

Evaluation of Dynamical Downscaling in a Fully Coupled Regional Earth System Model

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Abstract

A set of decadal simulations has been completed and evaluated for gains using the Regional Arctic System Model (RASM) to dynamically downscale data from a global Earth system model (ESM) and two atmospheric reanalyses. RASM is a fully coupled atmosphere - land - ocean - sea ice regional Earth system model. Nudging to the forcing data is applied to approximately the top half of the atmosphere. RASM simulations were also completed with a modification to the atmospheric physics for evaluating changes to the modeling system. The results show that for the top half of the atmosphere, the RASM simulations follow closely to that of the forcing data, regardless of the forcing data. The results for the lower half of the atmosphere, as well as the surface, show a clustering of atmospheric state and surface fluxes based on the modeling system. At all levels of the atmosphere the imprint of the weather from the forcing data is present as indicated in the pattern of the monthly and annual means. Biases, in comparison to reanalyses, are evident in the ESM forced simulations for the top half of the atmosphere but are not present in the lower atmosphere. This suggests that bias correction is not needed for fully-coupled dynamical downscaling simulations. While the RASM simulations tended to go to the same mean state for the lower atmosphere, there is a different evolution of the weather across the ensemble of simulations. These differences in the weather result in variances in the sea ice and oceanic states.

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1
2 **Evaluation of Dynamical Downscaling in a Fully Coupled Regional Earth System**
3 **Model**

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16 **Key Points:**

- 17 • The near-surface and lower atmosphere mean climatic state are clustered together based
18 on the modeling system more so than the forcing data.
- 19 • Bias correction of Earth system model data is not necessary for dynamical downscaling
20 using a fully coupled regional Earth system model.
- 21 • There are variances in sea ice and oceanic states despite the simulations having similar
22 climatic states for the lower atmosphere.

23 **Abstract**

24 A set of decadal simulations has been completed and evaluated for gains using the Regional
25 Arctic System Model (RASM) to dynamically downscale data from a global Earth system model
26 (ESM) and two atmospheric reanalyses. RASM is a fully coupled atmosphere – land – ocean –
27 sea ice regional Earth system model. Nudging to the forcing data is applied to approximately the
28 top half of the atmosphere. RASM simulations were also completed with a modification to the
29 atmospheric physics for evaluating changes to the modeling system. The results show that for the
30 top half of the atmosphere, the RASM simulations follow closely to that of the forcing data,
31 regardless of the forcing data. The results for the lower half of the atmosphere, as well as the
32 surface, show a clustering of atmospheric state and surface fluxes based on the modeling system.
33 At all levels of the atmosphere the imprint of the weather from the forcing data is present as
34 indicated in the pattern of the monthly and annual means. Biases, in comparison to reanalyses,
35 are evident in the ESM forced simulations for the top half of the atmosphere but are not present
36 in the lower atmosphere. This suggests that bias correction is not needed for fully-coupled
37 dynamical downscaling simulations. While the RASM simulations tended to go to the same
38 mean state for the lower atmosphere, there is a different evolution of the weather across the
39 ensemble of simulations. These differences in the weather result in variances in the sea ice and
40 oceanic states.

41 **Plain Language Summary**

42 Regional Earth system models are used to provide added value to numerical simulations of the
43 climate system by using the output data from global Earth system model(s), or reanalyses, to
44 force the simulations. The Regional Arctic System Model (RASM) is a fully coupled atmosphere
45 – land – ocean – sea ice regional Earth system model. This study uses RASM to dynamically
46 downscale data from an ensemble of simulations from an Earth system model and two reanalyses
47 to evaluate the gains and characteristics in dynamical downscaling with a fully coupled regional
48 model. The results indicate the near-surface and lower atmosphere mean climatic state are
49 clustered together based on the modeling system more so than the forcing data. Meanwhile the
50 ensemble of simulations resulted in variances in sea ice and oceanic states despite the
51 simulations having similar climatic states for the lower atmosphere. The differences in sea ice
52 and oceanic states in the fully coupled regional model are the result of differences in weather, or
53 the sequencing of atmospheric circulation patterns, in the forcing conditions.

54 **1 Introduction**

55 Regional climate models (RCMs) have been developed and implemented to bridge the
56 gap between the large-scale Earth system models (ESMs) and for providing an understanding of
57 regional concerns, impacts, and physical processes (Giorgi, 2019; Gutowski et al., 2020). This
58 regional modeling is also commonly referred to as dynamical downscaling as it is downscaling
59 the output from the coarser resolution of the ESM to that of a higher resolution applied to a
60 region of interest. Dynamical downscaling applies the equations representing the physics and
61 dynamics of the atmosphere at a higher spatial resolution than that of the forcing dataset. An
62 ESM, or a reanalysis of the atmosphere, provides the forcing dataset for the initial, lateral
63 boundary, and if used, nudging conditions for the downscaled simulations. RCMs have the
64 benefit of representing complex interactions with local topography, interactions between regional

65 and local scale processes, optimizing the parameterizations for the physical processes of the
66 selected region, and being computationally less expensive than ESMs.

67 RCMs are limited area models that are provided initial atmospheric conditions, as well as
68 updated lateral boundary conditions, as the RCM is integrated forward in time. However, the
69 interior of the domain tends to drift to anomalous behavior as it goes away from the prescribed
70 lateral boundary conditions. This is especially true for RCMs employing large domains and
71 integrated over climatic time scales. The concept of interior nudging was introduced to dampen
72 the interior of the RCM domain toward the external forcing dataset and to get around this issue
73 of drift. The goal in applying nudging is to maintain the large-scale features of the forcing data
74 but to simultaneously allow for the small-scale features to evolve based on the RCM. One way to
75 achieve this goal is to apply the nudging to approximately the top half of the model domain. This
76 allows for the RCM to be nudged towards the large-scale circulation features of the forcing data,
77 while allowing the RCM to evolve in the bottom half of the model domain more freely. Bowden
78 et al. (2012) compared three simulations: without nudging, with grid nudging, and with spectral
79 nudging in the Weather Research and Forecasting (WRF) model. The grid nudging and spectral
80 nudging were found to reduce the biases in comparisons to the simulations without nudging.
81 Several other studies (e.g. Bullock et al., 2014; Cassano et al. 2011; Glisan et al., 2013; Liu et al.,
82 2012) have collectively confirmed the benefits of either spectral or grid nudging with RCM
83 simulations. In studies by Cassano et al. (2011) and Glisan et al. (2013) the nudging was used in
84 WRF pan-Arctic simulations with the nudging applied to wind and temperature fields for the top
85 half of the model domain, with a linearly ramping of nudging strength in a transition zone above
86 the middle of the model domain.

87 Frequently, ESM data come with inherent biases in the output data because of
88 imperfections in the modeling system, which can permeate into the RCM simulations. Bias
89 correction is a method to reduce or eliminate such biases. A number of methods exist for
90 applying a bias correction to the ESM data (Xu et al., 2021). Several studies have evaluated the
91 application of bias corrected forcing data in RCM simulations (e.g. Hoffman et al., 2016; White
92 and Toumi, 2013; Xu and Yang, 2015). In Bruyère et al. (2013) a mean bias correction method
93 was applied to the ESM data. In this method the seasonal mean and perturbation terms are
94 defined for the observations (reanalysis) and for the ESM, with the perturbation term defined for
95 each six-hour interval. The bias corrected ESM data is the sum of the observations (reanalysis)
96 seasonal mean and the ESM perturbation value for each six-hourly variable. Bruyère et al. (2013)
97 indicate substantially improved results in comparison to observations for RCM simulations with
98 bias correction applied than RCM simulations without bias correction.

99 Progress in recent years has been in the development and use of regional Earth system
100 models (RESMs; Giorgi and Gao, 2018). RESMs include additional model components (e.g.
101 lake, dynamic vegetation, biogeochemistry, sea ice and ocean models) in a coupled framework
102 with the atmospheric model. Multi-component fully coupled models are becoming increasingly
103 available, such as the Earth System Regional Climate model (RegCM-ES; Sitz et al., 2017) or
104 the HIRHAM-NAOSIM (Yu et al., 2020). The Regional Arctic System Model (RASM; Cassano
105 et al., 2017) is the multi-component, atmosphere-land-ocean-sea ice model used in this study.
106 Overall, multi-component models involving the cryosphere, such as RASM, are key in
107 understanding the climate projections in the polar regions because of the non-linear interactions
108 between the cryosphere and other model components (Giorgi and Gao, 2018).

109 The goal for this study is to evaluate the atmospheric results from dynamical downscaling
110 simulations using the fully coupled RASM, with an emphasis on the atmospheric state,
111 circulation, and variability as well as their impact on sea ice and oceanic transports. The study
112 focuses on three questions: i) does the use of nudging in the top half of the model limit the ability
113 of RASM to develop its own near surface climate, ii) how does the downscaled RASM
114 atmosphere respond to biases in the forcing data, and iii) what are the impacts of changes in the
115 atmospheric forcing in a multi-component RESM on the coupled ocean and sea ice conditions?
116 A key element of this dynamical downscaling study is that the surface state and fluxes are
117 provided by the (active) coupled component models and not prescribed from the (passive)
118 forcing datasets as is the case in an atmosphere-only dynamical downscaling. Section 2 covers
119 the data sources and methods including a description of RASM, the ESM decadal ensemble, and
120 the reanalyses used in the study, and a description of the experimental setup, including the
121 configuration of RASM. Section 3 provides the results looking at monthly, annual, and multi-
122 year means across the RASM model domain as well as for selected regions, followed by spatial
123 analyses of the sea ice extent and temporal analyses of sea ice volume and oceanic volume
124 transports across the main Arctic Ocean gateways. Section 4 provides a discussion of the results
125 and conclusions.

126 **2 Data Sources and Methods**

127 2.1 Regional Arctic System Model

128 RASM (Cassano et al., 2017; Kinney et al., 2020; Hamman et al., 2016; Maslowski et al.,
129 2012; Roberts et al., 2015) is a limited-area, fully coupled atmosphere-land-ocean-sea ice RESM
130 with a focus on the Arctic. The RASM component models are the Weather Research and
131 Forecasting (WRF v3.7.1, Powers et al., 2017) model for the atmosphere, the Variable
132 Infiltration Capacity (VIC v4.0.6; Liang et al., 1994, 1996; modified as described in Hamman et
133 al., 2016, Sec. 2b) model for the land hydrology and routing schemes (RVIC v1.0.0; Hamman et
134 al., 2017), and regionally configured versions of the Parallel Ocean Program (POP v2.1; Smith et
135 al., 2010) model for the ocean and the Los Alamos National Laboratory Sea Ice Model (CICE
136 v6.0.0, Craig et al., 2018) for sea ice. The individual component models exchange fluxes and
137 state values through the Community Earth System Model (CESM, Hurrell et al., 2013) coupler
138 (CPL7, Craig et al., 2012). The CPL7 coupler has been modified for high spatiotemporal
139 resolution coupling and for working with the individual component models (Roberts et al.,
140 2015). RASM is run over a pan-Arctic domain (Figure 1) with the land and atmosphere sharing a
141 polar-stereographic 50 km horizontal resolution domain with 40 vertical levels and a 50 hPa
142 model top for the atmosphere, and three soil layers for the land. The ocean and sea ice share a
143 $1/12^\circ$ (~ 9 km) rotated sphere grid with a vertical resolution of 45 levels for POP and 5 thickness
144 ice categories for CICE. There is an extended ocean region that covers the periphery of the
145 ocean-sea ice domain to match the extent of the atmosphere-land domain (see Figure 1). This
146 extended ocean domain uses climatological sea surface temperatures to provide the ocean-
147 atmosphere fluxes, which are calculated in the coupler. More details of the broader RASM
148 configuration and component models can be found in Roberts et al. (2015), Hamman et al.
149 (2016), and Cassano et al. (2017).

150 A modified version of the Advanced Research WRF (WRF-ARW, hereafter simply
151 WRF, Skamarock et al., 2008) model v3.7.1 is used as the atmospheric component in RASM.

152 The modifications to WRF address the coupling processes, exchange of fluxes, and the
153 progression of WRF through model time in concert with the other component models. The CPL7
154 coupler exchanges surface fluxes and state variables from the land, ocean, and sea ice component
155 models every 20 minutes of model time. The initial and lateral boundary conditions for WRF in
156 RASM are provided by the forcing dataset such as a reanalysis or a global ESM. Additionally,
157 grid nudging of temperature and wind (u and v) is applied to the top half of the model domain
158 (above ~ 540 hPa). The lateral boundary conditions and nudging values for WRF are updated
159 from the forcing dataset in six-hour intervals.

160 Modifications are made to WRF physics parameterizations, including surface layer,
161 microphysics, cumulus, and shortwave and longwave radiation parameterizations, to work in the
162 fully coupled model framework and to improve results in the Arctic. The selected WRF physics
163 parameterizations are based on extensive evaluations of different combinations of
164 parameterizations that were shown to produce the best surface state in the fully coupled RASM.
165 The RRTMG (Iacono et al., 2008) parameterizations are used for the shortwave and longwave
166 radiation schemes. Modifications were made to the RRTMG parameterizations to export direct
167 and diffuse visible and near-infrared solar radiation to CPL7 and to import direct and diffuse
168 visible and near-infrared albedo. Such partitioning of the radiation and albedo is included for use
169 in the physics of the coupled RASM model components. The selected microphysics
170 parameterization is the Morrison scheme (Morrison et al., 2009) with modifications to pass the
171 droplet size to the radiation parameterizations for the calculation of radiative fluxes. The Grell
172 3D scheme, with shallow convection, is used for the cumulus parameterization. A modification
173 was made to the Grell 3D scheme so that the shallow convection is only applied to the grid
174 points over the ocean. Sub-grid cloud fraction interaction is provided by the cumulus
175 parameterization to the radiation schemes to account for the radiative impact of the convective
176 clouds. The planetary boundary layer parameterization is handled by the Mellor-Yamada
177 Nakanishi and Niino Level 2.5 scheme (MYNN 2.5; Nakanishi and Niino, 2006). The integrated
178 land model in WRF is disabled in RASM. Instead, the surface fluxes, albedo and state values are
179 provided by the VIC, POP, and CICE component models and exchanged through the CPL7
180 coupler. The Revised MM5 surface layer scheme (Jiménez et al., 2012) is the selected surface
181 layer parameterization with extensive modifications to work with the exchange of fluxes from
182 the coupler.

183 The list of variables (see Cassano et al., 2017, Table 2) exchanged between WRF and the
184 coupler in RASM is nearly identical to those passed between the atmospheric model and the
185 coupler in CESM. The fluxes and state variables exchanged between WRF with the coupler are
186 time-averaged over the 20-minute coupling time step. Area weighted sensible heat, latent heat,
187 and momentum fluxes from VIC, CICE, and POP are passed to WRF for grid cells at land-ocean
188 boundaries and/or with both sea ice and open ocean. The atmospheric surface stability is
189 determined in CICE and CPL7 for the ice and ocean (Roberts et al., 2015) and VIC for the land
190 (Hamman et al., 2016).

191 2.2 Decadal Prediction Large Ensemble

192 The CESM decadal prediction large ensemble (CESM-DPLE; Yeager et al., 2018)
193 provides initial, lateral boundary, and nudging conditions for the atmospheric forcing with
194 RASM. The DPLE provides an ensemble of initialized simulations that can be compared to the

195 uninitialized 40-member ensemble of historical and projection (1920-2100) simulations in the
196 CESM large ensemble (CESM-LE; Kay et al., 2015). The DPLE was created with the same
197 CESM code base, version 1.1, component model configurations, and radiative forcing as in the
198 CESM-LE. There are 62 1st of November start dates spanning 1954 to 2015, each with an
199 integration of 122 months. The initial conditions for the atmosphere and land models were
200 obtained from a single member of the CESM-LE. The ocean and sea ice initial conditions were
201 from a coupled ocean-sea ice configuration of CESM v1.1 with historical atmospheric state and
202 flux fields exchanged at the surface. Each DPLE start date has 40 ensemble members. The
203 different ensemble members were generated by round-off perturbations applied to the
204 atmospheric initial conditions. Only the initial 10 ensemble members archived the 6-hourly
205 resolution atmospheric fields necessary for dynamical downscaling using RASM. WRF input
206 files were created from the DPLE following that of Bruyère et al. (2015), including the WRF
207 Preprocessing System (WPS v3.7.1) software, with modifications to some of the Bruyère et al.
208 (2015) scripts to work with the DPLE data. The surface and sub-surface fields in the WRF input
209 files were not necessary with RASM using a fully coupled modeling framework. Bias correction
210 was not applied to the WRF input files from the DPLE data for reasons that will be covered in
211 the discussion. The DPLE datasets were also regridded to the RASM atmosphere 50-km
212 horizontal resolution domain to provide a direct comparison to the RASM simulations. These
213 regridded datasets are hereinafter referred to as CESM-DPLE.

214 2.3 Reanalyses

215 Reanalysis datasets are used in the study to provide the initial and lateral boundary
216 conditions, and nudging data for RASM simulations forced by reanalyses. The European Centre
217 for Medium Range Weather Forecasts (ECMWF) Interim Re-Analysis (ERA-Interim, hereinafter
218 referred to as ERAI; Dee et al. 2011) is the primary reanalysis used for this study. The Climate
219 Forecast System Reanalysis (CFSR, hereinafter referred to as CFS; Saha et al., 2010b) from the
220 National Centers for Environmental Prediction (NCEP) is used to provide a comparative
221 reanalysis dataset and reanalysis forced RASM simulation. Lindsay et al. (2014) reviewed seven
222 atmospheric reanalyses for the Arctic and concluded that ERAI and CFS were two of the three
223 reanalyses that emerged as being the most consistent in comparison to observations of surface
224 temperature, radiative fluxes, precipitation, and wind speed. The ERAI and CFS datasets were
225 retrieved from the NCAR – Research Data Archive (NCAR-RDA; ERAI: European Centre for
226 Medium-Range Weather Forecasts, 2009; CFS: Saha et al., 2010a). WRF input files were created
227 from the reanalysis datasets using the WPS v3.7.1 software to convert the reanalysis source data
228 to the WRF model domain. The ERAI and CFS datasets were also regridded to the RASM
229 atmosphere 50-km horizontal resolution domain to provide a direct comparison to RASM
230 simulations. The regridded datasets in the results sections are referred to as ERAI and CFS.

231 2.4 Experimental Setup

232 Two fully coupled RASM simulations forced by reanalyses, ERAI (referred to hereafter
233 as: RASM-ERAI) and CFS (referred to as: RASM-CFS) are completed for comparisons to the
234 DPLE-forced RASM simulations. The three-dimensional initial state of the atmosphere is from
235 the corresponding reanalysis state of the atmosphere for 00 UTC 1 September 1979. The sea ice
236 and ocean initial states for the fully coupled reanalysis forced RASM simulations are from a
237 RASM ocean-sea ice simulation starting from January 1958 to August 1979 with JRA-55 forcing

238 and runoff (Kobayashi et al., 2015). The 1 September 1979 land surface initial state is from a 31-
239 year uncoupled VIC simulation forced with meteorological inputs (Hamman et al., 2016). The
240 RASM POP ocean temperature and salinity, along the closed lateral boundaries, are restored to
241 monthly values from the Polar Science Center Hydrographic Climatology version 3.0 (PHC 3.0)
242 (Steele et al., 2001), as described in Roberts et al. (2015). The RASM-ERA1 and RASM-CFS
243 simulations were initialized on 1 September 1979 and run through 31 December 2018 and 2020,
244 respectively. The years 1986-1995 for RASM-ERA1 and RASM-CFS are analyzed in this study.
245 Comparisons across the two reanalysis forced RASM simulations provides an understanding of
246 the range of differences in RASM simulations when forced with approximately the same
247 weather, as would be expected in two reanalyses.

248 The focus of the RASM simulations in this study is on the 10-member ensemble of
249 RASM simulations forced by atmospheric output from the corresponding CESM-DPLE
250 simulations with a start date of 1 December 1985. The RASM simulations were run for 121
251 months, through 31 December 1995 and are referred to as the RASM-DPLE ensemble. The
252 results of this ensemble are compared to each other, a historical period to reanalyses (ERA1 and
253 CFS) spanning 1986 to 1995, RASM simulations forced by reanalyses (RASM-ERA1 and
254 RASM-CFS), and the source output from the CESM-DPLE ensemble simulations. The
255 atmosphere in each RASM-DPLE simulation is initialized with the three-dimensional
256 atmospheric state from the forcing data at the initial time. The land surface, ocean, and sea ice
257 states are initialized from the RASM-ERA1 simulation. The initialized values for each ensemble
258 match the start date of the ensemble to that of the RASM-ERA1 simulation. In other words, the 1
259 December 1985 RASM-DPLE ensemble start date uses the 00 UTC 1 December 1985 conditions
260 from the RASM-ERA1 simulation starting on 1 September 1979. Spatial two-dimensional plots
261 of atmospheric state variables and regional plots of atmospheric state variables (not shown) were
262 evaluated for the initial 30 days and there were no discontinuities or abrupt shifts indicated in the
263 atmospheric analyses. This indicates that the initializations for the RASM-DPLE simulations
264 present no need for an initial period of model spin up.

265 Two ensemble members from the RASM-DPLE ensemble were run a second time with a
266 change to one of the WRF physics parameterization options to highlight the dependency of the
267 RASM-DPLE results on the atmospheric model configuration in RASM. An additional ERA1
268 forced RASM simulation is also run with the same WRF physics parameterization options. Past
269 published (Cassano et al., 2017) and unpublished studies with WRF and RASM have revealed a
270 modest change in the surface state variables and energy fluxes in the Arctic with a change in the
271 cumulus parameterization. The alternate WRF physics configuration uses the Kain-Fritsch
272 cumulus parameterization (Kain 2004) instead of the G3. The Kain-Fritsch scheme includes the
273 option for including the radiative impact of clouds but it does not include the built-in shallow
274 convection scheme as applied over ocean points with the G3 cumulus parameterization. The
275 modified RASM simulation forced with the ERA1 reanalysis is hereinafter referred to as
276 RASM_alt-ERA1. The RASM-DPLE simulations are initialized with the land, ocean, and sea ice
277 from 1 December 1985 conditions of the RASM_alt-ERA1 simulation. These RASM-DPLE
278 simulations are hereinafter referred to as RASM_alt-DPLE_01 and RASM_alt-DPLE_02. All
279 RASM simulations were completed using RASM tag 2_2_01. Table 1 provides a summary of the
280 RASM simulations used in this study.

281 **3 Results**

282 3.1 Intra-annual and Inter-annual Analysis of Atmospheric State

283 Analyses of atmospheric state variables and fluxes, on monthly and interannual time
284 scales, reveals variability in the weather of RASM-DPLE simulations forced by the 10 CESM-
285 DPLE ensemble members. The term weather is used in this study to denote the variation in
286 atmospheric state as the result of the evolving patterns of the atmosphere that comprise the
287 monthly, annual, and multiyear means. The monthly, annual, and multiyear means are not
288 inherently weather, but it is differences in the evolution of the weather that results in differences
289 in the respective means.

290 Figure 2 is a plot of RASM domain averaged atmospheric state values (height and
291 temperature for 300, 500, 700, and 850 hPa constant pressure surfaces, sea level pressure and
292 temperature at the surface) for the first 13 months (December 1985 to December 1986) of the 10
293 RASM-DPLE simulations, 2 RASM reanalysis simulations, a RASM-DPLE ensemble mean, and
294 the forcing data. The plotted values are referred to as anomalies and are calculated as the
295 monthly mean for the data source minus the ERAI monthly mean. The plotting of ERAI
296 reference anomalies is used to remove the large annual cycle and allows for the variability across
297 ensemble members to be more easily seen and it is not done in making a reference to the ERAI
298 values as being the “truth”. The left side of Figure 2 indicates the range and variability of the
299 conditions for the 10 RASM-DPLE ensemble members for each month over the course of the
300 initial 13 months of each simulation. The 10 ensemble members show a reasonable range of
301 atmospheric conditions for the pan-Arctic RASM domain from the upper troposphere to the
302 surface. RASM-DPLE_01 and RASM-DPLE_02 are plotted in red and green to highlight that
303 these two ensemble members largely fall within the range of the overall 10 ensemble members
304 used in this study. As is expected, these two ensemble members do, in some months, represent
305 the upper and lower bounds of the 10 ensemble members, but overall they are not any more
306 distinct than any of the other ensemble members.

307 The right side of Figure 2 compares the two selected RASM-DPLE ensemble members
308 (01 and 02) with the corresponding CESM-DPLE ensemble members, which are providing the
309 atmospheric forcing data. The right side also includes the monthly-means from the RASM
310 simulations forced by reanalyses (RASM-ERAI, RASM-CFS) and the corresponding values
311 from the reanalyses (ERAI, CFS). At 500 hPa and 300 hPa the RASM simulations (solid lines)
312 of geopotential height and temperature closely follow the corresponding driving data (dashed
313 lines) as is expected with nudging applied to the RASM/WRF simulations at heights
314 approximately above 540 hPa. The differences between the DPLE ensemble members (RASM
315 and CESM) with the reanalyses (RASM and reanalysis) shows that the ensemble members have
316 different weather than the reanalyses, the range of which is shown in the plot of the ten ensemble
317 members. The results at 500 and 300 hPa indicate that individual RASM simulations follow
318 closely the weather variability in the forcing data and that there is a range of weather conditions
319 represented across the ten ensemble members. As mentioned previously, this reference to
320 weather is not meant in the literal sense but instead it is used to depict the variation in
321 atmospheric patterns that when comprised together result in the differences in the plotted
322 monthly means in Figure 2. At lower levels (700 hPa, 850 hPa, and surface) the mean RASM-
323 DPLE geopotential height and temperature corresponds more closely to the mean values from
324 the RASM-reanalysis simulations. The pattern of month-to-month changes in the monthly-means
325 for the RASM-DPLE simulations tracks along the same pattern as the CESM-DPLE forcing data

326 (following the same weather) but the actual and mean values correspond more closely to the
327 RASM-ERA1 and RASM-CFS simulations. The resultant 13-month mean for the four RASM
328 simulations (open circles) are more similar to each other at the lower levels than their
329 corresponding forcing data ('X' markers), unlike what is seen at upper levels (500 hPa and 300
330 hPa). The results for the lower part of the atmosphere and surface show that the RASM
331 simulations develop a similar mean state, below the nudged upper portion of the model domain,
332 but they also maintain the imprint of the weather variability (changes in monthly means due to
333 the cumulative differences in atmospheric patterns) in the driving data.

334 Annual means of the atmospheric state for the two selected RASM-DPLE ensemble
335 members, corresponding CESM-DPLE forcing data, two RASM-reanalysis simulations, and
336 reanalyses are shown in Figure 3. The actual annual means are plotted in this figure in contrast to
337 the ERA1 referenced anomalies plotted in Figure 2. The plots on the left side of Figure 3 are the
338 means for the entire RASM domain and the plots on the right side are the means for the Central
339 Arctic region (see Figure 1 for region definitions). Similar to the monthly means (Figure 2), the
340 annual mean values of geopotential height and temperature of the RASM simulations (solid
341 lines) closely follow the respective RASM forcing data (dashed lines) at 500 hPa and 300 hPa for
342 both the RASM domain and the Central Arctic region. There is a bias in the DPLE-based annual
343 means (reds and greens) relative to the reanalyses-based annual means (browns and grays). For
344 example, the DPLE-based RASM simulations and forcing data have a cold bias at 500 hPa and
345 300 hPa in comparison to the reanalyses-based RASM and forcing data. The pattern of the year-
346 to-year variation of the RASM simulations matches that of the forcing data and the associated
347 weather at 500 hPa and 300 hPa. Similarly, the 850 hPa and 700 hPa interannual patterns in
348 geopotential height and temperature for the RASM simulations (darker colors, solid lines)
349 correlates to the corresponding (similarly colored) forcing data (lighter colors, dashed lines) both
350 in the RASM domain mean (left columns) and for the Central Arctic region (right columns). In
351 contrast to the upper half of the model, where there are mean differences between the DPLE
352 simulations/forcing data and the reanalyses simulations/forcing data, the differences in the lower
353 half of the model separate into two clusters – one cluster for the RASM simulations, regardless
354 of the forcing data, and another cluster for the forcing data. In some of the plots, the cluster of
355 the forcing data is separated between the reanalyses and the CESM-DPLE forcing data, which is
356 indicating biases in the CESM-DPLE data in comparison to the reanalyses. The differences in
357 temperature at 700 hPa are a mixture of that from the forcing data (e.g. reanalyses are warmer
358 than DPLE) and the RASM simulation (e.g. RASM is warmer than the forcing data) over the
359 whole RASM domain. For the Central Arctic, there is a consistent warm bias for the RASM
360 simulations in the lower levels (700 hPa, 850 hPa, and surface). This suggests that the RASM
361 model physics plays a dominant role in defining a new mean climatic state in the lower levels of
362 the atmosphere. However, the distinct interannual variability from the forcing data remains
363 imprinted on the RASM simulations as is seen by the pattern of interannual variability of the
364 RASM simulations following that of the forcing data. The above results demonstrate that on an
365 annual basis the RASM-DPLE simulation reproduces the pattern of the CESM-DPLE
366 interannual variability in geopotential height and temperature at all levels in the atmosphere. At
367 upper levels the mean state in RASM-DPLE is very similar to that in CESM-DPLE but becomes
368 more similar to the mean in RASM-reanalyses at the lower levels. The biases between DPLE
369 versus reanalyses in the upper levels switches to biases between RASM simulations and forcing
370 data in the lower levels. Similar results can be seen for the North Pacific and Lena regions (see
371 Figure S1) in the supplementary material.

372 The atmospheric circulation of the RASM simulations and associated forcing data is
373 evaluated with spaghetti plot analyses of a common single 500 hPa geopotential height (Z500,
374 e.g. 5,400 m) plotted from each data source (Figure 4). The results show the variability and range
375 of weather patterns for the 10 RASM-DPLE ensemble members for each month and each year.
376 For example, each ensemble member has a 500 hPa ridge over the west coast of North America
377 but the amplitude and position of that ridge is different for each ensemble member. The
378 variability in the weather across the RASM-DPLE simulations remains in the annual means,
379 although not as pronounced as in the monthly means. RASM-DPLE_01 and RASM-DPLE_02
380 are highlighted (red, green) and the two ensemble members are representative of the range of
381 conditions across the ensemble, similar to what was indicated in the regional atmospheric state
382 analyses for the ensemble (Figure 2). The results indicate that the weather at 500 hPa in the
383 RASM simulations matches that of the forcing data with only small differences. This is as is
384 expected for a dynamical downscaling simulation that is nudged to the forcing data for the top
385 half of the model.

386 3.2 Regional Analyses Including Alternate RASM Simulations

387 Additional RASM simulations (RASM_alt-ERAI, RASM_alt-DPLE_01, and RASM_alt-
388 DPLE_02) with alternate WRF physics configuration are included in the following results to
389 highlight the role of the model's atmospheric physics. The only difference in the WRF
390 configuration is the selection of the cumulus parameterization (see Sect. 2.4 for more details).
391 Figure 5 is a plot of the atmospheric state for the annual cycle of monthly means across the 10
392 years (1986-1995) for the RASM simulations (solid lines for RASM_std and darker colors,
393 short-dashed lines for RASM_alt) and the forcing data (lighter colors, long-dashed lines) for the
394 RASM domain average (left side) and the Central Arctic region average (right side). (Figure S2
395 is the same plot but for the North Pacific and Lena regions.) The values are plotted as anomalies
396 in reference to ERAI, as was used in Figure 2. The pattern of the annual cycle of the geopotential
397 heights at 500 hPa and 300 hPa for the RASM simulations follows closely to that of the
398 corresponding forcing data (similar colors) with little sensitivity to the RASM atmospheric
399 physics configuration. This is true for the whole RASM domain and seen even more clearly for
400 the Central Arctic region. The same characteristics in the pattern of the annual cycle and
401 differences are seen for the temperature at 500 hPa and 300 hPa. The DPLE means indicate a
402 cold bias, relative to ERAI, of approximately 2 °C (2 °C) at 300 hPa and 1.5 °C (0.5 °C) for the
403 RASM domain (Central Arctic region). The pattern of the annual cycle for the RASM
404 simulations has some deviations from that of the forcing data in temperature at 500 hPa for the
405 Central Arctic region. This deviation is indicating the influence of the lower levels on the upper
406 levels for the Central Arctic region. The imprint of the weather at 300 hPa and 500 hPa is present
407 in the RASM simulations correlating to that of the forcing data, as is seen in similar patterns of
408 variability with the RASM simulations matching that of the forcing data (similar colors). At the
409 surface, the annual cycle of temperature displays three clusters – RASM simulations (solid
410 lines), RASM_alt simulations (short-dashed lines) and the CESM-DPLE forcing data (long-
411 dashed lines). The difference between the ERAI and CESM-DPLE forcing data indicating a cold
412 bias, relative to ERAI, in surface temperature (T_{surf}) for the CESM-DPLE simulations. This cold
413 bias of T_{surf} in the DPLE forcing data is completely absent in the RASM simulations, with even
414 the annual cycle of T_{surf} bias in the DPLE forcing and RASM-DPLE simulations showing
415 different patterns. This demonstrates that the atmospheric physics of the different RASM
416 configurations dominates the 10-year mean surface climate state. Similar comments on the

417 patterns and biases can be made regarding the temperature at 850 hPa and 700 hPa levels,
418 although this is not as pronounced as at the surface. At these levels the biases from the DPLE
419 forcing is combined with the impact of the RASM model physics in determining the annual cycle
420 in the 10-year climate.

421 The pattern of the 10-year annual cycle of the RASM simulations for geopotential height
422 in the lower half of the model follows the same general pattern as the forcing data, although an
423 offset between the RASM simulations and forcing data is evident indicating different mean
424 values. This indicates that the differences in the weather patterns from the forcing data is still
425 imprinted on the RASM simulations in the lower levels of the atmosphere. The Central Arctic
426 region (righthand side of Figure 5) has slightly different levels of clustering across the
427 RASM_std and RASM_alt simulations and the forcing data depending on the impact of the
428 change in the RASM configuration for the Central Arctic region and time of year. The North
429 Pacific region (Figure S2) shows the most pronounced impact on the Tsfc due to the changes in
430 the RASM physics. Past studies (Cassano et al., 2017; Jousse et al., 2015) have found the low-
431 level stratocumulus clouds of this region are particularly sensitive to the selection of WRF
432 physics options.

433 Analyses of the fluxes at the surface emphasize the dependence of the model physics on
434 the results for the lower atmosphere. Figure 6 is a plot of monthly means of surface temperature,
435 precipitation, and surface fluxes for the 10-year RASM_std and RASM_alt simulations and the
436 corresponding forcing data. The left column is the RASM domain average, and the remaining
437 columns are that of the Central Arctic, North Pacific, and Lena region averages. The shortwave
438 downwelling radiation (SWD; Figure 6a-d) indicates three groups of results with the individual
439 CESM-DPLE, RASM_std, and RASM_alt simulations clustered together by simulation type
440 (similar line style). For all but the Central Arctic, the RASM_alt simulations have the most SWD
441 and the RASM_std simulations have the least. The longwave downwelling radiation (LWD;
442 Figure 6e-h) also indicates the results clustered by simulation type and mostly independent of the
443 forcing data, with the order of the biases in the LWD differing by region. The sum of sensible
444 heat and latent heat (SH + LH; Figure 6i-l) does not show as clearly discernible characteristics as
445 SWD and LWD but there are similar features. For example, the three simulations with the
446 smallest SH + LH values for the North Pacific are RASM_std simulations and the three largest
447 SH + LH values for the Lena region are RASM_alt simulations. Similar comments are true with
448 precipitation (Figure 6m-p) with RASM_std (RASM_alt) having the three largest means of
449 precipitation for the North Pacific (Lena) region and the CESM-DPLE simulations having the
450 three smallest means of precipitation for the Central Arctic and Lena regions. The plots of
451 surface temperature (Figure 6q-t) highlight the cold bias of the CESM-DPLE simulations in
452 comparison to ERAI and a warm bias for nearly all RASM simulations in comparison to ERAI.
453 The results in analyzing the surface fluxes, precipitation and temperature indicate that the model
454 physics plays the largest role in the climate of the surface energy fluxes and atmospheric state in
455 the lower atmosphere. A change in the WRF physics parameterizations (e.g. planetary boundary
456 layer, microphysics, and cumulus parameterizations) will produce a different mean climatic state
457 no matter the forcing data.

458 3.3 Spatial Analyses of Atmospheric State and Surface Fluxes

459 Analyses of spatial patterns of Z500, LWD, and Tsfc, with annual and seasonal
460 (December-January-February, DJF; June-July-August, JJA) means for 1986, provide additional
461 understanding of the similarities and differences in the RASM simulations and forcing data. The
462 differences of Z500 (Figure 7), between RASM simulations and forcing data, provides a greater
463 understanding of the relative dependencies with the dynamical downscaling using RASM. There
464 are minimal differences in Z500 between the RASM simulation and forcing data (ERA-Interim, Figure
465 7a; DPLE_01, Figure 7c). These results are similar to what was indicated previously with the
466 regional plots of atmospheric state (Figs. 3 and 5) and the Z500 (Figure 4). This is also true for
467 the RASM_alt simulation with changes in WRF physics (RASM_alt-ERA-Interim – RASM-ERA-Interim,
468 Figure 7b). This indicates that the atmospheric circulation for the top half of the RASM model is
469 constrained by the nudging to the forcing data, as is expected. Meanwhile, the differences in the
470 weather between the DPLE_01 and ERA-Interim forcing data (CESM-DPLE_01 – ERA-Interim, Figure 7e) are
471 reflected with similar patterns of differences between RASM simulations dependent on forcing
472 data (RASM-DPLE_01 – RASM-ERA-Interim, Figure 7d) and in comparing the RASM-DPLE_01
473 simulation to ERA-Interim (RASM-DPLE_01 – ERA-Interim, Figure 7f). The differences in Z500 represent the
474 changes in the atmospheric circulation for the top half of the RASM simulations between
475 DPLE_01 and ERA-Interim. The results for the temperature at 500 hPa (Figure S3) show similar results
476 with minimal differences indicated between the RASM simulation and its forcing data and larger
477 differences when comparing results between DPLE_01 and ERA-Interim, in either the RASM
478 simulation and/or the forcing data.

479 The spatial differences in LWD across the RASM simulations and forcing data (Figure 8)
480 highlight the predominant dependence of RASM and the model physics on the results for LWD.
481 The differences in LWD in RASM-ERA-Interim and ERA-Interim (Figure 8a) indicate that RASM has more
482 LWD compared to ERA-Interim over almost the entire RASM domain. This is an inherent RASM bias
483 in relation to ERA-Interim. Similar differences in LWD are found in the comparison between the
484 RASM-DPLE_01 and ERA-Interim (Figure 8f) highlighting the dominance of the inherent RASM
485 biases over the differences in weather for 1986 between DPLE_01 and ERA-Interim. A comparison of
486 RASM with the alternate WRF physics and the RASM standard configuration (Figure 8b)
487 indicates that the change in WRF physics results in a decrease in LWD across the entire ocean
488 regions of the RASM domain for the annual mean and the winter mean. The results also indicate
489 a decrease in LWD over land downwind of the ocean regions. There is less difference for the
490 summer months of 1986 over the RASM region, except for the lower-latitude ocean. Meanwhile
491 the results for LWD radiation between RASM simulations with different forcing data (Figure 8d)
492 indicate relatively small differences, in comparison to that of differences in the modeling systems
493 (Figure 9a-9c), across the RASM domain for the annual and seasonal means, except for the
494 Central Arctic in the winter. These results highlight that the model physics plays a larger role on
495 the annual and seasonal means than the differences in weather for 1986 but the difference in
496 weather still has a small imprint. A comparison of LWD in CESM-DPLE_01 and ERA-Interim (Figure
497 8e) indicates that CESM has seasonally varying differences in LWD in relation to ERA-Interim. These
498 differences are similar for CESM-DPLE_01 (Figure 8d) and CESM-DPLE_02 (not shown)
499 indicating that these are inherent differences in CESM relative to ERA-Interim, are most dependent on
500 the differences in model physics (CESM vs ERA-Interim) and less so due to the differences in the
501 weather. A similar analysis of SWD (Figure S5) shows results indicating that the SWD is largely
502 a function of the model physics (CESM / RASM vs ERA-Interim and RASM_alt vs RASM) with some
503 regional sensitivity to the driving data (ERA-Interim versus DPLE_01). These results for LWD (Figure
504 8) and SWD (Figure S4) are opposite of that for the Z500 (Figure 7) indicating the larger role

505 that the model biases, and model physics, have on the downwelling radiation at the surface, in
506 comparison to changes in the weather of the simulations (forcing data).

507 Comparisons of spatial differences of T_{sfc} across the different RASM simulations and
508 forcing data for 1986 (Figure 9) show varying dependencies related to changes in the forcing
509 data (weather), model and changes in modeling system/physics. The differences in T_{sfc} between
510 RASM-ERA-Interim and ERA-Interim (Figure 9a) indicate that RASM has a warm bias over most land areas
511 and a slight cold bias over the sub-polar oceans (year-round) and a cold bias over the central
512 Arctic (DJF). These differences reflect RASM's preferred climate state, or inherent model biases
513 in comparison to ERA-Interim. At least a part of the positive T_{sfc} biases are likely associated with the
514 positive differences in LWD at the surface (Figure 9a). The comparison of CESM-DPLE_01 and
515 ERA-Interim (Figure 9e) shows that CESM-DPLE_01 has a cold bias relative to ERA-Interim in most areas
516 (annually and DJF) but some regional warm biases in the summer. The differences in this
517 comparison reflect both the inherent biases in CESM T_{sfc} relative to ERA-Interim and the differences in
518 seasonal and annual weather for this ensemble member compared to the weather in ERA-Interim for
519 1986. These cold biases for CESM in relation to ERA-Interim were previously indicated in the regional
520 plots for the RASM domain and the Central Arctic (Figure 3r,t). The T_{sfc} in RASM-DPLE_01
521 relative to RASM-ERA-Interim (Figure 9d) reflects the unique weather of this ensemble member in
522 relation to ERA-Interim for the specific seasons and year for 1986. A comparison of differences in T_{sfc}
523 between RASM simulations with the modified WRF physics and that of the standard RASM
524 configuration (Figure 9b) shows a slight warm difference across the North Pacific, western
525 Siberia, eastern Canada, and the north Atlantic for the annual mean. RASM_alt-ERA-Interim has a
526 slight cool difference relative to RASM-ERA-Interim over the land areas for the DJF mean. Meanwhile
527 for JJA there are moderate warm differences across the entire RASM domain, except the Central
528 Arctic. The T_{sfc} differences between RASM_alt and RASM_std (Figure 9b) are slightly smaller
529 in magnitude than the differences between RASM-ERA-Interim and ERA-Interim (Figure 9a) indicating that
530 even a single change in the model physics can significantly alter the T_{sfc} . In summary, the
531 results for T_{sfc} across the RASM simulations and forcing data for 1986 (Figure 9) indicate a
532 reverse of that of Z500 (Figure 7) with changes in model system (panels: a, c in Figs. 7 and 9)
533 and changes in model configuration (panels: b) presenting larger differences than the changes in
534 weather (DPLE ensemble members or ERA-Interim, panels: d). Spatial plots of T_{sfc} differences for the
535 DPLE_02 ensemble member (CESM-DPLE_02, RASM-DPLE_02) in comparison to forcing
536 data, the DPLE_01 ensemble member, and modifications to the WRF physics are provided in
537 Figure S5 to further highlight these conclusions.

538 3.4 Evaluation of Sea Ice and Ocean Transport in the RASM-DPLE Ensemble

539 The analyses presented above demonstrate that the dynamical downscaling of the CESM-
540 DPLE ensemble members by RASM results in the top half of the model following that of the
541 forcing data as a result of using nudging in RASM. In contrast, the near surface state and
542 radiative fluxes are clustered more closely among modeling systems (CESM, RASM, and
543 reanalyses), and model configuration (RASM_std vs RASM_alt) than due to the differences in
544 the weather (forcing data: reanalyses and DPLE ensemble members). Despite the strong control
545 the modeling system and model configuration have on near surface state and radiative fluxes
546 there is still a signal from differences in weather across the different forcing data. As a result the
547 fully-coupled RASM simulations display differences in sea ice and oceanic transport that varies
548 with forcing data.

549 Figure 10 is a spatial plot showing sea ice extent for the RASM simulations and NSIDC
550 sea ice observations for March 1995 (Figure 10a,c) and September 1995 (Figure 10b,d),
551 representing the results 10 years into the RASM-DPLE simulations. The top two panels (Figure
552 10a,b) show all 10 RASM-DPLE ensemble members and the ensemble mean. The Chukchi, East
553 Siberian, and Laptev seas in particular shows large variations in the sea ice extent for September
554 1995 (Figure 10b) depending on the evolution of weather in a given DPLE ensemble member.
555 The impact of the changes in WRF physics in RASM (RASM_alt) simulations going to a
556 different surface climate state are indicated in the lower panels (Figure 10c,d) with the dashed
557 lines indicating the modified WRF physics. For March 1995 (Figure 10c) the RASM_alt
558 simulations for the same ensemble member have a slightly larger sea ice extent than the
559 corresponding RASM_std simulations for the same ensemble member (similar color). The sea
560 ice extent for the RASM_alt simulations is less than that of the RASM_std simulations for
561 September 1995 (Figure 10d). This is reflective of the warmer Tsfc conditions during JJA in the
562 RASM_alt simulations than the RASM simulations as previously indicated in Figure 6q-t and
563 Figure 9b over the western Arctic.

564 Figure 11 is a time series plot of sea ice volume from the RASM simulations and
565 PIOMAS (Schweiger et al. 2011; Zhang and Rothrock 2003) reference values from 1986 to
566 1995. The top panel (Figure 11a) shows the range of sea ice volume across the 10 RASM-DPLE
567 ensemble members from 1986 to 1995, once again showing that despite RASM model producing
568 a similar near-surface climate state for the 10 years across the ensemble members the evolution
569 of the weather results in different sea ice results across the RASM-DPLE ensemble members. A
570 review of the differences in RASM simulations with and without modified WRF physics (Figure
571 11b) shows that in general the modified WRF physics output is resulting in less sea ice in the
572 fully coupled RASM simulations. Hence, the modification in the WRF physics accounts for a
573 change in the fully coupled sea ice conditions. It can also be seen that the decadal trend between
574 the RASM_std and RASM_alt simulations for each ensemble member are similar.

575 The impacts of the different DPLE ensemble members on the RASM fully coupled
576 climate system extend to that of oceanic volume transport. Figure 12 shows the net volume
577 transport across two main gateways between the North Atlantic and the Arctic Ocean: the
578 Barents Sea Opening (Figure 12a,c) and Fram Strait (Figure 12b,d). The top two panels (Figure
579 12a,b) are more chaotic than that of sea ice volume (Figure 11) but shows the same story with
580 each ensemble member representing part of the range of results for volume transport between the
581 Barents Sea Opening and Fram Strait. At times a given ensemble member will have the largest
582 amount of volume transport for a given month and at times that same ensemble member will
583 have the least. The comparison of the RASM_std and RASM_alt simulations (Figure 12c,d)
584 show comparable variability with the RASM_std simulations (solid lines) representing higher
585 volume transport across the Barents Sea Opening compared to that from the RASM_alt
586 simulations (dashed lines).

587 **4 Discussion and Conclusions**

588 This study has evaluated the results of the dynamical downscaling of ESM and reanalysis
589 data by the fully coupled RASM. This version of RASM has atmosphere, land, ocean, and sea
590 ice component models that exchange fluxes and state values through a coupler. For this
591 dynamical downscaling study, the land, ocean, and sea ice were initialized by a previous RASM

592 simulation forced by the ERAI reanalysis. After the initialization, the land, ocean, and sea ice
593 models evolve freely based on the interactions with the atmosphere and the respective model
594 evolution. This contrasts with the more frequently used dynamical downscaling with an
595 atmosphere-only model that has prescribed lower boundary conditions from either the forcing
596 ESM or a secondary dataset, such as satellite observations of sea surface temperature. The ability
597 for RASM to evolve at the surface more freely, without prescribed lower-boundary conditions,
598 highlights one of the unique aspects of this study.

599 Here, we have created an ensemble of RASM simulations using 10 members of the
600 CESM-DPLE, two reanalyses, and two versions of RASM that differ in their model physics.
601 This ensemble of RASM simulations allows us to evaluate the relative role of differences in
602 weather, differences due to biases in the driving data, and differences due to changes in the
603 modeling system. The first 10 ensemble members of the CESM-DPLE project, with a start date
604 of 1 November 1985, are used to provide the ESM forcing data for the dynamical downscaling in
605 RASM. An advantage to using 10 ensemble members from the CESM-DPLE is that it allows for
606 an evaluation of differences due solely to changes in the weather across the 10 ensemble
607 members. The term weather in this context is used to denote the temporal variation in
608 atmospheric state as the result of the evolving atmospheric patterns that produce the monthly,
609 annual, and multiyear means. Two RASM simulations were completed using the ERAI and CFS
610 reanalyses running from September 1979 through 2018 (2020 for CFS). Comparison of the
611 reanalysis forced RASM simulations with the DPLE forced simulations allows for an assessment
612 of the impact of biases in the driving data (CESM-DPLE) on the downscaled climate state. An
613 alternate RASM configuration (RASM_alt) was configured with a change in the selected
614 cumulus parameterization in the WRF model. The RASM_alt configuration is used to highlight
615 the dependency of the results on the configuration of the atmospheric model in RASM.

616 The analyses presented here focus on time series of monthly, annual, and multiyear
617 means of the atmospheric climatic state at all levels of the atmosphere across the 10 RASM-
618 DPLE ensemble members, two reanalyses, and RASM_alt simulations. Additionally, Z500
619 spaghetti plots, and spatial plots of Z500, LWD, and Tsfc are used to assess the range of results
620 across all RASM simulations and forcing data. Two ensemble members (01 and 02) were
621 selected for a more careful examination with a comparison to the reanalyses and the
622 corresponding CESM-DPLE forcing data. The RASM simulations of the two ensemble members
623 and the two reanalyses indicate that for the 300 hPa and 500 hPa geopotential height and
624 temperature fields the mean climatic state matches that of the corresponding forcing data (either
625 reanalysis or CESM-DPLE). This is the expected result for dynamical downscaling simulations
626 where nudging to the forcing data is applied to the top half of the model. The position and
627 amplitude of ridges and troughs of geopotential height at 500 hPa for any given month or year
628 also indicate a strong correlation between the RASM simulations and the forcing data (Figure 4).
629 The results indicate that there are biases of lower temperatures and lower geopotential heights in
630 the DPLE results, for both CESM and RASM, in comparison to the reanalyses (ERAI and CFS).

631 Meanwhile, the results of the mean climatic state, as indicated in the annual and multi-
632 year means, for the lower half of the atmosphere tend to form three clusters: i) RASM
633 simulations with either CESM or reanalysis forcing data, ii) CESM-DPLE, and iii) reanalyses.
634 RASM and CESM both have biases relative to the reanalyses, but RASM-DPLE simulation
635 biases are unique from the biases in the CESM-DPLE forcing data used for these simulations and

636 are similar to the RASM-ERA-Interim biases. For example, monthly means of Tsfc for the 10-year
637 simulations across the RASM domain show the RASM simulations (DPLE and reanalysis) with
638 a warm bias in relation to ERA-Interim and the CESM-DPLE forcing data as a cold bias in relation to
639 ERA-Interim (Figure 6r). The biases that are present in the CESM-DPLE forcing data are no longer
640 present in the RASM-DPLE simulations.

641 The mean climatic state of the lower part of the RASM atmosphere is largely independent
642 of the nudging to the forcing data and is instead dependent on RASM's inherent biases,
643 atmospheric physics parameterizations, and presumably resolution, in driving the near surface
644 state in the model. The RASM_alt simulations result in a different mean state of the lower
645 atmosphere and surface as indicated by Tsfc and the surface fluxes (Figure 6) because of the
646 change of a single physics parameterization (the cumulus parameterization). The changes in the
647 forcing data (across DPLE ensemble members or in comparison to the reanalyses) are not large
648 enough to change the clouds and fluxes that form the mean RASM climatic state for the lower
649 atmosphere and surface.

650 Bias correction to ESM data is a common prerequisite in using ESM output for forcing of
651 RCMs. In this study it was found that the bias correction of the ESM data was not necessary in
652 the fully coupled modeling framework. There is a level of independence between the upper half
653 of the model domain, where nudging is applied to the forcing data, and what happens at the
654 surface in that the biases in the DPLE temperature have no, or insignificant, impact on the results
655 for the lowest part of the atmosphere. Instead, it is the physics parameterizations of the
656 atmospheric model that play the dominant role in establishing the mean climatic state of the
657 lower atmosphere and near surface conditions. The results indicate that it is possible to do
658 downscaling with a biased ESM and obtain reasonable and improved results at the surface. The
659 key difference as to why this is the case for this study, in contrast to previous studies with an
660 atmosphere-only RCM framework, is that the surface conditions are not prescribed by the ESM
661 forcing data but evolve freely through coupling between the atmosphere and the other
662 component models.

663 The results indicate that despite the mean surface climatic state, as indicated by annual
664 and multi-year means, being similar across the ensemble of 10-year RASM-DPLE simulations
665 there are differences in how the model reaches that mean climatic state. Those differences are in
666 the evolution of the weather, or the sequence and intensity of the atmospheric circulation, over
667 the 10 years that produce the mean climatic state. These differences in weather are indicated in
668 the month-to-month patterns of the monthly means (Figure 2), the year-to-year patterns in annual
669 means (Figure 3), and the differences in the position and amplitude of the ridges and troughs in
670 the 500 hPa geopotential spaghetti plots (Figure 4). The RASM-DPLE simulations produce
671 weather that is unique for each ensemble member, and that is consistent with the CESM-DPLE
672 driving data as indicated by similar month-to-month and year-to-year patterns in the analyses
673 (Figs. 2, 3, and 5). The differences in the evolution of the weather across the 10 ensemble
674 members results in variances in the sea ice state (Figs. 10 and 11), and the oceanic transport into
675 and out of the Arctic (Figure 12). Despite having a similar mean climatic state across the
676 ensemble members the sea ice and oceanic states are different across the RASM ensemble
677 members. This is similar to how there are differences in sea ice and oceanic states from year-to-
678 year in recent history despite the minimal differences in the year-to-year mean climatic state.

679 The benefits of a fully coupled RESM lie in the ability of the model to respond to the
680 larger scale weather, accomplished through the nudging to the ESM or reanalysis forcing data,
681 meanwhile the higher spatial and temporal resolution in combination with the more region-
682 specific and flexible atmospheric physics in the RESM allows the lower portion of the
683 atmosphere and the coupled model components to freely evolve, largely independent of the
684 forcing data. Changes or improvements to a RESM atmospheric physics impact the mean
685 climatic state of the atmospheric and coupled components of the RESM. In the case of RASM,
686 the Arctic-optimized configuration of the ocean model, and the higher spatial and temporal
687 resolutions of the ocean and sea ice models, allow for a more realistic representation of the
688 physical processes and mechanisms for the Arctic climate system.

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698 **Open Research**

699 The reanalysis data used in this study was retrieved from the Research Data Archive at the
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701 Laboratory (<https://rda.ucar.edu/>). The CESM-DPLE data used in this study was retrieved
702 through the NCAR CESM community project website ([https://www.cesm.ucar.edu/community-
703 projects/dple](https://www.cesm.ucar.edu/community-projects/dple)). All RASM simulations are archived in the HPCMP archive system and will be
704 available upon publication according to the U.S. DoD data policy.

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862 Tables

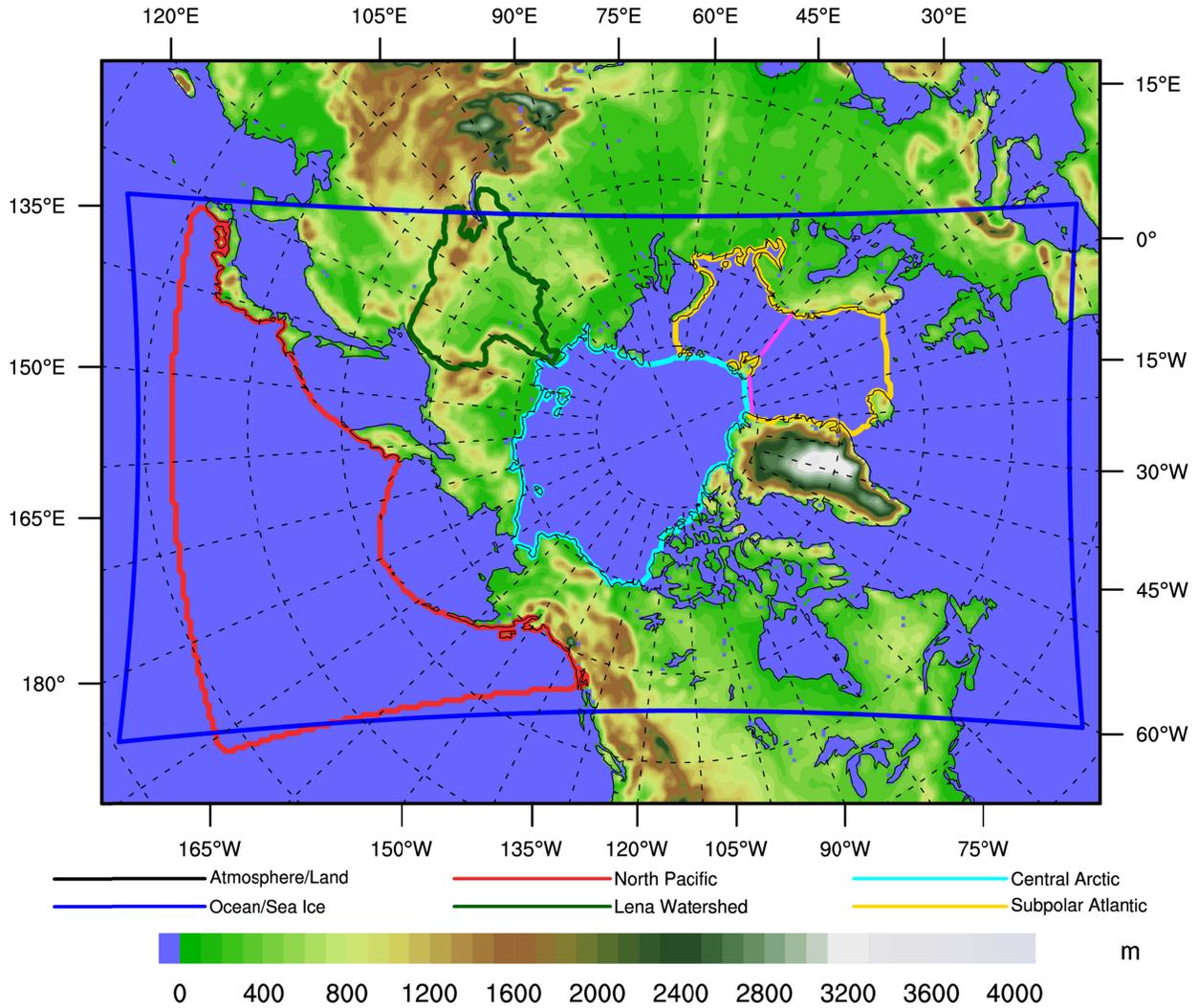
863 **Table 1.** List of RASM simulations with the simulation name, forcing data, initial date, end date,
 864 and specification of the WRF physics in RASM.

865 *RASM Simulations*

Title	Forcing data	Initial date	End date	WRF physics
RASM-ERA1	ERA1	1 Sept. 1979	31 Dec. 2018	RASM default
RASM-CFS	CFS	1 Sept. 1979	31 Dec. 2020	RASM default
RASM-DPLE_01, _02, _03, ..., _10	CESM-DPLE_01, _02, _03, ..., _10	1 Dec. 1985	31 Dec. 1995	RASM default
RASM_alt-ERA1	ERA1	1 Sept. 1979	31 Dec. 1995	Cu = Kain-Fritsch
RASM_alt-DPLE_01, _02	CESM-DPLE_01, _02	1 Dec. 1985	31 Dec. 1995	Cu = Kain-Fritsch

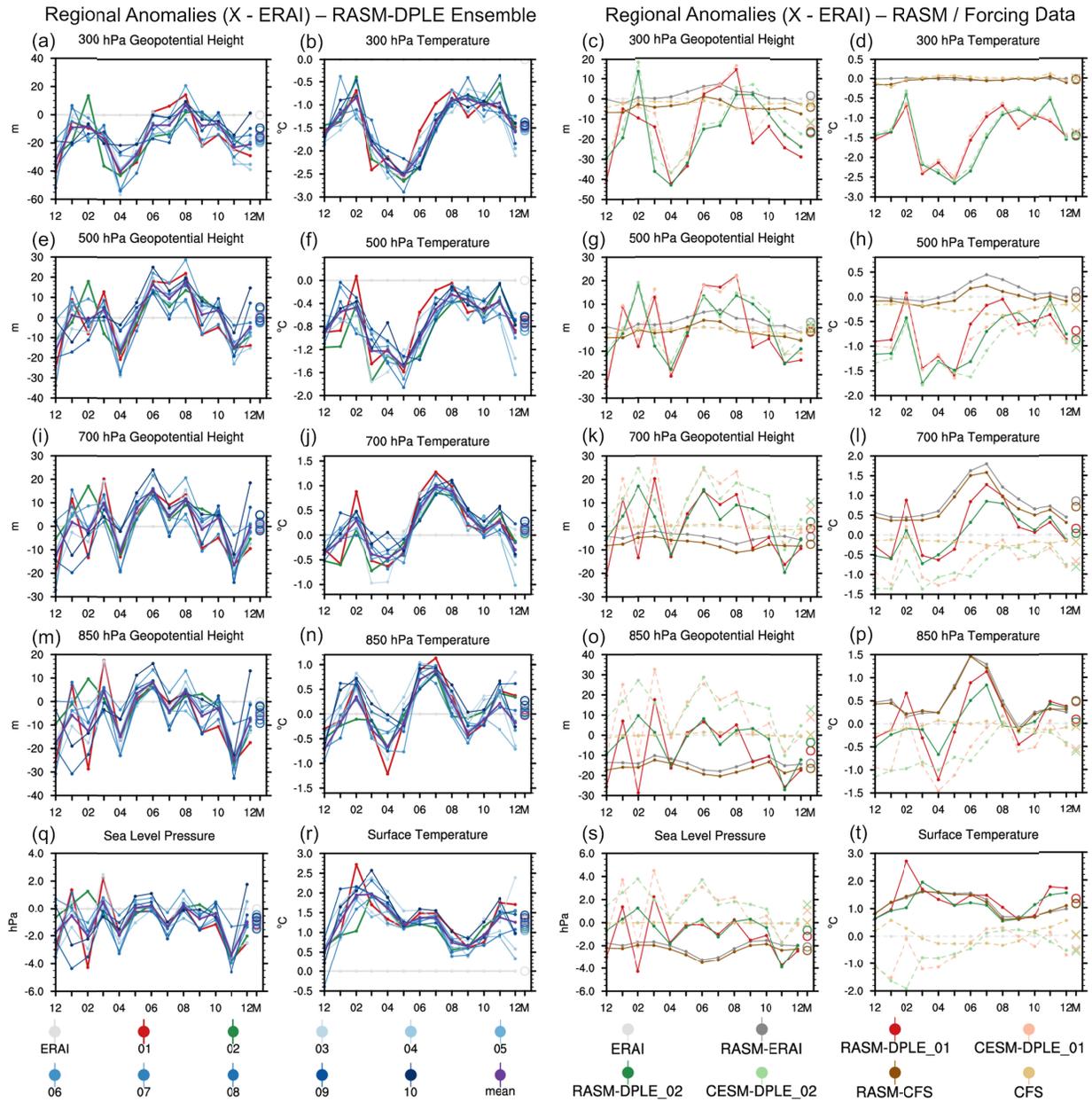
866

Figures with captions.



1
 2 **Figure 1.** RASM model domains, topography, and analysis regions. The 50-km atmosphere /
 3 land domain covers the entire map region. The 9-km ocean / sea ice domain is indicated by the
 4 blue line. The North Pacific, Lena Watershed, Central Arctic, and Subpolar Atlantic analysis
 5 regions are outlined in red, dark green, cyan, and yellow. The color bar indicates the topography
 6 contours. The Fram Strait and Barents Sea Opening gateways for oceanic transport are indicated
 7 in magenta.

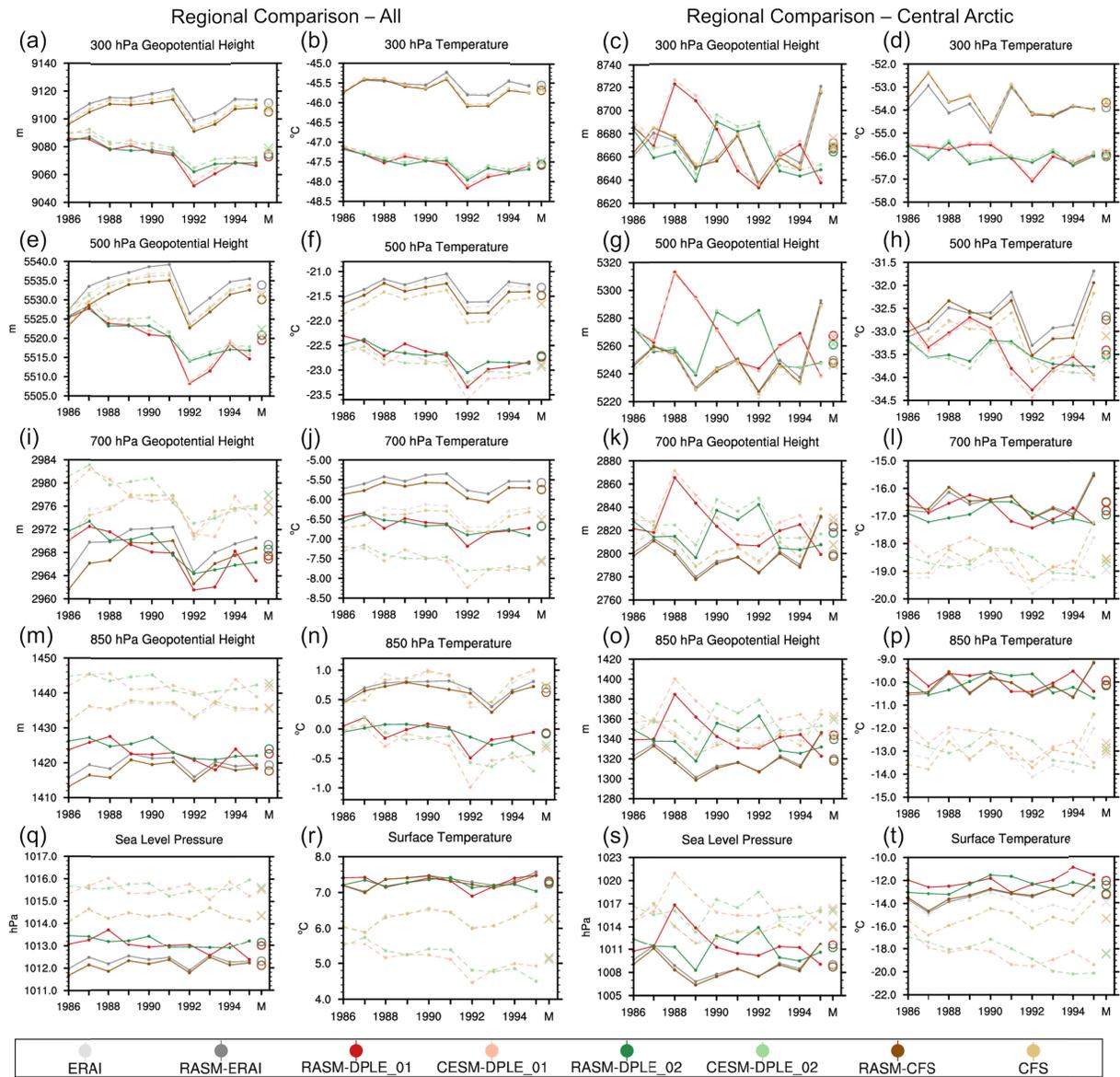
Atmospheric State – Monthly Means – Dec. 1985 to Dec. 1986



8

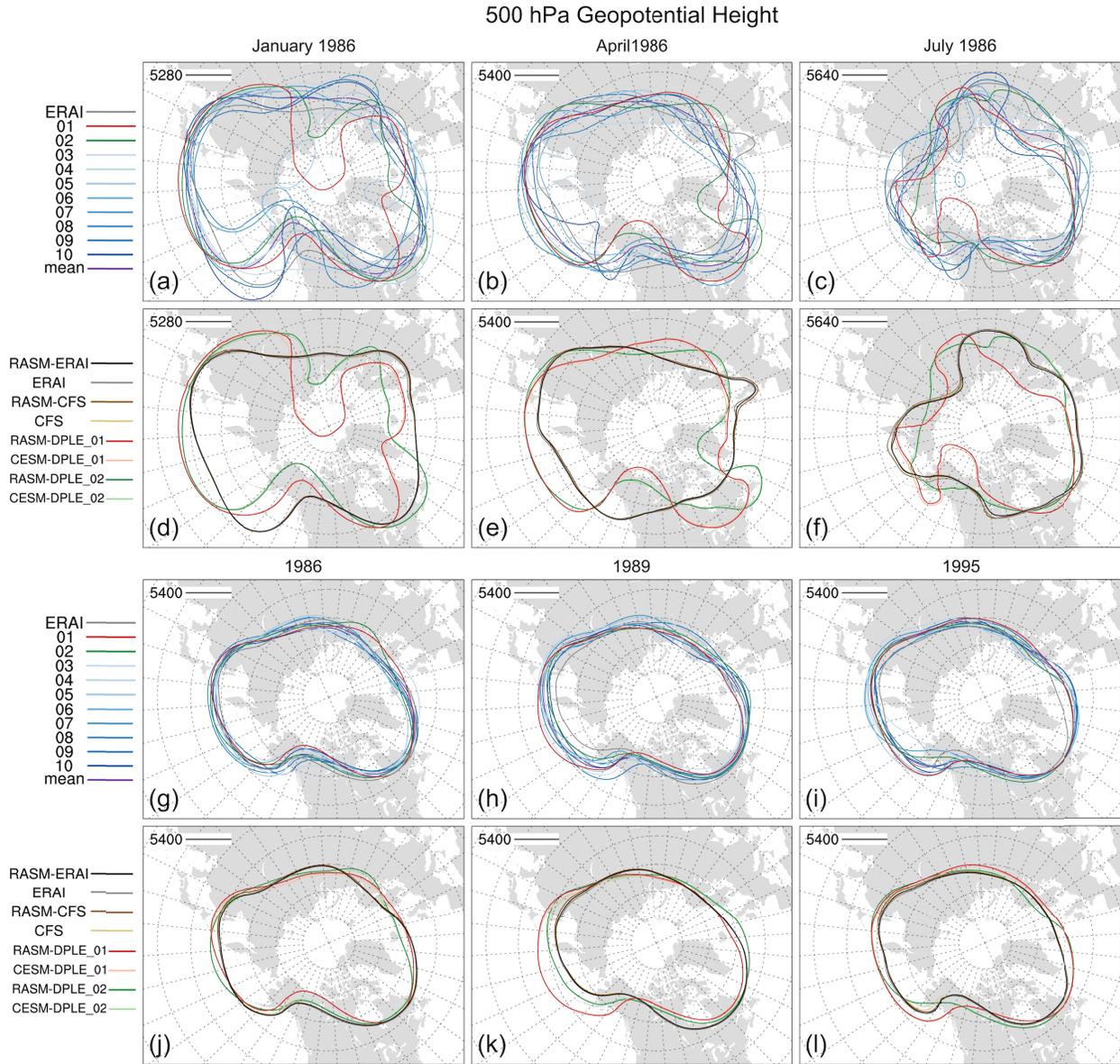
9 **Figure 2.** Monthly means of atmospheric state for the RASM domain spanning December 1985
 10 to December 1986. The left two columns are for the 10 RASM-DPLE ensemble member
 11 simulations (01 - red, 02 - green, 03 to 10 shades of blue), the RASM-DPLE ensemble mean
 12 (purple), and the ERAI reanalysis (light gray). The right two columns are of the RASM
 13 simulations (solid lines) and the forcing data (dashed lines) for reanalyses (ERAI - grays, CFS -
 14 browns) and DPLE (01 - reds, 02 - greens). The open circles (Xs) are the 13-month means for the
 15 RASM simulations (forcing data). The means are plotted as anomalies in comparison to the
 16 ERAI reanalysis.

Annual Means – 1986 to 1995 – RASM / Forcing Data



17

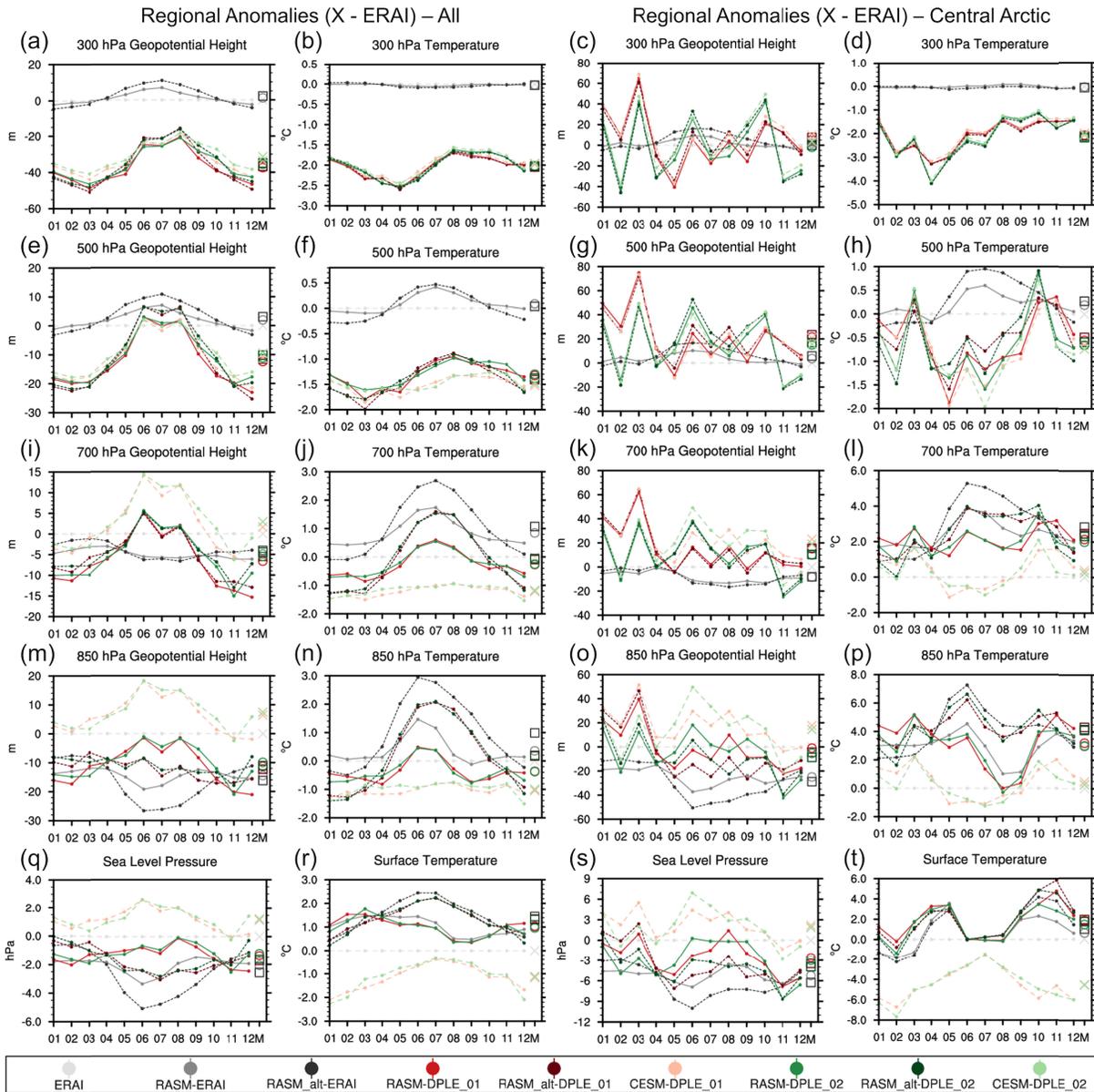
18 **Figure 3.** Annual means of atmospheric state spanning 1986 to 1995. The left two columns are
 19 the means for the RASM domain and the right two columns are the means for the Central Arctic
 20 analysis region. The plotted means are for the RASM simulations (solid lines) and the forcing
 21 data (dashed lines) for reanalyses (ERA-I - grays, CFS - browns) and DPLE (01 - reds, 02 -
 22 greens). The open circles (Xs) are the 10-year means for the RASM simulations (forcing data).



23

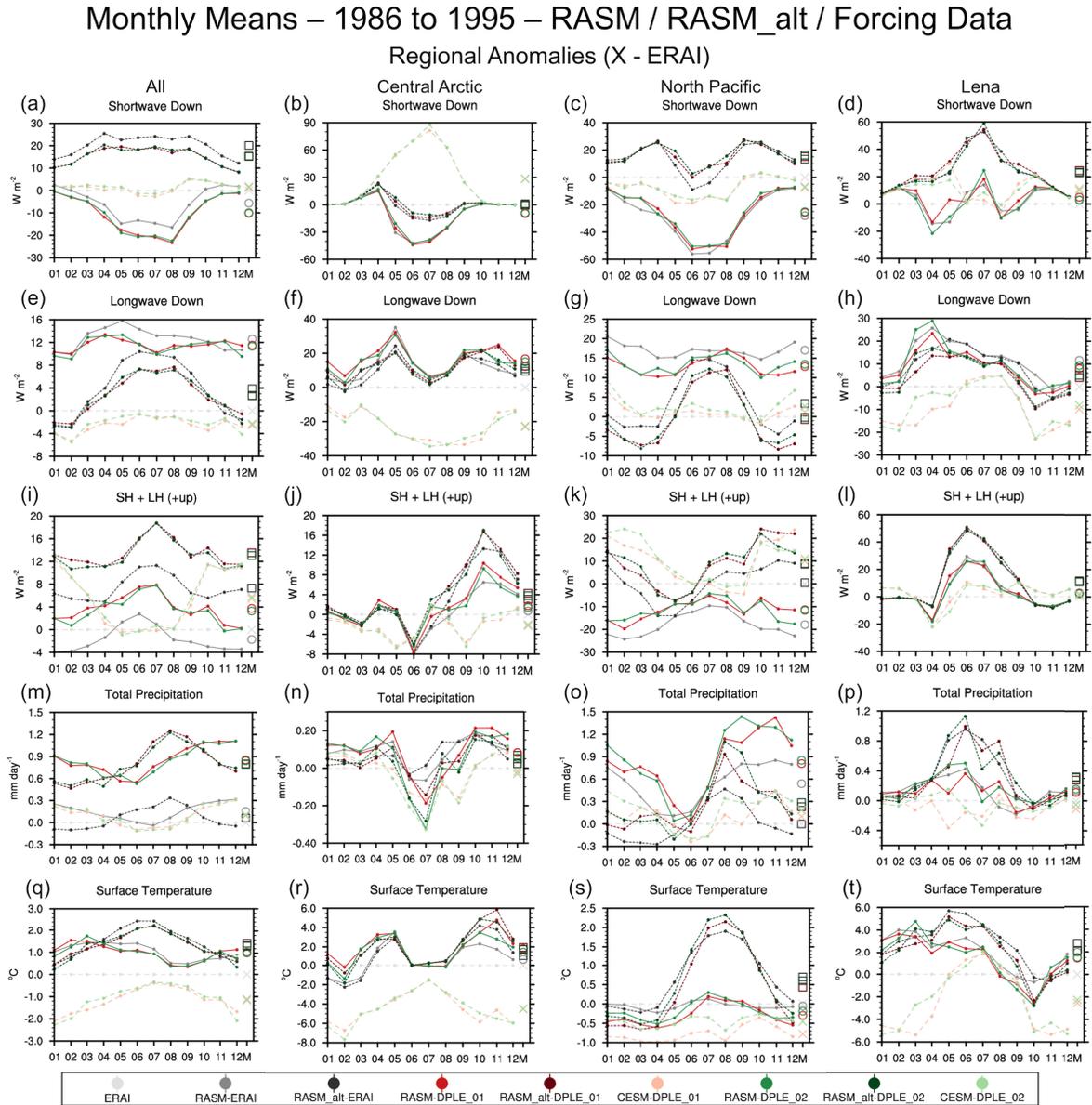
24 **Figure 4.** Spaghetti plot of a single 500 hPa geopotential height contour for the RASM domain.
 25 The top two rows are monthly means for January, April, and July 1986 and the bottom two rows
 26 are annual means for 1986, 1989, 1995. The contour is 5,400 m for all panels except January
 27 1986 (a, d: 5,280 m) and July 1986 (c, f: 5,640 m). The first and third rows are for the 10 RASM-
 28 DPLE ensemble member simulations (01 - red, 02 - green, 03 to 10 shades of blue), the RASM-
 29 DPLE ensemble mean (purple), and the ERAI reanalysis (light gray). The second and fourth
 30 rows are of the RASM simulations (darker colors) and the forcing data (lighter colors) for
 31 reanalyses (ERA1 - grays, CFS - browns) and DPLE (01 - reds, 02 - greens).

Monthly Means – 1986 to 1995 – RASM / RASM_alt / Forcing Data



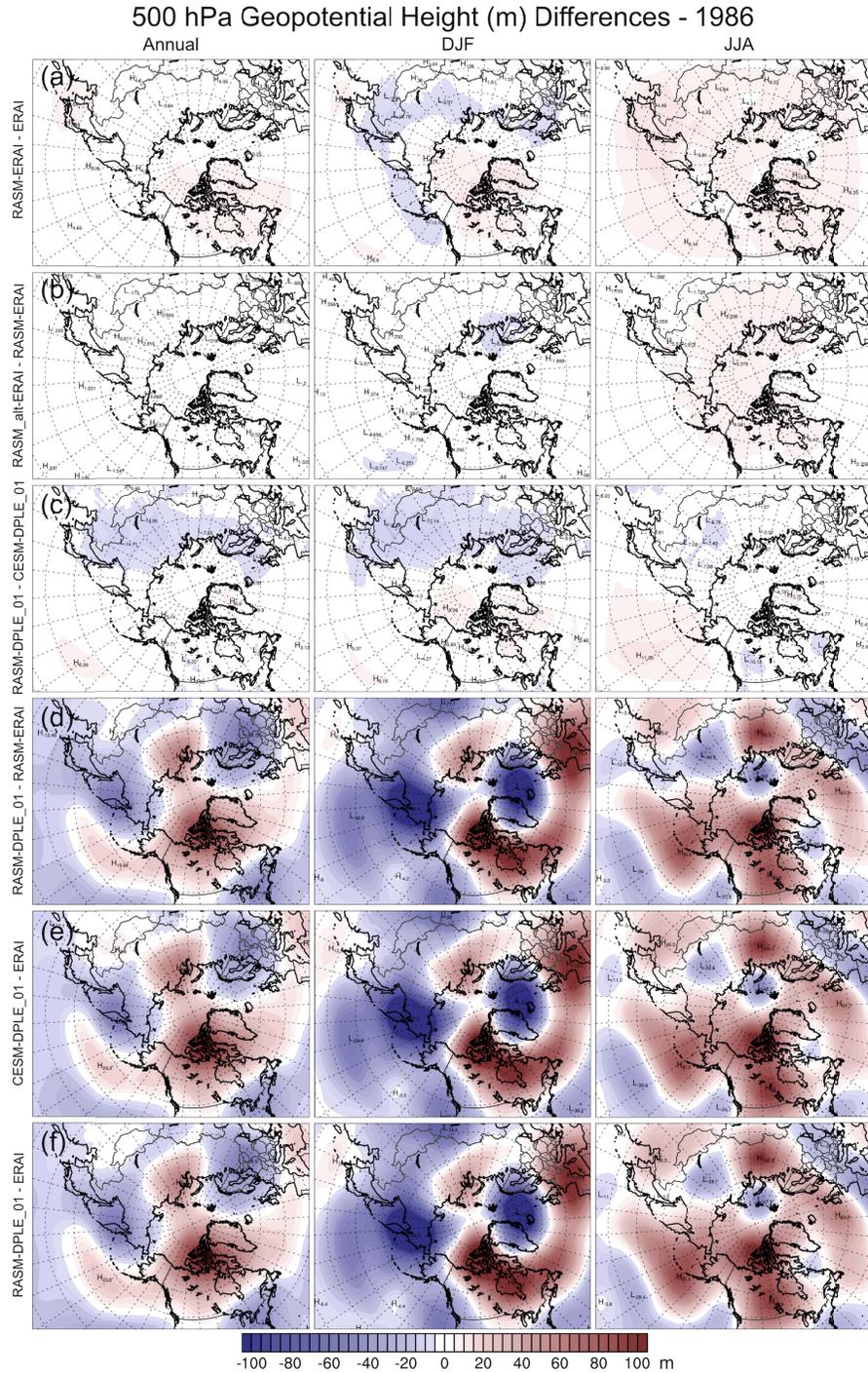
32

33 **Figure 5.** 10-year (1986-1995) monthly means indicating the annual cycle of the atmospheric
 34 state. The left two columns are the means for the RASM domain and the right two columns are
 35 the means for the Central Arctic analysis region. The plotted means are for the RASM_std
 36 simulations (solid lines), RASM_alt simulations (short-dashes, darker colors) and the forcing
 37 data (long dashes, lighter colors) for the ERAI reanalysis (grays) and DPLE (01 - reds, 02 -
 38 greens). The open circles, open squares, and Xs are the 10-year means for the RASM_std,
 39 RASM_alt simulations, and forcing data. The means are plotted as anomalies in comparison to
 40 the ERAI reanalysis.



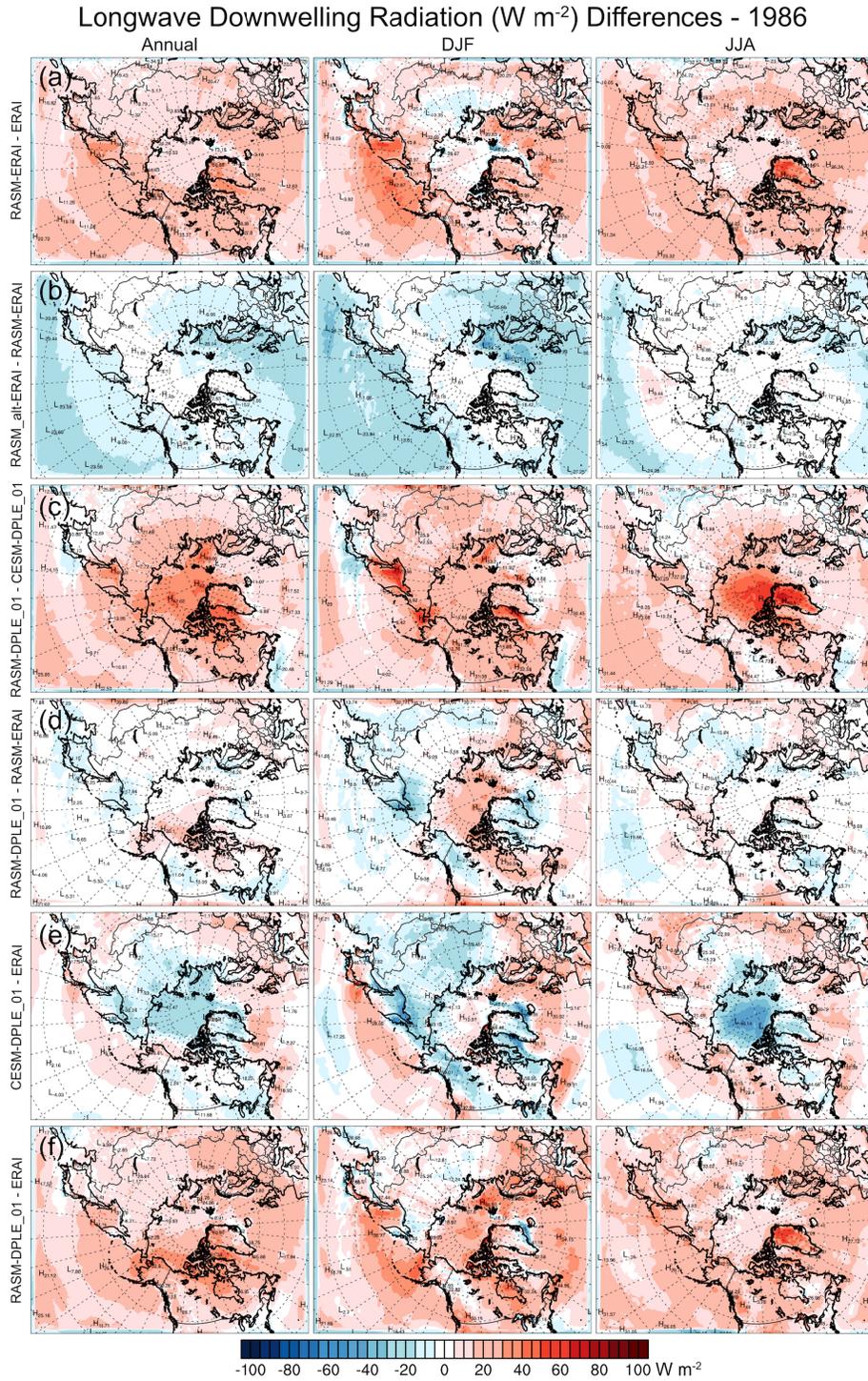
41

42 **Figure 6.** Same as Figure 5 except means of surface fluxes (SWD, LWD, SH+LH), total
 43 precipitation, and surface temperature with means for the RASM domain, Central Arctic, North
 44 Pacific, and Lena analysis regions in columns from left to right.



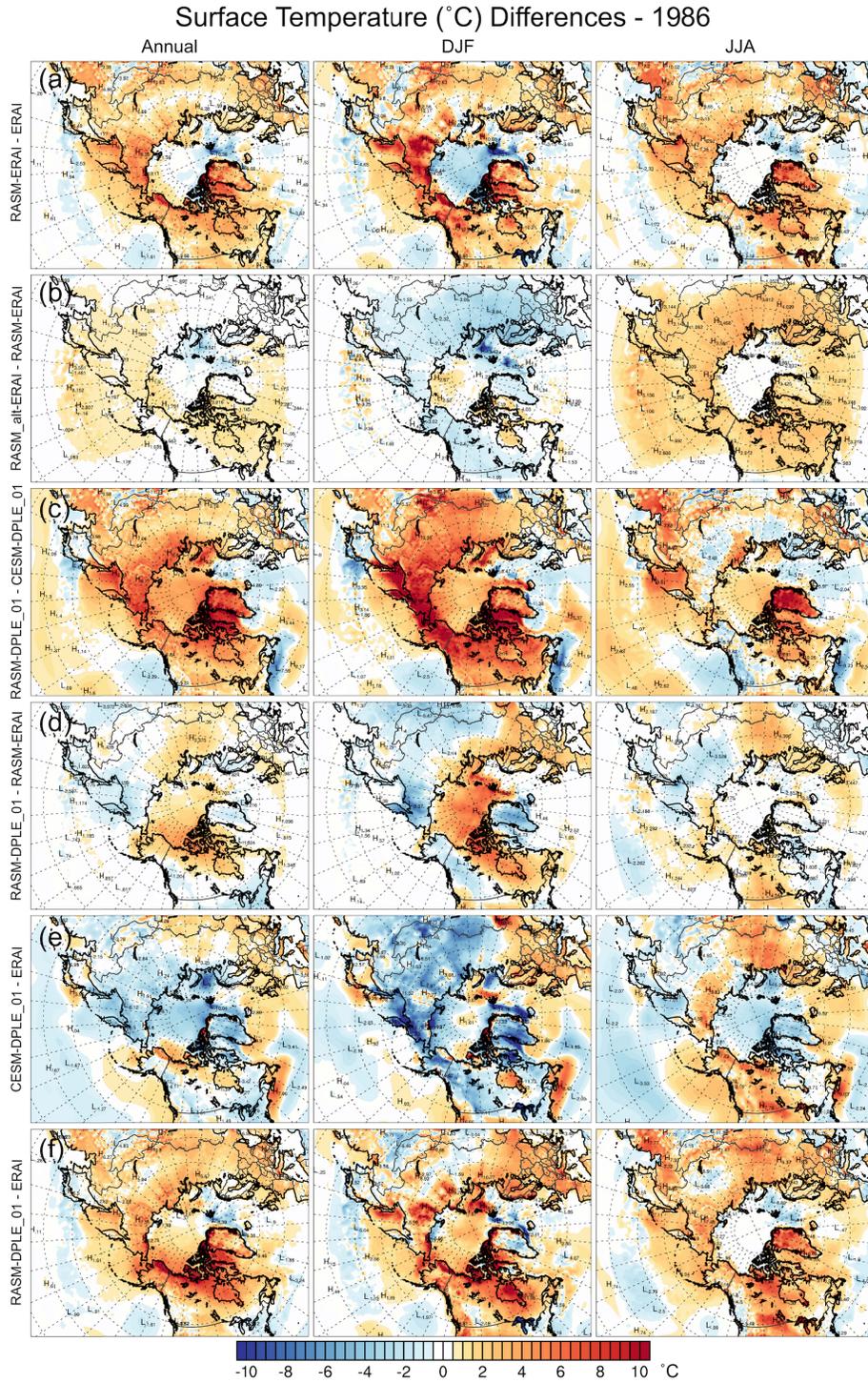
45

46 **Figure 7.** Spatial plots of differences in 500 hPa geopotential height (Z500) for 1986 across the
 47 RASM domain. The means are annual, December-January-February, and June-July-August in
 48 the columns from left to right. The differences are between RASM simulations / forcing data and
 49 other RASM simulations / forcing data as labeled along the left side of each row. The color bar
 50 indicates the contour of differences in mean Z500 with blues (negative) and reds (positive)
 51 differences.



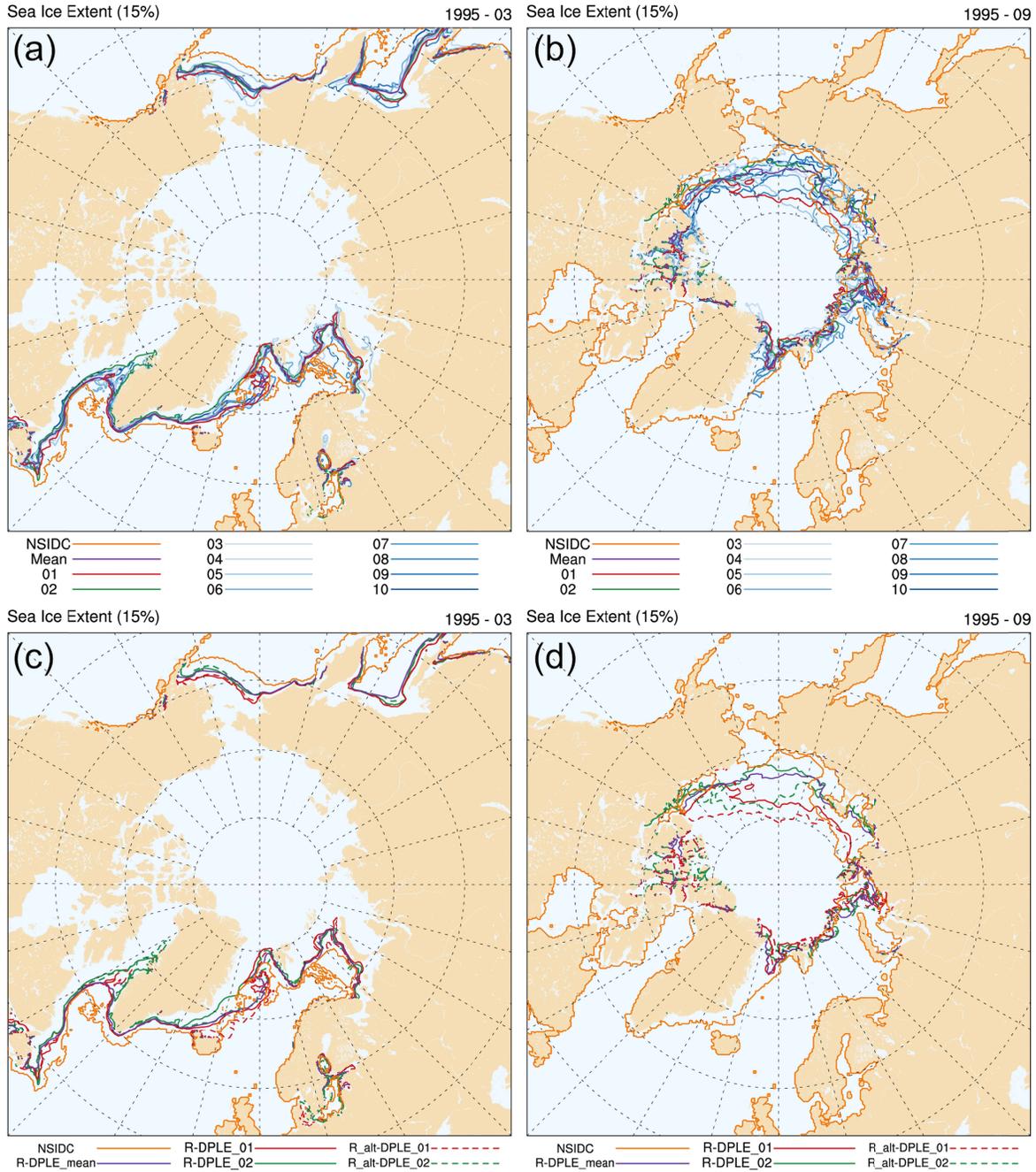
52

53 **Figure 8.** Same as Figure 7 except means of longwave downwelling radiation at the surface
 54 (LWD). The color bar indicates the contour of differences in mean LWD with blues (negative)
 55 and reds (positive) differences.



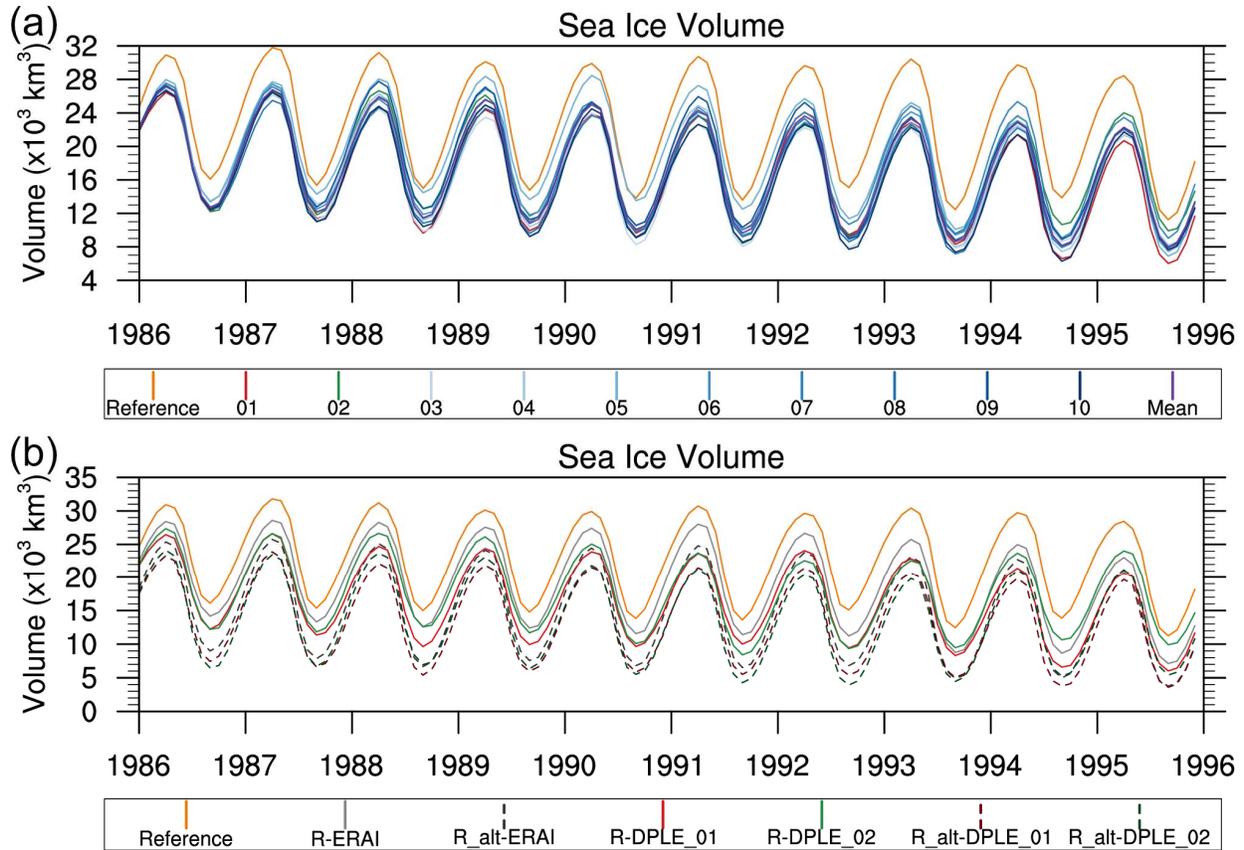
56

57 **Figure 9.** Same as Figure 7 except means of surface temperature (Tsfc). The color bar indicates
 58 the contour of differences in mean Tsfc with blues (negative) and oranges/reds (positive)
 59 differences.

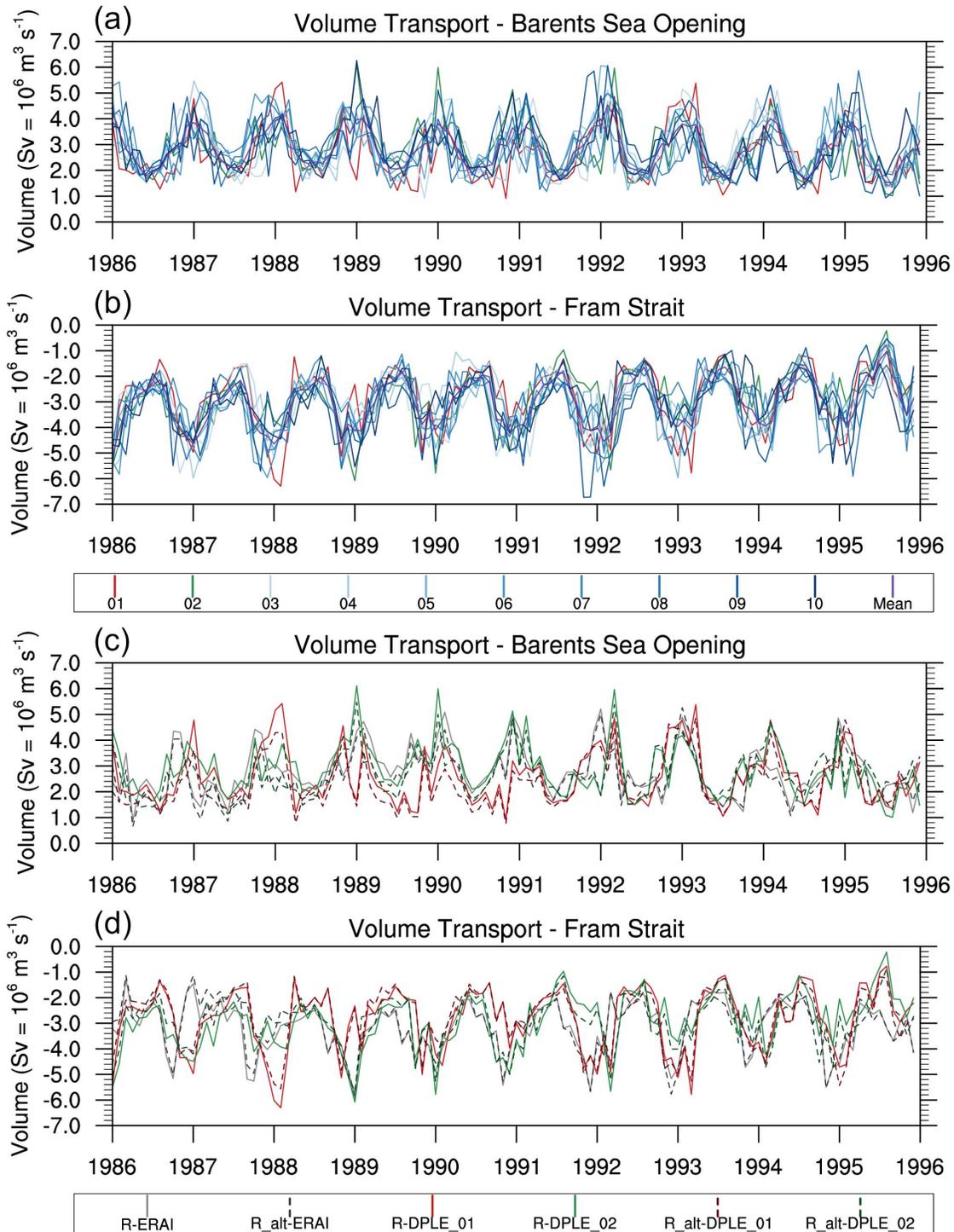


60

61 **Figure 10.** Spaghetti plot of sea ice extent, defined as 15% sea ice concentration, for March 1995
 62 (left column) and September 1995 (right column) from the RASM simulations and the
 63 observations from the National Snow and Ice Data Center (NSIDC) as a reference. The top row
 64 is of the 10 RASM-DPLE ensemble member simulations (01 - red, 02 - green, 03 to 10 shades of
 65 blue), the RASM-DPLE ensemble mean (purple), and NSIDC (orange). The bottom row is of the
 66 RASM_std-DPLE 01 and 02 simulations (solid lines), RASM_alt-DPLE 01 and 02 simulations
 67 (dashed lines), the RASM_std-DPLE ensemble mean (purple) and the NSIDC observations
 68 (orange).



69
 70 **Figure 11.** Time series plot of sea ice volume spanning 1986 to 1995. The top row is of the 10
 71 RASM-DPLE ensemble member simulations (01 - red, 02 - green, 03 to 10 shades of blue), the
 72 RASM-DPLE ensemble mean (purple), and PIOMAS reference (orange). The bottom row is of
 73 RASM_std simulations (ERAI, 01, 02; solid lines), RASM_alt simulations (ERAI, 01, 02;
 74 dashed lines, darker colors), and PIOMAS reference (orange).



75

76 **Figure 12.** Time series plot of oceanic volume transport through the Barents Sea Opening (a, c)
 77 and the Fram Strait (b, d) gateways. The top two rows are of the 10 RASM-DPLE ensemble
 78 member simulations (01 - red, 02 - green, 03 to 10 shades of blue) and the RASM-DPLE
 79 ensemble mean (purple). The bottom two rows are of RASM_std simulations (ERAI, 01, 02;
 80 solid lines) and the RASM_alt simulations (ERAI, 01, 02; dashed lines, darker colors).