

# Assessing Outcomes in Stratospheric Aerosol Injection Scenarios Shortly After Deployment

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## Abstract

Current global actions to reduce greenhouse gas emissions are very likely to be insufficient to meet the climate targets outlined under the Paris Agreement. This motivates research on possible methods for intervening in the Earth system to minimize climate risk while decarbonization efforts continue. One such hypothetical climate intervention is stratospheric aerosol injection (SAI), where reflective particles would be released into the stratosphere to cool the planet by reducing solar insolation. The climate response to SAI is not well understood, particularly on short-term time horizons frequently used by decision makers and planning practitioners to assess climate information. This knowledge gap limits informed discussion of SAI outside the scientific community. We demonstrate two framings to explore the climate response in the decade after SAI deployment in modeling experiments with parallel SAI and no-SAI simulations. The first framing, which we call a snapshot around deployment, displays change over time within the SAI scenarios and applies to the question “What happens before and after SAI is deployed in the model?” The second framing, the intervention impact, displays the difference between the SAI and no-SAI simulations, corresponding to the question “What is the impact of a given intervention relative to climate change with no intervention?” We apply these framings to annual mean 2-meter temperature, precipitation, and a precipitation extreme in the first two experiments to use large ensembles of Earth system models that comprehensively represent both the SAI injection process and climate response, and connect these results to implications for other climate variables.

# Supporting Information for “Assessing Outcomes in Stratospheric Aerosol Injection Scenarios Shortly After Deployment”

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## Contents of this file

1. Text S1 to S2
2. Figures S1 to S6

**Introduction** This document contains additional useful information accompanying “Assessing Outcomes in Stratospheric Aerosol Injection Scenarios Shortly After Deployment.” Supporting Information Text S1 concerns robustness: here, we discuss the binomial test for statistical significance, and provide a detailed description of the algorithm to calculate robustness. Supporting Information Text S2 describes the archive of bonus annual mean 2m temperature, annual mean precipitation, and simple intensity index timeseries provided for all IPCC-defined regions (van Oldenborgh et al., 2013). Finally, Figures S1 to S6 are supporting figures referenced in the paper.

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**Text S1. Robustness** Our thresholds for robustness fall outside the 90% confidence bounds of the distribution expected by chance: that is, when robustness is calculated on 10,000 pairs of random vectors of length 21 (mimicking GLENS) and 10 (mimicking ARISE) sampled from a uniform distribution (Monte Carlo approach). These thresholds can be formally mapped to statistical significance using a binomial test. As applied here, the binomial test null hypothesis is that a nearly-equal number of SAI and no-SAI members will exceed and subceed each other. The binomial test calculates the probability of a given number of successes by applying the binomial distribution, which has three parameters: the probability of the null  $q$ , the number of tests  $n$ , and the value of interest  $x$  (Equation 1). The probability of a given number of “successes” is the probability of obtaining any given value of robustness.

$$P(x) = \frac{n!}{x!(n-x)!} q^x (1-q)^{(n-x)} \quad (1)$$

$q$  is the probability of the null. In the default binomial distribution,  $q = 0.5$ ; for robustness, this is weighted by the choice of  $B$  to not be a fair “coin toss.” Rather,  $q = \frac{Z-B}{Z}$  where  $Z$  denotes the size of the ensemble.  $n$  is the number of ensemble members. Finally,  $x$  is the number of successes for which the probability is computed. Using the binomial test, the p-value can be calculated as  $P(x \geq 15)$  with  $q \approx 0.48$  and  $n = 21$  for GLENS, and  $P(x \geq 7)$  with  $q = 0.4$  and  $n = 10$  for ARISE. For GLENS, the threshold corresponds to a p-value of  $p = 0.02$ ; for ARISE,  $p = 0.05$ . We had chosen a significance threshold of  $p < 0.1$ ; thus, our robustness threshold corresponds to standard values of statistical significance. We prefer to discuss robustness in terms of the percent of the distribution expected by chance that the threshold falls outside. We believe this is the most intuitive way to understand

the information that robustness conveys about the consistency of a response. The above discussion shows robustness satisfies statistical significance conventions, as well.

We now detail the algorithm to calculate robustness. In the code repository accompanying this work (Hueholt, 2022, doi.org/10.17605/OSF.IO/5A2ZF), robustness is implemented in the module `fun_robustness.py`.

1. Choose the time period of interest. We define this time period as (2025-2029) for GLENS and (2040-2044) for ARISE, corresponding to the time horizon of 10 years after SAI deployment which we focus on in our work.

2. The user defines the threshold value  $B$ . A given SAI member must exceed or subceed this number of no-SAI members to be counted as a robust signal. We set  $B$  equal to 11 for GLENS and 6 for ARISE given their differing ensemble sizes.

3. Choose a realization from the SAI ensemble.

4. Compare the mean value of the given SAI member during the time period to the mean values from the corresponding time period of every no-SAI member. Calculate the number of no-SAI realizations that the SAI member exceeds and subceeds. These two numbers are retained as  $G_{exceed}$  and  $G_{subceed}$ .

5. Repeat steps 3 and 4 for each SAI member. In this way, each SAI member will be compared to every no-SAI realization.

6. The number of SAI realizations surpassing  $B$  is summed for each of  $G_{exceed}$  and  $G_{subceed}$  ensuring both negative- and positive-signed forced responses from SAI can be captured. Mathematically, this describes the calculation of  $\left\{ \mathfrak{n} \left( r_{S_{\theta, \phi_{rz}}} > \overline{\tilde{S}_{\theta, \phi_{r\{B\}}}} \right), \mathfrak{n} \left( r_{S_{\theta, \phi_{rz}}} < \overline{\tilde{S}_{\theta, \phi_{r\{B\}}}} \right) \right\}$ ,  $\forall z \in \{0, \dots, Z\}$  in Equation 1 in Section 2.3 of the accompanying paper.

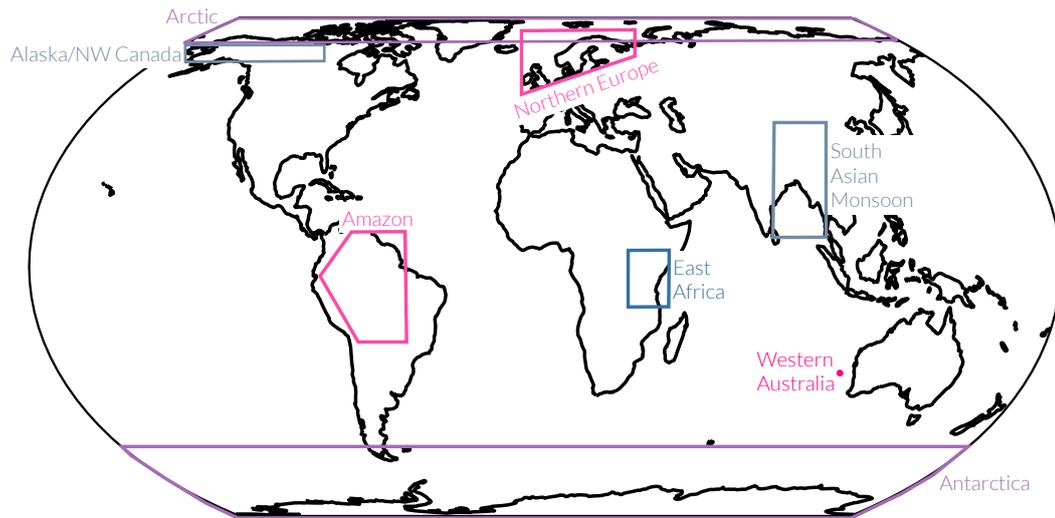
7. The maximum of the two numbers from the previous step is the robustness  $\rho$  at the given grid point and time period. If the robustness at a point is greater than or equal to 15 members (GLENS) or 7 members (ARISE), we consider the point to be “robust.” These thresholds correspond to values that fall outside the 90% confidence bounds of the distribution expected by chance, i.e. when this calculation is completed for 10,000 pairs of vectors randomly sampled from a uniform distribution.

8. The calculation is repeated for every grid point to generate a map of robustness.

**Text S2. Archive of Timeseries.** In order to comprehensively illustrate the regional climate response in GLENS and ARISE, we provide timeseries for annual 2m temperature, annual precipitation, and the simple intensity index at all IPCC WG1-AR5 regions. These figures are located at the following archive: [doi.org/10.17605/OSF.IO/5A2ZF](https://doi.org/10.17605/OSF.IO/5A2ZF). This archive also contains the code and data necessary for reproducing the figures and results discussed in the paper.

## References

- Hueholt, D. (2022). *PAPER - Assessing Outcomes in Stratospheric Aerosol Injection Scenarios Shortly After Deployment*. Retrieved 2022-12-16, from <https://osf.io/5a2zf/> (Publisher: OSF)
- van Oldenborgh, G. J., Collins, M., Arblaster, J., Christensen, J. H., Marotzke, J., Power, S. B., ... Zhou, T. (2013). Annex I: Atlas of Global and Regional Climate Projections. In T. F. Stocker et al. (Eds.), (pp. 1311–1393). Cambridge, United Kingdom: Cambridge University Press. Retrieved 2022-05-19, from <https://www.ipcc.ch/report/ar5/wg1/> (Num Pages: 84)



**Figure S1.** Regions used for panels in Figure 2. Definitions are from IPCC (2013), except for East Africa (Ayugi et al. 2021), South Asian Monsoon (Geen et al. 2020), and Western Australia (Hobday et al. 2016).

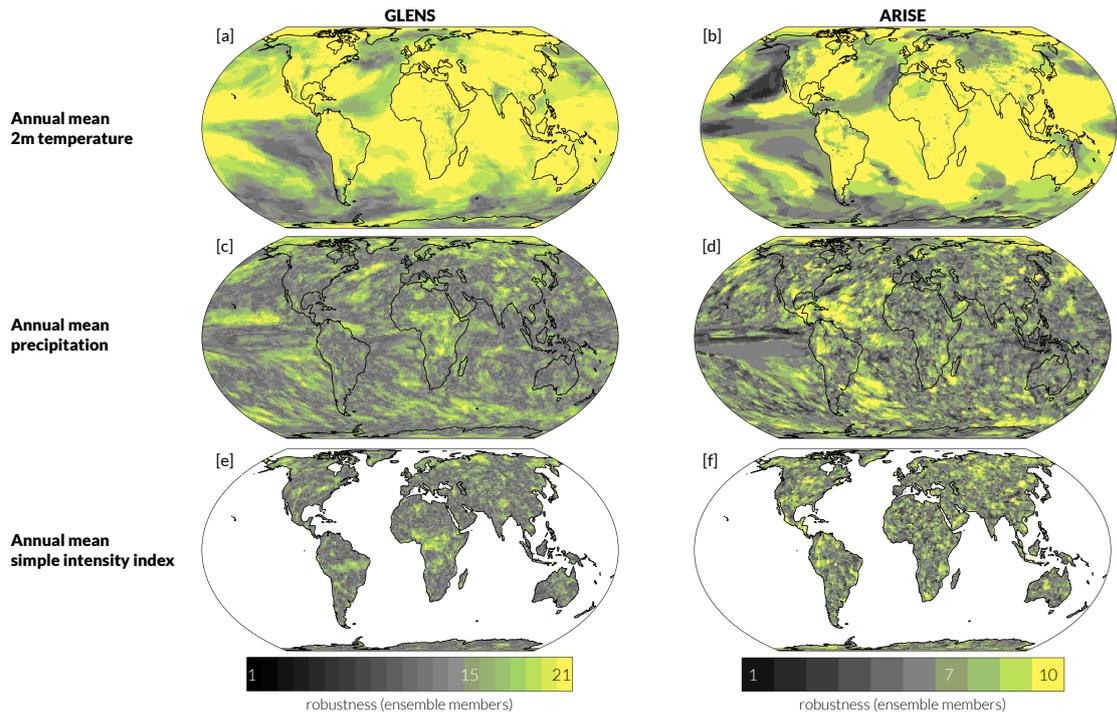


Figure S2. Robustness of data shown in Figures 3-8.

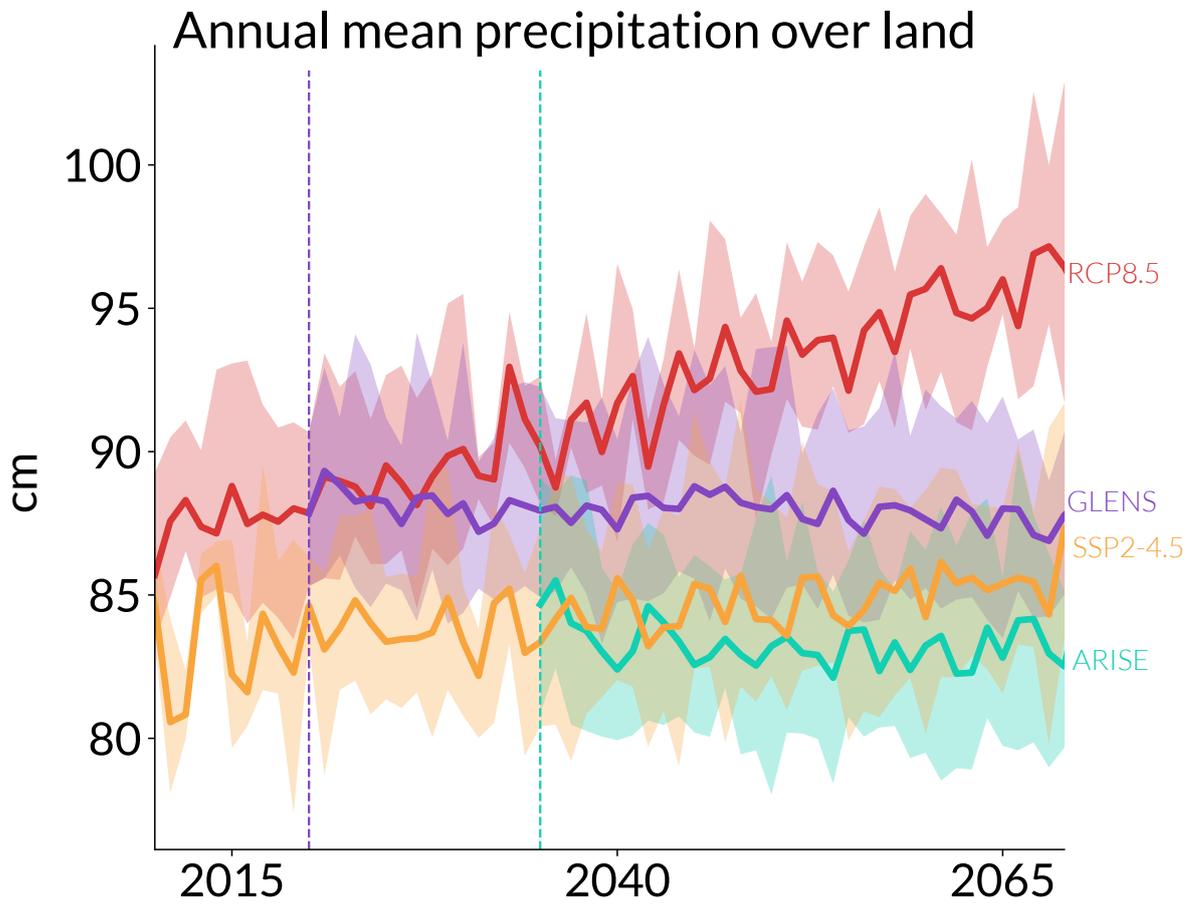
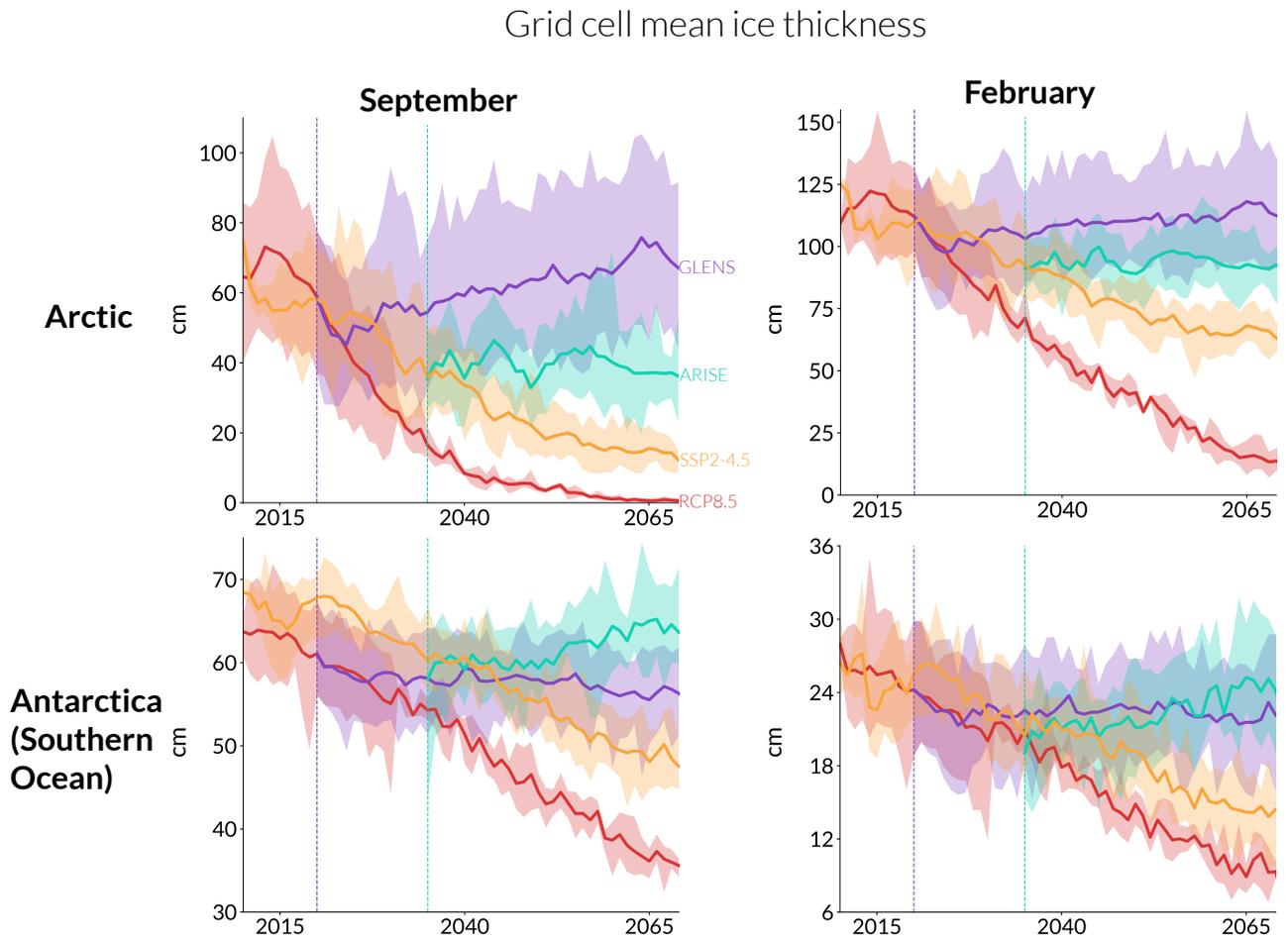
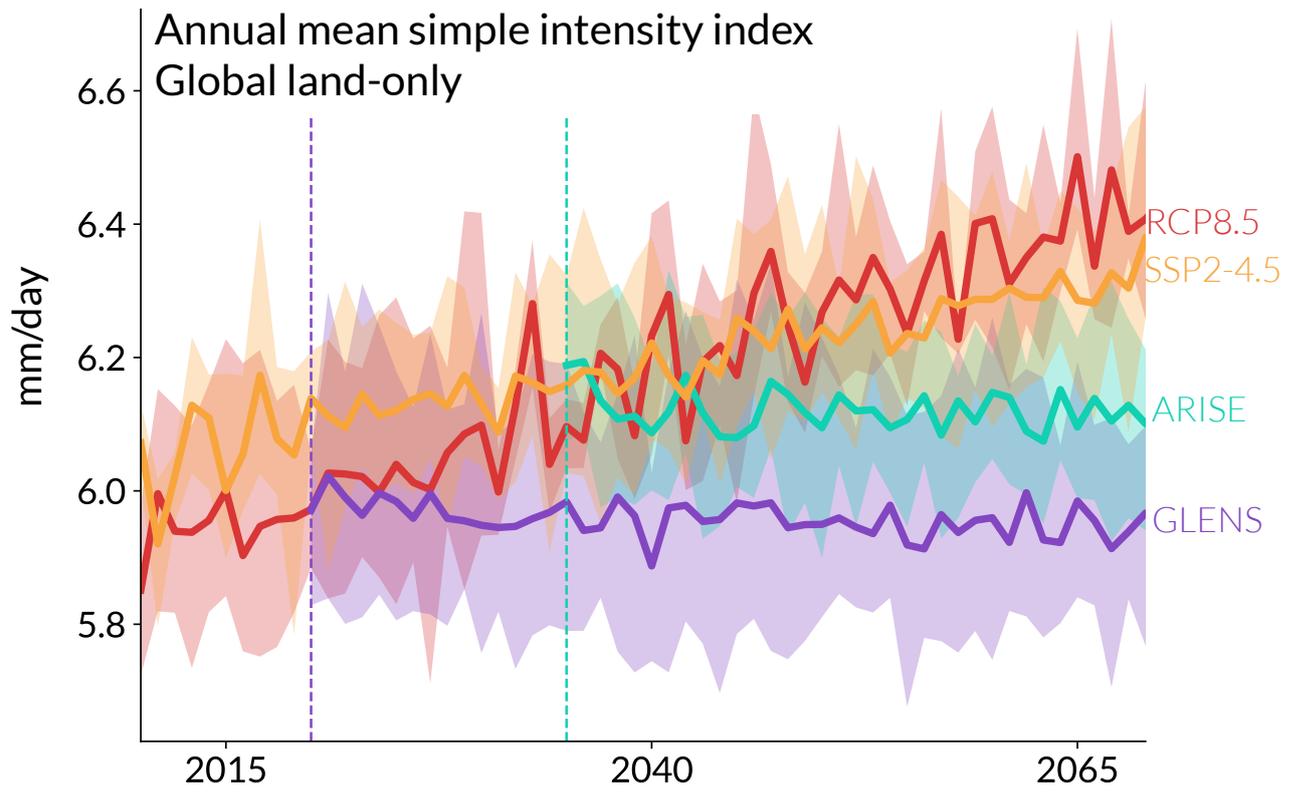


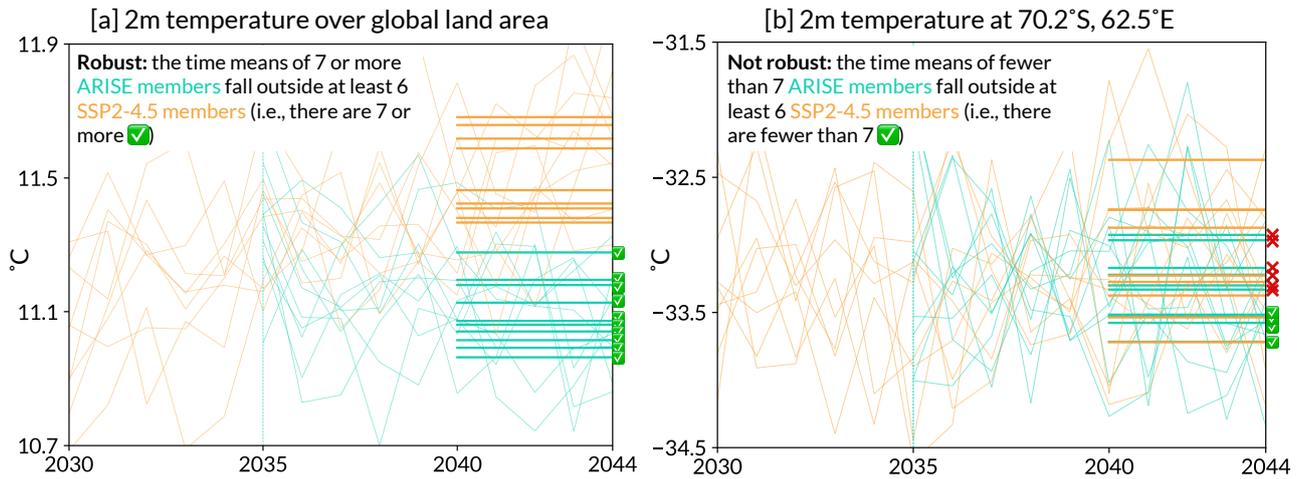
Figure S3. Timeseries of annual mean precipitation over land only in GLENS and ARISE.



**Figure S4.** Timeseries of grid cell mean sea ice thickness in GLENS and ARISE.



**Figure S5.** Timeseries of the simple intensity index over land only in GLENS and ARISE.



**Figure S6.** Examples of robust (panel a) and not robust (panel b) timeseries shown for ARISE 2m temperature data. Horizontal bars show the value of the 5-year time means used to compare robustness. Thin solid lines show the timeseries for each ensemble member. Green checkmarks mark each ARISE ensemble member which equals or exceeds the user-defined threshold  $B$  number of no-SAI members; red X symbols mark those which do not. The vertical dashed line denotes the year when SAI is deployed in the model.

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## Key Points:

- We demonstrate two ways to frame results from modeling experiments with parallel SAI and no-SAI large ensembles
- SAI deployment could minimize changes in many high-impact climate variables across spatial scales on policy-relevant time horizons
- Results are scenario- and model-dependent so consistency among different SAI simulations does not imply truth for any general SAI deployment

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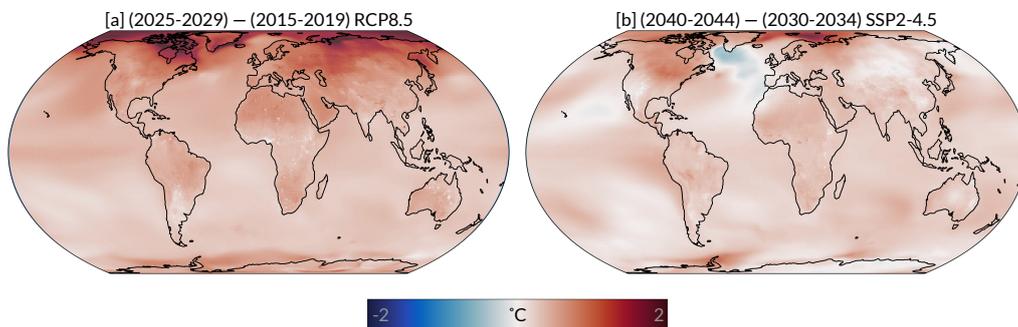
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## 15 Abstract

16 Stratospheric aerosol injection (SAI) is a proposed form of climate intervention that would  
 17 release reflective particles into the stratosphere, thereby reducing solar insolation and  
 18 cooling the planet. The climate response to SAI is not well understood, particularly on  
 19 short-term time horizons frequently used by decision makers and planning practition-  
 20 ers to assess climate information. We demonstrate two framings to explore the climate  
 21 response in the decade after SAI deployment in modeling experiments with parallel SAI  
 22 and no-SAI simulations. The first framing, which we call a snapshot around deployment,  
 23 displays change over time within the SAI scenarios and applies to the question “What  
 24 happens before and after SAI is deployed in the model?” The second framing, the in-  
 25 tervention impact, displays the difference between the SAI and no-SAI simulations, cor-  
 26 responding to the question “What is the impact of a given intervention relative to cli-  
 27 mate change with no intervention?” We apply these framings to annual mean 2-meter  
 28 temperature, precipitation, and a precipitation extreme during the 10 years after deploy-  
 29 ment in two large ensembles of Earth system model simulations that comprehensively  
 30 represent both the SAI injection process and climate response, and connect these results  
 31 to implications for other climate variables. We show that SAI deployment robustly re-  
 32 duces changes in many high-impact climate variables even on these short timescales where  
 33 the forced response is relatively small, but that details of the climate response depend  
 34 on the model version, greenhouse gas emissions scenario, and other aspects of the exper-  
 35 imental design.

## 36 1 Introduction

37 Despite global pledges and ongoing actions to reduce greenhouse gas emissions, warm-  
 38 ing from anthropogenic climate change is expected to far exceed the targets set under  
 39 the Paris Agreement (Matthews & Wynes, 2022). On mid-century time horizons, sub-  
 40 stantial increases in global temperature are expected to occur both in no-mitigation path-  
 41 ways (Figure 1a) and in more plausible scenarios with moderate mitigation (Figure 1b)  
 42 (Hausfather & Peters, 2020). Near-term climate risk includes severe impacts to vulner-  
 43 able communities already disproportionately affected by climate change (e.g., Shearer,  
 44 2012; Carr, 2016), as well as terrestrial and marine ecosystems (e.g., Frieler et al., 2013;  
 45 Panetta et al., 2018; Abatzoglou et al., 2021). Poorly-understood feedbacks involving parts  
 46 of the Earth system such as clouds, ice, and ecology may worsen climate change or its  
 47 impacts beyond what is anticipated in current models (e.g. Swann et al., 2010; Genet  
 48 et al., 2013; Bjordal et al., 2020; King et al., 2020; Gatti et al., 2021; Boulton et al., 2022).



**Figure 1.** Ensemble mean annual mean 2m temperature change between the snapshot periods in the no-SAI scenarios: RCP8.5 [a] and SSP2-4.5 [b]. See Section 2.1 for description of modeling experiments.

49 These realities of unavoidable anthropogenic climate change have motivated the  
50 study of climate intervention, broadly defined as possible methods to reduce climate risk  
51 and impacts through deliberate intervention in the Earth system (The Royal Society, 2009).  
52 Stratospheric aerosol injection (SAI) is one proposed climate intervention to release re-  
53 flective aerosol particles into the upper atmosphere, where the particles would reflect some  
54 of the incoming solar radiation and decrease global mean temperature. SAI is inspired  
55 by processes that occur naturally after volcanic eruptions and large wildfires (e.g. Timm-  
56 reck, 2012; Das et al., 2021). SAI does not reduce greenhouse gas emissions, which are  
57 the root cause of anthropogenic climate change, but may complement climate change mit-  
58 igation by reducing climate risk while emissions reductions and carbon removal technolo-  
59 gies are implemented (Long & Shepherd, 2014; Buck, 2022). The United States National  
60 Academies of Sciences, Engineering, and Medicine (NASEM) have called for the estab-  
61 lishment of a transdisciplinary research program on SAI and other proposed solar cli-  
62 mate intervention techniques to support informed discussion of these methods (NASEM,  
63 2021).

64 Global and regional climate responses to SAI are not well understood on the short  
65 time horizons of 10 years or fewer often used by decision makers and planning practi-  
66 tioners to assess climate information (e.g., Bolson et al., 2013; DePolt, 2021; Pearman  
67 & Cravens, 2022). Previous SAI modeling experiments have provided useful insights into  
68 general implications of the intervention, such as the potential for SAI to reduce global  
69 mean temperature, the inability of SAI to counteract impacts linked directly to CO<sub>2</sub> con-  
70 centration, and the risk of rapid climate change if SAI is stopped (“termination shock”)  
71 (e.g., Rasch et al., 2008; Tilmes et al., 2009; Jones et al., 2013; Bony et al., 2013; Kwiatkowski  
72 et al., 2015; Trisos et al., 2018). Many of these experiments (e.g., the Geoengineering Model  
73 Intercomparison Project; Kravitz et al. (2011)) have relied on models with limited rep-  
74 resentations of relevant Earth system processes including atmospheric chemistry, strato-  
75 spheric dynamics, and aerosol microphysics (e.g., McCusker et al., 2015; Quaglia et al.,  
76 2023). Many of the SAI scenarios in these experiments are implemented in highly ide-  
77 alized ways, such as by prescribing the aerosol optical depth fields or reducing the model  
78 solar constant (Kravitz et al., 2011), which can produce a very distinct climate response  
79 from when SAI is more realistically represented with interactive aerosols (Ferraro et al.,  
80 2015; Vioni et al., 2021; Bednarz et al., 2022). These limitations leave large gaps in sci-  
81 entific knowledge of the climate response to SAI scenarios on spatiotemporal scales rel-  
82 evant to policymakers, planning practitioners, and questions of how SAI would affect cli-  
83 mate risk inequality (Buck et al., 2014; NASEM, 2021; Pearman & Cravens, 2022).

84 The Geoengineering Large Ensemble (GLENS, Tilmes, Richter, Kravitz, et al. (2018))  
85 and Assessing Responses and Impacts of Solar climate intervention on the Earth system  
86 with stratospheric aerosols (ARISE-SAI-1.5, J. H. Richter et al. (2022)) projects are the  
87 first large ensembles of Earth system model simulations that comprehensively represent  
88 processes most important to realistically portray SAI and employ strategically-placed  
89 SAI to meet specific intervention goals. GLENS and ARISE-SAI-1.5 each contain par-  
90 allel ensemble simulations: one following a climate change trajectory with no SAI, and  
91 one where SAI is deployed. This design helps separate the forced response to SAI from  
92 the influence of climate change and internal variability.

93 The parallel simulations in GLENS and ARISE-SAI-1.5 provide extensive insight  
94 into the climate response to SAI. We propose two framings that utilize these parallel sim-  
95 ulations to efficiently display multiple perspectives on the climate response to SAI. The  
96 first, which we call a snapshot around deployment, displays the change over time within  
97 the SAI simulations. This corresponds to the question, “What happens before and af-  
98 ter SAI is deployed in the model?” The second, the intervention impact, describes the  
99 difference between the SAI and no-SAI simulations. This addresses the question, “What  
100 is the impact of a given intervention relative to climate change with no intervention?”

101 We apply these two framings to explore the climate response to SAI in the decade after  
102 SAI is deployed in GLENS and ARISE-SAI-1.5. Using our two framings together  
103 allows us to explore both the tangible climate response through the snapshot around de-  
104 ployment and place these changes in context to climate change with no SAI with the in-  
105 tervention impact. We apply our framings to annual mean 2m temperature, annual mean  
106 precipitation, and the simple intensity index (a measure of extreme precipitation), and  
107 connect these results to global and regional impacts on an assortment of other climate  
108 variables selected for their familiarity in Earth science and importance for human and  
109 ecological impacts. We discuss commonalities and differences between the climate responses  
110 in the GLENS and ARISE-SAI-1.5 scenarios of SAI deployment.

111 Our work addresses the goals of NASEM (2021) to “advance knowledge relevant  
112 to decision making” and “develop policy-relevant knowledge.” Consistent with this NASEM  
113 report and the broader social science literature, we explicitly distinguish our goals from  
114 research on the practical deployment of SAI about which critical ethical and governance  
115 concerns exist (Burns et al., 2016; NASEM, 2021). We intend our study simply to sup-  
116 port the informed discussion of the potential risks and benefits of SAI.

## 117 2 Data and Methods

### 118 2.1 Description of Simulations

119 We use model output from the GLENS (Tilmes, Richter, Kravitz, et al., 2018) and  
120 ARISE-SAI-1.5 (hereafter ARISE, (J. H. Richter et al., 2022)) experiments to explore  
121 the climate response to SAI. These are large ensembles of SAI modeling experiments per-  
122 formed in fully-interactive Earth system models, with strategically-placed SAI to meet  
123 specific temperature targets. We summarize key aspects of the design of GLENS and ARISE,  
124 and refer readers to Tilmes, Richter, Kravitz, et al. (2018) and J. H. Richter et al. (2022)  
125 for more comprehensive descriptions of the experiments. GLENS and ARISE both em-  
126 ploy the Community Earth System Model (CESM) with the Whole Atmosphere Com-  
127 munity Climate Model (WACCM) as its atmospheric component, albeit different ver-  
128 sions of the model that will be described shortly. WACCM includes 70 vertical layers  
129 (model top 140km) to explicitly simulate the stratosphere and lower mesosphere, and  
130 uses a  $1.25^\circ$  longitude x  $0.9^\circ$  latitude horizontal resolution. The representation of pro-  
131 cesses thought to be most important for SAI and its climate response, including strato-  
132 spheric dynamics, heterogeneous chemistry, and aerosol production, show good agree-  
133 ment with observations of the mean state and anomalous conditions under volcanic aerosol  
134 loading (M. J. Mills et al., 2017; J. H. Richter et al., 2017; Gettelman et al., 2019).

135 Each of the two experiments contains two parallel ensemble simulations: one fol-  
136 lowing a future greenhouse gas forcing scenario with no SAI, and one where SAI is also  
137 deployed. A proportional-integral feedback-control algorithm (known as the “controller”)  
138 annually adjusts the amount of sulfur dioxide continuously released at four latitudes ( $30^\circ$   
139 and  $15^\circ$  N/S, all at  $180^\circ$ E) intended to maintain global mean temperature, the pole-to-  
140 pole temperature gradient, and the pole-to-equator temperature gradient at some spec-  
141 ified target value (MacMartin et al., 2014; Kravitz et al., 2017; MacMartin et al., 2017;  
142 MacMartin & Kravitz, 2019). These targets aim to ensure planetary circulations under  
143 SAI change less than if only global mean temperature were to be targeted (Tilmes, Richter,  
144 Kravitz, et al., 2018; Visioni et al., 2021; Cheng et al., 2022).

145 GLENS and ARISE each portray a unique intervention scenario where SAI is de-  
146 ployed to maintain specific goals against a particular greenhouse gas forcing. GLENS  
147 uses a no-mitigation emissions trajectory (Representative Concentration Pathway [RCP]  
148 8.5; van Vuuren et al. (2011)) with SAI deployed to maintain a global mean tempera-  
149 ture target near 2020 values (Tilmes, Richter, Kravitz, et al., 2018). This yields a large  
150 signal-to-noise ratio useful to isolate the forced response to the SAI intervention over time.

**Table 1.** Contrasts key aspects of the experimental design of GLENS and ARISE. Compiled from Tilmes, Richter, Kravitz, et al. (2018) and J. H. Richter et al. (2022).

	GLENS	ARISE
Model version	CESM1(WACCM5)	CESM2(WACCM6)
Ensemble size (SAI)	21 members 2020-2099	10 members 2035-2069
Ensemble size (no-SAI)	21 members 2010-2030, 3 members 2010-2097	5 members 2015-2069, 5 members 2015-2100
Forcing scenario	RCP8.5: No mitigation	SSP2-4.5: Moderate mitigation
Global mean surface temperature target	2015-2024 average of first 13 RCP8.5 members ( $\approx 1.1^\circ\text{C}$ above IPCC (2021) preindustrial)	2020-2039 average of first 5 SSP2-4.5 members ( $\approx 1.5^\circ\text{C}$ above IPCC (2021) preindustrial)
Temperature gradient targets	2010-2030 mean	2020-2039 mean
SAI deployment year	2020	2035
Injection height	$\approx 25$ km	$\approx 21$ km

151 ARISE is run with a moderate-mitigation scenario (Shared Socioeconomic Pathway [SSP]  
 152 2-4.5; Riahi et al. (2017)) and a temperature target of approximately  $1.5^\circ\text{C}$  above the  
 153 IPCC AR6 pre-industrial definition (J. H. Richter et al., 2022; IPCC, 2021). ARISE il-  
 154 lustrates one plausible future where the use of SAI complements current mitigation strate-  
 155 gies to achieve Paris Agreement goals (J. H. Richter et al., 2022).

156 There are several differences between the experimental design of GLENS and ARISE.  
 157 We summarize these in Table 1, and provide details on their implications here.

- 158 **1. The two experiments use different model versions:** GLENS uses CESM1(WACCM5)  
 159 while ARISE uses CESM2(WACCM6). Thus, GLENS and ARISE exhibit differ-  
 160 ent spatial patterns of the forced response due to model dependencies, particu-  
 161 larly the depiction of subtropical and Southern Ocean low clouds (Gettelman et  
 162 al., 2019; Fasullo & Richter, 2023). CESM1(WACCM5) is described by Hurrell  
 163 et al. (2013) and M. J. Mills et al. (2017), and CESM2(WACCM6) by Danabasoglu  
 164 et al. (2020) and Gettelman et al. (2019).
- 165 **2. The two experiments have different forcing scenarios:** GLENS uses RCP8.5  
 166 while ARISE uses SSP2-4.5, which yields distinct spatial patterns of the forced  
 167 response. RCP8.5 and SSP2-4.5 differ in many ways that affect these spatial pat-  
 168 terns, including the depiction of land use and aerosol emissions, but the primary  
 169 influence is the different  $\text{CO}_2$  concentration which operates through a direct ef-  
 170 fect on clouds and precipitation (e.g., Sherwood et al., 2015; Rugenstein et al., 2016;  
 171 Fasullo & Richter, 2023). These scenario dependencies are largest in the mid-latitudes  
 172 and subtropics (Fasullo & Richter, 2023).
- 173 **3. The GLENS temperature target is the 2015-2024 mean in the RCP8.5**  
 174 **simulations (Tilmes, Richter, Kravitz, et al., 2018), while the ARISE**  
 175 **target is the 2020-2039 mean in the SSP2-4.5 simulations (J. H. Richter**  
 176 **et al., 2022); SAI is deployed in 2020 in GLENS and 2035 in ARISE.**  
 177 The effect of differences in temperature target and deployment year are not yet  
 178 well understood. Targeted modeling experiments to provide insight into these pa-  
 179 rameters have recently been completed (MacMartin et al., 2022). Due to differ-  
 180 ences in model physics and definitions of the preindustrial baseline, it is more pre-  
 181 cise to discuss temperature targets in terms of the time averages implemented in

each experiment. For additional context, we note these global mean temperature targets correspond to approximately  $1.1^{\circ}\text{C}$  above preindustrial in GLENS and  $1.5^{\circ}\text{C}$  in ARISE when the IPCC AR6 definition is used (IPCC, 2021).

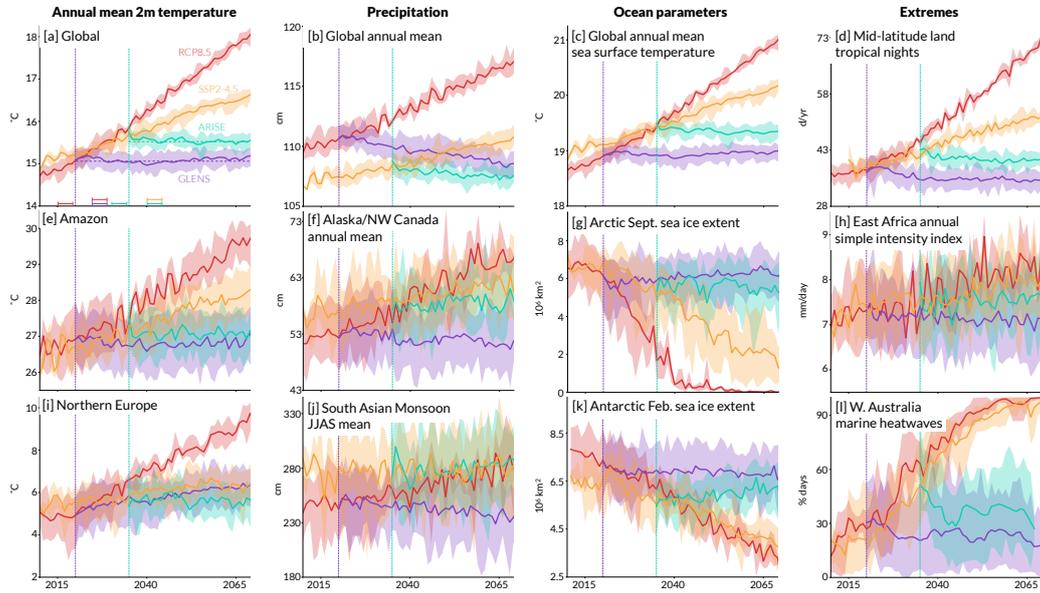
4. **The method of generating ensemble spread is different between GLENS and ARISE.** The ocean state in every member of GLENS is branched off the first member of the CESM Large Ensemble (Kay et al., 2015) in which the Atlantic Meridional Overturning Circulation (AMOC) is strengthening. Hence, each realization of GLENS begins with a strengthening state of the AMOC and ensemble spread is generated only through differing atmospheric initial conditions. On the decadal time horizons we emphasize in this study, the memory of these ocean initial conditions has not fully dispersed (Tilmes, Richter, Kravitz, et al., 2018; Fasullo et al., 2018). This increases oceanic heat transport into the North Atlantic and influences European climate (Fasullo et al., 2018). Longer-term trends in AMOC strength may be caused by changes in precipitation that impact salinity and temperature gradients in the ocean (Fasullo & Richter, 2023). In contrast, the ocean states from five separate SSP2-4.5 simulations dispersed over multiple decades are used in addition to differing atmospheric initial conditions to generate ensemble spread in ARISE (J. H. Richter et al., 2022). Thus, ARISE samples ocean internal variability more widely than GLENS.
5. **Aerosol is injected at lower altitudes in GLENS ( $\approx 25$  km) than in ARISE ( $\approx 21$  km).** Injection height affects stratospheric chemistry, but has few other effects on the climate as long as the altitude is above the tropopause (Tilmes, Richter, Mills, et al., 2018; Y. Zhang et al., 2022). Injection heights near 20 km are consistent with near-future aerospace technology (D'Oliveira et al., 2016; Moriyama et al., 2017; W. Smith et al., 2022).

Because the controller maintains meridional temperature gradient targets under different spatial patterns of the forced response between GLENS and ARISE, it injects aerosol in disparate latitudinal amounts throughout each experiment. This leads to distinct global distributions of aerosol optical depth (Figure 1 in Fasullo and Richter (2023)) with corresponding differences in the regional climate response. These model and scenario dependencies imply that the results of GLENS and ARISE are specific to these scenarios; consistency of a result between the simulations does not imply increased confidence that the result is true of any general SAI deployment. Thus, GLENS and ARISE are best compared to illuminate the differences in the climate response produced by two SAI scenarios simulated in physically comprehensive models.

## 2.2 Analysis Metrics

We use the five years prior to SAI deployment (2015-2019 in GLENS, 2030-2034 in ARISE) as pre-intervention reference periods, and the five-year period beginning five years after deployment (2025-2029 in GLENS, 2040-2044 in ARISE) as a post-intervention reference period while remaining close to the deployment year. The ensemble sizes of the GLENS and ARISE experiments (Table 1) increase the number of years available for analysis, allowing us to average over many realizations of relatively short spans of time (Deser et al., 2012; Maher et al., 2021; Tebaldi et al., 2021). We develop two framings to investigate the climate response to SAI. Our first framing, which we call a snapshot around deployment, depicts change over time within the SAI experiments: the difference between 2025-2029 and 2015-2019 in GLENS, and 2040-2044 and 2030-2034 in ARISE. This can be phrased as answering the question: “What happens before and after SAI is deployed in the model?” Our second framing, the intervention impact, is the SAI and no-SAI difference for the 2025-2029 period in GLENS and the 2040-2044 period in ARISE. This can be expressed as answering the question: “What is the impact of a given intervention relative to climate change with no intervention?” This was inspired by the “world avoided” perspective used to study the Montreal Protocol (e.g., Morgenstern et al., 2008).

234 We structure our framings to focus on the short-term climate responses occurring  
 235 in the first 10 years after SAI deployment. This near-term timeframe has been analyzed  
 236 with respect to the atmospheric dynamical response to SAI (e.g., Tilmes et al., 2017; H. Richter  
 237 Jadwiga et al., 2018), but has seen little exploration with respect to climate impacts. Pol-  
 238 icymakers and planning practitioners often assess climate information on time horizons  
 239 of 10 years or fewer (e.g., Bolson et al., 2013; DePolt, 2021; Pearman & Cravens, 2022;  
 240 Keys et al., 2022). Thus, we portray our results consistently with how information could  
 241 hypothetically be used for decisions about SAI deployment, governance, and evaluation.  
 242 The signal-to-noise ratio of the forced response to SAI is smaller on this time horizon  
 243 than when trends are calculated over a longer span of time. We use timeseries (Figure  
 244 2) to complement ensemble mean global maps of our two framings (Figures 3-8). These  
 245 allow us to display the longer-term evolution of a variable and emphasize the contribu-  
 246 tion of internal variability for a specific region. The longer-term evolution may be dif-  
 247 ferent from the short-term response, and helps place our work in context to previous re-  
 248 sults in the literature. We show timeseries for 2010-2069 to span the period where out-  
 249 put from both GLENS and ARISE are available. We use the CESM2(WACCM6) His-  
 250 torical simulations (Danabasoglu et al., 2020) to supplement the period 2010-2014 be-  
 fore the ARISE no-SAI simulations begin.



**Figure 2.** Timeseries of selected climate variables in the GLENS and ARISE experiments. Bold line shows the ensemble mean for each scenario; shading illustrates the range of the ensemble members given by the maximum and minimum values at each year. The vertical dotted lines mark the deployment of SAI in 2020 (GLENS) and 2035 (ARISE). Horizontal dashed lines in Figure 2a denote the global mean temperature target in GLENS and ARISE. Brackets in Figure 2a highlight the time periods used to define the snapshot around deployment and intervention impact. See Methods for detailed descriptions of variables and regions.

251

252 As an Earth system model, CESM provides a breadth of model output including  
 253 variables that represent the atmosphere, ocean, land surface, and ecology. This allows  
 254 for many aspects of the Earth system response to SAI to be assessed holistically. We ex-  
 255 amined a wide variety of variables in developing this paper. Here, we present a subset  
 256 that are familiar in climate science, have links to human impacts, and whose represen-

257 tation in CESM has been evaluated against observations (Hurrell et al., 2013; Danaba-  
258 soglu et al., 2020; Fasullo, 2020). We describe our selected variables below.

259 **Surface temperature:** We calculate annual mean 2-meter temperature from monthly  
260 output. For temperature and all other variables, we define regions following the IPCC  
261 Working Group 1 Fifth Assessment Report Annex (van Oldenborgh et al., 2013), except  
262 when specified otherwise. We illustrate the regions we discuss in this work in Figure S1.  
263 In addition to the regions highlighted in Figure 2, we provide timeseries of 2-meter an-  
264 nual mean temperature for all IPCC-defined regions in the archive linked in Support-  
265 ing Information Text S2.

266 **Tropical nights:** We use tropical nights from the World Climate Research Pro-  
267 gram’s Expert Team on Climate Change Detection and Indices (ETCCDI) set of extreme  
268 indices as an example of a temperature extreme. Tropical nights is the annual count of  
269 days where minimum temperature exceeds 20°C (68°F) (X. Zhang et al., 2011). High  
270 nighttime temperatures increase mortality, particularly in urban areas without widespread  
271 air conditioning (Buechley et al., 1972; Sillmann & Roeckner, 2008; Laaidi et al., 2012;  
272 Rathi et al., 2021). We calculate tropical nights from daily minimum temperature us-  
273 ing Pycлимdex (Groenke, 2022). Tye et al. (2022) comprehensively explore ETCCDI ex-  
274 tremes in GLENS; no such assessment has been completed for ARISE.

275 **Sea surface temperature (SST):** We calculate annual mean SST from monthly  
276 output at the surface level of the ocean component in CESM.

277 **Marine heatwaves:** We identify marine heatwaves as events where daily mean  
278 SST exceeds the daily local 90th percentile (computed over 2010-2020) for longer than  
279 5 days (Hobday et al., 2016; Oliver, 2022). This definition is standard in public commu-  
280 nication and the scientific literature (e.g., Benthuysen et al., 2018; Holbrook et al., 2020;  
281 MHIWG, 2022). Marine heatwaves occur at many locations around the world (K. E. Smith  
282 et al., 2021), and we select a point in the Leeuwin Current (30.63°S, 112.5°E) where they  
283 have been frequently observed to harm local ecology (Chandrapavan et al., 2019; Hol-  
284 brook et al., 2020). We apply a left-aligned 5-year rolling sum of days to smooth inter-  
285 annual variability in Figure 21.

286 **Sea ice extent:** We show sea ice extent in its minimum month for both hemispheres  
287 – September for the Arctic and February for the Antarctic (Stroeve et al., 2012; Parkin-  
288 son, 2019). Sea ice extent is the sum of grid cell areas with ice fraction greater than 0.15  
289 in the atmospheric component of CESM (NSIDC, 2020).

290 **Precipitation:** We derive annual mean precipitation from monthly total precip-  
291 itation. To describe South Asian Monsoon rainfall, we use the conventional dynamical  
292 definition of June through September mean precipitation between 10°N to 40°N and 80°E  
293 to 100°E (Geen et al., 2020). We provide timeseries of annual mean precipitation for all  
294 IPCC-defined regions at the archive provided in the Supporting Information Text S2.

295 **Simple intensity index:** We use the ETCCDI simple intensity index to illustrate  
296 changes in a precipitation extreme. The simple intensity index measures the precipita-  
297 tion amount divided by the number of days with precipitation (X. Zhang et al., 2011).  
298 This is a standard metric to analyze trends in precipitation intensity (e.g., Alexander  
299 et al., 2006; Ayugi et al., 2021). Following Ayugi et al. (2021), we define the East African  
300 region as spanning 12°S to 5°N and 28°E to 42°E to capture relevant regional climate  
301 features. We calculate the simple intensity index using Pycлимdex (Groenke, 2022).

### 302 2.3 Robustness

303 Regional trends in the model output are due to combinations of the forced response  
304 to the SAI intervention, the direct effect of CO<sub>2</sub> concentration, and internal variability  
305 (Fasullo & Richter, 2023). We define a metric called *robustness* ( $\rho$ ) to quantify where  
306 the signal from the forced response to SAI is large relative to noise from internal vari-  
307 ability and the response to climate change. Because the parallel ensemble simulations  
308 in GLENS and ARISE are identical aside from the presence of the SAI intervention, con-  
309 sistent differences between the SAI and no-SAI members are likely due to the response

310 to the SAI intervention. Robustness quantifies this consistency as the count  $\rho$  of each  
 311 SAI realization whose temporal mean over a given time period falls outside (exceeds or  
 312 subceeds) a user-defined quantity of no-SAI realizations (denoted as  $B$ ;  $B = 11$  for GLENS,  
 313  $B = 6$  for ARISE given differing ensemble sizes [Table 1]).

314 Sufficiently large values of robustness indicate a consistent (“robust”) forced response  
 315 to the SAI intervention. We refer to results as “robust” if they fall outside the 90% con-  
 316 fidence bounds of the robustness distribution expected by chance (i.e. robustness dis-  
 317 tribution computed via 10,000 pairs of vectors randomly sampled from a uniform dis-  
 318 tribution). “Robust” results have  $\rho \geq 15$  members in GLENS and  $\rho \geq 7$  members in  
 319 ARISE. These thresholds are statistically significant at the  $p < 0.1$  level ( $p = 0.02$  for  
 320 GLENS,  $p = 0.05$  for ARISE) under a binomial test—however, we emphasize that the  
 321 exact choice of threshold is subjective. We apply image muting to de-emphasize (gray  
 322 out) points that are not robust ( $\rho < 15$  members for GLENS,  $\rho < 7$  members for ARISE)  
 323 without removing data from our maps (Tomkins et al., 2022).

324 To help build intuition, we provide an example of results considered robust and not  
 325 robust for a case where the SAI ensemble members subceed the no-SAI members (Fig-  
 326 ure S6). Robustness is a non-parametric test that leverages the parallel large ensemble  
 327 design of GLENS and ARISE to rigorously convey the consistency of a result, without  
 328 requiring assumptions about the statistical distribution of the variable of interest.

329 We formalize robustness mathematically in Equation 1. For each longitude  $\theta$  and  
 330 latitude  $\phi$  (grid point), we compute the robustness  $\rho_{\theta,\phi}$  which is the maximum of the num-  
 331 ber of SAI realizations that exceed or subceed  $B$  number of no-SAI realizations.  $\overline{S_{\theta,\phi,r_z}}$   
 332 is the time mean over a given period for a variable for each SAI realization  $r_z$ ,  $\tilde{S}_{\theta,\phi,r_{\{B\}}}$   
 333 denotes time means of a variable for  $B$  number of no-SAI realizations  $r_{\{B\}}$ , and  $Z$  is the  
 334 size of the SAI ensemble. The robustness calculation is repeated for every latitude and  
 335 longitude to generate a map of robustness for a given variable (Figure S2).

$$\rho_{\theta,\phi} = \max \left( \left\{ n \left( r_{S_{\theta,\phi,r_z} > \overline{S_{\theta,\phi,r_{\{B\}}}} \right), n \left( r_{S_{\theta,\phi,r_z} < \overline{S_{\theta,\phi,r_{\{B\}}}} \right) \right\}, \left| \forall z \in \{0, \dots, Z\} \right. \right) \quad (1)$$

336 The unit of robustness is “number of ensemble members” inherited from the car-  
 337 dinality operator  $n()$ . Robustness is non-negative and bounded by the size of the SAI  
 338 ensemble:  $\rho_{\theta,\phi} = Z$  is the upper bound (21 in GLENS, 10 in ARISE). We detail the  
 339 algorithm to calculate robustness and the binomial test for statistical significance in Sup-  
 340 plementary Information Text S1.

### 341 3 Results

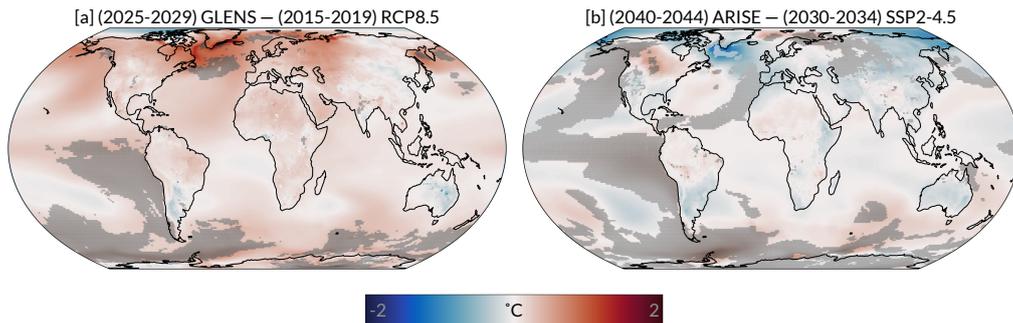
342 GLENS and ARISE both maintain global mean temperature close to their respec-  
 343 tive target values, while the no-SAI RCP8.5 and no-SAI SSP2-4.5 scenarios continue warm-  
 344 ing globally throughout the period (Figure 2a). Thus, global mean temperature shows  
 345 a clear forced response to the SAI intervention. For each timeseries shown in Figure 2,  
 346 the envelope around the ensemble mean illustrates a range of internal climate variabil-  
 347 ity by spanning the maximum to minimum value across the ensemble at each year. The  
 348 ensemble sizes for each scenario differ and are given in Table 1. While forced trends are  
 349 visible in the ensemble mean for many of the timeseries (Figure 2), internal climate vari-  
 350 ability is substantial especially for regional scales and noisier variables such as precip-  
 351 itation (e.g., Figure 2h). The ensemble spreads of the SAI and no-SAI scenarios over-  
 352 lap for all quantities in the time periods shortly after deployment when the forced re-  
 353 sponse is small. Thus, internal variability can mask the forced response to the SAI in-  
 354 tervention for any individual realization. Our results suggest climate variability may lead  
 355 to the “perceived failure” of SAI on short time horizons across many variables (Figure

356 2) regardless of the true forced response from SAI, as previously shown for temperature  
 357 alone (Keys et al., 2022).

358 We use global maps corresponding to the snapshot around deployment and inter-  
 359 vention impact framings (Figures 3-8, see Methods for details) to explore the ensemble  
 360 mean response of temperature, precipitation, and the simple intensity index within the  
 361 decade after SAI deployment. We refer to timeseries in Figure 2 to connect results from  
 362 our framings to the evolution of a variable over a longer period of time and to display  
 363 the spread due to internal variability.

### 364 3.1 What Happens Before and After SAI is Deployed in the Model?

365 We begin our discussion with 2-meter temperature (Figure 3), as it is the variable  
 366 directly targeted by the SAI intervention. Some global warming is visible in the GLENS  
 367 snapshot (Figure 3a) due to the rapid warming rate in the underlying RCP8.5 emissions  
 368 trajectory. The GLENS experimental design maintains global mean temperature at 2020  
 369 levels, which leaves some warming relative to the 2015-2019 mean which defines our pre-  
 370 intervention snapshot baseline. The SSP2-4.5 forcing scenario used in ARISE yields a  
 371 much more moderate rate of warming as compared to RCP8.5 and a smaller relative change  
 372 between the 2030-2034 baseline and the deployment of SAI in 2035. Hence, the snap-  
 373 shot around deployment for ARISE does not display substantial planetary-scale warm-  
 374 ing.



**Figure 3.** Ensemble mean annual mean 2m temperature change for the snapshot around deployment within the SAI scenarios: GLENS [a] and ARISE [b]. Regions shown in color are robust (fall outside the 90% confidence bounds of the robustness distribution expected by chance), while regions with gray shading are not robust (fall within the 90% confidence bounds of the robustness distribution expected by chance). See Section 2.3 for details.

375 The subpolar North Atlantic Ocean stands out as the region experiencing the largest  
 376 temperature trends (Figure 3). The sign of the trend is opposite in each experiment: warm-  
 377 ing in GLENS (Figure 3a), but cooling in ARISE (Figure 3b). This difference is driven  
 378 by the opposite-signed AMOC evolution in GLENS and ARISE. The strengthening AMOC  
 379 throughout the simulation period in GLENS increases oceanic heat transport into the  
 380 North Atlantic (Fasullo et al., 2018); in contrast, the AMOC weakens in ARISE, although  
 381 it remains stronger than in the no-SAI SSP2-4.5 scenario (J. H. Richter et al., 2022; Fa-  
 382 sullo & Richter, 2023). These trends in the AMOC are likely due to memory of the ocean  
 383 initial conditions on the short timescales shown in the snapshot around deployment (Tilmes,  
 384 Richter, Kravitz, et al., 2018; Fasullo et al., 2018). On a longer time horizon, the direct  
 385 effect of CO<sub>2</sub> concentration on precipitation may drive a forced response in the AMOC

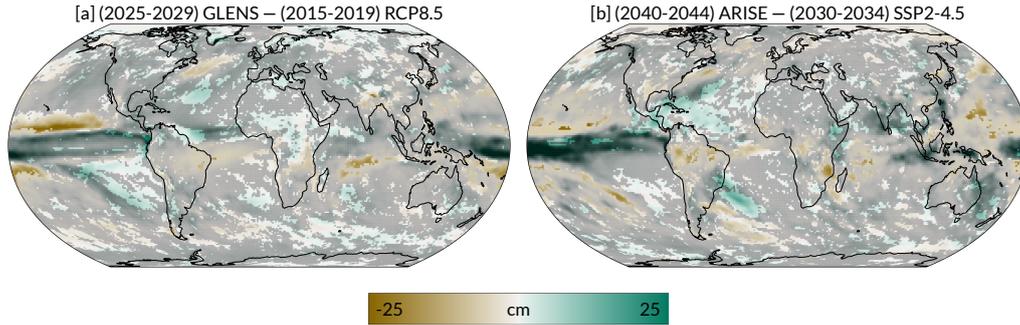
386 by altering oceanic salinity and temperature gradients (Fasullo & Richter, 2023); how-  
 387 ever, this long-term effect would not be visible in our snapshot around deployment.

388 In general, regional changes in annual mean 2m temperature after SAI deployment  
 389 are much smaller in GLENS and ARISE (Figure 3) than in no-SAI RCP8.5 and no-SAI  
 390 SSP2-4.5 (Figure 1). All regions (save Northern Europe in GLENS, discussed shortly)  
 391 defined by the IPCC WG1-AR5 Atlas (van Oldenborgh et al., 2013) have a similar tem-  
 392 perature response over time to the global mean. We provide 2m annual mean temper-  
 393 ature timeseries for each IPCC region at the archive linked in Supporting Information  
 394 Text S2 to illustrate the universality of this response. We highlight the Amazon region  
 395 (Figure 2e) as an example of the typical evolution of annual mean temperatures on a re-  
 396 gional scale. The response in the Amazon is very similar to the global response: GLENS  
 397 and ARISE are maintained near their pre-deployment values, while the no-SAI scenar-  
 398 ios continue to warm through the period. Temperature trends are robust for all land area  
 399 in GLENS outside Antarctica, and almost all land area in ARISE. We reiterate that “ro-  
 400 bust” trends fall outside the 90% confidence bounds of the distribution expected by chance,  
 401 and a full description of the robustness metric can be found in Section 2.3.

402 Northern Europe (Figure 2i) experiences moderate warming throughout the period  
 403 in GLENS. While this warming has been previously shown to occur on late-century timescales  
 404 (Tilmes, Richter, Kravitz, et al., 2018; Fasullo et al., 2018; Banerjee et al., 2021), we show  
 405 this warming is already robust within the decade after deployment (Figure 3a). One cause  
 406 of this warming may be a forced positive trend in the North Atlantic Oscillation driven  
 407 by stratospheric heating from the absorption of radiation by the sulfate aerosols injected  
 408 by the SAI intervention (Banerjee et al., 2021). The strengthening AMOC could also con-  
 409 tribute to this regional warming by importing heat from lower latitudes (Fasullo et al.,  
 410 2018). In contrast, we find Northern European warming does not occur in ARISE on any  
 411 timescale (Figure 2i, 3b). The differing responses in Northern Europe emphasize that  
 412 climate responses in an individual scenario may be particular to that strategy, and can-  
 413 not be assumed to be general features of all SAI interventions.

414 We now turn to the snapshot around deployment for precipitation (Figure 4). Due  
 415 to its large internal variability (Deser et al., 2012), fewer regional trends are robust for  
 416 precipitation rather than temperature on our short timescale of 10 years after SAI de-  
 417 ployment. Precipitation robustly decreases over portions of the tropical Pacific in GLENS  
 418 (Figure 4a). On longer timescales, similar trends emerge over much of the basin and are  
 419 responsible for a decrease in globally-averaged precipitation (Figure 2b). Since these changes  
 420 primarily affect precipitation over the ocean, land-only precipitation trends in GLENS  
 421 are small even later into the century (Figure S3). The decrease in tropical oceanic pre-  
 422 cipitation may be related to the direct effect of CO<sub>2</sub> concentration in the RCP8.5 emis-  
 423 sions pathway or the circulation response to stratospheric heating, but the precise un-  
 424 derlying dynamics are not well understood (Bony et al., 2013; Simpson et al., 2019). Trends  
 425 in global precipitation in ARISE are difficult to identify (Figure 2b), which indicates a  
 426 more moderate injection strategy may minimize impacts on the global hydrologic cycle.  
 427

428 The location of equatorial precipitation associated with the Intertropical Conver-  
 429 gence Zone (ITCZ) shifts southward in GLENS and ARISE (Figure 4), but we show these  
 430 changes are not robust on the short timescale of 10 years after deployment. The controller  
 431 in GLENS and ARISE minimizes the impacts on the ITCZ by maintaining the pole-to-  
 432 pole and pole-to-equator temperature gradients which are primarily responsible for ITCZ  
 433 location (Kang et al., 2018; Undorf et al., 2018; Cheng et al., 2022). Other processes such  
 434 as differences in the relative aerosol burden between the Northern and Southern Hemi-  
 435 spheres, changes in heat transport by the AMOC, or stratospheric heating can still in-  
 436 fluence ITCZ location (Haywood et al., 2013; Iles & Hegerl, 2014; Moreno-Chamarro et  
 437 al., 2019; Ciemer et al., 2021; Cheng et al., 2022). Thus, on longer timescales, the con-  
 438 troller reduces but cannot fully eliminate shifts in the ITCZ location (Cheng et al., 2022).

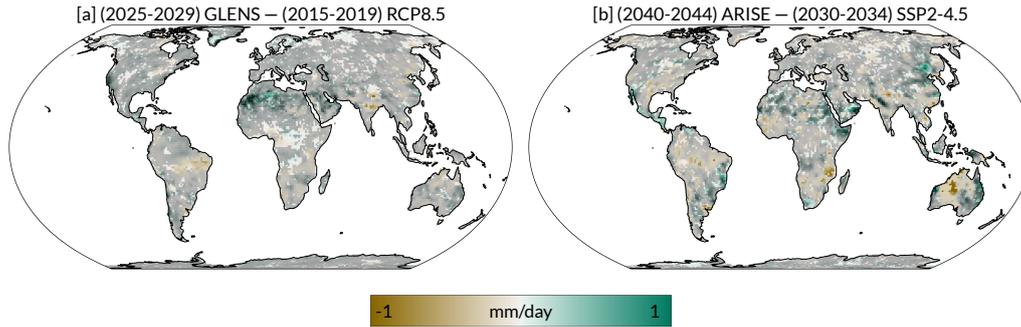


**Figure 4.** Ensemble mean annual mean precipitation change for the snapshot around deployment within the SAI scenarios: GLENS [a] and ARISE [b]. Regions shown in color are robust (fall outside the 90% confidence bounds of the robustness distribution expected by chance), while regions with gray shading are not robust (fall within the 90% confidence bounds of the robustness distribution expected by chance). See Section 2.3 for details.

439 Targeted modeling experiments and observations after volcanic eruptions indicate that  
 440 much larger ITCZ migrations are possible under SAI strategies that do not consider plan-  
 441 etary temperature gradients (Haywood et al., 2013; Cheng et al., 2022). ITCZ location  
 442 can be targeted more successfully in simulations that use different controller targets specif-  
 443 ically tuned to this feature (Lee et al., 2020).

444 Early modeling results indicated certain SAI strategies could cause large decreases  
 445 in South Asian Monsoon precipitation (Robock et al., 2008). Any changes to the mon-  
 446 soon directly affect water availability and agricultural productivity in a densely popu-  
 447 lated region, with further impacts on global food supply (Gadgil & Rupa Kumar, 2006;  
 448 Kulkarni et al., 2016). We find that South Asian Monsoon precipitation robustly decreases  
 449 in GLENS (Figure 4a) even on the short timescales of the snapshot around deployment,  
 450 although the magnitude of the change is smaller than the increase throughout the pe-  
 451 riod in no-SAI RCP8.5 (Figure 2j). Late in the century in GLENS, monsoon failures dou-  
 452 ble in frequency due to circulation changes induced by stratospheric heating from the  
 453 extremely large aerosol burden (Simpson et al., 2019). In contrast, South Asian Mon-  
 454 soon precipitation remains largely unchanged in both SSP2-4.5 and ARISE across short  
 455 (Figure 4b) and long timescales (Figure 2j). Thus, we conclude that impacts on mon-  
 456 soon precipitation are dependent on the SAI strategy rather than a general feature of  
 457 this type of intervention. Visoni et al. (2020) previously showed monsoon impacts var-  
 458 ied in modeling experiments where SAI was limited to certain seasons. The difference  
 459 in base state between the CESM2 SSP2-4.5 and CESM1 RCP8.5 simulations indicate  
 460 that model dependencies and the greenhouse forcing scenario may be especially impor-  
 461 tant to the monsoonal climate response.

462 On our short time horizon of the decade after deployment, global changes in the  
 463 simple intensity index are very noisy without clear forced responses (Figure 5). This il-  
 464 lustrates how internal climate variability can remain the dominant driver of certain high-  
 465 impact climate variables after SAI deployment. Precipitation extremes exhibit the largest  
 466 internal variability of any quantity examined here. The 10-member ensemble of ARISE,  
 467 in particular, is not sufficient to isolate the forced response to SAI on precipitation ex-  
 468 tremes for regional spatial scales and short timescales after deployment. An ensemble  
 469 size of 40 members or more may be necessary to reliably isolate forced trends (Kirchmeier-  
 470 Young & Zhang, 2020).



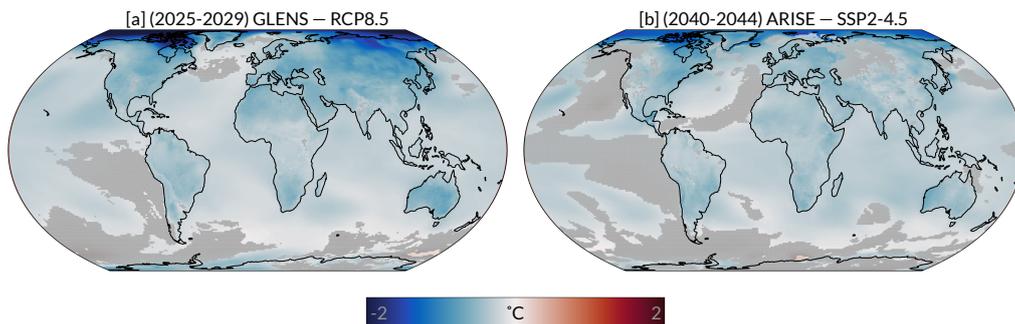
**Figure 5.** Ensemble mean annual mean simple intensity index change for the snapshot around deployment within the SAI scenarios: GLENS [a] and ARISE [b]. Regions shown in color are robust (fall outside the 90% confidence bounds of the robustness distribution expected by chance), while regions with gray shading are not robust (fall within the 90% confidence bounds of the robustness distribution expected by chance). See Section 2.3 for details.

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### 3.2 What is the Impact of a Given Intervention Relative to Climate Change with no Intervention?

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GLENS and ARISE both avert warming around the globe (Figure 6); that is, they robustly remain cooler than their respective no-SAI scenarios. This impact is evident even in the decade immediately following deployment and is nearly single-signed worldwide (Figure 6). The Arctic experiences the greatest averted warming (Figure 6), because the controller greatly reduces Arctic amplification by maintaining the pole-to-equator temperature gradient in addition to global mean temperature. In either GLENS or ARISE, no regions experience robust warming relative to climate change in the ensemble mean. Regions that warm relative to a pre-intervention baseline, namely Northern Europe in GLENS, still experience averted warming relative to climate change (Figure 6a). This illustrates the value of using our two framings together: the snapshot around deployment shows the tangible climate response, while the intervention impact places these changes in context to climate change with no SAI.



**Figure 6.** Ensemble mean intervention impact (SAI - no-SAI difference) for annual mean 2m temperature: GLENS [a] and ARISE [b]. Regions shown in color are robust (fall outside the 90% confidence bounds of the robustness distribution expected by chance), while regions with gray shading are not robust (fall within the 90% confidence bounds of the robustness distribution expected by chance). See Section 2.3 for details.

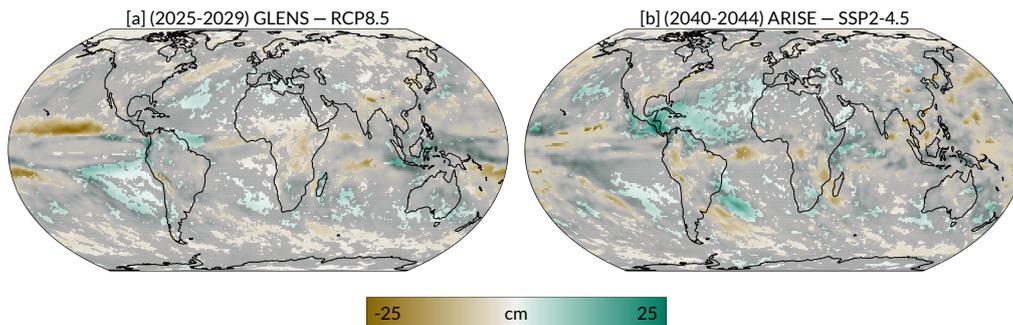
484 In highly localized areas where trends are weak in both the SAI and no-SAI sce-  
485 narios, the intervention impact is small and noise from internal variability can make it  
486 appear as if the intervention has exacerbated warming. This effect can be seen in very  
487 small portions of the Southern Ocean in GLENS (Figure 6a) and the northeastern Pa-  
488 cific Ocean in ARISE (Figure 6b) that display a positively-signed intervention impact.  
489 Note these regional features are not robust and thus are grayed out. Internal variabil-  
490 ity may mask the impact of SAI for any individual realization, which complicates how  
491 the effectiveness of an intervention could be perceived on short timescales after deploy-  
492 ment (Keys et al., 2022).

493 We connect the averted warming in global mean temperature to implications for  
494 the evolution over time of other Earth system variables. Global sea surface temperature  
495 (Figure 2c) responds very similarly to global 2m temperature (Figure 2a). Sea ice loss  
496 is halted in the Arctic and Antarctic (Figure 2g, Figure 2k) in both GLENS and ARISE.  
497 The impact is most dramatic in GLENS; in no-SAI RCP8.5, the Arctic experiences ice-  
498 free minima by mid-century while SAI keeps sea ice near present-day values. The SAI  
499 scenarios have the potential to slow or avert feedbacks involving sea and land ice. Arc-  
500 tic sea ice thickness is maintained alongside sea ice extent (Figure S4), indicating ice-  
501 insulation feedbacks that can cause rapid sea ice loss (e.g., Burt et al., 2016) could be  
502 averted. In Antarctica, preventing sea ice loss prevents the exposure of coastal ice shelves  
503 to ocean waves which may make land ice less likely to collapse (Massom et al., 2018).  
504 Exploring impacts from SAI on the cryosphere in more depth is a clear avenue for fu-  
505 ture research.

506 Mid-latitude tropical nights increase drastically in the no-SAI scenarios (Figure 2d)  
507 and are associated with the planetary-scale expansion of the tropics (Rajaud & Noblet-  
508 Ducoudré, 2017). SAI interventions in GLENS and ARISE both limit this process, main-  
509 taining tropical nights near pre-intervention values. Averting increases in tropical nights  
510 could mitigate impacts from heat waves, as high overnight temperatures worsen mor-  
511 tality during these events (e.g., Buechley et al., 1972; Laaidi et al., 2012). While heat  
512 extremes are mitigated under GLENS or ARISE, extreme cold may be worsened rela-  
513 tive to no-SAI climate change scenarios (Tye et al., 2022). More detailed risk analysis  
514 is necessary to quantify tradeoffs in exposure to extreme cold and heat.

515 Temperature extremes in the ocean are also impacted by the averted warming un-  
516 der SAI. In GLENS and ARISE, increases in marine heatwave frequency are prevented  
517 for a point off the coast of Western Australia (Figure 2l). In the no-SAI scenarios, this  
518 location reaches a near-permanent marine heatwave state by mid-century. Marine ecosys-  
519 tems are increasingly affected by compound hazards: combinations of stressors includ-  
520 ing direct anthropogenic impacts, ocean acidification, and temperature extremes (e.g.,  
521 Chandrapavan et al., 2019; Gruber et al., 2021). While SAI only mitigates temperature  
522 extremes, lessening one component of compound hazards may allow ecosystems to stay  
523 within their capacity for resilience (Bernhardt & Leslie, 2013).

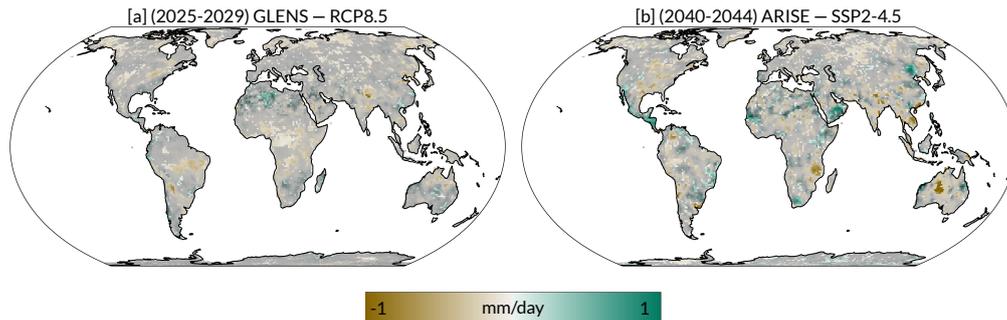
524 Due to the large internal variability of precipitation (Deser et al., 2012), regional  
525 impacts are not robust over much of the globe in the decade after deployment (Figure  
526 7). Robust regional precipitation responses are particularly difficult to identify in ARISE  
527 (Figure 7b), as both the ensemble size and SAI forcing are smaller than in GLENS. Still,  
528 certain impacts of the SAI intervention on precipitation oppose notable no-SAI climate  
529 change trends. For example, precipitation in the Southern Hemisphere subtropics de-  
530 creases in response to climate change when meridional SST gradients in the South Pa-  
531 cific Ocean are impacted by the rate of change in global mean temperature (Sniderman  
532 et al., 2019). As GLENS and ARISE both maintain global mean temperatures, they avert  
533 this transient climate response (Figure 7).



**Figure 7.** Ensemble mean intervention impact (SAI - no-SAI difference) for annual mean precipitation: GLENS [a] and ARISE [b]. Regions shown in color are robust (fall outside the 90% confidence bounds of the robustness distribution expected by chance), while regions with gray shading are not robust (fall within the 90% confidence bounds of the robustness distribution expected by chance). See Section 2.3 for details.

534 GLENS and ARISE both robustly oppose increases in precipitation in portions of  
 535 the Arctic that occur in the no-SAI scenarios (Figure 7) associated with rapid warming  
 536 from Arctic amplification (Figure 2f). Warmer air temperatures support exponentially  
 537 larger saturation vapor pressures, a trend which is reinforced by increased evaporation  
 538 from the open ocean due to sea ice loss (Bogerd et al., 2020). Alaska Native communi-  
 539 ties are highly vulnerable to climate change impacts, particularly those from increased  
 540 precipitation (Shearer, 2012; Melvin et al., 2017). The potential to avert these impacts  
 541 indicates SAI could mitigate regional climate risk inequality in certain cases, although  
 542 far more analysis is needed to draw any broader conclusions. Increased temperature and  
 543 precipitation together yield more vegetation growth in the Arctic (Elmendorf et al., 2012;  
 544 Dial et al., 2022). Arctic vegetation can exacerbate warming by decreasing surface albedo  
 545 and increasing local water vapor mixing ratios, which accelerates ice loss and encourages  
 546 further plant growth (Swann et al., 2010). This positive feedback is considered a pos-  
 547 sible tipping point in the Earth system (Crump et al., 2021; Heijmans et al., 2022). SAI  
 548 may prevent this process by preventing increases in temperature and precipitation, al-  
 549 though further research would be necessary to examine this in detail.

550 As discussed previously, changes in the simple intensity index are largely not ro-  
 551 bust (Figure 8) due to the influence of internal variability. Still, certain robust regional  
 552 impacts are more apparent relative to climate change in the intervention impact than  
 553 relative to the pre-intervention baseline in the snapshot around deployment. Globally,  
 554 GLENS and ARISE both reduce the simple intensity index over land relative to the no-  
 555 SAI scenarios (Figure 8, Figure S5). We highlight the East African region, specifically,  
 556 due to its high exposure to extreme precipitation events (Adhikari et al., 2015; Nichol-  
 557 son, 2017; Wainwright et al., 2021). The simple intensity index decreases in East Africa  
 558 relative to no-SAI climate change, although this trend is robust for only a portion of  
 559 the area on the timescale of 10 years after SAI deployment. Over the course of the simu-  
 560 lation period, regional simple intensity index decreases in GLENS and is maintained in  
 561 ARISE (Figure 2h) in contrast to increasing trends in the no-SAI scenarios. Elsewhere,  
 562 regional trends in the simple intensity index are generally not robust. We provide time-  
 563 series of the simple intensity index for each IPCC-defined region in the archive linked  
 564 in Supporting Information Text S2.



**Figure 8.** Ensemble mean intervention impact (SAI - no-SAI difference) for annual mean simple intensity index: GLENS [a] and ARISE [b]. Regions shown in color are robust (fall outside the 90% confidence bounds of the robustness distribution expected by chance), while regions with gray shading are not robust (fall within the 90% confidence bounds of the robustness distribution expected by chance). See Section 2.3 for details.

#### 565 4 Conclusions

566 We present two ways to frame output from Earth system modeling experiments with  
 567 parallel intervention and no-intervention ensemble simulations, which we call the snap-  
 568 shot around deployment and the intervention impact. Our framings directly address the  
 569 research questions: “What happens before and after the intervention is deployed in the  
 570 model?” and “What is the impact of a given intervention relative to climate change with  
 571 no intervention?” We apply our framings to GLENS and ARISE, the first SAI model-  
 572 ing experiments performed by large ensembles of fully-interactive Earth system models.  
 573 We explore these questions in the decade after SAI deployment, a policy-relevant time  
 574 horizon that has not been widely explored in the literature with respect to SAI impacts.  
 575 We use our framings to efficiently describe many aspects of the climate response to SAI,  
 576 including 2-meter temperature, annual mean precipitation, and the simple intensity index  
 577 (a measure of precipitation extremes). We observe certain commonalities between  
 578 the SAI scenarios relative to their respective no-SAI scenarios: annual mean tempera-  
 579 ture is maintained at target values after deployment both globally and for nearly all IPCC-  
 580 defined regions, sea ice loss is halted at both poles, and increases in certain marine and  
 581 terrestrial heat extremes are prevented. However, GLENS and ARISE each portray a  
 582 distinct scenario of SAI deployment with its own design and climate response. Results  
 583 that are consistent between the simulations still cannot be taken to be true of any general  
 584 SAI deployment.

585 Our study is the first to synthesize results from GLENS and ARISE together. We  
 586 focus on a short-term time horizon of the 10 years after deployment, which is consistent  
 587 with timescales frequently used by policymakers and planning practitioners to assess cli-  
 588 mate information (e.g., Bolson et al., 2013; DePolt, 2021; Pearman & Cravens, 2022; Keys  
 589 et al., 2022). This differentiates our work from existing literature on the climate response  
 590 in GLENS or ARISE, which usually examines time horizons later in the century in or-  
 591 der to obtain a larger forced signal from the SAI intervention (e.g., Tilmes, Richter, Kravitz,  
 592 et al., 2018; Simpson et al., 2019; Pinto et al., 2020; Camilloni et al., 2022; J. H. Richter  
 593 et al., 2022; Tye et al., 2022). We intend our data analysis to provide a point of entry  
 594 for researchers or educators unfamiliar with SAI, and include an archive of timeseries  
 595 depicting each of the variables used with our framings for all IPCC regions (linked in Sup-  
 596 porting Information Text S2).

597 Our framings can be used with any modeling experiment that has parallel inter-  
598 vention and no-intervention simulations. In particular, we see an opportunity to apply  
599 these framings to planned ARISE-SAI experiments that explore a wider variety of tem-  
600 perature targets, deployment dates, and Earth system models (MacMartin et al., 2022).  
601 As our framings directly address concrete questions of the climate response to SAI, they  
602 could also motivate a more comprehensive regional risk analysis constructed in collab-  
603 oration with planning practitioners and members of affected communities (e.g., Adelekan  
604 & Asiyambi, 2016; DePolt, 2021).

605 We show that while large forced responses to SAI are visible in the ensemble mean  
606 within the decade after deployment in GLENS and ARISE, internal variability can mask  
607 impacts in individual realizations. The noise from internal variability has important im-  
608 plications for three key open problems highlighted by NASEM (2021): detection, mon-  
609 itoring, and social perception of any climate intervention. Machine learning methods have  
610 shown promise for rapid detection of the surface climate response to SAI despite the in-  
611 fluence of internal variability (Barnes et al., 2022). Improved understanding of the data  
612 most useful to detect SAI could help constrain potential observational platforms for long-  
613 term monitoring. Regardless of the true forced climate response, the noise from inter-  
614 nal variability may influence the perceived success or failure of any climate intervention  
615 – or climate action more broadly (Keys et al., 2022; Diffenbaugh et al., 2022).

616 GLENS and ARISE provide high-fidelity depictions of two useful scientific knowledge-  
617 building scenarios (Talberg et al., 2018). However, these scenarios are geopolitically ide-  
618 alized: they depict SAI as an uninterrupted worldwide project (“global action” scenar-  
619 ios) with a controller limiting disruptions to global mean climate. Thus, the results from  
620 these specific scenarios do not generalize to any given SAI intervention. The differences  
621 between GLENS and ARISE demonstrate that even global action scenarios with many  
622 commonalities can produce distinct climate responses, due to factors such as model de-  
623 pendency, the ocean initial conditions, and the direct effects of the underlying greenhouse  
624 gas emissions forcing scenario. To explore a scenario of interest, it will be necessary to  
625 explicitly model that scenario; the results cannot be assumed to track those of GLENS  
626 or ARISE. Future modeling should widely explore the scenario design space, with pos-  
627 sible examples of candidates including unilateral (“rogue actor”) deployment and envi-  
628 ronmental peacebuilding (Fitzgerald, 2016; Buck, 2022).

## 629 5 Open Research

630 The processed model output used throughout this work, code for reproducibility,  
631 and additional timeseries described in Supporting Information Text S2 are archived at  
632 the Open Science Foundation (Hueholt, 2022, doi.org/10.17605/OSF.IO/5A2ZF). This  
633 repository additionally includes a datasheet describing the data adapted from best prac-  
634 tices from software engineering (Geburu et al., 2021).

635 The original GLENS model dataset from which the data in this work was derived  
636 can be obtained from NCAR (Tilmes & Richter, 2018, doi.org/10.5065/D6JH3JXX).

637 The original ARISE dataset from which the data in this work was derived (all SAI  
638 members and 5 no-SAI members) are located on the NCAR Climate Data Gateway  
639 (J. H. Richter, 2022, doi.org/10.5065/9kcn-9y79). The remaining 5 no-SAI members are  
640 available from the NCAR Climate Data Gateway at  
641 (M. Mills et al., 2022, doi.org/10.26024/0cs0-ev98). All ARISE data may also be accessed  
642 from Amazon Web Services (NCAR, 2022, registry.opendata.aws/ncar-cesm2-arise/).

643 The complete CESM2(WACCM6) Historical runs from which the data in this work  
644 was derived are available at Earth System Grid  
645 (Danabasoglu, 2019, doi.org/10.22033/ESGF/CMIP6.11298).

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