Scale-dependent influence of permafrost on riverbank erosion rates

Joel Carey Rowland¹, Jon Schwenk², Eitan Shelef³, Jordan Muss⁴, Daniel Ahrens⁵, Sophie Stauffer⁶, Anastasia Piliouras⁷, Benjamin Thomas Crosby⁸, Austin J Chadwick⁹, Madison M Douglas¹⁰, Preston Cosslett Kemeny¹¹, Michael P Lamb¹⁰, Gen Li¹², and Lawrence Vulis¹³

¹Los Alamos National Laboratory (DOE)
²Los Alamos National Laboratory
³University of Pittsburgh
⁴Los Alamos National Lab
⁵Stanford Law School
⁶Sealaska
⁷Pennsylvania State University
⁸Idaho State University
⁹Department of Earth and Environmental Sciences, St. Anthony Falls Laboratory, University of Minnesota
¹⁰California Institute of Technology
¹¹University of Chicago
¹²University of California Los Angeles
¹³University of California, Irvine

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Abstract

Whether the presence of permafrost systematically alters the rate of riverbank erosion is a fundamental geomorphic question with significant importance to infrastructure, water quality, and biogeochemistry of high latitude watersheds. For over four decades this question has remained unanswered due to a lack of data. Using remotely sensed imagery, we addressed this knowledge gap by quantifying riverbank erosion rates across the Arctic and subarctic. To compare these rates to non-permafrost rivers we assembled a global dataset of published riverbank erosion rates. We found that erosion rates in rivers influenced by permafrost are on average six times lower than non-permafrost systems; erosion rate differences increase up to 40 times for the largest rivers. To test alternative hypotheses for the observed erosion rate difference, we examined differences in total water yield and erosional efficiency between these rivers and non-permafrost rivers. Neither of these factors nor differences in river sediment loads provided compelling alternative explanations, leading us to conclude that permafrost limits riverbank erosion rates. This conclusion was supported by field investigations of rates and patterns of erosion along three rivers flowing through discontinuous permafrost in Alaska. Our results show that permafrost limits maximum bank erosion rates on rivers with stream powers greater than 900 W/m-1. On smaller rivers, however, hydrology rather thaw rate may be dominant control on bank erosion. Our findings suggest that Arctic warming and hydrological changes should increase bank erosion rates on large rivers but may reduce rates on rivers with drainage areas less than a few thousand km2.

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Joel C. Rowland¹, Jonathan P. Schwenk¹, Eitan Shelef², Jordan Muss³, Daniel Ahrens⁴, Sophie 3

Stauffer¹, Anastasia Pilliouras⁵, Benjamin Crosby⁶, Austin Chadwick⁷, Madison Douglas⁷, Preston C. Kemeny⁷, Michael P. Lamb⁷, Gen Li⁸, and Lawrence Vulis⁹ 4

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¹ Earth and Environmental Sciences Division, Los Alamos National Laboratory, Los Alamos, New Mexico, USA

9 ² Department of Geology and Environmental Sciences University of Pittsburgh, Pittsburgh, PA, 10 USA

- ³General Atomics Commonwealth Computer Research, Inc., Charlottesville, VA, USA 11
- ⁴ Stanford Law School, Stanford, CA, USA 12
- ⁵ Department of Geosciences, Pennsylvania State University, University Park, PA, USA 13
- 14 ⁶ Department of Geosciences, Idaho State University, Pocatello, ID, USA
- ⁷ Division of Geological and Planetary Sciences, California Institute of Technology, Pasadena, 15 16 California, USA
- ⁸ Department of Earth Science, University of California Santa Barbara, Santa Barbara, CA, USA 17
- 18 ⁹ Department of Civil and Environmental Engineering, University of California Irvine 19

20 **Key Points:**

- Permafrost systematically reduces riverbank erosion rates in Arctic and subarctic rivers by 6 times compared to lower latitude rivers
 - The influence of permafrost on small rivers is limited but increases with river size
 - Permafrost thaw due to climate change will likely increase erosion rates on large rivers • and have a limited impact on small rivers
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27 **Plain Language Summary**

28 The rate rivers erode their banks controls the pace of migration and the impacts on neighboring

29 communities and ecosystems. Across the Arctic, rivers erode through floodplains frozen

- 30 continuously for more than two years (permafrost). Before frozen sediments can be eroded by
- 31 flowing water they must be thawed. Using aerial photographs, satellite imagery, and direct field
- 32 observations we found that permafrost slows the rate rivers erode their banks relative to rivers
- 33 without permafrost. The effect of permafrost, however, varies with the size of the river and the
- 34 erosion rates of large rivers are disproportionately slowed by permafrost. As a result, permafrost
- 35 thaw due to climate change will likely increase erosion rates on large rivers and have limited
- 36 impact on small rivers, but very little data is available for small rivers in the Arctic.
- 37

38 Abstract

- 39 Whether the presence of permafrost systematically alters the rate of riverbank erosion is a 40 fundamental geomorphic question with significant importance to infrastructure, water quality,
- 41 and biogeochemistry of high latitude watersheds. For over four decades this question has 42
- remained unanswered due to a lack of data. Using remotely sensed imagery, we addressed this 43 knowledge gap by quantifying riverbank erosion rates across the Arctic and subarctic. To
- 44 compare these rates to non-permafrost rivers we assembled a global dataset of published
- 45 riverbank erosion rates. We found that erosion rates in rivers influenced by permafrost are on
- 46 average six times lower than non-permafrost systems; erosion rate differences increase up to 40

47 times for the largest rivers. To test alternative hypotheses for the observed erosion rate

48 difference, we examined differences in total water yield and erosional efficiency between these

49 rivers and non-permafrost rivers. Neither of these factors nor differences in river sediment loads

50 provided compelling alternative explanations, leading us to conclude that permafrost limits

51 riverbank erosion rates. This conclusion was supported by field investigations of rates and 52 patterns of erosion along three rivers flowing through discontinuous permafrost in Alaska. Our

results show that permafrost limits maximum bank erosion rates on rivers with stream powers

54 greater than 900 W/m⁻¹. On smaller rivers, however, hydrology rather thaw rate may be

55 dominant control on bank erosion. Our findings suggest that Arctic warming and hydrological

56 changes should increase bank erosion rates on large rivers but may reduce rates on rivers with

57 drainage areas less than a few thousand km^2 .

58

59 1 Introduction

60 At water-level, the erosion of frozen bank materials by rivers leaves distinctive morphological features indicative of the presence of permafrost (ground that remains below 0°C 61 62 for two or more years). These features include thermal-erosion niching (bank undercutting). 63 massive cantilever failures in non-cohesive sediments, and exposed ground ice (Figure 1). From 64 above and at larger spatial scales, however, no clear morphological signature of permafrost has 65 been documented in river planform. Due to this lack of a planform signature of permafrost on 66 rivers an examination of riverbank erosion rates is required to answer the fundamental question: Does the presence of permafrost have an observable effect on rivers dynamics? For over 40 years 67 studies of individual rivers that flow through floodplains with permafrost have observed 68 69 possible, though often contradictory, influences of frozen sediment and ice on the rates of 70 riverbank erosion (Chassiot et al., 2020; Debol'skaya & Ivanov, 2020; Gatto, 1984; Gautier et 71 al., 2021; Lawson, 1983; Scott, 1978; Tananaev, 2016). Due to these contradictory results and a 72 dearth of data, a clear answer to whether rivers erode floodplains with permafrost at different 73 rates than other rivers has remained elusive.

The potential influence of permafrost on the rates of riverbank erosion has great relevance to communities in the Arctic. Locally, Arctic rivers are major transportation arteries and provide significant food resources to local populations (Brinkman et al., 2016; Cold et al., 2020; Hovelsrud et al., 2011; Instanes et al., 2016; Payne et al., 2018). Bank erosion in these systems threatens to undermine infrastructure (University of Alaska Fairbanks Institute of Northern Engineering et al., 2019) and cause village relocations, especially in Alaska (Figures S2 and #), where 43% of villages are located less than one kilometer from riverbanks (Supporting

81 Text S1 and Figure S1).

Arctic rivers carry substantial chemical fluxes (Drake et al., 2018; Schuur et al., 2015; Tank et al., 2012), which may change in response to the warming climate and feedback on

atmospheric chemistry. Currently, Arctic rivers account for approximately 8% of the total

85 organic carbon (TOC) flux to global oceans (Rachold et al., 2004) and export 34 Tg of dissolved

86 organic carbon (DOC) (Holmes et al., 2012) and 5.8 Tg of particulate organic carbon (POC)

87 (McClelland et al., 2016) each year.

88 Studies of Arctic river chemistry suggest that bank erosion contributes a significant

fraction of riverine POC (Striegl et al., 2007) and that bank-derived carbon influences the age

and composition of carbon in both rivers and the Arctic ocean basin (Gustafsson et al., 2011;

91 Mann et al., 2015; Wild et al., 2019). Recent modeling studies suggest that riverine fluxes of

- 92 carbon and nutrients play a significant role in the net primary productivity of the Arctic Ocean
- 93 (Terhaar et al., 2021).
- 94 River migration and floodplain erosion strongly influences carbon cycling in watersheds
- 95 (Torres et al., 2017). However, studies in Alaska conflict regarding the extent to which river
- 96 migration influences floodplain carbon storage. A Yukon River study of the variability of
- 97 floodplain carbon suggested river migration was an important control on floodplain carbon
- 98 storage (Lininger et al., 2018, 2019), while a study of the Koyukuk River, AK showed little
- 99 difference in carbon quantity or characteristics between eroding banks and newly deposited point
- 100 bars (Douglas et al., 2022).





Figure 1: Images of riverbanks eroding permafrost. a) Thermal-erosion niche undercutting a bank composed of
frozen sand along the Yukon River, in central AK. b) Massive failure blocks resulting from thermal erosion
undercutting of banks along the Yukon River, AK (66.33 N, 147.60 W). The top of the bank is approximately 4 m
above the waterline. c) Exposed ice wedge and associated bank erosion in the banks of the Yukon River, AK. d)

107 Thermal denudation and collapse of an ice-rich bank along the Koyukuk River (65.780 N, 156.437 W). Shovel

108 handle in center of photo for scale. e) Sediments piled up on riverbank due to river ice erosion and sediment

transport on the Yukon River, AK. f) Riverbank along the Selawik River in July 2012 showing loose thawed gravels
 and tundra blocks from spring bank erosion protecting the bank face (66.48 N, 157.71 W). Location of images

111 shown on Figure 3.

112

113 2 Background

114 **2.1 State of knowledge regarding permafrost influence on riverbank erosion**

115 The presence of permafrost alters the hydrological, vegetation, and geomechanical 116 characteristics of riverbanks in ways that may influence the rates bank erosion. Hydrologically, 117 permafrost acts as a largely impermeable layer restricting water infiltration and liquid saturation 118 of soils to a seasonally thawed shallow surface layer (French, 2007; Hinzman et al., 2005; Woo 119 & Winter, 1993). This impermeability also prevents the periodic saturation and draining of 120 riverbank faces that can lead to pore pressure-driven bank collapse commonly observed in 121 seasonally unfrozen banks (Darby & Thorne, 1996; Rinaldi & Casagli, 1999; Tananaev & 122 Lotsari, 2022; Zhao et al., 2022). The role of vegetation in stabilizing riverbanks (Simon & 123 Collison, 2002) may be limited by permafrost restricting rooting depth to shallow seasonally 124 thawed surface layers (Blume-Werry et al., 2019; Jackson et al., 1996). Geomechanically, frozen 125 pore waters provide additional strength to the soil matrix relative to the same material in an 126 unfrozen state (Cooper & Hollingshead, 1973; Lawson, 1983; Shur et al., 2002; Tsytovich, 1975; 127 Williams & Smith, 1991). This additional strength and cementation of grains by ice requires that 128 the frozen sediments thaw before being physically eroded by water (Are, 1983; Randriamazaoro 129 et al., 2007; Shur et al., 2002; Walker et al., 1987). The additional mechanical strength provided 130 by ice also leads to dramatic undercutting of banks creating distinctive thermal erosion niches 131 (Walker et al., 1987) and large cantilever failure blocks (Figure 1b).

132 The geomechanical strength imparted to bank materials is lost upon thawing and 133 riverbanks erode by a combination of thaw and physical transport of thawed material (thermal 134 abrasion) (Are, 1983; Cooper & Hollingshead, 1973; Costard et al., 2003; Lawson, 1983; 135 Leffingwell, 1919; Miles, 1976; Scott, 1978; Walker et al., 1987; Walker & Arnborg, 1963; 136 Zhang et al., 2022). Therefore, the rate of bank erosion may be set by the combined effects of 137 thermal and physical processes. The thaw rates of frozen sediments decrease with increasing ice 138 content (Randriamazaoro et al., 2007; Shur et al., 2002; J. R. Williams, 1952a), and increase with 139 larger grain sizes (Scott, 1978; Shur et al., 2002), river discharge, and water temperature (Shur et 140 al., 2002), with a greater sensitivity to temperature than discharge (Costard et al., 2003; Dupeyrat 141 et al., 2011; Randriamazaoro et al., 2007). Though thaw rates may slow with increasing ice 142 content, the presence of excess ice may augment the net erosion rates of some deposits relative to 143 similar unfrozen, ice-free materials (Gatto, 1984; Shur et al., 2002) due to a loss of cohesion 144 upon thawing (Dupeyrat et al., 2011) and/or because the volume of sediment to be eroded 145 decreases with increasing ice content (Are, 1983; Lawson, 1983). Melting ice may also lead to 146 saturation in fine grained, poorly-draining sediments, triggering flow and collapse of thawing 147 sediments, commonly referred to as thermal denudation (Kanevskiy et al., 2016) (Figure 1D). If 148 flowing water and/or slopewash on the bank remove thawed material the subaerial portion of the 149 bank remains exposed to thawing and continues to retreat independent of fluvial erosion 150 (Kanevskiy et al., 2016; Lawson, 1983; Shur et al., 2021; Stettner et al., 2018). 151 Whether the effects of permafrost on hydrological, vegetation, and geomechanical

152 properties of riverbanks results in a measurable or systematic influence on riverbank erosion

- 153 rates has not been resolved to date. Past reviews of studies of riverbank erosion rates in
- 154 permafrost regions failed to reach a conclusion on the role of permafrost (Lawson, 1983; Scott,

155 1978). Lawson (1983) and Scott (1978) both concluded that quantifying the role of permafrost
156 was confounded by other possible controls, such as hydrology, climate, and local bank
157 conditions, and hindered by an absence of comprehensive studies of erosion rates in both
158 permafrost and non-permafrost watersheds. More recent studies, continue to cite the lack of
159 long-term and large-scale observations as an ongoing challenge to quantifying the role of
160 permafrost in riverbank erosion (Chassiot et al., 2020; Debol'skaya & Ivanov, 2020; Gautier et

161 al., 2021; Tananaev, 2016).

162 Studies of individual rivers have reached conflicting conclusions regarding the relative 163 influence of permafrost on riverbank erosion rates. Leffingwell (1919) argued that frozen 164 sediments reduced bank erosion while Cooper and Hollingshead (1973) suggested that rivers 165 with permafrost should have relatively constant erosion rates from year to year. Are (1983), 166 however, argued that permafrost has no observable impact on riverbank erosion rates. In a study 167 of the Tanana River, AK, Gatto (1984) could not find a clear relationship between permafrost 168 occurrence and erosion rates. On the Lena River, island head retreat rates were 50 to 100% lower 169 where permafrost was present until increases in the combined temperature and discharge of the 170 river led to periods of equal or greater (40%) erosion on these islands (Gautier et al., 2021).

171 The potential for other regional drivers, such as climate and hydrology, to affect 172 riverbank erosions rates highlighted by previous studies still represents an ongoing challenge to 173 isolate the influence of permafrost. Hydrologically, northern rivers exhibit highly seasonal 174 discharge with peak flows associated with snowmelt runoff (nival) occurring over a few weeks in 175 late spring to early summer, and very limited to no flows (for smaller streams) during winter 176 when covered by ice (Holmes, Coe, et al., 2012; Lafrenière & Lamoureux, 2019; Woo et al., 177 2008). Observations along permafrost-dominated rivers suggest that local hydrology (Costard et 178 al., 2007, 2014) and the seasonality of river discharge can substantially affect local riverbank 179 thaw and erosion rates along individual rivers (Are, 1983; Randriamazaoro et al., 2007; 180 Tananaev, 2016).

181 Relative to other regions of the earth, many Arctic rivers have low sediment loads 182 (Rachold et al., 2004). Arctic rivers account for 10% of the global river discharge to oceans 183 (Holmes et al., 2012) but only 1% of the global sediment flux (Gordeev, 2006). On rivers outside 184 the Arctic, sediment loading has been suggested to have a strong positive influence on bank 185 erosion rates (Bufe et al., 2016; Constantine et al., 2014; Dietrich et al., 1999; Donovan et al., 186 2021; Dunne et al., 1981, 2010; Torres et al., 2017; Wickert et al., 2013), although other studies 187 argue that, at least locally, high sediment loads may be the result of high bank erosion rates and 188 not a driver (Dingle et al., 2020).

189 Ice is also a distinctive characteristic of northern rivers. The annual break up of winter ice 190 on high latitude rivers plays a major role in flooding (Prowse & Beltaos, 2002) and has also been 191 observed to have dramatic local impacts on banks and riparian vegetation (Ettema, 2002; Gautier 192 et al., 2021; Prowse & Culp, 2003; Scrimgeour et al., 1994) (Figure 1E). Regions of widespread 193 surface ice (aufeis) have been attributed to flow diversion and channel widening along Alaskan 194 north slope rivers (Wohl & Scamardo, 2022). At reach- to watershed-scale, however, the effect 195 of ice on bank erosion remains uncertain with studies concluding that ice has minimal influence 196 (Eardley, 1938; Williams, 1952, 1955), to ice protecting banks (Costard et al., 2014; Miles, 197 1976; Prowse & Culp, 2003), to ice increasing bank erosion (Brown et al., 2020; Chassiot et al., 198 2020; Prowse & Culp, 2003) while other studies suggest the available data is inconclusive 199 (Ettema, 2002).

201 **2.2** Potential response of high latitude rivers to a changing climate

202 Recent reviews have synthesized understanding of river and floodplain dynamics and 203 hypothesized how competing and compounding climatic changes may impact the dynamics of rivers with permafrost. Lininger and Wohl (2019) hypothesized that increased river discharges 204 and loss of permafrost would potentially lead to an acceleration of erosion rates; however, they 205 206 also noted that the possible influx of sediment from rapidly eroding permafrost landscapes could 207 outpace river transport capacities that would in turn drive aggradation of river channels and lead 208 to net bank accretion. Tananaev and Lotsari (2022) concluded that increased water temperatures, 209 flooding, and sediment loads would most likely drive an increase in riverbank erosion, but that 210 floodplain subsidence could decrease erosion rates.

211 Only a few multi-temporal studies of riverbank erosion rates in the Arctic exist to allow 212 for an examination of how historical hydrological and climate changes have altered bank erosion 213 rates. In a study of erosion along subArctic rivers in central Alaska, (Brown et al., 2020) 214 observed increased erosion rates correlated with a greater cold season discharge and earlier ice 215 break up on the Yukon and Tanana Rivers. In contrast, the Chandalar River showed increased 216 erosion correlated to colder spring temperatures, potentially related to more vigorous ice-driven 217 erosion during the break-up period (Brown et al., 2020). Studies on the Lena River have reported 218 increases and changes in island head erosion rates that were correlated with river temperature 219 increases (Costard et al., 2007; Gautier et al., 2021). 220

221 **3 Data Collection and Methods**

223 **3.1 Global and pan-Arctic analysis**

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3.1.1 Global compilation of published erosion rates and watershed characteristics

To compare erosion rates measured in watersheds with permafrost to ones without permafrost, we compiled 993 measurements of riverbank erosion from 336 rivers and streams from 169 published English language studies (Figure 2a) (Rowland & Schwenk, 2019). Drainage areas ranged from 0.15 to 3,000,000 km² and spanned rivers with widths of 1 m to 13 km. The dataset included rivers in 17 of the 30 Köppen-Geiger climate zones (Beck et al., 2018) Previously published studies of erosion rates in high latitude rivers comprised 9 % of studies in our global compilation.

233 Channel width, river drainage area, and sediment load and/or yield were recorded if 234 provided in a published study; otherwise, we assembled these ancillary data from other published 235 studies, global and regional datasets, or measured representative widths from Google Earth 236 images (Rowland & Schwenk, 2019). We used sediment load data from the Land2Sea (L2S) 237 (Peucker-Ehrenbrink, 2009) dataset for many rivers where that information was not available 238 elsewhere. If unique values for width, drainage area, discharge, and slope were not available for 239 individual erosion rates from the same river, we averaged the erosion rates to provide a single 240 value for each set of river characteristics. This averaging reduced the dataset to 585 241 measurements for lower-latitude rivers and 36 for published studies of high latitude rivers. 242 We classified published erosion rates into two categories based on the spatial scale over 243 which the measurements were made: local, such as at an individual bend, and reach. We then 244 only used the reach-scale measurements to compare to our new measurements of erosion rates in

245 permafrost systems. Errors were rarely reported in previous published studies, therefore, we

assigned a standard error of the mean erosion rate of 2% based on the average standard error

247 quantified for our high latitude river dataset.

248



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Figure 2: a) Locations of erosion rates compiled from published studies (Rowland & Schwenk, 2019). Circle size is logarithmically (base 10) scaled to the upstream drainage area. The underlying map is colored by the Köppen-Geiger climate zone (Beck et al., 2018). b) Map of high latitude rivers analyzed and permafrost extent. Locations of high latitude rivers analyzed for bank erosion rates shown in red. The permafrost map shows zones of permafrost extent from isolated to continuous (Obu et al., 2019 version 2.0).

256 **3.1.2 Pan-Arctic satellite and aerial photo analysis**.

257 We generated a new dataset of riverbank erosion rates across the northern high latitudes 258 $(\geq 60^{\circ} \text{ N})$ on 13 Arctic and sub-Arctic rivers with varying permafrost conditions, sizes, and 259 morphologies (Rowland & Stauffer, 2019b). The rivers included: the Yukon, Selawik, Koyukuk, 260 Noatak, and Colville Rivers in Alaska, and the Indigirka, Kolyma, Lena, Ob, Pechora, Taz, 261 Yana, and Yenesei River in Russia (Figure 2b). We supplemented our analysis with river masks generated by Brown et al. (2020) for the lower Yukon, Tanana, and Chandalar Rivers in Alaska. 262 263 The drainage area of the analyzed rivers ranged between 1,300 km² (Selawik) and 2.5 x 10^{6} km² (Yenisei); widths ranged between 65 m (Selawik) and 6,500 m (Lena); and planform 264 265 morphologies varied from single-threaded meandering to multi-threaded braided and 266 anastomosing. All river sections analyzed were bounded by alluvial floodplains. Based on 267 published maps of permafrost distributions, all rivers have some degree of permafrost in their 268 watersheds and along the river channels (Brown et al., 2002; Gruber, 2012; Obu et al., 2018, 269 2019; Pastick et al., 2013, 2015) (Figure 2b).

270 We used 129 images from the Landsat archive, higher resolution satellite imagery, and 271 aerial photography collected between the 1970s and 2018 to generate binary masks of more than 272 5,500 km high latitude rivers (Table S1) (Rowland & Stauffer, 2019a). The masks were 273 generated using the automated feature extraction software GeniePro (Perkins et al., 2005) and 274 eCognition (Flanders et al., 2003). Masks of the bankfull channel extent, not subject to variations 275 in river stage at the time of image acquisition, were generated by classifying both water and bare, 276 vegetation-free sediment along the banks and channel islands as part of the active channel 277 (Donovan et al., 2019; Rowland et al., 2016 and references therein). The masks were analyzed 278 using the Spatially Continuous Riverbank Erosion and Accretion Measurements (SCREAM) 279 software (Rowland et al., 2016). A detailed description of both the SCREAM methodology for 280 measuring erosion rates and channel widths may be found in Rowland et al. (2016). All masks 281 were manually inspected and corrected for errors generated by shadows, clouds, and poor classifications prior to analysis with SCREAM. 282

The accuracy and comparability of SCREAM generated erosion rates to results derived from other published methodology used to measure erosion rates on non-Arctic rivers was presented in Rowland *et al.* (2016). In addition, we used SCREAM to measure the erosion rates for three lower latitudes rivers, (the Ucayali River, Peru, the Strickland River, Papua New Guinea, and the East River, Colorado) that span a broad range of drainage areas. The results of these measurement are both consistent with the published data for these rivers (Aalto et al., 2008; J Schwenk et al., 2017) and do not show a bias compared to the complete low latitude dataset.

290 We averaged individual bank measurements along sections of rivers to compare reach 291 scale rates to watershed properties, such as drainage area, sediment yield, discharge, slope and 292 permafrost, and to weight measurements between rivers proportionately. We created bins based 293 on changes in upstream drainage area, such that the drainage area associated with each new river 294 segment increased by 20% on average with a minimum increase of 5%. For rivers which had 295 multiple time periods of analyses, such as the Yukon, Lena, Koyukuk, and Noatak Rivers, we 296 used only the longest time interval in our global comparison, resulting in a dataset of 78 297 measurements.

Errors in individual measurements of erosion rates and channel width were quantified in Rowland et al. (2016). The largest source of error comes from the ability to accurately classify the location of a riverbank in remotely sensed imagery. Rowland et al. (2016) estimated that the error in bank erosion measurements for any time interval, due to bank classification, was 0.35 302 pixels. Therefore, the shorter the time interval over which change is measured the greater the

303 error in erosion rates. In this study, we used the standard error (SE) of the mean based on the 304 reach-averaging discussed above which incorporates the measurement uncertainty into the reach-305 scale measurements.

306 Donovan et al. (2019) provided an in-depth evaluation of the importance of and methods 307 for incorporating detection limits into the reporting of remotely sensed river migration rates. 308 Here, we assigned a value of zero to all erosion measurements below the threshold of detection. 309 We confirmed that this approach did not lead to image resolution dependent results by 310 comparing rates measured with 30 m Landsat imagery to rates measured with high-resolution 311 imagery over approximately the same time intervals (Supporting Text S3 and Figure S4).

To assess other sources of error and bias in our dataset, we tested the influence of river planform morphology and measurement time interval on erosion rates (Donovan & Belmont, 2019 and references therein). The hypothesis that the erosion measurements from single- and multi-threaded rivers come from the same distributions could not be rejected using two-tailed Wilcoxon rank sum tests for either high or low latitude rivers (Figure S5). Moreover, there was not a statistically significant correlation between erosion rates and measurement time intervals present in either of our datasets (Figure S6).

320 **3.1.3** Analysis of remotely sensed and published erosion rates

We conducted two comparisons of high and low latitude erosion rates. First, we normalized all erosion rates by channel width to control for the general trend of increasing erosion rates with river size (Hooke, 1980; Ielpi & Lapôtre, 2020; Krasnoshchekov, 2009; van de Wiel, 2003). The measurements were compared across the full datasets, and separately by reach averaged and locally based erosion rates. Wilcoxon rank-sum test between the datasets were performed with a significance threshold set at 0.05.

- 327 Second, we compared high and low latitude erosion rates by examining the relationships 328 between erosion rate and stream power (Ω):
- 329

$\Omega = \gamma QS, \ (1)$

330 where, γ is the specific weight of water (the density of water (ρ) times gravity (g)), Q is the 331 discharge, and S is the river slope. Prior studies have found stream power to be an effective 332 predictor of bank erosion (Akhtar et al., 2011; Bizzi & Lerner, 2015; Hickin & Nanson, 1984; 333 Larsen et al., 2006; Lawler et al., 1999; Moody, 2022; Nanson & Hickin, 1986). Stream power 334 incorporates both hydrological variability through discharge and basin characteristics through 335 slope.

336 The selection of an appropriate value for Q (eq 1) requires determining what discharge 337 values are both relevant to bank erosion and comparable across rivers. Numerous studies have 338 shown that the onset of bank erosion correlates to a critical value of boundary shear stress and 339 hence discharge (Darby et al., 2010; Francalanci et al., 2020; Leyland et al., 2015; Rinaldi et al., 340 2008; Rinaldi & Darby, 2008). This threshold value is often associated with a bankfull discharge 341 which commonly is assigned based on flow frequency analysis and a specific return interval 342 (Bizzi & Lerner, 2015; Naito & Parker, 2019). Recent modeling suggests that a range of high but 343 not extreme flows may provide a more meaningful hydrological predictor for channel dynamics 344 (Naito & Parker, 2019, 2020).

Few bank erosion studies reported bankfull or maximum discharges, and many of the rivers in both our Arctic and meta-analysis of published erosion rates are ungauged or lack reliable stream flow data for the reaches of interest. Therefore, we extracted the long-term 348 average (1960 – 2015) of the FLO1K annual maximum monthly discharge (Barbarossa et al.,

349 2018) using the Python package rabpro (J Schwenk et al., 2022). The annual maximum monthly

- 350 captures both geomorphically relevant discharges that occur for sufficient durations to be
- effective and avoids biases of short-lived outliers potentially captured in the annual maximum

352 daily discharge.

353 In our regression analysis of erosion rates, we sought to address two potential sources of 354 uncertainty and bias. First, our datasets have non-uniform errors in erosion rates, and second, our 355 measurements were not uniformly distributed across stream power, raising the possibility that 356 linear regressions to the datasets could be influenced by outliers. To address these issues, we 357 used a boot-strap method for regression. We randomly sampled with replacement 5,000 subsets 358 of the original stream power – erosion rate data pairs. Each of the 5,000 subsets were the same 359 length as the two original datasets (high and low latitude). For each randomly selected stream 360 power value an erosion rate was randomly selected from a normal distribution of erosion rates 361 constructed from the mean erosion rate and a standard deviation equal to the standard error of the mean erosion rate. We then log10-transformed both the randomly selected stream power and 362 363 erosion rate values and fit a linear regression to the transformed data. From the 5,000 regressions 364 we obtained a distribution of mean slopes, intercepts, r^2 , *p*-values, and values corresponding to 365 the 95th confidence intervals that incorporate the uncertainty in erosion rate measurements and 366 tests the possible influence of outliers. The influence of outliers was minimized because no 367 single sample retained all of the original values in the dataset. On average, 63% of the original 368 stream power-erosion pairs were included in any individual sample, the maximum precentage of 369 the dataset in any sample was 70%.

370

371 **3.1.4** Analysis of the influence of river hydrology and sediment load

372 To test alternative hypotheses that hydrology could explain differences in erosion rates 373 between permafrost influenced and permafrost free rivers we used global hydrological databases 374 of river discharges (Land2Sea dataset (L2S) (Peucker-Ehrenbrink, 2009) and (Dai & Trenberth, 375 2002)). We evaluated whether strong seasonality of peak river flows leads to relatively 376 inefficient bank erosion due to the potential non-linear (≤ 1) relationship between river discharge 377 and shear stresses driving bank erosion such that greater time-integrated erosion rates for the 378 same total annual discharge under differing hydrographs. We modeled erosion rates along the 379 Yukon and Lena Rivers by redistributing the total annual flows for the Yukon and Lena River 380 based on the hydrographs of nine lower-latitude rivers that spanned seven climate zones and had stream powers ranging from 1,400 to 4,000 W m⁻¹ (Supporting Text S4). 381

We used a widely applied excess boundary shear stress model of bank erosion that does not attempt to account for bend specific hydrodynamic controls on erosion rates (Darby et al., 2007; Francalanci et al., 2020; Midgley et al., 2012; Partheniades, 1965; Pizzuto, 2009; Zhao et al., 2022):

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 $E = \kappa_d (\tau_b - \tau_c)^{\alpha}$ (2) where *E* is the linear erosion per unit time, κ_d is an erodibility coefficient with units of m³/N/s, τ_b and τ_c are the boundary and critical shear stress for initiation of bank erosion in Pa, respectively, and α is a dimensionless exponent commonly set to 1 (Rinaldi & Darby, 2007; Zhao et al., 2022). Parameterization of Eq.2 and data sources for the Yukon and Lena Rivers is presented in

2022). Parameterization of Eq 2 and data sources for the Yukon and Lena Rivers is presented inSupporting Text S5.

392

393 3.2 Field observations and measurements

394 We conducted field investigations along two rivers (Yukon and Koyukuk) in the boreal 395 forest region of Alaska (Young et al., 2017) and one river (Selawik) in tussock tundra dominated 396 western Alaska (Raynolds et al., 2019).



Figure 3: Field study locations. a) Locations of three rivers where field observations were collected. b) Koyukuk 399 River with measurement locations highlighted. Background image is a Sentinel 2 scene acquired in July 2022. The 400 river flow is from north to south. Location of image in Figure 1d annotated. c) Selawik River study reach with 401 locations of sensors and bends discussed in text highlighted. Background is an August 2, 2022 Worldview3 image 402 (©2022 Maxar). The river flows from east to west. Location of image in Figure 1f annotated. d) Section of Yukon

River in the Yukon Flats where field observations were made in 2009. Red outlines with labels indicate location of
images in Figure 1. Remote sensing analysis include this entire reach and extended both up- and downstream.
Background image is a Sentinel 2 scene acquired in July 2022.

406

407 3.2.1. Koyukuk River, Alaska

We conducted field work on the Koyukuk River near the Village of Huslia (65.7 N, 156.4 W) in 2018. This section of the Koyukuk River flows through an extensive floodplain up to 18 km wide that is located south of the Brooks Range and north of the river's confluence with the Yukon River. The Koyukuk drains 80,000 km² upstream of the study reach. The mean annual

412 discharge at the Hughes gauging station (66.0475, -154.258), located just upstream of the study

413 reach averaged $406 \text{ m}^3/\text{s}$ between 1961 and 1981

- 414 (https://waterdata.usgs.gov/nwis/inventory/?site_no=15564900). Along this section, the
- 415 Koyukuk is primarily a single-threaded and meandering sand bed river. The floodplain is
- 416 composed of sandy deposits overlain by silty fines with scroll bar complexes easily visible due to
- 417 the coincidence of curvilinear rows of trees. At the village of Huslia, a site of pronounced local
- 418 erosion in permafrost-free aeolian bluffs, residents have reported average riverbank erosion rates
- 419 of 3-9 m/yr, with a yearly maximum of 30 m in 2004 and episodic rates of erosion as high as 18
- 420 m in a single spring flood in 2003 (U.S. Army Corps of Engineers, 2007).

421 Vegetation on the floodplain is heterogeneous with willow in early successional areas 422 along the river such as point bars and a mix of black spruce, white spruce, and aspens along older 423 floodplain regions and scroll bar complexes. Treeless expanses of old oxbows and drained lakes 424 are covered by grasses and generally lacking permafrost. Mosses and tundra vegetation overlay 425 older deposits with permafrost. Both field observations and published maps (Obu et al., 2019; 426 Pastick et al., 2015) indicate that the floodplain is underlain by discontinuous permafrost with 427 strong correlations between vegetation cover and the presence of near surface permafrost. Ice 428 content in frozen sediments is highly variable with excess ground ice commonly observed in 429 drained lake basins. Based on the Climatic Research Unit (CRU) 0.5° data, the mean annual temperature at Huslia was -5.3° C between 1978 and 2018, with a 0.03°/yr increase in mean 430 431 annual temperature over that time period (Harris et al., 2020).

432 Field work on the Koyukuk River was conducted in June and July of 2018. Surveys to 433 determine the location and extent of permafrost consisted of coring, digging pits, trenching of 434 cutbank faces, and a visual inspection of banks from a boat to note distinctive permafrost 435 features (e.g., overhanging tundra mats, thermoerosional niching, ice wedges, active drainage of 436 ice melt from soils). Coring locations were chosen based on where permafrost was suspected to 437 be present or absent and to sample a range of geomorphic units and relative deposit ages based 438 on scroll bar and meander patterns preserved on the floodplain. Coring was conducted using a 439 SIPRE corer, designed to core into frozen soils. Cores were 1-2 m in length and the presence of 440 permafrost was inferred by the existence of frozen soil at depth. We also conducted more 441 extensive permafrost surveys using a soil probe to note the presence or absence of frozen ground 442 in the upper 1 m of soil (the length of the probe). These observations and multispectral 443 WorldView 3 (WV3) imagery acquired in May 2018, and an interferometric synthetic aperture 444 radar (IfSAR) data were used to train a convolutional neural network model (CNN) to predict the

445 occurrence of permafrost across the study site (Schwenk et al., 2023).

At exposed bank faces, thawed sediment was removed, and the underlying frozen bank was inspected to characterize grain size, stratigraphy, and ice structures. A concrete corer 210 ml in volume was used to extract frozen samples from the exposed bank face at 11 locations across five banks to measure bulk density and ice content. We used the pylib python package

- 450 (Holmgren et al., 2018) to calculate the average annual total direct solar irradiance at each of
- 451 these five riverbanks. Riverbank temperatures were monitored at five locations along 3
- 452 riverbanks using an array of iButton loggers installed in a custom rod manufactured by Alpha
- 453 Mach Inc. The temperature loggers were located at the bank face, 5, 10, 20, and 40 cm depths
- 454 (Rowland et al., 2023).
- 455

456 3.2.2 Selawik River, Alaska

457 The Selawik River flows east to west on the southern side of the Kiliovilik Range on the 458 southern margin of the Brooks Range. Field research was conducted in the vicinity of an actively 459 eroding retrogressive thaw slump (Barnhart & Crosby, 2013) near the confluence with the 460 Kiliovilik Creek, Alaska (66.49 N, -157.60 W) in 2010, 2011, and 2012. Along the study reach 461 the drainage area ranges from 1,100 to 2,000 km² and the average channel width is 65 m. The 462 largely single-threaded river has a gravel bed with filled and partially filled abandoned channel 463 segments occupying a floodplain approximately 1 km in width. The Selawik River is ungauged 464 but modelled river discharges estimate a long-term mean annual discharge of 27 m³/s

465 (Barbarossa et al., 2018).

466 The region is characterized by shrubby tussock tundra with birch and willows along river 467 and stream corridors (Cable et al., 2016; Jorgenson et al., 2009). Mean annual air and one meter 468 deep soil temperatures near our study sites were reported as -4.6 and -3.9 C, respectively (Cable 469 et al., 2016). Though mapped at the transition between discontinuous and sporadic permafrost 470 zones (Obu et al., 2019; Pastick et al., 2015), we observed permafrost to be present along most of 471 the floodplain except for active and newly abandoned point bar deposits and gravel-dominated 472 channel fills with overlying silt deposits less than 40 cm thick. Observations of excess ground ice 473 were limited to isolated ice wedges exposed in eroding hillslopes and lowland surfaces 474 topographically above the present-day floodplain.

Soil and air temperatures on the Selawik were recorded at hourly time intervals (Rowland
et al., 2023). Soil temperature sensors were placed 50 cm below the ground surface at 66.48 N,
157.71 W. Air temperatures were recorded at two meters above the ground surface at a weather
station located 5 km upstream (66.500 N, 157.609 W).

479

480 **3.2.3 Yukon River, Alaska**

481 We conducted field work in the Yukon Flats of central Alaska near the Village of Beaver 482 (66.3594, -147.3964) in the summer of 2009. This reach has been classified as a wandering 483 planform morphology (Clement, 1999) and features multiple threads with a few dominant 484 channels and large stable islands. An upstream drainage area of 500,000 km² generates a mean 485 annual discharge of $3,450 \text{ m}^3$ /s measured at the stream gauge located at the downstream end of 486 the study reach (https://waterdata.usgs.gov/nwis/inventory/?site no=15453500). In this section 487 of river, the total width varies from 1,500 and 2,700 m. The bed material is dominated by gravel. 488 Riverbanks ranging in height from four to six meters are composed of gravel in the lower half 489 and overlain by sandy overbank deposits, and a reported slope of 0.0001 (Clement, 1999). 490

491 **4 Results**

492

493 In the following sections, we present results from the largest spatial (pan-Arctic and global) and

- temporal (decades) scales and progressively decrease in magnitude to examine riverbank erosion
- 495 at the smallest spatial (riverbank) and temporal (days) scales.

497 **4.1. Pan-Arctic erosion rates relative to low latitude rivers systems.**

498Rivers with permafrost showed a clear and statistically significant difference in width499normalized erosion rates relative to rates measured in permafrost-free watersheds (Figure 4a;500two-tailed Wilcoxon rank sum tests (*p-value* < 0.001)). Erosion rates grouped by reach scale</td>501measurements indicated that permafrost-influenced rivers had normalized erosion rates 5.7 times502lower (0.006 ± 0.001 versus 0.034 ± 0.003, mean ± standard error). Grouped across all503measurements and for local scale measurements, high latitude rivers had mean normalized504erosion rates with statistically significant lower rates.

505 The non-normalized reach-scale bank erosion rates for both high and low latitude rivers 506 show a correlation with the estimated stream power for each river reach (Figure 4b). For each 507 dataset, we plotted the mean best-fit regression along with all the individual boot-strapped linear regressions within the 95th confidence interval for the slope (shown as shaded regions). The 508 509 mean best-fit regression yielded statistically significant (*p*-value < 0.01) power law relationships for both sets of riverbank erosion rates: low latitude $E = 0.008(0.014/0.005)\Omega^{0.77(0.85/0.68)}$; high 510 latitude E = $0.19(0.36/0.09)\Omega^{0.23(0.31/0.16)}$ (the numbers in parentheses are the values of the upper 511 and lower 95th confidence intervals). Stream power proved a stronger predictor of bank erosion 512 rates in low latitude ($r^2 = 0.58$) than high latitude ($r^2 = 0.27$) rivers. None of the 5,000 boot-513 514 strapped regressions yielded slopes for either dataset that overlapped with the other.

515 None of the high latitude rivers for which we measured erosion rates had stream powers lower than the point of intersection of the two regression lines ($\Omega \sim 350 \text{ W m}^{-1}$). We plotted the 516 517 four lowest stream power data points (diamonds) in the dataset of previously published rates (all 518 based on local studies). Two locations fall above the intersection of regression lines and two 519 below; the ones below plot on the same regression line as the low latitude dataset. We also 520 marked (black triangles) three low latitude rivers we analyzed with the SCREAM methodology 521 to highlight that the trend of our high latitude dataset does not appear to be an artifact of the 522 analysis method.

523 A pan-Arctic comparison of erosion rates to published maps of permafrost (Brown et al., 524 2002; Gruber, 2012; Obu et al., 2018, 2019) does not show a correlation between erosion rates 525 and the relative extent of permafrost in the basin or individual river reaches. Our evaluation of 526 these permafrost products (Supporting Text S6) showed high uncertainties regionally particularly 527 in areas of variable permafrost such as floodplains. For example, in the Yukon Flats region of 528 Alaska, a local permafrost map and a state-wide data product produced by the same research 529 group had significant disagreement at the scale of individual river reaches and bends (Pastick et 530 al., 2014, 2015). Therefore, at the pan-Arctic scale we can only conclude that rivers with some 531 extent of permafrost have lower reach-averaged bank erosion rates compared to rivers with 532 equivalent stream power in basins without permafrost. The difference in erosion rate is up to 40 533 times at stream powers of 400,000 W m⁻¹ but becomes insignificant at stream powers less than 534 $1,000 \text{ W m}^{-1}$.



Figure 4: Comparisons of high and low latitude riverbank erosion rates. a) Violin plots of width-normalized riverbank erosion rates for high and low latitude rivers. These plots show the range and distribution of bank erosion rates from published data and our analyses. The y-axis indicates three categories: "All"-data regardless of the scale of measurement, "Reach-averaged" measurements, and "Local" measurements (point to bend-scale). The black rectangles display the interquartile range, the lines indicate the 1.5x interquartile range, white dots represent median values. The numbers report the mean, standard error, and number of observations (in parentheses). b) Reachaveraged erosion rates plotted by stream power. Circular points are data compiled from published studies and squares are high latitude rivers analyzed in this study. All points are colored by the Köppen-Geiger climate zones (Beck et al., 2018) shown in Figure 2a. The solid gray and blue lines show the mean best fit regressions from the 5,000 boot-strapped linear fits to the log10 transformed data. The shaded regions show all the regressions that fell 547 within the 95th confidence intervals based on the distributions of modeled slopes. Diamond symbols show the 548 published erosion rates of rivers with the smallest stream powers.

550 **4.2 Riverbank permafrost and ice content on the Koyukuk River**

551 4.2.1 Reach and bend erosion rate variations with permafrost extent

552 Using the permafrost map we generated for the Koyukuk River (Section 3.2.2) (Figure 5), we

found that erosion rates averaged over 10-channel width long segments showed a general trend

- 554 in decreasing erosion rates as the fraction of permafrost in the surrounding floodplain increased
- from 0.23 to 0.72 (Figure 6a). Erosion rates averaged at the individual bend scale (Figure 6b)
- also showed clear correlation between permafrost extent and decreases in erosion rates.
- 557
- 558



- 559 560 **Figure 5:** Example segment of permafrost map generated for the Koyukuk River, AK. **a**) May 2018 Worldview3
- 561 image of the Koyukuk River just upstream of the Village of Huslia (©2018 Maxar). b) mapped permafrost extent
- 562 (blue) of the Koyukuk River floodplain.



565 Figure 6: a) Riverbank erosion rates averaged along segments approximately 10 channel widths in length on the 566 Koyukuk River, AK, plotted against the fraction of permafrost mapped in the surrounding floodplain. Linear 567 regressions were significant at p-values < 0.01 for all time periods, the r² values were 0.52, 0.49, 0.51 and 0.50 for 568 1978-2012, 2012-2018, 1978-2018, and 1986-2015, respectively. b) Riverbank erosion rates averaged over outer 569 banks on individual bends along the Koyukuk River, AK, plotted against the fraction of permafrost mapped in the 570 surrounding floodplain. Linear regressions were significant at p-values < 0.01 for all time periods, the r² values were 571 0.54, 0.33 and 0.54 for 1978-2012, 2012-2018, and 1978-2018, respectively. c) Erosion rates of individual riverbank 572 segments (left vertical axis) plotted by the volumetric ice content of bank material and the modeled yearly solar 573 irradiance received by the bank (right vertical axis). In all plots, the vertical lines on the points show the standard 574 errors of the erosion rates, where not visible the SE is smaller than the symbol.

575 4.2.2 Bank erosion rates and volumetric ice content

576 Volumetric ice content at five bends we sampled on the Koyukuk River ranged from 0.41 577 to 0.76 (Rowland et al., 2023). Erosion rates decreased with increasing ice content at four of the 578 five locations (Figure 6c); the fifth location had the highest ice content and exhibited the highest 579 erosion rates. Of the two locations with the highest ice contents (0.72 and 0.76), the location with 580 the lowest erosion rates faced almost due north (321°) and the one with the highest rates faced 581 almost due south (179°). A comparison of the total annual irradiance to erosion rates and ice 582 content (Figure 6c righthand vertical axis) showed that the rapidly eroding, high-ice content, 583 south-facing bank, received approximately three times as much direct solar radiation as the 584 north-facing, high ice content bank. The rapidly eroding bank showed clear evidence of thermal denudation in the field with water generated by melting ice causing active slumping of the fine-585 586 grained banks and subaerial retreat (Figure 1d). A comparison of erosion rates to annual direct 587 irradiance at all bends in this section of the Koyukuk River, however, suggests that irradiance 588 alone is not a strong predictor of erosion rates, even in banks with large fractions of permafrost 589 (Figure S8). 590

591 **4.3 Bank material properties and temperature profiles on the Selawik River**

592 Erosion rates measured between 1981 and 2009 along a 45 km reach of the Selawik River 593 averaged 0.68 ± 0.03 m/yr (all rates are the mean and standard error) and ranged from 0 to 5.7 594 m/yr. This range highlights the spatial variability commonly observed across the full permafrost-595 affected river erosion dataset (J. C. Rowland & Stauffer, 2019b). To explore controls on this 596 variability, we collected field observations and analyzed seven years of 2 m resolution satellite 597 imagery at two bends 5 km apart. The bends had equivalent hydrology, similar width-normalized radii of curvature (2.6 vs 2.7), and both were eroding permafrost-dominated floodplains. Despite 598 599 these similarities, one bend (shown in Figure 1f) had a 28-year averaged erosion rate of $3.90 \pm$ 600 0.11 m/yr and the other 0.40 ± 0.07 m/yr (Figure 7a).

601 Bend-averaged erosion rates between 2009 and 2016 at the rapidly eroding bend ranged 602 from non-detectable to 4.65 ± 0.66 m/yr (Figure 7a). Only two of these years had erosion rates 603 close to or exceeding the longer-term average. Field observations indicate that most of the annual 604 bank erosion occurred in a few days of snowmelt-driven flows during the spring. We observed 605 total erosion of 5.4 m in one section of this bend in the spring of 2011, 63% of which occurred 606 over less than four days.

607 The temperature sensor data from the rapidly eroding riverbank (Rowland et al., 2023) 608 led us to infer that the bank remained frozen until the bank materials collapsed into the river. On

- May 25, 2011, the temperature sensor originally installed 2 m from the eroding bank face
- 610 recorded an abrupt increase in temperature from -0.16 to 4° C between hourly measurements and
- 611 then ceased recording data (Figure 7b). We interpret the jump in temperature to reflect the sensor
- 612 encountering river water just prior to the sensor being lost. A nearby sensor, initially located 7 m
- from the bank face, buried at the same depth recorded a ground temperature of -0.9° C,
- 614 indicating that sediments in the proximity of the bank face remained frozen throughout this time 615 period.
- 616



628

619 Figure 7: Erosion rates and thermal conditions of riverbanks along the Selawik River, AK. a) Bend averaged 620 erosion rates for two bends at yearly intervals from 2010 to 2016. Horizontal lines indicate the long-term (1981-621 2009) erosion rates for each bend. Error bars show the standard error of the erosion rates. b) Air and riverbank soil 622 temperatures at the downstream river bend shown in a). Hourly soil temperature data collected at a depth of 50 cm, 623 initially located 2 and 7 m from the riverbank face (the 7 m sensor was 1.6 m from the bank face at the end of May 624 2011). In May 2011, bank erosion exposed the 2 m temperature sensor (red) to river water prior to the sensor being 625 lost and data collection ending. Plot markers for the soil temperatures are only displayed every 12 hours for 626 visibility. Local air temperatures are plotted in green to highlight the spring warming. Figure 1f shows the 627 downstream bend where the bank temperatures were recorded (panel b).

629 This bend exhibited little to no erosion during times of lower river discharge throughout 630 the ice-free season despite abundant loose gravels mantling the bank face and toe. During this 631 period, blocks of tussock tundra that had collapsed following high snowmelt flow-driven bank 632 undercutting (Figure 1f) appeared to help protect the bank from erosion even during late-season, rainfall-induced high flows of similar magnitude to snowmelt flow. Despite their persistence 633 634 following high summer flows, these blocks were not present following ice-break up in the spring. 635 Remotely sensed, yearly measurements of bank erosion rates between 2009 and 2016 at 636 the slowly eroding upstream bend, ranged from non-detectable to 0.57 ± 0.56 m/yr (Figure 7a).

637 Unlike the gravel dominated, rapidly eroding downstream bend, this bank was composed of fine

638 to medium sand, and tussock tundra covered its face. Our excavation of the bank face revealed

639 that this tundra was associated with failure blocks that had collapsed and refrozen to the

640 underlying sandy deposits. These blocks appeared to remain fixed to the bank throughout the 641 spring ice-out flows and were extremely difficult to remove during bank excavation.

642

643 **4.4 Subaerial thaw and retreat of riverbanks, and river ice-driven erosion**

644 In addition to the thermal degradation of the ice-rich, south facing riverbank on the 645 Koyukuk (Figure 1d), we observed both transient and persistent subaerial thaw and retreat of 646 riverbanks along both the Yukon and Koyukuk Rivers. Along both rivers, frozen bank materials 647 were exposed at the bank face immediately following the recession of high flows and at locations 648 where steep bank geometry prevented the accumulation of thawed sediments (Figure 1a). 649 Following flow recession, subaerially exposed bank sediments appeared to thaw rapidly. On the 650 Koyukuk River we installed five temperature sensor arrays horizontally at bank locations with 651 high ice contents composed of silty sediments (corresponding to the 0.57 ice content location of 652 Figure 6c), and lower ice content fine to medium sands (two lowest ice content points on Figure 653 6c). Prior to installing the temperature arrays, we removed all thawed sediment down to frozen 654 materials. Over the course of two weeks in the late June and early July 2018, the banks thawed 655 between 40 to 124 mm/day with rates generally decreasing with higher ice contents (Rowland et 656 al., 2023). In all locations, the thawed materials remained in place and created a thermal buffer between the diurnally fluctuating air temperatures and the advancing thaw front. This buffer 657 658 reduced the thaw rate by 40% between the 0 to 10 cm and the 10 to 20 cm distances from the 659 bank face.

660 Along both the Yukon and Koyukuk Rivers, subaerial portions of the exposed vertical 661 bank faces and the undersides of thaw niches continued to retreat even when not directly exposed 662 to river water. This retreat occurred as thawing chunks of bank material spalled off the subaerial face and dropped into the flowing river and were carried away from the bank face (Figure 1a). 663 664 Along banks with extensive thermal niches on the Yukon River massive failure blocks that were 665 10 m wide and several meters thick were observable in July 2009 (Figure 1b). Despite their size, 666 the blocks appeared to thaw rapidly, and rarely appear in high-resolution imagery persisting from 667 one year to the next. Shallowly rooted trees and tundra tended to detach and slide off the tilted 668 blocks (Figure 1b) offering limited bank protection from further erosion.

In June/July 2009 we observed one additional mechanism for non-fluvial bank erosion on the Yukon River in the Yukon Flats. At a limited number of locations concentrated at the head of islands several decimeters of sediment were removed from the floodplain surface during spring ice out, as if scraped off by a bulldozer (Figure 1e). The ice-impacted bank sections appeared spatially limited on the Yukon and potentially had a minimal effect on the lateral retreat of the riverbanks.

675

676 **5 Discussion**

677 Our pan-Arctic analysis showed that rivers in basins with permafrost on average have 678 width-normalized bank erosion rates six times lower than non-permafrost rivers (Figure 4a). This 679 rate difference increased with river size and stream power from negligible to 40 times at the 680 highest stream powers (Figure 4b). The results from the Koyukuk River suggest that permafrost 681 concentration has a significant control on variations in bank erosion rates for this river with 682 robust linear decreases in erosion rates as permafrost in the riverbanks increases (Figure 6). With 683 the uncertainty of permafrost data available across the Arctic (Supporting Text S6) we do not have clear evidence for similar relationship at the pan-Arctic scale. While across all rivers we see

significant variation in the range of erosion rates for given permafrost fractions, we do observe

- clear upper bounds on the maximum rates of erosion. We interpret these results to indicate that
- 687 locally many factors control bank erosion rates, but permafrost systematically sets an upper limit
- 688 on these rates.
- 689 Despite our findings that permafrost exerts a strong control on riverbank erosion, prior 690 research has suggested that other characteristics of permafrost-affected rivers may have equal or 691 greater control on riverbank erosion rates than permafrost. Therefore, we examined three
- 692 possible alternative hypotheses to assess the hypothesis that permafrost is the dominant control
- 693 of lower erosion rates observed in high latitude rivers.
- 694

5.1. Alternative hypothesis 1: shorter annual flow durations in northern rivers result in comparatively less flow relative to basin size.

697 Given the short duration over which Arctic rivers flow, these rivers may have less total 698 discharge relative to basin drainage area than comparable low latitude rivers. Despite the strong 699 seasonality of discharge in northern high latitude rivers (Church, 1977; Holmes, Coe, et al., 700 2012; Woo et al., 2008) a comparison of the total annual discharge versus drainage basin size 701 showed no clear distinction between high and low latitude systems (Figure 8a). We analyzed the 702 linear relationship between total annual discharge and drainage basin size using the Land2Sea 703 dataset (L2S) (Peucker-Ehrenbrink, 2009). The paired *t*-test of the slopes (Zar, 1999) indicated 704 that the relationship between total annual discharge and drainage basin size did not differ 705 between high and low latitude systems (p-value = 0.2; Figure 8a). The Amazon River was 706 excluded from this analysis because it is a global outlier, even within low latitude systems 707 (Milliman & Farnsworth, 2013). The null hypothesis that the specific water yields (annual river 708 discharge divided by drainage basin area) for high and low latitude rivers come from the same 709 populations cannot be rejected by a two-tailed *t*-test (p-value = 0.9). An evaluation using the Dai 710 & Trenberth (2002) datasets yielded identical results (Figure S9). We thus conclude that 711 differences in flow volumes between high and low latitude rivers are not likely responsible for the discrepancy in erosion rates.

712 713

714 **5.2.** Alternative hypothesis 2: Shorter but larger flow peaks result in less efficient erosion.

715 The second alternative hypothesis is that the extreme seasonality of peak Arctic river 716 flows leads to relatively inefficient bank erosion due to the potential non-linear (< 1) relationship 717 between river discharge and shear stresses driving bank erosion. That is, rivers with flow 718 distributed more evenly in time may have greater time-integrated erosion rates for the same total 719 annual discharge. Using our modeled erosion rates (Section 3.1.5, Figure 8b, Supporting Text 720 S5) we found that in most cases the modeled total erosion using the flatter hydrographs equaled 721 or exceeded the modeled erosion using natural hydrographs for both the Yukon and Lena (Figure 722 8c). The magnitude of erosion increases (27% maximum), however, failed to explain the 40 723 times greater erosion rates observed on lower latitude rivers of equivalent drainage areas (Figure 724 4b), thus we rejected the second hydrological hypothesis for lower erosion rates for high latitude 725 rivers.



Figure 8: Comparisons low and high latitude river basin hydrology. a) Data from Land2Sea (Peucker-729 Ehrenbrink, 2009) separated into high (n = 54) and low (n = 1203) latitude river systems and plotted with annual 730 discharge (Q) versus drainage area (A_d). Linear regression lines for both high (blue) and low latitude (black) are 731 plotted, but the black line is obscured by the blue line. The r^2 of the regressions are 0.96 for high latitude and 0.55 732 for low latitude rivers (p-values ≤ 0.001). b) Modeled daily erosion using Eq 2 for both the Yukon and Lean Rivers. 733 The plot shows erosion based on observed long-term averaged daily flow for each river and for the same annual 734 volume of flow temporally redistributed for two large low latitude rivers with high bank erosion rates and stream 735 powers. c) Predicted erosion for the Yukon and Lena Rivers using observed total annual discharge temporally 736 distributed based on the average annual hydrographs of nine lower-latitude rivers. Values greater than one indicate 737 that modeled erosion would be greater if the annual flow for the Yukon or Lena Rivers were redistributed in time 738 equivalent to the lower-latitude river's flow regime. 739

- 740 The rejection of alternative hypotheses 1 and 2 suggest that differences in hydrology 741 between northern high latitude rivers and other river systems are not adequate to singularly 742 explain the regional differences in erosion rates. The power-law regressions in Figure 4b also 743 indicated that stream power (hydrology) has much less predictive power for bank erosion in high latitudes ($r^2 = 0.27$) than for river systems without permafrost ($r^2 = 0.58$). 744
- 745

746 5.3 Alternative hypothesis 3: Lower sediment loads in high latitude rivers lead to lower 747 bank erosion rates.

748 Previous studies have suggested that riverbank erosion rate increases with a river's 749 sediment load (Dietrich et al., 1999; Dunne et al., 1981, 2010; Torres et al., 2017). This is 750 supported by the positive correlations between sediment loads and rates of lateral channel 751 migration documented along rivers in the Amazon basin (Constantine et al., 2014), and in 752 laboratory experiments (Bufe et al., 2016; Wickert et al., 2013). These observations led us to 753 explore whether the observed differences in erosion rates could be explained by the significantly 754 lower sediment loads measured in high latitude rivers as compared to lower latitude systems 755 (Gordeev, 2006) (Figure 9a). However, a comparison of erosion rates showed no correlation 756 between width-normalized erosion rates and sediment yield either by latitude grouping or 757 globally (Figure 9b). We also found no correlation between modeled sediment yields (Cohen et 758 al., 2013) and erosion rates for river reaches in our datasets (Figure S10).

759





774

762 Figure 9: Comparison of low and high latitude sediment yields and associated erosion rates. a) Violin plots of 763 published and modeled long-term average sediment yields (Cohen et al., 2013). The published data represent a 764 combination of values reported in the L2S dataset (Peucker-Ehrenbrink, 2009) and values published elsewhere in the 765 literature (Rowland & Schwenk, 2019). The rectangles display the interquartile range, the lines indicate the 1.5x 766 interquartile range, white dots represent median values, and the displayed numbers are the mean, standard error, and 767 number of observations (in parentheses). b) Width-normalized erosion rates versus published sediment yield data. 768

769 The absence of a correlation between erosion rate and sediment yields does not rule out 770 that sediment loads may influence riverbank erosion rates. However, based on the best available 771 data, we conclude that it is unlikely that differences in sediment loads between high and low 772 latitude rivers provides a compelling alternative to permafrost as the dominant control on 773 observed discrepancy in erosion rates.

775 5.4 A stream power transition for permafrost influence on riverbank erosion rates

776 The regression lines for erosion rates as a function of stream power for high and low latitudes intersect at a stream power of 350 Wm⁻¹. This stream power value corresponds roughly 777

to rivers less than 60 m wide or drainage areas \sim 1,000 km² (Figure S7). Given the uncertainty in 778 the regression and variability in the data, we suggest that a change in scaling between high and 779 low latitude system occurs over a transition between 350 and ~900 Wm⁻¹ (drainage areas 1,000 780 to 10,000 km²) and we hypothesize that the scaling relationship observed for our high latitude 781 dataset does not extend below values of 350 W m⁻¹. Below this transition, our observations 782 783 suggest that erosion rates of rivers in permafrost settings may become largely transport limited 784 such that bank retreat rates are limited by the occurrence of flows sufficient to mobilize already-785 thawed sediments. Above this threshold, maximum bank erosion rates are limited by the rate at which frozen bank material may be thawed. The only two published studies of permafrost-786 affected rivers on systems with stream powers < 350 W m⁻¹ suggests that small Arctic rivers may 787 have similar erosion dependence on stream power as we observed at lower latitudes. 788

789 In our high latitude dataset, the Selawik River lies closest to this stream power transition. 790 On this river we observe both transport and thaw-limited controls on bank erosion rates. At the 791 rapidly eroding bank highlighted in Figure 7a and shown in Figure 1f, riverbank eroded less 792 material than thaws seasonally in many years; a similar observation was made for the Usuktuk 793 River in northern Alaska (Matsubara et al., 2015). Additionally, there exists a supply of readily 794 transportable gravels throughout most of the summer, but few summer flows appear to be able to 795 mobilize these sediments. In years of significant snowmelt-driven spring flooding, such as 2011, 796 high transport rates appear to fully exhaust the supply of unfrozen bank materials and the thaw 797 rate sets the upper limit of bank retreat (Figure 7b). On the Selawik River, the timing and rate of 798 erosion also appear to be locally influenced by the bank grain size distributions and the presence 799 and preservation of vegetated failure blocks. River ice may play a key role in removing these 800 detached failure blocks and allowing renewed erosion of the banks.

801 Both the grain size of riverbanks and peak flow characteristics correlate to river basin 802 size in ways that are consistent with smaller rivers being more transport limited and larger rivers 803 having a greater thermal control on erosion rates. Generally, bank materials become finer with 804 larger upstream drainage basin areas (Knighton, 2014) and therefore a broader range of flows 805 may be capable of transporting loose sediment away from thawing banks reducing erosion 806 dependence on transport conditions and increasing the relative importance of thermal controls. 807 Ice content also tends to be higher in finer grained sediments (French & Shur, 2010). In many settings, increasing ice content slows bank erosion rates (Figure 6c) (Randriamazaoro et al., 808 809 2007; Shur et al., 2002; J. R. Williams, 1952b), rendering riverbanks more thermally limited. 810 Smaller rivers in the Arctic tend to have flashier hydrographs as measured by the ratio of 811 maximum to mean annual discharges (Figure S11). Coarser, flashier, small rivers and streams 812 likely erode under transport limited threshold conditions set by bankfull or peak flows (Naito & 813 Parker, 2019, 2020).

814

815 **5.5** Scale-dependent response of riverbank erosion to changing climate in the Arctic.

816 Our multi-temporal analysis of erosion rates was limited to a few rivers and time periods, 817 limiting our ability to assess changes in riverbank erosion rates due to historical climate forcings. 818 We performed multi-temporal analyses on the Yukon, Koyukuk, Noatak, and Lena Rivers but 819 observed no clear pattern of temporal trends of rates greater than the observed interannual 820 variability. On the Koyukuk River, however, analysis of high-resolution imagery provided 821 differences erosion rates greater than our levels of detection. Even though climate data for this 822 region indicates that a 1° C increase in mean annual air temperature occurred between the time 823 periods of our erosion analysis (1978-2012 and 2012-2018) (Harris et al., 2020) the temporal

trends in rates were inconclusive; higher erosion rates occurred during the most recent time
interval (2012 - 2018) at some but not all the sections and bends examined (Figure 8).

Potential climate drivers for changes in riverbank erosion rates in regions of permafrost include temperature, hydrology, sediment loads, and changes in river ice. In recent decades the Arctic has warmed three times faster than the rest of the planet (AMAP, 2021) and is projected to continue to warm (Cai et al., 2021). Strong correlations between air and river temperatures (Yang & Peterson, 2017) portend increases in river temperatures and subsequent acceleration of

riverbank thaw rates for rivers that are presently thaw limited (> 900 Wm⁻¹, Figure 4b).

Projections suggest that hydrologically, northern rivers will shift from a nival (snowmelt) 832 833 to more pluvial (rainfall) regime (Woo, 1990) with an increase in late summer flows (Lafrenière 834 & Lamoureux, 2019) and extreme events (Nilsson et al., 2015). On larger rivers, a shift from 835 snow melt dominated flow regimes to higher summer flows will combine with increased river 836 temperatures to accelerate thermal erosion of banks (Dupeyrat et al., 2011). Floods may remove 837 thawed bank materials more effectively and expose frozen banks to greater thermal erosion. A 838 flattening of peak flows and more even distribution of discharge over the summer may also increase erosion on the largest of Arctic rivers (Section 5.2, Figure 8c). On smaller rivers a 839 840 decrease in peak flows below critical thresholds for erosion may reduce bank erosion unless 841 offset by larger magnitude summer floods.

We do not have enough confidence in the rate or magnitude of changes in future sediment fluxes to speculate how important these changes will be to riverbank erosion. In a recent review, Zhang et al. (2022) highlight that changes in sediment loading to rivers may vary greatly in space and time depending on the drivers of sediment production.

Finally, a future reduction in winter ice cover (Chassiot et al., 2020) may reduce erosion rates across rivers of all sizes. On large rivers, abrasion and scour from local ice-jam flooding should decrease (Lininger & Wohl, 2019). On small rivers, less ice may leave protective failure blocks intact through the peak snowmelt floods and reduce bank erosion. For example, on the Selawik River we observed 10 times greater erosion rates on bends where blocks were removed versus bends where blocks remained in place during major spring flows (Figure 7a).

852

853 6 Conclusions

854 For over forty years researchers have been unable to conclusively determine if permafrost 855 influences the rate of riverbank erosion relative to rivers without permafrost. Based on the pan-856 Arctic analysis, global meta-analysis of riverbank erosion, and field observations we found a 857 statistically significant six times lower mean width normalized erosion rate along riverbanks with 858 permafrost compared to riverbanks lacking permafrost. At stream powers of < 350 to 900 W m⁻¹ (upstream drainage areas 1,000 to 10,000 km²), we observed no difference between high and low 859 860 latitude rivers. Above this transition in stream power, however, the differences in erosion rates 861 increases to a factor of 40 for the largest rivers in our datasets.

We conclude that it is most likely that permafrost is the foremost control on the relatively low bank erosion rates of high latitude rivers based on direct evidence from the Koyukuk River and a rejection of potential alternative hypotheses. Data from the Koyukuk River showed a reach and bend scale reduction in erosion rates as the fraction of permafrost in the surrounding floodplain increased. A lack of high-resolution and reliable permafrost maps at the pan-Arctic scale precluded a similar analysis along and between other rivers.

868 In both our pan-Arctic dataset and detailed observations along individual rivers, erosion 869 rates vary greatly even between banks with equivalent permafrost extent, however, the presence 870 of permafrost does appear to set an upper limit on the maximum erosion rates. We suggest that

- this maximum limit is set by the rate at which frozen bank material may thaw and provide loose
- 872 sediment for transport. Thermal sensors installed in a bend along the Selawik River, AK appears
- to confirm this thaw limitation. Our data further suggests that this thaw limitation on bank
 erosion transitions to a transport limitation for rivers with stream powers below 350 to 900 W m⁻
- 874 875

876 This apparent transition from thaw- to transport-limited erosion may exert a significant 877 control on how rivers will respond to climate change in the Arctic. The erosion rates of thermally 878 limited riverbanks of large rivers will likely increase as river temperatures increase and flow 879 shifts from snowmelt dominated to higher discharges during the warmer summer months. 880 Conversely, smaller transport-limited rivers may experience a decrease in erosion rates with a 881 reduction in peak snow melt flows, unless these peak flows become offset by high-magnitude 882 rain driven floods. A decrease in river ice will likely also reduce erosion rates on both large and 883 small rivers, but it is unlikely that at the watershed to pan-Arctic-scale such reduction in large 884 rivers will offset the anticipated increases in thermally driven erosion rates. Riverbank erosion 885 represents a significant direct risk to communities and infrastructure and associated changes in 886 sediment and nutrient loading will likely impact fisheries and water quality. Our ability to predict 887 and mitigate such impacts, however, will require additional data with higher spatial and temporal 888 resolutions to better constrain permafrost extent and the mechanics, drivers, and timing of 889 riverbank erosion in permafrost-affected floodplains.

890

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892

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911 012 **O**mor

912 Open Research913

- All original data and software used in this manuscript have been archived at the DOE ESS-DIVE
- 915 data portal (<u>http://ess-dive.lbl.gov/</u>) and are cited and referenced in the manuscript.

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