Depth Mapping in Turbid and Deep Waters Using AVIRIS-NG Imagery: A Study in Wax Lake Delta, Louisiana, USA

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Abstract

Remote sensing has been widely applied to investigate fluvial processes, but depth retrievals face significant constraints in deep and turbid conditions. This study evaluates the potential for depth retrievals under such challenging conditions using NASA's Airborne Visible/Infrared Imaging Spectrometer-Next Generation (AVIRIS-NG) imagery. We employ interpretable machine learning to construct a hyperspectral regressor for water depth and explore the spectral characteristics of deep and turbid waters in Wax Lake Delta (WLD), LA. The reflectance spectra of WLD show minor effects from depth differences due to turbidity. Nevertheless, a Random Forest with Recursive Feature Elimination (RF-RFE) effectively generalizes high and low turbid cases in a single model, achieving a \mathbb{R}^2 of 0.94 ± 0.005 . Moreover, this model shows a maximum detectable depth of approximately 30 m, outperforming other methods. A spectral analysis using Shapley additive explanations (SHAP) points out the importance of learning various spectral bands and non-linear relationships between depth and reflectance. Specifically, the short blue and Near-InfraRed (NIR) bands, with high attenuation coefficients, play a crucial role. This finding highlights the attenuation as the key process for deep-depth retrievals. The depth maps of WLD captured by this model distinctly represent the spatial distribution of deep river and shallow delta regions. However, the high dependency on short blue and NIR bands leads to discontinuous areas due to the noise sensitivity of these bands. This result highlights a drawback of remote sensing using empirical models. Future research will focus on correcting such discontinuities by integrating data from multiple remote sensing sources.













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Key Points:

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11	• Hyperspectral imaging spectroscopy detects a depth of approximately 30 m in Wax
12	Lake Delta.
13	• Machine learning successfully generalizes spectral variability of the deep and tur-
14	bid delta.
15	• We identify the importance of spectral bands with high attenuation for estimat-
16	ing deep and turbid waters.

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17 Abstract

Remote sensing has been widely applied to investigate fluvial processes, but depth re-18 trievals face significant constraints in deep and turbid conditions. This study evaluates 19 the potential for depth retrievals under such challenging conditions using NASA's Air-20 borne Visible/Infrared Imaging Spectrometer-Next Generation (AVIRIS-NG) imagery. 21 We employ interpretable machine learning to construct a hyperspectral regressor for wa-22 ter depth and explore the spectral characteristics of deep and turbid waters in Wax Lake 23 Delta (WLD), LA. The reflectance spectra of WLD show minor effects from depth dif-24 ferences due to turbidity. Nevertheless, a Random Forest with Recursive Feature Elim-25 ination (RF-RFE) effectively generalizes high and low turbid cases in a single model, achiev-26 ing a R^2 of 0.94 ± 0.005 . Moreover, this model shows a maximum detectable depth of 27 approximately 30 m, outperforming other methods. A spectral analysis using Shapley 28 additive explanations (SHAP) points out the importance of learning various spectral bands 29 and non-linear relationships between depth and reflectance. Specifically, the short blue 30 and Near-InfraRed (NIR) bands, with high attenuation coefficients, play a crucial role. 31 This finding highlights the attenuation as the key process for deep-depth retrievals. The 32 depth maps of WLD captured by this model distinctly represent the spatial distribution 33 of deep river and shallow delta regions. However, the high dependency on short blue and 34 NIR bands leads to discontinuous areas due to the noise sensitivity of these bands. This 35 result highlights a drawback of remote sensing using empirical models. Future research 36 will focus on correcting such discontinuities by integrating data from multiple remote 37 sensing sources. 38

39 1 Introduction

Remote sensing of water depth has been gaining attention across various fields, in-40 cluding fluvial geomorphology, stream ecology, and hydrodynamics owing to its capa-41 bility of mapping spatially distributed information (Harrison et al., 2022; Niroumand-42 Jadidi et al., 2022; Moramarco et al., 2019; Hossain et al., 2022). The conventional ap-43 proach to water depth measurement using acoustic Doppler current profilers (ADCPs) 44 or real-time kinematic global positioning systems (RTK-GPS) depends on point mea-45 surements, requiring a substantial investment of time and labor for frequent and spa-46 tial measurements (Zinger et al., 2013). This challenge is particularly pronounced in wa-47 ter regions with rapidly changing bed morphology due to sediment transport, such as 48 river deltas. 49

Remote sensing-based depth measurements have emerged as promising alternatives. 50 Recent development of various sensors and platforms has led to a variety of remote sens-51 ing approaches (Legleiter & Harrison, 2019). In particular, active remote sensing, uti-52 lizing Light Detection And Ranging (lidar), and passive remote sensing techniques based 53 on imaging spectroscopy, have been widely used (de Almeida et al., 2019). Lidar, which 54 has a low dependence on weather conditions, has become a widely used method for ter-55 rain measurements (Passalacqua et al., 2012). For water depth estimates, lidar equipped 56 with a green-wavelength laser is able to penetrate through the water column and thus 57 is typically used to measure shallow water depths (McKean et al., 2014). Recent stud-58 ies have significantly improved the ability to estimate depths up to 20 m using the ad-59 vanced topographic laser altimeter system (ATLAS) onboard the Ice, Cloud, and Land 60 Elevation Satellite-2 (ICESat-2) (Xie et al., 2023; Chen et al., 2021). However, apply-61 ing lidar in turbid waters remains challenging (Legleiter & Harrison, 2019; Chen et al., 62 2021). Compared to lidar, imaging spectroscopy highly depends on weather conditions, 63 but this method is more versatile thanks to abundant spectral bands that allow it to mea-64 sure various parameters in water environments at the same time, such as algal bloom, 65 suspended sediment, organic matter, and water depth from one image (Kwon et al., 2022a, 66 2022b, 2023b; Legleiter et al., 2022; Hestir et al., 2015). Recently, there has been an in-67 crease in satellites equipped with hyperspectral sensors, enabling their application over 68

wide spatial areas. Additionally, high-resolution information for specific regions can be
 obtained through images captured by aircrafts or drones.

In terms of water depth (H) estimates, imaging spectroscopy also has limitations 71 in measuring deep water depth since this method relies on the reflected light from the 72 bottom under the water column. The bottom-reflected radiance exponentially attenu-73 ates with the distance of transmittance through the water column (Legleiter et al., 2004) 74 Consequently, accurately measuring deep water depth becomes challenging due to the 75 diminishing strength of bottom-reflected radiance. Legleiter et al. (2018) and Legleiter 76 77 and Harrison (2019) evaluated the maximum detectable depth of passive optical images for various sensors, platforms, and algorithms under clean and shallow water conditions 78 $(H < 10 \,\mathrm{m})$. They introduced Optimal Band Ratio Analysis (OBRA) of progressively 79 truncated input depths (OPTID) and evaluated the performance according to water depth 80 ranges. Their results indicated that hyperspectral imagery from unmanned aerial sys-81 tems (UAS) or airborne platforms could measure water depths up to 4 m and exhibited 82 superior accuracy compared to multispectral satellite imagery. This result underscores 83 the importance of both spectral and spatial resolutions in hyperspectral retrievals. Gwon 84 et al. (2023) conducted further assessments of hyperspectral retrievals for shallow depths 85 (H < 1 m) under varying suspended sediment concentrations and compositions in ex-86 perimental channels. Despite the weak contribution of bottom radiance under turbid con-87 ditions, this study revealed that machine-learning (ML) regression improved the perfor-88 mance of hyperspectral retrievals for water depth compared to the conventional regres-89 sion approach using a few spectral bands (e.g., OBRA). Specifically, among various ML 90 regression algorithms, Random forest (RF) showed accurate and robust performance for 91 hyperspectral retrievals. This result can be attributed to the insensitivity of RF to noise 92 and hyperparameters, a characteristic derived from its ensemble learning approach. The 93 key point arising from the superior performance of ML is that handling non-linearity and 94 numerous variables is critical due to increased spectral variability under optically com-95 plex conditions. Nevertheless, the inherent black-box structure of ML represents a weak-96 ness, as it prevents the interpretation of causal relationships between inputs and outputs. 97 This characteristic not only limits understanding spectral characteristics but also con-98 fines ML-based hyperspectral remote sensing to local applicability. 99

To enhance the transferability and robustness of the hyperspectral retrievals, many 100 recent studies have attempted to account for spectral variability in water environments 101 (Jensen et al., 2019a; Kwon et al., 2023a, 2022b; Dethier et al., 2020; Niroumand-Jadidi 102 et al., 2019). Niroumand-Jadidi et al. (2020) improved OBRA through the compilation 103 of sample-specific multiple band ratio techniques for satellite-derived bathymetry (SMART-104 SDB), a fusion of the sample-specific k-nearest neighbor (KNN) method with OBRA. 105 SMART-SDB was much more robust for inland and coastal waters, but the depth ranges 106 of study sites were under 2.5 m. Kwon et al. (2023a) demonstrated the applicability of 107 the Gaussian Mixture Model (GMM) for classifying bottom types in shallow rivers. That 108 study also emphasized the significance of considering spectral variability from various 109 types of river substrates in hyperspectral retrievals of shallow water depth. However, there 110 remain a number of challenges for hyperspectral retrievals of water depth related to their 111 applicability in various conditions. First, the maximum detectable depth was evaluated 112 only with clean and shallow water (Legleiter et al., 2018; Legleiter & Harrison, 2019). 113 The applicability to hyperspectral retrievals of water depth in turbid and deeper con-114 ditions is still unknown. ML has the potential to enhance the use of hyperspectral re-115 trievals by capturing the non-linear relationship between water depth and reflectance across 116 various spectral bands. Second, bathymetric studies using imaging spectroscopy are con-117 ducted less frequently compared to surveys that focus on the shape or surface dynam-118 ics of delta networks (Teresa Jarriel, 2021; Kuenzer et al., 2019). In delta regions with 119 active sediment transport and complex morphodynamics, depth mapping is substantially 120 beneficial for understanding the complex dynamics of channel networks. However, these 121

areas are often highly turbid, leading to limited applicability of remote sensing-based wa ter depth estimation.

It is known that bottom-reflected radiance tends to be weak in turbid and deep wa-124 ters. Nevertheless, we focus on the radiance emanating from the water column, which 125 is also correlated with water depth through exponential attenuation (Wong et al., 2019; 126 Lee et al., 1999, 2002). The theoretically derived relationship from exponential atten-127 uation (Lee et al., 1999) provides a key insight for hyperspectral retrievals of water depth 128 under deep and turbid conditions. We posit that this relationship can be effectively learned 129 by accounting for non-linearity and spectral variability through a ML approach. Based 130 on this hypothesis, this study aims to evaluate ML-based hyperspectral retrievals of wa-131 ter depth in the turbid and deep region of Wax Lake Delta (WLD) using spatially and 132 spectrally abundant HSI, AVIRIS-NG collected by NASA's Delta-X mission. Subsequently, 133 we analyze spectral variability using the Shapley additive explanation (SHAP), an in-134 terpretable ML approach. In particular, we focus on the following specific objectives: 135

- Evaluate the potential of remote sensing to retrieve deep water depth under turbid conditions.
 - 2. Compare the maximum detectable depth of hyperspectral retrievals using three regression algorithms.
- Interpret spectral variability under deep and turbid waters through the SHAP explained RF framework.
 - 4. Suggest a strategy for accurate and robust depth mapping under diverse conditions as those encountered in coastal environments.
- ¹⁴⁴ 2 Materials and Methods

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2.1 Overview

Passive remote sensing of water depth relies on measuring visible and near-infrared (NIR) reflected solar energy (Mobley, 1999). This process takes into account the attenuation within the water column and its interaction with other physical components, which influence the detected signals. Therefore, the total below-surface remote sensing reflectance (R_{rs}) in rivers mainly consists of the signal emanating from the water column and the bottom-reflected signal (Kwon et al., 2023b; Legleiter et al., 2004; Baek et al., 2019). This combination can be expressed by a simple radiative transfer model introduced by Lee et al. (1999):

$$R_{rs}(\lambda) = \frac{L_u(\lambda)}{E_d(\lambda)} = R_\infty(\lambda) \left(1 - e^{-K(\lambda)H}\right) + \frac{\rho_b}{\pi} e^{-K(\lambda)H},\tag{1}$$

where R_{rs} is the remote sensing reflectance, λ is the wavelength, L_u is total up-154 welling radiance reaching the sensor, E_d is the irradiance, R_{∞} is the reflectance of an 155 infinitely deep water column. H is the water depth, $K(\lambda)$ is the attenuation coefficient, 156 and ρ_b is the bottom albedo, which is a distinctive property according to the bed sub-157 strate. Equation (1) shows that the water column reflectance, the first term on the right-158 hand side, exponentially increases with H and has a maximum value under infinitely deep 159 depth. The second term on the right-hand side is the bottom reflectance, exponentially 160 decreasing with H. Under deep and turbid conditions, the bottom reflectance is negli-161 gible, which is the reason why detecting the water depth in this condition is challeng-162 ing. Recent studies dealt with these optically deep-water areas by masking unmeasur-163 able pixels (Caballero & Stumpf, 2023). Here, instead, we focus on the exponential re-164 lationship between water column reflectance and water depth. We hypothesize that only 165 reflectance from the water column has the potential for measuring water depth in op-166

tically deep-water areas if we can account for non-linearity and abundant spectral information using ML.

Based on this hypothesis, this study consists of five key steps to evaluate a ML model 169 and interpret spectral characteristics under deep and turbid conditions (Figure 1). First, 170 we matched hyperspectral imagery acquired by the NASA airborne spectrometer, AVIRIS-171 NG, and ADCP water depth measurements from the NASA Delta-X mission (Step 1). 172 To achieve this alignment, we averaged the ADCP data on a per-pixel basis correspond-173 ing to AVIRIS-NG. We then filtered reflectance values within each pixel through a slid-174 ing window-based pixel-averaging process. We also investigated the impact of window 175 size on depth retrievals. Based on the matched dataset, we compared three regression 176 algorithms: Random forest with recursive feature elimination (RF-RFE), partial least 177 squared regression (PLSR), and OBRA (Step 2). To evaluate the sensitivity of each al-178 gorithm to depth range, we employed progressively truncated input depth (PTID) as pro-179 posed by Legleiter et al. (2018). Subsequently, the best algorithm was compared with 180 cross-sectional depth profiles from ADCP and spatial distributions from the calibrated 181 hydrodynamic model, ANUGA (Step 3) (Wright et al., 2022). This step allowed for the 182 assessment of the accuracy of the retrieved depth maps in both shallow and deep sec-183 tions, as well as in uncalibrated areas. Following that, the trained RF model was em-184 ployed to understand how reflectance spectra relate to water depth through the utiliza-185 tion of SHAP (Step 4). We also identified significant wavelengths for water depth retrievals 186 in turbid and deep conditions, considering the RF-RFE model performance in separate 187 and combined learning from two distinct campaign datasets. Ultimately, we visualized 188 the depth maps for the two campaigns from the best model and discussed the qualities 189 of the maps (Step 5). Each step is described in this section, following a description of 190 the study area. 191



Figure 1. Flowchart of the proposed framework. AVIRIS-NG = airborne visible infrared imaging spectrometer - next generation; RF = random forest; PLSR = partial least squares regression; OBRA = optimal band ratio analysis; SHAP = Shapley additive explanation.

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2.2 Study site and field data of Delta-X mission

¹⁹³ Wax Lake Delta (WLD) is a delta with an approximate area of $100km^2$, located ¹⁹⁴ in coastal Louisiana, at the mouth of the Wax Lake Outlet (WLO) (Figure 2). This re-¹⁹⁵ gion has been steadily building land due to a diversion of the Atchafalaya River with a ¹⁹⁶ strong sediment supply, resulting in sediment deposition (Hiatt & Passalacqua, 2015). Sediment input to WLD is approximately 38.4 Mt per year, with an 18% of sand fraction (Kim et al., 2009). Owing to the morphological features of WLD, the deltaic area is relatively shallow, while the riverine area is relatively deep (Figure 2a, b).

The NASA Delta-X mission provides comprehensive datasets for hydrodynamic, 200 sediment transport, and eco-geomorphic studies, incorporating both in-situ measurements 201 and remote sensing data (Simard et al., 2020). For the depth retrieval model in Step 2 202 (Figure 1), we utilized the ADCP depth data from the 2021 Spring (from 2021-03-25 to 203 2021-04-11) and Fall (from 2021-08-16 to 2021-09-25) campaigns of Delta-X as the train-204 ing and test datasets. At the measurement transects (Figure 2b), the bathymetry was 205 repeatedly measured over two times using a Teledyne RiverPro ADCP or a Sontek M9 206 RiverSurveyor ADCP. The resolution of the depth profiles ranged from 0.1m to 1m. The 207 overall dataset covered a broader expanse of the Atchafalaya and Terrebonne Basins within 208 the Mississippi River Delta floodplain. However, here, we focus specifically on the data 209 within a 35 km reach of WLD (Figure 2a). The data in this region were collected for three 210 days, specifically from 2021-03-30 to 2021-04-02 (Spring) and 2021-08-20 to 2021-08-22 211 (Fall), respectively. This narrowing of the study area allows a distinct separation between 212 deep and shallow regions, leading to the isolation of depth difference effects. Addition-213 ally, the substantial turbidity and total suspended solid (TSS) difference between the Spring 214 and Fall campaigns in this area makes it particularly suitable for analyzing the effects 215 of turbidity (Table 1). More details of depth and water quality data can be found in Christensen 216 et al. (2022) and Fichot et al. (2022). 217

To match in-situ depth data with AVIRIS-NG, we averaged the data based on the 218 pixel size of AVIRIS-NG images, which ranged from 3.8 to 5.4 m (Thompson et al., 2022). 219 220 The number (n) of averaged depth data was 1,871 and 8,308 in the Spring and Fall campaigns, respectively (Table 1). The mean values of water depth were 7.77m and 5.71m221 in each campaign, while the discharge at the apex of WLO was five times larger in Spring 222 than in Fall (Table 1). The depth ranges varied, with the maximum depth approaching 223 approximately 30m (Figure 3). This information suggests that the dataset allows the as-224 sessment of the maximum detectable depth from hyperspectral retrievals, extending up 225 to a 30m depth. 226

Parameter	2021 Spring (n)	2021 Fall (n)
Discharge (m^3/s)	5148.3 (Apex)	1646.3 (Apex)
Water depth (m)	7.77 ± 6.98 (1,871)	5.71±4.68 (8,308)
$\overline{\mathrm{TSS}~(\mathrm{mg/L})}$	80.86 ± 40.4 (44)	23.2 ± 8.14 (39)
Turbidity (NTU)	46.2 ± 15.8 (56)	13.59 ± 4.67 (42)
Chl-a (RFU)	0.80 ± 0.11 (56)	1.63 ± 0.75 (42)
Temperature (°C)	$16.30{\pm}1.31~(56)$	30.55 ± 1.27 (42)

Table 1. Field-measured values of hydraulic and water quality parameters in each campaign. ndenotes the number of measurements

2.3 AVIRIS-NG

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The Airborne Visible–Infrared Imaging Spectrometer-Next Generation (AVIRIS-NG) is a pushbroom spectral mapping system that captures 14-bit radiance as 425 spectral bands at 5-nm resolution with a range from 380 to 2510nm wavelengths (Thompson et al., 2015; Chapman et al., 2019). In the Delta-X mission, AVIRIS-NG acquired a wide



Figure 2. (a) Location of the study site, Wax Lake Delta (WLD), and water depth measurement points by ADCP. (b) Detailed image of the shallow region from AVIRIS-NG and ADCP depth.



Figure 3. Histograms of measured depths and their corresponding statistics in WLD during 2021 (a) Spring and (b) Fall campaigns.

range of hyperspectral images (HSIs) covering the Atchafalaya and Terrebonne basins 232 in the 2021 Spring and Fall campaigns. We used Level 2B (L2B) bidirectional reflectance 233 distribution function (BRDF) and sunglint-corrected surface spectral reflectance images 234 from AVIRIS-NG for hyperspectral retrievals (Thompson et al., 2023). The atmospheric 235 correction of this dataset was conducted using the optimal estimation algorithm (Thompson 236 et al., 2018a, 2018b, 2019). This process incorporates a radiometric correction for vicar-237 ious adjustment to mitigate minor differences between laboratory calibration and actual 238 flight conditions. Details regarding the radiometric correction, which utilizes in-situ ref-239 erences from the Delta-X flight campaign, as well as the sunglint correction, are docu-240

mented in Bruegge et al. (2021), Gao and Li (2021), and Greenberg et al. (2022). These corrections yield water pixel values that closely approximate the accurate normalized waterleaving reflectance (ρ_w). Consequently, by applying the Lambertian reflection model, the remote-sensing reflectance (R_{rs}) can be directly calculated by dividing ρ_w by π , reflecting isotropic reflectance (Mobley et al., 2010).

In this study, we cropped the mosaic images of the Spring and Fall campaigns within 246 the WLD region, aligning them with the ADCP dataset (Figures 2b). The image acqui-247 sition dates were 2021-04-01 (Spring) and 2021-08-20 to 2021-08-22 (Fall). Additionally, 248 we selectively utilized the wavelength range between 446nm and 897nm, a range con-249 sistently identified as effective for retrieving both water depth and suspended sediment 250 in various studies (Kwon et al., 2023a; Gwon et al., 2023; Legleiter & Harrison, 2019). 251 We used the ultimately extracted images for model development and spectral analysis 252 by aligning each pixel with preprocessed ADCP depth data, as described in Section 2.2. 253 Here, we subjected the pixel values to a 1:1 matching process with the preprocessed im-254 ages generated using sliding-window averaging with window sizes of 3x3 and 5x5, as de-255 scribed in Section 2.1. We conducted a comparative study between the images processed 256 with this sliding window and those without preprocessing to examine whether there was 257 an improvement in model performance through noise reduction. 258

- 259 **2.4 Regression methods**
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2.4.1 Optimal Band Ratio Analysis (OBRA)

261 Optimal Band Ratio Analysis (OBRA) is a representative spectrally based depth 262 retrieval algorithm proposed by Legleiter et al. (2009b). This algorithm is based on an 263 empirical equation for the depth (H) using the log-transformed band ratio (X) as an in-264 dependent variable:

$$X = \ln \left[\frac{R(\lambda_1)}{R(\lambda_2)} \right] \tag{2}$$

where $R(\lambda_1)$ and $R(\lambda_2)$ are reflectance values at the two most relevant wavelengths, λ_1 265 and λ_2 . These two bands can be identified by evaluating the regression of X versus H 266 for all possible band combinations. Following this iterative regression training, the op-267 timal band combination is determined as the one where X versus H achieves the high-268 est coefficient of determination (R^2) . The regression equation is typically selected as a 269 linear form in clear and shallow waters. However, in challenging conditions, non-linear 270 271 forms, such as exponential, power law, and quadratic forms, are considered. For a more detailed description of OBRA and its applications, refer to Legleiter and Harrison (2019). 272

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2.4.2 Partial Least Squares Regression (PLSR)

While OBRA can only account for two spectral bands, limiting its ability to consider diverse spectral information, Partial Least Squares Regression (PLSR) can leverage multiple spectral bands (Carrascal et al., 2009). Notably, PLSR demonstrates strength in handling multicollinearity and varying sample sizes, a feature that Ordinary Least Squares Regression (OLSR) lacks, making PLSR widely adopted for regression using imaging spectrometer data (Jensen et al., 2019a; Meacham-Hensold et al., 2019).

Specifically, PLSR generates new orthogonal variables called latent variables. These latent variables are derived through covariance optimization based on Principal Component Analysis (PCA) with a weighting for the dependent variable (Jensen et al., 2019b; Singer et al., 2016). The estimation of regression coefficients entails subjecting the latent variables to multiple linear regression (MLR) analysis. This transformation of reflectance spectra into latent variables enables PLSR to effectively address non-linear problems in the relationship between reflectance spectra and water depth data. Following this

transformation, the estimation involves a linear regression process with multiple predic-287 tors using MLR. In this study, we performed Recursive Feature Elimination (RFE) with 288 cross-validation (CV) and the calibration of the number of latent variables to identify 289 the optimal band set and the number of latent variables with the lowest error for PLSR 290 (Guyon et al., 2002). A higher number of latent variables can lead to overfitting due to 291 noise, so we calibrated the range of components to be between 2 and 9 (Jensen et al., 292 2019a). We conducted PLSR training and validation using the 'PLSR egression' function 293 from scikit-learn (version 1.3.1). Additionally, we adapted the 'RFE' function from scikit-294 learn (version 1.3.1) to apply PLSR with RFE-CV and calibration of the number of la-295 tent variables ('n_components' in 'PLSRegression'). 296

2.4.3 Random forest (RF)

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Random forest (RF) has gained widespread usage in spectral imaging applications, 298 demonstrating notable predictive accuracy (Cao et al., 2020; Demarchi et al., 2020; Kwon 299 et al., 2023b, 2022b; Gwon et al., 2023). In particular, RF has shown high interpretabil-300 ity through the estimation of relative variable importance, which can be used for spec-301 tral band selection. When compared to alternative ML algorithms such as the support 302 vector regressor (SVR), neural networks, and boosting-based decision tree models (e.g., 303 Gradient Boosting, XGBoost, AdaBoost), RF stands out due to its ensemble learning 304 approach (Kwon et al., 2022b). This method offers several distinct advantages, as fol-305 lows: (a) insensitivity to hyperparameters, (b) efficient model development during iterative training, reducing the required time, (c) robustness in handling noisy data, and (d) 307 efficiency in managing input variables. 308

Reducing prediction variance through ensemble learning, a concept introduced by Breiman (2001), can be advantageous for spectral data with inherent noise. RF seamlessly integrates multiple decision trees, arriving at predictions by averaging the individual tree outputs. In this process, each decision tree randomly selects samples and variables, partitioning the input variable into nodes based on the output variable values. Throughout the model training phase, the split for each node is strategically determined, aiming to maximize the reduction in overall impurity within the nodes. In this study, we estimated impurity through the mean square error (MSE), as follows:

$$MSE = \frac{1}{n} \sum_{i} \left(y_i - \hat{y}_i \right)^2, \qquad (3)$$

where *n* represents the number of observations, y_i is the true value (ADCP water depth data), and \hat{y}_i denotes the estimated value (the retrieved water depth from hyperspectral data). From the impurity of the trained model, we can estimate the relative band importance since impurity reduction in each spectral band indicates a positive effect on prediction. Therefore, we can estimate the relative band importance (I_{λ}) by:

$$I_{\lambda} = \sum_{nt} \Delta MSE_{\lambda}(nt), \tag{4}$$

where λ is the wavelength of each spectral band and *nt* is the number of trees in the en-309 semble structure of RF. Furthermore, I_{λ} can be used for optimal band selection using 310 RFE with CV. Kwon et al. (2022a) employed RFE for hyperspectral retrievals of sus-311 pended sediment concentration under optically complex conditions. That study high-312 lighted that utilizing the optimal combination of bands through RFE is more efficient 313 than using the entire set of bands in hyperspectral imagery. Therefore, we employed RF-314 RFE to select important bands for the spring and fall campaigns. Subsequently, we de-315 rived optimal band combinations from the combined dataset of both campaigns and then 316 utilized them for spectral characteristic analysis in the WLD. We trained and validated 317 RF using the 'RandomForestRegressor' function from scikit-learn. We used the default 318 values of hyperparameters and tuned only the most sensitive parameter ('max_features' 319

in 'RandomForestRegressor') in RF, due to its insensitivity to other hyperparameters (Probst et al., 2019; Kwon et al., 2022b).

322 2.4.4 Model validation and error metrics

We compared the three models introduced above using the combined dataset of both the Spring and Fall campaigns. We conducted the training and test for all models by randomly splitting the entire dataset into 80% for training and 20% for testing. To ensure unbiased validation, we performed a 5-fold CV using four error metrics: coefficient of determination (R^2) , root mean squared error (RMSE), mean absolute percentage error (MAPE), and relative root mean squared error (RRMSE). The equations for each metric are as follows:

$$R^{2} = 1 - \frac{\sum_{i} (y_{i} - \hat{y}_{i})^{2}}{\sum_{i} (y_{i} - \bar{y})^{2}}$$
(5)

$$RMSE = \sqrt{\frac{1}{n} \sum_{i} (y_i - \hat{y}_i)^2} \tag{6}$$

$$MAPE = \frac{1}{n} \sum_{i} \left| \frac{y_i - \widehat{y}_i}{\widehat{y}_i} \right| \times 100\%$$
(7)

$$RRMSE = \frac{RMSE}{\bar{y}} \times 100\% \tag{8}$$

To evaluate the maximum detectable depth (d_{max}) , we followed the OBRA progressively truncated input depths (OPTID) framework proposed by Legleiter et al. (2018). This framework iteratively trains and validates the OBRA approach using a dataset that excludes water depth over a series of specified cutoff depths. By examining the regression results of R^2 for the excluded dataset, the inflection point can be interpreted as d_{max} . We replaced OBRA with PLSR and RFE-RF in OPTID, which can be referred to as PTID.

Using the optimal model, we further assessed the accuracy of the retrieved depth by comparing it with cross-sectional profiles of water depth obtained from ADCP, allowing the identification of d_{max} . Additionally, we used the spatial distribution of depth from a calibrated ANUGA hydrodynamic model of WLD (Wright et al., 2022) to compare the spatial distribution of modeled depth with retrieved depth map and evaluate the error in untrained regions.

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2.5 Spectral analysis using SHAP

The primary disadvantage of ML is that understanding the causal relationship be-343 tween input and output data is challenging owing to its black-box structure (Li, 2022). 344 Interpretable ML has emerged as a powerful tool in data science to address the demand 345 for understanding complex structures in ML. SHAP is one of the recent advanced ex-346 347 plainable AI for tree-based models (Lundberg & Lee, 2017; Lundberg et al., 2020). This algorithm can describe the performance of ML with variable contributions based on game 348 theory (Shapley, 1953) and a local explanation technique (Ribeiro et al., 2016). To es-349 timate the contributions of variables to the model output, the SHAP value is computed 350 as: 351

$$SHAP_{i}(f) = \sum_{S \subseteq N \setminus \{i\}} \frac{|S|!(|N| - |S| - 1)!}{|N|!} [f(S \cup \{i\}) - f(S)]$$
(9)

where $SHAP_i(f)$ represents the SHAP value of model f for the i_{th} variable, N denotes 352 the set of all variables, and S is a subset of variables excluding the i_{th} variable. |S| rep-353 resents the cardinality (the total number of elements) of the subset S, and |N| is the to-354 tal number of variables. $f(S \cup \{i\})$ represents the model output, including the i_{th} vari-355 able in addition to the subset S, while f(S) is the model output accounting for only the variables in the subset S. The term $\frac{|S|!(|N|-|S|-1)!}{|N|!}$ serves as the probability weight of 356 357 |S|. Lastly, $f(S \cup \{i\}) - f(S)$ is the difference between model output with and without 358 the i_{th} variable. Therefore, the SHAP value for each variable can be interpreted as the 359 average marginal contribution to the model prediction across all possible models with 360 varying combinations of variables. This metric enables us to interpret how the predic-361 tions change when the values of each input variable vary. 362

In this study, we employed SHAP with RF to interpret spectral variability under the turbid and deep conditions of WLD. Our analysis includes the assessment of spectral band contributions and their relationship with water depth for both the Spring and Fall datasets, as well as for the combined dataset. Specifically, our analysis involves two key goals: 1) assessing the contributions of each spectral band for deep depth estimation under turbid conditions, focusing on maximum detectable depth, and 2) investigating how the RF learns from the combined dataset of the two campaigns with distinct spectral characteristics when trained collectively.

371 **3 Results**

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3.1 Spectral characteristics of WLD

The reflectance spectra obtained from AVIRIS-NG during the Spring and Fall cam-373 paigns consistently exhibit high values in the visible range, from 500 to 700 nm, where 374 absorption and attenuation within the water column are typically low (Figure 4). How-375 ever, the wavelength corresponding to the maximum reflectance varies. In turbid con-376 ditions (Spring), we observed a peak near the red-edge (700 nm), associated with sus-377 pended sediment. Conversely, during fall, under clear waters with low turbidity but higher 378 organic content (as indicated by elevated Chl-a in Table 1) due to seasonal bioproduc-379 tivity (Harringmeyer et al., 2024), the maximum values are around the green (550 nm). 380 As detailed in Table 1, we attribute this variation to the backscattering of light by sus-381 pended matters within the water column, resulting in increased reflectance under high-382 turbidity conditions. The notably higher reflectance around 700 nm suggests a more pro-383 nounced increase in reflectance due to the strong correlation with suspended sediments 384 in that wavelength range (Kwon et al., 2022b). 385



Figure 4. Reflectance spectra with varying total suspended solids (TSS) from AVRIS-NG captured in (a) shallow and (b) deep regions.

The relationships between reflectance spectra at different depths and TSS (Figure 386 5) show that in conditions of low turbidity, variations in reflectance due to changes in 387 water depth are mainly observed in the falling limb of the reflectance spectrum, partic-388 ularly in the near-infrared (NIR) region beyond 800 nm (Figure 5a). However, under high-389 turbidity conditions, reflectance differences are minor despite variations in water depth 390 (Figure 5b). This phenomenon suggests that, in the presence of suspended matter within 391 the water column, solar energy is unable to penetrate and is mostly reflected, highlight-392 ing the dominance of the water reflectance term in Equation (1). Nevertheless, the short 393 blue band under 500 nm, consistent with the rising limb in Figure 5b, shows a deviation 394 from the 1:1 line because of the depth difference. These reflectance alterations suggest 395 that NIR and short blue bands could be effective for depth retrievals in both cases. 396



Figure 5. Spectral relationships under varying water depth (H) and TSS conditions. (a) Different depths with low TSS during the 2021 Fall campaign. (b) Different depths with high TSS during the 2021 Spring campaign. (c) Varying TSS levels in shallow regions. (d) Varying TSS levels in deep regions. Arrows indicate the rising and falling limbs in the spectra in Figure 4.

Compared to the minor effect from the depth difference, the TSS difference shows substantial effects, shaping the hysteresis loop in Figures 5c and d. This result suggests that sediment acts as a significant confounding factor in water depth retrievals. The wavelength range from 550 to 600 nm is considered relevant for bottom reflectance under the water column in various studies focusing on water depth retrievals (Legleiter & Harrison, 2019; Legleiter et al., 2016; Gwon et al., 2023; Kwon et al., 2023c; Niroumand-Jadidi et al., 2022). However, suspended sediment can substantially disrupt reflectance in this range by impeding light transmittance. This observation is consistent with our hypothesis, as discussed in Section 2.1, which posits negligible bottom reflectance in turbid and deep conditions. Therefore, the spectral characteristics of WLD during the 2021 Spring and Fall campaigns are highly complex owing to high turbidity. We provide a detailed assessment of hyperspectral retrieval performance using this dataset in the following sections.

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3.2 RF performance evaluation based on sliding-window pixel averaging

Spectral images inherently contain pixel-level noise primarily due to water surface 412 roughness (Zeng et al., 2017). Legleiter and Kinzel (2021) enhanced the texture of depth 413 maps from RGB videos collected by helicopters and unmanned aircraft systems (UASs) 414 through temporal and spatial averaging. They reported that temporal averaging showed 415 superior enhancement; however, hyperspectral images are still acquired as a single-frame 416 image. Additionally, UASs or airborne-based spectral images are more susceptible to noise 417 due to their narrower pixel size, compared to satellite images. Therefore, here, we as-418 sessed the accuracy of RF-RFE training based on spatial averaging with sliding window 419 sizes of 1x1, 3x3, and 5x5. The accuracy $(R^2, \text{Figure 6})$ increased from 0.91 to 0.94 with 420 the 3x3 sliding window pixel averaging. The standard deviation also decreased, suggest-421 ing a more stable estimation. While a window size of 5x5 further improved accuracy, it 422 led to an increase in standard deviation. Consequently, we determined that a window 423 size of 3x3 is the optimal choice, balancing both accuracy and stability in our RF train-424 ing. 425



Figure 6. Comparison of model performance based on pixel window size. The results indicate average R-squared (R^2) values and their corresponding standard deviations from 5-fold cross-validation (CV).

3.3 Comparison of regression methods

We compared RF-RFE with three widely used depth retrieval algorithms, linear 427 OBRA, exponential OBRA, and PLSR-RFE. Legleiter and Harrison (2019) reported that 428 the exponential OBRA demonstrated better performance than other non-linear forms 429 and has the advantage of yielding only positive depth values. Therefore, we implemented 430 both the linear and exponential forms of OBRA for comparison. For training and test-431 ing, we used a combined dataset from both campaigns to evaluate performance in gen-432 eralizing optically complex datasets. We compared the 5-fold CV results and selected 433 wavelength, as summarized in Table 2. 434

Algorithm	$CV R^2$	Selected wavelength (nm)
Linear OBRA (combined learning)	$0.27 {\pm} 0.014$	762, 832
Exponential OBRA (combined learning)	$0.24{\pm}0.020$	762, 832
PLSR-RFE (combined learning)	$0.51 {\pm} 0.021$	$\begin{array}{l} 481,\ 541,\ 546,\ 551,\ 556,\ 561,\ 591,\ 646,\ 681,\ 702,\\ 707,\ 712,\ 727,\ 732,\ 737,\ 742,\ 747,\ 752,\ 757,\ 767,\\ 792,\ 797,\ 802,\ 807,\ 812,\ 877,\ 882,\ 887\\ (number of \ component\ =\ 8) \end{array}$
PLSR-RFE (Spring)	$0.55 {\pm} 0.016$	$\begin{array}{l} 656,\ 661,\ 752,\ 762,\ 767,\ 827,\ 837,\ 862,\ 877,\ 887,\\ 897\\ (\text{number of component}=9) \end{array}$
PLSR-RFE (Fall)	$0.58 {\pm} 0.014$	$\begin{array}{c} 511,\ 531,\ 541,\ 561,\ 571,\ 591,\ 641,\ 666,\ 707,\ 732,\\ 737,\ 762,\ 797,\ 807,\ 852\\ (number of \ component\ =\ 9) \end{array}$
RF-RFE (combined learning)	$0.94{\pm}0.005$	446, 451, 481, 486, 531, 546, 551, 556, 561, 566, 571, 591, 707, 712, 762, 767, 882, 887, 892, 897
RF-RFE (Spring)	0.92±0.003	446, 451, 456, 461, 466, 471, 481, 486, 491, 496, 501, 511, 516, 521, 526, 531, 556, 566, 571, 586, 591, 606, 636, 651, 661, 666, 676, 681, 686, 717, 722, 747, 762, 767, 782, 832, 852, 872, 882, 887, 892, 897
RF-RFE (Fall)	$0.97 {\pm} 0.001$	486, 581, 586, 591, 696, 767, 897

Table 2. R^2 of 5-fold cross-validation (CV) and selected wavelengths of each model. The PLSR results include the calibrated number of components.

Both linear and exponential OBRA select the same wavelengths and exhibit a sim-435 ilar distribution of correlation between water depth and band ratio for all possible band 436 pairs (see supporting information Figure S1). The selected wavelengths are included in 437 the NIR region, not corresponding to wavelengths commonly used in water depth retrievals. 438 Although the accuracy is higher in the linear form, both approaches result in low accu-439 racy with CV R^2 values of 0.27 and 0.24. We attribute this result to the weak correla-440 tion between water depth and the optimal band ratio $(X = \ln(R_{rs}(742)/R_{rs}(812)))$, 441 revealing no discernible trend in both campaigns (see supporting information Figure S2). 442 Therefore, the plot of in-situ measured versus estimated depth from linear OBRA shows 443 an apparent limitation in estimating depth beyond 10 m in training and testing (Fig-444 ures 7a, b). 445

PLSR-RFE selects 28 spectral bands from a total of 91 bands across the entire wave-446 length range. Refer to the RFE results in Figure S3a in the supporting information. This 447 model improves the CV R^2 to 0.51, which is twice as high as that achieved by linear OBRA 448 (Table 2). While the OBRA shows a flat slope in the comparison plot, the depth esti-449 mated by PLSR shows better agreement with the observations, with a slope closer to 1:1 450 (Figures 7b, e). This enhancement results from considering a greater number of spec-451 tral bands. Specifically, this result suggests that accounting for spectral variability is cru-452 cial for training two campaign datasets with markedly different turbidity conditions. How-453 ever, the overall estimated depth is underestimated, and the MAPE remains high at 77.25%454 and 70.08% in training and testing results, respectively. The calibrated numbers of la-455 tent variables are 8 or 9, which are high values within the calibration range (Figure S3). 456



Figure 7. Comparison of models (OBRA, PLSR-RFE, and RF-RFE) for depth retrieval. (a-c) Training dataset: 80% random split. (d-f) Testing dataset: 20% random split.

- This indicates the complexity of the training dataset and leads to poor generalization to new data (Jensen et al., 2019a). Furthermore, this model exhibits a particular limitation under conditions of high turbidity, as demonstrated by the poorer result in the Spring compared to that in the fall (Table 2 and Figure S3 b and c).
- A comparison between OBRA and PLSR highlights the importance of learning from 461 various spectral bands. In this context, RF substantially enhances the depth retrieval 462 performance, achieving a CV R^2 of 0.94 by reflecting high non-linearity and learning rel-463 evant spectral bands (Table 2). The standard deviation of CV is relatively low at 0.005 464 compared to other methods. This result is consistent with the high correlation in the plot 465 of in-situ measured versus estimated depth (Figures 7c and f). The MAPE values are 466 6.81% and 13.2%, representing more accurate results compared to other remote sensing-467 based depth retrievals in shallow or clear waters (Legleiter & Harrison, 2019). The note-468 worthy point here is that there is high consistency for estimation of depth up to approx-469 imately 30 m in both training and testing (Figure 7c, f). 470

By learning from the combined dataset, RF-RFE is the most accurate when it learns 471 20 spectral bands (Table 2). Considering the RMSE results based on the number of bands 472 selected by RFE, the accuracy tends to converge from around 20 bands (Figure S3b). 473 These findings imply that learning from approximately 20 bands is advisable when deal-474 ing with a diverse range of depth and turbidity conditions. Additionally, results from train-475 ing RF on Spring and Fall datasets separately reveal differences in the optimal number 476 of bands according to turbidity variations (Table 2). Under high turbidity conditions in 477 Spring, 42 bands are selected, with a preference for both longer and shorter wavelengths—under 478 500 nm and beyond 700 nm. In contrast, under low turbidity conditions in Fall, only 7 479 bands are selected, and these bands are relatively evenly distributed across wavelengths. 480 These findings reveal that learning a wide range of wavelengths is beneficial to estimate 481 depth under turbid conditions, although the 550 - 600 nm bands are widely used due to 482 a high correlation with riverbeds. 483

For deeper insights into the maximum detectable depth, the results of the PTID 484 show that $CV R^2$ values of linear OBRA and PLSR initially decrease with the cutoff depth, 485 and then gradually increase until reaching convergence near 15 m (Figure 8). This ob-486 served trend aligns with the findings from OPTID results in shallow and clear rivers, such 487 as the Sacramento River in northern California and the Snake River in Wyoming, USA 488 (Legleiter et al., 2018; Legleiter & Harrison, 2019). The absence of an inflection point 489 implies that the depth retrieval models are robust to variations in depth range and, rather, 490 sensitive to the dataset size. However, it is noteworthy that the CV R^2 values of OBRA 491 and PLSR remain low and are not applicable even under shallow conditions due to high 492 turbidity. 493



Figure 8. Model performance with progressively truncated input depths.

In contrast, RF-RFE demonstrates stable and accurate performance across the cutoff depth sampling. The CV R^2 values for training are consistently maintained above 0.9 from 2 m to 30 m. Moreover, CV R^2 values for testing gradually increase and converge, reaching stability near 8 m. This result underscores the ability of RF-RFE to be effectively trained on datasets with depths up to approximately 30 m.

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3.4 Depth mapping and comparison with ADCP and a hydrodynamic model

We map the depth of both campaigns using RF-RFE with combined learning (Ta-501 ble 2). To estimate water depth within the wet area, we utilize the normalized difference 502 water index (NDWI) to identify wet pixels, following the methodology outlined by Kwon 503 et al. (2023c). Subsequently, we assess the quality of the depth map by comparing it with 504 ADCP measurement and ANUGA hydrodynamic model results (Wright et al., 2022), 505 particularly focusing on performance within shallow and deep regions (the retrieved depth 506 map for the entire region during the Spring and Fall campaigns can be seen in Figures 507 S3 and S4 in the supporting information). 508

To evaluate depth mapping in detail, we retrieve the cross-sectional depth profiles by the RF-RFE and compare them with ADCP measurements in both shallow (H < 5m) and deep regions (H > 15m) (Figure 9). The cross-sections exhibit irregular depth patterns attributed to dynamic sediment transport in the sediment partitioning region. Despite this irregularity, RF effectively estimates the profiles during both Spring and Fall. In particular, the depth profiles retrieved from the image, filtered by a 3×3 window, reproduce a clear shape, even capturing the hump shape (Figure 9b) with an RRMSE under 5%. In contrast, profiles without sliding window averaging include higher noise levels, with an RRMSE twice as high. For deep cross-sections (Figures 9c and 9d), the RRMSE slightly increases; however, RF successfully retrieves depths of approximately 30 m (Figure 9c), reaching the maximum depth measured by ADCP. Therefore, this result highlights the applicability of RF-RFE to turbid and deep water conditions.



Figure 9. Comparison of depth measurements: remote sensing versus field measurements. (ab) Shallow sections ($H_{max} < 5m$) during the 2021 Spring and Fall campaigns. (c) Deep sections ($H_{max} > 10m$) during the 2021 Spring and Fall campaigns. The blue and red lines represent results with window sizes of (1×1) and (3×3), respectively.

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The retrieved depth map (Figure 10a) of the turbid case (Spring) from RF (combined learning) (Figure 10a) exhibits similar spatial patterns to ADCP measurements (Figure 2b) and the ANUGA-simulated map in both shallow and deep regions (Figure 10b). However, the difference map between both depth maps shows some disconnected and noisy regions with overestimation or underestimation in the hyperspectral retrieved map. This result could be attributed to noise in the raw image, arising from cloudiness or surface reflection. Additionally, certain areas may have entirely different water characteristics not adequately represented in the RF-RFE training data. These points will be discussed in Section 4.3.

3.5 Spectral analysis using SHAP

We can interpret the distinct spectral characteristics of AVIRIS-NG images cap-531 tured during the Spring and Fall campaigns through the relative band importance de-532 rived from the RF (Figure 11). During the Spring campaign, the 446 nm wavelength, 533 the shortest in the spectrum, is notably important. In contrast, the Fall campaign re-534 sults highlight the 897 nm wavelength as the most crucial. While the spectral bands com-535 monly used for depth estimation in the 550 - 600 nm range show some significance un-536 der both conditions, the high importance of short (446 nm) and long (897 nm) wavelengths 537 for each campaign implies their effectiveness as discriminative factors, possibly due to 538 variations in turbidity between the two cases. In addition, RF can generalize spectral 539



Figure 10. Spatial comparison of depth measurements in 2021 Spring campaign: (a) AVIRIS-NG versus (b) ANUGA. (c) Difference map.

variability from both cases within a single model by incorporating all crucial bands iden-540 tified in each instance (Figure 11b). The most critical bands for the RF, trained using 541 a combined dataset, are related to high attenuation (446, 897 nm), sediment (712 nm), 542 and riverbed (531 nm). The red-edge (712 nm) and green (531 nm) bands are typically 543 used for suspended sediment and depth retrievals, respectively (Kwon et al., 2022a; Legleiter 544 et al., 2009b), but they are less important than the high attenuation bands (446, 897 nm) 545 (Pegau et al., 1997) under deep and turbid water conditions. The variation in chl-a be-546 tween the campaigns is linked to the significance of the long green (571 nm) band, which 547 typically peaks at high chl-a concentrations (Pahlevan et al., 2020; Pyo et al., 2018). How-548 ever, in conditions of high turbidity and low chl-a during Spring, the 571 nm band gains 549 more importance. Conversely, under low turbidity and high chl-a conditions during Fall, 550 the 712 nm band becomes more prominent. This distinction results from the ability to 551 filter out sediment and organic effects that hinder accurate depth measurement. 552



Figure 11. Relative importance of spectral bands estimated by RF. (a) Importance from separately trained RF for each campaign. (b) Importance from RF trained on a combined dataset of both campaigns.

The SHAP results provide a deeper interpretation of the causal relationship between depth and spectral bands within RF showing how the top 20 key spectral bands influence the model output (Figure 12). Here, the SHAP values indicate the degree to which spectral bands impact the model output – a positive value increases the predicted value (related to deep depth), while a negative value decreases it (related to shallow depth).

⁵⁵⁸ Notably, the selected key bands for Spring and Fall were similar, but their influences,

as observed in the distribution of SHAP values, exhibited different trends.



Figure 12. SHAP summary plot from trained RF representing the impact of each spectral band on model output. This graph ranks the top 20 most important bands by their SHAP value. The colorbar represents the relative value of reflectance.

In the case of Spring, the short blue (446 nm) band is the most significant and de-560 creases the predicted depth. The dominance of its influence is evident through the wide 561 range of SHAP values. Shorter blue wavelengths under 450 nm are highly sensitive to 562 turbid factors (e.g., suspended sediment, organic matter) due to the higher absorption 563 and attenuation coefficients within the water column. Conversely, the NIR band (897 564 nm) increases the model output, indicating a strong relationship with deeper depths. Both 565 446 nm and 897 nm are closely associated with water column absorption and attenua-566 tion, but 897 nm is more affected by water turbidity. Therefore, owing to the high tur-567 bidity in Spring, the 446 nm band played a more crucial role than the 897 nm band. 568

In the Fall, the NIR band (897 nm) is the most crucial band, and it has a strong positive correlation with the predicted depth while showing a negative relationship with model output. This disparity can be attributed to the low turbidity conditions in the Fall. Low turbidity can strengthen the attenuation of the NIR bands, thereby increasing the transmittance of these bands. This effect is also consistent with the low R_{rs} value observed in the reflectance spectrum (Figure 4). Despite the dominance of high attenuation bands (446 nm and 897 nm), other bands also demonstrate non-negligible impor-

tance. This result implies a high degree of spectral variability in each case. The SHAP 576 results from the RF model with combined learning (Figure 12c) show that the diverse 577 impacts of each spectral band are effectively reflected by the RF model, with the impor-578 tant band ranking consistent with the result of the individual campaigns. Notably, both 579 446 nm and 897 nm bands emerge as the top two significant variables, further affirm-580 ing their significant role in accounting for the differences between the two cases. The NIR 581 band (897 nm) is typically affected by temperature, potentially influencing its role for 582 individual campaigns (Pegau et al., 1997). 583

The SHAP dependence plot provides additional insight into the relationship be-584 tween crucial bands (446 nm, 897 nm, and 712 nm) and water depth. The 446 nm band 585 shows a clear negative correlation with the SHAP value under high turbidity conditions 586 (Figure 13a); this correlation is attributed to its high correlation with the NIR band, aris-587 ing from a shared feature of high attenuation. Although the SHAP value trends are not 588 clear when turbidity is low, we observe some high positive values when reflectance val-589 ues are high (Figure 13b). These SHAP values indicate that the short blue band is as-590 sociated with both shallow and deep ranges under high turbid conditions. However, un-591 der low turbidity, its correlation is limited to specific deep regions. 592



Figure 13. SHAP dependence plot to understand the contribution of high-ranked bands in Spring, Fall, and combined datasets: (a-c) Blue band (446 nm), (d-f) NIR band (897 nm), (g-i) Red-edge band (712 nm). The colorbar shows the corresponding most correlated band and the red box indicates the sensitive SHAP value range.

In both Spring and Fall, the 897 nm band exhibits a positive correlation with SHAP values (Figures 13d and e). However, in both cases, it shows linearity only at reflectance values below 0.05 and irregular trends beyond that point. Moreover, under high turbidity conditions, it consistently shows positive SHAP values. Conversely, the 712 nm band, closely linked to sediment, demonstrates high SHAP values only when reflectance is below 0.05 under low turbidity (Figures 13g and h). This result suggests that, in the case
of high turbidity, the 446 nm and 897 nm bands having higher attenuation coefficients
in the water column, are more important for deep-depth estimation than the 712 nm band
related to sediment. This result aligns with the hypothesis of this study that water column reflectance can be used for depth retrievals due to its relationship as exponential
attenuation with water depth (see Section 2.1).

Notably, in the results from the combined dataset, these distinctly different patterns overlap, indicating that the model can account for the spectral variability of both datasets (Figures 13c, f, and i). This result reveals that RF is capable of learning complex datasets by effectively handling them through data separation, as shown in the color difference in the plot, similar to a clustering approach.

609 4 Discussion

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4.1 Transferability of hyperspectral retrievals for depth mapping

Hyperspectral depth retrievals can be a strong alternative to depth surveys by of-611 fering detailed spatial information. However, several limitations need to be addressed, 612 including performance under optically complex conditions, before they can be applied 613 to a variety of settings. This study revealed the feasibility of hyperspectral retrievals even 614 in deep and turbid conditions, one of the most challenging tasks for a remote sensing ap-615 proach. We showed that combining learning of spectral data under vastly different con-616 ditions is feasible at WLD. The Spring campaign case with high turbidity resulted in a 617 $CV R^2$ of 0.92. Interestingly, when trained with the Fall campaign case with lower tur-618 bidity, the CV R^2 increased to 0.94. Despite such improvement, we also identified sev-619 eral constraints and inherent limitations in passive remote sensing using an empirical ap-620 proach. As indicated by the SHAP results, transferring separate models with substan-621 tially different turbidity conditions is challenging. Spectral analysis revealed that when 622 turbidity varies by approximately fourfold, the spectral characteristics change significantly. 623 Consequently, although SHAP rankings for key bands were similar between spring and 624 fall, their relationship with model output (water depth) was markedly different, as de-625 picted in the dependence plot (Figure 13). This strong dependence on the learning dataset 626 is an inherent disadvantage. Therefore, while transferability might exist for separately 627 trained models under similar conditions, achieving global applicability within the trained 628 region necessitates learning across various conditions through combined learning. The 629 consistency in results between separate and combined learning indicates the potential 630 for continuous improvement through learning from various datasets, as demonstrated in 631 Table 2. 632

To develop a model applicable to various conditions, it is necessary to collect more 633 diverse data. In particular, confounding factors of water quality (e.g., suspended sedi-634 ment, CDOM, and chlorophyll) substantially affect spectral characteristics, as they di-635 rectly influence the inherent optical properties (IOPs) such as the absorption and backscat-636 tering coefficients of water (Fan et al., 2015; Woźniak & Stramski, 2004). In the cases 637 shown in this study, we observed significant variations in water depth, but not in wa-638 ter quality parameters. Hence, future studies should analyze the impact of variability 639 in other water quality parameters on the transferability of the depth retrieval model. The 640 AVIRIS-NG images used in this study covered a large deltaic area. Acquiring frequent 641 drone or airborne images requires significant effort. Therefore, for a more comprehen-642 sive analysis of spatial variability, we expect that hyperspectral or multispectral satel-643 lite imagery covering a wide area will provide deeper insights. To account for spatial vari-644 ability in water quality, spectral clustering can classify each river based on water qual-645 ity, as reported in the spectral clustering-based regression method in a confluence (Kwon 646 et al., 2023b), and divide the region according to spectral similarity. 647

Additionally, we need a more comprehensive understanding of the spectral char-648 acteristics of deep and turbid waters, where depth retrievals rely solely on water column 649 reflectance. The wavelength of the most important bands, 446 nm in Spring and 867 nm 650 in Fall, typically demonstrate high attenuation, and these bands showed a strong cor-651 relation with a wide range of water depths (Figures 13a and e). However, the dominant 652 wavelength range varied significantly among different rivers. Hence, future studies should 653 include measuring IOPs within specific wavelength ranges to analyze the relationship be-654 tween attenuation and depth in deep and turbid conditions. 655

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4.2 Maximum detectable depth from hyperspectral remote sensing

As depicted in Figure 9c, we found the maximum detectable depth from AVIRIS-657 NG to be approximately 30 m, even in turbid conditions—a significant finding that po-658 sitions the proposed approach as a promising method compared to other remote sens-659 ing techniques. Among the various imaging spectroscopy approaches, our results show 660 an enhancement compared to the approximately 4 m of maximum depth reported in pre-661 vious research (Legleiter & Harrison, 2019). This enhancement suggests that remote sensing-662 based depth retrievals can be further improved owing to the rapid development of plat-663 forms, sensors, and algorithms. The relationship between reflectance and depth is affected 664 by the depth range, as represented in Equation (1). Therefore, learning various spectral 665 bands and non-linearity is crucial to account for the complexity arising from varied depths, 666 which can be achieved by ML. 667

Recent advancements in sensors and algorithms have significantly improved the ac-668 curacy and reliability of lidar-based bathymetry (Chen et al., 2021; Zhang et al., 2022; Xie et al., 2023). Chen et al. (2021) introduced a novel algorithm, the adaptive variable 670 ellipse filtering bathymetric method (AVEBM), for lidar applications. Employing this 671 method, they demonstrated the capabilities of the ICESat-2 equipped with the ATLAS, 672 which incorporates a photon-counting technique, to enhance water depth estimation to 673 approximately 17 m. Notably, this evaluation was conducted under clear water condi-674 tions. Turbidity poses significant challenges for lidar performance, especially consider-675 ing its utilization of a single wavelength, typically green. The imaging spectroscopy ap-676 proach could be more effective for deep water detection under turbid conditions because 677 of the range of wavelengths included. Spectral bands across 446 to 897 nm contribute 678 to the model learning performance (Figure 12c). Green bands should be among those 679 sensitive to water quality parameters like chlorophyll and CDOM. 680

This study used only the data collected during the 2021 Spring and Fall campaigns in WLD; thus, further evaluation under more diverse conditions is necessary. During these campaigns, TSS was the dominant factor influencing reflectance spectra shapes (Figure 5). This result suggests that we need further evaluation of the maximum detectable depth according to TSS or turbidity. In addition, unavailability of imagery during cloudy and rainy weather is an inherent disadvantage of passive remote sensing. Therefore, the selection of sensors for coastal remote sensing should be flexible, taking into account the objectives of the study and the surrounding environment.

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4.3 Control factors for depth mapping quality

When comparing the depth maps from AVIRIS-NG and ANUGA (Figure 10), we observed discontinuous regions in the deep river areas. The RF model demonstrated high accuracy not only with the training data but also with test data and cross-validation (Figure 7 and Table 2). This result indicates that the model performs well in retrieving the ADCP-measured locations. However, the estimation of deep water depth can be quite susceptible to subtle variations in reflectance. Under deep water depth, alterations in depth were primarily observable in the short blue (446 nm) or NIR (897 nm) bands (Figure 5), where the attenuation coefficient is high. Moreover, under high turbidity, these depthrelated changes were minimal, implying that RF learned this subtle difference.

The averaged reflectance spectra of the discontinuous areas (underestimated ar-699 eas) and the normal deep areas of the Spring campaign (Figure S6a) show that, how-700 ever, the reflectance difference was not substantial. The difference plot (Figure S6b) in-701 dicates that the main differences occurred within the short blue and NIR wavelengths. 702 This difference is close to the contrast between the shallow (approximately 4 m) and deep 703 (approximately 20 m) areas within these wavelength ranges (Figure S6b). Considering 704 the subtle effects of depth difference on reflectance under turbid conditions (Figure 5b), 705 this slight difference can lead to the underestimation of depth. 706

Reflectance with high attenuation is highly sensitive to noise, which cannot be overcome by atmospheric correction (Vanhellemont, 2019). Additionally, variations in airborne altitude, pitch, and roll along each flight path can influence the viewing geometry between the sensor and the observed surface, thereby slightly influencing the BRDF
effects in the acquired spectra.

Addressing the correction of discontinuous regions in deep areas is an important 712 direction for future studies. Establishing a relationship between water level and river width 713 in deep regions through remote sensing products can provide valuable insights for cor-714 rection (Wu et al., 2023). This approach involves estimating depth in discontinuous re-715 gions and subsequently integrating this information with the depth map. Additionally, 716 a fusion approach could involve integrating lidar-derived water level maps. This method 717 would allow the correction of error-prone areas and could be used to convert spectrally 718 estimated depths to water levels. The Surface Water and Ocean Topography (SWOT) 719 mission is providing greatly improved spatiotemporal data on surface elevation of global 720 water bodies (Liu et al., 2024). Coupling imaging spectroscopy and SWOT data can make 721 bathymetry mapping more accessible worldwide and enhance the potential for high res-722 olution and repeat morphological monitoring of deltaic dynamic systems. 723

⁷²⁴ 5 Concluding remarks

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Retrieving water depth in turbid and deep waters is one of the most challenging tasks in coastal remote sensing. To explore the potential of imaging spectroscopy for depth mapping in such demanding conditions, we evaluated ML-based hyperspectral retrievals using AVIRIS-NG imagery in the WLD. Combining the RF-RFE with SHAP, this study investigated the spectral variability of deep and turbid waters, focusing on the influence of key spectral bands on depth estimation in these challenging conditions. Our result support five key findings as follows:

- The study revealed intricate spectral characteristics of WLD in deep and turbid conditions, highlighting variations in reflectance spectra influenced by suspended sediment and water depth. Notably, this study highlighted that reflectance spectra showed subtle differences against depth variations under turbid conditions, while the TSS variations induced high variability. Nevertheless, we found the short blue (446 nm) and NIR (897 nm) bands to be key variables for deep water retrievals.
 - 2. RF-RFE successfully generalized two different campaign datasets, producing robust depth retrieval across the WLD. This result was achieved through the advantages of multi-band learning and non-linearity learning. The RF-RFE achieved a high CVR^2 of 0.94 ± 0.005 for the combined learning, outperforming other regression methods (OBRA and PLSR).
- The application of RF-RFE for depth mapping showed accurate depth estimation,
 even in irregular and deep cross-sections. This model revealed a significant improvement in the maximum detectable depth, reaching approximately 30 m even in tur bid conditions.

- A SHAP analysis suggested that various spectral bands are necessary to address
 spectral variability arising from turbidity and varied depth range. Notably, the
 short blue and NIR bands emerged as the most dominant across the entire depth
 range, implying the significance of attenuation within the water column in deep
 and turbid conditions.
- The effective bands were similar for each campaign regardless of turbidity, but their relationship with water depth was substantially different. The SHAP dependence plot showed that combined learning of RF-RFE enables the model to effectively handle spectral variability in complex datasets.

The study also found limitations in deep-depth retrievals under turbid conditions. Due to the high dependency on short blue and NIR bands, subtle variations in reflectance values induced by weather and water surface conditions could be crucial for accurate depth mapping. Therefore, correcting discontinuous regions in deep areas needs further investigation. A fusion of multi-remote sensing products could be beneficial to achieve more robust and accurate depth mapping.

762 Conflict of Interest

The authors declare no conflicts of interest relevant to this study.

764 Data Availability Statement

ADCP water depth data are available for download from the ORNL DAAC at https:// doi.org/10.3334/ORNLDAAC/2081. Similarly, AVIRIS-NG L2B BRDF-adjusted surface reflectance data can be accessed from the ORNL DAAC at https://doi.org/10.3334/ ORNLDAAC/2139. The processing codes can be found at https://github.com/siyoonk/ Kwon_Depth_mapping_WLD.

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Figure 1.



Figure 2.





Figure 3.



	count mean std min 25% 50% 75% max	8308 5.71 4.68 0.48 2.69 3.73 7.53 23.31	
2	20	25	30
n)		23	50

Figure 4.



Figure 5.



Figure 6.



Figure 7.



Figure 8.



Figure 9.



Figure 10.



Figure 11.



Figure 12.



(c) Combined dataset



Wavelength (nm)



Reflectance value

Low

Figure 13.



Figure S1.



Figure S2.



Figure S3.



Figure S4.


Figure S5.



Figure S6.



Supporting Information for "Depth Mapping in Turbid and Deep Waters Using AVIRIS-NG Imagery: A Study in Wax Lake Delta, Louisiana, USA"

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1. Figures S1 to S6



Figure S1. OBRA matrices, regression equations, and selected wavelengths for (a) linear and (b) exponential forms.



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Figure S2. Relationships between optimal band ratio and water depth during Spring and Fall campaigns.



Figure S3. Results of RFE-CV for (a-c) PLSR and (d) RF: RMSE values according to the number of selected spectral bands and components (latent variables in PLSR).

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Figure S4. Retrieved depth map by RF-RFE during Spring campaign.



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Figure S5. Retrieved depth map by RF-RFE during Fall campaign.



Figure S6. (a) Averaged reflectance spectrum of deep and discontinuous areas. (b) Reflectance difference between deep and shallow areas and deep and discontinuous areas.

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