# Millimeter Wave Spatial Statistical Channel Model for High-altitude Military UAV Battlefield Situation Awareness

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February 27, 2023

#### Abstract

A high-altitude military UAV air-to-ground scenario model is established for the battlefield scenario without base station, the MIMO antenna array technology is used to improve the channel communication performance, and the spatial statistical channel model (SSCM) of this scenario is constructed in this paper. According to the simulation results, the dependence of the channel on T-R separation distance, frequency, rain rate and antenna HPBW parameters was investigated, the large-scale fading and small-scale fading characteristics was analyzed. By calculating and analyzing the condition number and rank of the channel transmission matrix, the spatial multiplexing under different MIMO antenna arrays was investigated. The simulation results can provide a theoretical basis for future high-altitude military UAV battlefield situation awareness frequency selection and antenna design.

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Beam Pattern (the Distribution of Amplitude)





















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7	Key Points:					
8 9	• A high-altitude military UAV air-to-ground scenario model is established for the battlefield scenario without base station					
10 11	• The MIMO antenna array technology and the spatial statistical channel model of battlefield situation awareness scenario is constructed					
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- 16 scenario without base station, the MIMO antenna array technology is used to improve the
- 17 channel communication performance, and the spatial statistical channel model (SSCM) of this
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- 24 awareness frequency selection and antenna design.

# 25 **1 Introduction**

- 26 With the continuous advancement of global informatization process, the Fifth-Generation
- 27 (5G) wireless communication technology has developed rapidly and been continuously applied.
- As shown in Figure 1, all kinds of UAVs have become an important component and effective
- supplement to realize the 6G air-space-ground-sea integrated communication system (Xiao et al., 2022) and have become an important part of building SACDN (groups air ground integrated
- 2022), and have become an important part of building SAGIN (space-air-ground integrated
   network) (Bai et al., 2021). Full-spectrum resources will be further exploited, such as sub-6GHz,
- millimeter wave (mmWave), terahertz, and optical bands (You et al., 2020). Among them,
- millimeter wave bands provide new facilities for 5G mobile communication networks, as do
- broadband wireless communication links, to meet the growing demand for higher data rates (Al-
- 35 Shammari et al., 2021).



### 36

37 **Figure 1.** Millimeter-wave band UAV application scenarios.

The modern battlefield environment represented by the electromagnetic environment has become more and more prominent in its extensiveness, foundation, pillar, and commonality 40 between the enemy and ourselves. In the military field, UAVs, as a new type of combat unit

- relying on electromagnetic signals, can be widely used in war zones, spies, combat aircraft,
- 42 attack and missile launches, border surveillance, etc. (Bajracharya et al., 2022). Compared with
- manned aircraft, the use of UAVs can save costs to a greater extent, but it will also bring a series
   of problems such as UAV control and communication. Since base stations are vulnerable to
- 44 of problems such as UAV control and communication. Since base stations are vulnerable to
   45 jamming and destruction in battlefield scenarios, the use of high-altitude military UAVs for
- battlefield situation awareness through electromagnetic environment and electromagnetic actions
- 47 is an effective means of response.

Battlefield support from UAVs is inseparable from fortified battles and street battles in modern cities. Among them, micro/small UAVs play a key role. However, UAVs that use millimeter wave bands for communication at close range are prone to signal interference, while military reconnaissance and combat integrated UAVs are usually at a higher altitude and far from the target. It can deal with enemy omnidirectional jammers with insufficient power when the enemy cannot determine its own position effectively. Meanwhile, it can carry out electromagnetic perception, take the lead on the battlefield, and form an optimal battlefield

- 64 electromagnetic perception, take the lead on the battlefield, and form an optimal battlefield 65 electromagnetic situation. Battlefield situation awareness through electromagnetism is an
- 56 important and fundamental part of Electromagnetic Battle Management (EMBM), through which
- 57 single or multiple combat operations can be closed-loop and efficient coordination. More
- importantly, military UAVs can enhance Line-of-sight (LOS) wireless channels (Zhang, Wang,
- 59 & Poor, 2022; Li et al., 2020) and have clear advantages in terms of link budget and latency
- 60 compared to multi-hop communication (Tozer et al., 2000). The targets in the battlefield
- 61 situation awareness scenario are scattered in the complex natural and electromagnetic
- 62 environment including complex terrain, sea conditions, meteorological conditions, ground clutter,
- 63 sea clutter and various passive/active anthropogenic disturbances (Yang, Song, Xu et al., 2022),
- as shown in Figure 2. In the battlefield, the communication function of the base station may be stopped due to interference, and the reconnaissance and combat integrated UAV flying at high
- altitude directly communicates information with the ground target for UAV control and
- battlefield situation awareness. This type of reconnaissance and combat integrated UAV needs to
- have a wider reconnaissance range, so its flight altitude is higher than that of civilian UAVs. For
- example, the maximum flight altitude of the US MQ-9 UAV can reach 12,192 meters. China's
- 70 CH-5 UAV cruises at an altitude of about 15,000 meters and a combat altitude of about 8,000
- meters. Therefore, the use of UAVs for battlefield situation awareness will be more extensive,
- and its air-to-ground channel characteristics are of great significance in military scenarios.



- 74 **Figure 2.** The complex environment of situation awareness.
- 75

73

Meanwhile, long-distance communication means that millimeter waves face high losses 76 77 during propagation, which usually need to be solved by using highly directional antennas or using antenna arrays (Xiao et al., 2022; Bian et al., 2021; Wang et al., 2021). In the battlefield 78 79 scene without base station, the highly directional antenna is not suitable for the task of finding targets in high-altitude military UAVs. From the point of view of UAV control, omnidirectional 80 antennas have better communication performance during movement, while directional antennas 81 perform poorly during movement (Khawaja et al, 2019), so the use of MIMO antenna arrays can 82 better meet the needs of battlefield situation awareness. MIMO antenna arrays can improve 83 channel reliability and channel capacity (Liao et al., 2020), and multiple antennas in a MIMO 84 system can be utilized in different ways effectively. The most common of these are spatial 85 multiplexing (SM) and beamforming (BF) (Sun et al., 2014). 86

87 In more scenarios as mentioned, high-altitude military UAVs and low-altitude UAVs can work together on the battlefield, and micro/small UAV swarms are usually used at low altitudes 88 for close target strikes. It has obvious advantages such as indestructibility, low cost, and function 89 distribution in complex battlefield environments with powerful electronic jamming and anti-90 aircraft firepower, which involves non-terrestrial networks (NTN) communication problems 91 (Zhu, 2020). In the 3GPP specification, it is proposed that NTN can perform high-capacity 92 transmission in millimeter wave, which requires huge bandwidth and can achieve high-speed 93 connection through high-directional antennas (Traspadini et al., 2022). Therefore, after receiving 94 electromagnetic signals, military UAVs can transmit electromagnetic signals to UAV swarms to 95 complete efficient cooperative operations (Bajracharya et al., 2022; Zhang, Zhu, & Poor, 2022a). 96 Meanwhile, high-altitude UAVs have greater coverage and smaller path loss than low-altitude 97 UAVs compared to high-altitude platforms. 98

In recent years, the channel modeling researches of millimeter wave and MIMO antenna 99 arrays mainly focus on indoor short-range communication scenarios, outdoor device-to-device 100 (D2D) communication scenarios and low-altitude civil UAV-to-ground communication scenarios. 101 102 The research on the application of ray tracing method to assist indoor positioning in millimeter band map was analyzed in reference (Kanhere et al., 2019). Satellite-to-UAV MIMO 103 communication channel for LOS scenarios at terahertz frequencies was analyzed in reference 104 (Geraci et al., 2022). Model of the communication channel of the UAV with the offshore unit at 105 sub-6GHz was analyzed in reference (Liu, Wang, Chang et al., 2021; Wang et al., 2020). V2V 106 channel model for mmWave MIMO channels was analyzed in reference (Bian et al., 2021; 107 108 Huang et al., 2020). The above research results provide a theoretical basis and feasibility support for mmWave MIMO channels. A linear relationship between rain rate and attenuation for Ku/C 109 110 band is presented in reference (Tian & Shi, 2020).

111 At present, in the study of UAV air-to-ground channel, an UAV trajectory tracking

112 control with base station Non-line-of-sight (NLOS) scene was analyzed in reference (Zhang, Zhu,

113 & Poor, 2022b), the Offload problem of UAV as an edge server in the construction of Internet of

Vehicles was analyzed in reference (Liu, Liu, Qu et al., 2021), the three-dimensional

nonstationary model based on geometry and the GBSM channel model to investigate low-

altitude UAV ground channel modeling were analyzed in reference (Chang et al., 2021; Liu et al.,

117 2019), a shaded double scattering channel to investigate the dynamic propagation conditions of

LOS scene was analyzed in reference (Bithas et al., 2020).

119 In terms of battlefield situation awareness, the evolution trend of battlefield situation 120 awareness of unmanned platforms was summarized in reference (Yang, Yang, Zhang et al., 121 2021), a new kind of vehicle-mounted battlefield perception system design and implementation

scheme is presented in reference (Gao et al., 2021). The signal propagation loss model proposed

by ITUR P.1546 is applied and implemented in reference (Shen et al., 2017). The ITU-R P.1546

124 model is a ground-based service node-to-surface prediction method suitable for the prediction 125 range of 30 MHz to 3000 MHz frequency. It is suitable for effective transmission of tropospheric

range of 30 MHz to 3000 MHz frequency. It is suitable for effective transmission of tropospheric radio circuits on land, sea and/or land-sea hybrid paths with a small antenna height of 3000 m

127 and a path length of 1 to 1000 km, and has wide applicability.

128 The above research results focus more on millimeter-wave ground equipment 129 communication, indoor communication or low-altitude UAV (below 150 meters) ground 130 communication, and lack the research on air-to-ground channel characteristics of high-altitude 131 military UAV in the scenario of no base station. At present, the studies supports the feasibility of

MIMO antenna array modeling of millimeter wave of UAVs, but lacks channel modeling for

133 long-distance communication application scenarios.

In order to fill this gap, this paper uses the spatial statistical channel model modeling 134 method to establish the air-to-ground communication channel of high-altitude military UAV, and 135 simulates the scenario of receiving control signals and conducting electromagnetic situation 136 perception in the case of base station failure in the battlefield, and gets a large amount of 137 statistics through simulation. The channel parameters such as AOA power spectrum, AOD power 138 spectrum, omnidirectional and directional received power, path loss, small-scale power delay 139 spectrum, rms delay spread, Rice K-factor, path loss index, shadow fading standard deviation 140 and other channel parameters were statistically analyzed to comprehensively characterize the 141 channel characteristics. The analysis results provide a reference for the battlefield situation 142 awareness communication link design of high-altitude military UAVs in the future, and 143 supplement the communication of high-altitude UAVs in the air-space-ground-sea integration 144 under the 6G framework effectively. The main research contents of this paper are as follows: 145

- In this paper, a high-altitude military UAV air-to-ground scenario model is established for the battlefield scenario without base station, and a MIMO antenna array is used to improve the channel communication performance, and the spatial statistical channel model of this scenario is constructed. The channel was simulated to get statistics, the dependence of the channel on T-R distance, frequency, rain rate, antenna HPBW parameters, and conduct large-scale fading and small-scale fading statistical analysis was investigated to get channel characteristics.
- According to the statistics derived by simulation, the spatial multiplexing of channels in the air-to-ground scenario of high-altitude military UAVs in the air-to-ground scene of high-altitude military UAVs under different MIMO antenna arrays is investigated by calculating and analyzing the condition number and rank of the channel transmission matrix.

The remainder of this study is organized as follows. Section 2 introduces the relevant theoretical basis, including channel fading model parameters, MIMO antenna array technology, beamforming and half-power-beamwidth (HPBW). Section 3 models the high-altitude military UAV air-to-ground communication channel without base station, characterizes modeling scenarios, and analyzes the channel fading characteristics. Section 4 introduces the configuration of various simulation parameters, and analyzes the simulation results of the channel model parameters. Section 5 provides a conclusion.

## 165 **2 Relevant Theoretical Basis**

166 2.1 Channel Fading Model Parameters

167 In addition to direct radiation, radio waves encounter an obstacle, according to their own

168 wavelength and the relative size of the obstacle to reflect, diffract, scatter, which will have a

- 169 certain loss of radio wave energy, resulting in signal fading. According to the interval of the field
- strength change of the received signal, radio waves can be divided into large-scale fading and small-scale fading. The size of the interval here means the relationship between the distance we
- observe the movement of the mobile station and the wavelength of the signal itself. The
- 173 classification of wireless fading channels can be summarized in the Figure 3.



174

175 **Figure 3.** Wireless channel fading classification.

According to Figure 2, the characteristics of large-scale fading are described by path loss 176 and shadow fading. Large-scale average path loss measures the average fading of the signal 177 between the transmitter and receiver, defined as the difference between the effective transmit 178 power and the average received power. Shadow fading refers to the formation of a shadow area 179 behind the obstruction due to the obstruction of obstacles such as terrain undulations or tall 180 building groups when radio signals are transmitted in channels at mesoscale distances, resulting 181 in random changes in the average power of the received signal. Its fading characteristics 182 approximately follow a lognormal distribution (Rappaport, 1996). 183

Small-scale fading refers to the rapid change in amplitude, phase, or multipath delay of a 184 radio signal after a short time or distance (several wavelengths). This fading is caused by the 185 same transmission signal traveling along different paths, and the signals arriving at the receiver 186 at different moments (or phases) are superimposed on each other. The signals that arrive at these 187 different paths are called multipath signals, and multipath signals include direct paths and 188 multiple path signals such as reflection, diffraction and scattering generated by the presence of 189 scatterers. Small-scale channel modeling mainly considers the modeling of time dispersion 190 parameters, frequency dispersion parameters and spatial dispersion parameters (Chetlur & 191 Dhillon, 2017). 192

193 The type of small-scale fading depends on the characteristics of the transmitted signal 194 (signal bandwidth and symbol period) and channel characteristics (delay spread and Doppler 195 spread). The relationship between signal parameters and channel parameters determines that 196 different transmitted signals will undergo different fading characteristics. According to the delay 197 spread of the channel, the channel can be divided into flat fading channel and frequency selective

- fading channel. According to the Doppler expansion of the channel, the channel can be divided
- 199 into fast fading channel and slow fading channel.

In the actual scene propagation process, the signal will experience both large-scale fading and small-scale fading, large-scale fading generally affects the network coverage ability of the wireless system, and small-scale fading affects the communication quality of the communication system (Khuwaja et al., 2018).

For UAV air-to-ground channel analysis, this paper performs channel modeling for the simulation measurement of path loss, received power, shadow fading, AOA angle, AOD angle, RMS delay spread, antenna gain, pass loss exponent and other parameters. The symbols and descriptions of each parameter are shown in Table 1.

Parameter	Symbol	Description
T-R Separation Distance (m)	$d_{T-R}$	The separation distance from the transmitter to the receiver.
Time Delay (absolute propagation time) (ns)	τ	The time it takes for an electromagnetic or optical signal to travel a certain distance in the transmission medium.
RMS Delay Spread (ns)	$\sigma_{ au}$	Standard deviation of power delay distribution, the most commonly used temporal dispersion parameter.
Received Power (dBm)	$P_r$	The power RX received.
Path Loss (dB)	PL	The loss caused by the propagation of radio waves in space. It is caused by the radiated diffusion of the transmitted power and the propagation characteristics of the channel.
Path Loss Exponent	n, n <sub>omni</sub> , n <sub>dir</sub> , n <sub>dir-best</sub>	The path loss exponent ranges from 2 to 6, with 2 representing free space and 6 representing severe obstruction.
Shadow Fading Standard Deviation (dB)	$\sigma$ , $\sigma_{omni}$ , $\sigma_{dir}$ , $\sigma_{dir-best}$	Obstacles attenuate signal power by absorption, reflection, scattering and

208 Table 1. The Symbols and Descriptions of Channel Parameters

		diffraction, causing shadow fading. The range is from 5dB to 12dB, and the typical value is 8dB.
Rician K-factor	K	An important parameter to characterize the degree of channel fading, and has an important impact on link budget, transmit diversity power allocation, and adaptive receiver design.
TX Ant. HPBW	$AZ_{TX}$ , $EL_{TX}$	An editable parameter denoting the azimuth/elevation half- power-beamwidth (HPBW) of the TX antenna (array) in degrees.
TX Ant. Gain (dBi)	$G_{TX}$	TX antenna gain.
RX Ant. HPBW	AZ <sub>RX</sub> , EL <sub>RX</sub>	An editable parameter denoting the azimuth/elevation half- power-beamwidth (HPBW) of the RX antenna (array) in degrees.
RX Ant. Gain (dBi)	$G_{RX}$	RX antenna gain.

In the lower corner mark of n and  $\sigma$ , 'omni' represents omnidirectional, 'dir' represents directional, 'dir – best' represents the direction with the strongest received power.

In the table above, the omnidirectional received power can be described as

$$P_r = \sum_{i,j} \sum_{k,m} P_r(AZ_{TX,i}, EL_{TX,j}, AZ_{RX,k}, EL_{RX,m})(d_{T-R})$$
(1)

Where i, j, k, m denotes unique pointing directions indices in azimuth and elevation at the TX and RX, respectively.  $AZ_{TX,i}$ ,  $EL_{TX,j}$ ,  $AZ_{RX,k}$ ,  $EL_{RX,m}$  represents the TX azimuth and elevation angles, and the RX azimuth and elevation angles, respectively (Mou et al., 2019).

215 Path loss can be described as

$$PL(dB) = FSPL(dB) + 10nlog_{10}(d/d_0) + AT(dB) + X_{\sigma}$$
<sup>(2)</sup>

216 Where FSPL implies free space path loss, AT is related to the attenuation factor,  $X_{\sigma}$  is a 217 zero-mean Gaussian random variable with a standard deviation  $\sigma$  in dB, d<sub>0</sub> signifies the free 218 space reference distance (Teixeira et al., 2021).

219 According to the Friis transmission equation, free space path loss can be described as

$$FSPL(dB) = \left(\frac{4\pi d_{T-R}}{\lambda}\right)^2 = \left(\frac{4\pi d_{T-R}f}{c}\right)^2 = -32.44 - 20lg(d_{T-R}) - 20lgf$$
(3)

220 Where c represents the electromagnetic wave propagation speed (approximate speed of 221 light). The unit of  $d_{T-R}$  is km, the unit of f is MHz (Jawhly & Chandra, 2021).

From another point of view, the directional path loss is equal to the transmit power plus the TX and RX antenna gains, minus the directional received power (Sun et al., 2017), it can be written as

$$PL = P_{TX} + G_{TX} + G_{RX} - P_r \tag{4}$$

In addition, the relationship between time delay and T-R separation distance can be described as

$$\tau = \frac{d_{T-R}}{c} \tag{5}$$

The time delay of the direct path is T-R separation distance divided by the
 electromagnetic wave propagation speed. In the presence of multipath components, the multipath
 component has a slightly larger time delay than the direct path.

230 Power Delay Profile (PDP) describes the dispersion of a channel over time, which is the

231 expectation of received power at a certain delay. It is calculated by averaging the channel

impulse response CIR (Channel Impulse Response) in the time domain and squaring it (Yang, Li,
& Xu, 2021; Yang, & Yuan, 2022).

The parameters used to describe time expansion are mean excess delay, RMS delay spread and X dB, which are all related to PDP.

236 Mean excess delay is the first moment of PDP. It is defined as

$$\overline{\tau} = \frac{\sum_{n=1}^{N} \tau_n p_n}{\sum_{n=1}^{N} p_n} \tag{6}$$

237 Where  $p_n$  is the n<sup>th</sup> multipath power,  $\tau_n$  is the n<sup>th</sup> extra delay.

RMS Delay Spread is an important latency domain parameter used to quantify the
 dispersion effect caused by radio waves propagating in the delay domain due to the sensitivity of

the communication system, and describes the delay statistics of the multipath effect of the

channel, as shown in Equation 7,

$$\sigma_{\tau} = \sqrt{\frac{\sum_{n=1}^{N} \tau_n^2 p_n}{\sum_{n=1}^{N} p_n} - (\frac{\sum_{n=1}^{N} \tau_n p_n}{\sum_{n=1}^{N} p_n})^2}$$
(7)

The Rician K-factor can reflect the effect of direct paths and multipath components on the channel, defined as the ratio of the principal signal power (the strongest) to the variance of the multipath components, as shown in Equation 8,

$$K(dB) = 10 \log_{10}(\frac{P_{strongest}}{\sum P_{remaining}})$$
(8)

# 245 2.2 MIMO Antenna Array Techology

MIMO technology can exponentially increase the capacity and spectrum utilization of communication systems without increasing bandwidth, and more importantly, improve longdistance communication performance, which is suitable for the channel requirements of the scenarios investigated in this paper.

In the arrangement of MIMO antennas, there are usually four common ways of ULA (uniform linear array), URA (uniform rectangular array), UCA (uniform circular array), CCA (cylindrical conformal array) (Xiao et al., 2022; Wang et al., 2021). This paper uses ULA and URA arrangement to simulate and measure channel characteristics, and further describes the channel characteristics in the form of HPBW azimuth and elevation.

As shown in Figure 4, according to the number of antennas, it can be divided into four
 types: SISO, SIMO, MISO and MIMO. Adding antennas on the transceiver can provide
 multiplexing ideally.



258

Figure 4. Four types of transmission divided by the number of receivers and transmitters, (a)
SISO; (b) SIMO; (c) MISO; (d) MIMO.

Space division multiplexing is a method that uses multiple antennas to multiplex different transmission paths in space to send multiple copies of different data in parallel to increase capacity. Figure 4(d) shows a  $2 \times 2$  MIMO channel formed by two antennas on both transceiver and receiver, and four transmission paths can be established ideally, reaching 4 times the capacity of SISO. However, in reality, due to the same fading and interference of multiple paths, due to spatial correlation, it may not be possible to transmit different signals in multiple ways, resulting in poor spatial multiplexing effect.

In Figure 4(d), the transmitter data is recorded as  $X_1, X_2$ , the receiver data is recorded as Y<sub>1</sub>, Y<sub>2</sub>, in order to judge the independence of the transmission path, the transmission channel matrix H is established as

$$H = \begin{bmatrix} h_{11} & h_{21} \\ h_{12} & h_{22} \end{bmatrix}$$
(9)

271 So there is

$$\begin{bmatrix} Y_1 \\ Y_2 \end{bmatrix} = \begin{bmatrix} h_{11} & h_{21} \\ h_{12} & h_{22} \end{bmatrix} \begin{bmatrix} X_1 \\ X_2 \end{bmatrix}$$
(10)

272

When referring to output Y, input X is pre-encoded, the above equation can be varied to

$$\begin{bmatrix} Y_1' \\ Y_2' \end{bmatrix} = \begin{bmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{bmatrix} \begin{bmatrix} X_1' \\ X_2' \end{bmatrix}$$
(11)

 $\lambda_1$  and  $\lambda_2$  are transfer coefficients. If neither is 0, the matrix rank is 2, which means that the system has two relatively independent spatial channels and can send and receive two data channels at the same time; If one of them is 0, the matrix rank is 1, which means that the transmission space of this 2×2 MIMO system is very correlated, and it has degenerated from MIMO to SISO or SIMO, and can only send and receive data at the same time. To determine its channel capacity, its condition number was determined as

$$Condition Number = \frac{\lambda_1}{\lambda_2}$$
(12)

When the condition number is 1, the transmission coefficients of the two channels are equal and the channel independence is high. When the condition number is greater than 1, the transmission quality of the two channels is different, the capacity of this 2×2 MIMO system is between 1 and 2 times that of the SISO system.

Orthogonal Frequency Division Multiplexing (OFDM) is a specific implementation of multi-carrier modulation (MCM), which utilizes MCM to reduce the transmission rate, increase the symbol period, effectively reduce and eliminate the influence of inter-symbol interference and frequency-selective weakening, increasing the utilization of the spectrum and improving the performance of systems (Jia et al., 2020).

Take ULAs at both the transmitter and receiver for example, the equation for generating such a channel coefficient is

$$h_{m,k}(f) = \sum_{n} \alpha_{m,k,p} e^{j \phi_{m,k,p}} e^{-j2\pi \tau_{m,k,p}} e^{-j2\pi d_T m \sin(\phi_{m,k,p})} e^{-j2\pi d_R k \sin(\varphi_{m,k,p})}$$
(13)

<sup>290</sup>  $H_{m,k}(f)$  is expressed as the channel coefficient between the m<sup>th</sup> transmitting antenna and <sup>291</sup> the k<sup>th</sup> receiving antenna when the subcarrier is f. p represents the p<sup>th</sup> resolvable multipath <sup>292</sup> component.  $\alpha$  is the amplitude of the channel gain,  $\Phi$  denotes the phase of the multipath <sup>293</sup> component,  $\tau$  represents the time delay, d<sub>T</sub> and d<sub>R</sub> are the antenna element spacing at the <sup>294</sup> transmitter and receiver, respectively.  $\phi$  and  $\phi$  denote the azimuth angle of departure and angle <sup>295</sup> of arrival, respectively. In a MIMO-OFDM system, each subcarrier f corresponds to a channel <sup>296</sup> transmission matrix H with the product of the number of transmit antennas and the number of <sup>297</sup> received antennas (Sun et al., 2017; Ji et al., 2022).

298 2.3 Beamforming and HPBW

The purpose of beamforming is to concentrate the energy radiated by the antenna. It can form specific beams and transmit data through the beams. Beamforming is mainly based on MIMO antenna arrays, and antenna beam patterns can be obtained by beamforming. Antenna beam pattern indicate that the power or amplitude of a signal changes with angle. Antenna beam

- 303 pattern is generally a function of antenna shape, size, and frequency. The angles here are
- 304 expressed in azimuth angle and elevation angle. These angles are shown in the Figure 5.



305

**Figure 5.** Azimuth Angle and Elevation Angle.

The beam pattern usually consists of a main lobe, some side lobes, and some nulls, as 307 shown in Figure 6. The y-axis in the Figure 8 is the signal amplitude normalized to the maximum 308 309 value of the main lobe. HPBW is a measure of beam width and is important for beamforming performance analysis. The half-power beamwidth of the main lobe on a given main section is an 310 angular region in the direction of maximum radiation in which the relative radiated power of the 311 antenna is greater than one-half. In this example, HPBW is the angular distance (azimuth angle 312 or elevation angle) between two antenna pattern points, where the power becomes half of its 313 maximum. HPBW can be found by drawing the line  $y = \frac{1}{\sqrt{2}}$ . 314



315

316 **Figure 6.** Antenna beam pattern.

### 317 **3 Channel Scenario Model**

In this application scenario, this paper builds a scene model as shown in Figure 7, mainly investigates the air-to-ground communication mode of high-altitude military UAV in the open scene without base station, and considers the foliage loss caused by vegetation.



321

Figure 7. Air-to-ground simulation scenario of high-altitude military UAV, considering foliage loss.

324 3.1 Modeling Scenario Characterization

325 In the basic scene construction, this paper uses a free space propagation model, which is closer to the type in the battlefield situation. This is a common large-scale loss model, where the 326 PLE (path loss exponent) parameter provides an insight into the path loss based on the 327 environment, with a PLE value of 2 for free space and a PLE value of 4 for the asymptotic 328 bidirectional ground reflection propagation model (Sun et al., 2017). The reference distance 329 normalized to 1 meter makes it easy to compare measurements and models, provides a standard 330 331 definition for PLE, while enabling intuitive and fast calculation of path losses. Compared with the existing alpha-beta-gamma (ABG) path loss model used in the 3GPP/ITU channel model, 332 this model has better model parameter stability, better prediction performance over a wide range 333 of microwave and millimeter wave frequencies, distances, and scenarios, and fewer parameters 334 (Sun et al., 2017). 335

From the fading causes and the model constructed in this paper, this channel has both 336 large-scale fading and small-scale fading, and the large-scale fading characteristics are more 337 obvious. In order to solve the problem of high propagation loss, it is common to use a highly 338 directional antenna or use an antenna array. When searching for targets, the problem of setting 339 up highly directional antennas is difficult to solve, so the using of antenna arrays is a better 340 choice. In the selection of MIMO antenna, since the reconnaissance and combat integrated UAV 341 needs to have the function of cruising strike at the same time, the results of the omnidirectional 342 channel model and the directional channel model should be considered. Military UAVs require 343 highly directional antennas to ensure the huge bandwidth to reach such ultra-high data rates and 344 meet massive wireless data traffic demands (Zhang et al., 2021). Meanwhile, the directional 345 channel model is of great significance for the correct implementation of MIMO systems, so this 346 paper further investigates the channel characteristics of the direction with the strongest energy 347 received at the receiver. 348

349 3.2 Theoretical Analysis of Channel Fading Characteristics

In this section, the large-scale fading and small-scale fading characteristic parameters of the channel was analyzed through formulas, which provides a theoretical basis and analysis source for subsequent simulation work.

353 3.2.1 Large-scale Fading

According to Equation 3, the free space path loss can be calculated by T-R separation distance and the frequency. For example, when  $d_{T-R}$  is 8.2399 km, f is 28000 MHz, the theoretical value of FSPL will be -139.7 dB. According to Equation 4, if both the TX power and the antenna array are determined, the sum of the directional path loss and the received power will be a constant value.

Since in the actual transmission scenario, the factors that cause the random attenuation of the signal are generally unknown, so only statistical models can be used to characterize this random attenuation, the most commonly used model is the lognormal shadow fading model, which has been confirmed by measured data and can be used to model the change of received power in outdoor and indoor wireless propagation environments.

The lognormal shadow fading model assumes the ratio of transmit power  $(P_t)$  and received power  $(P_r)$  as a random variable with a lognormal distribution. Set the ratio to  $K_{tr}$ , it can be written as

$$K_{tr} = \frac{P_t}{P_r} \tag{14}$$

367 The lognormal distribution can be written as

$$p = \frac{\xi}{\sqrt{2\pi}\sigma K_{tr}} exp[-\frac{(10\log_{10}K_{tr} - PL)^2}{2\sigma^2}]$$
(15)

368 Where  $\xi = \frac{10}{\ln 10}$ . A large number of outdoor channel measurements show that the shadow 369 fading standard deviation  $\sigma$  range from 4 dB to 13 dB.

370 3.2.2 Small-scale Fading

According to Equation 5, when  $d_{T-R}$  is 8239.9 m, the time delay of the directional path will be  $2.749 \times 104$  ns. As described in Section 2.1, power delay profile describes the dispersion of a channel over time, which is the expectation of received power at a certain delay. It refers to the phenomenon of signal time diffusion due to multipath propagation. The reason for this is that the time it takes for the transmitted signal to travel through different paths to the receiving point varies.

The small-scale PDP generally follows an exponential distribution. The PDP distribution can be written as

$$P_{\tau} = \frac{1}{T} e^{-\frac{\tau}{T}} \quad 0 < \tau < \infty \tag{16}$$

Where T is the average of the multipath time delay. The theoretical correlation between time delay (or call it absolute propagation time) and received power can be can be represented by Figure 8.





382

**Figure 8.** The theoretical Power Delay Profile.

Under the law of negative exponential, according to Equation 6 and 7, the mean excess delay and RMS delay spread can be derived as

$$\overline{\tau} = E(\tau) = \int_0^\infty \tau P(\tau) d\tau = T \tag{17}$$

386

and

$$\sigma_{\tau} = \sqrt{\int_0^\infty (\tau - \overline{\tau})^2 P(\tau) d\tau} = \sqrt{\int_0^\infty (\tau - T)^2 P(\tau) d\tau} = T$$
(18)

Both the mean excess delay  $(\bar{\tau})$  and RMS delay spread  $(\sigma_{\tau})$  are equal to the average of the multipath time delay (T). In addition, the multipath delay is mainly distributed in the range of 0 to 2T.

### 390 4 Channel Characterization and Simulation Analysis

The current wireless channel modeling methods mainly include statistical modeling 391 methods, deterministic modeling methods and semi-deterministic modeling methods. The 392 statistical modeling method relies on channel measurement, summarizes the statistical 393 characteristics and empirical formulas of channels through a large number of measured statistics, 394 and extends to other scenarios with similar structures. Spatial statistical channel model is a 395 common statistical channel model. SSCM has high prediction accuracy and fast processing speed 396 in applicable environments, so it has become the preferred signal propagation loss model for 397 real-time systems (Shen et al., 2017). 398

In this paper, some parameters are used as variables, such as T-R separation distance, frequency, rain rate, number of MIMO antennas, azimuth HPBWs and elevation HPBWs of RX antennas. Based on a large number of simulation statistics, the channel of the model is comprehensively characterized by using SSCM.

403 4.1 Simulation Parameter Configuration

In this paper, the channel parameters of high-altitude military UAVs are modeled by
 using the open-source software NYU Simulator (NYUSIM) for millimeter wave channel
 modeling. This is a simulation software for SSCM that uses OFDM modulation. Its latest version,
 V3.1, contains three important channel characteristics: spatial consistency, human blockage

408 parameters, and outdoor-to-indoor (O2I) penetration loss. Meanwhile, it contains the calculation
 409 ability of path loss and shadow fading models and RMS delay propagation parameters, which

410 can be well applied to the simulation of mmWave MIMO channels. For more information about

411 NYUSIM, see reference (Sun et al., 2017) and https://wireless.engineering.nyu.edu/nyusim/.

412 Due to its lack of data analysis capabilities and partial visualization output, MATLAB is 413 used to visualize the simulation statistics generated by its multiple runs for the investigate and 414 analysis of channel characteristics.

Set the distance between transmitter TX and receiver RX to be in the range of 500 meters to 10,000 meters, corresponding to possible UAV and ground unit distances. The RF bandwidth is set to 800 MHz to meet the needs of military UAV use, the TX power is set to 50 dBm, the atmospheric pressure is 1013.25 mbar, the humidity is 50%, the temperature is 20°C, considering the foliage loss caused by vegetation, the total distance that the transmitted signal travels within foliage is set to 0.1 m, and the foliage attenuation is 0.4 dB/m.

# 421 4.2 T-R Separation Distance

At a frequency of 28 GHz, according to reference (Rappaport et al., 2013, 2015), set the HPBW of the MIMO antenna as a definite value. Set the azimuth and elevation HPBW of the antenna to  $10.9^{\circ}$  and  $8.6^{\circ}$  at both TX and RX, respectively. The antenna spacing is 0.5 times the wavelength, the polarization direction is Co-Pol(co-polarization), the rain rate is 0 mm/hr. Considering that the target is outdoors, ignore the O2I loss. Assume that the MIMO antennas are all URA 2×2 arrangement, forming a 4×4 MIMO antenna array. In this way, channel characteristics with T-R separation distances of 500 to 10,000 meters can be simulated.

429 Taking the T-R separation distance at 8239.9 meters and 2990.6 meters as an example, the omnidirectional power delay profile can be derived as shown in Figure 9(a) and 9(d). The X-430 axis is the absolute propagation time (ns), the Y-axis is the received power (dBm), each line in 431 the figure represents a multipath component, and also corresponds to the multipath component of 432 the arrival threshold shown in the 3-D AOA power spectrum in Figure 9(b) and 9(e), respectively. 433 It can be seen that this channel has more multipath components, such as 29 at a distance of 434 8239.9 meters, 64 at a distance of 2990.6 meters, and the power the receiver received decreases 435 exponentially with the increase of propagation time. This result ties well with the theoretical 436 result in Section 3.2.2. Figure 9(c) and 9(f) shows the directional PDP with strongest power, 437 which is based on omnidirectional PDP, derived from HPBW and antenna gain. Its multipath 438 case is similar to omnidirectional, and its characteristics can be used to guide the design of 439

440 directional antennas at specific altitudes.



Figure 9. Omnidirectional power delay profile, 3-D AOA power spectrum, directional PDP with
strongest power of 8239.9 m and 2990.6 m. (a) omnidirectional power delay profile, 8239.9 m;
(b) 3-D AOA power spectrum, 8239.9 m; (c) directional PDP with strongest power, 8239.9 m; (d)
omnidirectional power delay profile, 2990.6 m; (e) 3-D AOA power spectrum, 2990.6 m; (f)
directional PDP with strongest power, 2990.6 m.

441

In addition, the small scale PDPs of each array of the receiving antenna under the model can be derived as shown in Figure 10, where the third dimension is the interval of the antenna in the antenna array. Figure 10 shows the PDP of the four antennas, and the PDP is basically the same, but there are some differences in delay. At a distance of 8239.9 meters, according to Section 3.2.2, the theoretical value of direct path delay in this scenario is about 2.749×104 ns.



#### 452

453 **Figure 10.** Small Scale PDPs of each array of the receiving antenna.

The total propagation delay is distributed from about 2.747×104 ns to 2.763×104 ns, and the smallest propagation delay component corresponds to the direct path. This result ties well with the theoretical value. In terms of trends, the decay situation is also the same as above. In addition, the omnidirectional and directional path loss value scatter plot and fitted plot line are generated by NYUSIM as shown in Figure 11. Due to the presence of multipath components, RX receives more multipath components and energy, and the directional path loss and PLE will

always be greater than the omnidirectional path loss and PLE. PLE will reach 2.7 in this scenario.



Figure 11. Scatterplots and fitted plot lines of path loss values generated by NYUSIM. 462

The omnidirectional received power and omnidirectional path loss at different distances 463 are shown in Figure 12, and they are quadratically fitted, where R square is 0.7508 and the 464 residual value is 53.37, which can get a relatively good fitting effect. 465



Figure 12. The omnidirectional receiving power and omnidirectional path loss varies with 467 distance. 468

The empirical cumulative distribution function (Empirical CDF) of the RMS delay spread 469 derived from the simulation is shown in Figure 13(a). Its values are distributed from about 0 to 470 471 27 ns, of which 90% of the RMS delay spread value is less than 22 ns, and the median value is about 17.26 ns. It can be seen from the figure that the RMS delay spread is large due to the 472 influence of high-altitude distance in this scenario. Moreover, the higher RMS delay spread part 473 accounts for a relatively large part, which indicates that there is an obvious multipath effect other 474 than the direct component in this channel, so it is necessary to pay attention to the small-scale 475 fading of the channel. 476

The empirical cumulative distribution function of the omnidirectional Rician K-factor 477 derived from the simulation is shown in Figure 13(b). Its values range from -8 to 12 dB, with 90% 478 of the Rician K-factor values less than 3.35 dB and the median value being about -1.74 dB. The 479 Rician K-factor value is small, which means that this channel can maintain a large channel 480 capacity because it is not completely dominated by direct paths, and the multipath component 481 has a large contribution. In addition, the simulation shows that the small-scale fading 482

characteristics of the channel conform to the Gaussian distribution. 483

466



484

Figure 13. The Empirical CDF of RMS Delay Spread (ns) and Rician K-factor (dB). (a) The
Empirical CDF of RMS Delay Spread (ns); (b) The Empirical CDF of Rician K-factor (dB).

487 4.3 Frequency

From the point of view of UAV controlling, in order to prevent inter-signal interference, 488 the frequency is selected from 28 GHz to 55 GHz. Considering the frequency dependence at the 489 distance of 8239.9 m at the transmitter and receiver, it can be derived by simulation that the 490 omnidirectional RMS delay spread is 17.9 ns, the directional RMS delay spread is 3.1 ns, and the 491 antenna gain of both the transmitter and receiver is 24.9 dBi. Its omnidirectional received power 492 and omnidirectional path loss are shown in Figure 14(a), and directional receive power and 493 directional path loss are shown in Figure 14(b). It can be concluded that when the frequency is 494 less than 50 GHz, the two curves are linear; when the frequency is greater than 50 GHz, the 495 omnidirectional received power (dBm) decreases rapidly, and the omnidirectional path loss (dB) 496 increases rapidly. Therefore, the frequency selection of high-altitude military UAVs should be as 497 498 much as possible not greater than 50 GHz to maintain a linear relationship and avoid excessive channel fading. 499



500

501 **Figure 14.** Omnidirectional/Directional received power and path loss at 28 to 55 GHz, (**a**) 502 omnidirectional; (**b**) directional.

At a distance of 8239.9 m, the frequency dependence of omnidirectional and directional PLE is shown in Figure 15(a), which can ensure good PLE stability below about 49 GHz. The omnidirectional PLE is about 2.2 and the directional PLE is about 2.4 in this range.

By fitting at a distance of 500 to 10,000 meters, considering the path loss exponent and the shadow fading standard deviation, the curve is derived as shown in Figure 15(b) and 15(c). It can be seen that in the research of high-altitude UAV-to-ground channels, frequencies below

about 49GHz can ensure better channel stability, and the loss above 52 GHz will be significantly

510 improved.

511



**Figure 15.** PLE and shadow fading standard deviation varies with frequency, (**a**) omnidirectional

and directional PLE at 28 to 55 GHz of 8239.9 m; (b) PLE at 28 to 55 GHz of 500 m to 10000 m;

514 (c) shadow fading standard deviation at 28 to 55 GHz of 500 m to 10000 m.

# 515 4.4 Rain Rate

The passage of radio waves through the rain area will produce attenuation, and when the frequency of the radio wave is higher, the wavelength will be shorter. High-band microwaves such as Ku and Ka with frequencies above 10 GHz have a wavelength of only 10 to 30 millimeters, similar to raindrops with a diameter of several millimeters, and the polarization angle of electromagnetic waves is also changed by rainfall.

Assuming that at the 28 GHz frequency, other simulation parameters are the same as Section 4.1 and 4.2, and the rain rate is between 0 and 20 mm/hr. According to Figure 16, at 8239.9 m, the omnidirectional receiving power (dBm) decreases linearly, the omnidirectional path loss (dB) increases linearly, and the directional received power and path loss also have the same change characteristics.





According to Figure 17(a), rain rate has a greater impact on the PLE of high-altitude to ground channel, so the impact of current rain rate cannot be ignored in the battlefield scenario.

530 By fitting at a distance of 500 to 10,000 meters, considering the path loss exponent and the

531 shadow fading standard deviation, the curve is derived as shown in Figure 17(b) and 17(c).

532 Therefore, in the rainfall scenario, it is necessary to appropriately reduce the signal frequency or

increase the number of system antennas to ensure that the path loss exponent and shadow fading
 standard deviation are not too high, the channel capacity should be increased.





526

**Figure 17.** PLE and shadow fading standard deviation varies with rain rate. (a) omnidirectional and directional PLE at 0 to 20 mm/hr of 8239.9 m; (b) PLE at 0 to 20 mm/hr of 500 m to 10000 m; (c) shadow fading standard deviation at 0 to 20 mm/hr of 500 m to 10000 m.

5394.5 MIMO Antennas

According to Section 2.2, the condition number of the MIMO channel and the rank of the channel transmission matrix can be investigated to judge its spatial multiplexing application. Taking a 4×4 MIMO channel with both receiver and transmitter antenna numbers of URA 2×2 as an example, an empirical CDF plot of the channel condition number and its 95% confidence bound can be derived, as shown in Figure 18(a). Similarly, the MIMO channels of 9×9 and 16×16 are modeled, and the condition number empirical CDFs are derived as shown in Figure 18(b). The MIMO channels of  $2\times2$ ,  $3\times3$ ,  $4\times4$ , and  $5\times5$  are modeled, and the empirical CDFs

- 547 with the condition number are derived as shown in Figure 18(c). The rank distributions of each
- channel transmission matrix under each main simulation condition are shown in Table 2.



**Figure 18.** The Empirical CDF of Condition Number, (a)  $4 \times 4$  MIMO; (b)  $4 \times 4$ ,  $9 \times 9$ ,  $16 \times 16$ 

551 MIMO; (c)  $2 \times 2$ ,  $3 \times 3$ ,  $4 \times 4$ ,  $5 \times 5$  MIMO.

MIMO	Rank=1	Rank=2	Rank=3	Rank=4	Rank=5	Rank=6	Rank=7	Rank=8	Rank=9
2×2	1805	78245							
3×3	1612	19348	59090						
4×4	1602	7813	37286	33349					
5×5	1601	4118	29789	33105	11437				
 9×9	1601	30	9768	21291	16556	20386	7529	1311	1578

552 **Table 2.** The Rank Distribution of Channel Transmission Matrix

As can be seen from the Figure 18 and the Table 2, for MIMO channels below 9×9, each 553 554 antenna is added to the transmitter and receiver, and the condition number is increased by about 12dB. In the  $2 \times 2$  MIMO channel, 97.7% of the channel transmission matrix is in the full-rank 555 condition, which can realize the spatial multiplexing of two channels. In the 3×3 MIMO channel, 556 73.8% of the channel transmission matrix is in the full-rank condition, which can realize the 557 spatial multiplexing of three channels. In contrast, the proportion of full rank in MIMO channels 558 decreases as the number of antennas increases, and there is not even a full rank situation in 559 560 MIMO channels of 16x16 (the maximum rank is 15). This provides a basis for selecting the number of antennas in the case of multiplexing. 561

### 562 4.6 HPBW Simulation

563 Suppose there is a signal transmitter at 50 dBm power, azimuth HPBW 10°, elevation 564 HPBW 10°, and other relevant parameter settings are the same as Section 4.1 and 4.2.

Figure 19 shows the effects of the azimuth of the receiving antenna on directional 565

received power and path loss, receiver antenna gain, path loss exponent and shadow fading 566

standard deviation, respectively. Figure 20 shows the effects of the elevation of the receiving 567

antenna on directional received power and path loss, receiver antenna gain, path loss exponent 568 and shadow fading standard deviation, respectively. This provides a basis for the HPBW design 569

of directional antennas in the air-to-ground channel of high-altitude military UAVs.

570



Figure 19. The effects of the azimuth of the receiving antenna, (a) directional received power 572

and path loss; (b) receiver antenna gain; (c) path loss exponent; (d) shadow fading standard 573

574 deviation.

571



575

Figure 20. The effects of the elevation of the receiving antenna, (a) directional received power
and path loss; (b) receiver antenna gain; (c) path loss exponent; (d) shadow fading standard
deviation.

### 579 **5 Conclusions**

580 In this paper, the spatial statistical channel model of high-altitude military UAV air-toground scenario is constructed. By using NYUSIM, a multi-dimensional model of the high-581 altitude military UAV air-to-ground channel without base station was built, and the channel was 582 simulated to get useful statistics. The dependence of the channel on T-R separation distance, 583 frequency, rain rate and antenna HPBW parameters have been analyzed, and large-scale fading 584 and small-scale fading statistical analyses were carried out to get channel characteristics. In 585 addition, by calculating and analyzing the condition number and rank of the channel transmission 586 matrix, the spatial multiplexing of the high-altitude military UAV air-to-ground channel without 587 base station scenario under different MIMO antenna arrays have been analyzed. 588

589 According to the channel analysis results, under this model, the linearity of the millimeter

band below 49 GHz is better, which is suitable for this battlefield situation awareness scenario. The use of  $2\times 2$  and  $3\times 3$  MIMO antenna arrays can realize spatial multiplexing effectively.

592 Moreover, this communication channel should also take the rain rate and distance conditions into

- account, adjust the communication frequency and MIMO antenna parameters flexibly. The result
- can provide a theoretical basis for future high-altitude military UAV frequency selection and
- 595 antenna design.
- 596
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Figure 1.



Figure 2.



## Passive/active anthropogenic sound/light/electromagnetic disturbances

Ground clutter

Sea clutter

Figure 3.



Figure 4.



(c)

(d)

Figure 5.



Figure 6.

Beam Pattern (the Distribution of Amplitude)



Figure 7.



Figure 8.

## **Received Power**



**Time Delay** 

Figure 9.





(a)





Figure 10.



Small Scale PDPs - 28 GHz, 800 MHz, UMa LOS 8239.9 m T-R Separation

Figure 11.



Figure 12.



## (b)

Figure 13.





(a)

(b)

Figure 14.



(a)

Figure 15.



Figure 16.



(a)

(b)

Figure 17.



(a)

(b)

(c)

Figure 18.






(b)

(c)

Figure 19.



(c)

(d)

Figure 20.

