# An assessment of Southern Hemisphere extra-tropical cyclones in ERA5 using WindSat

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February 9, 2023

#### Abstract

ERA5 reanalysis output is compared to WindSat measurements over cyclones at Southern Hemisphere mid- to high-latitudes. WindSat provides an independent measure of how well ERA5 represents cyclones, as WindSat is not assimilated into ERA5. We implement a tracking scheme to identify cyclone centres and tracks, before using cyclone composites to match concurrent data in ERA5 and WindSat. We find that both ERA5 and WindSat show comparable spatial structures for low level wind speed, total column water vapour, cloud liquid water and precipitation. Compared to WindSat, ERA5 underestimates total column water vapour by up to 5% and cloud liquid water by up to 40%. ERA5 underestimates precipitation in the warm sector by up to 15%, but overestimates in the cold sector by up to 60%. Similar biases in ERA5 are seen when comparing to AMSR-E data, even though AMSR-E radiances are assimilated into ERA5. Comparing ERA5 and WindSat across the cyclone lifecycle, a strong correlation is seen across the cyclone as it deepens and reaches peak intensity, before slightly declining as the cyclone decays. In the cold sector ERA5 shows underestimated. This potentially suggests the presence of biases within the ERA5 parameterisations of cloud and precipitation causing a disconnect between the two. Despite this, ERA5 shows strong correlation with WindSat and determines cyclone structure well across the cyclone lifecycle, showing its value for use in cyclone compositing analysis.















### An assessment of Southern Hemisphere extra-tropical cyclones in ERA5 using WindSat

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#### **9 Key Points:**

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10	•	Cyclone composites derived from ERA5 and WindSat show strong spatial corre-
11		lations and small relative biases for winds and water vapour
12	•	In the cold sector ERA5 underestimates cloud liquid water yet overestimates pre-
13		cipitation, while warm sector precipitation is underestimated
14	•	Our comparison with WindSat shows that ERA5 represents the cyclone lifecycle
15		well

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#### 16 Abstract

ERA5 reanalysis output is compared to WindSat measurements over cyclones at South-17 ern Hemisphere mid- to high-latitudes. WindSat provides an independent measure of how 18 well ERA5 represents cyclones, as WindSat is not assimilated into ERA5. We implement 19 a tracking scheme to identify cyclone centres and tracks, before using cyclone compos-20 ites to match concurrent data in ERA5 and WindSat. We find that both ERA5 and Wind-21 Sat show comparable spatial structures for low level wind speed, total column water vapour, 22 cloud liquid water and precipitation. Compared to WindSat, ERA5 underestimates to-23 tal column water vapour by up to 5% and cloud liquid water by up to 40%. ERA5 un-24 derestimates precipitation in the warm sector by up to 15%, but overestimates in the cold 25 sector by up to 60%. Similar biases in ERA5 are seen when comparing to AMSR-E data, 26 even though AMSR-E radiances are assimilated into ERA5. Comparing ERA5 and Wind-27 Sat across the cyclone lifecycle, a strong correlation is seen across the cyclone as it deep-28 ens and reaches peak intensity, before slightly declining as the cyclone decays. In the cold 29 sector ERA5 shows underestimation of cloud liquid water, yet overestimates precipita-30 tion at all lifecycle stages. However, in the warm sector precipitation is underestimated. 31 This potentially suggests the presence of biases within the ERA5 parameterisations of 32 cloud and precipitation causing a disconnect between the two. Despite this, ERA5 shows 33 strong correlation with WindSat and determines cyclone structure well across the cy-34 clone lifecycle, showing its value for use in cyclone compositing analysis. 35

#### <sup>36</sup> Plain Language Summary

Extra-tropical cyclones play a major role in the circulation within the atmosphere 37 which acts to transfer heat towards the poles. Here we assess the representation of extra-38 tropical cyclone within the ERA5 reanalysis by comparing with observations made by 39 the WindSat satellite. Because WindSat data is not used as input to the ERA5 model, 40 it provides an independent measure of the quality of ERA5. By tracking low pressure 41 cyclone centres, we can identify a set of cyclones which can then be used to determine 42 the average behaviour of a cyclone. We find that both ERA5 and WindSat show sim-43 ilar features across the cyclone for near surface wind speed, water vapour, cloud liquid 44 water and rainfall. However, ERA5 shows discrepancies with Windsat with underesti-45 mates of cloud liquid water and overestimates rainfall in the cold sector of the cyclone. 46 Interestingly, rainfall is underestimated in the warm sector of cyclones in ERA5. When 47 breaking the cyclones into lifecycle stages representing deepening, peak intensity and de-48 cay, ERA5 and WindSat once again show good agreement, although biases in cloud liq-49 uid water and rainfall persist. Overall ERA5 simulates cyclone structure well through-50 out their lifecycle. 51

#### 52 1 Introduction

Extra-tropical cyclones (hereafter referred to as cyclones) are key components of 53 the atmospheric general circulation due to their ability to transport large quantities of 54 heat, moisture, and momentum. The baroclinc instability which feeds cyclones largely 55 balances the planetary budgets of energy (Trenberth & Stepaniak, 2004) and moisture 56 (Held & Soden, 2006) at mid-latitudes. The meteorology of the Southern Ocean is also 57 dominated by the presence of these systems (Hoskins & Hodges, 2005) and their asso-58 ciated frontal systems (Berry et al., 2011; Utsumi et al., 2017). Cyclones are a conspic-59 uous form of extra-tropical weather as their passage is associated with strong winds, pre-60 cipitation, and temperature changes (Papritz et al., 2014). Additionally, cyclones strongly 61 affect the mid-latitude distribution of water vapour, cloud and precipitation. Clouds as-62 sociated with these systems also make up a significant portion of the total cloud field over 63 the Southern Ocean (Haynes et al., 2011). Thus, these systems also have a major im-64 pact on the radiative balance in the region (Bodas-Salcedo et al., 2012). 65

Catto et al. (2012); Hawcroft et al. (2012); Utsumi et al. (2017) have shown that 66 up to 90% of precipitation in the mid-latitude storm tracks is associated with cyclones 67 and their associated fronts. Catto et al. (2012) also shows that more precipitation is as-68 sociated with fronts in the Southern Hemisphere than the Northern Hemisphere. Pfahl 69 and Wernli (2012) identified that a high percentage of precipitation extremes (up to 80%) 70 are also found to be directly related to cyclones. Utsumi et al. (2017) also show large 71 amounts of extreme precipitation in mid-latitude regions are associated with cyclones. 72 When analysing cyclones over the US West Coast, (Zhang et al., 2019) found that 45%73 of cyclones have an associated atmospheric river which can enhance the precipitation and 74 latent heat release and contributes to the deepening of the cyclone. 75

Satellite and ground-based observations are invaluable tools for the analysis of cy-76 clone structure, and these different sources of data has led to development of several com-77 peting conceptual models (e.g., Carlson, 1980; Browning, 1997; Semple, 2003). Semple 78 (2003) demonstrates how these conceptual models can be used at each phase in the cv-79 clone lifecycle to provide a description of the physical processes occurring within the sys-80 tem and the range of evolution pathways. However, the lack of generality of case stud-81 ies means they cannot easily be used to evaluate conceptual or numerical models (Jakob, 82 2003). Another assessment method uses a cyclone centered compositing methodology 83 to create average information from a large number of cyclones. Aggregating atmospheric 84 features over a large dataset allows a statistical measure of a model's ability to repre-85 sent the large-scale dynamical processes and air flows, as well as their influence on mois-86 ture around these systems. 87

Many studies have used reanalysis datasets to study the structure and evolution 88 89 of cyclones. Reanalyses assimilate observational data into a dynamical model framework, which can causes issues in the representation of atmospheric variables such as precip-90 itation (Herold et al., 2016). However, they have good spatial and temporal coverage, 91 which is especially useful over the Southern Ocean where observational datasets are sparse. 92 Catto et al. (2010) identified that there are problems using reanalysis products for ver-93 ification of model data, as precipitation in reanalysis datasets is strongly dependent upon 94 the parameterisation in the underlying model. As a result, significant deficiencies are ap-95 parent when compared with observations, even in the most recent analyses. For exam-96 ple, Naud et al. (2020) investigated cyclonic precipitation in reanalyses and models com-97 pared to IMERGE satellite retrievals. They found ERA-Interim and MERRA-2 over-98 estimate precipitation in the dry sector of the cyclones, and underestimate precipitation 99 in the warm sector of the cyclone. Though they also note that the IMERG observational 100 dataset might also exaggerate precipitation rates in vigorously ascending regions. 101

This study assesses the suitability of ERA5 in characterising extra-tropical cyclones 102 in the Southern Hemisphere over mid to high latitudes (30S - 90S), while also display-103 ing the utility of the WindSat dataset. Most of these cyclones are located over the South-104 ern Ocean (See Figure 1). We compare output from the ERA5 reanalysis with Wind-105 Sat data to identify the similarities and differences in the cyclone characteristics between 106 these two products. WindSat is not assimilated into the ERA5 reanalyses and therefore 107 provides an independent analysis of the quality of ERA5 over a wide range of geophys-108 ical variables. The focus on a single satellite instrument means that sampling differences 109 110 associated with using multiple satellite instruments are also removed. Where possible we supplement this analysis with the AMSR-E dataset, but this does not provide an in-111 dependent comparison with ERA5 as AMSR-E data has been assimilated into ERA5 (as 112 highlighted in Hersbach et al., 2020). It does however allow us to examine the quality 113 of the WindSat dataset. 114

We focus our attention over the Southern Ocean due to the many well established issues with the representation of cloud and precipitation in models over this region. Known issues of model representation over the Southern Ocean include too little cloud cover (e.g. Bodas-Salcedo et al., 2012; Schuddeboom et al., 2018; Kuma et al., 2020; McErlich et

al., 2021), excessive sunlight absorbed by the ocean surface (e.g. Trenberth & Fasullo, 119 2010; Hyder et al., 2018), a lack of clouds in the cold sectors of cyclones (e.g. Bodas-Salcedo 120 et al., 2014), a lack of reflective supercooled water clouds (e.g. Bodas-Salcedo et al., 2016; 121 Kuma et al., 2020), and an overestimation of the frequency and underestimation of the intensity in precipitation associated with fronts (Catto et al., 2015; Priestley et al., 2020). 123 Beadling et al. (2020) also showed warm biased sea surface temperatures over the South-124 ern Ocean still exist in CMIP6 models, which also effects the position of cyclones tracks 125 (Priestley et al., 2020). Many of these model biases are not independent, such as short-126 wave radiative biases over the Southern Ocean forming from an underestimation of cloud 127 within the models. 128

#### <sup>129</sup> 2 Datasets and Methods

#### 2.1 ERA5

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We use output from the ERA5 reanalysis (Hersbach et al., 2020), obtained from 131 the Copernicus Climate Change Service (C3S, 2017). ERA5 is available on a  $0.25^{\circ}$  lat-132 itude/longitude grid and is utilized to examine cyclones over the Southern Hemisphere 133 for the years 2003 - 2019 inclusive. This period is chosen to match with the available pe-134 riod for WindSat observations. Work detailed in McDonald and Cairns (2020) shows that 135 ERA5 is consistent with a number of other reanalyses over the satellite era with little 136 variation over that period, hence this period should be representative of reanalysis in gen-137 eral. ERA5 output is available on an hourly temporal resolution, but three hourly data 138 was used in this study. 139

ERA-Interim has been used in a number of studies that focused on extra-tropical 140 cyclones (e.g., Hodges et al., 2011; Naud et al., 2014, 2020), However, only a small num-141 ber of cyclone related studies (Priestley et al., 2020, 2022) have used ERA5 thus far. Even 142 fewer of these studies make use of the cyclone compositing methodology (Priestley & Catto, 143 2022). Significant work has already identified the utility of previous reanalyses, such as 144 that in Hoskins and Hodges (2005) which used the 40-vr ECMWF reanalysis (ERA40) 145 data to perform a detailed analysis of the Southern Hemisphere storm tracks. Given that 146 ERA5 is a next-generation reanalysis with an even higher spatial resolution than these 147 previous studies it is likely to be suitable for cyclone compositing. 148

#### 2.2 WindSat

WindSat (Meissner & Wentz, 2009) is a multi-frequency polarimetric microwave 150 radiometer developed by the Naval Research Laboratory for the National Polar-orbiting 151 Operational Environmental Satellite System Integrated Program Office. WindSat was 152 designed to demonstrate the capability of polarimetric microwave radiometry to mea-153 sure the ocean surface wind vector from space and was launched on the Coriolis satel-154 lite on January 6th 2003 (Gaiser et al., 2004). This radiometer operates at five discrete 155 frequencies (6.8, 10.7, 18.7, 23.8 and 37.0 GHz); all are fully polarimeteric except the 6.8 156 and 23.8 GHz channels that have only dual polarization. Despite a scheduled three year 157 lifetime, WindSat continued to provide brightness temperature measurements of the ocean 158 surface up until October 2020. The sampling of WindSat is densest towards high- and 159 mid-latitudes which means that this instrument is well suited to examining cyclones over 160 the Southern Ocean, and its long atmospheric record allows for a valuable comparison 161 with ERA5. 162

Calibrated WindSat products are available from Remote Sensing Systems, and we use the v7.0.1 WindSat product in this study. Details about the retrievals used in these products are available in Gaiser et al. (2004) and Meissner and Wentz (2009). This retrieval uses measurements at C- and X-band frequencies coupled with a statistical algorithm to retrieve wind speeds that works in all weather conditions, a capability unique to WindSat. In their work, they noted that since the model function and the retrieval algorithms are empirical, the satellite wind measurement accuracy has been quantified over a wide range of atmospheric conditions.

#### 2.3 AMSR-E

In addition to the ERA5 and WindSat datasets, this study also uses data from the 172 Advanced Microwave Scanning Radiometer for EOS (AMSR-E) onboard the polar-orbiting 173 Aqua satellite. AMSR-E measures the microwave emission at six frequencies ranging from 174 6.9 to 89 GHz, with both vertical and horizontal polarization at all frequencies (Kawanishi 175 et al., 2003). In particular, we use version 7 of the AMSR-E products available from Re-176 mote Sensing Systems. AMSR-E data is available between 2003 - 2011, or just over half 177 of the observational period of WindSat. AMSR-E measurements of Brightness Temper-178 ature are assimilated into ERA5 (Hersbach et al., 2020), so it is not an independent dataset. 179 However, AMSR-E still provides useful insight on the quality of WindSat data. 180

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#### 2.4 Cyclone tracking and compositing methodology

The cyclone tracking algorithm used in this study was detailed by Crawford and 182 Serreze (2016) and has subsequently been used in a number of further studies (e.g., Koyama 183 et al., 2017; Crawford & Serreze, 2017; Crawford et al., 2020; Hell et al., 2020). The al-184 gorithm uses sea level pressure information rather than 850 hPa vorticity. However, re-185 sults are expected to be similar (Hoskins & Hodges, 2005; Neu et al., 2013; Simmonds 186 & Rudeva, 2014), though it has been demonstrated that using the relative vorticity field 187 potentially allows the identification of smaller scale cyclones earlier in their development 188 (Hoskins & Hodges, 2005; Ulbrich et al., 2009). A detailed explanation of the cyclone 189 tracking algorithm used can be found in Crawford and Serreze (2016), but the main steps 190 are briefly detailed. 191

ERA5 mean sea level pressure (MSLP) information is first re-projected from the 192 ERA5 latitude/longitude grid to a 50-km Equal-Area Scalable Earth Grid (EASE-Grid) 193 in the Southern Hemisphere (Brodzik et al., 2012, 2014), centered over the South Pole. 194 Cyclone centres were then identified between 2003 - 2019 with a temporal resolution of 195 three hours. Existing research from Crawford et al. (2021) suggests that applying the 196 cyclone tracking to MSLP data with a resolution shorter than 3 hours can lead to un-197 realistic splitting of the cyclone tracks, hence our decision to use ERA5 data at this resolution. The cyclone tracking algorithm determines local minima in the MSLP field and 199 analyses the corresponding pressure gradient. A radii based threshold is used to iden-200 tify whether it is a closed low pressure system and thus characterises a cyclone. A 150 201 kmhr<sup>-1</sup> propagation speed defines a maximum search radius for cyclone centres, and low 202 pressure centers with corresponding centers in the previous time step being joined to iden-203 tify continuous low pressure cyclone tracks. A maximum elevation of 500 m was used 204 to make a mask such that cyclone centres identified above this height were ignored. Fur-205 ther criteria rejecting systems that have a lifespan shorter than 24 hours or a track length 206 less than 100km are also applied. We also restrict cyclone tracks to those that spend some 207 part of their lifetime at latitudes south of 30°S. 208

In order to assess the suitability of the cyclone tracking scheme over the Southern Hemisphere, and check ERA5's tracking capabilities, Figure 1a shows the track density over the defined domain. The track density is defined as the number of monthly cyclone tracks passing through a 500 km by 500 km area centered on each grid point. The highest density of cyclones is located around the Antarctic coastline. This pattern matches well with previous Southern Hemisphere cyclone track climatologies (Hoskins & Hodges, 2005; Bengtsson et al., 2006; Hodges et al., 2011).

Output from the cyclone tracking algorithms was used to transform a range of ERA5 216 data into a cyclone centered-coordinate system in the form of cyclone composites. The 217 compositing process followed a similar methodology to that described in Catto et al. (2010). 218 Firstly, the locations of the cyclone centre were identified using the tracking algorithm, 219 to be used as the origin of the cyclone centred coordinate system. Data was extracted 220 in a radius centered on each cyclone across the period of analysis. Due to the changing 221 longitudinal extent of the cyclones as a function of latitude, the composite field was de-222 rived in polar coordinates, then interpolated onto a higher resolution polar coordinate 223 grid to allow for smooth sampling across composites. Finally, individual composites are 224 rotated so that the direction of propagation of the cyclone is chosen to be travelling east-225 ward. Given the zonal westerly winds over the Southern Ocean many cyclones require 226 little rotation. This step approximately aligns the position of the warm/cold fronts and 227 the area of warm, moist air associated with them. While not all fronts will be at the same 228 position relative to the direction of the cyclone, this rotation acts to focus the structure 229 of the composite (Govekar et al., 2011). 230

Cyclone composites are derived over a circle of radius 2000 km. This radius is com-231 monly used within previous work (e.g. Field & Wood, 2007; Field et al., 2008; Naud et 232 al., 2012; Booth et al., 2018), although some studies use smaller radii (e.g. Catto et al., 233 2010; Flaounas et al., 2015; Naud et al., 2020; Sinclair et al., 2020). Some studies use 234 a slightly larger but comparable 20 degree region surrounding the cyclone (e.g. Bengts-235 son et al., 2009; Priestley & Catto, 2022). To assess the suitability of the compositing 236 radius, Figure 1b displays the cumulative frequency of maximum cyclone radius observed 237 across all cyclone tracks. The mean value for the distribution is 1000 km, while the 99.9th 238 percentile value for the distribution is approximately 2700 km. Therefore, setting the com-239 positing radius at 2000 km means that greater than 95% of cyclones will be fully rep-240 resented in the compositing scheme across all stages of their lifecycle. 241



**Figure 1.** a) Cyclonic track density, defined as the monthly occurrence of tracks in 500km by 500 km box centred on each grid cell of the tracking domain. b) Cumulative frequency of occurrence of the maximum cyclone radii reached by each cyclone track. The solid (dashed) red line shows the mean (99.9th percentile) value of the distribution.

During the compositing process, WindSat data is only included based on two conditions. Firstly, observations are only included if they occur within one hour of the time defined by the ERA5 reanalysis. Secondly, only data that is also within a 2000 km radius of the cyclone centre are utilised. Reanalysis output were composited using the same
method and are only included in the composite when corresponding WindSat data are
available. Thus, we effectively use the presence of WindSat data to create a mask to reduce sampling biases. The same procedure is also completed to match the ERA5 and
AMSR-E cyclone composites.

250 **2.5** Analys

#### 2.5 Analysis of cyclone lifecycle

In this study, cyclones are initially composited over all stages of development. The 251 resulting composites cannot be expected to display characteristics of the well known de-252 velopment stages. To gain a greater understanding of the differences between ERA5 and 253 WindSat fields, we partition the cyclones by their development phase relative to the time 254 of maximum depth of the cyclone. Here depth is defined as the difference between the 255 edge pressure and central pressure of the cyclone. In order to partition the cyclones into 256 periods of deepening, peak intensity, and decay, a criterion based the deepening rate (DpDt, 257 scaled by latitude) was also assessed. Cyclone tracks were only kept if the deepening rate 258 changed from positive to negative around the point of peak intensity. 259

For each cyclone track that passed this criterion, three periods were defined. The 260 period of peak intensity was defined as 6 hours either side of the time of maximum depth. 261 The period of deepening was defined as measurements between 6 hours and 18 hours previous to the time of maximum depth. The period of decay was defined as measurements 263 between 6 and 18 hours after the time of maximum depth. Tracks without measurements 264 18 hours before and after the point of peak intensity were rejected, causing a minimum 265 cyclone lifespan of 36 hours to be considered. Different periods were investigated, but 12 hours was chosen to ensure a large proportion of tracks were not removed, while still 267 filtering out cyclones without clear deepening and decay periods. 268

#### 269 3 Results

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#### 3.1 Comparison of mean ERA5 and WindSat fields

Figure 2 displays cyclone-centred composites of 10m horizontal winds (UV10), To-271 tal Column Water Vapour (TCWV), Cloud Liquid Water (CLW) and Mean Total Pre-272 cipitation Rate (MTPR) for both the ERA5 and WindSat data. Figure 2 also displays 273 the difference between the two datasets, defined as ERA5 - WindSat. Cyclones have been 274 tracked over the Southern Hemisphere, so the top of the composite corresponds to the 275 276 equatorward sector. Similarly, the bottom corresponds to the poleward sector. Because of the rotation applied to the cyclone composites, the top of the composites may not align 277 with north, so cardinal directions are not used to describe cyclone features. 278

Figure 2a shows that ERA5 UV10 winds display an axially asymmetric wind struc-279 ture with the strongest winds above the cyclone centre in the upper left quadrant. The 280 lowest winds are also close to the cyclone centre in the lower right quadrant. Field and 281 Wood (2007) indicate that the clearly defined 'eye' at the centre of the cyclone in their 282 analysis highlights the quality of the reanalysis derived cyclone locations and that the 283 compositing methodology is working in their study. The clear 'eye' in our analysis there-284 for highlights the quality of the ERA5 derived cyclone positions and corresponding com-285 posites. Figure 2b shows WindSat 10m winds which also displays an axially asymmet-286 ric wind structure with similar features to those in the ERA5 reanalysis. Inspection of 287 the differences in Figure 2c shows that ERA5 displays smaller 10m wind speeds compared 288 to WindSat across nearly the entire composite, with the largest ERA5 underestimates 289 occurring around the cyclone centre with underestimates up to 40% relative to Wind-290 Sat. Looking at the wind vectors seen on Figure 2a-b, the direction of the wind vectors 291 shows only slight changes across the composite between the ERA5 and WindSat datasets. 292 When investigating the wind speed distributions of the zonal and meridional components 293



**Figure 2.** Cyclone-centred composites of 10 m horizontal winds derived from (a) ERA5 and (b) and WindSat composited from all cyclones observed between during 2003 to 2019 inclusive. (c) Shows the percentage difference between the two datasets (ERA5 - WindSat). (d - f) is the same as (a - c) but for total column water vapour. (g - i) is the same as (a - c) but for cloud liquid water. (j - i) is the same as (a - c) but for mean total precipitation rate. (a) and (b) also display wind vectors for ERA5 and WindSat respectively. Cyclone have been rotated so that the direction of storm propagation is towards the right.

separately (Supplementary Figure 1), WindSat displays a bimodal structure which is less
 pronounced in the ERA5 output. Assessing each quadrant individually shows the dif ferences between ERA5 and WindSat are largest in the left quadrant of the cyclone.

The cyclone composite of ERA5 TCWV (Figure 2d) displays the expected contrast 297 in TCWV between the dry poleward (bottom) and moist equatorward (top) portions of 298 the cyclone. In particular, the pattern displays a tongue of dry air wrapped around the 299 left flank of the cyclone which extends above the low pressure centre into the upper left 300 quadrant. Correspondingly a warm moist tongue is observed to the right of the cyclone 301 extending from the upper right quadrant toward the bottom of the cyclone. This dis-302 tribution of TCWV is consistent with previous analyses, (Field & Wood, 2007; Naud et 303 al., 2012, 2014) which display the contrast in humidity between the dry poleward and 304 moist equatorward portions of the cyclone. For example, equivalent potential temper-305 ature composites shown in Catto et al. (2010) display a very similar pattern. Figure 2e 306 shows WindSat TCWV composites are structurally similar to the patterns observed in 307 ERA5, although Figure 2f shows that ERA5 has slightly lower TCWV across the entire 308 composite (up to 5% relative difference). The largest differences occur in the poleward 300 half of the composite, suggesting that the high water carrying capacity of the warm sec-310 tor of the cyclone is very well captured well by ERA5. In particular, ERA5 shows lower 311 values of TCWV in the dry tongue located in the lower left quadrant of the cyclone com-312 posite. The two datasets also show differences directly right of the cyclone centre, where 313 the moist TCWV tongue in WindSat extends further poleward than in ERA5. 314

Figure 2g shows ERA5 CLW has a clear comma cloud structure, as identified in 315 conceptual models (see Semple, 2003), with the tail of the comma in the upper right quad-316 rant of the composite. Govekar et al. (2014) directly linked the three-dimensional dis-317 tribution of clouds with the dynamics of a composite cyclone and quantified the relation-318 ships between them. In particular, they identified the distinct comma structures sim-319 ilarity to the vertical motion field derived from reanalysis. Supplementary Figure 2 shows 320 that ERA5 vertical velocity matches with the shape of the comma cloud, agreeing with 321 the previous work detailed in Govekar et al. (2014). Maximum cloud liquid water val-322 ues are observed on the tip of the spiral structure in CLW in Figure 2g. These features 323 are likely related to the warm conveyor belt (WCB), a stream of warm moist air that 324 originates at low levels in the warm sector and travels parallel to the cold front (Harrold, 325 1973). When it reaches the surface warm front the WCB rises rapidly along moist isen-326 tropes. As this warm air ascends, it forms the frontal cloud and the cloud head. Wind-327 Sat CLW (Figure 2h) displays the same comma-like structure as observed in the ERA5 328 output. Differences between the ERA5 and WindSat composite show lower CLW val-329 ues in ERA5 across the entire composite (see Figure 2i). While a difference of up to 30%330 exists within the high CLW comma structure, the greatest underestimate in relative terms 331 occurs in ERA5 (up to 40%) lies within the drier lower left quadrant where CLW val-332 ues are lower. 333

ERA5 cyclone composites of MTPR in Figure 2j show that the spatial pattern of 334 the rain rate is similar to the cloud liquid water pattern displayed in Figure 2g as might 335 be expected. The rain rate therefore also displays a comma structure to the right of the 336 cyclone centre with the tail of the comma extending into the upper right quadrant, a fea-337 338 ture also been by WindSat (Figure 2k). A comparison between ERA5 and WindSat in Figure 2l shows the largest difference of up to 60% occur left of the cyclone center, where 330 ERA5 has greater rain rates. This pattern may occur because the rain rate is greater 340 in the poleward side of the composite, but also because the peak precipitation rate oc-341 curs further toward the left in ERA5 than in WindSat. This difference in the location 342 of the comma cloud also produces a region in the upper right quadrant of the cyclone 343 where WindSat has slightly greater rain rates than ERA5 with values up to 15% larger. 344 Field and Wood (2007) have previously identified a broad correlation of the rain rate with 345 the moist water vapour tongue, which they suggest represents the position of the the warm 346

<sup>347</sup> conveyor belt, confirming that most of the rainfall is associated with this feature. We
<sup>348</sup> observe a similar relationship in the ERA5 output and WindSat observation. Notably,
<sup>349</sup> the difference seen between ERA5 and WindSat in the upper right quadrant of Figure
<sup>350</sup> 21 matches well with the position of the moist water vapour tongue seen in Figure 2d/e.

Thus far, we have not made any assumptions about whether the structures rep-351 resented in ERA5 or WindSat are more representative of reality. In order to provide a 352 further reference points we examine a second satellite dataset, AMSR-E. Figure 3 com-353 pares ERA5 output and AMSR-E data relative to the cyclone centre for TCWV, CLW 354 and MTPR. Due to differences in the AMSR-E and WindSat/ERA5 windspeed prod-355 ucts, the two were not compared. We use the WindSat WSPD\_AW product derived us-356 ing all channels and three separate algorithms to obtain winds in all weather conditions. 357 which are not determined in AMSR-E. 358

Figure 3a-b shows that TCWV displays similar structure for ERA5 and AMSR-359 E. Figure 3c shows that ERA5 has consistently lower TCWV across the entire compos-360 ite, with differences of up to 7%. This is a near identical pattern to the differences seen 361 in Figure 2f where the biggest differences lie in the poleward half of the composite. These 362 difference are seen despite AMSR-E data being assimilated into ERA5 (Hersbach et al., 363 2020). Figure 3d-f for CLW are also consistent with the patterns observed between ERA5 and WindSat (Figure 2i). For the MTPR, Figure 3i shows increased precipitation com-365 pared to ERA5 as seen on Figure 2l for WindSat. However, the upper right quadrant 366 where ERA5 shows greater precipitation compared to AMSR-E is far weaker than that 367 seen on Figure 2l for WindSat. Overall these results suggests the two satellite products 368 are consistent with each other, which might be expected given that they are derived us-369 370 ing similar retrieval schemes and work on similar principles. We therefore suggest that ERA5 displays small to medium size biases compared to observations, where it tends to 371 underestimate the amount of moisture, yet overestimate precipitation in the drier sec-372 tions of the cyclones. 373

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#### 3.2 Variability of fields

In addition to inspecting the mean values in the ERA5 output and the WindSat 375 data for similarities and differences, examination of the zonal and meridional distribu-376 tion of wind (Supplementary Figure 1) demonstrates that looking at other statistical prop-377 erties can be useful. Standard deviation is determined separately for ERA5 and Wind-378 Sat across each individual cyclone composite used to determine the averages displayed 379 on Figure 2. Standard deviation is also determined separately for each individual grid 380 point of the cyclone composite. Figure 4a displays a scatter plot of the standard devi-381 ation of ERA5 UV10 against those derived from WindSat measurements, for each point 382 in the cyclone composite shown on Figure 2a-b. The two datasets compare well with each 383 other, although ERA5 displays a slightly lower standard deviation in UV10 than Wind-384 Sat. 385

Figure 4b shows the standard deviation of ERA5 TCWV against WindSat estimates. The range of variability in ERA5 and WindSat again matches very well with most values falling around the one-to-one line. A linear least squares fit shows that the gradient and intercept are 0.95 and 0.044, respectively. Thus, the variability in the standard deviation is very well captured with only a slight underestimate of the observed Wind-Sat value by ERA5.

Figure 4c displays the relationship between the standard deviation of CLW derived from ERA5 and WindSat. The values from ERA5 and WindSat display far less correspondence than UV10 and TCWV, with the standard deviations in ERA5 being significantly lower that those in WindSat across the same regions of the composite. The region of worst agreement is close to the cyclone centre where CLW is highest. Figure 4d displays the relationship between the standard deviation of MTPR derived from ERA5



**Figure 3.** Cyclone-centred composites of TCWV from (a) ERA5 and (b) and AMSR-E composited from all cyclones observed between during 2003 to 2011 inclusive. (c) Shows the percentage difference between the two datasets (ERA5 - AMSR-E). (d - f) is the same as (a - c) but for CLW. (g - i) The same as (a - c) but for MTPR.

and WindSat. ERA5 shows lower variability than WindSat across almost all areas of the
 cyclone composites, displaying a slight improvement relative to the CLW, although close
 to the cyclone centre there is still significant variability.

For TCWV and UV10, both the mean (Figure 2c/f) and standard deviation (Figure 4a/b) of the TCWV and UV10 are very similar in ERA5 and WindSat. However, CLW and MTPR show much greater differences in the mean (Figure 2i/l) and standard deviation (Figure 4c/d). This means that the differences between CLW and MTPR between the ERA5 and the WindSat shown in Figure 2i/l are not likely to be directly driven by biases in TCWV, the advection of moisture, or the divergence and convergence of the horizontal winds.



Figure 4. Scatter plot of the standard deviation compared at each point across the composite in ERA5 and WindSat for (a) UV10, (b) TCWV, (c) CLW and (d) MTPR. Points have been coloured based on the distance from the cyclone centre.

#### 3.3 Representation of cyclone across lifecycle

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Our composite analysis reveals distinct patterns in the distribution of water vapour, 409 cloud, and precipitation near cyclones, which are reproduced in ERA5 and WindSat in 410 Figure 2. However, distinct differences exist in these patterns as a function of lifecycle 411 stage, strength, and deepening rate, as moisture convergence strongly depends on the 412 cyclone's velocity field (e.g. Klein & Jakob, 1999; Field & Wood, 2007; Naud et al., 2012). 413 We analyse cyclone composites for ERA5 and WindSat across regions of deepening, peak 414 intensity and decay related to the depth of the cyclone. This provides a comparison of 415 how structure changes in each datasets as the cyclone evolves, and how patterns differ 416 between the two. This analysis is undertaken on a subset of the cyclone composites shown 417 in Figure 2 which display clear periods of deepening, peak intensity and decay around 418 the point of maximum cyclone depth. 419

Figure 5 displays the TCWV field from ERA5 (Figure 5a-c) and WindSat (Figure 420 5d-f) for the three different phases of the cyclone, while Figure 5g-i displays the percent-421 age difference between the two. The amount of moisture in the warm sector decreases 422 throughout the cyclone lifecyle in both ERA5 and WindSat. In particular, both show 423 a weakening of the warm moist water vapour tongue, while the dry tongue strengthens 424 and propagates further into the upper half of the composite. This behavior likely sug-425 gests frontal occlusion as the cyclone begins to weaken. Figure 5g-i shows that ERA5 426 always has lower TCWV than WindSat, with larger relative differences in the poleward 427 area of the composite where ERA5 shows drier air. During the deepening phase, differ-428

ences of up to 5% show comparable structure to that seen in Figure 2f with a bias in the
position of the warm moist water vapour tongue. In order to compare how differences
between ERA5 and WindSat change across cyclone lifecycle, Figure 5g-i also display the
absolute mean bias averaged across the composite. As the cyclone reaches peak intensity and begins to decay, the absolute mean bias in ERA5 increases negligibly from 3.1%
to 3.2%.



**Figure 5.** Cyclone composites of of TCWV partitioned into the deepening, peak intensity, and decay regions for a - c) ERA5, d - f) WindSat and g - i) the difference between the two.

Figure 6 shows cyclone composites for cloud liquid water derived similarly to Fig-435 ure 5. CLW decreases over the cyclone lifecycle in both datasets, with a section of dry 436 air strengthening and wrapping around the cyclone centre. Examination of patterns in 437 ERA5 (Figure 6a-c) and WindSat (Figure 6d-f) shows general agreement with the pat-438 terns observed in Figure 5, where areas of high CLW match well with the moist water 439 vapour tongue. Differences between ERA5 and WindSat in Figure 6g-i show that ERA5 440 almost always has lower CLW than WindSat across all stages of the lifecycle with dif-441 ferences of up to 60% associated with the driest region of the composite. The exception 442 to this is the moist upper right quadrant of the cyclone where ERA5 shows CLW val-443 ues up to 15% larger than WindSat. These relative differences are greater than the max-444 imum underestimation (overestimation) in ERA5 CLW seen on Figure 2i of 40% (0%). 445 Another notable feature is that as the comma cloud structure begins to rotate and dis-446 sipate, the pattern in the difference also rotates as the drier region moves into the equa-447 torward portion of the composite. When looking at how the differences between ERA5 448

and WindSat change throughout the lifecycle, the absolute mean bias decreases slightly

 $_{450}$  from 17.5% to 15.8%.



**Figure 6.** Cyclone composites of of CLW partitioned into the deepening, peak intensity, and decay regions regions for a - c) ERA5, d - f) WindSat and g - i) the difference between the two.

Figure 7 shows cyclone composites at different periods of the cyclone lifecyle for 451 the mean total precipitation rate. Examination of the ERA5 and WindSat data in Fig-452 ure 7a-c and 7d-e, respectively, shows the comma-cloud structure in MTPR weakens over 453 the cyclone lifecycle in both datasets. A dry column pushes deeper into the cyclone from 454 the poleward sector and the comma cloud rotates in a clockwise direction. Examination 455 of the differences between ERA5 and WindSat in Figure 7g-i show ERA5 predominantly 456 overestimates MTPR in the cold sector of the cyclone, while underestimating within the 457 warm sector. The greatest differences of up to  $\pm 70\%$  are observed during the deepen-458 ing phase of the cyclone, but then begin to blur and reduce as the cyclone reaches peak 459 intensity and enters the decay period. Again, behavior suggests frontal occlusion as the 460 cyclone begins to weaken. Overestimation in MTPR is comparable to that in Figure 21 461 of 60%, but breaking analysis into periods of the cyclone lifecycle shows a greater un-462 derestimation of ERA5 MTPR compared to WindSat. This is most pronounced within 463 the warm sector of the cyclone, where maximum underestimation of 30% on Figure 21 464 increases to 70% on Figure 7. However, the absolute mean bias only increases slightly 465 from 28.4% to 29.6% throughout the cyclone lifecycle, where a decrease in the warm sec-466 tor underestimation is offset by an increase in overestimation elsewhere within the cy-467 clone composite. 468



**Figure 7.** Cyclone composites of of MTPR partitioned into the deepening, peak intensity, and decay regions regions for a - c) ERA5, d - f) WindSat and g - i) the difference between the two.

Despite differences seen across Figures 5, 6 and 7, ERA5 and WindSat show sim-469 ilar spatial structure in each variable. In order to provide a more quantitative compar-470 ison, Figure 8 shows the Pearson correlation coefficient (r) between the ERA5 and Wind-471 Sat spatial patterns, determined using a linear least-squares regression. Overall, ERA5 472 and WindSat display the best agreement within the deepening region with correlation 473 coefficients above 0.9. Agreement reduces in CLW and MTPR as the cyclone evolves, 474 with lower agreement in the peak intensity region and the lowest agreement within the 475 decay region. Comparing the TCWV composites shows a correlation coefficient of almost 476 1 across all regions, which is unsurprising given the largest differences between the two 477 are 5% and that there is assimilation of AMSR-E and other radiances which are sensi-478 tive to TCWV. CLW correlation is slightly poorer with weakest correlation during the 479 decay period of 0.93. Although still strong, MTPR correlation is the lowest of the three 480 variables examined with a correlation coefficient of 0.8 during the decay period. Corre-481 lation decreases moving from TWCV to CLW and MTPR, potentially indicating addi-482 tive biases in the parameterisation of rainfall generating processes within ERA5. 483

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**Figure 8.** The correlation coefficient between ERA5 and WindSat for the TCWV, CLW and MPTR variables across cyclone lifecycle using a linear least-squares regression. Correlation is determined spatially across each grid point in the cyclone composites.

#### 484 4 Discussion and Conclusion

ERA5 reanalysis output of 10m horizontal winds, total column water vapour, cloud liquid water, and mean total precipitation rate over the Southern Ocean are used to form cyclone composites to derive an integrated viewpoint of cyclone features. These composites are then compared with those derived from WindSat and AMSR-E radiometer measurements. Because WindSat is not assimilated into ERA5, it provides an independent measure of how well ERA5 represents cyclonic structure and cyclone evolution. AMSR-E radiances are assimilated into ERA5, but still provide a useful comparison.

A comparison between the mean horizontal wind speed cyclone composites calcu-492 lated from ERA5 output and from WindSat data displays very similar structures (Fig-493 ure 2a-b), but ERA5 shows slightly lower wind speeds in general compared to WindSat. 494 More detailed inspection of the zonal and meridional components of the wind shows that 495 the distributions between the ERA5 and WindSat data can be quite different, with ERA5 496 failing to fully reproduce the bimodal wind speed distribution displayed in WindSat (Sup-497 plementary Figure 1). This may provide evidence that small mesoscale features are not adequately simulated in the ERA5 reanalysis. Recent work, Priestley and Catto (2022). 499 applied the cyclone compositing methodology to CMIP6 and HighResMIP models com-500 pared to baseline composites produced using ERA5. They found that HighResMIP mod-501 els underestimated lower tropospheric winds compared to ERA5, although HighResMIP 502 compared better. Given that ERA5 displays lower winds than WindSat, these models 503 may have slightly larger issues with the representation of wind speed than identified in 504 that work. 505

Examination of the TCWV and CLW fields demonstrate that ERA5 manages to replicate the structure of the corresponding WindSat cyclone composites well. However, we also show that both TCWV and CLW is lower in ERA5 over almost the entire region of the composite, although the TCWV differences (up to 5%) are far smaller than those in the CLW (up to 40%). Analysis on Figure 5 and Figure 6 shows that the TCWV

spatial structure in WindSat and ERA5 show good correspondence with those for CLW. 511 This suggests that biases in the parameterisation of cloud are likely the driver of the large 512 differences in CLW relative to the differences in TCWV, despite the assimilation of ra-513 diances from AMSR-E which likely constrain both TCWV and CLW. These cloud bi-514 ases between ERA5 and WindSat would lead to variations between the two in the amount 515 of water vapour condensing into liquid droplets. Further comparison between ERA5 and 516 AMSR-E data on Figure 3 shows similar underestimates as identified with the Wind-517 Sat data. A good match between the two satellite datasets highlights the utility of the 518 WindSat dataset. 519

When comparing cyclone composites of the precipitation rate (Figure 2j-i), the biggest 520 differences of up to 60% occur slightly to the left of the cyclone centre, where ERA5 is 521 shown to have a greater maximum precipitation rate than WindSat. In part, these dif-522 ference occur because the peak precipitation in ERA5 is seen to be shifted further left com-523 pared to WindSat. However, these regions where ERA5 is overestimating MTPR com-524 pared to WindSat correspond to regions where it underestimates both CLW and TCWV. 525 These differences are also seen when comparing ERA5 with the AMSR-E dataset. Our 526 results agree with Naud et al. (2020), who found ERA-Interim and MERRA-2 overes-527 timate precipitation in the dry sector of the cyclones, and underestimate precipitation 528 in the warm sector of the cyclone. These biases appear to remain within the ERA5 re-529 analysis product, and points to possible continuing parameterisation issues within ERA5, 530 given the agreement between WindSat and the AMSR-E product. 531

When breaking TCWV, CLW and MTPR into stages of the cyclone lifecycle (Fig-532 ures 5, 6, 7), these biases remain, and strengthen in the case of CLW and MTPR across 533 534 the cyclone lifecycle. Although, for MTPR, a decrease in the underestimation of precipitation in the warm sector is offset by an increase in the overestimation of precipitation 535 elsewhere within the cyclone composite. The dry poleward region of the cyclone shows 536 the area of largest relative difference across all variables. The average bias increases slightly 537 over cyclone lifecycle for the TCWV and MTPR and decreases slightly for CLW. Our 538 results show that strongest rain rates occur in the deepening region before the cyclone 539 reaches its maximum strength. This provides observational support for the idea that the 540 release of latent heating associated with precipitation is an important contributor to the 541 intensification of cyclones (Wernli et al., 2002; Ludwig et al., 2014; Binder et al., 2016). 542 Booth et al. (2018) also found that maximum precipitation occurs before the cyclone reaches 543 peak intensity 70% of the time, as well as a weakening in the comma like structure of 544 precipitation through the cyclone lifecycles. This matches the structure seen on Figure 545 7, and suggests that ERA5 is adept at capturing the underlying changes in precipita-546 tion during the evolution of the cyclone. 547

In summary this study shows that ERA5 represents the near surface wind speeds 548 and total column water vapour of extra-tropical cyclones well. Representation of cloud 549 liquid water and precipitation rate is poorer; ERA5 underestimates cloud liquid water, 550 yet overestimates precipitation in the cold sectors of the cyclone. Warm sector precip-551 itation is also underestimated in ERA5 compared to WindSat. Despite biases seen in ERA5 552 compared to WindSat, both datasets show similar spatial structure across the cyclone 553 lifecycle for TCWV, CLW and MTPR. Quantifying this using a Pearson correlation shows 554 555 strong agreement between the two datasets, although agreement lessens during the decay period of the cyclone for CLW and MTPR. This suggests that ERA5 is adequately 556 determining cyclone structure across a range of cyclonic life stages and is valuable for 557 use in cyclone compositing analysis. 558

#### 559 Acknowledgments

The ERA5 reanalysis products were obtained from the Copernicus Climate Data Store

(https://cds.climate.copernicus.eu/). WindSat v7.0.1 was obtained from Remote Sens-

ing Systems (https://www.remss.com/missions/windsat/). Data used to visualise the

- figures is available at https://doi.org/10.5281/zenodo.7539490. We would also like to ac-
- knowledge funding from the Ministry of Business, Innovation and Employment (MBIE),
- <sup>565</sup> New Zealand, through the Whakahura project.

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<sup>2018</sup>GL079071

Figure 1.





Densi

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200 400 600 800 10001200140016001800200022002400260028003000 Cyclone radius (km)

Figure 2.





Figure 3.



Figure 4.



Figure 5.



g. deepening difference (3.1% absolute mean bias)



h. peak intensity difference (3.1% absolute mean bias)







- 18

16

t Water vapour (mm/hr)

12

- 10

Figure 6.













Figure 7.











Figure 8.

