Influence of Stratosphere Polar Vortex Variability on the Mesosphere, Thermosphere, and Ionosphere

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Abstract

The Whole Atmosphere Community Climate Model with thermosphere-ionosphere eXtension (WACCM-X) is used to investigate the influence of stratosphere polar vortex variability on the mesosphere, thermosphere, and ionosphere during Northern Hemisphere winter. Based on 40 simulated Northern Hemisphere winters, the mesosphere and lower thermosphere (MLT) residual circulation is found to depend on whether the stratosphere polar vortex is strong or weak. In particular, during weak stratosphere polar vortex time periods, the MLT circulation anomalies are characterized by clockwise and anti-clockwise flow in the Northern and Southern Hemispheres, respectively. Opposite, though weaker, anomalies are found to occur during time periods when the stratosphere polar vortex is strong. The MLT circulation anomalies influence the composition of the lower thermosphere, leading to $\pm 5\%$ changes in the thermosphere column integrated atomic oxygen to molecular nitrogen ratio (O/N2). Large differences between strong and weak stratosphere polar vortex events are also found to occur in the semidiurnal migrating tide (SW2) in the MLT, which leads to ± 15 -20% differences in the SW2 component of the ionosphere total electron content (TEC) at low latitudes. The WACCM-X simulation results indicate that variability in the stratosphere polar vortex can explain ~30% and ~18% of the quiet time variability in thermosphere O/N2 and the SW2 component of TEC during Northern Hemisphere winter, respectively.

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Key Points:

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7	•	Investigate the impact of stratosphere polar vortex on the mesosphere and lower
8		thermosphere circulation, ionosphere, and thermosphere.
9	•	Residual circulation in the mesosphere and lower thermosphere is impacted by both
10		strong and weak stratosphere polar vortex events.
11	•	Stratosphere polar vortex explains $\sim 30\%$ and $\sim 18\%$ of quiet time variability in
12		thermosphere O/N_2 and ionosphere SW2, respectively.

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13 Abstract

The Whole Atmosphere Community Climate Model with thermosphere-ionosphere eX-14 tension (WACCM-X) is used to investigate the influence of stratosphere polar vortex vari-15 ability on the mesosphere, thermosphere, and ionosphere during Northern Hemisphere 16 winter. Based on 40 simulated Northern Hemisphere winters, the mesosphere and lower 17 thermosphere (MLT) residual circulation is found to depend on whether the stratosphere 18 polar vortex is strong or weak. In particular, during weak stratosphere polar vortex time 19 periods, the MLT circulation anomalies are characterized by clockwise and anti-clockwise 20 flow in the Northern and Southern Hemispheres, respectively. Opposite, though weaker, 21 anomalies are found to occur during time periods when the stratosphere polar vortex is 22 strong. The MLT circulation anomalies influence the composition of the lower thermo-23 sphere, leading to $\pm 5\%$ changes in the thermosphere column integrated atomic oxygen 24 to molecular nitrogen ratio (O/N_2) . Large differences between strong and weak strato-25 sphere polar vortex events are also found to occur in the semidiurnal migrating tide (SW2) 26 in the MLT, which leads to ± 15 -20% differences in the SW2 component of the ionosphere 27 total electron content (TEC) at low latitudes. The WACCM-X simulation results indi-28 cate that variability in the stratosphere polar vortex can explain $\sim 30\%$ and $\sim 18\%$ of the 29 quiet time variability in thermosphere O/N_2 and the SW2 component of TEC during 30 Northern Hemisphere winter, respectively. 31

32 1 Introduction

During Northern Hemisphere winter, the stratosphere polar vortex that forms at 33 high-latitudes in the Northern Hemisphere exhibits considerable variability. The strato-34 sphere polar vortex variability is largely due to the presence, or absence, of planetary 35 wave activity. During periods of strong planetary wave activity, the stratosphere polar 36 vortex is weakened, leading to the occurrence of sudden stratosphere warming (SSW) 37 events (Matsuno, 1971). Though identified based on changes in the stratosphere, SSWs 38 have a wide range of impacts throughout the atmosphere (Pedatella et al., 2018; Bald-39 win et al., 2020). 40

In the mesosphere and lower thermosphere (MLT), SSW events are associated with 41 changes in the circulation, mean winds, and atmospheric tides. At middle to high lat-42 itudes in the Northern Hemisphere, the zonal winds in the MLT reverse direction dur-43 ing SSWs (Liu & Roble, 2002; Hoffmann et al., 2007), which is primarily attributed to 44 changes in gravity wave forcing (Liu & Roble, 2002; Limpasuvan et al., 2016; Zülicke et 45 al., 2018). These zonal wind changes can extend across the equator into the Southern 46 Hemisphere MLT through inter-hemispheric coupling (Körnich & Becker, 2010; Smith 47 et al., 2020). The zonal mean zonal wind changes that occur during SSWs leads to en-48 hancements in the migrating solar (SW2) and lunar (M2) semidiurnal tides (Pedatella 49 et al., 2012; Zhang & Forbes, 2014; Chau et al., 2015). The SW2 is also enhanced due 50 to changes in ozone forcing during SSWs (Siddiqui et al., 2019). Additional tidal vari-51 ability occurs in non-migrating semidiurnal tides due to the non-linear interactions be-52 tween the migrating tides and enhanced planetary wave activity (Liu et al., 2010; Pe-53 datella & Liu, 2013; He et al., 2017). The changes in wave forcing during SSWs, includ-54 ing from gravity waves, planetary waves, and tides, alter the mean circulation of the MLT. 55 During SSWs, the MLT circulation is characterized by enhanced clockwise and anti-clockwise 56 circulation patterns in the Northern and Southern Hemispheres, respectively (Miyoshi 57 et al., 2015; Limpasuvan et al., 2016; Orsolini et al., 2022). 58

The changes that occur in the MLT circulation and tides during SSWs have subsequent effects on the ionosphere and thermosphere. In the equatorial and low latitude ionosphere, there is a pronounced change in the electric fields, vertical plasma drift velocities, and electron densities (Chau et al., 2009; Fejer et al., 2010; Goncharenko et al., 2010). These effects are predominantly driven by changes in the solar and lunar semid-

iurnal tides and their impact on the E-region dynamo (Fang et al., 2012; Maute et al., 64 2014). Variations in F-region electron densities also occur at middle latitudes, and are 65 thought to be due to the propagation of enhanced semidiurnal tides into the F-region 66 (Fagundes et al., 2015; Pedatella & Maute, 2015). The magnitude of the ionosphere vari-67 ations during SSWs can be large, reaching, or even exceeding, 50-100% of climatolog-68 ical values. In contrast, smaller variations (\sim 5-10%) are observed in the thermosphere 69 neutral composition (atomic Oxygen to molecular Nitrogen ratio, O/N_2) and neutral den-70 sity (Yamazaki et al., 2015; Oberheide et al., 2020). The thermosphere composition and 71 density changes during SSWs arise due to the aforementioned changes in MLT circula-72 tion (Pedatella et al., 2016; Jr. et al., 2020). For a detailed discussion on the effects of 73 SSWs on the middle and upper atmospheres, the reader is referred to the review papers 74 by Chau et al. (2012), Yiğit et al. (2016), and Goncharenko et al. (2021), 75

The understanding of stratosphere polar vortex variability on the mesosphere, ther-76 mosphere, and ionosphere has largely focused on SSW events. However, recent studies 77 have demonstrated that there is a continuum of variability in the middle and upper at-78 mosphere associated with variations in the stratosphere polar vortex. Based on Spec-79 ified Dynamics Whole Atmosphere Community Climate Model with thermosphere-ionosphere 80 eXtension (SD-WACCM-X) simulations, Pedatella and Harvey (2022) demonstrated that, 81 in addition to weak stratosphere polar vortex events (i.e., SSWs), time periods charac-82 terized by a strong stratosphere polar vortex also lead to anomalous zonal mean tem-83 perature, winds, and tides in the MLT. Of interest to the present investigation is that 84 Pedatella and Harvey (2022) found the SW2 at middle latitudes in the MLT to be well 85 correlated with the strength of the stratosphere polar vortex across the full range of strato-86 sphere polar vortex variability. This is consistent with Liu (2014), who found a strong 87 correlation between SW2 and zonal mean zonal winds in the high latitude stratosphere. 88 Oberheide (2022) also found a close relationship between the stratosphere polar vortex 89 variability and the SW2 in ionosphere electron density, demonstrating that the influence 90 of the stratosphere polar vortex variability on the SW2 in the MLT subsequently impacts 91 the ionosphere. The strength of the stratosphere polar vortex has also been found to mod-92 ulate gravity wave activity in the thermosphere and the associated traveling ionosphere 93 disturbances (Frissell et al., 2016; Becker et al., 2022). Specifically, a strong stratosphere 94 polar vortex was found to lead to an enhancement in TIDs while a weak stratosphere 95 polar vortex decreased TID activity. 96

The present study aims to further investigate how the range of stratosphere po-97 lar vortex variability influences the mesosphere, thermosphere, and ionosphere, includ-98 ing both strong and weak stratosphere polar vortex time periods. This is investigated 99 using 40 Northern Hemisphere winters simulated by WACCM-X. The WACCM-X sim-100 ulations are used to elucidate the influence of strong and weak stratosphere polar vor-101 tex time periods on the MLT circulation, thermosphere composition, and ionosphere elec-102 tron density. The results demonstrate that variability in the stratosphere polar vortex 103 is an important driver of the day-to-day variability in the middle and upper atmosphere 104 during Northern Hemisphere winter, and that these effects are not limited to SSW time 105 periods. 106

107 2 WACCM-X Simulations

Analysis of the impact of stratosphere polar vortex variability in the mesosphere, 108 thermosphere, and ionosphere is investigated based on free-running WACCM-X simu-109 lations. WACCM-X is a whole atmosphere model that simulates the chemistry, dynam-110 ics, electrodynamics, and physics of the Earth's atmosphere from the surface to the up-111 per thermosphere (4.1×10^{-10} hPa). The model horizontal resolution is 1.9° in latitude 112 and 2.5° in longitude. The vertical resolution is ~1-3 km up to 0.96 hPa, and 0.25 scale 113 heights above this level. A detailed description of WACCM-X can be found in Liu et al. 114 (2018) and is not repeated herein. 115

The simulations for the present study consist of an ensemble of 40 Northern Hemi-116 sphere winters simulated by WACCM-X in its free-running mode. The simulations were 117 initialized from an identical state on October 1. Random perturbations were applied to 118 the model temperature fields at the start of the simulations, which leads to the ensem-119 ble members simulating different weather conditions due to chaotic divergence (Liu et 120 al., 2009; Pedatella & Liu, 2018). To eliminate any influence of solar and geomagnetic 121 activity, the simulations were performed with a constant solar flux of 70 solar flux units 122 and a K_p of 0⁺. Similar to Pedatella and Harvey (2022), we use the Northern Annular 123 Mode (NAM) at 10 hPa as a measure of the strength of the stratosphere polar vortex. 124 The NAM is calculated based on the polar cap $(>65^{\circ}N)$ average geopotential height anoma-125 lies (Gerber & Martineau, 2018). It is important to note that the NAM in the present 126 study may not be reflective of the true NAM due to the NAM being normalized by the 127 annual mean and the present simulations only covering a portion of the year. As shown 128 in Figure 1, this may lead to a slight difference in the stratosphere (10 hPa) NAM dis-129 tribution in the WACCM-X ensemble simulations compared to MERRA-2. The differ-130 ent NAM distributions may also be related to differences in the stratosphere zonal mean 131 zonal winds at 10 hPa and 60°N (Figure 1a). In particular, the WACCM-X wind dis-132 tribution is narrower, which may be related to either fewer mid-winter SSWs simulated 133 in WACCM and/or natural variability (de la Torre et al., 2012; Garcia et al., 2017). This 134 will lead to a narrower NAM distribution as seen in Figure 1b. Given the narrower NAM 135 distribution, the thresholds for strong and weak stratosphere polar vortex events are cho-136 sen to be +1.5 and -2.0, respectively. This leads to a roughly similar fraction of strong 137 (13.3%) and weak (4.4%) events as in MERRA-2 when using the thresholds of +2.0 and 138 -3.0 (Pedatella & Harvey, 2022). Similar to Pedatella and Harvey (2022), we present anoma-139 lies from the climatology, where the climatology is based on the 40 Northern Hemisphere 140 winter average smoothed with a running 20-day mean. A Student's T-test is used to test 141 the anomalies for statistical significant relative to the anomalies when the stratosphere 142 polar vortex is consider to be neither weak nor strong. 143



Figure 1. Distribution of (a) zonal mean zonal winds at 60°N and 10 hPa and (b) Northern Annular Mode (NAM) index at 10 hPa during December 15 to March 1 in MERRA-2 (white) and WACCM-X (grey).

¹⁴⁴ 3 Results and Discussion

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3.1 Mesosphere and Lower Thermosphere

Figure 2 shows the average zonal mean temperature and zonal wind anomalies dur-146 ing strong and weak stratosphere polar vortex time periods. The anomalies are consis-147 tent in both magnitude and spatial structure with the results of Pedatella and Harvey 148 (2022). However, the results of Figure 2 are extended into the thermosphere, revealing 149 the temperature and wind anomalies at thermosphere altitudes. They show the expected 150 stratosphere warming, mesosphere cooling, and lower thermosphere warming at high lat-151 itudes in the Northern Hemisphere during weak stratosphere polar vortex time periods 152 (e.g., Liu & Roble, 2002), with weaker and opposite signed anomalies during strong strato-153 sphere polar vortex time periods. Extension of the temperature anomalies across the equa-154 tor into the Southern Hemisphere MLT is also evident. Though there are some minor 155 differences in the equatorial upper stratosphere and lower mesosphere, the zonal mean 156 zonal wind anomalies are also consistent with Pedatella and Harvey (2022). The 40 North-157 ern Hemisphere winters simulated by the free-running WACCM-X thus reproduce the 158 zonal mean anomalies found previously in SD-WACCM-X, which were also shown to be 159 consistent with Aura Microwave Limb Sounder observations. The zonal mean temper-160 ature and wind anomalies in Figure 2 reveal anomalies in the middle to upper thermo-161 sphere, which were not shown previously in Pedatella and Harvey (2022). During weak 162 stratosphere polar vortex time periods, the thermosphere temperature decreases by \sim 3-163 5 K at middle to high latitudes in both hemispheres, and increases by ~ 2 K at low lat-164 itudes. In the thermosphere, the global average temperature decreases by ~ 2 K, consis-165 tent with the observed decrease in satellite drag and neutral mass density (Yamazaki et 166 al., 2015). The decrease is, however, smaller than observed by Yamazaki et al. (2015). 167 A similar temperature pattern, with an increase at low latitudes and decrease at mid-168 dle to high latitudes, was found to occur due to the influence of atmospheric tides by Jr. 169 et al. (2016). Enhanced semidiurnal tidal amplitudes during weak stratosphere polar vor-170 tex time periods may thus explain the temperature structure seen in Figure 2a. In the 171 middle to upper thermosphere, the zonal mean zonal wind anomalies during weak strato-172 sphere polar vortex time periods are westward with maxima of ~ 10 m/s at middle lat-173 itudes near 10^{-5} hPa. This is consistent with previous simulation results (e.g., Pedatella 174 et al., 2016), and is thought to the related to the dissipation of SW2 in the middle ther-175 mosphere as will be discussed later. The temperature and zonal wind anomalies in the 176 thermosphere during strong stratosphere polar vortex time periods are small (< 2-3 K 177 or m/s) and generally opposite to those that occur during weak stratosphere polar vor-178 tex time periods. 179



Figure 2. WACCM-X zonal mean anomalies in (a) temperature during weak stratosphere polar vortex times, (b) zonal mean zonal wind during weak stratosphere polar vortex times, (c) temperature during strong stratosphere polar vortex times, and (d) zonal mean zonal wind during strong stratosphere polar vortex times. Stippling denotes areas that are statistically significant at the 95% confidence level. Units are K and m/s for temperature and zonal wind, respectively. Contour lines are every 5 K or m/s, and the thick black line is the zero contour.

Anomalies in the SW2 during strong and weak stratosphere polar vortex time pe-180 riods are shown in Figure 3. The SW2 anomalies in zonal wind are again largely con-181 sistent with Pedatella and Harvey (2022) in the MLT. During weak stratosphere polar 182 vortex time periods, the SW2 in both zonal and meridional wind shows large enhance-183 ments in middle latitudes in both hemispheres with maxima between 10^{-4} - 10^{-5} hPa (110-184 130 km). The dissipation of SW2 will tend to drive a westward zonal wind, and the dis-185 sipation of the SW2 at these altitudes is thus considered to be a major contributor to 186 the westward zonal mean zonal wind anomalies in Figure 2b. The SW2 enhancements 187 tend to extend into the upper thermosphere. There is, however, a region of decreased 188 SW2 in the Northern Hemisphere middle thermosphere. The decreased SW2 in the mid-189 dle thermosphere is thought to be due to the impact of the changes in zonal mean zonal 190 winds on the propagation of different Hough modes of SW2 (e.g., Jin et al., 2012). Since 191 the vertical wavelength of the tide impacts its vertical propagation, a change in the dom-192 inant modes can change how effectively the SW2 propagates into the middle thermosphere. 193 Changes in the modes of SW2 may also lead to destructive interference, reducing the tidal 194

amplitude (Pedatella et al., 2021). The SW2 anomalies in meridional wind during weak 195 stratosphere polar vortex time periods (Figure 3b) similarity show large enhancements 196 at middle latitudes in the MLT. The additional equatorial enhancement in the SW2 in 197 meridional wind is anticipated due to the latitudinal structure of the SW2 Hough modes 198 being different in zonal and meridional wind (Forbes, 1982). The SW2 meridional wind 199 anomalies exhibit decreased amplitudes at low to middle latitudes ($\sim \pm 10{\text{-}}40^{\circ}$) in the 200 thermosphere, which again may be related to changes in the dominant SW2 Hough modes. 201 The SW2 anomalies during strong stratosphere polar vortex time periods (Figures 3c 202 and 3d) are opposite those of the weak stratosphere polar vortex time periods; however, 203 the magnitudes are smaller. The mechanisms driving the tidal anomalies during weak 204 stratosphere polar vortex time periods are thus acting in the reverse manner during strong 205 stratosphere polar vortex time periods. 206



Figure 3. WACCM-X SW2 tidal amplitude anomalies during weak stratosphere polar vortex events for (a) zonal and (b) meridional wind. (c-d) Same as (a-b) except for during strong stratosphere polar vortex events. Stippling denotes areas that are statistically significant at the 95% confidence level. Contour lines are every 2 m/s, and the thick black line is the zero contour.

²⁰⁷ Changes in the residual meridional (v^*) and vertical (w^*) circulation during strong ²⁰⁸ and weak stratosphere polar vortex time periods are shown in Figure 4. During weak ²⁰⁹ stratosphere polar vortex time periods, the residual meridional circulation in the North-

ern Hemisphere has southward anomalies between 10^{-1} - 10^{-2} hPa (65-80 km) and north-210 ward anomalies at higher altitudes $(10^{-3} \cdot 10^{-5} \text{ hPa}, 95 \cdot 120 \text{ km})$. The spatial structure 211 and the magnitude of the anomalies are consistent with the anomalies that occur dur-212 ing SSWs (Orsolini et al., 2022). The anomalies stretch into the Southern Hemisphere 213 with decreasing amplitude and the boundary between southward and northward anoma-214 lies increasing in altitude from the Northern to Southern Hemispheres. This leads to the 215 meridional residual circulation anomalies being oppositely signed in the Northern and 216 Southern Hemispheres at a fixed pressure level (or altitude). Considering also the ver-217 tical residual circulation anomalies, one can see that the circulation anomalies during 218 weak stratosphere polar vortex time periods are characterized by a clockwise circulation 219 in the MLT in the Northern Hemisphere, and an anti-clockwise circulation in the South-220 ern Hemisphere MLT. This is again consistent with prior studies (Pedatella et al., 2016). 221 A weak downwelling is also observed in the equatorial region, which is likely due to the 222 dissipation of SW2 (Yamazaki & Richmond, 2013). The residual circulation anomalies 223 during strong stratosphere polar vortex time periods (Figures 4c and 4d) are opposite 224 signed compared to the weak stratosphere polar vortex time periods. The MLT circu-225 lation anomalies are thus anti-clockwise in the Northern Hemisphere and clockwise in 226 the Southern Hemisphere during strong stratosphere polar vortex time periods. The re-227 versed circulation anomalies indicates that the impacts of the circulation anomalies on, 228 for example, thermosphere composition and mesosphere chemistry, during strong strato-229 sphere polar vortex time periods will be opposite to those that occur during weak strato-230 sphere polar vortex time periods, though the magnitude of the effects will be smaller. 231



Figure 4. WACCM-X circulation anomalies during weak stratosphere polar vortex events for (a) residual meridional circulation (v^*) and (b) residual vertical circulation (w^*) . (c-d) Same as (a-b) except for during strong stratosphere polar vortex events. Stippling denotes areas that are statistically significant at the 95% confidence level. Contour lines are every 1 m/s in v^* and 0.2 cm/s in w^* . The thick black line is the zero contour.

3.2 Ionosphere and Thermosphere

We now turn our attention to understanding how the changes in the MLT tides and 233 circulation influences the ionosphere and thermosphere. Figures 5 and 6 show time se-234 ries of the SW2 in zonal wind and v^* at 5×10^{-5} hPa, the percentage change in the zonal 235 and diurnal mean height integrated O/N_2 , and the SW2 component of TEC for two dif-236 ferent Northern Hemisphere winters simulated by WACCM-X. Figure 5 provides an ex-237 ample of a Northern Hemisphere winter with a strong SSW (i.e., weak stratosphere po-238 lar vortex) in late January that is preceded by a moderately strong stratosphere polar 239 vortex. There is a notable enhancement in the SW2 at MLT altitudes coincident with 240 the stratosphere polar vortex weakening, which is related to the zonal mean zonal wind 241 and ozone changes that occur during SSWs (Jin et al., 2012; Pedatella et al., 2012; Sid-242 diqui et al., 2019). An enhancement in the SW2 component of the TEC also occurs at 243 the same time, demonstrating the close connection between the SW2 in the MLT winds 244 and in the ionosphere. The enhanced SW2 in the MLT further generates a large change 245 in the residual circulation, with poleward winds evident at middle latitudes in both hemi-246 spheres. This is consistent with the residual circulation anomalies during weak strato-247 sphere polar vortex time periods shown in Figures 4a-b. The residual circulation changes 248 in-turn produce a large decrease in the O/N_2 that exceeds 5%, and persists for an ex-249 tended time period. The results in Figure 5 show that there is also a delay of a few days 250 between the change in the residual circulation and the O/N_2 . This delay is consistent 251 with (Pedatella et al., 2016) who also showed a delay between SSW induced circulation 252 anomalies and changes in thermosphere composition. 253

Figure 6 provides an example of a Northern Hemisphere winter that does not con-254 255 tain a considerably strong or weak stratosphere polar vortex, but is characterized by short term variations in the strength of the stratosphere polar vortex. The relationship between 256 the short-term variability in the stratosphere polar vortex and the SW2 variability is ev-257 ident in Figure 6a. In general, one can see that times of slightly stronger stratosphere 258 polar vortex are associated with a decreased SW2 amplitude and times with a slightly 259 weaker stratosphere polar vortex are associated with enhanced SW2 amplitudes. How-260 ever, there are occasions when the relationship between the SW2 amplitude and NAM 261 is not clearly evident. The relationship between the SW2 in the MLT and the ionosphere 262 is again apparent. The circulation anomalies in Figure 6b do not exhibit as clear of a 263 connection with the SW2 in the MLT, though there is a large enhancement in the poleward residual circulation associated with the large SW2 increase around day 50. Like-265 wise, the connection between the short-term variability in the stratosphere polar vortex 266 and the O/N_2 is less evident; however, the general trend of a stronger stratosphere po-267 lar vortex generating an increased O/N_2 and a weaker stratosphere polar vortex gener-268 ating a decreased O/N_2 can be seen in Figure 6c. 269



Figure 5. (a) SW2 amplitude in zonal wind at 5×10^{-5} hPa, (b) residual meridional circulation (v^*) at 5×10^{-5} hPa, (c) column integrated O/N₂ anomaly from the seasonal climatology, and (d) SW2 component of TEC. The thick black line is the Northern Annular Mode (NAM) index at 10 hPa. Results are for ensemble member 11.



Figure 6. Same as Figure 5 except for the results are shown for ensemble member 18.

Figure 7 shows the average anomalies in O/N_2 and the SW2 component of TEC 270 during weak and strong stratosphere polar vortex time periods. The anomalies are ex-271 pressed as percentage changes from the climatological background. The results are based 272 on a temporal lag of eight and four days between the NAM and O/N_2 and SW2 com-273 ponent of TEC, respectively. This corresponds to the temporal lag when the correlations 274 maximize. During weak stratosphere polar vortex times, the O/N_2 is, on average, reduced 275 by $\sim 3-4\%$ at low-latitudes, with smaller decreases at middle latitudes. The O/N₂ response 276 during weak stratosphere polar vortex times in the WACCM-X simulations is slightly 277 smaller than what has been observed during SSWs (Oberheide et al., 2020). This may 278 be due to the fact that the present study includes all stratosphere polar vortex weak-279 enings, and not only large, persistent, SSW events. Alternatively, the model may be un-280 derestimating the changes in thermosphere composition, potentially due to known de-281 ficiencies in the gravity wave drag parameterization that will influence the MLT circu-282 lation (e.g., Stober et al., 2021). An opposite response is seen to occur in the O/N_2 dur-283 ing strong polar vortex time periods, though the magnitude is 1-2%, which is approx-284 imately half of the weak stratosphere polar vortex case. If the magnitudes of the response 285 in WACCM-X are equally underestimated compared to observations in both strong and 286

weak stratosphere polar vortex time periods, one may therefore expect up to $\sim 5\%$ en-287 hancements in equatorial O/N_2 during exceptionally strong stratosphere polar vortex 288 events. Figure 7b shows that the SW2 component of TEC is also notably different dur-289 ing strong and weak stratosphere polar vortex time periods. In particular, the SW2 com-290 ponent of TEC is enhanced by $\sim 30\%$ in the Northern Hemisphere at low to middle mag-291 netic latitudes, and by 5-15% in the Southern Hemisphere. These enhancements during 292 weak stratosphere polar vortex time periods are generally consistent with the observa-293 tional results of Oberheide (2022), who showed a stronger response in the Northern Hemi-294 sphere and enhancements in the SW2 component of TEC of $\sim 25-30\%$ during the 2020-295 2021 SSW. An opposite response is again seen in the SW2 component of TEC during 296 strong stratosphere polar vortex time periods, with decreases of $\sim 10\%$ occurring at low 297 to middle latitudes. Such a decrease is consistent with the SW2 decrease in the MLT, 298 which can directly influence the SW2 component of TEC through influencing the E-region 299

300 dynamo.



Figure 7. (a) Column integrated O/N_2 anomaly during strong and weak stratosphere polar vortex events. (b) Same as (a) except for the SW2 component of TEC. The results are based on a lag of eight and four days between the NAM at 10 hPa and the O/N_2 and SW2 component of TEC, respectively.

The relationship between the anomalies in column integrated O/N_2 and the SW2 component of TEC and the NAM at 10 hPa is shown in Figure 8. Similar to Figure 7, a lag of eight days is used for O/N_2 and four days for the SW2 component of TEC. The results in Figure 8 are shown based on the O/N_2 anomalies between $\pm 25^{\circ}$ geographic latitude and SW2 anomalies between $15-25^{\circ}N$ geomagnetic latitude. These regions correspond to where the greatest anomalies occur during the strong and weak stratosphere

polar vortex time periods. The results in Figure 8a show a clear relationship between 307 the NAM at 10 hPa and the thermosphere O/N_2 , with a positive correlation (i.e., weak-308 ening of the stratosphere polar vortex leads to a decrease in O/N_2). The correlation co-309 efficient is 0.54, indicating that the NAM at 10 hPa explains $\sim 30\%$ of the variability in 310 thermosphere O/N_2 at low latitudes, at least during geomagnetically quiet time periods. 311 An opposite trend is evident in the relationship between the SW2 component of TEC 312 and the NAM at 10 hPa, with a weakening of the stratosphere polar vortex leading to 313 an increase in the SW2 component of TEC. The correlation coefficient between the SW2 314 component of TEC and the NAM at 10 hPa is -0.42, meaning that $\sim 18\%$ of the vari-315 ability in the SW2 component of TEC can be explained by the NAM at 10 hPa. Inter-316 estingly, this is similar to the correlation coefficient between the SW2 at middle latitudes 317 in the MLT and the NAM (-0.51 in this study and -0.62 in Pedatella and Harvey (2022)), 318 demonstrating that much of the variability in the SW2 at MLT altitudes is directly trans-319 mitted to the low latitude ionosphere. 320



Figure 8. WACCM-X daily column integrated O/N_2 anomaly between $\pm 25^{\circ}$ geographic latitude versus the NAM at 10 hPa with a lag of eight days. (b) SW2 amplitude anomaly in TEC between 15-25°N geomagnetic latitude versus the NAM at 10 hPa with a lag of four days. Results are based on the time period December 15 to March 1.

321 4 Conclusions

The present study demonstrates that variability in the stratosphere polar vortex is connected to changes in the MLT circulation, thermosphere composition, and ionosphere electron densities. While previous studies have shown that SSWs, which are characterized by a weak stratosphere polar vortex, induce changes throughout the middle and upper atmosphere, the present study reveals that the impact of the stratosphere polar vortex variability extends to periods when the stratosphere polar vortex is strong. Based on WACCM-X simulations of 40 Northern Hemisphere winters, we can conclude the following:

Weak stratosphere polar vortex periods are characterized by anomalous clock wise and anti-clockwise MLT residual circulations in the Northern Hemisphere and South ern Hemisphere, respectively. The circulation anomalies are reversed during times when
 the stratosphere polar vortex is strong.

2. The residual circulation anomalies in the MLT generate changes in the diurnal and zonal mean thermosphere column integrated O/N_2 . Weak stratosphere polar vortex times are associated with a 3-4% reduction in O/N_2 , while strong stratosphere polar vortex time periods are associated with a 1-2% increase in O/N_2 .

338 3. The strength of the stratosphere polar vortex strongly influences the SW2 in the MLT, leading to changes in the SW2 component of TEC at low latitudes. At low latitudes the SW2 amplitude in TEC is increased by $\sim 20-30\%$ during weak stratosphere polar vortex time periods and decreased by $\sim 10\%$ during strong stratosphere polar vortex time periods.

4. There is a good correlation between the strength of the stratosphere polar vortex and O/N_2 and the SW2 component of TEC. The NAM at 10 hPa explains $\sim 30\%$ of the variability in thermosphere O/N_2 and $\sim 18\%$ of the variability in the SW2 component of TEC during Northern Hemisphere winter and geomagnetically quiet conditions.

348 The results of the present study during weak stratosphere polar vortex time periods are generally consistent with existing knowledge of variability during SSWs obtained 349 through past modeling and observational studies. It should, however, be noted that the 350 slightly less variable stratosphere polar vortex in the present WACCM-X simulations may 351 lead to underestimating some of the effects. The variability during strong stratosphere 352 polar vortex time periods remains to be observationally confirmed. The changes in SW2 353 during strong stratosphere polar vortex time periods should be readily detectable by ob-354 servations given that they can exceed 10%. Limited evidence for the modulation of the 355 SW2 in TEC by the stratosphere polar vortex was presented by Oberheide (2022) for 356 a single winter. The thermosphere O/N_2 changes during strong stratosphere polar vor-357 tex time periods may be more difficult to confirm observationally due to their small mag-358 nitude, though they may be detectable especially during extremely strong stratosphere 359 polar vortex events, which are underrepresented in the present WACCM-X simulations. 360 More detailed observational studies are therefore required in order to confirm the results 361 of the present study. 362

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368 Open Research

WACCMX is part of the Community Earth System Model (CESM) and the source code is available at https://github.com/ESCOMP/CESM. WACCM-X simulation output used

for the present study is available at https://doi.org/10.5281/zenodo.7742051.

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Influence of Stratosphere Polar Vortex Variability on the Mesosphere, Thermosphere, and Ionosphere

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Key Points:

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7	•	Investigate the impact of stratosphere polar vortex on the mesosphere and lower
8		thermosphere circulation, ionosphere, and thermosphere.
9	•	Residual circulation in the mesosphere and lower thermosphere is impacted by both
10		strong and weak stratosphere polar vortex events.
11	•	Stratosphere polar vortex explains $\sim 30\%$ and $\sim 18\%$ of quiet time variability in
12		thermosphere O/N_2 and ionosphere SW2, respectively.

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13 Abstract

The Whole Atmosphere Community Climate Model with thermosphere-ionosphere eX-14 tension (WACCM-X) is used to investigate the influence of stratosphere polar vortex vari-15 ability on the mesosphere, thermosphere, and ionosphere during Northern Hemisphere 16 winter. Based on 40 simulated Northern Hemisphere winters, the mesosphere and lower 17 thermosphere (MLT) residual circulation is found to depend on whether the stratosphere 18 polar vortex is strong or weak. In particular, during weak stratosphere polar vortex time 19 periods, the MLT circulation anomalies are characterized by clockwise and anti-clockwise 20 flow in the Northern and Southern Hemispheres, respectively. Opposite, though weaker, 21 anomalies are found to occur during time periods when the stratosphere polar vortex is 22 strong. The MLT circulation anomalies influence the composition of the lower thermo-23 sphere, leading to $\pm 5\%$ changes in the thermosphere column integrated atomic oxygen 24 to molecular nitrogen ratio (O/N_2) . Large differences between strong and weak strato-25 sphere polar vortex events are also found to occur in the semidiurnal migrating tide (SW2) 26 in the MLT, which leads to ± 15 -20% differences in the SW2 component of the ionosphere 27 total electron content (TEC) at low latitudes. The WACCM-X simulation results indi-28 cate that variability in the stratosphere polar vortex can explain $\sim 30\%$ and $\sim 18\%$ of the 29 quiet time variability in thermosphere O/N_2 and the SW2 component of TEC during 30 Northern Hemisphere winter, respectively. 31

32 1 Introduction

During Northern Hemisphere winter, the stratosphere polar vortex that forms at 33 high-latitudes in the Northern Hemisphere exhibits considerable variability. The strato-34 sphere polar vortex variability is largely due to the presence, or absence, of planetary 35 wave activity. During periods of strong planetary wave activity, the stratosphere polar 36 vortex is weakened, leading to the occurrence of sudden stratosphere warming (SSW) 37 events (Matsuno, 1971). Though identified based on changes in the stratosphere, SSWs 38 have a wide range of impacts throughout the atmosphere (Pedatella et al., 2018; Bald-39 win et al., 2020). 40

In the mesosphere and lower thermosphere (MLT), SSW events are associated with 41 changes in the circulation, mean winds, and atmospheric tides. At middle to high lat-42 itudes in the Northern Hemisphere, the zonal winds in the MLT reverse direction dur-43 ing SSWs (Liu & Roble, 2002; Hoffmann et al., 2007), which is primarily attributed to 44 changes in gravity wave forcing (Liu & Roble, 2002; Limpasuvan et al., 2016; Zülicke et 45 al., 2018). These zonal wind changes can extend across the equator into the Southern 46 Hemisphere MLT through inter-hemispheric coupling (Körnich & Becker, 2010; Smith 47 et al., 2020). The zonal mean zonal wind changes that occur during SSWs leads to en-48 hancements in the migrating solar (SW2) and lunar (M2) semidiurnal tides (Pedatella 49 et al., 2012; Zhang & Forbes, 2014; Chau et al., 2015). The SW2 is also enhanced due 50 to changes in ozone forcing during SSWs (Siddiqui et al., 2019). Additional tidal vari-51 ability occurs in non-migrating semidiurnal tides due to the non-linear interactions be-52 tween the migrating tides and enhanced planetary wave activity (Liu et al., 2010; Pe-53 datella & Liu, 2013; He et al., 2017). The changes in wave forcing during SSWs, includ-54 ing from gravity waves, planetary waves, and tides, alter the mean circulation of the MLT. 55 During SSWs, the MLT circulation is characterized by enhanced clockwise and anti-clockwise 56 circulation patterns in the Northern and Southern Hemispheres, respectively (Miyoshi 57 et al., 2015; Limpasuvan et al., 2016; Orsolini et al., 2022). 58

The changes that occur in the MLT circulation and tides during SSWs have subsequent effects on the ionosphere and thermosphere. In the equatorial and low latitude ionosphere, there is a pronounced change in the electric fields, vertical plasma drift velocities, and electron densities (Chau et al., 2009; Fejer et al., 2010; Goncharenko et al., 2010). These effects are predominantly driven by changes in the solar and lunar semid-

iurnal tides and their impact on the E-region dynamo (Fang et al., 2012; Maute et al., 64 2014). Variations in F-region electron densities also occur at middle latitudes, and are 65 thought to be due to the propagation of enhanced semidiurnal tides into the F-region 66 (Fagundes et al., 2015; Pedatella & Maute, 2015). The magnitude of the ionosphere vari-67 ations during SSWs can be large, reaching, or even exceeding, 50-100% of climatolog-68 ical values. In contrast, smaller variations (\sim 5-10%) are observed in the thermosphere 69 neutral composition (atomic Oxygen to molecular Nitrogen ratio, O/N_2) and neutral den-70 sity (Yamazaki et al., 2015; Oberheide et al., 2020). The thermosphere composition and 71 density changes during SSWs arise due to the aforementioned changes in MLT circula-72 tion (Pedatella et al., 2016; Jr. et al., 2020). For a detailed discussion on the effects of 73 SSWs on the middle and upper atmospheres, the reader is referred to the review papers 74 by Chau et al. (2012), Yiğit et al. (2016), and Goncharenko et al. (2021), 75

The understanding of stratosphere polar vortex variability on the mesosphere, ther-76 mosphere, and ionosphere has largely focused on SSW events. However, recent studies 77 have demonstrated that there is a continuum of variability in the middle and upper at-78 mosphere associated with variations in the stratosphere polar vortex. Based on Spec-79 ified Dynamics Whole Atmosphere Community Climate Model with thermosphere-ionosphere 80 eXtension (SD-WACCM-X) simulations, Pedatella and Harvey (2022) demonstrated that, 81 in addition to weak stratosphere polar vortex events (i.e., SSWs), time periods charac-82 terized by a strong stratosphere polar vortex also lead to anomalous zonal mean tem-83 perature, winds, and tides in the MLT. Of interest to the present investigation is that 84 Pedatella and Harvey (2022) found the SW2 at middle latitudes in the MLT to be well 85 correlated with the strength of the stratosphere polar vortex across the full range of strato-86 sphere polar vortex variability. This is consistent with Liu (2014), who found a strong 87 correlation between SW2 and zonal mean zonal winds in the high latitude stratosphere. 88 Oberheide (2022) also found a close relationship between the stratosphere polar vortex 89 variability and the SW2 in ionosphere electron density, demonstrating that the influence 90 of the stratosphere polar vortex variability on the SW2 in the MLT subsequently impacts 91 the ionosphere. The strength of the stratosphere polar vortex has also been found to mod-92 ulate gravity wave activity in the thermosphere and the associated traveling ionosphere 93 disturbances (Frissell et al., 2016; Becker et al., 2022). Specifically, a strong stratosphere 94 polar vortex was found to lead to an enhancement in TIDs while a weak stratosphere 95 polar vortex decreased TID activity. 96

The present study aims to further investigate how the range of stratosphere po-97 lar vortex variability influences the mesosphere, thermosphere, and ionosphere, includ-98 ing both strong and weak stratosphere polar vortex time periods. This is investigated 99 using 40 Northern Hemisphere winters simulated by WACCM-X. The WACCM-X sim-100 ulations are used to elucidate the influence of strong and weak stratosphere polar vor-101 tex time periods on the MLT circulation, thermosphere composition, and ionosphere elec-102 tron density. The results demonstrate that variability in the stratosphere polar vortex 103 is an important driver of the day-to-day variability in the middle and upper atmosphere 104 during Northern Hemisphere winter, and that these effects are not limited to SSW time 105 periods. 106

107 2 WACCM-X Simulations

Analysis of the impact of stratosphere polar vortex variability in the mesosphere, 108 thermosphere, and ionosphere is investigated based on free-running WACCM-X simu-109 lations. WACCM-X is a whole atmosphere model that simulates the chemistry, dynam-110 ics, electrodynamics, and physics of the Earth's atmosphere from the surface to the up-111 per thermosphere (4.1×10^{-10} hPa). The model horizontal resolution is 1.9° in latitude 112 and 2.5° in longitude. The vertical resolution is ~1-3 km up to 0.96 hPa, and 0.25 scale 113 heights above this level. A detailed description of WACCM-X can be found in Liu et al. 114 (2018) and is not repeated herein. 115

The simulations for the present study consist of an ensemble of 40 Northern Hemi-116 sphere winters simulated by WACCM-X in its free-running mode. The simulations were 117 initialized from an identical state on October 1. Random perturbations were applied to 118 the model temperature fields at the start of the simulations, which leads to the ensem-119 ble members simulating different weather conditions due to chaotic divergence (Liu et 120 al., 2009; Pedatella & Liu, 2018). To eliminate any influence of solar and geomagnetic 121 activity, the simulations were performed with a constant solar flux of 70 solar flux units 122 and a K_p of 0⁺. Similar to Pedatella and Harvey (2022), we use the Northern Annular 123 Mode (NAM) at 10 hPa as a measure of the strength of the stratosphere polar vortex. 124 The NAM is calculated based on the polar cap $(>65^{\circ}N)$ average geopotential height anoma-125 lies (Gerber & Martineau, 2018). It is important to note that the NAM in the present 126 study may not be reflective of the true NAM due to the NAM being normalized by the 127 annual mean and the present simulations only covering a portion of the year. As shown 128 in Figure 1, this may lead to a slight difference in the stratosphere (10 hPa) NAM dis-129 tribution in the WACCM-X ensemble simulations compared to MERRA-2. The differ-130 ent NAM distributions may also be related to differences in the stratosphere zonal mean 131 zonal winds at 10 hPa and 60°N (Figure 1a). In particular, the WACCM-X wind dis-132 tribution is narrower, which may be related to either fewer mid-winter SSWs simulated 133 in WACCM and/or natural variability (de la Torre et al., 2012; Garcia et al., 2017). This 134 will lead to a narrower NAM distribution as seen in Figure 1b. Given the narrower NAM 135 distribution, the thresholds for strong and weak stratosphere polar vortex events are cho-136 sen to be +1.5 and -2.0, respectively. This leads to a roughly similar fraction of strong 137 (13.3%) and weak (4.4%) events as in MERRA-2 when using the thresholds of +2.0 and 138 -3.0 (Pedatella & Harvey, 2022). Similar to Pedatella and Harvey (2022), we present anoma-139 lies from the climatology, where the climatology is based on the 40 Northern Hemisphere 140 winter average smoothed with a running 20-day mean. A Student's T-test is used to test 141 the anomalies for statistical significant relative to the anomalies when the stratosphere 142 polar vortex is consider to be neither weak nor strong. 143



Figure 1. Distribution of (a) zonal mean zonal winds at 60°N and 10 hPa and (b) Northern Annular Mode (NAM) index at 10 hPa during December 15 to March 1 in MERRA-2 (white) and WACCM-X (grey).

¹⁴⁴ 3 Results and Discussion

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3.1 Mesosphere and Lower Thermosphere

Figure 2 shows the average zonal mean temperature and zonal wind anomalies dur-146 ing strong and weak stratosphere polar vortex time periods. The anomalies are consis-147 tent in both magnitude and spatial structure with the results of Pedatella and Harvey 148 (2022). However, the results of Figure 2 are extended into the thermosphere, revealing 149 the temperature and wind anomalies at thermosphere altitudes. They show the expected 150 stratosphere warming, mesosphere cooling, and lower thermosphere warming at high lat-151 itudes in the Northern Hemisphere during weak stratosphere polar vortex time periods 152 (e.g., Liu & Roble, 2002), with weaker and opposite signed anomalies during strong strato-153 sphere polar vortex time periods. Extension of the temperature anomalies across the equa-154 tor into the Southern Hemisphere MLT is also evident. Though there are some minor 155 differences in the equatorial upper stratosphere and lower mesosphere, the zonal mean 156 zonal wind anomalies are also consistent with Pedatella and Harvey (2022). The 40 North-157 ern Hemisphere winters simulated by the free-running WACCM-X thus reproduce the 158 zonal mean anomalies found previously in SD-WACCM-X, which were also shown to be 159 consistent with Aura Microwave Limb Sounder observations. The zonal mean temper-160 ature and wind anomalies in Figure 2 reveal anomalies in the middle to upper thermo-161 sphere, which were not shown previously in Pedatella and Harvey (2022). During weak 162 stratosphere polar vortex time periods, the thermosphere temperature decreases by \sim 3-163 5 K at middle to high latitudes in both hemispheres, and increases by ~ 2 K at low lat-164 itudes. In the thermosphere, the global average temperature decreases by ~ 2 K, consis-165 tent with the observed decrease in satellite drag and neutral mass density (Yamazaki et 166 al., 2015). The decrease is, however, smaller than observed by Yamazaki et al. (2015). 167 A similar temperature pattern, with an increase at low latitudes and decrease at mid-168 dle to high latitudes, was found to occur due to the influence of atmospheric tides by Jr. 169 et al. (2016). Enhanced semidiurnal tidal amplitudes during weak stratosphere polar vor-170 tex time periods may thus explain the temperature structure seen in Figure 2a. In the 171 middle to upper thermosphere, the zonal mean zonal wind anomalies during weak strato-172 sphere polar vortex time periods are westward with maxima of ~ 10 m/s at middle lat-173 itudes near 10^{-5} hPa. This is consistent with previous simulation results (e.g., Pedatella 174 et al., 2016), and is thought to the related to the dissipation of SW2 in the middle ther-175 mosphere as will be discussed later. The temperature and zonal wind anomalies in the 176 thermosphere during strong stratosphere polar vortex time periods are small (< 2-3 K 177 or m/s) and generally opposite to those that occur during weak stratosphere polar vor-178 tex time periods. 179



Figure 2. WACCM-X zonal mean anomalies in (a) temperature during weak stratosphere polar vortex times, (b) zonal mean zonal wind during weak stratosphere polar vortex times, (c) temperature during strong stratosphere polar vortex times, and (d) zonal mean zonal wind during strong stratosphere polar vortex times. Stippling denotes areas that are statistically significant at the 95% confidence level. Units are K and m/s for temperature and zonal wind, respectively. Contour lines are every 5 K or m/s, and the thick black line is the zero contour.

Anomalies in the SW2 during strong and weak stratosphere polar vortex time pe-180 riods are shown in Figure 3. The SW2 anomalies in zonal wind are again largely con-181 sistent with Pedatella and Harvey (2022) in the MLT. During weak stratosphere polar 182 vortex time periods, the SW2 in both zonal and meridional wind shows large enhance-183 ments in middle latitudes in both hemispheres with maxima between 10^{-4} - 10^{-5} hPa (110-184 130 km). The dissipation of SW2 will tend to drive a westward zonal wind, and the dis-185 sipation of the SW2 at these altitudes is thus considered to be a major contributor to 186 the westward zonal mean zonal wind anomalies in Figure 2b. The SW2 enhancements 187 tend to extend into the upper thermosphere. There is, however, a region of decreased 188 SW2 in the Northern Hemisphere middle thermosphere. The decreased SW2 in the mid-189 dle thermosphere is thought to be due to the impact of the changes in zonal mean zonal 190 winds on the propagation of different Hough modes of SW2 (e.g., Jin et al., 2012). Since 191 the vertical wavelength of the tide impacts its vertical propagation, a change in the dom-192 inant modes can change how effectively the SW2 propagates into the middle thermosphere. 193 Changes in the modes of SW2 may also lead to destructive interference, reducing the tidal 194

amplitude (Pedatella et al., 2021). The SW2 anomalies in meridional wind during weak 195 stratosphere polar vortex time periods (Figure 3b) similarity show large enhancements 196 at middle latitudes in the MLT. The additional equatorial enhancement in the SW2 in 197 meridional wind is anticipated due to the latitudinal structure of the SW2 Hough modes 198 being different in zonal and meridional wind (Forbes, 1982). The SW2 meridional wind 199 anomalies exhibit decreased amplitudes at low to middle latitudes ($\sim \pm 10{\text{-}}40^{\circ}$) in the 200 thermosphere, which again may be related to changes in the dominant SW2 Hough modes. 201 The SW2 anomalies during strong stratosphere polar vortex time periods (Figures 3c 202 and 3d) are opposite those of the weak stratosphere polar vortex time periods; however, 203 the magnitudes are smaller. The mechanisms driving the tidal anomalies during weak 204 stratosphere polar vortex time periods are thus acting in the reverse manner during strong 205 stratosphere polar vortex time periods. 206



Figure 3. WACCM-X SW2 tidal amplitude anomalies during weak stratosphere polar vortex events for (a) zonal and (b) meridional wind. (c-d) Same as (a-b) except for during strong stratosphere polar vortex events. Stippling denotes areas that are statistically significant at the 95% confidence level. Contour lines are every 2 m/s, and the thick black line is the zero contour.

²⁰⁷ Changes in the residual meridional (v^*) and vertical (w^*) circulation during strong ²⁰⁸ and weak stratosphere polar vortex time periods are shown in Figure 4. During weak ²⁰⁹ stratosphere polar vortex time periods, the residual meridional circulation in the North-

ern Hemisphere has southward anomalies between 10^{-1} - 10^{-2} hPa (65-80 km) and north-210 ward anomalies at higher altitudes $(10^{-3} \cdot 10^{-5} \text{ hPa}, 95 \cdot 120 \text{ km})$. The spatial structure 211 and the magnitude of the anomalies are consistent with the anomalies that occur dur-212 ing SSWs (Orsolini et al., 2022). The anomalies stretch into the Southern Hemisphere 213 with decreasing amplitude and the boundary between southward and northward anoma-214 lies increasing in altitude from the Northern to Southern Hemispheres. This leads to the 215 meridional residual circulation anomalies being oppositely signed in the Northern and 216 Southern Hemispheres at a fixed pressure level (or altitude). Considering also the ver-217 tical residual circulation anomalies, one can see that the circulation anomalies during 218 weak stratosphere polar vortex time periods are characterized by a clockwise circulation 219 in the MLT in the Northern Hemisphere, and an anti-clockwise circulation in the South-220 ern Hemisphere MLT. This is again consistent with prior studies (Pedatella et al., 2016). 221 A weak downwelling is also observed in the equatorial region, which is likely due to the 222 dissipation of SW2 (Yamazaki & Richmond, 2013). The residual circulation anomalies 223 during strong stratosphere polar vortex time periods (Figures 4c and 4d) are opposite 224 signed compared to the weak stratosphere polar vortex time periods. The MLT circu-225 lation anomalies are thus anti-clockwise in the Northern Hemisphere and clockwise in 226 the Southern Hemisphere during strong stratosphere polar vortex time periods. The re-227 versed circulation anomalies indicates that the impacts of the circulation anomalies on, 228 for example, thermosphere composition and mesosphere chemistry, during strong strato-229 sphere polar vortex time periods will be opposite to those that occur during weak strato-230 sphere polar vortex time periods, though the magnitude of the effects will be smaller. 231



Figure 4. WACCM-X circulation anomalies during weak stratosphere polar vortex events for (a) residual meridional circulation (v^*) and (b) residual vertical circulation (w^*) . (c-d) Same as (a-b) except for during strong stratosphere polar vortex events. Stippling denotes areas that are statistically significant at the 95% confidence level. Contour lines are every 1 m/s in v^* and 0.2 cm/s in w^* . The thick black line is the zero contour.

3.2 Ionosphere and Thermosphere

We now turn our attention to understanding how the changes in the MLT tides and 233 circulation influences the ionosphere and thermosphere. Figures 5 and 6 show time se-234 ries of the SW2 in zonal wind and v^* at 5×10^{-5} hPa, the percentage change in the zonal 235 and diurnal mean height integrated O/N_2 , and the SW2 component of TEC for two dif-236 ferent Northern Hemisphere winters simulated by WACCM-X. Figure 5 provides an ex-237 ample of a Northern Hemisphere winter with a strong SSW (i.e., weak stratosphere po-238 lar vortex) in late January that is preceded by a moderately strong stratosphere polar 239 vortex. There is a notable enhancement in the SW2 at MLT altitudes coincident with 240 the stratosphere polar vortex weakening, which is related to the zonal mean zonal wind 241 and ozone changes that occur during SSWs (Jin et al., 2012; Pedatella et al., 2012; Sid-242 diqui et al., 2019). An enhancement in the SW2 component of the TEC also occurs at 243 the same time, demonstrating the close connection between the SW2 in the MLT winds 244 and in the ionosphere. The enhanced SW2 in the MLT further generates a large change 245 in the residual circulation, with poleward winds evident at middle latitudes in both hemi-246 spheres. This is consistent with the residual circulation anomalies during weak strato-247 sphere polar vortex time periods shown in Figures 4a-b. The residual circulation changes 248 in-turn produce a large decrease in the O/N_2 that exceeds 5%, and persists for an ex-249 tended time period. The results in Figure 5 show that there is also a delay of a few days 250 between the change in the residual circulation and the O/N_2 . This delay is consistent 251 with (Pedatella et al., 2016) who also showed a delay between SSW induced circulation 252 anomalies and changes in thermosphere composition. 253

Figure 6 provides an example of a Northern Hemisphere winter that does not con-254 255 tain a considerably strong or weak stratosphere polar vortex, but is characterized by short term variations in the strength of the stratosphere polar vortex. The relationship between 256 the short-term variability in the stratosphere polar vortex and the SW2 variability is ev-257 ident in Figure 6a. In general, one can see that times of slightly stronger stratosphere 258 polar vortex are associated with a decreased SW2 amplitude and times with a slightly 259 weaker stratosphere polar vortex are associated with enhanced SW2 amplitudes. How-260 ever, there are occasions when the relationship between the SW2 amplitude and NAM 261 is not clearly evident. The relationship between the SW2 in the MLT and the ionosphere 262 is again apparent. The circulation anomalies in Figure 6b do not exhibit as clear of a 263 connection with the SW2 in the MLT, though there is a large enhancement in the poleward residual circulation associated with the large SW2 increase around day 50. Like-265 wise, the connection between the short-term variability in the stratosphere polar vortex 266 and the O/N_2 is less evident; however, the general trend of a stronger stratosphere po-267 lar vortex generating an increased O/N_2 and a weaker stratosphere polar vortex gener-268 ating a decreased O/N_2 can be seen in Figure 6c. 269



Figure 5. (a) SW2 amplitude in zonal wind at 5×10^{-5} hPa, (b) residual meridional circulation (v^*) at 5×10^{-5} hPa, (c) column integrated O/N₂ anomaly from the seasonal climatology, and (d) SW2 component of TEC. The thick black line is the Northern Annular Mode (NAM) index at 10 hPa. Results are for ensemble member 11.



Figure 6. Same as Figure 5 except for the results are shown for ensemble member 18.

Figure 7 shows the average anomalies in O/N_2 and the SW2 component of TEC 270 during weak and strong stratosphere polar vortex time periods. The anomalies are ex-271 pressed as percentage changes from the climatological background. The results are based 272 on a temporal lag of eight and four days between the NAM and O/N_2 and SW2 com-273 ponent of TEC, respectively. This corresponds to the temporal lag when the correlations 274 maximize. During weak stratosphere polar vortex times, the O/N_2 is, on average, reduced 275 by $\sim 3-4\%$ at low-latitudes, with smaller decreases at middle latitudes. The O/N₂ response 276 during weak stratosphere polar vortex times in the WACCM-X simulations is slightly 277 smaller than what has been observed during SSWs (Oberheide et al., 2020). This may 278 be due to the fact that the present study includes all stratosphere polar vortex weak-279 enings, and not only large, persistent, SSW events. Alternatively, the model may be un-280 derestimating the changes in thermosphere composition, potentially due to known de-281 ficiencies in the gravity wave drag parameterization that will influence the MLT circu-282 lation (e.g., Stober et al., 2021). An opposite response is seen to occur in the O/N_2 dur-283 ing strong polar vortex time periods, though the magnitude is 1-2%, which is approx-284 imately half of the weak stratosphere polar vortex case. If the magnitudes of the response 285 in WACCM-X are equally underestimated compared to observations in both strong and 286

weak stratosphere polar vortex time periods, one may therefore expect up to $\sim 5\%$ en-287 hancements in equatorial O/N_2 during exceptionally strong stratosphere polar vortex 288 events. Figure 7b shows that the SW2 component of TEC is also notably different dur-289 ing strong and weak stratosphere polar vortex time periods. In particular, the SW2 com-290 ponent of TEC is enhanced by $\sim 30\%$ in the Northern Hemisphere at low to middle mag-291 netic latitudes, and by 5-15% in the Southern Hemisphere. These enhancements during 292 weak stratosphere polar vortex time periods are generally consistent with the observa-293 tional results of Oberheide (2022), who showed a stronger response in the Northern Hemi-294 sphere and enhancements in the SW2 component of TEC of $\sim 25-30\%$ during the 2020-295 2021 SSW. An opposite response is again seen in the SW2 component of TEC during 296 strong stratosphere polar vortex time periods, with decreases of $\sim 10\%$ occurring at low 297 to middle latitudes. Such a decrease is consistent with the SW2 decrease in the MLT, 298 which can directly influence the SW2 component of TEC through influencing the E-region 299

300 dynamo.



Figure 7. (a) Column integrated O/N_2 anomaly during strong and weak stratosphere polar vortex events. (b) Same as (a) except for the SW2 component of TEC. The results are based on a lag of eight and four days between the NAM at 10 hPa and the O/N_2 and SW2 component of TEC, respectively.

The relationship between the anomalies in column integrated O/N_2 and the SW2 component of TEC and the NAM at 10 hPa is shown in Figure 8. Similar to Figure 7, a lag of eight days is used for O/N_2 and four days for the SW2 component of TEC. The results in Figure 8 are shown based on the O/N_2 anomalies between $\pm 25^{\circ}$ geographic latitude and SW2 anomalies between $15-25^{\circ}N$ geomagnetic latitude. These regions correspond to where the greatest anomalies occur during the strong and weak stratosphere

polar vortex time periods. The results in Figure 8a show a clear relationship between 307 the NAM at 10 hPa and the thermosphere O/N_2 , with a positive correlation (i.e., weak-308 ening of the stratosphere polar vortex leads to a decrease in O/N_2). The correlation co-309 efficient is 0.54, indicating that the NAM at 10 hPa explains $\sim 30\%$ of the variability in 310 thermosphere O/N_2 at low latitudes, at least during geomagnetically quiet time periods. 311 An opposite trend is evident in the relationship between the SW2 component of TEC 312 and the NAM at 10 hPa, with a weakening of the stratosphere polar vortex leading to 313 an increase in the SW2 component of TEC. The correlation coefficient between the SW2 314 component of TEC and the NAM at 10 hPa is -0.42, meaning that $\sim 18\%$ of the vari-315 ability in the SW2 component of TEC can be explained by the NAM at 10 hPa. Inter-316 estingly, this is similar to the correlation coefficient between the SW2 at middle latitudes 317 in the MLT and the NAM (-0.51 in this study and -0.62 in Pedatella and Harvey (2022)), 318 demonstrating that much of the variability in the SW2 at MLT altitudes is directly trans-319 mitted to the low latitude ionosphere. 320



Figure 8. WACCM-X daily column integrated O/N_2 anomaly between $\pm 25^{\circ}$ geographic latitude versus the NAM at 10 hPa with a lag of eight days. (b) SW2 amplitude anomaly in TEC between 15-25°N geomagnetic latitude versus the NAM at 10 hPa with a lag of four days. Results are based on the time period December 15 to March 1.

321 4 Conclusions

The present study demonstrates that variability in the stratosphere polar vortex is connected to changes in the MLT circulation, thermosphere composition, and ionosphere electron densities. While previous studies have shown that SSWs, which are characterized by a weak stratosphere polar vortex, induce changes throughout the middle and upper atmosphere, the present study reveals that the impact of the stratosphere polar vortex variability extends to periods when the stratosphere polar vortex is strong. Based on WACCM-X simulations of 40 Northern Hemisphere winters, we can conclude the following:

Weak stratosphere polar vortex periods are characterized by anomalous clock wise and anti-clockwise MLT residual circulations in the Northern Hemisphere and South ern Hemisphere, respectively. The circulation anomalies are reversed during times when
 the stratosphere polar vortex is strong.

2. The residual circulation anomalies in the MLT generate changes in the diurnal and zonal mean thermosphere column integrated O/N_2 . Weak stratosphere polar vortex times are associated with a 3-4% reduction in O/N_2 , while strong stratosphere polar vortex time periods are associated with a 1-2% increase in O/N_2 .

338 3. The strength of the stratosphere polar vortex strongly influences the SW2 in the MLT, leading to changes in the SW2 component of TEC at low latitudes. At low latitudes the SW2 amplitude in TEC is increased by $\sim 20-30\%$ during weak stratosphere polar vortex time periods and decreased by $\sim 10\%$ during strong stratosphere polar vortex time periods.

4. There is a good correlation between the strength of the stratosphere polar vortex and O/N_2 and the SW2 component of TEC. The NAM at 10 hPa explains $\sim 30\%$ of the variability in thermosphere O/N_2 and $\sim 18\%$ of the variability in the SW2 component of TEC during Northern Hemisphere winter and geomagnetically quiet conditions.

348 The results of the present study during weak stratosphere polar vortex time periods are generally consistent with existing knowledge of variability during SSWs obtained 349 through past modeling and observational studies. It should, however, be noted that the 350 slightly less variable stratosphere polar vortex in the present WACCM-X simulations may 351 lead to underestimating some of the effects. The variability during strong stratosphere 352 polar vortex time periods remains to be observationally confirmed. The changes in SW2 353 during strong stratosphere polar vortex time periods should be readily detectable by ob-354 servations given that they can exceed 10%. Limited evidence for the modulation of the 355 SW2 in TEC by the stratosphere polar vortex was presented by Oberheide (2022) for 356 a single winter. The thermosphere O/N_2 changes during strong stratosphere polar vor-357 tex time periods may be more difficult to confirm observationally due to their small mag-358 nitude, though they may be detectable especially during extremely strong stratosphere 359 polar vortex events, which are underrepresented in the present WACCM-X simulations. 360 More detailed observational studies are therefore required in order to confirm the results 361 of the present study. 362

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368 Open Research

WACCMX is part of the Community Earth System Model (CESM) and the source code is available at https://github.com/ESCOMP/CESM. WACCM-X simulation output used

for the present study is available at https://doi.org/10.5281/zenodo.7742051.

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