

Determining Mid-Ocean Ridge Geography from Upper Mantle Temperature

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Highlights

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- Mantle temperatures beneath global mid-ocean ridges exhibit basin-wide differences
- We use machine learning to predict the geographic location of ridge segments based on the sub-ridge upper mantle temperature
- The integrated history of convection and tectonics is recorded in the large-scale patterns observed at mid-ocean ridges

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Abstract

In this study, we examine the influence of the mantle and large-scale tectonics on the global mid-ocean ridge (MOR) system. Using solely seismically-inferred upper mantle temperatures below the melting zone (260-600 km) and an interpretable machine learning model (Random Forest and Principal Component Analysis), we predict, with up to 90% accuracy, the ocean basin of origin of all ridge segments without any prior geographic information. Two features provide >50% of the discriminative power: the temperature difference between the mid-layer (340-500 km) and other depths, and the depth-averaged temperature of the upper mantle. Our result implies that the large-scale geophysical and geochemical differences observed along the MOR system are reflective, not primarily of shallow processes associated with melting, but of long-term tectonic and convective processes in the mantle that determine the present-day upper mantle temperature structure.

Keywords: Mid-ocean Ridge, Potential Temperature, Mantle Convection, Random Forest

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1 **1. Introduction**

2 The 60,000 km-long chain of mid-ocean ridges (MOR) is the most visi-
3 ble surface manifestation of plate tectonics and mantle flow. Deep (>250 km
4 depth) mantle material is fed to ridges by largely passive convective currents,
5 resulting in decompression melting at depths < 150 km, and the generation of
6 new oceanic lithospheric plates. The plate tectonic factory is hence directly
7 connected not only to the present-day structure of the mantle under ridges
8 but also to the integrated convective and tectonic history of each ocean basin.
9 Consider that, since the breakup of Pangea, the circum-Pacific subduction
10 girdle has produced an influx of cold downwelling slabs towards the man-
11 tle beneath the Atlantic and Indian Ocean basins, and a relative absence in
12 the Pacific basin (Supplementary Movie S1, Müller et al., 2019). The down-
13 going slabs cool the mantle, and the downwelling flow generates a passive
14 return upwelling flow at ridges. Thus, integrated over the last few hundred
15 million years the convective and tectonic history will determine the average
16 temperature of the upper mantle today (e.g., Conrad et al., 2013). We may
17 hypothesize that these tectonic and convective histories may be reflected in
18 the geophysical and geochemical characteristics of the ridge systems of indi-
19 vidual ocean basins. For instance, the Pacific ridges have a systematically
20 deeper depth and higher spreading rate (Fig. 1a, b) than the mid-Atlantic
21 ridge system with the Indian Ocean ridge segments having intermediate val-
22 ues (e.g., Gale et al., 2014). Similar differences exist in the major element
23 composition of mid-ocean ridge basalts (MORBs, Gale et al. (2014)).

24 Previous work on the origin of these basin-scale geochemical and geo-
 25 physical differences has focused on the correlation amongst spreading rate,
 26 ridge depth, and MORB major and trace element chemistry (e.g. Klein and
 27 Langmuir, 1987; Brandl et al., 2013; Gale et al., 2014; Niu, 2016), inferences
 28 on the mantle temperature (Klein and Langmuir, 1987; Brown Krein et al.,
 29 2021), composition of the mantle source region (Niu and O’Hara, 2008), and
 30 melt-rock interaction during magma transport (Kimura and Sano, 2012). The
 31 premise of these studies is that the degree of partial melting and the nature of
 32 melt transport in the melting column is the primary control on the observed
 33 variability. However from a geodynamics perspective, we suggest that the dif-
 34 ferences in ridge characteristics at the ocean basin scale are a consequence not
 35 only of shallow melting but of deep mantle structure reflective of convective
 36 and tectonic history. Focusing only on shallow processes obscures the large-
 37 scale integrative role of mantle convection and tectonic history in shaping
 38 the source of mantle melting at the ridge on multiple spatio-temporal scales.
 39 However, it is very challenging to analyze the critical role of deep processes
 40 from existing studies since the inferences regarding MORB geochemistry and
 41 mantle source potential temperature (T_P) are strongly affected by the poorly
 42 constrained details of the melting process at shallow depths (Stracke, 2021),
 43 such as the extent of melt channelization (Spiegelman and Kelemen, 2003;
 44 Keller et al., 2017; Brown Krein et al., 2021). For instance, current petro-
 45 logical estimates of the ridge potential temperature (T_P) disagree both in
 46 absolute value and inferred spatial patterns. Brandl et al. (2013) and Dalton
 47 et al. (2014) see a hotter Pacific compared to the Atlantic and Indian Ocean
 48 basins, while Brown Krein et al. (2021) see no distinct hemispheric differ-

ence. In this study, we take an alternate, data-driven approach to search for unique fingerprints of the ridge system’s deep upper mantle (260 - 600 km depth) temperature structure. These variations would serve as inputs for the shallow melting processes that eventually give rise to the observed geochemical variations in MORB lavas.

Our work builds upon earlier attempts to understand the deep mantle contribution to the global ridge system. Early studies, e.g., Ray and Anderson (1994) explored the connection between mantle seismic velocity and ridges, as shear wave speeds are particularly sensitive to temperature. However, Ray and Anderson (1994) were limited by the resolution of the global tomography and sparse mineral physics data and thermodynamic modeling available at the time. They could not infer temperatures directly from the seismic velocities. Dalton et al. (2014) provided a big step forward by using thermodynamic models of the physical properties of mantle rocks to infer mantle temperature at 300 km depth below the ridges from global seismic tomography. They found Pacific ridges to be hotter than those in the Indian and Atlantic oceans. Rowley et al. (2016) also found a possible contribution from active, hotter mantle upwellings to the faster-spreading rates at the East Pacific Rise. While these studies provide important clues regarding the role of convective and tectonic processes on seafloor spreading and MORB geochemistry, they lack predictive power (uniqueness of the mantle fingerprint) or a direct connection to convective and tectonic processes.

In this study, we construct such a predictive model for the basin in which ridge segments are located, starting from the temperature of the upper mantle inferred from a full waveform seismic tomography model and self-consistent

74 thermodynamics (Bao et al., 2022), combined with the power of an inter-
 75 pretable model of classification – the random forest (RF) algorithm (Breiman,
 76 2001). Full waveform seismic tomography models from the past decade (e.g.,
 77 French and Romanowicz, 2014) provide a more robust and faithful estimate
 78 of the amplitude of seismic anomalies, which is crucial for inferences of tem-
 79 peratures. Our work focuses on addressing the following question: Is it
 80 possible to use the temperature of the entire upper mantle below the melting
 81 zone to classify *a priori* and accurately the oceanic basin ridge segments are
 82 located? When we ignore the depth-dependent information, the significant
 83 overlap in mantle potential temperatures across basins despite the higher
 84 average Pacific temperature (Fig. 1c, using results from Bao et al. (2022),
 85 see section 2.2) suggests that the answer to our primary question is not
 86 immediately obvious. We answer this question by using the predictive model
 87 to test whether the ocean basin individual MOR segments are located can be
 88 predicted using only the seismically inferred upper mantle T_P without any
 89 prior geographical information. While this question may seem superfluous
 90 for the present-day, given that we already have the geographical data for
 91 each ridge segment, it helps us identify unique sub-ridge mantle temperature
 92 patterns associated with each basin and even sub-basin-scale ridge systems.
 93 These patterns may be further analyzed with respect to the tectonic and con-
 94 vective history and aid our understanding of whether the variations in the
 95 ridge system originate primarily from the deep mantle or shallow processes.
 96 In addition, they might provide a framework with which to understand and
 97 infer the past temperature of the mantle, enriching tectonic reconstructions.
 98 Overall, our ‘predictive’ evaluation helps towards addressing a fundamental

geodynamics question: What is the dominant reason for the differences in ridge properties at the ocean basin scale - shallow melting or deep mantle processes?

Thematically, our work is a counterpart to the recent study by Stracke et al. (2022), who used non-linear dimension reduction and clustering analysis on multiple isotopic data for global MORBs and Ocean Island Basalts. They showed that ridges and hotspots potentially sample distinct sub-basin-scale isotopic heterogeneities, thus highlighting the role of deep mantle processes in controlling ridge composition. Section 2 describes the datasets and analysis methods we use in this study, followed by the results of the random forest analysis in Section 3. Section 4 uses these results to discuss the main implications of our results in the context of the importance of shallow vs. deep mantle processes for ridges.

2. Materials and Methods

2.1. Ridge Database

To test our hypothesis that the unique sub-ridge temperature features exist, we start by sampling mantle properties underlying MOR segments in the three major ocean basins (Pacific, Atlantic, Indian). We use the segment definitions from Gale et al. (2014) database with some filtering (choosing 655 out of 711 segments) to a) avoid more complex tectonic settings (back-arc basins and ultra-slow ridges) and b) simplify classification. The Gale et al. (2014) ridge segments are determined based on along-ridge axial depth variations, ridge offsets, transform faults, and non-transform offsets. Using these segments is a reasonable choice for our question of interest rather than

123 a uniform sampling per km of the ridge since each segment would correspond
124 to a unique tectonic/convective regime. Although our primary focus is on
125 inter-basin variations, we also test the robustness of our conclusions by doing
126 ridge-basin classification for the entire database (including smaller basins in
127 the Arctic, Caribbean, and Red Sea), as well as finer sub-basin ridge system
128 classification (discussed in section 3.2).

129 *2.2. Temperature Inference*

130 Following Bao et al. (2022), we extract shear wave seismic velocity from
131 tomographic model SEMCUB-WM1 (French and Romanowicz, 2014) and
132 convert it to temperature. We validate our results with 4 additional global
133 tomographic models (Ritsema et al., 2011; Simmons et al., 2010; Schaeffer
134 and Lebedev, 2013; Debayle et al., 2016). We extract velocity anomalies di-
135 rectly beneath each ridge segment, without any lateral averaging, from 260
136 to 600 km depth in 20 km intervals. This depth interval allows us to capture
137 sufficient information given the radial spline basis functions used in recent
138 global tomography models (e.g., French and Romanowicz, 2014). We focus
139 on depths below 260 km to avoid the strongly attenuated seismic velocities,
140 potentially caused by partial melt. Dry melting starts $< \sim 100$ km depth
141 beneath the ridge and at $< \sim 200$ km in the presence of volatiles (Keller
142 et al., 2017 and references therein). A depth > 260 km is sufficient to avoid
143 even the melting-influenced regions of intraplate volcanism, as seen seismi-
144 cally (Debayle et al., 2020) and geochemically (Ball et al., 2021). Because
145 the velocity to temperature conversion is non-linear (Bao et al., 2022), we
146 convert the shear-wave velocity anomalies to temperature using HeFESTo
147 (Stixrude and Lithgow-Bertelloni, 2005, 2011). HeFESTo is a self-consistent

thermodynamic model of the equilibrium phase assemblage of mantle minerals and their physical properties at a given pressure, temperature, and fixed bulk composition. We use the conservative premise that the upper mantle is compositionally homogeneous, consisting of Depleted MORB Mantle (DMM, Workman and Hart, 2005) and any differences in seismic properties are thermal in nature (Dalton et al., 2014). Because the mantle is thermally heterogeneous due to multi-scale flow, potential temperature is expected to be depth-dependent, consistent with our estimates. Our final temperature data for the ridge segment catalog is high-dimensional (18 depth layers per ridge segment, Fig. 2), which demands a strategy for dimensional reduction discussed below (section 2.3).

2.3. Data Processing and Classification

We first use a linear classifier, i.e., multinomial logistic regression, to predict the basin where each ridge segment is located based on the MOR mantle temperature profiles (260 to 600 km depth, one per ridge segment). Specifically, we try to find lines in the space of each input pair (e.g., between temperature at 2 depths) to separate out the different basins. A softmax function (Bridle, 1989) is used to find the maximum probability of the particular class and to give a prediction. However, this yields low accuracy irrespective of whether we use dimensionality reduction (60% accuracy) or not (65% accuracy). This suggests that there is no clear, linear predictive separation between each ocean basin ridge segments (e.g., Fig. 3). The high-dimensional nature of the raw data (i.e., 18 depth layers) also makes the problem challenging. Thus, we need a higher-order machine learning model that can handle both linear and highly nonlinear relationships and

173 remain interpretable. We further desire that the model features be physi-
 174 cally meaningful quantities that can be related to dynamical processes, such
 175 as the average temperature of the upper mantle (related to long-term plate
 176 organization, e.g., Gurnis, 1988), and the difference in temperature between
 177 layers which can be linked to various convective length scales.

178 Dimensional reduction using Principal Component Analysis (PCA, Jol-
 179 liffe, 2002) satisfies the requirements set above for optimal, interpretable clas-
 180 sification. PCA is a commonly used method for high-dimensional datasets
 181 and calculates orthogonal principal components (PCs, Fig. 4). Each PC
 182 is a linear combination and weighted sum of the normalized T_p at the 18
 183 distinct depths under each ridge segment. That is, $PC^i = \sum W_d^i \hat{T}_{p_d}$, $\hat{T}_{p_d} =$
 184 $(T_{p_d} - \mu_d)/\sigma_d$, where W_d^i is the weight for i th PC at depth d ; \hat{T}_{p_d} and T_{p_d} are
 185 the normalized and original potential temperature at depth d , respectively;
 186 μ_d and σ_d are the average potential temperature and standard deviation for
 187 all ridge segments at depth d , respectively. We normalize and rescale the
 188 original temperatures (from T_{p_d} to \hat{T}_{p_d}) for each depth before using it in
 189 the PCA calculation, to have zero mean and unit variance to achieve better
 190 performance (Duda et al., 1973). PCs are sorted from large to small values
 191 based on how much variance they can represent in the data. PC1 covers
 192 the largest variance of the data, PC2 the second largest, and so on for the
 193 remaining principal components. Mathematically, PCs are obtained using
 194 the eigenvector of the co-variance matrix of the normalized original data,
 195 and sorted by the corresponding eigenvalues. Because we have 18 depths,
 196 there will be 18 PCs in total. Analyzing the PCs that capture the main vari-
 197 ance ($\sim 99\%$) equates to projecting the data to a reduced dimensional space.

198 Instead of using a covered variance-based cutoff, We determine the optimal
 199 number of PCs to be used in our analysis based on their final performance
 200 in the subsequent machine-learning model.

201 Given the poor performance of a linear classifier even with PCs as inputs
 202 ($\sim 60\%$), we choose to use a nonlinear supervised classifier like Random
 203 Forest (Breiman, 2001) for our primary analysis here. Using the PCs as
 204 inputs, we train a Random Forest (RF) model to predict the ocean basin
 205 in which ridge segments are located. RF is a robust classification algorithm
 206 (reduced sensitivity to overfitting) and generates interpretable decision trees
 207 (Fig. 5a). RF consists of a decision tree generation algorithm, which chooses
 208 only one feature (i.e., PC) at each node and divides the data into two branches
 209 based on a cutoff value. To determine what PCs to use and their cutoff
 210 value for each tree branch, the tree algorithm calculates the entropy or Gini
 211 impurity G for each possible PC & cutoff combination. At each node, we
 212 have $G = \sum_k p_k(1 - p_k)$, where p_k is the proportion of each class (i.e., ocean
 213 basin) k . A low entropy or Gini impurity measure indicates that the sub-
 214 node/branch would be dominated by one class and it is thus a good choice for
 215 dividing the tree. This process is repeated until the whole dataset is classified
 216 by a tree consisting of many branches. Overall, the algorithm optimizes
 217 the PC selection and cutoffs at each branching point to match the input
 218 classification labels (here the ridge basins of origin). For each input datapoint
 219 consisting of a set of PC values, the final classification is the value of each
 220 end node (leaf node) that the datapoint reaches after traversing the trained
 221 tree model (e.g., Fig. 5b). A key feature of the tree-based classification
 222 algorithms is that they make it easier to understand the classification and the

223 importance of each input feature in the final predictive classification model.
224 RF generates a series of decision trees (here $N = 20$) as a forest and takes
225 the predicted probability of the segment in a certain basin averaged from
226 each tree. There are two built-in levels of randomness to avoid overfitting:
227 1) Random resampling of the dataset via bootstrapping when training each
228 tree, and 2) PC selection from a randomly selected subset of PCs when
229 growing the tree.

230 The nonlinear nature of the algorithm and its randomness enable RF to
231 handle the complicated ridge database robustly. To further avoid overfitting
232 and improve the robustness of the prediction, we also randomly split the input
233 PC data into training (80%) and testing (20%) sets. We repeat this 50 times
234 to calculate the average classification accuracy. The modeling pipeline is
235 constructed using Orange which enables visual programming for data mining
236 (Demšar et al., 2013). Note that with PC as input of Random Forest, our
237 model is similar to the Rotational Forest. In Rotational Forest, the raw
238 feature is split into subsets randomly, and then PCA is performed for each
239 subset. The result is then used as input for the RF algorithm (Rodriguez
240 et al., 2006).

241 When we visualize data in PC pair space (or input temperature variable
242 space) with scatter plots in Orange (Demšar et al., 2013), it can compute
243 the most informative projections. For each point, Orange finds 10 nearest
244 neighbors in the projected 2-D space, e.g., two PCs. It then checks the
245 number of points out of 10, with the same ocean basin. The averaged number
246 across the neighborhood of all points gives the final score, and we consider
247 the PC (or temperature) pair with the highest score the most informative

248 projection. In Figure 3, we show the results of this analysis for a pair of
 249 input temperature data variables.

250 **3. Results**

251 *3.1. Potential Temperature*

252 Figure 2a shows the map of inferred T_P averaged over 260-600 km depths.
 253 The mean and median T_P of the Pacific are the hottest overall, while those
 254 of the Indian and Atlantic basins overlap (Fig. 1c), consistent with Dalton
 255 et al. (2014). The modal T_P for Pacific ridges is similar to that of Indian
 256 ridges but slightly hotter than that of Atlantic ridges. Overall, Indian ridges
 257 have T_P distribution intermediate between Pacific and Atlantic ridges. We
 258 see regional in-basin lateral temperature variations similar to Dalton et al.
 259 (2014) and Bao et al. (2022). While the map (Fig. 2a) and overall statistics
 260 (Fig. 1c) already reveal some differences among basins, we observe additional
 261 multi-scale vertical variations, which we discuss in section 4.2 (Fig. 2b).

262 *3.2. Principal Components and Random Forest*

263 We find that the first 5 PCs cover $> 99\%$ of the variance in the tem-
 264 perature data (Fig. 6a). The proportion of variance explained by each PC
 265 decreases dramatically from more than 75% for PC1 to less than 1% for PC5.
 266 To understand what each PC represents physically, in Fig. 4a, we show the
 267 weighting coefficients of the linear combinations of PCs using the weight ma-
 268 trix of the first 5 PCs. For PC1, the weights are ~ 0.2 at all depths. Thus,
 269 PC1 corresponds to the scaled average T_P over all depths. Other PCs have
 270 an average weighting of 0, meaning they emphasize the T_P differences at

271 depth for length scales smaller than the whole upper mantle. For example,
 272 the weighting coefficients for PC2 decrease from 0.3 to -0.3 from 260 km
 273 to 600 km, essentially giving the difference in T_P between the upper half of
 274 the upper mantle (260-420 km) and the transition zone (440-600 km). The
 275 coefficients for PC3 are positive around 400 km (340-500 km) and negative
 276 at the top (260-320 km) and bottom (520-600 km); thus PC3 quantifies the
 277 contrast between mid-upper mantle depths (340-500 km) and other depths
 278 (especially <300 km, where the weight is the most negative at about -0.5).
 279 Finally, PC4 and PC5 represent variations at smaller length scales (≤ 80 km).
 280 The first 5 PC values for all ridge segments are shown in Fig. 2b.

281 **Choice of PCs:** PC1, or essentially the average upper mantle T_P , shows
 282 substantial overlap across basins around 1300-1500 °C (Fig. 1d), and it is
 283 insufficient for accurate basin classification. As PC1 is only the bulk tem-
 284 perature of the upper mantle, information at smaller length scales (through
 285 other PCs) is required to distinguish ridges from basins with similar bulk
 286 temperature from each other. To have a parsimonious model, we first try to
 287 predict the basin geography with just one other PC by finding the most infor-
 288 mative 2-D projection, which gives the best classification accuracy among all
 289 PC pairs. We find that this is the PC1 vs. PC3 projection shown in Fig. 4b.
 290 The Pacific segments lie primarily on the right of the projection ($PC1 > -4$),
 291 while the Atlantic can have extreme PC3 values (> 2 or < -2). Although
 292 one can approximately predict ocean basins based on this zoning, the PC1
 293 and PC3 in each basin still overlap significantly. Thus, the predictive accu-
 294 racy is less than 60% and we need more PCs and length scale information.
 295 The zoning in Fig. 4b also reinforces the need for non-linear classifiers since

296 the boundary between different ocean basins is curved and complex.

297 To determine the best number of PCs in the RF model, we add one PC
298 at a time, in the order of descending variance covered (e.g., PC1, PC1+PC2,
299 PC1+PC2+PC3, and so forth), and calculate the classification accuracy as
300 a function of the number of PCs (Fig. 6b). Not surprisingly, classification
301 accuracy generally increases with more PCs. However, the increased accuracy
302 gain generally reduces as the PC index increases. Three PCs are enough to
303 achieve 70% classification accuracy. To reach 80% accuracy, we must include
304 PC1 to PC5 (accuracy = 82%). Since adding more PCs does not significantly
305 improve the accuracy, we will use the first 5 PCs for the subsequent analysis.
306 We get prediction accuracies from 75% (Pacific) to 90% (Atlantic), shown in
307 Table 1 as the confusion matrix.

308 **Trained tree model :** A typical example of how PCs work in RF is
309 shown in Fig. 5b, which shows one decision tree of RF. At the root node
310 where we have all samples (a random subset of all ridge segments), RF finds
311 that PC1 can best split the data by bifurcating the samples at $PC1 = 4.99$ so
312 that the child node with $PC1 > 4.99$ (node A) is dominated by Pacific ridges.
313 The other child node (node B) with $PC1 \leq 4.99$ has fewer Pacific samples.
314 In this way, the child nodes are more uniform and the entropy of the child
315 nodes is minimized. Next, a random subset of PC candidates is generated
316 at node A, and RF chooses to use $PC4 = -0.22$ to further bifurcate node A
317 to A1 and A2. Consequently, the child node A1 has an even higher portion
318 of Pacific segments than node A, while node A2 only has samples from the
319 Atlantic Ocean. Similarly, node B is bifurcated at $PC3 = 0.25$ to B1 and B2
320 such that B1 has very few Pacific samples. A similar procedure is applied

321 to A1, B1, and B2 with PC2, PC5, and PC1, respectively, and their child
 322 nodes repeatedly until the child node has four samples (or less) or samples
 323 in the child node are purely from one basin (like A2). We call these end
 324 nodes leaf nodes. Overall, as the decision tree grows from the root node to
 325 the leaf nodes, we gradually minimize the entropy at the next level and have
 326 one basin dominate each leaf node.

327 **Classification robustness :** We find that the classification accuracy is
 328 robust for all other tomographic models examined and ranges from $>83\%$
 329 (Debayle et al., 2016) to 90% (Ritsema et al., 2011; Simmons et al., 2010;
 330 Schaeffer and Lebedev, 2013). This higher accuracy may be because other
 331 global tomographic models explored here contain less heterogeneity at shorter
 332 wavelengths at depth (e.g., discussion in Meschede and Romanowicz, 2015).
 333 Consequently, these models suppress in-basin temperature variation and em-
 334 phasize inter-basin differences. We also notice the weight matrix is reasonably
 335 consistent across models, i.e., PC1 always gives the average while each of the
 336 other 4 PCs gives the differences of the same layers. However, the sign of
 337 weights in certain PCs may flip (Fig. 7). These results are not unexpected
 338 as global tomographic models are broadly consistent with each other in the
 339 upper mantle. In addition, we can obtain a slightly improved classification
 340 accuracy (from 82 to 86%) if we average the inferred T_P in a disc, with ra-
 341 dius $R = 500$ km centered at each ridge segment, at each depth. The local
 342 average temperature beneath the ridge segment incorporates additional envi-
 343 ronmental information (i.e., cold and hot anomalies) and suppresses in-basin
 344 small-scale lateral variations.

345 **Results with sub-basins :** While we focus on the classification of three

346 large main basins, the inclusion of the other small regions like the Arctic, Red
 347 Sea, and Caribbean ridge systems only leads to negligible decreases (1%) in
 348 classification accuracy. Therefore, our primary conclusions do not change
 349 with the full mid-ocean ridge database of 771 segments. We further test our
 350 ability to predict smaller tectonic units within ocean basins (sub-basin ridge
 351 systems, e.g. East Pacific Rise). To do this, we slightly simplify the groups
 352 in the ridge database by merging the Chile Ridge with the Pacific-Antarctic
 353 Ridge and the Atlantic-Antarctic Ridge with the Mid-Atlantic Ridge. We
 354 then obtain a sub-basin ridge system map based on our classification (Fig. 8)
 355 with an acceptable accuracy of 74%. Using the local temperature averaged
 356 inside a 500 km-radius disc surrounding each ridge segment, we get 80%
 357 accuracy because lateral variations within each ridge system are suppressed.

358 **4. Discussion**

359 Our results show that we can determine the ocean basin of origin with
 360 80 to 90% accuracy. The robustness of our results suggests that the sub-
 361 ridge mantle temperature is distinct across basins and could be an excellent
 362 indicator of large-scale convective contributions to surface differences in the
 363 MOR system. Conceptually, our classification model can be regarded as
 364 a non-linear function that takes the present sub-ridge mantle structure as
 365 input, decodes the hidden signature of the integrated records of past tectonic
 366 and convective history, and converts the signature into location information
 367 of the ridges in terms of the basin of origin or smaller tectonic units, such
 368 as sub-basin ridge systems. *The hidden signature from the deep mantle is*
 369 *sufficient to provide robust long-wavelength information without introducing*

370 *any shallow or surface observations such as MORB chemistry or spreading*
371 *rate and ridge depth.*

372 *4.1. Feature importance*

373 The high classification accuracy suggests that the deep thermal structure
374 beneath MOR is distinct enough to discriminate between ocean basins. Each
375 principal component represents the sub-ridge temperature heterogeneity at
376 different length scales, ranging from the entire upper mantle (PC1) to half
377 (PC2) to 1/3 (PC3) of the upper mantle, and even smaller depth intervals
378 (PC4 and PC5). Our results thus reveal the length-scale of thermal and
379 chemical heterogeneity subsisting in the mantle and contributing to the in-
380 tegrated convective record. To assess which features (i.e. PCs) contribute to
381 classification accuracy the most, we use feature importance analysis meth-
382 ods. For non-linear classifiers such as RF, we can use the permutation feature
383 importance method (Breiman, 2001) to compute feature importance. This
384 approach randomly permutes the data of a given PC and computes the
385 corresponding decrease in classification accuracy with respect to the default
386 case (Fig. 5a). We find that PC3 is the most critical feature with >30%
387 importance, while PC1 is the second most important (>20%). Thus, PC3
388 and PC1 together provide more than half of the discriminative power of the
389 5 PCs.

390 *4.2. Physical interpretation*

391 *4.2.1. PC1*

392 PC1, the average T_p over all depths and the second most important fea-
393 ture, broadly represents the current convective vigor of the upper mantle

column. The distinct hemispherical pattern (higher PC1 in the Pacific, Fig. 2, 1c) is consistent with previous studies (Brandl et al., 2013; Dalton et al., 2014) and can be linked to past subduction history.

For instance, the Pacific ocean evolved from the Panthalassic ocean. It was filled with in-basin spreading ridges and was also surrounded by an outward subduction girdle predating the formation of Pangea (~ 300 Ma). Over that period there was also significant intraplate hotspot volcanism resulting in large oceanic plateaus potentially reflective of the higher basin temperature. In contrast, the Atlantic region developed from the rifting of Pangea ~ 180 Ma and the formation of the mid-Atlantic ridge system. The Indian Ocean has a more complex tectonic history – it has undergone in-basin subduction, ridge spreading, and the closure of the Tethys (Müller et al., 2019). These different tectonic histories, in particular, the presence or absence of in-basin subduction and the subduction of slabs away from one basin and towards another, can change the first-order thermal structure of the mantle under each basin and is reflected in the MOR temperature today (Fig. 1c).

The observed hemispherical mantle temperature difference between ocean basins may reflect a degree-1 difference from the surface to the core-mantle boundary. It has been suggested that the residual topography and lithospheric thickness seem to also present a similar hemispherical pattern (Stewart et al., 2023), which might be linked to the differences between the corresponding mantle domains (the dashed line in Fig. 9). Such degree-1 difference may be sustained over the last 200 Mys - while subduction was directed away from the Pacific towards the African (Atlantic and Indian) domain, the corresponding mantle domains persistently had a degree-2 convection regime

419 (Conrad et al., 2013, black arrows in Fig. 9). The persistence of the degree-1
 420 structure as well as the degree-2 flow may be also supported by the possi-
 421 ble anchoring of the Large Low Shear Velocity Provinces (LLSVPs) above
 422 the core-mantle boundary located under the Pacific and African plates (e.g.,
 423 Torsvik et al., 2010). Although the origin and specific nature of the LLSVPs
 424 are beyond the scope of this discussion, their presence and relation to past
 425 subduction likely influenced the thermal structure of the mantle under each
 426 ocean basin.

427 Beyond recent (< 200 My) subduction history, the long-term convective
 428 and tectonic history, such as the presence of supercontinents, may also alter
 429 the thermal structure of the mantle under each basin (Gurnis, 1988; Jellinek
 430 and Lenardic, 2009; O'Neill et al., 2009; Lenardic et al., 2011). Karlsen et al.
 431 (2021) argue that Rodinia, a longer-lived ($1.1\text{-}0.7$ Ga) supercontinent, might
 432 have allowed more heat to accumulate under the Pacific mantle domain in
 433 contrast to the impact of the shorter-lived Pangea ($300\text{-}180$ Ma) on the
 434 African domain. The additional supercontinent insulation may be partially
 435 responsible for the present-day hemispherical temperature difference T_P at
 436 depth (Fig.2a), despite faster cooling in the Pacific due to higher spreading
 437 rates after the breakup of Pangea (Karlsen et al., 2021).

438 Besides the impact on basin-wide average temperature and PC1, past
 439 subduction may also explain regional low PC1 values. For instance, a co-
 440 herent slab-like structure has been observed beneath the Southeast Indian
 441 Ridge in seismic tomography models (Simmons et al., 2015) with a part of
 442 this potential slab remnant still trapped in the transition zone (Gurnis et al.,
 443 1998). This subduction event dates back to the Mesozoic and terminated

444 near the edge of East Gondwana ~ 140 Ma. The presence of a trapped slab
 445 in the transition zone may explain the low temperatures and PC1 value of
 446 the associated nearby ridge ($T_P \sim 1250$ °C, PC1 ~ -10 , green box in Fig. 2)
 447 and contribute to the Indian basin’s ridge system intermediate nature. These
 448 observations suggest a potentially persistent effect of subduction on upper
 449 mantle structure and temperature for over 100 Myr.

450 4.2.2. *PC3*

451 Interpreting PC3 – the difference in temperature between the middle of
 452 the mantle (340-500 km) and other depths – is more challenging. PC3 is
 453 more distinct basin-wide (Fig. 1f), and consequently, PC3 dominates the
 454 classification as indicated by the feature importance. The confusion matrix
 455 of our model (Table 1) shows that the smallest portion of mislabeled samples
 456 is between the Atlantic and the Indian region (around 8%) which is less than
 457 those related to the Pacific (usually $>10\%$). This result illustrates that the
 458 hemispherical, first-order differences from PC1 are insufficient to determine
 459 whether a ridge segment is inside the Pacific Ocean (Fig. 1d). The modal
 460 PC3 value is highest in the Atlantic, then the Indian, and lowest in the Pacific
 461 (Fig. 1f). What controls the different temperatures at the length scale of $1/3$
 462 of the upper mantle across ocean basins? We posit that PC3 variations are
 463 potentially related to mantle flow associated with plume-ridge interaction as
 464 well as the interaction of the ridge with large-scale mantle upwellings (e.g.,
 465 Ribe et al., 1995; Sleep, 2002; Gassm  ller et al., 2016; Gibson and Richards,
 466 2018). A detailed analysis of the physical interpretation of PC3 will be
 467 discussed in a future companion paper.

4.2.3. *PC2, PC4, PC5*

PC2, the difference between the transition zone and mantle above the transition zone, is a feature that describes a larger length scale than PC3, and far larger than PC4/PC5. However, its importance is less than 20%, only about half and 80% of that of PC3 and PC1, respectively (Fig. 6c). Interestingly, we find that while PC2 covers $\sim 15\%$ variance in contrast to 1% or less for PC4 and PC5 (Fig. 6a), the three PCs have similar feature importance (Fig. 6c). We attribute this to the fact that no single dynamical process dominates the difference at the three scales globally. Consequently, we observe no obvious modal/median difference among basins for PC4 and PC5 and PC2. But there are still differences between basins in terms of the shape of the density distribution, especially the distribution edges (Fig. 1e, g, h), so that each of PC2, PC4, and PC5 provides around 15% classification accuracy. A deeper physical understanding of the origin of these variations, such as the potential role of transition zone phase transitions and discontinuity topography, will be the subject of future work. We note it is hard to further improve classification accuracy to near 100% even when including more PCs. This may indicate the role of neglected dynamics such as those related to the melting process or heterogeneities shallower than 260 km depth.

5. Conclusions

With thermodynamically inferred upper mantle temperature and a robust machine learning model, we show that we can predict the ocean basin where ridge segments are located with at least $>80\%$ accuracy (Fig. 6b) using only

492 temperature information from the mantle column beneath the ridge below
 493 the melting zone. Unlike surface ridge characteristics (depth, geochemical
 494 signals, etc.) which can be altered by complex shallow melting processes,
 495 upper mantle temperature is a proxy that records 100s Myr of history of
 496 plate tectonics and mantle convection (Fig. 9). Our results help reveal the
 497 significant contribution of the deep mantle to large-scale MOR geophysical
 498 signals and suggest distinct inter-basin and even sub-basin deep mantle vari-
 499 ations. The cluster analysis of ridge isotope geochemistry in Stracke et al.
 500 (2022) highlighted similar spatial mantle compositional variations. These two
 501 results together reinforce the idea that the mantle is recording the integrated
 502 tectonic and convective history of the last few hundred million years, leading
 503 to inter-basin and sub-basin temperature and isotopic variations. We antici-
 504 pate that future studies may be able to predict the long-wavelength features
 505 of MORs using the mantle temperature alone and analyze the disentangled
 506 effect of shallow melting processes on various geophysical, geochemical, and
 507 petrological observations at MORs. Such analysis could also be extended in
 508 space (other isochrons in the ocean basins) and time (past MOR features)
 509 and help understand the fingerprints of past mantle convection processes
 510 in present-day mantle temperature heterogeneity or conversely temperature
 511 heterogeneity in the past.

512 **6. Data Availability**

513 The machine learning pipeline was constructed using Orange Demšar
 514 et al. (2013), available at <https://orangedatamining.com/> licensed un-
 515 der GNU version 3.0 or later. The compiled ridge database, including the

516 seismic velocity and inferred temperature, along with the Orange work-
517 flow file, are available at <https://figshare.com/s/1cc8a5bc0d6faa469fe1>
518 (DOI:10.6084/m9.figshare.22256035). The thermodynamic package HeFESTo
519 Stixrude and Lithgow-Bertelloni (2005, 2011) is available at [https://github.](https://github.com/stixrude/HeFESToRepository)
520 [com/stixrude/HeFESToRepository](https://github.com/stixrude/HeFESToRepository), and the parameter set is available at
521 https://github.com/stixrude/HeFESTo_Parameters_310516. The Movie
522 S1 was created with Gplates portal at <http://portal.gplates.org/> Müller
523 et al. (2016).

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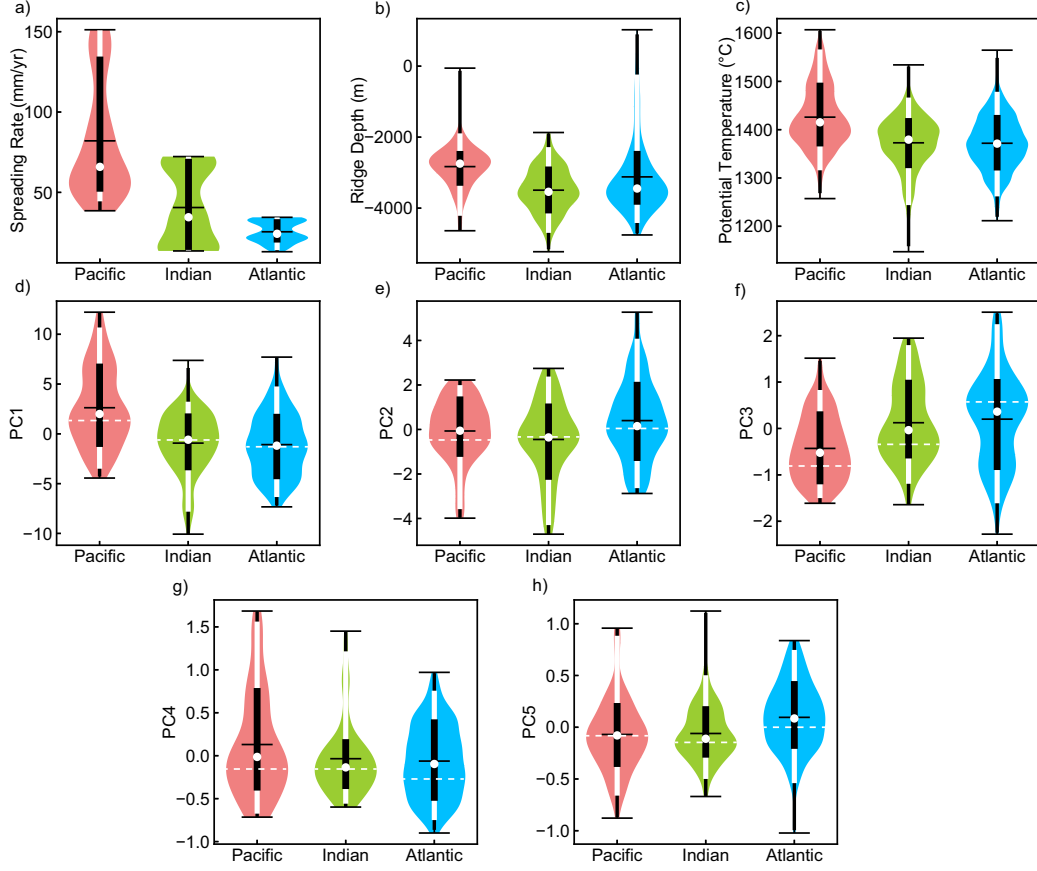


Figure 1: Violin plot of number density distribution of geophysical characteristics of each ocean basin. a) Ridge Depth. b) Spreading rate. c) Potential temperature stacked over all depths. d-h) PC1 to PC5. For each column, the horizontal bars are max, average, and min from top to bottom. The end points of vertical black and white bars are central 99, 95, 68 percentile from the median (white point). PC1 (d) and PC3 (f) have modal value position (dashed line) more distinct in the three basins, while PC2 (e), PC4 (g) and PC5 (h) have indistinguishable modal value positions in the three basins.

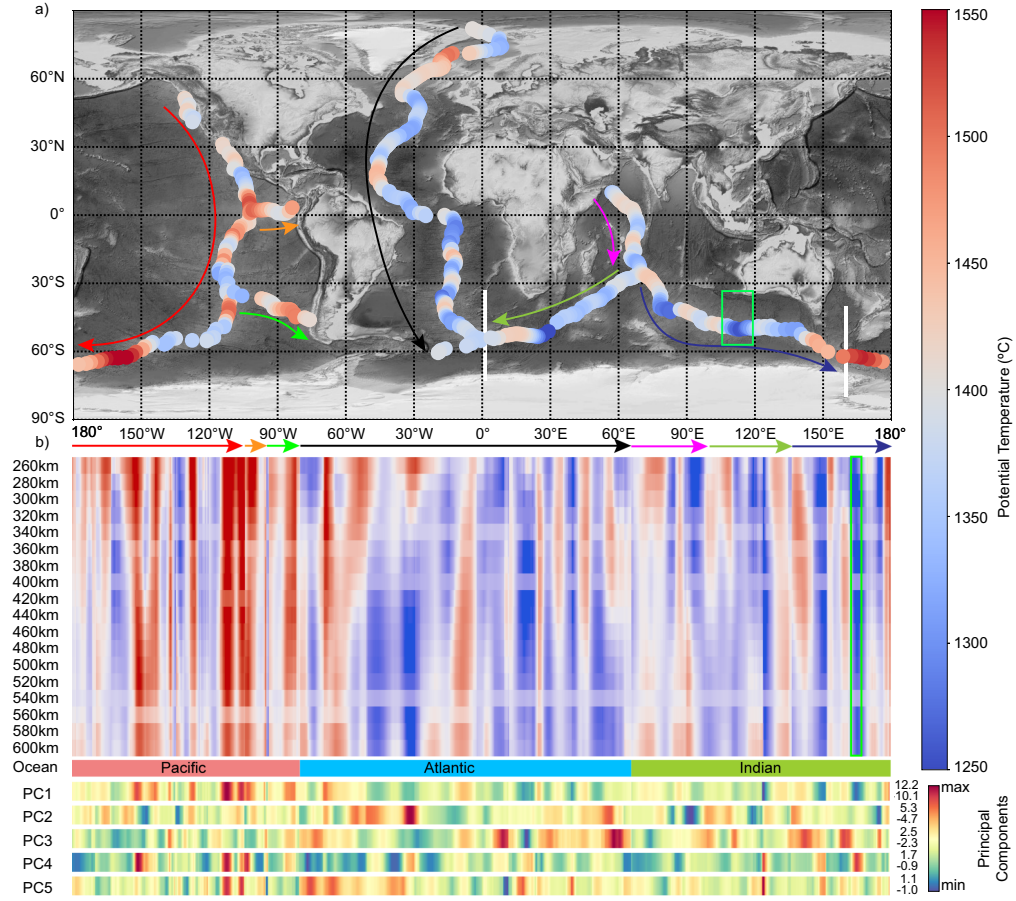


Figure 2: The inferred temperature T_P for MOR segments in the major ocean basins: the Pacific, the Atlantic, and the Indian. a) Map view of T_P averaged over all depths. White lines are ocean basin boundaries. b) T_P at depth. The order of ridge segments is shown with arrows in both panels. The ridge in the green box in both panels are possibly related to an ancient slab (Simmons et al., 2015). The bars on the bottom show the corresponding principal components for each segment.

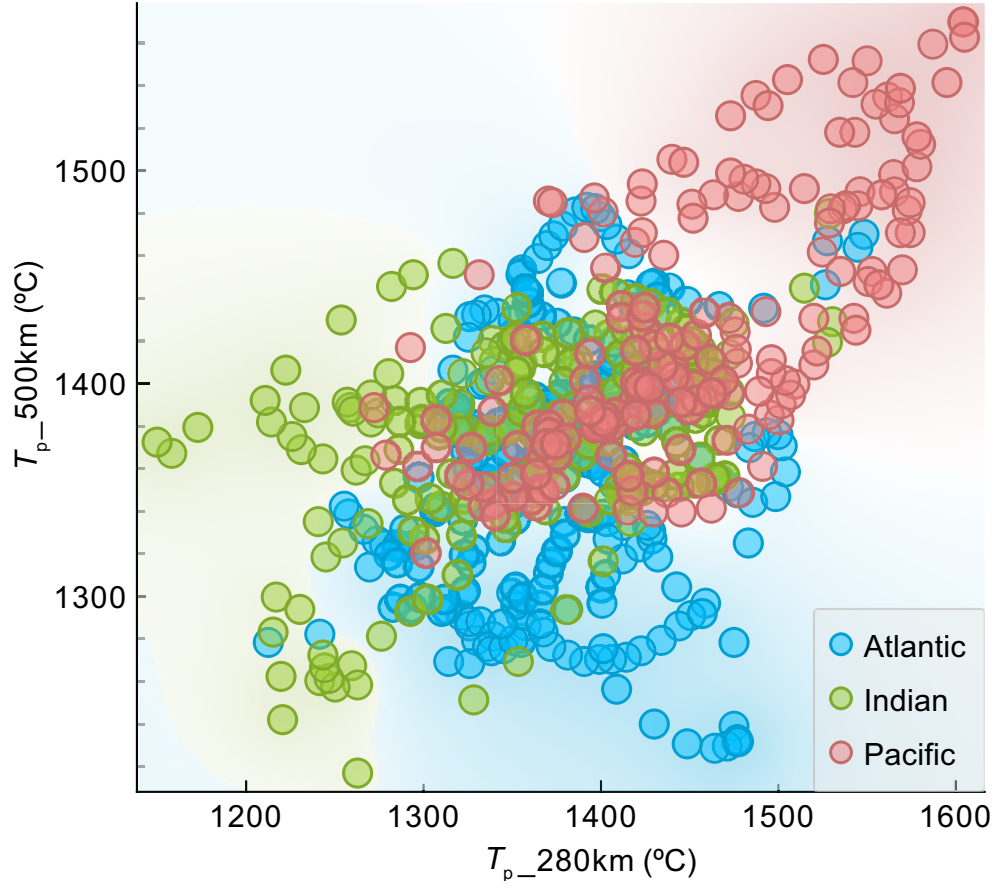
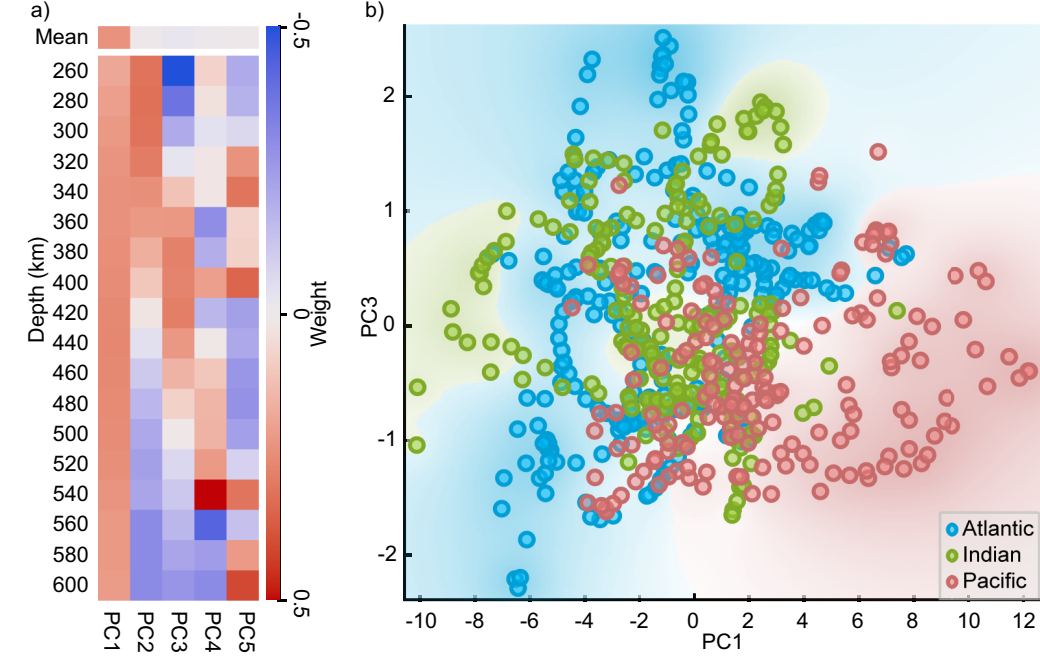


Figure 3: Scatter plot of potential temperature T_P at 280 km versus 500 km. This is the most informative projection among all pairs of temperatures, showing the best basin zoning, shown by the background colors.. Background colors are based on the density of points from each ocean basin in that space. Note that data from different basins are not easily separable with this linear classifier.



$$PC^i = \sum_d W_d^i \hat{T}_{pd} \quad W_d^i: \text{weight for } i \text{ th PC at depth } d$$

$$\hat{T}_{pd} = (T_{pd} - \mu_d) / (\sigma_d) \quad \text{at depth } d \quad T_{pd}: \text{potential temperature } \mu_d: \text{average } \sigma_d: \text{standard deviation}$$

Figure 4: Principal Component Analysis (PCA). a) Each PC is a weighted sum of normalized T_p at depth using the equations shown at the bottom. Individual weights (W_d^i) are shown as a heatmap. The top row shows the average weight of each column (over all depths). b) Ridge segment data is shown in the most informative space PC1 versus PC3 among all PC pairs. Background colors as in Fig. 3

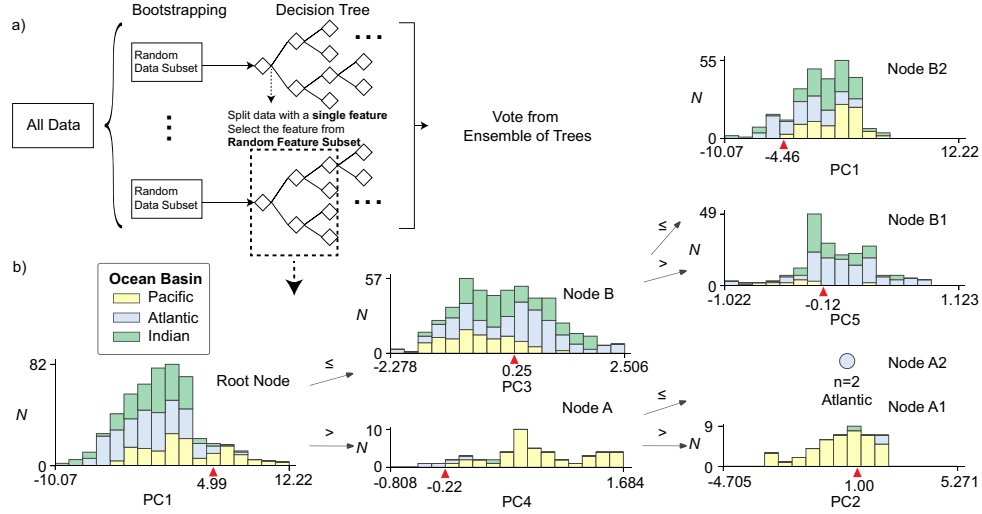


Figure 5: The Random Forest (RF) model. a) Schematic of RF. Data are randomly sampled as subsets with replacement (Bootstrapping), and each subset is fed to a different decision tree. In each tree the data are bifurcated multiple times. For every bifurcation, the tree chooses a best PC from a random subset of PCs. Compared with the parent node, the child nodes are purified, i.e., they are gradually dominated by an ocean basin after bifurcation. The end node (leaf node) can predict probability of the ocean basin based on its basin fraction. The ensemble of trees then vote for the classification. b) The top 3 levels of one decision tree in the RF (dashed box in panel a). Each node bifurcates based on the PC shown (x axis) at the point indicated by the red triangle. The y axis is the number of data points. The upper child node has data no larger than the point indicated by the red triangle in its parent node, and vice versa. The tree stops at leaf nodes like A2, when all the points belong to one basin only, or with no more than 4 data points.

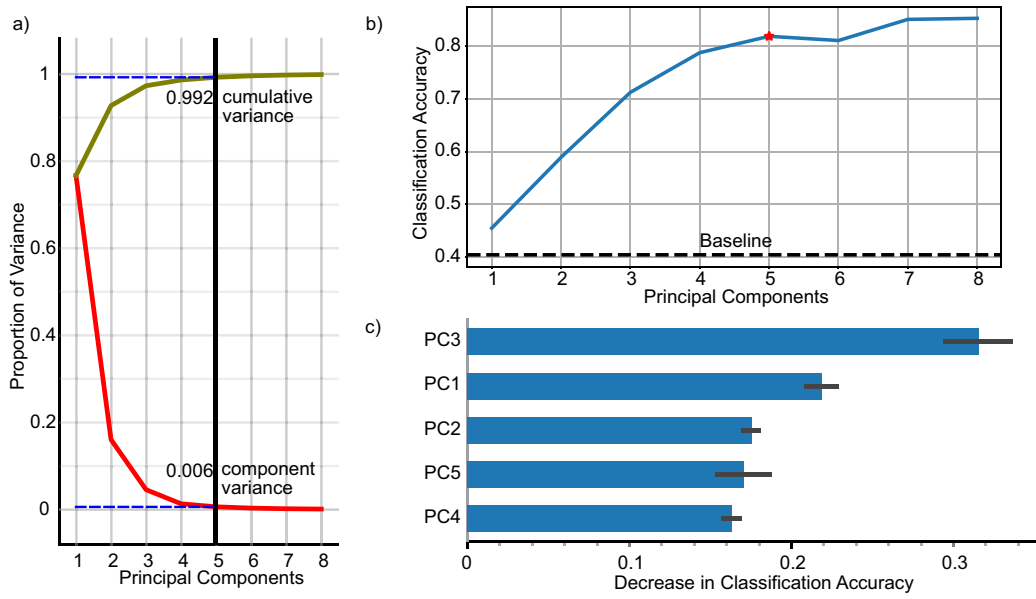


Figure 6: Effect of the first few PCs. a) The proportion of variance covered by each PC (red) and cumulative proportion (dark olive green). b) The cumulative Classification Accuracy with PC1 to PC8. The star denotes our final choice: PC1 to PC5, when we reach 82% accuracy. The baseline is to predict all ridge segments to be in the Atlantic basin, which has the most data. c) Feature importance is calculated from the decrease in classification accuracy by permuting data in each PC. Black bars show the standard deviation among all trees.

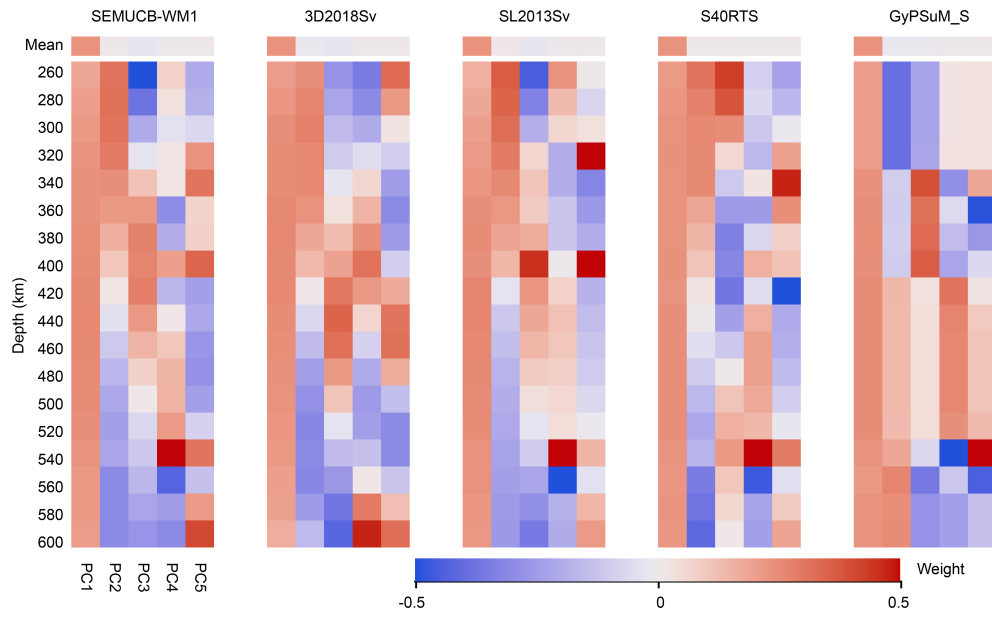


Figure 7: The PCA weight matrix of potential temperature at depth inferred from all tomographic models considered in this study.

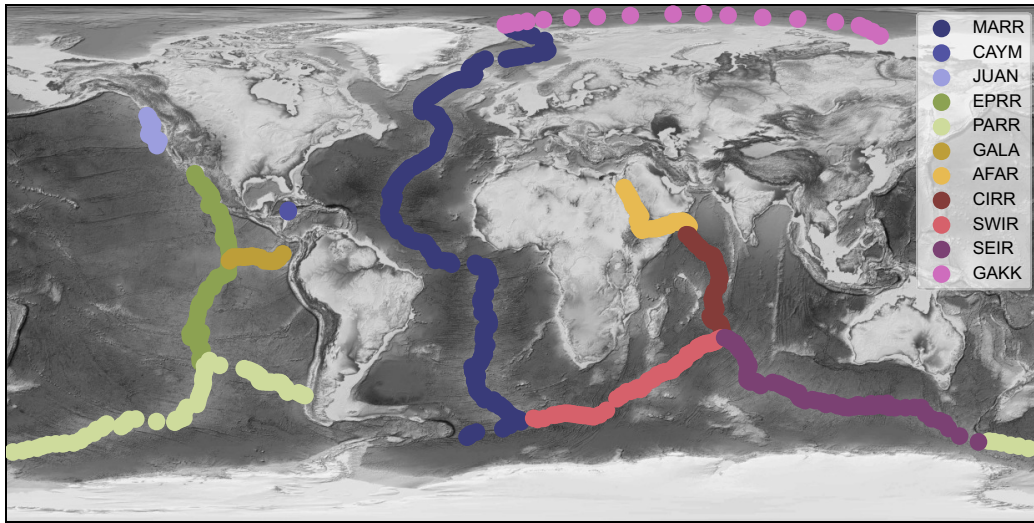


Figure 8: Sub-basin ridge systems as classified by our model. MARR: Mid-Atlantic Rise Ridge. CAYM: Cayman Ridge. JUAN: Juan De Fuca Ridge. EPRR: East Pacific Rise Ridge. PARR: Pacific-Antarctic Rise Ridge. GALA: Galapagos Ridge. AFAR: Red Sea Rift. CIRR: Central Indian Rise Ridge. SWIR: Southwest Indian Ridge. SEIR: Southeast Indian Ridge. GAKK: Gakkel Ridge.

Table 1: The confusion matrix from our classification models.

		Predicted			$\Sigma_{\text{samples}}^b$
		Atlantic	Indian	Pacific	
Actual	Atlantic	88.0% ^a	6.8%	5.1%	2650
	Indian	8.7%	79.6%	11.7%	2050
	Pacific	10.5%	14.3%	75.1%	1850
	$\Sigma_{\text{samples}}^b$	2707	2078	1765	6550

^aEach row with percentages shows the fraction of all segments actually from a basin predicted to be in a different basin.

The diagonal parts are the correct predicted fractions.

^bThe last row and last column show the numbers of bootstrapped test samples summed over all 50 trained random forest models.

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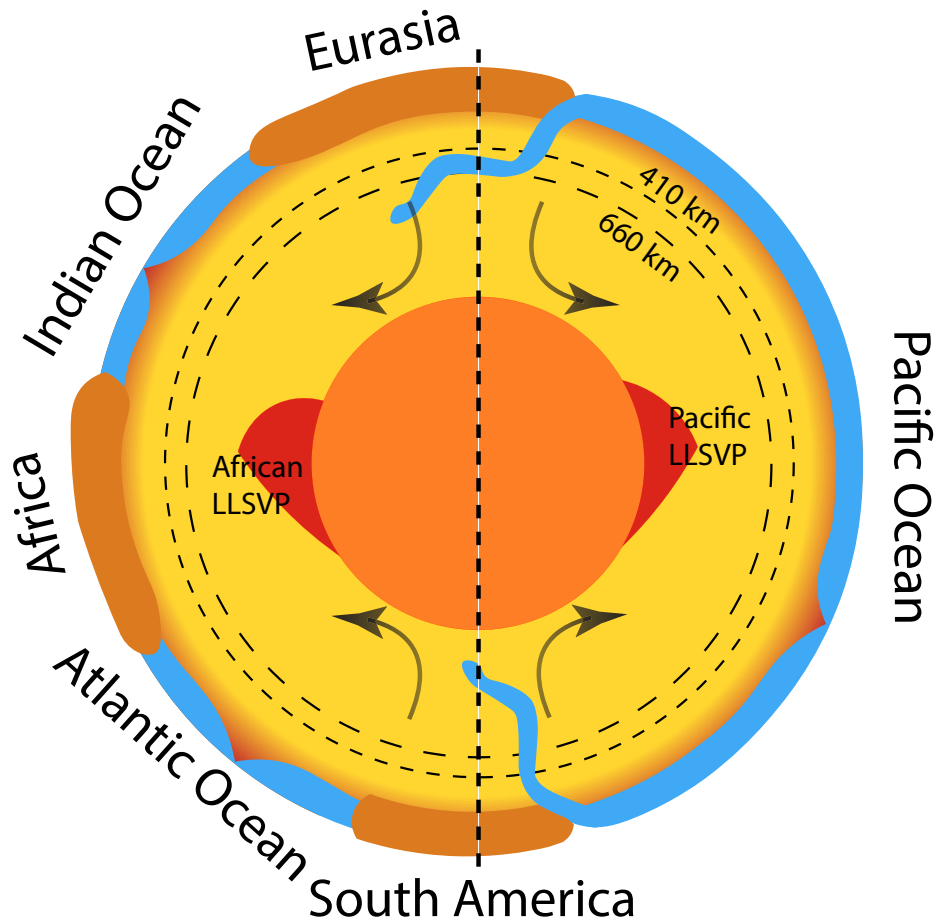


Figure 9: Schematic cross-section, showing how mantle convection and plate tectonics may affect present ridge temperature. Blue oceanic plates are subducted beneath brown continental plates, black arrows show the degree-2 convection pattern. The two red blobs are the LLSVPs. Note the hemispherical difference (degree-1, separated by the dashed line) from the lithosphere to the LLSVPs. Modified from Conrad and Ogliore, 2013.

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