

Positive Low Cloud Feedback Primarily Caused by Increasing Longwave Radiation from the Sea Surface in Two Versions of a Climate Model

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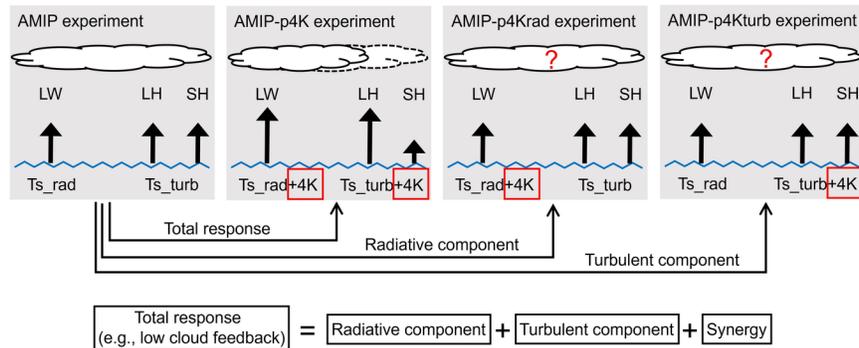
March 26, 2023

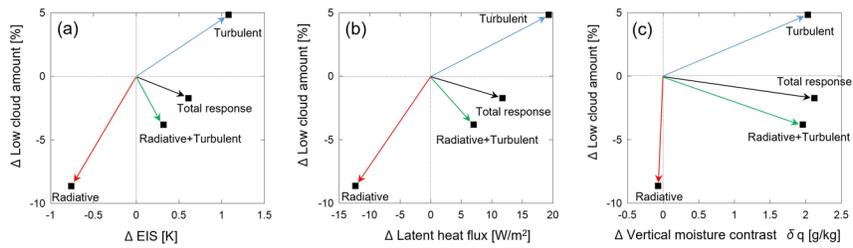
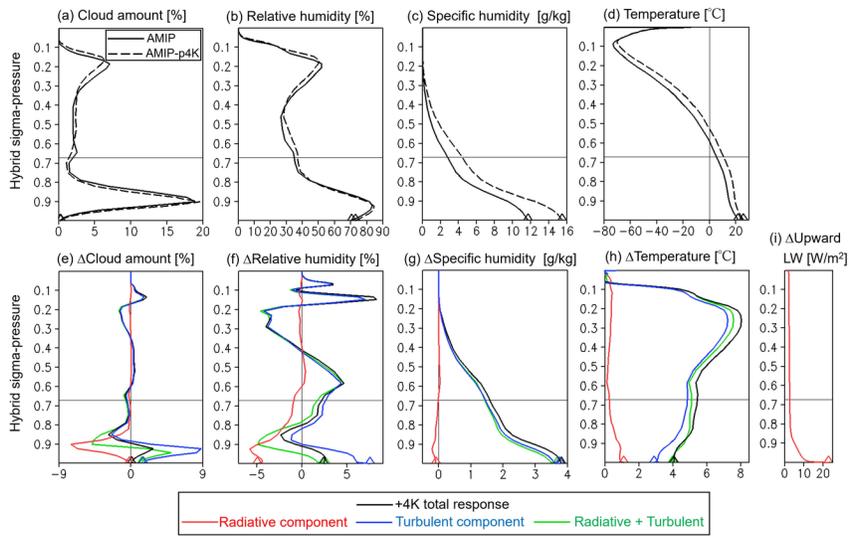
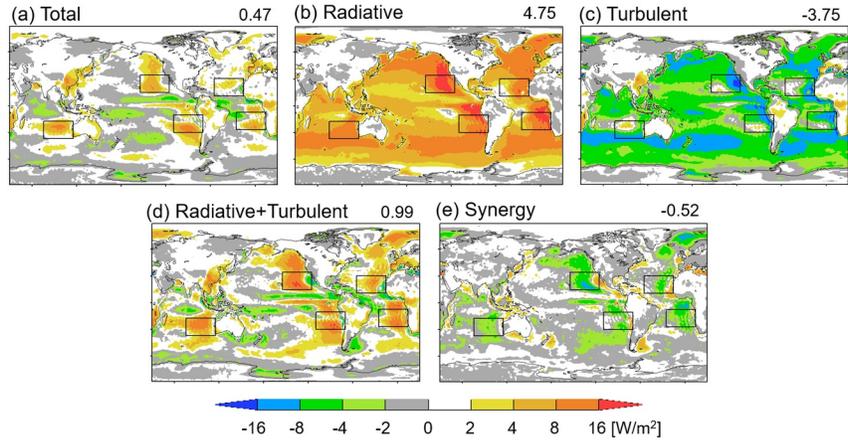
Abstract

Low cloud feedback in global warming projections by climate models is characterized by its positive sign, the mechanism of which is not well understood. Here we propose that the positive sign is primarily caused by the increase in upward longwave radiation from the sea surface. We devise numerical experiments that enable separation of the feedback into components coming from physically distinct causes. Results of these experiments with a climate model indicate that increases in upward longwave radiation from the sea surface cause warming and absolute drying in the boundary layer, leading to the positive low cloud feedback. The absolute drying results from decrease in surface evaporation, and also from decrease in inversion strength which enhances vertical mixing of drier free tropospheric air into the boundary layer. This mechanism is different from previously proposed understanding that positive low cloud feedback is caused by increases in surface evaporation or vertical moisture contrast.

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1 **Positive Low Cloud Feedback Primarily Caused by Increasing Longwave Radiation**
2 **from the Sea Surface in Two Versions of a Climate Model**
3

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9 **Key Points:**

- 10 • The increase in longwave radiation from the sea surface is a leading order cause of the
11 positive low cloud feedback in a climate model.
- 12 • This increase in longwave radiation leads to warming and drying in the boundary layer,
13 which contributes to the decrease in the low cloud.
- 14 • This mechanism is not associated with increases in surface evaporation or vertical
15 moisture contrast.

16 **Abstract**

17 Low cloud feedback in global warming projections by climate models is characterized by its
18 positive sign, the mechanism of which is not well understood. Here we propose that the positive
19 sign is primarily caused by the increase in upward longwave radiation from the sea surface. We
20 devise numerical experiments that enable separation of the feedback into components coming
21 from physically distinct causes. Results of these experiments with a climate model indicate that
22 increases in upward longwave radiation from the sea surface cause warming and absolute drying
23 in the boundary layer, leading to the positive low cloud feedback. The absolute drying results
24 from decrease in surface evaporation, and also from decrease in inversion strength which
25 enhances vertical mixing of drier free tropospheric air into the boundary layer. This mechanism
26 is different from previously proposed understanding that positive low cloud feedback is caused
27 by increases in surface evaporation or vertical moisture contrast.

28

29 **Plain Language Summary**

30 We project future climate change induced by atmospheric greenhouse gas increases by
31 conducting numerical simulations using specialized computer codes, namely Global Climate
32 Models. Results of such simulations are characterized by decreases in low cloud with warming at
33 the Earth's surface, which amplifies the warming by reflecting less sunlight back to space and
34 allowing more sunlight to be absorbed at the surface. This amplifying effect, called 'positive low
35 cloud feedback', is important because the amount of future warming affects our living and safety.
36 However, the mechanism of the low cloud decreases with warming is not well understood. Here
37 we propose that the low cloud decrease is primarily caused by increase in upward longwave
38 radiation from the sea surface. We devise numerical simulations that enable the separation of the
39 low cloud feedback into components coming from physically distinct causes. Results of the
40 simulations indicate that increases in upward longwave radiation from the sea surface cause
41 warming and drying near the Earth's surface, leading to the low cloud decrease. This mechanism
42 is different from previously proposed understanding that the low cloud decrease is due to
43 increases in sea surface evaporation or vertical moisture contrast.

44 **1 Introduction**

45 Low cloud feedback is an important source of uncertainty in the projections of future
46 climate using general circulation models (GCMs). The projections of future climate by multiple
47 GCMs exhibit large inter-model differences, which cause difficulty in evaluating the impact of
48 climate change. The inter-model difference in the projected surface air temperature for a given
49 CO₂ increase is mainly attributable to the inter-model difference in cloud feedback (e.g.,
50 Caldwell et al. 2016; Vial et al. 2013; Webb et al. 2013). Specifically, changes in low cloud
51 induced by surface warming make the largest contribution to this uncertainty (e.g., Zelinka et al.
52 2016, 2020). Understanding the inter-model difference in low cloud feedback is thus imperative,
53 which motivates research on the mechanism of the low cloud feedback simulated by the GCMs.

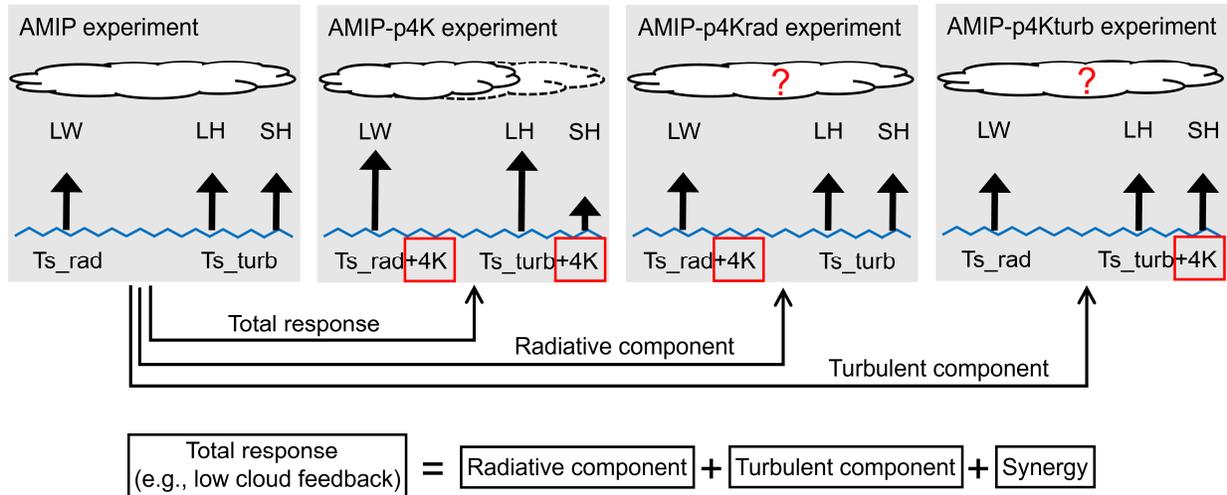
54 An interesting feature of the low cloud feedback simulated by the GCMs is that it is
55 positive in most models (Zelinka et al. 2020). The positive sign is associated with decreases in
56 low cloud amount with surface warming, which amplifies the warming by allowing more solar
57 radiation to be absorbed at the surface. However, the magnitude of the low cloud decrease varies
58 widely across models, leading to a large uncertainty in the low cloud feedback. A critical

59 question here is why low cloud decreases with surface warming, the mechanism of which is not
60 well understood (Boucher et al. 2013; Forster et al. 2021).

61 Several studies have been conducted to address this issue by attributing simulated
62 changes in low cloud to changes in environmental factors (Qu et al. 2014, 2015; Zhai et al. 2015;
63 Myers and Norris 2016; Brient and Schneider 2016; McCoy et al. 2017; Klein et al. 2017). Qu et
64 al. (2014), among others, developed a heuristic model which interprets the positive low cloud
65 feedback in the subtropical low cloud regions in GCMs. The model indicates that changes in low
66 cloud amount mainly come from two factors: local SST warming and increase in the strength of
67 the inversion capping the atmospheric boundary layer, which is measured by the Estimated
68 Inversion Strength (EIS, Wood and Bretherton 2006). The local SST warming tends to decrease
69 low cloud, while the enhancement of EIS tends to increase the cloud. The net effect is a decrease
70 in low cloud amount because the effect of the SST outweighs that of the EIS in most models.

71 The mechanism underlying the effect of EIS on low cloud is well understood (Klein and
72 Hartmann 1993; Wood and Bretherton 2006). However, the mechanism of how the local SST
73 warming influences the low cloud is still under debate. The following two mechanisms have
74 been proposed, based on studies using Large Eddy Simulations. First, SST warming leads to an
75 increase in surface latent heat flux, which enhances vertical mixing by turbulence or convection
76 in the lower troposphere. This enhances entrainment of drier air from the free troposphere into
77 the moister boundary layer, desiccating low cloud (Rieck et al. 2012). Second, the increase in
78 latent heat flux from the sea surface induces an increase in water vapor specific humidity in the
79 atmosphere. The magnitude of the increase in humidity is more pronounced in the boundary
80 layer than in free troposphere, increasing the vertical moisture contrast. This increase in moisture
81 contrast enhances the efficiency with which vertical mixing dehydrates the boundary layer,
82 reducing low cloud (Bretherton and Blossey 2014, Sherwood et al. 2014, van der Dussen et al.
83 2015).

84 Recently, however, detailed examination of some GCM experiments gave results which
85 are not consistent with the above understanding. For instance, Webb et al. (2018) explored the
86 impact of surface latent heat flux on low cloud amount, forcing the latent heat flux to increase at
87 different rates with SST warming in HadGEM2-A. They found that the magnitude of the low
88 cloud decrease becomes smaller when the latent heat flux is forced to increase at higher rates.
89 Similar results were obtained by Watanabe et al. (2018) using MIROC5. These findings suggest
90 that mechanisms other than the increase in latent heat flux are needed to explain the decrease in
91 low cloud with SST warming in climate models. However, such mechanisms are yet to be
92 identified. Here we propose an alternative mechanism for the low cloud decrease with SST
93 warming based on a new method for decomposing feedbacks in GCM experiments. We argue
94 that the increase in upward longwave radiation from the sea surface is a leading order cause of
95 the low cloud decrease.



96

97 **Figure 1.** Schematic showing the experimental design. Ts_rad indicates the SST used for
 98 calculating LW radiation from the sea surface. Ts_turb is the SST used for calculating turbulent
 99 transport from the sea surface, including latent heat (LH) and sensible heat (SH) fluxes.

100 2 Numerical experiments

101 The low cloud feedback is investigated using an atmospheric GCM MIROC6 with the
 102 spatial resolution of T85 ($\sim 1.4^\circ$) with 81 vertical levels (Tatebe et al. 2019). The simulation
 103 protocol follows that of the Atmospheric Model Intercomparison Project (AMIP), because the
 104 AMIP-type experiments can simulate the low cloud changes that are caused by the SST warming,
 105 which are the main focus of this study. They also provide a good approximation to the cloud
 106 feedbacks determined from coupled atmosphere-ocean CO_2 -forced simulations (Ringer et al.
 107 2014).

108 In the AMIP-p4K run, the uniform SST warming of 4K compared to the AMIP run
 109 modifies the atmosphere via two causal pathways, firstly by increasing the upward longwave
 110 radiation from the sea surface, and secondly by changing the turbulent transport at the air-sea
 111 interface, such as the latent and sensible heat fluxes (Figure 1). The decrease in low cloud
 112 amount, and hence the positive low cloud feedback, is a result of these two causal factors.

113 We attempt to better understand the roles of the two factors by adding two experiments.
 114 In the first experiment, SST is raised by 4K only when calculating the upward longwave
 115 radiation from the sea surface using Planck function (AMIP-p4Krad experiment, Figure 1). In
 116 the second, SST is raised by 4K only when calculating the turbulent transport at the air-sea
 117 interface using bulk aerodynamic formulas (AMIP-p4Kturb experiment). More details of the two
 118 experiments are given in the Supporting Information (Text S1). All of the experiments are
 119 integrated for 1979-2014 and the output is averaged for 36 years.

120 The differences of the SST warming experiments compared to the AMIP run are called
 121 'total response (AMIP-p4K minus AMIP)', 'radiative component (AMIP-p4Krad minus AMIP)',
 122 and 'turbulent component (AMIP-p4Kturb minus AMIP)', respectively. As the total response, we
 123 focus on the low cloud feedback, and write it as a sum of the radiative component, the turbulent
 124 component, and a synergy term (Figure 1). Now the low cloud feedback is separated into

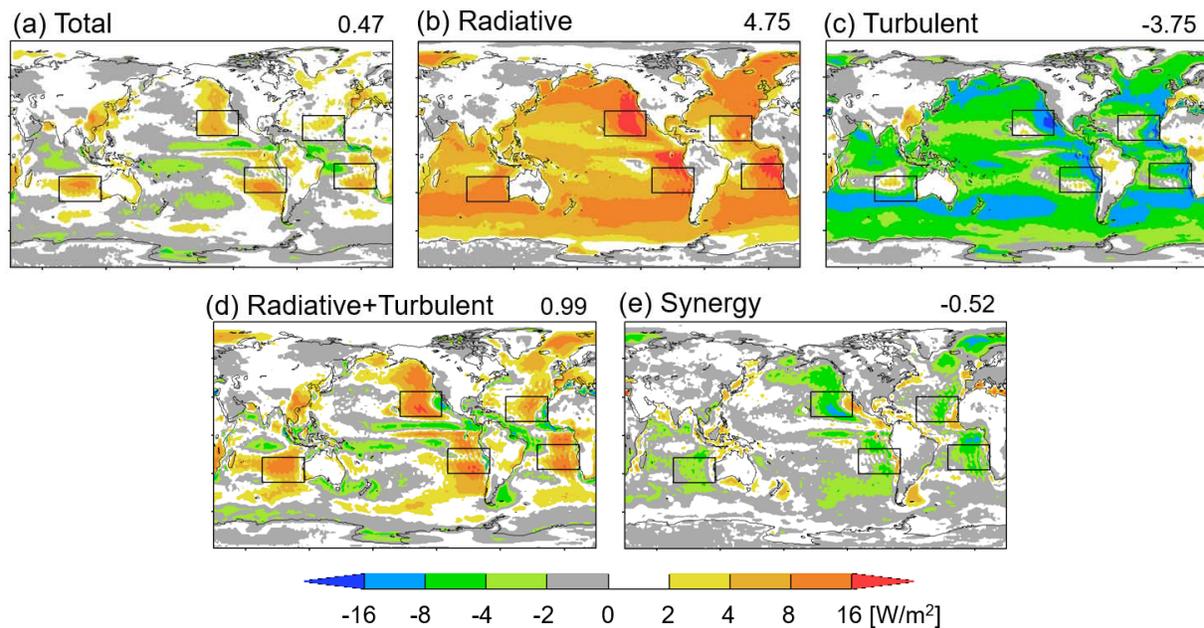
125 components that originate from physically distinct causes, namely, the effect of increasing SST
 126 on upwelling surface longwave radiation and its effect on surface turbulent fluxes. The intention
 127 here is to see which component makes the low cloud feedback positive. The synergy is a residual
 128 term that is evaluated as the difference between the total response and the sum of the radiative
 129 and turbulent components. It represents the effect of the radiative and turbulent components
 130 working together.

131 All of the experiments, as outlined above, are repeated using another atmospheric GCM
 132 MIROC5 with the spatial resolution of T42 ($\sim 2.8^\circ$) with 40 vertical levels (Shiogama et al.
 133 2012; Ogura et al. 2017). In the following, however, we present the output of MIROC6 only,
 134 since the results from MIROC5 are similar to those from MIROC6. Results from MIROC5 are
 135 shown in the Supporting Information so that readers can confirm robustness of the conclusions
 136 (Figures S1-S3).

137 3 Results

138 We first present the low cloud feedback simulated by MIROC6 in Figure 2(a). This is
 139 evaluated by multiplying changes in the ISCCP low cloud amount by the cloud radiative kernel,
 140 which gives the changes in radiation flux at the TOA induced by the low cloud changes (Zelinka
 141 et al. 2012; Bodas-Salcedo et al. 2011; Klein and Jakob 1999; Webb et al. 2001). The ISCCP
 142 cloud amount with cloud top pressure greater than 680hPa is used for the evaluation. In Figure
 143 2(a), we confirm that the global average low cloud feedback is positive. The positive signal is
 144 particularly evident in subtropical marine regions off the western coasts of continents, where low
 145 clouds prevail in both observations and model control climates.

146



147

148 **Figure 2.** Low cloud feedback induced by 4K increases in SST. (a) Total low cloud feedback,
 149 (b) radiative component, (c) turbulent component, (d) sum of the radiative and turbulent
 150 components, and (e) synergy. Global averages are indicated at the top right of each panel. The

151 units can be converted to $[\text{W}/\text{m}^2/\text{K}]$ by dividing by the surface warming of 4.54K in the AMIP-
152 p4K run. Black rectangles indicate low cloud regions focused on in Figures 3 and 4.

153

154 The low cloud feedback is separated into the radiative component, turbulent component,
155 and synergy as shown in Figure 2(b,c,e). The radiative component is characterized with positive
156 contributions over the oceans, while the turbulent component is dominated by negative
157 contributions (Figure 2b,c). If we add the two components together, as shown in Figure 2(d), the
158 result captures the geographical pattern (especially the sign) of the total low cloud feedback in
159 Figure 2(a). The pattern correlation between Figures 2(a) and 2(d) is 0.81. Therefore, the low
160 cloud feedback can be approximated as a sum of the radiative and turbulent components,
161 although the synergy effect is not negligible as shown in Figure 2(e).

162 Focusing on the sum of the radiative and the turbulent components in Figure 2(d), we
163 find that the low cloud feedback becomes positive where the radiative component outweighs the
164 turbulent component. Without the radiative component, the low cloud feedback would have been
165 negative overall (Figure 2c). This means that the low cloud feedback becomes positive because
166 of the radiative component. In other words, the positive sign of the feedback is mainly attributed
167 to the increase in upward longwave radiation from the sea surface.

168 How does the longwave radiation cause the positive low cloud feedback? The mechanism
169 is further examined, focusing on area averages over the five oceanic regions indicated by the
170 black rectangles in Figure 2. These regions are chosen because the positive low cloud feedback
171 stands out here in MIROC6 (Figure 2a), and also because they match the low cloud regions
172 based on observations (Qu et al. 2014). Here, vertical profiles of cloud-related variables are
173 examined in Figure 3. We focus on the cloud amount below the 680hPa level because this is
174 where the low cloud feedback originates (Figure 3a,e). Note also that the low cloud feedback is
175 strongly correlated with the cloud amount, but less well with the cloud optical thickness or cloud
176 top pressure (Figure S4).

177 The total response of the cloud amount below the 680hPa level (Figure 3e, black) shows
178 a characteristic dipole pattern, in which a cloud decrease above (σ -p level \approx 0.85) is moderated
179 by a cloud increase below (σ -p level \approx 0.9). The dipole pattern reflects shallowing of the
180 boundary layer cloud at σ -p level \approx 0.9 (Figure 3a). As a comparison, we also plot the radiative
181 and turbulent components in Figure 3e (red and blue). Clearly, the turbulent component (blue)
182 fails to reproduce the total response (black) at the σ -p level \approx 0.9, namely, the blue curve
183 exceeds the black one. This explains how the turbulent component shows increase in low cloud,
184 leading to the negative feedback. In contrast, the radiative component (red) shows a decrease in
185 low cloud at σ -p level \approx 0.9, which opposes the cloud increase in the turbulent component (blue).
186 When added together, the radiative and turbulent components (green) roughly reproduce the
187 dipole pattern in the total response (black), although the positive and negative maxima are
188 exaggerated. Hence, the low cloud decrease in the radiative component (red) is the key to
189 understanding the low cloud decrease in the total response (black).

190 The low cloud decrease in the radiative component (Figure 3e, red) is consistent with a
191 decrease in relative humidity (Figure 3f, red), which comes from both a warming and a decrease
192 in specific humidity (Figure 3g,h, red). This can be confirmed by looking at the geographical

193 distribution (Figure S5). The warming is caused by the increase in upward longwave radiation
194 from the sea surface, which is absorbed by the atmosphere (Figure 3i). The decrease in specific
195 humidity can be explained by two mechanisms. Firstly, the magnitude of the warming is larger in
196 the boundary layer compared to the free troposphere, having a bottom-heavy vertical profile
197 (Figure 3h, red). This decreases the strength of the inversion capping the boundary layer. As a
198 result, vertical mixing across the inversion increases, making the boundary layer less humid
199 (Klein and Hartmann 1993). Secondly, the longwave-induced warming of the atmosphere
200 increases the static stability at the air-sea interface. Note that the SST is kept the same as the
201 AMIP experiment except for calculating the upward longwave radiation. The increase in the
202 static stability suppresses the turbulent transport of water vapor from the sea surface (Text S2,
203 Figure S9).

204 The warming and the absolute drying in the boundary layer, as described above, leads to
205 the low cloud decrease in the radiative component. The mechanism may be summarized as
206 "Cloud Reduction due to Increased Surface Temperature Longwave Emission (CRISTLE)". In
207 addition, the decrease in the low cloud initiates a process that reduces the low cloud further.
208 Namely, the decrease in the low cloud causes weakening of the radiative cooling of the boundary
209 layer (Figure S8d,f, black). This contributes to warming and a decrease in relative humidity,
210 thereby reducing the low cloud further (Figure S7e, green, Brient and Bony 2012). We note that
211 the low cloud decrease in the radiative component is not associated with an increase in specific
212 humidity or surface evaporation (Figures 3g, S9a). We also considered a number of other
213 possible explanations for the low cloud reductions in the radiative component (Table S1).

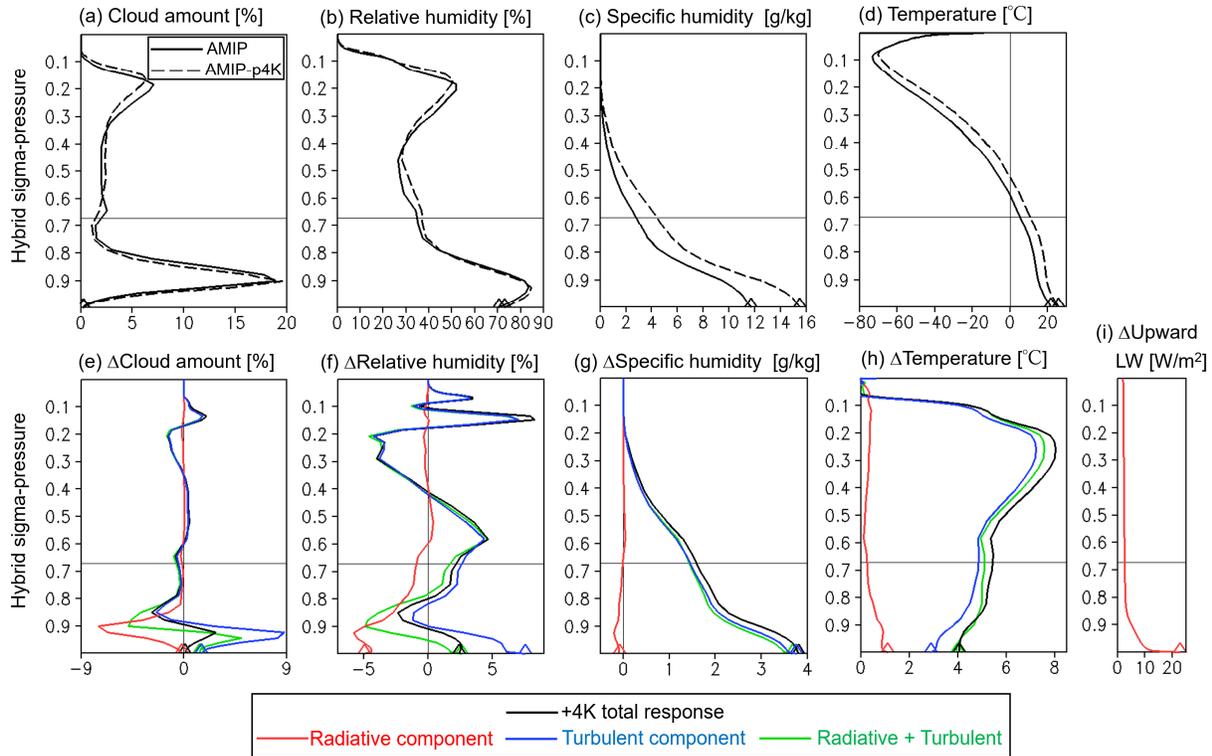
214 In the turbulent component, by contrast, the low cloud changes are associated with the
215 increase in specific humidity and surface evaporation. We attribute the low cloud increases in the
216 turbulent component to multiple processes that compete with each other, as in Vial et al. (2016).
217 For instance, the magnitude of the increase in specific humidity is larger at lower altitudes,
218 which enhances the moisture contrast between the free troposphere and the boundary layer
219 (Figure 3g, blue). As a result, the upward moisture flux by shallow convection increases, which
220 tends to decrease the low cloud (Figures S6c,f, red, Zhang et al. 2013). In contrast, we also note
221 that the vertical temperature profile stabilizes with warming, which increases strength of the
222 inversion capping the boundary layer (Figure 3h, blue). As a result, vertical mixing across the
223 inversion reduces, which tends to keep the boundary layer more humid and increase the low
224 cloud (Miller 1997). Understanding the roles of different processes within the turbulent
225 component will be a subject of future studies. More details of the competing processes are given
226 in Table S1.

227 The results obtained so far illustrate how the low cloud feedback originates from the sea
228 surface warming. The processes involved in the feedback are classified into the radiative and the
229 turbulent components. The two components are dissimilar to each other, with the former
230 decreasing the ISCCP low cloud amount (LCA), while the latter increases it. However, the two
231 components are both related to changes in the EIS, as follows. In the radiative component, the
232 LCA decreases as the EIS decreases (Figure 3e,h, red). In the turbulent component, the LCA
233 increases as the EIS increases (Figure 3e,h, blue). In the synergy component, also, the LCA
234 increases as the EIS increases (not shown). The relationship between the LCA and the EIS is
235 qualitatively consistent with observation (Wood and Bretherton 2006; Klein and Hartmann 1993).

236 If we add the three components together, however, the relation between the LCA and the
237 EIS changes compared to that above. Namely, the LCA decreases as the EIS increases (Figure

238 3e,h, black), which may appear counter-intuitive. Why does the relation between the LCA and
 239 the EIS break down when the components are added together? This issue is examined in Figure
 240 4(a).

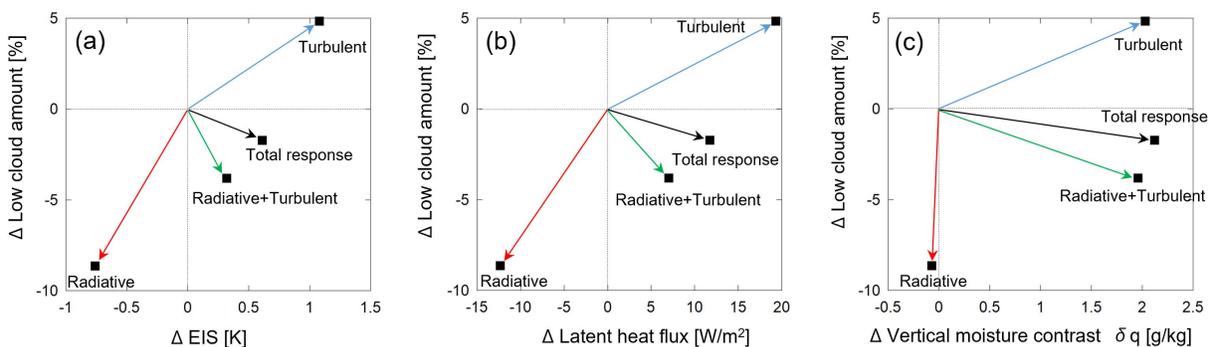
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243 **Figure 3.** Vertical profiles of cloud-related variables averaged over the low cloud regions
 244 indicated by the black rectangles in Figure 2. (a)(b)(c)(d) for AMIP and AMIP-p4K experiments,
 245 and (e)(f)(g)(h)(i) for changes due to +4K SST warming. The vertical coordinate is hybrid σ -p
 246 on model level. Horizontal lines at the σ -p level of 0.67 mark the boundary between low-top
 247 clouds and middle-top clouds at 680hPa. Diamonds indicate values at the lowest level. The
 248 changes in upward longwave, (i), are evaluated assuming that the atmosphere remains fixed at
 249 the AMIP condition.

250



251

252 **Figure 4** Relationships between changes in low cloud amount and changes in (a) EIS, (b) latent
 253 heat flux, and (c) vertical moisture contrast $\bar{\delta} q$. The $\bar{\delta} q$ is defined as the specific humidity q at
 254 1000hPa minus q at 700 hPa. The delta, Δ , denotes changes induced by the SST warming of 4K.
 255 The data are averages over the low cloud regions indicated by the black rectangles in Figure 2.

256
 257 In figure 4(a), the changes induced by the SST warming of 4K are represented by 2-D
 258 vectors on the ΔEIS - ΔLCA plane. The radiative component is shown in red, with the coordinate
 259 values of $(\Delta EIS_{rad}, \Delta LCA_{rad})$, while the turbulent component is shown by blue, with the
 260 coordinate values of $(\Delta EIS_{turb}, \Delta LCA_{turb})$. The two vectors appear in the 3rd and the 1st
 261 quadrants, indicating that the LCA decreases (increases) as the EIS decreases (increases). Adding
 262 the two components together, we obtain the sum shown by green, with the coordinate values of
 263 $(\Delta EIS_{turb} + \Delta EIS_{rad}, \Delta LCA_{turb} + \Delta LCA_{rad})$. Now the vector appears in the 4th quadrant,
 264 indicating that the LCA decreases as the EIS increases, which captures the sign of the total
 265 response shown in black.

266 Focusing on the sum of the two components, we find that the LCA decreases as the EIS
 267 increases under the following conditions:

$$268 \quad \Delta EIS_{turb} + \Delta EIS_{rad} > 0, \text{ and } \Delta LCA_{turb} + \Delta LCA_{rad} < 0 \quad (1).$$

269 Namely, the change in the EIS is dominated by the turbulent component, while the change in the
 270 LCA is dominated by the radiative component. In other words, the total response to the SST
 271 warming includes two counter-acting components, and which component dominates depends on
 272 the variable we look at. This explains how the relation between the LCA and the EIS changes
 273 when adding the radiative and turbulent components together.

274 We also note that rate of change in the LCA with respect to the EIS is different between
 275 the radiative and turbulent components, as follows:

$$276 \quad \Delta LCA_{rad} / \Delta EIS_{rad} > \Delta LCA_{turb} / \Delta EIS_{turb} \quad (2).$$

277 The conditions (1) can be met only under the condition (2). The condition (2) indicates that LCA
 278 is less sensitive to EIS in the turbulent component than in the radiative component. This may be
 279 because, in the turbulent component, the EIS increase is accompanied by an increase in vertical
 280 moisture contrast, $\bar{\delta} q$ (Figure 3gh, blue). The change in the EIS tends to increase the LCA,
 281 while the change in the $\bar{\delta} q$ tends to decrease it, making the LCA less sensitive to the EIS
 282 (Kawai et al. 2017).

283 Similar arguments hold, even if we replace the EIS with the surface latent heat flux or the
 284 vertical moisture contrast, $\bar{\delta} q$ (Figure 4b,c). Namely, in the total response shown in black, the
 285 LCA decrease is accompanied by an increase in latent heat flux or $\bar{\delta} q$. This can be explained by
 286 the fact that the LCA decrease is dominated by the radiative component while the increase in
 287 latent heat flux or $\bar{\delta} q$ is driven by the turbulent component.

288 4 Conclusions

289 In order to understand the reason for the positive sign of the low cloud feedback
290 simulated by GCMs, we devise numerical experiments which enable separation of the feedback
291 into a component driven by upward surface longwave radiation and another driven by surface
292 turbulent fluxes. The numerical experiments are conducted using MIROC5 and MIROC6. The
293 results indicate that the positive sign of the low cloud feedback is mainly attributed to the
294 increase in longwave radiation from the sea surface, which leads to a warming and a drying in
295 the boundary layer, as well as a decrease in the low cloud amount (LCA). The mechanism
296 involved is summarized as “Cloud Reduction due to Increased Surface Temperature Longwave
297 Emission (CRISTLE)”. It is not associated with increases in surface latent heat flux or vertical
298 moisture contrast. The decomposition of the feedback also helps to explain how the LCA
299 decrease is accompanied by increases in the EIS, the latent heat flux, and the vertical moisture
300 contrast.

301 In addition, the obtained results indicate that changes in the turbulent fluxes tend to
302 increase the LCA, thereby making the feedback more negative in MIROC5 and MIROC6. The
303 results are consistent with the idea that changes in the turbulent fluxes are an important factor
304 that controls the low cloud feedback. The cloud feedback is affected by changes in the turbulent
305 fluxes in remote regions as well as the changes below the low clouds. Indeed, the changes in the
306 turbulent fluxes and the upward surface longwave radiation are both needed to explain the
307 geographical pattern of the low cloud feedback.

308 Whether other GCMs or Large Eddy Simulations support the present findings will be an
309 interesting topic for future studies. Currently, output from CMIP6 experiments is analyzed to see
310 if the mechanism proposed in this study can explain the sub-tropical low cloud feedbacks in
311 multi-GCMs. In addition, the experiments proposed in this study are being conducted with Large
312 Eddy Simulations under the CGILS protocol (Blossey et al. 2016). The results will be presented
313 in subsequent papers.

314

315 Acknowledgments

316 This study was funded by the Integrated Research Program for Advancing Climate
317 Models (Grant Number JPMXD0717935457) and the Program for the Advanced Studies of
318 Climate Change Projection (Grant Number JPMXD0722680395) from the Ministry of
319 Education, Culture, Sports, Science and Technology, Japan. Mark Webb was funded by the UK
320 BEIS/Defra Met Office Hadley Centre Climate Programme (GA01101). Simulations were
321 performed with the NIES supercomputer system and the Earth Simulator at JAMSTEC. We
322 thank Mark D. Zelinka for providing cloud radiative kernel, and Tokuta Yokohata and Koji
323 Ogochi for implementing COSP in MIROC5. Figures in this paper were plotted using Grid
324 Analysis and Display System (GrADS). Data used in this study are archived at
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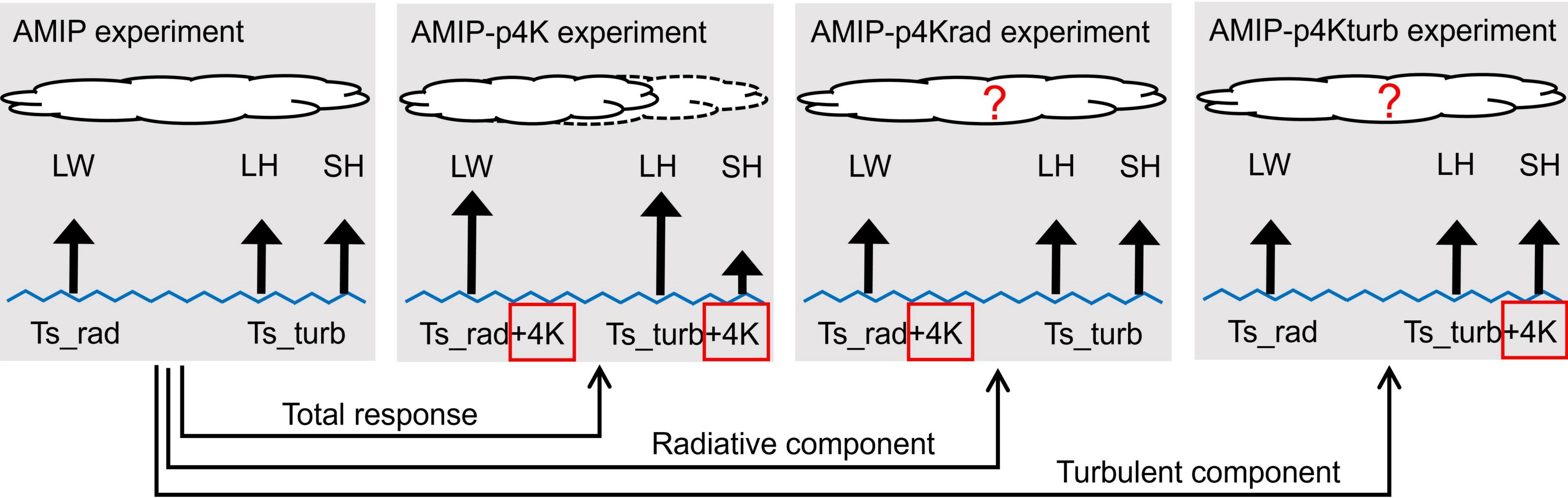
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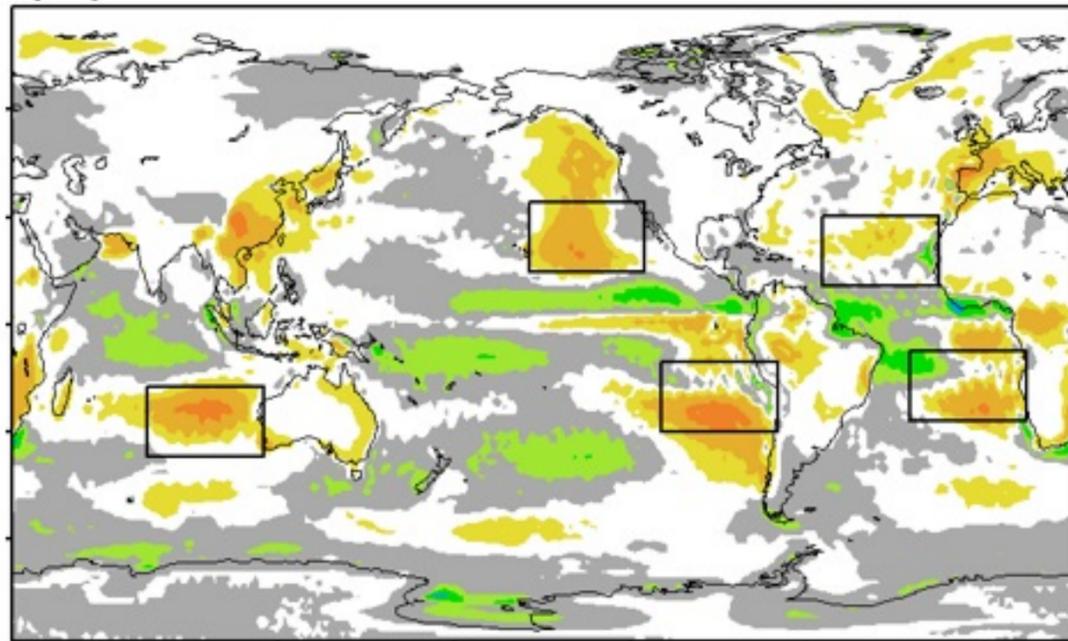
Figure 1.



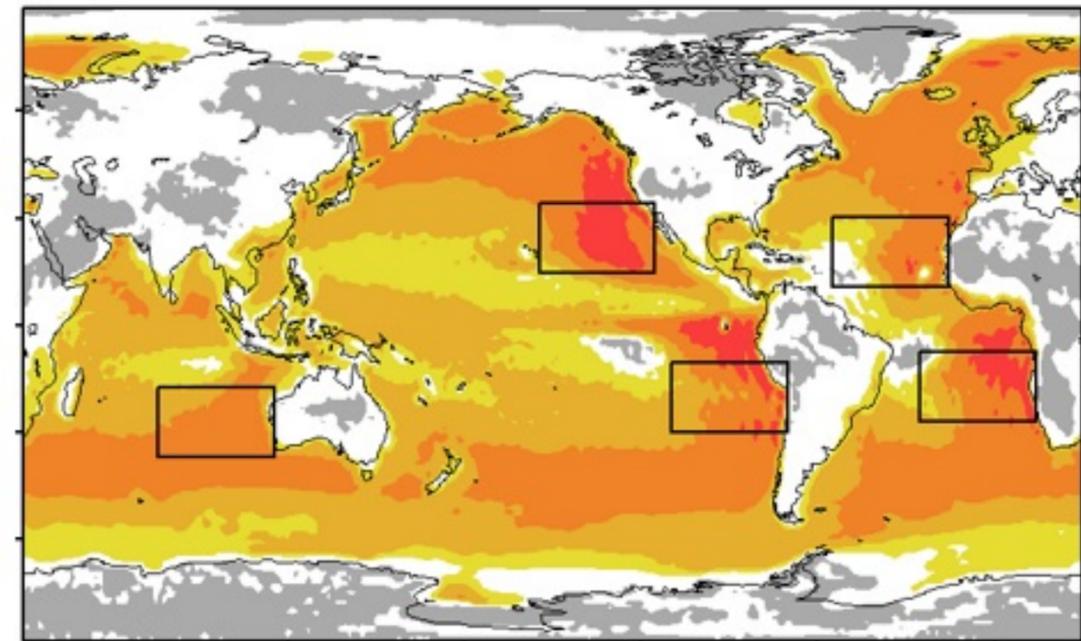
$$\boxed{\text{Total response (e.g., low cloud feedback)}} = \boxed{\text{Radiative component}} + \boxed{\text{Turbulent component}} + \boxed{\text{Synergy}}$$

Figure 2.

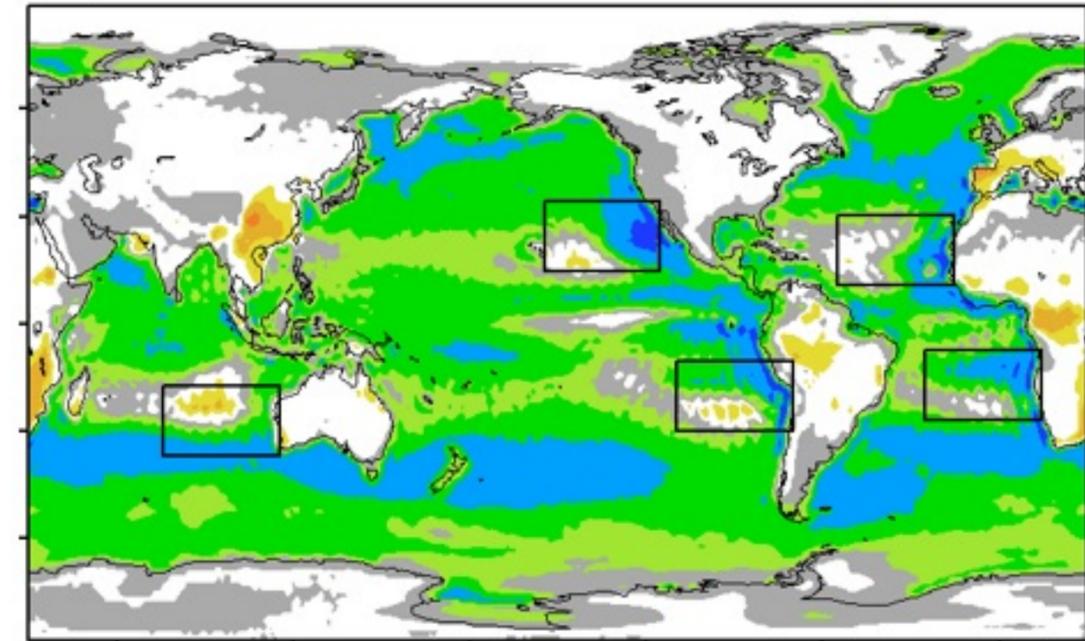
(a) Total 0.47



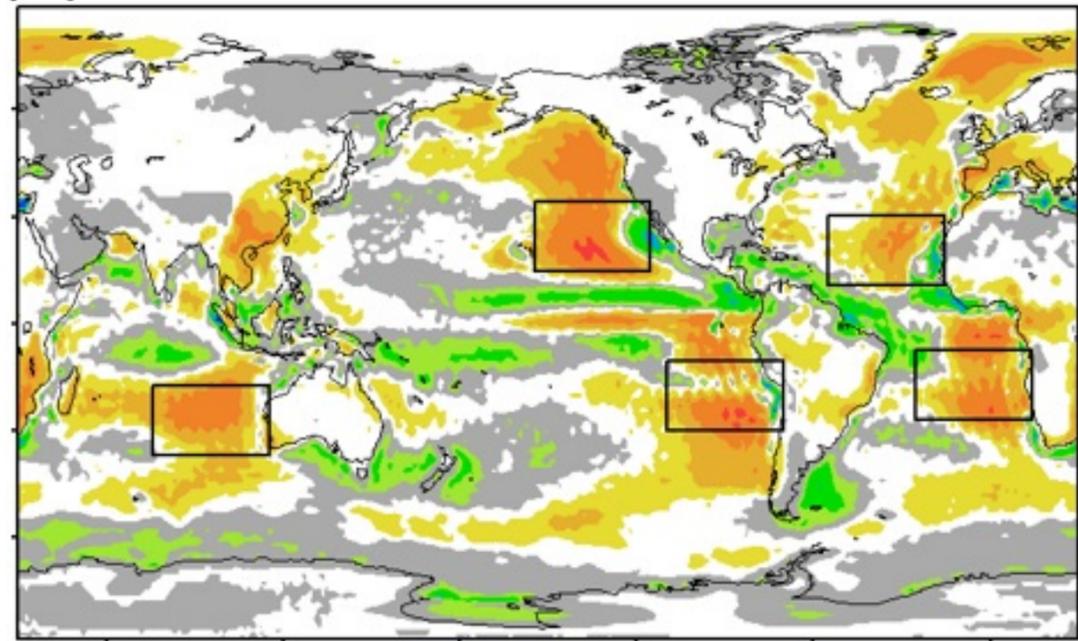
(b) Radiative 4.75



(c) Turbulent -3.75



(d) Radiative+Turbulent 0.99



(e) Synergy -0.52

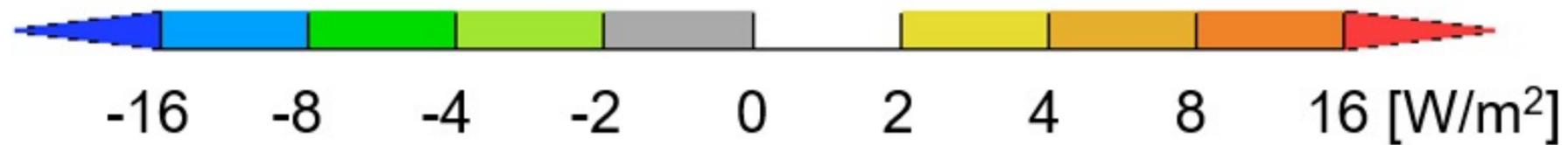
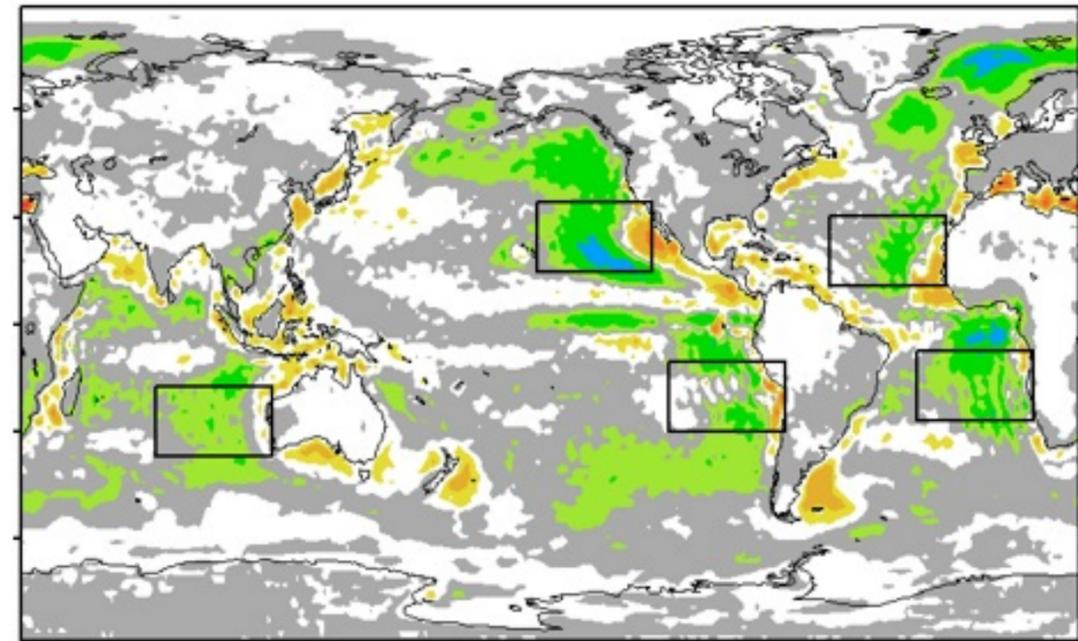


Figure 3.

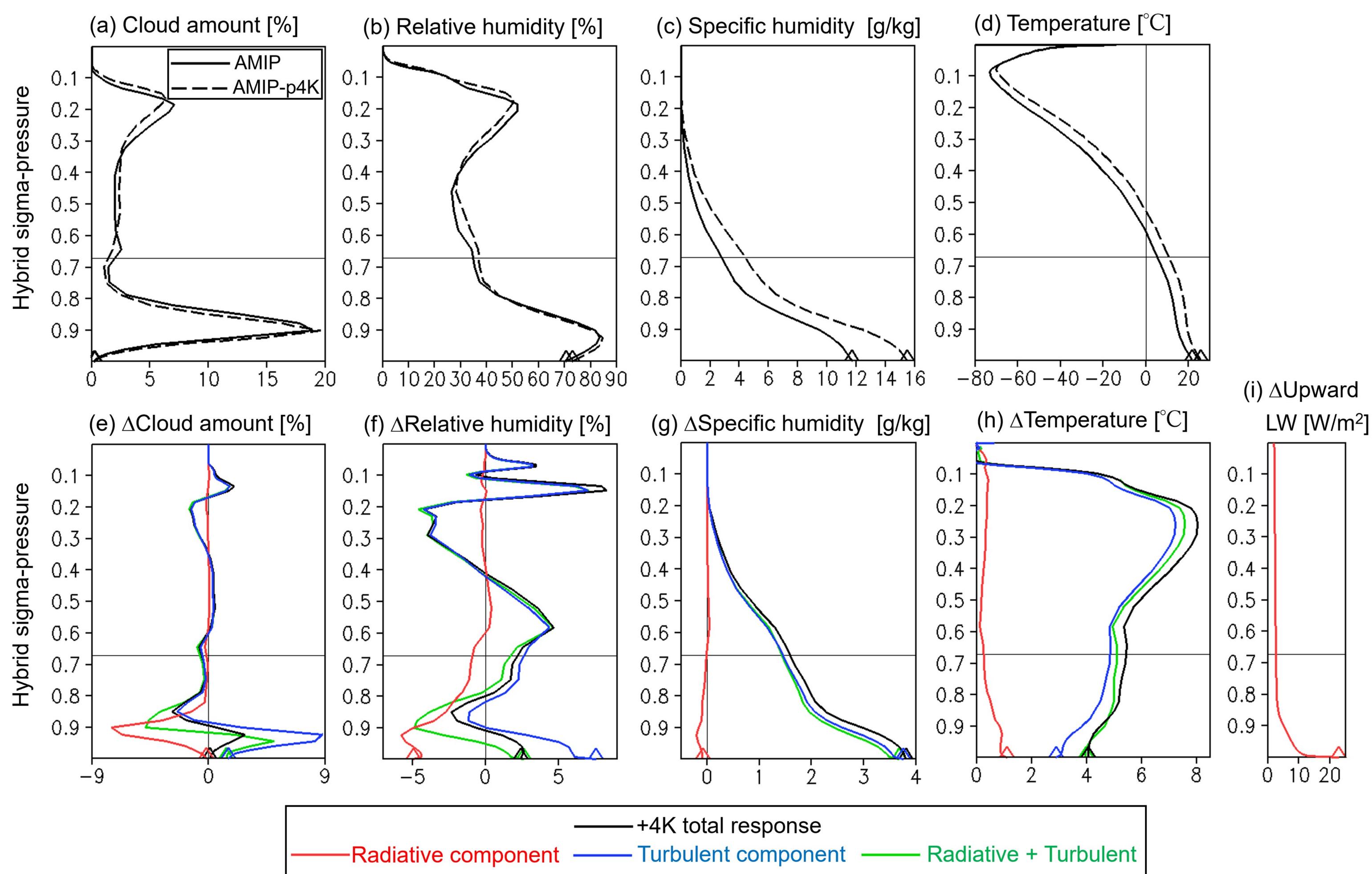
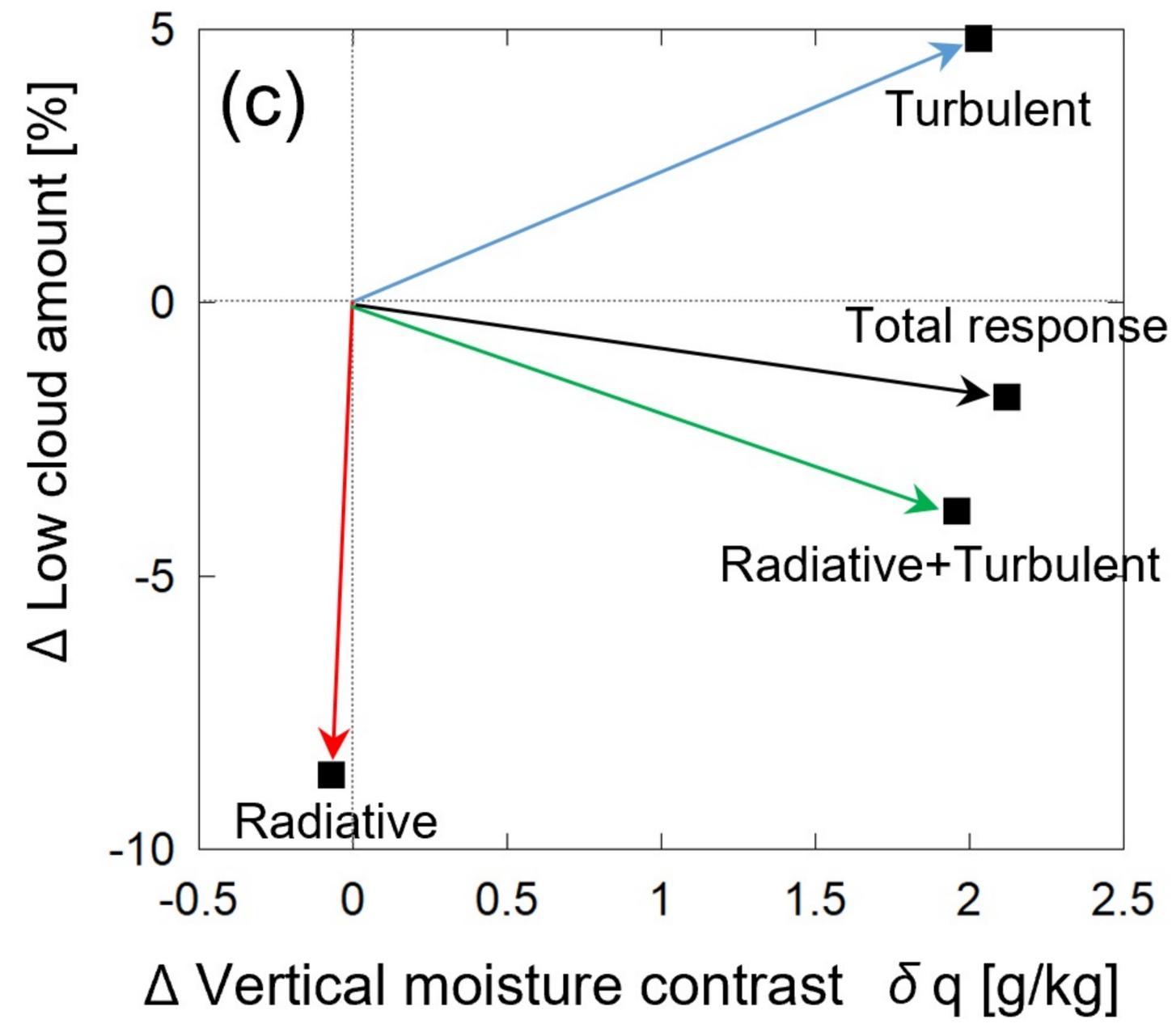
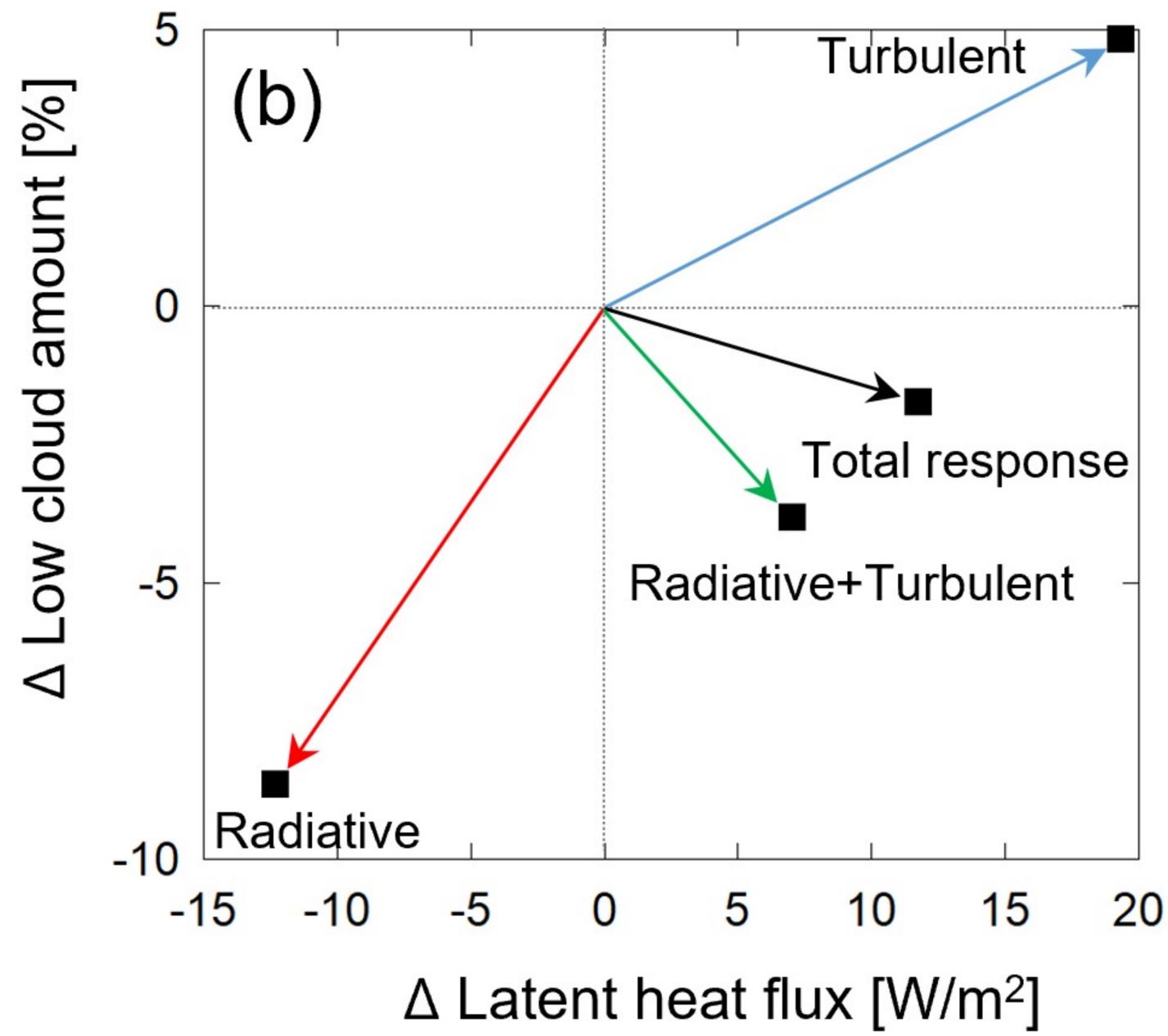
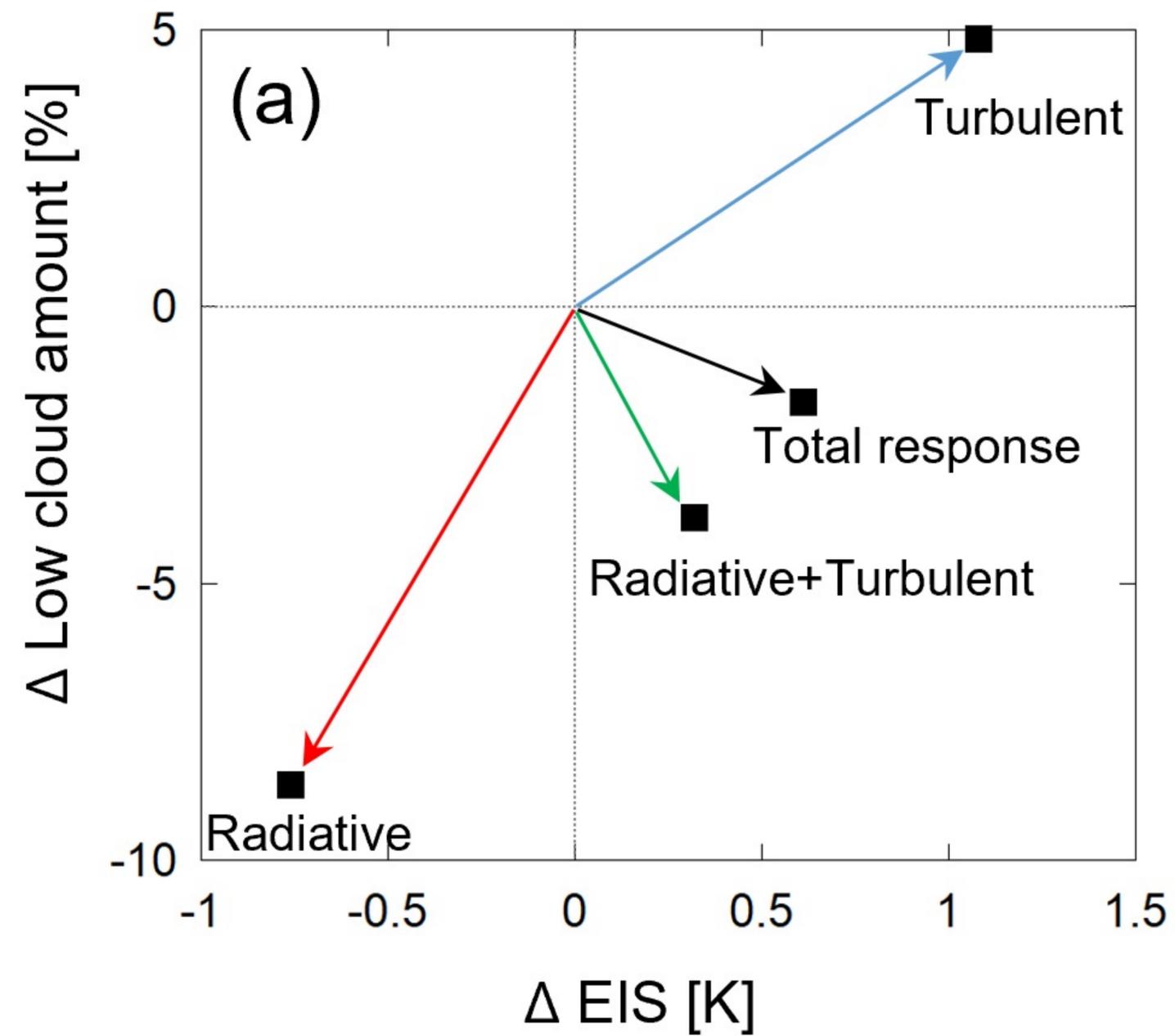


Figure 4.





Geophysical Research Letters

Supporting Information for

Positive Low Cloud Feedback Primarily Caused by Increasing Longwave Radiation from the Sea Surface in Two versions of a Climate Model

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Contents of this file

Texts S1, S2, Figures S1, S2, S3, S4, S5, S6, S7, S8, S9, Table S1

Introduction

This document contains additional text, figures, and a table which support arguments in the main text.

Text S1. Experimental design

In MIROC5 and MIROC6, SST warming influences the atmosphere through changes in two factors. One is the upward longwave radiation emitted from the sea surface, and the other is the turbulent transport of heat, moisture, momentum, and aerosol from the sea surface. Without any changes in the two factors, the SST warming cannot influence the model atmosphere.

In the AMIP-p4K experiment, the SST warming changes both the two factors to influence the atmosphere. In the AMIP-p4Krad or the AMIP-p4Kturb experiment, the SST warming changes either one of the two factors to influence the atmosphere. Here we describe how the AMIP-p4Krad and AMIP-p4Kturb experiments are implemented.

1. AMIP-p4Krad experiment

In MIROC5 and MIROC6, upward longwave radiation that is emitted from the surface of the land or ocean is calculated from the surface temperature, $T_{s,rad}$, using Planck's function. This upward longwave radiation causes heating at multiple atmospheric layers above the surface.

In the AMIP experiment, we prescribe SST as a boundary condition, which is used as the input variable $T_{s,rad}$ to calculate the upward longwave radiation emitted from the sea surface. In the AMIP-p4Krad experiment, we add 4K to the $T_{s,rad}$ at the sea surface. The experimental setting of the AMIP-p4Krad is the same as the AMIP, other than adding 4K to the $T_{s,rad}$.

The 4K warming of $T_{s,rad}$ causes an increase in upward longwave radiation emitted from the sea surface, which leads to an increase in radiative heating at multiple atmospheric layers above the surface (Figure 3i). As a result, the atmospheric temperature increases, which is prominent in the lower troposphere (red curve in Figure 3h).

2. AMIP-p4Kturb experiment

Turbulent transport of sensible heat, latent heat, and momentum from the surface to the atmosphere is calculated using the bulk aerodynamic formulas in the MIROC5 and MIROC6. The formulas are defined as follows.

$$SH = \rho \cdot C_p \cdot C_{DH}(T_{s,turb}) \cdot |\vec{V}| \cdot \{T_{s,turb} - T_a \cdot (P_s/P_a)^{R_{air}/C_p}\} \quad (1)$$

$$LE = \rho \cdot L_v \cdot C_{DE}(T_{s,turb}) \cdot |\vec{V}| \cdot \{Q_{sat}(T_{s,turb}) - Q_a\} \quad (2)$$

$$F_u = -\rho \cdot C_{DM}(T_{s,turb}) \cdot |\vec{V}| \cdot U_a \quad (3)$$

$$F_v = -\rho \cdot C_{DM}(T_{s,turb}) \cdot |\vec{V}| \cdot V_a \quad (4)$$

$T_{s,turb}$ is surface temperature, SH is sensible heat flux, LE is latent heat flux, F_u and F_v are momentum fluxes, ρ is air density, C_p is specific heat of air at constant pressure, L_v is latent

heat of condensation, $C_{DH}(T_{s_turb})$, $C_{DE}(T_{s_turb})$, and $C_{DM}(T_{s_turb})$ are aerodynamic transfer coefficients that are dependent on T_{s_turb} , $|\vec{V}|$ is surface wind speed, T_a is temperature at the lowest atmospheric layer, P_s is surface pressure, P_a is pressure at the lowest atmospheric layer, R_{air} is the gas constant of air, $Q_{sat}(T_{s_turb})$ is saturation specific humidity that is dependent on T_{s_turb} , Q_a is specific humidity at the lowest atmospheric layer, U_a is westerly wind speed at the lowest atmospheric layer, V_a is southerly wind speed at the lowest atmospheric layer.

The turbulent fluxes, SH , LE , F_u , and F_v , are dependent on T_{s_turb} . Likewise, the turbulent transport of aerosol from the surface to the atmosphere is also dependent on T_{s_turb} . In the AMIP experiment, SST is used as an input variable T_{s_turb} to calculate the turbulent transport of sensible heat, latent heat, momentum, and aerosol from the sea surface. In the AMIP-p4Kturb experiment, we add 4K to T_{s_turb} at the sea surface. The experimental setting of the AMIP-p4Kturb is the same as the AMIP, other than adding 4K to T_{s_turb} . The 4K warming of T_{s_turb} causes an increase in SH and LE . As a result, both atmospheric temperature and specific humidity increase (blue curves in Figure 3gh).

Text S2. Response of latent heat flux to increasing longwave radiation from the sea surface

In AMIP-p4Krad experiment, upward longwave radiation from the sea surface increases relative to the AMIP experiment, which leads to a reduction in the surface latent heat flux, as shown in Figure S9(a). The decrease in the surface latent heat flux contributes to the decrease in specific humidity (Figure 3g, red). To better understand the mechanism of the decrease in surface latent heat flux, we decompose the response of the surface latent heat flux into contribution from multiple factors, as follows.

In MIROC5 and MIROC6, the latent heat flux is calculated according to the equation (2) in Text S1. Time-averaging the equation (2), and focusing on the difference between AMIP-p4Krad and AMIP experiments, we obtain

$$\Delta\overline{LE} = L_v \cdot \overline{\Delta\rho \cdot |\vec{V}| \cdot C_{DE}(T_{s,turb}) \cdot DELQ} \quad (5)$$

where $DELQ \equiv Q_{sat}(T_{s,turb}) - Q_a$, $\overline{(\quad)}$ is time-averaging, and Δ denotes AMIP-p4Krad minus AMIP experiment. We further rewrite the equation as

$$\Delta\overline{LE} = L_v \cdot \Delta \left\{ \overline{\rho} \cdot \overline{|\vec{V}|} \cdot \overline{C_{DE}(T_{s,turb})} \cdot \overline{DELQ} \right\} + residual \quad (6).$$

The magnitude of the residual in (6) depends on the period of time-averaging. Over the low latitude oceans it is mostly of the order of 10 W/m² for monthly, 0.5 W/m² for daily, and 0.1 W/m² for 6 hourly averages. In the following, we use daily averaged data so that the residual in (6) becomes much smaller than $\Delta\overline{LE}$, that is greater than 4 W/m² in magnitude (Figure S9(a)). Neglecting the residual, we assume that the equation (6) can be approximated as

$$\Delta\overline{LE} \approx L_v \cdot \Delta \left\{ \overline{\rho} \cdot \overline{|\vec{V}|} \cdot \overline{C_{DE}(T_{s,turb})} \cdot \overline{DELQ} \right\} \quad (7).$$

Using the 1st Taylor polynomial, the right hand side of (7) can be written as,

$$\begin{aligned} \Delta\overline{LE} \approx & L_v \cdot \left\{ \overline{|\vec{V}|} \cdot \overline{C_{DE}(T_{s,turb})} \cdot \overline{DELQ} \right\}_{AMIP} \cdot \Delta\overline{\rho} \\ & + L_v \cdot \left\{ \overline{\rho} \cdot \overline{C_{DE}(T_{s,turb})} \cdot \overline{DELQ} \right\}_{AMIP} \cdot \Delta\overline{|\vec{V}|} \\ & + L_v \cdot \left\{ \overline{\rho} \cdot \overline{|\vec{V}|} \cdot \overline{DELQ} \right\}_{AMIP} \cdot \Delta\overline{C_{DE}(T_{s,turb})} \\ & + L_v \cdot \left\{ \overline{\rho} \cdot \overline{|\vec{V}|} \cdot \overline{C_{DE}(T_{s,turb})} \right\}_{AMIP} \cdot \Delta\overline{DELQ} + residual \quad (8) \end{aligned}$$

The changes in latent heat flux, $\Delta\overline{LE}$, are now decomposed into contribution from the changes in surface air density (the 1st term on the right hand side of (8)), surface wind speed (the 2nd term), bulk coefficient (the 3rd term), vertical contrast of specific humidity (the 4th term), and the residual. Each term in the equation (8) is calculated using the daily output from MIROC6, and the results are plotted in Figure S9. The figure shows that the decrease in latent heat flux, $\Delta\overline{LE}$, is mostly explained by the contribution from the changes in bulk coefficient (Figure S9ad). This result is consistent with the understanding that the longwave-induced warming of the atmosphere increases the static stability at the air-sea interface, which suppresses the turbulent transport of water vapor from the sea surface.

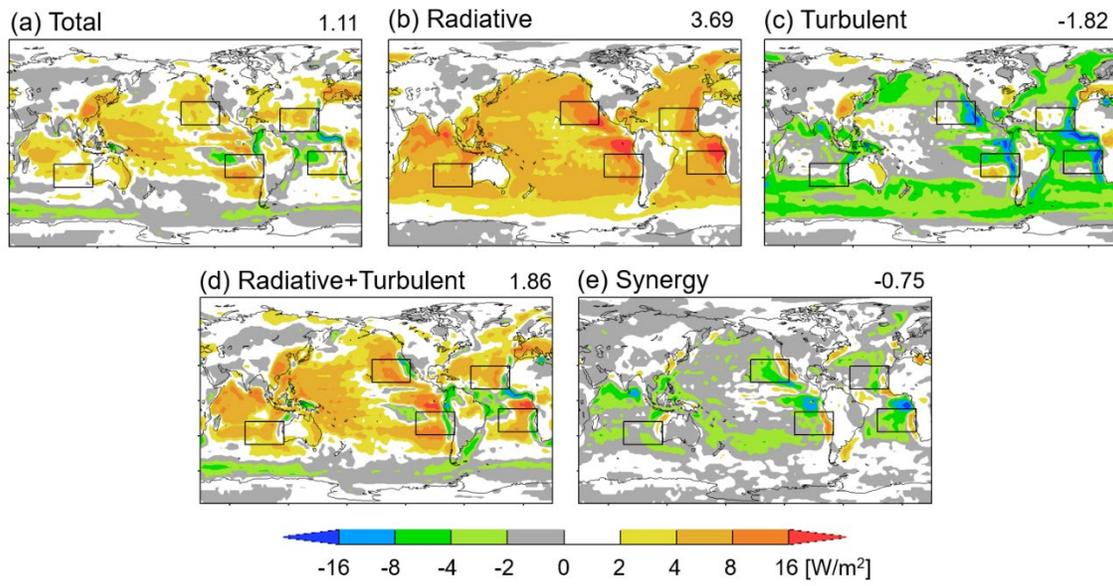


Figure S1. As in Figure 2, but the simulation data are created using MIROC5. Pattern correlation between (a) and (d) is 0.78.

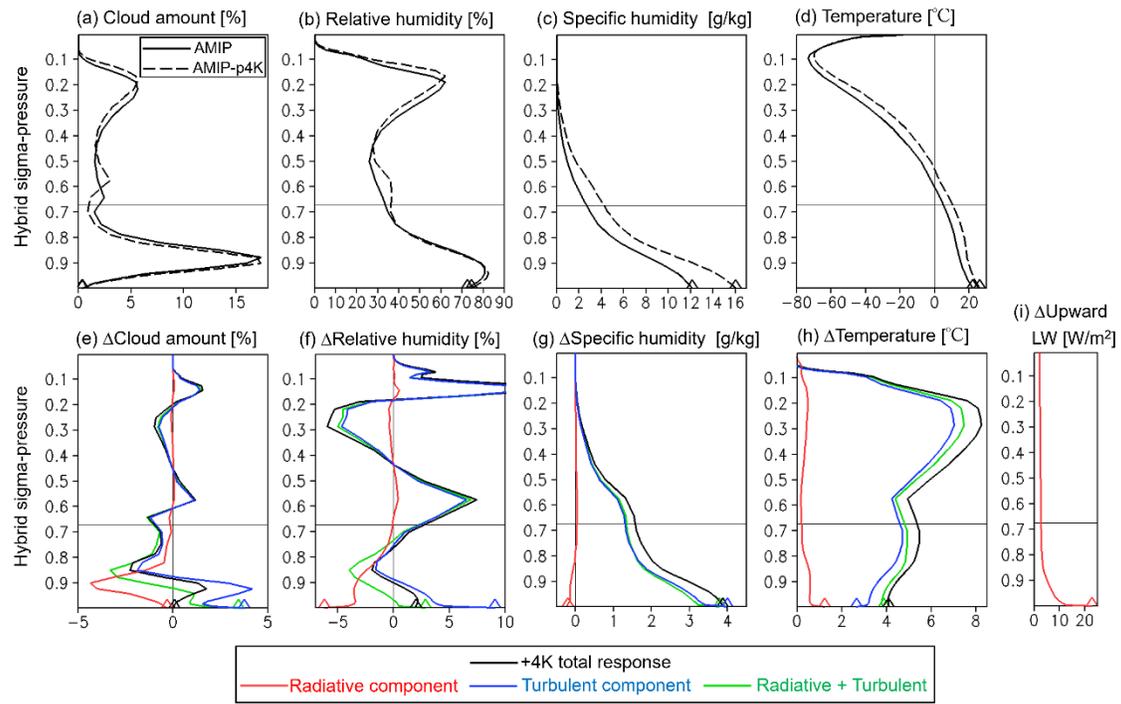


Figure S2. As in Figure 3, but the simulation data are created using MIROC5.

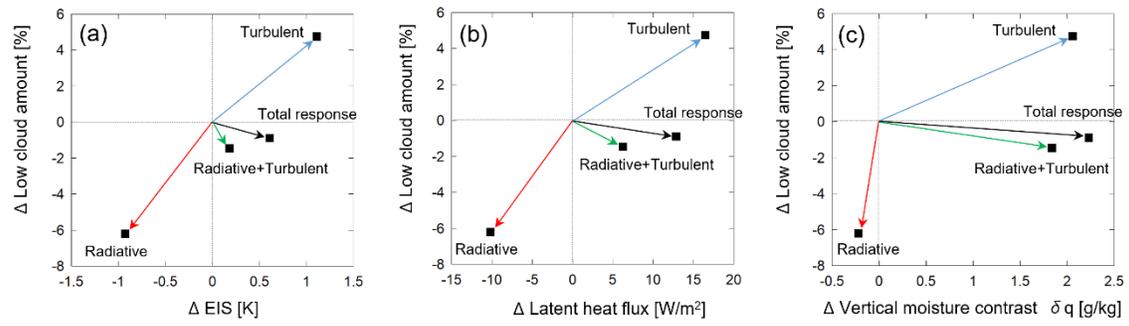
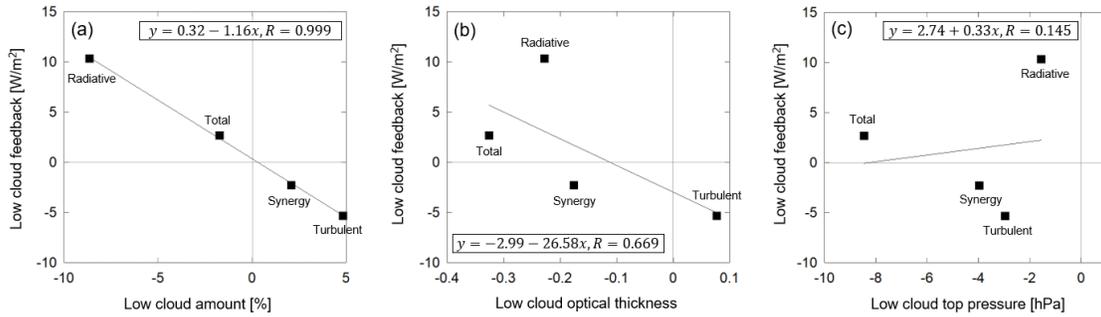


Figure S3. As in Figure 4, but the simulation data are created using MIROC5.

MIROC6



MIROC5

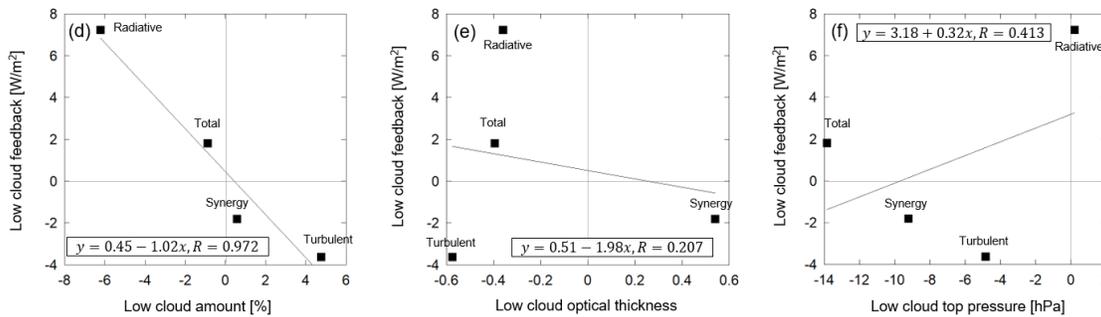


Figure S4. Relation between low cloud feedback and changes in (a)(d) low cloud amount, (b)(e) low cloud optical thickness, and (c)(f) low cloud top pressure, induced by SST+4K. The results are averages over the low cloud regions indicated by the black rectangles in Figure 2. The simulation data are created using (a)(b)(c) MIROC6 and (d)(e)(f) MIROC5. Regression equation and correlation coefficient are also shown in each panel.

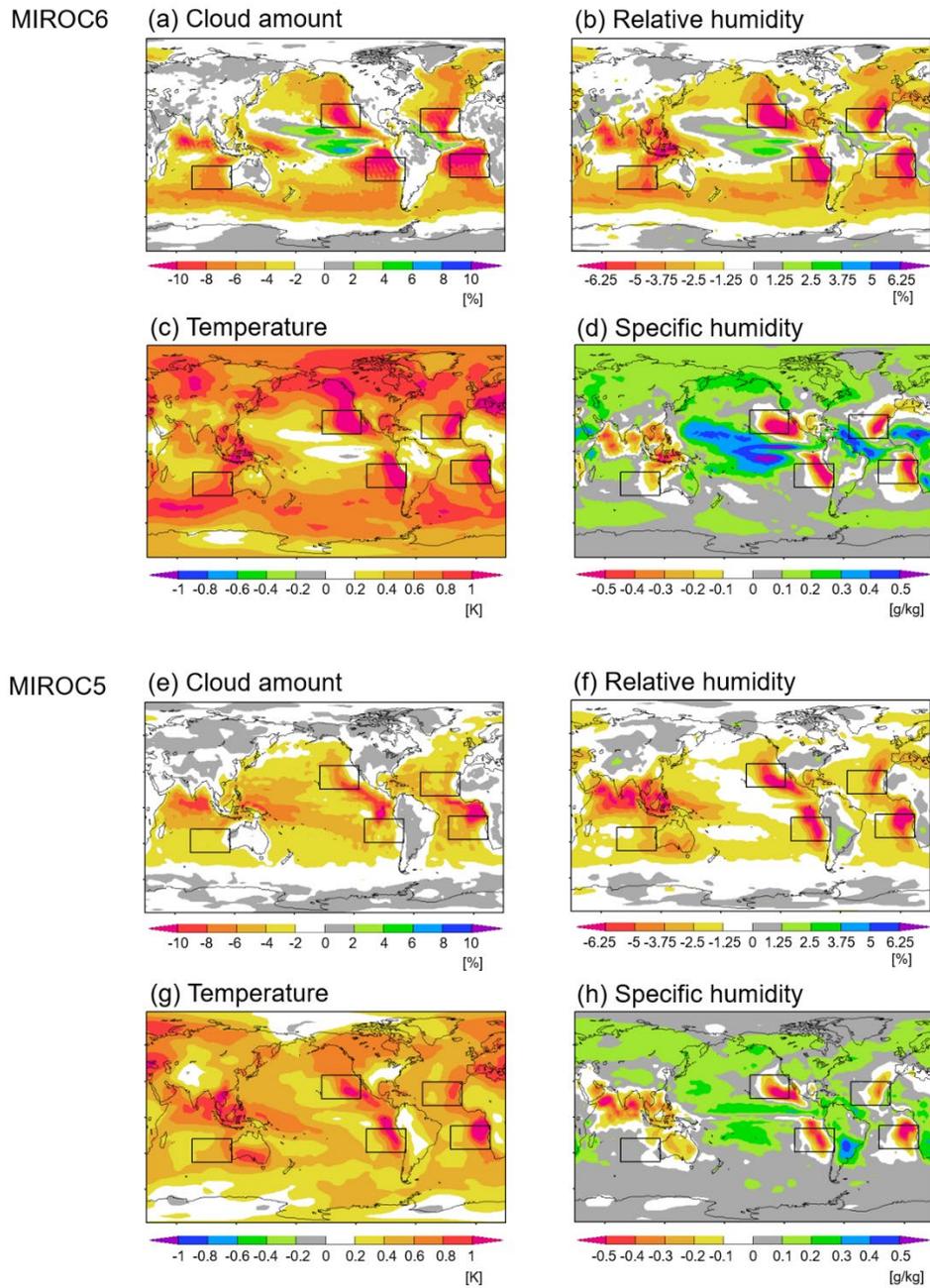


Figure S5. Radiative component of changes in (a)(e) cloud amount, (b)(f) relative humidity, (c)(g) temperature, and (d)(h) specific humidity, at the vertical σ - p level of 0.90. The simulation data are created using (a)(b)(c)(d) MIROC6 and (e)(f)(g)(h) MIROC5. Black rectangles indicate low cloud regions focused on in Figures 3 and 4.

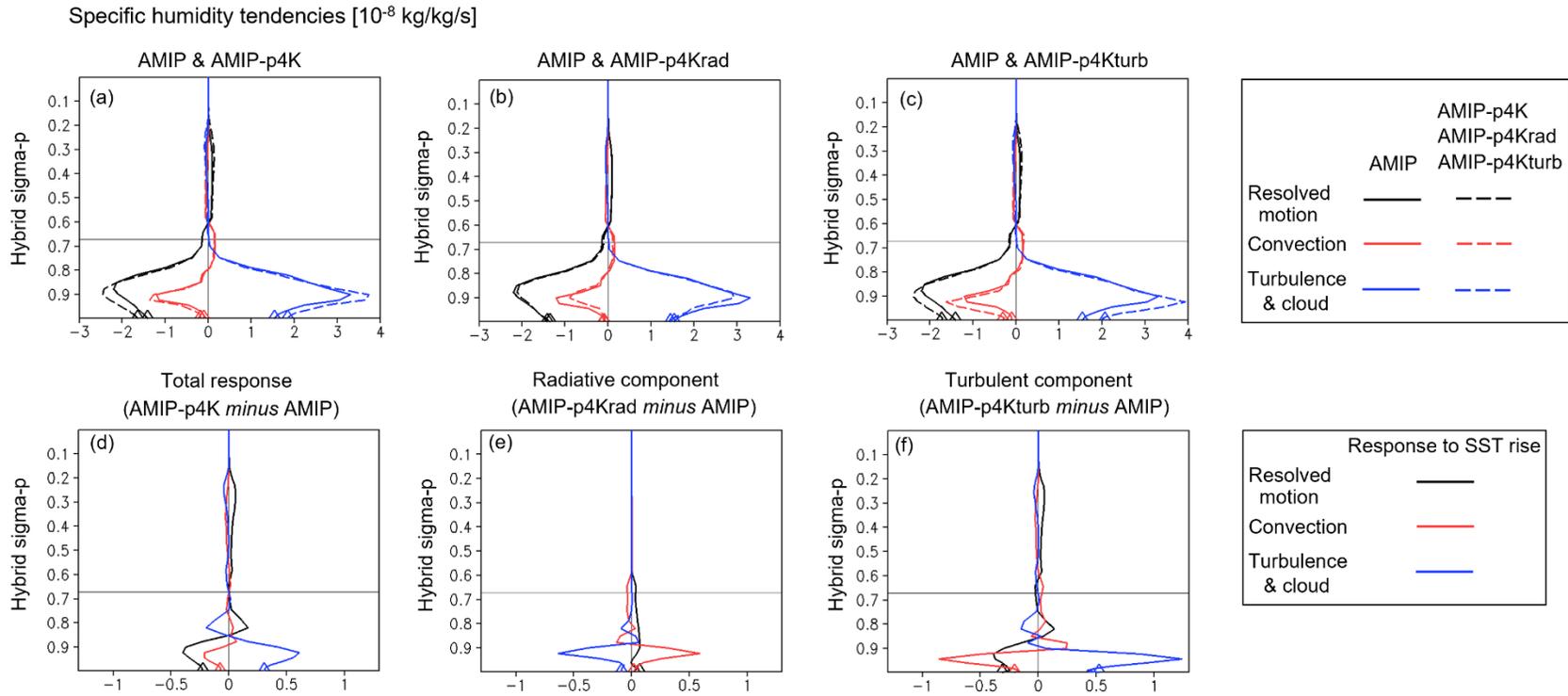


Figure S6. Specific humidity tendencies in (a) AMIP and AMIP-p4K, (b) AMIP and AMIP-p4Krad, and (c) AMIP and AMIP-p4Kturb experiments. Responses to SST +4K warming are also shown for (d) total response, (e) radiative component, and (f) turbulent component. The results are averages over the low cloud regions indicated by the black rectangles in Figure 2. The simulation data are created using MIROC6. Diamonds indicate values at the lowest level.

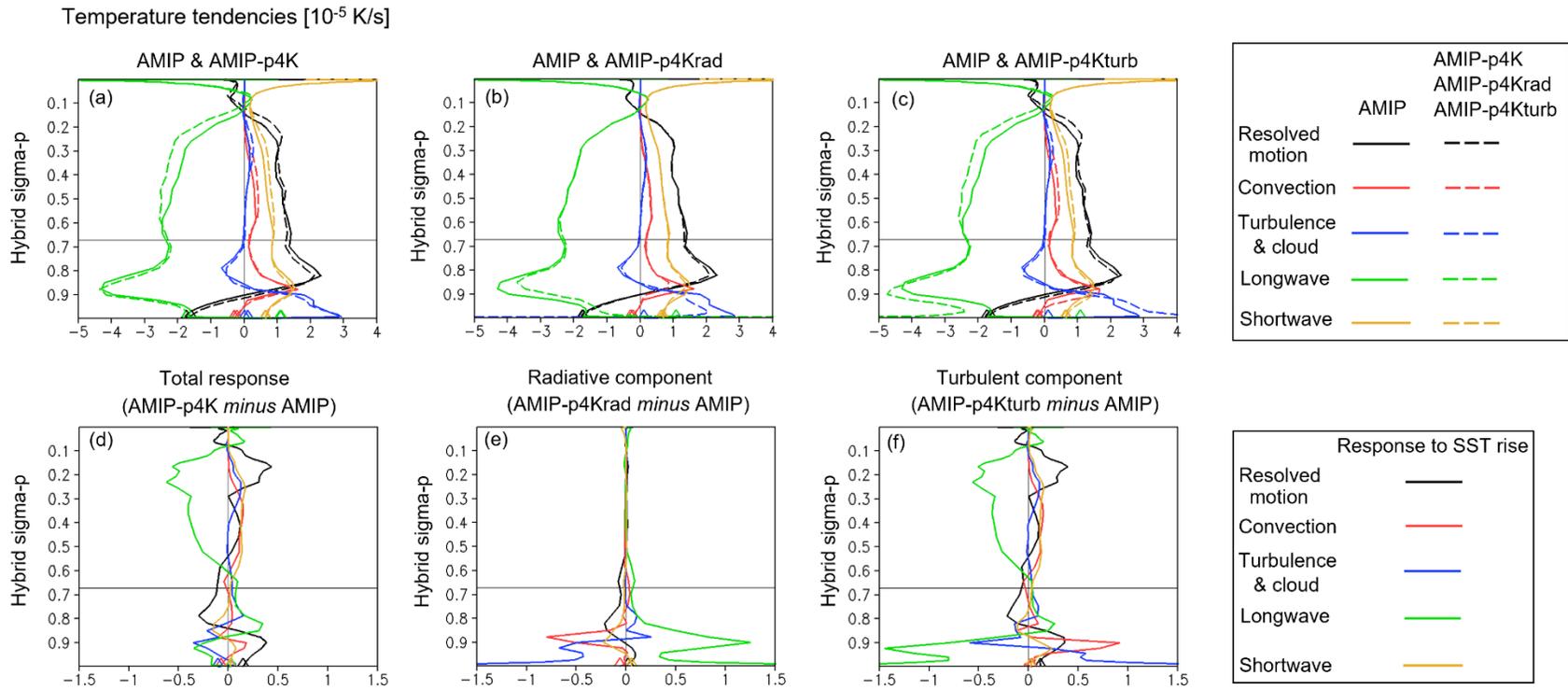


Figure S7. Temperature tendencies in (a) AMIP and AMIP-p4K, (b) AMIP and AMIP-p4Krad, and (c) AMIP and AMIP-p4Kturb experiments. Responses to SST +4K warming are also shown for (d) total response, (e) radiative component, and (f) turbulent component. The results are averages over the low cloud regions indicated by the black rectangles in Figure 2. The simulation data are created using MIROC6. Diamonds indicate values at the lowest level.

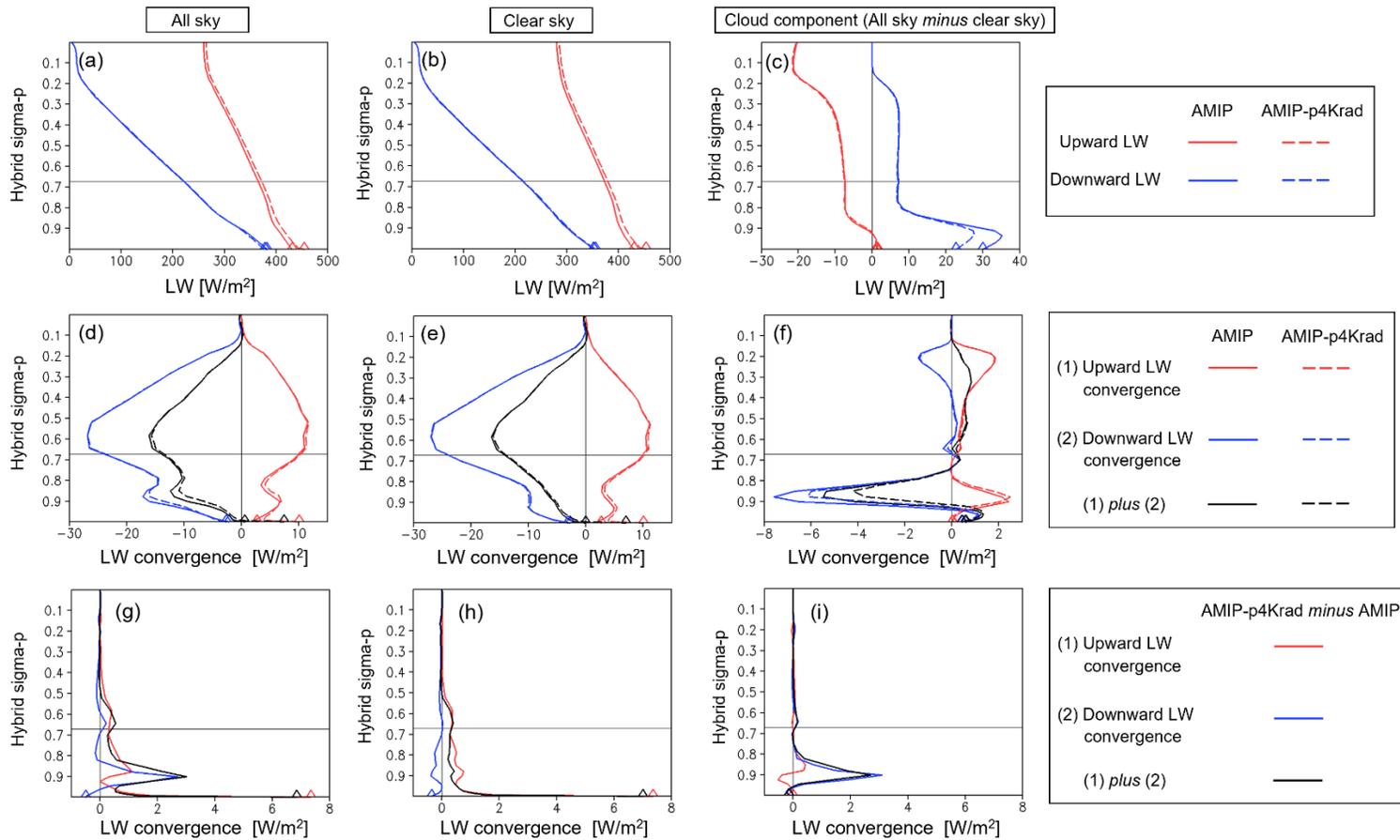


Figure S8. (a)(b)(c) Upward and downward longwave radiation, and (d)(e)(f) their vertical convergence in the AMIP and AMIP-p4Krad experiments. (g)(h)(i) Changes in the vertical convergence due to the SST warming in the AMIP-p4Krad experiment. Results are shown for (a)(d)(g) all sky, (b)(e)(h) clear sky, and (c)(f)(i) cloud component (all sky minus clear sky), averaged over the low cloud regions indicated by the black rectangles in Figure 2. The simulation data are created using MIROC6. Diamonds indicate values at the lowest level.

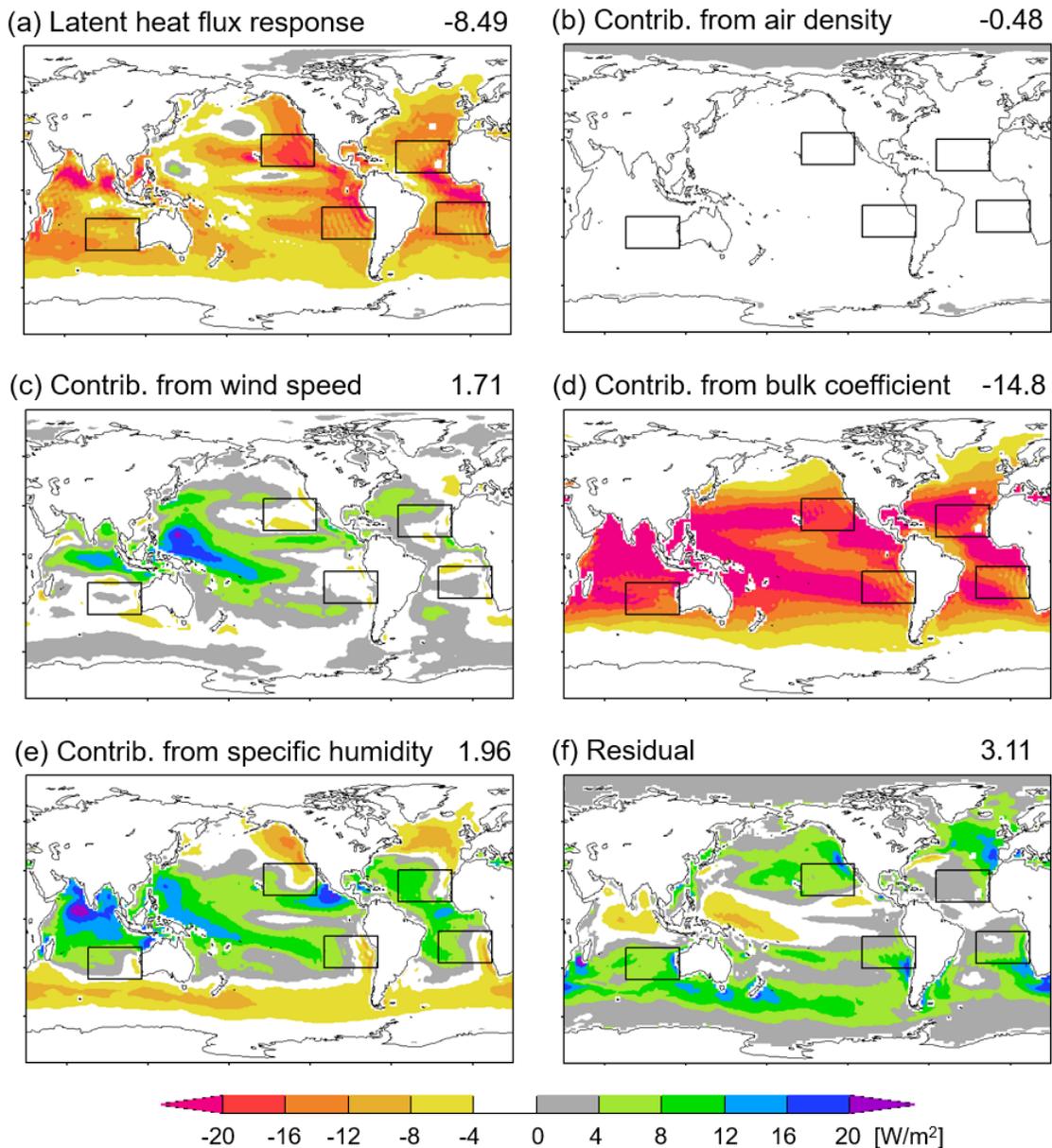


Figure S9. Changes in latent heat flux at the sea surface induced by SST warming of 4K. Results are shown for the radiative component, namely, AMIP-p4Krad minus AMIP. (a) changes in latent heat flux, (b) contribution to (a) from changes in surface air density, (c) contribution to (a) from changes in surface wind speed, (d) contribution to (a) from changes in bulk coefficient, (e) contribution to (a) from changes in vertical contrast of specific humidity, and (f) residual. Global averages are indicated at the top right of each panel. The simulation data are created using MIROC6. Definition of the quantities shown in panels (b)-(f) is given in Text S2.

Table S1. Examples of low cloud feedback mechanisms and how they relate to the present study

Mechanism	Is the mechanism consistent with the results of the present study? Namely, can the mechanism explain the low cloud changes in AMIP-p4Krad or AMIP-p4Kturb compared to AMIP?
<p>Positive feedback due to low cloud decrease (Rieck et al. 2012)</p> <p>In the trade wind cumulus regions, if large-scale atmospheric processes act to keep relative humidity constant, atmospheric warming induces an increase in surface moisture fluxes. This drives a deeper boundary layer and hence mixes more dry and warm air from the free troposphere to the surface. As a result, shallow cumulus layers tend to have fewer clouds.</p>	<p>Yes and No.</p> <p>Consistent with the low cloud decrease in AMIP-p4Kturb ($\sigma - p \approx 0.85$).</p> <p>Not consistent with the low cloud decrease in AMIP-p4Krad, because there is no increase in surface evaporation (Fig.S9a).</p> <p>Not consistent with the low cloud increase in AMIP-p4Kturb ($\sigma - p \approx 0.9$).</p>
<p>Positive feedback due to low cloud decrease (Webb and Lock 2013)</p> <p>Global mean surface evaporation increases with global temperature rise. However, in the subtropical stratocumulus/trade cumulus transition regions, the increase in evaporation may be less than the global mean because the Walker circulation weakens, which reduces both the near-surface wind speed and the air-sea temperature difference, while the near-surface relative humidity increases. As a result, the supply of water vapor from surface evaporation does not increase enough to maintain the low level cloud fraction in the warmer climate.</p>	<p>Yes and No.</p> <p>Consistent with the low cloud decrease in AMIP-p4Kturb ($\sigma - p \approx 0.85$).</p> <p>Consistent with the low cloud decrease in AMIP-p4Krad, because reduction of the near-surface wind speed contributes to the decrease in surface evaporation (Figs.S9a,c).</p> <p>Not consistent with the low cloud increase in AMIP-p4Kturb ($\sigma - p \approx 0.9$).</p>
<p>Positive feedback due to low cloud decrease (Bretherton et al. 2013, Tan et al. 2017, Schneider et al. 2019)</p>	<p>Yes and No.</p> <p>Consistent with the low cloud decrease in AMIP-p4Kturb ($\sigma -$</p>

<p>Over marine boundary-layer stratocumulus cloud, the warmer free troposphere contains more water vapor, hence is more emissive. This increases the downwelling radiation from the free troposphere and reduces the net radiative cooling of the cloud-topped boundary layer, reducing the turbulence production. As a result, the entrainment rate decreases at the cloud top, leading to a lowering of the inversion and a thinning of the cloud layer.</p>	<p>$p \approx 0.85$). Not consistent with the low cloud decrease in AMIP-p4Krad, because there is little increase in water vapor specific humidity in the free troposphere (red curve in Fig.3g). Not consistent with the low cloud increase in AMIP-p4Kturb ($\sigma - p \approx 0.9$).</p>
<p>Positive feedback due to low cloud decrease (Brient and Bony 2013)</p> <p>In a warmer climate, the non-linearity of the Clausius-Clapeyron relationship leads to a larger increase in specific humidity at high temperatures and low altitudes than at lower temperatures and higher altitudes. This leads to an enhanced vertical gradient of specific humidity and moist static energy (MSE) between the boundary layer and the lower free troposphere, and thus an enhanced import of low-MSE and dry air from the free troposphere down to the surface by large-scale subsidence. This decreases the low-level cloud fraction.</p>	<p>Yes and No.</p> <p>Consistent with the low cloud decrease in AMIP-p4Kturb ($\sigma - p \approx 0.85$). Not consistent with the low cloud decrease in AMIP-p4Krad, because there is no increase in vertical gradient of specific humidity (red curve in Fig.3g). Not consistent with the low cloud increase in AMIP-p4Kturb ($\sigma - p \approx 0.9$).</p>
<p>Positive feedback due to low cloud decrease (Zhang et al. 2013, Brient et al. 2016, Vial et al. 2016).</p> <p>Higher SST causes a warmer climate, with a larger moisture contrast between the free troposphere and the boundary layer. The larger moisture contrast enhances the upward moisture flux by shallow convection or cloud-top entrainment at the level immediately above the top of the</p>	<p>Yes and No.</p> <p>Consistent with the low cloud decrease in AMIP-p4Kturb ($\sigma - p \approx 0.85$). Not consistent with the low cloud decrease in AMIP-p4Krad, because there is no increase in vertical moisture contrast (red curve in Fig.3g).</p>

<p>boundary layer. This causes larger ventilation of the cloud layer, which tends to decrease low cloud. The decrease in low cloud is accompanied by a reduction of radiative cooling by the low cloud. As a result, lower troposphere becomes stabilized. This weakens the latent heat flux from the sea surface, reducing the low cloud further.</p>	<p>Not consistent with the low cloud increase in AMIP-p4Kturb ($\sigma - p \gtrsim 0.9$).</p>
<p>Positive feedback due to low cloud decrease (Vogel et al. 2019)</p> <p>In the downstream trade cumulus regions, sea surface warming leads to an increase in the surface fluxes, which deepens the shallow convection and increases precipitation. The increase in precipitation leads to a reduction of the detrained stratiform layers. In addition, the deeper clouds penetrate the inversion and detrain the moisture in the free troposphere, which further reduces the stratiform cloudiness.</p>	<p>No.</p> <p>Not consistent with AMIP-p4Krad or AMIP-p4Kturb, because low clouds do not deepen in either of the experiments compared to AMIP.</p>
<p>Negative feedback due to low cloud increase (Miller 1997, Klein and Hartmann 1993, Wood and Bretherton 2006, Qu et al. 2015, Tan et al. 2016)</p> <p>In low latitudes, the free-tropospheric temperature profile stabilizes with global warming. This increases the strength of the inversion capping the planetary boundary layer. As a result, vertical mixing across the inversion reduces, keeping the boundary layer shallower and more humid, which increases the stratiform low cloud cover.</p>	<p>Yes and No.</p> <p>Consistent with the low cloud decrease in AMIP-p4Krad, because the strength of the inversion decreases with warming (red curve in Fig3h).</p> <p>Consistent with the low cloud increase in AMIP-p4Kturb ($\sigma - p \gtrsim 0.9$), because the strength of the inversion increases with warming (blue curve in Fig3h).</p> <p>Not consistent with the low cloud decrease in AMIP-p4Kturb ($\sigma - p \approx 0.85$).</p>
<p>Negative feedback due to low cloud increase (Wyant et al. 2009,</p>	<p>Yes and No.</p>

<p>Narenpitak and Bretherton 2019).</p> <p>Higher SST causes a warmer and moister trade-cumulus boundary layer which experiences stronger net radiative cooling. The stronger cooling destabilizes the cumulus layer, leading to more vigorous convection. This fosters a moister boundary layer with more cumulus clouds, which amplifies the anomalous radiative cooling.</p>	<p>Consistent with the low cloud increase in AMIP-p4Kturb (σ-p \approx 0.9).</p> <p>Not consistent with the low cloud decrease in both AMIP-p4Krad and AMIP-p4Kturb (σ-p \approx 0.85).</p>
<p>Negative feedback due to low cloud increase (Myers and Norris 2013)</p> <p>In the tropics, the atmospheric overturning circulation weakens as the climate warms. This leads to less subsidence over the subtropical marine boundary layer clouds, which allows a deeper inversion with more vertical development of the clouds, thickening the cloud layer.</p>	<p>No.</p> <p>Not consistent with AMIP-p4Krad or AMIP-p4Kturb, because low clouds do not deepen in either of the experiments compared to AMIP.</p>
<p>Negative feedback due to low cloud increase (Zhang et al. 2013).</p> <p>Higher SST causes a warmer climate. Accompanied by the weaker large-scale subsidence, the warmer climate has greater surface latent heat flux, larger turbulence moisture convergence in the cloud layer, and consequently an increase in low cloud.</p>	<p>Yes and No.</p> <p>Consistent with the low cloud increase in AMIP-p4Kturb (σ-p \approx 0.9).</p> <p>Not consistent with the low cloud decrease in both AMIP-p4Krad and AMIP-p4Kturb (σ-p \approx 0.85).</p>

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