Sensitivity of African Easterly Waves to Dust Forcing

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Abstract

[There is a lack of agreement on the sign and magnitude of the effect of dust-radiative forcing on African easterly waves (AEWs) among past studies. The uncertainty in the dust-radiative forcing associated with the estimation of shortwave absorption is a leading cause of disagreement in the literature. The inability of models to represent various dust–AEW interaction pathways also leads to uncertainty among modeling studies. The present study investigates the sensitivity of AEWs to the observed variability in dust shortwave absorption using a high-resolution atmospheric general circulation model. Global simulations are conducted at a spatial resolution of about 25 km to simulate AEWs and associated circulation features adequately well. The results reveal that AEWs are highly sensitive to dust shortwave absorption. In addition, the AEW activity intensifies and broadens the wave track with a southward shift in response to dust shortwave absorption used. The 6-9-day waves are more sensitive to dust shortwave absorption than the 3-5-day waves, where the response in the former has a stark land–sea contrast. The sensitivity of AEW to dust heating stems from a combination of the response to dust shortwave heating in baroclinic energy conversion is the leading term in the energy cycle, the responses to dust shortwave heating in barotropic and generation terms are comparable to those in baroclinic conversion.]







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¹ Sensitivity of African Easterly Waves to Dust Forcing

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Key Points:African easterly waves are highly sensitive to dust shortwave absorption.

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- Wave track intensifies, broadens, and shifts southward in response to dust shortwave absorption
 - AEW sensitivity to dust stems primarily from baroclinic and barotropic energy conversions and energy generation by adiabatic heating.

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11 Abstract

There is a lack of agreement on the sign and magnitude of the effect of dust-radiative 12 forcing on African easterly waves (AEWs) among past studies. The uncertainty in the 13 dust-radiative forcing associated with the estimation of shortwave absorption is a lead-14 ing cause of disagreement in the literature. The inability of models to represent various 15 dust-AEW interaction pathways also leads to uncertainty among modeling studies. The 16 present study investigates the sensitivity of AEWs to the observed variability in dust short-17 wave absorption using a high-resolution atmospheric general circulation model. Global 18 simulations are conducted at a spatial resolution of about 25 km to simulate AEWs and 19 associated circulation features adequately well. The results reveal that AEWs are highly 20 sensitive to dust shortwave absorption. In addition, the AEW activity intensifies and broad-21 ens the wave track with a southward shift in response to dust shortwave absorption. There 22 is approximately a 25 % change in eddy kinetic energy (EKE) associated with AEWs 23 for the range of dust shortwave absorption used. The 6-9-day waves are more sensitive 24 to dust shortwave absorption than the 3-5-day waves, where the response in the former 25 has a stark land–sea contrast. The sensitivity of AEW to dust heating stems from a com-26 bination of the response from various energy conversions. Although baroclinic energy 27 conversion is the leading term in the energy cycle, the responses to dust shortwave heat-28 ing in barotropic and generation terms are comparable to those in baroclinic conversion.] 29

³⁰ Plain Language Summary

African easterly waves (AEWs) occur in the dust-laden atmosphere over tropical 31 Africa and the Atlantic. Dust and AEWs interact with each other in multiple ways. How-32 ever, there has yet to be a general agreement on the sign and magnitude of dust effect 33 on the AEWs. A primary uncertainty comes from estimating dust's ability to absorb short-34 wave radiation and heat the atmosphere. This study investigates the sensitivity of AEWs 35 to the observed variability in dust shortwave absorption using a high-resolution atmo-36 spheric general circulation model. The results demonstrate that AEWs are highly sen-37 sitive to dust shortwave absorption. The AEW activity intensifies and broadens the wave 38 track as the dust becomes more absorbing. Wave track widens primarily towards the equa-39 tor, leading to a southward track shift. There is approximately a 25 % change in the eddy 40 kinetic energy, a proxy for AEW activities, for the observed range of dust shortwave ab-41 sorption. Hence, accurately representing dust optical properties is crucial to predict the 42 AEWs and the overall African climate better, especially in the global warming context 43 where both AEWs and dust loading change. 44

45 **1** Introduction

Heavy loading of mineral dust aerosols and African easterly waves (AEWs) co-exist 46 in the Sahara–Sahel–tropical Atlantic region. The interaction between AEWs and dust-47 radiative forcing has been the subject of active scientific inquiry since the 1970s (e.g., 48 Carlson & Prospero, 1972). Although a vast body of modeling and observational stud-49 ies have been conducted on dust-AEW interaction to date, no general agreement has been 50 reached on the effect of dust on AEWs. Some studies have demonstrated the enhance-51 ment of AEW activities as a response to dust-radiative forcing (e.g., Karyampudi & Carl-52 son, 1988; Reale et al., 2009; Jury & Santiago, 2010), whereas other studies have sug-53 gested the weakening of AEWs due to dust forcing (e.g., Jones et al., 2004; Ma et al., 54 2012; Grogan et al., 2016; Lavaysse et al., 2011). The disagreement among studies stems 55 primarily from the uncertainties in estimating dust-radiative forcing and from the inabil-56 ity of models to incorporate all possible dust-AEW interaction pathways adequately. 57

⁵⁸ One of the main uncertainties in estimating dust-radiative forcing lies in the es-⁵⁹ timation of dust shortwave absorption and its associated radiative heating (e.g., Solmon ⁶⁰ et al., 2008; Miller et al., 2004). Balkanski et al. (2007) found that the imaginary part of the refractive index, which decides the dust shortwave absorption and radiative heating rate, varies by an order of magnitude among various studies. Accordingly, single scattering albedo, the ratio of scattering and absorption in the total extinction, varies significantly (0.7 to 0.99) (e.g., J. M. Haywood et al., 2001; Slingo et al., 2006; Otto et al.,
2009; Raut & Chazette, 2008). There is also a disparity between in situ and satellite measurements; in situ measurements generally lead to a higher shortwave absorption estimation than satellite-based estimates (e.g., Kaufman et al., 2001; J. Haywood et al., 2003).
Hence, modeling studies struggle with the uncertainty of radiative forcing estimation.

69 Changes in dust shortwave absorption can affect the generation and maintenance of AEWs in many ways. Many previous studies have demonstrated that dust-induced 70 radiative heating in the lower and mid-troposphere alters the static stability of the at-71 mosphere, influencing the AEWs (e.g., Jones et al., 2004). Another vital pathway of dust-72 AEW interaction is through the instabilities of the African easterly jet (AEJ). The dust-73 induced changes in the shear on the AEJ and the consequent barotropic-baroclinic in-74 stabilities are necessary to maintain the AEW (e.g., Thorncroft & Hoskins, 1994a, 1994b; 75 Hall et al., 2006; Cornforth et al., 2009). However, more recent studies (Grogan et al., 76 2016, 2017; Nathan et al., 2017; Bercos-Hickey et al., 2017) have revealed that changes 77 in the dust-induced zonal mean and eddy heating can also influence AEWs. 78

Bangalath and Stenchikov (2016) studied the sensitivity of the Middle East and 79 North African (MENA) climate to the uncertainty in dust shortwave absorption. The 80 study found that dust acts as an off-equatorial heating source collocated with the solar 81 insolation maximum and enhances the meridional mean temperature gradient over the 82 MENA region during summer. The tropical rain-belt, surface circulation (trade winds), 83 and AEJ intensify and shift northward as dust shortwave absorption increases. The sen-84 sitivity of the tropical rain-belt and AEJ to dust shortwave absorption indeed translates 85 into AEW sensitivity because the generation and maintenance of AEWs greatly depend 86 on the moist convection and instabilities of AEJ. The tropical rainbelt shifts northward 87 and intensifies as a response to dust heating. The latent heat release from the enhanced 88 precipitation alters the meridional temperature gradient in the mid-upper troposphere. 89 The circulation responses at the surface and at the level of the AEJ are particularly cru-90 cial for AEWs. The surface circulation south of the inter-tropical depression (monsoonal 91 trade wind) enhances, and the circulation north of it (Harmattan wind) weakens due to 92 dust shortwave heating. In contrast, circulation weakens on the southern flank of the AEJ 93 and enhances on its northern flank. The contrasting response pattern in surface and mid-94 tropospheric circulation induces vertical shear in the zonal and meridional velocities. Per-95 turbations in wind shear and temperature gradients change all energy conversion terms 96 in the regional energy cycle, ultimately changing the eddy kinetic energy (EKE) avail-97 able for AEWs (e.g., Grogan et al., 2016, 2017; Nathan et al., 2017; Bercos-Hickey et al., 98 2017). qq

The present study investigates the sensitivity of AEWs to the observed variabil-100 ity in dust shortwave absorption using a high-resolution atmospheric general circulation 101 model-HiRAM-developed at the Geophysical Fluid Dynamics Laboratory (GFDL) (Zhao 102 et al., 2009). In order to quantify the sensitivity, the study conducts climate simulations 103 with seasonally varying dust assuming dust is an inefficient, standard, and efficient short-104 wave absorber, following Bangalath and Stenchikov (2016). Apart from quantifying the 105 sensitivity of AEWs to dust shortwave absorption, this research specifically investigates 106 the causality of sensitivity using energetics analysis. The study analyzes the response 107 of 3-5-day and 6-9-day wave sensitivity separately over continental Africa and the At-108 lantic. The HiRAM simulations are conducted at a spatial resolution of 25 km. It is worth 109 noting that most past AEW modeling studies have used coarse-resolution general cir-110 culation models (GCMs), which often fail to resolve the topography and mesoscale sys-111 tems well enough to produce realistic AEWs. The HiRAM simulations at 25 km have 112 been proven to resolve AEWs adequately (Raj et al., 2022). This study specifically ap-113

plies energetic analysis to understand the sensitivity of AEWs to dust shortwave absorption.

¹¹⁶ 2 Model and Experiment

HiRAM was developed from the Atmospheric Model version 2 (AM2) of GFDL by 117 modifying certain aspects (Zhao et al., 2009), which has flexibility in the horizontal res-118 olution of up to a few kilometers and improved vertical resolution (32 levels) compared 119 to AM2. The current study uses the C360 version (spatial resolution of about 25km) of 120 the HiRAM, which employs a hydrostatic finite-volume cubed-sphere dynamical core (Lin 121 2004; Putman and Lin 2007). A major modification from AM2 is that its customary deep 122 convective scheme is replaced by a nonintrusive shallow convective scheme (Bretherton 123 et al. 2004) by extending it to simulate deep convection (Zhao et al. 2009). Moreover, 124 HiRAM preserves most of the parameterizations from AM2, such as radiative transfer, 125 surface flux, boundary layer, orographic gravity wave drag parameterizations, and large-126 scale cloud microphysics, with necessary modifications as the resolution increases. Fur-127 ther, HiRAM is coupled to GFDL land model LM3, and sea surface temperature (SST) 128 is prescribed from the monthly Hadley Centre Sea Ice and Sea Surface Temperature (HadISST) 129 dataset (Rayner et al., 2003). It should be noted that the simulations do not account 130 for the SST feedback to dust loading, and the response to dust is solely from the atmo-131 spheric response. 132

Originally HiRAM was designed to provide an improved representation of weather 133 events in a GCM and for applications ranging from weekly forecasts to climate projec-134 tions. A resolution of 25km allows models to resolve important mesoscale weather events 135 (e.g., Zhao et al., 2009; Jung et al., 2012) and major orographically induced circulations 136 (e.g., Boyle & Klein, 2010; Lau & Ploshay, 2009). Therefore, the simulations enable the 137 examination of sub-seasonal (synoptic scale) variability such as AEWs. A detailed val-138 idation of HiRAM's ability to reasonably simulate AEW activities has been reported by 139 Raj et al. (2022). In general, HiRAM simulates EKE reasonably well for both 3-5-day 140 and 6-9-day waves compared to different reanalysis data. It has also been shown that 141 the model is capable of simulating all major circulation features and various energy con-142 versions that make up available potential energy for eddies. 143

We conducted four experiments: one without dust loading ("NoDUST" experiment) 144 and three with seasonally varying dust loading but with different dust optical proper-145 ties. The dust and other aerosol concentrations were prescribed from the Model for Ozone 146 and Related Chemical Tracers (MOZART) offline calculations (Horowitz et al. 2003). 147 Dust loading is discretized into eight bins from 0.1 to 10 μ m. Specific extinction coef-148 ficients of dust are calculated using the Mie theory, assuming refractive indices for the 149 shortwave spectrum from the estimates by Balkanski et al. (2007) and indices for the 150 longwave spectrum by Volz (1973). Three cases of dust with a hematite content (by vol-151 ume) of 0.9%, 1.5%, and 2.7% were selected. The cases of 0.9% of hematite ("DUST0.9" 152 experiment), 1.5% of hematite ("DUST1.5" experiment), and 2.7% of hematite ("DUST0.9" 153 experiment) represent dust as an inefficient, standard and efficient shortwave absorber, 154 respectively. A detailed explanation of the model and dust representation is presented 155 in Bangalath and Stenchikov (2016). 156

The sensitivity of AEWs to dust shortwave absorption and the associated heating is estimated by comparing the three dust simulations with simulations without dust. All four experiments were run for an 11-year period with three ensembles (perturbed initial condition runs), and the first year is omitted from the analysis considering the spinup period.



Figure 1. Summer (June–September) all-sky DDRF (Wm^2) at the TOA in the DUST0.9, DUST1.5, and DUST2.7 experiments. Positive values represent the warming of the system, and negative values denote the cooling of the system, by definition

162 **3 Results**

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3.1 Dust-Radiative Forcing and Atmospheric Heating

Instantaneous radiative forcing and heating rate anomalies were calculated follow-164 ing (Bangalath & Stenchikov, 2015, 2016) in each simulation for analyzing the direct ra-165 diative effect of dust. Dust direct radiative forcing (DDRF) is defined as the net (long-166 wave plus shortwave) radiative flux difference between a state with dust loading and one 167 without dust loading (downwelling minus upwelling), calculated under the same mete-168 orological conditions. Hence, positive (negative) DDRF indicates the warming (cooling) 169 of a system. The radiative fluxes of dust were estimated at each radiation time-step by 170 calling the radiation routine twice, with and without the presence of dust. The DDRF 171 and dust-induced atmospheric heating estimates in the present study are calculated un-172 der all-sky conditions. A detailed discussion of the dust-radiative forcing and heating rates 173 is available in Bangalath and Stenchikov (2016). 174

The present study focuses on June–September, the AEW season. The analysis was 175 separately performed over the African continent and the Atlantic. Figure 1 illustrates 176 the top of the atmosphere (TOA) DDRF over MENA and the Atlantic region in all three 177 cases of hematite content. There is a strong north-south gradient in forcing over MENA; 178 the forcing is positive over Saharan-Arabian deserts and negative over sub-Saharan and 179 Oceanic regions. The change in the sign of forcing between bright deserts and relatively 180 dark surfaces, including vegetated canopy and oceans, is due to the albedo effect (e.g., 181 Bangalath & Stenchikov, 2015; Osipov et al., 2015). The TOA aerosol forcing is a strong 182 function of the effective albedo of the underlying surface. As dust becomes more absorb-183 ing, the positive forcing over the desert region intensifies, and the negative forcing over 184 the ocean and sub-Saharan region diminishes. The variability of forcing over the Sahel 185 is especially interesting. The forcing changes sign from negative to positive as the dust 186

becomes more absorbing. As a result, dust-radiative forcing becomes larger over land
 than over the ocean when the dust becomes more absorbing.

The presence of the north-south gradient in forcing over the African continent is 189 particularly important for AEW activity. Such a north-south contrast in radiative forc-190 ing and its strengthening in response to dust shortwave absorption leads to the strength-191 ening and northward shift of the Hadley Cell, rainbelt, and AEJ (Bangalath & Stenchikov, 192 2015, 2016). The generation and maintenance of AEW greatly depend on the barotropic-193 baroclinic instabilities; thus, the dust-induced changes in the latent heating and wind 194 shears will affect AEW. To explicitly demonstrate these processes, we separately display 195 the meridional cross sections of zonally averaged dust-induced heating rate anomalies 196 over the continent and Atlantic (Fig. 2). Heating from dust shortwave absorption is con-197 fined to the northern hemispheric subtropics centered at 20^{0} N in all three experiments. 198 Dust-induced heating is concentrated north of the AEJ core (12^{0} N), which has impli-199 cations for AEW growth (Grogan et al., 2016, 2017; Grogan & Thorncroft, 2019). As 200 the hematite content increases, the heating increases and broadens meridionally and ver-201 tically. Over the Atlantic, the intensity and vertical extent of heating are almost half of those over the continent. Hence, dust forcing affects AEWs over the continent and ocean 203 differently. 204

3.2 AEW Response

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The EKE is a suitable metric to portray wave activity and is calculated as follows:

$$EKE = \frac{({u'}^2 + {v'}^2)}{2} \tag{1}$$

where u and v are the zonal and meridional winds, respectively. The primes indicate But-207 terworth bandpass-filtered anomalies of the daily wind field. Figure 3 depicts the filtered 208 EKE (for 3-5-day and 6-9-day bands) at 700 hPa (contours) as a measure of AEW ac-209 tivities and displays the difference in filtered EKE (shades) between simulations with and 210 without dust. The differences are taken by subtracting the case with dust from that with-211 out dust such that the anomalies express the role of dust in the EKE. The areas where 212 the effect of the dust is statistically significant at a 95% confidence level are marked by 213 dots. The green contours represent the EKE in simulations with dust, and the violet con-214 tours represent the EKE in simulations without dust. The EKE is separately estimated 215 for the 3-5-day and the 6-9-day waves. Raj et al. (2022) validated the HiRAM-produced 216 EKE in detail. 217

In general, dust shortwave heating increases 3-5-day EKE, indicating increased AEW 218 activities (Fig. 3, left column). In the DUST0.9 and DUST1.5 experiments, a north-south 219 EKE dipole pattern occurs in response. Dust causes the weakening of the 3-5-day waves 220 on the northern side of the wave track and enhances on the southern side. A compar-221 ison of filtered EKE contours of the DUST (green) and NoDUST (violet) experiments 222 indicates a southward shift of the AEW track in both cases. The dipole response pat-223 tern and the southward track shift are more prominent in the DUST0.9 case. The dipole 224 pattern of response disappears as the dust becomes an efficient shortwave absorber (DUST2.7). 225 In this case, the 3-5-day wave activity intensifies everywhere, and the wave track broad-226 ens in all directions. Note that the AEW track extends about 450 km in the Atlantic. 227 The broadening and intensification of the AEW track may have implications on the TC 228 genesis in the basin as AEWs are often precursors to tropical cyclones(e.g., Landsea, 1993; 229 Russell et al., 2017). However, care must be taken in drawing a direct correlation be-230 tween the AEWs and tropical cyclone activities, as a recent study by Patricola et al. (2018) 231 has pointed out that the AEWs may not be a reliable predictor for the seasonal variabil-232 ity and changes in Atlantic tropical cyclone frequency. 233

In contrast with 3-5-day waves, a striking land-sea contrast occurs in the 6-9-day waves (Fig. 3, right column). Over the land, the wave activity reduces in all three dust



Figure 2. Meridional height cross-section of mean June–September radiative heating rate (shortwave plus longwave) anomaly induced by dust in all three with dust experiments. The left panel represents the vertical cross-section over the African continent (zonally averaged between -15^{0} and 20^{0}), and the right panel is the cross-section for the Atlantic (zonally averaged between -50^{0} and -15^{0})



Figure 3. The EKE (m^2s^{-2}) estimated from the 3-5-day band-pass-filtered zonal and meridional winds at 700 hPa. The contours represent the EKE from NoDUST (violet) and three different DUST (green) experiments. Red and blue shades mark the anomalies of EKE in each experiment (DUST-NoDUST). Dots mark areas where the EKE anomalies are statistically significant, at least at the 95% confidence level.

experiments. In addition, the wave track shrinks over land. However, the response over 236 the Atlantic is similar to that of the 3-5-day waves. In the DUST0.9 case, AEW activ-237 ity decreases in most areas except a few patches to the southern edge of the track. How-238 ever, a dipole pattern emerges in the DUST1.5 experiment. A southward shift of the 6-239 9-day wave track is also evident in the DUST1.5 case. Similar to the 3-5-day case, there 240 is an intense and widespread increase in the wave activity and the consequent broaden-241 ing of wave track in all directions when the dust is highly absorbing (DUST2.7). Such 242 a strong response leads to a strong land-sea contrast in the response. If we assume all 243 individual waves originated over the continent, the strong land-sea contrast in the re-244 sponse indicates an enhancement of AEWs once they enter the Atlantic. The magnitude 245 of response is higher in the 6-9-day waves than in the 3-5-day waves, although the mean 246 EKE of the 3-5-day waves is higher. Therefore, amplified EKE response occurs in the 247 6-9-day waves compared to the 3-5-day waves, especially in terms of the percentage of 248 change in EKE. 249

Standard deviations of band-pass-filtered outgoing longwave radiation (OLR) anoma-250 lies in 3-5-day and 6-9-day bands are depicted to elucidate the sensitivity of convective 251 activities associated with AEW (Fig. 4). The contours represent the band-pass-filtered 252 variability of OLR in the NoDUST case. The OLR variability is mostly concentrated over 253 tropical Africa and the Atlantic. Over the continent, the OLR variability is collocated 254 with the AEW track defined by the EKE. However, there is a southward shift of the At-255 lantic AEW track defined by the OLR compared to that defined by the EKE. In other 256 words, the convective activity associated with AEWs is confined to the equator, whereas 257 the wind variability shifts further north in the Atlantic than over the continent. Such 258 a land-sea contrast might be due to the ITCZ's relatively weaker seasonal (latitudinal) 259 oscillation over the Atlantic compared to that over continental Africa. 260



Figure 4. Difference in the 3-5–day band-pass-filtered OLR (wm^{-2}) standard deviation (shading) between experiments with and without dust (DUST-NoDUST). The contours represent the standard deviation of the OLR in the experiment without dust (NoDUST).

Consistent with the response in the EKE field, a north-south dipole pattern oc-261 curs in the OLR variability response in the DUST0.9 and DUST1.5 cases. The OLR vari-262 ability decreases to the north and increases to the south of the AEW track. There is a 263 widespread increase in the OLR variability throughout the track in highly absorbing dust 264 cases (DUST2.7 case), similar to the EKE response. In the 6-9-day case, OLR variabil-265 ity is reduced in most of the track over land. However, the OLR variability to the south 266 of the track intensifies as the dust becomes more absorbing. This is consistent with the 267 response in EKE, and it reaffirms the southward shift of the track response to dust short-268 wave heating. Over the Atlantic, the OLR variability reduces in the core of the track. 269 However, the variability of OLR increases around the track in all directions indicating 270 the broadening of the track with a dust shortwave absorption increase. 271

3.3 Energetics Analysis

Energetic analysis is an excellent tool for understanding the generation and main-273 tenance of AEWs (e.g., Norquist et al., 1977; Hsieh & Cook, 2007). This analysis has 274 also been used to assess the influence of dust-radiative forcing on AEWs (Bercos-Hickey 275 et al., 2022; Grogan et al., 2016; Grogan & Thorncroft, 2019). Here, we employed the 276 energetics analyses originally formulated by Lorenz (1955) for the general circulation of 277 the atmosphere and later modified for a limited area (Muench, 1965; Norquist et al., 1977; 278 Hsieh & Cook, 2007) by incorporating energy transport at the boundaries. In this for-279 mulation, the governing equations for the EKE (K_E) and available potential energy (A_E) 280 are as follows: 281

$$\frac{\partial K_E}{\partial t} = C_k + C_{pk} - D_E + K_{EB} + \phi_{EB} \tag{2}$$

282 and

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$$\frac{\partial A_E}{\partial t} = C_A - C_{pk} + G_E + A_{EB} \tag{3}$$

In Equation 2, C_k is the barotropic energy conversion term estimating the conver-283 sion of zonal kinetic energy to EKE associated with zonal (u) and meridional (v) wind 284 shears. The C_{vk} is the baroclinic energy conversion term which represents the conver-285 sion of eddy available potential energy to EKE associated with the vertical overturning. 286 The C_{pk} appears in Equation 3 with a negative sign indicating that the A_E consumed 287 by baroclinic overturning is converted to K_E . The D_E is the frictional dissipation, and 288 K_{EB} and ϕ_{EB} are boundary EKE flux and pressure work done by the eddies at the bound-289 aries, respectively. The conversion of zonal A_E to eddy A_E from the eddy heat flux along 290 mean zonal temperature gradient is represented by the C_A in Equation 3. The G_E es-291 timates the A_E generation by diabatic heating, either by heating the warmer region and 292 cooling the colder region or by heating the colder region and cooling the warmer region 293 at the same latitude. Finally, A_E fluxes at the boundaries are indicated by A_{EB} . De-294 tailed expressions of all individual terms are provided in Appendix A. 295

We analyzed the baroclinic (C_{pk}) , barotropic (C_k) , zonal to eddy potential temperature conversion (C_A) , and generation term (G_E) for both 3-5 and 6-9–day waves separately. Dust-radiative forcing changes its sign from land to the ocean (Fig. 1); therefore, we also computed each term separately over the continent (averaged over 15^0 W to 20^0 E) and Atlantic (averaged over 50^0 W to 15^0 0W).

Figure 5 presents C_{pk} , which is the most prominent term, over the continent for 301 the 3-5-day and 6-9-day waves. There are two centers with a positive value (generation 302 center) of C_{pk} : one in the subtropical (12⁰N - 30⁰N) lower troposphere (from surface to 303 700 hPa), and the second in the tropical $(0^{0} - 15^{0}N)$ upper troposphere (from 500 hPa 304 to 200 hPa). The former is associated with either the warm air ascent (dry convection) 305 or cold air subsidence, mostly coincident with the Saharan heat-low region. The latter, 306 which is in the upper troposphere, is associated with the latent heat released from the 307 precipitation induced by AEWs. A region of energy destruction also occurs below 500 308 hPa in the tropics, although the values are at least one order less than the generation 309 center in the upper troposphere. This region of negative C_{pk} is associated with ascend-310 ing cold air, possibly due to the dynamical forcing within the waves (Yanai, 1961; Died-311 hiou et al., 2002; Hsieh & Cook, 2007). As baroclinic energy conversion is associated with 312 the overturning circulation, the centers can be understood as part of the tropical deep 313 and moist overturning circulation and the subtropical dry convection. 314

In the 3-5–day wave (left column), C_{pk} increases in the tropical upper tropospheric 315 generation center as dust shortwave absorption increases. There is approximately 20%316 increase in the DUST2.7 case compared to NoDUST. The increase in C_{pk} in response 317 to the increase in shortwave heating is due to the enhanced latent heat release from the 318 wave-induced precipitation enhancement. In the subtropical lower tropospheric positive 319 maxima, C_{pk} is destroyed in the DUST0.9 and DUST1.5 cases compared to the NoDUST 320 case. However, intense C_{pk} generation occurs when the dust is an efficient shortwave ab-321 sorber (DUST2.7). In the remaining area, the C_{pk} response is minimal. 322

In the 6-9-day wave (right panel Figure 5), C_{pk} is weaker compared to the 3-5-day 323 case due to the lesser convective precipitation associated with 6-9-day waves compared 324 to 3-5–day waves. The 6-9–day C_{pk} values are about half of those in the 3-5–day cases. 325 The C_{pk} patterns are similar in both cases. However, the response to dust shortwave ab-326 sorption is more significant in the 6-9–day waves than in the 3-5–day waves with a strong 327 north-south dipole pattern, especially in the tropical upper tropospheric center. In ad-328 dition, C_{pk} is generated towards the equator and destroyed towards the subtropics. The 329 dipole pattern of response enhances when dust-induced shortwave heating increases. Such 330 a dipole pattern of response may be related to the southward shift of 6-9-day AEWs (Fig. 331



Figure 5. Meridional height cross-sections of the baroclinic energy conversion term (C_{pk}) averaged (15⁰ W to 20⁰ E) over the continental region. Contours represent the values of C_{pk} in the "NoDUST" simulation, and the shaded contours represent anomalies (DUST-NoDUST) in all three dust experiments.



Figure 6. Same as Fig. 5, but averaged $(50^0 \text{ W to } 15^0 \text{ W})$ over the Atlantic.

3) as a response to shortwave heating. On either side of the tropical upper tropospheric 332 center, the relative change (with respect to the NoDUST case) in C_{pk} is about 70% in 333 the DUST2.7 case. Therefore, the relative change in C_{pk} is much higher in the 6-9–day 334 waves than in the 3-5-day waves. The response in the subtropical lower tropospheric gen-335 eration center also shifts, i.e., it exhibits a dipole response pattern, although the anoma-336 lies are weaker. Additionally, C_{pk} decreases on the southern extent of the subtropics, whereas 337 C_{pk} is generated to the north. The dipole pattern enhances and shifts as dust heating 338 increases. 339

340 In the Atlantic (Fig. 6), the baroclinic generation of the EKE associated with both 3-5-day and 6-9-day waves is weak compared to the continental area because the waves 341 start to weaken as they pass through the Atlantic. As in the continental case, C_{pk} is weaker 342 in the 6-9-day waves compared to the 3-5-day waves. The Atlantic 3-5-day case response 343 is weaker than its continental counterpart, though there is a stronger increase (more than 344 50% increase in the DUST2.7 case) in C_{pk} to the northern edge of its upper tropospheric 345 maxima. Unlike the continental case, the subtropical lower tropospheric maxima reveal 346 an increase in the DUST0.9 case, and the response weakens as shortwave absorption in-347 creases. The dipole response pattern in the 6-9-day case is relatively less defined over 348 the Atlantic than over land. Instead, widespread energy dissipation occurs in the upper 349 troposphere in the DUST0.9 case, whereas the DUST1.5 and DUST2.7 cases primarily 350 exhibit energy generation, especially on the northern side. The relative change in C_{pk} 351 to the north of the upper tropospheric maxima is about 70% in the high-absorbing dust 352 case (DUST2.7). 353

Figure 7 presents C_k , which is the next most significant EKE-producing term af-354 ter C_{pk} , over the continent for the 3-5 and 6-9-day waves. There are three energy pro-355 duction (positive centers) regions. The first is in the deep tropics tropopause (around 356 200 hPa) associated with the wind shear in the TEJ. The second is in the tropical (cen-357 tered at 10^{0} N) mid-troposphere (850 hPa - 300 hPa) associated with the wind shear in 358 the AEJ. The third is in the subtropical $(15^{0}N - 25^{0}N)$ lower troposphere (below 850 hPa) 359 associated with Saharan heat low where trade wind meets the dry Harmattan winds (see 360 figure 11 Bangalath and Stenchikov (2016)). It has to be noted that the positive barotropic 361 energy conversion occurs on the equator side of the AEJ (the jet core is at 12^0 lat). The 362 C_k is close to zero or negative on the poleward flank of the AEJ. In other words, barotropic 363 energy conversion that maintains AEWs primarily occurs to the south of the AEJ, where 364 mostly 3-5-day waves happen. On the northern side of the jet, C_k is either small or con-365 sumed. However, a strong production of C_k occurs below AEJ to the north of the jet core 366 associated with the Saharan heat low, where trade winds converge in summer. A large 367 region of EKE sink (negative C_k) towards the north extends from 850 hPa to the troppause, 368 with the center near the tropopause. This sink is associated with the shear in the sub-369 tropical jet. 370

In the 3-5-day waves (Fig. 7 left column), the generation of the EKE from the C_k 371 associated with the wind shear in the equatorward flank of AEJ has an intense response 372 to dust shortwave absorption. In the DUST0.9 case, the EKE dissipates in this region, 373 whereas the EKE is generated in favor of the AEW as the dust shortwave absorption in-374 creases (DUST0.9 and DUST2.7 cases). In addition, C_k changes more than 50% between 375 DUST0.9 and DUST2.7 cases on the southern side of the AEJ. In the subtropical gen-376 eration center in the lower troposphere, C_k is generated and increases with dust-induced 377 heating. However, the maximum response is observed near the tropopause collocated with 378 the TEJ and STJ. As the dust shortwave absorption increases, C_k reduces in this region. 379 The changes in the STJ and TEJ might be caused by AEW modulations or be forced 380 by the dust-radiative forcing. However, disentangling these two possible effects and their 381 interactions with AEW is beyond the scope of the present paper. 382

In the 6-9-day waves (Fig. 7 left column), C_k over the AEJ location is relatively weaker compared to the 3-5-day waves. The magnitude of response to the dust short-



Figure 7. Meridional height cross-sections of the barotropic energy conversion term (C_k) averaged (15⁰ W to 20⁰ E) over the continental region. Contours represent the values of C_k in the "NoDUST" simulation, and the shaded contours represent anomalies (DUST-NoDUST) in all three dust experiments.



Figure 8. Same as Fig. 7, but averaged $(50^0 \text{ W to } 15^0 \text{ W})$ over the Atlantic.

wave absorption is also small, although the relative change is about 40%. Therefore, the contribution of the barotropic term in the 6-9–day waves is small compared to the 3-5– day waves. In the subtropical lower tropospheric generation center, C_k increases slightly due to dust-induced shortwave heating. In contrast to the 3-5–day case, the response near the tropopause associated with STJ is intense in the 6-9–day case.

Figure 8 depicts C_k over the Atlantic. In the 3-5-day waves, C_k associated with 390 the shear of AEJ is intense but more confined to the jet core over the Atlantic compared 391 to its continental counterpart. Unlike the continental case, dust causes an increase of C_k 392 to the south of the AEJ core in all three cases, strengthening AEWs. The response is 393 stronger than that over the continent. The response in the subtropical lower tropospheric 394 positive C_k center is similar to its continental southern part but is more intense (75%). 395 In the 6-9-day case also, C_k associated with the AEJ and its response to dust forcing 396 is stronger over the Atlantic than the continent (Fig. 8 right column). As the dust short-397 wave absorption increases, more energy is converted to EKE. The energy generation in 398 the 6-9-day AEWs associated with the C_k term is more sensitive to dust heating over 300 the Atlantic than over continental Africa. The response in barotropic terms to the dust 400 shortwave absorption is not as linear as in the baroclinic case, which might be because 401 C_k involves four individual energy conversion terms, each of which interacts with dust 402 forcing differently. 403

The next term in the energy cycle is the generation of EKE through diabatic heating, G_E 404 (Fig. 9 and 10). The G_E has a very similar pattern to that of C_{pk} with two major gen-405 eration centers at the subtropical lower and tropical upper troposphere. The similarity 406 in the pattern of G_E and C_{pk} is because the G_E largely compensates for the A_E con-407 sumed by the C_{pk} . A positive G_E over the tropical upper troposphere is generated by 408 the latent heat release from the precipitation, whereas the generation center over the sub-409 tropical lower troposphere (Sahara) is from dry convection and dust-induced diabatic 410 heating. The magnitude of G_E is almost half that of C_{pk} . 411

The response of G_E to shortwave absorption is also very similar to that of C_{pk} , but 412 its magnitude is smaller. However, the relative change in G_E is generally higher than 413 that of C_{pk} . In the 3-5-day case, G_E enhances in both the tropical upper and subtrop-414 ical lower tropospheric centers as the dust shortwave absorption increases. The former 415 arises from the enhanced precipitation response to dust-radiative heating, and the lat-416 ter is due to increased dust heating and possibly by the enhancement in the dry convec-417 tion over this region. In the DUST2.7 case, approximately 50% increase occurs in the 418 3-5-day G_E compared to the NoDUST case. 419

In the 6-9–day case, the dipole response pattern in C_{pk} is replicated in G_E . The 420 G_E is generated on the equator side and dissipated over the subtropics. The response 421 intensifies as shortwave absorption increases. The response is consistent with the south-422 ward shift of 6-9-day AEWs as a response to dust shortwave heating. Approximately 423 75% change occurs in G_E on either side of the dipole. The subtropical lower tropospheric 424 generation center also displays a strong response consistent with the C_{pk} response. That 425 is, generation of G_E occurs in the less absorbing dust case, and destruction occurs in the 426 high absorbing case. 427

⁴²⁸ Over the Atlantic, the generation of diabatic heating and its response to dust heat-⁴²⁹ ing is smaller than the continental part (almost half). However, there is a significant de-⁴³⁰ crease of G_E in the tropical lower troposphere under the upper tropospheric positive max-⁴³¹ ima region. The decrease in G_E at this location intensifies as the shortwave absorption ⁴³² increases.

Figures. 11 and 12 depicts the response in the conversion of zonal A_E to eddy A_E due to the eddy heat flux along the zonal mean temperature gradient, C_A . Unlike other terms, only one major location of zonal to eddy A_E conversion exists, starting from 12⁰



Figure 9. Meridional height cross-sections of the barotropic energy conversion term (G_E) averaged (15[°] W to 20[°] E) over the continental region. Contours represent the values of G_E in the "NoDUST" simulation, and the shaded contours represent anomalies (DUST-NoDUST) in all three dust experiments.

Figure 10. Same as Fig. 9, but averaged $(50^0 \text{ W to } 15^0 \text{ W})$ over the Atlantic.

Figure 11. Meridional height cross-sections of the barotropic energy conversion term (C_A) averaged $(15^0 \text{ W to } 20^0 \text{ E})$ over the continental region. Contours represent the values of C_A in the "NoDUST" simulation, and the shaded contours represent anomalies (DUST-NoDUST) in all three dust experiments.

Figure 12. Same as Fig. 11, but averaged $(50^0 \text{ W to } 15^0 \text{ W})$ over the Atlantic.

N to 20⁰ N in the lower troposphere (below 700 hPa). The magnitude of C_A over this 436 region is comparable to G_E , indicating a comparable contribution to the baroclinic con-437 version over this region. The leading contribution in C_A results from the thermal advec-438 tion by the large-scale meridional temperature gradient. The dust shortwave absorption 439 heats the subtropical lower-mid troposphere, strengthening the meridional temperature 440 gradient and C_A . In general, C_A increases with the dust shortwave absorption over the 441 African continent (Fig. 11) and vice versa over the Atlantic (Fig. 12). The absolute mag-442 nitude of C_A and its response to dust heating is small for the 6-9-day case compared with 443 the 3-5-day case. 444

445 4 Discussion and Summary

The radiative impact of mineral dust aerosols on AEWs over tropical Africa and the Atlantic has drawn scientific attention concerning their interactions since the 1970s. Although several studies have investigated the role of DDRF in AEW genesis and maintenance, less attention has been focused on the sensitivity of AEWs to the uncertainty in dust shortwave absorption. The present study conducted global high-resolution atmospheric simulations with the dust having various shortwave absorption properties to assess the sensitivity of the AEWs to dust-induced atmospheric heating rate. The anal453 yses were conducted separately for the 3-5-day and 6-9-day waves. We also analyzed the
 454 AEW's response over the African continent and the tropical Atlantic separately.

Generally, AEW activity intensifies and broadens the wave track in response to in-455 creased dust shortwave absorption and the consequent increase in atmospheric heating. 456 The broadening of the track is primarily towards the equator, causing a southward shift 457 of the track. The 6-9-day waves are more sensitive to dust shortwave absorption, com-458 pared to the 3-5-day wave, especially in terms of the percentage change. The 6-9-day 459 waves weaken over the continent and the Atlantic in the inefficient absorbing case (DUST0.9), 460 whereas they intensify and broaden over the Atlantic when the dust becomes an efficient 461 absorber. The response over the continent is minimal, which is weakening of the 6-9-462 day waves in all three dust cases, leaving a stark land-sea contrast in the sensitivity of 463 AEWs to dust heating. In the 3-5-day wave case, no evident land-sea contrast occurs 464 in response to dust heating. When dust is an inefficient absorber, the 3-5-day waves weaken, 465 except over the southern flank of the wave track. However, if dust efficiently absorbs short-466 wave radiation, the 3-5-day wave track intensifies and broadens in all directions. 467

We analyzed the response in various energetic terms to understand how dust-radiative 468 heating interacts with AEW dynamics. Baroclinic energy conversion is the leading or-469 der term for the maintenance of AEWs. The next two vital terms are the barotropic en-470 ergy conversion term and A_E generation via diabatic heating. Their magnitudes are al-471 most half the magnitude of the Baroclinic conversion. For waves on the southern flank 472 of the AEJ, which are mostly 3-5-day waves, the most crucial energy source is the baro-473 clinic energy conversion associated with the tropical vertical overturning, followed by the 474 zonal to eddy kinetic energy conversion associated with shear in AEJ. However, to the 475 northern side of the AEJ core, where most of the 6-9-day waves occur, the source of en-476 ergy perturbation is primarily from the baroclinic conversion associated with the sub-477 tropical dry convection and the northern edge of the tropical overturning. The contri-478 bution from the barotropic term is negligible or negative in the mid-troposphere to the 479 north of the AEJ. However, intense production of barotropic energy conversion is con-480 fined to the layer of the atmosphere below 850 hPa. Note that the contribution of the 481 generation of diabatic heating and conversion of zonal to eddy A_E reaches up to 700 hPa. 482

The sensitivity of AEWs to dust heating stems from a combination of the response 483 from various energetic terms. Although baroclinic energy conversion is the leading or-484 der term, the response to dust shortwave heating in barotropic and generation terms is 485 comparable to that in baroclinic conversion. In other words, the relative change (per-486 centage change) is higher in barotropic and generation terms than in baroclinic terms 487 in response to dust. As the dust shortwave absorption increases, baroclinic energy con-488 version increases in favor of the AEWs. However, baroclinic energy conversion reduces 489 in response to dust heating and opposes AEW growth on the poleward side of the AEJ 490 in 6-9-day waves over the continent. The response of barotropic energy conversion is not 491 unidirectional. Over the land, barotropic energy conversion associated with the shear on 492 the AEJ reduces and opposes AEW growth in low-absorbing dust cases and increases 493 in favor of 3-5-day AEW growth in high-absorbing dust cases. However, the C_k asso-494 ciated with the shear in the trade wind over the Sahara increases in response to dust short-495 wave heating rate. In the 6-9-day case over land, significant reduction occurs in C_k around 496 the jet core in both low and high-absorbing dust cases. Over the ocean, the C_k related 497 to the shear on the AEJ and intertropical depression increases in all dust cases propor-498 tionally, in both 3-5–day and 6-9–day waves. The response in the generation term mim-499 ics the response in the baroclinic term. In addition, G_E increases in favor of AEWs in 500 all cases, except for 6-9-day AEWs over the continent. In the 6-9-day case, G_E also pro-501 duces a dipole pattern of response with the destruction of energy to the north and gen-502 eration to the south in response to dust heating. Additionally, there is an intense reduc-503 tion in the G_E in the lower troposphere centered around 20⁰N over the Atlantic, in a 6-504 9-day case. Moreover, C_A increases in the subtropical lower troposphere below the jet 505

core as a response to dust heating. However, unlike other energetic terms, the C_A increase is maximum in the DUST0.9 case for 3-5-day waves over the Atlantic.

The land-sea contrast in the response of 6-9-day waves (weakening of EKE over the land and strengthening over the ocean) was mostly caused by the dipole pattern of response in C_{pk} and G_E , which could be understood as a response to enhanced precipitation and weakening of the overturning circulation. The enhanced precipitation and associated increase in the latent heat release (T') dominate the response in C_{pk} in the tropics (south of the AEJ), and the reduced overturning circulation (ω') dominates the response north of AEJ.

In summary, AEWs are highly sensitive to dust shortwave absorption and conse-515 quent atmosphere heating. The EKE, the proxy for AEW activities, increases (decreases) 516 by about 25% compared to NoDUST simulations over the AEW track when the dust is 517 assumed to be an efficient absorber (inefficient absorber). It is noteworthy that the AEWs 518 exhibit strong seasonal and interannual variabilities, which are expected to change with 519 global warming. Moreover, the dust loading and their optical characteristics are also chang-520 ing. Therefore, accurately representing dust optical properties is crucial for better pre-521 dicting AEWs and the overall African climate. 522

523 Appendix A Energy Cycle Terms

524

The energy conversion terms are calculated as follows:

$$C_k = -\overrightarrow{\overrightarrow{V'_H}}.(\overrightarrow{V'}.\nabla)\overrightarrow{V_H}$$
(A1)

$$C_{pk} = -\frac{R}{p}\overline{\omega'T'} \tag{A2}$$

$$C_A = -\frac{c_p \gamma}{\overline{T}} \overrightarrow{V'_H} T' \cdot \nabla_H \overline{T}$$
(A3)

$$G_E = \frac{\gamma}{\overline{T}} \overline{Q'_1 T'} \tag{A4}$$

where u and v are zonal and meridional velocities, ω represents the vertical pressure velocity, p denotes the pressure, and T denotes the temperature. In addition, $\gamma = \frac{\Gamma_d}{\Gamma_d - \Gamma}$, where Γ_d and Γ are the dry adiabatic and observed lapse rates, respectively. The c_p denotes the heat capacity at constant pressure, and R represents the dry air gas constant. Finally, σ is the dry static stability. Q_1 is the apparent heat source. The Q_1 is calculated as follows:

$$Q_1 = \frac{c_p T}{\theta} \left(\frac{\partial \theta}{\partial t} + u \frac{\partial \theta}{\partial \phi} + v \frac{\partial \theta}{\partial \lambda} + \omega \frac{\partial \theta}{\partial p}\right) \tag{A5}$$

where θ is the potential temperature.

Primes in these equations are calculated using the 3-5-day and 6-9-day Butterworth bandpass filter. The higher-order terms in (A1) and (A2) are omitted from the analysis. The positive and negative values in these figures represent the gain and loss of the EKE or eddy available potential energy, respectively.

536 Open Research

The source code of HiRAM is publicly available online (at https://www.gfdl.noaa.gov/hiramquickstart/). The simulation results, figures, and code are available from the authors upon request.

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dh_ocean.eps.



bt_land.eps.



dh_land.eps.



mape_land.eps.



mape_ocean.eps.



eke_35_bias_700.png.



eke_69_bias_700.png.
