Eddy tracking from in situ and satellite observations

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Abstract

Mesoscale eddies are a dominant source of spatial variability in the surface ocean and play a major role in the biological marine carbon cycle. Satellite altimetry is often used to locate and track eddies, but this approach is rarely validated against in situ observations. Here we compare measurements of a small (under 25 km radius) mode water anticyclonic eddy over the Procupine Abyssal Plain using CTD and ADCP measurements from 3 ships, 2 gliders, 2 profiling floats, and one Lagrangian float with those derived from sea level anomaly. In situ estimates of the eddy center were estimated from maps of the thickness of its central isopycnal layer, from ADCP velocities at a reference layer, and from the trajectory of the Lagrangian float. These were compared to three methods using altimetric SLA: one based on maximizing geostrophic rotation, one based on a constant SLA contour, and one which maximizes geostrophic velocity speed along the eddy boundary. All algorithms were used to select CTD profiles that were within the eddy. The in-situ metrics agreed to 97\%. The altimetry metrics showed only a small loss of accuracy, giving >90%\% agreement with the in situ results. This suggests that current satellite altimetry is adequate for understanding the spatial representation of even relatively small mesoscale eddies.



















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Key Points:

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9	•	Ship-based, autonomous, and remote sensing observations were combined to iden-
10		tify, characterize and track a coherent anticyclonic eddy
11	•	Estimates of the eddy center and spatial extent derived from altimetry and in situ
12		observations had similar accuracy
13	•	Constant or smoothly varying sea level anomaly thresholds better represent eddy
14		spatial extent than other satellite-based methods

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15 Abstract

Mesoscale eddies are a dominant source of spatial variability in the surface ocean 16 and play a major role in the biological marine carbon cycle. Satellite altimetry is often 17 used to locate and track eddies, but this approach is rarely validated against in situ ob-18 servations. Here we compare measurements of a small (under 25 km radius) mode wa-19 ter anticyclonic eddy over the Procupine Abyssal Plain using CTD and ADCP measure-20 ments from 3 ships, 2 gliders, 2 profiling floats, and one Lagrangian float with those de-21 rived from sea level anomaly. In situ estimates of the eddy center were estimated from 22 23 maps of the thickness of its central isopycnal layer, from ADCP velocities at a reference layer, and from the trajectory of the Lagrangian float. These were compared to three 24 methods using altimetric SLA: one based on maximizing geostrophic rotation, one based 25 on a constant SLA contour, and one which maximizes geostrophic velocity speed along 26 the eddy boundary. All algorithms were used to select CTD profiles that were within the 27 eddy. The in-situ metrics agreed to 97%. The altimetry metrics showed only a small loss 28 of accuracy, giving > 90% agreement with the in situ results. This suggests that cur-29 rent satellite altimetry is adequate for understanding the spatial representation of even 30 relatively small mesoscale eddies. 31

32 Plain Language Summary

Rotating water masses called eddies are ubiquitous features in the ocean and are 33 important because they can transport nutrients and heat and are often associated with 34 enhanced biological activity. Eddies are accompanied by sea level anomalies (SLA), in 35 the same way that atmospheric weather systems are associated with high or low pres-36 sure systems, and can therefore be observed and monitored by satellite altimeters. How-37 ever, observations of SLA from satellite are relatively coarse compared with the spatial 38 scales of eddies, and satellite-based algorithms are rarely rigorously tested against "ground 39 truth" observations. We use data from a dense network of observations in the vicinity 40 of a relatively small eddy in the North Atlantic Ocean to track this eddy for several weeks 41 from direct ocean measurements and satellite algorithms. We find widespread agreement 42 between the in situ metrics and the satellite altimetry results, suggesting that satellite-43 based eddy tracking is sufficient to track even eddies that are relatively small compared 44 with the resolution of SLA products. 45

46 1 Introduction

Upper ocean circulation is dominated by mesoscale eddies, coherent structures with 47 scales of 10–200 km that have the ability to trap and retain water masses at their cores 48 (Richardson, 1993; Goni & Johns, 2001; Chelton et al., 2007, 2011; Abernathey & Haller, 49 2018). Primary productivity and biogeochemical cycling in the upper ocean is impacted 50 by these eddies primarily through injection of nutrients into the euphotic zone due to 51 uplift of interior isopycnals (Falkowski et al., 1991; Siegel et al., 1999; A. Martin & Pon-52 daven, 2003), enhanced vertical velocities caused by along-isopycnal motion through slop-53 ing isopycnals (Freilich & Mahadevan, 2019), and submesoscale instabilities, which can 54 also lead to enhanced carbon export through small-scale subduction (Brannigan, 2016; 55 Brannigan et al., 2017; Whitt et al., 2019; Archer et al., 2020; Jing et al., 2021). The "trap-56 ping" properties of mesoscale eddies make them natural laboratories to study the growth, 57 evolution, demise, and export of carbon from phytoplankton blooms (e.g. Heywood & 58 Priddle, 1987; Ellwood et al., 2020). 59

Studies that consider the evolution of a phytoplankton bloom in an Eulerian ref erence frame must deconvolve variability associated with horizontal advection from bi ological changes, which can make interpretation challenging (Dickey et al., 1991; Erick son & Thompson, 2018; Bol et al., 2018; Estapa et al., 2019). This is especially impor-

tant for stationary time series such as moorings that convolve spatial and temporal vari-64 ability. Even studies that are intended to be Lagrangian can run into difficulties when 65 assets are initially placed in an area with high variability. For example, a significant part 66 of the North Atlantic Bloom experiment in 2008 was challenging to interpret because 67 of the advection of the reference frame through an eddy (Alkire et al., 2012), and the 68 spreading of drifting instruments leads to difficulty in constraining water mass budgets. 69 When the goal is to study the evolution of a phytoplankton bloom within a Lagrangian 70 water mass, a good option is to site the measurements within a retentive feature such 71 as a mesoscale eddy. 72

Mesoscale eddies (hereafter, "eddies") in the ocean are associated with a sea level 73 anomaly (SLA) and can therefore be studied using satellite altimetry (Chelton et al., 2007, 74 2011). Algorithms to track these eddies typically involve detecting and following con-75 tours of SLA (Chelton et al., 2011), geostrophic velocities calculated from first deriva-76 tives of SLA (Mason et al., 2014), or strain, shear, and vorticity terms calculated from 77 higher-order SLA derivatives (Isern-Fontanet et al., 2003). These algorithms allow ed-78 dies to be tracked over their entire lifetime, and their temporally changing properties, 79 such as size and eccentricity, to be studied. However, few satellite-based eddy tracking 80 studies also include hydrographic information. Isern-Fontanet et al. (2004) found that 81 altimetry-based metrics of the size of eddies in the Algerian Basin agreed well with the 82 size of the same eddies using data from hydrographic transects, but that the boundaries 83 of the eddies were difficult to accurately determine from satellite. Chaigneau and Pizarro 84 (2005) similarly found good agreement between sea level height from satellite and de-85 rived from opportunistic in situ hydrographic measurements from a WOCE [World Ocean 86 Circulation Experiment] cruise; however, the coarse station spacing of the cruise, of about 87 56 km, limited the ability of this study to precisely locate the eddy boundary from ei-88 ther method. 89

The EXPORTS (EXport Processes in the Ocean from Remote Sensing) program 90 was conducted in the North Atlantic (EXPORTS-NA) near the Porcupine Abyssal Plain 91 (PAP) Sustained Observatory (Hartman et al., 2012) in May of 2021 (Figure 1A; Johnson 92 et al. (in prep.)). The goal of EXPORTS-NA was to survey biological properties dur-93 ing the demise of the North Atlantic spring bloom and to assess the major export flux 94 pathways connecting the upper layers with the ocean interior (Siegel et al., 2016). The 95 PAP region has high horizontal variability, with significant variation in water proper-96 ties on small (<10 km) spatial scales (Damerell et al., 2016; Erickson et al., 2020; John-97 son et al., in prep.). Tracking of sinking particulates in a model suggests that particles 98 at 3000 m depth are sourced from up to 140 km away, with high interannual variabil-99 ity driven by changing currents and mesoscale variability (Frigstad et al., 2015). The sci-100 entific need to conduct field sampling in a Lagrangian reference frame, as well as the re-101 ality of managing and maximizing the science returns of over 40 drifting assets used as 102 part of the EXPORTS-NA deployment, required locating the experiment in a retentive 103 feature, such as an eddy (e.g. d'Ovidio et al., 2013; Della Penna & Gaube, 2019). 104

In the lead-up to EXPORTS-NA, a system of satellite and glider-based analyses 105 was used to locate a retentive eddy in which to conduct the experiment (Erickson et al... 106 2022). A series of modeled particle release experiments, using satellite-derived geostrophic 107 108 velocities, were conducted to test the retentiveness of eddies. Particle trajectories starting within eddies were tracked both forwards and backwards in time to determine the 109 retentiveness of the eddy, defined as the average longevity of the particles within each 110 eddy. This method, along with other characteristics such as size and shape, was used to 111 determine four target eddies within the PAP region, which were then sampled by three 112 gliders deployed in April 2021, one month before EXPORTS-NA. The eddy that was cho-113 sen was a relatively small and circular anticyclonic mode water eddy, with a radius of 114 approximately 25 km and maximum edge velocities of about 20 cm s⁻¹ (Erickson et al., 115 2022). 116



Figure 1. (A) Sea level anomaly (SLA) of the North Atlantic at the start of EXPORTS-NA, on 5 May 2021. Gray contours show the 1 and 3 km isobaths, and the gray box $(16-14^{\circ}W \text{ and } 48-50^{\circ}N)$ is the area shown in Figures 6 and 7 below. The trajectory of the eddy using in situ measurements (see text) is shown in black and is also in (B), where the blue and orange lines give the trajectory of the eddy using satellite methods and the light grey line is the path of the Lagrangian float. Numbers in (B) indicate the day in May (5–30 shown) and correspond with the 'x's every five days. Dotted colored lines in (B) extend the eddy center time series backwards (to the north) and forwards (to the south) in time beyond the EXPORTS-NA deployment. PAP-SO = Porcupine Abyssal Plain - Sustained Observatory.

The SLA anomaly associated with this eddy was smaller in magnitude than other 117 nearby eddies (Figure 1A). Mode water eddies are characterized by a sub-surface region 118 of low stratification (the "mode water"), resulting in a depression of isopycnals beneath 119 the weakly stratified region and a shoaling of isopycnals above (McGillicuddy Jr, 2015). 120 Geostrophic velocities at depth are anticyclonic and are primarily set by the slope of the 121 deep isopycnals, typically representing the permanent pycnocline. Above the mode wa-122 ter layer, the doming of lighter isopycnals, typically representing the seasonal pycnocline, 123 leads to a cyclonic shear in the geostrophic velocities that reduces the magnitude of the 124 horizontal velocity associated with the eddy, suggesting that the sub-surface core of a 125 mode water eddy is stronger than that of its near-surface waters. A consequence of the 126 doming of these lighter isopycnals is that the SLA associated with a mode water eddy 127 is reduced in comparison to non-mode water anticyclonic eddies of comparable strength. 128

Field observations of biological processes over time benefit from the knowledge of 129 which measurements are associated with waters retained within the core of the eddy, as 130 opposed to those in an environment exposed to injection of other water masses and, po-131 tentially, outside fluxes of nutrients or biomass (Johnson et al., in prep.). This paper in-132 troduces different metrics for in situ data to estimate whether a given measurement is 133 taken from within or outside of the physically retentive core of the eddy. These metrics 134 included distance from the eddy center and physical quantities derived from tempera-135 ture and salinity. Satellite-based metrics using SLA and geostrophic velocities are also 136

Table 1. Platform profiles. Number of profiles are those that are located within the dashed box shown in Figure 1A and were made during the main part of the deployment (5–30 May, 2021). Deep profiles are those that extend to a potential density of at least 27.12 kg m⁻³. SL = Slocum. SG = Seaglider. BGC = Biogeochemical float.

Platform	# profiles	# deep	# within eddy	# outside eddy
RSS James Cook	67	27	27	0
RSS Discovery	109	18	12	6
R/V Sarmiento de Gamboa	10	8	7	1
SL 305	285	259	112	147
SG 219	311	291	287	4
BGC 1902303	15	15	0	15
BGC 1902304	26	26	26	0
All	823	644	491	173

used to diagnose how well remote sensing products can predict whether a platform is in-

side or outside an eddy. While centered on one particular anticyclonic eddy in the North

Atlantic, these methods can be applied to future field campaigns that are also target-

¹⁴⁰ ing measurements following a Lagrangian trajectory.

141 **2 Data**

Three vessels collected observations within and around the target eddy during EXPORTS-142 NA (Table 1). The RSS James Cook tracked the evolution of eddy core water proper-143 ties and fluxes, and remained primarily within the eddy. The RSS Discovery surveyed 144 both within and outside the eddy to provide spatiotemporal context. The R/V Sarmiento 145 de Gamboa was part of a different project measuring carbon flows and ecological distri-146 butions from the surface into the twilight zone but coordinated profiles with the EXPORTS-147 NA assets. Autonomous platforms were also deployed before and during EXPORTS-NA, 148 including three gliders (SL305, SG219, and SG237) that scouted the region to find a suit-149 able eddy in April of 2021 (Erickson et al., 2022), a Lagrangian float (D'Asaro, 2003) 150 that was deployed near the center of the eddy at the beginning of the field deployment, 151 two BGC floats (Claustre et al., 2020) initially deployed outside of (WMO ID 1902303) 152 and within (WMO ID 1902303) the eddy, and over 40 drifting platforms, primarily sur-153 face drifters, that are not considered here. 154

Temperature and salinity data from each of these platforms were inter-calibrated 155 against nearby profiles from the RSS Discovery, revealing temperature offset adjustments 156 of 0.001–0.01°C and salinity adjustments of 0.001–0.01 PSU (Thompson, 2022). No time 157 or pressure dependence was noted in any of the comparisons. The conductivity sensor 158 on SG237 developed uncorrectable issues early in its deployment and is not used here. 159 For the remainder of this paper, temperature and practical salinity from these platforms 160 have been converted (Wright et al., 2011) into conservative temperature (Θ , °C) and ab-161 solute salinity $(S_A, g kg^{-1})$. These profiles are smoothed with a Gaussian window with 162 a 5 m standard deviation and then used to calculate potential density (σ_0) and spice, 163 the variation in Θ and S_A that does not contribute to variation in σ_0 (McDougall & Krzysik, 164 2015). Both potential density and spice are referenced to 0 db. 165

A subset of available profiles was used, including only profiles taken during the main part of the EXPORTS-NA deployment (5–30 May) near the eddy (within 14–16°W and 48–50°N, see gray box in Figure 1A) that profiled to densities of at least 27.2 kg m⁻³, or approximately 600 m. This sub-selection of data was necessary to allow all eddy metrics, defined below, to be utilized, and to not include data from profiles within other nearby

eddies. From an initial 823 profiles (not including SG237 or profiling instruments such 171 as the Lagrangian float that never reached the 27.2 kg m⁻³ isopycnal) this sub-selection 172 process resulted in 644 "deep" profiles (Table 1). 173

Horizontal velocities were also used (Section 3.1) to define and track the eddy. Each 174 ship was equipped with a narrow beam ADCP [Acoustic Doppler Current Profiler] mea-175 suring current velocities using acoustic signals at 75 and 150 kHz. ADCP-derived veloc-176 ities were processed using the University of Hawaii Data Acquisition System (UHDAS) 177 to a gridded data product at 5 minute resolution, with valid data down to about 400 m 178 at 75 kHz and 150 m at 150 kHz. Only small differences were observed between the 75 179 and 150 kHz instruments, and the 150 kHz is used here. ADCP-derived horizontal ve-180 locities can be unreliable near the surface because of noise from bubbles injected under 181 the ship's hull (Firing & Hummon, 2010) and at depth because of insufficient signal strength. 182 130 m was found to be a convenient trade-off between these two effects (not shown). ADCP 183 data were only used while the ship was in motion, using a threshold speed of 0.5 m s^{-1} , 184 or roughly 1 knot. 185

Finally, satellite altimeters provided SLA data, which are optimally interpolated 186 onto a daily, $1/4^{\circ}$ gridded product using spatial scales of 100–200 km and temporal scales 187 of 10–45 days (Pujol et al., 2016). Ballarotta et al. (2019) estimate an effective spatial 188 resolution in the study region of about 150–200 km. For comparison with a common eddy 189 detection algorithm described below, a filtered SLA product was also calculated, SLA_{filt} , 190 to remove larger-scale variability in the data. This filtered product is constructed by re-191 moving the large-scale SLA signature, estimated by filtering the SLA through a two-dimensional 192 first-order Lancoz filter with a half maximum of 700 km. 193

3 Eddy detection methods

Eddies are typically defined as rotating volumes of water that are distinct from their 195 surrounding environments. Horizontal velocities around the eddy are associated with hor-196 izontal gradients of SLA. Each of these properties—rotational velocities, SLA, horizon-197 tal interior density gradients, and a distinct water mass—can be used as a metric to un-198 derstand the location, spatial extent, and strength of an eddy. 199

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3.1 Rotational metrics

Horizontal velocities surrounding an eddy center will be predominantly tangential 201 to the eddy center, rather than in a radial direction. Graftieaux et al. (2001) describe 202 a method for determining the location of an eddy center from horizontal velocity mea-203 surements by calculating the local rotational metric $\Gamma_1(\mathbf{x})$, where $\mathbf{x} = (x, y)$ is a geo-204 graphical location surrounded by horizontal velocity measurements $\mathbf{u}(\mathbf{x}_i)$ (where $\mathbf{u} =$ 205 (u, v)) at locations \mathbf{x}_i within a given radius of \mathbf{x} , here chosen as 40 km. Here two-dimensional 206 (horizontal) vectors are denoted in bold-face text. $\Gamma_1(\mathbf{x})$ is calculated as 207

$$\Gamma_1(\mathbf{x}) = <\sin\theta >,$$

$$\Gamma_{1}(\mathbf{x}) = <\sin\theta >, \tag{1a}$$
$$\sin\theta = \frac{\mathbf{r} \wedge \mathbf{u}}{|\mathbf{r}| \cdot |\mathbf{u}|} = \frac{v \cdot \delta x - u \cdot \delta y}{(u^{2} + v^{2})^{1/2} (\delta x^{2} + \delta y^{2})^{1/2}}, \tag{1b}$$

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where $\langle \cdot \rangle$ represents a spatial average and θ are angles between $\mathbf{u}(\mathbf{x}_i)$ and the positional vector $\mathbf{r} = (\mathbf{x}_i - \mathbf{x})$. $\Gamma_1(\mathbf{x})$ is unitless and varies between -1, denoting measurements that are purely tangential in an anticyclonic direction, and 1, representing tangential flow in a cyclonic direction. Fronts, by contrast, would result in a roughly uniform distribution of θ and therefore a $\Gamma_1(\mathbf{x})$ near 0. An eddy center is therefore determined as the location with maximum $|\Gamma_1(\mathbf{x})|$; that is, the area where the horizontal velocity measurements within a 40 km radius are most uniformly tangential/rotational.



Figure 2. Example of calculating $\Gamma_1(\mathbf{x})$ from in situ ADCP velocity measurements (see Eq. 1. (A) ADCP velocities within 40 km (circle) of the calculated center position (\mathbf{x} , red star). (B) Histogram of $\sin(\theta)$ about the center, with a red vertical line showing the average value, $\Gamma_1(\mathbf{x})$.

Advection of an eddy can substantially reduce the magnitude of $\Gamma_1(\mathbf{x})$ within an eddy when the advection speed becomes comparable to the eddy's rotational speed. The local rotational metric $\Gamma_2(\mathbf{x})$, calculated similarly to $\Gamma_1(\mathbf{x})$ but with the mean horizontal velocities $\mathbf{u}_m = \langle \mathbf{u} \rangle$ subtracted, corrects for the influence of eddy advection:

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 $\Gamma_2(\mathbf{x}) = <\sin\theta_m >,\tag{2a}$

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$$\sin \theta_m = \frac{\mathbf{r} \wedge (\mathbf{u} - \mathbf{u}_m)}{|\mathbf{r}| \cdot |\mathbf{u} - \mathbf{u}_m|} = \frac{(v - v_m) \cdot \delta x - (u - u_m) \cdot \delta y}{((u - u_m)^2 + (v - v_m)^2)^{1/2} (\delta x^2 + \delta y^2)^{1/2}}.$$
 (2b)

Graftieaux et al. (2001) show that waters with $|\Gamma_2(\mathbf{x})| > 2/\pi$ are influenced primarily by rotation and can therefore be considered within the confines of an eddy. This suggests $|\Gamma_2(\mathbf{x})| = 2/\pi \approx 0.64$ as an appropriate threshold for the boundary of an eddy.

Horizontal velocities derived from ADCPs mounted on the RSS James Cook and 228 RSS Discovery were used to calculate $\Gamma_1(\mathbf{x})$ using a moving time window of ± 2 days (see 229 Figure 2). The location of minimum $\Gamma_1(\mathbf{x})$ is calculated every 12 hours and defined as 230 the eddy center (Figure 1). Satellite-based measurements were calculated similarly ev-231 ery day from gridded $1/4^{\circ}$ maps of geostrophic horizontal velocities. At 49°N this hor-232 izontal resolution, of 18 km in longitude and 28 km in latitude, corresponds to a low num-233 ber of velocity "observations" surrounding any given \mathbf{x} . The data were therefore inter-234 polated using a cubic spline to $1/40^{\circ}$ resolution for the calculation of $\Gamma_{1,2}(\mathbf{x})$. 235

3.2 Sea surface height metrics

Anticyclonic eddies are associated with positive SLA on the order of tens of centimeters. Remote sensing of oceanic eddies from constellations of orbiting altimeters have built up a census of eddy properties and statistics throughout the global ocean over the past decades (Chelton et al., 2007, 2011; Faghmous et al., 2015). These approaches involve detecting peaks (or valleys, for cyclones) in SLA and defining a SLA contour about each peak that properly defines the boundary of the eddy.

Here we extend the Γ_2 approach above to define a matching SLA contour that has the most overlap with the effective eddy boundary Γ_2 contour of $-2/\pi$. This proposed



Figure 3. Percent overlap between Γ_2 and SLA thresholds, calculated as the areal ratio where both $\Gamma_2 < -2/\pi$ and SLA>SLA_{thres}, over a region where either $\Gamma_2 < -2/\pi$ or SLA>SLA_{thres}.

contour for Γ_2 , however, occasionally conjoins with other nearby eddies to form highly irregular eddy boundaries. Therefore, only $\Gamma_2 = -2/\pi$ contours with a circularity of at least 0.7 were used to define the appropriate SLA contour, where the circularity is defined as the fraction of the given area that falls within a perfect circle with the same area. Using this approach, the SLA contour best defining the eddy was 11.0 cm (Figure 3).

Other approaches incorporate horizontal gradients in SLA, or geostrophic veloc-250 ities, into the eddy boundary definition. The Mean Eddy Trajectory Analysis (currently 251 version 3.2; META3.2) is used as a comparison here (Mason et al., 2014). This approach 252 first looks for the largest area, or the SLA_{filt} contour with the smallest magnitude, about 253 each SLA $_{filt}$ peak with a circularity of at least 0.55 and containing only one local SLA $_{filt}$ 254 maximum or minimum, while requiring an area of between 8 and 1000 pixels (at $1/4^{\circ} \times$ 255 $1/4^{\circ}$ resolution) and a SLA *filt* amplitude of at least 1 cm, as the outermost extent of 256 the eddy. It then estimates the SLA_{filt} boundary best representing the eddy itself as the 257 contour within this area that maximizes the average geostrophic velocity along the eddy 258 boundary, or equivalently is the SLA_{filt} contour that is associated with the largest hor-259 izontal SLA gradient (Mason et al., 2014; Pegliasco et al., 2022). 260

A number of other metrics are not considered here, but also rely on the relevant 261 dominance of rotation about the eddy center. The Okubo-Weiss method (Isern-Fontanet 262 et al., 2003), which measures the difference between rotational flow and shear/strain, is 263 commonly used to determine eddies from altimetry. However, this method, which relies on multiple derivatives of SLA, is generally coarsely resolved when using $1/4^{\circ}$ data. At 265 the scale of the EXPORTS-NA eddy, this method predictably gave poor results (not shown). 266 Another method, the Lagrangian-averaged vorticity deviation approach, advects parti-267 cles along (geostrophic) velocities and calculates their individual vorticities, defining an eddy as a region where individual Lagrangian particles show high levels of vorticity (Haller 269 et al., 2016). This method is essentially a Lagrangian version of the Eulerian Γ_2 method, 270 but is considerably more computationally expensive to calculate. 271

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3.3 Seawater property metrics

Glider SL305 was used to map waters within and outside of the target eddy and is therefore an ideal platform to construct a definition of interior water properties associated with distinct core eddy waters. Potential densities from SL305 indicate shoaling of waters near the eddy center lighter than about 27.15 kg m⁻³ when the platform was



Figure 4. Data from ocean glider SL305 during EXPORTS-NA. (A) Distance from SL305 to the eddy center, with 22 km marked. (B) Potential density σ_0 , with isopycnals involved in the calculation of h_{iso} (27.15 and 27.2 kg m⁻³) in black. Gray filled region within h_{iso} denotes core WM, with σ_0 between 27.16–27.18 kg m⁻³ and spice between 1.74–1.76 kg m⁻³.

²⁷⁷ near the eddy center, whereas isopycnals greater than about 27.2 kg m⁻³ deepen, cre-²⁷⁸ ating the signature widening of interior isopycnal layers characteristic of an anticyclonic ²⁷⁹ mode water eddy (Figure 4). The thickness, or height, of the core isopycnal layer 27.15– ²⁸⁰ 27.2 kg m⁻³, h_{iso} , can therefore be used as a metric for the eddy extent.

Water mass characteristics can also define waters that are retained within the eddy. 281 Temperature and salinity are relatively homogeneous at densities of about 27.17 kg m^{-3} 282 for those profiles with h_{iso} greater than 275 m (Figure 5), suggesting that water mass 283 (WM) properties with Θ of about 1.83°C and S_A near 35.63 g kg⁻¹ designates that pro-284 file as passing through the interior eddy core. Potential density and spice thresholds were 285 found to more precisely define these waters, and here we define the amount of core WM 286 in each profile as the integral of waters with potential density from 27.16-27.18 kg m⁻³ 287 and spice from 1.74-1.76 kg m⁻³ (gray area in Figure 4b; see also Johnson et al. (in prep.)). 288

3.4 Validation

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The core isopycnal layer thickness was defined as the reference metric defining the 290 eddy core, with profiles having a core thickness of over 275 m assumed to be within the 291 eddy (n = 491), and outside of the eddy otherwise (n = 173; Table 1). Then, each 292 method described above, along with the distance from the eddy center is calculated and 293 given a threshold value. The results present the number of true positive and true neg-294 ative predictions, where a given metric correctly diagnosed a profile as being within and 295 outside of the eddy, respectively, and the number of false positive and false negative pre-296 dictions, where the metric incorrectly diagnosed a profile as being within or outside of 297 the eddy, respectively. 298



Figure 5. Profiles of S_A and Θ for profiles within (green) and outside (blue) the eddy, determined by a h_{iso} threshold of 275 m. Black dashed contours show potential density. Grey lines show values used to define core waters, with potential density from 27.16–27.18 kg m⁻³ and spice from 1.74–1.76 kg m⁻³.

299 4 Results

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4.1 Eddy center

Calculation of $\Gamma_1(\mathbf{x})$ from ADCP horizontal velocities at 130 m depth was used to estimate the location of the anticyclonic eddy center, defined as the location with a minimum value of $\Gamma_1(\mathbf{x})$. Over the month of May, the eddy center translated southward from about 49.1 to 48.7°N (Figure 1). The Lagrangian float, drifting at a parking depth of about 100 m, transcribed a circular motion about this eddy center, with an approximate radius of 5–10 km and a period of about 4 days.

Satellite approaches were also used to calculate the eddy center and compare with 307 the in situ result. The Γ_1 and SLA metrics give predictions of the eddy center as the min-308 imum Γ_1 and maximum SLA, respectively (see Figure 6). The satellite-derived eddy cen-309 ters were always within 16 km of the in situ eddy center, with an average distance of about 310 7 km (Figure 1B), which is well within the resolution of the gridded satellite altimetry 311 product. This result is in spite of the reduction in SLA associated with mode water ed-312 dies, as interior isopycnals are partially compensated near the surface, making it more 313 difficult to locate this eddy from altimetry. The eddy center from the META3.2 algo-314 rithm closely matches the SLA-based eddy center location shown here, since the only dif-315 ference in the underlying altimetry data is a low-pass spatial filter, and is therefore not 316 independently shown. Using satellite altimetry also enables tracking of the eddy before 317 and after the EXPORTS-NA deployment, showing a slow and steady drift southward 318 of the eddy over time (Figure 1B). 319



Figure 6. Snapshot of (A) Γ_2 and (B) sea level anomaly (SLA) from 11 May, 2021 calculated from satellite data, with grid lines representing the resolution of the gridded product. In each panel, the black contour represents a proposed threshold (-0.64 for Γ_2 and 11 cm for SLA) and the white star is the eddy center according to each product. In (B), the dashed black line gives the eddy edge from the META3.2 data product (along a given SLA_{filt} contour).

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4.2 Eddy spatial extent

The in situ metrics clearly show the eddy as an area around the eddy center with a weakly stratified interior (large h_{iso}) and large amounts of core waters (Figure 7). These eddy-like waters are also confined within a distance from an apparent eddy center, suggesting the use of a simple distance metric. Each of these metrics can be compared to a suitable threshold value to determine if a given profile is within, or outside of, the eddy.

Out of the 664 profiles used here, 456 were within 20 km of the eddy center, and all but two of those had a core isopycnal thickness of over 275 m, designating them as within the eddy. Similarly, all 156 profiles farther than 25 km from the eddy center were designated as outside of the eddy. A threshold value of 22 km minimized the sum of false positive and false negative results and was chosen as the threshold value. This distance threshold correctly classifies 99% (466/471) of the profiles within the eddy, and 97% (168/173) of the profiles outside of the eddy (Figure 8A).

The existence of at least 40 m of core WM in a given profile, defined using potential density and spice thresholds, is another relatively simple metric that correctly identified 98% (461/471) of profiles within the eddy, and 83% (169/173) of profiles outside of the eddy (Figure 8B). This approach therefore has similar skill as compared with the distance metric.

The satellite metrics showed comparable skill at diagnosing when a profile was in an eddy compared with the in situ metrics. The rotational metric Γ_2 indicates the local dominance of anticyclonic rotation, rather than shear, at values less than $-2/\pi \approx$ -0.64. This threshold value correctly identifies 100% (471/471) of profiles from within the eddy and 62% (106/171) of profiles outside of the eddy (Figure 8C). The SLA method



Figure 7. (A) Core isopycnal thickness h_{iso} and (B) width of core WM for each profile (see Table 1). Black dots in panel B indicate waters with no core WM present.

was more accurate than the Γ_2 approach, with 100% (471/471) of profiles within the eddy accurately predicted, as well as 77% (132/171) of profiles outside the eddy (Figure 8E).

In contrast to the in situ metrics defined above, the satellite-based thresholds employing Γ_2 and SLA were not optimized for the highest accuracy. Optimizing Γ_2 and SLA thresholds, as was done for the in situ metrics, would have resulted in only 25 incorrect predictions from Γ_2 (threshold level of -0.75), and 26 from SLA (threshold value from 11.8–12 cm), which represents approximately equivalent skill to the core WM metric and distance metrics.

The META3.2 algorithm is about as accurate as the Γ_2 method, with 100% (471/471) accuracy on profiles within the eddy and 63% (107/171) for those outside the eddy (Figure 8E). This approach uses a time-varying threshold on SLA_{filt} data (see above), ranging from 5.8 to 7.6 cm. The upper limit on this threshold, 7.6 cm, roughly corresponds to the SLA threshold used in Figure 8E of 11 cm, indicating a static, rather than time varying, approach would have resulted in higher accuracy for this eddy.

357 5 Discussion

This study compares and contrasts methods for determining the location and spatial extent of an anticyclonic eddy in the North Atlantic during May of 2021. Methods using in situ metrics are able to directly capture features of the subsurface ocean where the eddy core is located (Johnson et al., in prep.). Satellite-based products are more coarsely resolved in space, due to the limited number of observations in space and time by nadirlooking satellite altimeters, and can only estimate geostrophic currents. However, they provide a more complete picture of the water surrounding the eddy, rather than only where in situ assets were located, and can track the target eddy for a longer period of time.

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5.1 Eddy center from in situ and satellite metrics

Satellite and in situ metrics differed only slightly on where the center of the eddy was located, with the satellite metrics placing the eddy center on average within about 7 km of the in situ observations. Satellite and in situ metrics alike generally remained within the approximate trajectory of the Lagrangian float (Figure 1B), which remained within the eddy throughout the deployment (Johnson et al., in prep.). For the EXPORTS-



Figure 8. Scatter plot of eddy metrics with respect to the reference of h_{iso} for all profiles (n=644) from 5–30 May that reach at least 27.2 kg m⁻³ and are located between 48–50°N and 14–16°W. Metrics (proposed thresholds as black dotted lines) are: (A) distance from eddy center (< 22 km), (B) vertical extent of core water mass (WM; > 40 m), (C) satellite-based Γ_2 (< $-2/\pi$), (D) satellite-based sea level anomaly (SLA; > 0.115 m), and (E) the META3.2 algorithm, with threshold values of SLA_{filt} from 5.8–7.6 cm. Colors and numbers are associated with true positive (blue), false negative (cyan), false positive (orange), and true negative (green) predictions, where the truth is determined by the threshold metric $h_{iso} > 275$ m.

NA eddy considered here, satellite-based estimates of the eddy center were therefore accurate enough to follow the retentive core of this relatively small eddy. These results also
suggest more generally that satellite approaches which locate eddy centers from SLA extremes are adequately able to represent eddy locations to within the retentive centers
of the eddies.

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5.2 Eddy size from in situ measurements

Six different methods for determining the extent of the eddy were compared, of which 378 three were based on in situ measurements and three were from satellite altimetry. The 379 three in situ metrics agreed with each other well for the available deep profiles: 459 of 380 the 471 (97%) of the profiles within the eddy were identified as such for all in situ met-381 rics, as were 166 of 171 (97%) of profiles outside of the eddy, for a combined accuracy 382 of all in situ metrics of 97%. False eddy predictions for the in situ metrics based on dis-383 tance and core WM are distributed throughout the region, indicating that there is no 384 significant spatial bias in this prediction (Figure 9A–B). 385

While the predictions from each of the metrics are similar, they each provide distinct information about the eddy and individually have different advantages. The thickness method, h_{iso} , defined here as the reference method, classifies profiles with low interior stratification as within the eddy. The threshold chosen here, a minimum thickness of 275 m over 0.05 kg m⁻³, imposes a maximum Brunt-Väisälä frequency within the eddy



Figure 9. Scatter plot of every "deep" profile (see Table 1) during EXPORTS-NA, colored by the prediction (Positive/Negative) of being within the eddy from (A) distance from eddy center, (B), width of core waters, (C) satellite-derived Γ_2 , (D) satellite-derived SLA, and (E) META 3.2, and whether or not that prediction was accurate (True/False) based on a h_{iso} threshold. Black circle in each panel is the 22 km distance threshold.

391 core of

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$$N_2 = b_z = \frac{g}{\rho_0} \frac{\Delta\sigma}{\Delta z} \approx 1.7 \times 10^{-6} \text{ s}^{-2},\tag{3}$$

where $g = 9.8 \text{ m s}^{-2}$ is the gravitational acceleration and $\rho_0 = 1027 \text{ kg m}^{-3}$ is a reference density. The maximum Ertel potential vorticity (PV) within the eddy core can be approximated as

$$PV = fN^2 \approx 2 \times 10^{-10} \text{ s}^{-3},\tag{4}$$

where $f = 1.1 \times 10^{-4} \text{ s}^{-1}$ is the planetary vorticity. This definition assumes that the relative vorticity is small compared to f. Assuming an average eddy radius of 22 km and a speed of 20 cm s⁻¹ at the eddy edge, the relative vorticity is approximately $-0.09 \times$ 10^{-4} s^{-1} , or less than 10% of the planetary vorticity. This PV threshold aligns well with previous observations of subthermocline eddies in the region (cf. Figure 5 of Thompson et al. (2016)).

Another in situ metric is the existence of core waters, which are defined here by potential density and spice thresholds. Assuming minimal mixing of waters over time, this definition can be used to trace this water mass back in time and find outcropping locations of waters with these characteristics at the surface. This method is also conceptually straightforward, as it directly equates being within an eddy to waters with given physical characteristics.

A critical distinction, however, exists between the spatial extent of the eddy core, considered here, and the spatial extent of the surface waters most identified with this particular eddy. In contrast to the results presented here, Johnson et al. (in prep.) find a much more diffuse temperature and salinity signal identifying core eddy surface waters, and suggest a smaller radius of 15 km about the eddy center. This difference poses
a significant limitation to current eddy tracking efforts: the interior core of the eddy may
be distinct from the volume of water trapped by the eddy at the surface, due primarily to wind stress, notably from a series of storms during the deployment (Johnson et
al., in prep.). This is especially important for Lagrangian deployments such as EXPORTSNA that aim to measure biological processes occurring primarily within the euphotic zone.

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5.3 Eddy size from satellite observations

The EXPORTS-NA deployment provides an excellent opportunity to evaluate how 420 well satellite altimetry-based algorithms, and especially the widely-distributed META3.2 421 method (Mason et al., 2014), perform at estimating the extent of one anticyclonic eddy. 422 In general these methods compare well with in situ metrics, despite not being optimized 423 for this particular eddy. False predictions for the satellite metrics are spatially concen-424 trated in a region to the west of the eddy (for Γ_2 , SLA, and META 3.2) and to the north-425 east (for Γ_2 ; Figure 9C–E). This suggests two possibilities: either the satellite data on 426 the western edge of the eddy is biased due to lack of altimetry data and/or a coarsely-427 resolved $1/4^{\circ}$ data product, or the satellite algorithms accurately depict a western re-428 gion of the eddy that has similar SLA and is encircled by rotating waters, but neverthe-429 less contains waters with different properties. This second possibility is supported by steric 430 height data calculated from in situ profiles (not shown here), which also suggest a re-431 gion to the west of the eddy with high SLA. This area to the west of the eddy core has 432 also been shown to have anomalous surface water properties, possibly indicating injec-433 tion of different waters into the eddy (Johnson et al., in prep.). In contrast, the incor-434 rect predictions on the northeast side of the eddy from the Γ_2 method were due to the 435 apparent merging (only shown in this metric) of this feature with another eddy to the 436 north. 437

Satellite-based methods for eddy detection and tracking provide the ability to di-438 agnose changes in eddy properties, such as effective radius, circularity or eccentricity, and 439 strength (magnitude of SLA and Γ_1 extremes), over time (Figure 10). The satellite met-440 rics estimate the effective radius of the eddy at 20–40 km at the beginning of the field 441 deployment. The SLA metric shows a gradual increase in the effective radius from 22 km 442 to 37 km over the course of the month of May. This increase is not clearly seen in the 443 other metrics (Γ_2 and META3.2). All, however, agree that the circularity of the eddy, 444 defined as the fraction of area within the eddy that is also within a perfect circle encom-445 passing the same area, decreased from a nearly perfectly circular eddy at the beginning 446 of May to a circularity of 0.7-0.85 by the end of the month. Taken as a whole, these satellite-447 based results indicate that the eddy sampled during EXPORTS-NA was spreading out 448 and becoming more eccentric throughout May of 2021, consistent with other in situ ob-449 servations from EXPORTS-NA (Johnson et al., in prep.). 450

The eddy size from the META3.2 algorithm varied substantially more than that 451 of either of the other satellite methods (Figure 10A). This is a direct result of the way 452 META3.2 determines the threshold SLA_{filt} value, which is to maximize the geostrophic 453 velocity around the eddy edge. This method does not take into account past threshold 454 levels, potentially resulting in large discrepancies from day to day, which will affect size 455 and shape parameters of the eddy. For example, the increase in apparent eddy size from 456 20-25 May for this algorithm is due to a decrease in the threshold value of SLA_{filt} from 457 7.25 cm to about 6 cm over this period. These changes in satellite-based SLA_{filt} do not 458 smoothly vary with time and likely will contribute to overestimating variance in eddy 459 parameters using this method. 460

The central altimetry product used here was a daily SLA field utilizing five satellite altimeters, gridded to 1/4° resolution. Gridded SLA was then interpolated using a



Figure 10. Changes in satellite-based eddy effective radius (A) and circularity (B) for the Γ_2 (orange), SLA (blue), and META 3.2 (green) algorithms. Gaps in the Γ_2 metric indicate when this approach incorrectly merged the study eddy with another nearby eddy, as indicated by a circularity below 0.7 (see text).

cubic spline to the positions of the assets. Which altimetry fields are used, how they are 463 gridded, and how that grid is interpolated are all factors that will influence local SLA 464 values at the positions of the assets. For example, use of a two-altimeter data product, 465 produced to provide a consistent long-term time series of altimetry, severely and detri-466 mentally affected the accuracy of all of the satellite-based predictions. Other gridding 467 procedures have been developed, although not yet implemented for the North Atlantic 468 during EXPORTS-NA. For example, Ubelmann et al. (2015) developed a dynamical map-469 ping algorithm which improves on the standard linear interpolation used here by includ-470 ing nonlinear temporal propagation of sea surface height anomalies. This product has 471 improved skill at accurately estimating sea surface height products (Ballarotta et al., 2020). 472 These newer products are precursors to operational sea surface height data that will be 473 available from the recently-launched Surface Water and Ocean Topography (SWOT) satel-474 lite (Morrow et al., 2019). 475

Machine learning may also lead to improvements in SLA estimation and therefore 476 eddy identification and tracking even from coarser satellite-derived data products. S. Mar-477 tin et al. (in review) show that incorporating higher-resolution sea surface temperature 478 (SST) measurements into sea surface height gridding algorithms can significantly increase 479 the final accuracy and possible resolution of these final products. For the EXPORTS-480 NA dataset, the mean SST, here estimated as the average conservative temperature of 481 the upper 20 m from in situ profiles, is significantly different (p<0.01) for the false pos-482 itive regions (12.79 ± 0.31) than for the true positive (12.46 ± 0.16) and true negative 483 (12.60 ± 0.123) regions with respect to the satellite-derived SLA predictions (Figure 9D), 484 suggesting that a product incorporating SST may improve the accuracy of satellite-derived 485 SLA. 486

5.4 Lessons learned from mapping an eddy

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One of the primary challenges in mapping an eddy from in situ measurements is 488 to resolve the depth variability. The core of the EXPORTS-NA eddy was located at about 489 400 m, and measurements down to at least 800 m were required to fully characterize this 490 feature (Figure 4). Since the EXPORTS-NA deployment was primarily concerned with 491 the biological pump, many of the ship-based profiles did not extend to this depth, and 492 were therefore not used here (Table 1). Part of the challenge is that surface water char-493 acteristics were modified during the course of the deployment by several large storms that 494 entered the region (see Johnson et al., in prep.), meaning profiles only near the surface, or underway data from the ships, were less useful in determining the eddy extent. 496

Transects across the edge of the eddy were also important to distinguish interior 497 water masses from those outside of the eddy, which were used to determine threshold 498 values for isopycnal thickness and the temperature and salinity characteristics that went 499 into characterizing the core WM (e.g., Figure 4). However, in situ characterization of 500 the eddy edge came primarily from three glider transects: two on the western edge and 501 one to the northeast (Figure 9). The lack of knowledge of the eddy boundary to the south-502 east from in situ measurements limited our ability to fully distinguish between the dif-503 ferent satellite metrics. This also highlights the utility of satellite-derived eddy boundaries, which are able to provide estimated values for the entire edge of the eddy (e.g., 505 Figure 6), despite the trade-offs associated with their coarse resolution. 506

The results here indicate that even for a relatively small eddy, standard satellite-507 based methods of deriving eddy boundaries, such as META3.2, perform well. However, 508 the EXPORTS-NA eddy was not necessarily a good representative candidate. This eddy 509 was carefully chosen to represent a stable and coherent feature over the course of the field 510 deployment (Erickson et al., 2022), which may have resulted in its being better repre-511 sented in satellite products than an average eddy. The PAP region is also an area of low 512 variability in sea surface height (Ballarotta et al., 2020) and low error in derived veloc-513 ities as compared with drifter data (Taburet et al., 2019) compared with, for example, 514 more energetically active western boundary current regions. On the other hand, as a mode 515 water eddy, it had a smaller associated SLA due to interior compensating isopycnal gra-516 dients, challenging satellite altimetry algorithms that rely on SLA. A study focused on 517 only one eddy can only provide suggestive evidence on the utility of altimetry methods 518 for determining eddy boundaries, and a more comprehensive survey of eddy edges from 519 in situ and satellite measurements is needed. 520

521 6 Conclusions

The EXPORTS-NA field program was conducted within and around an anticyclonic 522 mode water eddy. Profiles of temperature and salinity from ships, gliders, and BGC floats 523 deployed as part of this project allow different methods of detecting eddies from in situ 524 and satellite methods to be tested. A key characteristic of an anticyclonic mode water 525 eddy is shoaling lighter isopycnals and deepening denser isopycnals, motivating the use 526 of the thickness of the $27.15-27.2 \text{ kg m}^{-3}$ layer as a metric for the eddy, along with a 527 water mass characteristic determined by the temperature and salinity, or equivalently the potential density and spice, of measured waters. Each of these metrics did well at 529 accurately predicting the eddy. Velocity-based metrics from in situ measurements and 530 from satellite-based altimetry give similar results, suggesting that even comparably low 531 satellite resolution can accurately track even relatively small eddies, with radii on the 532 scale of the gridded data product itself. 533

For this eddy, the data collected here support the use of algorithms based on satellite algorithms in future eddy tracking studies. Satellite altimetry is able to provide information on changes in eddy properties over time and space that are not possible even

with hundreds of in situ profiles taken as a result of the EXPORTS-NA deployment. How-537 ever, there are challenges to these altimetry-based methods. At least for this eddy, these 538 challenges related less to the coarse resolution of altimetry maps, and were more related 539 to the ability of sea level contours to reliably demarcate eddy boundaries. In addition, 540 a commonly-used algorithm, META3.2, re-calculates the SLA contour associated with 541 the eddy edge each day, leading to significant jumps in eddy size that are likely unphys-542 ical. Here we therefore suggest a constant, or perhaps smoothly time-varying, SLA con-543 tour approach that was shown to more accurately represent the edge of the eddy, as com-544 pared with in situ measurements. This SLA contour can be found using a method that 545 calculates the average rotation about each point in space, and calculates the eddy cen-546 ter as the point associated with the maximum level of rotation. This approach is gen-547 eralizable to any other eddy using satellite altimetry measurements, and in future work 548 will be applied to a variety of other datasets sampling eddies throughout the ocean. 549

550 Data Availability

The in situ data collected by the EXPORTS-NA project can be found in the SeaBASS 551 repository at https://seabass.gsfc.nasa.gov/cruise/EXPORTSNA. Satellite altime-552 try was provided by the Copernicus Marine Environmental Monitoring Service (CMEMS), 553 and the META3.2 analysis was provided by AVISO (Archiving, Validation and Interpre-554 tation of Satellite Oceanographic data). Code to process ADCP measurements was pro-555 vided by Common Ocean Data Access System (CODAS) processing code built, run, and 556 maintained by the University of Hawaii Data Acquisition System (UHDAS) and avail-557 able at https://currents.soest.hawaii.edu/uhdas_home/. 558

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Figure 1.



49.25°N

49°N

Eddy center Lagrangian Float altimetric (SLA)

altimetric (Γ_1)

48.75°N

Figure 2.



Figure 3.



Figure 4.



Figure 5.



Figure 6.



Figure 7.



Figure 8.



Figure 9.



Figure 10.

