

# Sea Surface Salinity Provides Subseasonal Predictability for Forecasts of Opportunity of U.S. Summertime Precipitation

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## Abstract

As oceanic moisture evaporates, it leaves a signature on sea surface salinity. Roughly 10% of the moisture that evaporates over the ocean is transported over land, allowing the salinity fields to be a predictor of terrestrial precipitation. This research is among the first in published literature to assess the role of sea surface salinity for improved predictions on low-skill summertime subseasonal timescales for terrestrial precipitation predictions. Neural networks are trained with the CESM2 Large Ensemble using North Atlantic salinity anomalies to quantify predictability of U.S. Midwest summertime heavy rainfall events at 0 to 56-day leads. Using explainable artificial intelligence, salinity anomalies in the Caribbean Sea and Gulf of Mexico are found to provide skill for subseasonal forecasts of opportunity, e.g. confident and correct predictions. Further, a moisture-tracking algorithm applied to reanalysis data demonstrates that the regions of evaporation identified by neural networks directly provide moisture that precipitates in the Midwest.

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2 **Sea Surface Salinity Provides Subseasonal Predictability for Forecasts of**  
3 **Opportunity of U.S. Summertime Precipitation**  
4

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13 **Key Points:**

- 14 • Sea surface salinity anomalies provide predictability for heavy summertime Midwest  
15 precipitation events  
16 • Subseasonal forecasts of opportunity for heavy precipitation are informed by positive  
17 salinity anomalies in the Caribbean and Gulf of Mexico  
18 • Regions of evaporation identified by neural networks provide a direct moisture source for  
19 precipitation in the Midwest region  
20

## 21 **Abstract**

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23 moisture that evaporates over the ocean is transported over land, allowing the salinity fields to be  
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26 subseasonal timescales for terrestrial precipitation predictions. Neural networks are trained with  
27 the CESM2 Large Ensemble using North Atlantic salinity anomalies to quantify predictability of  
28 U.S. Midwest summertime heavy rainfall events at 0 to 56-day leads. Using explainable artificial  
29 intelligence, salinity anomalies in the Caribbean Sea and Gulf of Mexico are found to provide  
30 skill for subseasonal forecasts of opportunity, e.g. confident and correct predictions. Further, a  
31 moisture-tracking algorithm applied to reanalysis data demonstrates that the regions of  
32 evaporation identified by neural networks directly provide moisture that precipitates in the  
33 Midwest.

## 34 **Plain Language Summary**

35 Global water cycling plays a fundamental role in the climate system, directly impacting  
36 terrestrial water availability. Roughly 10% of the moisture that evaporates over the ocean is  
37 transported over land, eventually falling as precipitation. As moisture evaporates from the ocean,  
38 the waters below become saltier, leaving an imprint on the sea surface salinity pattern. These  
39 salinity signatures can potentially be used as a predictor of landfalling precipitation in the  
40 coming weeks. This study uses neural networks to quantify the predictability of summertime  
41 precipitation in the Midwest from 0 to 56 days in advance using salinity patterns in the North  
42 Atlantic. High salinity in the Caribbean Sea and Gulf of Mexico is found to provide skill for  
43 subseasonal forecasts of opportunity, e.g. confident and correct predictions at 21-day leads. A  
44 moisture-tracking model traces the origin of water that falls as precipitation and confirms the  
45 Caribbean Sea and Gulf of Mexico as direct moisture sources for Midwest precipitation.  
46

## 47 **1 Introduction**

48 Global water cycling plays a fundamental role in the climate system, directly impacting  
49 terrestrial water availability. The hydrological cycle consists of moisture evaporation in one  
50 location which falls as precipitation in another location via a balance of atmospheric, oceanic,  
51 and terrestrial water transport (Adler et al., 2003; Gimeno et al., 2010). The majority of moisture  
52 (~90%) that evaporates over the ocean rains out over the ocean (Trenberth et al., 2007).  
53 However, the remaining 10% of the moisture evaporated is transported over land, eventually  
54 falling as terrestrial precipitation (Gimeno et al., 2012; Trenberth et al., 2011). Intense and  
55 persistent precipitation events over land cannot be sustained by local terrestrial moisture  
56 recycling alone (Brubaker et al., 1993; Dirmeyer et al., 2009; Koster et al., 2004; Trenberth,  
57 1999), highlighting ocean-derived moisture as a source of extreme terrestrial precipitation events  
58 from.

59 Oceanic evaporation increasingly acts as a source of terrestrial precipitation due to  
60 anthropogenic climate change (Gimeno et al., 2020). Rising atmospheric temperatures have led  
61 to more rapid evaporation over the oceans than over the land. This climate change response has  
62 intensified the oceanic water cycle (Durack et al., 2012), increasing the importance of oceanic  
63 evaporation for continental precipitation (Findell et al., 2019). As oceanic moisture evaporates it

64 leaves a signature on sea surface salinity, allowing these fields to be a potential predictor of  
65 terrestrial precipitation (Schmitt, 2008).

66 Sea surface salinity has emerged as a potentially useful indicator of evaporation and  
67 subsequent moisture export from the ocean (Bengtsson, 2010). A close link exists between the  
68 oceanic water cycle and the sea surface salinity anomaly signal: positive anomalies (e.g. saltier  
69 waters) indicate evaporation of ocean waters and negative anomalies (e.g. fresher waters)  
70 indicate precipitation into the ocean (Durack, 2015). This relationship has led to an investigation  
71 into sea surface salinity as a potential seasonal predictor of terrestrial precipitation in the African  
72 Sahel (L. Li et al., 2016b), Southwestern U.S. (T. Liu et al., 2018), China (Zeng et al., 2019), and  
73 Australia (Rathore et al., 2020). In addition, Li et al. (2016a) and a followup study by Li et al.  
74 (2022) showed a strong relationship between springtime sea surface salinity in the northwestern  
75 subtropical North Atlantic and summertime precipitation in the U.S. Midwest, revealing sea  
76 surface salinity as a skillful *seasonal* predictor of U.S. Midwest summertime rainfall.

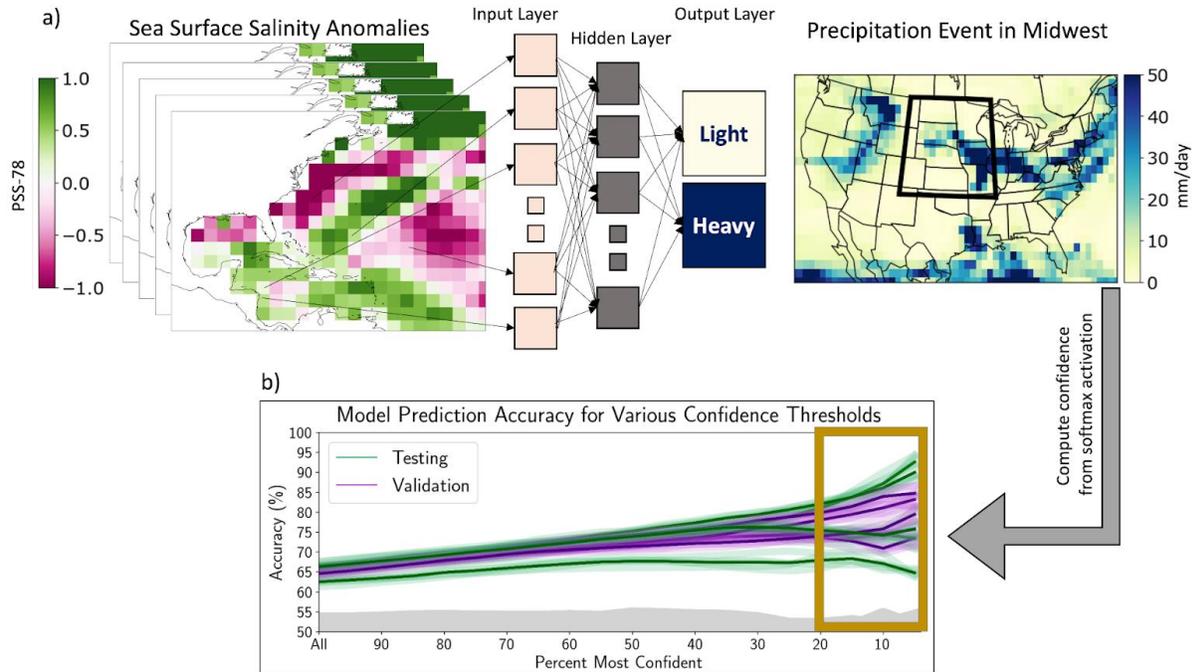
77 Here, we explore the predictability provided by North Atlantic sea surface salinity for  
78 *subseasonal* prediction of summertime U.S. Midwest precipitation. Subseasonal prediction (e.g.  
79 2 weeks to one season ahead) bridges the gap between weather and climate (Lang et al., 2020)  
80 and supports sufficient lead time for storm and flood preparedness and informed resource  
81 management (DeFlorio et al., 2021). Heavy Midwest rainfall events in the summertime are  
82 particularly challenging to predict (L. Li et al., 2022; Z. Li & O’Gorman, 2020), yet the damage  
83 from these events can be extensive (Trenberth & Guillemot, 1996). For example, historic  
84 flooding throughout the Midwest region in spring-summer of 2013, dubbed a 500-year flooding  
85 event by a U.S. Geological Survey press release, resulted in over 10 fatalities and \$400 million  
86 damages. Given the difficult predictive nature of summertime heavy rainfall events, we focus on  
87 identifying “forecasts of opportunity”, e.g. predictions with high skill and confidence due to a  
88 predictable state of the climate system (Mariotti et al., 2020), and pinpointing their sources of  
89 predictability. To connect the climate model analysis to real-world dynamics, we employ a  
90 moisture tracking algorithm to determine the North Atlantic sources of evaporation that  
91 eventually fall in the Midwest as heavy precipitation events. This study reveals sea surface  
92 salinity as an effective subseasonal predictor for forecasts of opportunity of summertime  
93 Midwest heavy precipitation events.

94

## 95 **2 Data and Methods**

### 96 2.1 Climate Model Data Preprocessing

97 Artificial neural networks are trained to ingest maps of sea surface salinity anomaly maps  
98 to classify precipitation events into light or heavy precipitation events over the U.S. Midwest at  
99 leads of 0-56 days. Training neural networks requires a large amount of data (Adi et al., 2020),  
100 but observed daily sea surface salinity fields are not readily available in a usable (e.g. gridded)  
101 format (H. Wang et al., 2022). The few reanalysis datasets that provide daily sea surface salinity  
102 fields either do not cover the North Atlantic region needed for this study (e.g. the Global  
103 Tropical Moored Buoy Array) or do not have a long enough time series for adequate training  
104 (e.g. only ~30 years are provided by the Global Ocean Forecasting System HYCOM, which is  
105 insufficient for training in this study). Therefore, we take advantage of the long-running daily,  
106 gridded data from the Community Earth System Model Version 2- Large Ensemble (CESM2-  
107 LE; Danabasoglu et al., 2020) for analysis of 1,000 years of climate model data.



108  
 109 Figure 1. a) Schematic of the neural network architecture used in this study for a 21-day lead. b) The  
 110 accuracy vs. confidence for 5 testing (green) and validation (purple) members using 5 random seeds each  
 111 (light lines; dark lines represent the average) for 21-day lead predictions. Confidence is computed using  
 112 the softmax activation on the output layer of the network in (a). A random network is represented with the  
 113 gray shading. The gold box highlights the 20% most confident predictions.

114  
 115 We use 1850-1949 historical daily data from 10 CESM2 ensemble members, in which  
 116 each ensemble member is considered to be an independent realization of the historical climate  
 117 (Rodgers et al., 2021). Sea surface salinity fields in units based on the Practical Salinity Scale  
 118 1978 (PSS-78) span May-August to capture the U.S. Midwest summer. Daily anomalies are  
 119 computed via subtraction of the linear trend at each grid point of the ensemble mean for each  
 120 calendar-day of the year to remove the forced response, then smoothed with a 3-day running  
 121 mean. Sea surface salinity anomalies span the North Atlantic region from 8N - 50N, 265E -  
 122 320E, including the Gulf of Mexico, but excluding all data from the Pacific (Fig. 1a left).

123 We use raw precipitation fields (e.g. not anomalies) of a 3-day cumulative sum averaged  
 124 over the Midwest region- defined as 36N - 49N, 254E - 270E (Fig. 1a right). A Poisson  
 125 weighting strategy (Fig. S1) adapted from Ford et al. (2018) is applied to the precipitation time  
 126 series to smooth data as lead time increases for a seamless transition across timescales assessed  
 127 (Hoskins, 2013). This technique broadens the event window to shift from deterministic to  
 128 probabilistic forecasts and account for uncertainty as lead time increases (Fig. S1) (Dirmeyer et  
 129 al., 2018; Dirmeyer & Ford, 2020; Ford et al., 2018). Once smoothed, periods above the 80th  
 130 percentile of precipitation are classified as heavy events, designated as a 1, and the remaining  
 131 80% of the data classified as light events, designated as a 0.

## 132 2.2 Neural Network Setup

133 The feedforward artificial neural network approach consists of a 3-layer neural network:  
 134 the input layer (3-day averaged sea surface salinity anomaly maps), 1 hidden layer, and the

135 output layer (classification of light or heavy precipitation event in the Midwest boxed region).  
136 Neural networks are trained separately for each lead time. Additional details on data pre-  
137 processing and hyperparameter tuning are found in S1-2 and Tables S1-2.  
138

### 139 2.3 Quantifying Forecasts of Opportunity

140 The final network output layer consists of the two nodes of our binary classification setup  
141 (Fig. 1a). The softmax activation function is applied to the final layer, transforming the two  
142 outputs to values which sum to 1, representing a probability estimate. This probability is used to  
143 select the predicted output in that the value which exceeds 0.5 is selected as the prediction. We  
144 leverage this output probability as our network confidence (Arcodia et al., 2023; Mayer &  
145 Barnes, 2021, 2022), allowing quantification of the prediction confidence. As confidence  
146 increases, accuracy also increases, suggesting that the network identifies intermittent patterns in  
147 the input salinity maps that lead it to be more confident in its prediction (Fig. 1b). Hereafter, we  
148 define the 20% most confident predictions, which are also found to be the most accurate  
149 predictions, as *forecasts of opportunity* (Fig. 1b; gold box).  
150

### 151 2.4 Water Accounting Model

152 We employ the Water Accounting Model 2-layers (WAM2layers, version 3.0.0), a Eulerian  
153 moisture-tracking model that can trace the path of water from its origin as evaporation, through  
154 the atmosphere as water vapor, and to its eventual fate as precipitation elsewhere (van der Ent et  
155 al. 2014; van der Ent et al. 2023). The model uses European Centre for Medium-Range Weather  
156 Forecasts v5 (ERA5; Hersbach et al. 2020) climate reanalysis data to verify that the oceanic  
157 evaporative moisture source regions identified by the neural networks provide the moisture to  
158 Midwest precipitation events in the real world. Additional WAM2layers model details are found  
159 in S3.

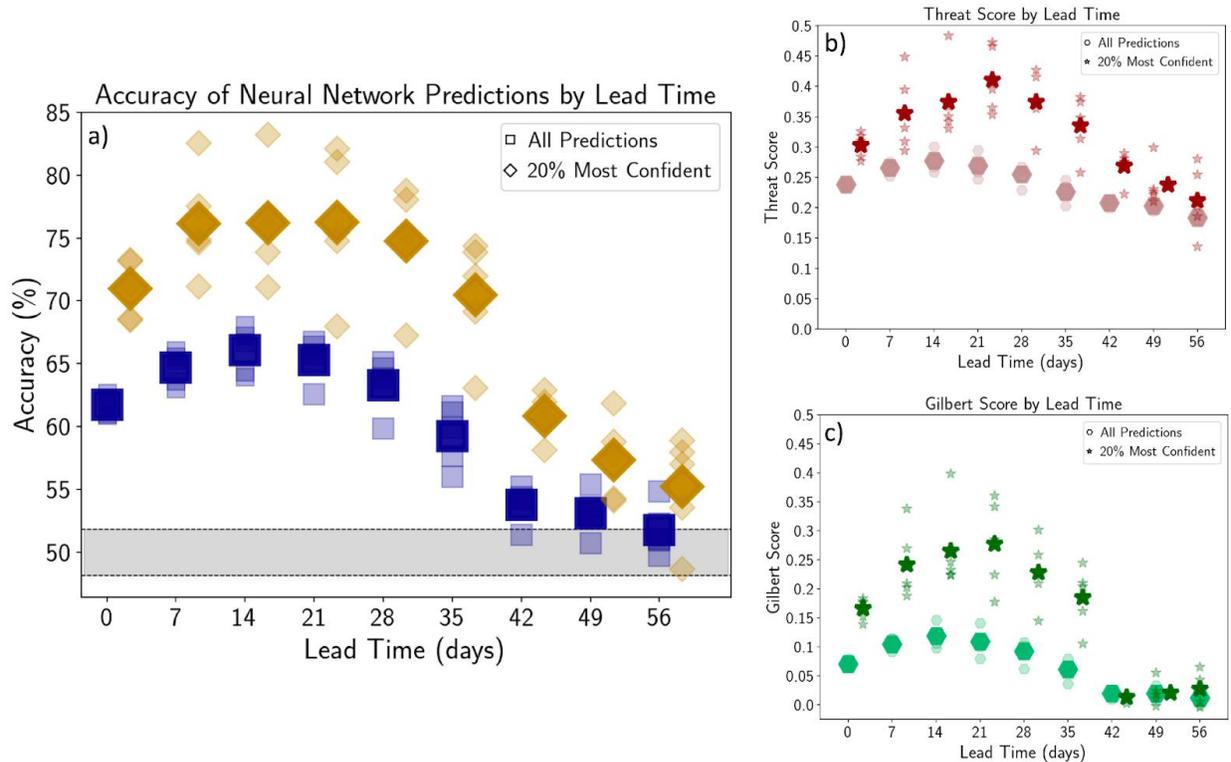
## 160 3 Results

### 161 3.1 Subseasonal Forecasts of Opportunity

162 Accuracy for all summertime Midwest precipitation predictions shows the highest skill at  
163 leads 14- and 21-days (Fig. 2; blue squares). For the forecasts of opportunity, e.g. the 20% most  
164 confident predictions, accuracy peaks at lead 21-days (Fig. 2; gold diamonds), demonstrating  
165 that sea surface salinity anomalies serve as a meaningful predictor on subseasonal timescales.  
166 Notably, leads 7- through 21-days reveal accuracy above 75% on average for forecasts of  
167 opportunity for precipitation event prediction. Skill drops quickly to that of random chance for  
168 leads of 35-days and beyond (Fig. 2; gray shading).

169 Fig. 2a shows accuracies for balanced test data (see S1), meaning the likelihood of a  
170 heavy precipitation event is 50%. However, based on the definition of a heavy event (>80th  
171 percentile), the true likelihood of a heavy event is 20%. We use two skill scores: 1. Threat Score  
172 (Fig. 2b) and 2. Gilbert Skill Score (Fig. 2c); see S4 for definitions. These scores are verification  
173 metrics of forecasts in which a score of zero denotes no skill, or random chance, and a skill of  
174 one is a perfect score. Skill scores are used to evaluate the performance of the networks on  
175 unbalanced data to determine if network prediction skill is due to accurate predictions of both  
176 classes, or if the network has learned only the majority class. The variation in skill as a function

177 of lead time follows a similar pattern for the balanced and unbalanced datasets, with a peak in  
 178 skill at subseasonal lead time of 21 days, particularly for forecasts of opportunity. Networks have  
 179 learned patterns within the data to not only predict light but also heavy events, demonstrating the  
 180 utility of sea surface salinity as a predictor for high-impact heavy precipitation events.  
 181



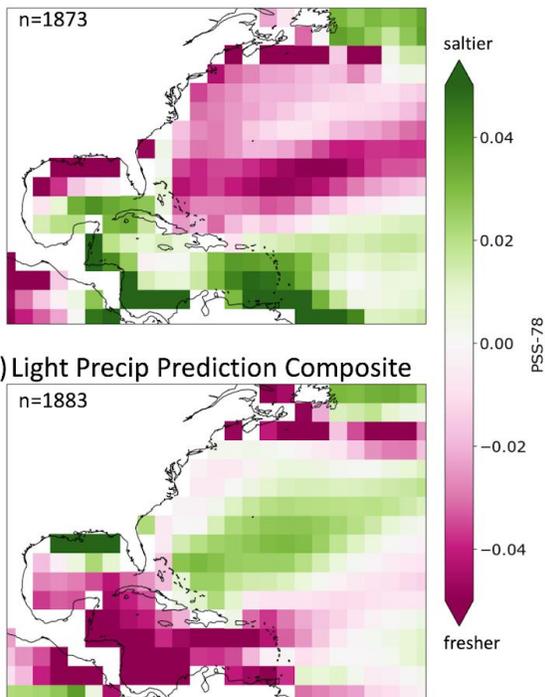
182  
 183 Figure 2. a) Accuracy as a function of lead time in days for all predictions (blue squares) and forecasts of  
 184 opportunity (gold diamonds). The lightly shaded shapes represent the averaged accuracy from five  
 185 random seeds for each test ensemble member with balanced data, and the darker, larger shapes represent  
 186 the average accuracy from all 5 test ensemble members. The gray shading denotes the 99% confidence  
 187 intervals of a binomial probability (e.g. random chance). b) The Threat Score as a function of lead time  
 188 computed on predictions with unbalanced data for all predictions (hexagons) and forecasts of opportunity  
 189 (stars). c) Same as b) but for the Gilbert Skill Score. For (b) and (c), a score of zero denotes no skill, or  
 190 random chance, and a skill of one is a perfect score.  
 191

192 After determining that the networks can result in skillful and confident predictions on  
 193 subseasonal lead time times, we want to know *why* the network made these predictions. We find  
 194 that for skillful forecasts of opportunity for heavy precipitation, sea surface salinity anomalies in  
 195 the Caribbean Sea and Gulf of Mexico are predominantly positive (Fig. 3a). That is, saltier  
 196 waters in these regions imply evaporation and atmospheric moisture available for transportation  
 197 out of the region. Conversely, for skillful light precipitation predictions, we find negative sea  
 198 surface salinity anomalies, indicating precipitation (Fig. 3b). This pattern reflects less  
 199 atmospheric moisture from the oceanic source region available to be transported away, resulting  
 200 in a confident subseasonal predictions of no heavy rainfall event.

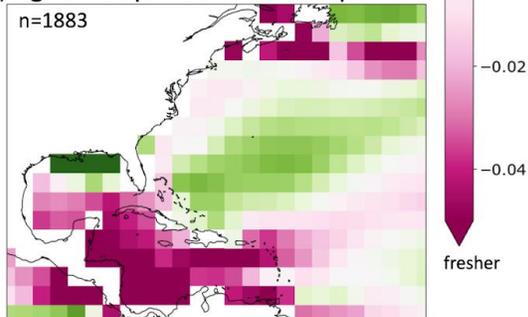
201 We complement the salinity composite maps associated with forecasts of opportunity  
 202 with *explainable artificial intelligence* (XAI) to pinpoint regions that the network deems as  
 203 important in making its prediction (e.g. Arcodia et al., 2023; Mamalakis, Barnes, et al., 2022;

204 Mayer & Barnes, 2021; McGovern et al., 2019; Pegion et al., 2022; Rader et al., 2022). Here, the  
 205 *gradient* method is applied to compute the gradient of the network output with respect to the  
 206 input grid points to visualize the sensitivity of the networks to the salinity anomalies at lead 21-  
 207 days (Mamalakis, Ebert-Uphoff, et al., 2022) (Fig. 3c; composites and heatmaps for all leads in  
 208 Figs. S3 and S4). For correct and confident heavy predictions, the sensitivity of the network to  
 209 changes in salinity anomalies is most prominent in the Caribbean Sea and Gulf of Mexico.  
 210 Saltier waters in these regions are found to increase confidence in heavy predictions. Regions  
 211 with near-zero salinity anomalies south of Jamaica and negative salinity anomalies along the  
 212 East Coast in the Gulf Stream region decrease confidence in heavy predictions. That is, network  
 213 confidence for heavy subseasonal predictions strengthens as water becomes saltier in the  
 214 Caribbean and Gulf of Mexico. Thus, anomalously salty waters in the Caribbean and Gulf of  
 215 Mexico provide predictability for heavy precipitation events in the U.S. Midwest on subseasonal  
 216 timescales.  
 217  
 218  
 219  
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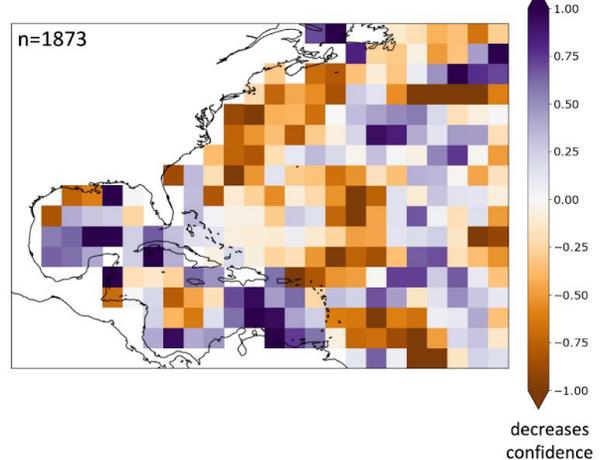
a) Heavy Precip Prediction Composite



b) Light Precip Prediction Composite



c) Heavy Precip Prediction XAI Heatmap



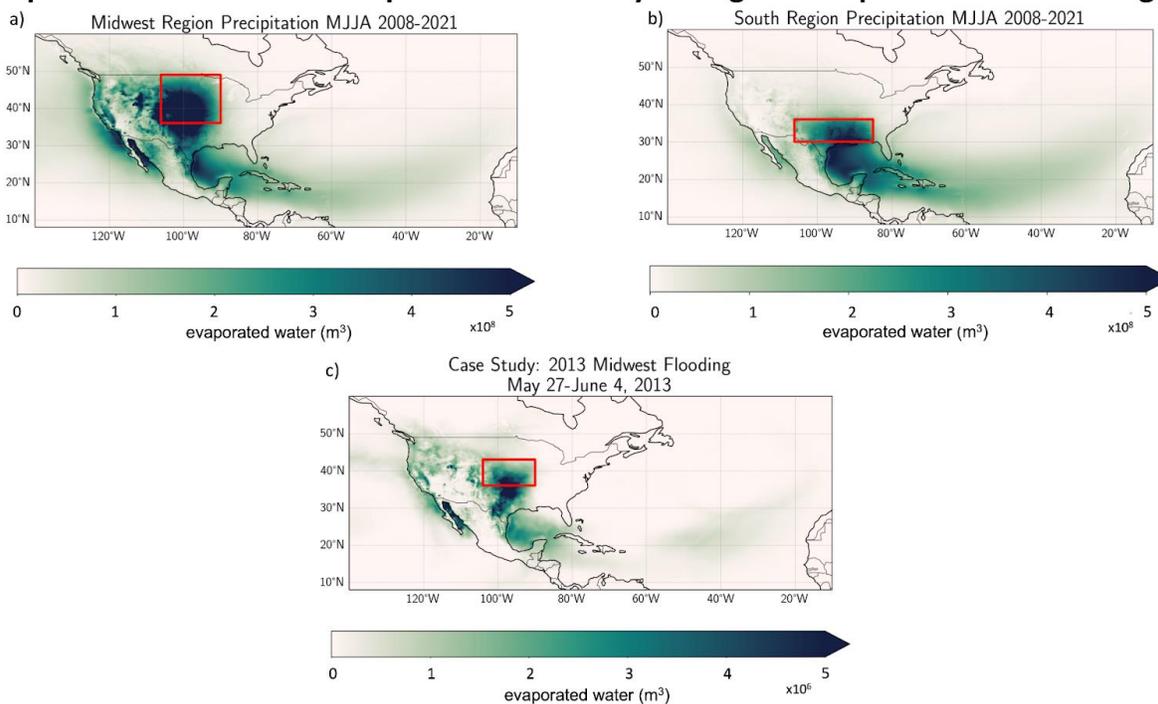
221  
 222 Figure 3. a-b) Composite of the sea surface salinity anomalies in PSS-78 for input maps of the 20% most  
 223 confident, correct predictions for a 21-day lead for heavy predictions (a) and light predictions (b).  
 224 Composites use input from each test ensemble member from the neural network initialized with the  
 225 random seed that results in the highest accuracy. c) Saliency XAI composited heatmaps for the same days  
 226 as the input maps as (a). The colorbar is a unitless measure of sensitivity. The number  $n$  represents the  
 227 number of samples per composite.  
 228

## 229 3.2 Moisture Tracking with ERA5

230 The neural networks used thus far were trained, validated, and tested on 1,000 collective  
 231 years of CESM2 historical climate model data. Unfortunately, like all climate models, CESM2  
 232 exhibits biases which can result in limitations for its use for understanding the real world  
 233 (Simpson et al., 2020). Therefore, we employ the WAM2layers model and present-day reanalysis  
 234 data (van der Ent et al. 2023) to track where evaporation occurred, which would later fall as  
 235 precipitation in a specific region. We track moisture within ERA5 using the WAM2layers model  
 236 for May-August (MJJA) from 2008-2021 to pinpoint the origin of all moisture which eventually  
 237 falls in the Midwest region (Fig. 4a). The majority of Midwest moisture is found to be locally  
 238 recycled, consistent with Bosilovich and Schubert (2002) who showed the largest source of  
 239 precipitation in the midwestern U.S. came from local moisture recycling. However, we also find  
 240 that summertime Midwest precipitation has an *oceanic* moisture source in the Gulf of Mexico  
 241 and the Caribbean Sea regions without recycling, consistent with the regions of sea surface  
 242 salinity anomalies identified by the neural networks as relevant for forecasts of opportunity (Fig.  
 243 3).

244 Another primary moisture source region for the Midwest is the area directly to the south  
 245 (Fig. 4a), indicating that the southern U.S. acts as an additional moisture source region. The  
 246 WAM2layers results for moisture-tracking of the southern U.S. also highlight the Gulf of  
 247 Mexico and Caribbean Sea (Fig. 4b). Moisture which evaporates over the Gulf of Mexico and  
 248 Caribbean Sea likely acts as a moisture source for Midwest precipitation in 2 ways: 1) moisture  
 249 is directly transported and precipitates in the Midwest, or 2) moisture falls as precipitation in the  
 250 southern U.S. region which is then locally recycled and transported north to eventually  
 251 precipitate in the Midwest. Thus, the networks have identified physically meaningful sources of  
 252 predictability, consistent with the patterns found in the composite and XAI maps (Fig. 3), which  
 253 can ultimately provide subseasonal prediction skill for U.S. Midwest heavy rainfall events.  
 254

### Evaporation Sources for Precipitation Eventually Falling as Precipitation in Boxed Region



256 Figure 4. The sum of the evaporated water (in cubic meters) which fell as precipitation in the red boxed  
257 regions computed using the WAM2layers backtracking algorithm for the Midwest (a) and South U.S.  
258 region (b) for May-August from 2008-2021. c) shows the same, but for the southern Midwest region (red  
259 box) for May 27-June 4, 2013.

260

261 Lastly, we analyze a case study to verify the Gulf of Mexico and Caribbean Sea can  
262 provide moisture sources for specific heavy precipitation events in the Midwest. We analyze a 9-  
263 day period of intense rainfall in the Midwest region from May 27 - June 4, 2013 when over 150  
264 mm of rainfall was recorded in the Missouri and southeastern Midwest areas (USGS, 2013) (Fig.  
265 4c). We find that the local region (red box), southern U.S., and Gulf of Mexico/ Caribbean Sea  
266 are the largest moisture source regions for the observed extreme precipitation. Approximately  
267 22% of the moisture originated from the Caribbean Sea and Gulf of Mexico region and was  
268 directly transported and precipitated in the Midwest during this event (see S5 and Fig. S4), while  
269 only 11% of the moisture was locally recycled. An additional case study is shown in  
270 Supplemental Fig. 5 for the 2011 Missouri River Flooding events from May-June in which  
271 approximately 21% directly originated from the Caribbean and Gulf of Mexico region and 14%  
272 of the moisture was locally recycled.

273 The results from the WAM2layers water tracking model reveal that evaporation over the  
274 Gulf of Mexico and Caribbean Sea acts as a moisture source for precipitation over the Midwest  
275 in summertime. These results support our findings that evaporation in these regions indicated by  
276 sea surface salinity anomalies can provide predictive skill for heavy summertime Midwest  
277 precipitation events.

#### 278 **4 Discussion**

279 This analysis has revealed that salty waters indicative of evaporation in the Caribbean  
280 and Gulf of Mexico (Fig. 3) provide predictability for subseasonal forecasts of opportunity for  
281 heavy Midwest precipitation events (Fig. 2). We discuss a potential physical link for how the  
282 evaporative moisture source regions, identified by neural networks, provide moisture that  
283 ultimately precipitates in the Midwest region. The Caribbean Sea has been documented to  
284 provide significant moisture sources for Midwest extreme precipitation events via dynamical  
285 links from low-level jets (Dirmeyer & Kinter, 2010). In the summertime, a branch of the  
286 Caribbean Low-level Jet (CLLJ) turns northward and connects with the Great Plain Low-level  
287 Jet (GPLLJ) (Amador, 1998; Cook & Vizy, 2010). This causes a shift in westward moisture  
288 transport over the Caribbean Sea to northward transport over the continental U.S. into the Great  
289 Plains and Midwest regions (C. Wang et al., 2007). The interactions of these jets are intimately  
290 tied to the North Atlantic Subtropical High (NASH), a robust atmospheric high pressure in the  
291 North Atlantic region which impacts the strength and location of the low-level jets and their  
292 surface evaporation (C. Wang et al., 2007). The lower branch of the NASH is reflected in the  
293 swooping evaporated water feature found from the WAM2layers analysis in Fig. 4, supporting  
294 the dynamical link between subtropical jet features and Midwest precipitation. Putting it all  
295 together, evaporation in the Caribbean and Gulf of Mexico increases atmospheric moisture  
296 availability which is then transported westward by the Caribbean Low-level Jet and northward  
297 into continental U.S. and Midwest by the Great Plains Low-level jet.

298 Li et al. (2018) showed that a soil moisture feedback mechanism connects North Atlantic  
299 sea surface salinity anomalies to Midwest summertime precipitation. Enhanced moisture export  
300 from the subtropical North Atlantic contributes to extreme rainfall in the southern U.S. leading to

301 increased soil moisture. This soil moisture feedback causes enhanced evaporation and  
302 atmospheric convection, which intensifies the GPLLJ and transports moisture to the Midwest  
303 region. Additional research into the prediction of the location and intensity of these jets and the  
304 NASH (e.g. Ferguson, 2022; García-Martínez & Bolasina, 2020; Krishnamurthy et al., 2015;  
305 Malloy & Kirtman, 2020) could provide added predictive skill for forecasts of opportunity for  
306 Midwest precipitation events.

307 Sea surface salinity biases have been documented in CESM2 linked to precipitation  
308 biases (Simpson et al., 2020; Wei et al., 2021) with a slightly fresh overall salinity bias (Y. Liu et  
309 al., 2022). There are also discrepancies between satellite and in-situ sea surface salinity data due  
310 to both observational and sampling errors which provide constraints for ocean models  
311 (Vinogradova et al., 2019). Further, CESM2 sea surface salinity data is taken as the average of  
312 the upper 10m of the ocean. Boutin et al. (2016) show that near-surface stratification of salinity  
313 exists in the upper 1m and subseasonal prediction could vary based on this upper ocean  
314 resolution (Subramanian et al., 2019). We note that the predictive skill of heavy precipitation  
315 events using higher vertical resolution sea surface salinity data may vary as this could more  
316 effectively capture skin-layer evaporation intensity, rather than muted anomalies represented in  
317 the 0-10m volume average, but we leave this investigation for future work.

## 318 **5 Conclusions**

319 This study is the first peer-reviewed documentation to demonstrate the utility of North  
320 Atlantic sea surface salinity anomalies as a skillful subseasonal predictor of heavy Midwest  
321 summertime precipitation events. We employ a machine learning approach using neural  
322 networks to quantify the subseasonal predictability of heavy summertime rainfall events in the  
323 U.S. Midwest region using 3-day North Atlantic sea surface salinity fields. Using a statistical  
324 smoothing for a seamless transition across timescales, we assess predictability for lead times  
325 from 0-days to 56-days. We find that predictive skill is highest on subseasonal timescales with a  
326 peak at 21-day lead, particularly for forecasts of opportunity, e.g. predictions which are both  
327 confident and accurate. Output from neural networks allows us to identify predictions which  
328 result in forecasts of opportunity. Using explainable artificial intelligence, we create heatmaps of  
329 the most sensitive regions of salinity anomalies in the tropical and North Atlantic which provide  
330 skill for forecasts of opportunity. Positive sea surface salinity anomalies (which indicate  
331 evaporation and increased atmospheric moisture availability) in the Caribbean and Gulf of  
332 Mexico provide predictability for the forecasts of opportunity for heavy precipitation events.  
333 Consistent with previous research highlighting subtropical North Atlantic moisture as a source of  
334 U.S. terrestrial precipitation (Gimeno et al., 2010; L. Li et al., 2016a, 2022; van der Ent et al.,  
335 2010), our results support a physically consistent link between evaporation in the Caribbean and  
336 Gulf of Mexico and heavy precipitation in the Midwest via low-level jets. Output from the  
337 WAM2layers moisture-tracking model reveals that the regions of evaporation identified by  
338 neural networks within CESM2 simulations provide moisture to the Midwest region in the ERA5  
339 atmospheric reanalysis. The Caribbean Sea and Gulf of Mexico are found to provide a direct  
340 oceanic moisture source for Midwest precipitation, in part without moisture recycling, linking  
341 the salinity anomalies to subseasonal predictive skill of Midwest precipitation. These results  
342 complement the explainable artificial intelligence findings to reveal robust and physically  
343 meaningful sources of summertime heavy Midwest precipitation predictability via Atlantic sea  
344 surface salinity anomalies.

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352

353 **Open Research**

354 CESM2 Large Ensemble Data are available freely to the public at  
355 [www.cesm.ucar.edu/community-projects/lens2](http://www.cesm.ucar.edu/community-projects/lens2). The code for the Water Accounting Model 2-  
356 layers is available on GitHub, and is posted to the Zenodo permanent repository:  
357 <https://doi.org/10.5281/zenodo.8172344>. The ERA5 data were downloaded from the Copernicus  
358 Climate Data Store, and are freely available at  
359 <https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-complete?tab=overview>.  
360 All Python code for processing data and figures for this analysis will be available to the public  
361 on Github and converted to a permanent repository on Zenodo at the time of acceptance for  
362 publication.

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547

# **Sea Surface Salinity Provides Subseasonal Predictability for Forecasts of Opportunity of U.S. Summertime Precipitation**

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## **Contents of this file**

Text S1 to S5  
Figures S1 to S5  
Tables S1 to S2

### **Text S1. Data Preprocessing**

Daily data from 10 CESM2 ensemble members (Table S1) are used from 1850-1949 for May-August. All CESM2 data are interpolated from a 1x1 degree resolution to 2.5 x 2.5 degree resolution via bilinear interpolation for computational efficiency.

Sea surface salinity anomalies are computed via subtraction of the linear trend at each grid point of the ensemble mean for each day of the year to remove the forced response and retain only internal variability. A 3-day running average is applied to smooth the data while retaining high frequency fluctuations. Similar analyses with a 1-day and 5-day running averages of the precipitation data yielded similar results. The data are normalized by subtracting the mean and dividing by the standard deviation at each grid point.

The precipitation data are raw CESM2 data (e.g. not anomalies) averaged over the Midwest region (Fig. 1a). The daily precipitation in this region is summed cumulatively for 3 days.

Our goal is to evaluate the predictability of precipitation events across lead times spanning from the weather to subseasonal range. Therefore, we apply a Poisson weighting (Fig. S1) to the data to smooth the timeseries as lead time increases. Large weights are applied to the day being predicted for short-term forecasts (e.g. 7-day lead predictions; orange line in Fig. S1). Weights are distributed more widely as lead time increases, eventually widening into a centered nearly-Gaussian average as the upper limit of a Poisson distribution is the Gaussian distribution (e.g. 56-day lead predictions, yellow line in Fig. S1). After the precipitation time series are smoothed with the Poisson weighting (Fig. S1), the 3-day periods of precipitation are then ranked by magnitude. Periods above the 80th percentile of precipitation are classified as heavy events,

designated as a 1, and the remaining 80% of the time period are classified as light events, designated as a 0. Predictions are made using the 3-day trailing average sea surface salinity map to make the prediction of the 3-day forward-cumulative sum beginning with the day of each respective lead time (0-day, 7-day, 14-day, 21-day, 28-day, 35-day, 42-day, 49-day, 56-day). For example, a 0-day lead prediction made on May 4, 1850 uses the averaged salinity input from May 1-3 to classify the precipitation event as light or heavy for May 4-7, 1850. The same input map would be used for a 7-day lead example, but to classify the precipitation event for May 11-14, 1850, and so on for all lead times. Classification is performed individually within each ensemble member for each smoothed time series based on prediction lead time.

Training of the neural networks is performed using seven ensembles with each network initialized with 5 random seeds for robustness of the results. Two members are used for validation, and one member is used for testing. The training, validation, and testing ensemble members are then randomly reselected to train another set of neural networks with 5 random seed initializations. This strategy ensures that training of networks is performed individually so that no knowledge of the test data is used in the training of the networks. This process is repeated 5 times, for a total of 25 trained neural networks (5 networks with 5 random initializations each) per lead time.

Based on the nature of the classification of the output by percentile, the training, validation, and testing data are heavily imbalanced. For effective training of the networks to learn both the light and heavy precipitation event classes, we undersample our data via randomly selecting light precipitation events to remove from the training set to balance the classes for an even 50-50 split (e.g. Prusa et al., 2015). Although 60% of the data is discarded in this process, the benefit of large ensemble climate model data used here ensures that we still have enough data

## **Text S2. Neural Network Architecture**

The neural network architecture is depicted in the schematic in Fig. 1a. The architecture is identical for networks trained for predictions from leads of 0-35 days and then a slightly different architecture was used for leads of 42-56 days. Hyperparameter tuning was performed using the KerasTuner (O'Malley et al., 2019) to find the optimal set of parameters determined via validation accuracy. For the shorter lead forecasts, the network architecture consists of 1 hidden layer with 128 nodes with a rectified linear activation function applied (ReLU), a dropout rate of 50% and ridge regression coefficient of 0.1 to reduce overfitting, batch size of 32 samples, and a learning rate of  $1.618e-5$ . For the longer lead forecasts beyond 35 days, the network architecture consists of 2 hidden layers with 160 and 192 nodes with a rectified linear activation function applied (ReLU) to each, a dropout rate of 80% and ridge regression coefficient of 0.01 to reduce overfitting, batch size of 32 samples, and a learning rate of  $2.886e-6$ . All networks have a set global seed of 147483648 and are initialized with the following random seeds: 6, 26, 19, 54, 68. Networks are trained using the categorical cross-entropy loss function. Networks are trained with early stopping when the validation loss does not decrease after 25 epochs.

We note that for the lead of 7 days, the network architecture with the highest validation accuracy was slightly different than the one used here. However, the same architecture which resulted in the highest validation accuracy for leads 0, 14, 21, 28, and 35 resulted in a validation accuracy on the order of 0.001 less than the highest performing architecture. Therefore, we used the same architecture for all leads 0-35 days for simplicity.

### Text S3. Water Accounting Model

The WAM2layers uses ERA5 climate reanalysis data, including hourly, 2-dimensional surface pressure, evaporation and precipitation, and hourly 3-dimensional specific humidity, and zonal and meridional winds. We use data from 2008-2021 for this analysis. We use the backtracking function of the WAM2layers, which permits the tracing of precipitated water back through the atmosphere to its origins as evaporation. In this study, we spin-up the model for six months prior to the event of interest, to ensure full saturation of the atmospheric column.

### Text S4. Skill Scores

The Threat Score is a biased verification metric for categorical forecasts in which the score is based on the frequency of the event. It is defined as  $hits/(hits+false\ alarms+misses)$  in which a hit is a correctly forecasted heavy precipitation event, a false alarm is the prediction of a heavy precipitation event but it does not occur, and a miss is a prediction of a light precipitation event but a heavy event occurred. It does not account for correct rejections, e.g. correctly forecasted light events. The Gilbert Skill Score is an unbiased verification metric which accounts for the number of hits due to random chance, i.e. *chance hits*. It is defined as  $(hits-chance\ hits)/(hits+false\ alarms+misses-chance\ hits)$  where  $chance\ hits = (hits+false\ alarms)*(hits+misses)/total\ number\ of\ forecasts$ . For both skill scores, a score of zero denotes no skill, or random chance, and a skill of one is a perfect score.

### Text S5. Case Studies with the WAM2layers Model

We compute the percentage of moisture that originated from a certain location for the two case studies. Specifically, we compute the amount of moisture that originated over the Caribbean Sea and Gulf of Mexico region (262-320E; 11-30N) which eventually fell in the Midwest during the event. This value is divided by the total moisture that precipitated in that region during the event. The local moisture recycling percentage is computed by the amount of moisture that originated in the analyzed region (e.g. red boxes in Fig. 4c and S5) divided by the total moisture that precipitated in that region during the event.

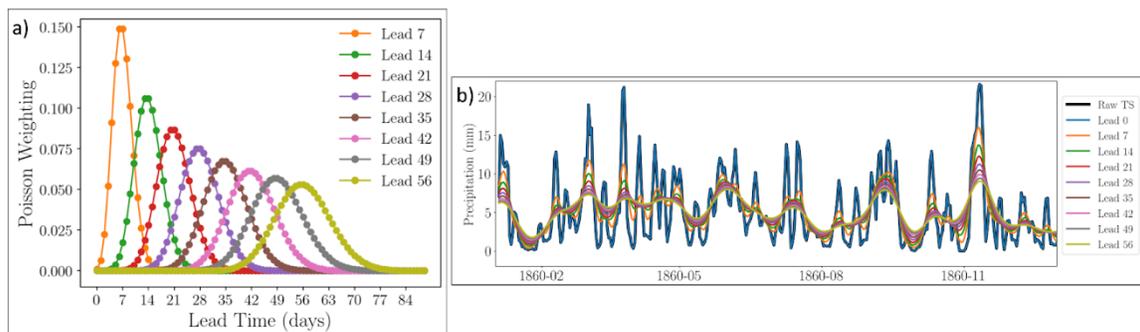


Figure S1. a) The Poisson distribution of the weights applied to the forecast period as a function of lead time. b) An example time series of the 3-day cumulative sum of precipitation in the U.S. Midwest for 1860 from ensemble member #0 showing the smoothed time series based on the Poisson weighting in (a). No weights are applied to lead of 0 days, so the raw time series (Raw TS; black line) and the time series for a lead of 0 days (Lead 0; blue line) are the same.

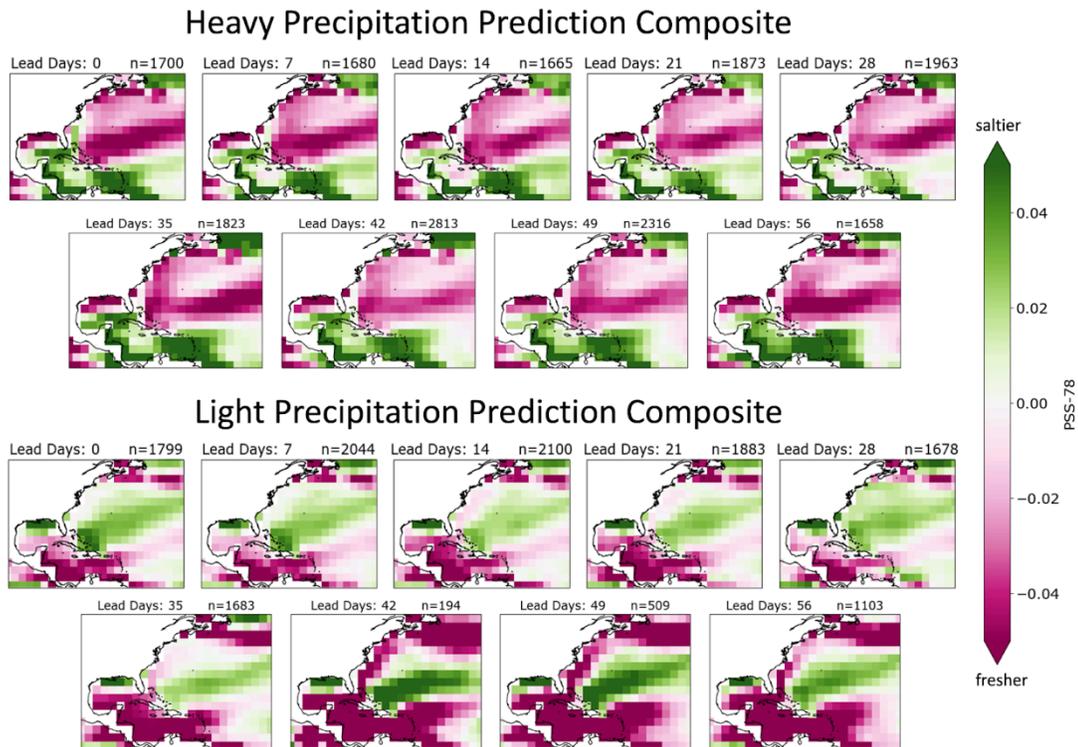


Figure S2. Composite of the sea surface salinity anomalies in PSS-78 for input maps of the 20% most confident, correct predictions for all leads for heavy predictions (top) and light predictions (bottom). Green colors represent positive sea surface salinity anomalies, or saltier waters, while pink colors represent negative sea surface salinity anomalies, or fresher waters. The number  $n$  represents the number of samples per composite.

### Sensitivity of Network Confidence During Heavy Predictions

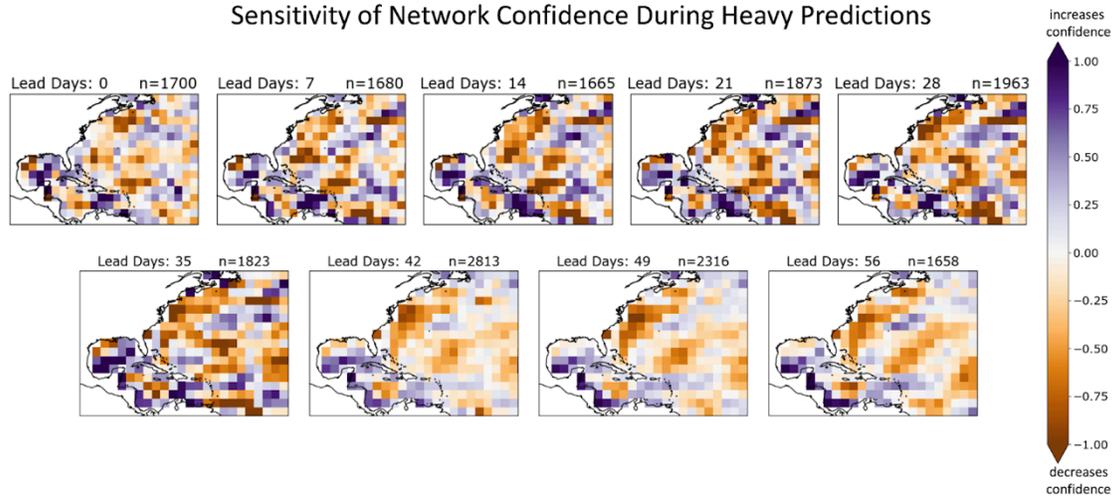


Figure S3. Saliency XAI composited heatmaps for the same days as the input maps of the 20% most confident, correct predictions for all leads for heavy predictions. Darker purple colors designate increased network confidence for positive salinity anomalies, and vice versa for orange colors. The colorbar is a unitless measure of sensitivity. The colorbar is a unitless measure of sensitivity. The number  $n$  represents the number of samples per composite.

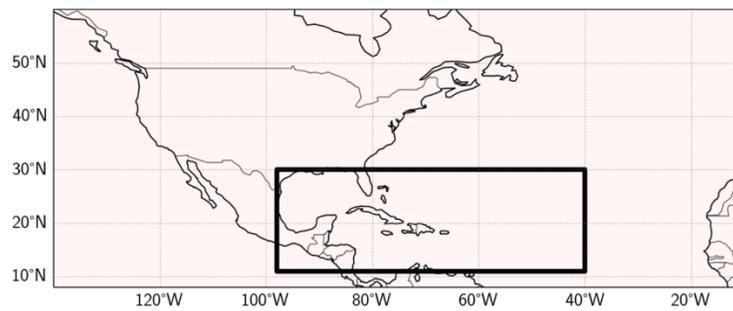


Figure S4. Region over which the moisture origin source is computed. The black box outlines 262-320E; 11-30N.

Case Study: 2011 Missouri River Floods  
May 01-June 30, 2011

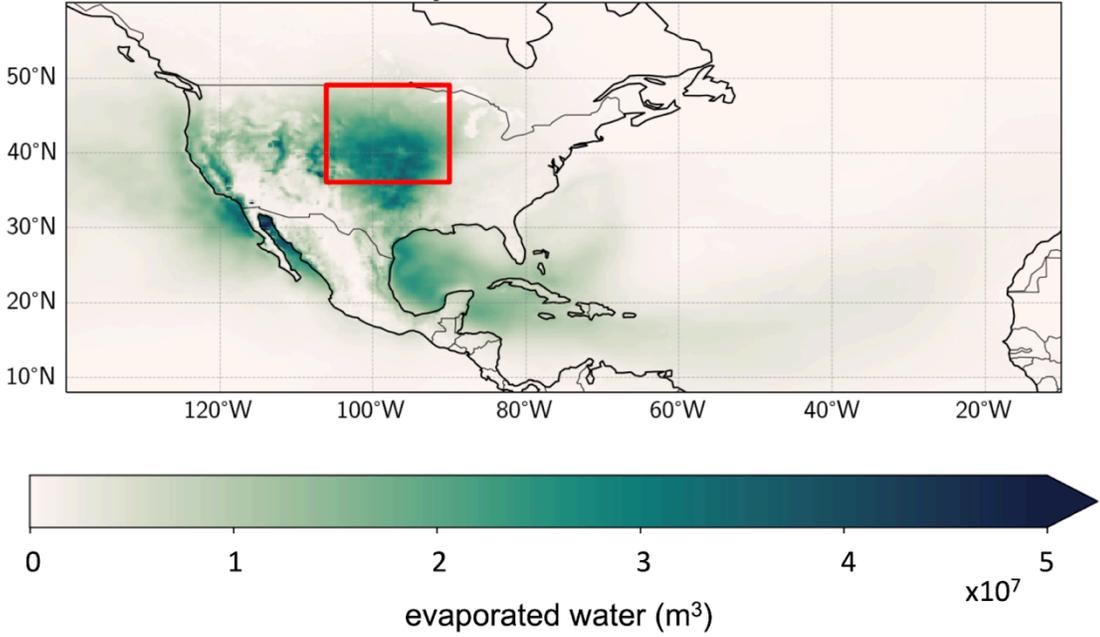


Figure S5. The sum of the evaporated water (in cubic meters) which fell as precipitation in the red boxed region computed using the WAM2layers backtracking algorithm for May 1 through June 30, 2011.

Ensemble	CESM2 Ensemble Member Name for Precipitation Variable	CESM2 Ensemble Member Name for Sea Surface Salinity Variable
0	b.e21.BHISTsmmb.f09_g17.LE2-1231.011.cam.h1.PRECT.1850-1949.nc	b.e21.BHISTsmmb.f09_g17.LE2-1231.011.pop.h.nday1.SSS.1850-1949.nc
1	b.e21.BHISTsmmb.f09_g17.LE2-1231.012.cam.h1.PRECT.1850-1949.nc	b.e21.BHISTsmmb.f09_g17.LE2-1231.012.pop.h.nday1.SSS.1850-1949.nc
2	b.e21.BHISTsmmb.f09_g17.LE2-1251.013.cam.h1.PRECT.1850-1949.nc	b.e21.BHISTsmmb.f09_g17.LE2-1251.013.pop.h.nday1.SSS.1850-1949.nc
3	b.e21.BHISTsmmb.f09_g17.LE2-1251.014.cam.h1.PRECT.1850-1949.nc	b.e21.BHISTsmmb.f09_g17.LE2-1251.014.pop.h.nday1.SSS.1850-1949.nc
4	b.e21.BHISTsmmb.f09_g17.LE2-1281.015.cam.h1.PRECT.1850-1949.nc	b.e21.BHISTsmmb.f09_g17.LE2-1281.015.pop.h.nday1.SSS.1850-1949.nc
5	b.e21.BHISTsmmb.f09_g17.LE2-1281.016.cam.h1.PRECT.1850-1949.nc	b.e21.BHISTsmmb.f09_g17.LE2-1281.016.pop.h.nday1.SSS.1850-1949.nc
6	b.e21.BHISTsmmb.f09_g17.LE2-1301.017.cam.h1.PRECT.1850-1949.nc	b.e21.BHISTsmmb.f09_g17.LE2-1301.017.pop.h.nday1.SSS.1850-1949.nc
7	b.e21.BHISTsmmb.f09_g17.LE2-1301.018.cam.h1.PRECT.1850-1949.nc	b.e21.BHISTsmmb.f09_g17.LE2-1301.018.pop.h.nday1.SSS.1850-1949.nc
8	b.e21.BHISTsmmb.f09_g17.LE2-1281.017.cam.h1.PRECT.1850-1949.nc	b.e21.BHISTsmmb.f09_g17.LE2-1281.017.pop.h.nday1.SSS.1850-1949.nc
9	b.e21.BHISTsmmb.f09_g17.LE2-1251.019.cam.h1.PRECT.1850-1949.nc	b.e21.BHISTsmmb.f09_g17.LE2-1251.019.pop.h.nday1.SSS.1850-1949.nc

Table S1. The naming convention of the ensemble members used in this study and the corresponding CESM2 ensemble member for precipitation and sea surface salinity. We used the smoothed biomass burning ensemble members (denoted by smbb). We italicize *1850-1949* because the data available are in 10-year increments. The data are downloaded then concatenated for the full time series.

<u>Tunable Parameter</u>	<u>Search Space</u>
Dropout	[0.0, 0.1, 0.2, 0.5, 0.8]
Ridge Regression (L2)	[0.01, 0.1, 0.5, 1.0, 2.0, 5.0, 10.0]
# of Hidden Layers	[1, 2]
# of Nodes per Layer	[32, 64, 96, 128, 160, 192, 224, 256]
Batch Size	[32, 64, 128, 256, 512]
Learning Rate	[1e-7, 1e-6, 1e-5, 1e-4, 1e-3]

Table S2. The hyperparameter search space evaluated using the KerasTuner to select the neural network architecture with the highest validation accuracy. The learning rate parameter space follows a logarithmic scale. 25 trials were performed using a random combination of the above parameters. Each network was trained for 5000 epochs with early stopping applied if validation loss increased after 25 epochs (e.g. the patience was 25).