

Induction of systemic, mucosal and cellular immunity against SARS-CoV-2 in mice intratracheally vaccinated with a viral S1 protein combined with a pulmonary surfactant-derived adjuvant SF-10

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November 9, 2022

Abstract

Background There is a need for vaccines that can induce effective systemic, respiratory mucosal and cellular immunity to control the COVID-19 pandemic. We reported previously that a synthetic mucosal adjuvant SF-10 derived from human pulmonary surfactant works as an efficient antigen delivery vehicle to antigen presenting cells in the respiratory and gastrointestinal tracts and promotes induction of influenza virus antigen-specific serum IgG, mucosal IgA and cellular immunity. **Methods** The aim of the present study was to determine the effectiveness of a new administration method of intratracheal (IT) vaccine comprising recombinant SARS-CoV-2 spike protein 1 (S1) combined with SF-10 (S1-SF-10 vaccine) on systemic, local and cellular immunity in mice, compared with intramuscular injection (IM) of S1 with a potent adjuvant AS03 (S1-AS03 vaccine). **Results** S1-SF-10-IT vaccine induced S1-specific IgG and IgA in serum and lung mucosae. These IgG and IgA induced by S1-SF-10-IT showed significant protective immunity in a receptor binding inhibition test of S1 and angiotensin converting enzyme 2, a receptor of SARS-CoV-2, which were more potent and faster achievement than S1-AS03-IM. Enzyme-linked immunospot assay showed high numbers of S1-specific IgA and IgG secreting cells (ASCs) and S1-responsive IFN- γ , IL-4, IL-17A cytokine secreting cells (CSCs) in the spleen and lungs. S1-AS03-IM induced IgG ASCs and IL-4 CSCs in spleen higher than S1-SF10-IT, but the numbers of ASCs and CSCs in lungs were low and hardly detected. **Conclusion** Based on the need for effective systemic, respiratory and cellular immunity, the S1-SF-10-IT vaccine seems promising mucosal vaccine against respiratory infection of SARS-CoV-2.

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Running head : Intratracheal SARS-CoV-2-SF-10 vaccination in mice

ABSTRACT

Background

There is a need for vaccines that can induce effective systemic, respiratory mucosal and cellular immunity to control the COVID-19 pandemic. We reported previously that a synthetic mucosal adjuvant SF-10 derived from human pulmonary surfactant works as an efficient antigen delivery vehicle to antigen presenting cells in the respiratory and gastrointestinal tracts and promotes induction of influenza virus antigen-specific serum IgG, mucosal IgA and cellular immunity.

Methods

The aim of the present study was to determine the effectiveness of a new administration method of intratracheal (IT) vaccine comprising recombinant SARS-CoV-2 spike protein 1 (S1) combined with SF-10 (S1-SF-10 vaccine) on systemic, local and cellular immunity in mice, compared with intramuscular injection (IM) of S1 with a potent adjuvant AS03 (S1-AS03 vaccine).

Results

S1-SF-10-IT vaccine induced S1-specific IgG and IgA in serum and lung mucosae. These IgG and IgA induced by S1-SF-10-IT showed significant protective immunity in a receptor binding inhibition test of S1 and angiotensin converting enzyme 2, a receptor of SARS-CoV-2, which were more potent and faster achievement than S1-AS03-IM. Enzyme-linked immunospot assay showed high numbers of S1-specific IgA and IgG secreting cells (ASCs) and S1-responsive IFN- γ , IL-4, IL-17A cytokine secreting cells (CSCs) in the spleen and lungs. S1-AS03-IM induced IgG ASCs and IL-4 CSCs in spleen higher than S1-SF10-IT, but the numbers of ASCs and CSCs in lungs were low and hardly detected.

Conclusion

Based on the need for effective systemic, respiratory and cellular immunity, the S1-SF-10-IT vaccine seems promising mucosal vaccine against respiratory infection of SARS-CoV-2.

Key words

pulmonary surfactant; mucosal adjuvant; COVID-19 vaccine; respiratory mucosal immunity

Abbreviations

ACE2, angiotensin converting enzyme 2;

APCs, antigen presenting cells;

ASCs, antibody secreting cells;

BALF, bronchoalveolar lavage fluid;

BI, binding inhibition;

CSCs, cytokine secreting cells;

COVID-19, coronavirus disease 2019;

CVP, carboxy vinyl polymer;

DPPC, dipalmitoyl phosphatidylcholine;

ELISA, enzyme-linked immunosorbent assay;

ELISPOT, enzyme-linked immunospot;

Graß, granzyme β ·
 HAV, influenza ether split hemagglutinin vaccine;
 IM, intramuscular;
 IN intranasal;
 IT, intratracheal;
 PA, palmitic acid;
 PG, phosphatidylglycerol;
 RBD, receptor-binding domain;
 S-IgA, secretory IgA;
 SARS-CoV-2, severe acute respiratory syndrome coronavirus 2;
 S, spike protein;
 SSF, synthetic surfactant;
 TRM, tissue-resident memory T cells;

1 Introduction

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) appeared in Wuhan, China, in late of 2019, causing coronavirus disease 2019 (COVID-19) and infecting approximately 560 million individuals worldwide based on July 2022 data, and death of approximately 6.5 million individuals.¹ The seriousness of the global situation called for urgent development of COVID-19 vaccine and several vaccines were approved at unprecedented speed and used clinically throughout the world.²⁻⁴

The approved vaccines are delivered by intramuscular (IM) injection and induce SARS-CoV-2 specific IgG in serum and showed effectiveness in prevention of severe infection and death.⁵ However, vaccines administrated intramuscularly are, in general, known to be minimally effective in inducing mucosal secretory IgA (S-IgA), which plays an important role in boosting mucosal immunity, and preventing respiratory and gastrointestinal tract infections and viral transmission.⁶ Recent reports have described intramuscular administration of COVID-19 mRNA vaccine (e.g., Comirnaty)-induced SARS-CoV-2 specific IgA at mucosal sites.^{7, 8} However, the effect of mucosal IgA induced by mRNA vaccine is presumably limited in providing protection against virus infection through mucosal sites.⁹ Thus, although the first-generation COVID-19 vaccines produced limited benefits, such as reduction of severe infection and death in pandemic emergency situation, it is necessary to develop the next generation COVID-19 vaccines that can induce effective mucosal S-IgA, in addition to systemic IgG and IgA, to achieve protection against infection and prevention of transmission of the virus.

Mucosal vaccines that are directly administered onto mucosal surfaces, such as the nasal cavity and gastrointestinal tract, can induce mucosal S-IgA.¹⁰ Since the mucosa is equipped with exclusion systems, namely the cilia, mucus and various proteases, against foreign substances including vaccine antigens,¹¹ the use of vaccines that contain antigen alone is unlikely to produce protective immunity. Thus, for successful mucosal vaccination, live virus vaccines and mucosal adjuvants that enhance the vaccine effects, have been developed worldwide.¹² However, the live attenuated vaccines, such as Flumist[®], have problems related to their efficacy and safety and thus their worldwide use is limited.¹³

To overcome these problems, we reported previously the use of an antigen delivery type adjuvant,¹⁴ pulmonary surfactant (PS)-based compound, surfacten[®], as an effective and safe intranasal mucosal adjuvant for influenza ether-split hemagglutinin vaccine (HAV) and that it enhanced the production of both HAV-specific IgG in serum and S-IgA in the respiratory mucosae of mice and swine.^{15, 16} Based on these results, we then successfully developed synthetic surfactant (SSF), which mimicked human PS, consisting of three major

lipids [1,2-dipalmitoyl-phosphatidylcholine (DPPC), phosphatidylglycerol (PG) and palmitic acid (PA)] plus the K6L16 peptide [which resembles surfactant protein C (SP-C)], as a potent and safe synthetic mucosal adjuvant.^{17, 18} In addition, to increase the effectiveness of the vaccine, we prepared the antigen and SSF complex by lyophilization, thus allowing the lyophilized powder to be suspended in carboxy vinyl polymer (CVP) to increase the viscosity of the antigen and SSF complex solution in order to prolong antigen uptake on the mucosal surface.¹⁸ The final vaccine solution was termed antigen-SF-10. In a series of studies, we reported that intranasal application of antigen-SF-10 enhanced the absorption of antigens to nasal antigen presenting cells and induced systemic as well as respiratory humoral immunity in mice and cynomolgus monkeys¹⁸⁻²⁰ and cell-mediated immunity in mice.²¹

The present study is an extension to the above work and was designed to determine the outcome of mucosal application of recombinant S1 spike protein of SARS-CoV-2, as an antigen combined with SF-10 (S1-SF-10) on respiratory and systemic immunity against SARS-CoV-2 infection. For this purpose, S1-SF-10 vaccine was inoculated intratracheally (IT) through nasal cavity to the lower respiratory tract (S1-SF-10-IT) of mice, the site of viral infection and proliferation. To test the effectiveness of a new administration method of intratracheal vaccination, we analyzed the levels of induced S1-specific antibodies, the antibody secreting cells and S1-responsive Th cytokine secreting cells in lung lymphocytes and splenocytes. We also compared the level of protective immunity induced by S1-SF-10-IT and intramuscular injection of S1 with an already reported potent adjuvant AS03 (S1-AS03-IM) against SARS-CoV-2 infection using the S1/angiotensin converting enzyme 2 (ACE2) binding inhibition assay.

2 Materials and Methods

2.1 Antigen and animals

HEK293 expressing recombinant SARS-CoV-2 S1 of the original Wuhan strain (Assession# QHD43416.1, Val 16–Arg 685) was purchased from ACROBiosystems (Newark, DE). Female BALB/c mice (age 6-8 weeks) were purchased from Japan SLC (Shizuoka, Japan). All animals were maintained under specific-pathogen-free conditions. Mice were anesthetized by intraperitoneal injection of 62.6 mg ketamine and 12.4 mg xylazine per kg body weight before vaccination. All mice used in this study were treated according to the Guide for the Care and Use of Laboratory Animals (NIH Publication No. 85-23, 1996) and the study was approved by the Animal Care Committee of Tokushima University (#T2020-65).

2.2 Preparation of S1-SF-10

The procedure used for the preparation of SSF was described in detail previously.^{14, 17} Briefly, SSF was prepared by mixing DPPC, PG and PA, and K6L16 peptide at a weight ratio of 75:25:10:2. SSF was mixed with S1 at a SSF phospholipid:S1 protein ratio of 10:1 at 42°C, and the S1-SSF complex was prepared by lyophilization and stored at -20°C until use. To prepare 0.1% CVP solution, CVP powder (Carbopol®971, Lubrizol, Cleveland, OH) was dissolved in saline (pH 7.0-7.5) by stirring followed by homogenizer and then stored at 4°C until use. Before administration, the lyophilized S1-SSF was dissolved in 0.1% CVP solution.

2.3 Immunization and sampling of serum and BALF

Mice were vaccinated intratracheally with 1 or 10 µg of S1 antigen with or without SF-10 twice or thrice every 2 weeks. Mice were vaccinated by intranasal instillation of 30 µL of S1-SF-10 or saline containing S1 antigen using a pipette, the dosage is the amount sufficient covering a mucous membrane from the nasal cavity to the lower respiratory tract.

As a positive control group, mice were immunized intramuscularly (into thigh muscles) with 10 µg S1 in 50 µL of saline or 10 µg S1 mixed with an adjuvant AS03 (AddaS03, InvivoGen, San Diego, CA) using a 1 mL plastic syringe. S1-AS03 solution was prepared according to the instructions provided by the manufacturer. At 2 weeks after the last immunization, serum and bronchoalveolar lavage fluid (BALF) samples were obtained as described in detail previously.¹⁵

2.4 Measurement of S1-specific IgA, S-IgA and IgG

Two weeks after the last immunization, we measured S1-specific IgA and IgG levels in the serum and S1-specific S-IgA and -IgG levels in BALF by enzyme-linked immunosorbent assay (ELISA). A 96-well plate (Nunc, Naperville, IL) was coated with S1 (0.1 $\mu\text{g}/\text{well}$) in ELISA Coating Buffer (Bethyl Laboratories, Montgomery, TX) overnight at 4°C, then blocked with Tris buffered saline with BSA (pH 8.0) (TBS, Sigma-Aldrich) for 2 h at 37°C. Serum and BALF were added to the plate and 2-fold serially diluted with 0.05% Tween 20-TBS. The plate was washed six times with 50 mM Tris-HCl (pH 8.0) containing 0.14 M NaCl and 0.05% Tween 20 (TTS), incubated with goat anti-mouse IgA or anti-mouse IgG Ab conjugated with HRP (Sigma-Aldrich) for 2 h at 37°C. The plate was washed six times with TTS and incubated with a KPL TMB Microwell Peroxidase Substrate System (SeraCare, Milford, MA) according to the instructions supplied by the manufacturer. The produced chromogen was measured at 450 nm absorbance using a SpectraMax ABS PLUS (Molecular Devices, Sunnyvale, CA). Antibody titers are defined as the reciprocal of the highest dilution of the sample with optical density (OD) of >0.1 .

2.5 SARS-CoV-2 spike protein 1 and ACE2 binding inhibition by sera and BALF of immunized mice

Two weeks after the last immunization, we analyzed inhibition ability of Spike:ACE2 binding in serum and BALF by using Spike S1 (SARS-CoV-2): ACE2 Inhibitor Screening Colorimetric Assay Kit (BPS Bioscience, San Diego, CA) according to the instructions provided by the manufacturer. Briefly, the serum and BALF samples were first added to the 96-well plate (Nunc) coated with Spike S1 then diluted 2-fold serially at 1/100 to 1/3200 and 1/2 to 1/64, respectively. Subsequently, ACE2-Biotin, Streptavidin-HRP and TMB were reacted in the 96-well plate, respectively. The chromogen produced was measured at an absorbance of 450 nm using a SpectraMax ABS PLUS. Binding inhibition (%) was obtained from the following formula: Binding inhibition (%) = $100 \times ([\text{OD value in the absence of specimen}] - [\text{OD value in the presence of specimen}]) \div [\text{OD value in the absence of specimen}]$.

2.6 Detection of S1-specific antibody and S1-responsive Th cytokine secreting cells in spleen and lungs by ELISPOT assay

Two weeks after triple vaccination, the spleen and lungs were dissected out carefully from the control untreated mice and immunized mice. Lymphocytes were isolated from the spleen using the method described in detail previously,²¹ while lung lymphocytes were isolated by collagenase digestion followed by Percoll (GE Healthcare, Buckinghamshire, UK) density gradient centrifugation, as described previously.²¹

S1-specific antibody secreting cells (ASCs) were detected by enzyme-linked immunospot (ELISPOT) assay as described previously.¹⁹ Briefly, the isolated lymphocytes of lung and spleen were seeded at 2×10^5 cells (lung) or 1×10^6 cells (spleen)/well onto MultiScreen 96-well plates MSIPS4510 (Millipore, Bedford, MA) that had been coated with 1 μg S1 protein/well, and incubated with fresh complete RPMI (cRPMI) medium (containing 10 mM HEPES, pH 7.2, 1 mM sodium pyruvate, 1% MEM non-essential amino acids solution, 14.3 μM 2-mercaptoethanol, 10 $\mu\text{g}/\text{mL}$ gentamycin and 10% heat-inactivated fetal bovine serum) for 4 h (lung lymphocytes) or 24 h (splenocytes). After incubation, the S1-specific ASCs spots were detected by using goat anti-mouse IgG or anti-mouse IgA antibody conjugated with horseradish peroxidase (Sigma-Aldrich) and 3-amino-9-ethylcarbazole (Sigma-Aldrich). The number of ASC spots was counted by ELISPOT counter ImmunoSpot S6 (CTL, Cleveland, OH).

The S1-responsive Th cytokine secreting cells (CSCs) were detected by the ELISPOT assay using Mouse IFN- γ , IL-4 and IL-17A ELISpot BASIC kit (HRP) (MABTECH, Nacka Strand, Sweden), according to the protocols provided by the manufacturers. The splenocytes and lung lymphocytes were seeded at 1×10^5 cells (lung) or 1×10^6 cells (spleen)/well onto MultiScreen 96-well plates MSIPS4510 that had been coated with each cytokine captor antibody, and incubated with fresh cRPMI for 24 h with or without 20 $\mu\text{g}/\text{mL}$ S1 protein. After incubation, the S1-responsive Th CSC spots were detected by each biotin conjugated cytokine detection antibody, streptavidin-horseradish peroxidase and 3-amino-9-ethylcarbazole. The number of CSC spots was counted by ELISPOT counter ImmunoSpot S6.

2. 7 Statistical analysis

Differences in parameters between the experimental groups were analyzed by the Mann-Whitney U test using JMP® 14 software (SAS Institute Inc., Cary, NC).

3 Results

3.1 Intratracheal administration of S1-SF-10 vaccine induces S1-specific humoral immunities in sera and respiratory mucosal fluid.

To analyze SF-10 adjuvanticity against S1 antigen, mice were immunized twice with 10 μ g of intramuscular and intratracheal S1, and 1 and 10 μ g of intratracheal S1-SF-10 vaccine. At 2 weeks after the last immunization, the S1-specific IgG in serum and S-IgA in BALF were measured by ELISA (Figure 1). S1-IM and -IT antigen induced very low levels of S1-specific IgG in serum. In contrast, S1-SF-10-IT vaccine induced noticeable levels of S1-specific IgG in serum at over 100-fold higher than S1 antigen alone (Figure 1A).

On the other hand, S1-specific S-IgA induction in BALF was detected in the 1 and 10 μ g of S1-SF-10-IT group, but none of the S1-IT and -IM antigen groups (Figure 1B). These results indicate that SF-10-IT had immunostimulatory effects as mucosal adjuvant for SARS-CoV-2 vaccine.

3.2 Intratracheal mucosal immunization with S1-SF-10 induces rapid and effective protective humoral immunity against SARS-CoV-2 compared with intramuscular immunization with S1-AS03 in S1/ACE2 binding inhibitor screening assay

Next, we compared the effectiveness of S1-SF-10-IT with that of intramuscular S1-AS03 (a potent intramuscular adjuvant and clinically used candidate of COVID-19 vaccine adjuvant reported)^{22, 23} (Figure 2A-D). The serum levels of S1-specific IgG were significantly higher in the S1-AS03-IM group compared with the S1-IM group, and similar to those in the S1-SF-10-IT group after double and triple vaccinations (Figure 2A). However, the BALF levels of S1-specific IgG were significantly lower in the S1-AS03-IM group than in the S1-SF-10-IT group (Figure 2B). Serum and BALF S1-specific IgA were also induced in S1-SF-10-IT group, but not S1-IM group (Figure 2C, D).

Next, we applied the S1/ACE2 Inhibitor Screening Colorimetric Assay²⁴ to determine the protective immunity against SARS-CoV-2 of IgG in serum and S-IgA in BALF induced by S1-SF-10-IT vaccine. The S1:ACE2 binding inhibition (BI) (%) of serum and BALF of mice immunized with S1-IM was <10% at any concentration of specimens after double and triple vaccinations. The BI of mice that received double vaccination with S1-AS03-IM was approximately 20% at the highest concentration of serum and BALF. In contrast, the BIs of mice immunized with S1-SF-10-IT were approximately 40% in serum and 80% in BALF at the highest concentration. After triple vaccinations, the BI levels of S1-AS03-IM and S1-SF-10-IT were 80-90% at the highest concentrations of serum and BALF, respectively. Although the BI values of BALF after triple vaccinations with S1-SF-10-IT were approximately 50% even at the lowest concentrations, those of mice immunized with S1-AS03-IM were very low at <2%. These results indicate that S1-SF-10-IT rapidly and effectively induces protective immunity against SARS-CoV-2 systemically in serum and in the respiratory mucosa compared with S1-AS03-IM.

3.3 Intratracheal immunization with S1-SF-10 induces S1-specific antibody secreting cells in spleen and lungs

S1-SF-10-IT resulted in marked induction of both S-IgA and IgG in BALF (Figure 2B, D). To determine the antibody producing sites, we analyzed S1-specific IgG and IgA ASCs. For this purpose, lymphocytes were harvested from the spleen and lungs of triple-vaccinated mice with 10 μ g of S1-IM, S1-AS03-IM and S1-SF-10-IT at two weeks after the last immunization, and the S1-specific IgG and IgA ASCs were detected by ELISPOT assay (Figure 3). Only a few S1-specific IgG ASCs were detected in the spleen of S1-IM group, and S1-specific IgA in the spleen, IgG and IgA in the lungs were even below the detection limits. Although the number of S1-specific IgG ASCs in the spleen of the S1-AS03-IM group was higher than approximately 2-fold of that of S1-SF-10-IT group (Figure 3A), their number was lower in the lungs of mice immunized with S1-AS03-IM at approximately 1/15-fold of that found in S1-SF-10-IT group (Figure 3C). In addition, S1-specific IgA ASCs in the both spleen and lung were detected in S1-SF-10-IT group, but hardly S1-AS03-IM group (Figure 3B, C).

3.4 Effect of route of vaccine administration on induction of Th cytokine secreting cells in spleen and lungs

We reported previously that mucosal immunization of HAV-SF-10, induces antigen-responsive Th1, Th2, and Th17 cytokines in the spleen.¹⁹ To analyze the T cell associated immunities against SARS-CoV-2 induced by S1-SF-10-IT, we measured S1-responsive Th CSCs in the spleen and lungs. Mice received triple vaccinations with S1-IM, S1-AS03-IM and S1-SF-10-IT, and the splenocytes and lung lymphocytes of these mice were isolated at two weeks after the last vaccination, followed by incubation with or without S1 for 24 h. The S1-responsive Th1 (IFN- γ), Th2 (IL-4) and Th17 (IL-17A) CSCs were detected by ELISPOT assay (Figure 4). The levels of S1-responsive IFN- γ CSCs induced by S1-AS03-IM and S1-SF-10-IT in the spleen were comparable and higher than those induced by S1-IM. In comparison, S1-responsive IFN- γ CSCs in lungs were only detected in the S1-SF-10-IT group. Further analysis showed that the highest levels of S1-responsive IL-4 CSCs in the spleen were found in the S1-AS03-IM group while those in the S1-SF-10-IT group were slightly lower than those of the S1-IM group. With regard to the lungs, similar levels of S1-responsive IL-4 CSCs were detected in the S1-IM, S1-AS03-IM and S1-SF-10-IT groups. The level of S1-responsive IL-17A CSCs in the spleen of the S1-SF-10-IT group was the highest among the vaccination groups and the S1-responsive IL-17A CSCs in the lungs were detected only in the S1-SF-10-IT group.

4 Discussion

In this study, we described new findings on intratracheal administration of mucosal adjuvant SF-10 derived from PS for COVID-19 vaccines. The main findings include i) S1-SF-10-IT vaccine induced S1-specific IgG in serum to levels similar to those found with S1-AS03-IM, together with induction of higher S-IgA and IgG levels in the respiratory mucosa than S1-AS03-IM, which plays an important role in protection against SARS-CoV-2 infection. ii) S1-SF-10-IT induced S1-specific IgG and IgA ASCs and S1-responsive IFN- γ , IL-4 and IL-17A CSCs in both the spleen and lungs.

Respiratory mucosal immunity plays an important front-line role against respiratory viral infections. Especially, S-IgA in the respiratory mucosa protects against invasion of viruses into mucosal cells, resulting in prevention of infection and transmission of pathogens to other individuals.^{12, 25} Our results demonstrated that S1-SF-10-IT vaccine induced S1-specific IgG and IgA ASCs in the spleen and lungs (Figure 3) and S1-specific antibodies in the serum and BALF (Figure 1, 2A-D), which efficiently inhibited S1/ACE2 binding (Figure 2E-H). The S1-AS03-IM induced S1-specific IgG in the serum and BALF (Figure 2A, B), but not S1-specific IgA in serum and BALF (Figure 2C, D), and S1-specific IgA ASCs in the spleen and lungs (Figure 3B, D). The antibodies-related inhibitory effects of S1/ACE2 binding in BALF induced by S1-AS03-IM were also weaker than intratracheal application of S1-SF-10-IT (Figure 2F, H).

In addition, the role of mucosal IgG induced by S1-SF-10-IT cannot be ignored; S1-SF-10-IT induced significantly higher BALF IgG levels compared with S1-AS03-IM regardless of the time of administration (Figure 2B). Based on the above results, our findings suggest that vaccination with S1-SF-10-IT provides efficient protection against respiratory infection.

In addition to protective humoral immunity, T cell-mediated immunity is important for protection of SARS-CoV-2 infection. It was reported recently that SARS-CoV-2 antigen responsive IFN- γ CSCs in blood plays an important role in the early phase of SARS-CoV-2 infection and acceleration of virus clearance.²⁶ Also, IFN- γ producing lung tissue-resident memory T cells (TRMs) conduct immune surveillance for pathogens that could invade the tissues and robustly protect against site-specific infection by viruses, including SARS-CoV-2 in the respiratory tract, thus preventing infection.²⁷ S1-AS03-IM induced S1-responsive IFN- γ CSCs in the spleen, but not in the lungs. In contrast, S1-SF-10-IT induced S1-responsive IFN- γ CSCs in not only the spleen but also in the lungs. Additionally, S1-SF-10-IT vaccine induced S1-responsive granzyme β expression in splenic CD8⁺ T cells, a marker of cellular immunity (Supplementary Figure 1). Based on this likely scenario, we suggest that the use of the intratracheal route for S1-SF-10 vaccine delivery offers the advantage of preventing respiratory infection, such as SARS-CoV-2, better than intramuscularly delivered vaccine.

Although S1-SF-10-IT induced significantly higher splenic S1-responsive IL-17A CSCs relative to S1-AS03-

IM, splenic S1-responsive IL-4 CSCs induced by S1-SF-10-IT were lower than those by S1-AS03-IM (Figure 4E). These results indicate that S1-SF-10-IT tends to induce mild Th2 type immunity and marked Th17 type immunity, compared with intramuscularly injected vaccine. Since IL-17A is secreted by Th17 and involved in production and migration to mucosal sites of IgA,^{28, 29} these results imply that IL-17A induced by S1-SF-10-IT vaccine is mediated at least in part by marked IgA induction in serum and respiratory tract mucosa. Although the functional properties of vaccine-induced Th17 TRM in the lungs remain to be examined in detail, Th17 TRM could mediate the induction of respiratory IgA following vaccination with S1-SF-10-IT.^{28, 29} On the other hand, others indicated that Th17 induced by SARS-CoV-2 infection can induce a cytokine storm.^{30, 31} Since there are two types of Th17; the first is non-pathogenic Th17, which produces IL-10, has anti-inflammatory activity, while the second is the pathogenic Th17, which does not induce IL-10,^{31, 32} further studies are needed to determine whether lung Th17 induced by S1-SF-10-IT immunization are non-pathogenic type capable of inducing IL-17A and IL-10 to protect against SARS-CoV-2 infection.

Our study has certain limitations. We investigated protective immunity against SARS-CoV-2 infection using by S1/ACE2 binding inhibition assay *in vitro*. To confirm the protective immunity induced by mucosal administration of S1-SF-10 against mortality, severity and transmission by SARS-CoV-2 infection *in vivo*, we need to conduct protection experiments in SARS-CoV-2-infected animals.

In conclusion, we have demonstrated in the present study that SF-10, which mimics human PS, is a suitable and effective mucosal adjuvant for COVID-19 vaccines and can be used for intratracheal delivery of vaccines to initiate rapid and potent local respiratory mucosal and systemic immunity. Intratracheal administration of COVID-19 vaccines using SF-10 are expected to provide effective protection against respiratory infection of SARS-CoV-2.

Acknowledgments

This work was supported by a Grant-in-Aid for Scientific Research (C) (#21K07041) from the Japan Society for the Promotion of Science and the Japan Agency for Medical Research and Development (AMED) (#19im0210112 and 22he2302005h0004).

Conflict of Interest

The all authors declare no conflict of interest.

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Figure legends

Figure 1 Detection of S1-specific IgG and IgA antibodies in serum and BALF.

Mice were immunized twice by intratracheal (IT) administration of S1 combined with or without SF-10 at days 0 and 14. Another group of mice were immunized by intramuscular (IM) injection of S1 at the same time schedules. At two weeks after the last immunization, the levels of S1-specific IgG in serum (A) and S-IgA in BALF (B) were measured by ELISA. Data represent S1-specific antibody titer of individual mice (open rhombus) and their geometric mean (solid squares) (n = 6 mice per group). Differences between same times immunization groups were analyzed by the non-parametric Mann-Whitney U-test. ***P* < 0.01 vs S1-IM, ++*P* < 0.01 vs S1-IT.

Figure 2 Comparison of the effects of route of vaccine administration on IgG and IgA induction.

Mice were immunized twice or thrice by S1-IM, S1-AS03-IM and S1-SF-10-IT every two weeks. At two weeks after the last immunization, serum and BALF samples were collected. ELISA was used to measure the levels of S1-specific IgG in serum (A), IgG in BALF (B), IgA in serum (C) and S-IgA in BALF (D). Data represent S1-specific antibody titer of individual mice (open rhombus) and their geometric mean (solid rhombus) (n = 6 mice per group). Inhibition abilities of Spike/ACE2 binding of serum (E, G) and BALF (F, H) were measured by a colorimetric assay using ACE2 Inhibitor Screening Colorimetric Assay Kit. The serum and BALF samples were diluted serially 2-fold at 1/100 to 1/3200 and 1/2 to 1/64, respectively. The formula used to calculate the binding inhibition (BI) (%) was described in Materials and Methods. Each bar represents the geometric mean ± SEM of BI (%) of each dilution point samples of S1-IM (open bars), S1-AS03-IM (grey bars) and S1-SF-10-IT (closed bars), (n = 6 mice per group). Differences between same-time immunization groups or same serum and BALF dilution groups were analyzed by the non-parametric Mann-Whitney U-test. **P* < 0.05, ***P* < 0.01 vs S1-IM, +*P* < 0.05, ++*P* < 0.01 vs. S1-AS03-IM.

Figure 3 Detection of S1-specific ASCs in spleen and lung tissues.

Mice were triple vaccinated by S1-IM, S1-AS03-IM and S1-SF-10-IT every two weeks. At two weeks after the last immunization, the spleen and lungs were dissected out and lymphocytes isolated. The latter were seeded onto S1-coated plates at 2×10^5 (lungs) or 10^6 (spleen) cells, and S1-specific IgG ASCs in spleen (A), IgA ASCs in spleen (B), IgG ASCs in lungs (C) and IgA ASCs in lungs (D) were detected by ELISPOT assay. Each bar represents mean $\pm$ SEM counts of ASC per 10^6 cells ($n = 10$). Differences between groups were analyzed by the non-parametric Mann–Whitney U-test. * $P < 0.05$, ** $P < 0.01$ vs S1-IM, ++ $P < 0.01$ vs S1-AS03-IM.

Figure 4 Detection of S1-responsive CSCs in spleen and lung tissues.

Mice were triple vaccinated by S1-IM, S1-AS03-IM and S1-SF-10-IT every two weeks. At two weeks after the last immunization, lymphocytes from the spleen and lungs of immunized mice ($n = 10$ mice per group) or untreated negative control mice ($n = 3$) were isolated, and then seeded and incubated with or without $20 \mu\text{g/mL}$ of S1 for 24 h. The IFN- γ (A, B), IL-4 (C, D) and IL-17A (E, F) CSCs in lymphocytes of spleen (A, C, E) and lungs (B, D, F) were detected by ELISPOT. Data are mean $\pm$ SEM counts of non-stimuli well (open bars) and S1-stimuli well (closed bars) of CSC per 10^6 cells ($n = 10$). Differences between groups were analyzed by the non-parametric Mann–Whitney U-test. * $P < 0.05$, ** $P < 0.01$ vs S1-IM, ++ $P < 0.01$ vs S1-AS03-IM.





