

Resistance, recovery, and resilience: rethinking the three Rs of survival in the Anthropocene

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Abstract

The concepts of resistance, recovery, and resilience are in diverse fields from behavioral psychology to planetary ecology. These “three Rs” describe some of the most important properties allowing complex systems to survive in dynamic environments. However, in many fields—including ecology—our ability to predict resistance, recovery and resilience remains limited. Here, we propose new disturbance terminology and describe a unifying definition of resistance, recovery, and resilience. We distinguish functional disturbances that affect short-term ecosystem processes from structural disturbances that alter the state factors of ecosystem development. We define resilience as the combination of resistance and recovery—i.e., the ability of a system to maintain its state by withstanding disturbance or rapidly recovering from it. In the Anthropocene, humans have become dominant drivers of many ecosystem processes and nearly all the state factors influencing ecosystem development. Consequently, the resilience of an individual ecological parameter is not an inherent attribute but a function of linkages with other biological, chemical, physical, and especially social parameters. Because every ecosystem experiences multiple, overlapping disturbances, a multidimensional resilience approach is needed that considers both ecosystem structure (configuration of linkages) and disturbance regime. We explore these concepts with a few case studies and recommend analytical tools and community-based approaches to strengthen ecosystem resilience. Disregarding cultural and social dimensions of disturbance regimes and ecosystem structures leads to undesirable outcomes, particularly in our current context of intensifying socioecological crises. Consequently, cultivating reciprocal relationships with natural disturbance regimes and ecosystem structures is crucial to Earth

stewardship in the Anthropocene.

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36 attribute but a function of linkages with other biological, chemical, physical, and especially social parameters.
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38 needed that considers both ecosystem structure (configuration of linkages) and disturbance regime. We
39 explore these concepts with a few case studies and recommend analytical tools and community-based
40 approaches to strengthen ecosystem resilience. Disregarding cultural and social dimensions of disturbance
41 regimes and ecosystem structures leads to undesirable outcomes, particularly in our current context of
42 intensifying socioecological crises. Consequently, cultivating reciprocal relationships with natural disturbance
43 regimes and ecosystem structures is crucial to Earth stewardship in the Anthropocene.

44

45 **Introduction**

46 *The paradox, in a nutshell, is this: humans have grown so powerful that they have become a force of nature - and forces*
47 *of nature are those things which, by definition, are beyond the power of humans to control.*

48 -Oliver Morton, *The Planet Remade*, 2015

49

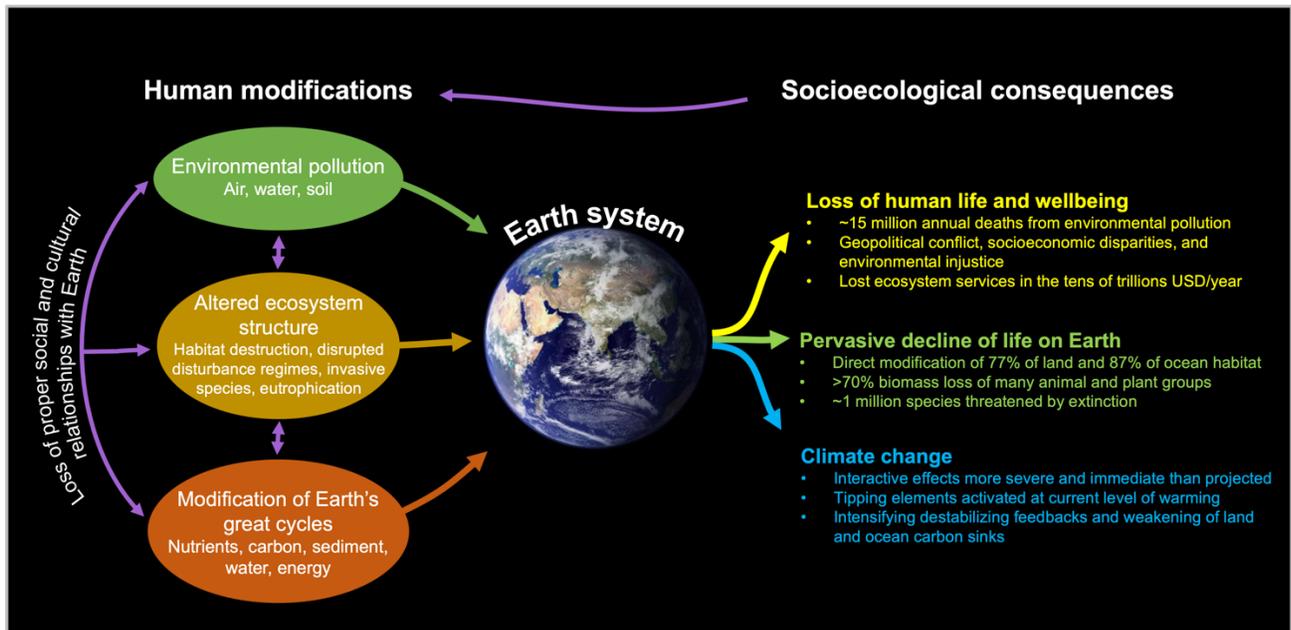
50 The history of the Earth system is a remarkable story of life causing, responding to, and adapting to
51 catastrophic changes (Schlesinger & Bernhardt 2020). In the dynamic environment of our planetary home,
52 the organisms and ecosystems not suited to disturbance are rare or nonexistent. From individual cells to
53 human societies to the entire biosphere, every aspect of the Earth system is shaped by change.

54 In the Anthropocene, humans have emerged as a force of nature in a way that perhaps no vertebrate
55 organism ever has (Lewis & Maslin 2015; Keys *et al.* 2019; Folke *et al.* 2021). Humans have influenced much
56 of Earth's terrestrial surface for more than ten thousand years (Ellis 2021), but in the past few centuries, we
57 have become the primary force structuring Earth's habitats, biogeochemical cycles, and disturbance regimes
58 (Steffen *et al.* 2015a; Watson *et al.* 2018; Schlesinger & Bernhardt 2020). Humans are now the largest driver of
59 the extinction and evolution of species, and we have shifted patterns of sediment transport, nutrient cycling,
60 carbon balance, climate, water cycling, and wildfire at global scales (Wilkinson 2005; Benson 2012; Steffen *et*
61 *al.* 2015b; Cooper *et al.* 2018; Abbott *et al.* 2019b; Hurteau *et al.* 2019). Our physical creations outweigh all life

62 on Earth (Elhacham *et al.* 2020), our bodies and livestock account for ~93% of total vertebrate biomass (Bar-
63 On *et al.* 2018), and we have created novel planetary material cycles, including plastics and persistent organic
64 pollutants, with largely unknown impacts on human health and ecosystem functioning (Nizzetto *et al.* 2010;
65 Bank & Hansson 2019; Hannah *et al.* 2022). From changing the structure of the thermosphere to triggering
66 tectonic tremors (Manney *et al.* 2011; Wilson *et al.* 2017; Mlynczak *et al.* 2022), our direct and indirect
67 footprints have altered all the Earth's aquatic, terrestrial, marine, and subsurface environments (Watson *et al.*
68 2018; Díaz *et al.* 2019; Kolbe *et al.* 2019; Bochet *et al.* 2020; Ellis *et al.* 2021). The land-cover transformation,
69 amplification of biogeochemical flows, and climate disruption that characterize the Anthropocene are
70 triggering transformations that are likely unprecedented in our planet's past (Diffenbaugh & Field 2013;
71 Kemp *et al.* 2015; Ceballos *et al.* 2020; Armstrong McKay *et al.* 2022; Fricke *et al.* 2022).

72 The combined effects of these Earth system alterations have caused catastrophic global
73 consequences, including diminished quality of life for humankind (Fig. 1). There has been a pervasive decline
74 of species on Earth in aquatic, terrestrial, and marine environments (Vörösmarty *et al.* 2010; Díaz *et al.* 2019;
75 Fricke *et al.* 2022). Environmental pollution, primarily from burning fossil fuels, causes more than 15 million
76 premature human deaths annually—one in four deaths each year (Errigo *et al.* 2020; Vohra *et al.* 2021). This
77 means that our unhealthy relationship with the Earth directly causes more deaths than all violence,
78 malnutrition, and communicable diseases combined (Landrigan *et al.* 2017; Errigo *et al.* 2020; Fuller *et al.*
79 2022). Ongoing ecosystem state changes threaten the future of billions of people across every country and
80 socioeconomic condition (Abatzoglou & Williams 2016; Van Loon *et al.* 2016; Dupas *et al.* 2019; Mu *et al.*
81 2020; Cheng *et al.* 2022; Hannah *et al.* 2022). Our individual and communal survival depends on restoring
82 positive and reciprocal relationships between human societies and the ecosystems we have come to dominate
83 (Kimmerer 2002; Sandifer *et al.* 2015; Bradshaw *et al.* 2021; Chapin *et al.* 2022). In this context of accelerating
84 planetary disruption, understanding how ecosystems respond to change is more critical than ever.

85



86

87 *Figure 1.* Signs and symptoms of planetary vulnerability in the Anthropocene. Data for specific claims drawn from
 88 *(Watson et al. 2018; Abbott et al. 2019a; Díaz et al. 2019; Errigo et al. 2020; Bradshaw et al. 2021; Ritchie et al. 2021;*
 89 *Vobra et al. 2021; Armstrong McKay et al. 2022; Fuller et al. 2022).*

90 Disturbance, succession, and equilibrium have been central themes of ecology since it emerged as a
 91 quantitative science in the 20th century (Tansley 1935; Lindeman 1942; Turner *et al.* 1989; Chapin *et al.* 1994).
 92 Across multiple natural and social sciences, a wealth of terminology has developed describing the
 93 characteristics of disturbance and system response to ecological and evolutionary change (Callicott &
 94 Mumford 1997; Carpenter *et al.* 2001; Redman 2014; Larsson & Abbott 2018; Elmqvist *et al.* 2019; Fuller *et al.*
 95 2019; Barbe *et al.* 2020; Frei *et al.* 2020). However, our ability to predict ecological state changes, such as the
 96 collapse of a population or loss of an important ecosystem process, remains limited (Jasinski & Payette 2005;
 97 Scheffer *et al.* 2009; Marlon 2020; Schoolmaster Jr. *et al.* 2020; Gouveia *et al.* 2021; Ritchie *et al.* 2021). While
 98 deterministic modeling of stochastic events in complex Earth systems has long been out of reach, advances in
 99 monitoring and analysis now allow deeper characterization and better prediction of emergent changes and
 100 nonlinearities (Loehle 2006; Beven & Alcock 2012; Lum *et al.* 2013; Brunton *et al.* 2016). The development
 101 and simplification of multiple sensing technologies have significantly expanded our ability to measure
 102 individual and composite vital signs of global ecosystems, including traditional ecological data and near-real-

103 time indices of how information and emotions are moving through human communication networks (Abbott
104 *et al.* 2016; Rode *et al.* 2016; Newman 2017; Zhang *et al.* 2022). At the same time, the development of an
105 extraordinary range of complex systems tools has dramatically enhanced our ability to interpret multivariate
106 data (Barbe *et al.* 2020; Underwood *et al.* 2021; Brunton *et al.* 2022; Heddam *et al.* 2022).

107 In this context, we convened a group of interdisciplinary researchers and educators to explore how
108 human perception and management of ecosystems affect ecological resilience and vulnerability in the
109 Anthropocene. We begin by presenting new terminology for describing disturbance and then propose a
110 unified framework around what we call the three Rs of survival in the Anthropocene: resistance, recovery,
111 and resilience. Based on definitions from the fields of sustainable development and fluvial geomorphology
112 (Meerow *et al.* 2016; Fuller *et al.* 2019), we define resilience as the combination of resistance and recovery—
113 i.e., the ability of an ecosystem to maintain its state by withstanding disturbance or rapidly recovering from it.
114 We hypothesized that resilience measured in an individual ecological variable is not an inherent attribute but a
115 function of linkages with other social, biological, chemical, and physical parameters, including the disturbance
116 regime (Turner *et al.* 2003; Chapin *et al.* 2022). We present ecological case studies and assess the potential of
117 analytical tools to characterize multidimensional resilience and inform applied solutions. We conclude that
118 successful ecological restoration and planetary sustainability depend on cultivating an ethic of Earth
119 stewardship that recognizes and rehabilitates humanity's unique roles in the Earth system (Steffen *et al.* 2011;
120 Palmer & Stewart 2020; Locke *et al.* 2021; Rockström *et al.* 2021; Chapin *et al.* 2022).

121

122 **Resilience vocabulary**

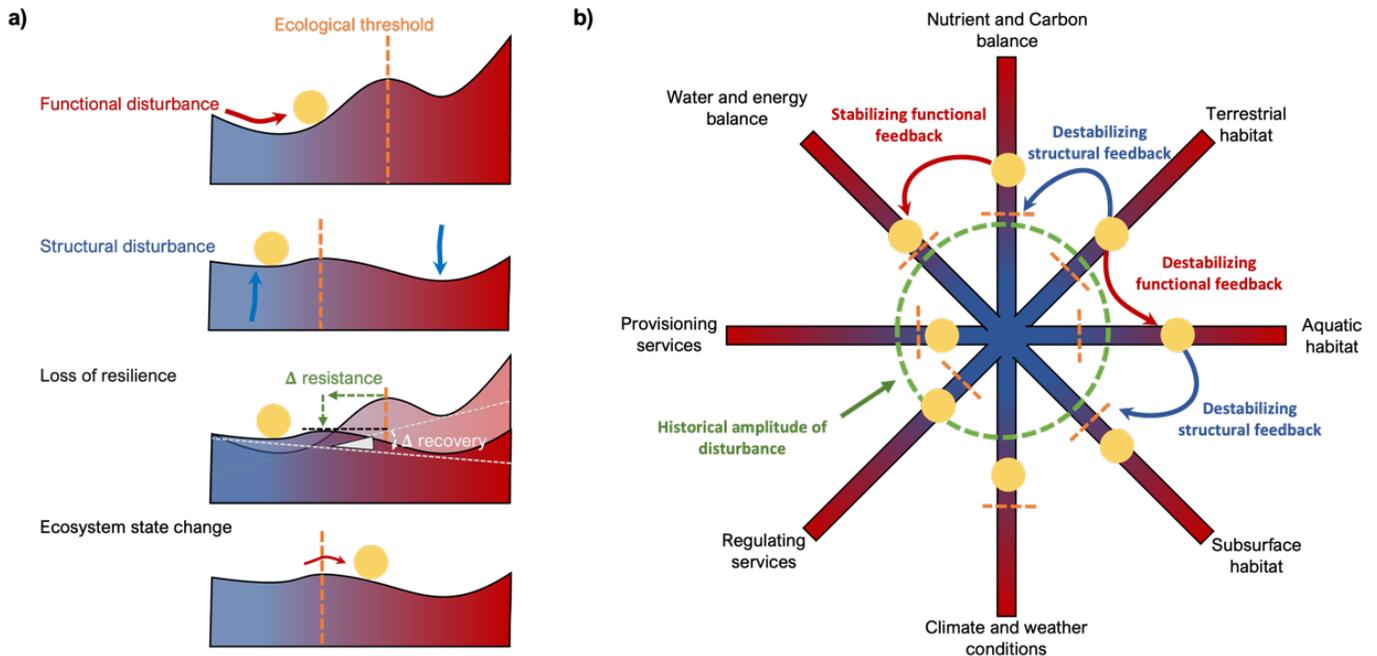
123 An advantage and challenge of resilience terminology is its familiarity. Resistance, resilience, and
124 recovery are commonly used to describe a wide range of technical and nontechnical phenomena (Carpenter *et*
125 *al.* 2001; Allison 2004; Rogers *et al.* 2012; Shade *et al.* 2012; Anderies *et al.* 2013; Elmqvist *et al.* 2019). We
126 recognize the utility and origin of multiple definitions and do not seek to invalidate their use. For the
127 purposes of this paper, we propose the most intuitive and direct meanings based on our opinion and recent
128 scholarship (Chapin *et al.* 2012; Meerow *et al.* 2016; Fuller *et al.* 2019). We note that while some sustainability
129 researchers use a version of the term social-ecological systems (SES) to emphasize human-environment

130 interactions (Anderies *et al.* 2013; Chapin *et al.* 2013; Folke *et al.* 2016), we use the terms ecosystem and
131 ecological as fully inclusive of human dimensions of the Earth system. This is in line with the original
132 definition of the ecosystem concept, and we use these terms deliberately to erode what we see as an unhelpful
133 distinction between society and ecosystems (Tansley 1935; Chapin *et al.* 2012; Abbott *et al.* 2019b). While
134 sustainable development frames economy, environment, and society as competing interests, an Earth
135 stewardship or nature-positive approach sees economy as a nested component of society and society as an
136 embedded and intertwined part of the Earth system (Folke *et al.* 2016; Locke *et al.* 2021; Chapin *et al.* 2022).
137 Human society only exists within ecosystems, and it is impossible to meaningfully study ecosystems in the
138 Anthropocene without considering society.

139 An *ecological threshold* describes the boundary between two ecological states or sets of conditions, and a
140 *state change* describes an ecosystem crossing such a threshold, e.g., forest to grassland or clear-water to turbid
141 (Carpenter *et al.* 2020; Cassidy *et al.* 2022). *Ecological resistance* is the capacity to avoid crossing a threshold
142 during or immediately after disturbance. *Ecological recovery* describes the tendency, degree, and rate of return to
143 pre-disturbance conditions after perturbation. *Ecological resilience* is the combination of resistance and recovery,
144 which therefore describes the likelihood of an ecosystem or ecological variable to be found in a particular
145 state throughout time. *Ecological vulnerability* is the inverse of ecological resilience, describing a system's
146 tendency to transition and stay in a different state. These concepts are summarized visually in Figure 2.

147 Disturbance is often characterized by intensity, duration, timing, frequency, rate of change, extent, and
148 patchiness. These terms are already quite intuitive, though highly dependent on the observed spatiotemporal
149 scale and resolution (Glasby & Underwood 1996; Poff *et al.* 1997; Kemp *et al.* 2015; Collins *et al.* 2018;
150 Meerow & Newell 2019). For example, a disturbance could be characterized as either a press or a pulse,
151 where the former comes on slowly but potentially lasts longer (low rate of change, long duration), and the
152 latter comes on fast but does not last as long, relative to the timescale of interest (Bergstrom *et al.* 2021).
153 Multiple characteristics of a single disturbance type are often described as the disturbance regime (Mack &
154 D'Antonio 1998; Turner *et al.* 2003; North & Keeton 2008). However, for our purposes, we distinguish
155 between *disturbance characteristics* of an individual disturbance type (e.g., wildfire frequency, extent, severity etc.)

156 and the *disturbance regime* of an ecosystem, which always includes multiple interacting disturbance types (e.g.,
 157 wildfire, acidification, logging, climate change, invasive species, etc.) (Atkins *et al.* 2020).
 158



159 **Figure 2.** Diagrams of the disturbance and resilience concepts described in this paper. a) Depictions of Ecosystem
 160 states (yellow circles), thresholds (orange lines), disturbance types, and response surfaces representing resistance and
 161 recovery to disturbance. Functional disturbances change the current ecosystem state, while structural disturbances
 162 affect the interacting state factors that regulate the response of the ecosystem to disturbance. b) Top-down view of
 163 multiple dimensions of ecosystem state on their respective response surfaces, including feedbacks and thresholds,
 164 with thresholds near the center of the diagram representing more vulnerable dimensions. Exceeding a threshold in
 165 one dimension is likely to modify the condition and response surface of others, i.e., create a structural disturbance.

166
 167 We think it is helpful to introduce new terminology for both individual disturbances and disturbance
 168 regimes. The state factor concept was originally developed for predicting soil formation (Jenny 1941;
 169 Florinsky 2012), and through time it has been applied to ecosystem development and structure (Chapin *et al.*
 170 2012; Tank *et al.* 2020). This concept predicts that a set of initial ecological conditions or *state factors* strongly
 171 constrain the development of an ecosystem (Fig. 3). Useful predictions about ecosystem type and processes
 172 are possible with knowledge of these state factors: parent material, potential biota, climate, topography, and

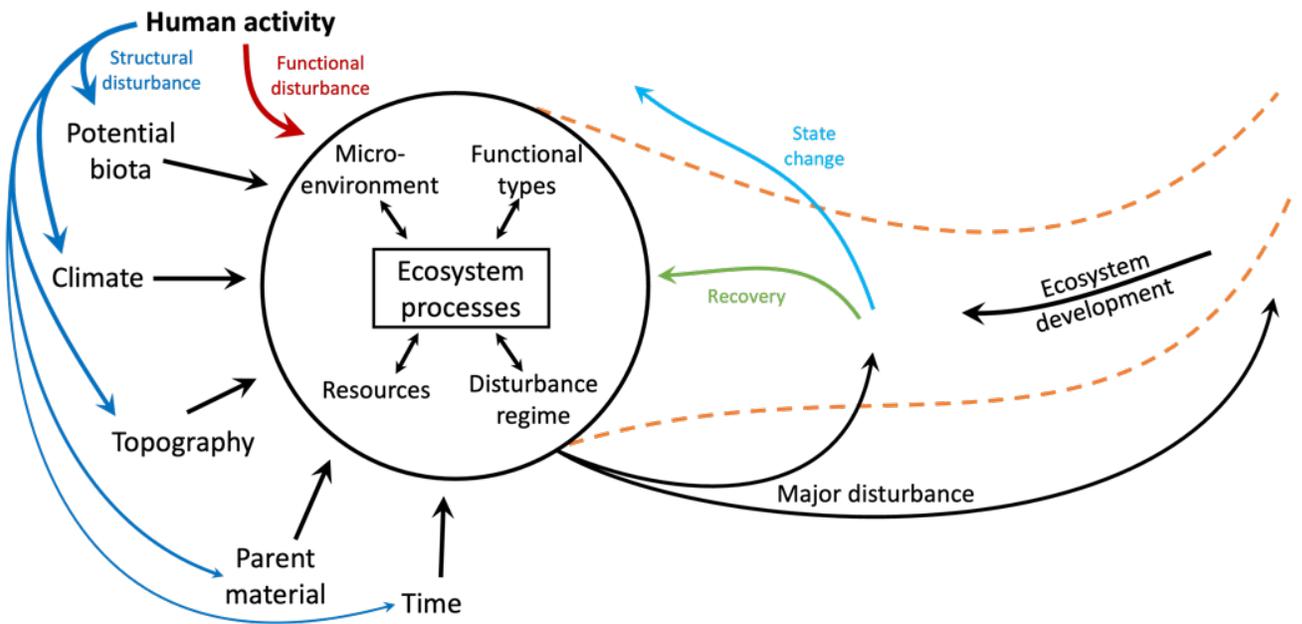
173 time since the last major disturbance (Jenny 1941). Human activity has been proposed as an additional state
174 factor, given the extent of anthropogenic influence in the Anthropocene (Chapin *et al.* 2012). We distinguish
175 *functional disturbances* that affect short-term ecosystem processes from *structural disturbances* that alter the state
176 factors of ecosystem development (Jenny 1941; Florinsky 2012; Tank *et al.* 2020). Conversely, disturbances
177 that primarily affect current ecosystem processes would be described as *functional disturbances* (Figs. 2 and 3).
178 This distinction might be informative because it indicates whether a disturbance is likely to affect the short-
179 term status of an ecosystem (e.g., does the functional disturbance exceed the ecological resistance for a given
180 parameter) or the long-term recovery trajectory (e.g., is the structural disturbance severe enough to alter the
181 multidimensional response surface guiding recovery).

182 We recognize that many disturbances—and especially those controlled by humans—have both
183 functional and structural dimensions. Indeed, there is a continuum between ecosystem processes and state
184 factors depending on the severity of the disturbance and the successional timescale of interest. For example,
185 what might seem like an ephemeral ecosystem process to the geomorphological evolution of a watershed
186 could be an effectively permanent state factor from the perspective of a microbial community (Fisher *et al.*
187 1998; Shade *et al.* 2012).

188 Combining these concepts, we define *multidimensional resilience* as an ecosystem's ability to maintain its
189 state under a current or future disturbance regime through a combination of resistance and recovery. We
190 hypothesize that multidimensional resilience depends on ecosystem structure—the configuration of linkages
191 among the state factors and ecosystem processes—which itself is influenced by the disturbance regime (Adler
192 2019; Frei *et al.* 2020; Mo *et al.* 2020). More specifically, we hypothesize that the physical, chemical, and
193 biological structures of the critical zone—the portion of the ecosystem from unweathered bedrock to the
194 vegetation canopy (National Research Council 2001; Chorover *et al.* 2007)—strongly influence its resilience
195 and vulnerability (Figs. 1-3). For this concept of multidimensional resilience to be relevant for research or
196 management, human participation in the physical and biological structure of the critical zone must be
197 integrated (Chapin *et al.* 2022).

198 Because many of these terms have emotional connotations in nontechnical usage, we point out that
 199 the disturbance and resilience terminology presented above does not connote desirability or ecological value
 200 (Elmqvist *et al.* 2019). For example, resilience of can be negative (i.e., unhelpful) when present in undesirable
 201 aspects of the system, such as antisocial trends of disregard for the environment or fellow humans. Likewise,
 202 a specific disturbance can be positive or negative depending on the ecosystem structure (including human
 203 needs and goals) and broader disturbance regime.

204



205

206 **Figure 3.** Conceptual diagram of ecosystem development adapted from Chapin et al., (2012). We have added the
 207 distinction between structural and functional disturbances as well as the effect of human activity on state factors.

208

209 In the following paragraphs, we elaborate these concepts with examples from catchment hydrology
 210 and freshwater biogeochemistry to evaluate how ecosystem structure (i.e., the configuration of social,
 211 biological, chemical, and physical attributes in the critical zone) influences the timing, direction, and intensity
 212 of linkages among multiple responses and consequently multidimensional resilience.

213

214 Case Study 1: Artificial resistance through erosion control

215 Because humans have long congregated along river networks, flood control and fluvial erosion have
216 been areas of focus in ecosystem management for centuries (Allaire 2016; Fang & Jawitz 2019; Tate *et al.*
217 2021; Sanders *et al.* 2022; Syvitski *et al.* 2022). Human efforts to control rivers and floodplains have yielded
218 both benefits and major problems, including environmental injustice and substantial loss of life (Reisner 1993;
219 Tate *et al.* 2021; Sanders *et al.* 2022; Sowby & Hotchkiss 2022). This highlights the need to consider human
220 culture and infrastructure as integrated components of ecosystems, with similar unanticipated behaviors
221 (Leavitt & Kiefer 2006; South *et al.* 2018; Wohl 2019; Wang & He 2022).

222 The northeastern United States provides well-documented examples of multiple agrarian and
223 industrial disturbances of river networks (Wolock 1995; Armfield *et al.* 2019). In this region and many areas
224 globally, the provisioning of clean water for drinking, agriculture, and aquatic ecosystems is threatened by low
225 geomorphological resistance to changes in river flow (Davis *et al.* 2009; Abbott *et al.* 2018a; Zarnetske *et al.*
226 2018; NASEM 2020). Two examples of vulnerability are 1) headwater stream networks with susceptibility to
227 hillslope and channel erosion due to glacial history, and 2) valley and piedmont river corridors with large
228 legacy sediment stores that are coupled closely with receiving waters (Pinay *et al.* 2018; Dearman & James
229 2019). The legacy of glacial and ice sheet retreat has created bouldery tills and fine glacio-lacustrine clays. This
230 combination of high energy streams that can come into contact with glaci-lacustrine clays through
231 streambank or bed erosion (Davis *et al.* 2009) creates significant stream management challenges. The
232 postglacial context creates low resistance but high recovery regarding sediment transport. Exceeding modest
233 thresholds of stream movement during high streamflows can trigger multiple problems including mass
234 movement (landslides) and persistent high turbidity levels in downstream drinking water reservoirs.

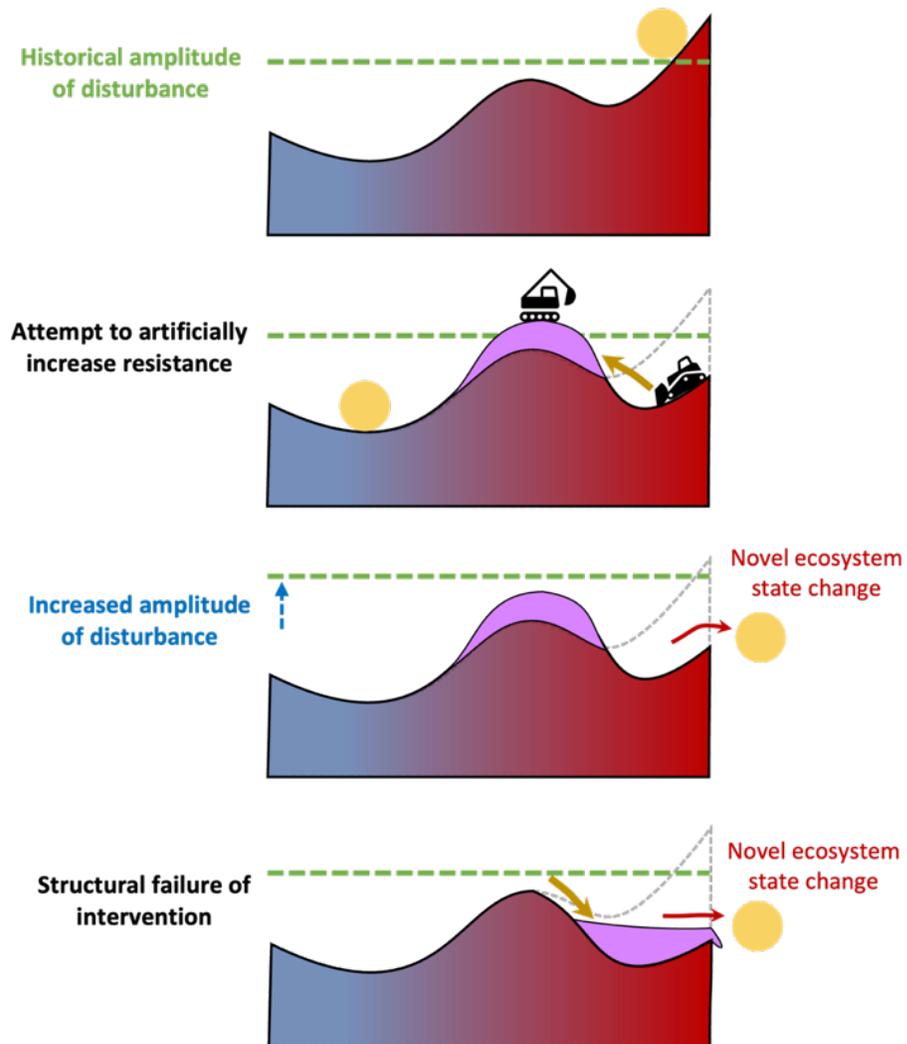
235 While these environments regularly transported large amounts of sediment naturally during the
236 Holocene, European settlement altered sediment sources and sinks. Forest clearing for agriculture and the
237 construction of many small dams resulted in the accumulation of sediments along the river corridors
238 (Dearman & James 2019; Johnson *et al.* 2019; Jiang *et al.* 2020; Noe *et al.* 2020). This combination of land use

239 and vulnerable critical zone structure is now threatening the provisioning of drinking water for millions of
240 people in the New York metropolitan area.

241 Over the past several decades, stream and watershed management efforts have been designed to
242 counterbalance the overabundance of sediment sources in river systems to maintain. Engineering-oriented
243 techniques initially focused on increasing resistance to erosion (Fig. 4), including armoring streambanks and
244 hillslopes and dredging to temporarily increase flood conveyance (Bernhardt & Palmer 2007; Wohl *et al.*
245 2015). However, these techniques have proven very short lived given the artificial disequilibrium (e.g., legacy
246 sediments) and natural characteristics of the critical zone structure (e.g., high sediment availability in
247 postglacial landscapes). Management interventions have so far largely treated symptoms rather than causes
248 while also creating greater problems upstream and downstream of the hard-part interventions. However,
249 because of the high societal value of the drinking water provisioned by this ecosystem, the inefficient
250 management approach has been acceptable (Davis *et al.* 2009; NASEM 2020). The question is whether society
251 will continue to support this kind of active river management or call for a change. The underlying hypothesis
252 has been that sufficient resources (financial and human capital) are available to respond to the shifting
253 disturbance regime (greater magnitude and intensity of storms, increased persistence and magnitude of
254 precipitation) with ecohydrological expertise continually nudging the system back towards a more “natural”
255 equilibrium in an effort to create a more resistant critical zone structure. As such, watershed and drinking
256 water managers have prioritized extensive mapping of glacial tills and clays and initiated an active
257 management program including streambank and hillslope stabilization, floodplain reconnection, and full-
258 channel restoration (NASEM 2020).

259 Seeking to enhance river system resilience by maximizing resistance can create rigidity that results in
260 continual or ever increasing management costs and decreasing ecosystem function and safety (Fig. 4). Seeking
261 to preserve or restore local disturbance regimes—including sustainable human land use and other activities—
262 is a much more robust approach with many more co-benefits (Bishop *et al.* 2009; Christianson 2015; Houlton
263 *et al.* 2019). However, overlying regional disturbance regimes that include increasing flow magnitudes and
264 changes in precipitation patterns may require more frequent stabilizing feedbacks from active watershed
265 management in order to maintain clean water provisioning. This highlights the importance of cultivating

266 more meaningful and multidimensional relationship between local societies and the ecosystems they depend
267 on. This avoids undue focus on a single ecosystem service, such as seeing a watershed primarily or exclusively
268 as a drinking water provisioning device.
269



270
271 *Figure 4.* Conceptual examples of how changing disturbance regimes and intentional modification of
272 ecosystem structure can lead to greater vulnerability. Managing for resistance (i.e., modifying structure to
273 impose physical constraints on the system and its dynamic ecosystem states) often leads to rigidity that can
274 result in catastrophic transformations when the system is subjected to a new disturbance regime with
275 increased amplitude of disturbance (e.g., higher flood magnitude).

276

277 This case study showcases a broader shift toward *naturalness* as a more resilient and cost-effective
278 management strategy in dynamic environments (Bishop *et al.* 2009; Palmer & Stewart 2020). Recent stream
279 restoration practices recommend restoring naturalness to disturbance regimes by removing obstructions
280 (dams, berms, levees) and buying out flood damaged homes to allow the river system more room to
281 dynamically adjust to increased flows (Fig. 5). This management shift is informed by observations that the
282 more altered and artificial a system is, the more rigid and high maintenance it tends to be (Bishop *et al.* 2009).
283 Additionally, more extreme modifications of critical zone structure and disturbance regime create more severe
284 tradeoffs and compromises (Palmer & Stewart 2020; Abbott *et al.* 2021a).

285

286 **Case study 2: Coastal forests and sea level rise**

287 Human-caused sea level rise from ice melt and thermal expansion has progressed much faster than
288 expected and is currently tracking the most extreme model projections (King *et al.* 2020; Slater *et al.* 2020;
289 Boers & Rypdal 2021; Heinze *et al.* 2021). This quintessential press disturbance is interacting with the pulse
290 disturbances of extreme storms (Crandall *et al.* 2021; Fowler *et al.* 2021; IPCC 2021). Coastal forests have
291 been categorized into two bands based on proximity to the ocean (Fagherazzi *et al.* 2019; Kearney *et al.* 2019;
292 Mo *et al.* 2020). Stands of mature trees that established before major sea level rise and storm intensification
293 can be found within a meter above the normal high tide. These stands are resistant to storm surges because
294 the adult trees can survive temporary inundation by salt water, partly by accessing fresh groundwater.
295 However, they are not resilient because recruitment cannot occur in salinized soil. As windfall and old age
296 kills adult trees, the mature stands are overtaken by marshes that are more able to survive frequent seawater
297 inundations and take advantage of the increased light availability (Fagherazzi *et al.* 2019).

298 Above the mature, resistant zone near the ocean, there is an area described as the Regenerative Zone
299 because tree recruitment is still occurring (Kearney *et al.* 2019; Paldor *et al.* 2022). This zone is more distal and
300 higher in elevation, meaning the storm surges less frequently introduce ocean waters and the degree of salinity
301 in soils is less and within the tolerances of germination and seedling recruitment.

302 This case study shows the interaction between anthropogenic structural disturbances and a relatively
303 unmanaged ecosystem. Sea level rise and storms are interacting structural disturbances that have altered the
304 state factors of coastal vegetation development. The change in hydraulic gradient associated with sea level rise
305 and the increased risk of windfall in saturated soils are precluding the persistence of the near-shore
306 community while also accelerating its decline (Paldor *et al.* 2022). These structural disturbances would change
307 the management options, precluding reestablishment of ecological communities in their former locations, but
308 allowing community shifts were adjacent environments conserved and left dynamic.

309

310 **Case Study 3: Paleo and present climate change effects on the permafrost zone.**

311 The permafrost zone in polar regions provides a useful example of response to perturbation because
312 of the dramatic climatic changes it has experienced over the past 30,000 years and its importance to Earth's
313 climate over the next several centuries (Lindgren *et al.* 2018; Finger & Rekvig 2022; Schuur *et al.* 2022). The
314 terrestrial and subsea permafrost regions contain nearly 3,000 Gt of organic carbon, more than the sum of all
315 other soil, the atmosphere, living biomass, and cumulative human emissions since the Industrial Revolution
316 (Bar-On *et al.* 2018; Abbott *et al.* 2019a; Abbott 2022). These massive stocks of organic matter have been
317 described as climate-protected, as they have been stabilized by persistent cold and wet conditions, which limit
318 microbial and abiotic decomposition (Ernakovich *et al.* 2022; Schuur *et al.* 2022). Gradual climate warming
319 after the Last Glacial Maximum (LGM), some 26,500 years ago, resulted in over 100 meters of sea level rise,
320 retreat of ice sheets, and widespread development of lakes and peatlands (Lindgren *et al.* 2018; Sayedi *et al.*
321 2020). These enormous reorganizations were archetypal structural disturbances that altered land-water
322 linkages, long-term carbon and nutrient balance, and distribution of vegetation. These changes created a state
323 of net carbon uptake over large portions of Arctic Tundra and Boreal Forest, which has only recently been
324 forced into carbon release because of anthropogenic climate change (Hayes *et al.* 2011; Turetsky *et al.* 2020;
325 Schuur *et al.* 2022).

326 Across high-latitude and high-elevation ecosystems, local ecosystem structure modulated to effects of
327 the gradual climate press that caused the transition from the Pleistocene to the Holocene. Organic soil

328 horizons and vegetation strongly influence the exchange of heat between the atmosphere and the soil,
329 creating up to 12°C of difference between mean annual soil temperature relative to the overlying air (Shur &
330 Jorgenson 2007). The development of soil and vegetation protected many Pleistocene permafrost deposits,
331 imparting thermal resistance that effectively arrested—or at least delayed—the deglaciation process (Shur &
332 Jorgenson 2007; Kokelj *et al.* 2017; Loranty *et al.* 2018; Strauss *et al.* 2022).

333 Ongoing anthropogenic warming is much more abrupt than the relatively gradual glacial-interglacial
334 transition (Bova *et al.* 2021; Cheng *et al.* 2022), particularly in the permafrost zone, which is warming 3- to 6-
335 times faster than the global mean (Abbott 2022; Abbott *et al.* 2022). This increased amplitude of climatic
336 disturbance (Fig. 4) has surpassed the protective resistance of Holocene-aged soils and vegetation, triggering
337 abrupt thaw and surface collapse in many of the regions with highest carbon densities (Olefeldt *et al.* 2016;
338 Turetsky *et al.* 2020). Additionally, rapid warming is altering permafrost disturbance regimes. Functional
339 disturbances such as wildfire are becoming more common and widespread (Mack *et al.* 2011), accelerating the
340 structural disturbance of permafrost collapse, which together affect long-term carbon, nutrient, and water
341 balance (Larouche *et al.* 2015; Moskovchenko *et al.* 2020; Rodríguez-Cardona *et al.* 2020; Abbott *et al.* 2021b).
342 More acutely, the destabilization of permafrost soils, coastlines, and shorelines is profoundly impacting
343 marine and terrestrial wildlife and the diverse human cultures of the permafrost zone (Chapin *et al.* 2013;
344 Bronen *et al.* 2020; Abbott *et al.* 2022).

345 This case study demonstrates the interactions between the local structure of the critical zone and
346 global climate change. Perhaps more importantly, it highlights some of the difficulties of creating Earth
347 stewardship when the causes and consequences of environmental degradation are highly separated in space
348 and time. Greenhouse gas emissions from outside of the permafrost zone are eroding resistance and recovery
349 of permafrost ecosystems, including human villages and transportation infrastructure at circumpolar scales
350 (ICC 2022). Communities in the permafrost zone have been innovative in adaptation and local mitigation
351 (Chapin *et al.* 2013; Bronen *et al.* 2020; Abbott *et al.* 2022). At the same time, many community members are
352 using intergovernmental forums such as the Arctic Council and Inuit Circumpolar Council to increase climate
353 mitigation commitments to address the source of the problem: burning of fossil fuels (Johnson 2010;
354 Kristoffersen & Langhelle 2017; Arctic Council 2022; ICCI 2022). This shows the intersection of local

355 community stewardship and global environmental governance, both of which are needed to resolve
356 environmental injustice in the Anthropocene (Errigo *et al.* 2020; Webber *et al.* 2021; Chapin *et al.* 2022).

357

358 **Case study 4: Hydrochemical recovery from acidification in the stormier present**

359 Critical zone structure in watersheds in eastern North America and central Europe has been
360 impacted by multiple changes to disturbance regimes over the past century. Terrestrial and aquatic ecosystems
361 were subjected to decades of atmospheric acid deposition, which led to reduced soil pH and base cation loss
362 from soils (Likens & Bormann 1974; Wettestad 2018). Environmental legislation on both continents reduced
363 acid deposition starting in the 1980s, creating a natural experiment of recovery for watersheds with diverse
364 critical zone structures (Likens 2013; Daniels *et al.* 2020; Hannah *et al.* 2022). In the decades since, many
365 watersheds have seen streamwater dissolved organic carbon (DOC) and phosphorus concentrations increase
366 (Evans *et al.* 2005; Kopáček *et al.* 2015), while streamwater inorganic nitrogen concentrations have decreased
367 (Driscoll *et al.* 2003). Many studies have explored the mechanisms that may explain these temporal patterns,
368 invoking various explanations including reduced mineralization under low soil pH, stabilization of soil
369 aggregates at high ionic strengths/low soil pHs, and reduced vegetation uptake as a result of base cation
370 limitation (Rosi-Marshall *et al.* 2016; Armfield *et al.* 2019; Cincotta *et al.* 2019).

371 Concurrently, these regions have been experiencing an increasing frequency of extreme hydrologic
372 events. Large precipitation events have been linked to substantial flushing and export of carbon and nitrogen,
373 thus comprising the majority of annual export in some watersheds (Raymond *et al.* 2016; Zarnetske *et al.* 2018;
374 Kincaid *et al.* 2020). A recent study at Hubbard Brook Experimental Forest suggested that recovery from
375 acidification and increasing frequency of extreme precipitation events interact in important ways, with greater
376 stormflow nitrate export in an experimental watershed recovering from acidification (Marinos & Bernhardt
377 2018). This suggests that a multidimensional resilience approach is needed to understand the complex
378 biogeochemical responses to acidification, recovery, and changing hydrologic regimes.



379

380 *Figure 5.* Examples of vulnerable and resilient approaches to human development in dynamic

381 ecosystems. Each row shows how a different ecosystem structure responds to the functional

382 disturbance of a flood. The first two rows were inspired by Delgado (2020).

383 While there are broad regional trends in these responses to reduced acid loading, there is a

384 considerable degree of variability across individual catchments, likely associated with critical zone structure.

385 For example, variability in DOC trends across catchments in New England depended on soil characteristics

386 and depth (Adler *et al.* 2021). Well-buffered, calcite-dominated watersheds are recovering faster than granitic
387 watersheds with limited ability to buffer changes in soil pH. Differences in watershed topography and slope
388 may lead to variability among watersheds in their hydrologic responsiveness to extreme events.

389

390 **Rethinking the R's in the age of Big Data:**

391 These case studies show how ecosystem structure and disturbance regimes interact to determine
392 multidimensional resilience. To predict and prevent dangerous ecological state changes in the Anthropocene,
393 we now need to dramatically advance our understanding of the nature of these interactions at global scales
394 (Jiang *et al.* 2018; Turner *et al.* 2019). In many ecological contexts, resilience and resistance are viewed as
395 mono-dimensional properties—e.g. collapse in a biological population or breakdown in an atmospheric or
396 oceanic current (Liu *et al.* 2019; Steffen *et al.* 2018)—rather than as a nested, interacting system that
397 intrinsically depends on the structure and state of the ecosystem. If resilience does indeed emerge from the
398 ecosystem structure—the linkages across physical, biological, and social systems—this adds complexity but
399 could also substantially increase predictive power (Gouveia *et al.* 2021). Indeed, we could be on the cusp of
400 major breakthroughs in humanity's ability to quantitatively monitor and manage ecosystems for resilience.
401 The availability of data from multiple observatories and monitoring networks at site to global scales (Leon *et*
402 *al.* 2019; Brown *et al.* 2021; Ebeling *et al.* 2021; Heiner *et al.* 2022; Shogren *et al.* 2022) and the emergence of
403 techniques that can analyze such voluminous and intricate data streams (Bergen *et al.* 2019) create an
404 unprecedented opportunity to identify individual and interactive controls on ecosystem response to
405 disturbance.

406 Until recently, characterizing multidimensional interactions at necessary spatiotemporal scales has been
407 beyond the scope of disciplinary three- to five-year ecological projects (Abbott *et al.* 2016; Kolbe *et al.* 2019;
408 Thomas *et al.* 2019). With the advent of new technology such as in situ sensors and remote sensing (e.g.,
409 lidar), we are amassing high volumes and a wide variety of observational data that can be used to test
410 hypotheses about ecosystem response to disturbance regimes and associated water, carbon, and nutrient
411 dynamics (Demchenko 2013). This big data revolution has had revolutionary effects across disciplines

412 (Alexander et al. 2015; Li et al. 2012) and is poised to transform ecosystem science as well (Reichstein et al.
413 2019). The recent emergence of new statistical and machine-learning algorithms has been driven, in part, by
414 the advances in distributed computing and storage that accompany long-term monitoring, but more
415 importantly, by the challenges in mining and analyzing these large, multi-scale, data-rich complex systems
416 (Loehle 2006; Beven & Alcock 2012; Lum *et al.* 2013; Brunton *et al.* 2016).

417 Collectively, complex-systems tools comprise a variety of approaches including machine-learning
418 algorithms, nonparametric statistics, network analysis, Bayesian inference, stochastic models, and evolutionary
419 computation (Marçais & de Dreuzy 2017; Underwood *et al.* 2017; Shen *et al.* 2018; Frei *et al.* 2021). They can
420 be used for classification, regression, and prediction tasks in the analysis of ecological dynamics across scales.
421 A subset of machine-learning algorithms called ‘deep learning’ shows promise for advances in classification,
422 anomaly detection, regression and prediction, where state variables are spatiotemporally dependent
423 (Reichstein et al. 2019)—the default assumption for coevolving ecosystem structures and disturbance regimes
424 (Thomas *et al.* 2016; Abbott *et al.* 2018a; Adler 2019). Deep learning models have gained rapid adoption in
425 certain fields such as hydrology where long short-term memory (LSTM) models have eclipsed the
426 performance of existing physics-based models in certain tasks (e.g., rainfall-runoff modeling) and are now
427 being explored for their ability to capture hydrological concepts (Kratzert *et al.* 2019; Jiang *et al.* 2022; Lees *et*
428 *al.* 2022). Three-dimensional convolutional neural networks have enhanced lidar-based forest inventories by
429 spatially resolving individual tree crowns and distinguishing needle-leaf trees from deciduous (Ayrey & Hayes
430 2018). Image-based deep learning models have also been used for classification and interpretation of water
431 quality dynamics such as with storm event suspended sediment transport (Hamshaw *et al.* 2018).

432 These tools are simultaneously revolutionizing the acquisition, cleaning, and analysis of multivariate
433 ecological data (Hamshaw *et al.* 2018; Underwood *et al.* 2021; Wu *et al.* 2022). We can apply complex-systems
434 tools to draw inferences from both terrestrial and aquatic signals of high temporal and spatial resolution (e.g.,
435 lidar first returns, time series of rainfall-runoff patterns or concentration discharge monitoring data) that serve
436 as integrators of ecosystem dynamics, and have the potential to reflect the large-scale impacts of disturbances
437 on the Earth system as a whole. For example, machine-learning algorithms are increasingly being used to

438 learn patterns from data for both clustering (i.e., unsupervised) and classification (i.e., supervised) tasks
439 (Bergen et al. 2019). Unsupervised neural networks such as Self-Organizing Maps have been used to cluster
440 catchments with similar combinations of multi-variate catchment attributes (Underwood 2017). Supervised
441 methods, including nearest-neighbor and ‘random forests’ imputation methods, have been applied to model
442 forest structural parameters including biomass and total timber volume using predictor variables generated
443 from lidar data or orthoimagery (Latifi et al. 2010). Supervised methods are especially useful for cases such as
444 this where manual classification would be too time-intensive, but can also be used to learn something about
445 the multivariate feature interactions that manifest in an outward class or condition (Underwood et al. 2021).

446 In addition to the technical advances, this complex data revolution is accelerating conceptual
447 crosspollination and opening doors to new collaborations among traditional ecological knowledge holders,
448 researchers, and managers (Kimmerer 2002; Shen *et al.* 2018; Sayedi *et al.* 2020). Even terminology from the
449 study of dynamical systems is helpful when describing ecosystem state and development. Attractors or basins
450 of attraction are self-organizing or favored system configurations, and alternative stable states or multistability
451 is the existence of multiple possible resilient ecosystem configurations (Dudkowski *et al.* 2016). Structural
452 disturbances can erode resilience by creating alternative attractors that alter the recovery trajectory or
453 reducing the resistance of the original ecosystem state (Fig. 2). The flexibility and power of complex system
454 tools have only begun to be tapped. We think that major breakthroughs will occur as collaborations increase
455 among Earth system scientists and local knowledge holders with deep intuitive and quantitative
456 understanding of their systems, managers who know the pressing ecological questions and challenges,
457 geospatial analysts who can collect massive amounts of remotely-sensed data, scientific instrument engineers
458 who can facilitate direct measurements, and data scientists who can manage and implement data workflows,
459 and finally control theorists and complex systems scientists who can help with interpretation and application.

460

461 **People as a positive part of the ecosystem concept**

462 Reminding researchers and readers not to forget people may sound ludicrous. Most of us are
463 working on global environmental change, constantly engrossed in the causes and consequences of human

464 alteration of the Earth system. However, ecosystem ecology, hydrogeology, and many fields central to critical
465 zone science tend to exclude humans implicitly and explicitly, often focusing on reference watersheds with no
466 direct human influence or using “natural” conditions prior to the Anthropocene as a baseline (Chorover *et al.*
467 2007; Fandel *et al.* 2018; Abbott *et al.* 2019b; Ellis *et al.* 2021). Indeed, our focus on problems created by
468 humanity can lead to bias against modified ecosystems despite their prevalence and indispensability in
469 creating a sustainable global community (Hagerhall *et al.* 2004; Abbott *et al.* 2019b; Blaszczyk *et al.* 2019;
470 Elmqvist *et al.* 2019; Hill *et al.* 2022). Likewise, academic researchers and natural resource managers
471 sometimes view environmental solutions as technical interventions to be imposed on communities rather
472 than a tool for cultivating long-term relationship and cultural change (Chapin *et al.* 2022). In an ideal world,
473 we would think in terms of communities and watersheds rather than administrative management units and
474 environmental policies. There are compelling practical and ethical reasons for including human dimensions of
475 ecosystems on both sides of resilience, i.e., when characterizing disturbance and considering the response.
476 The social solidarity and respect we need to face intensifying ecological crises in the Anthropocene are
477 unlikely in an environment of disciplinary dismissal and divisiveness (Allaire 2016; Abbott *et al.* 2018b;
478 Webber *et al.* 2021).

479 Meaningful predictions and successful management depend on fully integrating human cultural and
480 social dynamics into our conceptualization of ecosystems (Budds *et al.* 2014; Linton 2014; Abbott *et al.* 2021a;
481 Chapin *et al.* 2022). While consideration of the human dimensions of ecosystems is necessary from a harm
482 reduction perspective, it is arguably more important for the establishment of pro-environmental norms,
483 policies, and individual behaviors (Behailu *et al.* 2016; Schuster *et al.* 2019). Examples of positive human-
484 environment interactions are needed as models and motivators to accelerate cultural change (Kimmerer 2002;
485 Palmer & Stewart 2020; Locke *et al.* 2021; Ansari & Landin 2022; Chapin *et al.* 2022).

486

487 **Conclusions**

488 We conclude that conceptual and practical rapprochement of human culture and the ecosystems we
489 are a part of can enhance ecological resilience. Specifically, meaningful relationships with and affection for

490 our local environment can lead to sustainable norms, policies, and behaviors that humanity and the Earth
491 system as a whole need urgently. We conclude that resilience emerges from the ecosystem structure—the
492 linkages across physical and biological systems, especially human society. Finally, we recommend modeling
493 human infrastructure and development patterns on natural disturbance regimes. Maximizing resistance is not
494 a reliable strategy for maintaining ecosystem function, including ecosystem services, in the Anthropocene.
495 Instead, we need connected and expansive habitat, disturbance regimes that are as natural and unregulated as
496 possible, and complete and redundant biological communities, including all dimensions of human diversity.
497 While creating and sharing an ethic of Earth stewardship is a multi-generational project, thankfully, we are not
498 starting from zero. There are threads of stewardship and sustainability in every human culture and our species
499 likely has an evolutionary penchant for environmental connection and care. It is our task to emphasize and
500 cultivate these precious legacies.

501

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506

507 **Data Availability Statement**

508 This manuscript did not use any new data.

509

510

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Resistance, recovery, and resilience:

rethinking the three Rs of survival in the Anthropocene

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Abstract:

The concepts of resistance, recovery, and resilience are in diverse fields from behavioral psychology to planetary ecology. These “three Rs” describe some of the most important properties allowing complex systems to survive in dynamic environments. However, in many fields—including ecology—our ability to predict resistance, recovery and resilience remains limited. Here, we propose new disturbance terminology and describe a unifying definition of resistance, recovery, and resilience. We distinguish *functional disturbances* that affect short-term ecosystem processes from *structural disturbances* that alter the state factors of ecosystem development. We define resilience as the combination of resistance and recovery—i.e., the ability of a system to maintain its state by withstanding disturbance or rapidly recovering from it. In the Anthropocene, humans have become dominant drivers of many ecosystem processes and nearly all the state factors influencing ecosystem development. Consequently, the resilience of an individual ecological parameter is not an inherent

36 attribute but a function of linkages with other biological, chemical, physical, and especially social parameters.
37 Because every ecosystem experiences multiple, overlapping disturbances, a *multidimensional resilience* approach is
38 needed that considers both ecosystem structure (configuration of linkages) and disturbance regime. We
39 explore these concepts with a few case studies and recommend analytical tools and community-based
40 approaches to strengthen ecosystem resilience. Disregarding cultural and social dimensions of disturbance
41 regimes and ecosystem structures leads to undesirable outcomes, particularly in our current context of
42 intensifying socioecological crises. Consequently, cultivating reciprocal relationships with natural disturbance
43 regimes and ecosystem structures is crucial to Earth stewardship in the Anthropocene.

44

45 **Introduction**

46 *The paradox, in a nutshell, is this: humans have grown so powerful that they have become a force of nature - and forces*
47 *of nature are those things which, by definition, are beyond the power of humans to control.*

48 -Oliver Morton, *The Planet Remade*, 2015

49

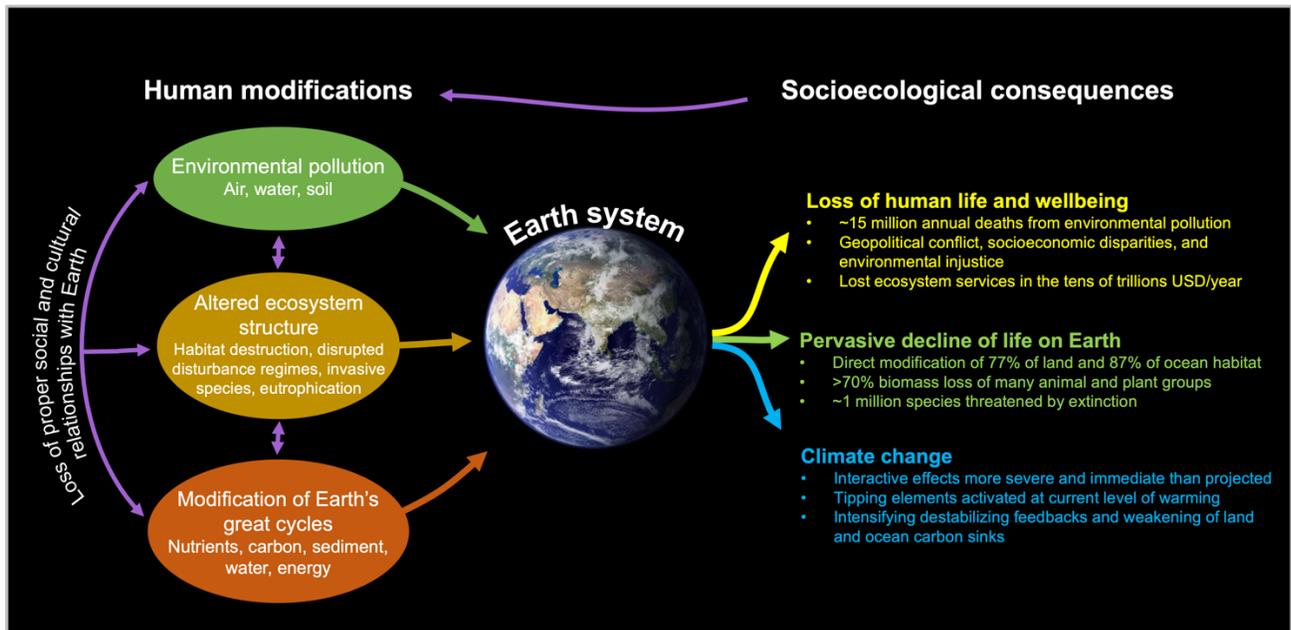
50 The history of the Earth system is a remarkable story of life causing, responding to, and adapting to
51 catastrophic changes (Schlesinger & Bernhardt 2020). In the dynamic environment of our planetary home,
52 the organisms and ecosystems not suited to disturbance are rare or nonexistent. From individual cells to
53 human societies to the entire biosphere, every aspect of the Earth system is shaped by change.

54 In the Anthropocene, humans have emerged as a force of nature in a way that perhaps no vertebrate
55 organism ever has (Lewis & Maslin 2015; Keys *et al.* 2019; Folke *et al.* 2021). Humans have influenced much
56 of Earth's terrestrial surface for more than ten thousand years (Ellis 2021), but in the past few centuries, we
57 have become the primary force structuring Earth's habitats, biogeochemical cycles, and disturbance regimes
58 (Steffen *et al.* 2015a; Watson *et al.* 2018; Schlesinger & Bernhardt 2020). Humans are now the largest driver of
59 the extinction and evolution of species, and we have shifted patterns of sediment transport, nutrient cycling,
60 carbon balance, climate, water cycling, and wildfire at global scales (Wilkinson 2005; Benson 2012; Steffen *et*
61 *al.* 2015b; Cooper *et al.* 2018; Abbott *et al.* 2019b; Hurteau *et al.* 2019). Our physical creations outweigh all life

62 on Earth (Elhacham *et al.* 2020), our bodies and livestock account for ~93% of total vertebrate biomass (Bar-
63 On *et al.* 2018), and we have created novel planetary material cycles, including plastics and persistent organic
64 pollutants, with largely unknown impacts on human health and ecosystem functioning (Nizzetto *et al.* 2010;
65 Bank & Hansson 2019; Hannah *et al.* 2022). From changing the structure of the thermosphere to triggering
66 tectonic tremors (Manney *et al.* 2011; Wilson *et al.* 2017; Mlynczak *et al.* 2022), our direct and indirect
67 footprints have altered all the Earth's aquatic, terrestrial, marine, and subsurface environments (Watson *et al.*
68 2018; Díaz *et al.* 2019; Kolbe *et al.* 2019; Bochet *et al.* 2020; Ellis *et al.* 2021). The land-cover transformation,
69 amplification of biogeochemical flows, and climate disruption that characterize the Anthropocene are
70 triggering transformations that are likely unprecedented in our planet's past (Diffenbaugh & Field 2013;
71 Kemp *et al.* 2015; Ceballos *et al.* 2020; Armstrong McKay *et al.* 2022; Fricke *et al.* 2022).

72 The combined effects of these Earth system alterations have caused catastrophic global
73 consequences, including diminished quality of life for humankind (Fig. 1). There has been a pervasive decline
74 of species on Earth in aquatic, terrestrial, and marine environments (Vörösmarty *et al.* 2010; Díaz *et al.* 2019;
75 Fricke *et al.* 2022). Environmental pollution, primarily from burning fossil fuels, causes more than 15 million
76 premature human deaths annually—one in four deaths each year (Errigo *et al.* 2020; Vohra *et al.* 2021). This
77 means that our unhealthy relationship with the Earth directly causes more deaths than all violence,
78 malnutrition, and communicable diseases combined (Landrigan *et al.* 2017; Errigo *et al.* 2020; Fuller *et al.*
79 2022). Ongoing ecosystem state changes threaten the future of billions of people across every country and
80 socioeconomic condition (Abatzoglou & Williams 2016; Van Loon *et al.* 2016; Dupas *et al.* 2019; Mu *et al.*
81 2020; Cheng *et al.* 2022; Hannah *et al.* 2022). Our individual and communal survival depends on restoring
82 positive and reciprocal relationships between human societies and the ecosystems we have come to dominate
83 (Kimmerer 2002; Sandifer *et al.* 2015; Bradshaw *et al.* 2021; Chapin *et al.* 2022). In this context of accelerating
84 planetary disruption, understanding how ecosystems respond to change is more critical than ever.

85



86

87 *Figure 1.* Signs and symptoms of planetary vulnerability in the Anthropocene. Data for specific claims drawn from
 88 *(Watson et al. 2018; Abbott et al. 2019a; Díaz et al. 2019; Errigo et al. 2020; Bradshaw et al. 2021; Ritchie et al. 2021;*
 89 *Vobra et al. 2021; Armstrong McKay et al. 2022; Fuller et al. 2022).*

90 Disturbance, succession, and equilibrium have been central themes of ecology since it emerged as a
 91 quantitative science in the 20th century (Tansley 1935; Lindeman 1942; Turner *et al.* 1989; Chapin *et al.* 1994).
 92 Across multiple natural and social sciences, a wealth of terminology has developed describing the
 93 characteristics of disturbance and system response to ecological and evolutionary change (Callicott &
 94 Mumford 1997; Carpenter *et al.* 2001; Redman 2014; Larsson & Abbott 2018; Elmqvist *et al.* 2019; Fuller *et al.*
 95 2019; Barbe *et al.* 2020; Frei *et al.* 2020). However, our ability to predict ecological state changes, such as the
 96 collapse of a population or loss of an important ecosystem process, remains limited (Jasinski & Payette 2005;
 97 Scheffer *et al.* 2009; Marlon 2020; Schoolmaster Jr. *et al.* 2020; Gouveia *et al.* 2021; Ritchie *et al.* 2021). While
 98 deterministic modeling of stochastic events in complex Earth systems has long been out of reach, advances in
 99 monitoring and analysis now allow deeper characterization and better prediction of emergent changes and
 100 nonlinearities (Loehle 2006; Beven & Alcock 2012; Lum *et al.* 2013; Brunton *et al.* 2016). The development
 101 and simplification of multiple sensing technologies have significantly expanded our ability to measure
 102 individual and composite vital signs of global ecosystems, including traditional ecological data and near-real-

103 time indices of how information and emotions are moving through human communication networks (Abbott
104 *et al.* 2016; Rode *et al.* 2016; Newman 2017; Zhang *et al.* 2022). At the same time, the development of an
105 extraordinary range of complex systems tools has dramatically enhanced our ability to interpret multivariate
106 data (Barbe *et al.* 2020; Underwood *et al.* 2021; Brunton *et al.* 2022; Heddam *et al.* 2022).

107 In this context, we convened a group of interdisciplinary researchers and educators to explore how
108 human perception and management of ecosystems affect ecological resilience and vulnerability in the
109 Anthropocene. We begin by presenting new terminology for describing disturbance and then propose a
110 unified framework around what we call the three Rs of survival in the Anthropocene: resistance, recovery,
111 and resilience. Based on definitions from the fields of sustainable development and fluvial geomorphology
112 (Meerow *et al.* 2016; Fuller *et al.* 2019), we define resilience as the combination of resistance and recovery—
113 i.e., the ability of an ecosystem to maintain its state by withstanding disturbance or rapidly recovering from it.
114 We hypothesized that resilience measured in an individual ecological variable is not an inherent attribute but a
115 function of linkages with other social, biological, chemical, and physical parameters, including the disturbance
116 regime (Turner *et al.* 2003; Chapin *et al.* 2022). We present ecological case studies and assess the potential of
117 analytical tools to characterize multidimensional resilience and inform applied solutions. We conclude that
118 successful ecological restoration and planetary sustainability depend on cultivating an ethic of Earth
119 stewardship that recognizes and rehabilitates humanity's unique roles in the Earth system (Steffen *et al.* 2011;
120 Palmer & Stewart 2020; Locke *et al.* 2021; Rockström *et al.* 2021; Chapin *et al.* 2022).

121

122 **Resilience vocabulary**

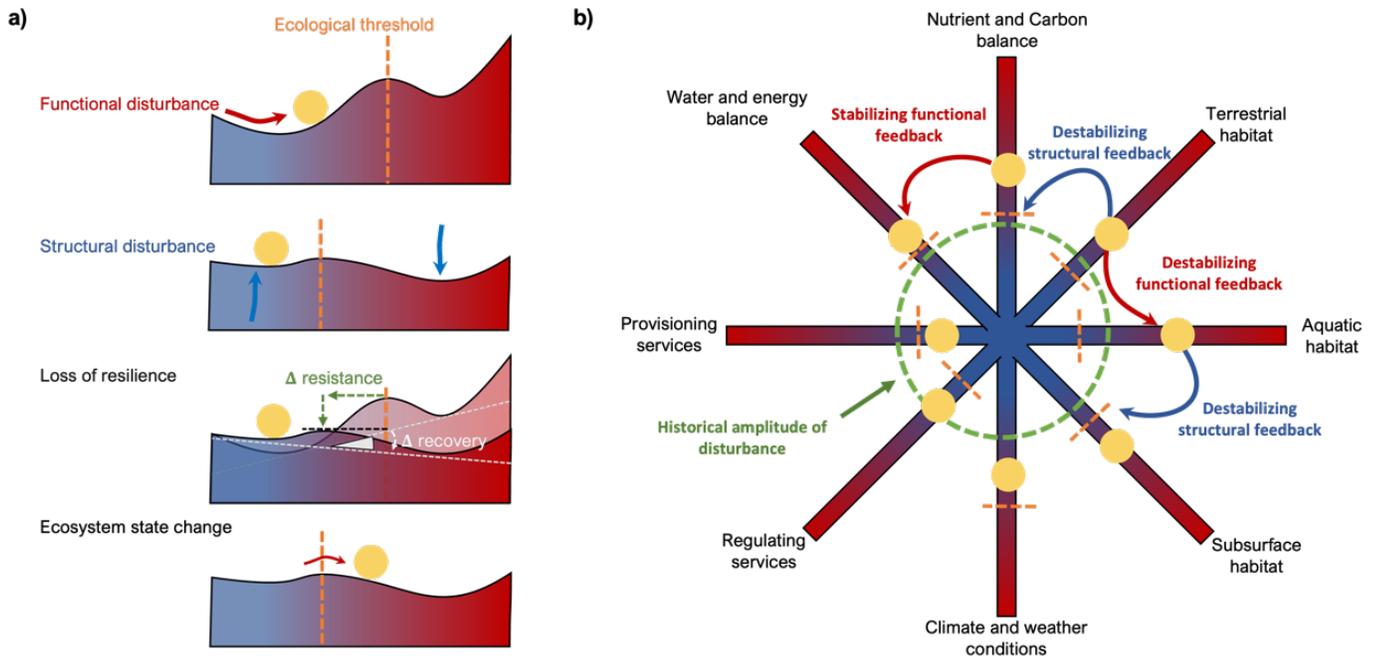
123 An advantage and challenge of resilience terminology is its familiarity. Resistance, resilience, and
124 recovery are commonly used to describe a wide range of technical and nontechnical phenomena (Carpenter *et*
125 *al.* 2001; Allison 2004; Rogers *et al.* 2012; Shade *et al.* 2012; Anderies *et al.* 2013; Elmqvist *et al.* 2019). We
126 recognize the utility and origin of multiple definitions and do not seek to invalidate their use. For the
127 purposes of this paper, we propose the most intuitive and direct meanings based on our opinion and recent
128 scholarship (Chapin *et al.* 2012; Meerow *et al.* 2016; Fuller *et al.* 2019). We note that while some sustainability
129 researchers use a version of the term social-ecological systems (SES) to emphasize human-environment

130 interactions (Anderies *et al.* 2013; Chapin *et al.* 2013; Folke *et al.* 2016), we use the terms ecosystem and
131 ecological as fully inclusive of human dimensions of the Earth system. This is in line with the original
132 definition of the ecosystem concept, and we use these terms deliberately to erode what we see as an unhelpful
133 distinction between society and ecosystems (Tansley 1935; Chapin *et al.* 2012; Abbott *et al.* 2019b). While
134 sustainable development frames economy, environment, and society as competing interests, an Earth
135 stewardship or nature-positive approach sees economy as a nested component of society and society as an
136 embedded and intertwined part of the Earth system (Folke *et al.* 2016; Locke *et al.* 2021; Chapin *et al.* 2022).
137 Human society only exists within ecosystems, and it is impossible to meaningfully study ecosystems in the
138 Anthropocene without considering society.

139 An *ecological threshold* describes the boundary between two ecological states or sets of conditions, and a
140 *state change* describes an ecosystem crossing such a threshold, e.g., forest to grassland or clear-water to turbid
141 (Carpenter *et al.* 2020; Cassidy *et al.* 2022). *Ecological resistance* is the capacity to avoid crossing a threshold
142 during or immediately after disturbance. *Ecological recovery* describes the tendency, degree, and rate of return to
143 pre-disturbance conditions after perturbation. *Ecological resilience* is the combination of resistance and recovery,
144 which therefore describes the likelihood of an ecosystem or ecological variable to be found in a particular
145 state throughout time. *Ecological vulnerability* is the inverse of ecological resilience, describing a system's
146 tendency to transition and stay in a different state. These concepts are summarized visually in Figure 2.

147 Disturbance is often characterized by intensity, duration, timing, frequency, rate of change, extent, and
148 patchiness. These terms are already quite intuitive, though highly dependent on the observed spatiotemporal
149 scale and resolution (Glasby & Underwood 1996; Poff *et al.* 1997; Kemp *et al.* 2015; Collins *et al.* 2018;
150 Meerow & Newell 2019). For example, a disturbance could be characterized as either a press or a pulse,
151 where the former comes on slowly but potentially lasts longer (low rate of change, long duration), and the
152 latter comes on fast but does not last as long, relative to the timescale of interest (Bergstrom *et al.* 2021).
153 Multiple characteristics of a single disturbance type are often described as the disturbance regime (Mack &
154 D'Antonio 1998; Turner *et al.* 2003; North & Keeton 2008). However, for our purposes, we distinguish
155 between *disturbance characteristics* of an individual disturbance type (e.g., wildfire frequency, extent, severity etc.)

156 and the *disturbance regime* of an ecosystem, which always includes multiple interacting disturbance types (e.g.,
 157 wildfire, acidification, logging, climate change, invasive species, etc.) (Atkins *et al.* 2020).
 158



159 **Figure 2.** Diagrams of the disturbance and resilience concepts described in this paper. a) Depictions of Ecosystem
 160 states (yellow circles), thresholds (orange lines), disturbance types, and response surfaces representing resistance and
 161 recovery to disturbance. Functional disturbances change the current ecosystem state, while structural disturbances
 162 affect the interacting state factors that regulate the response of the ecosystem to disturbance. b) Top-down view of
 163 multiple dimensions of ecosystem state on their respective response surfaces, including feedbacks and thresholds,
 164 with thresholds near the center of the diagram representing more vulnerable dimensions. Exceeding a threshold in
 165 one dimension is likely to modify the condition and response surface of others, i.e., create a structural disturbance.

166
 167 We think it is helpful to introduce new terminology for both individual disturbances and disturbance
 168 regimes. The state factor concept was originally developed for predicting soil formation (Jenny 1941;
 169 Florinsky 2012), and through time it has been applied to ecosystem development and structure (Chapin *et al.*
 170 2012; Tank *et al.* 2020). This concept predicts that a set of initial ecological conditions or *state factors* strongly
 171 constrain the development of an ecosystem (Fig. 3). Useful predictions about ecosystem type and processes
 172 are possible with knowledge of these state factors: parent material, potential biota, climate, topography, and

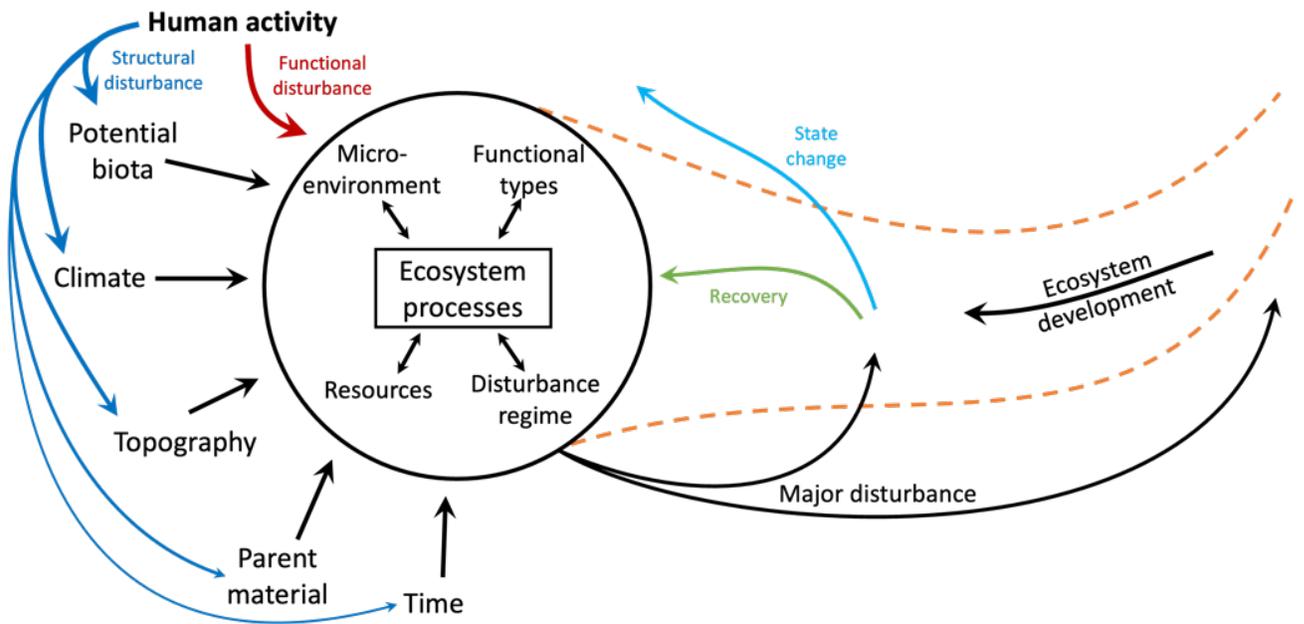
173 time since the last major disturbance (Jenny 1941). Human activity has been proposed as an additional state
174 factor, given the extent of anthropogenic influence in the Anthropocene (Chapin *et al.* 2012). We distinguish
175 *functional disturbances* that affect short-term ecosystem processes from *structural disturbances* that alter the state
176 factors of ecosystem development (Jenny 1941; Florinsky 2012; Tank *et al.* 2020). Conversely, disturbances
177 that primarily affect current ecosystem processes would be described as *functional disturbances* (Figs. 2 and 3).
178 This distinction might be informative because it indicates whether a disturbance is likely to affect the short-
179 term status of an ecosystem (e.g., does the functional disturbance exceed the ecological resistance for a given
180 parameter) or the long-term recovery trajectory (e.g., is the structural disturbance severe enough to alter the
181 multidimensional response surface guiding recovery).

182 We recognize that many disturbances—and especially those controlled by humans—have both
183 functional and structural dimensions. Indeed, there is a continuum between ecosystem processes and state
184 factors depending on the severity of the disturbance and the successional timescale of interest. For example,
185 what might seem like an ephemeral ecosystem process to the geomorphological evolution of a watershed
186 could be an effectively permanent state factor from the perspective of a microbial community (Fisher *et al.*
187 1998; Shade *et al.* 2012).

188 Combining these concepts, we define *multidimensional resilience* as an ecosystem's ability to maintain its
189 state under a current or future disturbance regime through a combination of resistance and recovery. We
190 hypothesize that multidimensional resilience depends on ecosystem structure—the configuration of linkages
191 among the state factors and ecosystem processes—which itself is influenced by the disturbance regime (Adler
192 2019; Frei *et al.* 2020; Mo *et al.* 2020). More specifically, we hypothesize that the physical, chemical, and
193 biological structures of the critical zone—the portion of the ecosystem from unweathered bedrock to the
194 vegetation canopy (National Research Council 2001; Chorover *et al.* 2007)—strongly influence its resilience
195 and vulnerability (Figs. 1-3). For this concept of multidimensional resilience to be relevant for research or
196 management, human participation in the physical and biological structure of the critical zone must be
197 integrated (Chapin *et al.* 2022).

198 Because many of these terms have emotional connotations in nontechnical usage, we point out that
 199 the disturbance and resilience terminology presented above does not connote desirability or ecological value
 200 (Elmqvist *et al.* 2019). For example, resilience of can be negative (i.e., unhelpful) when present in undesirable
 201 aspects of the system, such as antisocial trends of disregard for the environment or fellow humans. Likewise,
 202 a specific disturbance can be positive or negative depending on the ecosystem structure (including human
 203 needs and goals) and broader disturbance regime.

204



205

206 **Figure 3.** Conceptual diagram of ecosystem development adapted from Chapin et al., (2012). We have added the
 207 distinction between structural and functional disturbances as well as the effect of human activity on state factors.

208

209 In the following paragraphs, we elaborate these concepts with examples from catchment hydrology
 210 and freshwater biogeochemistry to evaluate how ecosystem structure (i.e., the configuration of social,
 211 biological, chemical, and physical attributes in the critical zone) influences the timing, direction, and intensity
 212 of linkages among multiple responses and consequently multidimensional resilience.

213

214 Case Study 1: Artificial resistance through erosion control

215 Because humans have long congregated along river networks, flood control and fluvial erosion have
216 been areas of focus in ecosystem management for centuries (Allaire 2016; Fang & Jawitz 2019; Tate *et al.*
217 2021; Sanders *et al.* 2022; Syvitski *et al.* 2022). Human efforts to control rivers and floodplains have yielded
218 both benefits and major problems, including environmental injustice and substantial loss of life (Reisner 1993;
219 Tate *et al.* 2021; Sanders *et al.* 2022; Sowby & Hotchkiss 2022). This highlights the need to consider human
220 culture and infrastructure as integrated components of ecosystems, with similar unanticipated behaviors
221 (Leavitt & Kiefer 2006; South *et al.* 2018; Wohl 2019; Wang & He 2022).

222 The northeastern United States provides well-documented examples of multiple agrarian and
223 industrial disturbances of river networks (Wolock 1995; Armfield *et al.* 2019). In this region and many areas
224 globally, the provisioning of clean water for drinking, agriculture, and aquatic ecosystems is threatened by low
225 geomorphological resistance to changes in river flow (Davis *et al.* 2009; Abbott *et al.* 2018a; Zarnetske *et al.*
226 2018; NASEM 2020). Two examples of vulnerability are 1) headwater stream networks with susceptibility to
227 hillslope and channel erosion due to glacial history, and 2) valley and piedmont river corridors with large
228 legacy sediment stores that are coupled closely with receiving waters (Pinay *et al.* 2018; Dearman & James
229 2019). The legacy of glacial and ice sheet retreat has created bouldery tills and fine glacio-lacustrine clays. This
230 combination of high energy streams that can come into contact with glaci-lacustrine clays through
231 streambank or bed erosion (Davis *et al.* 2009) creates significant stream management challenges. The
232 postglacial context creates low resistance but high recovery regarding sediment transport. Exceeding modest
233 thresholds of stream movement during high streamflows can trigger multiple problems including mass
234 movement (landslides) and persistent high turbidity levels in downstream drinking water reservoirs.

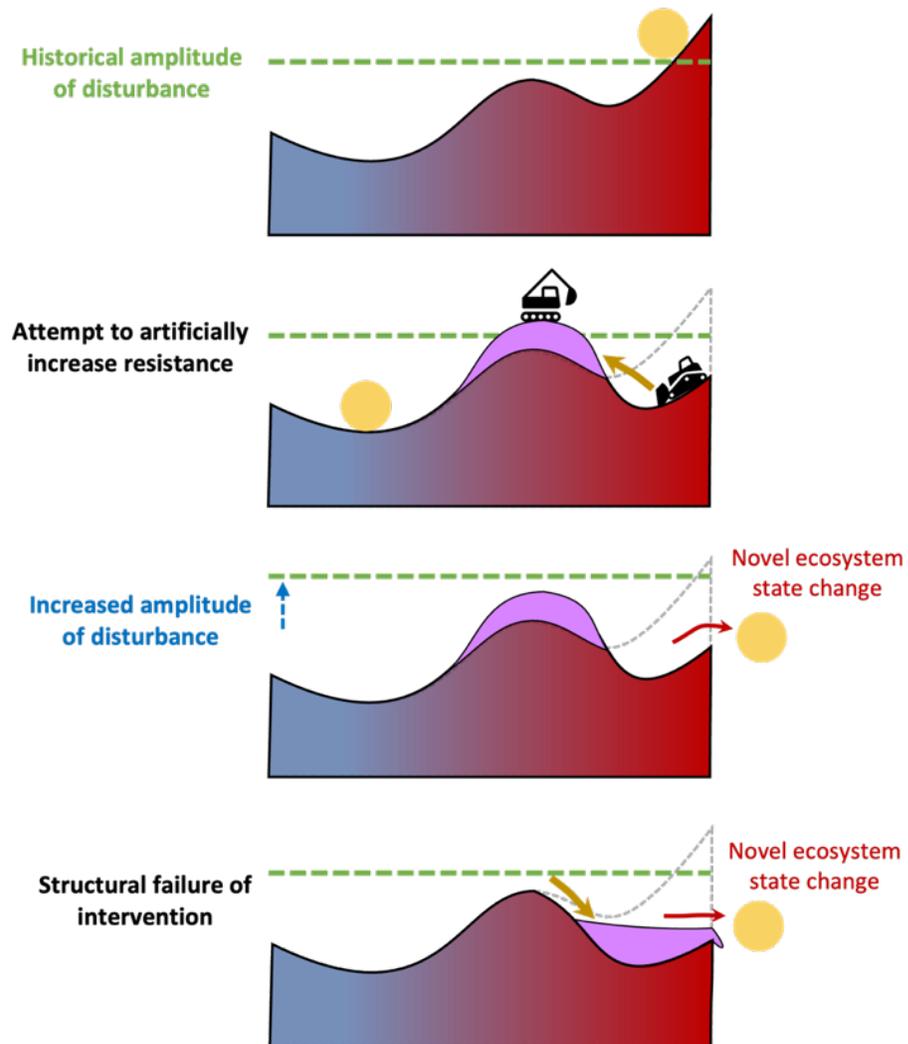
235 While these environments regularly transported large amounts of sediment naturally during the
236 Holocene, European settlement altered sediment sources and sinks. Forest clearing for agriculture and the
237 construction of many small dams resulted in the accumulation of sediments along the river corridors
238 (Dearman & James 2019; Johnson *et al.* 2019; Jiang *et al.* 2020; Noe *et al.* 2020). This combination of land use

239 and vulnerable critical zone structure is now threatening the provisioning of drinking water for millions of
240 people in the New York metropolitan area.

241 Over the past several decades, stream and watershed management efforts have been designed to
242 counterbalance the overabundance of sediment sources in river systems to maintain. Engineering-oriented
243 techniques initially focused on increasing resistance to erosion (Fig. 4), including armoring streambanks and
244 hillslopes and dredging to temporarily increase flood conveyance (Bernhardt & Palmer 2007; Wohl *et al.*
245 2015). However, these techniques have proven very short lived given the artificial disequilibrium (e.g., legacy
246 sediments) and natural characteristics of the critical zone structure (e.g., high sediment availability in
247 postglacial landscapes). Management interventions have so far largely treated symptoms rather than causes
248 while also creating greater problems upstream and downstream of the hard-part interventions. However,
249 because of the high societal value of the drinking water provisioned by this ecosystem, the inefficient
250 management approach has been acceptable (Davis *et al.* 2009; NASEM 2020). The question is whether society
251 will continue to support this kind of active river management or call for a change. The underlying hypothesis
252 has been that sufficient resources (financial and human capital) are available to respond to the shifting
253 disturbance regime (greater magnitude and intensity of storms, increased persistence and magnitude of
254 precipitation) with ecohydrological expertise continually nudging the system back towards a more “natural”
255 equilibrium in an effort to create a more resistant critical zone structure. As such, watershed and drinking
256 water managers have prioritized extensive mapping of glacial tills and clays and initiated an active
257 management program including streambank and hillslope stabilization, floodplain reconnection, and full-
258 channel restoration (NASEM 2020).

259 Seeking to enhance river system resilience by maximizing resistance can create rigidity that results in
260 continual or ever increasing management costs and decreasing ecosystem function and safety (Fig. 4). Seeking
261 to preserve or restore local disturbance regimes—including sustainable human land use and other activities—
262 is a much more robust approach with many more co-benefits (Bishop *et al.* 2009; Christianson 2015; Houlton
263 *et al.* 2019). However, overlying regional disturbance regimes that include increasing flow magnitudes and
264 changes in precipitation patterns may require more frequent stabilizing feedbacks from active watershed
265 management in order to maintain clean water provisioning. This highlights the importance of cultivating

266 more meaningful and multidimensional relationship between local societies and the ecosystems they depend
267 on. This avoids undue focus on a single ecosystem service, such as seeing a watershed primarily or exclusively
268 as a drinking water provisioning device.
269



270
271 *Figure 4.* Conceptual examples of how changing disturbance regimes and intentional modification of
272 ecosystem structure can lead to greater vulnerability. Managing for resistance (i.e., modifying structure to
273 impose physical constraints on the system and its dynamic ecosystem states) often leads to rigidity that can
274 result in catastrophic transformations when the system is subjected to a new disturbance regime with
275 increased amplitude of disturbance (e.g., higher flood magnitude).

276

277 This case study showcases a broader shift toward *naturalness* as a more resilient and cost-effective
278 management strategy in dynamic environments (Bishop *et al.* 2009; Palmer & Stewart 2020). Recent stream
279 restoration practices recommend restoring naturalness to disturbance regimes by removing obstructions
280 (dams, berms, levees) and buying out flood damaged homes to allow the river system more room to
281 dynamically adjust to increased flows (Fig. 5). This management shift is informed by observations that the
282 more altered and artificial a system is, the more rigid and high maintenance it tends to be (Bishop *et al.* 2009).
283 Additionally, more extreme modifications of critical zone structure and disturbance regime create more severe
284 tradeoffs and compromises (Palmer & Stewart 2020; Abbott *et al.* 2021a).

285

286 **Case study 2: Coastal forests and sea level rise**

287 Human-caused sea level rise from ice melt and thermal expansion has progressed much faster than
288 expected and is currently tracking the most extreme model projections (King *et al.* 2020; Slater *et al.* 2020;
289 Boers & Rypdal 2021; Heinze *et al.* 2021). This quintessential press disturbance is interacting with the pulse
290 disturbances of extreme storms (Crandall *et al.* 2021; Fowler *et al.* 2021; IPCC 2021). Coastal forests have
291 been categorized into two bands based on proximity to the ocean (Fagherazzi *et al.* 2019; Kearney *et al.* 2019;
292 Mo *et al.* 2020). Stands of mature trees that established before major sea level rise and storm intensification
293 can be found within a meter above the normal high tide. These stands are resistant to storm surges because
294 the adult trees can survive temporary inundation by salt water, partly by accessing fresh groundwater.
295 However, they are not resilient because recruitment cannot occur in salinized soil. As windfall and old age
296 kills adult trees, the mature stands are overtaken by marshes that are more able to survive frequent seawater
297 inundations and take advantage of the increased light availability (Fagherazzi *et al.* 2019).

298 Above the mature, resistant zone near the ocean, there is an area described as the Regenerative Zone
299 because tree recruitment is still occurring (Kearney *et al.* 2019; Paldor *et al.* 2022). This zone is more distal and
300 higher in elevation, meaning the storm surges less frequently introduce ocean waters and the degree of salinity
301 in soils is less and within the tolerances of germination and seedling recruitment.

302 This case study shows the interaction between anthropogenic structural disturbances and a relatively
303 unmanaged ecosystem. Sea level rise and storms are interacting structural disturbances that have altered the
304 state factors of coastal vegetation development. The change in hydraulic gradient associated with sea level rise
305 and the increased risk of windfall in saturated soils are precluding the persistence of the near-shore
306 community while also accelerating its decline (Paldor *et al.* 2022). These structural disturbances would change
307 the management options, precluding reestablishment of ecological communities in their former locations, but
308 allowing community shifts were adjacent environments conserved and left dynamic.

309

310 **Case Study 3: Paleo and present climate change effects on the permafrost zone.**

311 The permafrost zone in polar regions provides a useful example of response to perturbation because
312 of the dramatic climatic changes it has experienced over the past 30,000 years and its importance to Earth's
313 climate over the next several centuries (Lindgren *et al.* 2018; Finger & Rekvig 2022; Schuur *et al.* 2022). The
314 terrestrial and subsea permafrost regions contain nearly 3,000 Gt of organic carbon, more than the sum of all
315 other soil, the atmosphere, living biomass, and cumulative human emissions since the Industrial Revolution
316 (Bar-On *et al.* 2018; Abbott *et al.* 2019a; Abbott 2022). These massive stocks of organic matter have been
317 described as climate-protected, as they have been stabilized by persistent cold and wet conditions, which limit
318 microbial and abiotic decomposition (Ernakovich *et al.* 2022; Schuur *et al.* 2022). Gradual climate warming
319 after the Last Glacial Maximum (LGM), some 26,500 years ago, resulted in over 100 meters of sea level rise,
320 retreat of ice sheets, and widespread development of lakes and peatlands (Lindgren *et al.* 2018; Sayedi *et al.*
321 2020). These enormous reorganizations were archetypal structural disturbances that altered land-water
322 linkages, long-term carbon and nutrient balance, and distribution of vegetation. These changes created a state
323 of net carbon uptake over large portions of Arctic Tundra and Boreal Forest, which has only recently been
324 forced into carbon release because of anthropogenic climate change (Hayes *et al.* 2011; Turetsky *et al.* 2020;
325 Schuur *et al.* 2022).

326 Across high-latitude and high-elevation ecosystems, local ecosystem structure modulated to effects of
327 the gradual climate press that caused the transition from the Pleistocene to the Holocene. Organic soil

328 horizons and vegetation strongly influence the exchange of heat between the atmosphere and the soil,
329 creating up to 12°C of difference between mean annual soil temperature relative to the overlying air (Shur &
330 Jorgenson 2007). The development of soil and vegetation protected many Pleistocene permafrost deposits,
331 imparting thermal resistance that effectively arrested—or at least delayed—the deglaciation process (Shur &
332 Jorgenson 2007; Kokelj *et al.* 2017; Loranty *et al.* 2018; Strauss *et al.* 2022).

333 Ongoing anthropogenic warming is much more abrupt than the relatively gradual glacial-interglacial
334 transition (Bova *et al.* 2021; Cheng *et al.* 2022), particularly in the permafrost zone, which is warming 3- to 6-
335 times faster than the global mean (Abbott 2022; Abbott *et al.* 2022). This increased amplitude of climatic
336 disturbance (Fig. 4) has surpassed the protective resistance of Holocene-aged soils and vegetation, triggering
337 abrupt thaw and surface collapse in many of the regions with highest carbon densities (Olefeldt *et al.* 2016;
338 Turetsky *et al.* 2020). Additionally, rapid warming is altering permafrost disturbance regimes. Functional
339 disturbances such as wildfire are becoming more common and widespread (Mack *et al.* 2011), accelerating the
340 structural disturbance of permafrost collapse, which together affect long-term carbon, nutrient, and water
341 balance (Larouche *et al.* 2015; Moskovchenko *et al.* 2020; Rodríguez-Cardona *et al.* 2020; Abbott *et al.* 2021b).
342 More acutely, the destabilization of permafrost soils, coastlines, and shorelines is profoundly impacting
343 marine and terrestrial wildlife and the diverse human cultures of the permafrost zone (Chapin *et al.* 2013;
344 Bronen *et al.* 2020; Abbott *et al.* 2022).

345 This case study demonstrates the interactions between the local structure of the critical zone and
346 global climate change. Perhaps more importantly, it highlights some of the difficulties of creating Earth
347 stewardship when the causes and consequences of environmental degradation are highly separated in space
348 and time. Greenhouse gas emissions from outside of the permafrost zone are eroding resistance and recovery
349 of permafrost ecosystems, including human villages and transportation infrastructure at circumpolar scales
350 (ICC 2022). Communities in the permafrost zone have been innovative in adaptation and local mitigation
351 (Chapin *et al.* 2013; Bronen *et al.* 2020; Abbott *et al.* 2022). At the same time, many community members are
352 using intergovernmental forums such as the Arctic Council and Inuit Circumpolar Council to increase climate
353 mitigation commitments to address the source of the problem: burning of fossil fuels (Johnson 2010;
354 Kristoffersen & Langhelle 2017; Arctic Council 2022; ICCI 2022). This shows the intersection of local

355 community stewardship and global environmental governance, both of which are needed to resolve
356 environmental injustice in the Anthropocene (Errigo *et al.* 2020; Webber *et al.* 2021; Chapin *et al.* 2022).

357

358 **Case study 4: Hydrochemical recovery from acidification in the stormier present**

359 Critical zone structure in watersheds in eastern North America and central Europe has been
360 impacted by multiple changes to disturbance regimes over the past century. Terrestrial and aquatic ecosystems
361 were subjected to decades of atmospheric acid deposition, which led to reduced soil pH and base cation loss
362 from soils (Likens & Bormann 1974; Wettstad 2018). Environmental legislation on both continents reduced
363 acid deposition starting in the 1980s, creating a natural experiment of recovery for watersheds with diverse
364 critical zone structures (Likens 2013; Daniels *et al.* 2020; Hannah *et al.* 2022). In the decades since, many
365 watersheds have seen streamwater dissolved organic carbon (DOC) and phosphorus concentrations increase
366 (Evans *et al.* 2005; Kopáček *et al.* 2015), while streamwater inorganic nitrogen concentrations have decreased
367 (Driscoll *et al.* 2003). Many studies have explored the mechanisms that may explain these temporal patterns,
368 invoking various explanations including reduced mineralization under low soil pH, stabilization of soil
369 aggregates at high ionic strengths/low soil pHs, and reduced vegetation uptake as a result of base cation
370 limitation (Rosi-Marshall *et al.* 2016; Armfield *et al.* 2019; Cincotta *et al.* 2019).

371 Concurrently, these regions have been experiencing an increasing frequency of extreme hydrologic
372 events. Large precipitation events have been linked to substantial flushing and export of carbon and nitrogen,
373 thus comprising the majority of annual export in some watersheds (Raymond *et al.* 2016; Zarnetske *et al.* 2018;
374 Kincaid *et al.* 2020). A recent study at Hubbard Brook Experimental Forest suggested that recovery from
375 acidification and increasing frequency of extreme precipitation events interact in important ways, with greater
376 stormflow nitrate export in an experimental watershed recovering from acidification (Marinos & Bernhardt
377 2018). This suggests that a multidimensional resilience approach is needed to understand the complex
378 biogeochemical responses to acidification, recovery, and changing hydrologic regimes.



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Figure 5. Examples of vulnerable and resilient approaches to human development in dynamic ecosystems. Each row shows how a different ecosystem structure responds to the functional disturbance of a flood. The first two rows were inspired by Delgado (2020).

383

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385

While there are broad regional trends in these responses to reduced acid loading, there is a considerable degree of variability across individual catchments, likely associated with critical zone structure. For example, variability in DOC trends across catchments in New England depended on soil characteristics

386 and depth (Adler *et al.* 2021). Well-buffered, calcite-dominated watersheds are recovering faster than granitic
387 watersheds with limited ability to buffer changes in soil pH. Differences in watershed topography and slope
388 may lead to variability among watersheds in their hydrologic responsiveness to extreme events.

389

390 **Rethinking the R's in the age of Big Data:**

391 These case studies show how ecosystem structure and disturbance regimes interact to determine
392 multidimensional resilience. To predict and prevent dangerous ecological state changes in the Anthropocene,
393 we now need to dramatically advance our understanding of the nature of these interactions at global scales
394 (Jiang *et al.* 2018; Turner *et al.* 2019). In many ecological contexts, resilience and resistance are viewed as
395 mono-dimensional properties—e.g. collapse in a biological population or breakdown in an atmospheric or
396 oceanic current (Liu *et al.* 2019; Steffen *et al.* 2018)—rather than as a nested, interacting system that
397 intrinsically depends on the structure and state of the ecosystem. If resilience does indeed emerge from the
398 ecosystem structure—the linkages across physical, biological, and social systems—this adds complexity but
399 could also substantially increase predictive power (Gouveia *et al.* 2021). Indeed, we could be on the cusp of
400 major breakthroughs in humanity's ability to quantitatively monitor and manage ecosystems for resilience.
401 The availability of data from multiple observatories and monitoring networks at site to global scales (Leon *et*
402 *al.* 2019; Brown *et al.* 2021; Ebeling *et al.* 2021; Heiner *et al.* 2022; Shogren *et al.* 2022) and the emergence of
403 techniques that can analyze such voluminous and intricate data streams (Bergen *et al.* 2019) create an
404 unprecedented opportunity to identify individual and interactive controls on ecosystem response to
405 disturbance.

406 Until recently, characterizing multidimensional interactions at necessary spatiotemporal scales has been
407 beyond the scope of disciplinary three- to five-year ecological projects (Abbott *et al.* 2016; Kolbe *et al.* 2019;
408 Thomas *et al.* 2019). With the advent of new technology such as in situ sensors and remote sensing (e.g.,
409 lidar), we are amassing high volumes and a wide variety of observational data that can be used to test
410 hypotheses about ecosystem response to disturbance regimes and associated water, carbon, and nutrient
411 dynamics (Demchenko 2013). This big data revolution has had revolutionary effects across disciplines

412 (Alexander et al. 2015; Li et al. 2012) and is poised to transform ecosystem science as well (Reichstein et al.
413 2019). The recent emergence of new statistical and machine-learning algorithms has been driven, in part, by
414 the advances in distributed computing and storage that accompany long-term monitoring, but more
415 importantly, by the challenges in mining and analyzing these large, multi-scale, data-rich complex systems
416 (Loehle 2006; Beven & Alcock 2012; Lum *et al.* 2013; Brunton *et al.* 2016).

417 Collectively, complex-systems tools comprise a variety of approaches including machine-learning
418 algorithms, nonparametric statistics, network analysis, Bayesian inference, stochastic models, and evolutionary
419 computation (Marçais & de Dreuzy 2017; Underwood *et al.* 2017; Shen *et al.* 2018; Frei *et al.* 2021). They can
420 be used for classification, regression, and prediction tasks in the analysis of ecological dynamics across scales.
421 A subset of machine-learning algorithms called ‘deep learning’ shows promise for advances in classification,
422 anomaly detection, regression and prediction, where state variables are spatiotemporally dependent
423 (Reichstein et al. 2019)—the default assumption for coevolving ecosystem structures and disturbance regimes
424 (Thomas *et al.* 2016; Abbott *et al.* 2018a; Adler 2019). Deep learning models have gained rapid adoption in
425 certain fields such as hydrology where long short-term memory (LSTM) models have eclipsed the
426 performance of existing physics-based models in certain tasks (e.g., rainfall-runoff modeling) and are now
427 being explored for their ability to capture hydrological concepts (Kratzert *et al.* 2019; Jiang *et al.* 2022; Lees *et*
428 *al.* 2022). Three-dimensional convolutional neural networks have enhanced lidar-based forest inventories by
429 spatially resolving individual tree crowns and distinguishing needle-leaf trees from deciduous (Ayrey & Hayes
430 2018). Image-based deep learning models have also been used for classification and interpretation of water
431 quality dynamics such as with storm event suspended sediment transport (Hamshaw *et al.* 2018).

432 These tools are simultaneously revolutionizing the acquisition, cleaning, and analysis of multivariate
433 ecological data (Hamshaw *et al.* 2018; Underwood *et al.* 2021; Wu *et al.* 2022). We can apply complex-systems
434 tools to draw inferences from both terrestrial and aquatic signals of high temporal and spatial resolution (e.g.,
435 lidar first returns, time series of rainfall-runoff patterns or concentration discharge monitoring data) that serve
436 as integrators of ecosystem dynamics, and have the potential to reflect the large-scale impacts of disturbances
437 on the Earth system as a whole. For example, machine-learning algorithms are increasingly being used to

438 learn patterns from data for both clustering (i.e., unsupervised) and classification (i.e., supervised) tasks
439 (Bergen et al. 2019). Unsupervised neural networks such as Self-Organizing Maps have been used to cluster
440 catchments with similar combinations of multi-variate catchment attributes (Underwood 2017). Supervised
441 methods, including nearest-neighbor and ‘random forests’ imputation methods, have been applied to model
442 forest structural parameters including biomass and total timber volume using predictor variables generated
443 from lidar data or orthoimagery (Latifi et al. 2010). Supervised methods are especially useful for cases such as
444 this where manual classification would be too time-intensive, but can also be used to learn something about
445 the multivariate feature interactions that manifest in an outward class or condition (Underwood et al. 2021).

446 In addition to the technical advances, this complex data revolution is accelerating conceptual
447 crosspollination and opening doors to new collaborations among traditional ecological knowledge holders,
448 researchers, and managers (Kimmerer 2002; Shen *et al.* 2018; Sayedi *et al.* 2020). Even terminology from the
449 study of dynamical systems is helpful when describing ecosystem state and development. Attractors or basins
450 of attraction are self-organizing or favored system configurations, and alternative stable states or multistability
451 is the existence of multiple possible resilient ecosystem configurations (Dudkowski *et al.* 2016). Structural
452 disturbances can erode resilience by creating alternative attractors that alter the recovery trajectory or
453 reducing the resistance of the original ecosystem state (Fig. 2). The flexibility and power of complex system
454 tools have only begun to be tapped. We think that major breakthroughs will occur as collaborations increase
455 among Earth system scientists and local knowledge holders with deep intuitive and quantitative
456 understanding of their systems, managers who know the pressing ecological questions and challenges,
457 geospatial analysts who can collect massive amounts of remotely-sensed data, scientific instrument engineers
458 who can facilitate direct measurements, and data scientists who can manage and implement data workflows,
459 and finally control theorists and complex systems scientists who can help with interpretation and application.

460

461 **People as a positive part of the ecosystem concept**

462 Reminding researchers and readers not to forget people may sound ludicrous. Most of us are
463 working on global environmental change, constantly engrossed in the causes and consequences of human

464 alteration of the Earth system. However, ecosystem ecology, hydrogeology, and many fields central to critical
465 zone science tend to exclude humans implicitly and explicitly, often focusing on reference watersheds with no
466 direct human influence or using “natural” conditions prior to the Anthropocene as a baseline (Chorover *et al.*
467 2007; Fandel *et al.* 2018; Abbott *et al.* 2019b; Ellis *et al.* 2021). Indeed, our focus on problems created by
468 humanity can lead to bias against modified ecosystems despite their prevalence and indispensability in
469 creating a sustainable global community (Hagerhall *et al.* 2004; Abbott *et al.* 2019b; Blaszcak *et al.* 2019;
470 Elmqvist *et al.* 2019; Hill *et al.* 2022). Likewise, academic researchers and natural resource managers
471 sometimes view environmental solutions as technical interventions to be imposed on communities rather
472 than a tool for cultivating long-term relationship and cultural change (Chapin *et al.* 2022). In an ideal world,
473 we would think in terms of communities and watersheds rather than administrative management units and
474 environmental policies. There are compelling practical and ethical reasons for including human dimensions of
475 ecosystems on both sides of resilience, i.e., when characterizing disturbance and considering the response.
476 The social solidarity and respect we need to face intensifying ecological crises in the Anthropocene are
477 unlikely in an environment of disciplinary dismissal and divisiveness (Allaire 2016; Abbott *et al.* 2018b;
478 Webber *et al.* 2021).

479 Meaningful predictions and successful management depend on fully integrating human cultural and
480 social dynamics into our conceptualization of ecosystems (Budds *et al.* 2014; Linton 2014; Abbott *et al.* 2021a;
481 Chapin *et al.* 2022). While consideration of the human dimensions of ecosystems is necessary from a harm
482 reduction perspective, it is arguably more important for the establishment of pro-environmental norms,
483 policies, and individual behaviors (Behailu *et al.* 2016; Schuster *et al.* 2019). Examples of positive human-
484 environment interactions are needed as models and motivators to accelerate cultural change (Kimmerer 2002;
485 Palmer & Stewart 2020; Locke *et al.* 2021; Ansari & Landin 2022; Chapin *et al.* 2022).

486

487 **Conclusions**

488 We conclude that conceptual and practical rapprochement of human culture and the ecosystems we
489 are a part of can enhance ecological resilience. Specifically, meaningful relationships with and affection for

490 our local environment can lead to sustainable norms, policies, and behaviors that humanity and the Earth
491 system as a whole need urgently. We conclude that resilience emerges from the ecosystem structure—the
492 linkages across physical and biological systems, especially human society. Finally, we recommend modeling
493 human infrastructure and development patterns on natural disturbance regimes. Maximizing resistance is not
494 a reliable strategy for maintaining ecosystem function, including ecosystem services, in the Anthropocene.
495 Instead, we need connected and expansive habitat, disturbance regimes that are as natural and unregulated as
496 possible, and complete and redundant biological communities, including all dimensions of human diversity.
497 While creating and sharing an ethic of Earth stewardship is a multi-generational project, thankfully, we are not
498 starting from zero. There are threads of stewardship and sustainability in every human culture and our species
499 likely has an evolutionary penchant for environmental connection and care. It is our task to emphasize and
500 cultivate these precious legacies.

501

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506

507 **Data Availability Statement**

508 This manuscript did not use any new data.

509

510

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