Investigation of storm track characteristics in a regional climate model over East Asia

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Abstract

Studies have shown that regional climate models (RCMs) can simulate local climates at a higher resolution for specific regions compared to global climate models (GCMs), making dynamic downscaling using RCMs a more effective approach. Therefore, RCMs have become valuable tools for evaluating the potential impacts of climate change on specific regions and for informing local adaptation strategies. To fully understand the added value (AV) of RCMs, it is essential to understand how the characteristics differ between land and ocean. The complex topography of East Asia, including land and sea, makes it a suitable region for evaluating the AV of RCMs. In this study, we compared two regional simulations that integrated the same RCMs but employed different GCMs from the Coordinated Regional Climate Downscaling Experiment for their ability to simulate storm tracks in East Asia. The results of the RCMs over a historical period were compared with their host Coupled Model Intercomparison Project GCM projections and high-resolution reanalysis. In mountainous regions, the AV of the RCMs weakened the bias of the GCM and improved its agreement with the reanalysis. In plains and coastal areas, owing to the increase in horizontal resolution in RCMs, small-scale phenomena are well represented, and the storm track of RCMs shows similar values to that of the GCM in maritime regions. This study demonstrates the value of RCMs for improving the accuracy of climate projections in East Asia, informing adaptation strategies, and enhancing climate research.

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19 Key Points:

- Regional climate models improve accuracy of climate projections for East Asia by simulating local climates at higher resolution than global climate models Key.
- Regional climate models effectively evaluate potential impacts of climate change on
 specific regions and inform local adaptation strategies.
- The added value of regional climate models in improving global climate models' bias and agreement with high-resolution reanalysis in mountainous regions is shown.
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28 Abstract

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30 resolution for specific regions compared to global climate models (GCMs), making dynamic

downscaling using RCMs a more effective approach. Therefore, RCMs have become valuable

- tools for evaluating the potential impacts of climate change on specific regions and for informing
- 33 local adaptation strategies. To fully understand the added value (AV) of RCMs, it is essential to 34 understand how the characteristics differ between land and ocean. The complex topography of
- East Asia, including land and sea, makes it a suitable region for evaluating the AV of RCMs. In
- this study, we compared two regional simulations that integrated the same RCMs but employed
- 37 different GCMs from the Coordinated Regional Climate Downscaling Experiment for their
- ability to simulate storm tracks in East Asia. The results of the RCMs over a historical period
- 39 were compared with their host Coupled Model Intercomparison Project GCM projections and
- 40 high-resolution reanalysis. In mountainous regions, the AV of the RCMs weakened the bias of
- the GCM and improved its agreement with the reanalysis. In plains and coastal areas, owing to

42 the increase in horizontal resolution in RCMs, small-scale phenomena are well represented, and

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- study demonstrates the value of RCMs for improving the accuracy of climate projections in East
- 45 Asia, informing adaptation strategies, and enhancing climate research.
- 46 Keywords: Added value, bandpass filter, regional climate model, WRF, CORDEX

47 Plain Language Summary

- 48 This study looked at how regional climate models can better simulate local climates in specific
- 49 regions compared to global climate models. By analyzing storm tracks in East Asia, researchers
- 50 compared regional climate models integrated with different global climate models to better
- understand the added value of regional climate models. The study found that the regional climate
- 52 models improved the accuracy of global climate models over mountainous regions and brought
- them closer to high-resolution reanalysis. In the plains and coastal areas, the regional climate
- 54 models accurately represented small-scale phenomena due to the increase in horizontal
- resolution. Overall, this study shows that regional climate models are valuable tools for
- ⁵⁶ evaluating climate change in specific regions and can provide more accurate predictions than
- 57 global climate models alone. This research is essential for improving our understanding of how
- climate models work and can be used to better predict the future climate of different regions,
- 59 which can help inform decision-making about mitigating climate change.

60 1 Introduction

Climate change can lead to numerous socioeconomic problems by shifting climatic 61 conditions within regions. According to Hsiang et al. (2017), the economic loss caused by a 1 °C 62 63 temperature increase reaches up to 1.2% of the total domestic product of the United States. Accurate climate projections and predictions of extreme weather events are essential for 64 65 minimizing the social and economic losses caused by climate change. To obtain this information, international communities, centered on the Intergovernmental Panel on Climate Change (IPCC), 66 support many scientific activities for climate projection. The Coupled Model Intercomparison 67 Project (CMIP) of the World Climate Research Program (WCRP) is a core project that produces 68 69 long-term climate projections based on state-of-the-art numerical models from multiple research organizations (Meehl et al., 1997; Taylor et al., 2012; Eyring et al., 2016). In addition, the recent 70

71 CMIP (CMIP6; Eyring et al., 2016) provides detailed climate simulations based on various

emission scenarios from representative concentration pathways (RCPs; Moss et al., 2010) and
 shared socioeconomic pathways (SSPs; O'Neill et al., 2015).

74 Severe weather conditions due to climate change have become more frequent in recent years. Because global climate change scenarios are produced with a relatively coarse-resolution 75 76 model configuration (approximately 100 km), capturing detailed regional climate characteristics is difficult. Regional climate model (RCMs), which apply a dynamic downscaling technique, 77 have been widely used to determine detailed climatic characteristics that are not well represented 78 in global climate models (GCMs) (Wang et al., 2004; Chang & Hong, 2011; Di et al., 2012; Lee 79 & Hong, 2014; Gensini et al., 2015; Mezghani et al., 2017). The Coordinated Regional Climate 80 Downscaling Experiment (CORDEX) framework, sponsored by the WCRP, is a representative 81 82 program that examines regional climate information from various RCMs. Quality-controlled datasets for the regional climate change scenarios were produced using CORDEX. Recently, in 83 the East Asia (EA) branch of CORDEX, regional climate change scenarios based on the CMIP5 84 and CMIP6 global climate change scenarios were produced using multi-RCMs. 85

In East Asia, atmospheric phenomena occur at various scales owing to the complex 86 topography and lower boundary conditions, such as coastlines (Cha et al., 2011; Hong & 87 Kanamitsu, 2014). Therefore, in this region, it is important to confirm small-scale phenomena 88 that cannot be expressed in the global climate model (GCM) and assess the added value (AV) 89 from the regional climate model (RCM). Analyzing the current climate using the dynamically 90 downscaled results from the RCM is essential for evaluating the systematic error in the regional 91 climate modeling process before assessing the future climate (Giorgi & Gutowski, 2015). In East 92 Asia, various studies have been conducted to confirm the AV of RCM using current climate-93 change scenarios (Suh et al., 2012; Lee & Hong, 2014; Jin et al., 2016; Ahn et al., 2018; Kim et 94 al., 2021). Lee and Hong (2014) examined the performance of extreme weather simulations in 95 Korea at different RCM resolutions. The RCM performed better at capturing heavy rainfall and 96 97 sweltering days as the resolution increased. Ahn et al. (2018) confirmed an improved dry bias near the Korean Peninsula in the RCM compared to the forcing data. Kim et al. (2021) showed 98 that bias-corrected RCM results are similar to the observations. Despite these results, it is not 99 easy to clearly identify whether AV in the RCM always enhance the quality of the downscaled 100 results. The AV of the RCM has different effects depending on various factors such as the 101 model, variable, scale, region, and experimental configuration. Therefore, confirming where and 102 103 in which elements the AV appears (Rummukainen, 2016) is essential.

Precipitation systems over the Korean Peninsula are significantly affected by migrating 104 105 extratropical cyclones throughout the year. Identifying the characteristics of individual cyclones that have a significant impact on the local climate can be accomplished by determining their 106 activity. However, using a storm track (ST) to assess the overall characteristics of the cyclones is 107 a more efficient approach. ST is expressed as the disturbances of a specific period associated 108 with a synoptic-scale baroclinic wave and is used prominently in the East Asian regions (Chung 109 et al., 1976; Whittaker & Horn, 1984; Chen et al., 2014). In the inland areas of East Asia, low 110 pressure is actively generated by lee cyclogenesis on the windward side of mountain ranges, such 111 as the Tibetan Plateau, resulting in solid ST intensity (Chung et al., 1979; Whittaker & Horn, 112 1984). Among the maritime regions of East Asia, cyclogenesis due to warm sea surface 113 temperatures (SSTs) occur in the East China Sea and the Kuroshio extension region, which also 114 affects the ST (Chen et al., 1991; Zhang et al., 2019). The cyclones formed by these factors 115

develop toward the Aleutian Islands. Owing to these characteristics, high cyclone variability occurs in the northwestern Pacific (NWP) region.

The Northwestern Pacific storm track (NWPST) which shows maximum values in winter, 118 is inextricably linked to the weather and climate systems of the Northern Hemisphere. Heat, 119 moisture, and angular momentum are redistributed by ST (Mbengue & Schneider, 2018). 120 121 Variations in the intensity and location of the NWPST have a distinct impact on the regional climate of East Asia (Nakamura et al., 2002; Zhang et al., 2014; Yang et al., 2021). Nakamura et 122 al. (2002) confirmed that the strengthening of NWPST intensity in the late 1980s weakened the 123 northwesterly monsoon flow from the Siberian high to the Aleutian low region. Zhang et al. 124 (2014) showed the meridional oscillation of the NWPST in the East Asian winter monsoon 125 (EAWM) using empirical orthogonal function analysis. In addition, Yang et al. (2021) confirmed 126 the relationship between EAWM strength and the zonal distribution of the NWPST. Enhanced 127 cold air transport from high latitudes when the EAWM is enhanced can suppress the growth of 128 transient eddies in the entrance region and weaken the WNPST intensity. These studies used 129 global reanalysis data to focus on the relationship between the EAWM and NWPST. Although 130 previous studies have focused on the relationship between the NWPST and the global climate 131 system, there are limitations in clarifying a specific region's regional-scale climate 132 characteristics. The characteristics of NWPST can be examined through dynamic downscaling 133 134 methods using the RCM, which includes dynamic processes such as cyclogenesis, which can simulate regional-scale phenomena. 135

In this study, the winter ST over East Asia was analyzed using the dynamic downscaling
results of the RCM performed with different GCM forcings, and the effects of the AV of RCMs
were addressed.

139 2 Data and Methods

The RCM used in this study was the Weather Research and Forecasting (WRF) Model 140 (Skamarock et al., 2008) version 3.7. The essential components of the physics package are as 141 follows: the WRF single moment 6-class scheme (WSM6) for cloud microphysics (Hong and 142 Lim, 2006), the rapid radiative transfer scheme for general circulation models (RRTMG) for 143 shortwave and longwave radiation (Iacono et al., 2008), the Yonsei University (YSU) scheme for 144 planetary boundary layers (Hong et al., 2006), and the Noah land surface model (Chen & 145 Dudhia, 2001). The Max Planck Institute Earth System Model - Low Resolution (MPI-ESM-LR) 146 (Giorgetta et al., 2013) from CMIP5 and United Kingdom Earth System Model 1 - Low 147 Resolution (UKESM1-0-LL) (Sellar et al., 2019) from CMIP6 provided the initial and boundary 148 conditions for the RCM every 6 h. The model domain for this study was centered at 34.40°N and 149 116.57°E, with a 25-km horizontal resolution and 33 sigma levels extending to a model top of 50 150 hPa, in accordance with the CORDEX East Asia phase 2 framework (see Fig. 1). Spectral 151 nudging (Miguez-Macho et al., 2004) of the horizontal wind (U and V) was applied to retain 152 large-scale information from the GCM during the integration of the WRF model. The large-scale 153 structure was employed using two-dimensional Fourier low-pass filtering with threshold 154 wavenumbers of 9 and 6 in the x- and y-directions, respectively, which allowed the nudging of 155 GCM information larger than approximately 1000 km horizontally. The nudging coefficient is 156 set to 0.0001 s⁻¹. In this study, 24 winters were analyzed from December 1981 to February 2005 157 for the entire model integration period of 1979–2005. The fifth-generation ECMWF's reanalysis 158

(ERA5) was used to evaluate the simulated results. The spatial resolution of the ERA5 data was
 approximately 31 km, and the vertical resolution was 137 km (Hersbach & Dee, 2016).

The intensity of the storm track was analyzed using bandpass filtering methods for five 161 different datasets: two GCMs, two RCM results downscaled from different GCMs, and ERA5. 162 Bandpass filtering is a valuable method because the resultant eddy quantities reflect the intimate 163 164 interaction between transient eddies and the time-mean flow (Chang et al., 2002; Chang, 2009; Hoskins & Hodges, 2019). An ST is defined as a localized maximum in the transient bandpass 165 variance (Blackmon, 1976). Through bandpass filtering methods, it is possible to analyze the 166 variables at every atmospheric level and investigate the three-dimensional distribution of the 167 storm track. This study used the Lanczos bandpass filtering method (Duchon, 1979) to 168 selectively extract signals on a synoptic scale (2–7 d). 169

170 Denote the filtered time series at a grid point as x(t), and its Hilbert transform as x(t), 171 which lags x(t) by $\pi/2$ phase, based on

172

$$\hat{x}(t) \approx \sum_{l=-L}^{L} x(t-l)h(l)$$
(1)
$$h(l) = \begin{cases} \frac{2}{\pi l} \sin^2\left(\frac{\pi l}{2}\right), & l \neq 0\\ 0, & l = 0 \end{cases}$$
(2)

173

174Ideally $L=\infty$ in (1), but in this study L=28, which provides an adequate amplitude175response in the frequency domain (Barnett, 1983). After the Hilbert transform, the data for176November 24–30 and March 1–7 are not available because of tapering. Hence, we only used data177from the 90 d since December 1 in the following analysis.

178 **3 Results**

Figure 2 shows the average bandpass standard deviation (BPSD) of sea level pressure for 179 December-January-February from 1982 to 2005. A high BPSD value in a region indicates an 180 increased likelihood of cyclone activity, either due to frequent cyclogenesis or the movement of 181 existing cyclones. The BPSD from ERA5 (Fig. 2a) showed intense activity over continental 182 mountainous regions and maritime regions. A high BPSD of over 450 Pa was shown over 183 Mongolia along the Altai-Sayan Mountains to the Gobi Desert, caused by lee cyclogenesis 184 (Chen and Lazic, 1990). The Taklamakan Desert, between the Altai Mountains and Tibet, has a 185 relatively low BPSD of approximately 200 Pa, owing to the suppressed development of storms. 186 In the maritime region, it has been shown that much stronger cyclone activity (BPSD over 700 187 Pa) than on the continent is captured over the Northwest Pacific region, which is the eastern 188 region of Kuril Island, owing to cyclogenesis caused by warm ocean currents (Chen et al., 1992). 189 This high BPSD is a result of the characteristics of East Asian regional storms, which gradually 190 develop and disappear as they move to the corresponding area. 191

The MPI showed an overall higher cyclone activity than ERA5 over the inland area (Fig. 2b), whereas the general features were similar to those of the reanalysis. For detailed features

over the Altai–Sayan Mountains, the MPI simulated more robust cyclone activity with a BPSD

higher than 550 Pa, including in the Taklamakan Desert region, which showed a lower BPSD in

- the ERA5. In the Northeast China Plain, which is the mid-area of the two analyzed PBSD cores (i.e., the Altai–Sayan and Northwest Pacific regions), the MPI showed a higher ST intensity
- (i.e., the Altai–Sayan and Northwest Pacific regions), the MPI showed a higher ST intensity
 (>400 Pa) than the reanalysis (approximately 300 Pa). However, the UKESM did not distinctly
- capture the inland ST intensity over the Altai–Sayan area, as shown in ERA5 (Fig. 2c).

Figure 2d and 2e show the BPSD for the regional climate model using MPI and UKESM 200 as the input data, respectively. Interestingly, the dynamic downscaling employed by each GCM 201 significantly improved the bias that appeared in the inland areas. The WRF model, which used 202 MPI global forcing data, showed a lower BPSD for sea level pressure than the GCM (Fig. 2d). 203 This offset the negative BPSD bias of approximately 150 hPa in Mongolia and the surrounding 204 GCM (Fig. 3a). In contrast, the opposite counterbalance appeared in the WRF model using 205 UKESM data (Fig. 2e). This improved the positive bias of the GCM by approximately -120 hPa 206 over the region from the Tibetan Plateau to the Gobi Desert (Fig. 3b). The GCM has limitations 207 in expressing a low-pressure system caused by complex terrain owing to its low resolution. The 208 ST of Mongolia was strongly or weakly simulated, depending on the topographic information 209 used in the GCM. The GCM data were used only as lateral boundary conditions in the RCM, and 210 the atmospheric conditions in the inner domain were calculated using their dynamic processes. 211 212 Therefore, in the RCM, a dynamic expression such as lee cyclogenesis is improved because of the high-resolution topographic data used as the lower boundary condition. This enhanced the 213 bias shown by the GCM, which was close to the observations. Unlike the inland area, where 214 dramatic changes appeared, both RCMs showed a lower BPSD (approximately 25 hPa) than the 215 GCM, regardless of the GCM bias in the ocean. This feature appeared because the energy flux 216 conditions at the sea surface from the global model were applied in the RCM without significant 217 changes in the case of the ocean region. 218

The BPSD of the meridional wind at 850 hPa is shown in Figure 4. These figures can be 219 220 used to examine the momentum and energy transport from lower to higher altitudes due to the ST in the lower troposphere. However, small-scale phenomena expressed in ERA5 were not well 221 resolved in the GCM, owing to its low resolution. Inland areas with complex topography, such as 222 the Taklamakan Desert and Altai Mountains, did not express well in the BPSD values, and 223 224 small-scale phenomena over inland China, such as the Sichuan Basin and Hubei Plain, were also 225 not resolved. In contrast, in both the RCMs, characteristics similar to those of ERA5 were 226 expressed in the corresponding regions. Small-scale phenomena on steep slopes around Tibet and inland China were decomposed and expressed. Low-pressure systems that cause heavy 227 228 rainfall in East Asia, including the Korean Peninsula, are created and developed (Shin and Lee, 229 2015; Song et al., 2017). However, performing numerical modeling is challenging because of the unique flow structure over the steep slope of the eastern boundary of Tibet and the stagnation of 230 the basin (Zhang et al., 2014). 231

Figure 5 shows the BPSD of the meridional eddy heat flux distribution, a typical feature of the Northwest Pacific region during the winter season. In ERA5, the BPSD of the heat flux core appeared in the Northwest Pacific region, with a central value of approximately 15 km s⁻¹. In the MPI, the central value is 12 km s⁻¹, which is lower than that of ERA5, and in the UKESM, it appears similar to that of ERA5. In the WRF model, the heat flux cores over this region are similar to the GCM forcing employed. Additionally, in ERA5, there was a high eddy heat flux region along the northern coastal part of the Korean Peninsula, such as the Gulf of Pohai and 239 Peter Great Bay. These two cores were simulated as one in both the MPI and UKESM results.

However, in the WRF model, the small-scale feature of heat flux over the coastal region was

represented. In particular, the high BPSD of the heat flux band from the Peter Great Bay to Japanis well expressed.

The time series of the BPSD of the sea level pressure is shown in Figure 6. To confirm 243 244 the AV of the RCM, the analyzed regions marked in Figure 1 were established for the land and ocean areas. The land area is mainly composed of the Altai Mountains region, where AV are 245 evident, and the ocean area is the Northwest Pacific region, including the Kuril Islands. The 246 ocean area is located to the west of the area where the maximum BPSD statistics appear, but it is 247 configured such that the area is less affected by the buffer zone. The annual variation in the 248 BPSD of sea level pressure from the RCM shows similar behavior to that of the GCM. As shown 249 in Figures 2 and 3, which are analyzed as the average values for the entire period, MPI 250 overestimates the bandpass filtered value and UKESM underestimates it, respectively, compared 251 to that in the ERA5 reanalysis data. The two WRF models that forced each GCM data point 252 improved this bias despite having opposite directions. The average bias of the WRF model for 253 UKESM is 36 Pa, and that for MPI is -62 Pa. This value appeared to be constant over the entire 254 period. For the ocean, the bandpass statistics from both GCMs showed relatively similar ERA5 255 values. The simulated bandpass-filtered standard deviations of sea-level pressure values in the 256 RCM were -11 Pa for UKESM and -20 Pa for MPI, which were lower than those for land. 257

258 **4 Summary**

259 This study provides evidence for the impact of the RCM AV on dynamical downscaling outcomes in the East Asian region. Two different GCMs (MPI for CMIP5 and UKESM for 260 CMIP6) were compared by forcing the WRF model. The bandpass filtering method was used to 261 separate the synoptic scale (2–7 d) to represent the storm track. The spectral nudging method was 262 applied to all layers of the WRF data, except the planetary boundary layer, to ensure risk control 263 during decades of integration. The RCM bandpass statistics in the middle- and upper-troposphere 264 storm tracks were similar to those of the GCM (not shown). As a result, this study concentrates 265 on surface and lower tropospheric storm tracks. 266

The AV of the RCM in East Asia differs depending on the region. The use of RCM AV 267 in this study helped to reduce the bias of the GCM over inland high-altitude regions, such as the 268 Tibetan Plateau or Mongolia, bringing the results closer to the reanalysis. These characteristics 269 appear to result from the dynamic balance within the RCM due to the high-resolution lower 270 boundary information, such as topography and land use land cover. Owing to the high-resolution 271 surface information, dynamic phenomena, such as lee cyclogenesis, are well simulated in the 272 RCM. In the eastern flank of the Tibetan Plateau and the coastal region, the characteristics of the 273 AV due to the increase in horizontal resolution were well confirmed. Small-scale phenomena 274 that are not expressed in the GCM are well represented. In the maritime region, the ST of the 275 RCM was similar to that of the GCM. In the numerical model, the atmosphere over the ocean is 276 sensitive to lower boundary conditions, such as surface temperature, as in nature (Kim and Hong, 277 2010). Unlike the land, which requires dynamic rebalancing, the lower atmosphere of the RCM 278 is greatly affected by the lower boundary conditions of the GCM. According to previous studies, 279 when high-resolution SST data is employed, the model simulates oceanic cyclogenesis well 280 (Small et al., 2014; Zhang et al., 2019). As ocean fronts are clearly expressed in high-resolution 281 SST data, the transient eddy heat and moisture fluxes in the lower layer are also well expressed, 282

and these effects influence the troposphere beyond the boundary layer. In the Northwest Pacific

- region, the importance of high-resolution SST is even more remarkable because there is a warm current that expresses oceanic eddies, such as the Kuroshio Current. Explosive cyclones that
- current that expresses oceanic eddies, such as the Kuroshio Current. Explosive cyclones that
 develop in the northwestern Pacific region during winter (Sanders and Gyakum, 1980; Roebber,
- 1984) are closely related to strong winds and extreme weather in East Asia (Kang et al., 2020).
- Therefore, it is important to use accurate and high-resolution SST data as input for the model. It
- is necessary to increase the resolution and elaborate on the lower boundary conditions to improve
- 290 the AV of the RCM. In inland regions, the refinement of information, such as topography, soil,
- and vegetation is required. In the case of climate models older than a decade, a reliable scenario
- should be produced if the model considers surface information changes. Maritime areas require reliable ocean information. Unlike past reproduction experiments that can utilize high-resolution
- 294 SST data, future climate change scenario production, which makes it impossible to use analysis
- data, can improve the performance of oceanic cyclogenesis simulations through an atmospheric-
- marine coupled model. The interactions between the ocean and atmosphere in the coupled model
- 297 provide desirable information on the formation and development of cyclones.
- 298

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303 Data availability statement

The model simulation data used in this study are available upon reasonable request from the authors, and will be publicly available at https://esg-dn1.nsc.liu.se/search/cordex. The ERA5 data

306 can be downloaded at https://www.ecmwf.int/en/forecasts/dataset/ecmwf-reanalysis-v5.

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481 Figure 1. Regional model domain and terrain height shaded every 200 m.482



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Figure 2. Averaged fields for December–January–February from 1982 to 2005 for the bandpass standard deviations of sea level pressure from the (a) ERA5 analysis, (b) MPI-ESM-LR, (c)

486 UKESM, (d) WRF forced MPI, and (e) WRF forced UKESM (unit: hPa).

487



(a) MPI-WRF – MPI



490 and (b) UKESM. The contours denote the bandpass standard deviation bias of GCM about ERA5 reanalysis.



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499

(b)



501 **Figure 6.** Time series of bandpass standard deviations of sea level pressure which are presented for the (a) inland 502 region marked as a solid box and (b) marine region marked as a dashed box in Fig. 1.