State-dependence of the equilibrium climate sensitivity in a clear-sky GCM

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Abstract

Climate sensitivity peaks around 310K in a wide variety of climate models, ranging from idealized single column models to fully comprehensive climate models. Although an explanation for this peak has been developed using single column models with fixed relative humidity and line-by-line radiation, the relevance of this theory for explaining the peak in comprehensive climate models is unclear. In this paper we increase CO2 using a clear-sky 3-dimensional climate model with a radiation scheme that maintains accuracy for high CO2 and temperature levels. The Equilibrium Climate Sensitivity (ECS) of our model shows a plateau around 310K with the moistening of the subtropical regions caused by a slowdown in atmospheric circulation increasing the ECS at very high CO2 values. Though the changes in CO2 and temperature presented here are extreme, this study shows the potential importance of changes in atmospheric circulation and relative humidity in quantitative assessments of climate sensitivity.











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21 **1 Introduction**

Equilibrium Climate Sensitivity (ECS) is defined as the amount by which surface temper-22 ature changes after a doubling of CO_2 and when equilibrium has been reached. That equilibrium 23 is somewhat ill-defined, and there is both paleoclimate (Anagnostou et al., 2020) and climate model 24 evidence (Bloch-Johnson et al., 2021) that the ECS is state-dependent and increases at higher tem-25 perature. Moreover, Bloch-Johnson et al. (2021) found that, for almost all models from the Sixth 26 Coupled Model Intercomparison Project (CMIP6), the clear-sky longwave feedback is less sta-27 bilizing at high temperatures, whereas there is no such agreement for the other components of 28 the climate feedback. This can be understood to be a consequence of the closing of the atmospheric 29 water vapor window (Koll & Cronin, 2018). 30

By systematically increasing either the solar constant or greenhouse gas concentrations, 31 a peak in ECS has been found around 310K in a variety of climate models spanning the climate 32 model hierarchy (e.g., Leconte et al., 2013; Meraner et al., 2013; Russell et al., 2013; Wolf & Toon, 33 2015; Popp et al., 2016; Wolf et al., 2018; Romps, 2020). However, Kluft et al. (2021) show that an out-of-bounds use of comprehensive climate model radiation schemes can lead to an erroneously 35 large peak in ECS, and that may explain the much larger peaks in ECS in comprehensive climate 36 models compared to single-column calculations with more accurate radiation schemes by Kluft 37 et al. (2021) and Seeley and Jeevanjee (2021). Both studies show a peak in ECS of around 5 K at approximately 310 K when CO₂ is increased. Seeley and Jeevanjee (2021) show that the peak 39 in ECS is due to a competition between the closing of the water vapor window and the opening 40 of the CO_2 radiator fins, but this explanation only applies to a world warmed by increasing CO_2 , 41 rather than increasing the solar constant, and only to an atmosphere in radiative-convective equi-42 librium. 43

This clear-sky explanation for the peak in ECS is based on a single column model with con-44 stant relative humidity. Zhang et al. (2020) analyse comprehensive climate model simulations 45 and show the importance of having a constant relative humidity distribution in order to have a 46 constant clear-sky longwave feedback. Bourdin et al. (2021) use a radiative-convective single col-47 umn model to show that the vertical structure of relative humidity also affects the clear-sky long-48 wave feedback. This vertical dependence can be explained by the emission level theory for long-49 wave emission: if the relative humidity is more bottom-heavy, there is more of an increase in emit-50 ters below the emission level, hence pushing the emission level down, and decreasing the climate's sensitivity. This previous work suggests that both the climatological relative humidity and any 52 changes to the relative humidity distribution will play an important role in shaping the clear-sky 53 longwave feedback. 54

In this work, we analyze a range of climates using a clear-sky configuration of Isca (Vallis et al., 2018), with a comprehensive radiation scheme that maintains good accuracy for atmospheres with up to 10% CO₂ by volume (i.e., 100,000 ppm) and 500 K. This provides a connection between the single column model with line-by-line radiation computation (Seeley & Jeevanjee, 2021; Kluft et al., 2021) and more comprehensive 3-dimensional GCMs. In particular, it enables us to



Figure 1. Equilibrium Climate Sensitivity (ECS) for the suite of clear-sky GCM simulations. The CO_2 concentration is set to 300 ppm $\times 2^i$.

analyze how radiation and changes in relative humidity affect the clear-sky longwave feedback.
 We first describe the model and experiments. Second, we describe the changes in the atmospheric
 energy transport and relative humidity, then analyze how changes in relative humidity affect the
 radiative feedback. Finally, we confirm our understanding through a latitudinal decomposition
 of the relative humidity and feedback.

65 **2** Model and experimental description

We increase CO_2 in a clear-sky aquaplanet configuration of the Isca climate modeling frame-66 work (Vallis et al., 2018), here configured with no sea ice, a slab ocean boundary condition, no 67 land or topography, a hydrology cycle but no clouds, and annual-mean insolation. We use the com-68 prehensive SOCRATES radiation scheme for infra-red and solar radiation (Manners et al., 2017; 69 Thomson & Vallis, 2019), and this maintains good accuracy for up to 10% CO₂ and 500 K. The 70 surface albedo is set uniformly to 0.15. Simulations are run at spectral T42 resolution, which cor-71 responds to approximately 2.8 degrees resolution at the equator. Convection is calculated using 72 a simplified Betts-Miller convection scheme (Frierson, 2007). Large scale condensation is pa-73 rameterized such that relative humidity does not exceed one, and condensed water immediately 74 returns to the surface. Popp et al. (2016) found that removing stratospheric ozone may affect up-75 per atmospheric levels of moisture but makes no substantial difference to the surface climate, and 76 Wolf and Toon (2015) and Leconte et al. (2013) do not include ozone in their simulations. We 77 hence choose not to include stratospheric ozone. 78

We perform experiments in which CO_2 concentration is variously set to 300 ppm, 600 ppm, 79 1200 ppm, 2400 ppm, 4800 ppm, 9,600 ppm, 9,600 ppm $\sqrt{2}$, 19,200 ppm, 19,200 ppm $\sqrt{2}$, 38,400 ppm, 80 38,400 ppm $\sqrt{2}$, 76,800 ppm, and 76,800 ppm $\sqrt{2} \approx 101,000$ ppm for 100 months each successively. We include simulations with $\sqrt{2}$ increases in the concentration of CO₂ in order to give a 82 more uniform resolution of ECS values around the 310 K peak in global-mean surface temper-83 ature (GMST). In what follows, the CO₂ concentration will be expressed as 300 ppm $\times 2^{i}$ with 84 i=[0, 1, 2, 3, 4, 5, 5.5, 6, 6.5, 7, 7.5, 8, 8.5]. The simulations all reach an equilibrium after approximately 30 months as the net TOA radiation reaches zero and the surface temperature sta-86 bilizes. Figure 1 shows the equilibrium climate sensitivity for each increase in CO_2 . Given that 87 the forcing increases approximately logarithmically with CO₂ concentration, if the increase in 88 CO_2 concentration is a multiplication by $\sqrt{2}$, then the surface temperature change has been mul-89 tiplied by two to make it equivalent to a doubling of CO₂. The ECS plateaus at around 305 K in 90 GMST, with a mild maximum at 311 K. 91

Figure S1 shows the temperature and radiative temperature tendencies of two versions of 92 Isca in single column mode: one with the radiation scheme used in the standard 3-dimensional 93 version of the GCM (17 bands) used for the simulations described above and one with a high resolution radiation scheme (350 bands). The radiative tendencies quantify how each component 95 (radiation, convection, dynamics, etc) of the climate model affects the temperature at a given location and should sum to zero when the simulation reaches equilibrium. Given the close simi-97 larity between the two versions of the single column model, we are confident in the validity of the radiation scheme used in the full GCM (i.e. the 17 band scheme). We thus avoid the probaa lem of an out-of-bounds use of a GCM radiation scheme, which can leads to an erroneously large 100 peak in ECS, as was found in other comprehensive GCMs (Kluft et al., 2021). 101

¹⁰² 3 Atmospheric energy transport and relative humidity changes

Figure 2 shows the relative humidity, atmospheric energy transport, streamfunction, and 103 atmospheric temperature for the 300 ppm, 78600 ppm, and 115200 ppm simulations. The total 104 atmospheric energy transport is calculated as the vertical integral of the net energy fluxes into 105 the atmosphere, and the moist component is calculated as the integral of evaporation minus pre-106 cipitation times the latent heat of vaporisation. The latitudinal gradients of $C_p T_S$ and Lq are shown 107 in Figure S2, where C_p is the heat capacity of air at constant pressure, T_S is the surface temper-108 ature, L is the latent heat of vaporization, and q is the near-surface specific humidity. The decrease 109 of the latitudinal gradient of $C_p T_S$ and increase of that of Lq in the extratropics are consistent 110 with Figure 2. 111

The tropical tropopause height (between 30 degrees North and South) can be estimated by 112 where the radiative cooling rate first goes to zero (Seeley et al., 2019). In the tropics where the 113 atmosphere is in radiative-convective equilibrium, we can equally use the convective criterion, 114 whereby the tropopause is set to where the convective temperature tendency goes below 0.01 K/day. There is a slight difference due to the presence of atmospheric energy export, but this does not 116 meaningfully affect the results. The troppause is also commonly computed using a 2K/km lapse 117 rate threshold. Both tropopause calculations are shown in the temperature and streamfunction panels. Figure S3 shows the lapse rate and convective tropopause pressure and temperature for 119 all simulations. As expected the tropopause goes up in height for all latitudes, but its tempera-120 ture decreases in the tropics and increases in the extratropics using the 2K/km lapse rate thresh-121 old (fig. S3), but stays roughly constant when using the convective criterion. The choice of the 122 2K/km threshold value works for present-day Earth but should not be expected to apply to these 123 idealized very high CO2 atmospheres. That the fixed tropopause temperature hypothesis does not 124 fully hold in the tropics is expected as that hypothesis assumes radiative effects are dominated 125 by water vapor, whereas CO₂ concentrations are taken to extreme values in these simulations. 126

Four key changes are occurring simultaneously in our simulations: a slowdown of atmo-127 spheric circulation (weaker streamfunction, see fig. 2g,h, and i), an increase in the tropopause height, 128 an increase in the moist component of atmospheric energy transport in the extratropics, and a moist-129 ening of the subtropics. The increase in tropopause height is expected as the surface tempera-130 ture increases (Hu & Vallis, 2019; Seeley et al., 2019). The general slowdown of the circulation 131 may be attributed to an increase in the moist contribution to the overall energy transport, thus re-132 quiring a weaker overall circulation. Given a weaker circulation (and less intense Hadley Cell) 133 a moistening of the subtropics can be expected (Pierrehumbert et al., 2007; Vallis, 2017). How-134 ever, a fuller and more quantitative exploration of these effects requires future study. 135

¹³⁶ 4 Role of relative humidity distribution change

¹³⁷ We now seek to understand the role of relative humidity change in the ECS peak. The forc-¹³⁸ ing, feedback, and temperature change are related by: $F + \lambda \Delta T_S = 0$, where *F* is the top-of-¹³⁹ atmosphere forcing (namely, the instantaneous change in the top-of-atmosphere radiative balance), ¹⁴⁰ ΔT_S is the change in surface temperature, and λ is (to use the conventional term) the feedback.

The feedback (λ) can be calculated as the difference in top-of-atmosphere radiation of two sim-



Figure 2. Relative humidity (a,b,c), atmospheric energy transport (d,e,f), streamfunction (g,h,i), and temperature (j,k,l) for the 300ppm (a,d,g,j), 36400ppm (b,e,h,k), and 115200ppm (c,f,i,l) simulations. Note that the y-axis in panels g-l are in log scale. The solid black line in panels g-l is an estimation of the tropical tropopause using a convective criterion. The dashed black line in panels g-l is the thermal tropopause, defined by the height at which the lapse rate goes below 2K/km. The pressure and temperature of the tropopause as computed by the two methods is shown in Figure S3.

ulations: one with fixed control surface temperature and one with the surface temperature increased 142 by one degree from the control. When calculated in this way, the atmospheric temperature and 143 specific humidity are allowed to increase and thus affect the TOA radiation budget. In order to isolate the effects of changes in relative humidity on the feedback, we calculate the feedback in 145 a different but equivalent manner. Between two equilibrated climates, the top-of-atmosphere forc-146 ing F is balanced by changes in the outgoing longwave radiation (OLR) and top-of-atmosphere 147 net shortwave radiation (SW) such that $F + \Delta SW + \Delta OLR = 0$. The change in OLR is then 148 separated into changes caused by surface temperature, atmospheric temperature, and specific hu-149 midity respectively, as follows: 150

$$F + \underbrace{\Delta SW + \Delta OLR|_{\delta T_S \text{ and } T_a, SH \text{ fixed }} + \Delta OLR|_{\delta T_a \text{ and } T_S, SH \text{ fixed }} + \Delta OLR|_{\delta SH \text{ and } T_S, T_a \text{ fixed }}}_{\Delta \Delta T_S} = 0. (1)$$

¹⁵¹ Here, $\Delta OLR|_{\delta T_S \text{ and } T_a, SH \text{ fixed}}$ is the change in OLR when surface temperature is increased ¹⁵² to the higher CO₂ simulation's surface temperature (not increased only by 1 K) and the atmospheric ¹⁵³ temperature (T_a) and specific humidity (SH) are kept fixed, the next two terms similarly consider ¹⁵⁴ the effect of changes in atmospheric temperature (T_a) and specific humidity (SH) in isolation, and ¹⁵⁵ ΔSW is the change in TOA shortwave radiation. The global mean of each of these terms is shown ¹⁵⁶ in Figure 3a for all simulations.

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The feedback, λ , is then calculated as:

$$\lambda = \lambda_{SW} + \lambda_{T_S} + \lambda_{T_a} + \lambda_{SH}$$

$$\lambda = \left(\Delta SW + \Delta OLR|_{\delta T_S \text{ and } T_a, SH \text{ fixed}} + \Delta OLR|_{\delta T_a \text{ and } T_S, SH \text{ fixed}} + \Delta OLR|_{\delta SH \text{ and } T_S, T_a \text{ fixed}}\right) / \Delta T_S.$$
(2)

The forcing *F* is calculated as the change in OLR when CO₂ is increased while keeping T_S , T_a , and SH fixed. (We thus neglect the rapid adjustments which are often included in forcing estimates.) The feedback calculated as $F/\Delta T_S$ is shown in Figure 3b (black) and is compared to the feedback calculated as shown in equation 2 (blue).

To estimate the impact of changes in relative humidity on the feedback, we recalculate it 162 assuming no change in relative humidity. Hence, we multiply the specific humidity at each CO_2 163 level i (SH_i) by RH_{300ppm}/RH_i, where RH_i is the relative humidity of the given simulation and 164 RH_{300ppm} is the relative humidity of the control 300ppm simulation, and then calculate the feed-165 back. This enables us to approximate how the changes in RH affect the feedback. The impact of 166 this change on the TOA response is shown in Figure 3a (magenta) and shows a weaker water va-167 por feedback for the high CO₂ simulations, which is reflected in the feedback (fig. 3b) and the 168 ECS (fig. 3c). 169

The picture that emerges from the simulations is one in which a slowdown in atmospheric circulation causes a moistening of the subtropics, which radiate less efficiently to space (Pierrehumbert, 172 1995). This then increases the water vapor feedback and climate sensitivity at high CO_2 levels. Ignoring this moistening, and calculating the ECS calculated using a fixed relative humidity (ma-174 genta in fig. 3c) results in a sharper peak at *i*=6, which is more consistent with single column model 175 simulations with comprehensive radiation and fixed relative humidity (Seeley & Jeevanjee, 2021; 176 Kluft et al., 2021).

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5 Latitudinal decomposition of changes in relative humidity and feedback

In order to verify our hypothesis that the higher ECS (relative to the fixed relative-humidity ECS) for levels of CO₂ larger than 300ppm× 2^6 is caused by the moistening of the subtropics, we



Figure 3. (a) TOA budget terms from Equation 1. (b) Longwave feedback computed using Equation 2, and with assumption of fixed relative humidity (magenta). (c) ECS recalculated with assumption of fixed relative humidity (magenta).

decompose the change in relative humidity and the terms of Eq. (1) according to their latitude. 180 Figure 4 shows the vertical structure of relative humidity between 0 and 40 degrees North (a) and 181 between 40 and 90 degrees North (c), and the corresponding terms of Equation 1 (b,d). The av-182 eraged tropics and subtropics see a clear increase in relative humidity from around 40% to around 183 65% (fig. 4a), whereas there is only a modest decrease in relative humidity poleward of 40 de-184 grees North (fig. 4c). The effect on the feedback can be deduced from the difference between the 185 water vapor feedback term (SH, in blue) in Eq. (1) and the fixed relative humidity water vapor 186 feedback term (SH, fixed RH, in magenta). In the tropics and subtropics, the moistening of the 187 atmospheric column increases the water vapor feedback (blue) compared to an atmosphere with 188 fixed relative humidity (magenta) (fig. 4b), whereas in the region poleward of 40 degrees North, 189 the water vapor feedback does not change when the relative humidity is fixed (fig. 4d). 190

¹⁹¹ 6 Summary and Conclusions

A peak in equilibrium climate sensitivity (ECS) has previously been found at around 310 K 192 in a variety of of climate models ranging from a single column model (Seeley & Jeevanjee, 2021; 193 Kluft et al., 2021) to comprehensive climate models (e.g., Wolf & Toon, 2015). The purpose of this paper is to better understand the mechanisms and generality of these results by bridging the 195 gap between the simple clear-sky explanation for the peak in ECS found in single column mod-196 els and the complicated mechanisms present in comprehensive climate models. Among other things 197 this allows us to look at variations in relative humidity, known to be important factor in determin-198 ing the clear-sky longwave feedback (Zhang et al., 2020; Bourdin et al., 2021). To this end we 199 use a clear-sky aquaplanet configuration of the Isca climate modelling framework (Vallis et al., 200 2018) with no sea ice, a slab ocean boundary condition, no clouds, annual-mean insolation, and 201 a radiation scheme for infrared radiation which maintains good accuracy for CO_2 levels up 100,000 ppm 202 and temperatures up to 500 K. As we increase CO₂ from 300 ppm (at 285.4 K) to 101,000 ppm 203 (at 317.8 K), we find that the ECS plateaus around 310 K, with only a mild peak. 204

The reason for the lack of a sharp peak is that the tropical circulation weakens at very high temperatures, leading to a substantial moistening of the subtropics. To isolate the impact of this



Figure 4. Vertical structure of changes in relative humidity (a,c) and TOA budget terms from Equation 1 (b,d) for latitudes in [0,40] (a,b) and poleward of 40 degrees (c,d).

change in relative humidity on the longwave feedback, we decompose the change in OLR into

changes caused by surface temperature, atmospheric temperature, and specific humidity. We then

recalculate the feedback assuming no change in relative humidity, and in that case we do find a

peak in ECS around 310 K, which is consistent with single column model results (Seeley & Jee-

vanjee, 2021; Kluft et al., 2021). We then confirm that it is the moistening of the subtropics which

causes the increase in ECS by decomposing the feedback and relative humidity by latitude. Ev-

idently, changes in the relative humidity, caused by changes in the circulation, need to be con-

sidered in any quantitative theory of climate sensitivity.

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221 Open Research

The code to reproduce the figures is available at Henry (2023b) and the data is available at Henry (2023a). For the purpose of open access, the author has applied a Creative Commons Attribution (CC BY) licence to any Author Accepted Manuscript version arising.

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Figure S1. Comparison of temperature and radiative temperature tendency with the lower resolution radiation scheme (17 bands) and the higher resolution radiation scheme (350 bands) in a single column model setting for 300 ppm, 9600 ppm, and 307200 ppm.



Figure S2. Latitudinal gradient of moist static energy for the dry (a) and moist (b) components for all simulations.



Figure S3. Tropopause pressure (a) and temperature (b) calculated based on the convective criterion (solid) and on a 2K/km threshold (dashed), for all simulations.

Figure 1.

Equilibrium Climate Sensitivity



Figure 2.



Figure 3.



Figure 4.



Figure S1.



Figure S2.



Figure S3.



State-dependence of the equilibrium climate sensitivity in a clear-sky GCM

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21 **1 Introduction**

Equilibrium Climate Sensitivity (ECS) is defined as the amount by which surface temper-22 ature changes after a doubling of CO_2 and when equilibrium has been reached. That equilibrium 23 is somewhat ill-defined, and there is both paleoclimate (Anagnostou et al., 2020) and climate model 24 evidence (Bloch-Johnson et al., 2021) that the ECS is state-dependent and increases at higher tem-25 perature. Moreover, Bloch-Johnson et al. (2021) found that, for almost all models from the Sixth 26 Coupled Model Intercomparison Project (CMIP6), the clear-sky longwave feedback is less sta-27 bilizing at high temperatures, whereas there is no such agreement for the other components of 28 the climate feedback. This can be understood to be a consequence of the closing of the atmospheric 29 water vapor window (Koll & Cronin, 2018). 30

By systematically increasing either the solar constant or greenhouse gas concentrations, 31 a peak in ECS has been found around 310K in a variety of climate models spanning the climate 32 model hierarchy (e.g., Leconte et al., 2013; Meraner et al., 2013; Russell et al., 2013; Wolf & Toon, 33 2015; Popp et al., 2016; Wolf et al., 2018; Romps, 2020). However, Kluft et al. (2021) show that an out-of-bounds use of comprehensive climate model radiation schemes can lead to an erroneously 35 large peak in ECS, and that may explain the much larger peaks in ECS in comprehensive climate 36 models compared to single-column calculations with more accurate radiation schemes by Kluft 37 et al. (2021) and Seeley and Jeevanjee (2021). Both studies show a peak in ECS of around 5 K at approximately 310 K when CO₂ is increased. Seeley and Jeevanjee (2021) show that the peak 39 in ECS is due to a competition between the closing of the water vapor window and the opening 40 of the CO_2 radiator fins, but this explanation only applies to a world warmed by increasing CO_2 , 41 rather than increasing the solar constant, and only to an atmosphere in radiative-convective equi-42 librium. 43

This clear-sky explanation for the peak in ECS is based on a single column model with con-44 stant relative humidity. Zhang et al. (2020) analyse comprehensive climate model simulations 45 and show the importance of having a constant relative humidity distribution in order to have a 46 constant clear-sky longwave feedback. Bourdin et al. (2021) use a radiative-convective single col-47 umn model to show that the vertical structure of relative humidity also affects the clear-sky long-48 wave feedback. This vertical dependence can be explained by the emission level theory for long-49 wave emission: if the relative humidity is more bottom-heavy, there is more of an increase in emit-50 ters below the emission level, hence pushing the emission level down, and decreasing the climate's sensitivity. This previous work suggests that both the climatological relative humidity and any 52 changes to the relative humidity distribution will play an important role in shaping the clear-sky 53 longwave feedback. 54

In this work, we analyze a range of climates using a clear-sky configuration of Isca (Vallis et al., 2018), with a comprehensive radiation scheme that maintains good accuracy for atmospheres with up to 10% CO₂ by volume (i.e., 100,000 ppm) and 500 K. This provides a connection between the single column model with line-by-line radiation computation (Seeley & Jeevanjee, 2021; Kluft et al., 2021) and more comprehensive 3-dimensional GCMs. In particular, it enables us to



Figure 1. Equilibrium Climate Sensitivity (ECS) for the suite of clear-sky GCM simulations. The CO_2 concentration is set to 300 ppm $\times 2^i$.

analyze how radiation and changes in relative humidity affect the clear-sky longwave feedback.
 We first describe the model and experiments. Second, we describe the changes in the atmospheric
 energy transport and relative humidity, then analyze how changes in relative humidity affect the
 radiative feedback. Finally, we confirm our understanding through a latitudinal decomposition
 of the relative humidity and feedback.

65 **2** Model and experimental description

We increase CO_2 in a clear-sky aquaplanet configuration of the Isca climate modeling frame-66 work (Vallis et al., 2018), here configured with no sea ice, a slab ocean boundary condition, no 67 land or topography, a hydrology cycle but no clouds, and annual-mean insolation. We use the com-68 prehensive SOCRATES radiation scheme for infra-red and solar radiation (Manners et al., 2017; 69 Thomson & Vallis, 2019), and this maintains good accuracy for up to 10% CO₂ and 500 K. The 70 surface albedo is set uniformly to 0.15. Simulations are run at spectral T42 resolution, which cor-71 responds to approximately 2.8 degrees resolution at the equator. Convection is calculated using 72 a simplified Betts-Miller convection scheme (Frierson, 2007). Large scale condensation is pa-73 rameterized such that relative humidity does not exceed one, and condensed water immediately 74 returns to the surface. Popp et al. (2016) found that removing stratospheric ozone may affect up-75 per atmospheric levels of moisture but makes no substantial difference to the surface climate, and 76 Wolf and Toon (2015) and Leconte et al. (2013) do not include ozone in their simulations. We 77 hence choose not to include stratospheric ozone. 78

We perform experiments in which CO_2 concentration is variously set to 300 ppm, 600 ppm, 79 1200 ppm, 2400 ppm, 4800 ppm, 9,600 ppm, 9,600 ppm $\sqrt{2}$, 19,200 ppm, 19,200 ppm $\sqrt{2}$, 38,400 ppm, 80 38,400 ppm $\sqrt{2}$, 76,800 ppm, and 76,800 ppm $\sqrt{2} \approx 101,000$ ppm for 100 months each successively. We include simulations with $\sqrt{2}$ increases in the concentration of CO₂ in order to give a 82 more uniform resolution of ECS values around the 310 K peak in global-mean surface temper-83 ature (GMST). In what follows, the CO₂ concentration will be expressed as 300 ppm $\times 2^{i}$ with 84 i=[0, 1, 2, 3, 4, 5, 5.5, 6, 6.5, 7, 7.5, 8, 8.5]. The simulations all reach an equilibrium after approximately 30 months as the net TOA radiation reaches zero and the surface temperature sta-86 bilizes. Figure 1 shows the equilibrium climate sensitivity for each increase in CO_2 . Given that 87 the forcing increases approximately logarithmically with CO₂ concentration, if the increase in 88 CO_2 concentration is a multiplication by $\sqrt{2}$, then the surface temperature change has been mul-89 tiplied by two to make it equivalent to a doubling of CO₂. The ECS plateaus at around 305 K in 90 GMST, with a mild maximum at 311 K. 91

Figure S1 shows the temperature and radiative temperature tendencies of two versions of 92 Isca in single column mode: one with the radiation scheme used in the standard 3-dimensional 93 version of the GCM (17 bands) used for the simulations described above and one with a high resolution radiation scheme (350 bands). The radiative tendencies quantify how each component 95 (radiation, convection, dynamics, etc) of the climate model affects the temperature at a given location and should sum to zero when the simulation reaches equilibrium. Given the close simi-97 larity between the two versions of the single column model, we are confident in the validity of the radiation scheme used in the full GCM (i.e. the 17 band scheme). We thus avoid the probaa lem of an out-of-bounds use of a GCM radiation scheme, which can leads to an erroneously large 100 peak in ECS, as was found in other comprehensive GCMs (Kluft et al., 2021). 101

¹⁰² 3 Atmospheric energy transport and relative humidity changes

Figure 2 shows the relative humidity, atmospheric energy transport, streamfunction, and 103 atmospheric temperature for the 300 ppm, 78600 ppm, and 115200 ppm simulations. The total 104 atmospheric energy transport is calculated as the vertical integral of the net energy fluxes into 105 the atmosphere, and the moist component is calculated as the integral of evaporation minus pre-106 cipitation times the latent heat of vaporisation. The latitudinal gradients of $C_p T_S$ and Lq are shown 107 in Figure S2, where C_p is the heat capacity of air at constant pressure, T_S is the surface temper-108 ature, L is the latent heat of vaporization, and q is the near-surface specific humidity. The decrease 109 of the latitudinal gradient of $C_p T_S$ and increase of that of Lq in the extratropics are consistent 110 with Figure 2. 111

The tropical tropopause height (between 30 degrees North and South) can be estimated by 112 where the radiative cooling rate first goes to zero (Seeley et al., 2019). In the tropics where the 113 atmosphere is in radiative-convective equilibrium, we can equally use the convective criterion, 114 whereby the tropopause is set to where the convective temperature tendency goes below 0.01 K/day. There is a slight difference due to the presence of atmospheric energy export, but this does not 116 meaningfully affect the results. The troppause is also commonly computed using a 2K/km lapse 117 rate threshold. Both tropopause calculations are shown in the temperature and streamfunction panels. Figure S3 shows the lapse rate and convective tropopause pressure and temperature for 119 all simulations. As expected the tropopause goes up in height for all latitudes, but its tempera-120 ture decreases in the tropics and increases in the extratropics using the 2K/km lapse rate thresh-121 old (fig. S3), but stays roughly constant when using the convective criterion. The choice of the 122 2K/km threshold value works for present-day Earth but should not be expected to apply to these 123 idealized very high CO2 atmospheres. That the fixed tropopause temperature hypothesis does not 124 fully hold in the tropics is expected as that hypothesis assumes radiative effects are dominated 125 by water vapor, whereas CO₂ concentrations are taken to extreme values in these simulations. 126

Four key changes are occurring simultaneously in our simulations: a slowdown of atmo-127 spheric circulation (weaker streamfunction, see fig. 2g,h, and i), an increase in the tropopause height, 128 an increase in the moist component of atmospheric energy transport in the extratropics, and a moist-129 ening of the subtropics. The increase in tropopause height is expected as the surface tempera-130 ture increases (Hu & Vallis, 2019; Seeley et al., 2019). The general slowdown of the circulation 131 may be attributed to an increase in the moist contribution to the overall energy transport, thus re-132 quiring a weaker overall circulation. Given a weaker circulation (and less intense Hadley Cell) 133 a moistening of the subtropics can be expected (Pierrehumbert et al., 2007; Vallis, 2017). How-134 ever, a fuller and more quantitative exploration of these effects requires future study. 135

¹³⁶ 4 Role of relative humidity distribution change

¹³⁷ We now seek to understand the role of relative humidity change in the ECS peak. The forc-¹³⁸ ing, feedback, and temperature change are related by: $F + \lambda \Delta T_S = 0$, where *F* is the top-of-¹³⁹ atmosphere forcing (namely, the instantaneous change in the top-of-atmosphere radiative balance), ¹⁴⁰ ΔT_S is the change in surface temperature, and λ is (to use the conventional term) the feedback.

The feedback (λ) can be calculated as the difference in top-of-atmosphere radiation of two sim-



Figure 2. Relative humidity (a,b,c), atmospheric energy transport (d,e,f), streamfunction (g,h,i), and temperature (j,k,l) for the 300ppm (a,d,g,j), 36400ppm (b,e,h,k), and 115200ppm (c,f,i,l) simulations. Note that the y-axis in panels g-l are in log scale. The solid black line in panels g-l is an estimation of the tropical tropopause using a convective criterion. The dashed black line in panels g-l is the thermal tropopause, defined by the height at which the lapse rate goes below 2K/km. The pressure and temperature of the tropopause as computed by the two methods is shown in Figure S3.

ulations: one with fixed control surface temperature and one with the surface temperature increased 142 by one degree from the control. When calculated in this way, the atmospheric temperature and 143 specific humidity are allowed to increase and thus affect the TOA radiation budget. In order to isolate the effects of changes in relative humidity on the feedback, we calculate the feedback in 145 a different but equivalent manner. Between two equilibrated climates, the top-of-atmosphere forc-146 ing F is balanced by changes in the outgoing longwave radiation (OLR) and top-of-atmosphere 147 net shortwave radiation (SW) such that $F + \Delta SW + \Delta OLR = 0$. The change in OLR is then 148 separated into changes caused by surface temperature, atmospheric temperature, and specific hu-149 midity respectively, as follows: 150

$$F + \underbrace{\Delta SW + \Delta OLR|_{\delta T_S \text{ and } T_a, SH \text{ fixed }} + \Delta OLR|_{\delta T_a \text{ and } T_S, SH \text{ fixed }} + \Delta OLR|_{\delta SH \text{ and } T_S, T_a \text{ fixed }}}_{\lambda \Delta T_S} = 0. (1)$$

¹⁵¹ Here, $\Delta OLR|_{\delta T_S \text{ and } T_a, SH \text{ fixed}}$ is the change in OLR when surface temperature is increased ¹⁵² to the higher CO₂ simulation's surface temperature (not increased only by 1 K) and the atmospheric ¹⁵³ temperature (T_a) and specific humidity (SH) are kept fixed, the next two terms similarly consider ¹⁵⁴ the effect of changes in atmospheric temperature (T_a) and specific humidity (SH) in isolation, and ¹⁵⁵ ΔSW is the change in TOA shortwave radiation. The global mean of each of these terms is shown ¹⁵⁶ in Figure 3a for all simulations.

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The feedback, λ , is then calculated as:

$$\lambda = \lambda_{SW} + \lambda_{T_S} + \lambda_{T_a} + \lambda_{SH}$$

$$\lambda = \left(\Delta SW + \Delta OLR|_{\delta T_S \text{ and } T_a, SH \text{ fixed}} + \Delta OLR|_{\delta T_a \text{ and } T_S, SH \text{ fixed}} + \Delta OLR|_{\delta SH \text{ and } T_S, T_a \text{ fixed}}\right) / \Delta T_S.$$
(2)

The forcing *F* is calculated as the change in OLR when CO₂ is increased while keeping T_S , T_a , and SH fixed. (We thus neglect the rapid adjustments which are often included in forcing estimates.) The feedback calculated as $F/\Delta T_S$ is shown in Figure 3b (black) and is compared to the feedback calculated as shown in equation 2 (blue).

To estimate the impact of changes in relative humidity on the feedback, we recalculate it 162 assuming no change in relative humidity. Hence, we multiply the specific humidity at each CO_2 163 level i (SH_i) by RH_{300ppm}/RH_i, where RH_i is the relative humidity of the given simulation and 164 RH_{300ppm} is the relative humidity of the control 300ppm simulation, and then calculate the feed-165 back. This enables us to approximate how the changes in RH affect the feedback. The impact of 166 this change on the TOA response is shown in Figure 3a (magenta) and shows a weaker water va-167 por feedback for the high CO_2 simulations, which is reflected in the feedback (fig. 3b) and the 168 ECS (fig. 3c). 169

The picture that emerges from the simulations is one in which a slowdown in atmospheric circulation causes a moistening of the subtropics, which radiate less efficiently to space (Pierrehumbert, 172 1995). This then increases the water vapor feedback and climate sensitivity at high CO_2 levels. Ignoring this moistening, and calculating the ECS calculated using a fixed relative humidity (ma-174 genta in fig. 3c) results in a sharper peak at *i*=6, which is more consistent with single column model 175 simulations with comprehensive radiation and fixed relative humidity (Seeley & Jeevanjee, 2021; 176 Kluft et al., 2021).

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5 Latitudinal decomposition of changes in relative humidity and feedback

In order to verify our hypothesis that the higher ECS (relative to the fixed relative-humidity ECS) for levels of CO₂ larger than 300ppm× 2^6 is caused by the moistening of the subtropics, we



Figure 3. (a) TOA budget terms from Equation 1. (b) Longwave feedback computed using Equation 2, and with assumption of fixed relative humidity (magenta). (c) ECS recalculated with assumption of fixed relative humidity (magenta).

decompose the change in relative humidity and the terms of Eq. (1) according to their latitude. 180 Figure 4 shows the vertical structure of relative humidity between 0 and 40 degrees North (a) and 181 between 40 and 90 degrees North (c), and the corresponding terms of Equation 1 (b,d). The av-182 eraged tropics and subtropics see a clear increase in relative humidity from around 40% to around 183 65% (fig. 4a), whereas there is only a modest decrease in relative humidity poleward of 40 de-184 grees North (fig. 4c). The effect on the feedback can be deduced from the difference between the 185 water vapor feedback term (SH, in blue) in Eq. (1) and the fixed relative humidity water vapor 186 feedback term (SH, fixed RH, in magenta). In the tropics and subtropics, the moistening of the 187 atmospheric column increases the water vapor feedback (blue) compared to an atmosphere with 188 fixed relative humidity (magenta) (fig. 4b), whereas in the region poleward of 40 degrees North, 189 the water vapor feedback does not change when the relative humidity is fixed (fig. 4d). 190

¹⁹¹ 6 Summary and Conclusions

A peak in equilibrium climate sensitivity (ECS) has previously been found at around 310 K 192 in a variety of of climate models ranging from a single column model (Seeley & Jeevanjee, 2021; 193 Kluft et al., 2021) to comprehensive climate models (e.g., Wolf & Toon, 2015). The purpose of this paper is to better understand the mechanisms and generality of these results by bridging the 195 gap between the simple clear-sky explanation for the peak in ECS found in single column mod-196 els and the complicated mechanisms present in comprehensive climate models. Among other things 197 this allows us to look at variations in relative humidity, known to be important factor in determin-198 ing the clear-sky longwave feedback (Zhang et al., 2020; Bourdin et al., 2021). To this end we 199 use a clear-sky aquaplanet configuration of the Isca climate modelling framework (Vallis et al., 200 2018) with no sea ice, a slab ocean boundary condition, no clouds, annual-mean insolation, and 201 a radiation scheme for infrared radiation which maintains good accuracy for CO_2 levels up 100,000 ppm 202 and temperatures up to 500 K. As we increase CO₂ from 300 ppm (at 285.4 K) to 101,000 ppm 203 (at 317.8 K), we find that the ECS plateaus around 310 K, with only a mild peak. 204

The reason for the lack of a sharp peak is that the tropical circulation weakens at very high temperatures, leading to a substantial moistening of the subtropics. To isolate the impact of this



Figure 4. Vertical structure of changes in relative humidity (a,c) and TOA budget terms from Equation 1 (b,d) for latitudes in [0,40] (a,b) and poleward of 40 degrees (c,d).

change in relative humidity on the longwave feedback, we decompose the change in OLR into

changes caused by surface temperature, atmospheric temperature, and specific humidity. We then

recalculate the feedback assuming no change in relative humidity, and in that case we do find a

peak in ECS around 310 K, which is consistent with single column model results (Seeley & Jee-

vanjee, 2021; Kluft et al., 2021). We then confirm that it is the moistening of the subtropics which

causes the increase in ECS by decomposing the feedback and relative humidity by latitude. Ev-

idently, changes in the relative humidity, caused by changes in the circulation, need to be con-

sidered in any quantitative theory of climate sensitivity.

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221 Open Research

The code to reproduce the figures is available at Henry (2023b) and the data is available at Henry (2023a). For the purpose of open access, the author has applied a Creative Commons Attribution (CC BY) licence to any Author Accepted Manuscript version arising.

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Figure S1. Comparison of temperature and radiative temperature tendency with the lower resolution radiation scheme (17 bands) and the higher resolution radiation scheme (350 bands) in a single column model setting for 300 ppm, 9600 ppm, and 307200 ppm.



Figure S2. Latitudinal gradient of moist static energy for the dry (a) and moist (b) components for all simulations.



Figure S3. Tropopause pressure (a) and temperature (b) calculated based on the convective criterion (solid) and on a 2K/km threshold (dashed), for all simulations.