Performance of GPCP Products Over Oceans: Evaluation Using Passive Aquatic Listeners

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Abstract

Passive Aquatic Listeners (PALs) have been increasingly deployed to collect minute-scale surface oceanic rainfall and wind information, with a sampling area similar to the spaceborne sensor footprints. This provides an unprecedented opportunity to validate satellite precipitation products over oceans. This study evaluates the Global Precipitation Climatology Project (GPCP) daily products, including the widely-used GPCP v1.3 and the newly released GPCP v3.2, over oceans using 58 PALs as references. The study shows that the GPCP performance depends on time scale, region, and rainfall intensity. The two versions of GPCP perform similarly at multi-year and monthly scales, while GPCP v3.2 shows substantial improvements in representing rain occurrence and rain intensity at daily scale. The results also highlight the challenge of precipitation measurement over certain regions such as the tropical Northeastern Pacific and extratropical North Pacific, where both versions of the GPCP products perform similarly but exhibit noticeable differences compared to PAL observations.

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Key Points:

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| • | • Passive Aquatic Listeners (PALs) are used to validate GPCP products over global |
|---|---|
| 1 | oceans. |

- Newly released GPCP Version 3.2 and the previous Version 1.3 daily products are compared.
 - The performance of GPCP products depends on time scale, location, and rainfall intensity.

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16 Abstract

Passive Aquatic Listeners (PALs) have been increasingly deployed to collect minute-scale 17 surface oceanic rainfall and wind information, with a sampling area similar to the space-18 borne sensor footprints. This provides an unprecedented opportunity to validate satel-19 lite precipitation products over oceans. This study evaluates the Global Precipitation 20 Climatology Project (GPCP) daily products, including the widely-used GPCP v1.3 and 21 the newly released GPCP v3.2, over oceans using 58 PALs as references. The study shows 22 that the GPCP performance depends on time scale, region, and rainfall intensity. The 23 two versions of GPCP perform similarly at multi-year and monthly scales, while GPCP 24 v3.2 shows substantial improvements in representing rain occurrence and rain intensity 25 at daily scale. The results also highlight the challenge of precipitation measurement over 26 certain regions such as the tropical Northeastern Pacific and extratropical North Pacific, 27 where both versions of the GPCP products perform similarly but exhibit noticeable dif-28 ferences compared to PAL observations. 29

³⁰ Plain Language Summary

Satellites are the main instruments to quantify precipitation over the ocean, but 31 it is difficult to check their accuracy because we do not have many rain gauges over oceans 32 to compare with satellites. The Passive Aquatic Listener (PAL) is "the underwater phone" 33 to listen to the sound generated when raindrops hit the sea surface. The PAL estimates 34 rain rates based on the loudness of the sound at each frequency. This is similar to lis-35 tening to the rain under a tin roof. PAL can drift with ocean currents for years, so it can 36 collect rainfall data over a large ocean area. The Global Precipitation Climatology Project 37 (GPCP) product is a popular long-term satellite-based precipitation data record to study 38 climate, water cycle, and the ocean. This study uses PAL observations to evaluate the 39 performance of GPCP's latest two versions: v1.3, and the newly released GPCP v3.2. 40 The results show that the new product is better than the old product in estimating daily 41 rainfall, while they are similar when estimating monthly and multi-year rainfall. We also 42 notice that they provide similar estimates, which are both quite different from PAL ob-43 servations, over the tropical Northeastern Pacific and extratropical North Pacific. 44

45 **1** Introduction

Precipitation is an essential component of the global water and energy cycles. For 46 this reason, it has long been recognized that accurate knowledge of the time, amount, 47 and distribution of precipitation plays a fundamental role in understanding the Earth's 48 climate system (Hartmann, 2016). As the largest reservoir of water in this system, the 49 oceans receive over 75% of global precipitation and contribute approximately 85% of at-50 mospheric water vapor through evaporation (Lagerloef et al., 2010). The difference be-51 tween precipitation and evaporation (also known as the ocean-atmosphere freshwater flux) 52 directly affects the upper ocean temperature, salinity, density, stability, and turbulence 53 (Moum & Smyth, 2019; Sallée et al., 2021; O'Kane et al., 2016), This influences oceanic 54 and atmospheric circulations and heat content, which regulate climate variability across 55 multiple scales (Schmitt, 1995; Durack, 2015). Despite its importance, oceanic precip-56 itation remains one of the least understood elements in the Earth's climate system due 57 to the lack of in-situ observations over oceans (Trenberth et al., 2007; Kidd et al., 2017). 58

To fill this gap, satellites have played a major role to quantify oceanic precipitation. The precipitation-capable spaceborne sensors include infrared (IR), passive microwave (PMW) imagers/sounders, and radars. Since each type of sensor has its own strengths and limitations, today's satellite-based precipitation products are built upon a multi-sensor approach, which integrates the measurements from a constellation of spaceborne sensors to maximize the accuracy, coverage, and resolution of precipitation estimates on a global scale (Kidd et al., 2021). Furthermore, long-term climate records of global precipitation can only be achieved through such a multi-sensor strategy (Levizzani et al., 2018). In
this regard, the Global Precipitation Climatology Project (GPCP) was developed by merging PMW/IR sensors and rain gauges (over land) to provide this information to the international community. For a long time, GPCP linked to the World Climate Research
Programme (WCRP) and Global Energy and Water Experiment (GEWEX) activities
(Adler et al., 2020).

GPCP was first introduced in the mid-1990s (Arkin & Xie, 1994; Huffman et al., 72 1997), and since then, it has undergone several iterations to improve the input data sources, 73 74 merging algorithms, and resolution (Huffman et al., 2001; Adler et al., 2003; Huffman et al., 2023a). GPCP products have been widely used to study the precipitation clima-75 tology and the hydrologic cycle (e.g., Yu, 2011; Lagerloef et al., 2010). However, vali-76 dating satellite-based precipitation estimates, including GPCP, over oceans remains chal-77 lenging. The in-situ reference data for validation are generally limited to rain gauges, 78 which are only available from a small number of atoll/islands sites, moored buoys, and 79 research vessels (Bowman, 2005; Sapiano & Arkin, 2009; Pfeifroth et al., 2013; Bolvin 80 et al., 2021). Additionally, rain gauges may provide an incomplete representation of pre-81 cipitation compared to satellite data, due to the point sampling nature of gauges rela-82 tive to satellite grid box estimates that are several kilometers wide (Kidd et al., 2021). 83 To overcome data limitations at sea, several other ocean-specific precipitation instruments 84 have emerged, such as ship-based optical disdrometers (Klepp et al., 2018), ship-based 85 motion-stabilized radars (Rutledge et al., 2019), and the subsurface Passive Aquatic Lis-86 teners (PAL; Ma & Nystuen, 2005; Yang et al., 2015). 87

Different from rain gauge or ship-based sensors, PAL is an underwater acoustic sen-88 sor (hydrophone) typically mounted on drifting Argo floats (Roemmich et al., 2019), which 89 can collect oceanic rainfall and wind information at minute-scale over a large domain. 90 In addition, a PAL has a sampling area similar to the footprint of spaceborne sensors, 91 making it more comparable to satellite data. Since 2010, 58 PALs have been deployed 92 over different oceans, and their observations were recently reprocessed and made avail-93 able for use (Yang et al., 2015; Bytheway et al., 2023). In this study, we leverage this 94 newly-available oceanic rainfall dataset to validate GPCP daily products over the ocean. 95 To our best knowledge, this work represents the most expansive validation of GPCP daily 96 data over oceans because it uses the distributed set of in-situ observations available from 97 the state-of-the-art multiyear PAL database. 98

99 2 Data

2.1 Passive Aquatic Listeners

PAL is an innovative acoustic sensor, a hydrophone, designed to measure rain rate 101 and wind speed routinely over the ocean (J. A. Nystuen et al., 2015; Yang et al., 2015). 102 It collects underwater ambient-noise time series at different frequencies and converts them 103 into a multi-frequency (1-50 kHz) spectrum of sound pressure levels (SPL). The over-104 all SPL can be attributed to different sources of ocean ambient sound such as raindrops, 105 surface wind, wave breaking, marine mammals, and ship traffic. Each of these sound sources 106 has a unique spectral shape in terms of its SPL-frequency relation (for more details, see 107 Yang et al., 2015; Ma, 2022). These relationships help determine the dominant ambient-108 noise source for each SPL spectrum, and, in the case of rainfall and surface wind speed, 109 its intensity. Once the SPL spectrum is classified as either dominated by rain or wind, 110 the SPL data at specific frequencies are used to estimate rain rate and wind speed, re-111 spectively. For example, if it is classified as rain, the SPL at 5 kHz $(SPL_5; \text{ in dB})$ is used 112 to estimate rain rate $(RR; mm h^{-1})$ using a calibrated SPL_5 -RR relationship. PAL-measured 113 acoustic intensity correlates with rain rate, from light to heavy rainfall (Yang et al., 2023). 114 PAL is capable of reliably detecting rain rate of 0.2 mm/hour and has recorded rainfall 115 rates up to 180 mm/hour over the Eastern Tropical Pacific. The sound of drizzle and 116

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light rain is actually the most distinctive, so the PAL algorithm performs incredibly well
at the lowest rain rates. At wind speeds greater than about 15 m/s, bubbles entrained
into the ocean from breaking waves attenuate sound from raindrops hitting the ocean
surface, so quantitative rain retrievals become impossible beyond this wind speed.

Since 2010, 58 PALs (3 on moorings and 55 on Argo floats) have been deployed during different field campaigns, in which the reliability of PAL-measured rain rates and wind speeds has been verified against other in-situ measurements from the field campaigns (Ma & Nystuen, 2005; Riser et al., 2019). In general, the uncertainty of PAL-measured rainfall is about 10% (Yang et al., 2015), which is similar to the uncertainty level of other in-situ rainfall measurements given the log-normal behavior of rain rate distributions.

PALs have been mounted on drifting Argo floats and stationary mooring buoys to 127 support recent ocean field campaigns, including NASA's Aquarius Mission (J. Nystuen 128 et al., 2011), Salinity Processes in the Upper Ocean Regional Study campaigns (SPURS-129 1 and SPURS-2, E. Lindstrom et al., 2015; E. J. Lindstrom et al., 2019), and NOAA's 130 Tropical Pacific Observing System (TPOS, Smith et al., 2019). The PAL collects data 131 along the drifting trajectory of the Argo float. Typically, the Argo float drifts at 1-km 132 depth for approximately 9.5 days between the vertical profiling and surface communi-133 cation cycles, and the attached PAL records rain rate data at 2-9 minute sampling in-134 tervals when rainfall is detected (otherwise, wind speed is recorded). The Argo float typ-135 ically traverses less than 3 km/day at this depth. PAL has a circular listening area ap-136 proximately 5 km in diameter when drifting at 1-km depth, making it comparable to space-137 borne sensors as they have similar sampling footprint sizes (Yang et al., 2015; Bytheway 138 et al., 2023). PALs on moorings have been deployed at variable depths (e.g., 1 km or a 139 few hundred meters). Their surface sampling diameter is smaller, at scales as about 5 140 \times the depth. 141

Figure 1 shows the trajectories or locations of 58 PALs in the current database, span-142 ning the Pacific, North Atlantic, and tropical Indian Oceans. These PALs were deployed 143 at different times (between 2010 and 2020) and their operational period varies (1-4 years), 144 so the number of PALs available at any given time and location is highly variable. The 145 rain rate and wind speed observations from these PALs were recently reprocessed into 146 regular 1-minute intervals and made available for use (Bytheway et al., 2023). The dataset 147 archive can be accessed through NASA EARTHDATA portal (the URL is provided in 148 the Open Research Section), and more details of PALs (e.g., the ID, operational period, 149 drifting extent) can be found in the Supporting Information. 150

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2.2 GPCP Daily Precipitation Products

The GPCP Version 1.3 (hereinafter referred to as "GPCP v1.3") is the first-generation 152 GPCP daily product to provide 1° gridded precipitation estimates over the entire globe 153 from October 1996 to present (Adler et al., 2017). It is based on the One-Degree Daily 154 (1DD) technique, which was detailed in Huffman et al. (2001). This technique consists 155 of two major parts: (1) the Threshold Matched Precipitation Index (TMPI) algorithm, 156 which was used to derive precipitation estimates between 40°N-40°S from low-earth-orbit 157 and geostationary IR datasets, with adjustments made to PMW-derived precipitation 158 occurrence; and (2) the algorithm developed by Susskind et al. (1997), which was used 159 to estimate precipitation over latitudes beyond 40° using the TIROS Operational Ver-160 tical Sounder (TOVS; before 2003) or the Advanced Infrared Sounder (AIRS; since 2003) 161 data. Finally, these daily precipitation estimates were calibrated to the GPCP Version 162 2.3 satellite-gauge monthly product to ensure accuracy and consistency (Adler et al., 2020; 163 Huffman, 1997). 164

The GPCP Version 3.2 (hereinafter referred to as "GPCP v3.2") aims to improve the accuracy and resolution of precipitation estimates by utilizing the increased number of spaceborne sensors and enhanced merging algorithms in the NASA Global Pre-

cipitation Mission (GPM) era. GPCP v3.2 provides daily, global 0.5° gridded precipi-168 tation estimates from June 2000 through September 2021 (Huffman et al., 2023a). Com-169 pared to GPCP v1.3, the major difference in GPCP v3.2 is the replacement of TMPI 170 algorithm with NASA's Integrated MultisatellitE Retrievals for the GPM mission (IMERG) 171 algorithm (Huffman et al., 2019). IMERG Final Run precipitation estimates are used 172 between 55°N-55°S, while TOVS/AIRS based precipitation estimates are employed at 173 higher latitudes. These precipitation estimates were then calibrated to the new GPCP 174 v3.2 monthly product (Huffman et al., 2023b) that uses the Merged CloudSat, NASA 175 TRMM (Tropical Rainfall Measuring Mission), and NASA GPM climatological precip-176 itation product (MCTG: Behrangi & Song, 2020) over the mid- and high-latitudes oceans 177 and an updated Tropical Composite Climatology (TCC; Adler et al., 2009; Wang et al., 178 2014) over the tropical oceans for climatological calibration of the GPCP. In addition, 179 GPCP v3.2 contains a diagnostic data field, the probability of liquid phase (PLP; %), 180 which accompanies the precipitation estimates to inform the precipitation phase. 181

The GPCP v3.2 daily product became available in 2022 with the intention of eventually replacing GPCP v1.3 (Huffman et al., 2023a). While GPCP v1.3 has been widely used and discussed in many climate-, ocean- and water-related studies (e.g., Masunaga et al., 2019; Yu, 2019; Arabzadeh et al., 2020), the validation of GPCP v3.2 is rarely done, especially over oceans due to its recent release and limited reference observations over oceans. The following analyses will be conducted in a comparative manner, with a focus on GPCP v3.2 and its relative performance compared to GPCP v1.3.

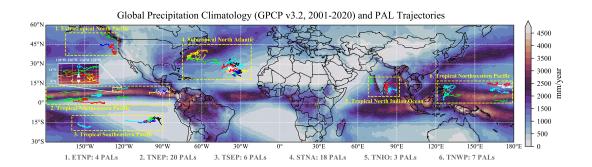


Figure 1. The trajectories of 58 PALs used in this study, on the global precipitation climatology map derived from GPCP v3.2 (2001-2020). Different colors are used for individual PALs to enhance visibility. The two white triangles in the zoomed-in inset show the fixed locations of PALs (on buoy moorings) that were deployed in the tropical Eastern Pacific during SPURS-2.

189 **3** Methodology

The PAL data are matched to the GPCP 1° (v1.3) and 0.5° (v3.2) grids at daily 190 intervals. Each 1-minute PAL rain sample is assigned to a GPCP grid based on its sam-191 pling location. All 1-minute PAL data samples within a given GPCP grid are then av-192 eraged across the daily time window to compute the daily averaged rain rate from PAL. 193 This matching and averaging procedure is applied to each PAL, resulting in 58 paired 194 PAL-GPCP daily data series. The drifting PALs are unlikely to traverse multiple GPCP 195 grid boxes in a day, as Argo floats typically move less than 3 km/day when drifting at 196 a 1-km depth (Lebedev et al., 2007; Ollitrault & Colin de Verdière, 2014). Our evalu-197 ation is limited to liquid precipitation (i.e., rainfall), so the paired PAL-GPCP data with 198 a PLP value (from GPCP v3.2) below 100 are excluded from the subsequent analyses. 199 Approximately 0.8% of the total daily data samples are removed, mainly from the PALs 200 deployed beyond 35°N. 201

For each PAL, the paired PAL-GPCP daily data are accumulated monthly, and then 202 the daily and monthly data are averaged through the PAL's operational period to cal-203 culate the multi-year mean monthly and daily rainfall. We compare these paired daily, 204 monthly, and multi-year mean PAL-GPCP estimates, and evaluate the performance of 205 GPCP in terms of rain detection and rain rate estimation. For rain detection (daily scale 206 only), we calculate the contingency table statistics including the probability of detection 207 (POD), false alarm ratio (FAR), and Heidke skill score (HSS) based on a rain/no-rain 208 detection threshold of 0.5 mm/day. For rain rate estimation, we use relative bias (RB), 209 root-mean-square error (RMSE), normalized root-mean-square error (NRMSE), and the 210 Pearson correlation coefficient (CC). These four metrics are computed either uncondi-211 tionally (using all PAL-GPCP data including zeros) or conditionally (excluding zeros; 212 i.e., for "hits" only). 213

We also group the PALs into six regions based on the ocean and latitudes where they are deployed (as shown in Figure 1): (1) 4 PALs in the extratropical North Pacific (ETNP); (2) 20 PALs in the tropical Northeastern Pacific (TNEP); (3) 6 PALs in the tropical Southeastern Pacific (TSEP); (4) 18 PALs in the subtropical North Atlantic (STNA); (5) 3 PALs in the tropical North Indian Ocean (TNIO); and (6) 7 PALs in the tropical Northwestern Pacific (TNWP). The evaluation results will be summarized using this grouping to understand the region-dependent performance of GPCP.

To investigate GPCP's daily performance as a function of rainfall intensity, we calculate the evaluation metrics under various rain detection thresholds (1, 2, 4, ..., 256 mm/day). We combine all the PAL-GPCP daily data for this analysis to ensure sufficient data samples. In addition, two probability distribution functions (PDF), the precipitation occurrence PDF (PDFc) and volume PDF (PDFv) are also computed, following the method detailed in Li et al. (2013).

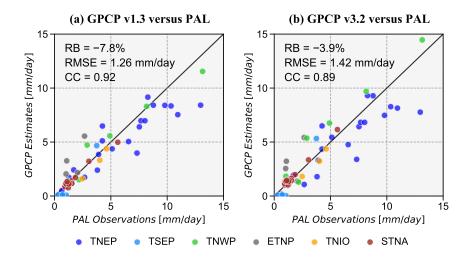


Figure 2. Scatterplots comparing the multi-year mean rain rates (mm/day) estimated by (a) GPCP v1.3 and (b) GPCP v3.2 against PAL observations. Each data point corresponds to one PAL, and the color indicates its group by region.

227 4 Results

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4.1 Comparison of Multi-year Mean

Figure 2 compares the multi-year mean rain rates obtained from the two GPCP 229 products and PALs. The GPCP estimates are highly correlated with in-situ observations, 230 showing the reliability of GPCP products in characterizing rainfall climatology over oceans. 231 The difference between the two GPCP versions is generally small. While GPCP v3.2 has 232 slightly improved the underestimation bias compared to GPCP v1.3, it has introduced 233 additional variability, resulting in larger RMSE and lower CC values. This increased vari-234 ability can be partially attributed to the higher spatial resolution of GPCP v3.2, which 235 has led to realistic sub-degree variations in precipitation estimates. Despite the overall 236 similarity to v1.3, GPCP v3.2 has region-dependent changes. For example, v3.2 has con-237 sistently increased multi-year mean rain rates over the tropical Northwestern Pacific and 238 decreased multi-year mean rain rates at the tropical Northeastern Pacific. Furthermore, 239 the region-dependent visualization in Figure 2 highlights that both GPCP versions have 240 significantly underestimated rainfall over the tropical Southeastern Pacific, which will 241 be further discussed below. 242

4.2 Seasonality and Monthly Evaluation

GPCP v1.3 and v3.2 perform similarly in representing the seasonality and intraannual variations of rainfall over most regions (Figs. 3a, c, e-f), and there are no consistent relative improvements in GPCP v3.2 at monthly scale. For example, GPCP v3.2 better captures the seasonality in the second half of the year over the tropical North Indian Ocean (Fig. 3e), but its overestimation bias at the tropical Northwestern Pacific is further increased during the summer (Fig. 3c; also see Table S1 in the Supporting Information).

On the other hand, the GPCP estimates significantly differ from PAL observations 251 in the tropical Southeastern Pacific and extratropical North Pacific, as shown in Figs. 252 3b and d. Specifically, the two GPCP products consistently underestimate rainfall by 253 about 60% (see Table S1) throughout all months in the tropical Southeastern Pacific. 254 This is likely due to the known limitation of PMW/IR sensors in detecting light and/or 255 shallow convective tropical rainfall, which results in a substantial amount of undetected 256 rain (Behrangi et al., 2012; Schumacher & Houze, 2003). For the high-latitude North Pa-257 cific, the discrepancy between GPCP and PAL is most noticeable during winter months 258 (Nov.-Feb.), with GPCP estimates being considerably higher than PAL observations (rel-259 ative bias exceeds 100%; see Table S1). This is likely because the filtered GPCP daily 260 estimates still contain a considerable amount of solid precipitation due to the imperfect 261 diagnostic variable PLP (Huffman et al., 2023a). The portion of liquid vs. solid is not 262 captured by the PALs since, to date, the PALs and associated algorithms have only been 263 designed for quantifying liquid rainfall (though quantifying snowfall is a future research 264 possibility). Nevertheless, this comparison highlights the challenge of accurately mea-265 suring wintertime rainfall with GPCP. 266

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4.3 Daily Rainfall Detection and Estimation Skills

Figure 4 presents the spatial maps of daily evaluation metrics for GPCP products, 268 with detailed statistics provided in Table S2 in the Supporting Information. Compared 269 to the previous version (left panels in Fig. 4), GPCP v3.2 (right panels in Fig. 4) shows 270 remarkable improvement at daily scale. For rainfall detection (Figs. 4a-c and g-i), it con-271 sistently reduces FAR and thus increases HSS (also see Table S2). After detection, it fur-272 ther improves rain rate estimation with an increased CC at most locations (Figs. 4f, l). 273 In addition, visual comparison of the bias maps (Figs. 4d, j) suggests that GPCP v3.2 274 generally overestimates rain rates while GPCP v1.3 is dominated by underestimation. 275

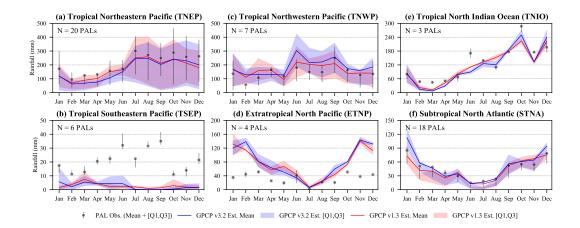


Figure 3. Intra-annual distributions of monthly rainfall estimated from GPCP v1.3, GPCP v3.2 and PAL over different regions (as shown in Fig 1). The comparison includes the mean, and interquartile range (IQR, i.e., the difference between 25% and 75% quantile, [Q1, Q3]) estimates of monthly rainfall, which are calculated from N PALs within each region. Here, N represents the number of PALs.

These relative changes are largely attributed to the incorporation of IMERG Final Run into GPCP v3.2. It suggests that the more direct use of PMW information through IMERG in GPCP V3.2 daily product, results in the observed improvement over GPCP v1.3 that uses TMPI algorithm instead of IMERG.

The rain detection ability of GPCP v3.2 appears to vary across different ocean re-280 gions as summarized by HSS (Fig. 4i). The product demonstrates the best detection skills 281 over the tropical North Pacific, where it has the highest probability of detection (POD>0.6)282 and lowest false alarm rates (FAR<0.4). As it extends towards higher latitudes, either 283 its POD decreases over the North Atlantic (with an IQR of 0.44-0.51; see Table S2) or 284 FAR notably increases over the North Pacific (with an IQR of 0.60-0.62; see Table S2). 285 resulting in degraded detection skills of GPCP v3.2 in these regions. Furthermore, GPCP 286 v3.2 shows its lowest detection potential over the tropical Southeastern Pacific and North 287 Indian Ocean, where it has minimal POD and HSS values. 288

Once rainfall is detected, GPCP v3.2 estimated daily rain rates correlate well with the PAL data (with a CC greater than 0.5; Fig. 41) in most areas, except for the tropical Southeastern Pacific. The conditional estimation bias shows a mixed pattern with both negative and positive values in the tropical oceans, while it tends to be dominated by overestimation at higher latitudes, e.g., the North Atlantic and the North Pacific (see Fig. 4j). This overestimation bias peaks in the North Pacific, which is consistent with the monthly results as shown in Fig. 3d.

Similar to Figure 3, Figure 4 also highlights the difference of the rainfall estimates from GPCP and PAL over the tropical Southeastern Pacific and extratropical North Pacific, but with more insights. For tropical Southeastern Pacific, there appears to be more as a "detection" issue since the GPCP and PAL data are barely correlated, exhibiting both low POD and high FAR. In contrast, the extratropical North Pacific is plagued by an overestimation problem, which results in high POD and high FAR. Although the exact reason needs to be further addressed and is outside the scope of this study, this result shows the large uncertainty of precipitation measurements over the two regions.

Figure 5 further shows the improvement of GPCP v3.2 over the prior version as a function of daily rainfall intensity. The PDFs (Figs. 5a-b) indicate that the prior ver-

sion of GPCP has underestimated the occurrence of both light (<2 mm/day) and heavy 306 rainfall (>20 mm/day), and overestimated the contributions from medium rainfall (4-307 16 mm/day) in terms of both rain occurrence and volume. In contrast, the PDFs of GPCP 308 v3.2 agree very well with those of PALs, pointing to the success of this new product in accurately representing the full spectrum of rainfall over oceans. GPCP v3.2 shows bet-310 ter rainfall detection skills across all rain intensities (Fig. 5c), especially during heavy 311 rainfall (note the drop of HSS for GPCP v1.3 when rain rate exceeds 8 mm/day). For 312 those detected (i.e., "hits") events, GPCP v3.2 tends to overestimate rainfall under var-313 ious intensities while GPCP v1.3 tends to largely underestimate it. The correlation de-314 creases with increased rain rates, but the correlation value for GPCP v3.2 is consistently 315 higher (better) than v1.3 by about 0.16. 316

317 5 Conclusions

Satellite precipitation products such as GPCP have long served as valuable sources 318 of oceanic precipitation information, which is critical for our understanding of the cli-319 mate and weather systems, global water and energy cycles, and upper ocean processes. 320 Prior to this study, our knowledge of GPCP precipitation estimation performance over 321 oceans was limited due to insufficient in-situ observations. With recent advances in oceanic 322 observing technology, an increasing number of PALs have been deployed in global oceans 323 to collect minute-scale oceanic rainfall data with a surface sampling area similar to space-324 borne sensors. These PALs, mostly drifting at 1-km depth along with Argo floats plus 325 a several others on subsurface moorings, cover a broad expanse of ocean areas and many 326 years of time, providing us with an unprecedented opportunity to validate satellite pre-327 cipitation estimates over oceans. Using 58 PALS as a reference Bytheway et al. (2023) 328 reviewed IMERG, CMORPH, and PDIR-Now, while this study evaluates the GPCP daily 329 products, including the widely-used GPCP v1.3 and the newly released GPCP v3.2. Through 330 a suite of evaluation metrics, we compare the two GPCP products and assess their per-331 formance as a function of time scale, region, and rainfall intensity. To the best of our knowl-332 edge, this is the first study to validate GPCP daily products using a comprehensive in-333 situ oceanic dataset of PALs. 334

GPCP v1.3 and v3.2 perform similarly at multi-year scale. Their multi-year mean 335 rainfall estimates are highly correlated with PAL observations (CC of ~ 0.9) with only 336 slight underestimation (7.8% for v1.3 and 3.9% for v3.2). This demonstrates their rea-337 sonable performance in characterizing rainfall climatology over oceans and a slight im-338 provement at multi-year time scales from v3.2. The two versions also capture well the 339 seasonality and intra-annual variations of rainfall over most oceans (e.g., the tropical North-340 eastern Pacific, tropical Northwestern Pacific, subtropical North Atlantic, and tropical 341 North Indian Ocean) with comparable performance. 342

When evaluated at daily scale, GPCP v3.2 remarkably outperforms the previous 343 version (v1.3) in terms of rain occurrence and rain intensity. Compared to GPCP v1.3, 344 GPCP v3.2 reduces FAR and thus improves HSS. It also consistently increases CC at 345 most locations. The conditional analysis, which evaluates GPCP's performance as a func-346 tion of rain intensity, further indicates that GPCP v3.2 consistently exhibits improved 347 skill at different intensities. Its estimated probability distribution functions for rainfall 348 occurrence and volume closely align with those from PALs, whereas GPCP v1.3 under-349 estimates the occurrence of both light (<2 mm/day) and heavy rainfall (>20 mm/day) 350 and overestimates the contributions from medium rainfall (4-16 mm/day). 351

Our evaluation highlights two regions, the tropical Southeastern Pacific and extratropical North Pacific, where both versions of GPCP products exhibit similar performance and show noticeable differences from PAL observations at multiple time scales. Although the precise causes require detailed analysis outside the scope of this study, the present work highlights the challenges of accurately measuring precipitation with GPCP in thesetwo regions.

This study provides valuable insights into the performance of GPCP daily products over oceans using in-situ observations from 58 PALs across several oceanic regions. It is important to recognize that these PALs are still limited in time and spatial coverage and do not cover the entire global ocean, especially in the southern part. The deployment of additional PALs would certainly increase the opportunity to further evaluate satellite precipitation products, which is needed to understand how best to use them and how to guide their improvements.

³⁶⁵ Open Research Section

GPCP v1.3 daily data can be obtained from the NOAA National Centers for En-366 vironmental Information (NCEI) as part of NOAA Climate Data Record (CDR) Pro-367 gram at https://www.ncei.noaa.gov/data/global-precipitation-climatology-project 368 -gpcp-daily/access/. GPCP v3.2 daily data can be accessed from the NASA God-369 dard Earth Sciences Data and Information Services Center (GES DISC) at https:// 370 disc.gsfc.nasa.gov/datasets/GPCPDAY_3.2/summary. The PAL dataset archive is cur-371 rently available at https://downloads.psl.noaa.gov/psd3/cruises/PAL/, and will 372 be also available at NASA ERATHDATA portal at https://doi.org/10.5067/GPMGV/ 373 PAL/DATA101. 374

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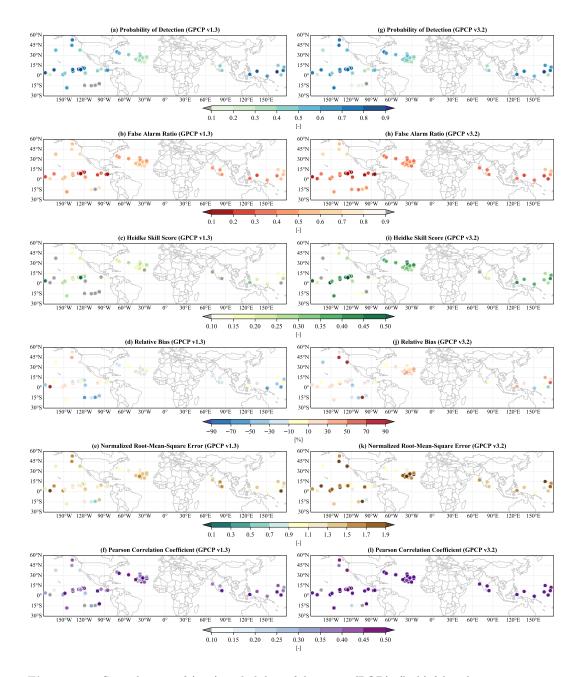


Figure 4. Spatial maps of (a, g) probability of detection (POD), (b, h) false alarm ratio (FAR), (c, i) Heidke skill score (HSS); and conditional (d, j) relative bias (RB), (e, k) normalized root-mean-square error (NRMSE), and (f, l) Pearson's correlation coefficient (CC) for daily GPCP v1.3 (left panels) and GPCP v3.2 (right panels) estimates against PALs. The circles represent the drifting end location of PALs, and rain detection threshold is 0.5 mm/day.

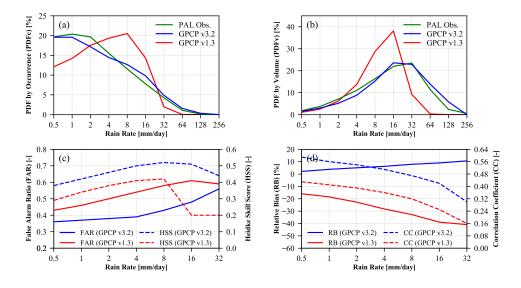


Figure 5. Comparison of (a) probability distribution function by occurrence (PDFc), (b) probability distribution function by volume (PDFv), (c) rainfall detection skills (FAR and HSS), and (d) estimation metrics (RB and CC) as a function of daily rainfall intensity for GPCP products.

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Performance of GPCP Products Over Oceans: Evaluation Using Passive Aquatic Listeners

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Key Points:

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| • | • Passive Aquatic Listeners (PALs) are used to validate GPCP products over global |
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| 1 | oceans. |

- Newly released GPCP Version 3.2 and the previous Version 1.3 daily products are compared.
 - The performance of GPCP products depends on time scale, location, and rainfall intensity.

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16 Abstract

Passive Aquatic Listeners (PALs) have been increasingly deployed to collect minute-scale 17 surface oceanic rainfall and wind information, with a sampling area similar to the space-18 borne sensor footprints. This provides an unprecedented opportunity to validate satel-19 lite precipitation products over oceans. This study evaluates the Global Precipitation 20 Climatology Project (GPCP) daily products, including the widely-used GPCP v1.3 and 21 the newly released GPCP v3.2, over oceans using 58 PALs as references. The study shows 22 that the GPCP performance depends on time scale, region, and rainfall intensity. The 23 two versions of GPCP perform similarly at multi-year and monthly scales, while GPCP 24 v3.2 shows substantial improvements in representing rain occurrence and rain intensity 25 at daily scale. The results also highlight the challenge of precipitation measurement over 26 certain regions such as the tropical Northeastern Pacific and extratropical North Pacific, 27 where both versions of the GPCP products perform similarly but exhibit noticeable dif-28 ferences compared to PAL observations. 29

³⁰ Plain Language Summary

Satellites are the main instruments to quantify precipitation over the ocean, but 31 it is difficult to check their accuracy because we do not have many rain gauges over oceans 32 to compare with satellites. The Passive Aquatic Listener (PAL) is "the underwater phone" 33 to listen to the sound generated when raindrops hit the sea surface. The PAL estimates 34 rain rates based on the loudness of the sound at each frequency. This is similar to lis-35 tening to the rain under a tin roof. PAL can drift with ocean currents for years, so it can 36 collect rainfall data over a large ocean area. The Global Precipitation Climatology Project 37 (GPCP) product is a popular long-term satellite-based precipitation data record to study 38 climate, water cycle, and the ocean. This study uses PAL observations to evaluate the 39 performance of GPCP's latest two versions: v1.3, and the newly released GPCP v3.2. 40 The results show that the new product is better than the old product in estimating daily 41 rainfall, while they are similar when estimating monthly and multi-year rainfall. We also 42 notice that they provide similar estimates, which are both quite different from PAL ob-43 servations, over the tropical Northeastern Pacific and extratropical North Pacific. 44

45 **1** Introduction

Precipitation is an essential component of the global water and energy cycles. For 46 this reason, it has long been recognized that accurate knowledge of the time, amount, 47 and distribution of precipitation plays a fundamental role in understanding the Earth's 48 climate system (Hartmann, 2016). As the largest reservoir of water in this system, the 49 oceans receive over 75% of global precipitation and contribute approximately 85% of at-50 mospheric water vapor through evaporation (Lagerloef et al., 2010). The difference be-51 tween precipitation and evaporation (also known as the ocean-atmosphere freshwater flux) 52 directly affects the upper ocean temperature, salinity, density, stability, and turbulence 53 (Moum & Smyth, 2019; Sallée et al., 2021; O'Kane et al., 2016), This influences oceanic 54 and atmospheric circulations and heat content, which regulate climate variability across 55 multiple scales (Schmitt, 1995; Durack, 2015). Despite its importance, oceanic precip-56 itation remains one of the least understood elements in the Earth's climate system due 57 to the lack of in-situ observations over oceans (Trenberth et al., 2007; Kidd et al., 2017). 58

To fill this gap, satellites have played a major role to quantify oceanic precipitation. The precipitation-capable spaceborne sensors include infrared (IR), passive microwave (PMW) imagers/sounders, and radars. Since each type of sensor has its own strengths and limitations, today's satellite-based precipitation products are built upon a multi-sensor approach, which integrates the measurements from a constellation of spaceborne sensors to maximize the accuracy, coverage, and resolution of precipitation estimates on a global scale (Kidd et al., 2021). Furthermore, long-term climate records of global precipitation can only be achieved through such a multi-sensor strategy (Levizzani et al., 2018). In
this regard, the Global Precipitation Climatology Project (GPCP) was developed by merging PMW/IR sensors and rain gauges (over land) to provide this information to the international community. For a long time, GPCP linked to the World Climate Research
Programme (WCRP) and Global Energy and Water Experiment (GEWEX) activities
(Adler et al., 2020).

GPCP was first introduced in the mid-1990s (Arkin & Xie, 1994; Huffman et al., 72 1997), and since then, it has undergone several iterations to improve the input data sources, 73 74 merging algorithms, and resolution (Huffman et al., 2001; Adler et al., 2003; Huffman et al., 2023a). GPCP products have been widely used to study the precipitation clima-75 tology and the hydrologic cycle (e.g., Yu, 2011; Lagerloef et al., 2010). However, vali-76 dating satellite-based precipitation estimates, including GPCP, over oceans remains chal-77 lenging. The in-situ reference data for validation are generally limited to rain gauges, 78 which are only available from a small number of atoll/islands sites, moored buoys, and 79 research vessels (Bowman, 2005; Sapiano & Arkin, 2009; Pfeifroth et al., 2013; Bolvin 80 et al., 2021). Additionally, rain gauges may provide an incomplete representation of pre-81 cipitation compared to satellite data, due to the point sampling nature of gauges rela-82 tive to satellite grid box estimates that are several kilometers wide (Kidd et al., 2021). 83 To overcome data limitations at sea, several other ocean-specific precipitation instruments 84 have emerged, such as ship-based optical disdrometers (Klepp et al., 2018), ship-based 85 motion-stabilized radars (Rutledge et al., 2019), and the subsurface Passive Aquatic Lis-86 teners (PAL; Ma & Nystuen, 2005; Yang et al., 2015). 87

Different from rain gauge or ship-based sensors, PAL is an underwater acoustic sen-88 sor (hydrophone) typically mounted on drifting Argo floats (Roemmich et al., 2019), which 89 can collect oceanic rainfall and wind information at minute-scale over a large domain. 90 In addition, a PAL has a sampling area similar to the footprint of spaceborne sensors, 91 making it more comparable to satellite data. Since 2010, 58 PALs have been deployed 92 over different oceans, and their observations were recently reprocessed and made avail-93 able for use (Yang et al., 2015; Bytheway et al., 2023). In this study, we leverage this 94 newly-available oceanic rainfall dataset to validate GPCP daily products over the ocean. 95 To our best knowledge, this work represents the most expansive validation of GPCP daily 96 data over oceans because it uses the distributed set of in-situ observations available from 97 the state-of-the-art multiyear PAL database. 98

99 2 Data

2.1 Passive Aquatic Listeners

PAL is an innovative acoustic sensor, a hydrophone, designed to measure rain rate 101 and wind speed routinely over the ocean (J. A. Nystuen et al., 2015; Yang et al., 2015). 102 It collects underwater ambient-noise time series at different frequencies and converts them 103 into a multi-frequency (1-50 kHz) spectrum of sound pressure levels (SPL). The over-104 all SPL can be attributed to different sources of ocean ambient sound such as raindrops, 105 surface wind, wave breaking, marine mammals, and ship traffic. Each of these sound sources 106 has a unique spectral shape in terms of its SPL-frequency relation (for more details, see 107 Yang et al., 2015; Ma, 2022). These relationships help determine the dominant ambient-108 noise source for each SPL spectrum, and, in the case of rainfall and surface wind speed, 109 its intensity. Once the SPL spectrum is classified as either dominated by rain or wind, 110 the SPL data at specific frequencies are used to estimate rain rate and wind speed, re-111 spectively. For example, if it is classified as rain, the SPL at 5 kHz $(SPL_5; \text{ in dB})$ is used 112 to estimate rain rate $(RR; mm h^{-1})$ using a calibrated SPL_5 -RR relationship. PAL-measured 113 acoustic intensity correlates with rain rate, from light to heavy rainfall (Yang et al., 2023). 114 PAL is capable of reliably detecting rain rate of 0.2 mm/hour and has recorded rainfall 115 rates up to 180 mm/hour over the Eastern Tropical Pacific. The sound of drizzle and 116

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light rain is actually the most distinctive, so the PAL algorithm performs incredibly well
at the lowest rain rates. At wind speeds greater than about 15 m/s, bubbles entrained
into the ocean from breaking waves attenuate sound from raindrops hitting the ocean
surface, so quantitative rain retrievals become impossible beyond this wind speed.

Since 2010, 58 PALs (3 on moorings and 55 on Argo floats) have been deployed during different field campaigns, in which the reliability of PAL-measured rain rates and wind speeds has been verified against other in-situ measurements from the field campaigns (Ma & Nystuen, 2005; Riser et al., 2019). In general, the uncertainty of PAL-measured rainfall is about 10% (Yang et al., 2015), which is similar to the uncertainty level of other in-situ rainfall measurements given the log-normal behavior of rain rate distributions.

PALs have been mounted on drifting Argo floats and stationary mooring buoys to 127 support recent ocean field campaigns, including NASA's Aquarius Mission (J. Nystuen 128 et al., 2011), Salinity Processes in the Upper Ocean Regional Study campaigns (SPURS-129 1 and SPURS-2, E. Lindstrom et al., 2015; E. J. Lindstrom et al., 2019), and NOAA's 130 Tropical Pacific Observing System (TPOS, Smith et al., 2019). The PAL collects data 131 along the drifting trajectory of the Argo float. Typically, the Argo float drifts at 1-km 132 depth for approximately 9.5 days between the vertical profiling and surface communi-133 cation cycles, and the attached PAL records rain rate data at 2-9 minute sampling in-134 tervals when rainfall is detected (otherwise, wind speed is recorded). The Argo float typ-135 ically traverses less than 3 km/day at this depth. PAL has a circular listening area ap-136 proximately 5 km in diameter when drifting at 1-km depth, making it comparable to space-137 borne sensors as they have similar sampling footprint sizes (Yang et al., 2015; Bytheway 138 et al., 2023). PALs on moorings have been deployed at variable depths (e.g., 1 km or a 139 few hundred meters). Their surface sampling diameter is smaller, at scales as about 5 140 \times the depth. 141

Figure 1 shows the trajectories or locations of 58 PALs in the current database, span-142 ning the Pacific, North Atlantic, and tropical Indian Oceans. These PALs were deployed 143 at different times (between 2010 and 2020) and their operational period varies (1-4 years), 144 so the number of PALs available at any given time and location is highly variable. The 145 rain rate and wind speed observations from these PALs were recently reprocessed into 146 regular 1-minute intervals and made available for use (Bytheway et al., 2023). The dataset 147 archive can be accessed through NASA EARTHDATA portal (the URL is provided in 148 the Open Research Section), and more details of PALs (e.g., the ID, operational period, 149 drifting extent) can be found in the Supporting Information. 150

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2.2 GPCP Daily Precipitation Products

The GPCP Version 1.3 (hereinafter referred to as "GPCP v1.3") is the first-generation 152 GPCP daily product to provide 1° gridded precipitation estimates over the entire globe 153 from October 1996 to present (Adler et al., 2017). It is based on the One-Degree Daily 154 (1DD) technique, which was detailed in Huffman et al. (2001). This technique consists 155 of two major parts: (1) the Threshold Matched Precipitation Index (TMPI) algorithm, 156 which was used to derive precipitation estimates between 40°N-40°S from low-earth-orbit 157 and geostationary IR datasets, with adjustments made to PMW-derived precipitation 158 occurrence; and (2) the algorithm developed by Susskind et al. (1997), which was used 159 to estimate precipitation over latitudes beyond 40° using the TIROS Operational Ver-160 tical Sounder (TOVS; before 2003) or the Advanced Infrared Sounder (AIRS; since 2003) 161 data. Finally, these daily precipitation estimates were calibrated to the GPCP Version 162 2.3 satellite-gauge monthly product to ensure accuracy and consistency (Adler et al., 2020; 163 Huffman, 1997). 164

The GPCP Version 3.2 (hereinafter referred to as "GPCP v3.2") aims to improve the accuracy and resolution of precipitation estimates by utilizing the increased number of spaceborne sensors and enhanced merging algorithms in the NASA Global Pre-

cipitation Mission (GPM) era. GPCP v3.2 provides daily, global 0.5° gridded precipi-168 tation estimates from June 2000 through September 2021 (Huffman et al., 2023a). Com-169 pared to GPCP v1.3, the major difference in GPCP v3.2 is the replacement of TMPI 170 algorithm with NASA's Integrated MultisatellitE Retrievals for the GPM mission (IMERG) 171 algorithm (Huffman et al., 2019). IMERG Final Run precipitation estimates are used 172 between 55°N-55°S, while TOVS/AIRS based precipitation estimates are employed at 173 higher latitudes. These precipitation estimates were then calibrated to the new GPCP 174 v3.2 monthly product (Huffman et al., 2023b) that uses the Merged CloudSat, NASA 175 TRMM (Tropical Rainfall Measuring Mission), and NASA GPM climatological precip-176 itation product (MCTG: Behrangi & Song, 2020) over the mid- and high-latitudes oceans 177 and an updated Tropical Composite Climatology (TCC; Adler et al., 2009; Wang et al., 178 2014) over the tropical oceans for climatological calibration of the GPCP. In addition, 179 GPCP v3.2 contains a diagnostic data field, the probability of liquid phase (PLP; %), 180 which accompanies the precipitation estimates to inform the precipitation phase. 181

The GPCP v3.2 daily product became available in 2022 with the intention of eventually replacing GPCP v1.3 (Huffman et al., 2023a). While GPCP v1.3 has been widely used and discussed in many climate-, ocean- and water-related studies (e.g., Masunaga et al., 2019; Yu, 2019; Arabzadeh et al., 2020), the validation of GPCP v3.2 is rarely done, especially over oceans due to its recent release and limited reference observations over oceans. The following analyses will be conducted in a comparative manner, with a focus on GPCP v3.2 and its relative performance compared to GPCP v1.3.

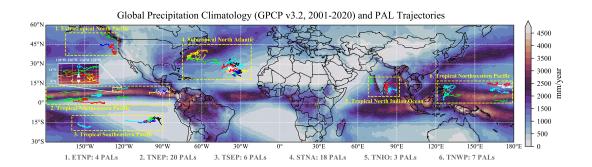


Figure 1. The trajectories of 58 PALs used in this study, on the global precipitation climatology map derived from GPCP v3.2 (2001-2020). Different colors are used for individual PALs to enhance visibility. The two white triangles in the zoomed-in inset show the fixed locations of PALs (on buoy moorings) that were deployed in the tropical Eastern Pacific during SPURS-2.

189 **3** Methodology

The PAL data are matched to the GPCP 1° (v1.3) and 0.5° (v3.2) grids at daily 190 intervals. Each 1-minute PAL rain sample is assigned to a GPCP grid based on its sam-191 pling location. All 1-minute PAL data samples within a given GPCP grid are then av-192 eraged across the daily time window to compute the daily averaged rain rate from PAL. 193 This matching and averaging procedure is applied to each PAL, resulting in 58 paired 194 PAL-GPCP daily data series. The drifting PALs are unlikely to traverse multiple GPCP 195 grid boxes in a day, as Argo floats typically move less than 3 km/day when drifting at 196 a 1-km depth (Lebedev et al., 2007; Ollitrault & Colin de Verdière, 2014). Our evalu-197 ation is limited to liquid precipitation (i.e., rainfall), so the paired PAL-GPCP data with 198 a PLP value (from GPCP v3.2) below 100 are excluded from the subsequent analyses. 199 Approximately 0.8% of the total daily data samples are removed, mainly from the PALs 200 deployed beyond 35°N. 201

For each PAL, the paired PAL-GPCP daily data are accumulated monthly, and then 202 the daily and monthly data are averaged through the PAL's operational period to cal-203 culate the multi-year mean monthly and daily rainfall. We compare these paired daily, 204 monthly, and multi-year mean PAL-GPCP estimates, and evaluate the performance of 205 GPCP in terms of rain detection and rain rate estimation. For rain detection (daily scale 206 only), we calculate the contingency table statistics including the probability of detection 207 (POD), false alarm ratio (FAR), and Heidke skill score (HSS) based on a rain/no-rain 208 detection threshold of 0.5 mm/day. For rain rate estimation, we use relative bias (RB), 209 root-mean-square error (RMSE), normalized root-mean-square error (NRMSE), and the 210 Pearson correlation coefficient (CC). These four metrics are computed either uncondi-211 tionally (using all PAL-GPCP data including zeros) or conditionally (excluding zeros; 212 i.e., for "hits" only). 213

We also group the PALs into six regions based on the ocean and latitudes where they are deployed (as shown in Figure 1): (1) 4 PALs in the extratropical North Pacific (ETNP); (2) 20 PALs in the tropical Northeastern Pacific (TNEP); (3) 6 PALs in the tropical Southeastern Pacific (TSEP); (4) 18 PALs in the subtropical North Atlantic (STNA); (5) 3 PALs in the tropical North Indian Ocean (TNIO); and (6) 7 PALs in the tropical Northwestern Pacific (TNWP). The evaluation results will be summarized using this grouping to understand the region-dependent performance of GPCP.

To investigate GPCP's daily performance as a function of rainfall intensity, we calculate the evaluation metrics under various rain detection thresholds (1, 2, 4, ..., 256 mm/day). We combine all the PAL-GPCP daily data for this analysis to ensure sufficient data samples. In addition, two probability distribution functions (PDF), the precipitation occurrence PDF (PDFc) and volume PDF (PDFv) are also computed, following the method detailed in Li et al. (2013).

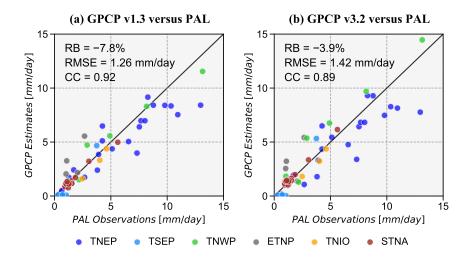


Figure 2. Scatterplots comparing the multi-year mean rain rates (mm/day) estimated by (a) GPCP v1.3 and (b) GPCP v3.2 against PAL observations. Each data point corresponds to one PAL, and the color indicates its group by region.

227 4 Results

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4.1 Comparison of Multi-year Mean

Figure 2 compares the multi-year mean rain rates obtained from the two GPCP 229 products and PALs. The GPCP estimates are highly correlated with in-situ observations, 230 showing the reliability of GPCP products in characterizing rainfall climatology over oceans. 231 The difference between the two GPCP versions is generally small. While GPCP v3.2 has 232 slightly improved the underestimation bias compared to GPCP v1.3, it has introduced 233 additional variability, resulting in larger RMSE and lower CC values. This increased vari-234 ability can be partially attributed to the higher spatial resolution of GPCP v3.2, which 235 has led to realistic sub-degree variations in precipitation estimates. Despite the overall 236 similarity to v1.3, GPCP v3.2 has region-dependent changes. For example, v3.2 has con-237 sistently increased multi-year mean rain rates over the tropical Northwestern Pacific and 238 decreased multi-year mean rain rates at the tropical Northeastern Pacific. Furthermore, 239 the region-dependent visualization in Figure 2 highlights that both GPCP versions have 240 significantly underestimated rainfall over the tropical Southeastern Pacific, which will 241 be further discussed below. 242

4.2 Seasonality and Monthly Evaluation

GPCP v1.3 and v3.2 perform similarly in representing the seasonality and intraannual variations of rainfall over most regions (Figs. 3a, c, e-f), and there are no consistent relative improvements in GPCP v3.2 at monthly scale. For example, GPCP v3.2 better captures the seasonality in the second half of the year over the tropical North Indian Ocean (Fig. 3e), but its overestimation bias at the tropical Northwestern Pacific is further increased during the summer (Fig. 3c; also see Table S1 in the Supporting Information).

On the other hand, the GPCP estimates significantly differ from PAL observations 251 in the tropical Southeastern Pacific and extratropical North Pacific, as shown in Figs. 252 3b and d. Specifically, the two GPCP products consistently underestimate rainfall by 253 about 60% (see Table S1) throughout all months in the tropical Southeastern Pacific. 254 This is likely due to the known limitation of PMW/IR sensors in detecting light and/or 255 shallow convective tropical rainfall, which results in a substantial amount of undetected 256 rain (Behrangi et al., 2012; Schumacher & Houze, 2003). For the high-latitude North Pa-257 cific, the discrepancy between GPCP and PAL is most noticeable during winter months 258 (Nov.-Feb.), with GPCP estimates being considerably higher than PAL observations (rel-259 ative bias exceeds 100%; see Table S1). This is likely because the filtered GPCP daily 260 estimates still contain a considerable amount of solid precipitation due to the imperfect 261 diagnostic variable PLP (Huffman et al., 2023a). The portion of liquid vs. solid is not 262 captured by the PALs since, to date, the PALs and associated algorithms have only been 263 designed for quantifying liquid rainfall (though quantifying snowfall is a future research 264 possibility). Nevertheless, this comparison highlights the challenge of accurately mea-265 suring wintertime rainfall with GPCP. 266

267

4.3 Daily Rainfall Detection and Estimation Skills

Figure 4 presents the spatial maps of daily evaluation metrics for GPCP products, 268 with detailed statistics provided in Table S2 in the Supporting Information. Compared 269 to the previous version (left panels in Fig. 4), GPCP v3.2 (right panels in Fig. 4) shows 270 remarkable improvement at daily scale. For rainfall detection (Figs. 4a-c and g-i), it con-271 sistently reduces FAR and thus increases HSS (also see Table S2). After detection, it fur-272 ther improves rain rate estimation with an increased CC at most locations (Figs. 4f, l). 273 In addition, visual comparison of the bias maps (Figs. 4d, j) suggests that GPCP v3.2 274 generally overestimates rain rates while GPCP v1.3 is dominated by underestimation. 275

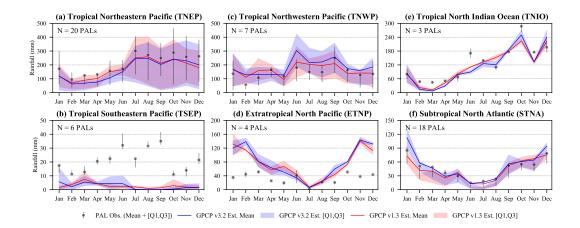


Figure 3. Intra-annual distributions of monthly rainfall estimated from GPCP v1.3, GPCP v3.2 and PAL over different regions (as shown in Fig 1). The comparison includes the mean, and interquartile range (IQR, i.e., the difference between 25% and 75% quantile, [Q1, Q3]) estimates of monthly rainfall, which are calculated from N PALs within each region. Here, N represents the number of PALs.

These relative changes are largely attributed to the incorporation of IMERG Final Run into GPCP v3.2. It suggests that the more direct use of PMW information through IMERG in GPCP V3.2 daily product, results in the observed improvement over GPCP v1.3 that uses TMPI algorithm instead of IMERG.

The rain detection ability of GPCP v3.2 appears to vary across different ocean re-280 gions as summarized by HSS (Fig. 4i). The product demonstrates the best detection skills 281 over the tropical North Pacific, where it has the highest probability of detection (POD>0.6)282 and lowest false alarm rates (FAR<0.4). As it extends towards higher latitudes, either 283 its POD decreases over the North Atlantic (with an IQR of 0.44-0.51; see Table S2) or 284 FAR notably increases over the North Pacific (with an IQR of 0.60-0.62; see Table S2). 285 resulting in degraded detection skills of GPCP v3.2 in these regions. Furthermore, GPCP 286 v3.2 shows its lowest detection potential over the tropical Southeastern Pacific and North 287 Indian Ocean, where it has minimal POD and HSS values. 288

Once rainfall is detected, GPCP v3.2 estimated daily rain rates correlate well with the PAL data (with a CC greater than 0.5; Fig. 41) in most areas, except for the tropical Southeastern Pacific. The conditional estimation bias shows a mixed pattern with both negative and positive values in the tropical oceans, while it tends to be dominated by overestimation at higher latitudes, e.g., the North Atlantic and the North Pacific (see Fig. 4j). This overestimation bias peaks in the North Pacific, which is consistent with the monthly results as shown in Fig. 3d.

Similar to Figure 3, Figure 4 also highlights the difference of the rainfall estimates from GPCP and PAL over the tropical Southeastern Pacific and extratropical North Pacific, but with more insights. For tropical Southeastern Pacific, there appears to be more as a "detection" issue since the GPCP and PAL data are barely correlated, exhibiting both low POD and high FAR. In contrast, the extratropical North Pacific is plagued by an overestimation problem, which results in high POD and high FAR. Although the exact reason needs to be further addressed and is outside the scope of this study, this result shows the large uncertainty of precipitation measurements over the two regions.

Figure 5 further shows the improvement of GPCP v3.2 over the prior version as a function of daily rainfall intensity. The PDFs (Figs. 5a-b) indicate that the prior ver-

sion of GPCP has underestimated the occurrence of both light (<2 mm/day) and heavy 306 rainfall (>20 mm/day), and overestimated the contributions from medium rainfall (4-307 16 mm/day) in terms of both rain occurrence and volume. In contrast, the PDFs of GPCP 308 v3.2 agree very well with those of PALs, pointing to the success of this new product in accurately representing the full spectrum of rainfall over oceans. GPCP v3.2 shows bet-310 ter rainfall detection skills across all rain intensities (Fig. 5c), especially during heavy 311 rainfall (note the drop of HSS for GPCP v1.3 when rain rate exceeds 8 mm/day). For 312 those detected (i.e., "hits") events, GPCP v3.2 tends to overestimate rainfall under var-313 ious intensities while GPCP v1.3 tends to largely underestimate it. The correlation de-314 creases with increased rain rates, but the correlation value for GPCP v3.2 is consistently 315 higher (better) than v1.3 by about 0.16. 316

317 5 Conclusions

Satellite precipitation products such as GPCP have long served as valuable sources 318 of oceanic precipitation information, which is critical for our understanding of the cli-319 mate and weather systems, global water and energy cycles, and upper ocean processes. 320 Prior to this study, our knowledge of GPCP precipitation estimation performance over 321 oceans was limited due to insufficient in-situ observations. With recent advances in oceanic 322 observing technology, an increasing number of PALs have been deployed in global oceans 323 to collect minute-scale oceanic rainfall data with a surface sampling area similar to space-324 borne sensors. These PALs, mostly drifting at 1-km depth along with Argo floats plus 325 a several others on subsurface moorings, cover a broad expanse of ocean areas and many 326 years of time, providing us with an unprecedented opportunity to validate satellite pre-327 cipitation estimates over oceans. Using 58 PALS as a reference Bytheway et al. (2023) 328 reviewed IMERG, CMORPH, and PDIR-Now, while this study evaluates the GPCP daily 329 products, including the widely-used GPCP v1.3 and the newly released GPCP v3.2. Through 330 a suite of evaluation metrics, we compare the two GPCP products and assess their per-331 formance as a function of time scale, region, and rainfall intensity. To the best of our knowl-332 edge, this is the first study to validate GPCP daily products using a comprehensive in-333 situ oceanic dataset of PALs. 334

GPCP v1.3 and v3.2 perform similarly at multi-year scale. Their multi-year mean 335 rainfall estimates are highly correlated with PAL observations (CC of ~ 0.9) with only 336 slight underestimation (7.8 % for v1.3 and 3.9% for v3.2). This demonstrates their rea-337 sonable performance in characterizing rainfall climatology over oceans and a slight im-338 provement at multi-year time scales from v3.2. The two versions also capture well the 339 seasonality and intra-annual variations of rainfall over most oceans (e.g., the tropical North-340 eastern Pacific, tropical Northwestern Pacific, subtropical North Atlantic, and tropical 341 North Indian Ocean) with comparable performance. 342

When evaluated at daily scale, GPCP v3.2 remarkably outperforms the previous 343 version (v1.3) in terms of rain occurrence and rain intensity. Compared to GPCP v1.3, 344 GPCP v3.2 reduces FAR and thus improves HSS. It also consistently increases CC at 345 most locations. The conditional analysis, which evaluates GPCP's performance as a func-346 tion of rain intensity, further indicates that GPCP v3.2 consistently exhibits improved 347 skill at different intensities. Its estimated probability distribution functions for rainfall 348 occurrence and volume closely align with those from PALs, whereas GPCP v1.3 under-349 estimates the occurrence of both light (<2 mm/day) and heavy rainfall (>20 mm/day) 350 and overestimates the contributions from medium rainfall (4-16 mm/day). 351

Our evaluation highlights two regions, the tropical Southeastern Pacific and extratropical North Pacific, where both versions of GPCP products exhibit similar performance and show noticeable differences from PAL observations at multiple time scales. Although the precise causes require detailed analysis outside the scope of this study, the present work highlights the challenges of accurately measuring precipitation with GPCP in thesetwo regions.

This study provides valuable insights into the performance of GPCP daily products over oceans using in-situ observations from 58 PALs across several oceanic regions. It is important to recognize that these PALs are still limited in time and spatial coverage and do not cover the entire global ocean, especially in the southern part. The deployment of additional PALs would certainly increase the opportunity to further evaluate satellite precipitation products, which is needed to understand how best to use them and how to guide their improvements.

³⁶⁵ Open Research Section

GPCP v1.3 daily data can be obtained from the NOAA National Centers for En-366 vironmental Information (NCEI) as part of NOAA Climate Data Record (CDR) Pro-367 gram at https://www.ncei.noaa.gov/data/global-precipitation-climatology-project 368 -gpcp-daily/access/. GPCP v3.2 daily data can be accessed from the NASA God-369 dard Earth Sciences Data and Information Services Center (GES DISC) at https:// 370 disc.gsfc.nasa.gov/datasets/GPCPDAY_3.2/summary. The PAL dataset archive is cur-371 rently available at https://downloads.psl.noaa.gov/psd3/cruises/PAL/, and will 372 be also available at NASA ERATHDATA portal at https://doi.org/10.5067/GPMGV/ 373 PAL/DATA101. 374

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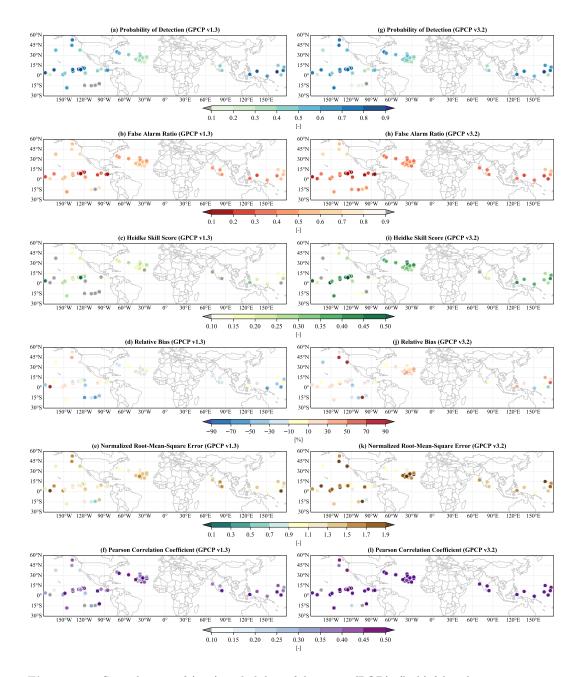


Figure 4. Spatial maps of (a, g) probability of detection (POD), (b, h) false alarm ratio (FAR), (c, i) Heidke skill score (HSS); and conditional (d, j) relative bias (RB), (e, k) normalized root-mean-square error (NRMSE), and (f, l) Pearson's correlation coefficient (CC) for daily GPCP v1.3 (left panels) and GPCP v3.2 (right panels) estimates against PALs. The circles represent the drifting end location of PALs, and rain detection threshold is 0.5 mm/day.

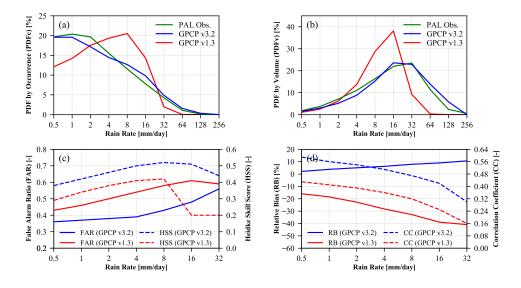


Figure 5. Comparison of (a) probability distribution function by occurrence (PDFc), (b) probability distribution function by volume (PDFv), (c) rainfall detection skills (FAR and HSS), and (d) estimation metrics (RB and CC) as a function of daily rainfall intensity for GPCP products.

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Supporting Information for "Performance of GPCP Products Over Oceans: Evaluation Using Passive Aquatic Listeners"

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- 1. Table S1
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Additional Supporting Information (Files uploaded separately)

1. Overview of Passive Aquatic Listeners (PALs) used in this study (see the uploaded pals_info_summary.xlsx)

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Table S1. Monthly evaluation statistics for GPCP v1.3 and v3.2 over different regions. Values outside and in the parentheses are the mean and interquartile range (IQR, i.e., 25% and 75% quantiles), respectively.

| - | • | | |
|--------|----------------------------|-------------------------|--------------------------|
| Region | RB [%] | RMSE [mm] | CC [-] |
| TNEP | $-8.1 \ (-24.6, \ 5.6)^a$ | 92.5 (52.3, 113.1) | $0.83 \ (0.78, \ 0.88)$ |
| | $-17.6 \ (-34.1, \ 6.5)^b$ | 90.8 (51.5, 108.5) | $0.80 \ (0.77, \ 0.89)$ |
| TSEP | -66.1 (-89.8, -80.0) | 35.1 (24.0, 35.2) | $0.01 \ (-0.19, \ 0.04)$ |
| 1911 | -63.7 (-88.5, -73.9) | 37.7 (24.0, 35.8) | 0.16 (-0.06, 0.17) |
| TNWP | 17.5 (2.86, 21.2) | 63.1 (32.8, 91.4) | $0.75 \ (0.70, \ 0.83)$ |
| | $31.5\ (15.0,\ 56.9)$ | 79.3 (49.5, 103.2) | $0.48 \ (0.50, \ 0.84)$ |
| ETNP | $106.2 \ (78.5, \ 125.2)$ | 66.4 (45.9, 89.0) | $0.52 \ (0.37, \ 0.68)$ |
| | 100.9 (56.1, 158.6) | 68.0 (56.2, 85.4) | $0.56\ (0.42,\ 0.65)$ |
| TNIO | -19.2 (-11.9, 6.2) | $68.5\ (27.3,\ 37.8)$ | $0.75 \ (0.72, \ 0.76)$ |
| | -17.4 (4.2, 24.8) | 68.7 (28.8, 37.1) | $0.80\ (0.76,\ 0.85)$ |
| STNA | -4.9(-26.2, -11.3) | $34.5\ (60.8,\ 79.1)$ | $0.72 \ (0.73, \ 0.79)$ |
| | 13.0 (-23.4, -12.9) | $34.4 \ (60.0, \ 77.4)$ | $0.81 \ (0.79, \ 0.81)$ |
| | | | |

a. for each ocean, the upper row is for GPCP v1.3.

b. for each ocean, the lower row is for GPCP v3.2.

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Table S2. Daily evaluation statistics for GPCP v1.3 and v3.2 over different regions. Values outside and in the parentheses are the mean and interquartile range (IQR, i.e., 25% and 75% quantiles), respectively. Here, RB, RMSE, and CC are unconditional statistics that complement the conditional statistics as shown in Figure 4.

| ai statis | tics as shown in Figure | 1. | |
|-----------|----------------------------|-------------------------|-------------------------|
| Region | RB [%] | RMSE [mm/day] | CC [-] |
| TNEP | $-8.1 \ (-25.2, \ 1.4)^a$ | $11.3 \ (8.3, \ 14.0)$ | $0.42 \ (0.39, \ 0.50)$ |
| | $-16.3 \ (-30.6, \ 5.9)^b$ | $11.8 \ (9.0, \ 14.5)$ | $0.61 \ (0.59, \ 0.70)$ |
| TSEP | -66.5 (-90.2, -72.5) | 3.8(2.4, 3.4) | 0.1 (-0.01, 0.06) |
| IOLI | -63.5(-88.7, -73.5) | $3.9\ (2.3,\ 3.4)$ | $0.19 \ (0.05, \ 0.16)$ |
| TNWP | 10.6 (-5.2, 19.2) | 9.8 (5.9, 13.1) | $0.48 \ (0.44, \ 0.53)$ |
| | 30.7 (14.4, 56.8) | $9.8 \ (5.3,\ 13.9)$ | $0.70 \ (0.65, \ 0.77)$ |
| ETNP | $104.2 \ (76.9, \ 131.7)$ | 6.5 (5.2, 7.0) | $0.29\ (0.26,\ 0.33)$ |
| | $104.6 \ (69.5, \ 161.8)$ | 6.6 (5.7, 6.9) | $0.45\ (0.43,\ 0.49)$ |
| TNIO | -19.4(-26.4, -11.4) | 10.6 (8.9, 12.7) | $0.43 \ (0.38, \ 0.47)$ |
| 1110 | -17.4(-23.3, -12.6) | $10.3 \ (9.0, \ 11.8)$ | $0.62 \ (0.59, \ 0.64)$ |
| STNA | -5.6(-12.3, 5.5) | 5.0(4.1, 5.0) | $0.50 \ (0.49, \ 0.54)$ |
| SINA | 13.0 (5.4, 25.3) | 5.2 (4.4, 5.5) | $0.69 \ (0.66, \ 0.75)$ |
| | POD [-] | FAR[-] | HSS [-] |
| TNFD | $0.65\ (0.57,\ 0.80)$ | $0.32 \ (0.19, \ 0.45)$ | $0.24 \ (0.12, \ 0.29)$ |
| TNEP | $0.61 \ (0.49, \ 0.77)$ | $0.21 \ (0.12, \ 0.30)$ | $0.36\ (0.21,\ 0.49)$ |
| TSEP | $0.15\ (0.03,\ 0.06)$ | $0.72 \ (0.59, \ 0.82)$ | 0.04 (-0.03, 0.03) |
| IOLI | $0.21 \ (0.10, \ 0.13)$ | $0.46 \ (0.41, \ 0.54)$ | $0.16\ (0.10,\ 0.16)$ |
| TNWP | $0.67 \ (0.48, \ 0.81)$ | $0.48 \ (0.35, \ 0.58)$ | $0.19\ (0.15,\ 0.23)$ |
| TINANT | $0.70 \ (0.65, \ 0.78)$ | $0.38 \ (0.26, \ 0.49)$ | $0.36\ (0.32,\ 0.39)$ |
| ETNP | $0.60 \ (0.51, \ 0.69)$ | $0.62 \ (0.59, \ 0.65)$ | $0.15\ (0.13,\ 0.18)$ |
| EINP | $0.61 \ (0.51, \ 0.76)$ | $0.61 \ (0.60, \ 0.62)$ | $0.18\ (0.16,\ 0.23)$ |
| TNIO | $0.39\ (0.34,\ 0.45)$ | $0.45 \ (0.44, \ 0.46)$ | $0.09\ (0.07,\ 0.11)$ |
| | $0.35\ (0.30,\ 0.41)$ | $0.37 \ (0.35, \ 0.37)$ | $0.16\ (0.13,\ 0.21)$ |
| | $0.37 \ (0.31, \ 0.39)$ | $0.54 \ (0.49, \ 0.59)$ | $0.21 \ (0.18, \ 0.25)$ |
| STNA | $0.48 \ (0.44, \ 0.51)$ | $0.42 \ (0.39, \ 0.45)$ | $0.36\ (0.33,\ 0.38)$ |
| | - | | |

a. for each ocean, the upper row is for GPCP v1.3.

b. for each ocean, the lower row is for GPCP v3.2.