# Decoupling of rainfall and vegetation greening in the arid Asian endorheic basins due to the irrigation intensification

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May 13, 2023

#### Abstract

A large portion of Central-Western Asia is made up of contiguous closed basins, collectively termed as the Asian Endorheic Basins (AEB). As these retention basins are only being replenished by the intermittent precipitation, increasing droughts in the region and a growing demand for water have been presumed to jointly contributed to the land degradation. To understand the impact of climate change and human activities on dryland vegetation over the AEB, we conducted trend and partial correlation analysis of vegetation and hydroclimatic change from 2001 to 2021 using multi-satellite observations, including vegetation greenness, total water storage anomalies (TWSA) and meteorological data. Here we show that much of the AEB (65.53%) exhibited a greening trend over the past two decades. Partial correlation analyses indicated that climatic factors had varying effects on vegetation productivity as a function of vegetation types and aridity. In arid AEB, precipitation dominated the vegetation productivity trend. Such a rainfall dominance gave way to TWSA dominance in the hyper-arid AEB. We further showed that the decoupling of rainfall and hyper-arid vegetation greening was largely due to a significant expansion (17.3%) in irrigated cropland across the hyper-arid AEB. Given the extremely harsh environment in the hyper-arid AEB, our results therefore raised the concerns on the ecological and societal sustainability in this region, where a mild increase in precipitation might not be able to catch up the rising evaporative demand and water consumption resulted from global warming and irrigation intensification.

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# endorheic-basins-due-to-the-irrigation-intensification

1 Decoupling of rainfall and vegetation greening in the arid Asian endorheic basins due 2 to the irrigation intensification 3 Zhengyang Zhang<sup>1</sup>, Xuanlong Ma<sup>1\*</sup>, Eduardo Eiji Maeda<sup>2, 3</sup>, Lei Lu<sup>1</sup>, Yuanyuan Wang<sup>4, 5</sup>, 4 Zunyi Xie<sup>6</sup>, Xiaoying Li<sup>1</sup>, Lei Huang<sup>7</sup>, Yuhe Zhao<sup>1</sup>, and Alfredo Huete<sup>8</sup> 5 <sup>1</sup>College of Earth and Environmental Sciences, Lanzhou University, Lanzhou, Gansu, 730000 6 China. 7 <sup>2</sup>Department of Geosciences and Geography, P.O. Box 68, FI-00014 University of Helsinki, 8 9 Finland. <sup>3</sup>Finnish Meteorological Institute, FMI, Helsinki, Finland. 10 <sup>4</sup>Key Laboratory of Radiometric Calibration and Validation for Environmental Satellites, 11 National Satellite Meteorological Center (National Center for Space Weather), China 12 Meteorological Administration, Beijing 100082, China. 13 <sup>5</sup>Innovation Center for FengYun Meteorological Satellite (FYSIC), Beijing 100082, China. 14 <sup>6</sup>Centre for Biodiversity and Conservation Science, School of Earth and Environmental Sciences, 15 The University of Queensland, Brisbane, QLD, Australia. 16 <sup>7</sup>Aerospace Information Research Institute, Chinese Academy of Sciences, Beijing, 100094, 17 China. 18 <sup>8</sup>Faculty of Science, University of Technology Sydney, Sydney, NSW 2007, Australia 19 Corresponding author: Xuanlong Ma (xlma@lzu.edu.cn) 20 21 **Key Points:** 22 An overall greening trend was found in the AEB expecially in Northwest China, Qinghai 23 • Tibet Plateau, and Western Asia during 2001-2021. 24 • Precipitation had the dominant control effect in arid zones, vegetation change in hyper-25 arid zones was most closely associated with TWSA. 26 27 • A significant expansion of irrigated cropland (agricultural development) in hyper-arid

zones was positively correlated with greening.

### 29 Abstract

A large portion of Central-Western Asia is made up of contiguous closed basins, collectively 30 termed as the Asian Endorheic Basins (AEB). As these retention basins are only being 31 replenished by the intermittent precipitation, increasing droughts in the region and a growing 32 demand for water have been presumed to jointly contributed to the land degradation. To 33 34 understand the impact of climate change and human activities on dryland vegetation over the AEB, we conducted trend and partial correlation analysis of vegetation and hydroclimatic change 35 from 2001 to 2021 using multi-satellite observations, including vegetation greenness, total water 36 storage anomalies (TWSA) and meteorological data. Here we show that much of the AEB 37 (65.53%) exhibited a greening trend over the past two decades. Partial correlation analyses 38 indicated that climatic factors had varying effects on vegetation productivity as a function of 39 vegetation types and aridity. In arid AEB, precipitation dominated the vegetation productivity 40 trend. Such a rainfall dominance gave way to TWSA dominance in the hyper-arid AEB. We 41 further showed that the decoupling of rainfall and hyper-arid vegetation greening was largely due 42 to a significant expansion (17.3%) in irrigated cropland across the hyper-arid AEB. Given the 43 extremely harsh environment in the hyper-arid AEB, our results therefore raised the concerns on 44 the ecological and societal sustainability in this region, where a mild increase in precipitation 45 might not be able to catch up the rising evaporative demand and water consumption resulted 46 47 from global warming and irrigation intensification.

### 48 Plain Language Summary

49 Asian Endorheic Basins (AEB), including Central Asia, Caspian Sea, Mongolia Plateau, Northwest China, Qinghai Tibet Plateau, and Western Asia, are typical drylands that have fragile 50 ecosystems. Increased water demand from agricultural expansion have been presumed to jointly 51 contributed to the land degradation over the AEB. Here we analyzed the vegetation greening 52 53 pattern and the relationship with hydroclimatic driving factors and land use change. Results showed that much of the AEB exhibited a greening trend over the past two decades. We also 54 found that the hyper-arid vegetation greening was largely due to the irrigation intensification. 55 leading to the overexploitation of groundwater signified by an alarming rate of persistent decline 56 in groundwater. This paper offers valuable insight into the ecological responses to climate and 57 human activities in the AEB and can hence guide large-scale sustainable development plans to 58 address the challenges of future climate change in an extremely vulnerable environment. 59

60

### 61 **1 Introduction**

Drylands cover approximately 41% of the Earth's land surface and are commonly 62 characterized by scarce water resources (Smith et al., 2019). Dryland vegetation forms a vital 63 component that provides essential services to the terrestrial ecosystem, and supports biodiversity, 64 livelihoods, and food production (Riis et al., 2020; Ukkola et al., 2021). The Asian Endorheic 65 66 Basins (AEB) scattered across Central Asia, Caspian Sea, Mongolia Plateau, Northwest China, Qinghai Tibet Plateau, and Western Asia, are emblematic arid and semi-arid dryland ecosystems. 67 These basins retain water, but are replenished intermittently, thus making the ecosystems 68 69 vulnerable to extreme climate events (Jiao et al., 2021; Li et al., 2015; Pan et al., 2019). In addition, the population of the AEB has exploded over the last ten years, and these regions are 70 thought to house nearly 278 million people (3.6% of the global population) (CIESIN, 2018). 71

Climate change coupled with the increasing demand for water and other resources by people threatens the ecological and social sustainability of the AEB (Safriel et al., 2008). Consequently, disentangling the impact of climate change on vegetation in the AEB is of great importance for regional sustainable development (Liu et al., 2018; Wei et al., 2021).

A large proportion of bare land and limited water resources make the AEB prone to land 76 77 degradation and desertification. Studies on global vegetation dynamics suggest that vegetation change in the Anthropocene shows a high degree of spatial heterogeneity and varies greatly 78 across different ecosystems, which makes challenges in global change prediction (Mander et al., 79 2017; Xing et al., 2022; Zeng et al., 2021). In Northwest China, the eastern section of the AEB, 80 vegetation showed a greening trend since 1982 (Jiang et al., 2018). The greening trend was 81 mainly found in mountainous areas and oases, while the shrublands, grasslands, and deserts 82 83 experienced degradation (Wang et al., 2021; Liang et al., 2020). In Central Asia, the middle section of the AEB, studies revealed a significant greening trend from 1982 to 1994, but then 84 turned to be brown afterward (Luo et al., 2020; Yin et al., 2016). Similar to Northwest China, the 85 browning trend in Central Asia was mainly in deserts and grassland (Xing et al., 2022; Yuan et 86 87 al., 2021).

However, spatially heterogeneous process of greening and browning and poorly 88 understanding of a suite of hydroclimatic factors make it be challenging to predict the influence 89 of the climate in future years (Na et al., 2021; Piao et al., 2020). Precipitation has been 90 recognized as the major factor that regulates vegetation growth in drylands (Liu et al., 2021; 91 Yang et al., 2021), but temperature also plays a role as it affects water availability by altering the 92 atmospheric water demand via the potential evapotranspiration (Nagler et al., 2007). Recent 93 studies have suggested that geohydrological factors such as Terrestrial Water Storage (TWS) can 94 also affect dryland vegetation productivity (Seka et al., 2022; Zhang et al., 2022), in some cases, 95 the relative importance of TWS may even surpass precipitation (e.g., Yang et al., Cao et al. 96 2022). To further complicate the ability to predict vegetation change, human activities including 97 98 farming, infrastructure construction, and industrial water consumption can have different impacts on vegetation (Chen et al., 2020; Li et al., 2022a). 99

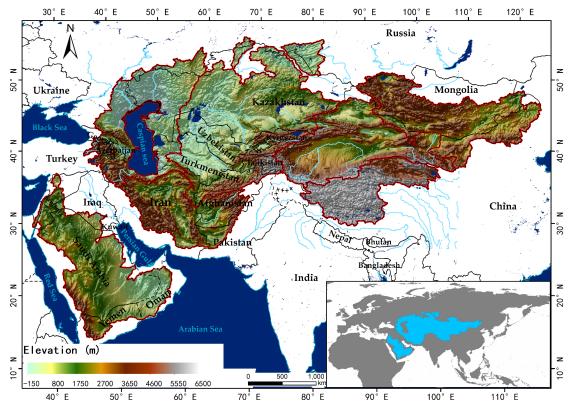
The intersection of these factors means that predicting and modeling ecosystem change 100 can be extremely challenging, particularly across an area as spatially heterogeneous as the EEB 101 (Mohamed et al., 2018). Therefore, it is expected to capture the spatially explicit characterization 102 of the trend in vegetation productivity with consideration of not only precipitation and 103 temperature but also water storage and human activity. To address this issue, we have employed 104 the highly-calibrated MODIS data spanning the last two decades to examine changes in 105 106 vegetation productivity with the development of this region. Instead of using the potentially outdated GIMMS NDVI3g dataset in most modeling efforts for the region, we use the MODIS 107 data, hoping to not only characterize the spatial patterns of change in the EEB, but also to 108 109 identify generalizable spatial patterns and provide insight into the underlying mechanisms that dictate varying sensitivities of the ecosystem to hydroclimatic change. 110

The overarching aim of this study is to quantify changes in vegetation productivity within the EEB over the past two decades, and to identify any hydroclimatic factor that appears to drive or predict this change. Specifically, our objectives are to 1) characterize the temporal trend of integrated MODIS EVI (IntEVI), a satellite proxy of vegetation productivity, in growing season from 2001 to 2021 over the EEB; 2) quantify the driving factors of the IntEVI trend at each pixel including precipitation, temperature, and terrestrial water storage; and to 3) generalize the relative role of hydroclimatic condition and vegetation types on the relationships between
 vegetation productivity and hydroclimatic factors across these endorheic dryland ecosystems.

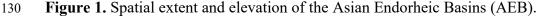
### 119 2 Materials and Methods

### 120 2.1 Study Area

The Asian endorheic basins (AEB) are located in the Asian inland, which is commonly 121 divided into six major geographic units: Central Asia (CA), Caspian Sea (CS), Mongolia Plateau 122 (MGP), Northwest China (NC), Qinghai Tibet Plateau (QTP), and Western Asia (WA) (Fig. 1). 123 The entire AEB spans from the latitude of 34.43°E to 119.98°E and the longitude of 13.92°N to 124 56.24°N, with a total area of 1.34×107 km<sup>2</sup>, and is known to be one of the most ecologically 125 fragile regions in the world (Yu et al., 2016; Huang et al., 2016; Mu et al., 2021). In this region, 126 127 the average annual temperature ranges from -19°C to 27°C, and the total annual precipitation varies between less than 50 mm to more than 800 mm. 128



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We identified our study area based on the map of the Endorheic Basins boundary from the National Tibetan Plateau Science Data Center (http://data.tpdc.ac.cn/en/) and the HydroSHEDS (http://www.hydrosheds.org). A summary of these regions is shown in Table 1.

134	<b>Table 1.</b> Overview of six inland regions in the AEB.	
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Basin Name	Area (×10 <sup>4</sup> km <sup>2</sup> )	Average Altitude (m)	Aridity Index	TEM (°C)	PRE (mm)	Total Population in 2020 (×10 <sup>4</sup> )
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Centra Asia	339.4	697.8	0.21	8.6	338.3	8781.0
Caspian Sea	159.1	471.5	0.25	10.2	421.3	5425.6
Mongolia Plateau	162.7	1430.0	0.14	4.0	180.8	424.3
Northwest China	169.3	1828.8	0.11	6.7	140.7	2982.9
Qinghai Tibet Plateau	97.5	4551.4	0.16	-3.9	212.7	110.1
Western Asia	317.9	928.0	0.05	22.5	118.3	10076.2
Asian Endorheic Basin	1245.9	1651.3	0.15	8.0	235.4	27800.1

135 2.2 Land-cover types data

We used the GLC FCS30 global ecosystem types data which contained 29 types and had 136 a spatial resolution of 30 m (Liu et al., 2021b). The product was generated based on a novel and 137 automatic land-cover monitoring strategy designed to couple continuous land-cover change 138 detection models with dynamic updating algorithms. The continuous time-series surface 139 reflectance from Landsat imagery from 1984 to 2020 and local adaptive modeling were used to 140 produce the global 30-m land-cover dynamic monitoring products. A summary on the area and 141 fraction of each ecosystem type in the study area is shown in Table 2, and the spatial distribution 142 of ecosystem types in 2020 is shown in Figure 2a. 143

	20	000	2020		
Name	Area (×10 <sup>4</sup> km <sup>2</sup> )	Percentage (%)	Area (×10 <sup>4</sup> km <sup>2</sup> )	Percentage (%)	
Bare areas	746.76	44.30	722.85	42.88	
Grassland	317.60	18.84	308.82	18.32	
Sparse vegetation	239.97	14.24	249.07	14.77	
Shrubland	101.62	6.03	110.93	6.58	
Rainfed cropland	141.31	8.38	145.60	8.64	
Irrigated cropland	47.77	2.83	48.58	2.88	
Forest	40.05	2.38	41.03	2.43	
Water body	23.48	1.39	24.61	1.46	
Permanent ice/snow	15.12	0.90	16.21	0.96	
Impervious surfaces	4.80	0.28	9.09	0.54	
Wetlands	7.06	0.42	9.04	0.54	

144 **Table 2.** Ecosystem types in Asian endorheic basins in 2000 and 2020.

145 2.3 Aridity index data

To better understand the dependence of vegetation response to hydroclimatic factors, we used an aridity index (AI) to describe the long-term background climate of each pixel obtained from the Global Aridity Index and Potential Evapotranspiration (ETo) Meteorology Database v2 (https://cgiarcsi.community/). AI is defined as:

$$AI = \frac{P}{ET_o} \tag{1}$$

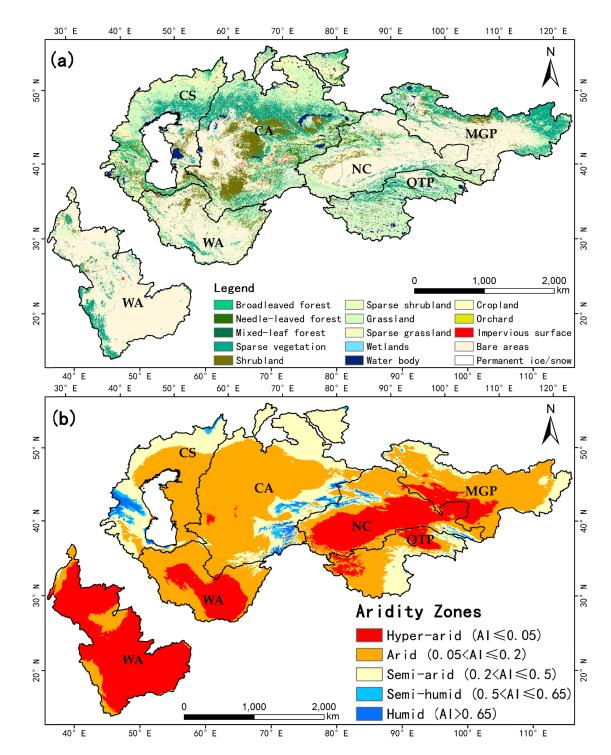
where P is the annual precipitation (mm), and ET0 is the annual average potential evapotranspiration (mm). The P was obtained from the WorldClim2 Global Meteorology Data (https://www.worldclim.org/), while the ET0 was derived from the monthly averaged data in the Global-ETo and further aggregated to generate annual average values (MA-ETo). The aridity zones of the study area based on the AI are presented in Table 3, and Figure 2(d) shows the aridity classes of the study area.

AI Value	Aridity Class*	Area (×10 <sup>4</sup> km²)	Percentage (%)
0-0.05	Hyper-arid	309.8	26.5
0.05-0.2	Arid	558.8	47.7
0.2 - 0.5	Semi-arid	264.5	22.6
0.5 - 0.65	Semi-humid	20.7	1.8
 > 0.65	Humid	17.2	1.5

157 **Table 3.** Summary of the study area based on aridity index.

\* Classification is based on the UNEP (The United Nations Environment Programme,

159 https://www.unep.org/).





161 Figure 2. Spatial pattern of ecosystem types (a) and aridity zones (b). MGP: Mongolia Plateau,

QTP: Qinghai Tibet Plateau, NC: Northwest China, CA: Central Asia, CS: Caspian Sea, WA:
 Western Asia.

164 2.4 Meteorological data

We obtained ERA5-land monthly average 2-m air temperature data, with a spatial resolution of  $0.1^{\circ} \times 0.1^{\circ}$ , from ECMWF (European Centre for Medium-Range Weather Forecasts) (https://cds.meteorology.copernicus.eu). ERA5-land is a reanalysis dataset which provides a consistent view of the evolution of land variables over several decades at an enhanced resolution compared to ERA5, providing a reliable record of past climate conditions (Hersbach et al., 2020; Dee et al., 2011).

Global precipitation measurement (GPM) dataset, a monthly precipitation record, was 171 obtained from the NASA GES DISC at NASA Goddard Space Flight Center at a spatial 172 resolution of  $0.1^{\circ} \times 0.1^{\circ}$  (https://disc.gsfc.nasa.gov/datasets/GPM 3IMERGM 06). The GPM is 173 an international satellite mission designed to provide next-generation observations of rain and 174 snow worldwide. The Integrated Multi-Satellite Retrievals for GPM (IMERG) is the unified 175 algorithm that provides rainfall estimates by interpolating data from all satellite microwave or 176 microwave-corrected infrared precipitation and monthly precipitation gauge records (Huffman et 177 al., 2019a, b). Here we converted the monthly data with the unit of mm/hr into the total 178 precipitation amount during the measurement period. The GPM version 6 record is only 179 available from June 1, 2000, to September 1, 2021, and the missing data from 2021 are filled by 180 using the values of data in the same months from 2016-2020. 181

182 2.5 GRACE Total Water Storage Anomalies (TWSA) data

GRACE Total Water Storage Anomalies (TWSA) data were obtained from The Physical 183 Oceanography Distributed Active Archive Center (PODAAC) and processed by Jet Propulsion 184 Laboratory (JPL) (NASA/JPL, 2019). This dataset contains gridded monthly global water 185 storage/height anomalies derived from GRACE and GRACE-FO observations by using the 186 187 Mascon approach (Version2/RL06). TWSA data are always used for analyzing ocean, ice, and hydrology phenomena, and are provided in equivalent water thickness units (cm) with a spatial 188 resolution of  $0.5^{\circ} \times 0.5^{\circ}$  (Landerer et al., 2020). The data are available for every month since 189 April 2002 to the present, although there are 33 months with missing data. The averaged values 190 of the same month in the most recent five data-available years were used to fill the gaps. We also 191 used this dataset to calculate the cumulative TWSA, or cTWSA, which represented the long-term 192 departure of TWSA from its "normal" cycle. This approach is widely used for quantitatively 193 194 estimating the variation of TWSA in response to environmental or anthropogenic interference (Li et al., 2022b). cTWSA is calculated as follows: 195

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$$cTWSA_k = \sum_{i=1}^k TWSA_i \tag{2}$$

where k is the kth year of the time series, i is the serial number, i.e. 1-20 from 2002 to 2021.

### 198 2.6 MODIS Enhanced Vegetation Index data

The Enhanced Vegetation Index (EVI) is widely used as a proxy of canopy "greenness", which is defined as an integrative composite property of green leaf area, green foliage cover, structure, and leaf chlorophyll content (Huete et al., 2002; Glenn et al., 2008). EVI was developed based on the 1st-order Beer's law application of canopy radiative transfer, optimizing the combination of reflectance in the red, near-infrared (NIR), and blue bands, thus effectively reducing soil background influences and atmospheric noise variations (Huete, 1988; Huete et al., 2002, 2006). EVI is calculated thusly:

$$EVI = 2.5 \times \frac{\rho_{NIR} - \rho_{red}}{\rho_{NIR} + 6\rho_{red} - 7.5\rho_{blue} + 1}$$
(3)

where  $\rho_{NIR}$ ,  $\rho_{red}$  and  $\rho_{blue}$  are reflectance in the near-infrared, red, and blue bands respectively 207 (Huete et al., 2002). In this study, we opted to use MODIS Collection-6 monthly 0.05° EVI 208 products (MOD13C2) from January 2001 to December 2021 obtained from the USGS repository 209 (ftp://e4ftl01.cr.usgs.gov) to investigate regional vegetation growth patterns. Residual cloud and 210 aerosol contamination in the original EVI time series were filtered out based on the quality 211 assurance (QA) flags provided in the MOD13C2 products, after which the remaining gaps were 212 filled by linear interpolation in the temporal dimension. Additionally, we generated an integrated 213 EVI (hereafter IntEVI) specifically for the annual growing season by summing up the values of 214

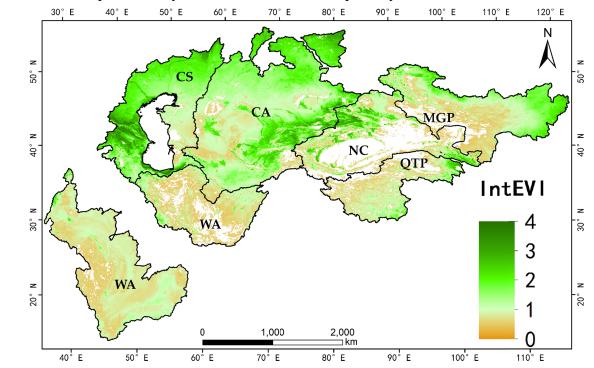
EVI from April to October of each year.

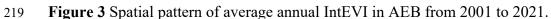
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$$IntEVI_n = \sum_{i=4}^{10} EVI_{n,i} \tag{4}$$

217 where *n* and *i* represent the year number and month, separately.





220 2.7 Temporal Trend Analysis

Inter-annual changes in IntEVI in the study area from 2001 to 2021 were assessed by the linear trend analysis (Stow et al., 2004; Zhang et al., 2019). The rate of change, or the slope of the linear trend, is calculated as follows:

224 
$$Slope = \frac{n\sum_{i=1}^{n} i \times IntEVI_i - \sum_{i=1}^{n} i \sum_{i=1}^{n} IntEVI_i}{n\sum_{i=1}^{n} i^2 - (\sum_{i=1}^{n} i)^2}$$
(5)

We then used an F test to test if IntEVI was changing over time (Song et al., 2010). The calculation formulas are as following:

227  $F = \frac{r^2(n-2)}{1-r^2}$ (6)

228 
$$r = \frac{\sum_{i=1}^{n} (1-\bar{\iota}) (IntEVI_i - \overline{IntEVI})}{\sqrt{\sum_{i=1}^{n} (i-\bar{\iota})^2 \sum_{i=1}^{n} (IntEVI_i - \overline{IntEVI})^2}}$$
(7)

where *n* is 21, and *i* is the serial number, i.e. 1-21 from 2001 to 2021.  $\bar{\iota}$  is the mean value of the serial number, *IntEVI<sub>i</sub>* is the value of IntEVI in the *i*th year, *IntEVI* is the average IntEVI from 2001 to 2021. When slope > 0% · yr<sup>-1</sup>, IntEVI shows an increasing trend. When slope < 0% · yr<sup>-1</sup>, 21 IntEVI shows a decreasing trend. The grading criteria for the trend of IntEVI changes is shown 23 in Table 4.

Table 4. IntEVI changing trend grading criteria (Liu et al., 2020)

IntEVI trend grading criteria	Grade
P-value $< 0.05$ AND slope $> 0\% \cdot yr^{-1}$	Significant increase
P-value > 0.05 AND slope > $0\% \cdot yr^{-1}$	Slight increase
P-value > 0.05 AND slope < $0\% \cdot yr^{-1}$	Slight decrease
P-value < 0.05 AND slope < $0\% \cdot yr^{-1}$	Significant decrease

#### 235 2.8 Partial Correlation Analysis

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Partial correlation analysis was used to analyze the relationship between IntEVI change and the changes in any given hydroclimatic variable (Zhao et al., 2015; Ge et al., 2018). By using partial correlation analysis, it is able to remove the obfuscating effects of collinearity in hydroclimatic variables. The partial correlation coefficient of variables x and y when z is fixed is calculated (Du et al., 2020):

$$r_{xy,z} = \frac{r_{xy} - r_{xz} r_{yz}}{\sqrt{(1 - r_{xz}^2)(1 - r_{yz}^2)}}$$
(8)

where  $r_{xy,z}$  is the first-order partial correlation coefficient, *i* is IntEVI, *y* and *z* are hydroclimatic factors such as temperature, precipitation, or TWSA.  $r_{xy}$ , and both  $r_{xz}$ , and  $r_{yz}$  are Pearson correlation coefficients.

The coefficient representing the relationship between IntEVI and certain hydroclimatic  
factors with the other two being fixed was calculated as the second-order partial correlation  
coefficient 
$$(r_{xy,zw})$$
 (Xie et al., 2019):

248 
$$r_{xy,zw} = \frac{r_{xy,z} - r_{xw,z} r_{yw,z}}{\sqrt{(1 - r_{xw,z}^2) + (1 - r_{yw,z}^2)}}$$
(9)

where  $r_{xy,z}$ ,  $r_{xw,z}$ , and  $r_{yw,z}$  represent partial correlations among variables *x*, *y*, when controlling variables *z* and *w* in the first order.

251 *T test* is then used to analyze the relationship between IntEVI and hydroclimatic factors, 252 and the significance test at p=0.05 level was conducted in the correlation analysis.

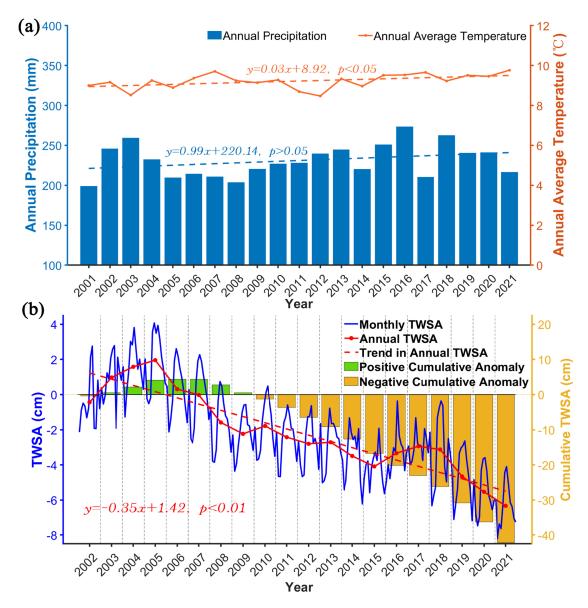
# 253 **3 Results**

3.1 Trends in temperature, precipitation, and TWSA across the AEB over the past twodecades

More than 80% of the AEB became increasingly warmer at an average rate of 0.03°C/yr 256 (p < 0.05) from 2001 to 2021 (Fig. 4a, Fig. 5a). Although annual precipitation over the entire AEB 257 presented less variation, certain regions had much greater spatial variability in precipitation trend 258 259 than in temperature (Fig. 4a, Fig. 5b). Northwest China and Western Asia have gradually become wetter, especially in the Hindu Kush Mountains, Aral Sea Basin, and Balkhash Lake, with each 260 having increasingly wetter years at a rate of approximately 10 mm/yr (p<0.05)(Fig. 5b). By 261 contrast, Central Asia and the Caspian Sea, especially in the (semi-) humid zones, have gradually 262 become drier at the rate of -5 mm/yr (p < 0.05) (Fig. 5b). 263

From 2002 to 2021, 68.64% of the AEB showed a significant decrease in TWSA. The entire region was impacted, and the average TWSA of the AEB decreased at a rate of 0.35 cm/yr (p<0.01) despite maintaining seasonal fluctuations (Fig. 4b). The cumulative TWSA (cTWSA) was -42.2 cm until 2021 (Fig. 4b). Interestingly, several areas, such as the QTP and north of the CA (Fig. 5c) showed the opposite trend with a significant increase in TWSA, although these

areas account for less than 17.4 % of the AEB.



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Figure 4. Trends of annual average temperature & total precipitation (a) and TWSA (b) averaged across the entire AEB during the past two decades.

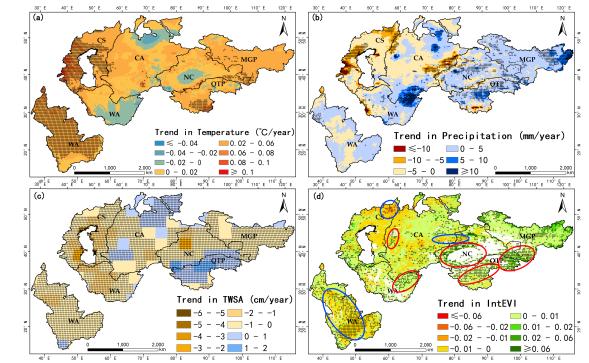
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### 3.2 Trends of growing season integrated EVI (IntEVI) over the AEB from 2001 to 2021

Annual average growing season integrated EVI (IntEVI, a satellite proxy of vegetation 274 productivity) of the AEB from 2001-2021 is low (with a value of 0.91) though there is high 275 spatial heterogeneity (Fig. 3) consistent with climate classification (Fig. 2d). From 2001 to 2021, 276 the average IntEVI across the entire AEB presented a significant greening trend at a rate of 277  $3.6 \times 10^{-3}$ /yr. Nearly two-third (65.53%) of the AEB showed a greening trend, of which 34.41% 278 279 was statistically significant (p < 0.05) (Fig. 5d). The regions with significant greening trends were marked with red circles in Figure 5(d), in which Northwest China accounting for 13.59% of the 280 AEB by area showed the strongest greening trend at the rate of  $7 \times 10^{-3}$ /yr, or twice the AEB-wide 281 average trend (Fig. 6d). Conversely, Central Asia, Caspian Sea, and the Arabian Peninsula have 282 browned over the last 20 years, accounting for more than 90% of browning across the entire 283

AEB (Fig. 6d). The northeast of the Caspian Sea Basin, the middle of the Arabian Peninsula, and the Yili River Valley in the Northwest China, which had the most significant browning trends,

are marked with blue circles in Fig. 5d.



**Figure 5.** Spatial distribution of the trends in annual average temperature (**a**), total precipitation

(b), TWSA (c), and IntEVI (d) in the Asian endorheic basins (2001–2021). The black crosses (+)

indicate areas with a statistically significant (p < 0.05) trend (same as below). The circles in (d)

are typical regions selected with significant greening (blue) and browning (red) trend,

respectively.

287

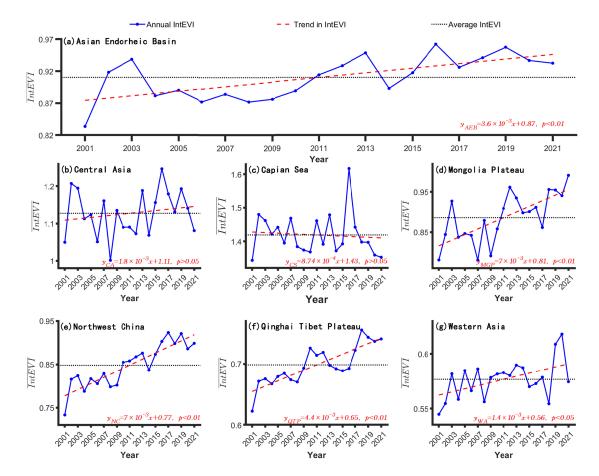
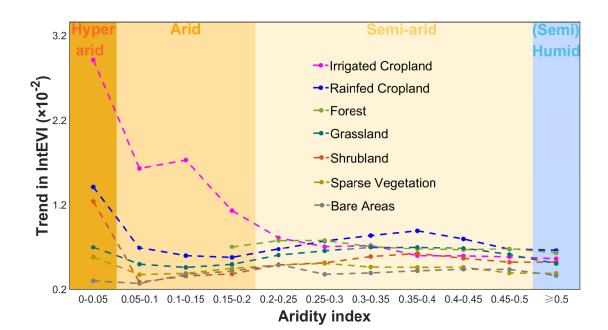


Figure 6. The trends of IntEVI averaged across Central Asia (CA), Caspian Sea (CS), Mongolia
Plateau (MGP), Northwest China (NC), Qinghai Tibet Plateau (QTP) and Western Asia (WA)
from 2001 to 2021.

293

We observed that most greening happened in arid and hyper-arid regions (Fig. 7). Furthermore, among land cover types, irrigated cropland exhibited the largest IntEVI trend, followed by rainfed cropland and shrubland (Fig. 7). By contrast, all land cover types in semiarid and (semi)-humid regions exhibited a non-significant trend in IntEVI.



301

Figure 7. The trend of IntEVI from 2001 to 2021 for the major land cover types averaged across the aridity index bins in the study area. Pixels' values of IntEVI trend (linear slope) over the

304 entire study area are averaged by bin (every 0.05 increment) of aridity index.

305 3.3 Relative importance of temperature, precipitation, and TWSA in driving IntEVI trend

Both positive and negative correlations between IntEVI and temperature were observed (Fig. 8a), while the correlation between IntEVI and precipitation was more prevailingly positive over the study area (Fig. 8b). Contrasting correlations were found between IntEVI and TWSA in the Northwest China (Tianshan Mountains and Altai Mountains) and Qinghai-Tibet Plateau (including Kunlun Mountains) (Fig. 8c). Additionally, negative correlations were also found in the Iran Plateau and Middle of the Mongolia Plateau, while the other zones totally presented positive correlations (Fig. 8c).

The relative importance of temperature, precipitation, and TWSA in driving IntEVI change was mapped using the RGB plot (Fig. 8d). It indicates that the change in IntEVI across half of the study area can be explained mainly by changes in precipitation from 2001-2021, while only 2% of change is caused primarily by temperature (Fig. 8d). The remaining area was almost equally controlled by temperature, precipitation and TWSA. IntEVI trend in about 17% of areas is mainly associated with the TWSA change, largely found in Northwest China, Qinghai Tibet Plateau and Arabian Peninsula (Fig. 8d).

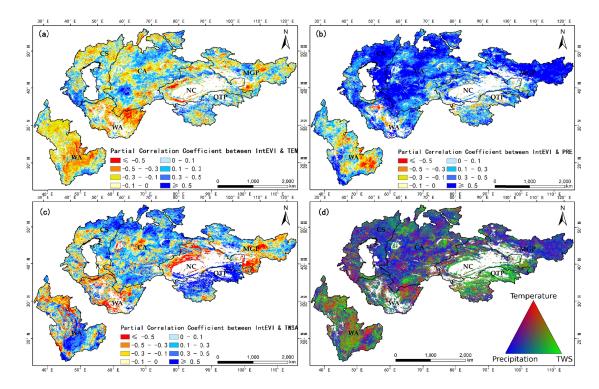
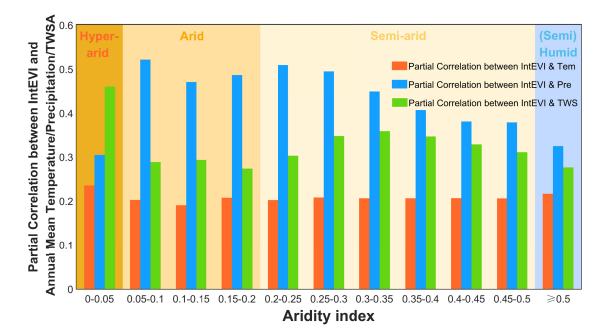




Figure 8. Partial correlation coefficient between IntEVI and temperature (**a**), precipitation (**b**), and TWSA (**c**) from 2002 to 2021. (**d**) Distribution of the relative importance among three hydroclimatic factors to vegetation productivity trend across Asian endorheic basins with red representing the temperature dominant zone, blue indicating the precipitation dominant zone, and green representing the TWS dominant zone.

The relative importance of temperature, precipitation, and GRACE TWSA varied across the aridity gradient. Precipitation played a dominant role in driving the vegetation productivity trend across almost the entire aridity gradient and such dominance increased with the increase in aridity. However, the dominance of precipitation gave way to TWSA as soon as moving into the hyper-arid region, which can be interpreted as a decoupling of rainfall and vegetation productivity trend and a switch from the dependence to atmospheric water to groundwater.



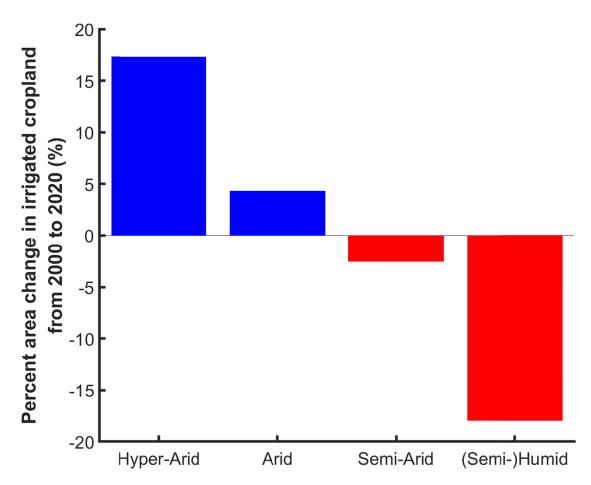
#### 332

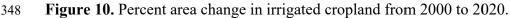
**Figure 9.** Partial correlation coefficients between IntEVI and temperature (orange bars),

precipitation (blue bars), and TWSA (green bars) aggregated by aridity index bins in the study area. The partial correlation coefficients over the entire study area are averaged by bin (every

336 0.05 or 0.5 increments) of the aridity index.

The significant vegetation greening in hyper-arid AEB was quite unusual and the 337 decoupling of such trend to rainfall was also very intriguing. To decipher the underlying driving 338 forces, we analyzed the changes in land cover types in different aridity zones across the AEB. 339 Our results indicated that there was a significant, 17.3%- and 4.3%-fold increase in the area of 340 irrigated cropland in the arid and hyper-arid AEB. By contrast, there was a significant, 2.6%- and 341 18.0%-fold decrease in the area of irrigated cropland in the semi-arid and (semi-) humid AEB 342 (Fig. 10). Putting together several lines of evidences, the observed greening in hyper-arid AEB 343 can be mainly attributed to the expansion of the irrigated cropland and such an intensified use of 344 groundwater can further explain the dramatic decline in TWSA leading to decoupling of 345 vegetation productivity and rainfall in such dry and harsh environment. 346





### 349 4 Discussion

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4.1 Vegetation trend in the AEB from 2001 to 2021 as revealed by MODIS EVI

This study showed that MODIS IntEVI averaged across the entire AEB increased significantly during the 2001-2021 period, indicating an overall greening phenomenon. This is in agreement with the recent studies reporting widespread vegetation greening in temperate arid regions worldwide (Cortés et al., 2021; Fensholt et al., 2012; Piao et al., 2020).

Despite this consistency, we present our research to emphasize the heterogeneous spatial 355 distribution of vegetation greening, and perhaps more importantly, the varying driving forces of 356 the apparent greening trend in different regions. The AEB is a diverse and highly variable region, 357 and it has seen similarly variable change over the last two decades. Similar to the previous 358 studies, Mongolia Plateau, Northwest China, Qinghai Tibet Plateau, and Western Asia exhibited 359 360 the most significant greening phenomena (Ghorbanian et al., 2022; Zhao et al., 2022; Zhang et al., 2023), and were responsible for more than 90% of the greening trend of the entire AEB, 361 respectively. In contrast, both Central Asia, Caspian Sea, and the Arabian Peninsula showed 362 oscillating trends of browning and greening which ultimately accounted for less than 10% of the 363 AEB greening (Lewinska et al., 2020; Xu et al., 2016). 364

Reviewing the impacts of land cover type on vegetation change, we found that irrigated 365 cropland 'greened-up' the most over the last 20 years, particularly in hyper-arid zones, and likely 366 represented oases cultivation efforts in basins and their surrounding regions (such as Tarim River 367 Basin in the southern Tianshan Mountains). We also found the same directional trend in a few 368 scattered areas in some mountainous regions (such as Hindu Kush Mountains), consistent with 369 results from Ma et al. (2019) and Zhao et al. (2022), albeit these changes were less pronounced 370 than those observed in oases. Similarly, greening trends were observed in the oases of Northwest 371 China perhaps due to the creation of artificial oases as a means of agricultural development (Ma 372 et al., 2019; Ning et al., 2021). 373

While previous studies have suggested that vegetation has degraded in Central Asia and 374 the Caspian Sea, our study did not confirm this as we only observed a fluctuating IntEVI, at least 375 within the past two decades (Hao et al., 2022; Li et al., 2015; Xu et al., 2016). We speculate that 376 the discrepancy between our results and the previous ones can be attributed to several reasons. 377 One possible explanation is the difference in sampling data. Previous studies mainly used the 378 GIMMS3g NDVI from NOAA/AVHRR sensors to detect vegetation trends during 1982-2015 379 (Du et al., 2019; Du et al., 2020; Li et al., 2015; Yuan et al., 2021; Yuan et al., 2022), while in 380 this study, we used the better calibrated MODIS vegetation indices products to analyze the trend 381 from 2001-2021. Vegetation in Central Asia and the Caspian Sea had indeed experienced 382 accelerating greening to browning reversals since 1994 (Pan et al., 2018), however, in the late 383 1990s, this degradation gradually slowed down and stalled especially in northern mid-latitude 384 (Yin et al., 2016). As we excluded data during that period of degradation, our results did not 385 include the browning observed in the mid 1990's. Similarly, our results may be skewed spatially. 386 For example, in this study, Kazakhskiy Melkosopochnik (KM), where grassland covered more 387 than 70% of the areas and was also found to be the severest degradation region in Central Asia 388 (Li et al., 2015; Xu et al., 2016), was excluded from the AEB, which likely masked a large 389 proportion of browning (Dubovyk et al., 2016; Liu et al., 2021a). 390

391

### 4.2 Potential factors driving widespread greening trend in the AEB

It is reasonable to expect that water, represented in both input (precipitation) and loss 392 (evapotranspiration), is a major factor influencing the large-scale patterns of vegetation growth 393 in the AEB. Precipitation is the major and direct water supply of soil moisture in the AEB (Wang 394 et al., 2020), and unsurprisingly the relationship between IntEVI and precipitation showed an 395 overall highly positive correlation (Fig. 8b, Fig. 9). However, we also found an interesting 396 TWSA-driven pattern, especially the contrasting and dominant impact in Northwest China and 397 Qinghai Tibet Plateau. According to the land surface water balance equation, TWSA variation is 398 mainly influenced by hydroclimatic and anthropogenic water consumption. Therefore, TWSA 399 may be considered an integrative indicator of the water cycle (Pan et al., 2017), drought/soil 400 moisture (Pokhrel et al., 2021), and human activity intensity (An et al., 2021). 401

The change in irrigated cropland indicated that human irrigated agriculture has intensified by 17.3% and 4.3% in hyper-arid and arid zones (Fig. 10), respectively, during the past two decades. Irrigated cropland has expanded by more than 58% since the 1990s (Fu et al., 2022), furthermore, a previous study suggested that approximately 70% of the increase in actual evapotranspiration was attributed to increased river diversions for irrigation from groundwater and glacial melt runoff, and this human disturbance is captured by changes in TWSA (Liu, 2022). With consideration of our results, this may indicate that human water consumption in the driest zones during the past several decades likely induces a more severe water scarcity in the future, ultimately forming a vicious negative feedback loop. Our results imply that this speculation could explain the observed sensitivity of vegetation in the Caucasus region and the Aral Sea Basin to TWSA, given both their history of agricultural development and "hot-dry" climate change (Dehghanipour et al., 2020; Ghale et al., 2018; Yang et al., 2021).

414 Human change, however, is not all negative. Revegetation and restoration programs also likely contributed to the greening trend as we observed across the region. For example, the 415 Chinese government has implemented many ecological restoration projects in Northwest China 416 (Cao et al., 2020; Viña et al., 2016; Wang et al., 2014; Yuan et al., 2014). These projects have 417 positive effects on the local environment (Dong et al., 2019; Wang et al., 2022; Yu et al., 2022) 418 and their contributions to the observed greening trend are revealed in this study (Fig. 5c-d). 419 However, it is worth noting that revegetation and ecological restoration projects also artificially 420 change the water supply-demand relationship (Cao et al., 2022), and in arid regions such as the 421 AEB, especially where precipitation is the single water supply, these restoration projects may 422 need to refocus, and re-prioritize sustainable constraints imposed by the regional water 423 availability, now and in the future (Du et al., 2021). 424

425 4.3 Limitations and future perspectives

From the data perspective, the 2-m air temperature data derived from the ERA-5 426 427 reanalysis dataset is a derivative product generated by combining model data with observations from all sites across the world (Hersbach et al., 2020). Data quality is thus determined by the 428 429 number and the representativeness of weather stations. The potential to generalize this data is highly variable, and depends on a host of factors, including topography. Meanwhile, due to the 430 coarse spatial resolution (0.5°), GRACE-TWSA pixels at the junction of basins, especially basins 431 with small areas, may actually cover different natural geographical units. For example, pixels in 432 the southern Tarim River Basin cover parts of the Kunlun Mountains, which doesn't belong to 433 this basin (Fig. 5c). 434

We integrated the MOD13C2 EVI from the growing season as the indicator of vegetation 435 productivity. Other vegetation parameters such as LAI and GPP were also adopted to analyze 436 global vegetation characteristics and the response to climate change (Piao et al., 2014; Zhu et al., 437 2016). Although these vegetation indices and parameters showed a consistent trend, 438 discrepancies were also observed (Zeng et al., 2022). Hence, results from the IntEVI may be 439 corroborated by other satellite proxies and field plots from the long-term ecological networks to 440 confirm the ecosystem productivity change (Guadagno et al., 2017). In addition, besides 441 temperature, precipitation, and terrestrial water storage we selected, vegetation change could also 442 be affected by a variety of other global change factors such as CO<sub>2</sub> fertilization and nitrogen 443 deposition (e.g., Zhu et al. 2016), which were not considered in this study. 444

Despite these limitations, this study still offers valuable insight into how vegetation has 445 changed over the last two decades in the AEB. These results, and our generalized observations 446 on the relation between climate, land cover type, aridity, and human development can guide 447 large-scale ecological restorations and sustainable plans to address the challenges of future 448 climate change in an extremely vulnerable environment. Future work should focus on 449 establishing long-term ground observations covering a large spatial gradient to confirm the 450 vegetation changes detected through satellite products (Piao et al., 2020). In addition, further 451 452 remote sensing to gather complementary data should be considered, such as aboveground biomass (AGB) derived from the GEDI spaceborne LiDAR mission and the Solar induced
chlorophyll fluorescence (SIF) derived from TanSat or OCO-2/3 spaceborne missions (Pan et al.,
2018).

# 456 **5** Conclusions

In this study, we assessed the spatio-temporal vegetation variation trends encompassing 457 the key Asian endorheic basins during 2001-2021 using MODIS EVI. Furthermore, we analyzed 458 the relationship between vegetation change and a suite of hydroclimatic variables using second-459 order partial correlation analysis. The results revealed an overall greening trend across the AEB, 460 though we observed extreme spatial heterogeneity. The greening trend was most pronounced in 461 Northwest China, Qinghai Tibet Plateau, and Western Asia. Interestingly, we found that while 462 precipitation had the dominant control effect in arid and semi-arid zones, vegetation change in 463 hyper-arid zones was most closely associated with water storage anomalies. Additionally, we 464 465 found that a significant expansion of irrigated cropland in (hyper-) arid zones was positively correlated with greening, suggesting that some fraction of greening can be attributed to hyper-466 arid agricultural development (such as oases cultivation). This study highlights the decisive 467 impact of hydroclimate conditions modulated by human activities on vegetation growth in the 468 arid and hyper-arid areas within the AEB, hence can guide the management of the fragile dryland 469 ecosystems by establishing sustainability constraints to agriculture expansion in the future. 470

# 471 Acknowledgments

This study is supported by General Program National Natural Science Foundation of China (No.
42171305, Principal Investigator: X. Ma); NSFC Projects of International Cooperation and
Exchanges (No. 42311540014, PI: X. Ma); Natural Science Foundation of Gansu Province,
China (No. 21JR7RA499, PI: X. Ma); Director Fund of the International Research Center of Big
Data for Sustainable Development Goals (No. CBAS2022DF006, PI: X. Ma); Fundamental
Research Funds for the Central Universities (No. lzujbky-2021-ct11, PI: X. Ma).

# 478 **Open Research**

All the data that support this study can be freely accessed using the websites or data repositories 479 480 described below. The border shapefile of the AEB is extracted from the National Tibetan Plateau Science (https://data.tpdc.ac.cn/zh-hans/data/34060a43-f30e-4b06-8265-Data Center 481 025c8b6aae99) and the HydroSHEDS (https://www.hydrosheds.org/products/hydrobasins). The 482 30-m resolution global land cover types dataset in 2020 is available at the CAS Earth Data 483 Sharing and Service Portal (https://data.casearth.cn/sdo/detail/5fbc7904819aec1ea2dd7061). The 484 aridity index data is available at the Global Aridity Index and Potential Evapotranspiration (ETo) 485 Meteorology Database  $v^2$ 486 (https://figshare.com/articles/dataset/Global Aridity Index and Potential Evapotranspiration E 487 T0 Climate Database v2/7504448/3). The 2-m monthly air temperature data is available at the 488 ECMWF provided (https://developers.google.com/earthby GEE platform 489 engine/datasets/catalog/ECMWF ERA5 LAND MONTHLY AGGR). The precipitation data is 490 491 available at the NASA GES DISC at NASA Goddard Space Flight Center terrestrial (https://disc.gsfc.nasa.gov/datasets/GPM 3IMERGM 06). The water storage 492 normalies PODAAC data is available the 493 at

494 (https://podaac.jpl.nasa.gov/dataset/TELLUS\_GRAC-

- 495 <u>GRFO\_MASCON\_CRI\_GRID\_RL06\_V2</u>). And the EVI data of MOD13C2 product is available
- 496 at LP DAAC (<u>https://lpdaac.usgs.gov/products/mod13c2v006/</u>). All the analyses and plots were
- 497 conducted using the MATLAB's framework for statistical computing, available via
- 498 <u>https://ww2.mathworks.cn/products/matlab.html</u> (Version R2021a). All the maps were drew 499 using the ArcGIS Desktop's framework, available via <u>https://www.esri.com/en-</u>
- 500 us/arcgis/products/index (Version 10.4).
- 501 My data are textual—the information and ideas contained in the references listed below. Where
- 502 links for articles exist, I have provided them. I have not provided library links for books.

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