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December 27, 2022

#### Abstract

A numerical solute transport model was history matched to a high-resolution monitoring dataset to characterize a multicomponent source of nonaqueous phase liquids (NAPLs) and evaluate the uncertainty of estimated parameters. The dissolution of NAPL mass was simulated using the SEAM3D solute transport model with spatially-varying NAPL saturations and mass transfer rate coefficients, representing the heterogenous architecture of the source zone. Source zone parameters were simultaneously estimated using PEST from aqueous-phase concentrations measured in a multilevel monitoring transect and from mass recovery rates measured at extraction wells during a controlled field experiment. Data-worth analyses, facilitated by PEST ancillary software, linked maximum aqueous-phase concentrations of all compounds to reductions in prior uncertainty of mass transfer coefficients. In turn, transient concentrations of the most soluble NAPL fraction constrained the source mass estimation. Accurately estimating the source mass and reducing prior uncertainties was possible by removing concentrations measured during early NAPL dissolution stages, identified as prior-data conflicts using the iterative ensemble smoother PESTPP-iES. Prior-based Monte Carlo analyses highlighted model limitations for representing sub-grid-scale heterogeneity of source zone architecture and NAPL dissolution, yet history-matching of final dissolution stages measured at multilevel ports eliminated parameter bias and produced long-term projections of source depletion with multistage behavior. Including mass discharge constraints further improved the accuracy of source mass estimation, complementing multilevel monitoring constraints on the source architecture and mass transfer coefficients

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- 13 Key Points
- 14
- Aqueous-phase concentrations monitored in a field experiment were simulated to quantify
   NAPL distribution and dissolution rates
- Depletion profiles of the most soluble DNAPL component accurately constrained the source
   zone architecture
- Multiscale heterogeneity of source zone architecture controlled the uncertainty of estimated
   mass transfer coefficients

#### 22 Abstract

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A numerical solute transport model was history matched to a high-resolution monitoring dataset 24 to characterize a multicomponent source of nonaqueous phase liquids (NAPLs) and evaluate the 25 uncertainty of estimated parameters. The dissolution of NAPL mass was simulated using the 26 27 SEAM3D solute transport model with spatially-varying NAPL saturations and mass transfer rate coefficients, representing the heterogenous architecture of the source zone. Source zone 28 29 parameters were simultaneously estimated using PEST from aqueous-phase concentrations measured in a multilevel monitoring transect and from mass recovery rates measured at extraction 30 31 wells during a controlled field experiment. Data-worth analyses, facilitated by PEST ancillary software, linked maximum aqueous-phase concentrations of all compounds to reductions in prior 32 uncertainty of mass transfer coefficients. In turn, transient concentrations of the most soluble 33 NAPL fraction constrained the source mass estimation. Accurately estimating the source mass and 34 35 reducing prior uncertainties was possible by removing concentrations measured during early NAPL dissolution stages, identified as prior-data conflicts using the iterative ensemble smoother 36 37 PESTPP-iES. Prior-based Monte Carlo analyses highlighted model limitations for representing sub-grid-scale heterogeneity of source zone architecture and NAPL dissolution, yet history-38 matching of final dissolution stages measured at multilevel ports eliminated parameter bias and 39 produced long-term projections of source depletion with multistage behavior. Including mass 40 41 discharge constraints further improved the accuracy of source mass estimation, complementing multilevel monitoring constraints on the source architecture and mass transfer coefficients. 42 43

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#### 47 Plain Language Summary

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Ouantifying dissipation timeframes of DNAPL source zones and contaminant discharge rates is 49 50 fundamental for environmental-management support. Both variables depend on the distribution of DNAPL mass (architecture) within the source zone, and cannot be quantified by direct observation 51 52 methods. We elucidated upon the worth of multilevel monitoring for characterizing the source zone architecture of a field experiment with inverse numerical modeling of contaminant transport 53 54 and DNAPL dissolution. Uncertainties on estimated DNAPL distribution and dissolution rates were primarily associated to variability in dissolved concentration trends at multiple scales. 55 Dissolved concentration peaks measured during early DNAPL dissolution stages were found 56 responsible for inducing model parameter and predictive errors. Yet the depleting signature of the 57 least soluble component accurately constrained the source zone architecture, combining mass 58 recovery rates with multilevel monitoring to reduce model uncertainties. Hence, our approach and 59 results have beneficial implications for management support of aged source zones undergoing final 60 depletion stages. 61

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#### 63 Index Terms and Keywords

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1831 Groundwater Quality, 1846 Model calibration (3333), 1873 Uncertainty quantification
(3275), 4314 Mathematical and computer modeling, 1815 Monitoring, forecasting, prediction
(4315)

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DNAPL source zone, numerical modeling, uncertainty quantification, DNAPL mass transfer,source zone architecture, contaminant mass discharge

#### 72 **1. Introduction**

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Remediation and long-term dissipation of contaminant source zones comprised of dense 74 75 nonaqueous phase liquids (DNAPLs) in the subsurface encompasses technical challenges related to uncertainty of DNAPL spatial distribution and dissolution rates (Kueper et al., 2014; Mayer & 76 Hassanizadeh, 2005; NRC, 2005). Entrapped DNAPL mass and saturation distributions in the 77 porous medium, referred to as the source zone "architecture", are key parameters controlling 78 source-zone longevity and depletion behavior (Dekker and Abriola, 2000; DiFilippo & Brusseau, 79 2008). Typical multistage and nonmonotonic depletion profiles observed in monitoring data reflect 80 the gradual dissolution of NAPL accumulations with characteristic saturations (Brusseau et al., 81 2013; Kokkinaki et al., 2014; Stewart et al., 2021). Accumulations of low-saturation ganglia 82 allowing for groundwater flow-through account for peaks of discharge concentrations at early 83 NAPL dissolution stages, whereas high-saturation pools with negligible hydraulic accessibility 84 account for dissolution tailing at the final stages of a source lifespan (Christ et al., 2010; Kueper 85 et al., 2014; Yang et al. 2018). Thus, estimating the depletion behavior and remedial timeframes 86 of a source zone requires knowledge on NAPL architecture, which is difficult to characterize with 87 direct observation methods (Engelmann et al., 2019), but can be estimated from field tests and 88 monitoring data using mathematical models of NAPL dissolution (Falta et al., 2005a, 2005b; 89 Stewart et al., 2021). 90

Numerical modeling methods coupling groundwater flow and contaminant transport with NAPL 92 93 dissolution have been used to estimate saturation distributions and mass transfer rate coefficients from monitoring data (Frind et al., 1999; Mobile et al., 2012; Saenton & illangasekare, 2004). 94 95 Researchers have focused on estimating NAPL architecture or depletion timeframes from synthetically-generated source zones and aqueous-phase concentrations using several 96 97 mathematical approaches to simulating mass transfer. Several studies considered either a local equilibrium assumption (LEA) or Gilland-Sherwood models of interphase mass transfer (Kang et 98 99 al., 2021a, 2021b; Marble et al., 2008; Saenton & Illangasekare, 2004). The applicability of LEA in decision-support models is questionable because heterogeneity of aquifer hydraulic properties 100 and DNAPL architecture can induce flow bypassing and mass transfer rate limitations, resulting 101 in nonequilibrium concentrations typically observed at field sites (Falta, 2003; Kokkinaki et al., 102 2013; Powers et al., 1992, 1994). Similarly, Gilland-Sherwood models rely on correlations 103 between empirical coefficients and soil particle sizes that were determined under specific bench-104 scale conditions, which may not be applicable to field-scale problems with different hydraulic 105 conditions (Powers et al., 1994; Saenton & Illangasekare, 2007). Additional uncertainties on LEA 106 and Gilland-Sherwood models include grid discretization requirements, as both approaches have 107 been validated with pore-scale experimental data (Agaoglu et al., 2015; Falta, 2003). 108

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Upscaled models have been developed to simulate NAPL dissolution kinetics over a representative 110 111 elementary volume (REV) incorporating source zone metrics (Christ et al., 2010; Marble et al., 2008; Parker & Park, 2004; Saenton & Illangasekare, 2007; Stewart et al., 2021; Zhu & Skyes, 112 2004). These metrics include NAPL mass and descriptions of source zone architecture in the form 113 of areal dimensions of NAPL accumulations or the ganglia-to-pool (GTP) mass ratio metric 114 (Abriola et al., 2013; DiFilippo & Brusseau, 2011). Because these metrics are difficult to measure 115 at contaminated sites, upscaled models incorporating a spatially-varying lumped-process mass 116 transfer coefficient have also been used to interpret monitoring data and predict source depletion 117 timeframes (Guo et al., 2020; Marble et al., 2008; Mobile et al., 2012; Park & Parker, 2005). These 118 models simplify the heterogeneity of porous media, aqueous-phase velocities, NAPL architecture, 119 and dispersivity, into a single lumped-process parameter at the REV scale (Falta, 2003; Imhoff et 120 al., 1993; Luciano et al., 2018). Although scale-dependent mass transfer rate coefficients may 121 simplify grid discretization requirements, the parameterization of NAPL source zones for inverse 122 numerical modeling and uncertainty quantification with spatially-correlated random parameter 123 fields is not straightforward (Arshadi et al., 2020; Kang et al., 2021a, 2021b; Kock & Nowak, 124 2015, 2016) 125

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Given that NAPL source zones have complex spatial morphologies with sharp saturation 127 transitions at fine scales, traditional interpolation and geostatistical methods used in groundwater 128 flow modeling may be not suitable for parameterizing NAPL source zones (Arshadi et al., 2020; 129 Kang et al., 2021a). Alternative methods proposed for parameterizing NAPL source zones include 130 deep learning algorithms trained with images of saturation distributions generated with multiphase 131 flow simulations on highly-resolved permeability fields (Arshadi et al., 2020; Kang et al., 2021a, 132 2021b), posing additional data requirements and uncertainties on porous media characteristics and 133 model parameters (Abriola, 1989; Agaoglu et al., 2015; Miller et al., 1998). Moreover, these 134 parameterization methods have been tested with synthetically-generated source zones to estimate 135 categories of NAPL saturations through inverse modeling conditioned by borehole data (Arshadi 136 et al., 2020), or by aqueous-phase concentrations under LEA (Kang et al., 2021a, 2021b). Although 137

these methods can generate physically-based, spatially-correlated categorical parameters, they are

- 139 computationally expensive and require further validation and verification with field data.
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Numerical models with parsimoniously parameterized source zones have proved useful for 141 characterizing NAPL architecture and/or lumped-process mass transfer coefficients (Marble et al., 142 2008; Mobile et al., 2012; Saneton & Illangasekare, 2004). Moreover, combining multilevel 143 monitoring with recovery rates of contaminant mass (or with conventional monitoring wells) can 144 be valuable for characterizing heterogeneous NAPL architectures, as spatially-varying 145 contaminant fluxes may be mapped to soil horizons harboring NAPL mass within a source zone 146 (McMillan et al., 2018). Several studies have incorporated Gilland-Sherwood or upscaled mass 147 transfer functions in discretized NAPL zones or in dual-domain models to estimate grid-scale 148 parameters from multilevel monitoring data and/or mass discharge/flux measurements (Christ et 149 al., 2010; Falta, 2003; Frind et al., 1999; Guo et al., 2020; Mobile et al., 2012; Park & Parker 2005; 150 Saenton & Illangasekare, 2004). Although previous investigations have demonstrated the utility 151 of parameterization parsimony for characterizing NAPL source architecture and dissolution rates 152 with inverse modeling, the uncertainty of grid-scale parameters arising from the assimilation of 153 high-resolution monitoring data has not been investigated. 154

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A primary objective in this numerical modeling study was to evaluate the worth of aqueous-phase 156 157 concentrations monitored at a multilevel sampling (MLS) transect in combination with total mass discharge (MD) rates to quantify and reduce the uncertainty of the mass, architecture, and 158 dissolution rates of a multicomponent DNAPL source. The field experiment considered in this 159 study involved the creation a small-scale source zone with a heterogeneous architecture at the 160 Borden experimental site (Broholm et al., 1999). Broholm et al. (2005) quantified the initial mass 161 of the DNAPL mixture using multicomponent NAPL dissolution theory and mass balance 162 analyses. Mobile et al. (2012) estimated the source architecture and dissolution rates from MD 163 profiles and from a single, incomplete MLS nest, using an inverse modeling technique. They 164 constrained the initial NAPL mass by the known amount and the source zone dimensions by the 165 measured post-experimental footprint (Mobile et al., 2012). Our study expanded on both previous 166 analyses by incorporating the entire MLS transect to infer the source footprint and to quantify 167 initial NAPL mass, saturation distribution, and mass-transfer rate coefficients, while examining 168 causality of parameter uncertainty. A secondary objective was to investigate model limitations for 169 reproducing the observed system behavior, further elucidating upon monitoring data assimilation 170 for source zone characterization with inverse numerical modeling, while minimizing the 171 propensity for biasing timeframes of source zone persistence. 172

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# 174 2. Materials and Methods

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# 176 2.1. Overview of Field Experiment of Multicomponent DNAPL Dissolution

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This study incorporated a dataset documenting the dissolution of a DNAPL mixture in a field experiment (Broholm et al., 1999). At the Borden experimental site in Canada, a 5-liter (7.7 kg)

180 mixture of dyed solvents (10% TCM, 40% TCE, and 50% PCE by volume) was injected at

approximately 0.05 m below the water table into a 55 m<sup>3</sup> (5.5 m long x 4.5 m wide x 2.3 m deep)

unconfined aquifer test cell (Figure 1) comprised of medium- to fine-grained lacustrine sand with

183 occasional beds of coarse sand/gravel and silt. Groundwater flow through the test cell, bounded

laterally by sheet piling on four sides, was maintained by a network of five upgradient injection 184 and five downgradient extraction wells. The network was operated at approximately 360 L/d, 185 generating a mean groundwater velocity of 0.13 m/d and horizontal hydraulic gradient of 0.023  $\pm$ 186 0.0024. Aqueous-phase contaminant concentrations were monitored for 220 days in a fence of 187 MLS ports (Figure 1) located approximately 2.1 m downgradient from the DNAPL release 188 location. After 220 days of natural dissolution monitoring, a 5.5-day pulse of methanol was 189 injected in the test cell to evaluate dissolution enhancement processes (Broholm et al., 1999; 190 Broholm, 2006). The horizontal spacing of MLS ports was 0.5 m with a vertical spacing of 0.1 m, 191 with screen lengths less than 1 cm. 192

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Figure 1. Configuration of aquifer test cell and its representation in the numerical model. (a) Plan view of all MLS
 nests and NAPL grid blocks encompassing the entire source zone footprint in the numerical model. (b) Test cell

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Flow-weighted concentrations were monitored at the extraction wells with screen lengths spanning 198 the average height (1.82 m) of the saturated zone for 291 days. The cell was excavated 291 days 199 after the mixture release to map the DNAPL distribution over 5-cm vertical intervals (Figures S1 200 and S2) (Broholm et al., 1999). Broholm et al. (2005) compared estimates of initial NAPL mass 201 calculated from the post-excavation source footprint and by multicomponent NAPL dissolution 202 theory with mass balance analysis of effluent data and area- and depth-integrated MLS 203 concentrations. Their best mass estimates ranged from 6.7 to 7.5 kg by averaging of MLS data, 204 and differences between theoretical NAPL dissolution rates and effluent data were attributed to 205 pre-flushing TCM dissolution and volatilization losses. Also, dissolved concentrations below 206

equilibrium levels observed throughout the experiment were attributed to dilution effects (Broholm
et al., 2005). These results support kinetic mass transfer modeling coupled with uncertainty
analyses for indirect characterization of NAPL source zones, as detailed, pore-scale process
modeling and site characterization in this context is unfeasible for remedial-decision support at
hazardous waste sites.

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#### 213 **2.2.** Numerical Modeling of Groundwater Flow and Contaminant Transport

- A steady-state groundwater flow model was developed with MODFLOW2000 (Harbaugh et al., 215 2000). The aquifer test cell was discretized in 23 layers with uniform grid blocks measuring 10 cm 216 along all dimensions. As shown in Figure 1, the extent of the model domain matched the size of 217 the test cell along the vertical dimension (Z-axis) and the horizontal dimension perpendicular to 218 the flow direction (Y-axis). The horizontal dimension parallel to flow (X-axis) was reduced from 219 5.5 m (test cell length) to 4.9 m for computational efficiency. Constant values of hydraulic 220 conductivity, flow boundary conditions, and transport parameters were assigned to match field 221 conditions, which were characterized with tracer tests and soil cores by Broholm et al. (1999) and 222 analyzed with numerical modeling in Mobile et al. (2012). Model layers 1 through 3 were 223 inactivated because the water table fell below the elevation of layer 3 during the monitoring period. 224 Model layer 4 encompassed MLS port 504, which showed evidence of NAPL presence at 0.4 m 225 226 below the top of the aquifer test cell despite the reported average depth of the water table during the experiment at ~0.48 m (Broholm et al., 1999). 227
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Dissolution of the multicomponent DNAPL source and aqueous-phase contaminant transport were
 simulated with SEAM3D (Waddill & Widdowson, 2000). Interphase mass transfer [M T<sup>-1</sup> L<sup>-3</sup>] was
 simulated using a linear driving force model:

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 $J_i = k_i^N (C_i^{eq} - C_i) \tag{1}$ 

where  $k_i^N$  [T<sup>-1</sup>]is a lumped mass transfer rate coefficient specific to each NAPL phase constituent *i*,  $C_i^{eq}$  [M L<sup>-3</sup>] is the equilibrium solubility calculated according to Raoult's Law, and  $C_i$  [M L<sup>-3</sup>] is the aqueous phase concentration. Equation 1 is coupled in SEAM3D to the following relationship representing NAPL dissolution from the soil medium into the aqueous phase:

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$$J_i = -\rho_b \frac{dC_i^N}{dt} \tag{2}$$

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where  $\rho_b$  [M L<sup>-3</sup>] is the bulk density of the soil and  $C_i^N$  [M M<sup>-1</sup>] is the NAPL mass of compound *i* per unit mass of dry soil. A modified version of SEAM3D incorporates the upscaled NAPL dissolution model developed by Parker and Park (2004) to simulate transient mass transfer rates:

$$k_i^N = k_{i,0}^N \left(\frac{\bar{q}}{\bar{K}}\right)^\alpha \left(\frac{M_i(t)}{M_{i,0}}\right)^\beta \tag{3}$$

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where  $k_{i,0}^N$  = initial mass transfer rate coefficient [T<sup>-1</sup>],  $\bar{q}$  = average Darcy velocity [L T<sup>-1</sup>],  $\bar{K}$  = average hydraulic conductivity [L T<sup>-1</sup>],  $M(t)/M_0$  = transient ratio of NAPL mass [M M<sup>-1</sup>],  $\alpha$  and  $\beta$ are dimensionless empirical parameters. Previous investigations have reported a linear relationship

between  $k_i^N$  and  $\bar{q}$ , with  $\alpha = 1$  (Parker & Park, 2004; Park & Parker, 2005). The transient mass 248 ratio raised to the empirical depletion exponent  $\beta$  represents a reduction of NAPL/water interfacial 249 areas over time, regulating tailing of discharge concentrations with reducing mass transfer rates as 250 251 the source mass is depleted (Parker & Park, 2004; Stewart et al., 2020). A previous modeling study of the same Borden experiment indicated model insensitivity to the  $\beta$  exponent, attributed to a lack 252 of extensive monitoring of decreasing discharge concentrations (Mobile et al., 2012). In this work, 253 both the  $\alpha$  and  $\beta$  parameters were set to zero to focus the uncertainty and data-worth analyses on 254 the spatially-variable parameters  $k_{i,0}$  and  $C_0$ . Adjusting  $C_0$  parameters allowed to estimate the 255 initial mass ( $M_0^N$  [M]) of the entire NAPL mixture and quantify its uncertainty, as SEAM3D 256 generates an output of remaining NAPL mass in the source zone every time step using Equation 257 4, by adding the mass of all grid blocks where a  $Co^N$  parameter value was assigned: 258

$$M_0^N = \sum_{Zone \ 1}^{Zone \ 23} V^N \times C_0^N \times \rho_b \tag{4}$$

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where  $V^{N}$  [L<sup>3</sup>] = NAPL zone volume. The source zone was represented with 23 NAPL zones 261 (Figures S1 and S2) positioned upgradient of MLS ports which showed contaminant breakthrough, 262 suggesting the upgradient presence of NAPL mass (Figures 2, 3, and 4). Each NAPL zone was 263 comprised of 25 grid blocks (Figures S1 and S2) and was assigned one pair of adjustable 264 parameters,  $k_0^N$  and  $C_0^N$ , representing uniform mass distribution and dissolution within each NAPL 265 266 zone. The areal dimensions of all NAPL zones were designed as 0.5 m x 0.5 m on the horizontal plane representing the horizontal spacing of MLS ports, whereas vertical layers of 0.1 m 267 represented the vertical spacing between ports. The location of NAPL zones was determined by 268 contaminant travel times analyzed from MLS breakthrough data. Overall, the source distribution 269 in the model encompassed the observed post-excavation footprint (Figures S1 and S2), which 270 likely developed through vertical and downward NAPL migration throughout the experiment 271 (Broholm et al., 1999, 2005). 272

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#### 274 2.3. Parameter Estimation and Uncertainty Quantification

For each NAPL zone,  $C_0^N$  and  $k_0^N$  were simultaneously estimated from monitoring data. In 276 addition, two global  $k_0^N$  multipliers to identify compound-specific mass transfer coefficients for 277 TCE and PCE ( $k_{TCE,0}^N$  and  $k_{PCE,0}^N$ ) were estimated as multipliers of  $k_{TCM,0}^N$  for a total of 48 278 adjustable source zone parameters. History-matching targets included 1,556 measurements of 279 dissolved TCM, TCE, and PCE concentrations monitored at the MLS transect (Figure 1), out of 280 4,770 measurements comprising the entire MLS dataset. The 1,566 MLS targets corresponded to 281 23 ports including concentration measurements through 130 days (Figures 2, 3, and 4), when 282 extraction well redevelopment abruptly increased the water table by 1 m causing significant data 283 noise through 220 days (Broholm et al., 1999; Mobile et al., 2012). These MLS targets were 284 grouped by sampling port and a weight of 1 was assigned to each aqueous-phase concentration 285 measurement within each port. Additional constraints included 78 measurements of mass 286 discharge rates monitored at extraction wells for 220 days (before methanol remediation was 287 implemented). Mass discharge measurements were grouped by contaminant (i.e., three MD 288 groups) and assigned uniform weights, balancing the initial error contribution of each group to the 289 objective function ( $\Phi$ ). Specifically, individual measurements of MD were assigned a weight of 290 250 within the TCM and TCE MD groups, whereas individual PCE MD measurements were 291

assigned a weight value of 500. This weighting strategy was designed to balance the visibility of MD groups with MLS ports for  $\Phi$  minimization.

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An initial parameter estimation with PEST\_HP (Doherty, 2020), which uses a parallelizable gradient-based optimization process, only included the MLS targets. All  $k_0^N$  and  $C_0^N$  parameters were log-transformed to facilitate the nonlinear optimization process. Bounds for  $C_0^N$  parameters were designed as a function of initial NAPL saturation ( $S_0^N$  [%]) of the pore space calculated as:

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where  $\rho_N$  [M L<sup>-3</sup>] is the NAPL density and  $\theta$  is the soil porosity. Bounds for  $C\sigma^N$  parameters were set as  $0.05 < S\sigma^N$  (%) < 25 for most NAPL zones, and as  $0.05 < S\sigma^N$  (%) < 5 for NAPL zones below layer 10 and in layer 4. The prior (pre-history matching) NAPL mass value was set as ~120 % greater than the known initial mass (7.7 kg). This prior value was established to evaluate whether initial history-matching of MLS data with PEST\_HP could result in a total NAPL mass estimate close to the known value. Bounds for all  $k\sigma^N$  ( $k\sigma_{TCM}^N$ ) parameters were set as  $0.01 < k\sigma^N$  (d<sup>-1</sup>) < 7.5 following an order-of-magnitude range obtained through a simplified mass transfer correlation defined in Frind et al. (1999), where the dissolution of a large-scale DNAPL mixture in the Borden aquifer was simulated using a similar grid scale. Bounds for  $k\sigma^N$  compound-specific multipliers were kept consistent with ratios determined by Mobile et al. (2012) as  $0.95 < k_{0,TCE}^N < 1$  and  $0.8 < k\sigma_{PCE}^N < 0.95$ .

 $S_0^N = \frac{\rho_b C_0^N}{\rho_N \theta}$ 

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The posterior uncertainty of NAPL mass was quantified with the iterative ensemble smoother 313 PESTPP-iES (White et al., 2020). PESTPP-iES undertakes Monte-Carlo sampling of parameter 314 315 uncertainty bounds generating ensembles which are upgraded with the Gauss-Levenberg-Marquardt (GLM) optimization algorithm. Rather than fitting simulation results to data, PESTPP-316 iES can generate observation ensembles considering a multi-gaussian distribution of measurement 317 noise ( $\epsilon$ ) (White, 2018). Here,  $\sigma_{\epsilon}$  was defined as 5% of measured values. This stochastic approach 318 was used for history-matching of (i) MLS data only, and (ii) both MLS and MD data, quantifying 319 the posterior uncertainty of parameters ( $C_0^N$ ,  $k_0^N$ ) and predictions ( $M_0^N$ ). In the following sections, 320 321 Model A = optimized with PEST HP using MLS data only, Model B = optimized with PESTPPiES using MLS data only, and Model C = optimized with PESTPP-iES combining MLS and MD 322 323 data.

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Parameter bounds were used to define 95% confidence intervals of multi-gaussian prior probability 325 distributions (PDF) of model parameters, assuming statistically-uncorrelated NAPL zones. The 326 upper  $C_0^N$  bounds for NAPL zones were reduced from 25% to 15%  $S_0^N$  and initial parameter values 327 were set from PEST HP results. Prior to parameter upgrading, PESTPP-iES undertakes a prior-328 329 based Monte Carlo analysis to detect "prior-data conflicts" (PDC), which are measurements that cannot be simulated with the structural and parametrization design of the model (White et al., 330 331 2020, 2021). All PDCs flagged by PESTPP-iES were removed to eliminate history-matching induced bias, which would otherwise produce erroneous parameter values compensating for model 332 defects (Doherty, 2015). Moreover, PESTPP-iES tracks the evolution of a "base realization" 333 334 during the optimization process, corresponding to the initial parameter realization upgraded

(5)

without  $\varepsilon$  ensembles. Estimates of NAPL mass removed by natural dissolution produced by Model A were compared to estimates generated with the posterior base realization of Models B and C.

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#### 338 2.4. Data-Worth Analysis

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First-order second-moment (FOSM) analysis was used to evaluate data worth for reducing the
uncertainty of model parameters and predictions through history-matching. The GENLINPRED
and PREDUNC utilities from the PEST software suite (Watermark Numerical Computing, 2018)
were used for FOSM analyses. The primary assumption in FOSM analysis is model linearization
expressed as:

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 $\mathbf{h} = \mathbf{Z}\mathbf{k} + \boldsymbol{\varepsilon} \tag{6}$ 

which states that a vector of measurements of system state **h** (aqueous-phase concentrations) equals the action of the model **Z** (Jacobian sensitivity matrix weighted by  $\sigma_{\epsilon}^{-1}$ ) on a vector of parameters **k** plus a vector of measurement noise  $\epsilon$  (Doherty, 2015). In this case,  $\sigma_{\epsilon}^{-1}$  was calculated on the basis of misfit between measurements and model outputs using the PEST-based utility PWTADJ2 (Watermark Numerical Computing, 2018) after history-matching. GENLINPRED and PREDUNC calculate the posterior uncertainty variance of model parameters through covariance propagation:

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$$C'(\mathbf{k}) = C(\mathbf{k}) - C(\mathbf{k})\mathbf{Z}^{\mathsf{t}}[\mathbf{Z}C(\mathbf{k})\mathbf{Z}^{\mathsf{t}} + C(\boldsymbol{\varepsilon})]^{-1}\mathbf{Z}C(\mathbf{k})$$
(7)

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where the posterior covariance matrix  $C'(\mathbf{k})$  is obtained through history-matching (Doherty, 2015). In this case, the prior covariance matrix  $C(\mathbf{k})$  is diagonal with no spatial correlations between NAPL zones. The estimated initial NAPL mass, a SEAM3D output, was treated as a linearized model prediction:

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$$s = \mathbf{y}^{\mathbf{t}}\mathbf{k} \tag{8}$$

where y is a vector of sensitivities of s with respect to k. The prior  $(\sigma_s^2)$  and posterior  $(\sigma'_s^2)$ uncertainty variances of s were calculated as:

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$$\sigma_s^2 = \mathbf{y}^{\mathsf{t}} \mathsf{C}(\mathbf{k}) \mathbf{y} \tag{9}$$

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$$\sigma_s^{\prime 2} = \mathbf{y}^{\mathbf{t}} \mathbf{C}^{\prime}(\mathbf{k}) \mathbf{y} \tag{10}$$

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The worth of individual MLS ports for reducing prior parameter uncertainties was calculated with model A, whereas the worth of compound-specific MLS and MD datasets was calculated with the posterior base realization of model C. Model C was also used to quantify the worth of individual MLS and MD measurements and to quantify the relative uncertainty variance reduction (RUVR) of each parameter (*i*), defined as:

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$$RUVR_i = 1 - \frac{{\sigma'}_i^2}{\sigma_i^2} \tag{11}$$

where  $\sigma_t^2$  are prior parameter variances encapsulated in C(**k**) and  $\sigma'_t^2$  are posterior parameter variances extracted from C'(**k**) (Doherty, 2015).

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#### 377 3. Results and Discussion

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# 3.1. Parameter Estimation and Uncertainty Quantification

A comparison of estimated NAPL mass and  $k_0^N$  multipliers is presented in Table 1. In general, the 381 known NAPL mass (7.7 Kg) was underestimated (6.4 - 7.2 Kg) when history-matching to MLS 382 data only, particularly with gradient-based optimization (PEST HP). An improvement in the 383 accuracy of mass estimation with PESTPP-iES was achieved through the removal of 206 PDC 384 values flagged by PESTPP-iES (Figures 2, 3, and 4) comprising 13% of the MLS constraints. 385 Likewise, 10 PDCs (Figure 5) representing 13% of the MD dataset were also flagged by PESTPP-386 iES and removed for history-matching. Including the MD constraints resulted in an excellent 387 agreement with the known initial source mass, which was encompassed by 95% confidence limits 388 (Table 1). Prior-based Monte Carlo results suggested that emphasizing early peak concentrations 389 for history-matching can result in underestimation of NAPL mass and overestimation (bias) of  $k_0^N$ 390 values, leading to underestimation of source dissipation timeframes. In this case, removing PDC 391 values, rather than modifying the model design, was sufficient to accurately estimate NAPL mass 392 and constrain mass transfer coefficients. 393

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**Table 1.** Model-estimated DNAPL mass and  $k_0^N$  multipliers.

Daramatar/Dradiation	PEST_HP	PESTPP-iES	PESTPP-iES
rarameter/rieuttion	(A: MLS)	(B: MLS)	(C: MLS and MD)
Magg (Vg)	6 267	$\mu = 7.187$	$\mu = 7.626$
Wass (Kg)	0.307	$\sigma = 0.120$	$\sigma = 0.110$
$k_{0,TCE}^{N}(d^{-1})$	1.00	$\mu = 0.99$	$\mu = 0.99$
	1.00	$\sigma = 0.006$	$\sigma = 0.005$
$k_{0,PCE}^{N}(d^{-1})$	0.05	$\mu = 0.93$	$\mu = 0.88$
	0.95	$\sigma = 0.018$	$\sigma = 0.017$

 $\mu$  = mean estimated value.  $\sigma$  = standard deviation of estimated parameters ( $k_0^N$ ) and predictions (Mass).

#### 396

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**Figure 2.** Comparison of measured (circles) and simulated (lines) aqueous-phase TCM concentrations at the MLS fence. Simulation results correspond to the posterior base realization of model C, including MLS and MD constraints. Empty circles correspond to concentrations ignored for history-matching because of significant measurement noise induced by water table fluctuations after 130 days of monitoring. The dashed lines correspond to simulated values beyond 130 days, informed by MD data exclusively. Black-filled circles are prior-data conflicts removed from the history-matching process to avoid parameter bias.





409 Figure 3. Comparison of measured (circles) and simulated (lines) aqueous-phase TCE concentrations at the MLS fence. Simulation results correspond to the posterior base realization of model C, including MLS and MD constraints. Empty circles correspond to concentrations ignored for history-matching because of significant measurement noise induced by water table fluctuations after 130 days of monitoring. The dashed lines correspond to simulated values beyond 130 days, informed by MD data exclusively. Red-filled circles are prior-data conflicts removed from the history-matching process to avoid parameter bias.



420 421

Figure 4. Comparison of measured (circles) and simulated (lines) aqueous-phase PCE concentrations at the MLS fence. Simulation results correspond to the posterior base realization of model C, including MLS and MD constraints. Empty circles correspond to concentrations ignored for history-matching because of significant measurement noise induced by water table fluctuations after 130 days of monitoring. The dashed lines correspond to simulated values beyond 130 days, informed by MD data exclusively. Green-filled circles are prior-data conflicts removed from the history-matching process to avoid parameter bias.

Prior-data conflicts pertaining to each dissolved NAPL component were detected at similar
 locations along the MLS and MD profiles (Figures 2 through 5). Most PDCs corresponded to initial

- TCM concentration peaks, some were detected along TCE breakthrough, and a few before PCE
- 431 breakthrough. This may have been associated to propagation rates of component-specific mass

432 transfer zones in the NAPL as the source architecture developed. In theory, the dissolution process 433 of NAPL mixtures has been described as a chromatographic process, where component-specific mass transfer zones propagate at different velocities through NAPL accumulations as a function 434 435 of their local solubilities and their length along the principal flow direction (Geller & Hunt, 1993; Soerens et al., 1998). In practice, the numerical discretization of NAPL zones along the flow 436 direction may influence the estimation of  $k_0^N$  values, as grid-scale concentration gradients 437 (Equation 1) would also regulate the sequential mass transfer process for any prescribed q (Darcy 438 velocity) and  $\alpha_{L,T}$  (dispersivity) values (Falta, 2003; Frind et al., 1999; Hunt & Sitar, 1988). In this 439 work, the unknown source zone architecture along the flow direction may have placed additional 440 uncertainties on q,  $\alpha_{L,T}$ , and  $k_{i,0}$  parameters, which regulate overall NAPL mass transfer at the grid 441 scale (Rivett & Feenstra, 2005). 442





447 Table 1 indicates that both  $k_{i,0}$ <sup>N</sup> multipliers estimated with Model A showed a tendency to reach their upper bounds. Only the values of  $k_{PCE,0}$  estimated with PESTPP-iES were constrained within 448 449 their prior uncertainty bounds (Table 1). In addition to the uncertain effects of grid scale on potential parameter bias, multistage NAPL dissolution below the MLS scale may have impacted 450 posterior results. For example, inspecting the TCM signature of ports 506 and 507 in Figure 2 451 suggested two slopes of declining concentrations before 130 days. An initially steep slope between 452 the concentration peaks through day 50, followed by a more gradual slope through day 130, 453 suggested heterogeneity of NAPL architecture and dissolution below the MLS scale. Despite 454 removing PDCs, sub-grid-scale multistage NAPL dissolution cannot be adequately simulated with 455 a single parameter set ( $C_0^N$ ,  $k_0^N$ ) per NAPL zone. This explained why model A produced lower 456 mass estimates with an increased propensity for biasing (overestimating)  $k_{TCM,0}$  parameters (e.g., 457 port 608 in Table 2), whereas model B (PDC targets removed) produced  $k_{TCM,0}$  values consistent 458 with model C (Table 2). Although these results suggested that a dual-domain approach may have 459 better captured TCM profiles at MLS ports, removing PDCs to estimate a single-domain 460 parameters accurately constrained NAPL mass and a consistent range of  $k_{0,TCM}$  values. 461

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Table 2. Distribution of estimated DNAPL mass and mass transfer coefficients

NAPL	MLS	$k_T$	$CM, 0^N$ (day)	<sup>-1</sup> )	NAI	PL Mass (	(Kg)
Zone	Port	А	В	С	А	В	С
4	404	0.037	0.041	0.041	0.14	0.14	0.15
5.1	605	0.038	0.029	0.037	0.02	0.02	0.01
5.2	505	0.102	0.104	0.106	0.59	0.59	0.64
5.3	405	0.050	0.061	0.067	0.12	0.13	0.13
6.1	606	0.174	0.171	0.175	1.23	1.63	1.79
6.2	506	0.252	0.226	0.236	1.16	1.32	1.43
6.3	406	0.147	0.138	0.131	0.10	0.11	0.13
7.1	607	0.735	0.282	0.303	0.79	0.93	0.96
7.2	507	0.183	0.231	0.251	0.79	0.81	0.85
7.3	407	0.303	0.255	0.245	0.11	0.11	0.11
8.1	608	3.259	0.220	0.202	0.33	0.35	0.36
8.2	508	0.227	0.254	0.204	0.15	0.17	0.20
8.3	408	0.108	0.115	0.082	0.07	0.07	0.09
9.1	609	0.385	0.019	0.048	0.00	0.00	0.00
9.2	509	0.248	0.196	0.216	0.29	0.30	0.31
9.3	409	0.314	0.184	0.171	0.35	0.38	0.40
9.4	309	0.010	0.010	0.066	0.01	0.00	0.00
10.1	510	0.024	0.033	0.016	0.03	0.02	0.04
10.2	410	0.015	0.068	0.534	0.01	0.01	0.01
10.3	310	0.010	0.015	0.049	0.01	0.00	0.00
11.1	411	0.056	0.097	0.081	0.02	0.03	0.02
11.2	311	0.010	0.017	0.084	0.02	0.01	0.00
12	412	0.077	0.109	0.083	0.02	0.03	0.02
	Total N	APL Mas	s (Kg)		6.4	7.2	7.6

A (PEST\_HP, MLS only), B (PESTPP-iES, MLS only), C (PESTPP-iES, MLS and MD)

Long-term projections of MD using the posterior base realization of Model C (Table 2) are 466 compared to the model calibrated by Mobile et al. (2012) in Figure 6. In both cases, multistage 467 468 dissolution profiles emerged from the spatial distribution of NAPL mass and dissolution rates, as MD projections were produced with  $\beta = 0$  (Equation 3). The mass transfer rates shown in Table 2 469 encompassed the same order-of-magnitude range reported in Mobile et al. (2012), although the 470 values determined in this study were lower by  $\sim 50\%$  on average, reflecting the grid-scale 471 dependence of estimated  $k_0^N$  values. Specifically, the grid-block size in Mobile et al. (2012) of 500 472 cm<sup>3</sup> was also 50% smaller than the 1000 cm<sup>3</sup> scale used in this study. Furthermore, stochastic 473 model optimizations with more adjustable NAPL zones than available MLS ports, using 5-cm 474 thick layers, resulted in mass overestimation by orders of magnitude and inconsistent  $k_0^N$  and  $C_0^N$ 475 distributions (results not shown). 476

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#### 478 3.2. FOSM-Analysis Results

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The worth of monitoring datasets for reducing prior uncertainty variance of NAPL mass is shown 480 in Figure 7. This figure highlights the importance of TCM data for constraining NAPL mass in 481 contrast to the negligible worth in the monitoring profiles of other dissolved components. 482 Likewise, Figure 7 shows the increase in data worth for reducing prior uncertainty of NAPL mass 483 by removing PDCs. Although the short-term TCM MLS signatures (<130 days) alone constrained 484 the prior uncertainty of NAPL mass by ~100%, adding the complete TCM MD signature (220 485 486 days) improved mass estimates by spreading  $\varepsilon$  induced by water table fluctuations across all NAPL zones. Furthermore, Table 3 indicates a decrease in the worth of MLS ports commensurate with 487 the  $S_0^N$  of their corresponding upgradient NAPL zones. This apparent correlation reflected the 488 similarity between the TCM dissolution profile of each MLS port and the TCM MD profile, 489 emphasizing the indirect value of multilevel monitoring for characterizing NAPL distribution and 490 reducing the uncertainty of source depletion rates. 491 492



494 Figure 6. Comparisons of long-term projections of MD profiles generated with the base parameter realization of model C (continuous lines) and the modeling results of Mobile et al. (2012) (dashed lines). All projections were generated with  $\beta = 0$ . In both cases, multistage behavior of NAPL depletion emerged from the NAPL architecture, which was constrained by the known mass and the post-experiment source footprint in Mobile et al. (2012). Small differences in long-term projections of source depletion emphasized the importance of constraining the source mass.



Figure 7. Percent worth of monitoring datasets for reducing the prior uncertainty of initial source mass.

NAPL	MLS	Prior Uncertainty	$S_0^N$ (%)	$S_0^N$ (%)	$S_0^N$ (%)
Zone	Port	Variance Decrease	А	В	С
6.1	606	54.0%	9.7%	12.8%	14.0%
6.2	506	42.6%	9.1%	10.4%	11.2%
7.2	507	19.0%	6.2%	6.4%	6.7%
7.1	607	15.7%	6.2%	7.3%	7.5%
5.2	505	5.1%	4.6%	4.6%	5.1%
8.1	608	4.0%	2.6%	2.8%	2.8%
6.3	406	3.2%	0.8%	0.9%	1.0%
7.3	407	2.7%	0.9%	0.9%	0.9%
8.2	508	2.6%	1.2%	1.3%	1.6%
9.3	409	2.4%	2.8%	3.0%	3.1%
9.2	509	1.8%	2.3%	2.4%	2.4%
5.1	605	1.5%	0.1%	0.1%	0.1%
9.1	609	0.7%	0.0%	0.0%	0.0%
9.4	309	0.6%	0.1%	0.0%	0.0%
5.3	405	0.6%	1.0%	1.0%	1.0%
8.3	408	0.3%	0.5%	0.5%	0.7%
10.2	410	0.0%	0.1%	0.1%	0.1%
10.1	510	0.0%	0.2%	0.2%	0.3%
12	412	0.0%	0.1%	0.3%	0.2%
11.1	411	0.0%	0.1%	0.2%	0.2%
11.2	311	0.0%	0.1%	0.1%	0.0%
10.3	310	0.0%	0.1%	0.0%	0.0%
4	404	0.0%	1.1%	1.1%	1.1%

**Table 3.** Distribution of  $S_0^N$  and worth of MLS ports for reducing prior uncertainty of NAPL mass.

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A (PEST HP, MLS only), B (PESTPP-iES, MLS only), C (PESTPP-iES, MLS and MD)

507 An example of the worth of individual MLS and MD measurements for reducing the prior 508 uncertainty of NAPL-zone parameters is presented in Figure 8. These results indicated opposite 509 trends in the worth of aqueous-phase concentrations for estimating  $Co^N$  and  $ko^N$ . Maximum

concentrations constrained  $k_0^N$  parameters, while declining concentrations constrained  $C_0^N$ 510 511 parameters accounting for NAPL mass. This explained why only TCM data significantly reduced the prior uncertainty of NAPL mass, as all MLS ports showed declining TCM concentrations 512 513 (Figure 2). Similarly, ~30% (with PDCs) and ~40% (without PDCs) reductions in the prior uncertainty of NAPL mass by TCE MLS data (Figure 7) was attributed to MLS ports with 514 approximately more than 100 g of NAPL mass (e.g., port 508 in Table 2 and Figure 3) and 515 declining TCE concentrations. Conversely, Table 4 indicates parameters with a low RUVR 516 corresponding to deeper NAPL zones accounting for less than 1% of total NAPL mass. The low 517 RUVR values in Table 4 were also caused by narrower prior uncertainty bounds compared to those 518 of other NAPL-zone parameters. As shown in Figure 8, NAPL zones harboring most of the NAPL 519 mass (e.g., 6.1 and 6.2 in Table 3) also benefited from additional  $C_0^N$  uncertainty reductions by 520 TCM MD data, highlighting the contribution of those zones to the overall source depletion rates. 521

522 523

**Table 4.** Source zone parameters with lower than 80% prior uncertainty variance reduction.

MLS	NAPL	RUVR (w	vith PDC)	RUVR (w	ithout PDC)
Port	Zone	$C_0{}^N$	$k_{TCM,0}^N$	$C_0{}^N$	$k_{TCM,0}^{N}$
605	5.1	65%	> 80%	79%	> 80%
609	9.1	11%	13%	18%	22%
309	9.4	11%	5%	17%	8%
510	10.1	50%	> 80%	67%	> 80%
410	10.2	> 80%	17%	> 80%	18%
310	10.3	12%	15%	18%	15%
311	11.2	7%	1%	28%	1%
NA	$k_{TCE,0}^N$	NA	5%	NA	8%
NA	$k_{PCF} o^N$	NA	18%	NA	30%



**526 Days Days 527 Figure 8.** Percent worth of individual aqueous-phase concentrations (MLS port 606 and TCM MD) for reducing the 528 prior uncertainty variance of  $C_0^N$  and  $k_{TCM,0}^N$  of NAPL zone 6.1. Although the same trend of added value by individual 529 measurements was determined for all ports, only NAPL zones containing most of the source mass benefited from 530 additional uncertainty reductions by TCM MD data. In turn, the correspondence between the TCM MD profile with 531 individual MLS ports emphasized the value of multilevel monitoring for estimating NAPL architecture. 532

Except for parameters listed in Table 4, history-matching reduced the prior uncertainty of all 533 NAPL parameters by up to 100%. In contrast to  $C_0^N$  parameters constrained by TCM data 534 exclusively, maximum TCE and PCE concentrations also constrained k0,TCM<sup>N</sup> parameters (Figure 535 7). However, as indicated in Table 4, the prior uncertainty of  $k_{0,TCE}^N$  and  $k_{0,PCE}^N$  (global  $k_{0,TCM}^N$ 536 multipliers) was not reduced, partially because of their narrow prior uncertainty bounds. Yet the 537 small RUVR of these mass transfer parameters was driven by their corresponding MLS datasets 538 (results not shown). Moreover, while the mean values of both multipliers (Table 1) were in close 539 agreement with those estimated by Mobile et al. (2012), as  $k_{TCE,0} = 0.96$  and  $k_{0,PCE} = 0.85$ , FOSM 540 analysis with Models A, B, and C suggested that  $k_{TCE,0}$  could take a value greater than 1, which 541 would not be consistent with previous findings (Mobile et al. 2012) or with mass transfer 542 correlations with component diffusivities (Imhoff et al., 1993; Powers et al., 1992, 1994). As 543 previously discussed, possible explanations for remaining uncertainties on  $k_{TCE,0}$  and  $k_{PCE,0}$  could 544 include sub-grid-scale NAPL dissolution behavior, noticeable primarily in TCM MLS data, and/or 545 the influence of grid scale on concentration gradients and  $\alpha_{L,T}$  (transverse and longitudinal). 546 Transverse dispersion has been shown to regulate mass transfer rates from DNAPL pools (Hunt & 547 Sitar, 1988, Stewart et al., 2021), requiring an ultrafine grid scale for accurate numerical 548 simulations of DNAPL dissolution (Falta, 2003). 549

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#### 551 **3.3. Analysis of DNAPL Mass Depletion**

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Table 5 presents mass balance results of NAPL mass removed by natural dissolution calculated using all models. The percent reductions of initial mass were calculated using the known initial 555 composition values. Despite differences in the estimated source zone architectures, Model C 556 produced nearly identical results as Mobile et al. (2012), emphasizing the importance of constraining NAPL mass for estimating source depletion rates. Conversely, Model A resulted in a 557 558 40% reduction of initial NAPL mass, almost doubling Model C results. Model C also indicated a source persistence at the end of the natural dissolution period  $\sim 4$  and  $\sim 2$  times lower than indicated 559 560 by Models A and B, respectively, using PCE as reference. These results reflected the advantage of implementing prior Monte Carlo analyses to understand model deficiencies in relation to the 561 observed system behavior. Additionally, Table 2 indicates the amount of NAPL mass eliminated 562 by methanol remediation, calculated by subtracting the NAPL mass remaining in the soil estimated 563 by Broholm et al. (1999) after conclusion of the experiment, from the remaining mass after 220 564 days estimated with Model C. Differences in the methanol calculations were linked to post-565 experiment mass estimated by Broholm et al. (1999) from  $C_i^N$  values assuming different  $S^N$  values. 566 The obvious impact that such differences would have on remedial designs at hazardous waste sites 567 highlighted difficulties in measuring  $S^N$  directly, even by soil confirmatory sampling. These results 568 suggested value in the indirect source characterization method undertaken in this study to estimate 569 570 and reduce the uncertainty of site-specific mass-transfer parameters, which is critical for effective,

- 571 risk-based remedial optimizations.
- 572 573

	Table	5.	Mass	of NAPL	removed.
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Data	NA	PL Mass	Removed	(kg)	Initial 1	NAPL Ma	ass Reduc	tion (%)
Source	TCM	TCE	PCE	Total	TCM	TCE	PCE	Total
Initial (injected)	0.74	2.92	4.04	7.70	0	0	0	0
Model A	0.67	1.46	0.97	3.10	91	50	24	40
Model B	0.63	1.10	0.55	2.28	85	38	14	30
Model C	0.61	0.92	0.25	1.78	82	32	6	23
Mobile et al. (2012)	0.59	0.91	0.24	1.74	80	31	6	23
Methanol flush <sup>(1)</sup>	0.13	1.47	1.88	3.49	17	50	47	45
Methanol flush (2)	0.13	1.18	0.80	2.11	17	40	20	27

574 Mass removed by methanol flushing was calculated by subtracting post-experimental NAPL mass remaining in soil 575 estimated by Broholm et al. (1999) with  $C_i^N$  values assuming <sup>(1)</sup> a homogeneous 3.6%  $S^N$  in all excavation layers 576 (Figure S1) and <sup>(2)</sup> assuming 20%  $S^N$  in excavation layer 2 (where a DNAPL pool was observed), from the remaining 577 NAPL mass on day 220 estimated with the posterior base realization of Model C. The percent reductions of initial 578 NAPL mass were calculated with respect to the known initial composition of the mixture. 579

#### 580 **4. Conclusions**

581

This study demonstrated the worth of high-resolution monitoring and inverse numerical modeling 582 583 for characterizing a DNAPL source zone. The accuracy of estimated NAPL mass was tied to the depleting signature of MLS and MD aqueous-phase concentrations of the most soluble NAPL 584 component and least by volume (TCM). At contaminated sites, decreasing concentrations may not 585 reflect final NAPL dissolution stages, which could bias estimated parameters and long-term 586 587 projections of source depletion. The impact of multiscale heterogeneity of NAPL architecture and dissolution on the uncertainty of model parameters was investigated with prior-based Monte Carlo 588 analyses, where PDCs highlighted model limitations for representing sub-grid-scale mass transfer 589 processes. Hence, multiscale heterogeneity of NAPL architecture and dissolution not captured in 590 591 available monitoring profiles could limit model confidence for remedial-decision making at sites with large and architecturally complex source zones. These situations may benefit from the field 592

test proposed by Mobile et al. (2016) to determine mass transfer rate coefficients in situ. This test would induce breakthrough of nonequilibrium concentrations through forced hydraulic gradients and flushing in the source zone, generating monitoring profiles suitable for the inverse modeling techniques applied in this study.

597

598 Breakthrough data collected from the mass transfer test described in Mobile et al. (2016) would also be useful for allocating grid-scale NAPL zones, similar to the model parameterization guided 599 by MLS data in this study. In contrast to the simplified aquifer parameters in this work, spatially-600 correlated hydraulic and transport properties can be characterized by geostatistical methods 601 coupled with numerical modeling for management support of source zones in heterogeneous 602 aquifers. Also, high-resolution NAPL delineation with MIP and LIF tools may further reduce 603 uncertainties on source architecture, including residual saturations estimated with inverse 604 numerical modeling. Furthermore, sites where natural attenuation mechanisms are significant may 605 benefit from several monitoring transects along the flow direction to distinguish attenuation 606 capacity from NAPL dissolution rates. 607

608

609 Uncertainty analyses confirmed an inability to estimate the  $\beta$  depletion exponent for any NAPL zone despite declining TCM concentrations measured at all MLS ports. Thus, predictive 610 timeframes of source mass depletion should include a variability range for  $\beta$  parameters with 611 612 ensemble realizations, representing the transient nature of NAPL mass transfer rates in a stochastic manner. Several advantages of parameter optimization and uncertainty quantification with model 613 ensembles were also demonstrated in this investigation. For example, while single parameter sets 614 per each NAPL zone could not represent sub-grid-scale multistage dissolution profiles, removing 615 early-stage TCM peak concentrations reduced the propensity for biasing mass transfer rates and 616 improved the accuracy of NAPL mass estimation. Accuracy of mass estimation was also attained 617 through parameter parsimony, as estimating NAPL-zone parameters without directly upgradient 618 MLS ports produced inconsistent and erroneous results. The importance of accurately constraining 619 the source mass was emphasized in a similar projection of source depletion compared to Mobile 620 et al. (2012), where multistage NAPL dissolution behavior in both models emerged from MLS 621 constraints. 622

623

624 Prior-based Monte Carlo and FOSM analyses suggested that simulating interphase mass transfer from NAPL mixtures may be influenced by grid scale, despite incorporating adjustable compound-625 specific mass transfer rate coefficients. Specifically, FOSM results indicated no prior uncertainty 626 reductions on the global multipliers of mass transfer rate coefficients, while  $k_{TCE,0}$  showed a 627 tendency to exceed its upper uncertainty limit in all models, potentially biasing source dissipation 628 timeframes. Although these results did not prevent a reasonable estimation of initial source mass 629 and consistent ranges of mass transfer rates, further research is required to investigate the impact 630 of grid scale on dispersivity and mass transfer rate coefficients describing multicomponent NAPL 631 dissolution of source zones with heterogeneous architectures (i.e., comprised by ganglia- and pool-632 dominated accumulations of NAPL mass). As demonstrated, combining mass discharge/flux rates 633 with high-resolution monitoring can improve history-matching of noisy data, where ensemble-634 based parameter estimation considering measurement noise can reduce parameter bias without 635 resorting to a more complex simulation of multiple subsurface processes, supporting the indirect 636 characterization of NAPL source zones. 637

#### 639 Acknowledgements

640

This study was partially supported by the Environmental Security Technology Certification
 Program (ESTCP) under Project ER19-5223. The content of this manuscript has not been subject
 to agency review and does not necessarily represent the view of the sponsoring agency.

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# 645 Data Availability Statement

646

The Groundwater Modeling System (GMS) software hosting the MODFLOW2000 and SEAM3D 647 programs used this study available through 648 in is Aquaveo at https://www.aquaveo.com/software/gms-groundwater-modeling-system-introduction. 649 The PWTADJ2, PREDUNC, GENLINPRED, and PEST HP 650 programs are available at https://pesthomepage.org/programs. PESTPP-iES software available 651 The is at https://www.usgs.gov/software/pest-software-suite-parameter-estimation-uncertainty-analysis-652

653 <u>management-optimization-and</u> (version 5.1.6 was used and the source code is available on 654 <u>https://github.com/usgs/pestpp/releases/tag/5.1.6</u>). Figures 2 through 4 were produced with the 655 Matplotlib (<u>https://matplotlib.org/</u>) version 3.5.1 and Seaborn (<u>https://seaborn.pydata.org/</u>) version 656 0.11.2 libraries using the Python programming language. Aqueous-phase concentration data will 657 be archived in an online repository maintained by Virginia Tech with a unique DOI number.

658

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- 13 Key Points
- 14
- Aqueous-phase concentrations monitored in a field experiment were simulated to quantify
   NAPL distribution and dissolution rates
- Depletion profiles of the most soluble DNAPL component accurately constrained the source
   zone architecture
- Multiscale heterogeneity of source zone architecture controlled the uncertainty of estimated
   mass transfer coefficients

#### 22 Abstract

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A numerical solute transport model was history matched to a high-resolution monitoring dataset 24 to characterize a multicomponent source of nonaqueous phase liquids (NAPLs) and evaluate the 25 uncertainty of estimated parameters. The dissolution of NAPL mass was simulated using the 26 27 SEAM3D solute transport model with spatially-varying NAPL saturations and mass transfer rate coefficients, representing the heterogenous architecture of the source zone. Source zone 28 29 parameters were simultaneously estimated using PEST from aqueous-phase concentrations measured in a multilevel monitoring transect and from mass recovery rates measured at extraction 30 31 wells during a controlled field experiment. Data-worth analyses, facilitated by PEST ancillary software, linked maximum aqueous-phase concentrations of all compounds to reductions in prior 32 uncertainty of mass transfer coefficients. In turn, transient concentrations of the most soluble 33 NAPL fraction constrained the source mass estimation. Accurately estimating the source mass and 34 35 reducing prior uncertainties was possible by removing concentrations measured during early NAPL dissolution stages, identified as prior-data conflicts using the iterative ensemble smoother 36 37 PESTPP-iES. Prior-based Monte Carlo analyses highlighted model limitations for representing sub-grid-scale heterogeneity of source zone architecture and NAPL dissolution, yet history-38 matching of final dissolution stages measured at multilevel ports eliminated parameter bias and 39 produced long-term projections of source depletion with multistage behavior. Including mass 40 41 discharge constraints further improved the accuracy of source mass estimation, complementing multilevel monitoring constraints on the source architecture and mass transfer coefficients. 42 43

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#### 47 Plain Language Summary

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Ouantifying dissipation timeframes of DNAPL source zones and contaminant discharge rates is 49 50 fundamental for environmental-management support. Both variables depend on the distribution of DNAPL mass (architecture) within the source zone, and cannot be quantified by direct observation 51 52 methods. We elucidated upon the worth of multilevel monitoring for characterizing the source zone architecture of a field experiment with inverse numerical modeling of contaminant transport 53 54 and DNAPL dissolution. Uncertainties on estimated DNAPL distribution and dissolution rates were primarily associated to variability in dissolved concentration trends at multiple scales. 55 Dissolved concentration peaks measured during early DNAPL dissolution stages were found 56 responsible for inducing model parameter and predictive errors. Yet the depleting signature of the 57 least soluble component accurately constrained the source zone architecture, combining mass 58 recovery rates with multilevel monitoring to reduce model uncertainties. Hence, our approach and 59 results have beneficial implications for management support of aged source zones undergoing final 60 depletion stages. 61

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#### 63 Index Terms and Keywords

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1831 Groundwater Quality, 1846 Model calibration (3333), 1873 Uncertainty quantification
(3275), 4314 Mathematical and computer modeling, 1815 Monitoring, forecasting, prediction
(4315)

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DNAPL source zone, numerical modeling, uncertainty quantification, DNAPL mass transfer,source zone architecture, contaminant mass discharge

#### 72 **1. Introduction**

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Remediation and long-term dissipation of contaminant source zones comprised of dense 74 75 nonaqueous phase liquids (DNAPLs) in the subsurface encompasses technical challenges related to uncertainty of DNAPL spatial distribution and dissolution rates (Kueper et al., 2014; Mayer & 76 Hassanizadeh, 2005; NRC, 2005). Entrapped DNAPL mass and saturation distributions in the 77 porous medium, referred to as the source zone "architecture", are key parameters controlling 78 source-zone longevity and depletion behavior (Dekker and Abriola, 2000; DiFilippo & Brusseau, 79 2008). Typical multistage and nonmonotonic depletion profiles observed in monitoring data reflect 80 the gradual dissolution of NAPL accumulations with characteristic saturations (Brusseau et al., 81 2013; Kokkinaki et al., 2014; Stewart et al., 2021). Accumulations of low-saturation ganglia 82 allowing for groundwater flow-through account for peaks of discharge concentrations at early 83 NAPL dissolution stages, whereas high-saturation pools with negligible hydraulic accessibility 84 account for dissolution tailing at the final stages of a source lifespan (Christ et al., 2010; Kueper 85 et al., 2014; Yang et al. 2018). Thus, estimating the depletion behavior and remedial timeframes 86 of a source zone requires knowledge on NAPL architecture, which is difficult to characterize with 87 direct observation methods (Engelmann et al., 2019), but can be estimated from field tests and 88 monitoring data using mathematical models of NAPL dissolution (Falta et al., 2005a, 2005b; 89 Stewart et al., 2021). 90

Numerical modeling methods coupling groundwater flow and contaminant transport with NAPL 92 93 dissolution have been used to estimate saturation distributions and mass transfer rate coefficients from monitoring data (Frind et al., 1999; Mobile et al., 2012; Saenton & illangasekare, 2004). 94 95 Researchers have focused on estimating NAPL architecture or depletion timeframes from synthetically-generated source zones and aqueous-phase concentrations using several 96 97 mathematical approaches to simulating mass transfer. Several studies considered either a local equilibrium assumption (LEA) or Gilland-Sherwood models of interphase mass transfer (Kang et 98 99 al., 2021a, 2021b; Marble et al., 2008; Saenton & Illangasekare, 2004). The applicability of LEA in decision-support models is questionable because heterogeneity of aquifer hydraulic properties 100 and DNAPL architecture can induce flow bypassing and mass transfer rate limitations, resulting 101 in nonequilibrium concentrations typically observed at field sites (Falta, 2003; Kokkinaki et al., 102 2013; Powers et al., 1992, 1994). Similarly, Gilland-Sherwood models rely on correlations 103 between empirical coefficients and soil particle sizes that were determined under specific bench-104 scale conditions, which may not be applicable to field-scale problems with different hydraulic 105 conditions (Powers et al., 1994; Saenton & Illangasekare, 2007). Additional uncertainties on LEA 106 and Gilland-Sherwood models include grid discretization requirements, as both approaches have 107 been validated with pore-scale experimental data (Agaoglu et al., 2015; Falta, 2003). 108

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Upscaled models have been developed to simulate NAPL dissolution kinetics over a representative 110 111 elementary volume (REV) incorporating source zone metrics (Christ et al., 2010; Marble et al., 2008; Parker & Park, 2004; Saenton & Illangasekare, 2007; Stewart et al., 2021; Zhu & Skyes, 112 2004). These metrics include NAPL mass and descriptions of source zone architecture in the form 113 of areal dimensions of NAPL accumulations or the ganglia-to-pool (GTP) mass ratio metric 114 (Abriola et al., 2013; DiFilippo & Brusseau, 2011). Because these metrics are difficult to measure 115 at contaminated sites, upscaled models incorporating a spatially-varying lumped-process mass 116 transfer coefficient have also been used to interpret monitoring data and predict source depletion 117 timeframes (Guo et al., 2020; Marble et al., 2008; Mobile et al., 2012; Park & Parker, 2005). These 118 models simplify the heterogeneity of porous media, aqueous-phase velocities, NAPL architecture, 119 and dispersivity, into a single lumped-process parameter at the REV scale (Falta, 2003; Imhoff et 120 al., 1993; Luciano et al., 2018). Although scale-dependent mass transfer rate coefficients may 121 simplify grid discretization requirements, the parameterization of NAPL source zones for inverse 122 numerical modeling and uncertainty quantification with spatially-correlated random parameter 123 fields is not straightforward (Arshadi et al., 2020; Kang et al., 2021a, 2021b; Kock & Nowak, 124 2015, 2016) 125

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Given that NAPL source zones have complex spatial morphologies with sharp saturation 127 transitions at fine scales, traditional interpolation and geostatistical methods used in groundwater 128 flow modeling may be not suitable for parameterizing NAPL source zones (Arshadi et al., 2020; 129 Kang et al., 2021a). Alternative methods proposed for parameterizing NAPL source zones include 130 deep learning algorithms trained with images of saturation distributions generated with multiphase 131 flow simulations on highly-resolved permeability fields (Arshadi et al., 2020; Kang et al., 2021a, 132 2021b), posing additional data requirements and uncertainties on porous media characteristics and 133 model parameters (Abriola, 1989; Agaoglu et al., 2015; Miller et al., 1998). Moreover, these 134 parameterization methods have been tested with synthetically-generated source zones to estimate 135 categories of NAPL saturations through inverse modeling conditioned by borehole data (Arshadi 136 et al., 2020), or by aqueous-phase concentrations under LEA (Kang et al., 2021a, 2021b). Although 137

these methods can generate physically-based, spatially-correlated categorical parameters, they are

- 139 computationally expensive and require further validation and verification with field data.
- 140

Numerical models with parsimoniously parameterized source zones have proved useful for 141 characterizing NAPL architecture and/or lumped-process mass transfer coefficients (Marble et al., 142 2008; Mobile et al., 2012; Saneton & Illangasekare, 2004). Moreover, combining multilevel 143 monitoring with recovery rates of contaminant mass (or with conventional monitoring wells) can 144 be valuable for characterizing heterogeneous NAPL architectures, as spatially-varying 145 contaminant fluxes may be mapped to soil horizons harboring NAPL mass within a source zone 146 (McMillan et al., 2018). Several studies have incorporated Gilland-Sherwood or upscaled mass 147 transfer functions in discretized NAPL zones or in dual-domain models to estimate grid-scale 148 parameters from multilevel monitoring data and/or mass discharge/flux measurements (Christ et 149 al., 2010; Falta, 2003; Frind et al., 1999; Guo et al., 2020; Mobile et al., 2012; Park & Parker 2005; 150 Saenton & Illangasekare, 2004). Although previous investigations have demonstrated the utility 151 of parameterization parsimony for characterizing NAPL source architecture and dissolution rates 152 with inverse modeling, the uncertainty of grid-scale parameters arising from the assimilation of 153 high-resolution monitoring data has not been investigated. 154

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A primary objective in this numerical modeling study was to evaluate the worth of aqueous-phase 156 157 concentrations monitored at a multilevel sampling (MLS) transect in combination with total mass discharge (MD) rates to quantify and reduce the uncertainty of the mass, architecture, and 158 dissolution rates of a multicomponent DNAPL source. The field experiment considered in this 159 study involved the creation a small-scale source zone with a heterogeneous architecture at the 160 Borden experimental site (Broholm et al., 1999). Broholm et al. (2005) quantified the initial mass 161 of the DNAPL mixture using multicomponent NAPL dissolution theory and mass balance 162 analyses. Mobile et al. (2012) estimated the source architecture and dissolution rates from MD 163 profiles and from a single, incomplete MLS nest, using an inverse modeling technique. They 164 constrained the initial NAPL mass by the known amount and the source zone dimensions by the 165 measured post-experimental footprint (Mobile et al., 2012). Our study expanded on both previous 166 analyses by incorporating the entire MLS transect to infer the source footprint and to quantify 167 initial NAPL mass, saturation distribution, and mass-transfer rate coefficients, while examining 168 causality of parameter uncertainty. A secondary objective was to investigate model limitations for 169 reproducing the observed system behavior, further elucidating upon monitoring data assimilation 170 for source zone characterization with inverse numerical modeling, while minimizing the 171 propensity for biasing timeframes of source zone persistence. 172

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# 174 2. Materials and Methods

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# 176 2.1. Overview of Field Experiment of Multicomponent DNAPL Dissolution

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This study incorporated a dataset documenting the dissolution of a DNAPL mixture in a field experiment (Broholm et al., 1999). At the Borden experimental site in Canada, a 5-liter (7.7 kg)

180 mixture of dyed solvents (10% TCM, 40% TCE, and 50% PCE by volume) was injected at

approximately 0.05 m below the water table into a 55 m<sup>3</sup> (5.5 m long x 4.5 m wide x 2.3 m deep)

unconfined aquifer test cell (Figure 1) comprised of medium- to fine-grained lacustrine sand with

183 occasional beds of coarse sand/gravel and silt. Groundwater flow through the test cell, bounded

laterally by sheet piling on four sides, was maintained by a network of five upgradient injection 184 and five downgradient extraction wells. The network was operated at approximately 360 L/d, 185 generating a mean groundwater velocity of 0.13 m/d and horizontal hydraulic gradient of 0.023  $\pm$ 186 0.0024. Aqueous-phase contaminant concentrations were monitored for 220 days in a fence of 187 MLS ports (Figure 1) located approximately 2.1 m downgradient from the DNAPL release 188 location. After 220 days of natural dissolution monitoring, a 5.5-day pulse of methanol was 189 injected in the test cell to evaluate dissolution enhancement processes (Broholm et al., 1999; 190 Broholm, 2006). The horizontal spacing of MLS ports was 0.5 m with a vertical spacing of 0.1 m, 191 with screen lengths less than 1 cm. 192

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Figure 1. Configuration of aquifer test cell and its representation in the numerical model. (a) Plan view of all MLS
 nests and NAPL grid blocks encompassing the entire source zone footprint in the numerical model. (b) Test cell

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Flow-weighted concentrations were monitored at the extraction wells with screen lengths spanning 198 the average height (1.82 m) of the saturated zone for 291 days. The cell was excavated 291 days 199 after the mixture release to map the DNAPL distribution over 5-cm vertical intervals (Figures S1 200 and S2) (Broholm et al., 1999). Broholm et al. (2005) compared estimates of initial NAPL mass 201 calculated from the post-excavation source footprint and by multicomponent NAPL dissolution 202 theory with mass balance analysis of effluent data and area- and depth-integrated MLS 203 concentrations. Their best mass estimates ranged from 6.7 to 7.5 kg by averaging of MLS data, 204 and differences between theoretical NAPL dissolution rates and effluent data were attributed to 205 pre-flushing TCM dissolution and volatilization losses. Also, dissolved concentrations below 206

equilibrium levels observed throughout the experiment were attributed to dilution effects (Broholm
 et al., 2005). These results support kinetic mass transfer modeling coupled with uncertainty
 analyses for indirect characterization of NAPL source zones, as detailed, pore-scale process
 modeling and site characterization in this context is unfeasible for remedial-decision support at
 hazardous waste sites.

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#### 213 **2.2.** Numerical Modeling of Groundwater Flow and Contaminant Transport

- A steady-state groundwater flow model was developed with MODFLOW2000 (Harbaugh et al., 215 2000). The aquifer test cell was discretized in 23 layers with uniform grid blocks measuring 10 cm 216 along all dimensions. As shown in Figure 1, the extent of the model domain matched the size of 217 the test cell along the vertical dimension (Z-axis) and the horizontal dimension perpendicular to 218 the flow direction (Y-axis). The horizontal dimension parallel to flow (X-axis) was reduced from 219 5.5 m (test cell length) to 4.9 m for computational efficiency. Constant values of hydraulic 220 conductivity, flow boundary conditions, and transport parameters were assigned to match field 221 conditions, which were characterized with tracer tests and soil cores by Broholm et al. (1999) and 222 analyzed with numerical modeling in Mobile et al. (2012). Model layers 1 through 3 were 223 inactivated because the water table fell below the elevation of layer 3 during the monitoring period. 224 Model layer 4 encompassed MLS port 504, which showed evidence of NAPL presence at 0.4 m 225 226 below the top of the aquifer test cell despite the reported average depth of the water table during the experiment at ~0.48 m (Broholm et al., 1999). 227
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Dissolution of the multicomponent DNAPL source and aqueous-phase contaminant transport were
 simulated with SEAM3D (Waddill & Widdowson, 2000). Interphase mass transfer [M T<sup>-1</sup> L<sup>-3</sup>] was
 simulated using a linear driving force model:

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 $J_i = k_i^N (C_i^{eq} - C_i) \tag{1}$ 

where  $k_i^N$  [T<sup>-1</sup>]is a lumped mass transfer rate coefficient specific to each NAPL phase constituent *i*,  $C_i^{eq}$  [M L<sup>-3</sup>] is the equilibrium solubility calculated according to Raoult's Law, and  $C_i$  [M L<sup>-3</sup>] is the aqueous phase concentration. Equation 1 is coupled in SEAM3D to the following relationship representing NAPL dissolution from the soil medium into the aqueous phase:

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$$J_i = -\rho_b \frac{dC_i^N}{dt} \tag{2}$$

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where  $\rho_b$  [M L<sup>-3</sup>] is the bulk density of the soil and  $C_i^N$  [M M<sup>-1</sup>] is the NAPL mass of compound *i* per unit mass of dry soil. A modified version of SEAM3D incorporates the upscaled NAPL dissolution model developed by Parker and Park (2004) to simulate transient mass transfer rates:

$$k_i^N = k_{i,0}^N \left(\frac{\bar{q}}{\bar{K}}\right)^\alpha \left(\frac{M_i(t)}{M_{i,0}}\right)^\beta \tag{3}$$

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where  $k_{i,0}^N$  = initial mass transfer rate coefficient [T<sup>-1</sup>],  $\bar{q}$  = average Darcy velocity [L T<sup>-1</sup>],  $\bar{K}$  = average hydraulic conductivity [L T<sup>-1</sup>],  $M(t)/M_0$  = transient ratio of NAPL mass [M M<sup>-1</sup>],  $\alpha$  and  $\beta$ are dimensionless empirical parameters. Previous investigations have reported a linear relationship

between  $k_i^N$  and  $\bar{q}$ , with  $\alpha = 1$  (Parker & Park, 2004; Park & Parker, 2005). The transient mass 248 ratio raised to the empirical depletion exponent  $\beta$  represents a reduction of NAPL/water interfacial 249 areas over time, regulating tailing of discharge concentrations with reducing mass transfer rates as 250 251 the source mass is depleted (Parker & Park, 2004; Stewart et al., 2020). A previous modeling study of the same Borden experiment indicated model insensitivity to the  $\beta$  exponent, attributed to a lack 252 of extensive monitoring of decreasing discharge concentrations (Mobile et al., 2012). In this work, 253 both the  $\alpha$  and  $\beta$  parameters were set to zero to focus the uncertainty and data-worth analyses on 254 the spatially-variable parameters  $k_{i,0}$  and  $C_0$ . Adjusting  $C_0$  parameters allowed to estimate the 255 initial mass ( $M_0^N$  [M]) of the entire NAPL mixture and quantify its uncertainty, as SEAM3D 256 generates an output of remaining NAPL mass in the source zone every time step using Equation 257 4, by adding the mass of all grid blocks where a  $Co^N$  parameter value was assigned: 258

$$M_0^N = \sum_{Zone \ 1}^{Zone \ 23} V^N \times C_0^N \times \rho_b \tag{4}$$

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where  $V^{N}$  [L<sup>3</sup>] = NAPL zone volume. The source zone was represented with 23 NAPL zones 261 (Figures S1 and S2) positioned upgradient of MLS ports which showed contaminant breakthrough, 262 suggesting the upgradient presence of NAPL mass (Figures 2, 3, and 4). Each NAPL zone was 263 comprised of 25 grid blocks (Figures S1 and S2) and was assigned one pair of adjustable 264 parameters,  $k_0^N$  and  $C_0^N$ , representing uniform mass distribution and dissolution within each NAPL 265 266 zone. The areal dimensions of all NAPL zones were designed as 0.5 m x 0.5 m on the horizontal plane representing the horizontal spacing of MLS ports, whereas vertical layers of 0.1 m 267 represented the vertical spacing between ports. The location of NAPL zones was determined by 268 contaminant travel times analyzed from MLS breakthrough data. Overall, the source distribution 269 in the model encompassed the observed post-excavation footprint (Figures S1 and S2), which 270 likely developed through vertical and downward NAPL migration throughout the experiment 271 (Broholm et al., 1999, 2005). 272

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#### 274 2.3. Parameter Estimation and Uncertainty Quantification

For each NAPL zone,  $C_0^N$  and  $k_0^N$  were simultaneously estimated from monitoring data. In 276 addition, two global  $k_0^N$  multipliers to identify compound-specific mass transfer coefficients for 277 TCE and PCE ( $k_{TCE,0}^N$  and  $k_{PCE,0}^N$ ) were estimated as multipliers of  $k_{TCM,0}^N$  for a total of 48 278 adjustable source zone parameters. History-matching targets included 1,556 measurements of 279 dissolved TCM, TCE, and PCE concentrations monitored at the MLS transect (Figure 1), out of 280 4,770 measurements comprising the entire MLS dataset. The 1,566 MLS targets corresponded to 281 23 ports including concentration measurements through 130 days (Figures 2, 3, and 4), when 282 extraction well redevelopment abruptly increased the water table by 1 m causing significant data 283 noise through 220 days (Broholm et al., 1999; Mobile et al., 2012). These MLS targets were 284 grouped by sampling port and a weight of 1 was assigned to each aqueous-phase concentration 285 measurement within each port. Additional constraints included 78 measurements of mass 286 discharge rates monitored at extraction wells for 220 days (before methanol remediation was 287 implemented). Mass discharge measurements were grouped by contaminant (i.e., three MD 288 groups) and assigned uniform weights, balancing the initial error contribution of each group to the 289 objective function ( $\Phi$ ). Specifically, individual measurements of MD were assigned a weight of 290 250 within the TCM and TCE MD groups, whereas individual PCE MD measurements were 291

assigned a weight value of 500. This weighting strategy was designed to balance the visibility of MD groups with MLS ports for  $\Phi$  minimization.

294

An initial parameter estimation with PEST\_HP (Doherty, 2020), which uses a parallelizable gradient-based optimization process, only included the MLS targets. All  $k_0^N$  and  $C_0^N$  parameters were log-transformed to facilitate the nonlinear optimization process. Bounds for  $C_0^N$  parameters were designed as a function of initial NAPL saturation ( $S_0^N$  [%]) of the pore space calculated as:

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where  $\rho_N$  [M L<sup>-3</sup>] is the NAPL density and  $\theta$  is the soil porosity. Bounds for  $C\sigma^N$  parameters were set as  $0.05 < S\sigma^N$  (%) < 25 for most NAPL zones, and as  $0.05 < S\sigma^N$  (%) < 5 for NAPL zones below layer 10 and in layer 4. The prior (pre-history matching) NAPL mass value was set as ~120 % greater than the known initial mass (7.7 kg). This prior value was established to evaluate whether initial history-matching of MLS data with PEST\_HP could result in a total NAPL mass estimate close to the known value. Bounds for all  $k\sigma^N$  ( $k\sigma_{TCM}^N$ ) parameters were set as  $0.01 < k\sigma^N$  (d<sup>-1</sup>) < 7.5 following an order-of-magnitude range obtained through a simplified mass transfer correlation defined in Frind et al. (1999), where the dissolution of a large-scale DNAPL mixture in the Borden aquifer was simulated using a similar grid scale. Bounds for  $k\sigma^N$  compound-specific multipliers were kept consistent with ratios determined by Mobile et al. (2012) as  $0.95 < k_{0,TCE}^N < 1$  and  $0.8 < k\sigma_{PCE}^N < 0.95$ .

 $S_0^N = \frac{\rho_b C_0^N}{\rho_N \theta}$ 

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The posterior uncertainty of NAPL mass was quantified with the iterative ensemble smoother 313 PESTPP-iES (White et al., 2020). PESTPP-iES undertakes Monte-Carlo sampling of parameter 314 315 uncertainty bounds generating ensembles which are upgraded with the Gauss-Levenberg-Marquardt (GLM) optimization algorithm. Rather than fitting simulation results to data, PESTPP-316 iES can generate observation ensembles considering a multi-gaussian distribution of measurement 317 noise ( $\epsilon$ ) (White, 2018). Here,  $\sigma_{\epsilon}$  was defined as 5% of measured values. This stochastic approach 318 was used for history-matching of (i) MLS data only, and (ii) both MLS and MD data, quantifying 319 the posterior uncertainty of parameters ( $C_0^N$ ,  $k_0^N$ ) and predictions ( $M_0^N$ ). In the following sections, 320 321 Model A = optimized with PEST HP using MLS data only, Model B = optimized with PESTPPiES using MLS data only, and Model C = optimized with PESTPP-iES combining MLS and MD 322 323 data.

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Parameter bounds were used to define 95% confidence intervals of multi-gaussian prior probability 325 distributions (PDF) of model parameters, assuming statistically-uncorrelated NAPL zones. The 326 upper  $C_0^N$  bounds for NAPL zones were reduced from 25% to 15%  $S_0^N$  and initial parameter values 327 were set from PEST HP results. Prior to parameter upgrading, PESTPP-iES undertakes a prior-328 329 based Monte Carlo analysis to detect "prior-data conflicts" (PDC), which are measurements that cannot be simulated with the structural and parametrization design of the model (White et al., 330 331 2020, 2021). All PDCs flagged by PESTPP-iES were removed to eliminate history-matching induced bias, which would otherwise produce erroneous parameter values compensating for model 332 defects (Doherty, 2015). Moreover, PESTPP-iES tracks the evolution of a "base realization" 333 334 during the optimization process, corresponding to the initial parameter realization upgraded

(5)

without  $\varepsilon$  ensembles. Estimates of NAPL mass removed by natural dissolution produced by Model A were compared to estimates generated with the posterior base realization of Models B and C.

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#### 338 2.4. Data-Worth Analysis

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First-order second-moment (FOSM) analysis was used to evaluate data worth for reducing the
uncertainty of model parameters and predictions through history-matching. The GENLINPRED
and PREDUNC utilities from the PEST software suite (Watermark Numerical Computing, 2018)
were used for FOSM analyses. The primary assumption in FOSM analysis is model linearization
expressed as:

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 $\mathbf{h} = \mathbf{Z}\mathbf{k} + \boldsymbol{\varepsilon} \tag{6}$ 

which states that a vector of measurements of system state **h** (aqueous-phase concentrations) equals the action of the model **Z** (Jacobian sensitivity matrix weighted by  $\sigma_{\epsilon}^{-1}$ ) on a vector of parameters **k** plus a vector of measurement noise  $\epsilon$  (Doherty, 2015). In this case,  $\sigma_{\epsilon}^{-1}$  was calculated on the basis of misfit between measurements and model outputs using the PEST-based utility PWTADJ2 (Watermark Numerical Computing, 2018) after history-matching. GENLINPRED and PREDUNC calculate the posterior uncertainty variance of model parameters through covariance propagation:

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$$C'(\mathbf{k}) = C(\mathbf{k}) - C(\mathbf{k})\mathbf{Z}^{\mathsf{t}}[\mathbf{Z}C(\mathbf{k})\mathbf{Z}^{\mathsf{t}} + C(\boldsymbol{\varepsilon})]^{-1}\mathbf{Z}C(\mathbf{k})$$
(7)

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where the posterior covariance matrix  $C'(\mathbf{k})$  is obtained through history-matching (Doherty, 2015). In this case, the prior covariance matrix  $C(\mathbf{k})$  is diagonal with no spatial correlations between NAPL zones. The estimated initial NAPL mass, a SEAM3D output, was treated as a linearized model prediction:

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$$s = \mathbf{y}^{\mathbf{t}}\mathbf{k} \tag{8}$$

where y is a vector of sensitivities of s with respect to k. The prior  $(\sigma_s^2)$  and posterior  $(\sigma'_s^2)$ uncertainty variances of s were calculated as:

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$$\sigma_s^2 = \mathbf{y}^{\mathsf{t}} \mathsf{C}(\mathbf{k}) \mathbf{y} \tag{9}$$

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$$\sigma_s^{\prime 2} = \mathbf{y}^{\mathbf{t}} \mathbf{C}^{\prime}(\mathbf{k}) \mathbf{y} \tag{10}$$

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The worth of individual MLS ports for reducing prior parameter uncertainties was calculated with model A, whereas the worth of compound-specific MLS and MD datasets was calculated with the posterior base realization of model C. Model C was also used to quantify the worth of individual MLS and MD measurements and to quantify the relative uncertainty variance reduction (RUVR) of each parameter (*i*), defined as:

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$$RUVR_i = 1 - \frac{{\sigma'}_i^2}{\sigma_i^2} \tag{11}$$

where  $\sigma_t^2$  are prior parameter variances encapsulated in C(**k**) and  $\sigma'_t^2$  are posterior parameter variances extracted from C'(**k**) (Doherty, 2015).

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#### 377 3. Results and Discussion

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# 3.1. Parameter Estimation and Uncertainty Quantification

A comparison of estimated NAPL mass and  $k_0^N$  multipliers is presented in Table 1. In general, the 381 known NAPL mass (7.7 Kg) was underestimated (6.4 - 7.2 Kg) when history-matching to MLS 382 data only, particularly with gradient-based optimization (PEST HP). An improvement in the 383 accuracy of mass estimation with PESTPP-iES was achieved through the removal of 206 PDC 384 values flagged by PESTPP-iES (Figures 2, 3, and 4) comprising 13% of the MLS constraints. 385 Likewise, 10 PDCs (Figure 5) representing 13% of the MD dataset were also flagged by PESTPP-386 iES and removed for history-matching. Including the MD constraints resulted in an excellent 387 agreement with the known initial source mass, which was encompassed by 95% confidence limits 388 (Table 1). Prior-based Monte Carlo results suggested that emphasizing early peak concentrations 389 for history-matching can result in underestimation of NAPL mass and overestimation (bias) of  $k_0^N$ 390 values, leading to underestimation of source dissipation timeframes. In this case, removing PDC 391 values, rather than modifying the model design, was sufficient to accurately estimate NAPL mass 392 and constrain mass transfer coefficients. 393

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**Table 1.** Model-estimated DNAPL mass and  $k_0^N$  multipliers.

Daramatar/Dradiation	PEST_HP	PESTPP-iES	PESTPP-iES
rarameter/rieuttion	(A: MLS)	(B: MLS)	(C: MLS and MD)
Magg (Vg)	6 267	$\mu = 7.187$	$\mu = 7.626$
Wass (Kg)	0.307	$\sigma = 0.120$	$\sigma = 0.110$
$k_{0,TCE}^{N}(d^{-1})$	1.00	$\mu = 0.99$	$\mu = 0.99$
	1.00	$\sigma = 0.006$	$\sigma = 0.005$
$k_{0,PCE}^{N}(d^{-1})$	0.05	$\mu = 0.93$	$\mu = 0.88$
	0.95	$\sigma = 0.018$	$\sigma = 0.017$

 $\mu$  = mean estimated value.  $\sigma$  = standard deviation of estimated parameters ( $k_0^N$ ) and predictions (Mass).

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**Figure 2.** Comparison of measured (circles) and simulated (lines) aqueous-phase TCM concentrations at the MLS fence. Simulation results correspond to the posterior base realization of model C, including MLS and MD constraints. Empty circles correspond to concentrations ignored for history-matching because of significant measurement noise induced by water table fluctuations after 130 days of monitoring. The dashed lines correspond to simulated values beyond 130 days, informed by MD data exclusively. Black-filled circles are prior-data conflicts removed from the history-matching process to avoid parameter bias.





409 Figure 3. Comparison of measured (circles) and simulated (lines) aqueous-phase TCE concentrations at the MLS fence. Simulation results correspond to the posterior base realization of model C, including MLS and MD constraints. Empty circles correspond to concentrations ignored for history-matching because of significant measurement noise induced by water table fluctuations after 130 days of monitoring. The dashed lines correspond to simulated values beyond 130 days, informed by MD data exclusively. Red-filled circles are prior-data conflicts removed from the history-matching process to avoid parameter bias.



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Figure 4. Comparison of measured (circles) and simulated (lines) aqueous-phase PCE concentrations at the MLS fence. Simulation results correspond to the posterior base realization of model C, including MLS and MD constraints. Empty circles correspond to concentrations ignored for history-matching because of significant measurement noise induced by water table fluctuations after 130 days of monitoring. The dashed lines correspond to simulated values beyond 130 days, informed by MD data exclusively. Green-filled circles are prior-data conflicts removed from the history-matching process to avoid parameter bias.

Prior-data conflicts pertaining to each dissolved NAPL component were detected at similar
 locations along the MLS and MD profiles (Figures 2 through 5). Most PDCs corresponded to initial

- TCM concentration peaks, some were detected along TCE breakthrough, and a few before PCE
- 431 breakthrough. This may have been associated to propagation rates of component-specific mass

432 transfer zones in the NAPL as the source architecture developed. In theory, the dissolution process 433 of NAPL mixtures has been described as a chromatographic process, where component-specific mass transfer zones propagate at different velocities through NAPL accumulations as a function 434 435 of their local solubilities and their length along the principal flow direction (Geller & Hunt, 1993; Soerens et al., 1998). In practice, the numerical discretization of NAPL zones along the flow 436 direction may influence the estimation of  $k_0^N$  values, as grid-scale concentration gradients 437 (Equation 1) would also regulate the sequential mass transfer process for any prescribed q (Darcy 438 velocity) and  $\alpha_{L,T}$  (dispersivity) values (Falta, 2003; Frind et al., 1999; Hunt & Sitar, 1988). In this 439 work, the unknown source zone architecture along the flow direction may have placed additional 440 uncertainties on q,  $\alpha_{L,T}$ , and  $k_{i,0}$  parameters, which regulate overall NAPL mass transfer at the grid 441 scale (Rivett & Feenstra, 2005). 442





447 Table 1 indicates that both  $k_{i,0}$ <sup>N</sup> multipliers estimated with Model A showed a tendency to reach their upper bounds. Only the values of  $k_{PCE,0}$  estimated with PESTPP-iES were constrained within 448 449 their prior uncertainty bounds (Table 1). In addition to the uncertain effects of grid scale on potential parameter bias, multistage NAPL dissolution below the MLS scale may have impacted 450 posterior results. For example, inspecting the TCM signature of ports 506 and 507 in Figure 2 451 suggested two slopes of declining concentrations before 130 days. An initially steep slope between 452 the concentration peaks through day 50, followed by a more gradual slope through day 130, 453 suggested heterogeneity of NAPL architecture and dissolution below the MLS scale. Despite 454 removing PDCs, sub-grid-scale multistage NAPL dissolution cannot be adequately simulated with 455 a single parameter set ( $C_0^N$ ,  $k_0^N$ ) per NAPL zone. This explained why model A produced lower 456 mass estimates with an increased propensity for biasing (overestimating)  $k_{TCM,0}$  parameters (e.g., 457 port 608 in Table 2), whereas model B (PDC targets removed) produced  $k_{TCM,0}$  values consistent 458 with model C (Table 2). Although these results suggested that a dual-domain approach may have 459 better captured TCM profiles at MLS ports, removing PDCs to estimate a single-domain 460 parameters accurately constrained NAPL mass and a consistent range of  $k_{0,TCM}$  values. 461

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Table 2. Distribution of estimated DNAPL mass and mass transfer coefficients

NAPL	MLS	$k_T$	$CM, 0^N$ (day)	<sup>-1</sup> )	NAI	PL Mass (	(Kg)
Zone	Port	А	В	С	А	В	С
4	404	0.037	0.041	0.041	0.14	0.14	0.15
5.1	605	0.038	0.029	0.037	0.02	0.02	0.01
5.2	505	0.102	0.104	0.106	0.59	0.59	0.64
5.3	405	0.050	0.061	0.067	0.12	0.13	0.13
6.1	606	0.174	0.171	0.175	1.23	1.63	1.79
6.2	506	0.252	0.226	0.236	1.16	1.32	1.43
6.3	406	0.147	0.138	0.131	0.10	0.11	0.13
7.1	607	0.735	0.282	0.303	0.79	0.93	0.96
7.2	507	0.183	0.231	0.251	0.79	0.81	0.85
7.3	407	0.303	0.255	0.245	0.11	0.11	0.11
8.1	608	3.259	0.220	0.202	0.33	0.35	0.36
8.2	508	0.227	0.254	0.204	0.15	0.17	0.20
8.3	408	0.108	0.115	0.082	0.07	0.07	0.09
9.1	609	0.385	0.019	0.048	0.00	0.00	0.00
9.2	509	0.248	0.196	0.216	0.29	0.30	0.31
9.3	409	0.314	0.184	0.171	0.35	0.38	0.40
9.4	309	0.010	0.010	0.066	0.01	0.00	0.00
10.1	510	0.024	0.033	0.016	0.03	0.02	0.04
10.2	410	0.015	0.068	0.534	0.01	0.01	0.01
10.3	310	0.010	0.015	0.049	0.01	0.00	0.00
11.1	411	0.056	0.097	0.081	0.02	0.03	0.02
11.2	311	0.010	0.017	0.084	0.02	0.01	0.00
12	412	0.077	0.109	0.083	0.02	0.03	0.02
	Total N	NAPL Mas	s (Kg)		6.4	7.2	7.6

A (PEST\_HP, MLS only), B (PESTPP-iES, MLS only), C (PESTPP-iES, MLS and MD)

Long-term projections of MD using the posterior base realization of Model C (Table 2) are 466 compared to the model calibrated by Mobile et al. (2012) in Figure 6. In both cases, multistage 467 468 dissolution profiles emerged from the spatial distribution of NAPL mass and dissolution rates, as MD projections were produced with  $\beta = 0$  (Equation 3). The mass transfer rates shown in Table 2 469 encompassed the same order-of-magnitude range reported in Mobile et al. (2012), although the 470 values determined in this study were lower by  $\sim 50\%$  on average, reflecting the grid-scale 471 dependence of estimated  $k_0^N$  values. Specifically, the grid-block size in Mobile et al. (2012) of 500 472 cm<sup>3</sup> was also 50% smaller than the 1000 cm<sup>3</sup> scale used in this study. Furthermore, stochastic 473 model optimizations with more adjustable NAPL zones than available MLS ports, using 5-cm 474 thick layers, resulted in mass overestimation by orders of magnitude and inconsistent  $k_0^N$  and  $C_0^N$ 475 distributions (results not shown). 476

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#### 478 3.2. FOSM-Analysis Results

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The worth of monitoring datasets for reducing prior uncertainty variance of NAPL mass is shown 480 in Figure 7. This figure highlights the importance of TCM data for constraining NAPL mass in 481 contrast to the negligible worth in the monitoring profiles of other dissolved components. 482 Likewise, Figure 7 shows the increase in data worth for reducing prior uncertainty of NAPL mass 483 by removing PDCs. Although the short-term TCM MLS signatures (<130 days) alone constrained 484 the prior uncertainty of NAPL mass by ~100%, adding the complete TCM MD signature (220 485 486 days) improved mass estimates by spreading  $\varepsilon$  induced by water table fluctuations across all NAPL zones. Furthermore, Table 3 indicates a decrease in the worth of MLS ports commensurate with 487 the  $S_0^N$  of their corresponding upgradient NAPL zones. This apparent correlation reflected the 488 similarity between the TCM dissolution profile of each MLS port and the TCM MD profile, 489 emphasizing the indirect value of multilevel monitoring for characterizing NAPL distribution and 490 reducing the uncertainty of source depletion rates. 491 492



494 Figure 6. Comparisons of long-term projections of MD profiles generated with the base parameter realization of model C (continuous lines) and the modeling results of Mobile et al. (2012) (dashed lines). All projections were generated with  $\beta = 0$ . In both cases, multistage behavior of NAPL depletion emerged from the NAPL architecture, which was constrained by the known mass and the post-experiment source footprint in Mobile et al. (2012). Small differences in long-term projections of source depletion emphasized the importance of constraining the source mass.



Figure 7. Percent worth of monitoring datasets for reducing the prior uncertainty of initial source mass.

NAPL	MLS	Prior Uncertainty	$S_0^N$ (%)	$S_0^N$ (%)	$S_0^N$ (%)
Zone	Port	Variance Decrease	А	В	С
6.1	606	54.0%	9.7%	12.8%	14.0%
6.2	506	42.6%	9.1%	10.4%	11.2%
7.2	507	19.0%	6.2%	6.4%	6.7%
7.1	607	15.7%	6.2%	7.3%	7.5%
5.2	505	5.1%	4.6%	4.6%	5.1%
8.1	608	4.0%	2.6%	2.8%	2.8%
6.3	406	3.2%	0.8%	0.9%	1.0%
7.3	407	2.7%	0.9%	0.9%	0.9%
8.2	508	2.6%	1.2%	1.3%	1.6%
9.3	409	2.4%	2.8%	3.0%	3.1%
9.2	509	1.8%	2.3%	2.4%	2.4%
5.1	605	1.5%	0.1%	0.1%	0.1%
9.1	609	0.7%	0.0%	0.0%	0.0%
9.4	309	0.6%	0.1%	0.0%	0.0%
5.3	405	0.6%	1.0%	1.0%	1.0%
8.3	408	0.3%	0.5%	0.5%	0.7%
10.2	410	0.0%	0.1%	0.1%	0.1%
10.1	510	0.0%	0.2%	0.2%	0.3%
12	412	0.0%	0.1%	0.3%	0.2%
11.1	411	0.0%	0.1%	0.2%	0.2%
11.2	311	0.0%	0.1%	0.1%	0.0%
10.3	310	0.0%	0.1%	0.0%	0.0%
4	404	0.0%	1.1%	1.1%	1.1%

**Table 3.** Distribution of  $S_0^N$  and worth of MLS ports for reducing prior uncertainty of NAPL mass.

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A (PEST HP, MLS only), B (PESTPP-iES, MLS only), C (PESTPP-iES, MLS and MD)

507 An example of the worth of individual MLS and MD measurements for reducing the prior 508 uncertainty of NAPL-zone parameters is presented in Figure 8. These results indicated opposite 509 trends in the worth of aqueous-phase concentrations for estimating  $Co^N$  and  $ko^N$ . Maximum

concentrations constrained  $k_0^N$  parameters, while declining concentrations constrained  $C_0^N$ 510 511 parameters accounting for NAPL mass. This explained why only TCM data significantly reduced the prior uncertainty of NAPL mass, as all MLS ports showed declining TCM concentrations 512 513 (Figure 2). Similarly, ~30% (with PDCs) and ~40% (without PDCs) reductions in the prior uncertainty of NAPL mass by TCE MLS data (Figure 7) was attributed to MLS ports with 514 approximately more than 100 g of NAPL mass (e.g., port 508 in Table 2 and Figure 3) and 515 declining TCE concentrations. Conversely, Table 4 indicates parameters with a low RUVR 516 corresponding to deeper NAPL zones accounting for less than 1% of total NAPL mass. The low 517 RUVR values in Table 4 were also caused by narrower prior uncertainty bounds compared to those 518 of other NAPL-zone parameters. As shown in Figure 8, NAPL zones harboring most of the NAPL 519 mass (e.g., 6.1 and 6.2 in Table 3) also benefited from additional  $C_0^N$  uncertainty reductions by 520 TCM MD data, highlighting the contribution of those zones to the overall source depletion rates. 521

522 523

**Table 4.** Source zone parameters with lower than 80% prior uncertainty variance reduction.

MLS	NAPL	RUVR (w	vith PDC)	RUVR (w	ithout PDC)
Port	Zone	$C_0{}^N$	$k_{TCM,0}^N$	$C_0{}^N$	$k_{TCM,0}^{N}$
605	5.1	65%	> 80%	79%	> 80%
609	9.1	11%	13%	18%	22%
309	9.4	11%	5%	17%	8%
510	10.1	50%	> 80%	67%	> 80%
410	10.2	> 80%	17%	> 80%	18%
310	10.3	12%	15%	18%	15%
311	11.2	7%	1%	28%	1%
NA	$k_{TCE,0}^N$	NA	5%	NA	8%
NA	$k_{PCF} o^N$	NA	18%	NA	30%



**526 Days Days 527 Figure 8.** Percent worth of individual aqueous-phase concentrations (MLS port 606 and TCM MD) for reducing the 528 prior uncertainty variance of  $C_0^N$  and  $k_{TCM,0}^N$  of NAPL zone 6.1. Although the same trend of added value by individual 529 measurements was determined for all ports, only NAPL zones containing most of the source mass benefited from 530 additional uncertainty reductions by TCM MD data. In turn, the correspondence between the TCM MD profile with 531 individual MLS ports emphasized the value of multilevel monitoring for estimating NAPL architecture. 532

Except for parameters listed in Table 4, history-matching reduced the prior uncertainty of all 533 NAPL parameters by up to 100%. In contrast to  $C_0^N$  parameters constrained by TCM data 534 exclusively, maximum TCE and PCE concentrations also constrained k0,TCM<sup>N</sup> parameters (Figure 535 7). However, as indicated in Table 4, the prior uncertainty of  $k_{0,TCE}^N$  and  $k_{0,PCE}^N$  (global  $k_{0,TCM}^N$ 536 multipliers) was not reduced, partially because of their narrow prior uncertainty bounds. Yet the 537 small RUVR of these mass transfer parameters was driven by their corresponding MLS datasets 538 (results not shown). Moreover, while the mean values of both multipliers (Table 1) were in close 539 agreement with those estimated by Mobile et al. (2012), as  $k_{TCE,0} = 0.96$  and  $k_{0,PCE} = 0.85$ , FOSM 540 analysis with Models A, B, and C suggested that  $k_{TCE,0}$  could take a value greater than 1, which 541 would not be consistent with previous findings (Mobile et al. 2012) or with mass transfer 542 correlations with component diffusivities (Imhoff et al., 1993; Powers et al., 1992, 1994). As 543 previously discussed, possible explanations for remaining uncertainties on  $k_{TCE,0}$  and  $k_{PCE,0}$  could 544 include sub-grid-scale NAPL dissolution behavior, noticeable primarily in TCM MLS data, and/or 545 the influence of grid scale on concentration gradients and  $\alpha_{L,T}$  (transverse and longitudinal). 546 Transverse dispersion has been shown to regulate mass transfer rates from DNAPL pools (Hunt & 547 Sitar, 1988, Stewart et al., 2021), requiring an ultrafine grid scale for accurate numerical 548 simulations of DNAPL dissolution (Falta, 2003). 549

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#### 551 **3.3. Analysis of DNAPL Mass Depletion**

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Table 5 presents mass balance results of NAPL mass removed by natural dissolution calculated using all models. The percent reductions of initial mass were calculated using the known initial 555 composition values. Despite differences in the estimated source zone architectures, Model C 556 produced nearly identical results as Mobile et al. (2012), emphasizing the importance of constraining NAPL mass for estimating source depletion rates. Conversely, Model A resulted in a 557 558 40% reduction of initial NAPL mass, almost doubling Model C results. Model C also indicated a source persistence at the end of the natural dissolution period  $\sim 4$  and  $\sim 2$  times lower than indicated 559 560 by Models A and B, respectively, using PCE as reference. These results reflected the advantage of implementing prior Monte Carlo analyses to understand model deficiencies in relation to the 561 observed system behavior. Additionally, Table 2 indicates the amount of NAPL mass eliminated 562 by methanol remediation, calculated by subtracting the NAPL mass remaining in the soil estimated 563 by Broholm et al. (1999) after conclusion of the experiment, from the remaining mass after 220 564 days estimated with Model C. Differences in the methanol calculations were linked to post-565 experiment mass estimated by Broholm et al. (1999) from  $C_i^N$  values assuming different  $S^N$  values. 566 The obvious impact that such differences would have on remedial designs at hazardous waste sites 567 highlighted difficulties in measuring  $S^N$  directly, even by soil confirmatory sampling. These results 568 suggested value in the indirect source characterization method undertaken in this study to estimate 569 570 and reduce the uncertainty of site-specific mass-transfer parameters, which is critical for effective,

- 571 risk-based remedial optimizations.
- 572 573

	Table	5.	Mass	of NAPL	removed.
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Data	NAPL Mass Removed (kg)				Initial NAPL Mass Reduction (%)			
Source	TCM	TCE	PCE	Total	TCM	TCE	PCE	Total
Initial (injected)	0.74	2.92	4.04	7.70	0	0	0	0
Model A	0.67	1.46	0.97	3.10	91	50	24	40
Model B	0.63	1.10	0.55	2.28	85	38	14	30
Model C	0.61	0.92	0.25	1.78	82	32	6	23
Mobile et al. (2012)	0.59	0.91	0.24	1.74	80	31	6	23
Methanol flush <sup>(1)</sup>	0.13	1.47	1.88	3.49	17	50	47	45
Methanol flush (2)	0.13	1.18	0.80	2.11	17	40	20	27

574 Mass removed by methanol flushing was calculated by subtracting post-experimental NAPL mass remaining in soil 575 estimated by Broholm et al. (1999) with  $C_i^N$  values assuming <sup>(1)</sup> a homogeneous 3.6%  $S^N$  in all excavation layers 576 (Figure S1) and <sup>(2)</sup> assuming 20%  $S^N$  in excavation layer 2 (where a DNAPL pool was observed), from the remaining 577 NAPL mass on day 220 estimated with the posterior base realization of Model C. The percent reductions of initial 578 NAPL mass were calculated with respect to the known initial composition of the mixture. 579

#### 580 **4. Conclusions**

581

This study demonstrated the worth of high-resolution monitoring and inverse numerical modeling 582 583 for characterizing a DNAPL source zone. The accuracy of estimated NAPL mass was tied to the depleting signature of MLS and MD aqueous-phase concentrations of the most soluble NAPL 584 component and least by volume (TCM). At contaminated sites, decreasing concentrations may not 585 reflect final NAPL dissolution stages, which could bias estimated parameters and long-term 586 587 projections of source depletion. The impact of multiscale heterogeneity of NAPL architecture and dissolution on the uncertainty of model parameters was investigated with prior-based Monte Carlo 588 analyses, where PDCs highlighted model limitations for representing sub-grid-scale mass transfer 589 processes. Hence, multiscale heterogeneity of NAPL architecture and dissolution not captured in 590 591 available monitoring profiles could limit model confidence for remedial-decision making at sites with large and architecturally complex source zones. These situations may benefit from the field 592

test proposed by Mobile et al. (2016) to determine mass transfer rate coefficients in situ. This test would induce breakthrough of nonequilibrium concentrations through forced hydraulic gradients and flushing in the source zone, generating monitoring profiles suitable for the inverse modeling techniques applied in this study.

597

598 Breakthrough data collected from the mass transfer test described in Mobile et al. (2016) would also be useful for allocating grid-scale NAPL zones, similar to the model parameterization guided 599 by MLS data in this study. In contrast to the simplified aquifer parameters in this work, spatially-600 correlated hydraulic and transport properties can be characterized by geostatistical methods 601 coupled with numerical modeling for management support of source zones in heterogeneous 602 aquifers. Also, high-resolution NAPL delineation with MIP and LIF tools may further reduce 603 uncertainties on source architecture, including residual saturations estimated with inverse 604 numerical modeling. Furthermore, sites where natural attenuation mechanisms are significant may 605 benefit from several monitoring transects along the flow direction to distinguish attenuation 606 capacity from NAPL dissolution rates. 607

608

609 Uncertainty analyses confirmed an inability to estimate the  $\beta$  depletion exponent for any NAPL zone despite declining TCM concentrations measured at all MLS ports. Thus, predictive 610 timeframes of source mass depletion should include a variability range for  $\beta$  parameters with 611 612 ensemble realizations, representing the transient nature of NAPL mass transfer rates in a stochastic manner. Several advantages of parameter optimization and uncertainty quantification with model 613 ensembles were also demonstrated in this investigation. For example, while single parameter sets 614 per each NAPL zone could not represent sub-grid-scale multistage dissolution profiles, removing 615 early-stage TCM peak concentrations reduced the propensity for biasing mass transfer rates and 616 improved the accuracy of NAPL mass estimation. Accuracy of mass estimation was also attained 617 through parameter parsimony, as estimating NAPL-zone parameters without directly upgradient 618 MLS ports produced inconsistent and erroneous results. The importance of accurately constraining 619 the source mass was emphasized in a similar projection of source depletion compared to Mobile 620 et al. (2012), where multistage NAPL dissolution behavior in both models emerged from MLS 621 constraints. 622

623

624 Prior-based Monte Carlo and FOSM analyses suggested that simulating interphase mass transfer from NAPL mixtures may be influenced by grid scale, despite incorporating adjustable compound-625 specific mass transfer rate coefficients. Specifically, FOSM results indicated no prior uncertainty 626 reductions on the global multipliers of mass transfer rate coefficients, while  $k_{TCE,0}$  showed a 627 tendency to exceed its upper uncertainty limit in all models, potentially biasing source dissipation 628 timeframes. Although these results did not prevent a reasonable estimation of initial source mass 629 and consistent ranges of mass transfer rates, further research is required to investigate the impact 630 of grid scale on dispersivity and mass transfer rate coefficients describing multicomponent NAPL 631 dissolution of source zones with heterogeneous architectures (i.e., comprised by ganglia- and pool-632 dominated accumulations of NAPL mass). As demonstrated, combining mass discharge/flux rates 633 with high-resolution monitoring can improve history-matching of noisy data, where ensemble-634 based parameter estimation considering measurement noise can reduce parameter bias without 635 resorting to a more complex simulation of multiple subsurface processes, supporting the indirect 636 characterization of NAPL source zones. 637

#### 639 Acknowledgements

640

This study was partially supported by the Environmental Security Technology Certification
 Program (ESTCP) under Project ER19-5223. The content of this manuscript has not been subject
 to agency review and does not necessarily represent the view of the sponsoring agency.

644

# 645 Data Availability Statement

646

The Groundwater Modeling System (GMS) software hosting the MODFLOW2000 and SEAM3D 647 programs used this study available through 648 in is Aquaveo at https://www.aquaveo.com/software/gms-groundwater-modeling-system-introduction. 649 The PWTADJ2, PREDUNC, GENLINPRED, and PEST HP 650 programs are available at https://pesthomepage.org/programs. PESTPP-iES software available 651 The is at https://www.usgs.gov/software/pest-software-suite-parameter-estimation-uncertainty-analysis-652

653 <u>management-optimization-and</u> (version 5.1.6 was used and the source code is available on 654 <u>https://github.com/usgs/pestpp/releases/tag/5.1.6</u>). Figures 2 through 4 were produced with the 655 Matplotlib (<u>https://matplotlib.org/</u>) version 3.5.1 and Seaborn (<u>https://seaborn.pydata.org/</u>) version 656 0.11.2 libraries using the Python programming language. Aqueous-phase concentration data will 657 be archived in an online repository maintained by Virginia Tech with a unique DOI number.

658

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# **Mathematical Model**

Solute Transport Equation

$$-\frac{\partial}{\partial x_i}(v_i C^k) + \frac{\partial}{\partial x_i} \left( D_{ij} \frac{\partial C^k}{\partial x_j} \right) + \frac{q_s C_s^k}{n_e} + R^{N,k} = \left( 1 + \frac{K_d^k \rho_b}{\theta} \right) \frac{\partial C^k}{\partial t}$$
(1)

$C^k$	M L-3	Aqueous phase concentration of species k
$x_i$	L	Distance along cartesian coordinate axis
t	Т	Time
$v_i$	L T <sup>-1</sup>	Average pore water velocity
$D_{ij}$	L <sup>2</sup> T <sup>-1</sup>	Hydrodynamic dispersion coefficient tensor
$q_s$	T-1	Volumetric flux of water per unit volume of aquifer representing fluid sources (positive) and sinks (negative)
$C_s^k$	M L-3	Concentration of the source or sink flux for species k
$K_d^k$	$L^3 M^{-1}$	Distribution coefficient
$\rho_h$	M L-3	Bulk density
$\ddot{\theta}$	L <sup>3</sup> L <sup>-3</sup>	Aquifer porosity
$R^{N,k}$	M L <sup>-3</sup> T <sup>-1</sup>	Source term representing NAPL dissolution for species k

NAPL mass balance term

 $C^{N,k}$ 

$$\frac{\partial C^{N,k}}{\partial t} = -\frac{\theta}{\rho_b} R^{N,k} \tag{2}$$

NAPL source term

$$R^{N,k} = k^{N,k} \left( C_{eq}^k - C^k \right) \tag{3}$$

k^N,kT-1Lumped mass transfer coefficient of species k
$$C_{eq}^k$$
M L-3Aqueous-phase equilibrium concentration of  
species k calculated using Raoult's Law



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Figure S1. Plan and cross-sectional views of aquifer test cell (adapted from Broholm et al. 1999).





**Figure S2.** Grid-scale NAPL zones in model layers 4 through 7. All NAPL zones measured 0.5 m x 0.5 m on the horizontal plane, encompassing 25 grid blocks. Model layers measured 0.1 m along the Z-axis representing the vertical spacing between MLS ports. The post-experimental NAPL footprint mapped in 0.05-m vertical increments (excavation layers) by Broholm et al. (1999) was included for reference.

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Figure S3. Grid-scale NAPL zones in model layers 8 through 12.

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Table S1. Input parameters of groundwater flow and solute transport model including NAPL properties						
Model Parameter	Value	Data Source				
Hydraulic conductivity (m/d)	2.0	Broholm et al. 1999				
Porosity (%)	33.0	Broholm et al. 1999				
Longitudinal dispersivity (cm)	5.0	Mobile et al. 2012				
Transverse horizontal dispersivity (cm)	0.5	Mobile et al. 2012				
Transverse vertical dispersivity (cm)	0.05	Mobile et al. 2012				
TCM sorption coefficient $(m^3/g)$	$3.0 \times 10^{-8}$	Mobile et al. 2012				
TCE sorption coefficient $(m^3/g)$	$5.0 \times 10^{-8}$	Mobile et al. 2012				
PCE sorption coefficient $(m^3/g)$	$1.3 \times 10^{-7}$	Mobile et al. 2012				
Soil bulk density (g/m <sup>3</sup> )	$1.65 \ x \ 10^6$	Mobile et al. 2012				
TCM initial NAPL mass fraction (%)	9.60	Broholm et al. 1999				
TCE initial NAPL mass fraction (%)	37.9	Broholm et al. 1999				
PCE initial NAPL mass fraction (%)	52.5	Broholm et al. 1999				
TCM ideal solubility (mg/L)	8700	Broholm et al. 1999				
TCE ideal solubility (mg/L)	1400	Broholm et al. 1999				
PCE ideal solubility (mg/L)	240	Broholm et al. 1999				
TCM molecular weight (g/mol)	119.4	Rivett et al. 2001				
TCE molecular weight (g/mol)	131.4	Rivett et al. 2001				
PCE molecular weight (g/mol)	165.8	Rivett et al. 2001				
TCM density $(g/cm^3)$	1.48	Broholm et al. 1999				
TCE density $(g/cm^3)$	1.46	Broholm et al. 1999				
PCE density (g/cm <sup>3</sup> )	1.62	Broholm et al. 1999				

 Table S2. Parameter values used to calculate percentages of initial NAPL mass removed by natural dissolution and methanol flushing presented in Table 5.

		0			
Parameter	TCM	TCE	PCE	Total	Source
Initial NAPL (L)	0.48	1.895	2.625	5	Mobile et al. (2012)
Initial NAPL (g)	739.2	2918.3	4042.5	7700	Mobile et al. (2012)
					Broholm et al.
Remaining NAPL (L) <sup>(1)</sup>	0.001	0.34	1.24	1.58	(1999)
Remaining/Initial NAPL <sup>(1)</sup>	0.002	0.179	0.472	0.32	Calculated
Remaining NAPL (g) <sup>(1)</sup>	1.54	523.6	1909.6	2434.74	Calculated
Remaining NAPL Fractions <sup>(1)</sup>	0.0006	0.2151	0.7843	1	Calculated
					Calculated /
					Broholm et al.
Remaining NAPL (L) <sup>(2)</sup>	0.002	0.532	1.940	$2.47^{\circ}$	(1999)
Remaining/Initial NAPL <sup>(2)</sup>	0.0033	0.2807	0.7390	0.49	Calculated
Remaining NAPL (g) <sup>(2)</sup>	2.4	819.1	2987.3	3808.8	Calculated

<sup>(1)</sup> Post-excavation volumes (L) of each NAPL component provided by Broholm et al. (1999) assuming a homogeneous 3.6% NAPL saturation of the pore space in all excavation layers. <sup>^</sup>Total post-excavation NAPL volume (L) provided by Broholm et al. (1999) assuming a 20% NAPL saturation in excavation layer 2 and a 3.6% saturation in other soil layers. <sup>(2)</sup> Remaining volume (L) and mass (g) calculated for each NAPL component using the individual remaining fractions in <sup>(1)</sup> reported by Broholm et al. (1999), applied to the total remaining NAPL volume of <sup>^</sup>2.47 L also reported by Broholm et al. (1999).