# ENSO Modulates Mean Currents and Mesoscale Eddies in the Caribbean Sea

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#### Abstract

Although ENSO and its global impacts through teleconnection have been known for decades, if and how the mean currents and mesoscale eddies in the Caribbean Sea are linked to ENSO remains an open question. Here, by analyzing satellite observations and an ocean reanalysis product, we found a close connection between mean currents, eddies in the Caribbean Sea and ENSO on interannual timescales. Strong El Niño events result in enhanced north-south sea surface height (SSH) differences and consequently stronger mean currents in the Caribbean Sea, and the opposite happens during La Niña events. The eddy kinetic energy (EKE) responses to ENSO via eddy-mean flow interaction, primarily through baroclinic instability, which releases the available potential energy stored in the mean currents to mesoscale eddies. Our results suggest some predictability of the mean currents and eddies in the Caribbean Sea, particularly during strong El Niño and La Niña events.

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17 Key Points				
18	• Interannual variations of mean currents and eddies in the Caribbean Sea are linked to			
19	ENSO			
20	• ENSO-induced wind pattern changes modulate the north-south SSH differences and			
21	hence the mean currents in the Caribbean Sea			
22	• Interannual variation of eddy kinetic energy in the Caribbean Sea is controlled by			
23	baroclinic instability			
24				
25				

#### 26 Abstract

27 Although ENSO and its global impacts through teleconnection have been known for decades, if 28 and how the mean currents and mesoscale eddies in the Caribbean Sea are linked to ENSO 29 remains an open question. Here, by analyzing satellite observations and an ocean reanalysis 30 product, we found a close connection between mean currents, eddies in the Caribbean Sea and 31 ENSO on interannual timescales. Strong El Niño events result in enhanced north-south sea 32 surface height (SSH) differences and consequently stronger mean currents in the Caribbean Sea, 33 and the opposite happens during La Niña events. The eddy kinetic energy (EKE) responses to ENSO via eddy-mean flow interaction, primarily through baroclinic instability, which releases 34 35 the available potential energy stored in the mean currents to mesoscale eddies. Our results 36 suggest some predictability of the mean currents and eddies in the Caribbean Sea, particularly 37 during strong El Niño and La Niña events.

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## 39 Plain Language Summary

40 We, in this study, explore the potential impacts of ENSO on the mean circulation and mesoscale 41 eddies in the Caribbean Sea. We found ENSO-related synchronized changes in mean currents 42 and eddies across the entire Caribbean Sea. The connection between the mean currents and 43 ENSO is established through ENSO's impact on the north-south sea surface height (SSH) 44 difference in the Caribbean Sea, which determines the strength of the geostrophic jet. During 45 strong El Niño events, the easterly wind anomalies will increase the north-south SSH difference 46 through Ekman transport, and consequently generate stronger mean currents. During strong La 47 Niña events, the opposite happens. Through baroclinic instability, available potential energy 48 stored in the mean currents will be transferred to eddies and results in ENSO-modified 49 interannual variations of EKE. Our results suggest that interannual variations of mean currents 50 and eddies in the Caribbean Sea might be predictable, particularly during strong El Niño and La 51 Niña events.

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# 55 **1. Introduction**

56 The Caribbean Sea is a critical region connecting the tropical Atlantic, the Gulf of Mexico, and 57 the North Atlantic Ocean. The mean circulation in the Caribbean Sea is characterized by currents 58 from the Lesser Antilles to the Yucatan Channel into the Gulf of Mexico (GoM, Gordon, 1967; 59 Johns et al., 2002) and is a major pathway for transporting mass, heat, salt, and other tracers in 60 the Atlantic Circulation System. Mesoscale eddies are also ubiquitous in the Caribbean Sea (Pratt 61 & Maul, 2000; Jouanno et al., 2012; van der Boog et al., 2019a, 2019b; López-Álzate et al., 62 2022). These eddies advect cold filaments, modulate heat balance in the interior of the Caribbean 63 Sea, and affect the temperature variability through the upwelling in the Cariaco Basin (Astor et 64 al., 2003; Jouanno & Sheinbaum, 2013). They also transport nutrients, chlorophyll, Sargassum, 65 larvae and pollutants, and hence impact the ecosystem (Andrade & Barton, 2005; Chérubin & 66 Richardson, 2007; E. M. Johns et al., 2014; Andrade-Canto et al., 2022). In addition, some 67 studies suggest that the eddies in the Caribbean Sea could impact the eddy-shedding of the Loop Current in the Gulf of Mexico (e.g., Murphy et al., 1999; Oey et al., 2004; Yang et al., 2020; 68 69 Andrade-Canto et al., 2020; Huang et al., 2021; Laxenaire et al., 2023; Ntaganou et al., 2023).

70 Some aspects of the interannual variability of mean currents and eddies in the Caribbean Sea 71 have been studied. For instance, previous studies indicate that interannual variations of the 72 Caribbean Current is related to the north-south sea surface height (SSH) difference, which is 73 driven by the changing wind pattern (Alvera-Azcárate et al., 2009). In addition, baroclinic and 74 barotropic instabilities of the mean current can affect the Caribbean eddies (Carton & Chao, 75 1999; Andrade & Barton, 2000; Richardson, 2005; Jouanno et al., 2009, 2012). In the central 76 Caribbean Sea (Colombia Basin), Jouanno et al. (2012) show that mean kinetic energy (MKE) 77 and eddy kinetic energy (EKE) are related and exhibit a close relationship with wind stress. 78 Moreover, a recent study using Self-Organizing Maps reveals interannual EKE variabilities in 79 the Caribbean Sea, but no further investigation of the underlying mechanisms has been 80 conducted (López-Álzate et al., 2022).

81 ENSO teleconnection and its impacts on remote regions have been known for decades

82 (Alexander et al., 2002; Yeh et al., 2018). In the Caribbean Sea, previous studies show that

83 ENSO can affect wind stress, temperature, rainfall pattern, net primary production, and

- chlorophyll (e.g., Enfield & Mayer, 1997; Malmgren et al., 1998; Giannini et al., 2001;
- Maldonado et al., 2016; Taylor et al., 2012; Chang and Oey, 2013; Muller-Karger et al., 2019;
- 86 Sayol et al., 2022). Early studies based on tide gauges and altimetry also suggest that interannual
- 87 variations of the sea level in the Caribbean Sea are correlated with ENSO (Alvera-Azcárate et al.,
- 88 2009; Palanisamy et al., 2012). Some recent studies directly link the interannual anomalous wind
- 89 pattern in the Caribbean region to ENSO (Dong et al., 2022; Sayol et al., 2022).
- 90 As mentioned above, ENSO can modulate various quantities in the Caribbean Sea. Now a few
- 91 questions naturally arise: 1) Will the ENSO-induced winds and sea level variations result in
- 92 changes in the geostrophic jet that is driven by the north–south SSH difference? 2) How do the
- 93 mesoscale eddies in the Caribbean Sea respond to the variations of mean currents? In this study,
- 94 we will try to answer these questions by analyzing altimetry observations and one oceanic
- 95 reanalysis product. We will focus on possible roles of ENSO in modulating the MKE and EKE
- 96 in the whole Caribbean Sea. The rest of the paper is organized as follows: Section 2 describes the
- 97 data and methods used in this study. Section 3 presents the results of the interannual variations of
- 98 MKE, EKE, their relationships with ENSO, and the underlying mechanisms. The results are
- summarized and discussed in Section 4.

## 100 **2. Data and Methods**

- 101 a. Data
- 102 Satellite altimetry products, including the sea level anomalies (SLA), absolute dynamic
- 103 topography (ADT), and geostrophic currents, are used to characterize the surface eddy
- 104 characteristics and validate the reanalysis product. They are distributed by the Copernicus
- 105 Marine Environment Monitoring Service (CMEMS). The SLA is referenced to a 20-year (1993-
- 106 2012) mean (Pujol et al., 2016). The altimetry data has  $0.25^{\circ} \times 0.25^{\circ}$  horizontal resolution and
- 107 daily temporal intervals. The altimetry data from 1993 to 2020 are used in this study.
- 108 A global ocean reanalysis product, Forecast Ocean Assimilation Model from Met Office (FOAM;
- 109 Blockley et al., 2014), is used to describe and understand the interannual variability of mean
- 110 currents and eddies. FOAM is distributed by the Copernicus Marine Environment Monitoring
- 111 Service (CMEMS) Global Ocean Ensemble Reanalysis project. It is a homogeneous 3D gridded

112 description of the physical state of the ocean constrained with satellite and in situ observations

113 (Blockley et al., 2014). Its temporal range is from 1993 to 2020. It has  $0.25^{\circ} \times 0.25^{\circ}$  horizontal

resolution, 75 vertical levels and daily time intervals. The vertical resolution varies from a few

meters near the sea surface to  $\sim 200$  m near the bottom. FOAM reproduces the low-frequency

mean and eddy variabilities in the Caribbean Sea reasonably well (shown later in Section 3). This

117 product was also recently utilized in a similar study in the North Brazil region (Huang et al.,

118 2023).

119 Monthly averaged surface 10 m wind from the European Centre for Medium-Range Weather

120 Forecasts Reanalysis (Hersbach et al., 2020) is used to explore the possible forcing for the

121 variations in mean currents and mesoscale eddies. The temporal range of the wind data is from

122 1993 to 2020. It has a  $0.25^{\circ} \times 0.25^{\circ}$  horizontal resolution.

123 The daily sea surface temperature (SST) reprocessed product (Good et al., 2020) is used to

124 investigate possible links between ENSO, mean currents and eddies. It has a  $0.05^{\circ} \times 0.05^{\circ}$ 

125 horizontal resolution. Niño3.4, NAO (North Atlantic Oscillation) as well as AMO (Atlantic

126 Multi-decadal Oscillation) indices are used to explore the relationships of various climate

127 variabilities with mean currents and eddies in the Caribbean Sea. To be consistent with the other

128 datasets, time ranges of SST and climate indices used in this study are between 1993 and 2020.

# 129 b. Analyses

130 The multiscale window transform (MWT) and the MWT-based localized multiscale energy

131 analysis (Liang, 2016) are used to investigate the mean currents and eddy variabilities as well as

132 the underlying dynamic mechanisms in the Caribbean Sea. This time-varying multiscale

133 energetics framework is based on a new functional analysis apparatus, namely, MWT (Liang &

134 Anderson, 2007). A brief description of this method is provided below and for more detailed

135 information refer to Liang (2016).

136 The MWT-based multiscale ocean energetic equations for the multiscale KE ( $K^{\varpi}$ ) and available 137 potential energy (APE,  $A^{\varpi}$ ) can be obtained as:

$$\frac{\partial K^{\varpi}}{\partial t} = \underbrace{-\nabla \cdot \left[\frac{1}{2}\left[\widehat{(\mathbf{v}\mathbf{v}_{h})}^{\sim \varpi} \cdot \widehat{\mathbf{v}}_{h}^{\sim \varpi}\right]\right]}_{-\nabla \cdot \mathbf{Q}_{k}^{\varpi}} + \underbrace{\frac{1}{2}\left[\widehat{(\mathbf{v}\mathbf{v}_{h})}^{\sim \varpi} \cdot \nabla \widehat{\mathbf{v}}_{h}^{\sim \varpi} - \nabla \cdot \widehat{(\mathbf{v}\mathbf{v}_{h})}^{\sim \varpi} \cdot \mathbf{v}_{h}^{\sim \varpi}\right]}_{\Gamma_{k}^{\varpi}}$$

$$\underbrace{-\nabla \cdot \left(\frac{1}{\rho_{0}}\widehat{\mathbf{v}}^{\sim \varpi}\widehat{\mathbf{P}}^{\sim \varpi}\right)}_{-\nabla \cdot \mathbf{Q}_{P}^{\varpi}} + \underbrace{\left(-\frac{g}{\rho_{0}}\widehat{\rho}^{\sim \varpi}\widehat{\mathbf{w}}^{\sim \varpi}\right)}_{b^{\varpi}} + \mathbf{F}_{K}^{\varpi}, \qquad (1)$$

$$\frac{\partial A^{\varpi}}{\partial t} = \underbrace{-\nabla \cdot \left[\frac{1}{2}c\widehat{\rho}^{\sim \varpi}\widehat{(\mathbf{v}\rho)}^{\sim \varpi}\right]}_{-\nabla \cdot \mathbf{Q}_{M}^{\varpi}} + \underbrace{\frac{c}{2}\left[\widehat{(\mathbf{v}\rho)}^{\sim \varpi} \cdot \nabla\widehat{\rho}^{\sim \varpi} - \rho^{\sim \varpi}\nabla \cdot \widehat{(\mathbf{v}\rho)}^{\sim \varpi}\right]}_{\Gamma_{M}^{\varpi}}$$

139 
$$+ \underbrace{\frac{g}{\rho_0} \hat{\rho}^{\sim \overline{\omega}} \widehat{w}^{\sim \overline{\omega}}}_{-b^{\overline{\omega}}} + \underbrace{\frac{1}{2} \hat{\rho}^{\sim \overline{\omega}} (\overline{w\rho})^{\sim \overline{\omega}} \frac{\partial c}{\partial z}}_{S_A^{\overline{\omega}}} + F_A^{\overline{\omega}}, \quad (2)$$

140 where  $\varpi = 0, 1$  stands for the mean current and eddy window, respectively. The definition of KE and APE on scale window  $\varpi$  are  $K^{\varpi} = \frac{1}{2} \widehat{v}_h^{\sim \varpi} \cdot \widehat{v}_h^{\sim \varpi}$ ,  $A^{\varpi} = \frac{1}{2} c (\widehat{\rho}^{\sim \varpi})^2 \cdot (\widehat{\cdot})^{\sim \varpi}$  denotes MWT on 141 window  $\varpi$ .  $\boldsymbol{v}_h$  is the horizontal velocity,  $c = g^2 / \rho_0^2 N^2$ , N is the Brunt–Väisälä frequency.  $\rho_0$  is 142 the reference density (1025 kg m<sup>-3</sup>).  $\rho$  is the density anomaly (with the mean vertical profile  $\rho(z)$ 143 144 removed). Variabilities of KE (K) and APE (A) on the left side are controlled by the dynamics processes on the right side, where  $-\nabla \cdot Q_k^{\overline{\omega}}$  and  $-\nabla \cdot Q_A^{\overline{\omega}}$  are the advection of  $K^{\overline{\omega}}$  and  $A^{\overline{\omega}}$ , 145 respectively.  $-\nabla \cdot Q_P^{\varpi}$  is the pressure flux convergence.  $\Gamma_k^{\varpi}$  and  $\Gamma_A^{\varpi}$  are cross-scale transfers of 146 147 KE and APE to window  $\varpi$  from other windows, standing for the redistribution of energy among different scales (Figure S1).  $\Gamma_k^{0\to 1}$  and  $\Gamma_A^{0\to 1}$  are barotropic transfer (BT) and baroclinic transfer 148 (BC), respectively. The  $b^{\varpi}$  terms are the buoyancy conversion between KE and APE.  $S_A^{\varpi}$  is the 149 result from the vertical shear of c (a source/sink of  $A^{\varpi}$ ).  $F_K^{\varpi}$  and  $F_A^{\varpi}$  are residual terms including 150 151 contributions from dissipation, external forcing, and subgrid processes. Detailed expressions and 152 meanings of the symbols are listed in Table S1.

153 To separate mean currents and eddies with the MWT approach, an eddy scale level or a cutoff

- 154 period of eddies needs to be determined. Early numerical studies in the Caribbean Sea set the
- mesoscale window shorter than 120 or 125 days (Jouanno et al., 2012; van der Boog et al.,
- 156 2019b). Recently, based on 27 years of satellite altimetry data, López-Álzate et al. (2022)
- 157 identified that the average lifetime for all the eddies is  $62 \pm 37$  days (mean  $\pm$  standard deviation)
- and most eddies (~90%) have a lifetime shorter than 160 days in the Caribbean Sea. Based on

previous studies, a period shorter than 160 days appears to be an appropriate choice for the cutoff period of the mesoscale window. We have also tested ~120 days as the scale level bound, and

161 the results are quantitatively similar.

162 To further explore how ENSO modulates the mean currents and eddies, potential factors like 163 wind, SST, SLA, and geostrophic currents in the Caribbean Sea are linearly regressed into the 164 Niño3.4 index. Since we focused on the role of ENSO, monthly climatology and linear trends are 165 removed and a 2-year lowpass filter is applied. We also tested 1- and 3-year lowpass filter, and 166 the results are similar.

# 167 **3. Results**

168 MKE and EKE in the Caribbean Sea from the altimetry and FOAM are shown in Figure 1. We 169 first examine to what extent the altimetry and FOAM results agree. Mean currents from the altimetry and FOAM, displayed as high MKE from the Lesser Antilles to the Yucatan Channel, 170 171 have similar spatial distribution (Figure 1a, b). In the three basins (i.e., the Colombia Basin, the 172 Venezuela Basin, and the Cayman Basin), similar interannual variabilities (Figure 1e-g) and 173 significantly high correlations between altimetry and FOAM MKEs also appear. For the EKE 174 (Figure 1i-k), although the FOAM values are smaller than those of the altimetry (similar to the 175 MKE), their temporal evolutions agree reasonably well. The agreements of MKE/EKE from the 176 altimetry and FOAM confirm that FOAM can be used to examine the interannual variations of 177 mean currents and eddies in the Caribbean Sea, as well as the underlying mechanisms.

178 We then examine the evolutions of MKE and EKE in the three basins of the Caribbean Sea. We 179 first look at the MKE (Figure 1e-g). Evident synchronized interannual variations of MKE among 180 the three basins are presented in both altimetry and FOAM. More specifically, in all the three 181 basins, high MKE appears in 1994-1995, 1997-1998, 2015-2016, 2019-2020, and low MKE 182 appears in 1993-1994, 1999-2000, 2017-2018, and 2020-2021. The synchronized variations of 183 MKE are also reflected in high correlations of MKE in different ocean basins, with 0.74 between 184 the Colombia and Venezuela Basins, and 0.77 between the Colombia and Cayman Basins. For 185 EKE, similar synchronized temporal variations revealed in MKE are also exhibited. The EKE 186 correlation coefficients are 0.71 between the Colombia and Venezuela Basins, and 0.71 between

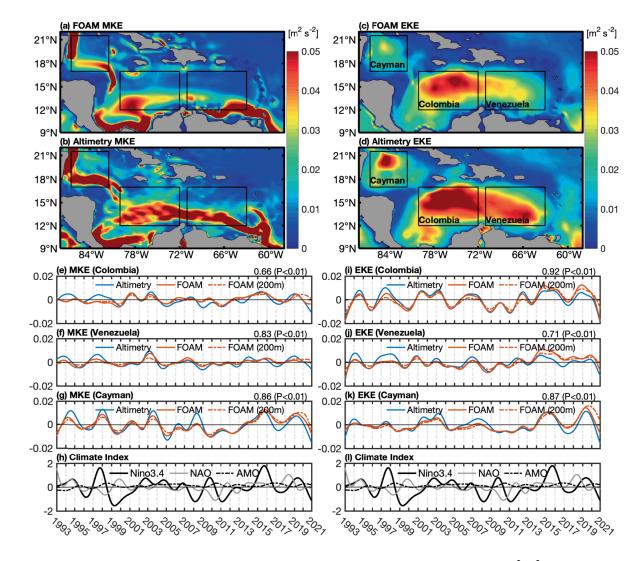
187 the Colombia and Cayman Basins. In addition, higher MKE/EKE in 1997-1998, 2015-2016

188 (strong El Niño events), and lower MKE/EKE in 2020-2021 (one strong La Niña event) suggest

an important role of ENSO on the interannual variations of the mean currents and eddies in the

- 190 Caribbean Sea. This is further confirmed by significantly high correlations between Niño3.4 and
- 191 MKE/EKE in the three basins (Table 1).

192



193Figure 1. Spatial distribution of the mean (a, b) mean kinetic energy (MKE,  $m^2 s^{-2}$ ) and (c, d)194eddy kinetic energy (EKE,  $m^2 s^{-2}$ ) from FOAM and altimetry between 1993 and 2020. (e, i), (f, j),195(g, k) 2-yr lowpass MKE and EKE ( $m^2 s^{-2}$ ) anomalies from altimetry and FOAM over the196Colombia, Venezuela and Cayman Basin, respectively. Correlation coefficients between197altimetry and FOAM as well as the corresponding *p*-values are noted in the top right of each198panel. Note that orange dashed lines represent depth-mean (upper 200 m; Figure S2) results from

199 FOAM. The bottom panels (h, l) represent the 2-yr lowpass Niño3.4, NAO, and AMO indices.

- 200 Table 1. Correlation coefficients between MKE/EKE and three climate indices in the three
- 201 basins of the Caribbean Sea. Both results from the altimetry and FOAM are presented. All
- 202 quoted correlations here are above the 95% significance level except those with underlines.

Correlation coefficient	Altimetry (MKE/EKE)	FOAM (MKE/EKE)	FOAM (200 m) (MKE/EKE)
Colombia & Niño3.4	0.53/0.59	0.52/0.58	0.47/0.57
	0.55/0.57	0.52/0.56	0.4770.57
Venezuela & Niño3.4	0.48/0.36	0.44/0.41	0.49/0.41
Cayman & Niño3.4	0.46/0.50	0.51/0.54	0.50/0.53
Colombia & NAO	0.21/ <u>0.06</u>	<u>0.05</u> /0.13	<u>0.09</u> /0.22
Venezuela & NAO	0.21/ <u>0.004</u>	<u>0.05</u> /0.22	0.18/0.29
Cayman & NAO	0.15/0.12	0.20/0.23	0.22/0.29
Colombia & AMO	-0.32/ <u>-0.04</u>	0.07/0.09	<u>0.08/0.07</u>
Venezuela & AMO	-0.31/-0.20	0.01/0.03	<u>0.10/0.04</u>
Cayman & AMO	-0.15/-0.19	0.001/-0.04	<u>0.07/-0.015</u>

203 Besides ENSO, we also examine correlations between NAO, AMO and MKE/EKE in the

204 Caribbean Sea. The results (Table 1) show that their relationships are not as substantial as ENSO,

and in many cases not even statistically significant. For instance, the altimetry MKE in the

206 Colombia Basin shows much lower correlation coefficients, with ~0.2 for NAO and ~-0.3 for

207 AMO, but the corresponding FOAM MKE in the Colombia Basin is not significantly correlated

208 with either NAO or AMO. For EKE, the relationships with NAO and AMO are even less clear.

209 So, NAO and AMO likely play minor or no roles in modulating the MKE and EKE in the

210 Caribbean Sea, and we will focus on the effects of ENSO in the rest of this paper.

211 We then investigate how mean currents and eddies in the Caribbean Sea are modulated by ENSO.

212 Firstly, we look at the mean states of the Caribbean Sea. Figure 2a shows that an intense and

213 persistent easterly wind exists in the Caribbean Sea (Wang, 2007). This wind directly controls 214 the intensity and occurrence of the upwelling in the southern Caribbean Sea (Montoya-Sánchez 215 et al., 2018), which appears as a cold SST patch in Figure 2b. The easterly wind also drives the 216 water to pile up in the northern Caribbean Sea through Ekman transport and contributes to the 217 SSH difference between the north and south Caribbean Sea. And the mean currents in the 218 Caribbean Sea are largely determined by the horizontal gradients of the SSH (Figure 2c).

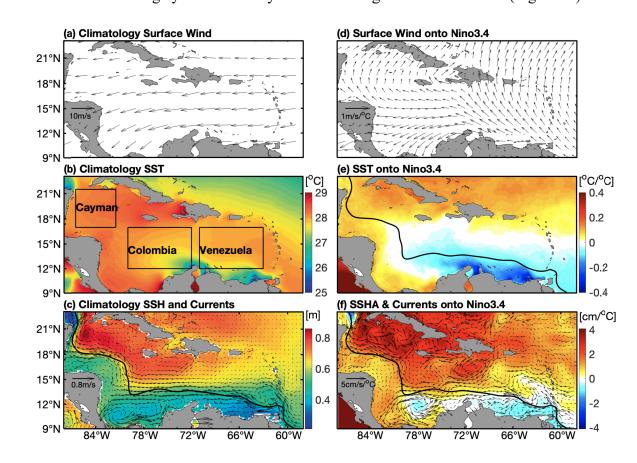
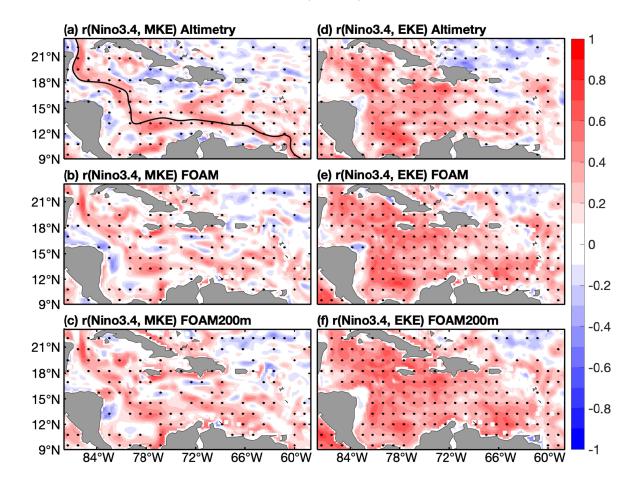


Figure 2. Climatology of (a) surface wind velocity, (b) sea surface temperature (SST), and (c)
SSH and geostrophic currents. Spatial distribution of linear regression coefficients of (d) Wind (e)
SST, and (f) SSHA and currents onto the Niño3.4 index. The black solid line in (c) represents the
maximum speed of the climatology mean current and is also shown in (e) and (f).

We then regress the wind velocity, SST, and sea surface height anomalies (SSHA) onto the

- 225 Niño3.4 index (Figure 2d-f). The regression analysis shows that positive Niño3.4 indices (El
- 226 Niño) are associated with an anticyclonic wind anomaly in the Caribbean Sea (Figure 2d). This
- 227 wind pattern will drive an oceanic convergence and hence higher sea levels in the northern

- 228 Caribbean Sea. In the meantime, the easterly wind anomalies in the southern Caribbean Sea can
- 229 intensify the regional upwelling (Figure 2e). As a consequence, the north-south SSH differences
- 230 in the Caribbean Sea will increase and strengthen the mean currents though geostrophic balance
- during El Niño events (Figure 2f). During La Niña events, on the contrary, westerly wind
- anomalies occur, the upwelling in the southern Caribbean Sea is suppressed (Sayol et al., 2022),
- 233 SSH north-south differences decreases, and the mean currents in the Caribbean Sea are
- 234 weakened. This process is also confirmed with the significant correlations between the Niño3.4
- index and MKE in the three Caribbean basins (Table 1).



236

Figure 3. (Left) Correlation coefficients between MKE and Niño3.4 index from (a) altimetry, (b)
FOAM, (c) depth-mean (200 m) FOAM. (Right) Same as (left), but for EKE. The black line in (a)
represents the maximum speed of the climatology mean current. Correlations above the 95%
significance level are marked as black dots.

241 Correlation coefficients between the Niño3.4 index and MKE/EKE from altimetry and FOAM are also directly calculated and displayed in Figure 3. Significant high correlations between the 242 243 Niño3.4 index and MKE/EKE over the Caribbean Sea are shown in both products. For the MKE, 244 the highest correlations are primarily confined along the position of the mean current (Figure 3a-245 c). As suggested above, the synchronized MKE evolution with ENSO in the whole Caribbean 246 Sea is established through ENSO's impacts on the north-south SSH differences and hence the 247 geostrophic currents. Like the MKE, the EKE in the Caribbean Sea also exhibits synchronized 248 variability with the Niño3.4 index but their responses are not confined along the mean current 249 but cover a much larger area (Figure 3d-f).

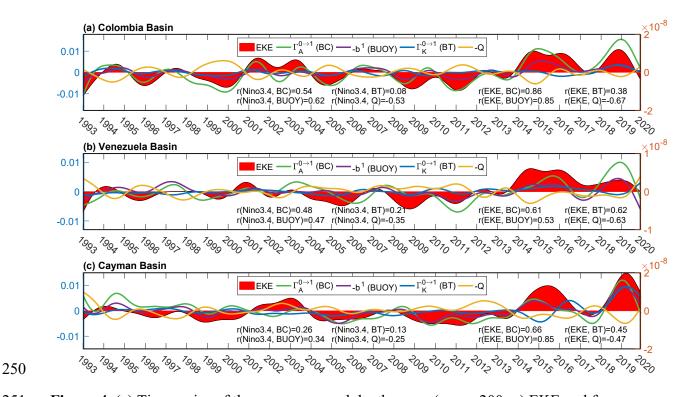


Figure 4. (a) Time series of the area-mean and depth-mean (upper 200 m) EKE and four dynamic processes over the Colombia Basin from FOAM. The terms are baroclinic transfer (BC, green), buoyancy conversion (buoy, purple), barotropic transfer (BT, blue), and nonlocal process (Q, yellow). All the time series are 2-yr low-pass filtered. The units of the EKE budget terms and EKE are  $m^2 s^{-3}$  and  $m^2 s^{-2}$ , respectively. (b, c) As in (a), but for the Venezuela Basin and Cayman Basin, respectively. The correlation coefficients, r, between four dynamic processes, EKE and the Niño3.4 index are shown in the bottom of each panel.

258 We then explore the underlying mechanisms for the effects of ENSO on the interannual

- 259 variability of EKE in the Caribbean Sea. Temporal evolutions of EKE and the related dynamic
- 260 processes, which were obtained using the MWT-based localized multiscale energy analysis
- 261 (Liang, 2016), over the three Caribbean basins are displayed in Figure 4. The high correlation
- between baroclinic transfer (BC,  $\Gamma_A^{0\to 1}$ ), buoyancy conversion ( $b^1$ ) and EKE indicate that
- 263 baroclinic instability dominates the EKE variability. The barotropic transfer (BT,  $\Gamma_k^{0 \to 1}$ ) also
- 264 exhibits positive correlation with the EKE, but its magnitude is much smaller than the BC term
- 265 (Figure S3). The nonlocal term (combined effect of advection and pressure work) is negatively
- correlated with the EKE, suggesting that the EKE generated through the instability processes is
- 267 damped by nonlocal processes via advection and pressure work.

268 The correlation coefficients between the Niño3.4 index and each of the four budget terms are 269 also calculated (Figure 4). The baroclinic transfer and buoyancy conversion are more closely 270 related to ENSO than the barotropic term. The nonlocal process is negatively related to Niño3.4. More specifically, in the most energetic Colombia Basin (Figure 4a), the correlation coefficient 271 272 between the Niño3.4 index and BC is 0.54, but the correlation coefficient between the Niño3.4 273 index and BT is 0.08, indicating that the effect of ENSO on EKE is mainly through baroclinic 274 instabilities in that basin. In the classical instability formalism, baroclinic instability is 275 proportional to the vertical shear of the horizontal flow (Pedlosky, 1987). We hence check the 276 correlation between the vertical shear of the horizontal flow and the Niño3.4 index, and 277 significant high correlations are found (see Figure S4). This further confirms that ENSO 278 modulates EKE through its effect on the mean currents and energy transfer from the background 279 currents to eddies through baroclinic instabilities.

## 280 4. Conclusions and Discussion

In this study, we found substantial and synchronized interannual variabilities of MKE and EKE in the whole Caribbean Sea. These interannual variabilities are also closely related to ENSO, indicating that ENSO can modulate the mean currents and mesoscale eddies in the Caribbean Sea. In addition, although previous studies showed significant dynamic differences between the three basins of the Caribbean Sea (e.g., Jouanno et al., 2008), the synchronized mean currents and eddies responses to ENSO occurs across the whole Caribbean Sea, suggesting that the dynamicalseparation of the three basins in the Caribbean Sea are timescale dependent.

288 The modulation of mean currents in the Caribbean Sea by ENSO is established through ENSO's 289 impacts on the north-south SSH differences in the Caribbean Sea, which through geostrophic 290 balance affect the mean currents. During El Niño events, the easterly wind anomalies drive the 291 water to pile up in the northern Caribbean Sea and lower the SSH in the southern Caribbean Sea 292 through Ekman transport. These will lead to increased north-south SSH differences and 293 strengthened the mean current, and during La Niña events the opposite happens. The interannual 294 EKE variability is primarily controlled by baroclinic instability, which releases available 295 potential energy stored in the mean currents to mesoscale eddies. Since the mean currents are 296 modulated by ENSO, high correlations between ENSO and EKE are expected.

297 Besides ENSO, we also notice weak but, in some cases, significant correlations betw

297 Besides ENSO, we also notice weak but, in some cases, significant correlations between

298 MKE/EKE and other climate modes, such as NAO and AMO (Table 1). The altimetry data show

that NAO is positively correlated with MKE/EKE, while AMO is negatively correlated. During a

300 'moderate' El Niño event around 2010, MKE and EKE did not show positive anomalies as

301 expected. On the contrary, MKE and EKE showed negative anomalies. This could be related to

302 the strong negative NAO and weak positive AMO, both of which induced negative MKE/EKE

303 anomalies. In addition, effects of climate modes, such as ENSO, NAO, AMO, the Pacific

304 Decadal Oscillation (PDO), and Pacific/North American Pattern (PNA) on the Caribbean winds

305 have been studied and the Niño3.4 index was found to be the dominant mode (Maldonado et al.,

306 2016). This is consistent with our findings of the leading role of ENSO in modulating mean

307 currents and eddies in the Caribbean Sea.

308 This study provides an example showing the response of regional seas to ENSO. Our results also

309 suggest some predictability of the mean current and mesoscale eddies in the Caribbean Sea,

310 particularly during strong El Niño and La Niña events. Considering the importance of these

311 currents and eddies in transporting heat, salt, and other biogeochemical materials like

312 chlorophyll, Sargassum, and larvae etc., as well as their potential impacts on downstream regions,

313 like the Gulf of Mexico, ENSO's impacts on regional climate and marine ocean ecosystem could

314 be expected and should be investigated in the future.

315

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# 319 **Open Research**

- 320 All the data used in this study are publicly available. The satellite altimetry
- 321 (https://doi.org/10.48670/moi-00145) and FOAM (https://doi.org/10.48670/moi-00024) model
- 322 dataset is from the Copernicus Marine Environment Monitoring Service (CMEMS,
- 323 <u>https://marine.copernicus.eu/</u>). The sea surface temperature data is from CMEMS
- 324 (https://doi.org/10.48670/moi-00168). The surface wind from the European Centre for Medium-
- 325 Range Weather Forecasts (ECMWF) Reanalysis fifth Generation (ERA5) is available at
- 326 (<u>https://doi.org/10.24381/cds.f17050d7</u>). The NAO
- 327 (<u>https://www.cpc.ncep.noaa.gov/products/precip/CWlink/pna/nao.shtml</u>) and AMO index
- 328 (https://psl.noaa.gov/data/correlation/amon.us.long.data) are from National Oceanic and
- 329 Atmospheric Administration (NOAA). The Niño3.4 index is from Asia-Pacific Data-Research
- 330 Center is available at (<u>http://apdrc.soest.hawaii.edu/las/v6/dataset?catitem=1261</u>).
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