# Impact of stochastic ocean density corrections on air-sea flux variability

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#### Abstract

Air-sea flux variability has contributions from both ocean and atmosphere at different spatio-temporal scales. Atmospheric synoptic scales and the air-sea turbulent heat flux that they drive are well represented in climate models, but ocean mesoscales and their associated variability are often not well resolved due to non-eddy-resolving spatial resolutions of current climate models. We deploy a physics-based stochastic subgrid-scale parameterization for ocean density, that reinforces the lateral density variations due to oceanic eddies, and examine its effect on air-sea heat flux variability in a comprehensive coupled climate model. The stochastic parameterization substantially modifies sea surface temperature (SST) and latent heat flux (LHF) variability and their co-variability, primarily at scales near the resolution of the ocean model grid. Enhancement in the SST-LHF anomaly covariance, and correlations, indicate that the ocean-intrinsic component of the air-sea heat flux variability improves with respect to high-resolution satellite observations, especially in Gulf Stream region.







# Impact of stochastic ocean density corrections on air-sea flux variability

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#### Key Points:

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11	٠	The ability of a subgrid-scale parameterization to improve the ocean-intrinsic air-
12		sea flux variability in a climate model is assessed.
13	•	The parameterization modifies the SST and latent heat flux variability at the grid-
14		scale level and boosts their simultaneous co-variability.
15	•	The stochastic parameterization improves consistency with the observations of air-
16		sea interaction.

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#### 17 Abstract

Air-sea flux variability has contributions from both ocean and atmosphere at different 18 spatio-temporal scales. Atmospheric synoptic scales and the air-sea turbulent heat flux 19 that they drive are well represented in climate models, but ocean mesoscales and their 20 associated variability are often not well resolved due to non-eddy-resolving spatial res-21 olutions of current climate models. We deploy a physics-based stochastic subgrid-scale 22 parameterization for ocean density, that reinforces the lateral density variations due to 23 oceanic eddies, and examine its effect on air-sea heat flux variability in a comprehensive 24 coupled climate model. The stochastic parameterization substantially modifies sea sur-25 face temperature (SST) and latent heat flux (LHF) variability and their co-variability, 26 primarily at scales near the resolution of the ocean model grid. Enhancement in the SST-27 LHF anomaly covariance, and correlations, indicate that the ocean-intrinsic component 28 of the air-sea heat flux variability improves with respect to high-resolution satellite ob-29 servations, especially in Gulf Stream region. 30

#### <sup>31</sup> Plain Language Summary

Variations in air-sea heat fluxes arise from both ocean and atmosphere at differ-32 ent space and time scales. Studies suggest that at large scales, e.g., thousands of kilo-33 meters, atmospheric processes drive the ocean variability at the surface, such as sea-surface 34 temperature. However, at smaller spatial scales, e.g., [100-1000] km, the oceans con-35 trol the atmosphere variability near the air-sea interface. These local air-sea feedbacks 36 influence both oceans and the atmosphere on various levels and are of significant dynam-37 ical importance. However, climate models typically use large grid spacing and fail to rep-38 resent the air-sea interaction mechanism inherent to these small scales. We address this 39 problem by modifying the ocean density using random noise at multiple places in the 40 model before coupling it to the atmosphere. We chose density because it is used for mul-41 tiple purposes in ocean models, and imperfections in it arise due to the missing subgrid-42 scale effects that can have a major impact all over the oceans, especially the upper ocean 43 which interacts the most with the atmosphere. The proposed approach led to significant 44 improvement in the air-sea interaction properties at various spatial scales compared to 45 satellite observations. 46

#### 47 **1** Introduction

Air-sea coupling plays a key role in shaping Earth's climate and representing it cor-48 rectly is essential for reducing the uncertainties in climate projections. Theoretical stud-49 ies and satellite observations suggest that the mechanisms that control this coupling are 50 strongly length- and time-scale-dependent. In mid-latitudes, synoptic-scale atmospheric 51 weather events drive turbulent heat flux (THF) variability at scales  $\mathcal{O}(10^3)$  km via wind 52 speed fluctuations and air-sea temperature and humidity anomalies. The generated THF 53 anomaly results in a slow, lagged response from the oceans; for example, an initial warming THF anomaly is followed by heat loss from the oceans leading to cooling of the oceans 55 on a timescale of several weeks (Xie, 2004). In contrast, at ocean mesoscales  $(10^1 - 10^3)$ 56 km), persistent and vigorous intrinsic eddy variability creates strong sea surface temper-57 ature (SST) anomalies and as the wind passes over them, strong air-sea temperature and 58 humidity differences are generated that drive the THF variability (Hausmann et al., 2017). 59 The interaction mechanism inherent to large scales has been confirmed in various ide-60 alized coupled model studies, such as Hasselmann (1976); Frankignoul and Hasselmann 61 (1977); von Storch (2000), while the atmospheric response to the ocean dynamics at mesoscales 62 has been the subject of more recent studies, e.g., Wu et al. (2006); Smirnov et al. (2014); 63 Bishop et al. (2017); Patrizio and Thompson (2022). 64

Most global climate models employ ocean models at a non-eddy-resolving or eddypermitting resolution, and therefore do not resolve the ocean mesoscale eddies (10-100

km) and their respective impact on the air-sea flux variability. This is clearly problem-67 atic because studies have shown that the relative contributions of intrinsic oceanic and 68 atmospheric variability in air-sea flux modulation bear enormous dynamical implications 69 both for the oceans (Gaube et al., 2015; Ma et al., 2016; Jing et al., 2020; Guo et al., 2022) 70 and the atmosphere (Kuo et al., 1991; Minobe et al., 2008; Ma et al., 2017; Williams, 71 2012). The reader is referred to Czaja et al. (2019) for a concise review of the state of 72 knowledge of modeled atmospheric response to mid-latitude SST anomalies and their scale 73 dependence. Midlatitude SST fluctuations on scales close to the ocean deformation scale 74 (i.e., 10-100 km) significantly affect the variability of the lower atmosphere (reviewed in 75 Small et al. (2008)) and the predictability of the midlatitude weather systems (Minobe 76 et al., 2008; Dunstone et al., 2016; Siqueira & Kirtman, 2016; Ma et al., 2017; Kirtman 77 et al., 2017). Contemporary studies involving ultra high-resolution of the atmosphere 78 are starting to divulge the physical mechanisms by which such small-scale oceanic vari-79 ability is communicated to the troposphere above the atmospheric boundary layer (Parfitt 80 et al., 2016; Foussard et al., 2019). These results underscore the importance of param-81 eterizing/resolving such eddy variability in order to reduce the uncertainty in air-sea fluxes 82 and their climatic impacts. 83

Ocean density depends on temperature T, salinity S, and pressure p through a non-84 linear equation of state (EOS); SGS fluctuations in T and S cause the grid-cell-averaged 85 density to be different from that obtained by evaluating the EOS at the grid-cell-averaged 86 values of T and S (pressure fluctuations are sub-dominant). Brankart (2013) first pro-87 posed a parameterization for these density errors and discussed their non-trivial global 88 impacts. An alternative parameterization, which is more accurate and more computa-89 tionally efficient, was proposed by Stanley et al. (2020) and tested in an ocean-only con-90 figuration by Kenigson et al. (2022). Whereas Kenigson et al. (2022) only tested the pa-91 rameterization in the computation of the buoyancy force and associated hydrostatic pres-92 sure, we use this parameterization to correct density at three places in the ocean model: 93 the hydrostatic pressure, isopycnal slopes in the Gent-McWilliams parameterization (here-94 inafter, GM; Gent and McWilliams (1990)), and the mixed-layer lateral buoyancy gra-95 dient in the mixed-layer restratification parameterization of Fox-Kemper et al. (2008). 96 In this study, we investigate the degree to which stochastic parameterizations of the mesoscale 97 eddy effects can strengthen the ocean-intrinsic SST variability and its impact on air-sea 98 THF variability. We note that while this particular parameterization of ocean density 99 nonlinearity effects is physically well grounded, it does not attempt to account for all the 100 subgrid-scale processes that impact air-sea THF variability. A positive result here should 101 be taken to be suggestive that further research on a broader range of stochastic param-102 eterizations would be fruitful. 103

#### <sup>104</sup> 2 Theory and Methods

#### 105

#### 2.1 SGS Density Parameterization

The ocean density correction used in this paper derives from the Taylor expansion of the nonlinear EOS (denoted as  $\hat{\rho}$ ) about the grid-cell average quantities. Following the notations of Stanley et al. (2020), the corrected grid-cell-mean density (denoted  $\overline{\rho}$ ) is

$$\overline{\rho} = \hat{\rho}(\overline{T}, \overline{S}, \overline{p}) + \frac{\partial_T^2 \hat{\rho}(\overline{T}, \overline{S}, \overline{p})}{2} \sigma_T^2, \tag{1}$$

where  $\overline{T}(x, y, z, t)$  and  $\overline{S}(x, y, z, t)$  are grid-cell-averaged temperature and salinity, respectively, and  $\sigma_T^2(x, y, z, t)$  is the variance of unresolved SGS temperature. The stochastic parameterization proposed by Stanley et al. (2020) for  $\sigma_T^2$  is

$$\sigma_T^2 = c e^{\chi} |\delta x \circ \nabla \overline{T}|^2. \tag{2}$$

Here  $\nabla \overline{T}$  is the lateral gradient of the resolved temperature field,  $\delta x$  is the horizontal grid size,  $\circ$  is the Hadamard product,  $\chi(x, y, t)$  is a depth-independent normally-distributed

random noise with zero mean and constant variance  $\sigma_{\chi}^2 = 0.39$ , and c is a tunable pa-115 rameter. Stanley et al. (2020) performed a rigorous offline diagnostic for the parameter 116 c for different spatial resolutions of the target model and suggested c = 0.17 for our model 117 resolution. However, following Kenigson et al. (2022) we increase this value to c = 0.33118 to account for the weaker resolved temperature gradients in a coarse-model simulation 119 compared to those obtained by coarsening a high-resolution simulation. The log-normal 120 form of noise is chosen based on the statistical analysis of the residuals from the deter-121 ministic form (i.e., Eq. 2 without the term  $e^{\chi}$ ), and the multiplicative formulation is adopted 122 to ensure the parameterized variance is always positive. Furthermore,  $\chi$  is uncorrelated 123 in space but has the following first-order autoregressive, or AR(1), structure in time 124

$$\chi(x, y, t) = \phi(x, y, t)\chi(x, y, t - \delta t) + \epsilon(x, y, t),$$
(3)

where  $\epsilon(x, y, t)$  is a zero-mean Gaussian random noise with no correlations in space and time. The variance of  $\epsilon$  varies with the AR(1) parameter  $\phi(x, y, t)$  such that the process variance  $\sigma_{\chi}^2$  remains constant. Next,  $\phi(x, y, t)$  is expressed using the decorrelation time scale  $(\tau)$  of the local kinetic energy as

$$\phi(x, y, t) = e^{\frac{\delta t}{\tau(x, y, t)}},\tag{4}$$

where  $\delta t$  is the model baroclinic time step and  $\tau$  is equal to

$$\tau(x,y,t) = k\sqrt{\frac{\delta x^2 + \delta y^2}{u^2 + v^2}}.$$
(5)

Here u(x, y, t) and v(x, y, t) are the upper-ocean instantaneous velocities, and k = 3.7130 is a tunable parameter whose value was estimated by Stanley et al. (2020). The decor-131 relation timescale  $\tau$  essentially depends on the resolved fields, and the offline diagnos-132 tics have shown that it varies between a few days to several months for  $2/3^{\circ}$  resolution 133 ocean model. The global map of the parameterized SGS temperature variance for a  $2/3^{\circ}$ 134 resolution MOM6 simulations stored as monthly mean is shown in Fig. 1a (note the log-135 arithmic scaling). It is easy to note that the variance is significantly higher in mid-latitude 136 western boundary current (WBC) regions compared to the tropics (note the logarith-137 mic scaling). This is due to the enormous lateral temperature gradients and strong mesoscale 138 eddy variability present in those regions. 139

#### <sup>140</sup> 2.2 Model and Observations

We evaluated the impact of the stochastic parameterization on air-sea interaction 141 in a modified version of the fully coupled Community Earth System Model version 2.3 142 (CESM2; Danabasoglu et al. (2020)). For these experiments the ocean component of CESM2 143 was replaced by the Modular Ocean Model, version 6, (MOM6) which uses an Arbitrary 144 Lagrangian-Eulerian vertical coordinate method (Adcroft et al., 2019; Griffies et al., 2020). 145 The ocean model resolution is nominally  $2/3^{\circ}$  (finer near the equator) with 65 target  $z^*$ 146 vertical levels (Adcroft & Campin, 2004) with finer vertical resolution near the ocean sur-147 face (2.5m) and coarser towards the bottom ( $\approx 250$ m) The model uses the energetically 148 consistent mesoscale backscatter proposed by Jansen et al. (2019) involving mesoscale 149 eddy kinetic energy budget and GM parameterization along with the GEOMETRIC pa-150 rameterization (Marshall et al., 2012) to set the GM coefficient  $\kappa$ . Explicit diapycnal mix-151 ing in the oceans due to convection and static instabilities is not permitted due to the 152 hydrostatic approximation, but is parameterized using the K-profile parameterization 153 (KPP) proposed in Large et al. (1994); restratification of the mixed layer is handled us-154 ing the FFH parameterization (Fox-Kemper et al., 2008). The Wright EOS (Wright, 1997) 155 is used to compute density as a function of pressure, temperature, and salinity. 156

MOM6 is coupled to Los-Alamos Sea Ice Model, version 5, (CICE5; Hunke et al. (2010)) and the finite-volume Community Atmospheric Model Version 6 (CAM6; Danabasoglu et al. (2020)) where the atmospheric primitive equations are discretized on 70 vertical



Figure 1. Illustration of the characteristics of the SGS density parameterization, model, and observations: (a) Spatial pattern of the parameterized SGS SST variance in  $log_{10}$  scale (the color bar denotes exponents of 10); (b)-(c) Standard deviation of monthly anomalies of SST and LHF, respectively, from CESM-MOM6 Stoch simulation; (d)-(e) Same as (b)-(c) but for the J-OFURO3 observations for the period 2000-2015. The monthly anomalies were computed by removing the monthly climatology and the linear trend.

levels and horizontal resolution of  $0.95^{\circ} \times 1.25^{\circ}$ . The atmosphere, sea-ice, and land com-160 municate their fluxes and state information every 30 minutes via the CESM coupler. The 161 air-sea fluxes are computed within the coupler on the ocean model grid and are passed 162 to the atmospheric model every 30 mins and to the ocean model every hour. The model 163 was run for a total of 100 years under the pre-industrial greenhouse gas conditions with 164 and without the stochastic SGS density parameterization, referred to here as Stoch and 165 Control, respectively. This study analyzes monthly means from the last 35 years of both 166 experiments. We used monthly-mean products because mesoscale ocean eddy variabil-167 ity is strongest on monthly to annual time scales, and the employed eddy parameteri-168 zation can be expected to produce notable impacts on these frequencies. 169

Observations of SST and surface heat fluxes used in this paper for comparison with 170 the model experiments are taken from a remote-sensing-based third-generation ocean flux 171 dataset, abbreviated J-OFURO3 (Tomita et al. (2019); hereinafter, also referred to as 172 OBS). It provides datasets for surface heat, momentum, freshwater fluxes, and the as-173 sociated physical parameters over the ice-free global oceans from 1986-2017 in daily and 174 monthly-mean temporal resolutions with 0.25 degrees spatial resolution. J-OFURO project 175 computes the turbulent surface fluxes using a bulk method where all physical parame-176 ters are satellite-derived except the 2m air temperature, which is obtained from the NCEP-177 DOE reanalysis product. The latest version, i.e. J-OFURO3, is a significant advance-178 ment over its predecessors as it uses state-of-the-art algorithms to estimate near-surface 179 specific humidity and employs advanced techniques to combine multi-satellite sensor out-180 puts. In addition, rigorous and systematic validations against the in-situ observations 181 and other datasets ensure more accuracy for J-OFURO3. The OBS version 1.1 monthly-182 mean products are available from 1988-2017, but we only used the years 2000-2015 in 183 this paper to avoid data gaps. 184

For a basic illustration of the OBS and model outputs, standard deviations of the 185 monthly anomalies of SST and latent heat flux (LHF) from the Stoch simulation and OBS 186 are shown in Fig. 1(b-e). While the spatial patterns of the SST and LHF variability are 187 similar for both OBS and Stoch, the magnitude of the variability differs across them. This 188 is especially true near the ocean jets and currents, such as Gulf Stream (GS), Kuroshio, 189 Oyashio, Agulhas, and Brazil-Malvinas confluence, which are the areas of focus in this 190 study. These major jets and currents generally show a stronger SST/LHF variability in 191 OBS than in the CESM-MOM6 simulation. The Kuroshio is an exception to this, as the 192 Stoch simulation possesses stronger and more eastward extended SST variability in this 193 region (compare Fig. 1b and d). This is a known bias related to the convergence of the 194 mean kinetic energy and the largest SST gradient regions (Thompson & Kwon, 2010). 195 Additionally, Stoch possesses significantly higher LHF variability around the Labrador 196 and Irminger seas region, which is speculated to be driven by excess SST variability in 197 this region, but the exact reasons are unknown at this point. Nevertheless, the gener-198 ally reduced variance around the jets in model simulations is due to their coarse spatial 199 resolution, which leads to substantially less eddy variability in these turbulent regions 200 (see Fig. S1 in the supplementary material for an illustration) and suppresses their large-201 scale feedback. 202

2.3 Analysis Methods

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In this paper, we consider the LHF and SST for all our analyses. We focus on the LHF component of the net surface heat flux because several previous studies have shown that latent heat dominates the net surface heat flux response to the SST; the contributions from the sensible and radiative heat fluxes are sub-dominant (Frankignoul & Kestenare, 2002; Park et al., 2005; Hausmann et al., 2017). In CESM simulations, LHF is computed using a bulk flux formula – proportional to the air density, wind speed, and difference in the specific humidity saturated at the ocean surface (strongly dependent on SST) and of the air. The Stanley parameterization influences LHF indirectly through the resolved variables for the oceans in the bulk formula.

This paper focuses on local air-sea interactions and studies the changes produced 213 therein by the stochastic SGS density parameterization. As discussed in Section 1, at 214 ocean mesoscales, the LHF variability is driven by intrinsic SST variability, led by the 215 mesoscale eddies. We call this SST variability intrinsic because it is not forced by air-216 sea heat flux anomalies unlike in the case of slow SST variations over large spatial scales. 217 As a result of ocean-driven LHF variability, large outgoing heat flux is noticed over warm 218 SST anomalies, and less heat flux is seen departing over the colder SST anomalies (Small 219 et al., 2008, 2019). This suggests a positive instantaneous correlation between SST and 220 LHF, where the sign convention is such that the outgoing heat flux from the oceans is 221 considered positive and incoming is considered negative. In contrast, at large scales (e.g., 222 ocean basin size), the air is more in equilibrium with the slow-varying SST beneath it 223 and leads to situations where significant outgoing heat flux from the oceans, driven by 224 atmospheric forcing, is seen to cool the oceans. This refers to lagged SST (or, ocean) re-225 sponse to air-sea heat flux variations, i.e., small instantaneous SST-LHF correlation but 226 large  $\partial$ (SST)/ $\partial$ t-LHF correlation (Wu et al., 2006; Bishop et al., 2017; Small et al., 2019) 227 Throughout this paper, we will use the term 'instantaneous correlation' to refer to the 228 simultaneous SST-LHF correlation and 'tendency correlation' to refer to the  $\partial(SST)/\partial t$ -229 LHF correlations. We use these two types of correlations to infer the dominant forcing 230 in the ocean-atmosphere feedback mechanism, i.e., (1) if the instantaneous correlation 231 is large, it suggests the oceans (precisely, SST) forcing the atmosphere (or, latent heat 232 flux variability), whereas (2) if  $\partial (SST)/\partial t$ -LHF is large, it means the atmosphere is driv-233 ing the oceans. While (1) is believed to hold true at small scales, (2) is supposed to be 234 the case at large scales. Because the SGS density parameterization corrects the ocean 235 density on ocean mesoscales, it is expected to have a more significant impact on small-236 scale instantaneous correlations than large-scale tendency correlations, as synoptic-scale 237 atmospheric processes are already well resolved in climate models. It must be noted that 238 the  $2/3^{\circ}$  ocean model resolution does not resolve the mesoscales, so the direct impact 239 of ocean mesoscales on LHF variability must be absent from the model. But ocean mesoscales 240 induce ocean-intrinsic variability at larger scales, which are resolved, and we hope to rep-241 resent some of this effect using the stochastic parameterization. 242

Because we study the scale dependence of local correlations, we use a spatial fil-243 ter on the original fields to separate the eddying part from their large-scale counterpart. 244 We use a fast, efficient Python package named GCM-Filters (Loose et al., 2022), which 245 achieves filtering using an iterative application of a discrete Laplacian, resembling dif-246 fusion (Grooms et al., 2021). We use the Taper filter shape described by Grooms et al. 247 (2021), which makes a sharper distinction between large and small scales than Gaussian 248 or boxcar filters. We used filtering length scales from 200 km up to 800 km with a spac-249 ing of 100 km. Although the term 'eddy' is frequently used to describe the small-scale 250 part of a field produced by a high-pass spatial filter, we use the term sub-filter scale (SFS) 251 to avoid confusion, since our model does not resolve mesoscale eddies. A monthly cli-252 matology (for both SST and LHF) is then computed and subtracted from the monthly-253 mean values to provide the monthly anomalies, followed by the removal of the linear trend. 254

#### 255 **3 Results**

In this section, we diagnose the impact of the SGS stochastic density corrections on the variability and co-variability of SST and LHF and pinpoint the gains/losses by comparing against the J-OFURO3 observational outputs. We also make efforts to explain the identified parameterization impacts from a physical perspective.

#### 3.1 Sub Filter Scale Variability and Co-variability

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To elucidate the impact of the SGS density parameterization on variability across 261 scales, we provide the difference in the standard deviations of the SFS SST from Stoch 262 and Control runs. We also study this difference (Stoch-Control) for SFS SST-LHF co-263 variance to demonstrate the effects on SST-LHF co-variability. The SFS fields here are obtained using the 500 km filter scale. Because the parameterization is mostly active near 265 the areas of strong temperature fronts (see Fig. 1a), we only focused on four most promi-266 nent frontal regions: the GS and Kuroshio in the northern hemisphere, and the Agul-267 has and Brazil-Malvinas Confluence (BMC) in the southern hemisphere. Note that the 268 SFS variability patterns are not expected to be the same as in Fig. 1a because the lat-269 ter shows temperature variability over scales smaller than the model grid size, whereas 270 the SFS variability is over the scales between the model grid size and the filter scale. 271

It is evident that the density corrections produced by the parameterization signif-272 icantly affect the SFS SST variability – as much as 40-50% change in their standard 273 deviation relative to the Control – in all four regions (Fig. 2, left column). The mag-274 nitude of the change is higher for the GS and Kuroshio regions than the other two. An 275 increase/decrease in variability in the form of a red/blue dipole suggests that the param-276 eterization is making dynamical adjustments by changing the positions of the mean cur-277 rents (cf. Kenigson et al., 2022). In the case of the GS, an increase in SFS variability 278 is clear in the eastward extension portion of the jet between  $35^{\circ}-45^{\circ}$  N and  $30^{\circ}-60^{\circ}$ W. 279 This is a prominent feature of the parameterization, as several previous idealized stud-280 ies have shown that mesoscale eddying features are paramount to producing an eastward 281 extension of jets (Shevchenko & Berloff, 2015; Agarwal et al., 2021). However, a min-282 imal increase to a decrease in the variability is seen around the far-east extension of the 283 jet. A region of significantly reduced SFS SST variability is also spotted in the Irminger 284 Sea and partly in the Labrador Sea between  $50^{\circ} - 60^{\circ}$ N and  $30^{\circ} - 50$ W. This is asso-285 ciated with an increase in mixed-layer depth in this region (not shown), which increases 286 the heat capacity of the mixed-layer column, leading to a decrease in the variation of the 287 surface temperature as more heat is now required to change the surface temperature. The 288 Kuroshio extension mostly witnesses a decrease in the SFS SST variability, especially 289 around the continental boundaries and around the eastward extension. A clear dipole 290 is visible around the separation location, which hints at a northward shift in the course 291 of the jet. In the Agulhas and BMC regions, the magnitude of the difference is much smaller 202 than in the other two regions, but the percentage change is nearly the same (compare 293 the color scales with the overlaid contours). The most prominent pattern is a region of 294 decreased SST variability around the Brazil-Malvinas confluence between  $30^{\circ}-60^{\circ}W$ 295 and  $35^{\circ}-45^{\circ}S$ . This is likely related to the seasonal southward shift of the South Atlantic Current that Kenigson et al. (2022) found when analyzing the effects of this pa-297 rameterization in a forced-ocean simulation (note, the variance attached to this seasonal 298 shift would be present even though the seasonal mean is removed). We also analyzed the 299 difference (Stoch-Control) in the standard deviation of SFS LHF, but they were qual-300 itatively the same (Fig. S2 in the supplementary material) as LHF variability is forced 301 by SST anomalies at these scales. Note, that the patterns in Fig. 1a and 2 do not re-302 semble each other because they represent temperature variability over different ranges 303 of scales and, therefore, are fundamentally different. 304

Next, we analyze the difference in the SST-LHF covariance from Stoch and Con-305 trol outputs (Fig. 2, right column). The impact of the parameterization is much more 306 robust and organized in the case of SST-LHF co-variability, as the patterns strongly de-307 lineate the local current systems in all four regions. Furthermore, the Stoch-Control out-308 put is predominantly positive, meaning the parameterization is increasing the SST-LHF 309 co-variability globally. The magnitude of the impact is also much higher on SST-LHF 310 co-variability than on the variability of the individual components, especially in the GS 311 and Kuroshio regions, where several locations experience more than a doubling in their 312



Figure 2. Manifestation of the influence of the stochastic parameterization on SFS SST variability and SST-LHF co-variability for 500 km filter scale. The left column shows the difference in the standard deviation of SFS SST (in  $^{\circ}C$ ) from Stoch and Control simulations in the GS, Kuroshio, Agulhas, and BMC (top to bottom) regions. The right column shows this difference (Stoch-Control) for the SST-LHF covariance ( $^{\circ}C.W/m^2$ ). The overlaid contours denote the respective quantities for the Control experiment; the contour levels are [0.2, 0.6] and [2, 4] in the left and right columns, respectively. The green stars denote the locations picked for the analysis in section 3.2 and in the supplementary material.

covariance magnitude. Physically this means that the parameterization is boosting the
 intrinsic SST variability and its feedback to the THF following the oceans-forcing-atmosphere
 mechanism at small scales.

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#### 3.2 Correlations and Transition Scales

Here we discuss the local instantaneous and tendency correlations (as described in 317 section 2.3) and the associated transition scales for the low-pass fields obtained using spa-318 tial filtering with filter sizes between 200 - 800 km. The transition length scale is the 319 filter width at which the instantaneous and tendency correlation magnitudes intersect 320 (Bishop et al., 2017). We compute the correlations and the transition scales for both Con-321 trol and Stoch simulations and compare them against OBS. Here, we focus only on the 322 GS region, as it is dynamically rich, possesses much less systematic model bias, and shows 323 the highest impact relative to the other WBC locations (they are discussed in Fig. S3-324 S4 in the supplementary material). We aim to establish the physical significance of the 325 parameterized density perturbations by studying their influence on large-scale patterns 326 correlations and the associated transition length scale at which the THF variability changes 327 from ocean-driven to atmospheric-driven. The local correlation relationships discussed 328 here belong to the location marked by the green star in Fig. 2 top row. This and the other 329 marked locations in Fig. 2 have two important properties: (i) they possess high SFS SST 330 variability (cf. the SFS SST standard deviation contours in Fig. 2), and (ii) the param-331 eterization made a significant change in SFS variability at these locations. For a global 332 visualization of the instantaneous and tendency correlations for differing filter sizes, the 333 reader is referred to supplementary Fig. S5-S6. To mark the statistical significance of 334 the local correlations and the differences therein between Control, Stoch, and OBS, we 335 compare their 95% confidence intervals (CIs) – obtained using the Bootstrapping method 336 (Tibshirani & Efron, 1993; Menke & Menke, 2016). 337

At the chosen GS location, the median value of the instantaneous correlation for 338 Stoch is equal or higher than Control for all filter lengths (Fig. 3a), whereas the ten-339 dency correlation is much lower than the Control (Fig. 3b). We checked several other 340 locations in this region and found qualitatively similar results. Physically this means that 341 the parameterization is indirectly boosting the ocean-intrinsic component of the THF 342 variability and diminishing the atmospheric-forced fraction across various scales in this 343 region. Furthermore, the augmentation of ocean-forced THF variability by the stochas-344 tic parameterization is consistent with OBS, as the Control instantaneous (tendency) 345 correlations are much smaller (higher) than OBS for nearly all filter sizes at this mesoscale-346 eddy-rich location. This implies that the parameterization is steering the correlations 347 in the right direction. A similar study done for covariances also provided identical re-348 sults, highlighting the comparable strength of the correlated variability resolved by Stoch and OBS (see Fig. S7 in the supplementary material). Modifications in the correlations 350 by the stochastic parameterization are most pronounced for filter sizes up to 500 km, as 351 the spatial scales beyond this filter width are nearly resolved in both Stoch and Control, 352 and the associated variability is mostly atmospheric-driven. 353

Finally, we analyze the transition length at which the LHF variability switches from 354 ocean-driven to atmospheric-driven. Grid-point-wise transition scales were computed for 355 all locations in the GS region using the Control, Stoch, and OBS outputs and are pro-356 vided in Fig. 3(c-e). The most notable distinction between Stoch and Control is that the 357 induced stochastic parameterization resolves the transition lengths for several locations 358 around the eastward extension of the jet  $(45^{\circ}-60^{\circ} \text{ W}, 40^{\circ}-45^{\circ} \text{N})$ , which are also com-359 parable with the OBS. For example, at the location marked by the green star, the ad-360 dition of the stochastic parameterization increases the transition scale from  $\approx 70$  km 361 (not shown) to  $\approx 350$  km, which is closer to the OBS value of  $\approx 550$  km. Off the GS 362 extension, locations are mostly atmospherically driven at the grid scale, and therefore 363 the transition length scale is not defined. Despite the improvements, Stoch does not re-364



**Figure 3.** Comparison of the scale dependence of local correlations, their CIs, and transition scales for Stoch, Control, and OBS in the GS region: (a) 95% CIs of local instantaneous correlations for the GS location marked by the green star in Fig. 2 top row; (b) same as (a) but for tendency correlations; (c-e) comparison of spatial maps of the transition scales for Control, Stoch, and OBS. Locations marked in white are atmospheric-forced at the grid scale, and therefore the transition scale is not defined for them. In (a-b), the circles in the middle of the whiskers denote the median values, and the green star in (c-e) denote the same GS location in Fig. 2 top row.

solve all transition scales in the GS region as observed in the OBS, perhaps because the
 stochastic parameterization only accounts for one process (density variations), whereby
 ocean mesoscales induce variability at larger scales and in other quantities too.

**4** Conclusions and Discussion

We implemented a physics-based stochastic subgrid-scale (SGS) parameterization 369 for ocean density in a CESM-MOM6 coupled climate model and studied its impact on 370 air-sea turbulent heat flux (THF) variability, primarily latent heat flux (LHF). Past stud-371 ies have shown that the air-sea flux variability is driven by oceanic-intrinsic variability 372 at ocean mesoscales and by synoptic-scale atmospheric processes at larger scales, e.g., 373  $\mathcal{O}(1000)$  km. However, due to the spatial resolution of non-eddying ocean climate mod-374 els, the air-sea flux variability due to intrinsic oceanic turbulence is not well represented. 375 Here, we show that an SGS density parameterization significantly reinforces the ocean-376 intrinsic air-sea THF variability across turbulent, eddy-rich regions, such as western bound-377 378 ary currents and the adjacent re-circulation zones. To our knowledge, this study is the first to confirm the efficacy of using a systematic physics-based SGS parameterization 379 to provide a source of intrinsic ocean-driven THF variability in a non-eddy-resolving com-380 prehensive coupled climate model. 381

The results presented in this paper are based on a localized study around four WBC 382 regions - Gulf Stream (GS), Kuroshio, Agulhas, and Brazil-Malvinas Confluence (BMC) 383 - and involve subfilter-scale (SFS) fields obtained using a highly scale-selective spatial 384 filter. The parameterization significantly influences SFS SST and LHF variability around 385 the western boundary current regions, as several locations display more than 30% increase 386 in their standard deviation (Fig. 2). The SFS SST-LHF co-variability is also significantly 387 enhanced globally, with places around the mean boundary currents undergoing more than 388 doubling in their SST-LHF co-variances. Instantaneous SST-LHF correlations and  $\partial$ SST/ $\partial t$ 389 - LHF tendency correlations as a function of the filter scale revealed the impact of the 390 parameterization on large-scale SST-LHF co-variability and the associated transition scales. 391 We established that the changes in the SFS SST and LHF variances produced by the pa-392 rameterization are physically sound as they inverse cascade to larger scales and yield sub-393 stantial modifications in the mean fields' correlations and, therefore, the transition scales, 394 which were found consistent with the high-resolution J-OFURO3 observations. This is 395 strongly the case in the GS region; the other boundary current regions were found less 396 affected by the imposed parameterization, which is likely due to the fact that the param-397 eterization has very little eddy SST variability in these regions to start with. An under-398 estimation of the surface heat flux comes as a linear response to weak mesoscale SST vari-399 ability in these regions in the parameterized run. Although the high-/low-pass fields used 400 in this paper are obtained using the Taper filtering kernel following Grooms et al. (2021), 401 a Gaussian filtering kernel was also tested. The latter resulted in qualitatively similar 402 results with a slight drop in the instantaneous SST-LHF correlations and an increase in 403 the  $\partial(SST)/\partial t$  - LHF tendency correlations; therefore, our results are robust to filtering kernels. The comparison of a pre-industrial climate simulation to modern observa-405 tions is a limitation of this study. Nevertheless, the conclusion that the stochastic pa-406 rameterization leads to increases in ocean-intrinsic air-sea heat flux variability is not likely 407 to be sensitive to climate changes. 408

This work has significant potential for further advancements. One possible line of 409 extension is a systematic study of seasonal dependence of the correlations and the tran-410 sition length scales while focusing on their physical mechanisms. Another possible re-411 finement is to make the whole study more consistent by considering a CESM-MOM6 sim-412 ulation with a spatial resolution closer to the observations  $(1/4^{\circ} \text{ here})$ . Presently the ob-413 servations have much more spatial scales resolved and higher variance across scales than 414 the model output. It may also be valuable to develop a physics-based stochastic param-415 eterization for small-scale air-sea flux variability by directly manipulating bulk flux for-416

- 417 mulas, which possess significant covariability among its constituent variables all inter-
- 418 acting in a nonlinear fashion.

#### 419 **Open Research**

The CESM-MOM6 outputs and the Python analysis scripts used in this work are available publicly in the Zenodo repository: https://doi.org/10.5281/zenodo.7359120.

- The J-OFURO3 observations are available for download from the official J-OFURO project
- website (https://www.j-ofuro.com/en/dataset/entry-323.html).

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Figure 1.



Figure 2.



Agulhas





Kuroshio



Agulhas









Figure 3.





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# Geophysical Research Letters

Supporting Information for

### Impact of stochastic ocean density corrections on air-sea flux variability

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# **Contents of this file**

Figures S1 to S7

# Introduction

Below we have provided a set of supplementary figures with short explanations for a better understanding of the manuscript.

MOM6-grid-scale to 500Km Band-pass SST -- OBS

MOM6-grid-scale to 500Km Band-pass LHF -- OBS







500Km High-pass SST -- Control

500Km High-pass LHF -- Control



**Figure S1.** A comparison of the standard deviation of high-pass SST (left column) and LHF (right column) from OBS (top row), Stoch (middle row), and Control (bottom row) for 500 km filter scale. OBS is band-pass filtered to retain the scales between the MOM6 grid size and 500 km. MOM6 simulations (both Stoch and Control) lack a significant proportion of the high-frequency variability inherent to mesoscale eddies along the boundary currents compared to the OBS.



**Figure S2.** (a) Difference in the standard deviation of high-pass SST from Stoch and Control simulations for 500 km filter size; (b) same as (a) but for LHF. Note: this figure is essentially the subtraction of bottom row from the middle row in Fig. S1. The most notable changes are present along the Labrador and Irminger seas, which are strongly correlated to the changes in the wintertime mixed layer depths in these regions. Furthermore, both panels exhibit almost the same pattern, inferring that the SST anomalies (i.e., oceans) force the THF variability (or atmosphere) at mesoscales.



**Figure S3.** Plots of 95% confidence interval (CI) for SST-LHF (left column) and  $\partial(SST)/\partial t$ -LHF (right column) correlation using the low-pass components from various filter sizes. These belong to the Kuroshio, Agulhas, and BMC locations (top to bottom) marked by green stars in Fig. 2 in the main text. In general, Stoch CIs are closer to OBS than Control for filter sizes up to 500 km. The Agulhas location is an exception, as it lacks a large extent of SST-LHF covariability for both Stoch and Control compared to the OBS. An enhanced SST-LHF correlation for

Stoch proves that we are augmenting SST-LHF feedback inherent to mesoscale eddies, which the stochastic density parameterization focuses on.



Figure S4. Spatial maps of the transition length scale for Kuroshio, Agulhas, and BMC regions (top to bottom) for Control, Stoch, and OBS (left to right). In the Kuroshio region (top row), subtle differences between Stoch and Control exist about east of Japan, but the Kuroshio extension is shifted far north in both Control and Stoch compared to the OBS. This is why we do not study these differences further. The Stoch and Control outputs are nearly identical in the Agulhas and BMC regions except for minor changes in the magnitude of the transition scales resolved in the two experiments. There are also changes around the Antarctic Circumpolar Current (ACC) boundary, i.e., between  $55^{\circ} - 60^{\circ}$  S, but this is not an area of focus in this study.



**Figure S5.** Global maps of the instantaneous SST-LHF correlation for low-pass SST and LHF from 200 km (left column), 500 km (middle column), and 800 km (right column) filter scales; the three rows belong to OBS (top), Stoch (middle), and Control (bottom). Coherent spatial patterns of positive correlations exist over high SST/THF variability regions, e.g., Gulf Stream, Kuroshio, and Agulhas. Because the instantaneous SST-LHF correlations quantify the oceans-forcing-atmosphere case -- inherent to small-scale oceanic eddies, we witness a general decrease in the correlations in these regions as we move from low to high filter scales. This is clearer in the panels for OBS. The strong correlations in the tropical Pacific are due to the ENSO effects.



**Figure S6.** Same as S5 but for  $\partial(SST)/\partial t$  - LHF correlation. The correlation magnitude increases globally as we move from low to high filter size (i.e., left to right) because the atmosphere-forcing-oceans case holds most strongly for synoptic scales. It is worth noting that around the major boundary currents, the sign of the correlations here is opposite to those in Fig. S5. This is due to the difference in the physical processes they represent (discussed in detail in Sec. 1 in the main text.)



**Figure S7.** Same as Fig. S3 but for covariance (with an additional panel for the GS location). The GS location stands out, as the Stoch outputs (both SST-LHF and  $\partial(SST)/\partial t$  -LHF covariances) are closest to the OBS in this case. The Kuroshio location also shows significant impact, but the Stoch SST-LHF covariance outputs are more

ocean-forced beyond the 400 km filter size. The Agulhas location again remains nearly insensitive to the imposed parameterization. The BMC location shows incredible improvements in the SST-LHF covariance up to 400 km filter size but underestimates it beyond this filter width. The changes in  $\partial(SST)/\partial t$  - LHF covariance are marginal for all filter widths at this location.