Projection of Global Future Lightning Occurrence using only Large-Scale Environmental Variables in CAM5

Montana Etten-Bohm¹, Courtney Schumacher², Yangyang Xu³, and Aaron Funk²

¹University of North Dakota ²Texas A&M University ³TAMU

March 15, 2024

Abstract

This study evaluates a lightning parameterization that utilizes only large-scale environmental variables (i.e., convective available potential energy (CAPE), column moisture, and lifting condensation level (LCL)) for present-day (2017-19) and end-of-century (2098-2100) RCP8.5 climate scenarios in the Community Atmosphere Model version 5 (CAM5). Using a single equation, the present-day prediction can produce a reasonable land/ocean ratio in lightning occurrence. The end-of-century prediction shows relative increases of about 50% over higher-latitude land, but much more variable increases and decreases across mid-latitude ocean and the tropics such that the overall global lightning occurrence is expected to slightly decrease. Lightning occurrence over land predicted from present-day CAM5 is less than that using MERRA-2 reanalysis because of differences in the basic-state variables used as predictors. In addition, the choice of dilute or undilute CAPE will impact future lightning predictions over land, but the environment-only parameterization results are more consistent than a CAPE x precipitation parameterization.







(b) EB21 Predicted Mean Lightning Occurrence with Dilute CAPE (%) Future-Present Day



9 12 15

3 6



1

the part

(c)

8

-15 -12

-1.0 -0.8 -0.6 -0.4 -0.2 0.0 0.2 0.4 0.6 0.8 1.0 -0.8 -0.6 -0.4 -0.2 0.0 0.2 0.4 0.6 0.8 1.0 -1.0

Undilute CAPE x Total Precipitation (J kg⁻¹ mm hr⁻¹) Future-Present Day

Dilute CAPE x Total Precipitation (J kg⁻¹ mm hr⁻¹) Future-Present Day (d) 6



Projection of Global Future Lightning Occurrence using 1 only Large-Scale Environmental Variables in CAM5 2

Montana Etten-Bohm^{1,2}, Courtney Schumacher², Yangyang Xu², Aaron Funk² 3

¹University of North Dakota, Department of Atmospheric Sciences ²Texas A&M University, Department of Atmospheric Sciences

Key Points: 6

4 5

12

7	•	A single-equation based only on environmental variables provides reasonable land
8		and ocean lightning occurrence predictions in CAM5.
9	•	Lightning occurrence is projected to increase at higher latitudes by the end of cen-
10		tury, but the long-term trend varies across the tropics.
11	•	Basic state biases and the type of CAPE used can impact current and future pre-
12		dictions of lightning patterns and magnitudes.

 $Corresponding \ author: \ Montana \ {\tt Etten-Bohm}, \ {\tt montana.ettenbohmQund.edu}$

13 Abstract

This study evaluates a lightning parameterization that utilizes only large-scale en-14 vironmental variables (i.e., convective available potential energy (CAPE), column mois-15 ture, and lifting condensation level (LCL)) for present-day (2017-19) and end-of-century 16 (2098-2100) RCP8.5 climate scenarios in the Community Atmosphere Model version 5 17 (CAM5). Using a single equation, the present-day prediction can produce a reasonable 18 land/ocean ratio in lightning occurrence. The end-of-century prediction shows relative 19 increases of about 50% over higher-latitude land, but much more variable increases and 20 decreases across mid-latitude ocean and the tropics such that the overall global light-21 ning occurrence is expected to slightly decrease. Lightning occurrence over land predicted 22 from present-day CAM5 is less than that using MERRA-2 reanalysis because of differ-23 ences in the basic-state variables used as predictors. In addition, the choice of dilute or 24 undilute CAPE will impact future lightning predictions over land, but the environment-25 only parameterization results are more consistent than a CAPE×precipitation param-26 eterization. 27

²⁸ Plain Language Summary

Lightning parameterizations currently being used in climate model studies use out-29 put from other physical parameterizations (i.e., cloud ice, precipitation, etc.). These vari-30 ables have large uncertainties that propagate into the lightning prediction and can vary 31 strongly amongst models, thus requiring scaling factors to produce realistic and consis-32 tent lightning predictions. In addition, almost all existing parameterizations require sep-33 arate land and ocean equations to produce reasonable global lightning patterns, and many 34 still produce unrealistic ratios with too much oceanic lightning. We show here that we 35 can produce a reasonable global lightning occurrence distribution in a climate model us-36 ing only three large-scale environmental variables derived from temperature and humid-37 ity profiles and a single equation applicable to both land and ocean components. While 38 these variables can still have uncertainties and biases amongst models, they are less than 39 the cloud and precipitation outputs, thus providing a more stable framework for assess-40 ing lightning changes. Our end-of-century projection under a high-emissions scenario shows 41 relatively large increases in lightning occurrence over land at mid- and high-latitudes in 42 the Northern Hemisphere, but a varying pattern of increases and decreases across the 43 tropics such that the global mean lightning occurrence is expected to slightly decrease 44 by the end of the century. 45

46 1 Introduction

Understanding lightning and its relationship with the large-scale environment is important in simulating lightning in global climate models (GCMs) in order to predict how lightning will vary with climate change, and how upper-tropospheric chemistry and wildfires associated with lightning will be impacted (e.g., Krause et al., 2014; Whaley et al., 2024). The large-scale environment plays a key role in storm dynamics, and therefore lightning development. Most previous studies have investigated lightning's relationship with cloud features and precipitation, but few have isolated the role of the largescale environment for the prediction of lightning.

One of the earliest parameterizations predicted lightning flash rates using only convective cloud-top height (Price & Rind, 1992, hereafter PR92) and has been used as the basis of many other parameterizations (Boccippio, 2002; Luhar et al., 2021; Michalon et al., 1999; Zhang et al., 2021). In a warming climate, parameterizations that use PR92 typically predict a global increase in lightning (Clark et al., 2017; Finney et al., 2018; Krause et al., 2014; Price & Rind, 1994). However, PR92 uses separate land and ocean equations to predict lightning and requires a scaling of these equations to the observed global mean lightning. In addition, convective cloud-top height, especially when output
 as a grid-scale value from a coarse-resolution GCM, is a highly-derived variable and re-

mains an indirect measure of convective intensity and lightning.

Different cloud and rain variables have since been utilized in lightning parameter-65 izations from GCM output such as convective mass flux (Allen & Pickering, 2002; Grewe 66 et al., 2001; Magi, 2015), upward cloud ice flux (Deierling et al., 2008; Finney et al., 2014; 67 Romps, 2019), convective precipitation (Allen & Pickering, 2002; Magi, 2015; Meijer et al., 2001), cloud droplet concentration (Michalon et al., 1999), graupel mixing ratio and 69 updraft velocities (McCaul et al., 2009; Williams, 2005; Zipser & Lutz, 1994), cold cloud 70 depth (Yoshida et al., 2009), and cloud base height (Lopez, 2016). Most of these param-71 eterizations produce general increases in lightning flash rates for warming climates (Clark 72 et al., 2017; Finney et al., 2016, 2020), except when using ice-based parameterizations 73 (Finney et al., 2018; Romps, 2019). However, these frameworks still require separate land 74 and ocean equations and often need to be scaled to the current global mean lightning 75 to provide a realistic prediction. In addition, Charn and Parishani (2021) found that the 76 ice-based lightning parameterizations may be sensitive to the microphysics scheme used, 77 not necessarily to the variables used to predict lightning, which adds motivation to avoid 78 highly uncertain storm-scale variables as inputs for lightning parameterizations in GCMs. 79

The inclusion of large-scale environmental variables in predicting lightning in GCMs 80 has become more prevalent in recent years (Romps et al., 2014; Stolz et al., 2015, 2017; 81 Wang et al., 2018; Etten-Bohm et al., 2021) and could help reduce the large uncertainty 82 that is carried when using cloud and convection variables as predictors. Utilizing large-83 scale variables like convective available potential energy (CAPE) can be beneficial be-84 cause of how closely it relates to a storm's thermodynamics. Romps et al. (2014) (here-85 after R14) used CAPE and precipitation (CAPE \times P) over the continental United States 86 (CONUS) to predict lightning flash rate. Evaluating the parameterization in multiple 87 GCMs, R14 found that CAPE increased over CONUS between the current climate and 88 late 21st century in all the models, therefore also increasing the lightning flash rate. It 89 is worthwhile noting that future projection of precipitation sometimes increased and some-90 times decreased depending on the GCM and did not constrain the lightning prediction 91 nearly as much as CAPE. 92

Although the R14 parameterization performed well over CONUS, it did not trans-93 late well on a global scale because it could not distinguish between land and ocean (Romps 94 et al., 2018). Cheng et al. (2021) had better success using a different equation over ocean, 95 but a similar issue as discussed previously occurs with an arbitrary separation of land and ocean equations to predict lightning. Stolz et al. (2015, 2017) were better able to 97 differentiate land and ocean lightning environments by using a combination of cloud and 98 environmental parameters in a multiple linear regression model, but still did not com-99 pletely capture the spatial pattern of global lightning, overpredicting over the ocean and 100 underpredicting over land (Stolz et al., 2021). 101

Etten-Bohm et al. (2021) (hereafter EB21) presented a lightning parameterization 102 based solely on large-scale environmental variables, with the goal of limiting the issues 103 and uncertainty in other parameterizations mentioned previously. EB21 evaluated a num-104 ber of covariate sets from reanalysis output and each prediction represented the spatial 105 pattern of lightning occurrence well, including a distinction between land and ocean us-106 ing just one equation. They found that the use of three environmental variables (CAPE, 107 lifting condensation level [LCL], and column saturation fraction [r]) and their interac-108 tions provided the best basis for a GCM parameterization in terms of performance and 109 simplicity. 110

The main goals of this study are to implement and evaluate this EB21 environmentonly lightning parameterization in the high-resolution (25 km) Community Atmosphere Model version 5 (CAM5), project end-of-century global lightning occurrence changes, and determine the environmental factors most important to the changes. Additionally, we will assess how the EB21 parameterization performs compared to the CAPE×P parameterization over land, including sensitivity tests using different CAPE calculations (i.e., dilute and undilute) since there aren't standard definitions of CAPE in GCMs.

¹¹⁸ 2 Data and Methods

EB21 utilized a logistic regression trained on Tropical Rainfall Measuring Mission 119 (TRMM) Lightning Imaging Sensor (LIS) observations (Kummerow et al., 1998) and Modern-120 Era Retrospective Analysis for Research and Application Version 2 (MERRA-2) reanal-121 ysis data (Gelaro et al., 2017) to predict lightning occurrence based on 3-hourly, 0.5° in-122 put. EB21 tested three predictor sets increasing in complexity from model **a** to **c**. Only 123 model **b** (with predictors CAPE, LCL, r, and their interactions) will be evaluated in this 124 study since it provided the best balance between simplicity and performance amongst 125 the three models. The parameterization outputs the predicted probability of lightning 126 occurrence at each grid point from zero (0% chance) to one (100% chance). 127

The GCM environmental predictors for this study were obtained from a 0.25° res-128 olution, free-running version of CAM5 (Meehl et al., 2019; Neale et al., 2012). Three-129 hourly temperature, LCL, and specific humidity fields were interpolated to a 0.5° grid 130 to match the LIS and MERRA-2 datasets. CAPE and r were then computed from the 131 temperature and specific humidity profiles, and all variables were standardized to have 132 a mean of zero and a standard deviation of 1. The CAM5 predictors for present day (2017-133 19) were input into the EB21 parameterization, which was further applied to the end-134 of-century (2098-2100) simulation under the Representative Concentration Pathway (RCP) 135 8.5 scenario to assess the relative impact of a warming climate on lightning production. 136 Note that since CAM5 is free running, the years chosen may not specifically correspond 137 to those years, but using three years should still provide a reasonable mean representation of the present-day and future climates. 139

CAPE can be obtained directly from CAM5 output, but CAM5 uses a dilute-plume 140 model where entrainment of environmental air is incorporated (Neale et al., 2008). Us-141 ing the CAPE×P parameterization, Charn and Parishani (2021) found that lightning 142 predictions varied depending on how CAPE was calculated, with undilute CAPE pro-143 jecting a $\sim 7\%/K$ increase in lightning and dilute CAPE only projecting a $\sim 1\%/K$ in-144 crease. The authors noted that neither case is completely correct, and flash rates pre-145 dicted using CAPE×P are likely somewhere between the two cases. Only undilute CAPE 146 will be used in Sections 3.1 and 3.2, with a caveat that greater decreases could be pro-147 jected as a result. Sensitivity tests using dilute CAPE will be presented in Section 3.3. 148

149 3 Results

150

3.1 CAM5 Lightning Projection

CAM5 fields were input into the logistic regression from EB21 to compute a pre-151 dicted mean lightning occurrence and compared to the International Space Station (ISS) 152 LIS (Blakeslee et al., 2020, Figure 1a) for present day (2017-19). Although the lightning 153 parameterization was trained with TRMM LIS observations, the ISS expands on the lat-154 itudinal extent of TRMM (from 35° to 54°) and allows for greater comparison with CAM5's 155 global output. Following EB21, elevation over 1500 meters is removed because of the in-156 accurate predictions from the logistic regression, likely due to the LCL term. Whaley 157 et al. (2024) found improvements by disregarding the LCL term over high elevation in version 5.1 of the Canadian Earth System Model (CanESM). Figure 1b is similar to Fig-159 ure 9c in EB21 except for using years 2017-19 and all latitudes. The overall magnitudes 160 increase in Figure 1b as a result of the standardization of the predictors to have a mean 161

of zero and a standard deviation of 1. The fields change when extending to higher latitudes, resulting in different standardized variables, and therefore predictions.

The MERRA-2 lightning predictions in Figure 1b match the LIS observations well (as expected since the parameterization was trained using MERRA-2 data), albeit with some overprediction over the ocean. For example, the land/ocean lightning occurrence ratio observed by ISS LIS is 5.1, while the MERRA-2 ratio for the same latitude range is 2.2. However, these ratios are much closer to one another than the land/ocean flash rate ratios reported by Charn and Parishani (2021) between observations and five other lightning parameterizations, some of which had land/ocean lightning ratios less than 1.



Figure 1. Present-day (2017-2019) lightning occurrence (in %) from (a) ISS LIS observations and (b) MERRA-2 and (c) CAM5 predictions using the EB21 parameterization. (d) CAM5 light-ning occurrence difference between end-of-century (2098-2100) and present-day.

When applied to CAM5 environmental variables, the EB21 lightning parameter-171 ization produces a large underprediction over land (Figure 1c). However, expected re-172 gional variations still exist, including more lightning over the Amazon and central Africa 173 compared to other land regions and greater overall lightning occurrence over land com-174 pared to ocean with a land/ocean ratio of 1.5. This result is promising considering that 17 the parameterization does not have separate equations for land and ocean and does not 176 scale the prediction to match the global mean lightning observations, which most pre-177 vious lightning parameterizations have done (e.g., Clark et al., 2017). An environment-178 only lightning parameterization would also be expected to be more consistent between 179 different GCMs, since cloud and precipitation variables, highly parameterized in GCM 180 themselves, can vary much more widely compared to environmental variables (e.g., Charn 181 & Parishani, 2021; Romps et al., 2014). However, discrepancies between the basic-state 182 input parameters must exist between MERRA-2 and CAM5 to account for the differ-183 ence in the lightning predictions in Figures 1b and c, which will be addressed in Section 184 3.2.185

The EB21 parameterization was further applied to output from a CAM5 end-ofcentury high-emissions climate run. Figure 1d indicates varied future changes in light-

ning occurrence over both land and ocean with increases (decreases) shown in red (blue). 188 While many land regions indicate increasing lightning occurrence, including most higher 189 latitude land in the Northern Hemisphere, the southeastern US, western Amazon, cen-190 tral Africa, and eastern Australia, other land regions show decreases, such as the cen-191 tral US, northeastern Amazon, Sahel, Indian subcontinent, and western Australia. The 192 ocean shows large absolute decreases over regions that tend to have more lightning in 193 present-day CAM5, like the South Pacific convergence zone, Caribbean Sea, Atlantic ITCZ, 194 and Indian Ocean. Lightning is projected to increase over the ocean near the edges of 195 these higher lightning occurrence regions. Despite many regions of increases, including 196 higher-latitude land regions that show a relative increase of $\sim 50\%$, the global mean light-197 ning occurrence is predicted to decrease by about 5%. These results are generally con-198 sistent with end-of-century predictions using the EB21 parameterization on output from 1 9 9 CanESM5.1 (Whaley et al., 2024). 200

Figure 1d contrasts with many previous studies that have shown more widespread 201 increases (Clark et al., 2017; Finney et al., 2016; Romps et al., 2014; Schumann & Huntrieser, 202 2007; Williams, 2005) or decreases (Jacobson & Streets, 2009) in global tropical light-203 ning flash rates in a warming climate. However, lightning parameterizations are not only 204 sensitive to the parameters used (Finney et al., 2018; Romps, 2019), but also the method-205 ologies used to train the parameterization and the models in which they are implemented. 206 For example, Finney et al. (2020) used a high-resolution, convection-permitting model and the McCaul et al. (2009) ice-based lightning parameterization to investigate light-208 ning day changes (similar to lightning occurrence) regionally and found a similar, albeit 209 opposite, varied pattern to the one presented in Figure 1d over Africa. 210

211

3.2 Basic-state Variable Analysis

To evaluate environmental factors driving differences between the MERRA-2 and 212 CAM5 present-day lightning predictions and changes in the projected mean lightning 213 occurrence between present-day and end-of-century climate scenarios in CAM5, the three 214 predictors (LCL, r, and undilute CAPE) are investigated separately. Figure 2 (left col-215 umn) shows histograms of each variable over land (green) and ocean (blue) from MERRA-216 2 (solid) and CAM5 (dashed). While the MERRA-2 and CAM5 environmental variable 217 distributions show general similarities, there are some notable differences that help ex-218 plain the discrepancies between their lightning predictions in Figure 1. For example, while 219 LCLs maximize around 900 hPa in both datasets (Figure 2a), offsets occur as LCLs get 220 higher. For MERRA-2, land has relatively more LCLs between 850 and 650 hPa com-221 pared to ocean, while the opposite is true for CAM5 where the ocean has higher LCLs 222 than land. Higher LCLs (more convective environment) would increase lightning occur-223 rence (as shown in EB21), providing one reason why lightning occurrence is underpre-224 dicted over land and overpredicted over ocean in CAM5. 225



Figure 2. Histograms of land and ocean environmental variables for MERRA-2 and CAM5 for (a) LCL, (d) r, and (g) undilute CAPE for present day. Absolute differences between CAM5 end-of-century and present-day climates for (b) LCL, (e) r, and (h) CAPE and standardized interactions (c) LCL and r, (f) CAPE and r, and (i) CAPE and LCL.

In addition, Figure 2d shows that CAM5 r is shifted left (indicating a drier environment) compared to MERRA-2 over both land and ocean at r values where lightning is most likely to occur (i.e., r > 0.7, EB21). This shift also helps explain why large lightning underpredictions happen over land in CAM5, while the drier ocean environments likely offset the higher LCLs making the CAM5 ocean lightning prediction more similar to MERRA-2.

The CAPE distribution comparisons are more nuanced. Figure 2g indicates that MERRA-2 has a higher occurrence of moderate CAPE (up to 1800 J kg⁻¹) compared to CAM5, but that CAM5 produces more CAPE values > 1800 J kg⁻¹. EB21 showed that essentially any positive CAPE would enhance lightning occurrence so it is unclear how these distribution differences would contribute to MERRA-2 and CAM5 lightning prediction differences.

To evaluate the spatial variability of the environmental variables and their poten-238 tial contribution to end-of-century lightning changes, the middle column of Figure 2 shows 239 the absolute change between the future and present-day for each of the individual pre-240 dictors from CAM5. Red represents changes that would be expected to enhance light-241 ning occurrence, and blue is the opposite. Note that we standardize individual predic-242 tors around their mean values before they are input into the logistic regression such that 243 the standardized inputs (not shown) will shift to be more negative (blue) for r and CAPE 244 because their mean individual change at the end of the century is greater than zero, while 245 the mean LCL change is around zero. 246

Figure 2b shows that LCL decreases up to 60 hPa almost everywhere over land (i.e., attains higher heights) by 2100, except for a handful of regions like Saudi Arabia and the Indian subcontinent where LCLs increase by 15-30 hPa (i.e., become lower in height). The opposite is true almost everywhere over the ocean, where LCL values are projected to increase and thus lower in height by the end of the century, although the magnitude of change is much smaller than over land. The LCL changes in Figure 2b only partially align with the lightning changes in Figure 1d (i.e., the LCL pattern suggests large lightning increases over land and smaller decreases over ocean globally) so other variables and their interactions remain at play.

Future r shows large increases in CAM5 pole-ward of 45°N and 45°S and more var-256 ied changes over land and ocean in the tropics and subtropics (Figure 2e). Changes in 257 r often offset the influence of LCL on end-of-century lightning occurrence. For example, 258 decreases in lightning over the eastern Amazon, West Africa, Siberia, and western Aus-259 tralia are more consistent with the r pattern. However, changes in lightning over the west-260 ern Amazon, Congo, Indian subcontinent, and China remain more consistent with the 261 LCL pattern. Alaska is one of the few land regions where the sign change is consistent 262 between LCL, r, and lightning occurrence. Over ocean, r appears to play an important 263 role in the lightning decreases over the Southeast Pacific, Caribbean, tropical North At-264 lantic, and near-equatorial Indian Ocean. 265

Lastly, CAPE shows end-of-century absolute increases almost everywhere, espe-266 cially across the rainy regions of the tropical oceans with most areas increasing 500 to 267 1250 J kg^{-1} (Figure 2h). These increases are consistent with Romps (2016) who found 268 that CAPE should increase in a warming climate following the Clausius-Clapeyron re-269 lation, and J. Chen et al. (2020) who showed similar CAPE differences globally between 270 1980-99 and 2081-2100. There are only a few areas in which notable decreases in CAPE 271 occur: the Southeast Pacific, central Amazon, and Atlantic Ocean along 20°N. While the 272 largest absolute CAPE changes are projected to occur over the ocean, the oceanic pat-273 tern is generally not consistent with the end-of-century lightning changes in Figure 1d, 274 whereas the relatively smaller CAPE changes over land appear to be more relevant, es-275 pecially over the Southeast US, South America, central Africa, and eastern Australia. 276

The difference in standardized interactions between future and present day are plot-277 ted in the right column of Figure 2. Note that the interaction terms account for 19% of 278 the relative importance in the logistic regression, while the individual predictors account 279 for the other 81% (EB21). Also, all columns are multiplied by -1 since all interactions 280 have negative coefficients and we still want to represent conditions likely to lead to in-281 creases in lightning in red, and decreases in blue. The LCL \times r interaction results in light-282 ning decreasing almost everywhere over land, offsetting the large LCL height increases. 283 However, most places over oceanic locations would result in a net increase in lightning 284 from this interaction. CAPE×r shows a more variable global signature, while the CAPE×LCL 285 interaction appears to best align with the future lightning changes in CAM5, which is 286 consistent with EB21 as the CAPE×LCL term is the most important of the three in-287 teractions. 288

Figure 2 shows that CAPE, LCL, and r all play an important role in predicting light-289 ning in present and future climate scenarios, but large regional variability exists. For ex-290 ample, r and CAPE are the most relevant variables over South America (i.e., their end-291 of-century predictions are most similar to the overall prediction in Figure 1), while LCL 292 is the only variable that predicts an increase in lightning over Australia (albeit overly 293 intense such that the negative predictions from the other variables appear to mute this 294 overprediction). The interactions improve the predictive potential of the logistic regres-295 sion, including helping mitigate some of the overprediction over the ocean that plagues 296 other lightning parameterizations. 297

²⁹⁸ 3.3 Dilute vs Undilute CAPE

While LCL and r are either direct outputs or found by a straightforward calcula-299 tion from GCM environmental variables, CAPE has numerous formulations. Undilute 300 CAPE is about an order of magnitude larger than dilute CAPE, so we consider them 301 spanning the range of possible CAPE values. Recall that dilute CAPE is output by CAM5, 302 while undilute CAPE must be calculated but is closer to the CAPE used in previous pa-303 rameterization studies, including EB21 and R14. We use total precipitation in the fol-304 lowing CAPE×P calculations, but note that two of the four precipitation data sets in 305 Romps et al. (2018) were convective-only. However, the use of convective precipitation 306 doesn't qualitatively change our results. 307

Figure 3 shows the change in end-of-century lightning occurrence for the EB21 pa-308 rameterization and flash rate for CAPE×P using undilute and dilute CAPE. Similar to 309 Charn and Parishani (2021), we scaled each present-day prediction to match the mean 310 land ISS LIS lightning observations to more fairly compare future changes. The EB21 311 and R14 parameterizations produce very similar patterns of lightning increases and de-312 creases using undilute CAPE (Figures 3a and c). EB21 produces larger increases in light-313 ning occurrence when using dilute CAPE (Figure 3b), but the pattern of negative and 314 positive changes still strongly resembles the undilute CAPE result in Figure 3a. 315

The largest difference occurs when dilute CAPE is used in R14 (Figure 3d). Al-316 most all land regions show end-of-century decreases in flash rate, especially in the trop-317 ics. Charn and Parishani (2021) also showed larger decreases in flash rate using dilute 318 CAPE in various formulations of $CAPE \times P$ in a +4 K sea-surface temperature (SST) 319 simulation of a superparameterized version of CAM, although the decreases were not as 320 dramatic as seen here. The sign of change between the EB21 undilute and dilute CAPE 321 results (Figures 3a and b) is more consistent because the predictors are normalized about 322 their mean before being used in the parameterization. The inclusion and interactions with 323 the other environmental inputs also limits large changes due to only one variable. 324



Figure 3. Predictions after present-day scaling to ISS LIS land values of CAM5 end-ofcentury land-only lightning occurrence (in %) using the EB21 parameterization with (a) undilute and (b) dilute CAPE and flash rate (in J kg⁻¹ mm hr⁻¹) using the R14 CAPE×P parameterization with (c) undilute and (d) dilute CAPE.

325 4 Conclusions

The EB21 lightning parameterization, which utilizes LCL, CAPE, r, and their in-326 teractions, was implemented in CAM5 for present-day (2017-19) and end-of-century (2098-327 2100) RCP8.5 climate scenarios. Compared to observations from ISS LIS, the CAM5 present-328 day prediction generally captures the global lightning occurrence pattern but underpre-329 dicts lightning over land and overpredicts over the ocean. This is a perennial problem with almost all GCM lightning parameterizations (e.g., Charn & Parishani, 2021; Clark 331 et al., 2017), but the EB21 parameterization produces a better land/ocean lightning ra-332 tio than most other schemes when applied to CAM5 fields and does so with a single equa-333 tion not separated by land and ocean. The land/ocean ratio improves even further when the EB21 parameterization is applied to MERRA2 fields, which can be explained by dif-335 ferences in the individual basic-state predictors. For example, LCLs are higher over land 336 in MERRA-2 compared to CAM5, while the opposite is true over ocean, causing rela-337 tively higher lightning occurrence over land for MERRA-2 and over ocean for CAM5. 33 In addition, land and ocean environments are drier in CAM5 for moist environments com-339 pared to MERRA-2, causing even further underpredictions of lightning occurrence over 340 land for CAM5, although the drier ocean environments offset the overly high oceanic LCLs 341 to some extent in the EB21 logistic regression formulation. 342

The end-of-century lightning projection from CAM5 shows variable increases and decreases over both land and ocean, although higher latitude land regions show acrossthe-board increases in frequency, which has implications for increased wildfires in locations that typically don't experience much lightning (Y. Chen et al., 2021; Whaley et al., 2024). The large regional variability in positive and negative lightning changes, especially in the tropics, is of significance as many previous studies (e.g., Finney et al., 2018) have found either widespread increases or decreases for tropical lightning activity in a warming climate. The resulting global mean lightning occurrence is projected to slightly

decrease by the end of the century, which is consistent with the lower end of the range 351 of flash rate changes found in Clark et al. (2017) based on results from eight lightning 352 parameterizations using CAM5 output. When the EB21 parameterization is run with 353 dilute CAPE instead of undilute CAPE, it provides a more consistent future lightning 354 prediction than a CAPE×P parameterization. The EB21 parameterization is simple and 355 stable to moderate variations in input parameters, providing an attractive alternative 356 to lightning parameterizations that rely on variables output from convective, cloud, and 357 microphysics schemes. 358

359 Acknowledgments

³⁶⁰ This work is supported by NASA Grant NNX17AH66 G S003.

³⁶¹ 5 Open Research

5.1 Data Availability Statement

ISS LIS data were obtained from NASA GHRC (https://ghrc.nsstc.nasa.gov/
 lightning/data/data_lis_iss.html) and MERRA-2 data were obtained from NASA
 GMAO (https://gmao.gsfc.nasa.gov/reanalysis/MERRA-2/). The processed CAM5
 data is available on the Texas Data Repository (https://dataverse.tdl.org/dataset
 .xhtml?persistentId=doi:10.18738/T8/58NOQU).

368 References

362

393

- 369Allen, D. J., & Pickering, K. E. (2002). Evaluation of lightning flash rate param-
eterizations for use in a global chemical transport model. Journal of Geophys-
ical Research: Atmospheres, 107(D23), ACH 15–1–ACH 15–21. doi: 10.1029/
2002JD002066
- Blakeslee, R. J., Lang, T. J., Koshak, W. J., Buechler, D., Gatlin, P., Mach, D. M.,
 Christian, H. (2020). Three Years of the Lightning Imaging Sensor Onboard the International Space Station: Expanded Global Coverage and Enhanced Applications. Journal of Geophysical Research: Atmospheres, 125(16).
 doi: 10.1029/2020JD032918
- 378
 Boccippio, D. J. (2002). Lightning Scaling Relations Revisited. Journal of the At

 379
 mospheric Sciences, 59(6), 1086–1104. doi: 10.1175/1520-0469(2002)059<1086:</th>

 380
 LSRR>2.0.CO;2
- Charn, A. B., & Parishani, H. (2021). Predictive Proxies of Present and Future
 Lightning in a Superparameterized Model. Journal of Geophysical Research:
 Atmospheres, 126(17). doi: 10.1029/2021JD035461
- Chen, J., Dai, A., Zhang, Y., & Rasmussen, K. L. (2020). Changes in Convective
 Available Potential Energy and Convective Inhibition under Global Warming.
 Journal of Climate, 33(6), 2025–2050. doi: 10.1175/JCLI-D-19-0461.1
- Chen, Y., Romps, D., Seeley, J., Veraverbeke, S., Riley, W., Mekonnen, Z., &
 Randerson, J. (2021, May). Future increases in Arctic lightning and fire
 risk for permafrost carbon. Nature Climate Change, 11(5), 404–410. doi:
- 10.1038/s41558-021-01011-y
 Cheng, W., Kim, D., & Holzworth, R. H. (2021). CAPE Threshold for Lightning
 Over the Tropical Ocean. Journal of Geophysical Research: Atmospheres,
 - 126(20). doi: 10.1029/2021JD035621
- Clark, S. K., Ward, D. S., & Mahowald, N. M. (2017). Parameterization-based un certainty in future lightning flash density. *Geophysical Research Letters*, 44(6),
 2893–2901. doi: 10.1002/2017GL073017
- Deierling, W., Petersen, W. A., Latham, J., Ellis, S., & Christian, H. J. (2008). The
 relationship between lightning activity and ice fluxes in thunderstorms. Jour-

399	nal of Geophysical Research, 113(D15), D15210. doi: 10.1029/2007JD009700
400	Etten-Bohm, M., Yang, J., Schumacher, C., & Jun, M. (2021). Evaluating the re-
401	lationship between lightning and the large-scale environment and its use for
402	lightning prediction in global climate models. Journal of Geophysical Research:
403	Atmospheres, $126(5)$. doi: $10.1029/2020$ JD033990
404	Finney, D. L., Doherty, R. M., Wild, O., Huntrieser, H., Pumphrey, H. C., &
405	Blyth, A. M. (2014). Using cloud ice flux to parametrise large-scale light-
406	ning. Atmospheric Chemistry and Physics, $14(23)$, $12665-12682$. doi:
407	10.5194/ m acp-14-12665-2014
408	Finney, D. L., Doherty, R. M., Wild, O., Stevenson, D. S., MacKenzie, I. A., &
409	Blyth, A. M. (2018). A projected decrease in lightning under climate change.
410	Nature Climate Change, 8(3), 210–213. doi: 10.1038/s41558-018-0072-6
411	Finney, D. L., Doherty, R. M., Wild, O., Young, P. J., & Butler, A. (2016). Re-
412	sponse of lightning NO $_x$ emissions and ozone production to climate change:
413	Insights from the Atmospheric Chemistry and Climate Model Intercom-
414	parison Project. Geophysical Research Letters, $43(10)$, $5492-5500$. doi:
415	$10.1002/2016 { m GL}068825$
416	Finney, D. L., Marsham, J. H., Wilkinson, J. M., Field, P. R., Blyth, A. M., Jack-
417	son, L. S., Stratton, R. A. (2020). African Lightning and its Relation to
418	Rainfall and Climate Change in a Convection-Permitting Model. <i>Geophysical</i>
419	Research Letters, $47(23)$. doi: 10.1029/2020GL088163
420	Gelaro, R., McCarty, W., Suárez, M. J., Todling, R., Molod, A., Takacs, L.,
421	Zhao, B. (2017). The Modern-Era Retrospective Analysis for Research and
422	Applications, Version 2 (MERRA-2). Journal of Climate, 30(14), 5419–5454.
423	doi: 10.1175/JCLI-D-16-0758.1
424	Grewe, V., Brunner, D., Dameris, M., Grenfell, J. L., Hein, R., Shindell, D., & Stae-
425	helin, J. (2001). Origin and variability of upper tropospheric nitrogen oxides
426	and ozone at northern mid-latitudes. Atmospheric Environment, $35(20)$, $2421, 2422$, doi: 10.1016/S1252.2210(01)00124.0
427	3421-3433. doi: 10.1010/S1352-2310(01)00134-0
428	Jacobson, M. Z., & Streets, D. G. (2009). Influence of future anthropogenic emis-
429	sions on chinate, natural emissions, and air quanty. Journal of Geophysical Re-
430	Krauge A. Klagter S. Willengleichd S. & Deeth H. (2014) The consistivity of
431	clobal wildfires to simulated past present and future lightning frequency
432	Journal of Geonbusical Research: Biogeosciences 119(3) 312–322 doi:
433	10 1002/2013 IG002502
434	Kummerow C Barnes W Kozu T Shiue I & Simpson I (1998) The Trop-
435	ical Rainfall Measuring Mission (TRMM) Sensor Package Journal of Atmo-
430	spheric and Oceanic Technology 15(3) 809–817 doi: 10.1175/1520-0426(1998)
438	015<0809:TTRMMT>2.0.CO:2
439	Lopez, P. (2016). A lightning parameterization for the ECMWF integrated forecast-
440	ing system. Monthly Weather Review, 144 (9), 3057–3075, doi: 10.1175/MWR
441	-D-16-0026.1
442	Luhar, A. K., Galbally, I. E., Woodhouse, M. T., & Abraham, N. L. (2021). As-
443	sessing and improving cloud-height-based parameterisations of global lightning
444	flash rate, and their impact on lightning-produced NOx and tropospheric com-
445	position in a chemistry-climate model. Atmospheric Chemistry and Physics,
446	21(9), 7053–7082. doi: 10.5194/acp-21-7053-2021
447	Magi, B. I. (2015). Global lightning parameterization from CMIP5 climate model
448	output. Journal of Atmospheric and Oceanic Technology, 32(3), 434–452. doi:
449	10.1175/JTECH-D-13-00261.1
450	McCaul, E. W., Goodman, S. J., LaCasse, K. M., & Cecil, D. J. (2009). Forecast-
451	ing Lightning Threat Using Cloud-Resolving Model Simulations. Weather and
452	Forecasting, $24(3)$, 709–729. doi: $10.1175/2008WAF2222152.1$
453	Meehl, G. A., Yang, D., Arblaster, J. M., Bates, S. C., Rosenbloom, N., Neale, R.,

454	Danabasoglu, G. (2019). Effects of model resolution, physics, and cou-
455	pling on southern hemisphere storm tracks in CESM1.3. Geophysical Research
456	Letters, $46(21)$, 12408–12416. doi: 10.1029/2019GL084057
457	Meijer, E., van Velthoven, P., Brunner, D., Huntrieser, H., & Kelder, H. (2001). Im-
458	provement and evaluation of the parameterisation of nitrogen oxide production
459	by lightning. Physics and Chemistry of the Earth, Part C: Solar, Terrestrial \mathcal{E}
460	Planetary Science, 26(8), 577–583. doi: 10.1016/S1464-1917(01)00050-2
461	Michalon, N., Nassif, A., Saouri, T., Royer, J. F., & Pontikis, C. A. (1999). Contri-
462	bution to the climatological study of lightning. Geophysical Research Letters,
463	26(20), 3097-3100.doi: https://doi.org/10.1029/1999GL010837
464	Neale, R. B., Chen, C. C., Gettelman, A., Lauritzen, P. H., Park, S., Williamson,
465	D. L., Taylor, M. A. (2012). Description of the NCAR Community Atmo-
466	sphere Model (CAM 5.0). NCAR Tech. Note NCAR/TN-486+ STR.
467	Neale, R. B., Richter, J. H., & Jochum, M. (2008). The Impact of Convection on
468	ENSO: From a Delayed Oscillator to a Series of Events. Journal of Climate,
469	21(22), 5904–5924. doi: 10.1175/2008JCLI2244.1
470	Price, C., & Rind, D. (1992). A simple lightning parameterization for calculating
471	global lightning distributions. Journal of Geophysical Research: Atmospheres,
472	97(D9), 9919–9933. doi: 10.1029/92JD00719
473	Price, C., & Rind, D. (1994). Possible implications of global climate change on
474	global lightning distributions and frequencies. Journal of Geophysical Research:
475	Atmospheres, 99(D5), 10823-10831. doi: https://doi.org/10.1029/94JD00019
476	Romps, D. M. (2016). Clausius-Clapeyron Scaling of CAPE from Analytical Solu-
477	tions to RCE. Journal of the Atmospheric Sciences, 73(9), 3719–3737. doi: 10
478	.1175/JAS-D-15-0327.1
479	Romps, D. M. (2019). Evaluating the Future of Lightning in Cloud-Resolving Mod-
480	els. Geophysical Research Letters. doi: 10.1029/2019GL085748
481	Romps, D. M., Charn, A. B., Holzworth, R. H., Lawrence, W. E., Molinari, J., &
482	Vollaro, D. (2018). CAPE times P explains lightning over land but not the
483	land-ocean contrast. Geophysical Research Letters, 45(22), 12,623–12,630. doi:
484	$10.1029/2018 { m GL}080267$
485	Romps, D. M., Seeley, J. T., Vollaro, D., & Molinari, J. (2014). Projected increase
486	in lightning strikes in the United States due to global warming. <i>Science</i> ,
487	346(6211), 851-854. doi: $10.1126/science.1259100$
488	Schumann, U., & Huntrieser, H. (2007). The global lightning-induced nitrogen ox-
489	ides source. Atmospheric Chemistry and Physics, 7(14), 3823–3907. doi: 10
490	$.5194/ m{acp}-7-3823-2007$
491	Stolz, D. C., Bilsback, K. R., Pierce, J. R., & Rutledge, S. A. (2021). Evaluating
492	Empirical Lightning Parameterizations in Global Atmospheric Models. Journal
493	of Geophysical Research: Atmospheres, $126(4)$. doi: $10.1029/2020$ JD033695
494	Stolz, D. C., Rutledge, S. A., & Pierce, J. R. (2015). Simultaneous influences of
495	thermodynamics and aerosols on deep convection and lightning in the trop-
496	ics. Journal of Geophysical Research: Atmospheres, $120(12)$, $6207-6231$. doi:
497	$10.1002/2014 \mathrm{JD}023033$
498	Stolz, D. C., Rutledge, S. A., Pierce, J. R., & van den Heever, S. C. (2017). A
499	global lightning parameterization based on statistical relationships among
500	environmental factors, aerosols, and convective clouds in the TRMM climatol-
501	ogy. Journal of Geophysical Research: Atmospheres, 122(14), 7461–7492. doi:
502	10.1002/2016 JD026220
503	Wang, Y., Yang, Y., & Jin, S. (2018). Evaluation of Lightning Forecasting Based on
504	One Lightning Parameterization Scheme and Two Diagnostic Methods. Atmo-
505	sphere, $9(3)$, 99. doi: $10.3390/atmos9030099$
506	Whaley, C., Etten-Bohm, M., Schumacher, C., Akingunola, A., Vivek, A., Cole, J.,
507	Winter, B. (2024). A new lightning scheme in canada's atmospheric model,
508	canam5.1: Implementation, evaluation, and projections of lightning and fire in

509	future climates. Geoscientific Model Development.
510	Williams, E. (2005). Lightning and climate: A review. Atmospheric Research, 76(1-
511	4), 272–287. doi: 10.1016/j.atmosres.2004.11.014
512	Yoshida, S., Morimoto, T., Ushio, T., & Kawasaki, Z. (2009). A fifth-power re-
513	lationship for lightning activity from Tropical Rainfall Measuring Mission
514	satellite observations. Journal of Geophysical Research, $114(D9)$. doi:
515	$10.1029/2008 \mathrm{JD}010370$
516	Zhang, X., Yin, Y., Kukulies, J., Li, Y., Kuang, X., He, C., Chen, J. (2021). Re-
517	visiting Lightning Activity and Parameterization Using Geostationary Satellite
518	Observations. <i>Remote Sensing</i> , 13(19), 3866. doi: 10.3390/rs13193866
519	Zipser, E. J., & Lutz, K. R. (1994). The Vertical Profile of Radar Reflectiv-
520	ity of Convective Cells: A Strong Indicator of Storm Intensity and Light-
521	ning Probability? Monthly Weather Review, 122(8), 1751–1759. doi:
522	$10.1175/1520-0493(1994)122{<}1751{:}{ m TVPORR}{>}2.0.{ m CO}{;}2$

Figure 1.





Predicted Mean Lightning Occurrence [CAM5, Future-Present Day]



2.0%

2.5%

3.0%

3.5%

4.0%

(c) Model **b** Predicted Mean Lightning Occurrence [CAM5]

1.5%



(d)

0.0%

0.5%

1.0%

Figure 2.



Figure 3.



(a) EB21 Predicted Mean Lightning Occurrence with Undilute CAPE (%) Future-Present Day

(b) EB21 Predicted Mean Lightning Occurrence with Dilute CAPE (%) Future-Present Day