean northern midlatitude jet in response to a decline and eventual collapse of
the AMOC. A similar poleward shift in the NH jet was documented in KB2021 (their Figure 4)
and in Liu et al. (2020). In the SH the zonal winds weaken and, if anything shift equatorward, in
the SSP 2-4.5 C ensemble members, consistent with the weak polar amplification in that region
(Fig. 4a). Again, this wind response is highly consistent with Liu et al. (2020), but opposite
to that shown in KB2021, who identified a poleward shift of the SH jet. As that study did not
propose a testable mechanism for the SH jet changes, it is not entirely clear what is the driver of
the differences between their results and those presented here and in Liu et al. (2020), although
both the normalization by GMST as well as the differing integration lengths likely contribute.

In concert with the changes in the zonal winds, the changes in eddy kinetic energy (EKE) over the NH feature increases north of 40°N (Fig. 4c). Note that there is no statistically significant

# DJF Eddy Kinetic Energy

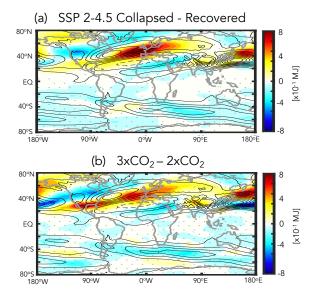


Fig. 5. (a) The difference in the year DJF 2400-2500 climatological mean vertically integrated eddy kinetic energy between the SSP 2-4.5 C and R ensembles. (b) Same as in (a), except showing the year 120-150 difference between the  $3xCO_2$  and  $2xCO_2$  integrations. Climatological mean values from the preindustrial control simulation are denoted in the black contours (contour interval:  $5x10^{-1}$  MJ).

response in the subtropics and only the wind (and EKE) changes poleward of 40°N are robust.

Zonally, the increases in EKE are concentrated over the North Atlantic and extend eastward over

Europe, as well as over the West Pacific (Fig. 5a), strongly resembling the zonal wind changes

at 500 hPa (Fig. 3e). Comparisons with the changes in EKE associated with an AMOC collapse

in another model (the Community Earth System Model (CESM-LE)) examined in Mitevski et al.

(2021) show very similar anomalies (not shown). Furthermore, a spectral decomposition of these

NH EKE changes show increased wave energy over zonal wavenumbers 1-6 in the collapsed SSP

2-4.5 members, relative to the recovered members (also not shown).

Finally, the changes in the mean meridional streamfunction indicate an overall strengthening of the wintertime NH Hadley circulation in the collapsed SSP 2-4.5 simulations (Fig. 4d). This intensification of the NH Hadley circulation in response to an AMOC shutdown has been reported in previous studies (Zhang and Delworth (2005), Orihuela-Pinto et al. (2022)) and generally associated with a southward displacement of the ITCZ, although Brayshaw et al. (2009) also identify a zonally localized enhancement of the HC region over the subtropical Atlantic, which

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# $3xCO_2 - 2xCO_2$

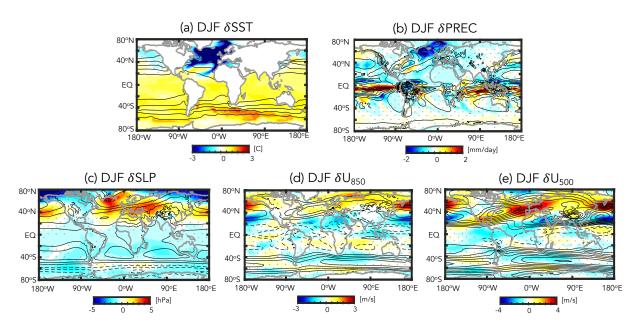


Fig. 6. Same as Figure 3, except showing the difference between the year 120-150 climatological mean 3xCO<sub>2</sub> and 2xCO<sub>2</sub> responses.

they associate with increased meridional SST gradients in that region. Compared to those studies,
however, our results also show a poleward displacement of the northern HC edge in the lower
troposphere (>500 hPa), a result which has not been directly commented on in the literature. These
streamfunction anomalies over the NH extratropical lower troposphere appear to be coupled to a
slight strengthening and poleward displacement of the northern Ferrel cell.

## 3) Comparison with $2xCO_2$ vs $3xCO_2$

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Comparisons of the surface and lower tropospheric impacts associated with an AMOC collapse in the SSP 2-4.5 ensemble (Fig. 3) are highly consistent with the responses moving from 2xCO<sub>2</sub> to 3xCO<sub>2</sub> (Fig. 6). In particular, over the North Atlantic the changes moving from 2xCO<sub>2</sub> to 3xCO<sub>2</sub> reflect cooler SSTs (Fig. 6a), reduced precipitation (Fig. 6b) and an anomalous anticylonic circulation over the North Atlantic subpolar gyre region (Fig. 6c), as well as a strengthening and eastward extension of the North Atlantic jet over Europe (Fig. 6d, 6e). The magnitudes of the

<sup>530</sup> 3xCO<sub>2</sub> changes are also similar to the responses in the SSP 2-4.5 collapsed ensemble members, albeit somewhat smaller (Fig. 3).

Though the overall responses in the surface temperatures and winds are very similar, there are some important differences worth noting. First, the SSTs in the  $3xCO_2$  simulation show much less cooling over the Pacific northern midlatitudes (>  $40^{\circ}$ N) compared to the SSP 2-4.5 C simulations, which likely reflects differences in the length of these integrations as this cooling takes centuries to equilibrate (Appendix Figure 1). Second, in response to  $3xCO_2$  there is more warming over the NH subtropics and tropics, consistent with the higher  $CO_2$  forcing in that simulation. Thus, unlike what happens in the SSP 2-4.5 C ensemble members, there is no SH polar amplification occurring at  $3xCO_2$ .

The different SST gradients over the northern high latitude Pacific and tropics and SH occurring at  $3xCO_2$  have implications for the jet and precipitation changes in these regions. In particular, over the Pacific northern midlatitudes, where there is much less cooling compared to the SSP 2-4.5 C integrations, the jet response resembles more of a poleward shift, characterized not only by an acceleration north of  $40^{\circ}$ N, but also reduced winds  $\sim 20^{\circ}$ N; in the tropical Pacific there is also a much stronger increase in precipitation, relative to the AMOC SSP 2-4.5 C ensemble.

Even over the North Atlantic the SST cooling is slightly weaker and less expansive and the jet response at 850 hPa is not statistically significant at 3xCO<sub>2</sub>, in contrast to the SSP 2-4.5 collapsed ensemble members. In the SH, there is also a suggestion of a poleward shift of the midlatitude jet at 3xCO<sub>2</sub>, not evident in the SSP 2-4.5 C integrations, although these changes are not statistically significant. These subtle differences aside, however, the overall similarities between Figures 3 and 6 are remarkable and suggest that the climate response that occurs moving from 2xCO<sub>2</sub> to 3xCO<sub>2</sub> is, to first order, determined by the changes in AMOC strength.

Strong consistency is also found when comparing the vertical response of the large-scale circulation between the AMOC SSP 2-4.5 C and R ensemble members (Fig. 4) and between the 3xCO<sub>2</sub> and 2xCO<sub>2</sub> integrations (Fig. 7). That is, in concert with stronger cooling over the Arctic (Fig. 7a), the 3xCO<sub>2</sub> simulation features a stronger poleward shift of the NH zonal mean jet (Fig. 7b), increased EKE northward of 40°N (Fig. 7c) and a strengthened HC (Fig. 7d).

One difference in vertical structure occurs over the Arctic, where the cooling that occurs at  $3xCO_2$  (Fig. 7a) is much smaller than in the collapsed SSP 2-4.5 ensemble (Fig. 4a), reflecting

# $3xCO_2 - 2xCO_2$

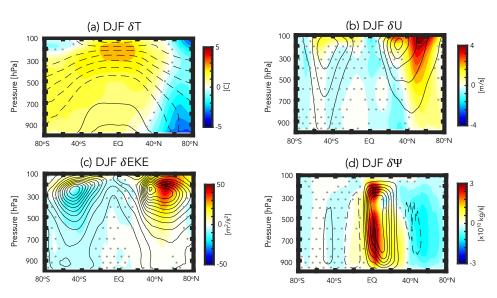


Fig. 7. Same as Figure 4, except showing the difference between the year 120-150 climatological mean 3xCO<sub>2</sub> and 2xCO<sub>2</sub> responses.

the higher CO<sub>2</sub> forcing in that simulation. There is also stronger warming occurring within the tropics and over southern latitudes. Despite these differences in absolute temperature, however, the increase in meridional temperature gradients that occurs is similar to what happens when comparing the SSP 2-4.5 C and R ensemble members. As such, the zonal mean NH jet response to an AMOC collapse is quite similar in the 3xCO<sub>2</sub> simulation (Fig. 7b) compared to SSP 2-4.5 C (Fig. 4b) and is also coupled to an EKE increase on the poleward flank of the jet (Fig. 7c). Maps of the EKE response show that at 3xCO<sub>2</sub> much of this increased EKE reflects changes over the Atlantic (Fig. 5b), as in the SSP 2-4.5 C ensemble (Fig. 5a), although there is also increased EKE over the western Pacific and North America.

To summarize: In response to a collapse of the AMOC, our results show widespread cooling over the Arctic and stronger meridional temperature gradients over the NH. This increase in temperature gradients is associated with a poleward shift of the midlatitude jet (and associated eddy energy) as well as a strengthening of the NH HC. In the lower troposphere (> 600 hPa) the NH HC is displaced poleward.

Over the Northern Hemisphere the response to an increase from 2xCO<sub>2</sub> to 3xCO<sub>2</sub> is remarkably similar to the differences between the SSP 2-4.5 R and C simulations, in terms of both the magnitude and spatial patterns of these changes. Some exceptions, however, include the near surface (850 hPa) wind response over the North Atlantic, which is not statistically significant at 3xCO<sub>2</sub>, as well as in the tropics, where precipitation increases strongly over the Pacific. There is also more warming in the tropical upper troposphere and SH in the 3xCO<sub>2</sub> simulation. Overall, this close correspondence suggests that the collapse of the AMOC is the dominant driver of the large-scale circulation changes moving from 2xCO<sub>2</sub> to 3xCO<sub>2</sub> in our model.

b. Scaling of Equilibrated Thermodynamic and Dynamic Responses with Global Mean Surface
 Temperature (GMST)

One question (Q3) not addressed in the previous sections relates to how changes in the climate response to an eventual collapse of the AMOC scale with changes in GMST. To this end, here we expand our analysis to include the results of additional ( $4xCO_2$  and  $5xCO_2$ ) FOM abrupt  $CO_2$  runs, as well as the results from the SOM abrupt  $CO_2$  integrations.

### 591 1) GLOBAL THERMODYNAMIC CHANGES

Figure 8a shows the annual global mean surface temperature response among all of the sim-592 ulations, plotted as a function of associated instantaneous radiative forcing (RF), where RF is 593 calculated from the expression 5.35ln (NxCO<sub>2</sub>/1xCO<sub>2</sub>) (Byrne and Goldblatt (2014)) and, for each run, N is the CO<sub>2</sub> multiple of the PI value (2.4, for the case of all SSP 2-4.5 ensemble members). 595 The changes in GMST across this broader range of CO2 forcing show the nonlinear behavior 596 between the 2xCO<sub>2</sub> and 3xCO<sub>2</sub> FOM simulations (blue circles) that was first identified in Mitevski et al. (2021) (their Figure 1). By comparison, the results from the SOM experiments (aqua circles) 598 show no evidence of a nonlinearity. This result was also documented in Mitevski et al. (2021) and 599 suggests that the changes in ocean horizontal and vertical heat fluxes not included in the q-flux 600 experiments are primarily responsible for the nonlinear changes in GMST occurring in the FOM experiments. 602

Building on Mitevski et al. (2021), here we also include the results from the SSP 2-4.5 R and C ensemble members (red circles, cyan and blue outlines) which are seen to align respectively with the

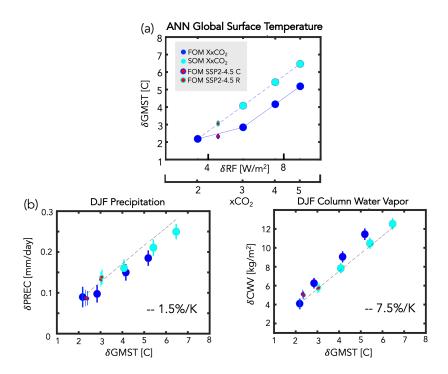


Fig. 8. Top: Changes in annual mean global mean surface temperature (GMST), plotted as a function of the associated radiative forcing (RF), calculated from the expression 5.35ln (NxCO<sub>2</sub>/1xCO<sub>2</sub>) (Byrne and Goldblatt (2014)) where, for each run, N is the CO<sub>2</sub> multiple of the PI value (2.4, for the case of the SSP 2-4.5 ensemble members), consistent with the presentation in Mitevski et al. (2021). Bottom: Changes in DJF global mean precipitation (left) and atmospheric column water vapor (right). Changes in precipitation and column water vapor are plotted relative to the annual mean GMST changes in (a). Results from the abrupt 2-5xCO<sub>2</sub> fully coupled atmosphere-ocean model (FOM) and slab ocean model (SOM) results are shown in the blue and cyan filled circles. The FOM SSP 2-4.5 recovered (R) and collapsed (C) results are also shown in the red circles (cyan and blue outlines, respectively). Interannual variability for each metric is indicated by the vertical bars. Note that in all panels the SOM 2xCO<sub>2</sub> results have been adjusted to match the FOM 2xCO<sub>2</sub> results in order to facilitate comparison of the FOM and SOM scalings with CO<sub>2</sub> and GMST, not on the absolute magnitude of the responses.

SOM (solid cyan) and FOM (solid blue) scalings. This suggests that the GMST differences between the collapsed (C) versus recovered (R) SSP 2-4.5 ensemble members are primarily associated with the changes in ocean heat convergence occurring in the former. Note that the SSP 2-4.5 results are plotted with respect to the peak CO<sub>2</sub> level achieved (i.e. 643 ppm), which occurs at year 2200 (not at the values occurring during years 2400-2500, which are lower (579-598 ppm)) (Meinshausen et al. (2020)).

Next we examine how changes in first-order thermodynamic variables scale with these (nonlinear)
changes in GMST. As with GMST, the changes in global mean precipitation and integrated column
water vapor (CWV) also vary nonlinearly with respect to radiative forcing in the FOM simulations
moving from 2xCO<sub>2</sub> to 3xCO<sub>2</sub> (Appendix Figure 2). As expected from the GMST changes, this
behavior is absent in the SOM integrations and the SSP 2-4.5 C and R members again align with the
FOM and SOM scalings, respectively. However, plotting the precipitation and CWV DJF changes
relative to annual mean GMST, reveals that the nonlinear scaling with RF more-or-less disappears
(Fig. 8b). This demonstrates that, while the first order global scale hydrological cycle is sensitive
to the collapse of the AMOC, this sensitivity occurs primarily through changes in GMST.

Finally, we note that the scaling of precipitation and CWV with GMST roughly follow the predictions from Held and Soden (2006), who identified a Clausius-Clapeyron (CC) scaling of integrated column water vapor (dashed black line denoting 7.5%/K, Fig. 8b, right) and a significantly sub-CC scaling of global mean precipitation (1.5%/K, Fig. 8b, left). While some additional nonlinearity in precipitation is also evident at higher CO<sub>2</sub> levels, as this is not immediately relevant to the SSP 2-4.5 ensemble, we reserve further discussion for future work.

### <sup>638</sup> 2) Northern Hemisphere Dynamical Changes: A Regime Shift

Moving next to the dynamical response, we find that several measures of the NH DJF zonal mean 639 dynamical circulation behave nonlinearly (and even non-monotonically) with respect to radiative 640 forcing in the FOM simulations (Appendix Figure 3). Unlike precipitation and CWV, however, this non-linear behavior in the NH surface wind-based HC edge (Fig. 9a), HC strength (Fig. 9b), northern midlatitude EKE (Fig. 9c), latitude of maximum eddy momentum fluxes (Fig. 9d) and 643 northern subtropical static stability (Fig. 9e) also occurs after plotting as a function of GMST. 644 Overall, these results suggest that there is no clear (certainly not linear) relationship between the responses in the northern HC (strength and lower tropospheric edge) and midlatitude jet and 646 changes in GMST in simulations (>3xCO<sub>2</sub> and SSP 2-4.5 C) in which the AMOC eventually 647 collapses.

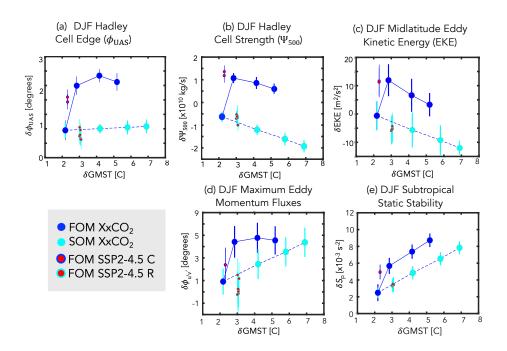


Fig. 9. Changes in various DJF Northern Hemisphere (NH) dynamical metrics, plotted as a function of GMST. Specifically, shown are the HC edge ( $\phi_{UAS}$ ) (a), HC strength ( $\Psi_{500}$ ) (b), NH column eddy kinetic energy (EKE) (c), latitude of the maximum NH eddy momentum fluxes (d) and NH subtropical dry static stability (e). The quantities in (a), (b) and (d) are defined in Section 2, while the zonally averaged EKE and static stability changes have both been averaged over 300-1000 hPa and over 30°N-60°N and 20°N-40°N, respectively. Results from the abrupt 2-5xCO<sub>2</sub> fully coupled atmosphere-ocean model (FOM) and slab ocean model (SOM) results are shown in the blue and cyan filled circles. The FOM SSP 2-4.5 recovered (R) and collapsed (C) ensemble members are shown in the red circles (cyan and blue outlines, respectively). Interannual variability for each metric is indicated by the vertical bars. As in Figure 8 the SOM 2xCO<sub>2</sub> results have been adjusted to match the FOM 2xCO<sub>2</sub> results.

Rather, the changes in the NH circulation reflect an abrupt poleward shift and increase, respectively, moving from 2xCO<sub>2</sub> to 3xCO<sub>2</sub> and between the SSP 2-4.5 R and SSP 2-4.5 C ensemble members. Furthermore, the responses in the NH HC edge, HC strength, midlatitude eddies and momentum fluxes saturate at 3xCO<sub>2</sub> forcing, which is indicative of a "regime" shift in our model, consistent with the use of the term in Caballero and Langen (2005), albeit for the low-gradient, high temperature regime identified in their study using a more idealized model (see discussion in Section 4). In particular, our results suggest that the AMOC collapse is associated with a regime shift in our model between a climate state in which the HC is substantially weaker and displaced

equatorward (strong AMOC) and a state in which the HC and midlatitude EKE is stronger and displaced poleward (weak AMOC).

While the HC and midlatitude eddy energy share a similar nonlinear behavior with respect to GMST, there are some important differences worth noting. In particular, whereas the HC edge (Fig. 9a) and latitude of maximum eddy momentum fluxes (Fig. 9d), saturate at 3xCO<sub>2</sub>, the changes in HC strength and midlatitude EKE continue to decrease for higher CO<sub>2</sub> values, despite continued increases in GSMT. At the same time, the subtropical static stability changes (Fig. 9e) are monotonic and more similar in spirit to the HC edge changes, compared to the changes in midlatitude EKE. The similar behavior shared by the HC edge and momentum fluxes is consistent with recent studies showing that the HC edge is strongly linked to the latitude of maximum eddy momentum fluxes (Chemke and Polvani (2019); Waugh et al. (2018); Menzel et al. (2019)).

One might expect that an expansion of the HC due to increased subtropical static stability would 677 also impact the extratropical tropospheric eddy response by shifting the eddy fields poleward 678 (Chemke and Polvani (2019); Menzel et al. (2019)). While this may partly explain the response in midlatitude EKE (Fig. 9c), however, the decreases in EKE that occur for CO2 values higher 680 than 3xCO<sub>2</sub> suggest that other processes are also at play. In particular, further investigation of 681 the EKE changes reveals that the increased generation of baroclinic eddies occurs in the region of strongest zonal vertical wind shear (Fig. 4b, Fig. 7b), where, if anything, static stability increases 683 (not shown). This enhanced baroclinity over northern midlatitudes is therefore also reflective of 684 the strong mid-tropospheric meridional temperature gradients that form over that region (Fig. 4a, 7a), and not entirely to the poleward shifted HC edge. 686

Another interesting feature highlighted in Figure 9 is that for some variables even the *sign* of the response is different than would otherwise be predicted from the SOM experiments which ignore changes in ocean heat convergence. This applies both to the changes in HC strength (Fig. 9b) and tropospheric column averaged EKE (Fig. 9c) which otherwise decrease in response to increasing CO<sub>2</sub>. This role of the ocean in the behavior of projected changes in northern EKE is consistent with Chemke et al. (2022), who showed that changes in ocean heat convergence are essential for correctly capturing the sign of the projected response in future storm track changes over the North Atlantic.

To further examine the relationship between changes in the HC and changes in the midlatitude eddies, Figure 10 shows the evolution of the responses in the northern HC edge (a), northern HC strength (b), midlatitude EKE (c), and midlatitude baroclinic eddy generation (d). While all fields show a generally similar evolution, the response of the HC edge (Fig. 10a) is more variable and somewhat different compared to the changes in HC strength, midlatitude eddies and midlatitude baroclinicity. This is consistent with the differences in GMST scaling between the HC edge and midlatitude metrics shown in Figure 9.

Finally, while the HC strengthening may be more directly linked to the southward shift of the 702 ITCZ as proposed in previous studies (Zhang and Delworth (2005); Zhang et al. (2010); Orihuela-703 Pinto et al. (2022)), the similarity of its evolution (Fig. 10b) and scaling with GMST (Fig. 9b) compared with the behavior of the midlatitude eddies (Fig. 10c, Fig. 9c) is striking and suggests 705 that the two may be mechanistically linked. Indeed, previous studies have shown that extratropical 706 wave fluxes impinging on the tropics can strongly influence the HC mass flux (Caballero (2007); 707 Singh et al. (2017)). Though beyond the scope of the current study, future work will focus on better understanding this close correspondence between changes in northern HC strength and midlatitude 709 eddies in the "collapsed" simulations. 710

## c. Energetic Analysis: Bjerknes Compensation in Response to an AMOC Shutdown

The previous section showed that, unlike the global mean thermodynamic response, several measures of NH dynamical sensitivity do not scale linearly with changes in global mean surface temperature. Rather, a collapsed AMOC in our model is accompanied by an abrupt strengthening and northward shift of the HC and northern midlatitude jet. To better understand why these variables exhibit this regime shift we examine the changes in energetics – and their partitioning between the atmosphere and ocean – that arise moving from 2xCO<sub>2</sub> to 3xCO<sub>2</sub> and between the SSP 2-4.5 R and SSP 2-4.5 C members.

#### 1) Ocean and Atmosphere Compensation

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Figure 11 shows the response in the annual mean northward total (atmosphere + ocean), oceanic and atmospheric transports, relative to the preindustrial control simulation. Between  $2xCO_2$  and  $3xCO_2$  and between the SSP 2-4.5 R and SSP 2-4.5 C members there is a large decrease/increase

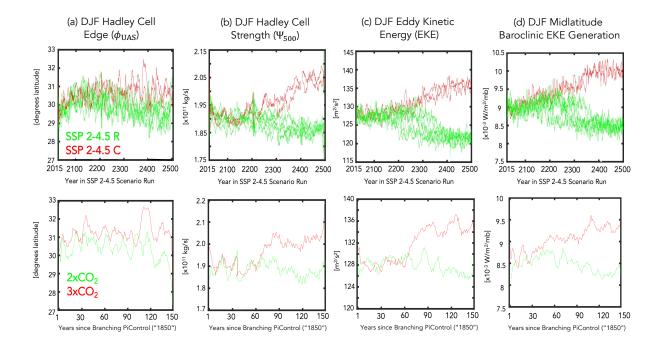


Fig. 10. Evolution of DJF Northern Hemisphere HC edge (a), HC strength (b), midlatitude eddy kinetic energy (c) and midlatitude baroclinic eddy kinetic energy generation (d). The baroclinic eddy generation has been averaged over the same region (300-1000 hPa, 30°N-60°N) as the EKE field, consistent with Figure 9. Comparisons among the SSP 2-4.5 recovered (R) and collapsed (C) ensemble members (top panels) and between the 2xCO<sub>2</sub> and 3xCO<sub>2</sub> runs (bottom panels) are shown in the green and red lines, respectively. A 5-year moving average has been applied to all time series.

in  $T_0/T_A$  over northern latitudes with a peak located at ~30-40°N. This behavior is reflective of an abrupt Bjerknes compensation that emerges in the model, wherein large anomalies in heat transported by the atmosphere increase to approximately balance large reductions in northward ocean transport (Bjerknes (1964)). More precisely, the reduction in northward ocean heat transport in the SSP 2-4.5 C ensemble members and at  $3xCO_2$  is approximately 1 PW (Fig. 11), representing a ~50% decrease relative to preindustrial values (Fig. 2b). Magnusdottir and Saravannan (1999) attributed this compensatory response in the atmosphere to high dynamical efficiency of atmospheric eddy transport. Note that the annual mean is shown here to facilitate comparison with the annual mean results presented in previous studies (e.g., Figure 1 in Zhang and Delworth (2005)

### Annual Mean Response in Poleward Heat Transport

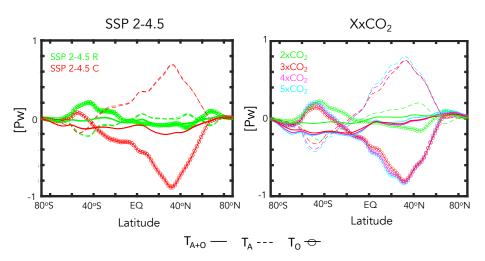


Fig. 11. Changes in the annual mean atmospheric (T<sub>A</sub>), oceanic (T<sub>O</sub>) and total (atmospheric + oceanic, T<sub>A+O</sub>) northward energy transport, relative to the preindustrial control simulation. Results from the SSP 2-4.5 ensemble members and the 2-5xCO<sub>2</sub> simulations are shown in the left and right panels. The simulations in which the AMOC collapses (3xCO<sub>2</sub>, SSP 2-4.5 C) versus recovers (2xCO<sub>2</sub>, SSP 2-4.5 R) are highlighted in the red and green lines, respectively.

and Figure 5 in Zhang et al. (2010)). We note in passing that the responses in the boreal winter transports look very similar (not shown).

What Figure 11 makes clear is that the changes in ocean heat transport are dominated by the changes in the AMOC, as reflected in the magnitude of the compensation occurring at 3xCO<sub>2</sub> (similar to the compensation occurring in the SSP 2-4.5 C ensemble) which saturates, despite further increases in CO<sub>2</sub> (and GMST). This helps to explain the behavior of the dynamical indices discussed in the previous section (Fig. 9), which also saturate at 3xCO<sub>2</sub> and do not increase (rather, decrease) moving to higher CO<sub>2</sub> forcings. A dramatic reduction in poleward ocean heat transport at ~30-40°N was also noted in the CMIP5 historical models in association with strong air-sea interactions within the midlatitude storm tracks (Outten et al. (2018)) and in several future climate integrations performed using the CMIP5 version of the GISS climate model (E2) Rind et al. (2018). In the latter case, however, the near cessation of the AMOC severely limited, but did not entirely shut off, poleward heat transport, which was partly maintained through the ocean

subtropical gyre contribution. Our results also show stronger compensation occurring over SH high latitudes poleward of 40°S.

While the changes in T<sub>O</sub> and T<sub>A</sub> reflect near entire compensation, this compensation is nonethe-758 less not perfect and slightly negative, resulting in a net reduction in the total northward combined atmospheric and oceanic energy transport. This reduction in net poleward energy transport was 760 also found in Liu et al. (2020), who showed that a weakened AMOC caused a larger energy change 761 at the Earth's surface than at the TOA (their Figure S.5). In particular, over the NAWH region they found that more energy was taken from the atmosphere through surface turbulent heat fluxes, 763 resulting in a situation where the NH atmosphere loses more energy at the surface compared to the 764 energy that is gained at the TOA (through reduced OLR). In the GISS model we also find that there is more energy loss at the surface compared to changes at the TOA and that these are primarily 766 associated with reduced latent heat fluxes (Appendix Figure 4). The reductions in surface latent 767 heat fluxes occur over the North Atlantic and are strongly shaped by changes in evaporation (not 768 shown). The exact extent and nature of this compensation, however, is likely shaped strongly by cloud feedbacks (Zhang et al. (2010)) as discussed more in Section 4b. 770

#### 2) Moist vs. Dry Atmospheric Transports

To better understand the nature of the compensation occurring in the GISS model, Figure 12 772 further decomposes the changes in T<sub>A</sub> into changes in the northward transports of latent heat (Fig. 773 12a) and dry static energy (Fig. 12b). Over the SH the changes in dry and moist static energy nearly compensate in all simulations, resulting in weakly negative northward atmospheric transports 775 poleward of ~40°S in both the XxCO<sub>2</sub> and SSP 2-4.5 runs. Equatorward of ~40°S, however, this 776 behavior transitions in the SSP 2-4.5 C members to net positive northward atmospheric transport from the SH subtropics towards and across the equator (which compensates the reduction in 778 oceanic equatorward heat transport in that region evident in Figure 11). This behavior over the SH 779 subtropics is distinct from what occurs in the XxCO<sub>2</sub> simulations, in which there is overall reduced 780 northward atmospheric transport (and less compensation by the oceanic transports). The fact that the oceanic compensation in this region is weaker at 3xCO<sub>2</sub> (relative to the SSP 2-4.5 C members) 782 may reflect the differences in simulation length between the abrupt CO<sub>2</sub> and SSP 2-4.5 integrations 783 or the fact that at  $3xCO_2$  there is increased water vapor in the atmosphere in the warmer climate and

Annual Mean Response in Latent Heat, Dry and Moist Static Energy Transport

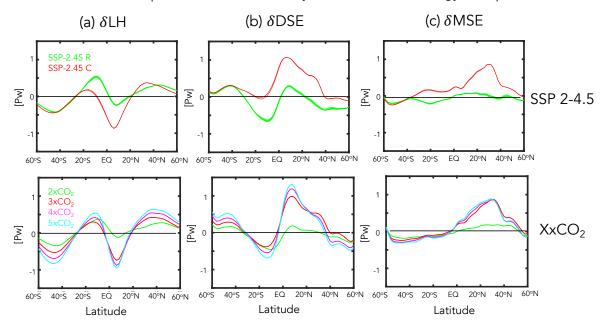


Fig. 12. Changes in the annual mean atmospheric latent heat (a), dry static energy (b) and total moist static energy (c) northward transports, relative to the preindustrial control simulation. Results from the SSP 2-4.5 ensemble members and the 2-5xCO<sub>2</sub> simulations are shown in the top and bottom panels. The simulations in which the AMOC collapses (3xCO<sub>2</sub>, SSP 2-4.5 C) versus recovers (2xCO<sub>2</sub>, SSP 2-4.5 R) are highlighted in the red and green lines, respectively.

hence increased poleward latent heat transport. Notably, however, the AMOC response in all runs
has little effect on extratropical latent heat transport over the Southern Hemisphere extratropics.

Aside from the subtle differences between the  $3xCO_2$  and SSP 2-4.5 C runs that occur over the SH subtropics, the fact that the changes in dry static energy (DSE) and latent heat transport nearly compensate over southern and tropical latitudes in all runs is consistent with the expectation from Held and Soden (2006). Interestingly, however, this compensation does not occur over northern latitudes spanning  $\sim 10^{\circ}$ N to  $\sim 40^{\circ}$ N, resulting in a net increase in poleward moist static energy transport (Fig. 12c). Over these latitudes the increased atmospheric energy transport resulting from an AMOC collapse is almost entirely due to changes in dry static energy, not latent heat transport. In particular, DSE transport exhibits a "jump" between  $2xCO_2$  and  $3xCO_2$  (also evident in the differences between the SSP 2-4.5 C and SSP 2-4.5 R members) (Fig. 12b); a similar jump is only evident in the latent heat transports equatorward of  $20^{\circ}$ N (which, if anything, enhances energy

transport equatorward, not poleward). The jump in DSE transport over the northern extratropics saturates for forcings greater than 3xCO<sub>2</sub>. Further analysis of the evolution of the dry static energy transports at different latitudes in the northern hemisphere (not shown) reveals that these changes in DSE transport first emerge around 20°N and propagate thereafter to 40°N.

The fact that the abrupt increase in atmospheric poleward transport derives primarily from 806 changes in DSE transport helps in interpreting why a similar shift emerges in the HC and eddy-807 driven jet, since the HC fluxes dry static energy poleward (Frierson et al. (2007)). Indeed, previous energetic definitions of the storm track have appealed directly to DSE (e.g. latitude of maximum 809 vertically-integrated dry static energy flux (Hoskins and Valdes (1990)). More recently, Lachmy 810 and Shaw (2018) show that the vertically integrated eddy potential energy flux shifts in same sense 811 as the vertically integrated eddy DSE flux. They then use the Eliassen-Palm flux relation to connect 812 these changes in energy fluxes to changes in the eddy momentum fluxes. Therefore, the fact that 813 these features all shift in concert with each other in our runs should perhaps not be too surprising. 814

### 815 4. Discussion

#### 816 a. Caveats Concerning Model Biases

One important caveat with our results relates to known biases in vertical mixing in the ocean component of the GISS model, as discussed in Miller et al. (2021). This biased mixing is likely related to why E2.1 exhibits a more sensitive AMOC response to a quadrupling of CO<sub>2</sub>, compared to some other CMIP6 models (KB2021). In addition, Rind et al. (2020) showed that the parameterization of rainfall evaporation associated with moist convective precipitation has a strong influence on the AMOC sensitivity to greenhouse gas forcing in the E2.1 (and higher top E2.2) models, likely via its effect on moisture loading in the atmosphere. Thus, in addition to oceanic processes, atmospheric parameterizations could also be influencing this result.

Along with biases in vertical mixing, the ocean component of E2.1 is also low resolution (one degree). This likely has direct implications for the stability of the AMOC, as discussed in AR2023 (see references therein). In particular, the stability of the AMOC will differ between low resolution climate models, which exhibit a negative salt-advection feedback (leading to salinification of the subpolar gyre and AMOC recovery), and eddy-permitting models, which tend to exhibit a stable AMOC-off state. We emphasize here, however, that throughout we have focused on the response of

the atmospheric circulation given a collapse in the AMOC. Thus, while the particular mechanisms by which the AMOC is weakened (and subsequently recovers) in E2.1 may be model-specific, our focus has been on quantifying the atmospheric changes. We also note that Mitevski et al. (2021) showed that the behavior of the AMOC in E2.1 was similar to the response in CESM-LE; furthermore that model also featured a nonlinear response in GMST related to a collapse of the AMOC, albeit one occurring at the transition between 3xCO<sub>2</sub> and 4xCO<sub>2</sub>.

# b. Bjerknes Compensation: Cloud Feedbacks and Dry Versus Moist Energy Transports

A key result from our study is that a collapse of the AMOC results in a regime shift in various components of the NH large-scale circulation and this shift is reflective of an abrupt Bjerknes compensation that emerges at 3xCO<sub>2</sub> and in the SSP 2-4.5 C ensemble members. There are several aspects of this compensation, however, that require closer examination. Among others, these include:

#### 1) Influence of Cloud Feedbacks

Mitevski et al. (2022) showed that nonlinearity in ECS occurring between 2xCO<sub>2</sub> and 3xCO<sub>2</sub> 844 in our model was related to nonlinear variations in the atmospheric feedback parameter and not 845 to changes in radiative forcing. At the same time, the strength of the Bjerknes compensation in our model will likely depend on cloud feedbacks, as the right-hand-side of Equation (1) makes 847 clear (via the F<sub>T</sub> and F<sub>S</sub> terms). For example, Zhang et al. (2010) showed a strong sensitivity 848 of the tropical climates' response to a freshwater hosing forcing to changes in cloud feedbacks, showing that in a model with no cloud feedbacks the tropical response to the weakening of the 850 AMOC (including its southward ITCZ shift) was much smaller. Thus, while the overall Bjerknes 851 compensation occurring in our model is generally consistent (in its meridional distribution and 852 amplitude) with the results from other similar studies, the exact details of how compensation occurs is likely to be sensitive to local climate feedbacks which may be model-dependent and/or poorly 854 constrained by observations. Future work will focus on better understanding how changes in cloud 855 feedbacks modulate the response of the atmosphere to a weakened AMOC in our model.

## 2) Atmospheric Dry vs. Moist Compensation

One interesting result from this study is that the large compensation in poleward atmospheric transport that occurs as the AMOC collapses is primarily related to increases in the northward transport of dry static energy poleward of 20°N (coincident with the edge of the non-monotonically shifting HC edge) (Fig. 12). This result is initially surprising as it downplays the compensation that occurs through changes in latent heat transport over northern midlatitudes. Thus, while our results do show a compensatory latent heat transport occurring in the tropics, this does not occur over the NH extratropics and is therefore not fundamentally associated with the non-monotonic behavior in the NH HC edge and midlatitude eddy-driven jet.

The diminished importance of the latent heat transports over northern midlatitudes is initially 866 surprising, given that warming in response to increased CO<sub>2</sub> results in an overall increase in 867 atmospheric water vapor. Upon further reflection, however, this effect of enhanced global warming 868 needs to be considered in the context of both the reduced Arctic warming and poleward shifted EKE evident in Figure 4. The former can, via cooling, reduce the total moisture available for 870 northward transport, while the latter would impact the efficiency with which subtropical moisture 871 is transported poleward to higher latitudes. In our results it appears that these changes compensate, resulting in no net AMOC imprint on the latent heat transports over northern extratropical latitudes 873 (Fig. 12a, top). While disentangling these contributions is beyond the scope of this study, we do 874 comment on the consistent results shown in Figure S5 of Mitevski et al. (2021), who identified a much stronger non-monotonicity present in the edge of the dry zone (P-E) compared to NH 876 specific humidity. While this suggests that the circulation changes are themselves responsible for 877 the behavior of the latent heat transports (and not vice versa), more work is needed to understand 878 the underlying mechanism present in our model and whether this behavior is also exhibited in other models (or the real atmosphere). 880

## **5. Conclusions**

Here we have documented the atmospheric response to a  $CO_2$ -induced AMOC collapse using the CMIP6 version of the NASA GISS climate model (E2.1). Using simulations from an identically forced (SSP 2-4.5) ensemble in which the AMOC collapses and recovers in two and eight members, respectively, we have isolated the atmospheric response to a spontaneous collapse of the AMOC in the context of a warming climate, absent any external perturbations that may interfere with the model's internal dynamics. By comparison, previous studies have all needed to employ (negative) freshwater flux perturbations or similar AMOC "locking" methods (Liu et al. (2020), Orihuela-Pinto et al. (2022)). We then placed the atmospheric response in the SSP 2-4.5 simulations in the broader context of a set of integrations in which CO<sub>2</sub> is abruptly increased, run both using fully coupled atmosphere-ocean (FOM) and slab-ocean (SOM) configurations, in which changes in ocean heat flux convergences are respectively included and neglected.

### Our main results are as follows:

- In our model a sustained decline and eventual collapse of the AMOC results in a strengthening of the NH HC and the northern midlatitude jet, as well as an abrupt northward shift of the HC edge in the lower troposphere. Quite remarkably, these features dominate the large-scale atmospheric circulation response that occurs in the NH moving from 2xCO<sub>2</sub> to 3xCO<sub>2</sub>.
- For certain variables (i.e., HC strength, EKE) an ultimate collapse of the AMOC produces changes that are *opposite* in sign to the response to increased CO<sub>2</sub> forcing occurring in the absence of ocean circulation changes.
- The regime shift in the NH large-scale circulation reflects an abrupt Bjerknes compensation that emerges in the 3xCO<sub>2</sub> and collapsed SSP 2-4.5 C simulations. This compensation is located further south (~40°N) of what is often considered to be the main region of maximum ocean-atmosphere compensation (70°N) (Shaffrey and Sutton (2006)) and reflects a key role for the midlatitude storm tracks in the coupled system's response to a warmer climate.
- The impact of the AMOC on the large-scale NH circulation occurs mainly through its influence on mean free-tropospheric temperature gradients, not GMST. This finding reinforces growing evidence that the climate's "dynamical sensitivity" does not scale with equilibrium climate sensitivity (Grise and Polvani (2016), Ceppi et al. (2018)), particularly in the presence of a collapsed AMOC.

The regime shift in NH dynamics resulting from an AMOC collapse in our model is, to the best of our knowledge, the first time that such behavior has been documented for a CMIP class model. While previous studies have also reported nonlinear behaviors in HC strength (Levine and

Schneider (2011), O'Reilly et al. (2016)) these studies have employed mainly idealized models. In addition to the changes in the HC we also identify a regime shift in the behavior of the northern storm 916 tracks. This result brings to mind the findings from Caballero and Langen (2005), who showed that 917 poleward energy transport increases over a range of increasing surface temperature but saturates in the low-gradient, high temperature regime. As in our study, they attribute this "low-gradient 919 paradox" to increasing tropospheric static stability and the poleward migration of the storm tracks. 920 However, they too employed a highly idealized (aquaplanet) model and find that this saturation in 921 storm track behavior is related to a saturation of latent heat transport. Our results, by comparison, 922 highlight the role of compensatory dry static energy transports and suggests that studies accounting 923 for dynamic ocean-atmospheric coupling (i.e., changes in vertical and horizontal ocean heat fluxes) 924 may come to different conclusions about the nature of compensation in the atmosphere. 925

In addition to contributing to improved understanding of the coupled atmosphere-ocean response to a weakening of the AMOC, our results also have a practical implication for the purpose of developing storylines of atmospheric circulation changes (Zappa and Shepherd (2017)) and for interpreting model differences in projected storm tracks. In particular, while the use of "global warming levels" applied throughout the IPCC AR6 report may suffice for understanding the global hydrological cycle (Hausfather et al. (2022)) here we have shown that this does not hold true for projections of the NH jet stream and HC edge. This underscores the need to understand the direct impact of the AMOC on meridional temperature gradients and not only on surface temperature.

Finally, preliminary analysis of the high-top GISS climate model (E2.2 (Rind et al. (2020), Orbe et al. (2020)) suggests a different sensitivity of the AMOC compared to E2.1 (occurring between 3xCO<sub>2</sub> and 4xCO<sub>2</sub>). Understanding these differences and how they are reflected in different Bjerknes compensations will be described in a follow-up paper.

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Acknowledgments. C.O. thanks Ivan Mitevski for processing the zonally varying eddy kinetic energy fields that were used as part of this analysis. Climate modeling at GISS is supported by the NASA Modeling, Analysis and Prediction program, and resources supporting this work were provided by the NASA High-End Computing (HEC) Program through the NASA Center for Climate Simulation (NCCS) at Goddard Space Flight Center.

Data availability statement. The CMIP6 SSP 2-4.5 data used in this study is available from the Earth System Grid Federation (ESGF) (https://esgf-node.llnl.gov/search/cmip6/) 944 or from the NASA Center for Climate Simulations (NCCS) (https://portal.nccs.nasa. 945 gov/datashare/giss/cmip6/). The specific simulations used here are a subset of the historical r[1-10]i1p1f2 (doi: 87010.22033/ESGF/CMIP6.7127) and SSP 2-4.5 r[1-10]i1p1f2 (doi:10. 947 22033/ESGF/CMIP6.7415) runs. The XxCO<sub>2</sub> data used to produce the figures in the study is 948 publicly available in a Zenodo repository at https://doi.org/10.5281/zenodo.3901624. 949 The authors acknowledge the World Climate Research Programme's Working Group on Coupled Modeling and we thank all climate modeling groups for making available their model output. 951 All GISS ModelE components are open source and available at https://www.giss.nasa.gov/ 952 tools/modelE/.

APPENDIX 954 **Appendix Figures** 

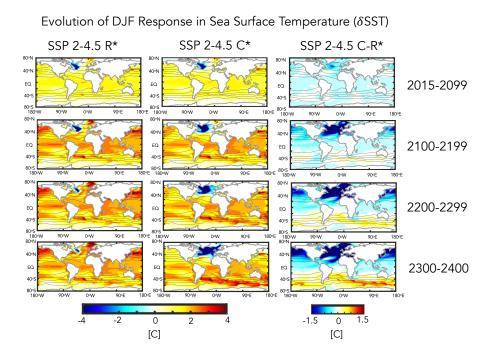


Fig. A1. The evolution of the DJF sea surface temperature difference, relative to the preindustrial control simulation, in one of the SSP 2-4.5 recovered (R) (left) and collapsed (C) ensemble members (middle). The difference between the SSP 2-4.5 recovered and collapsed ensemble members is also shown (right). Note that only one ensemble member is used due to the different recovery times of the AMOC among the "recovered" ensemble members prior to year 2400. Climatological mean values from the preindustrial control simulation are denoted in the black contours.

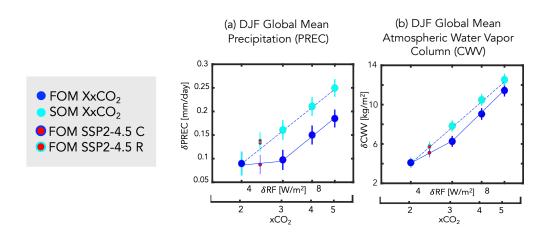


Fig. A2. Changes in DJF global mean precipitation (a) and atmospheric column water vapor (b), plotted as a function of the associated radiative forcing (RF), calculated from the expression 5.35ln (NxCO<sub>2</sub>/1xCO<sub>2</sub>) (Byrne and Goldblatt (2014)) where, for each run, N is the CO<sub>2</sub> multiple of the PI value (2.4, for the case of the SSP 2-4.5 ensemble members). Results from the abrupt 2-5xCO<sub>2</sub> fully coupled atmosphere-ocean model (FOM) and slab ocean model (SOM) results are shown in the blue and cyan filled circles. The FOM SSP 2-4.5 recovered and collapsed ensemble members are also shown in the red circles (cyan and blue outlines, respectively). Interannual variability for each metric is indicated by the vertical bars.

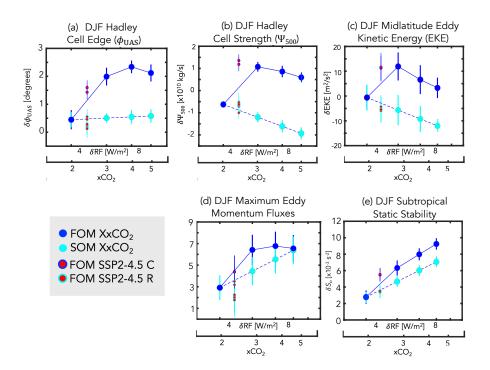


Fig. A3. Changes in various DJF Northern Hemisphere (NH) dynamical metrics, plotted as a function of associated radiative forcing. Specifically, shown are the HC edge ( $\phi_{UAS}$ ) (a), HC strength ( $\Psi_{500}$ ) (b), NH column eddy kinetic energy (EKE) (c), latitude of the maximum NH eddy momentum fluxes (d) and NH subtropical dry static stability (e). The quantities in (a), (b) and (d) are defined in Section 2, while the zonally averaged EKE and static stability changes have both been averaged over 300-1000 hPa and over 30°N-60°N and 20°N-40°N, respectively. Results from the abrupt 2-5xCO<sub>2</sub> fully coupled atmosphere-ocean model (FOM) and slab ocean model (SOM) results are shown in the blue and cyan filled circles. The FOM SSP 2-4.5 recovered and collapsed ensemble members are shown in the red circles (cyan and blue outlines, respectively). Interannual variability for each metric is indicated by the vertical bars.

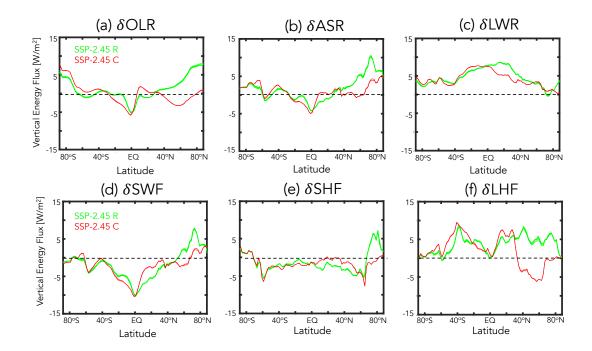


Fig. A4. Changes in the annual mean top of the atmosphere outgoing longwave radiation (OLR) (a) and absorbed shortwave radiation (ASR) (b) and the downward fluxes of radiation at the surface, decomposed into longwave (LWF) (c) and shortwave (SWF) (d) components. The fluxes of latent and sensible heat at the surface (LHF and SHF) are shown in (e) and (f), respectively. All changes are shown for the SSP 2-4.5 collapsed (C) (red) and SSP 2-4.5 recovered (R) (green) ensemble members and are defined relative to the preindustrial control simulation.

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