## Numerical analysis of the contemporary interaction between the Phoenix Metro Area Urban Boundary Layer and the local thermo-topographical circulation

Aldo Brandi<sup>1</sup>, Matei Georgescu<sup>1</sup>, Francisco Salamanca<sup>2</sup>, and Alberto Alberto<sup>3</sup>

<sup>1</sup>Arizona State University <sup>2</sup>Arizona State University at the Tempe Campus <sup>3</sup>CIEMAT, Spain

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#### Abstract

Anthropogenic modification of natural landscapes to urban environments impacts land-atmosphere interactions in the boundary layer. Ample research has demonstrated the effect of such landscape transitions on development of the near-surface urban heat island (UHI), while considerably less attention has been given to impacts on regional wind flow. Here we use a set of highresolution (1 km grid-spacing) regional climate modeling simulations with the Weather Research and Forecasting (WRF) model coupled to a multi-layer urban canopy scheme to investigate the dynamical interaction between the urban boundary layer (UBL) of the Phoenix Metro (U.S.) area and the thermal circulation of the complex terrain it resides within. We conduct paired simulations for the extremely hot and dry summer of 2020, using a contemporary urban representation and a presettlement landscape representation to examine the effect of the built environment on local to regional scale wind flow. Analysis of our simulation results shows that, for a majority of the diurnal cycle, 1) the thermo-topographical circulation dominates, 2) the built environment obstructs wind flow in the inertial sublayer during the nighttime, and (3) the built environment of Phoenix Metro produces an UHI circulation of limited vertical extent that interacts with the background flow to modulate its intensity. Such interaction is modulated by greater daytime urban sensible heat flux and dampens the urban roughness induced drag effect by promoting a deeper UBL through vigorous mixing. Our results highlight the need for future research – both observational and simulation based - into urbanizing regions where multi-scale flows are dominant.

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9	A. Brandi <sup>1,2</sup> , A. Martilli <sup>2,3</sup> , F. Salamanca <sup>1,2</sup> , M. Georgescu <sup>1,2</sup>				
10					
11					
12 13	<sup>1</sup> School of Geographical Sciences and Urban Planning - Arizona State University, Tempe, Arizona, United States of America				
14	<sup>2</sup> Urban Climate Research Center - Arizona State University, Tempe, Arizona, United States of America.				
15 16	<sup>3</sup> Centre for Energy, Environmental and Technological Research (CIEMAT), Madrid, Spain				
17	Corresponding author: Aldo Brandi (abrandi@asu.edu)				
18	ORCID: 0000-0003-2676-7106				
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20	Key Points:				
21 22	• The thermo-topographical circulation dominates at most times in the complex terrain surrounding Phoenix.				
23 24	• The built environment of Phoenix Metro acts as a barrier against wind flow as a result of the drag of urban roughness elements.				
25 26 27	• The urban heat island of Phoenix Metro does not produce a local circulation with the classical vertical extent, but does interact with the background flow to modulate its intensity.				
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- 35 Abstract
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37 Anthropogenic modification of natural landscapes to urban environments impacts landatmosphere interactions in the boundary layer. Ample research has demonstrated the effect of 38 39 such landscape transitions on development of the near-surface urban heat island (UHI), while 40 considerably less attention has been given to impacts on regional wind flow. Here we use a set of high-resolution (1 km grid-spacing) regional climate modeling simulations with the 41 Weather Research and Forecasting (WRF) model coupled to a multi-layer urban canopy 42 scheme to investigate the dynamical interaction between the urban boundary layer (UBL) of 43 the Phoenix Metro (U.S.) area and the thermal circulation of the complex terrain it resides 44 45 within. We conduct paired simulations for the extremely hot and dry summer of 2020, using a contemporary urban representation and a pre-settlement landscape representation to 46 47 examine the effect of the built environment on local to regional scale wind flow. Analysis of our simulation results shows that, for a majority of the diurnal cycle, 1) the thermo-48 topographical circulation dominates, 2) the built environment obstructs wind flow in the 49 inertial sublayer during the nighttime, and (3) the built environment of Phoenix Metro 50 produces an UHI circulation of limited vertical extent that interacts with the background flow 51 52 to modulate its intensity. Such interaction is modulated by greater daytime urban sensible 53 heat flux and dampens the urban roughness induced drag effect by promoting a deeper UBL 54 through vigorous mixing. Our results highlight the need for future research – both 55 observational and simulation based - into urbanizing regions where multi-scale flows are 56 dominant.

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#### 67 Plain Language Summary

Urban environments alter the natural landscape replacing soil and vegetation with asphalt, 69 70 concrete and buildings. This kind of modification affects the way the land surface interacts 71 with the overlying atmosphere. The best-known effect of this interaction is the urban heat 72 island effect that consists in a higher temperature within the city compared to the surrounding 73 natural environment. How urban environments interact with the local wind circulation is less 74 understood. With this research we use meteorological simulations to investigate the impact of the current extent of the Phoenix Metropolitan Area (PMA) on the near-surface wind 75 76 circulation of the complex terrain it resides within during the extremely hot and dry summer 77 of 2020. Results show that the wind circulation in the region is dominated by the effect of 78 topography. In such context, the PMA acts as a barrier that slows down the background wind 79 during most of the day. However, during the morning modest wind acceleration is found upwind of the PMA, as the heat emitted by the built environment reduces the friction 80 81 produced by its rough morphology. Our results highlight the need for more research upon the interaction between wind flows and urban environments. 82

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## 84 Index Terms

85 0429, 1631, 1632, 3307, 3355

- 86 87
- 88 Key Words
- 89 Complex terrain UHI circulation urban
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#### 98 1. Introduction

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100 Landscape modifications, such as those associated with urban environments, affect land-101 atmosphere interactions through modification of the rate and amount of heat, moisture and 102 momentum exchanges in the boundary layer. The best known and most studied consequence of these modifications is the Urban Heat Island (UHI) effect, defined as the difference in air, or 103 104 surface, temperature between urban environments and their rural surroundings (Oke 1982). Classical conceptual understanding indicates that thermal anomalies represented by the UHI are 105 106 sufficient to induce a characteristic circulation known as the Urban Heat Island Circulation 107 (UHIC). The UHIC is manifest as rising warm air over the urban core that promotes surface level 108 inflow of cooler air from rural areas (Findlay and Hirt 1969). The UHIC was first observed as early as the 1920s by German meteorologists studying the Stadtklima ("Urban Climate", Kratzer 109 110 1956). Those and several other authors noted that background winds modulated the emergence 111 and intensity of the UHI as well as that of its induced circulation (Chandler 1960). In turn, the 112 UHIC intensity was found to depend on the overall static stability of the urban boundary layer 113 (UBL). Shreffler (1979) used wind records observed during calm days across the city of St. 114 Louis (US), to calculate wind vectors associated with the UHIC and found that a daytime weaker 115 UHI produced stronger circulations than the more intense nocturnal UHI, as daytime instability would favor vertical motion in the UBL. Conversely, a factor likely detrimental to the strength of 116 117 the nocturnal UHIC is the acceleration of urban surface cooling rates due to the inflow of colder air from the surrounding rural areas (Haeger-Eugensson and Holmer 1999). 118

119 Urban environments have distinctive 3D morphologies which increase turbulence in the UBL. 120 The drag effect of buildings can extend up to three times their height (Rafailidis 1997) and acts as a physical barrier against synoptic winds. In 1977, Bornstein and Johnson concluded that wind 121 speed downwind of an idealized representation of New York City would be either increased or 122 123 decreased by UBL convergence (i.e., the UHIC) and increased roughness, respectively, as a 124 function of background wind speed. Grawe et al. (2013) used non-hydrostatic mesoscale model 125 simulations to show that the Greater London area (UK) can reduce wind speed up to 2.6 m/s in 126 urban areas. Droste et al. (2018) used a conceptual dual column bulk model to show that at 127 specified conditions early afternoon UBL mean wind speed can exceed that of its rural

128 counterpart as a consequence of increased turbulence in the city diluting the drag effect of urban 129 roughness. On the other hand, several studies attribute the globally observed overland decrease 130 in wind speed of the last four decades, also known as wind stilling, to the on-going worldwide 131 urbanization using historical records (McVicar et al. 2011, Chen et al 2020), numerical modeling 132 (Wang et al. 2020) and a combination of both (Hou et al. 2013, Peng et al 2018). As a 133 consequence, a general consensus upon the outcome of the interaction between urban 134 environments and wind flows remains an active area of research.

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136 The interaction between background wind flow and urban environments can be further complicated for cities located within or surrounded by complex terrain landscapes. In the 137 138 absence of strong synoptic forcing, surface energy balance differences across the landscape force up-slope/up-valley winds (also known as anabatic) during the daytime and down-slope/down-139 140 valley (katabatic) winds at nighttime (Blumen 2016). Thermal circulations can have detrimental impacts for cities located in complex terrain as the anabatic and katabatic wind regimes are 141 partitioned by the morning and evening transitions (i.e., times of low wind speed and a lack of a 142 143 predominant wind direction preceding flow reversal). Transitions tend to happen during rush 144 hour traffic, hindering effective dispersion of vehicular pollutant emission (Fernando 2010). In 145 addition, katabatic winds can be detrimental for air quality of cities located at the bottom of 146 valleys as they tend to generate lapse rate inversions that suppress vertical motion by building up 147 cold air pools (Monti et al. 2002).

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149 Here we use a set of two four-month long (MJJA), summertime, Weather Research and Forecast (WRF, Skamarock et al. 2019) model high resolution simulations to investigate the 150 151 interaction between boundary layer dynamics over the Phoenix Metropolitan Area (PMA), 152 Arizona, U.S., and the wind circulation of the complex terrain it resides within. We choose 153 Phoenix as our testbed as it is the fastest growing and fifth largest U.S. city (Bureau 2019) and is 154 a natural proving ground for urban climate research (Chow et al., 2012). Located within the 155 southwestern US, the PMA is located in complex terrain and its summertime climatology is characterized by persistent high-pressure systems and low synoptic winds (Balling and Cerveny 156 157 1984). The Phoenix Valley extends on a northwest-southeast axis, is surrounded by steep mountain ranges to the north and east, and by smaller reliefs to its southwest (inset, Fig. 1). 158

Valley slopes are generally shallow in the area ( $<0.5^{\circ}$ ) and average winds flow along the central 159 160 valley with westerly orientation during daytime and easterly at nighttime (Brazel et al 2005). The 161 lack of tall vegetation in the non-built areas (the surrounding semi-desert is primarily composed of xeric shrubs; Georgescu et al., 2008) surrounding the city is expected to highlight the 162 163 differences in aerodynamic and more generally, biophysical, properties between the two 164 landscapes. For example, the PMA frequently displays a daytime negative UHI effect, which has 165 been attributed to the combined effects of enhanced heat storage within the urban landscape and 166 greater warming rates across the surrounding semidesert biome (Georgescu et al. 2011). Such 167 characteristics are expected to affect the UHI circulation of metro Phoenix by reducing its intensity during the daytime. Balling and Cerveny (1987) found a 60% observed increase in 168 169 mean monthly wind speed in Phoenix between 1948 and 1985 and suggested a strong dependency on the growing UHI over the city. The thermal circulation in the Phoenix metro 170 171 valley has also been the subject of several meteorological studies. Three major experimental 172 campaigns, The Phoenix AirFlow Experiments (PAFEX-I and PAFEX-II, Fernando et al. 2001) and the Transition Flow Experiment (TRANSFLEX, Fernando et al. 2013), were conducted in 173 174 the area in the late 1990s and early 2000s. These campaigns measured the impact of the local 175 thermal circulation on air quality in the PMA with a focus on the morning and evening 176 transitions (Fernando et al. 2010). Prior research has also examined impacts of land use and land 177 cover (LULC) change on the surface energy balance of the PMA (Georgescu et al. (2009), using 178 mesoscale simulations with the RAMS model, by incorporating realistic representations of three 179 different historical landscapes to investigate the role of urban development on local landscape 180 heterogeneity induced mesoscale circulations. Georgescu et al. (2009a and 2009b) showed that nearly three decades of transitioning from agricultural and natural shrub to urban landscapes 181 182 generated strong thermal and moisture gradients across the valley, resulting in increased wind speed and dryness in the lower UBL and increased moisture in the upper BL. 183

In order to better understand the impact of urban environments on climate, it is important to improve the representation of urban landscapes through enhanced multi-scale process-based representation (Sharma et al. 2021). While considerable research has studied the interaction between UHIC and sea breeze circulations (Cenedese and Monti 2003, Ohasi and Kida 2002, Ryu and Baik 2013), the few studies investigating the interaction between the UHIC and thermotopographical circulations in complex terrain have done so through numerical simulation of

idealized cases (Savijärvi and Liya 2001, Ganbat et al. 2015). Our analysis of the scientific 190 191 literature indicates there are no mesoscale modeling studies examining urban climate 192 modification of flow with realistic representation of urban areas and meteorological forcing (Wu 193 et al. 2021). Throughout history, complex terrain offered the benefit of ample water resources 194 and tactical defense advantages to human settlements, resulting in a greater abundance of urban 195 environments compared to other landscapes. Here, we investigate the diurnal evolution of UBL 196 dynamics in complex terrain at high spatial and temporal resolution by comparing simulation 197 results from two numerical experiments. The first one features a realistic representation of the 198 current extent of the PMA; the second represents a hypothetical pre-settlement scenario where no 199 anthropogenic modification to the landscape exists. Our work fills a gap in urban climate 200 research by improving the understanding of a rarely investigated component of urbanization impacts. In addition to the scientific merit, improved understanding of urban environmental 201 202 effects and potential interactions with local and regional wind flows has relevant implications for 203 aviation operations and assessment of pollutant dispersion and transport.

The paper is organized as follows: Section 2 describes the model configuration, the datasets used to drive the simulations and the comparison scenarios. Section 3 presents the analysis results. Finally, Section 4 draws conclusions and discusses potential impacts, limitations, and future research.

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#### 209 2. Data and Methods

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WRF is a highly customizable weather and climate model that has been successfully used 211 to study wind flows over urban environments in complex terrain (Papanastasiou et al 2010, 212 Giovannini et al. 2014, Puliafito et al 2015, Toro et al. 2019), including the Phoenix region (Li et 213 214 al. 2015, Foroutan and Pleim 2017). In this study, we use WRF version 4.2.2, and employ a three-domain one-way nested configuration centered over the Phoenix Sky Harbor International 215 Airport (33°25'48.0"N 112°00'00.0"W) with grid spacing of 9, 3, and 1 km for the outermost, 216 217 intermediate, and innermost domain, respectively. The vertical direction is discretized into 50 218 model levels of increasing thickness with height. The top of the lowest model level is at 50 219 meters above the surface and its mass center is around 25 m above ground level (AGL) to 220 capture dynamic interactions within the inertial sublayer (ISL; i.e., more than twice the average

building height). Initial and boundary conditions for our simulations were provided by the NCEP
North American Regional Reanalysis (NARR, Mesinger et al. 2006) dataset. We focus our
analysis on the summer (June, July and August; JJA) of the year 2020 to remove potential
confounding complications arising from the convective storm regime typical of the Northern
American Monsoon season (Vera et al. 2006). We note that this summer was one of the driest
during the last 30 years (1993-2023) for Arizona, with a total statewide average cumulative
precipitation of only 46 mm (NOAA, Climate at a glance).

228 LULC and impervious fraction cover data were extracted from the National Land Cover 229 Database 2019 (NLCD) which consists of 40 land cover categories and also includes impervious 230 cover data. This information is retrieved by the Landsat Thematic Mapper satellite sensor and is 231 validated against high resolution aerial photographic products (Jin et al. 2021). We resampled the 232 NLCD dataset from the original 30 m spatial resolution to the innermost WRF domain grid spacing of 1 km using ArcMap 10.8 software (Esri 2011) for ingestion in the geogrid module of 233 234 the WRF Preprocessing System (WPS, Skamarock et al. 2019). Such a procedure was found to improve the land cover representation in the WRF model as opposed to directly ingesting native 235 236 resolution NLCD 2019 data in geogrid. In the NLCD 2019 classification, the PMA consists of 237 two urban land cover categories: 25 – Medium Intensity Development, and 26 – High Intensity 238 Development (Figure 2a).

239 Urban land surface energy and momentum fluxes are dynamically calculated by the coupled Building Effect Parameterization and Building Energy Model (BEP-BEM, Martilli et al., 240 2002; Salamanca et al. 2010). BEP-BEM explicitly computes energy exchanges between the 241 242 outdoor air and building roofs and walls, as well as the heat resulting from air conditioning 243 systems use, an important feature of the urban canopy climate in a hot desert conurbation like 244 Phoenix metro. In order to attain a more realistic representation of the urban land cover of the 245 PMA, we modified a number of biophysical parameters of urban materials in the 246 URBPARM.TBL file of the WRF model (Table 1) and derived the statistical distribution of 247 building heights from the latest available urban morphology assessment (Burian et al. 2002). 248 Although somewhat older, such a dataset remains representative, as the abundance of barren land 249 in the area favors low-rise housing development in addressing the continued population growth. 250 Rural land surface, and soil heat and moisture fluxes are treated by the Noah Land Surface 251 Model (Chen and Dudhia 2001). Land surface fluxes and the overlying atmosphere are coupled

by the Mellor-Yamada-Janjić Planetary Boundary Layer scheme (MYJ PBL, Mellor and
Yamada 1982, Janjic 2001) wherein the PBL height is calculated as a function of turbulent
kinetic energy (TKE). Simulation output is stored at hourly frequency for the entire simulation
period.

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Fig. 1 Geographical location of the three nested simulation domains. Inset: innermost domain with representation ofthe Phoenix Metro Area (red outline) and the complex terrain it resides within.

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- 261 Table 1.
- 262 *List of parameters modified in the URBPARM.TBL file.*

Parameter	Low and High Intensity Residential
<b>CAPR:</b> Heat capacity of roofs <sup>1</sup>	$1.82 \text{ x } 10^6 \text{ [J m}^{-3} \text{ K}^{-1}\text{]}$
<b>CAPB:</b> Heat capacity of walls <sup>1</sup>	$1.82 \text{ x } 10^6 \text{ [J m}^{-3} \text{ K}^{-1}\text{]}$
<b>CAPG:</b> Heat capacity of ground/road <sup>2</sup>	$1.74 \text{ x } 10^6 \text{ [J m}^{-3} \text{ K}^{-1}\text{]}$

263 Note. Modifications introduced to improve representation of thermal characteristics of the Phoenix urban fabric.

- Roofs and walls are assumed to be made of concrete, roads of asphalt. <sup>1</sup>Laloui and Loria (2019). <sup>2</sup>Li et al. (2021)
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267 In order to assess the impact of the current extent and nature of Phoenix metro's urban 268 expansion on wind circulation, we conducted paired simulations with identical meteorology driven by two LULC scenarios. Both simulations are initialized on May 1<sup>st</sup>, 2020, at 00:00 UTC 269 and terminated on August 31st, 2020, at 00:00 UTC. The first month of simulation, i.e., from 270 May 1<sup>st</sup> to May 30<sup>th</sup>, 2020, is considered as spin-up time and is not included in the analysis of 271 272 results. The simulation time-step is set to 25 seconds in the outermost domain and it is 273 subsequently downscaled to the intermediate and innermost domains by a ratio of 3. The first simulation, henceforth referred to as Control, reproduces the current LULC extent and 274 275 characteristics of PMA and its surrounding valley (Figure 2a). The second simulation, henceforth 276 referred to as Pre-Settlement, reproduces a situation where no anthropogenic landscape 277 modification exists, whereby all urban (categories 25 and 26) and agricultural (category 38 – 278 Crops) pixels were replaced with the dominant natural land cover category in the semi-desert valley (category 32 - Shrubs/Scrubs, Figure 2b). Such a replacement results in a decrease in 279 background roughness length (Z<sub>0</sub>) across the extent of the urban area relative to the Control 280 281 scenario, from 1.5 m (i.e., category 25, or medium intensity development) and 2.0 m (i.e., category 26, or high intensity development) to 0.3 m (i.e., category 32) in the Pre-Settlement 282 scenario. In addition, in the Pre-Settlement scenario, the impervious fraction cover was set to 283 284 zero everywhere.



Fig. 2 Land cover categories used in the a) *Control* and b) *Pre-Settlement* scenarios. Urban Fraction cover used in
the c) *Control* and d) *Pre-Settlement* scenarios. The outline (black) of the urban area is retained in panels b) and d)
for reference.

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We evaluate our *Control* simulation against a set of ground observations, both within and outside of the PMA urban area (Fig. 3), and against satellite observations. Given the eolian focus of this study and the choice of the extremely dry summer of 2020 as the study period, we center our assessment of model performance on air and land surface temperature as well as wind speed and direction.

296 Ground observations for model evaluation are retrieved from two networks of automated 297 weather stations producing publicly available data: the Arizona Meteorological Network 298 (AZMET, Brown and Russel 1987-1995), operated and maintained by the University of Arizona, and the National Weather Service Automated Surface Observing System network (NWS ASOS, 299 300 Nadolski 1998). To evaluate model results within the urban area we use two AZMET stations, 301 located in city parks, and three ASOS stations, located at airports, whereas we use four AZMET 302 stations for the evaluation of rural results. Model evaluation is performed by comparing hourly 303 observed and simulated values averaged across stations and corresponding model cells, 304 respectively. Finally, daytime (13:00 LT) and nighttime (22:00 LT) land surface temperature

305 (LST) observations were obtained from the MODIS/Terra (MOD11A1 V6.1 product, Wan et al.
306 2020) and processed with Google Earth Engine (Gorelick et al. 2017). LST satellite observations
307 were averaged across the entire simulation period (JJA) and compared to JJA averages of
308 corresponding daytime and nighttime Surface Skin Temperature (TSK) model output.

a)	Station name	Latitude	Longitude	Elevation	Classification	Network
	Phoenix/Sky Harbor	33.43	-112.01	337	Urban	ASOS
	Luke AFB/Phoenix	33.53	-112.38	332	Urban	ASOS
	Deer Valley/Phoenix	33.69	-112.08	450	Urban	ASOS
	Phoenix Encanto	33.48	-112.1	334	Urban	AZMET
	Phoenix Greenway	33.62	-112.11	403	Urban	AZMET
	Harquahala	33.49	-113.11	384.4	Rural	AZMET
	Coolidge	32.98	-111.61	423	Rural	AZMET
	Queen Creek	33.19	-111.53	462	Rural	AZMET
	Paloma	32.93	-112.9	221	Rural	AZMET



Fig. 3 List a) and spatial distribution b) of the weather stations used for evaluation of Control simulation. Red stars
 represent urban stations, and blue stars represent rural stations. The dark gray rectangle represents the extent of the
 innermost simulation domain (d03).

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316 3. Results
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The WRF model successfully reproduces the diurnal and seasonal cycles of 2 m air temperature in the urban area of Phoenix: we calculate a root mean square error (RMSE) of 2.24 K and a mean absolute error (MAE) of -0.68K when comparing hourly observations and model output for the three-month extent of the simulation (Fig. 4a). Overall, urban air temperature maxima are better reproduced than minima: the model simulates a systematically longer nighttime cooling lag of 2-3 hours over urban cells than what was observed at weather stations, leading to a seasonally averaged peak cool bias of -7 K at 7:00 AM local time (Fig. 4c). When comparing diagnosed WRF 2 m air temperature values with rural area observations, the RMSE

*3.1 Model Evaluation* 

328	and MAE scores are similar to those obtained over urban areas (Table 2), although the bias is
329	positive and peaks at 3 K at 4:00 PM (not shown). Land surface temperature differences between
330	observed and simulated values are greater, especially during the daytime (Table 2). Across the
331	innermost simulation domain, the average MAE associated with calculated land surface
332	temperature differences between the WRF model and MODIS observations is 2.43 K during the
333	daytime (13:00 LT, RMSE = $4.58$ K) and $1.8$ K at nighttime (10:00 LT, RMSE = $3.22$ K).
334	Comparison of 10 m wind speed and direction (the latter assessed through decomposition of the
335	wind vector in U and V components, Table 2) shows that the WRF model, although slightly
336	overestimating maximum wind speed, accurately reproduces its diurnal evolution throughout the
337	entire simulation period (Fig. 4b and 4d). RMSE and MAE range between 1.56 m/s and -0.36
338	m/s (Table 2). Analysis of our simulation results provides confidence in the model's ability to
339	correctly reproduce wind flow dynamics and characteristics within and around the PMA.
340	Evaluation results are summarized in Table 2, and overall show a general agreement with those
341	of Salamanca et al. (2018) whose work examined the skill of different configurations of the WRF
342	model to correctly simulate the meteorology of the PMA conurbation.
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## 359 Table 2

360 *List of Model Evaluation Results.* 

Variable	RMSE	MAE
2m Air Temperature - Urban (Time series)	2.24 K	-0.68 K
2m Air Temperature - Rural (Time series)	2.16 K	0.26 K
2m Air Temperature – Urban (Diurnal composite)	3.27 K	2.27 K
2m Air Temperature – Rural (Diurnal composite)	1.8 K	1.09 K
10m Wind Speed – Urban (Time series)	1.29 m/s	0.47 m/s
10m Wind Speed – Rural (Time series)	1.56 m/s	1.05 m/s
10m Wind Speed – Urban (Diurnal composite)	0.68 m/s	-0.36 m/s
10m Wind Speed – Rural (Diurnal composite)	1.44 m/s	1.24 m/s
10m U component – Urban (Time series)	2.74 m/s	1.41 m/s
10m V component – Urban (Time series)	1.86 m/s	0.78 m/s
10m U component – Rural (Time series)	2.74 m/s	1.22 m/s
10m V component – Rural (Time series)	2.15 m/s	1.20 m/s
Land Surface Temperature (Daytime, 1300 LT)	4.58 K	2.43 K
Land Surface Temperature (Nighttime, 2200 LT)	3.22 K	1.80 K

Note. Time series scores are calculated comparing hourly averages of station observations and corresponding model
 cell values throughout the entire simulation period (JJA). Diurnal cycle scores are calculated comparing hourly JJA
 averages of station observations and corresponding model cell values. Land Surface Temperature scores are
 calculated comparing daytime (11:00 AM local time) and nighttime (10:00 PM local time) satellite data and Skin
 Temperature (TSK) values from WRF averaged throughout the innermost domain and the entire simulation period.

observations and WRF model output. c) Diurnal composite of JJA 2 m air temperature observations and WRF model output. d) Diurnal composite of of JJA represent  $1\sigma$  of the average. represent WRF model output averaged across the 5 most closely corresponding model cells. Blue lines represent the difference WRF - Obs. Shaded areas 10 m wind speed observations and WRF model output. All panels: black lines represent observed values averaged across all 5 weather stations; red lines Fig. 4 Summary of validation results. a) Time series of 2m air temperature hourly observation and WRF model output. b) Time series of 10 m wind speed



369 In the absence of any anthropogenic modification to the natural complex terrain landscape the 370 Phoenix urban environment resides within, boundary layer wind flow patterns, when averaged 371 across the entire simulation period (JJA), closely follow the prescribed oscillation between 372 anabatic and katabatic regimes of thermal circulations (Fig. 5). Timing of flow reversal changes 373 between the low plains in the central and southwest portions of the simulation domain and the 374 steep slopes to the north and the east of the PMA, as elevation gradients either support or oppose 375 downslope and upslope inertial flows, respectively. Here, morning and evening transition times 376 are visually assessed throughout the simulation domain as the times showing the least signs of 377 organized thermo-topographic flows. The cycle starts at 8:00 AM local time (LT) when the lack 378 of a predominant wind direction and the lowest wind speed of the diurnal cycle (1.44 m/s on 379 average across the innermost domain and the entire simulation) marks the onset of the morning 380 transition (Fig. 5a). At this time, the wind flow is southeasterly in the northwest portion of the 381 innermost domain, southwesterly over the Colorado Plateau to the northeast of the PMA and 382 strongly southerly across the southwest portion of the study area. Wind speed is generally low 383 (1-2 m/s) within the narrow valleys of the Mogollon Rim to the north and upwind of major 384 reliefs. Starting at around 9:00 AM LT, as the surface warms up, up-valley and up-slope winds 385 begin to develop until the maximum intensity of the anabatic regime (6.75 m/s) is attained at 11 386 AM LT (Fig. 5b). At this time, wind flow is southerly across the entire east end of the domain 387 and along the narrow valleys of the Mogollon Rim, southwesterly over the eastern portion of the 388 simulation domain. Starting at around 12:00 PM LT, the strength of the anabatic regime begins 389 decaying as the southwesterly anticyclonic synoptic flow increases in magnitude. During the 390 afternoon, topography exerts less and less impact on an increasingly stronger and southwesterly 391 wind flow. At 7:00 PM LT the magnitude of the airflow begins to decrease along the west facing 392 slopes of the narrow valley along the Mogollon Rim, as flow reversal marks the onset of the 393 evening transition (Fig. 5c). Flow reversal then initiates at around 8:00 PM LT as down-slope 394 winds in the narrow valleys of the Mogollon Rim to the north and along the west and south facing steep slopes of the mountains to the east. When, at around 11:00 PM LT, down-slope 395 396 flows appear on the southeast quadrant of the domain, the combination of these three draining air 397 streams promotes flow reversal in the central part of the valley. The resulting easterly downvalley flow continues to increase throughout the night until 6:00 AM LT, when the overallkatabatic regime reaches its peak intensity (Fig. 5d).

The only region that remains virtually undisturbed throughout the diurnal cycle is the
southwestern quadrant along the Colorado Plateau, towards the northeast portions of the domain,
where winds remain consistently aligned with the synoptic southwesterly flow at all times.





405 Fig. 5 JJA average of *Pre-Settlement* scenario first model level (25 m AGL) wind vectors in the innermost
406 simulation domain (d03). Color shading represents the local topography. The current urban extent of the PMA
407 (black outline) is provided for reference.

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- 410 *3.3 Urban impacts on wind speed*
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412 Despite an average building height between 5 and 10 m across the vast majority of the PMA,413 the city mostly acts as a physical barrier against wind flow throughout the diurnal cycle (Fig. 6).

414 The only exception consists of a few hours during the morning (between 9:00 AM and 12:00 PM LT), when the wind flow over the urban area remains virtually undisturbed (Fig. 6b). Throughout 415 416 the rest of the day, regardless of wind direction, the urban environment of Phoenix metro exerts a drag on wind speed within, and at times also downwind of, the city (Fig. 6c). Wind speed 417 418 differences between the Control and Pre-Settlement scenarios (averaged over the urban area) 419 range from a minimum reduction of -0.1 m/s (-1.5% at 12:00 PM LT) to a maximum of -0.92 420 m/s (-45.54 % at 6:00 AM LT). Wind speed reduction in the first model level (i.e., within the ISL) over the PMA is negatively correlated to the magnitude of sensible heat flux excess in the 421 urban environment compared to *Pre-Settlement* values (y = -0.0059x,  $R^2 = 0.512$ ). 422



Fig. 6 JJA average of *Pre-Settlement* (red arrows) and *Control* (black arrows) simulation first model level (25 m
AGL) wind vectors in the innermost simulation domain (d03). Color shading represent the wind speed difference *Control - Pre-Settlement*.

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429 The negative correlation between urban sensible heat flux and drag effect on wind speed is the result of increased mixing in the UBL. Daytime urban sensible heat flux across Phoenix is 430 431 greater than at nighttime, resulting in a well-developed daytime UBL and strong mixing that 432 dilutes the friction exerted by the shallow urban canopy layer. The diurnal cycle of urban 433 sensible heat flux interacts with the background wind speed in modulating the impact of the 434 conurbation on boundary layer winds (Fig. 6). During the daytime the excess of sensible heat 435 flux over the PMA (calculated as a difference between the Control and Pre-Settlement scenarios across all urban cells) ranges between +79.38 W/m<sup>2</sup> at 9:00 AM LT and +141.66 W/m<sup>2</sup> at 1:00 436 437 PM. This evolution results in average UBL depths of 466.15 m and 1692.67 m AGL, respectively and minimal average wind speed reductions of up to -0.1 m/s (-8%). During the late 438 439 afternoon (from 4:00 PM to 5:00 PM LT) the excess of urban sensible heat flux sharply drops from +101.33 to +64.4 W/m<sup>2</sup> and continues to decrease throughout the evening, reaching an 440 average value of  $+6.84 \text{ W/m}^2$  at 9:00 PM LT. Simultaneously, background wind speed over the 441 442 urban area consistently reaches speeds at or above 5 m/s, resulting in wind speed reductions of  $\sim$ 443 -1.55 m/s (-30% at 7:00 PM at a UBL depth of 721.1 m AGL; Table S1). During nighttime, urban sensible heat flux excess remains below  $+10 \text{ W/m}^2$ , resulting in an extremely shallow UBL 444 445 (from 350 m AGL at 9:00 PM to <100 m AGL at 6:00 AM). The combination of low urban sensible heat flux excess and low wind speed results in the greatest relative wind speed 446 reductions over the urban area of the entire diurnal cycle (-7 m/s ca.  $\sim$  -40%, Fig. 7 and Table 447 448 S1).





450 Fig. 7 a) Diurnal composite of JJA averaged urban sensible heat flux excess (*Control – Pre-Settlement*) and first
451 model level (25 m AGL) absolute wind speed reduction (*Control – Pre-Settlement*). b) Diurnal composite of JJA
452 averaged urban sensible heat flux excess (*Control – Pre-Settlement*) and first model level (25 m AGL) relative wind
453 speed reduction (*Control – Pre-Settlement*)

Throughout the night and the early morning (from 10:00 PM to 6:00 AM LT) the combination 455 of excess sensible heat flux lesser than  $+10 \text{ W/m}^2$  and a background wind speed around 2 m/s 456 hinders the production of turbulence in the shallow, stable, nighttime UBL. This results in TKE 457 458 differences between the Control and Pre-Settlement over the current extent of the urban area ranging between +0.11 m<sup>2</sup>/s<sup>2</sup> at 10:00 PM and 0 m<sup>2</sup>/s<sup>2</sup> at 6:00 AM LT (Fig. 8c). During the 459 morning, despite a background wind speed still below or at 2 m/s over the urban area, the TKE 460 difference, although small at ~  $0.2 \text{ m}^2/\text{s}^2$  (Fig. 8f), remains positive due to an excess of urban 461 sensible heat flux of +101.28 W/m<sup>2</sup> at 10:00 AM (Fig. 8e). Between 2:00 and 4:00 PM LT, TKE 462 differences reach their maximum value of  $+0.4 \text{ m}^2/\text{s}^2$  as a result of greater sensible heat flux 463 excess (around and above  $\pm 100 \text{ W/m}^2$ ) and greater background wind speed (4-5.5 m/s). At 6:00 464 PM LT, despite an average excess of urban sensible heat flux as low as  $+39.24 \text{ W/m}^2$  (Fig. 8h), a 465 strong background wind reaching 5.93 m/s sustains TKE production at  $+0.39 \text{ m}^2/\text{s}^2$  via intense 466 467 wind shear (Fig. 8i).

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## 3.4 UHI induced circulation

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Figure 9 shows air temperature differences between the Control and Pre-Settlement scenarios 471 472 across the entire innermost domain (d03) at 2 and 26 meters AGL. In agreement with previous 473 results (Georgescu et al., 2011), throughout the daytime (from 7:00 AM to 5:00 PM LT) an 474 Urban Cool Island effect (UCI, also known as the Oasis Effect) is observed over the PMA, with a 475 maximum 2 m air temperature difference of -1.16 K at 2:00 PM (Fig. 9a). At nighttime (from 476 7:00 PM to 6:00 AM LT) the classic UHI effect is present with a maximum 2 m air temperature 477 difference across the urban area of +2.62 K at 8:00 PM (Fig. 9b). The calculation of the UHI 478 above the near-surface (i.e., at the mass center of the first model level at 26 m AGL) reveals a different result. 479

Although rather weak, at the time of peak magnitude of the 2 m UCI, air temperature in the first model layer (25 m AGL) is greater in the *Control* scenario than in the *Pre-Settlement* scenario (+0.49 K on average above the urban area) thus highlighting a UBL heat island (UBLHI) that extends to around 1700 m AGL (not shown) as a consequence of strong mixing. Throughout the night, the UBLHI effect intensifies in magnitude, reaching its peak intensity of +0.79 K at 9:00 PM LT (Fig. 9d) while decreasing in vertical extent to around 200 m AGL, at times extending

486 for several tens of meters above the diagnosed UBL depth in the early morning hours (from 3:00487 to 7:00 AM, Fig. 10d).



491 Fig. 8 Simulated JJA averaged a) wind speed b) sensible heat flux and c) TKE differences between the *Control* and
492 the *Pre-Settlement* scenarios at 6:00 AM LT. d), e), f) same as a), b), c) but at 10:00 AM LT. g), h), i) same as a),
493 b), c) but at 6:00 PM LT. All panels: black arrows represent JJA average of *Control* scenario first model level (25 m
494 AGL) wind vectors.



498 Fig. 9 JJA averaged differences between the *Control* and the *Pre-Settlement* scenarios of 2m air temperature at a)
499 2:00 PM LT and b) 8:00 PM LT. c) and d) as a) and b) but at the mass center of the first model level (i.e., 25 m
500 AGL).

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503 Due to its weakness, this UBLHI is not able to induce a characteristic circulation. However, it 504 interacts with the background flow, modulating wind speed up and downwind of the metropolitan area. During daytime, especially during the late morning hours (10:00 to 11:00 AM 505 506 LT) wind flow remains mostly undisturbed within the city along an approximate NW-SE 507 oriented axis. Simultaneously, as the UBLHI forcing coincides with or opposes the southwesterly 508 up-valley flow, areas of approximately 1 m/s wind speed increase and decrease emerge at the 509 southwest and northeast edges of the metropolitan area, respectively (Fig. 6b and Fig. 8d). 510 During the afternoon the drag effect over the urban area creates areas of wind speed increase at 511 the NW and SSW sides of the urban area and a wide area of downwind speed decrease to the northeast (Fig. 6c). 512

513 At nighttime the interplay becomes somewhat more complicated. While most of the urban 514 environment, especially across its southeastern half, obstructs the southeasterly katabatic flow, a 515 patch of increased wind speed first appears as a reinforcement of the local down-slope flow along the northeast facing flank of the mountain ranges to the southwest of the metro area at 516 517 around 1:00 AM LT (not shown). As the down-slope drainage loses intensity, at 3:00 AM the 518 UBLHI promotes wind speed increase along a narrow strip on the west part of Metro Phoenix 519 (gold area in Fig. 10a). This induced acceleration extends and intensifies in the air aloft upwards 520 to  $\sim 500$  m AGL, slightly reinforcing the katabatic flows coming from both the east and the west 521 (Fig. 10b).

The nighttime UHI of the PMA, by increasing the weak local westerly downslope flow, 522 523 opposes the main easterly katabatic winds and shifts the point of mass convergence eastward by a few tens of kilometers compared to the Pre-Settlement scenario (Fig. 10b, c). This impact 524 525 reaches its peak intensity at 3:00 AM LT and decreases in magnitude afterwards. As the 526 katabatic wind regime strengthens, cooler air drains into the urban environment, decreasing the UHI intensity and eventually takes over the entire valley near sunrise (i.e., 6:00 AM LT; Fig. 527 5d). The process just described fully unfolds only along a rather narrow stretch of the PMA, 528 roughly ranging between latitudes 33.40°N and 33.50°N where the extent and degree of 529 530 urbanization is greatest (featuring the only few high-rise districts of the PMA, as well as the Sky 531 Harbor International Airport) and no topographic obstruction to wind flow to the west is present. 532 To the north and south of this narrow band, a combination of reduced urban extent and a more 533 complex terrain prevents the formation and growth of any significant local circulation.



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536 Fig. 10 Wind speed and potential temperature average differences at the time (3:00 AM LT) of maximum intensity 537 of the UBLHI induced circulation. a) JJA average of wind vectors at the first model level (25 m AGL) from the 538 Control (black arrows) and Pre-Settlement (red arrows) scenarios. Color shading represents the JJA average wind 539 speed difference between Control – Pre-settlement scenarios. Blue line represents the 33.43°N latitude transect. b) 540 Cross section of JJA averaged zonal (U) and vertical (W) wind components from the Control scenario. Color 541 shading represents the JJA averaged U component difference between Control - Pre-settlement scenarios. c) Cross 542 section of JJA averaged zonal (U) and vertical (W) wind components from the Pre-settlement scenario. Color 543 shading represents the JJA averaged U component difference between Pre-settlement - Control scenarios. d) Cross 544 section of JJA averaged zonal (U) and vertical (W) wind components from the Control scenario. Color shading 545 represents the JJA averaged potential temperature difference between Control - Pre-settlement scenarios. Green line 546 represents JJA averaged PBL top from the Control scenario. Purple line represents JJA averaged PBL top from the 547 *Pre-settlement* scenario. **b**), **c**), **d**): the vertical wind (W) component is multiplied by 10 to enhance its magnitude. 548 Black triangles represent the urban pixels along the transect. 549

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Finally, we examine how meteorological conditions modulate the interplay between the PMA UBL and the thermo-topographical circulation of the local complex terrain. Drawing on the results of our model evaluation (Fig. 4), we select four different case study periods representing a variety of meteorological conditions (namely: the warmest, coolest, windiest and calmest summer day) at times when comparison between model results and observations shows the best agreement. We then recalculate and average wind speed differences between the Control and Pre-Settlement scenario across 48-hour time slots centered on each selected day.

On June 7<sup>th</sup>, the coolest day of the summer of 2020 with an average 2 m air temperature of 561 562 27°C (Fig. 4a), the overall impact of the nighttime UHI is smaller than average, likely as a consequence of reduced heat storage and air conditioning usage. Westerly winds along the 563 564 33.43°N transect are weak while the easterly katabatic flow is stronger and the center of mass 565 convergence is hard to identify due to flow stagnation (Fig. 11a). The opposite happens on August 14<sup>th</sup>, the hottest day with an average 2 m air temperature of 38°C (Fig. 4a). On this day, 566 at 3:00 AM and along 33.43°N latitude the westerly flow is considerably strengthened by the 567 nighttime UHI and opposes a weaker easterly katabatic flow, thus resulting in a further eastward 568 shift of the center of mass convergence compared to the JJA average (Fig. 11b). June 30<sup>th</sup>, our 569 calmest case study day with surface level wind speed ranging between 2.5 and 3.5 m/s (Fig. 4b), 570 features the strongest easterly katabatic flow. Westerly flow along the 33.43°N transect below 571 572 350 m AGL is virtually non-existent, thus relocating mass convergence towards the western fringes of the PMA (Fig. 10d). Finally, we examine the wind field during the 48-hour period 573 centered on June 8<sup>th</sup> (windiest day). Near surface wind speed peaks at 8 m/s (Fig. 4b) and the 574 575 situation is rather similar to the coolest case study day with slightly weaker westerly flow and a 576 slightly stronger easterly katabatic flow (Fig. 11c). This similarity is likely a consequence of the 577 overlapping period of time between this case study period and the coolest day case study, as the cooler temperature period extends until June 11<sup>th</sup> (Fig. 4a). 578

579



582 Fig. 11 Wind speed average differences at the time (3:00 AM LT) of maximum intensity of the UBLHI induced 583 circulation along the 33.43°N latitude transect for the four case-study limit meteorological conditions. a) Cross 584 section of JJA averaged zonal (U) and vertical (W) wind components (black arrows) from the Control scenario and 585 the JJA averaged U component difference between Control - Pre-settlement (Color shading) scenarios during the 586 coolest day of summer 2020 (June 7th). b) Cross section of JJA averaged zonal (U) and vertical (W) wind 587 components (black arrows) from the Control scenario and the JJA averaged U component difference between Control - Pre-settlement (Color shading) scenarios during the warmest day of summer 2020 (August 14th). c) Cross 588 589 section of JJA averaged zonal (U) and vertical (W) wind components (black arrows) from the Control scenario and 590 the JJA averaged U component difference between Control - Pre-settlement (Color shading) scenarios during the 591 windiest day of summer 2020 (June 8th). d) Cross section of JJA averaged zonal (U) and vertical (W) wind 592 components (black arrows) from the Control scenario and the JJA averaged U component difference between 593 *Control – Pre-settlement* (Color shading) scenarios during the calmest day of summer 2020 (June 30<sup>th</sup>).

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#### 598 4. Conclusions and Discussion

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600 In this study we used a set of WRF model 1 km grid spacing simulations to investigate the 601 interaction between the UBL of the PMA (Arizona, U.S.) and the thermal circulation of the 602 complex terrain it resides within using identical summer of 2020 meteorological forcing. To 603 achieve this goal, we use a set of paired simulations representing two different landscape 604 scenarios: the Control simulation realistically reproduces the current extent and characteristics of 605 the PMA, while the *Pre-Settlement* simulation represents a scenario where all anthropogenic 606 landscape modifications (urban and agricultural) have been replaced with the dominant natural 607 land cover of the arid terrain. Our *Control* simulation results are in good agreement with ground 608 and satellite observations of air and land surface temperature, as well as wind speed and direction, confirming the WRF model's ability to appropriately represent the atmospheric 609 610 dynamics of urban environments in complex terrain. We then compared simulation results from 611 both scenarios to assess the urban impact on the local wind flow, quantifying differences in the 612 diurnal cycle of wind speed and direction, sensible heat fluxes and TKE.

613 Our analysis shows that the thermo-topographical circulation characterized by the alternation of anabatic and katabatic wind flow regimes typical of complex terrain dominates in both 614 615 scenarios. The anabatic regime reaches its maximum intensity at 11:00 AM LT and approaches 616 flow reversal at 7:00 PM LT. Katabatic flows first appear along the steep slopes of the Mogollon 617 Rim at 9:00 PM LT and increase in intensity throughout the night, reaching peak intensity at 6:00 AM LT when all drainage flows merge in the central part of the valley. The morning and 618 619 evening transitions between the two regimes occur at 8:00 AM and 7:00 PM LTs, respectively, 620 and are characterized by slow winds and a lack of consistent wind directions.

621 In this context, the PMA behaves as a physical barrier obstructing wind flow in the ISL during 622 most of the day. Its impact on flow affects mostly wind speed with no relevant modification to 623 wind direction. Wind speed reduction ranges between -1.5% (-0.1 m/s) of the background wind 624 speed at 12:00 PM LT and -46% (-1 m/s) at 6 AM LT, and is modulated by the diurnal evolution 625 of urban sensible heat flux excess. During the late morning and early afternoon, a surplus of 626 sensible heat flux promotes deep UBLs and strong vertical mixing that dilutes the aerodynamic 627 resistance associated with the greater urban surface roughness. As urban sensible heat flux 628 decreases in the late afternoon and early evening, wind speed deceleration increases to -30% (-

629 1.5 m/s) and continues increasing throughout the night as wind speed decreases and opposing630 katabatic flows collide over the urban area.

631 We find no evidence of an urban wind island effect over the PMA, as observed in other cities (Droste et al., 2018). The shallow urban canopy layer of the PMA produces a weak (+0.5 K ca.) 632 633 UHI effect in the ISL throughout the diurnal cycle. Our simulation results indicate that a well-634 defined UHIC extending into the mixed layer does not develop at any point through the diurnal 635 cycle. However, differential heating between the urban and rural ISL reinforces and opposes 636 wind flow upwind and downwind of the city, both during daytime and nighttime. During the 637 daytime, when the background flow is consistently southwesterly across the entire region, this differential interaction results in both wind speed increase and decrease of up to 50% (~1 m/s) of 638 639 the background flow upwind and downwind of the metropolitan area, respectively. During 640 nighttime, the UBLHI effect is not able to substantially alter wind flow over the urban area, but 641 at 3-4:00 AM LT, by lightly strengthening inflow from surrounding areas, it appears to shift the 642 center of mass convergence between the strong easterly katabatic flow and the residual weak westerly flow further eastward compared to the Pre-Settlement scenario. 643

644 To further investigate the role of background meteorology in this interaction, we examined the nighttime UBLHI impact on wind circulation on four different case study days. Within the study 645 646 period, we chose 4 days each representing one of the following conditions: highest 2 m air 647 temperature, lowest 2 m air temperature, greatest wind speed and lowest wind speed. 648 Background thermal conditions appear to play a greater role than background wind speed in modulating the interaction between local circulations. Lower background temperatures further 649 650 reduce the impact of the UBLHI on opposing wind flows and mass convergence shift, while 651 higher 2 m air temperature increases it.

652 As a final consideration, we acknowledge that our conclusions are limited by two key factors. 653 First, the systematic nighttime cold bias emerging from the model evaluation may have resulted 654 in an underestimation of the nighttime UBLHI and associated circulation (i.e., the vertical extent 655 of the UHIC may be somewhat greater than simulated here). We partly addressed this concern in 656 the calibration phase of the model configuration through modification of relevant biophysical properties (e.g., increasing the thermal capacity and lowering the emissivity of urban surfaces 657 658 using commonly accepted values drawn from the material engineering literature). However, the 659 impact of these modifications, although effective, proved to be insufficient. A second limiting factor could be the lack of an irrigation scheme implementation of green spaces and croplands. Added moisture in the urban environment is likely to lead to increased nighttime temperatures, resulting from enhanced downward longwave radiation, further decreasing the nighttime systematic bias noted here. However, in our model configuration, the increased soil moisture of irrigated croplands is partially accounted for by a lower albedo, and the difference in LULC between scenarios is considered to be a meaningful representation of relevant biophysical characteristics.

667 With this study we fill a gap in the current existing literature, as the majority of wind studies in urban environments focus on flow/building interactions within the urban canopy layer at the 668 building or neighborhood scale using computational fluid dynamics models or observations. 669 670 Here, we focused on the impact of a fast-growing metropolitan area on wind flow in the UBL, to improve the understanding of land-atmosphere interactions in urban environments from a 671 672 regional climate perspective. Such understanding can be societally beneficial, for example, by 673 improving aviation operation and by helping to inform planning and policy decisions to address concerns related with pollutant transport and dispersion. Such concerns are especially important 674 675 in a semi-desert environment like southern Arizona where dust and ozone pollution are important societal pressures, but may be similarly important across other urban environments situated 676 677 within or surrounded by complex terrain (e.g., Salt Lake City, UT; Las Vegas, NV; Tehran, Iran). Extending our analysis to cities with different morphologies and different geographical 678 settings will help situate our results in a broader framework. 679

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682 The data produced for this study are available at the ASU Library Research Data Repository

683 <u>https://dataverse.asu.edu/</u>

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686 <u>https://asurc.atlassian.net/wiki/spaces/RC/overview</u>

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