# Fast computation of cloud 3D radiative effects in dynamical models by optimizing the ecRad scheme

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May 2, 2023

#### Abstract

Radiation schemes are fundamental components of weather and climate models that need to be both efficient and accurate. In this work we refactor ecRad, a flexible radiation scheme developed at the European Centre for Medium-Range Weather Forecasts (ECMWF). The goal was to improve performance especially with ecCKD, a new gas optics scheme that requires only 32 spectral intervals in the longwave and shortwave to be accurate. This speeds up ecRad considerably, but also reduces performance due to short inner loops.

We therefore carry out both higher-level code restructuring and kernel-level optimizations for the radiative transfer solvers TripleClouds and SPARTACUS. SPARTACUS computes cloud 3D radiative effects, which have so far been neglected in largescale models. We exploit the lack of vertical loop dependencies in key computations by merging the spectral and vertical dimensions, improving vectorization and instruction-level parallelism.

On the new AMD Rome-based ECMWF supercomputer, we obtain a 3-fold speedup for both solvers when using 32-term ecCKD models. Combining ecCKD with optimized code results in very fast yet accurate radiation computations: with TripleClouds we achieve 1.7 TFLOPs and a throughput of 621 columns/ms on a 128-core node. This is 11.5 times faster than ecRad in Integrated Forecasting System cycle 47r3, which uses a more noisy solver (McICA) and less accurate gas optics (RRTMG). SPARTACUS with ecCKD is now 2.4 times faster than CY47r3-ecRad, making cloud 3D radiative effects affordable to compute within large-scale models. Preliminary results show that SPARTACUS slightly improves forecasts of 2-metre temperature and low clouds in the tropics.













- do jlev = 1,nlay ! Start at top-of-atmosphere
   nreg = nregions
   if (is\_clear\_sky\_layer(jlev) nreg = 1 if (is\_clear\_sky\_layer(jlev) nreg = 1
  do jreg = 1\_areg | Loop over relevant regions (only 1 if layer is clear-sky)
  if (jreg = 1) then ! optical properties are equal to clear-sky values
  optical\_dept.tot = optical\_depth(;,jlev,jcol)
  satot = sat(;jlev,jcol)
  cloady = 1\_ng ! loop over grplnts
  t (Lody+sky optical properties from band-wise cload values and g-point-wise clear-sky values
  optical\_dept.tot(jg) = optical\_depth(jg,jlev,jcol) + ...
  end do
  end if

  - call calc\_two\_stream\_gammas\_sw(ng, mu0, ssa\_tot, g\_tot, gamma1, gamma2, gamma3) call calc\_reftrams\_sw(ng, mu0, optical\_depth\_tot, ssa\_tot, gamma1, gamma3, gamma3, k # reflectmone(:,reg.]lev), trammittamce(:,reg.]lev), k = ! outputs end do
  - - ₩

Figure 1: Refactoring of TripleClouds-SW. In addition to optimizing and fusing kernels, in the new code (bottom) the reflectance-transmittance computations are performed in a batched manner for multiple layers by collapsing the spectral and vertical dimensions.



Figure 1: Reference (top) and optimized (bottom) versions of the matrix-matrix multiplication kernel used in the shortwave matrix exponential computations. The latter unrolls loops and reduces work by exploiting that some matrix elements are repeated. For this performance-critical code, further speedup was gained by data alignment. The Intel compiler reported aligned data access only after declaring  $ng\_sv$  at compile-time.



sum_tmp = 0.0_jprb
associate(A=>u_matrix(:,:,jlev+1), b=>lw_deriv_old)
<pre>!\$omp simd reduction(+:sum tmp)</pre>
do ig = 1, ng
Compute effect of overlap at half-level ilev+1, vielding derivatives just above that
half-level (matrix-vector multiply)
both inner and outer loop of the matrix loops if and i2 unrolled
inner loop: 12=1 12=2 12=3
h = h(1, 3) +
y = 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1
u = 1 derivatives g reg(ig.3) = A(3,1)*b(ig.1) + A(3,2)*b(ig.2) + A(3,3)*b(ig.3)
! Compute effect of transmittance of layer iley, vielding
! derivatives just below the half-level above (jlev)
lw derivatives g reg(ig.1) = lw derivatives g reg(ig.1) * transmittance(ig.1.ilev)
lw derivatives g reg(jg.2) = lw derivatives g reg(jg.2) * transmittance(jg.2, jlev)
<pre>lw derivatives g reg(ig.3) = lw derivatives g reg(ig.3) * transmittance(ig.3, ilev)</pre>
sum tmp = sum tmp + 1w derivatives g reg(ig.1) + 1w derivatives g reg(ig.2) + &
& + ly derivatives g reg(ig.3)
end do
end associate
<pre>lw_derivatives(icol, jlev) = sum_tmp</pre>
end do

Figure 1: Reference (top) and optimized (bottom) version of the longwave derivatives kernel used by TripleClouds.

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## Key Points:

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•	The	ecRad	l radiation	a scheme	was	sped up	p threefold	by using	code	optimizatio	on
	~										

- Combining the optimized TripleClouds solver with new gas optics reduces the runtime of IFS radiation 11-fold
- Cloud 3D radiative effects can now be computed twice as fast as the operational scheme

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## <sup>36</sup> Plain Language Summary

A crucial step in simulating weather and climate is calculating how atmospheric radiation (shortwave radiation from the sun and terrestrial longwave radiation) interacts with Earth's atmosphere and surface. The complexity of the underlying physics has necessitated making approximations in how radiative transfer is treated, such as considering it only in upwards and downwards directions, thereby ignoring 3D effects. Even so, radiative transfer has historically been a computationally expensive component of weather and climate simulations.

Here we show that a state-of-the-art radiation code can be sped up threefold by 44 using code optimization techniques that seek to maximise performance on modern pro-45 cessors. Combining this with a recent innovation that reduces the number of spectral 46 computations required for accurate solutions, an order-of-magnitude increase in speed 47 is obtained compared to the existing radiation scheme in a global weather model. Cru-48 cially, these improvements also make a radiation scheme that accounts for 3D radiative 49 effects by clouds fast enough to be used operationally. When included in global simu-50 lations, these 3D effects act to warm the lower atmosphere substantially. 51

## 52 1 Introduction

Atmospheric radiation is well-understood, but too complex to be solved in an ex-53 act manner in weather and climate models. That is, with the exception of the treatment 54 of sub-grid cloud structure (which can easily become a dominant source of error), highly 55 accurate solutions to atmospheric radiative transfer are available but too costly for dy-56 namical models. This leaves the parameterization of radiation as an exercise in how to 57 obtain as accurate broadband longwave and shortwave fluxes as possible at the least pos-58 sible computational cost. For the spectral integration, the correlated-k-distribution method 59 (CKD, e.g. Goody et al., 1989) has emerged as a leading solution. CKD is based on re-60

ordering the highly detailed absorption spectra of atmospheric gases by its optical properties into a cumulative probability function. Accurate spectral integration then becomes possible with only  $O(10^2 - 10^3)$  quadrature points - in CKD schemes these pseudo-monochromatic spectral intervals are referred to as k-terms or g-points - compared with  $O(10^6 - 10^7)$  for line-by-line methods which resolve individual spectral lines.

Despite the use of CKD, and considering the transfer of diffuse radiation only in 66 the upward and downward directions ('two streams'), radiation computations are expen-67 sive enough that their temporal and/or spatial frequency is often limited. In high-resolution 68 forecasts based on the IFS, a global numerical weather prediction (NWP) model devel-69 oped at ECMWF, radiation is called every hour on a grid with roughly 10 times fewer 70 columns than the rest of the model (Hogan & Bozzo, 2018). Such approximations are 71 a source of uncertainty in large-scale models. In particular, 3D radiative effects by clouds 72 are routinely ignored in weather and climate simulations, yet were estimated by Schafer 73 (2017) to be similar in magnitude to anthropogenic greenhouse gas forcing (this does not 74 imply they are as important for climate projections, as 3D effects are not *changing* and 75 biases associated with missing processes are generally offset by model tuning). Due to 76 the spatial and temporal coarsening, ecRad is only a few percent of the total IFS run-77 time (Hogan & Bozzo, 2018), but radiation becomes more expensive for larger-scale sim-78 ulations where it must be called at a higher frequency relative to the model time step. 79 For instance, in a coarse-resolution setup of the ECHAM climate model, radiation ac-80 counted for half of the runtime of the atmospheric model (Cotronei & Slawig, 2020). 81

The perceived expense of radiation schemes has led to attempts to replace them 82 with a faster and approximative neural network (NN) emulator (Chevallier et al., 1998; 83 Krasnopolsky et al., 2008; Pal et al., 2019; Liu et al., 2020; Roh & Song, 2020; Song & 84 Roh, 2021; Kim & Song, 2022), avoiding explicit spectral computations and typically pre-85 dicting heating rates directly. While large speed-ups of 1-2 orders of magnitude have been 86 achieved, this approach can suffer from not only worse accuracy but also a lack of en-87 ergy conservation, generalization and flexibility. For example, emulators are almost al-88 ways tied to a specific vertical grid, and are less interpretable and configurable than mod-89 ern radiation schemes which use different modules to compute the optical properties of 90 gases, aerosols and clouds, and combine these in a radiative transfer solver. The advan-91 tages of flexibility, also with regards to vertical grids, were retained in Ukkonen et al. 92 (2020) by only replacing the gas optics component with NNs. Radiative forcings with 93 respect to individual greenhouse gases, important for climate applications, may also not 94 be well represented by top-down emulators (we are not aware of any full-emulation pa-95 per evaluating these). Although ML emulators may yet prove useful, for instance by be-96 ing able to run on graphics processing units (GPUs), a recent study (Ukkonen, 2022a) 97 indicates that they suffer from similar speed-accuracy trade-offs as radiation schemes: 98 a recurrent NN approach which structurally mimics radiative transfer computations gave 99 much better accuracy than dense networks, but also a much smaller speed-up. 100

Fortunately, the reliable radiative transfer equations need not be sacrificed at the 101 altar of efficiency. Algorithmic developments can, for instance, substantially reduce the 102 number of spectral terms required for a given level of accuracy (Hogan & Matricardi, 2022). 103 It may also be argued that the use of code restructuring to better exploit modern CPU's 104 105 represents an underutilized potential for many physics codes. In one case, a modern radiation scheme was made roughly 3 times faster by combining a refactoring of the ra-106 diative transfer solver with replacing the gas optics module with a NN version (Ukkonen 107 et al., 2020). In another, code restructuring of the RRTMG radiation scheme also im-108 proved speed threefold on targeted Intel hardware (Michalakes et al., 2016). In many legacy 109 codes, the baseline performance may be much worse (Michalakes et al., 2016). While the 110 independent column framework used in sub-grid parameterizations enables straightfor-111 ward parallelization across multiple cores, exploiting other types of parallelism offered 112 by modern CPUs, namely SIMD (single instruction, multiple data) vectorization, or instruction-113

level parallelism, may be considerably more challenging. Similarly, efficient use of complex cache memory hierarchies is anything but guaranteed. For any potentially expensive physics routine that is likely called within an OpenMP loop in a NWP or climate
model, it follows that knowledge of basic optimization techniques of serial code becomes
important, especially so as simulations are being performed at increasingly high resolution, with ever higher energy costs (Fuhrer et al., 2018).

Related to this, the move towards heterogeneous supercomputing platforms which 120 incorporate accelerators presents a great challenge and necessitates re-thinking how we 121 write and maintain code (Lawrence et al., 2018). An example of how this can be tack-122 led at the parameterization level is found in the RTE+RRTMGP radiation code (Pincus 123 et al., 2019), which makes use of isolated computational objects that can be adapted to 124 new hardware platforms. Whether CPU or GPU, hardware is evolving towards higher 125 levels of parallelism, as simply increasing clock counts is no longer feasible. Allowing this 126 to influence not only algorithm design and implementation, but also the choice of algo-127 rithm, may therefore be prudent. For radiation, schemes based on CKD have tradition-128 ally been expensive enough to have kept less accurate broadband schemes relevant, as 129 they have allowed spatially or temporally more frequent radiation computations. How-130 ever, CKD has a higher level of parallelism owing to the independent spectral compu-131 tations, and so hardware trends may favour it over band-based approaches. In total a 132 CKD-based radiation scheme has two "embarassingly parallel" dimensions (columns and 133 q-points), and a partially parallelizable vertical dimension (as not all computations have 134 vertical loop dependencies). If the code is organized in a way where this parallelism can 135 be fully exploited by the hardware, high performance can be achieved. 136

137 With this in mind, we describe various optimizations for ecRad, a flexible and opensource CKD-based radiation scheme developed at ECMWF. Our main goal was to im-138 prove the performance with ecCKD, a new gas optics scheme which uses relatively few 139 k-terms (only 32 for the candidate SW and LW models). This improves speed but also 140 reduces efficiency of the vectorized code by shortening vectorized loops. To address this 141 we restructure the longwave (LW) and shortwave (SW) versions of the TripleClouds and 142 SPARTACUS solvers (Hogan et al., 2016). While targeting ECMWF's new HPC plat-143 form based on AMD Zen 2 ('Rome') microarchitecture, expressing more parallelism should 144 also help prepare ecRad for GPUs. In addition we optimize many kernels, e.g. to avoid 145 the use of double precision in numerically sensitive two-stream calculations, which re-146 quires tuning some coefficients and introducing physical or numerical securities in order 147 to avoid substantial errors in fluxes. We note that thorough refactoring of SPARTACUS 148 is a laboursome undertaking; being a more sophisticated solver, the shortwave alone con-149 tained more than 1500 lines of code (excluding subroutines). We follow a simple strat-150 egy based on manually instrumenting ecRad code to get a profile of the runtimes and 151 estimates of floating point operations per second (FLOPS) for different code sections. 152 Although this is not always a useful metric, radiation codes are computationally inten-153 sive, and code sections with significant runtimes and low FLOPS indicated optimization 154 potential. Unfortunately, the code contained relatively few hotspots and in total, many 155 person months were spent on the refactoring. However, the effort should be well spent 156 as SPARTACUS is the only radiation scheme that is capable of representing 3D radia-157 tive effects at a relatively low cost, having previously been 5.8 times slower than the McICA 158 solver used in the IFS (Hogan & Bozzo, 2018). This difference is reduced by the use of 159 ecCKD. A major goal was to eliminate the remaining gap and make SPARTACUS fast 160 enough to be considered for operational use in weather and climate models. 161

The bulk of the paper concerns optimizations to ecRad; following a brief overview of the radiation scheme and its relevant components (Section 2) we describe the highlevel code restructuring to improve performance (Section 3). In Section 4, we list some other optimizations that were used, while kernel-specific changes are detailed in Appendix A. We then evaluate runtimes and performance in Section 5. Given that global simu-

lations with SPARTACUS have not yet been published, some preliminary results of the 167 impact of 3D cloud radiative effects in the IFS are presented in Section 6, followed by 168 concluding remarks (Section 7).

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#### 2 The ECMWF radiation scheme 'ecRad' 170

The ecRad radiation scheme was developed at ECMWF and has been used oper-171 ationally in the IFS since 2017 (Hogan & Bozzo, 2018) and by the German Weather Ser-172 vice (DWD) since 2021, as well as being available for anyone to use under an open-source 173 license. It is highly configurable, with the capability for the four main components (the 174 radiative transfer solver and the calculation of the optical properties of gases, aerosols 175 and clouds) to be changed independently of each other. Two of these components offer 176 opportunities for a significant trade-off between accuracy and efficiency: the solver (dis-177 178 cussed in section 2.1) and the treatment of gas optics (section 2.2).

#### 2.1 Radiative transfer solvers

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The solver takes as input the optical properties of the atmosphere in different spec-180 tral regions, and computes profiles of broadband fluxes from which heating rates may 181 be computed. The main challenge is to represent sub-grid cloud structure. The McICA 182 solver (Monte Carlo Independent Column Approximation) is used operationally by ECMWF 183 and DWD, and feeds each spectral interval of the radiative transfer calculation with a 184 different stochastic realization of the cloud profile. The McICA implementation described 185 by Hogan and Bozzo (2018) exactly respects the total cloud cover prescribed by the model's 186 overlap assumptions, as well as the fraction of clouds exposed to space at each level. How-187 ever, the model's assumption on sub-grid heterogeneity of cloud water content is only 188 respected in a statistical sense, so there is a modest amount of noise in instantaneous 189 radiative fluxes. 190

The TripleClouds solver (Shonk & Hogan, 2008) takes a quite different approach: 191 each layer containing cloud is divided horizontally into three 'regions', one clear and two 192 cloudy, with the water contents of the two cloudy regions chosen to best approximate 193 the radiative impact of the full probability distribution of cloud water assumed by the 194 model. The model's overlap assumptions are used to pass the fluxes between adjacent 195 layers in a way that reproduces exactly the same total cloud cover as used by McICA, 196 but the fluxes are free from stochastic noise. 197

The SPARTACUS (Speedy Algorithm for Radiative Transfer through Cloud Sides) 198 solver of Hogan et al. (2016) describes the sub-grid cloud field in the same way as Triple-199 Clouds, but terms are added to the equations to allow radiation to flow laterally between 200 regions at a rate proportional to the assumed length of the interface between them, flows 201 that are neglected in all operational radiation schemes worldwide. In the shortwave, this 202 approach to representing 3D radiative transfer has been found to perform well against 203 reference Monte Carlo radiation calculations for a wide range of cloud types (Hogan et al., 2019), capturing differences with traditional 1D radiative transfer of as much as 40 W m<sup>-2</sup>. 205 In the longwave, emission from cloud sides acts to increase the cloud radiative effect, but 206 preliminary evaluation against Monte Carlo calculations suggests that the SPARTACUS 207 somewhat overestimates this 3D effect; work is ongoing to improve the physical assump-208 tions made in the longwave. It was reported by Hogan and Bozzo (2018) that compared 209 to TripleClouds, SPARTACUS makes ecRad 3.3 times slower, while compared to McICA. 210 SPARTACUS makes ecRad 5.8 times slower. Thus, SPARTACUS is a good example of 211 a parameterization that offers a more accurate representation of the real world but is too 212 expensive to deploy operationally, and therefore with code optimization could become 213 affordable for operational use. 214

#### 2.2 The RRTMG and ecCKD gas-optics scheme

The gas-optics component dictates the spectral resolution of the entire radiative transfer scheme, and scales its overall computational cost. Like the radiation schemes of many weather and climate models worldwide, ecRad by default computes the spectral absorption of gases using the Rapid Radiative Transfer Model for General Circulation Models, RRTMG (Mlawer et al., 1997), which uses a total of 140 spectral intervals in the longwave and 112 in the shortwave.

Hogan and Matricardi (2022) recently developed the ECMWF Correlated k-Distribution 222 tool 'ecCKD', which generates gas-optics models in the form of look-up tables that can 223 be stored in a single configuration file. Since version 1.4, ecRad has the capability to use 224 ecCKD gas-optics models. Hogan and Matricardi (2022) used three techniques to reduce 225 the number of spectral intervals while retaining accuracy: the full-spectrum correlated-226 227 k method, the hypercube partition method for treating the spectral overlap of gases, and the optimization of look-up table coefficients against a set of training profiles. We use 228 their models with 32 spectral intervals in each of the longwave and shortwave; since this 229 is several times fewer than used by RRTMG, we expect a speed-up of the entire radi-230 ation scheme. 231

#### 3 High-level code restructuring to expose more parallelism

#### 3.1 Motivation

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In both TripleClouds and SPARTACUS, the computation of layer reflectances, trans-234 mittances and source functions take a large share of the total runtime. In the reference 235 code, these kernels are called within a vertical loop, and contain SIMD-vectorized loops 236 over q-points, the innermost dimension in ecRad. This is problematic for ecCKD as it 237 results in loops that are too short (e.g. 32 iterations) to efficiently utilize modern CPU's. 238 Similarly to a car assembly line which can produce cars at a rate that is much faster than 239 the time taken to produce an individual car, microprocessors have a level of parallelism 240 that comes from *instruction pipelining*. Because pipelined instructions include a wind-241 up and wind-down phase where microprocessor units are idling for a given number of cy-242 cles - the number of overlapped instructions, known as latency or *depth* - the through-243 put (number of operations per cycle) when executing N independent operations with a pipeline of depth m is given by  $p = \frac{1}{1 + \frac{m-1}{N}}$  (Hager & Wellein, 2010). 244 245

In the reference code, the reflectance-transmittance kernels are called inside a ver-246 247 tical loop and N is equal to the number of q-points. With ecCKD, N = 32, and to obtain a decent efficiency of e.g. p = 0.64 results per cycle, we arrive at m = 19. How-248 ever, complex calculations can have much longer latencies than this, with the exponen-249 tial function alone having a longer latency. The computations of reflectance and trans-250 mittance using a two-stream approximation are very involved and include many high-251 latency operations such as floating point division. This can easily lead to the instruction stream being stalled ('pipeline bubble'). Vector or superscalar parallelism makes the 253 situation even worse as multiple identical pipelines operating in parallel decreases the 254 loop length of each pipe (Hager & Wellein, 2010). 255

Knowing that the exponential function (used in the two-stream kernels to compute 256 transmittance from optical depth) alone has a long latency, simply moving it outside of 257 the long SIMD-vectorized loop with other complex arithmetic improved performance by 258 alleviating such a pipeline stall. However, even after the separately vectorized exponen-259 tial it is useful, if possible, to increase N. Luckily, this can be done by exploiting the lack 260 of vertical dependencies in the underlying computations. Specifically, collapsing the ver-261 tical and *q*-point dimension together prior to the kernel calls acts to increase the length 262 of SIMD-vectorized loops (improving vectorization and instruction-level parallelism) and 263 also reduces overhead from procedure calls. 264

#### 3.2 Batched clear-sky computations

Beginning with the most trivial change, in both TripleClouds and SPARTACUS 266 the computation of clear-sky reflectance and transmittance is performed for all layers (re-267 gardless of whether they contain clouds) and so the subroutine call can simply be moved 268 outside a vertical loop and the two inner dimensions collapsed, e.g. call calc\_reftrans\_opt 269 (ng\*nlev, od(:,:,jcol), ..., reflectance\_clear, ...). Here the first argument gives 270 the length of the SIMD-vectorized dimension i.e. number of g-points (ng) times num-271 ber of layers, or levels as they are called in ecRad (fluxes, meanwhile, are defined at nlev+1 272 273 'half-levels'). The performance of the shortwave reflectance-transmittance kernel (which includes optimizations described in Appendix A) as a function of the vectorized dimen-274 sion N is shown in Figure 1. Optimal performance with ecCKD is achieved when the 275 vertical dimension is fully collapsed with the spectral dimension, without the need for 276 blocking, with roughly doubled performance compared to the previous code layout where 277 the length of the vectorized loop equals ng=32. The new structure is efficient also when 278 using other gas optics schemes, as considerably larger spectral and/or vertical dimen-279 sions can be accommodated before a performance drop-off occurs when the arrays can 280 no longer fit in faster cache. The trade-off is a small increase in code complexity, as it 281 requires the reflectances and transmittances to be split into separate arrays for clear-sky 282 and cloudy regions: reflectance\_clear(ng, nlev) and reflectance\_cloudy(ng, 2:nregions 283 , nlev) instead of reflectance(ng, nregions, nlev), but in practice other code sections 284 are hardly affected as flux computations depend on the presence of clouds anyway. An-285 other benefit is that overhead from subroutine calls is much reduced. 286



Figure 1: Serial single-precision performance of the optimized shortwave two-stream kernel (y-axis) versus loop length N (x-axis). The solid black line shows the performance as measured within a realistic program running the full radiation code for 7320 columns using a column block size of 8, ecCKD gas optics, the TripleClouds solver, and blocking also in the vertical dimension with different block sizes (top x-axis) to test the impact of varying N. Conveniently, the performance peaks around N corresponding to the number of g-points in ecCKD (32) times the number of vertical levels in the IFS high-resolution model (137), meaning that collapsing the g-point dimension with the vertical dimension results in optimal performance on this platform (AMD Ryzen 9 3900, GNU Fortran 9.3). The dotted line was obtained using a simple timing program that calls the kernel with synthetic data in order to test a wider range of N.

## **3.3** Batched cloudy computations

The lack of loop dependencies in the vertical dimension can likewise be exploited in the more demanding reflectance-transmittance computations for cloudy layers and regions, but this requires batching together the two cloudy regions and/or adjacent cloudy layers. The best way to do this depends on the particular solver.

## 3.3.1 TripleClouds-SW

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In shortwave TripleClouds, we collapse the g-point, region and vertical dimensions by grouping together adjacent cloudy layers. This was implemented with a do while loop which checks if any cloudy layers still exists and finds the top and bottom of this extended cloudy layer, as illustrated in Fig. 2. The new code leads to a vectorized dimension of  $2 \times ng \times nlay_{cloud-depth}$  in the cloudy reflectance-transmittance computations.

 $\downarrow$ 

```
! Cloudy computations: start at top-of-atmosphere and find first cloudy layer, if one exists
any_clouds_below = .false.
jtop = findloc(is_clear_sky_layer(1:nlay), .false., dim=1)
if (jtop>0) any_clouds_below =
                                        .true
do while (any_clouds_below)
 ! Find the bottom of this cloud
jbot = ...
 nlay_cloud = jbot - jtop + 1
 allocate(optical_depth_tot_cloudy(ng,2:nreg,jtop:jbot), ssa_tot_cloudy(ng,2:nreg,jtop:jbot), &
    & g_tot_cloudy(ng,2:nreg,jtop:jbot))
 do jlev = jtop, jbot
  do jreg = 2, nregions ! = 3
do jg = 1,ng
 ! Spectral cloudy-sky optical properties from band-wise cloud values and spectral clear-sky values
     optical_depth_tot_cloudy(jg,jreg,jlev) = ...
   end do
  end do
 end do
 call calc_reftrans_sw_opt(ng*2*nlay_cloud, & ! g-points * cloudy regions * adjacent cloudy layers
& mu0, optical_depth_tot_cloudy, ssa_tot_cloudy, g_tot_cloudy, &
& reflectance(:,:,jtop:jbot), transmittance(:,:,jtop:jbot), & ! outputs
& ref_dir(:,:,jtop:jbot), trans_dir_diff(:,:,jtop:jbot), trans_dir_dir(:,:,jtop:jbot)) ! outputs
 deallocate(optical_depth_tot_cloudy, ssa_tot_cloudy, g_tot_cloudy)
 ! Does another cloudy layer exist? If not, set logical to false to exit "while" if (jbot== nlay) any_clouds_below=.false. ! surface reached
 if (any(.not. is_clear_sky_layer(jbot+1:nlay))) then
    find the top of the new cloud
  jtop = ...
 else
  any_clouds_below=.false.
 endif
end do
```

Figure 2: Refactoring of TripleClouds-SW. In addition to optimizing and fusing kernels, in the new code (bottom) the reflectance-transmittance computations are performed in a batched manner for multiple layers by collapsing the spectral and vertical dimensions.

#### 3.3.2 TripleClouds-LW

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In longwave TripleClouds we decided to batch the reflectance-transmittance computations only over *g*-points and the two cloudy regions, but not layers, as this was slightly faster on the tested platform. To achieve better performance on platforms with longer vector lengths it would likely be worth the increase in memory footprint to batch over the vertical dimension as well, but we did not wish to sacrifice performance on the targeted hardware or write more complex code to allow both options at this point.

## 3.3.3 SPARTACUS-SW

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SPARTACUS represents cloud 3-D radiative effects by adding extra terms to the 306 two-stream equations. The coupled system of equations can be solved by a method based 307 on the matrix exponential. In both LW and SW solvers, these matrix exponentials are 308 a computational hotspot, with the shared expm kernel accounting for almost 50% of the 309 total runtime of the reference code. The matrix exponential is performed for each '3D' 310 q-point in cloudy layers, where 3D effects are not considered for q-points which have very 311 large optical depths that exceed a threshold. Because the individual matrices for which 312 the matrix exponential is computed have small sizes corresponding to the total number 313 of clear and cloudy regions,  $(nreg \times 3, nreg \times 3)$ , they are placed non-contiguously in 314 memory and the g-point dimension is vectorized instead. To vectorize over '3D' g-points, 315 it is assumed that prior to the solver the q-points have been reordered in approximate 316 order of gas optical depth which is in practice is implemented using a hard-coded map-317 ping. Clear-sky optical depths are then searched for the cut-off index ng3D used in expm, 318 which is dominated by matrix-matrix multiplications implemented as C(1:ng3D,j1,j2) =319  $C(1:ng3D,j1,j2) + A(1:ng3D,j1,j3) \times B(1:ng3D,j3,j2)$ . Kernel-level optimization of the short-320 wave kernel expm\_sw is described in Appendix A. 321

Efficiency can again be improved by collapsing the spectral and vertical dimensions. 322 We exploit the same principle as in TripleClouds-SW, batching multiple cloudy layers 323 using a do while loop. Recognizing that in the shortwave, ng3D is typically close to ng, 324 3D computations can be performed for all q-points without much redundancy (capping 325 the optical depths to the threshold value), and flattening the two dimensions. This re-326 sults in a vectorized dimension of  $ng \times nlay_{cloud-depth}$  instead of  $ng3D \approx ng$ . As an added 327 benefit, SPARTACUS-SW no longer requires g-points to be ordered by optical depth, 328 eliminating any errors associated with assuming a constant reordering. Because the com-329 putation of reflectances, transmittances and source functions (longwave only) in SPAR-330 TACUS involves many intermediate arrays which are quite large, to use cache memory 331 efficiently it is useful to ensure that the number of batched layers does not become too 332 large. In the refactored code we set this threshold using a simple expression that depends 333 on ng and working precision, and a constant tuned to result in 6 layers when using 32 334 g-points and single precision (if using double precision, only 3 layers would be batched). 335 This gave good performance on the AMD platform; for optimal performance the user 336 may wish to tune the maximum batch size to the hardware at hand. 337

Finally, after reflectances and transmittances have been determined, the solver works 338 its way up from the surface to the top-of-atmosphere computing the total albedos (the 339 albedo of the entire atmosphere below a layer). In the shortwave, this includes the com-340 putation of entrapment (Hogan et al., 2019) where the rate of exchange between the sub-341 regions in a given layer and the subregions in the layer above is computed via a coupled 342 differential equation written in terms of a singular exchange matrix. This is once again 343 solved using the matrix-exponential method. The simpler structure of these matrices en-344 ables using a faster method described in the appendix of Hogan et al. (2018). Nonethe-345 less, these computations represent a small hotspot. While the loop-carried dependen-346 cies prevent batching across the vertical dimension as for expm, it is possible to batch 347 the fast\_expm computations across the three subregions times two (being performed for 348 both diffuse and direct albedo), increasing the vectorized dimension by a factor of 6. 349

#### 350 3.3.4 SPARTACUS-LW

In the longwave, the fraction of g-points which have optical depths small enough for 3D effects to matter is typically much lower than in the shortwave, and doing them for all *g*-points would result in a great deal of redundancy. Therefore, the code was restructured to collect all the '3D' *g*-points from adjacent cloudy layers, where ng3D varies by layer, into larger arrays with the inner dimension ng3D<sub>tot</sub>. This increases code complexity and introduces overhead but is worth it as the time spent in expm was more than halved (when using ecCKD and optimized kernel) due to avoiding very inefficient calls with small loop lengths. This change made SPARTACUS-LW faster by roughly a third.

#### **359 4** Other optimizations

Many other optimization techniques were applied across the radiation scheme, in-360 cluding loop unrolling, loop fusion (often made possible by inlining functions), and avoid-361 ing temporary arrays. Here we list some general optimizations - employed in different 362 modules of the radiation scheme - below, and refer the reader to Appendix A for an ac-363 count of kernel-specific optimizations, which included important but painstaking work 364 of porting code fully to single precision. (We only discuss this aspect for the two-stream 365 kernel but note that SPARTACUS had issues with numerical instability that were es-366 pecially difficult to solve as they were not immediately reproducible offline). 367

• Declaring ng at compile time. In ecRad, the spectral dimension, whose length is 368 given by the number of g-points ng, is the leading dimension and in many sections 369 cannot be collapsed with the vertical dimension. Simply declaring  $ng_{SW}$  and  $ng_{LW}$ 370 at compile time can improve performance of ecRad with ecCKD by up to 25% (Ta-371 ble 1) by allowing the compiler to optimize many such short loops in the solvers, 372 aerosol optics and gas optics. This was implemented using a preprocessing direc-373 tive #ifdef ng\_sw which sets the leading dimension to a parameter if it is passed 374 to the compiler, and to a procedure argument ng\_sw\_in if it is not. 375

Removing conditionals. Conditional branches to prevent division by zero, e.g. in sections where optical properties from gases, clouds and aerosols are combined within a spectral loop, were replaced with the use of max(*value*, *some number*) in the denominator by recognizing that if the denominator was zero the numerator was also zero. In the LW two-stream kernel moving a necessary conditional to a separate loop also improved performance by vectorizing the more compute-intensive parts.

• Merged broadband flux computations. The last step in the solver is to compute broadband fluxes by summing the fluxes defined at *g*-points and three regions. In the shortwave, this reduction over two dimensions is performed for three variables: upwelling, downwelling, and direct downwelling flux. By doing all three sums in a single loop over *g*-points with the *SIMD reduction* clause in OpenMP, and manually unrolling the sum over regions, the arithmetic intensity can be greatly improved compared to having separate calls to the *sum* intrinsic function:

394 395

400 483 ! Store the broadband fluxes ! flux%sv\_up(jcol,jlev+1) = sum(sum(flux\_up,1)) ! flux%sv\_dn(jcol,jlev+1) = mu0 \* sum(sum(direct\_dn,1)) + sum(sum(flux\_dn,1)) sums\_up = 0.0\_jprb; sums\_dn = 0.0\_jprb; sums\_dn\_dir = 0.0\_jprb !\$omp sind reduction(+:sums\_up, sums\_dn, sums\_dn\_dir) do jg = 1, ng\_sw sums\_up = sums\_up + flux\_up(jg,1) + flux\_up(jg,2) + flux\_up(jg,3) sums\_dn = sums\_dn + flux\_dn(jg,1) + flux\_dn(jg,2) + flux\_dn(jg,3) sums\_dn\_dir = sums\_dn\_dir + direct\_dn(jg,1) + direct\_dn(jg,2) + direct\_dn(jg,3) end do flux%sw\_up(jcol,jlev+1) = sums\_up flux%sw\_dn(jcol,jlev+1) = mu0\*sums\_dn\_dir + sums\_dn

For cloud-free layers, summing over cloudy regions (regions 2-3) can be skipped.
Avoiding temporary arrays. In many sections, one or more temporary arrays were removed by using the output array(s) of a subroutine for intermediate computations and/or by reusing temporary/local arrays. Code clarity was retained by the use of Fortran's associate construct.

## 408 5 Timing results

We evaluate performance by running an offline version of ecRad, which can be com-409 piled with both reference and optimized code, on a single node of ECMWF's new AMD-410 based supercomputer. The results were obtained using a test case of 10,000 columns ran-411 domly sampled from a global snapshot from a high-resolution IFS simulation (00 UTC 412 2020/04/30), repeated 4 times for a total of 40,000 profiles with 137 vertical levels. Fig. 413 3 shows ecRad runtimes with a breakdown into components, as well as the overall single-414 precision floating-point performance, as obtained by instrumentation with the GPTL li-415 brary. The dynamically scheduled OpenMP parallelization was over blocks of columns 416 (block size was set to 8) in an outer loop, in which the ecRad derived type arguments, 417 and not their array components, are blocked in order to avoid inefficient striding over 418 all columns (unlike ecRad's internal variables, its input/outputs use columns innermost). 419 This reflects IFS use, except that the offline setup does not include preparation of de-420 rived types and interpolation to the coarser grid. Computations were repeated 10 times 421 in an outermost loop, and the program was run 5 times, with the fastest result shown. 422



Figure 3: Time per 100 profiles (x-axis) for different configurations of ecRad (y-axis), with colors indicating different components of the radiation scheme. The results are grouped firstly by the choice of gas optics, as this determines the number of g-points. Then, the results are grouped by solver (McICA, TripleClouds and SPARTACUS), and finally (for TripleClouds and SPARTACUS only) by different versions of code, where the runtime profile of the optimized code (OPT) is plotted below the reference. To the right, speedup w.r.t. the configuration of ecRad in IFS cy47r3 (RRTMG+McICA) is shown, followed by an estimate of floating-point performance. The component runtimes are means of per-thread values reported by GPTL, but normalized so they add up to the total time spent in the OpenMP loop (annotated values). Platform: AMD EPYC 7H12, GNU Fortran compiler version 9.3 ('-O3 march=native'), 128 threads=cores.

The optimizations give roughly a three-fold speed-up in the total runtime of ecRad configured with ecCKD and either TripleClouds and SPARTACUS. Optimized Triple-Clouds with ecCKD is blazingly fast: 100 atmospheric profiles takes only 0.16 millisec-

onds to compute on the 128-core AMD node, or roughly 20 ms per core. This is nearly 426 11.5 times faster than the operational IFS radiation (reference ecRad using McICA and 427 RRTMG), achieved mainly by the reduction in spectral resolution (64 versus 252 g-points428 in total) combined with a much higher floating point performance (1708 GFLOPS ver-429 sus 268), as opposed to fundamental differences between the solvers (their reference ver-430 sions have similar runtimes and FLOPS). For SPARTACUS, we find that the optimized 431 code with ecCKD runs more than twice as fast as operational ecRad, and ten times faster 432 than reference SPARTACUS with RRTMG, making cloud 3D effects truly affordable for 433 large-scale dynamical models. Importantly, performance is improved also when using other 434 gas optics schemes, as ecRad configured with RRTMG and either TripleClouds or SPAR-435 TACUS is roughly 2 times faster than before. 436

These speed-ups are a result of a large number changes. To assess the relative im-437 pact of different optimizations, our version of offline ecRad can be compiled with three 438 levels of increased refactoring. The runtimes using different versions of the code and two 439 different compilers (including Intel's compiler, which is used for the operational forecast 440 model at ECMWF) are shown in Fig. 4. It can be seen that both high-level refactoring 441 and kernel-level optimizations are important, but the latter are decisive in achieving high 442 performance and getting the full benefit of layer batching, as switching to the new reflectance-443 transmittance and expm kernels (the main hotspots) gives the largest percentage reduc-444 tion in runtime relative to the previous level of code optimization. Finally, making ng 445 a compile time constant in the aerosol optics, gas optics and solvers speeds up radiation 446 computations with 32-term ecCKD models by a further 19-25%, having a larger impact 447 for TripleClouds compiled with the Intel compiler. 448



Figure 4: As in Fig. 3, but using increasing levels of code optimization and both the GNU Fortran (labeled "gcc") and Intel Fortran compiler ("ifort", with compiler options '-O2 -march=avx2 -align array64byte -fast-transcedentals -finline-functions ...' reflecting IFS use) included in Intel OneAPI version 2021.4. Annotations again give the total runtime, with the percentage change relative to the previous level shown in brackets. OPT1 = all changes except using the original reflectance-transmittance and matrix exponential kernels, and without declaring ng at compile time. OPT2 = OPT1 + optimized main kernels. OPT3 = OPT2 + declaring ng at compile time (full optimizations, corresponding to 'OPT' in Fig. 3).

#### <sup>449</sup> 6 Preliminary IFS results with SPARTACUS

We now briefly describe the impact of cloud 3D radiative effects in the IFS by com-450 paring simulations using SPARTACUS and TripleClouds, which is otherwise similar to 451 the SPARTACUS solver but does not compute 3D effects. Firstly, to estimate climate 452 impacts, eight 13-month long (first month is spin-up) coupled atmosphere-ocean simu-453 lations using a horizontal grid spacing of around 60 km ( $T_{\rm Co}$ 199) were performed. These simulations are long enough to capture fast atmospheric and land-surface processes that 455 respond to changes in the radiation scheme, but short enough that the response is not 456 significantly affected by the longer-term changes to ocean circulation. We note that while 457 3D effects have an overall warming effect on larger scales, they include several processes 458 such as shortwave cloud side interception whose cooling effect can dominate at low so-459 lar zenith angles; this could be seen if looking at instantaneous and local 3D effects as 460 opposed to long-term averages (Schafer, 2017), which is our focus here. 461

Fig. 5 shows a latitude-pressure cross-section of zonal mean temperature differences between the SPARTACUS and TripleClouds runs. In year-long simulations, 3D effects warm almost the entire troposphere by up 0.5 K, the warming being strongest at midlatitudes, while impacts are neutral below 700 hPa near the equator. We stress that these simulations are too short to capture the ocean response, and 3D effects are likely to have

a stronger impact in longer simulations. Interestingly, a visual comparison with Figure 467 2 of Tian et al. (2013), depicting CMIP5 tropospheric temperature biases against the 468 MERRA reanalysis and a satellite infrared product, suggests a decent match between 469 the SPARTACUS warming pattern and CMIP5 cold biases. Comparing our IFS simu-470 lations to ERA5, some existing mid-latitude cold biases were indeed reduced, but SPAR-471 TACUS also introduced a warm bias in low latitudes between 200 and 700 hPa, and ex-472 acerbated existing IFS stratospheric cold biases near the poles (not shown), where 3D 473 effects have a cooling effect that reaches 1 K over the North Pole. Because operational 474 models are carefully tuned to produce a realistic climate, and contain numerous com-475 pensating errors, tuning or revision of other model components is likely required to com-476





Figure 5: Height-latitude cross section of the zonal mean of the temperature difference between SPARTACUS and TripleClouds runs (where the former includes cloud 3D radiative effects).

Finally, we briefly evaluate the impact on forecast skill using a suite of high-resolution 478 (TCo1279; roughly 9 km horizontal grid spacing) 10-day simulations initialized at con-479 secutive days between 1. June and 31. August 2021 (a total of 92 runs using both Triple-480 Clouds and SPARTACUS). It should again be noted that these results are without any 481 model tuning to counteract the tropospheric warming and stratospheric cooling by SPAR-TACUS. It is therefore not surprising that the SPARTACUS runs exhibit higher root-483 mean-square-error (RMSE) in temperature aloft due to increased bias, with significant 484 skill degradation in the low latitudes between 100 and 900 hPa (due to warming), and 485 in the northern hemisphere between 10 and 100 hPa (due to cooling). This is not shown, 486 instead we focus on the areas where we find improvement. Most notably, RMSE of 2-487 metre temperature is reduced by up to 10% in the tropics (Fig. 6). The decrease in RMSE 488 over tropical land was mostly due to reduced cold bias. But encouragingly, the standard 489 deviation of 2-metre temperature is also significantly reduced in the tropics overall (Fig. 490 7, top row), nearly 1% on average. The inclusion of 3D cloud effects also slightly reduces 491 random errors of low cloud cover in the tropics (Fig. 7, bottom row). 492



Figure 6: Normalised difference in root-mean-square error in the 7-day forecast of 2metre temperature between high-resolution simulations using SPARTACUS and Triple-Clouds. The plot shows the average impact on forecast skill across a suite of TCo1279 IFS simulations in June-July-August 2019 (82 samples). Negative numbers (blue colors) indicate improved skill from incorporating 3D effects, up to 10% as shown in dark blue.



Figure 7: As in Fig. 6, but showing the normalised difference in standard deviation of 2-metre temperature (top row) and low cloud cover (bottom row) by forecast day (x-axis) and region (Southern Hemisphere, Tropics, and Northern Hemisphere). Error bars give the 95% confidence range computed from 82-92 samples.

#### 493 7 Conclusions

In this work we have refactored the ecRad radiation scheme by using both kernel-494 level optimizations and higher-level code restructuring to improve performance. Our goal 495 was to capitalize on recent developments in gas optics schemes, namely the new ecCKD 496 tool, which allows the spectral dimension to be reduced considerably (to e.g. 32 q-points 497 in the LW and SW; 64 in total) while retaining accuracy. While speeding up all ecRad 498 solvers, it also decreases floating-point performance due to shortening the innermost vec-499 torized loops over q-points. To address this we restructured the TripleClouds and SPAR-500 TACUS solvers to collapse the spectral and vertical dimensions where possible. We also 501 performed many kernel-level optimizations, for instance to improve the efficiency of ma-502 trix computations in SPARTACUS, a solver that can compute cloud 3D radiative effects 503 at a relatively low cost. In an effort to make it truly affordable for operational use, we 504 ended up carrying out a thorough performance refactoring of the entire SPARTACUS 505 code. Taken together, our optimizations increase the performance of ecRad configured 506 with ecCKD and either TripleClouds or SPARTACUS by factor of three, and the opti-507 mized code is also much faster when using older gas optics schemes with more g-points. 508

While targeting ECMWF's new supercomputer equipped with AMD Zen 2 CPUs, 509 the high-level code restructuring to expose more parallelism should be useful for any fu-510 ture code porting on GPU, and benefit CPU's with longer vector lengths (via AVX-512) 511 instructions) even more. It should also be applicable to other correlated-k radiation codes, 512 or possibly even other physics parameterizations which include demanding computations 513 conditional to the presence of clouds. The memory layout of ecRad with the spectral di-514 mension innermost, combined with code restructuring to group together cloudy layers 515 in a column and collapsing with the spectral dimension, is likely ideal for performance 516 for 1D radiation schemes, as it allows for sufficiently long vectorized loops (even for spec-517 trally reduced gas optics) to achieve high performance. A memory layout with columns 518 innermost would not allow the compute-intensive computations specific to cloudy lay-519 ers to be batched in a similar way, and the column batch size may have to be kept small 520 due to memory constraints, reducing SIMD and instruction-level parallelism. 521

Combining optimized TripleClouds with ecCKD, we obtain a speed-up factor larger 522 than ten relative to the operational radiation scheme in IFS cy47r3 that is based on McICA 523 and RRTMG. This may have implications for emulation studies, which attempt to re-524 place physical schemes with a cheap NN emulator: considering that a low-complexity re-525 current NN (which, unlike a faster dense NN, could produce both fluxes and heating rates 526 accurately) was only 4 times faster than a shortwave radiation scheme using 7 times more 527 q-points than ecCKD (Ukkonen, 2022a), the value of using ML for radiation can be ques-528 tioned - at least for emulation of 1D radiation schemes seeking a speed-up on CPUs. Fu-529 ture studies on this topic should strive to compare NNs to a state-of-the-art radiation 530 scheme, as older codes may be orders-of-magnitudes slower. 531

With SPARTACUS, we find that the optimized code coupled with ecCKD is more 532 than twice as fast as the operational IFS radiation. To our knowledge, cloud 3D radia-533 tive effects have until now been neglected in all weather and climate models due to com-534 putational reasons, so this represents a major development. In year-long coupled IFS sim-535 ulations, SPARTACUS significantly warms the troposphere compared to its fully-1D coun-536 terpart (TripleClouds), and these effects are likely to be more pronounced in longer climate simulations, which we leave for future studies to explore. We also performed high-538 resolution simulations and find that SPARTACUS improves medium-range forecasts of 539 2-metre temperature and low cloud cover in the tropics. SPARTACUS is still under de-540 velopment to improve some physical assumptions made in the longwave, and we also fore-541 see other opportunities to further increase realism, such as using high-resolution cloud 542 fields to determine SPARTACUS inputs related to cloud sub-grid variability (instead of 543 using a constant value) when running radiation on a coarser grid as is currently done in 544 the IFS. 545

## <sup>546</sup> Appendix A: Kernel-level optimizations

#### 547 Two-stream kernels

The reference version of ecRad computes the two-stream solutions of reflectance 548 and transmittance (Meador & Weaver, 1980) in double precision, as the underlying equa-549 tions are numerically sensitive. This issue was also noted by Cotronei and Slawig (2020), 550 who left this kernel in double precision when converting ECHAM radiation to single pre-551 cision. We found that the code can be made mostly accurate in single precision simply 552 by using a different minimum value for the variable k (Eq. 18 in Meador & Weaver, 1980) 553 in the single precision case  $(10^{-4} \text{ instead of } 10^{-12})$ , but that very rare combinations of 554 the inputs (single-scattering albedo, optical depth and asymmetry factor) could still cause 555 unphysical results in the shortwave computations. This issue was solved by constrain-556 ing the output variables to prevent that energy could be spuriously created, recognis-557 ing that the direct beam can either be reflected (ref\_dir), penetrate unscattered to the 558 base of a layer (trans\_\_dir), or penetrate through but be scattered on the way (trans\_dir\_diff) 559 - the rest must be absorbed. This was coded as: 560

56	1
562	2
ēr;	1

ref\_dir(jg) = max(0, min(ref\_dir(jg), mu0\*(1-trans\_dir\_dir(jg))))
trans\_dir\_diff(jg) = max(0, min(trans\_dir\_diff(jg), mu0\*(1-trans\_dir\_dir(jg)) - ref\_dir(jg)))

Here, the cosine of the solar zenith angle (mu0) is present because ecRad uses a convention that the direct flux is into a plane perpendicular to the sun's direction while diffuse fluxes are into a horizontal plane. After implementing the adjusted threshold and security, the mean absolute difference in SW and LW net fluxes between double and single precision computations with TripleClouds was around 0.001 Wm<sup>-2</sup> for 10000 columns saved from a high-resolution IFS simulation, and heating rate biases were close to zero.

Both the longwave and shortwave kernels were also sped up by vectorizing the transmittance computation separately by calling the exponential function with an array argument, and conditionals to ensure accurate source functions when the optical depth is low were also placed in a separate post-processing loop, improving performance despite some redundant computations. In the shortwave kernel, conditionals could be removed altogether by borrowing a security to avoid division by zero from RTE+RRTMGP.

577

### SPARTACUS matrix operations

Loop unrolling is a common optimization strategy that compilers can in some cases 578 perform automatically, but if the loop bounds are not known at compile time, the com-579 piler may not know it is advantageous. More involved code patterns may also prevent 580 the compiler from doing this. SPARTACUS uses a matrix exponential solver based on 581 a single precision variant of an optimal scaling and squaring algorithm utilizing Padé ap-582 proximants (Higham, 2005). The scaling and squaring method involves performing many 583 matrix-matrix multiplications. Because the matrices operated by SPARTACUS are very 584 small,  $(nreg \times 3, nreg \times 3) = (9, 9)$  in the shortwave and  $(nreg \times 2, nreg \times 2) = (6, 6)$ in the longwave, for performance reasons the matrix-exponential kernel expm stores them 586 in the two outer dimensions of 3D arrays, and the fastest-varying spectral dimension is 587 vectorized instead. We found that manually unrolling the innermost of the matrix mul-588 tiplication loops improved performance on the tested compilers. Redundant computa-589 tions in expm were also identified and removed: in the shortwave (only), many of the matrix-590 matrix multiplications can exploit not only the sparsity but also some repeated elements 591 in the input matrices, which result in the output matrices also having repeated elements. 592 Given this and the different matrix dimensions, separate LW and SW versions were writ-593 ten for expm. The refactoring of the shortwave matrix multiplication kernel is illustrated 594 in Fig. A1. 595

<sup>596</sup> Similar optimizations were also employed in the many other matrix operations per-<sup>597</sup> formed by SPARTACUS, such as matrix-vector multiplication, and solving linear sys-

- tems of equations for a matrix or vector using LU decomposition. For most of these, sep-
- arate longwave and shortwave kernels were made to allow declaring the inner dimension
- $(ng_{SW} \text{ or } ng_{LW})$  at compile time, even if other dimensions were identical.



Figure A1: Reference (top) and optimized (bottom) versions of the matrix-matrix multiplication kernel used in the shortwave matrix exponential computations. The latter unrolls loops and reduces work by exploiting that some matrix elements are repeated. For this performance-critical code, further speedup was gained by data alignment. The Intel compiler reported aligned data access only after declaring ng\_sw at compile-time.

The other main optimization for expm was in the last step of the algorithm, where 601 the matrices across different q-points are individually squared. This section has poor per-602 formance because the nature of the scaling and squaring method means that the num-603 ber of squarings (stored in the N-sized integer array expo) varies by q-point, resulting 604 in many temporary copies of small arrays and lack of vectorization. Efficiency was im-605 proved by first squaring all the matrices by the minimum expo, ensuring vectorization. 606 In the shortwave, performance was also increased (at the cost of code complexity) by squar-607 ing groups of matrices, based on array indexing of memory-contiguous matrices that still 608 need to be squared after the first step. 609

## 610 Longwave derivatives

The final step in the longwave solvers is the computation of longwave derivatives, 611 the rate of change of layer broadband upwelling longwave fluxes with respect to surface 612 broadband upwelling flux, which is used for approximate radiation updates in every model 613 column at every model time step (Hogan & Bozzo, 2015). This kernel was relatively ex-614 pensive for TripleClouds, as it consists of doing ng multiplications of very small matri-615 ces and vectors (m=nreg), followed by a multiplication with transmittance (ng,nreg) at 616 each g-point, and finally a sum over ng and nreg, at each level. In the expected case of 617 nreg=3, the matrix-vector computations, multiplication with transmittance and sum over 618 **nreg** and **ng** were all combined in a single vectorized loop over q-points by inlining the 619 matrix-vector computation and unrolling the three regions (Fig. A2). A similar optimiza-620 tion was done for SPARTACUS where transmittances are 3-D arrays. When combined 621 ng being made a compile-time constant, the kernels were sped up by a factor of 5-7, de-622 creasing their share of the total runtime from almost a fifth to only a few percent when 623 using optimized TripleClouds and ecCKD. 624

```
! Initialize the derivatives at the surface; the surface is treated as a
single
! clear-sky layer so we only need to put values in region 1.
lw_derivatives_g_reg = 0.0_jprb
lw_derivatives_g_reg(:,1) = flux_up_surf / sum(flux_up_surf)
lw_derivatives(icol, nlev+1) = 1.0_jprb
! Move up through the atmosphere computing the derivatives at each half-level
do jlev = nlev,1,-1
! Compute effect of overlap at half-level jlev+1, yielding
! derivatives_g_reg = singlemat_x_vec(ng,ng,nreg,u_matrix(:,:,jlev+1),
lw_derivatives_g_reg)
! Compute effect of transmittance of layer jlev, yielding
! derivatives_g_reg = transmittance(:,:,jlev) * lw_derivatives_g_reg
lw_derivatives(icol, jlev) = sum(lw_derivatives_g_reg)
end do
```

 $\Downarrow$ 

Figure A2: Reference (top) and optimized (bottom) version of the longwave derivatives kernel used by TripleClouds.

#### <sup>625</sup> Open Research Section

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The development version of ecRad 1.6, which includes our configurable optimizations and new gas optics schemes (ecCKD, RRTMGP and RRTMGP-NN), has been uploaded to Zenodo (https://doi.org/10.5281/zenodo.7148329) (Ukkonen, 2022b). We expect most of the optimizations to feature in a future official version of ecRad (https:// github.com/ecmwf-ifs/ecrad).

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-23-

Figure 1.



Figure 2 (Latex-generated code listing).

 $\downarrow$ 

```
! Cloudy computations: start at top-of-atmosphere and find first cloudy layer, if one exists
any_clouds_below = .false.
jtop = findloc(is_clear_sky_layer(1:nlay), .false., dim=1)
if (jtop>0) any_clouds_below = .true.
do while (any_clouds_below)
  ! Find the bottom of this cloud
 ibot =
 do jlev = jtop, jbot
do jreg = 2, nregions ! = 3
do jg = 1,ng
! Spectral cloudy-sky optical properties from band-wise cloud values and spectral clear-sky values
optical_depth_tot_cloudy(jg,jreg,jlev) = ...
    end do
  end do
 end do
 call calc_reftrans_sw_opt(ng*2*nlay_cloud, & ! g-points * cloudy regions * adjacent cloudy layers
& mu0, optical_depth_tot_cloudy, ssa_tot_cloudy, g_tot_cloudy, &
& reflectance(:,:,jtop:jbot), transmittance(:,:,jtop:jbot), & ! outputs
& ref_dir(:,:,jtop:jbot), trans_dir_diff(:,:,jtop:jbot), trans_dir_dir(:,:,jtop:jbot)) ! outputs
 deallocate(optical_depth_tot_cloudy, ssa_tot_cloudy, g_tot_cloudy)
 ! Does another cloudy layer exist? If not, set logical to false to exit "while" if (jbot== nlay) any_clouds_below=.false. ! surface reached
 if (any(.not. is clear sky laver(ibot+1:nlav))) then
  ! find the top of the new cloud
jtop = ...
 else
  any_clouds_below=.false.
 end
      if
end do
```

Figure 1: Refactoring of TripleClouds-SW. In addition to optimizing and fusing kernels, in the new code (bottom) the reflectance-transmittance computations are performed in a batched manner for multiple layers by collapsing the spectral and vertical dimensions.

Figure 3.



Figure 4.



Figure 5.



Figure 6.



Figure 7.

Confidence range 95% with AR(1) inflation and Sidak correction for 4 independent tests.



Figure A1 (Latex-generated code listing).



 $\Downarrow$ 

pure subroutine mat\_x\_mat\_sw\_repeats(ng\_sw\_in, nlev\_b, A, B, C) integer, intent(in) :: ng\_sw\_in, nlev\_b real(jprb), intent(out), dimension(ng\_sw\*nlev\_b,9,9) :: A, B real(jprb), intent(out), dimension(ng\_sw\*nlev\_b,9,9) :: C integer :: j1, j2, j22 !dir\$ assume\_aligned A:64,B:64,C:64 ! Input matrices have pattern: ! (C D E E) ! (F=-D G=-C H) ! (0 0 I), where each element is a 3-by-3 matrix ! As a result, output matrices have pattern: ! (C D E E) ! (F=D G=C H) ! (0 0 I) do j2 = 1,3 j22 = j2 + 6 do do j1 = 1,6 ! Do the top-left (C, F) ! Unroll innermost matmul loop: more work for each iteration of SIMD loop C(:,j1,j2) = A(:,j1,4)\*B(:,1,j2) + A(:,j1,2)\*B(:,2,j2) + A(:,j1,3)\*B(:,3,j2) & & & + A(:,j1,4)\*B(:,4,j2) + A(:,j1,2)\*B(:,2,j2) + A(:,j1,3)\*B(:,3,j22) & & & & + A(:,j1,4)\*B(:,4,j22) + A(:,j1,2)\*B(:,2,j22) + A(:,j1,3)\*B(:,3,j22) & & & & + A(:,j1,4)\*B(:,4,j22) + A(:,j1,5)\*B(:,5,j22) + A(:,j1,6)\*B(:,6,j22) & & & & + A(:,j1,7)\*B(:,7,j22) + A(:,j1,8)\*B(:,8,j22) + A(:,j1,6)\*B(:,6,j22) & & & & + A(:,j1,7)\*B(:,7,j22) + A(:,j1,8)\*B(:,8,j22) + A(:,j1,9)\*B(:,9,j22) & end do do j1 = 7,9 ! Do the bottom-right (I) C(:,j1,j22) = A(:,j1,7)\*B(:,7,j22) + A(:,j1,8)\*B(:,8,j22) + A(:,j1,9)\*B(:,9,j22) & end do end do c1, 13,4:6) = C(:,4:6,1:3) ! D = F C(:,4:6,4:6) = C(:,1:3,1:3) ! C = C C(:,7:9,1:6) = 0.0\_jprb ! Lower left corner

Figure 1: Reference (top) and optimized (bottom) versions of the matrix-matrix multiplication kernel used in the shortwave matrix exponential computations. The latter unrolls loops and reduces work by exploiting that some matrix elements are repeated. For this performance-critical code, further speedup was gained by data alignment. The Intel compiler reported aligned data access only after declaring ng\_sw at compile-time. Figure A2 (latex-generated code listing).

```
! Initialize the derivatives at the surface; the surface is treated as a
single
! clear-sky layer so we only need to put values in region 1.
lw_derivatives_g_reg = 0.0_jprb
lw_derivatives_g_reg(:,1) = flux_up_surf / sum(flux_up_surf)
lw_derivatives(icol, nlev+1) = 1.0_jprb
! Move up through the atmosphere computing the derivatives at each half-level
do jlev = nlev,1,-1
! Compute effect of overlap at half-level jlev+1, yielding
! derivatives_g_reg = singlemat_x_vec(ng,ng,nreg,u_matrix(:,:,jlev+1),
lw_derivatives_g_reg)
! Compute effect of transmittance of layer jlev, yielding
! derivatives_g_reg = transmittance(:,:,jlev) * lw_derivatives_g_reg
lw_derivatives(icol, jlev) = sum(lw_derivatives_g_reg)
end do
```

 $\downarrow$ 

Figure 1: Reference (top) and optimized (bottom) version of the longwave derivatives kernel used by TripleClouds.