

# Evaluating the water cycle over CONUS at the watershed scale for the Energy Exascale Earth System Model version 1 (E3SMv1) across resolutions

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## Abstract

The water cycle is an important component of the earth system and it plays a key role in many facets of society, including energy production, agriculture, and human health and safety. In this study, the Energy Exascale Earth System Model version 1 (E3SMv1) is run with low-resolution (roughly 110 km) and high-resolution (roughly 25 km) configurations — as established by the High Resolution Model Intercomparison Project protocol — to evaluate the atmospheric and terrestrial water budgets over the conterminous United States (CONUS) at the large watershed scale. The water cycle slows down in the HR experiment relative to the LR, with decreasing fluxes of precipitation, evapotranspiration, atmospheric moisture convergence, and runoff. The reductions in these terms exacerbate biases for some watersheds, while reducing them in others. For example, precipitation biases are exacerbated at HR over the Eastern and Central CONUS watersheds, while precipitation biases are reduced at HR over the Western CONUS watersheds. The most pronounced changes to the water cycle come from reductions in precipitation and evapotranspiration, the latter of which results from decreases in evaporative fraction. While the HR simulation is warmer than the LR, moisture convergence decreases despite the increased atmospheric water vapor, suggesting circulation biases are an important factor. Additional exploratory metrics show improvements to water cycle extremes (both in precipitation and streamflow), fractional contributions of different storm types to total precipitation, and mountain snowpack.

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## Key Points:

- The water cycle slows down (decreased fluxes) when grid spacing decreases from 110 km to 25 km.
- Decreasing surface evaporative fraction and circulation changes lead to reduced precipitation at HR.
- HR improves precipitation extremes, storm event precipitation contributions, and mountain snowpack.

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## Abstract

The water cycle is an important component of the earth system and it plays a key role in many facets of society, including energy production, agriculture, and human health and safety. In this study, the Energy Exascale Earth System Model version 1 (E3SMv1) is run with low-resolution (roughly 110 km) and high-resolution (roughly 25 km) configurations — as established by the High Resolution Model Intercomparison Project protocol — to evaluate the atmospheric and terrestrial water budgets over the conterminous United States (CONUS) at the large watershed scale. The water cycle slows down in the HR experiment relative to the LR, with decreasing fluxes of precipitation, evapotranspiration, atmospheric moisture convergence, and runoff. The reductions in these terms exacerbate biases for some watersheds, while reducing them in others. For example, precipitation biases are exacerbated at HR over the Eastern and Central CONUS watersheds, while precipitation biases are reduced at HR over the Western CONUS watersheds. The most pronounced changes to the water cycle come from reductions in precipitation and evapotranspiration, the latter of which results from decreases in evaporative fraction. While the HR simulation is warmer than the LR, moisture convergence decreases despite the increased atmospheric water vapor, suggesting circulation biases are an important factor. Additional exploratory metrics show improvements to water cycle extremes (both in precipitation and streamflow), fractional contributions of different storm types to total precipitation, and mountain snowpack.

## Plain Language Summary

This study seeks to better understand how the U.S. DOE’s Earth system model, E3SM, simulates the conterminous United States (CONUS) water cycle. To accomplish this goal, we examine the atmosphere and land water budget terms at the watershed and seasonal space and time scales. At higher resolution, all of the terms in the water budget become smaller: precipitation, evapotranspiration, moisture convergence, and runoff. Decreases in evapotranspiration result from an increased fraction of surface heat flux coming from sensible energy. Despite the HR simulation being warmer overall and having more water vapor in the atmosphere, moisture convergence is still reduced owing to changes in circulation patterns. We also examine exploratory metrics with expected resolution sensitivity — including precipitation and streamflow extremes, storm events, and snowpack — and find modest improvements.

## 1 Introduction

The water cycle is a key component to many facets of life. Hence better understanding of the water cycle is a key science goal of the development of the Energy Exascale Earth System Model (E3SM) to address U.S. Department of Energy (DOE) mission needs related to climate change impacts on energy production and use (Leung et al., 2020; Zamuda et al., 2013). In particular, we seek to answer the question, “how does better resolving features important to the water cycle at the watershed scale improve the representation of freshwater supplies at that scale?” At the watershed scale, important climatic features generated by complex topography, land surface cover and land use, and other surface heterogeneity and their interactions with atmospheric circulation are not well captured at the standard resolution used in E3SM (J. Golaz et al., 2019). We expect some of these features to improve by increasing the horizontal resolution of the component models, which can lead to improvements in the overall simulation of the water cycle. Quantifying the sensitivity of the water cycle to resolution in E3SMv1 is the primary goal of this manuscript.

Any improvements to the simulated water cycle from increasing horizontal resolution depend on both the scales being resolved as well as the scales being analyzed. For example, Demory et al. (2014) found that the water cycle was sensitive to horizontal res-

olution down to roughly 60 km (as measured by the ratio of global land to global total precipitation). Vannière et al. (2019) found a similar sensitivity, while also noting (1) global precipitation increases with increasing model resolution and (2) improved seasonal mean circulations lead to improved regional precipitation features. The agreement between results becomes less coherent when the focus shifts from a global to a regional perspective. For example, Monerie et al. (2020) found that simulated precipitation improvements converge around 60 km resolution over northeast Brazil, but improvements over the Andes do not converge even down to 25 km resolution (the highest they tested). Similar scales of resolution (on the order of tens of kilometers) have found improvements to precipitation (e.g. Schiemann et al., 2018; Demory et al., 2020), though these are not uniform (Ito et al., 2020). Ajibola et al. (2020) found that increasing resolution to roughly quarter or half degree grid spacing showed no reliable improvement in rainfall over West Africa. Similarly, for a resolution change of  $\sim 1.125^\circ$  to  $\sim 0.25^\circ$ , Benedict et al. (2019) found improvements for the Rhine region in Europe, but the same improvements were absent in the Mississippi region in North America, highlighting the need for a deeper look at which aspects of the hydrologic cycle are sensitive to which scales in different environments. Relevant to this study, X. Huang and Ullrich (2017) and many previous studies cited therein found increased horizontal resolution ( $\sim 0.25^\circ$ ) improved precipitation over the conterminous United States (CONUS), particularly in the mountainous regions of the Western US. Similarly, F. Huang et al. (2020) found model performance in precipitation over the Rocky Mountain region was related to horizontal resolution in the fifth phase of the Coupled Model Intercomparison Project (CMIP5; Taylor et al., 2012) ensemble.

Like mean rainfall, water cycle extremes show improvements with increased horizontal resolution (Iorio et al., 2004; Kiehl & Williamson, 1991; Terai et al., 2017; M. F. Wehner et al., 2010, 2014; Mahajan et al., 2015; X. Huang & Ullrich, 2017; Mahajan et al., 2018; Srivastava et al., 2020a; Bador et al., 2020; Schiemann et al., 2018; Balaguru et al., 2020; M. Wehner et al., 2021; Rhoades et al., 2021a; Mahajan et al., 2022). For the relatively small range of horizontal resolutions found across the CMIP6 (Eyring et al., 2016) ensemble, horizontal resolution is not a good predictor of model performance for rainfall extremes (Akinsanola et al., 2020). Uncertainty in extremes from observations can sometimes be as large as intermodel differences (Srivastava et al., 2020a; Bador et al., 2020). Of particular interest, though, are the findings of M. Wehner et al. (2021), which note that typical measures of extreme precipitation increase with horizontal resolution over the CONUS, but carefully constructed model skill metrics that account for resolution do not show significant sensitivity. In other words, a large degree of the sensitivity was related to the metrics calculations themselves instead of improvement from the model. Bador et al. (2020) also note that increased horizontal resolution on its own is not sufficient for systematic improvement in simulating precipitation extremes.

Sharma et al. (2019) point out that increased resolution in regional simulations can easily be disrupted by uncertainties in boundary forcing. In fully coupled global models the boundary conditions are freely evolving according to each model component, which puts greater emphasis on the need for understanding how the system interacts as a whole. With global models, what is considered high resolution is often much coarser than regional models. Even convective-permitting global models (grid spacing on the order of a few kilometers), such as those simulations run as part of DYNAMICS of the Atmospheric general circulation Modeled On Non-hydrostatic Domains (DYAMOND; Stevens et al., 2019), cannot run long enough to provide insight to the seasonal cycle or modes of interannual variability. The High Resolution Model Intercomparison Project (HighResMIP; Haarsma et al., 2016) was proposed to organize a common framework for models (both coupled and uncoupled alike) to assess resolution sensitivity on simulated climate processes. E3SM high- and low-resolution experiments have been run generally consistent with the HighResMIP protocol. There are two deviations from the HighResMIP protocol worth noting: (1) E3SM uses prognostic aerosols instead of the prescribed values sug-

gested for HighResMIP; and (2) the control simulations (from which the transient simulations used herein are branched) follow a different initialization procedure for the ocean (documented in section 2.5 of Caldwell et al., 2019).

The approach taken for this manuscript is to examine the CONUS seasonal water cycle at the level 2 Hydrologic Unit Codes (HUC2) watershed scale. We aim to quantify the biases in the terms important for the water budget in both the atmosphere and land, as well as the sensitivity of these biases to resolution at the scales used in the HighResMIP experimental design. Further analyses allow us to quantify the factors leading to changes in the moisture budget terms. We will show that the CONUS water cycle slows down at higher resolution with all terms in the moisture budget decreasing in magnitude from low to high resolution.

Many additional metrics can be used to gain insight into the simulated water cycle. Pendergrass et al. (2020) suggested a series of “exploratory metrics” for the water cycle that can aid in understanding its behavior. Some of these we anticipate having sensitivity to horizontal resolution and we will examine them within this manuscript. These include investigating precipitation unevenness distributions, storm events (including tropical cyclones, extratropical cyclones, and atmospheric rivers), extreme precipitation, extreme streamflow, and snowpack. Many of these features are also critical needs for water resource management.

This manuscript serves two primary functions. First, it provides a quantitative assessment of the simulated water cycle over the CONUS in E3SM at two resolutions for the seasonally varying components of the water budget in both the atmosphere and land. The second is to identify which other aspects of the water cycle are sensitive to resolution in E3SM using several exploratory metrics. The manuscript is organized in the following manner. Section 2 details the key features of the simulations used. Section 3 examines the seasonal water cycle at the watershed scale and quantifies changes to the biases in the model owing to resolution. Section 4 details additional metrics to examine further sensitivities in the simulated water cycle to resolution changes in E3SM. Finally, in section 5, we summarize the findings of this study and make recommendations for future work.

## 2 Experimental Design

The simulations used in this study follow the experimental design described in Caldwell et al. (2019) with one primary difference: the simulation pair does not use repeating 1950 conditions, but instead uses transient forcings following the HighResMIP (Haarsma et al., 2016) protocol for the years spanning 1950 through 2014. Analysis of these simulations is done using the final thirty years of each simulation (1985-2014). We reproduce a selection of the salient features of the E3SMv1 model design here for aid in understanding this particular manuscript. More thorough descriptions may be found in J. Golaz et al. (2019) and Caldwell et al. (2019).

The atmosphere component is described in detail by Rasch et al. (2019) and its cloud and convective characteristics analyzed by Xie et al. (2018). It is based on the spectral-element dynamical core (Dennis et al., 2012) with 72 vertical levels. The following processes are parameterized: deep convection (Zhang-McFarlane; G. J. Zhang & McFarlane, 1995; Neale et al., 2008; Richter & Rasch, 2008); macrophysics, turbulence, and shallow convection (Cloud-Layers Unified by Binormals; J.-C. Golaz et al., 2002; Larson & Golaz, 2005; Larson, 2017); microphysics (Morrison-Gottelman Version 2; Gottelman & Morrison, 2015; Gottelman et al., 2015); aerosol treatment (four-mode Modal Aerosol Model; Liu et al., 2016; Wang et al., 2020); and radiative transfer (Rapid Radiative Transfer Model for general circulation models; Mlawer et al., 1997; Iacono et al., 2008).

Grid	atm/land $\sim \Delta x$	atm/land # of columns	ocean/sea ice $\sim \Delta x$	ocean/sea ice # of columns	river $\sim \Delta x$	river # of columns
HR	25 km	777,602	8-16 km	3,693,225	0.125°	4,147,200
LR	110 km	48,602	30-60 km	235,160	0.5°	259,200

**Table 1.** Grid comparisons for the high-resolution (HR) and low-resolution (LR) configurations of the model.

The ocean and sea ice components use the Model for Prediction Across Scales (MPAS; Petersen et al., 2019; Ringler et al., 2013). A mesoscale eddy parameterization (Gent-McWilliams; Gent & McWilliams, 1990) is used only for the low-resolution simulation (it is disabled for the high-resolution). Neither the high-resolution nor the low-resolution configurations use a submesoscale eddy transport scheme.

The land model is nearly identical to its parent model, the Community Land Model version 4.5 (Oleson et al., 2013), run with satellite phenology and disabled prognostic carbon and nitrogen representation. There are 10 soil layers in the land model. The Model for Scale Adaptive River Transport (MOSART H. Li et al., 2013; H. Y. Li et al., 2015) is used for river transport (in its grid-based representation). Given runoff simulated by the land model, MOSART explicitly simulates channel velocity, channel water depth, and water surface area following a simplified form of the one-dimensional Saint-Venant equation.

Both the high-resolution (HR) and low-resolution (LR) configurations examined herein share the same tuning parameter values. In other words, our LR configuration mirrors that of the “LRTunedHR” simulation described and used in Caldwell et al. (2019). As a consequence, the LR configuration analyzed here differs from the standard E3SMv1 (J. Golaz et al., 2019). We chose this approach to focus on the impact of resolution, rather than different tuning choices.

There are three separate grids used for both the HR and LR configurations for the five components (the atmosphere and land share one grid, the ocean and sea ice share one grid, and the river transport model uses its own grid). Table 1 lists the key grid differences between the HR and LR configurations. The atmosphere and land are on a cubed sphere grid, the ocean and sea-ice use Spherical Centroidal Voronoi Tessellations, and the river model uses a regular lat-lon mesh. The vertical levels for all components are the same between the two resolutions except for the ocean model (80 levels for HR and 60 levels for LR). The river model provides freshwater input to the ocean.

To satisfy numerical stability requirements, higher resolution requires a shorter model time step to run. Table 2 shows the time steps used for the various components for each resolution. As in Caldwell et al. (2019), our analyses for model resolution sensitivities convolve both the resolution sensitivity and the time step sensitivity, and while we generally use terminology such as “resolution sensitivity” throughout this manuscript, it has been shown that the time step sensitivity can be as large or larger than the resolution sensitivity in some instances (Jung et al., 2012).

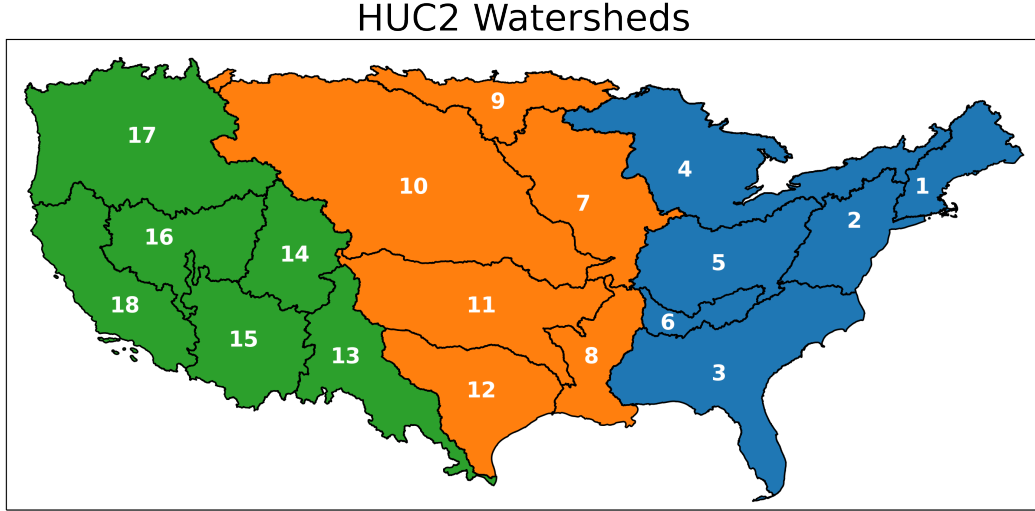
The HighResMIP protocol calls for pseudo-equilibrium 1950 repeating conditions as the control run from which to branch the transient experiments. Because the 1950 conditions are not exactly in equilibrium, the model drifts throughout the  $\sim 50$  years of simulation. As the model state drifts, simulated sea surface temperature biases become larger in magnitude. Therefore, to minimize the biases in the model state at the beginning of the transient period, the transient runs branch off near the beginning of the control runs analyzed by Caldwell et al. (2019). We use the earliest available restart point,

Time step (minutes)	HR	LR
atm dynamics and advection	1.25	5
atm physics-dynamics coupling	15	30
ocn	6	10
ocn barotropic	0.2	0.67
ice dynamics	7.5	15
ice thermodynamics	15	15
river	60	60
atm/ice/lnd coupling	15	30
ocn coupling	30	30
river coupling	180	180

**Table 2.** Time steps used in the high-resolution (HR) and low-resolution (LR) configurations. Additional time step details can be found in Table 2 of Caldwell et al. (2019)

5 years after initialization for the HR configuration and 10 years after initialization for the LR configuration.

We are interested in assessing the water cycle at the watershed scale. To that end, we focus our analysis on the hydrologic unit maps, which we will refer to by their hydrologic unit code level 2 (HUC2) demarcation (see Figure 1 for a map of the HUC2 watersheds and Table 3 for a list of watershed names). The HUC2 basins are adapted by the U.S. Geological Survey (USGS) from those established by Seaber et al. (1987). There are eighteen HUC2 basins covering the CONUS. The boundaries of these basins are marked on map plots throughout this manuscript. While there are higher level HUC categories denoting smaller hydrologic regions of the CONUS, the horizontal spatial resolution of the LR simulation is insufficient to resolve these features to make for a fair comparison against the HR simulation.



**Figure 1.** HUC2 watershed map. We refer to watersheds 1-6 (in blue) as the Eastern CONUS, watersheds 7-12 (in orange) as the Central CONUS, and watersheds 13-18 (in green) as the Western CONUS.

HUC2	Watershed name
01	New England
02	Mid Atlantic
03	South Atlantic-Gulf
04	Great Lakes
05	Ohio
06	Tennessee
07	Upper Mississippi
08	Lower Mississippi
09	Souris-Red-Rainy
10	Missouri
11	Arkansas-White-Red
12	Texas-Gulf
13	Rio Grande
14	Upper Colorado
15	Lower Colorado
16	Great Basin
17	Pacific Northwest
18	California

**Table 3.** Names of the HUC2 watersheds.

To analyze the model output at the watershed scale, we generate mapping files using TempestRemap (Ullrich & Taylor, 2015; Ullrich et al., 2016) for both model grids onto each HUC2 watershed region. We also generate mapping files for each observational product onto each HUC2 watershed region. These mapping files are then used to remap the monthly timeseries of the moisture budget terms from the model and observations onto the HUC2 watershed regions. We use these monthly timeseries to quantify the biases in each moisture budget term. To quantify uncertainties, the model output and data are grouped by month of the year; the mean is the average across all years, and each year is treated as an independent sample for statistical tests and confidence intervals. To test significance of differences at the watershed level, t-tests are computed using all available years for each observational dataset, and for all 30 years of the model output.

A number of observational products are used to quantify the biases in the simulations. For precipitation, we use the Global Precipitation Climatology Project (GPCP) one-degree daily (1DD) data for years 1997-2017 (Huffman et al., 2001, 2009) and the Tropical Rainfall Measuring Mission (TRMM) 3B43 data for years 1998-2013 (Huffman et al., 2007). For evapotranspiration (ET), we use the Derived Optimal Linear Combination Evapotranspiration (DOLCE) data (DOI: 10.4225/41/58980b55b0495) for years 2000-2009 (Hobeichi et al., 2018), the Global Land Evaporation Amsterdam Model (GLEAM) data for years 1980-2018 (Martens et al., 2017; Miralles et al., 2011), and the MODerate Resolution Imaging Spectroradiometer (MODIS) data for years 2000-2014 (De Kauwe et al., 2011; Mu et al., 2011). Note that the DOLCE data are not independent of the other ET data, as that data set combines six different ET products, including the GLEAM and MODIS data. For terrestrial water storage anomaly we use the Gravity Recovery and Climate Experiment (GRACE) data for years 2002-2014 (Swenson & Wahr, 2006). For runoff we use a 1/16th degree daily runoff database generated by the Variable Infiltration Capacity (VIC) hydrologic model over CONUS (Livneh et al., 2013). The VIC runoff was forced by a gridded daily near-surface observed meteorological data (Livneh et al., 2013).



### 3 CONUS water budget and its sensitivity to resolution

The atmospheric water budget can be written as follows.

$$\partial_t S_{\text{atm}} + \nabla \cdot \{\mathbf{v}q\} = E - P \quad (1)$$

where  $\partial_t S_{\text{atm}}$  is the time-tendency of atmospheric water storage,  $\mathbf{v}$  is the horizontal wind vector,  $q$  is the specific humidity, curly braces denote a column integral,  $P$  is surface precipitation, and  $E$  is surface evapotranspiration. At the scales of interest for this study, changes in atmospheric moisture tendency ( $\partial_t S_{\text{atm}}$ ) are orders of magnitude smaller than the other terms at the time and space scales examined here, and we neglect that term for our analyses. The land surface water budget can be written as follows.

$$\partial_t S_{\text{sfc}} = P - E - R \quad (2)$$

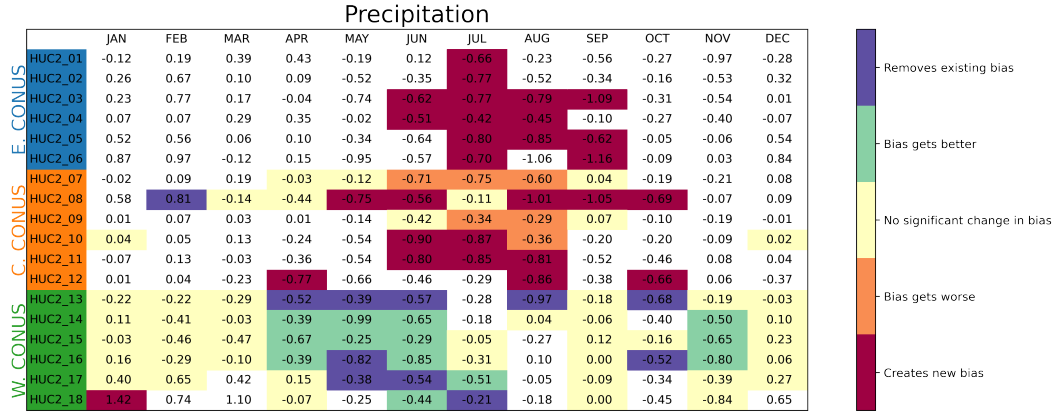
where  $\partial_t S_{\text{sfc}}$  is the time-tendency of surface water storage (including soil moisture, snow-pack, and groundwater), and  $R$  is runoff (combined surface and sub-surface).

As described in the introduction, we seek to quantify the biases and resolution sensitivity of the terms in the moisture budget (equations 1 and 2) at the watershed scale and for the seasonal cycle. The HUC2 watersheds represent natural boundaries for the water cycle in the land and also make for an ideal level of granularity to use for this study as both LR and HR model grids can resolve each basin.

Even restricting the spatial and temporal scales, there are several aspects that need to be quantified. First, we aim to quantify the biases in the E3SM at LR against observations and ERA5 reanalysis (Hersbach et al., 2020). While reanalyses like ERA5 are still modeling products, ERA5 has the advantage over other observations of consistency between its water cycle budget terms. Here, “consistency” means that the moisture budget is closed. Second, we aim to quantify any changes to the water budget terms between LR and HR. Where differences arise, we then assess whether these differences are improvements or degradations to the simulation. We perform these analyses for each month of the year and each watershed in the CONUS, and then make stoplight diagrams to summarize the results.

#### 3.1 Seasonal watershed water cycle budget

A summary for precipitation is presented in Figure 2. Each row denotes a different HUC2 watershed basin and each column represents a month of the year. The numbers are the mean difference in E3SM across resolution (HR - LR). The cells of the table are colored depending on the relationship between E3SM across resolutions, and with the observational and reanalysis products used to evaluate them. White denotes a month where no significant bias exists between either LR or HR with the observations. Yellow denotes months where no significant difference exists between LR and HR, but both are significantly biased relative to observations. Purple denotes months where LR is biased relative to observations, while HR is not (the amelioration of a previous bias). Green denotes months where LR is biased relative to observations and HR makes a significant improvement upon that bias (i.e., HR is still biased relative to observations, but the magnitude of that bias is significantly lower than in LR). Orange denotes the opposite of green – both LR and HR are biased against observations, but the bias is significantly larger in HR than in LR. Finally, red denotes regions where no bias exists for LR, but a bias does occur for HR (the creation of a new bias). Again, for all differences, statistical significance is determined using a two-tailed Student’s t-test (with a 95% significance threshold) and treating each year as an independent sample for a particular watershed and month. A value for a particular month and watershed is only considered significant if the test rejects the null hypothesis between the model and all observational and reanalysis products. For example, if the model is considered significantly biased for precipitation, it means the bias is significant between the model and GPCP, the model and TRMM, and the model



**Figure 2.** Stoplight diagram for precipitation. Each column represents a month and each row a HUC2 watershed. The values in each cell are the mean difference between LR and HR (HR - LR). White denotes a month where no significant bias exists between either LR or HR with the observations. Yellow denotes months where no significant bias exists between LR and HR, but both are significantly biased relative to observations. Purple denotes months where LR is biased relative to observations, while HR is not. Green denotes months where LR is biased relative to observations and HR makes a significant improvement upon that bias. Orange denotes the opposite of green – both LR and HR are biased against observations, but the bias is significantly larger in HR than in LR. Finally, red denotes regions where no bias exists for LR, but a bias does occur for HR. Statistical significance is determined using a two-tailed Student’s t-test with a 95% significance threshold and treating each year as an independent sample for a particular basin and month. Comparison datasets for precipitation include GPCP, TRMM, and ERA5.

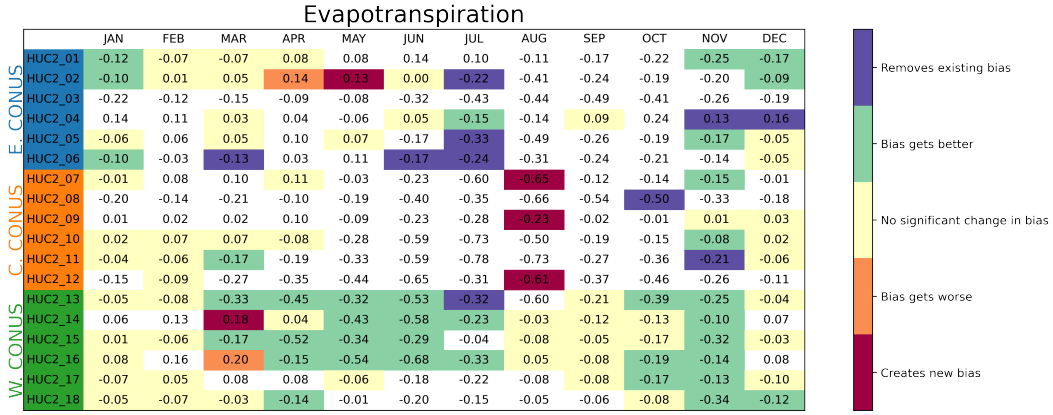
and ERA5. This approach means months and watersheds where observational products disagree are more likely to be colored white. To facilitate discussion, we group the watershed basins into three broader regions: Eastern CONUS (HUC2 basins 1-6), Central CONUS (HUC2 basins 7-12), and Western CONUS (HUC2 basins 13-18).

Figure 2 shows that for the Eastern CONUS, summertime precipitation biases are created when transitioning from LR to HR. In the fall, winter, and spring, there are no significant precipitation biases for the model at either resolution. For the Central CONUS, a similar degradation in precipitation is found for the summer months. The primary difference between the Eastern and Central CONUS regions is the presence of significant biases for the Central CONUS in the LR configuration.

For the Western CONUS, there are significant improvements in the precipitation, primarily in the late spring and early summer months. When comparing HR and LR, the precipitation response to increasing resolution is consistently negative across the Eastern, Central, and Western CONUS. The bias responses hinge on whether biases exist at LR. For the Eastern and Central CONUS, the precipitation reduction leads to new or exacerbated biases, while for the Western CONUS, the precipitation reduction leads to reduced biases.

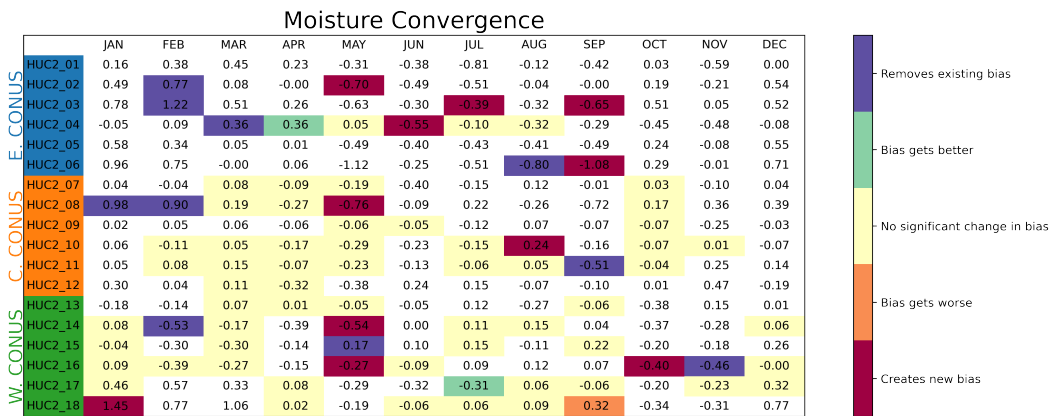
Figures 3–6 show the same breakdown as Figure 2, only for the surface evapotranspiration, atmospheric moisture convergence, terrestrial water storage anomaly tendency, and runoff (combined surface and sub-surface), respectively. Supplementary Figures S1–S5 provide the full seasonal timeseries for each experiment and dataset. Like precipitation, ET decreases across virtually all watersheds when going from LR to HR. The changes





**Figure 3.** As in figure 2. Comparison datasets for evapotranspiration include MODIS, GLEAM, DOLCE, and ERA5.

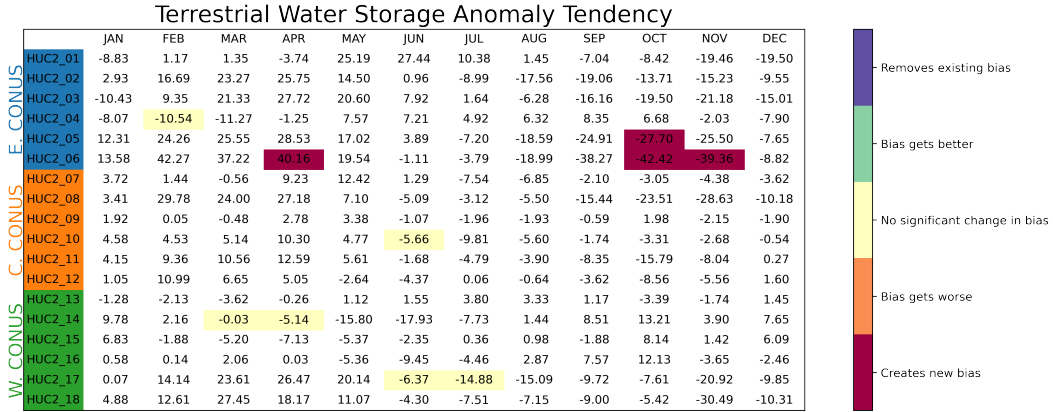
in biases, however, are not the same between precipitation and ET. For the Eastern CONUS, the reduction in ET leads to reductions or removals of the summertime biases. The Central CONUS, however, still shows some degradation in simulated ET. Closer examination finds that the DOLCE data, despite drawing from data including MODIS and GLEAM, consistently underestimates ET relative to those other two datasets over the Eastern CONUS making it an outlier (Supplementary Figure S2). If we reproduce the ET stoplight diagram without the DOLCE data (Supplementary Figure S6), we see a more consistent pattern emerge with improvements in late summer ET over the Eastern CONUS, and degradations in late summer ET over the Central CONUS. Both Eastern and Central CONUS show improvement in ET biases from November through January (a signal absent in the precipitation field). The Western CONUS shows the most coherent agreement between precipitation and ET, with reductions in ET resulting in reduced biases for most western watersheds across much of the year.



**Figure 4.** As in figure 2. The comparison dataset for moisture convergence is ERA5.

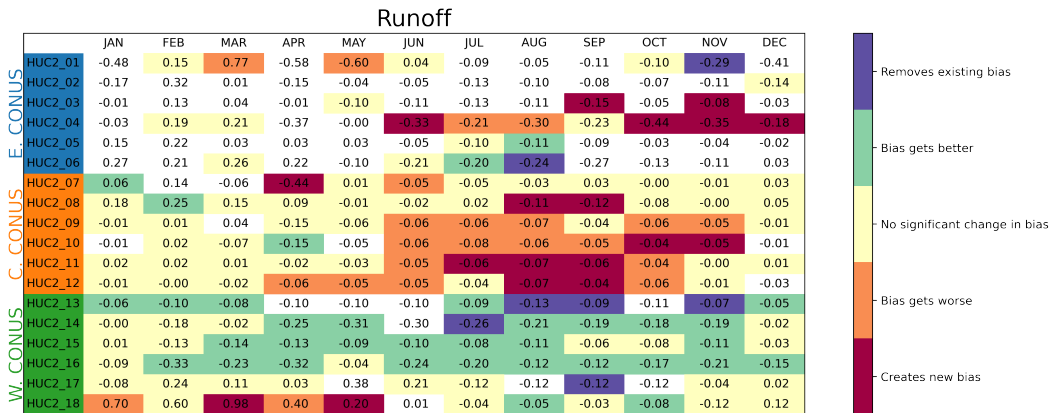
For the atmospheric moisture convergence (Figure 4) and terrestrial water storage anomaly tendency (Figure 5), the differences tend to be too small relative to interannual variability, such that very few significant differences exist between model (at either resolution) and observations. The mean moisture convergence for the CONUS changes sign

throughout the year. In the cold months there is a net import of water into most watersheds, while in the warm months the sign flips such that there is a net export of water for most watersheds. As expected from continuity,  $E - P$  shows a pattern consistent with the moisture convergence throughout the year (not shown). The net export of moisture during the summer means that the mean circulation provides limited insight to the precipitation processes for E3SM. Instead, we must examine time-varying circulation patterns. Further examination of such circulations is provided in section 4.2.



**Figure 5.** As in figure 2. Comparison datasets for terrestrial water storage anomaly include GRACE and ERA5.

For terrestrial water storage anomaly tendency (Figure 5), the GRACE data record is relatively short compared to the model output, which increases the uncertainty in the observed data. For ERA5, terrestrial water storage anomaly changes are computed as a residual between surface precipitation, ET, and surface plus sub-surface runoff. Somewhat surprisingly, there tends to be better agreement between the LR and HR model output with the GRACE data than the ERA5 reanalysis (Supplementary Figure S4). Despite these differences in the data, the LR and HR model results are statistically indistinguishable from one another over nearly all months and watersheds.



**Figure 6.** As in figure 2. Comparison datasets for runoff include VIC and ERA5.

Finally, for the runoff term, the patterns of improvement and degradation over the Central and Western CONUS reflect the changes seen in precipitation (Figure 6) only spread out over more months. In other words, the degradation in Central CONUS runoff is likely linked to the degradation in precipitation. Likewise, the improvement in Western CONUS runoff is likely linked to the improvement in precipitation. For the Eastern CONUS, there is little consistency in the response to changing resolution across watersheds and even across seasons within the same watershed. The Great Lakes watershed is the exception for the Eastern CONUS, with simulated runoff degraded in HR from June through December.

For all five components (precipitation, ET, moisture convergence, terrestrial water storage anomaly tendency, and runoff) summertime values all decrease going from LR to HR. The differences, however, are only statistically significant for precipitation, ET, and runoff when examining individual months and watersheds. This reduction in precipitation and evapotranspiration coincides with a significant increase in precipitable water and reduction in soil moisture in HR relative to LR (Supplementary Figure S7). While it is unclear whether either of these facts is the cause of the other, it is valuable for framing the changes to individual moisture budget terms, as we will discuss in more detail later.

### 3.2 Regional budget attribution

We can reduce statistical uncertainty by grouping months into seasons and the watersheds into the three regions shown in Figure 1: the Eastern CONUS (watersheds 1-6), the Central CONUS (watersheds 7-12), and the Western CONUS (watersheds 13-18). We perform this grouping to better understand how the water cycle budget term changes relate to one another. In particular, which terms contribute most to the change in another? For example, are changes in surface ET or atmospheric moisture convergence the dominant control of precipitation changes, or do they contribute equally?

We limit our analysis to just precipitation and runoff (one variable for the atmosphere moisture budget and one for the land moisture budget). For this analysis we examine only the Eastern, Central, and Western CONUS (as an area weighted average across the individual watersheds within each region) and group over the months (weighted by the number of days in each month) where precipitation changes are largest (June-September for the Eastern and Central CONUS and April-July for the Western CONUS). We compute the contribution terms simply as

$$\Delta P = \Delta E - \Delta(\nabla \cdot \{\mathbf{v}q\}) + \text{Residual} \quad (3)$$

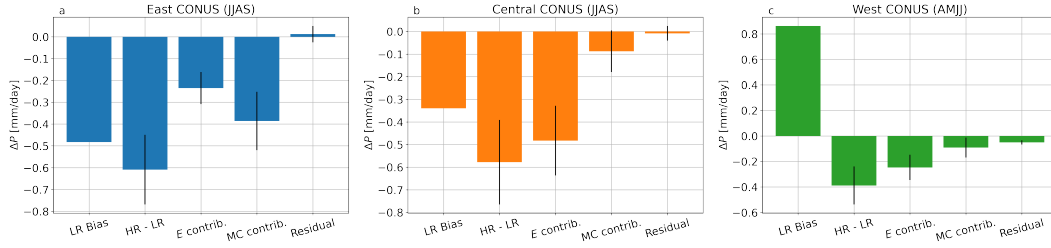
for precipitation, and

$$\Delta R = \Delta P - \Delta E - \Delta(\partial_t S_{\text{sfc}}) + \text{Residual} \quad (4)$$

for runoff, where we group the change in atmospheric moisture tendency with the residual term since it is small. Figure 7 shows the contribution diagnostics for precipitation. The decrease in ET going from LR to HR is an important contribution to the decrease in precipitation for all three regions. Moisture convergence is only a significant contribution in the Eastern and Western CONUS. For the Eastern CONUS, moisture convergence accounts for a larger fraction of the decrease in precipitation than ET, while in the Central and Western CONUS regions, ET is the largest contribution. Figure 7 also summarizes the change in HR-LR precipitation bias seen in Figure 2 as a robust feature at the regional and seasonal scale. The precipitation bias is exacerbated in the Eastern and Central CONUS, and alleviated in the Western CONUS, consistent with the results of Figure 2. Figure 7 also suggests that the decrease in moisture convergence is a robust feature of high-resolution (except over the Central CONUS region), despite frequent lack of statistical significance at the individual watershed scale. With the increase in precipitable water shown in Supplementary Figure S7, the decrease in moisture convergence

implies a reduction in dynamical (wind) convergence at HR relative to LR. Supplementary Figure S8 shows that there is a westward expansion of the North Atlantic Subtropical High (NASH) in HR compared to LR, characterized by an increase in surface pressure extending over the Eastern CONUS region. This change to the circulation pattern likely contributes to the reduction in moisture convergence occurring over the Eastern CONUS in HR.

Since surface ET dominates the precipitation changes, it is important to understand why surface ET decreases with increasing resolution. Examining the surface energy budget reveals that the change in latent heat flux is largely offset by changes in surface sensible heat flux (Supplementary Figure S9). The changes in radiative fluxes are much smaller or negligible for all three regions. The offsetting changes in sensible and latent heat flux imply a decrease in the evaporative fraction (the ratio of latent heat flux to the sensible plus latent heat flux), consistent with the decrease in soil moisture seen across many of the watersheds (Supplementary Figure S7). One possibility for this behavior is that the soil moisture-precipitation feedback in E3SMv1 is too large relative to observed values, amplifying the resolution effects. Examining the lag correlation in pentad soil moisture with pentad precipitation would help to test the moisture precipitation feedback hypothesis, but unfortunately we do not have sub-monthly soil moisture output from these experiments. We therefore leave a full investigation of this change in evaporative fraction to future research efforts.

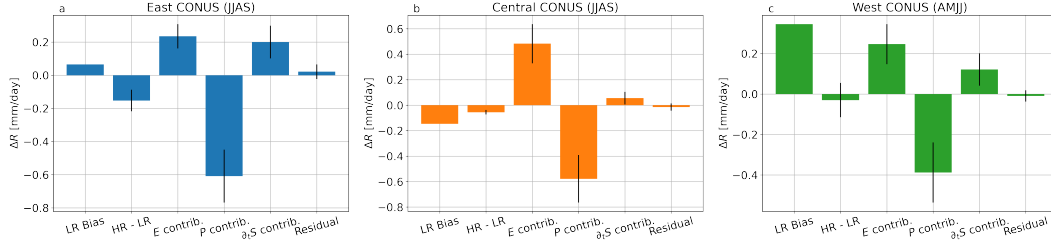


**Figure 7.** Mean precipitation bias in LR, mean difference between LR and HR, and contributions to the difference between LR and HR from ET and moisture convergence for (a) Eastern CONUS, (b) Central CONUS, and (c) Western CONUS. The error bars provide the 95% confidence interval for the mean differences.

Figure 8 shows the contributions of various terms to runoff. The reductions in runoff are driven by reductions in precipitation, with all other terms having an increasing or negligible influence on runoff. Like moisture convergence, grouping the terrestrial water storage anomaly tendencies into regions shows that there are statistically robust changes occurring over the CONUS. In this case, the terrestrial water storage anomaly tendency is losing soil moisture, hence its positive contribution to runoff. Taken together, Figures 7 and 8 show that all terms in the moisture budget are significantly decreasing in magnitude across the whole of the CONUS — except for moisture convergence over the Central CONUS which decreases, but not at a statistically significant level.

### 3.3 Local vs remote influences of resolution change

All of the analyses so far are diagnostic in nature. A conclusive explanation for the drying of the land and slowdown of the water cycle is difficult to attribute to local resolution impacts in these coupled simulations. As shown in Figure 9, the HR simulation is much warmer than the LR simulation. It is possible that this global temperature signal may play a role on top of the local effects of grid refinement. While it is worth noting that there is no widespread reduction in precipitation and ET across the watersheds



**Figure 8.** Mean runoff bias in LR, mean difference between LR and HR, and contributions to the difference between LR and HR from ET, precipitation and terrestrial water storage tendency for (a) Eastern CONUS, (b) Central CONUS, and (c) Western CONUS.

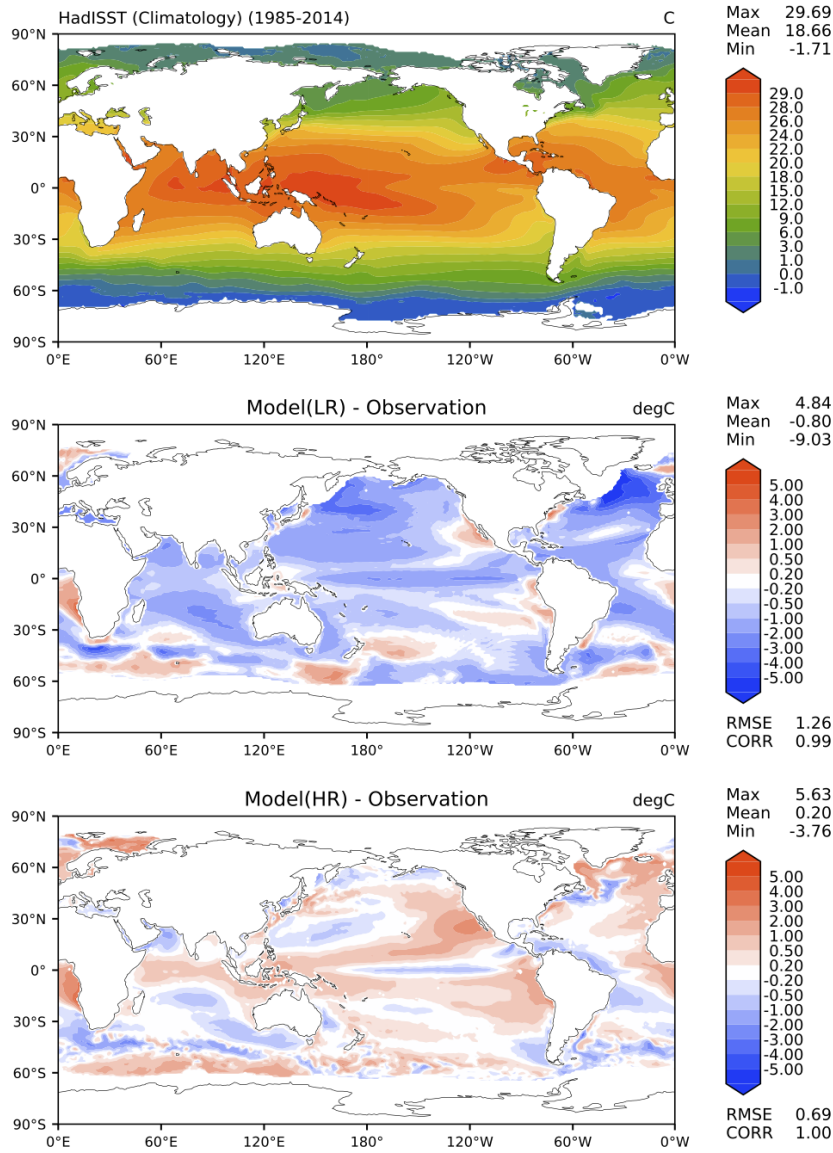
from warming in the abrupt quadrupling of  $\text{CO}_2$  experiment in E3SMv1 at low-resolution (Supplementary Figure S10), this fact alone does not rule out the role of remote SST changes on the water cycle differences between HR and LR observed here.

It is tempting to envision running the LR simulation with SSTs prescribed from the HR simulation to quantify the impact of remote SSTs on the CONUS water cycle changes. Under such a scenario, the global mean temperature would be similar, despite land temperatures being able to vary between the two experiments. Such an experiment, however, removes the two-way interactions between the atmosphere and ocean. This coupling is important to regional water cycle features. For example, Harrop et al. (2019) did exactly the above experiment where the SSTs from a coupled E3SMv1 simulation (the abrupt quadrupling of  $\text{CO}_2$  experiment) were used to run a prescribed SST experiment. They found noticeable differences over the South Asian Monsoon between the two experiments, despite their shared SST patterns. Using their simulation output, we find that the changes in precipitation going from interactive to prescribed SSTs over the CONUS exceed those going from LR to HR (supplementary Figure S11). Therefore, such an experiment is not well suited for quantifying how much of the water cycle change comes from improved local resolution and how much comes from global scale sensitivity to resolution.

An alternative option that has greater appeal involves running E3SM with a regionally refined mesh, where the high resolution region is constrained to a small region of interest (e.g. the CONUS), and the remainder of the globe uses the low resolution grid spacing. Such a configuration could allow for simulations to be compared where the global values (such as surface temperature) remain similar. A regionally refined mesh was used with E3SMv1, but global means are not the same between the regionally refined version and the uniform low-resolution owing to differences in model parameter values (Tang et al., 2019). The North American regionally refined mesh used for E3SMv2 has the same parameter values as the E3SMv2 uniform low-resolution mesh and their global temperature values are similar (Tang et al. 2022, to be submitted to GMD). Similar analyses of the water cycle metrics presented here will likely be valuable for those simulations.

#### 4 Additional Metrics

It is worth examining several other metrics that we anticipate to be sensitive to resolution. These include measures of the rainfall distribution and its relation to storm systems, snowpack, and streamflow. These metrics will be covered in the following subsections. In particular, we expect certain storm features responsible for extreme precipitation to exhibit precipitation production that matches observations closer at HR than LR. These systems include tropical cyclones (TCs), extratropical cyclones (ETCs), and atmospheric rivers (ARs).



**Figure 9.** Comparison between observed global SST to LR and HR simulations for Annual (ANN) mean. Top figures show ANN mean from the Hadley Centre Global Sea Ice and Sea Surface Temperature (HadISST); Middle (LR) and bottom (HR) figures show simulated minus observed values.

#### 4.1 Precipitation distribution and its relation to storm events

To better understand the water cycle changes between the different resolutions, we begin by examining a simple measure of the precipitation distribution for each watershed. The metric we use is the unevenness, designed by Pendergrass and Knutti (2018) to quantify the contribution of heavy rainfall days to the total annual amount. Unevenness is defined as the number of days required to reach 50% of the total annual rainfall. It is computed by sorting the daily rainfall from most to least precipitation. The data is then cumulatively summed, divided by the total annual rainfall, and the unevenness value is the value of the sequence equal to 0.5 (computed by linear interpolation).



Watershed	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18
TRMM	14	14	15	12	15	16	12	13	-	13	11	9	9	15	9	12	-	8
E3SM HR	27	25	24	27	27	25	21	20	23	25	18	16	19	29	15	26	35	15
E3SM LR	30	29	30	33	33	32	27	26	26	31	25	21	24	40	20	34	43	19

**Table 4.** Unevenness for TRMM, E3SM HR, and E3SM LR. Values provided in the table are all for the native grid of the data.

Pendergrass and Knutti (2018) found that the wettest twelve days account for half of annual precipitation in observations (a collection of surface observing stations and TRMM data). Models, on the other hand, tend to have much less unevenness, requiring roughly twice as many days as observed to reach 50% of their annual total precipitation. Part of the bias is a result of too frequent light rain in models (Stephens et al., 2010), which is true of E3SM as well (Terai et al., 2017). Caldwell et al. (2019) showed an increase in the heaviest rain rates over tropical regions in E3SM and we hypothesize that similar increases (and hence improvements in unevenness) will be detectable over the CONUS.

Table 4 shows the unevenness metric for the HR and LR experiments, as well as TRMM data. The unevenness is smaller for HR than LR, meaning it takes fewer days to accumulate 50% of the annual precipitation when the HR grid is used. While the values presented in Table 4 are those computed on the native grid of each data source, Pendergrass and Knutti (2018) showed that the unevenness metric is sensitive to regridding (with larger values for coarser grid spacing). Thus for determining whether the differences in unevenness are statistically significant between LR and HR, the HR data were regridded to the LR mesh for significance testing. All watersheds show a statistically significant difference in unevenness between LR and HR, even when both data are on the same mesh. The regridding effect increases the unevenness metric by about 1.5–4.5 days (not shown). The increase in the value of unevenness owing to regridding is smaller than the increase when comparing the LR experiment to the HR experiment. The TRMM data show that even at HR, E3SM still significantly overestimates the unevenness metric, meaning total precipitation is still too uniformly spread across days of the year.

The Upper Colorado (14) watershed shows the largest unevenness sensitivity to resolution, with large changes also present in the Great Basin (16), Pacific Northwest (17), Arkansas-White-Red (11), Tennessee (6), and Missouri (10) watersheds — all exceeding a six day mean increase in unevenness. The Western CONUS tends to see larger unevenness sensitivity to model resolution than the Eastern or Central CONUS regions, suggesting better resolved topography at HR improves the distribution of precipitation rates for these watersheds. The average bias in unevenness for the watersheds (not including the Souris-Red-Rainy (9) and Pacific Northwest (17) watersheds) is 17.6 days for the LR simulation and 12.3 days for the HR simulation. These biases are comparable to the biases in the CMIP5 archive relative to station data (Pendergrass & Knutti, 2018).

The GPCP 1 degree daily (1DD) product was also examined for comparison with the HR and LR simulations, but is not shown owing to a switch in data processing within that product at 40°N that complicates interpretation of the northern watersheds. The GPCP 1DD uses the Threshold-Matched Precipitation Index (TMPI) between (40°S–40°N) and switches to scaling with Television and Infrared Observation Satellite Operational Vertical Sounder (TOVS; Huffman et al., 2001) at higher latitudes. This switch in how rainfall is determined for the GPCP 1DD product significantly impacts the unevenness metric (not shown), though the switch is not discernible in other features such as monthly mean precipitation.

The unevenness results suggest stronger rainfall events occur for E3SM HR compared to LR. It is worth asking if similar changes can be observed in the precipitation extremes. To evaluate the simulation of seasonal precipitation extremes in the HR and LR experiments, we use generalized extreme value (GEV) distributions to model extremes of daily precipitation and compute the return levels associated with a 20-year extreme event. We use a block (seasonal) maxima approach, where we estimate a GEV distribution of the maxima of a block of data. Here, the block size is a season. We first aggregate daily aggregated precipitation over the watershed basin scales. The seasonal maxima of daily precipitation is computed for each watershed for each year. A GEV distribution is then estimated at each watershed using the seasonal maxima data (sample size of 20 for GPCP data, and 30 for HR and LRtunedHR runs) using the maximum likelihood method. A GEV distribution,  $G(z)$ , of block maxima,  $z$ , has three parameters - location ( $\mu$ ), scale ( $\sigma$ ) and shape ( $\xi$ ) - and is represented as follows for  $\xi \neq 0$ :

$$G(z) = \exp \left\{ - \left[ 1 + \xi \left( \frac{z - \mu}{\sigma} \right) \right]^{-1/\xi} \right\} \quad (5)$$

$G(z)$  is computed as the limit of the equation as  $\xi \rightarrow 0$ , if  $\xi = 0$  (Coles, 2001). These parameters are approximately multivariate normal, and the associated variance-covariance matrix is computed at the maximum likelihood estimates. We also conduct a Kolmogorov-Smirnov goodness of fit test to evaluate the null hypothesis that the empirical distribution is statistically equivalent to the derived GEV distribution at the 95% confidence level. We find that the null hypothesis is accepted for all GEV estimates. The return level of a  $\tau$ -year event can be computed by inverting the model as follows (when  $\xi \neq 0$ ):

$$R(\tau) = \mu + \frac{\sigma}{\xi} (-\log(1 - 1/\tau)^{-\xi} - 1) \quad (6)$$

and its limit when  $\xi = 0$  (Coles, 2001). The variance-covariance matrix of the GEV parameters can also be used to compute the associated standard errors of  $R(\tau)$ , and we use these standard errors here to conduct statistical tests.

Figure 10 shows the return level of a 20-year extreme event for GPCP for the winter and summer season for all the HUC2 watersheds. Somewhat surprisingly, the switch in rainfall calculation poleward of  $40^\circ$  for GPCP described above has virtually no impact on the GEV calculation for extremes described below (not shown). An exact explanation for why unevenness is more sensitive to the change in GPCP rainfall than the extremes is beyond the scope of this manuscript. The pattern of extreme precipitation over the CONUS is similar to other measures of extreme rainfall previously reported (Akinsanola et al., 2020). Also shown are the differences between LR and GPCP. Hatchings indicate watersheds where the difference is statistically significant at the 95% confidence level based on a two-tailed Student's t-test. The LR shows a strong, statistically significant negative bias over watersheds in the eastern half of the CONUS, simulating weaker than observed extremes in both the winter and summer seasons. The model also exhibits a negative bias over California (18) and a positive bias over the Pacific Northwest (17) in the winter season. Over the western watersheds the model shows a positive bias in the summer simulating stronger than observed extremes, which are statistically significant. This is consistent with simulations with other models at similar resolutions which generally underestimate precipitation extremes over the Southeast CONUS and overestimate it over Western US (Srivastava et al., 2020b).

Figure 10 panels c and f show the difference between the HR and LR simulations for the winter and summer seasons. The HR experiment simulates stronger extremes than the LR experiment over the Eastern CONUS, generally reducing the bias there. However, the improvements are not statistically significant. Over California (18), HR produces stronger extremes than LR, which are statistically significant, reducing the bias there. Wintertime extremes over the Western CONUS are larger at HR than LR, though California (18) and the Lower Colorado (15) are the only significant differences.



While warm-season precipitation is reduced in HR relative to LR across all of the CONUS, as seen in sections 3.1 and 3.2, the precipitation extremes do not behave uniformly. During the summer season, the changes in simulated extremes between HR and LR are the opposite of winter, with HR producing less intense extreme summertime precipitation events over all watersheds except the Pacific Northwest (17), reducing much of the biases between LR and GPCP. Despite the differences not being statistically significant, similar improvements are hinted at for the Southeast CONUS, consistent with previous grid-point based studies (M. F. Wehner et al., 2010, 2014; Mahajan et al., 2015).

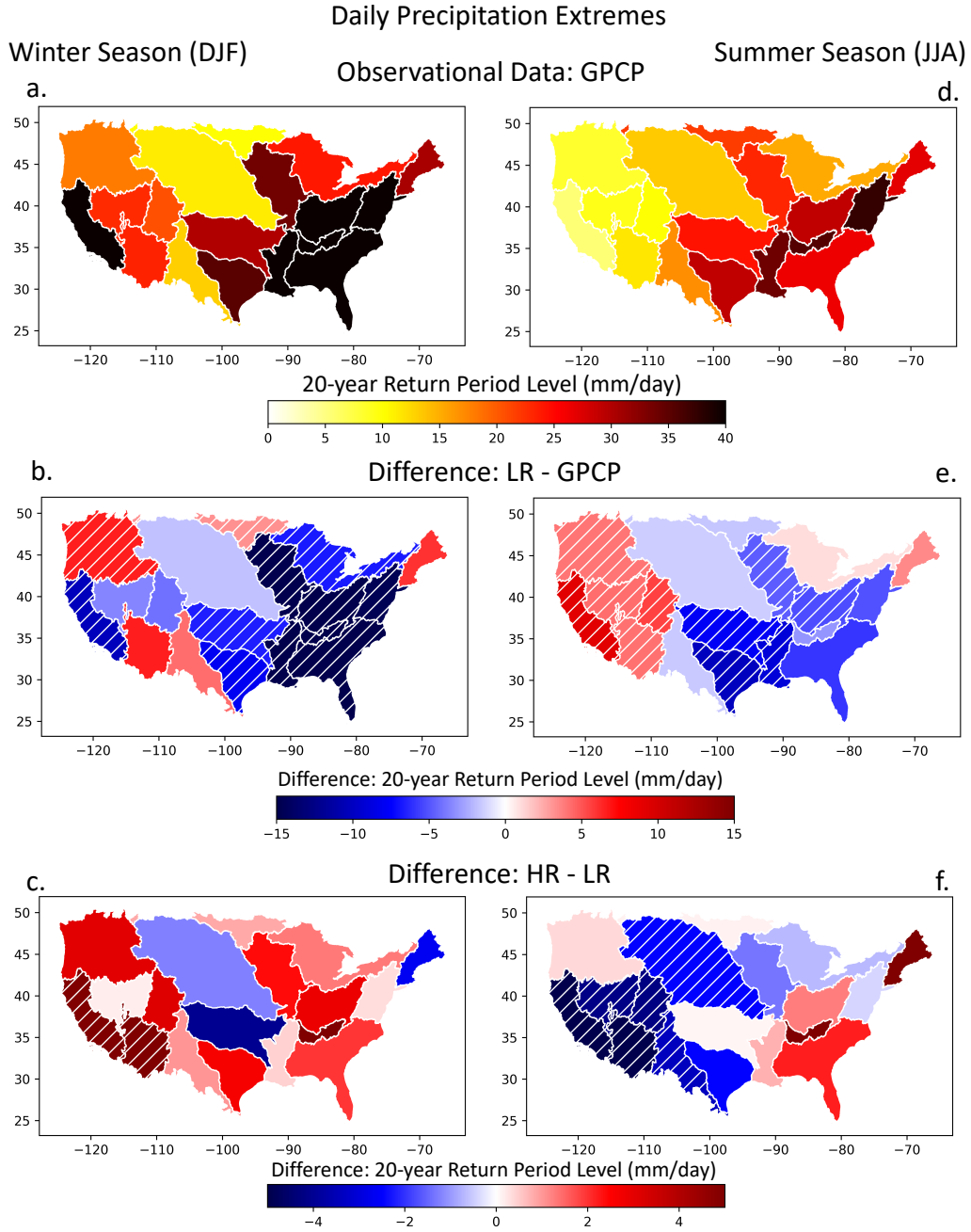
Extreme precipitation can lead to extremes in river discharge. Rivers transport the runoff from the land to the ocean through river channels. Streamflow is the flow discharge rate in the river, which is of particular importance to society in terms of water supply for municipal and agriculture purposes, transportation, and hydropower generation and environmental flows. On the other hand, extreme streamflow events, or floods, are one of the most frequent types of natural disasters created by rivers. In this study, we examine flood events between LR and HR by comparing the 20-year streamflow extreme events over the HUC2 regions using the same GEV distribution method used to examine extreme precipitation (equation 5). For each gridcell, maximum daily streamflow discharge for each year was computed and fit with the GEV distribution. The MOSART river model uses latitude-longitude grids for river modeling, with 0.5 degree for LR and 0.125 degree for HR. Since streamflow distribution is intrinsically tied to the river network, it is more reasonable to investigate it at the model native grid resolutions.

Figure 11 shows maps of extreme streamflow over the CONUS. Visual comparison between LR and HR in Figure 11 shows larger values of extreme streamflow are more common in the LR configuration. Examining the cumulative distribution function of the 20 year return flow (Figure 12) confirms this feature. These results suggest that the general decrease in runoff seen across the CONUS leads to a general decrease in streamflow extreme intensity. For individual watersheds, there is considerable variability in whether more intense streamflow extremes are found at LR or at HR (see Supplementary Figures S12-S29), despite runoff generally decreasing across the CONUS. These results suggest that the physical characteristics of the river channel may be a larger factor in determining streamflow extremes across these resolutions than the changes in runoff. One exception appears to be the California (18) watershed, which is the one watershed with an increase in runoff at HR relative to LR, and also sees a significant increase in extreme streamflow at HR relative to LR (see Supplementary Figure S29).

## 4.2 Feature based Precipitation

To better understand the upstream atmospheric features responsible for precipitation, we employ TempestExtremes (Ullrich et al., 2021) to track tropical cyclones (TCs), atmospheric rivers (ARs), and extratropical cyclones (ETCs), as described in Appendix A. The catalogues of tracked features are then used to extract precipitation associated with each of these features following the criteria given in Table 5. While precipitation could be due to multiple features, in this analysis we associate precipitation first with TCs, then with ARs, then with ETCs, in order; as ARs and ETCs are often not distinct features, here ETC precipitation refers to ETC-related precipitation that is not already associated with an AR. Figure 13 shows total annual precipitation from LR, HR, and ERA5 reanalysis, and the percentage contribution associated with the occurrence of these three feature types. ERA5 is used here for both feature tracking and precipitation because it provides precipitation which is coincident in time with the features being tracked. In other words, the precipitation fields are consistent with the reanalysis circulation patterns that are being tracked.

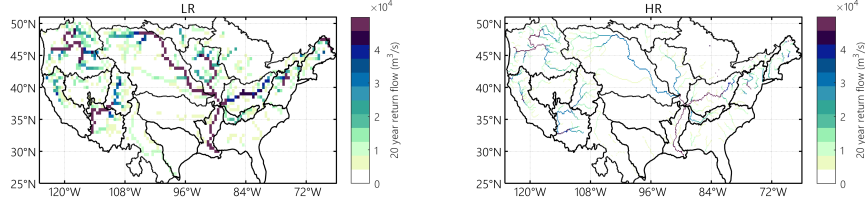
Figure 13 shows improvements in the contribution to precipitation from the tracked features. TCs, in particular, show significant improvement at HR compared to LR which



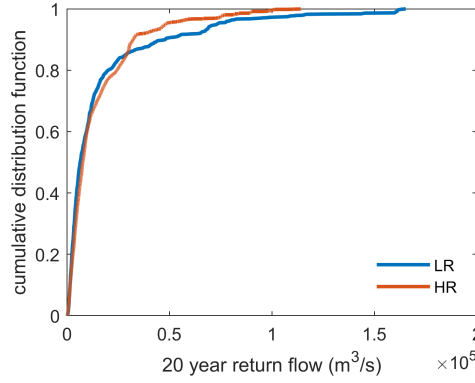
**Figure 10.** Return levels of 20-year extreme events. Return levels of 20-year extremes of daily precipitation aggregated over HUC2 watershed scales for GPCP precipitation data during (a) winter and (d) summer season. Difference between (b, e) LR and GPCP and between (c, f) HR and LR for winter and summer season. Hatching in b-c,e-f indicates watersheds where the difference in return levels are statistically different from zero at the 95% confidence level.

has been examined in detail by Balaguru et al. (2020). ETCs show improvement as well, though the changes are somewhat modest relative to the biases.

Table 6 shows the regional contributions of each feature type, as well as a residual category – the precipitation contribution not associated with TCs, ARs, or ETCs.



**Figure 11.** Twenty year return flow for river discharge over CONUS for the LR (left) and HR (right) experiments. Units are  $\text{m}^3/\text{s}$ .



**Figure 12.** Cumulative distribution of twenty year return flow for river discharge over CONUS for the LR (blue) and HR (orange) experiments. Units are  $\text{m}^3/\text{s}$ .

The residual category shows a decline in percentage contribution to the total over each region when comparing HR to LR. This decline in precipitation not associated with large-scale forcing from TCs, ARs, or ETCs brings the model closer to ERA5 over the Eastern and Central CONUS regions, but farther from ERA5 over the Western CONUS. Consistent increases across regions occur for both TC and AR contributions to precipitation. The bias in AR contributions is particularly large for the Western CONUS. This is not surprising since it has been previously noted that a similar model, the Community Earth System Model (CESM), has been shown to have atmospheric rivers that are too strong and last too long during landfall at  $\sim 25$  km resolution (Rhoades, Jones, Srivastava, et al., 2020; Rhoades, Jones, O'Brien, et al., 2020; Rhoades et al., 2021b).

We use the Shannon Diversity Index (SDI) normalized by the natural log of the number of weather types present to quantify how similar the populations of weather types are between the LR, HR, and ERA5. We set a minimum percentage of 0.1% to have a

Feature	Criteria
TCs	Precipitation within 5° great-circle-distance of a TC point
ARs	Precipitation clusters > 40 mm/6hr which are connected to detected AR features, unless already classified as TC precipitation.
ETCs	Precipitation within 10° great-circle-distance of a ETC point, unless already classified as TC or AR precipitation.

**Table 5.** Criteria for classifying precipitation associated with particular features.

HUC2 Region	Eastern CONUS			Central CONUS			Western CONUS		
	LR	HR	ERA5	LR	HR	ERA5	LR	HR	ERA5
Tropical Cyclones	0.6%	2.3%	2.2%	0.4%	0.7%	0.7%	0.4%	0.8%	0.2%
Atmospheric Rivers	42.8%	44.7%	41.1%	20.8%	23.4%	25.2%	17.6%	19.9%	10.1%
Extratropical Cyclones	13.2%	11.6%	11.6%	15.9%	17.3%	14.9%	13.3%	16.4%	20.1%
Residual	43.4%	41.5%	45.2%	62.9%	58.5%	59.2%	68.8%	62.9%	69.6%
Normalized SDI	0.74	0.77	0.76	0.67	0.72	0.70	0.61	0.68	0.59

**Table 6.** Annual mean percentage contribution to precipitation totals in each CONUS region, filtered by associated features.

weather type be considered present. The normalized SDI is computed as

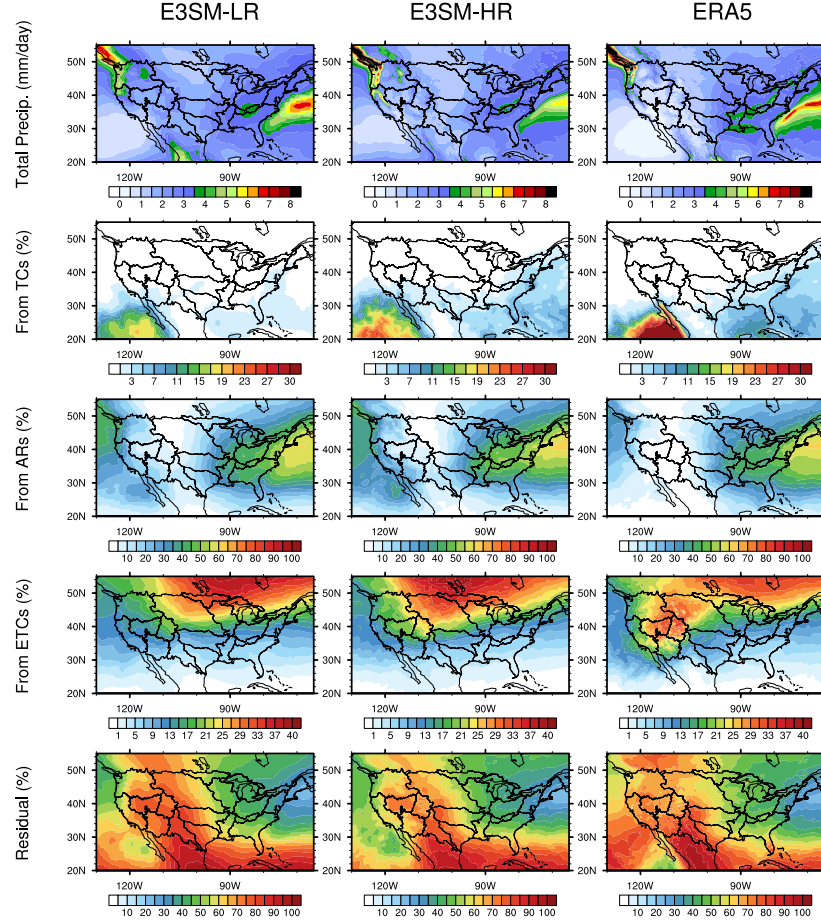
$$\text{SDI} = \frac{-\sum_{i=1}^N p_i \ln(p_i)}{\ln(N)} \quad (7)$$

where  $p_i$  is the proportion of total precipitation for weather type  $i$  (including the residual category), and  $N$  is the total number of categories. The normalized SDI is provided in the last row of Table 6. In the Eastern and Central regions, the HR population becomes closer to that of the ERA5, and the normalized SDI is closer to 1 (a more diverse population). In the Western CONUS, the SDI is farther from ERA5, though still closer to 1 at HR compared to LR. These results are consistent with the general trend of HR producing a larger fraction of its total precipitation from TCs, ARs, and ETCs.

We have also examined the time period of greatest precipitation change examined in sections 3.1 and 3.2 (JJAS for the Eastern and Central CONUS and AMJJ for the Western CONUS). The results are tabulated in Supplementary Table S1. Since the large-scale forcing tends to be weaker in the warm season, the fraction of precipitation coming from ARs and ETCs is significantly lower during the warm months. There are increases in TC precipitation fraction for the Eastern and Central CONUS, while there is no TC precipitation over the Western CONUS (which is not surprising given the time period). In all three regions, the normalized SDI shows that the HR population becomes closer to that of the ERA5 relative to LR, and the normalized SDI is closer to 1 (a more diverse population). These results suggest that HR does make modest improvements to simulated storm features, regardless of the sign of the mean bias change. It is important to caution that the reapportionment of precipitation across events is not necessarily the cause or effect of the total precipitation decline. Future studies will be needed to better understand the connections between the simulated storms and the total precipitation.

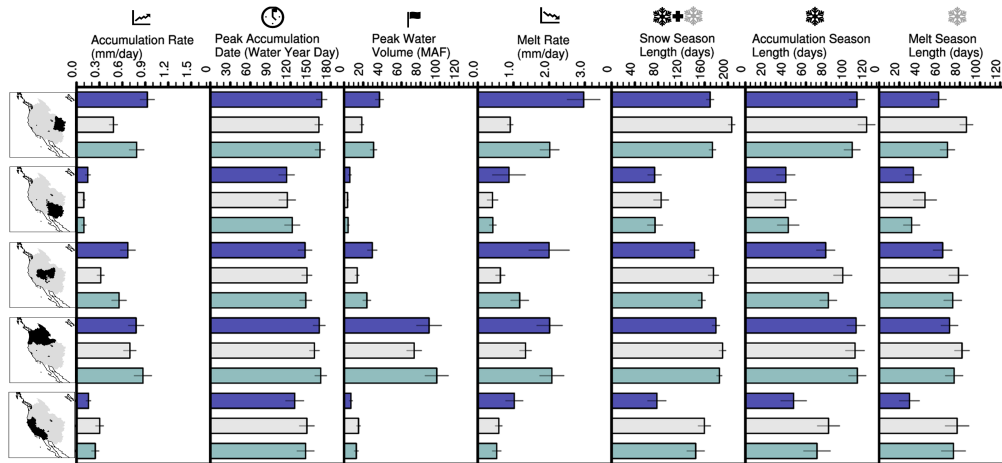
### 4.3 Snowpack

The final metric investigated for this study is mountain snowpack. Mountain snowpack is a key natural reservoir of water in the mountainous western United States (Sturm



**Figure 13.** Total annual precipitation from E3SM-LR, E3SM-HR, and ERA5 (in mm/day), and fractional contribution of precipitation associated with three tracked feature types: Tropical cyclones (TCs), Atmospheric Rivers (ARs), Extratropical Cyclones (ETCs), and residual precipitation.

et al., 2017; Mote et al., 2018; Livneh & Badger, 2020; Lynn et al., 2020; Siirila-Woodburn et al., 2021), often shown through snow water equivalent (SWE). From a modeling perspective, SWE also provides a unique litmus test in validating a model's ability to represent cross-scale, spatiotemporal interactions between precipitation, radiation, and tem-



**Figure 14.** The seasonal snow cycle is characterized by its daily snow water equivalent (SWE) and linearly decomposed using the SWE triangle methodology to assess the western United States mountainous hydrologic units for the E3SM low-resolution (LR,  $1.00^\circ$ , blue) and high-resolution (HR,  $0.25^\circ$ , aquamarine) simulations spanning 1985-2014 (see Supplementary Figure S30 for examples of two individual watersheds). ERA5 is shown in gray. The bars indicate the 30-year climatological average conditions simulated across all five mountainous hydrologic units of the western United States (in order of appearance in each row from top to bottom, Upper Colorado, Lower Colorado, Great Basin, Pacific Northwest, and California) for each of the seven SWE triangle metrics (columns and histograms) with 95% confidence intervals indicated (black lines).

perature over the water year (McCrary et al., 2017; Krinner et al., 2018; He et al., 2019; Xu et al., 2019), with important feedbacks to other components of the mountainous hydrologic cycle (e.g., soil moisture, runoff, and groundwater recharge). To validate a model's ability to represent the seasonal snow cycle over a given water year, Rhoades et al. (2018a, 2018b) developed a multi-metric framework known as the SWE triangle that built off work of Trujillo and Molotch (2014). This model benchmarking framework represents a linear decomposition of the seasonal snow cycle (which resembles a triangle) and includes metrics such as the snow accumulation and snowmelt rate (sides), the accumulation, melt, and snow season length (base), and the peak SWE volume and date of peak SWE, or peak accumulation date (vertex). The SWE triangle multi-metric framework was also developed with resource manager input, or what have been referred to as use-inspired metrics (Jagannathan et al., 2020). As such, peak SWE volumes are communicated in million-acre feet (MAF), or the amount of water needed to flood an acre sized field by one-foot, which is commonly used terminology in water resource management in the United States.

Supplementary Figure S30 panels a and b present two examples, a continental (Upper Colorado, 14) and a maritime (California, 18) mountain range, of seasonal snowpacks simulated over the 30-year historical period by the HR and LR experiments decomposed using the SWE triangle framework and compared with ERA5. These two mountain ranges are sub-selected from the five shown in Figure 14 as they represent two of the largest relative changes in snow cycle representation with resolution between LR and HR. Interestingly, seasonal snowpacks in the Upper Colorado (14) and California (18) watersheds



have opposite responses in E3SMv1 to a four-times refinement of horizontal resolution. In the Upper Colorado (14), climatological average peak SWE volumes are smaller in HR than LR ( $31 \pm 3$  MAF and  $37 \pm 4$  MAF). Although peak SWE timing is comparable between LR and HR, and overlaps with ERA5 (March 9th), the reduction in peak SWE in HR, though still too high, more aligns with ERA5 ( $19 \pm 2$  MAF). Conversely, in the California (18) basin, peak SWE volumes increase by 6 MAF from LR to HR ( $7 \pm 2$  MAF to  $13 \pm 2$  MAF), which is more comparable to ERA5 peak SWE estimates ( $15 \pm 2$  MAF) and another observation-based gridded SWE product ( $16 \pm 3$  MAF) produced by Margulis et al. (2016) for water years 1985-2015. Peak SWE timing is also enhanced in HR relative to LR and when compared with ERA5. The complete suite of SWE triangle metrics for both the California (18) and the Upper Colorado (14) watersheds, as well as the three other mountain watersheds of the western United States, are depicted in Figure 14.

Notably, the increase in SWE in the California (18) and Pacific Northwest (17) regions occurs despite a decrease in annual total precipitation owing to a larger fraction of that total precipitation falling as snowfall instead of rain in the HR experiment (Supplementary Figure S31). Supplementary Figure S31 shows that the increase in snowfall fraction is concentrated over the Cascade and Sierra Nevada ranges. The changes in snow fraction are anti-correlated with 2 m air temperature ( $r = -0.86$ ). Most of the CONUS experiences warming consistent with the warming SSTs, but over regions of complex topography, the increase in horizontal resolution allows for colder temperatures at higher elevation, also seen over the Cascade and Sierra Nevada mountain ranges (not shown).

## 5 Discussion and Summary

In this manuscript, we have examined the resolution sensitivity of the seasonal water cycle over the CONUS at the HUC2 watershed scale using E3SMv1 simulations run at low and high resolution. The results show a slow down of the water cycle with increasing resolution, with decreases in precipitation, evapotranspiration, moisture convergence, terrestrial water storage anomaly tendency, and runoff. The largest differences happen in the warm months (JJAS for the Eastern and Central CONUS, and AMJJ for the Western CONUS). Whether the decreases in these terms result in reductions in biases or not depend on the region and the budget term. Precipitation, for example, shows worsening biases with HR over the Eastern and Central CONUS, but reductions in biases over the Western CONUS. ET, on the other hand, shows reduced biases with HR over the Eastern and Western CONUS, but increased biases over the Central CONUS. These differences highlight some of the difficulty in correcting biases in models like E3SM, since reductions in ET are an improvement, but can lead to exacerbation of biases in precipitation amounts that are already too low. For the Eastern CONUS in particular, this highlights the need for better moisture convergence, which requires better representation of storm dynamics and large-scale circulation that influences the storm tracks. While the results suggest changing the atmospheric resolution from roughly 110 km to 25 km does improve the representation of storms, it remains insufficient to improve upon the circulation biases (in particular the bias in the NASH).

The Central and Western CONUS precipitation biases are largely controlled by changes in surface ET. Both regions show decreases in ET and precipitation at HR, but opposite responses in biases (worsening over the Central CONUS and improving over the Western CONUS). The decrease in surface ET results from a reduction in the evaporative fraction, with negligible changes in net radiative fluxes at the surface between HR and LR across all three regions. Again, these results show that improving the simulated water cycle over the CONUS requires more than increasing resolution, at least at the scales examined within this study.

Inspired by the suggestions of Pendergrass et al. (2020), we examined additional metrics involving precipitation distributions, extreme precipitation and streamflow, storm feature contributions to precipitation, and snowpack to further assess the simulated water cycle in E3SMv1 at both low and high resolution. The HR experiment generates days with more intense precipitation, leading to reduced values of unevenness across all watersheds. Extreme precipitation, as measured by the 20-year return period level, shows both increases and decreases depending on season and watershed. Generally, however, the changes in extreme precipitation act to reduce biases in the LR experiment relative to observed precipitation extremes. Similarly, extreme streamflow also shows a lot of watershed to watershed variability in its response to increasing horizontal grid spacing. The HR experiment generally shows modest improvements in the distribution of tracked storms: TCs, ARs, and ETCs. Unfortunately, these storm features do not provide an obvious explanation for the importance of moisture convergence over the Eastern CONUS, and lack thereof for the other two regions. Instead, it is expected that the westward expansion of the NASH is the primary cause for the moisture convergence reduction in the Eastern CONUS region. Finally, the snowpack metrics show better agreement with ERA5 and observations over many of the Western CONUS watersheds at HR relative to LR. Taken all together, these results suggest that the HR experiment is doing a better job at reproducing the physical processes that occur within the water cycle, but the mean biases in exchanges of water between the land and atmosphere, as well as their lateral transports, still remain a challenge.

We have discussed potential future work to help isolate the role of local grid refinement relative to remote changes in climate state such as SST patterns. Our results have shown that the global mean temperature increase in HR relative to LR is insufficient to explain the water cycle slow down, since it is not reproduced in other E3SMv1 warming experiments. Additionally, the ocean-atmosphere coupling is too important to the simulated water cycle to allow for prescribing the SST patterns from the HR at LR. Regional refinement is an exciting experimental design that may help discern the local and remote influences of grid refinement on the simulated CONUS water cycle. The regionally refined E3SMv2 experiments will need to be examined in future work to help disentangle this particular issue. Additionally, this work highlights the need for more ensemble members. Changes in the moisture convergence and terrestrial water storage anomaly tendency terms were only statistically discernible when aggregated over regions and seasons, but it is possible that with an ensemble of simulations, such differences could be quantified at the watershed and monthly scales.

While this study highlights many important sensitivities of the water cycle to model resolution, one aspect that is not covered is how resolution might change the sensitivity of the water cycle to climate change. More work is needed to understand what, if any, impacts increased horizontal resolution in E3SM has on the water cycle response to transient warming. Given its importance to society, continued effort is needed for understanding how earth system models like E3SM represent the water cycle and its sensitivity to changes within those models.

## Appendix A Feature Tracking with TempestExtremes

Command line arguments for TempestExtremes (TE) are described in the TE user guide (Ullrich, 2021). Tracking with TE is performed on the native E3SM grid (ne30 or ne120). For identifying tropical cyclones (TCs) we use the following TE commands (excluding input/output data arguments for brevity):

### DetectNodes

```
--searchbymin PSL
--closedcontourcmd "PSL,200.0,5.5,0;_DIFF(Z200,Z500),-6.0,6.5,1.0"
--mergedist 6.0
```



```

798     --outputcmd "PSL,min,0;U10,max,2;_DIV(PHIS,9.81),min,0"
799
800   StitchNodes
801     --in_fmt "lon,lat,slp,wind,zs"
802     --range 8.0
803     --mintime "10"
804     --maxgap "3"
805     --threshold "wind,>=,10.0,10;lat,<=,50.0,10;lat,>=,-50.0,10;zs,<=,15.0,10"

```

PSL is the pressure at sea-level, Z200 and Z500 are the geopotential height at 200 hPa and 500 hPa, respectively, U10 is the 10 m wind speed, and PHIS is the surface geopotential. For identifying atmospheric rivers (ARs) we use the following TE commands, first detecting ridges in the IVT field, then filtering out points within 5 degrees great circle distance of TC features:

```

811   DetectBlobs
812     --thresholdcmd "_LAPLACIAN{8,10.0}(_VECMAG(TUQ,TVQ)),<=,-30000,0"
813     --minabslat 20
814     --geofiltercmd "area,>,850000km2"
815     --tagvar "AR_binary_tag"
816
817   NodeFileFilter
818     --bydist 5.0
819     --invert
820     --var "TC_binary_tag"

```

TUQ and TVQ are the zonal and meridional column-integrated moisture fluxes, respectively. For identifying extratropical cyclones (ETCs) we identify sea level pressure minima that do not possess an upper level warm core and traverse a sufficiently far distance over their lifetime:

```

825   DetectNodes
826     --searchbymin PSL
827     --closedcontourcmd "PSL,200.0,5.5,0"
828     --noclosedcontourcmd "_DIFF(Z300,Z500),-6.0,6.5,1.0" --mergedist 9.0
829     --outputcmd "PSL,min,0;U10,max,2;_DIV(PHIS,9.81),min,0"
830
831   StitchNodes
832     --in_fmt "lon,lat,slp,wind,zs"
833     --range 9.0
834     --mintime "24h"
835     --maxgap "1"
836     --min_endpoint_dist 12.0

```

## Open Research Section

Complete native model output is archived on HPSS system at NERSC (National Energy Research Scientific Computing Center). The dataset will also be made available through the DOE Earth System Grid Federation (ESGF; Cinquini et al., 2014) at <https://esgf-node.llnl.gov/search/e3sm/?model.version=1.0>. The output presented in this manuscript will be made available from <https://e3sm.org/data/get-e3sm-data/>. Some of the figures presented herein were generated in part using E3SM Diags (C. Zhang et al., 2022; C. J. Zhang et al., 2022). NCO (C. S. Zender, 2008; C. Zender et al., 2022) was used to generate climatologies and for data regridding.

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Figure 1.

# HUC2 Watersheds

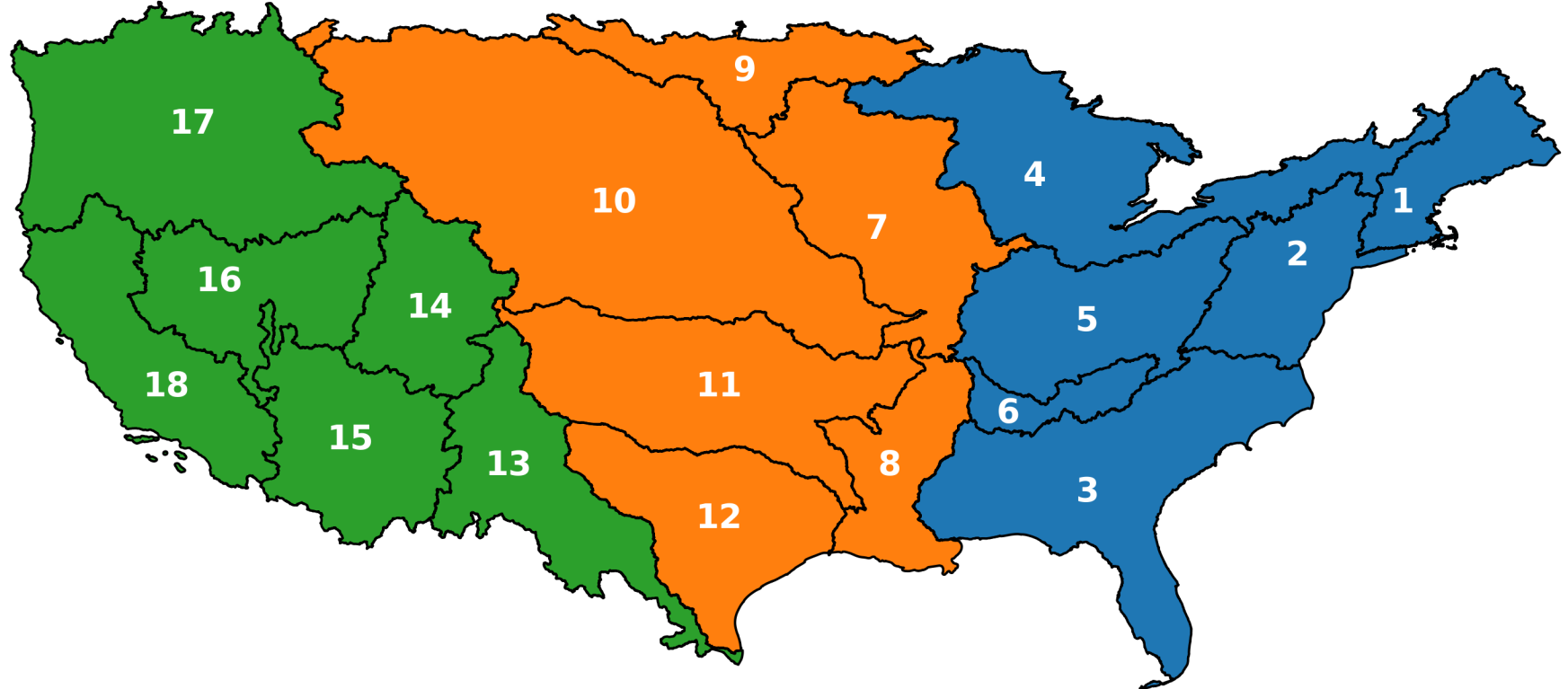


Figure 2.

# Precipitation

E. CONUS

C. CONUS

W. CONUS

	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HUC2_01	-0.12	0.19	0.39	0.43	-0.19	0.12	-0.66	-0.23	-0.56	-0.27	-0.97	-0.28
HUC2_02	0.26	0.67	0.10	0.09	-0.52	-0.35	-0.77	-0.52	-0.34	-0.16	-0.53	0.32
HUC2_03	0.23	0.77	0.17	-0.04	-0.74	-0.62	-0.77	-0.79	-1.09	-0.31	-0.54	0.01
HUC2_04	0.07	0.07	0.29	0.35	-0.02	-0.51	-0.42	-0.45	-0.10	-0.27	-0.40	-0.07
HUC2_05	0.52	0.56	0.06	0.10	-0.34	-0.64	-0.80	-0.85	-0.62	-0.05	-0.06	0.54
HUC2_06	0.87	0.97	-0.12	0.15	-0.95	-0.57	-0.70	-1.06	-1.16	-0.09	0.03	0.84
HUC2_07	-0.02	0.09	0.19	-0.03	-0.12	-0.71	-0.75	-0.60	0.04	-0.19	-0.21	0.08
HUC2_08	0.58	0.81	-0.14	-0.44	-0.75	-0.56	-0.11	-1.01	-1.05	-0.69	-0.07	0.09
HUC2_09	0.01	0.07	0.03	0.01	-0.14	-0.42	-0.34	-0.29	0.07	-0.10	-0.19	-0.01
HUC2_10	0.04	0.05	0.13	-0.24	-0.54	-0.90	-0.87	-0.36	-0.20	-0.20	-0.09	0.02
HUC2_11	-0.07	0.13	-0.03	-0.36	-0.54	-0.80	-0.85	-0.81	-0.52	-0.46	0.08	0.04
HUC2_12	0.01	0.04	-0.23	-0.77	-0.66	-0.46	-0.29	-0.86	-0.38	-0.66	0.06	-0.37
HUC2_13	-0.22	-0.22	-0.29	-0.52	-0.39	-0.57	-0.28	-0.97	-0.18	-0.68	-0.19	-0.03
HUC2_14	0.11	-0.41	-0.03	-0.39	-0.99	-0.65	-0.18	0.04	-0.06	-0.40	-0.50	0.10
HUC2_15	-0.03	-0.46	-0.47	-0.67	-0.25	-0.29	-0.05	-0.27	0.12	-0.16	-0.65	0.23
HUC2_16	0.16	-0.29	-0.10	-0.39	-0.82	-0.85	-0.31	0.10	0.00	-0.52	-0.80	0.06
HUC2_17	0.40	0.65	0.42	0.15	-0.38	-0.54	-0.51	-0.05	-0.09	-0.34	-0.39	0.27
HUC2_18	1.42	0.74	1.10	-0.07	-0.25	-0.44	-0.21	-0.18	0.00	-0.45	-0.84	0.65

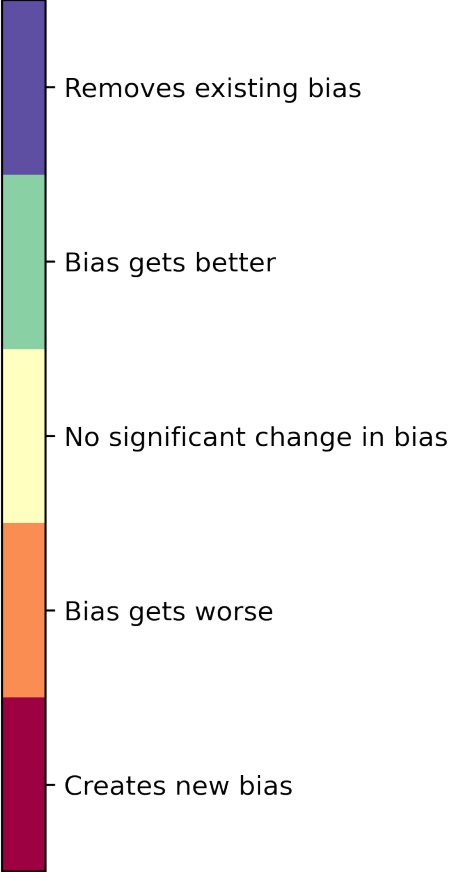


Figure 3.



# Evapotranspiration

E. CONUS

C. CONUS

W. CONUS

	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HUC2_01	-0.12	-0.07	-0.07	0.08	0.08	0.14	0.10	-0.11	-0.17	-0.22	-0.25	-0.17
HUC2_02	-0.10	0.01	0.05	0.14	0.13	0.00	-0.22	-0.41	-0.24	-0.19	-0.20	-0.09
HUC2_03	-0.22	-0.12	-0.15	-0.09	-0.08	-0.32	-0.43	-0.44	-0.49	-0.41	-0.26	-0.19
HUC2_04	0.14	0.11	0.03	0.04	-0.06	0.05	-0.15	-0.14	0.09	0.24	0.13	0.16
HUC2_05	-0.06	0.06	0.05	0.10	0.07	-0.17	-0.33	-0.49	-0.26	-0.19	-0.17	-0.05
HUC2_06	-0.10	-0.03	-0.13	0.03	0.11	-0.17	-0.24	-0.31	-0.24	-0.21	-0.14	-0.05
HUC2_07	-0.01	0.08	0.10	0.11	-0.03	-0.23	-0.60	-0.65	-0.12	-0.14	-0.15	-0.01
HUC2_08	-0.20	-0.14	-0.21	-0.10	-0.19	-0.40	-0.35	-0.66	-0.54	-0.50	-0.33	-0.18
HUC2_09	0.01	0.02	0.02	0.10	-0.09	-0.23	-0.28	-0.23	-0.02	-0.01	0.01	0.03
HUC2_10	0.02	0.07	0.07	-0.08	-0.28	-0.59	-0.73	-0.50	-0.19	-0.15	-0.08	0.02
HUC2_11	-0.04	-0.06	-0.17	-0.19	-0.33	-0.59	-0.78	-0.73	-0.27	-0.36	-0.21	-0.06
HUC2_12	-0.15	-0.09	-0.27	-0.35	-0.44	-0.65	-0.31	-0.61	-0.37	-0.46	-0.26	-0.11
HUC2_13	-0.05	-0.08	-0.33	-0.45	-0.32	-0.53	-0.32	-0.60	-0.21	-0.39	-0.25	-0.04
HUC2_14	0.06	0.13	0.18	0.04	-0.43	-0.58	-0.23	-0.03	-0.12	-0.13	-0.10	0.07
HUC2_15	0.01	-0.06	-0.17	-0.52	-0.34	-0.29	-0.04	-0.08	-0.05	-0.17	-0.32	-0.03
HUC2_16	0.08	0.16	0.20	-0.15	-0.54	-0.68	-0.33	0.05	-0.08	-0.19	-0.14	0.08
HUC2_17	-0.07	0.05	0.08	0.08	-0.06	-0.18	-0.22	-0.08	-0.08	-0.17	-0.13	-0.10
HUC2_18	-0.05	-0.07	-0.03	-0.14	-0.01	-0.20	-0.15	-0.05	-0.06	-0.08	-0.34	-0.12

Removes existing bias

Bias gets better

No significant change in bias

Bias gets worse

Creates new bias

Figure 4.

# Moisture Convergence

E. CONUS

C. CONUS

W. CONUS

	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HUC2_01	0.16	0.38	0.45	0.23	-0.31	-0.38	-0.81	-0.12	-0.42	0.03	-0.59	0.00
HUC2_02	0.49	0.77	0.08	-0.00	-0.70	-0.49	-0.51	-0.04	-0.00	0.19	-0.21	0.54
HUC2_03	0.78	1.22	0.51	0.26	-0.63	-0.30	-0.39	-0.32	-0.65	0.51	0.05	0.52
HUC2_04	-0.05	0.09	0.36	0.36	0.05	-0.55	-0.10	-0.32	-0.29	-0.45	-0.48	-0.08
HUC2_05	0.58	0.34	0.05	0.01	-0.49	-0.40	-0.43	-0.41	-0.49	0.24	-0.08	0.55
HUC2_06	0.96	0.75	-0.00	0.06	-1.12	-0.25	-0.51	-0.80	-1.08	0.29	-0.01	0.71
HUC2_07	0.04	-0.04	0.08	-0.09	-0.19	-0.40	-0.15	0.12	-0.01	0.03	-0.10	0.04
HUC2_08	0.98	0.90	0.19	-0.27	-0.76	-0.09	0.22	-0.26	-0.72	0.17	0.36	0.39
HUC2_09	0.02	0.05	0.06	-0.06	-0.06	-0.05	-0.12	0.07	-0.07	-0.07	-0.25	-0.03
HUC2_10	0.06	-0.11	0.05	-0.17	-0.29	-0.23	-0.15	0.24	-0.16	-0.07	0.01	-0.07
HUC2_11	0.05	0.08	0.15	-0.07	-0.23	-0.13	-0.06	0.05	-0.51	-0.04	0.25	0.14
HUC2_12	0.30	0.04	0.11	-0.32	-0.38	0.24	0.15	-0.07	-0.10	0.01	0.47	-0.19
HUC2_13	-0.18	-0.14	0.07	0.01	-0.05	-0.05	0.12	-0.27	-0.06	-0.38	0.15	0.01
HUC2_14	0.08	-0.53	-0.17	-0.39	-0.54	0.00	0.11	0.15	0.04	-0.37	-0.28	0.06
HUC2_15	-0.04	-0.30	-0.30	-0.14	0.17	0.10	0.15	-0.11	0.22	-0.20	-0.18	0.26
HUC2_16	0.09	-0.39	-0.27	-0.15	-0.27	-0.09	0.09	0.12	0.07	-0.40	-0.46	-0.00
HUC2_17	0.46	0.57	0.33	0.08	-0.29	-0.32	-0.31	0.06	-0.06	-0.20	-0.23	0.32
HUC2_18	1.45	0.77	1.06	0.02	-0.19	-0.06	0.06	0.09	0.32	-0.34	-0.31	0.77

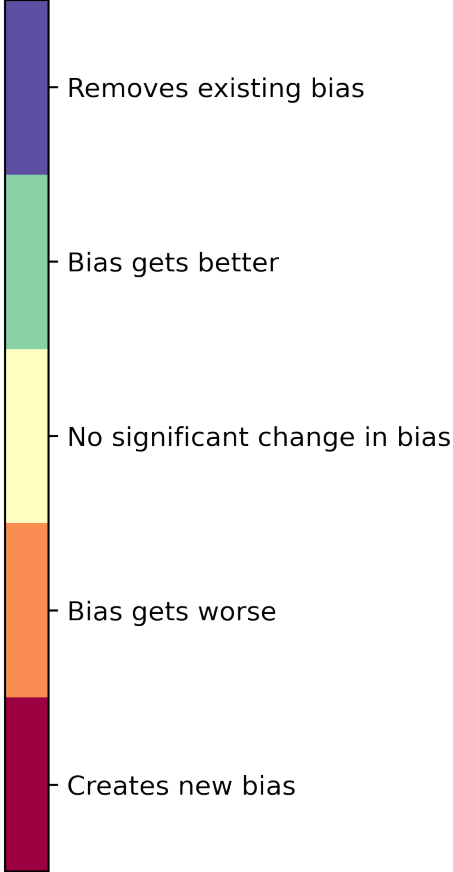


Figure 5.

# Terrestrial Water Storage Anomaly Tendency

E. CONUS

C. CONUS

W. CONUS

	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HUC2_01	-8.83	1.17	1.35	-3.74	25.19	27.44	10.38	1.45	-7.04	-8.42	-19.46	-19.50
HUC2_02	2.93	16.69	23.27	25.75	14.50	0.96	-8.99	-17.56	-19.06	-13.71	-15.23	-9.55
HUC2_03	-10.43	9.35	21.33	27.72	20.60	7.92	1.64	-6.28	-16.16	-19.50	-21.18	-15.01
HUC2_04	-8.07	-10.54	-11.27	-1.25	7.57	7.21	4.92	6.32	8.35	6.68	-2.03	-7.90
HUC2_05	12.31	24.26	25.55	28.53	17.02	3.89	-7.20	-18.59	-24.91	-27.70	-25.50	-7.65
HUC2_06	13.58	42.27	37.22	40.16	19.54	-1.11	-3.79	-18.99	-38.27	-42.42	-39.36	-8.82
HUC2_07	3.72	1.44	-0.56	9.23	12.42	1.29	-7.54	-6.85	-2.10	-3.05	-4.38	-3.62
HUC2_08	3.41	29.78	24.00	27.18	7.10	-5.09	-3.12	-5.50	-15.44	-23.51	-28.63	-10.18
HUC2_09	1.92	0.05	-0.48	2.78	3.38	-1.07	-1.96	-1.93	-0.59	1.98	-2.15	-1.90
HUC2_10	4.58	4.53	5.14	10.30	4.77	-5.66	-9.81	-5.60	-1.74	-3.31	-2.68	-0.54
HUC2_11	4.15	9.36	10.56	12.59	5.61	-1.68	-4.79	-3.90	-8.35	-15.79	-8.04	0.27
HUC2_12	1.05	10.99	6.65	5.05	-2.64	-4.37	0.06	-0.64	-3.62	-8.56	-5.56	1.60
HUC2_13	-1.28	-2.13	-3.62	-0.26	1.12	1.55	3.80	3.33	1.17	-3.39	-1.74	1.45
HUC2_14	9.78	2.16	-0.03	-5.14	-15.80	-17.93	-7.73	1.44	8.51	13.21	3.90	7.65
HUC2_15	6.83	-1.88	-5.20	-7.13	-5.37	-2.35	0.36	0.98	-1.88	8.14	1.42	6.09
HUC2_16	0.58	0.14	2.06	0.03	-5.36	-9.45	-4.46	2.87	7.57	12.13	-3.65	-2.46
HUC2_17	0.07	14.14	23.61	26.47	20.14	-6.37	-14.88	-15.09	-9.72	-7.61	-20.92	-9.85
HUC2_18	4.88	12.61	27.45	18.17	11.07	-4.30	-7.51	-7.15	-9.00	-5.42	-30.49	-10.31

Removes existing bias

Bias gets better

No significant change in bias

Bias gets worse

Creates new bias

Figure 6.



# Runoff

E. CONUS

C. CONUS

W. CONUS

	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
HUC2_01	-0.48	0.15	0.77	-0.58	-0.60	0.04	-0.09	-0.05	-0.11	-0.10	-0.29	-0.41
HUC2_02	-0.17	0.32	0.01	-0.15	-0.04	-0.05	-0.13	-0.10	-0.08	-0.07	-0.11	-0.14
HUC2_03	-0.01	0.13	0.04	-0.01	-0.10	-0.11	-0.13	-0.11	-0.15	-0.05	-0.08	-0.03
HUC2_04	-0.03	0.19	0.21	-0.37	-0.00	-0.33	-0.21	-0.30	-0.23	-0.44	-0.35	-0.18
HUC2_05	0.15	0.22	0.03	0.03	0.03	-0.05	-0.10	-0.11	-0.09	-0.03	-0.04	-0.02
HUC2_06	0.27	0.21	0.26	0.22	-0.10	-0.21	-0.20	-0.24	-0.27	-0.13	-0.11	0.03
HUC2_07	0.06	0.14	-0.06	-0.44	0.01	-0.05	-0.05	-0.03	0.03	-0.00	-0.01	0.03
HUC2_08	0.18	0.25	0.15	0.09	-0.01	-0.02	0.02	-0.11	-0.12	-0.08	-0.00	0.05
HUC2_09	-0.01	0.01	0.04	-0.15	-0.06	-0.06	-0.06	-0.07	-0.04	-0.06	-0.05	-0.01
HUC2_10	-0.01	0.02	-0.07	-0.15	-0.05	-0.06	-0.08	-0.06	-0.05	-0.04	-0.05	-0.01
HUC2_11	0.02	0.02	0.01	-0.02	-0.03	-0.05	-0.06	-0.07	-0.06	-0.04	-0.00	0.01
HUC2_12	-0.01	-0.00	-0.02	-0.06	-0.05	-0.05	-0.04	-0.07	-0.04	-0.06	-0.01	-0.03
HUC2_13	-0.06	-0.10	-0.08	-0.10	-0.10	-0.10	-0.09	-0.13	-0.09	-0.11	-0.07	-0.05
HUC2_14	-0.00	-0.18	-0.02	-0.25	-0.31	-0.30	-0.26	-0.21	-0.19	-0.18	-0.19	-0.02
HUC2_15	0.01	-0.13	-0.14	-0.13	-0.09	-0.10	-0.08	-0.11	-0.06	-0.08	-0.11	-0.03
HUC2_16	-0.09	-0.33	-0.23	-0.32	-0.04	-0.24	-0.20	-0.12	-0.12	-0.17	-0.21	-0.15
HUC2_17	-0.08	0.24	0.11	0.03	0.38	0.21	-0.12	-0.12	-0.12	-0.12	-0.04	0.02
HUC2_18	0.70	0.60	0.98	0.40	0.20	0.01	-0.04	-0.05	-0.03	-0.08	-0.12	0.12

Removes existing bias

Bias gets better

No significant change in bias

Bias gets worse

Creates new bias

Figure 7.

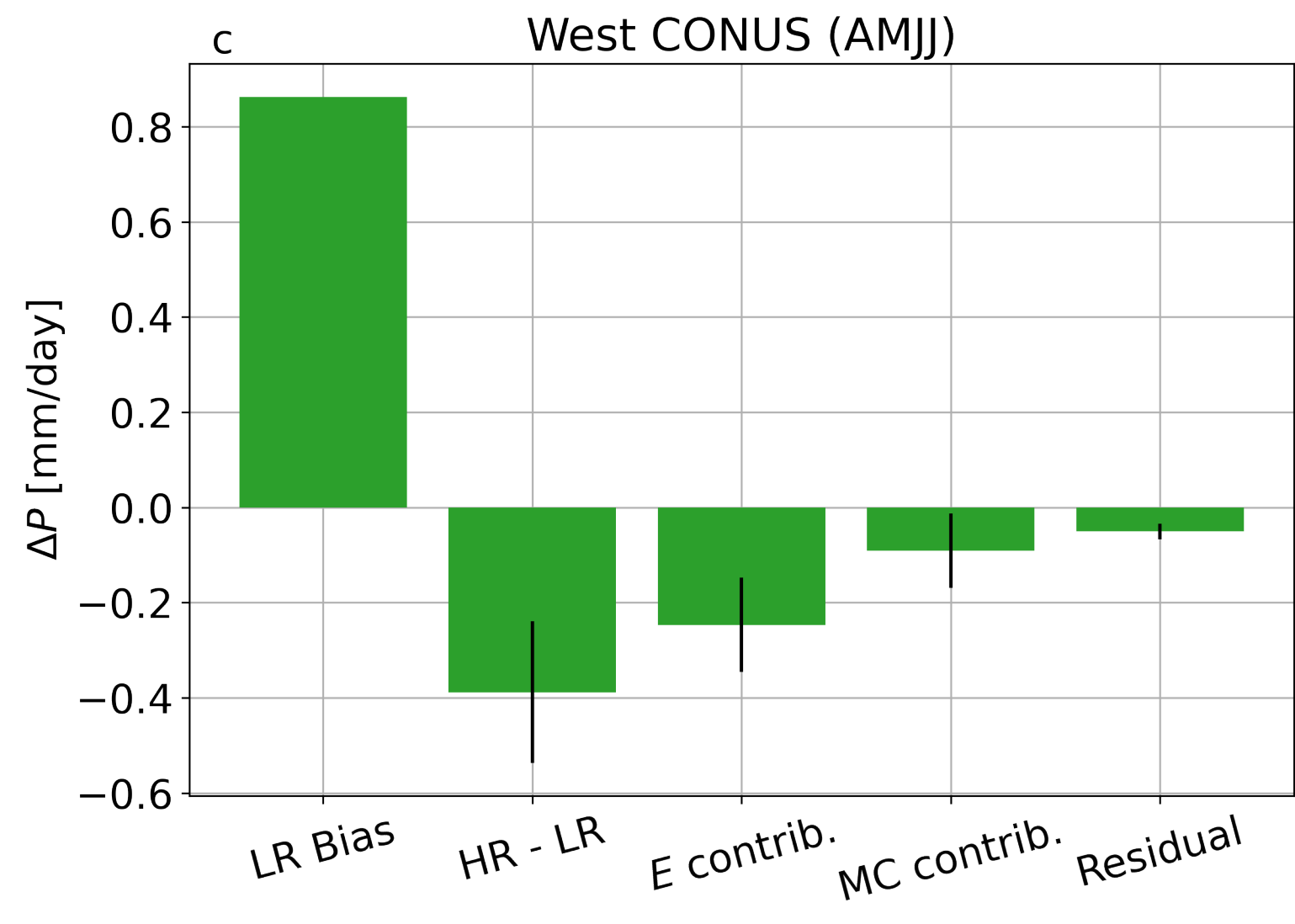
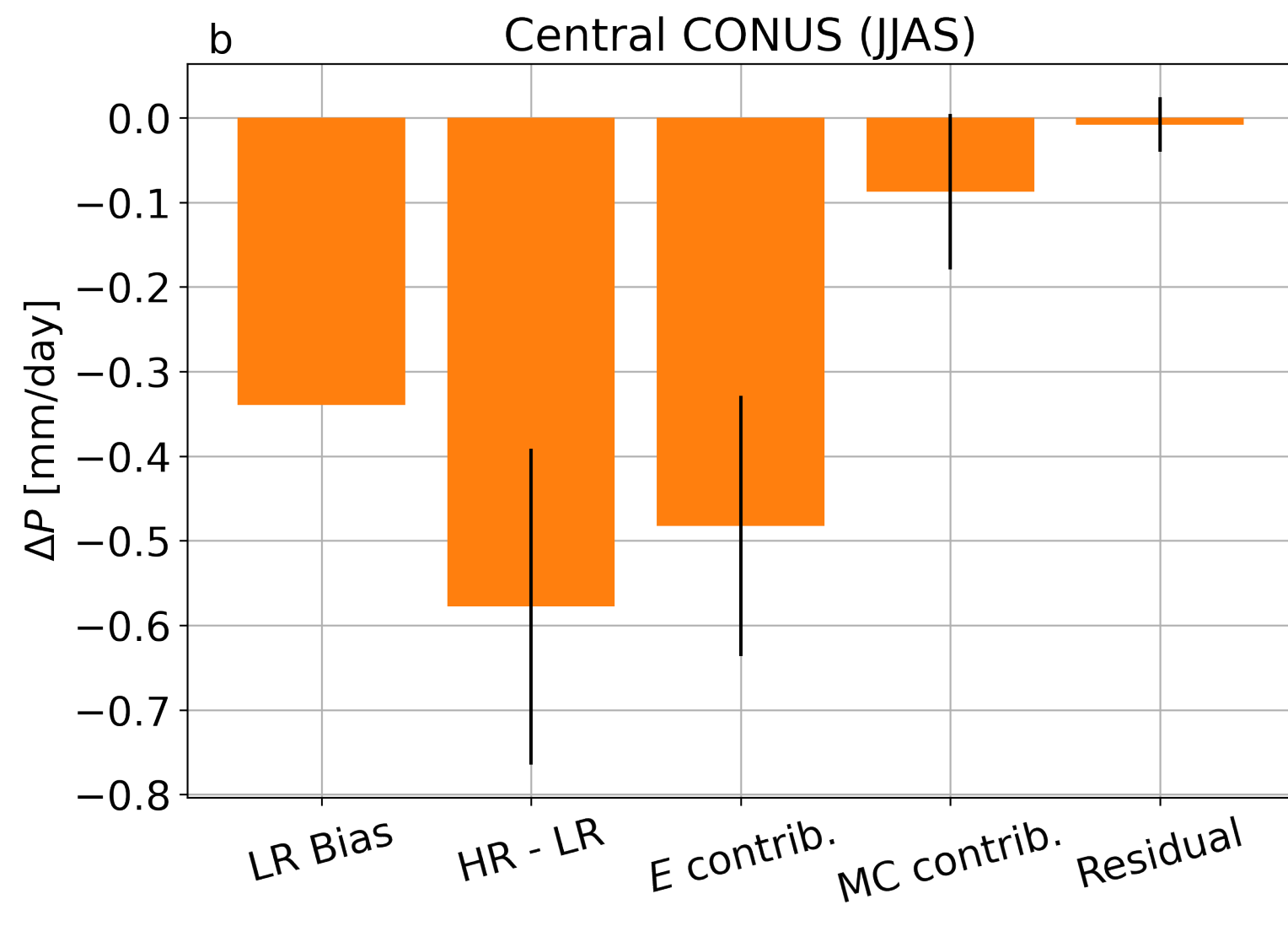
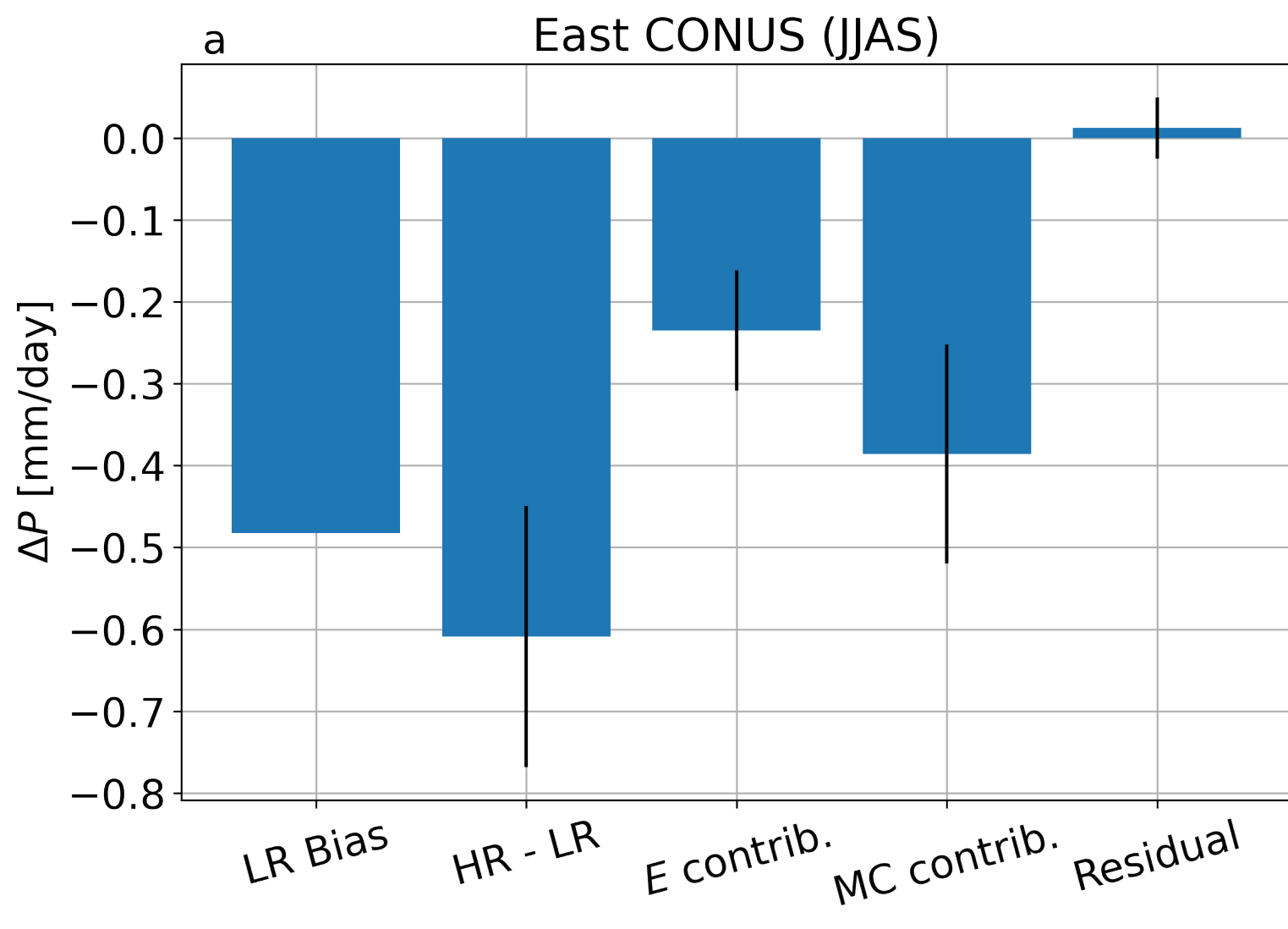


Figure 8.

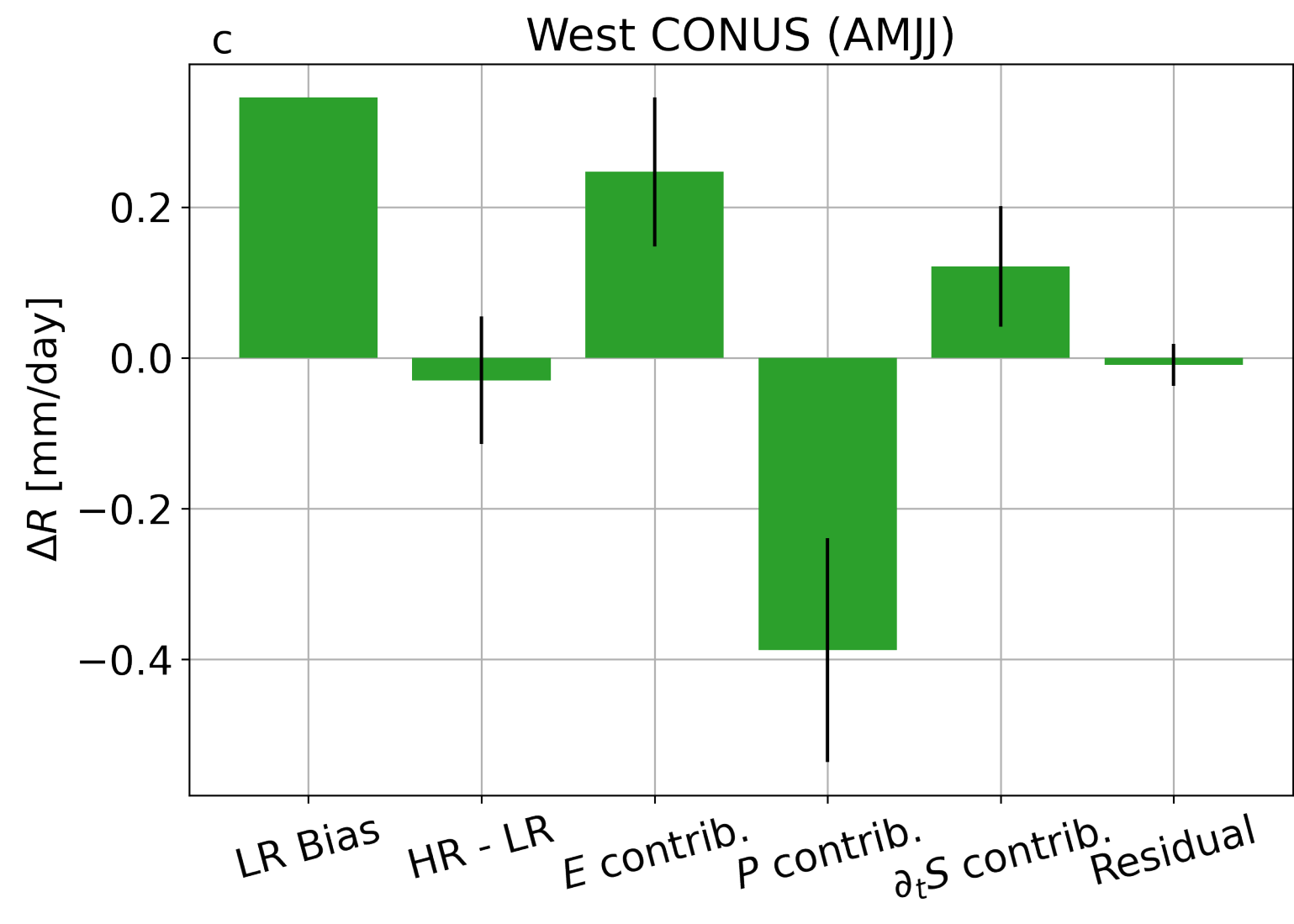
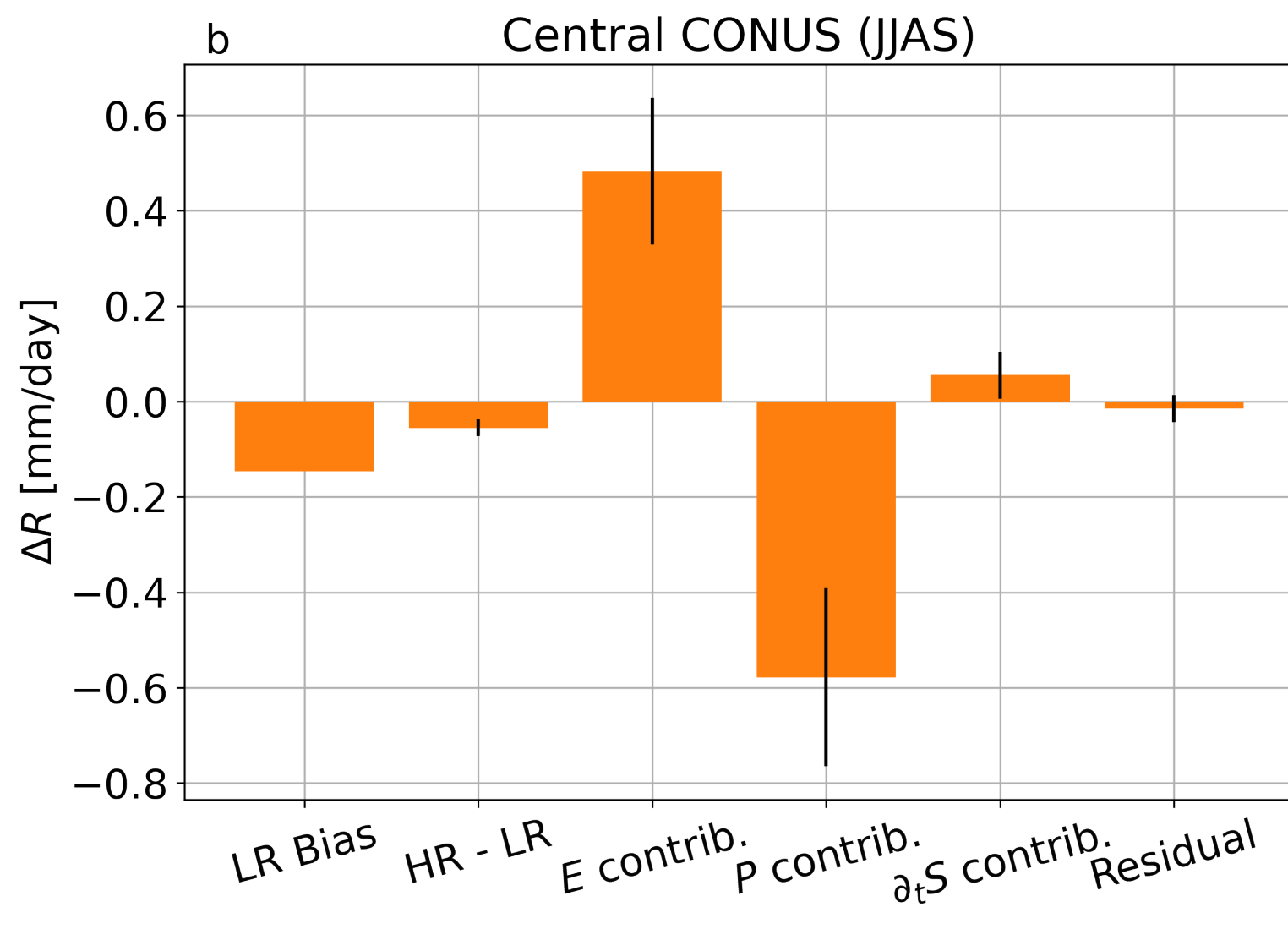
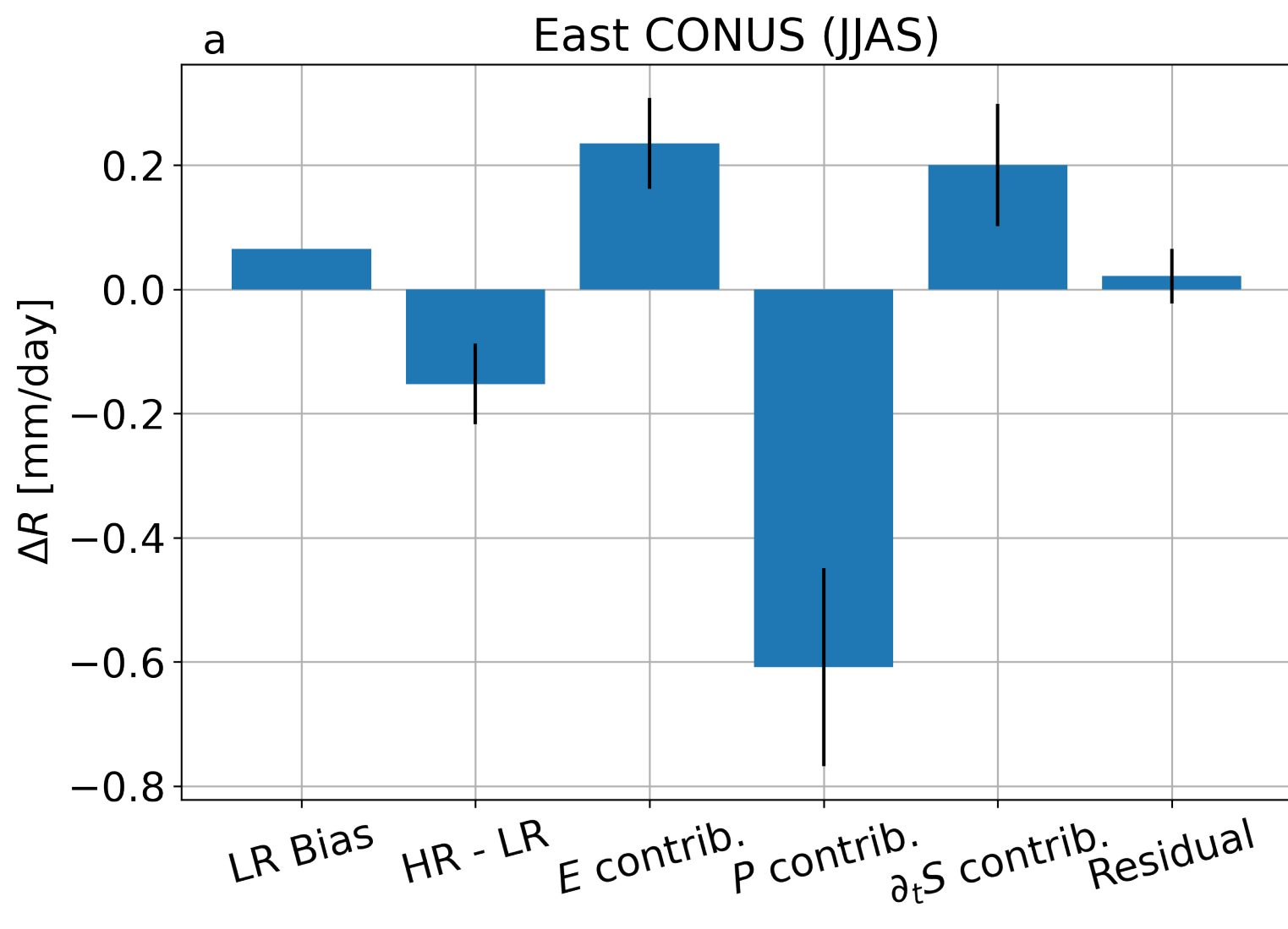
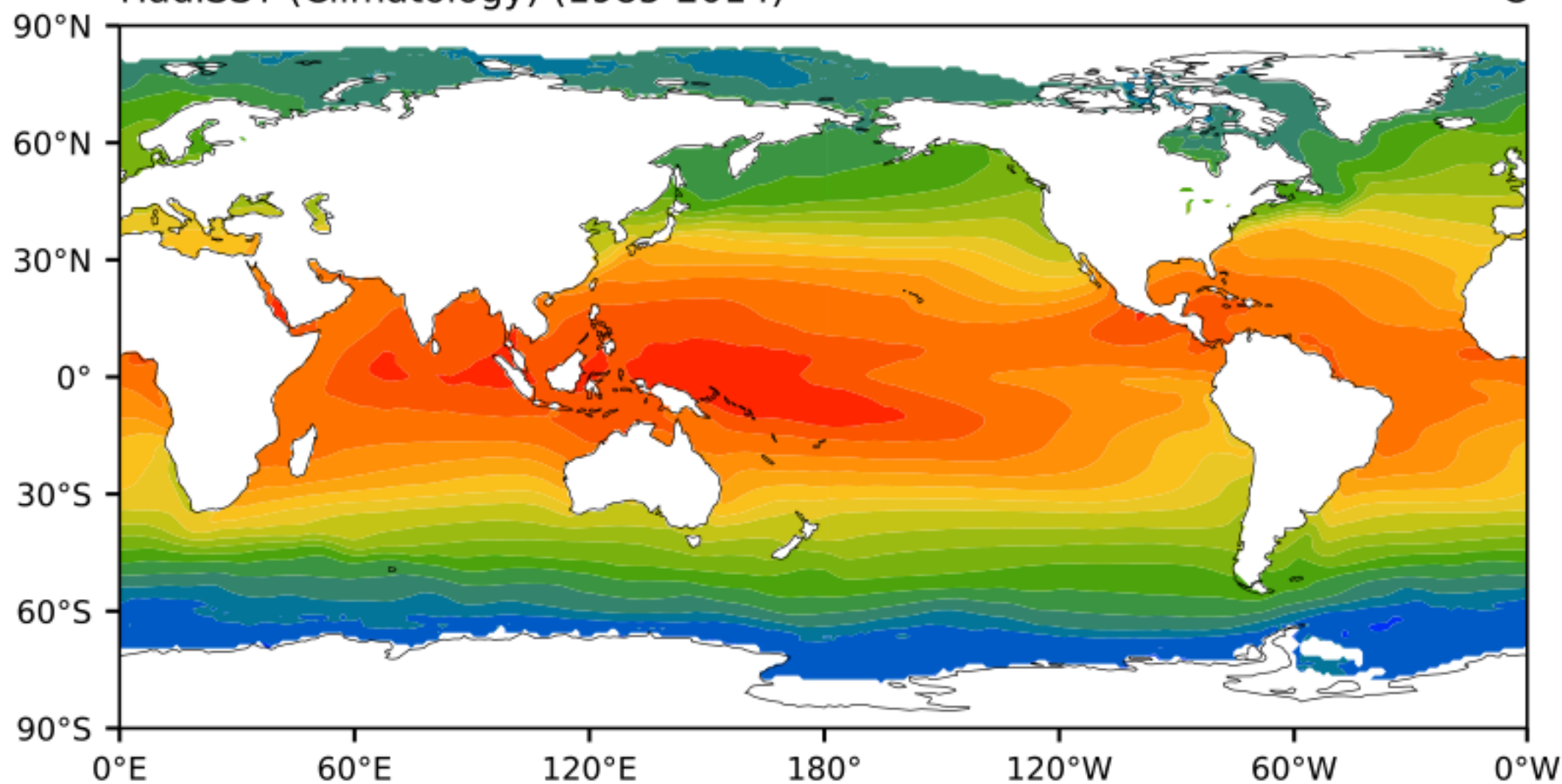


Figure 9.

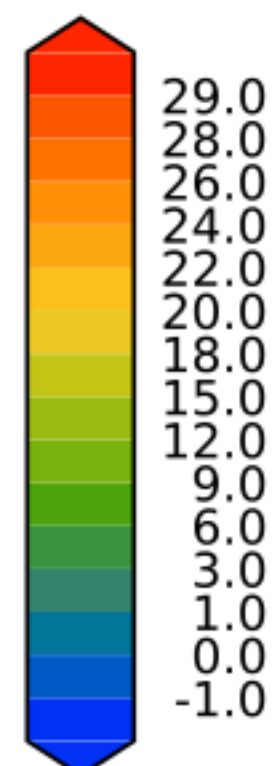


HadISST (Climatology) (1985-2014)

C

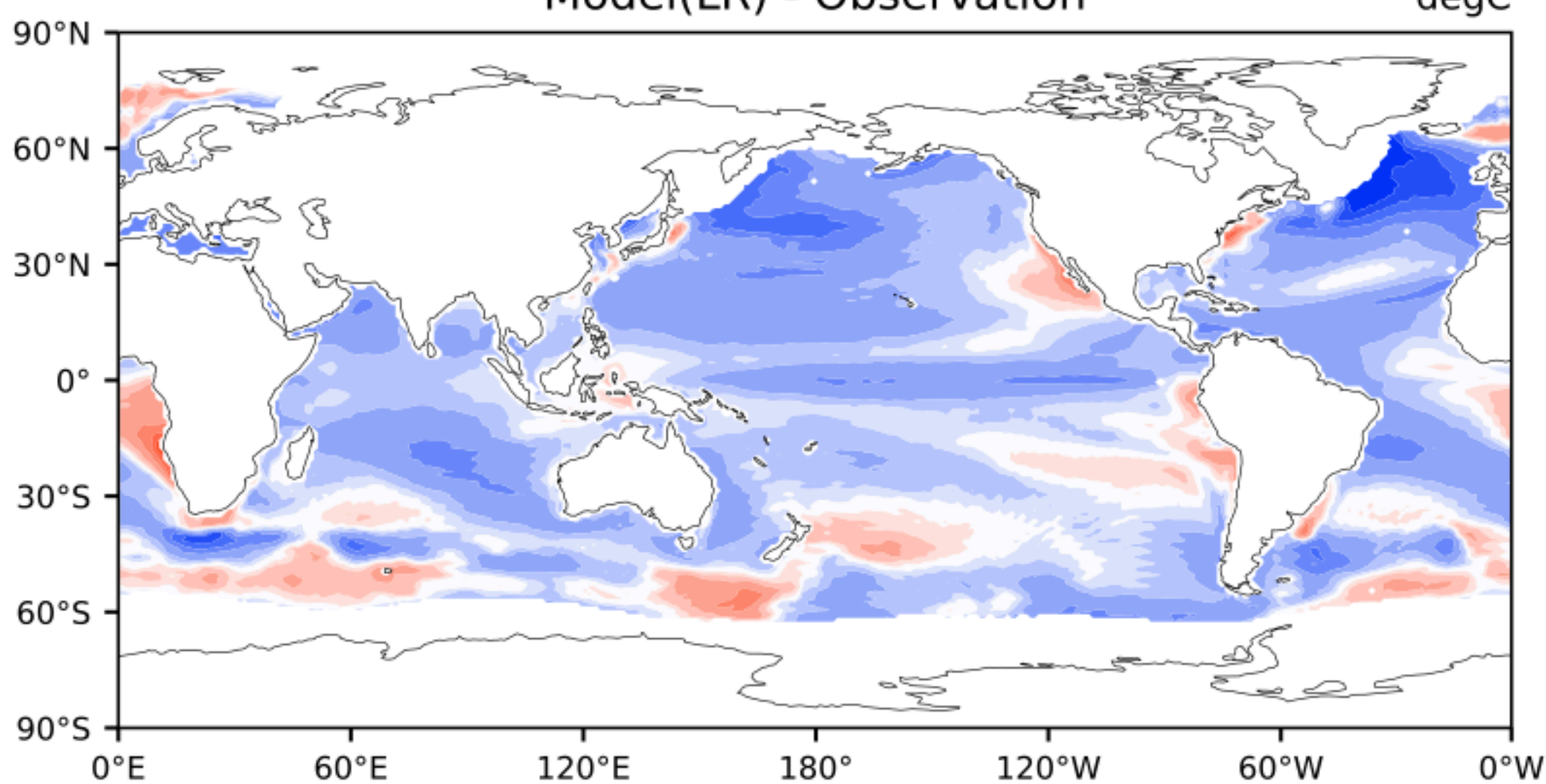


Max 29.69  
Mean 18.66  
Min -1.71

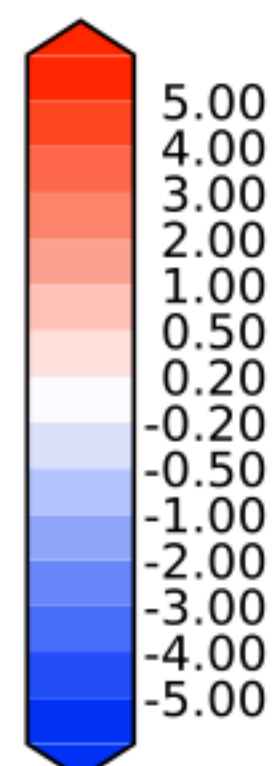


Model(LR) - Observation

degC



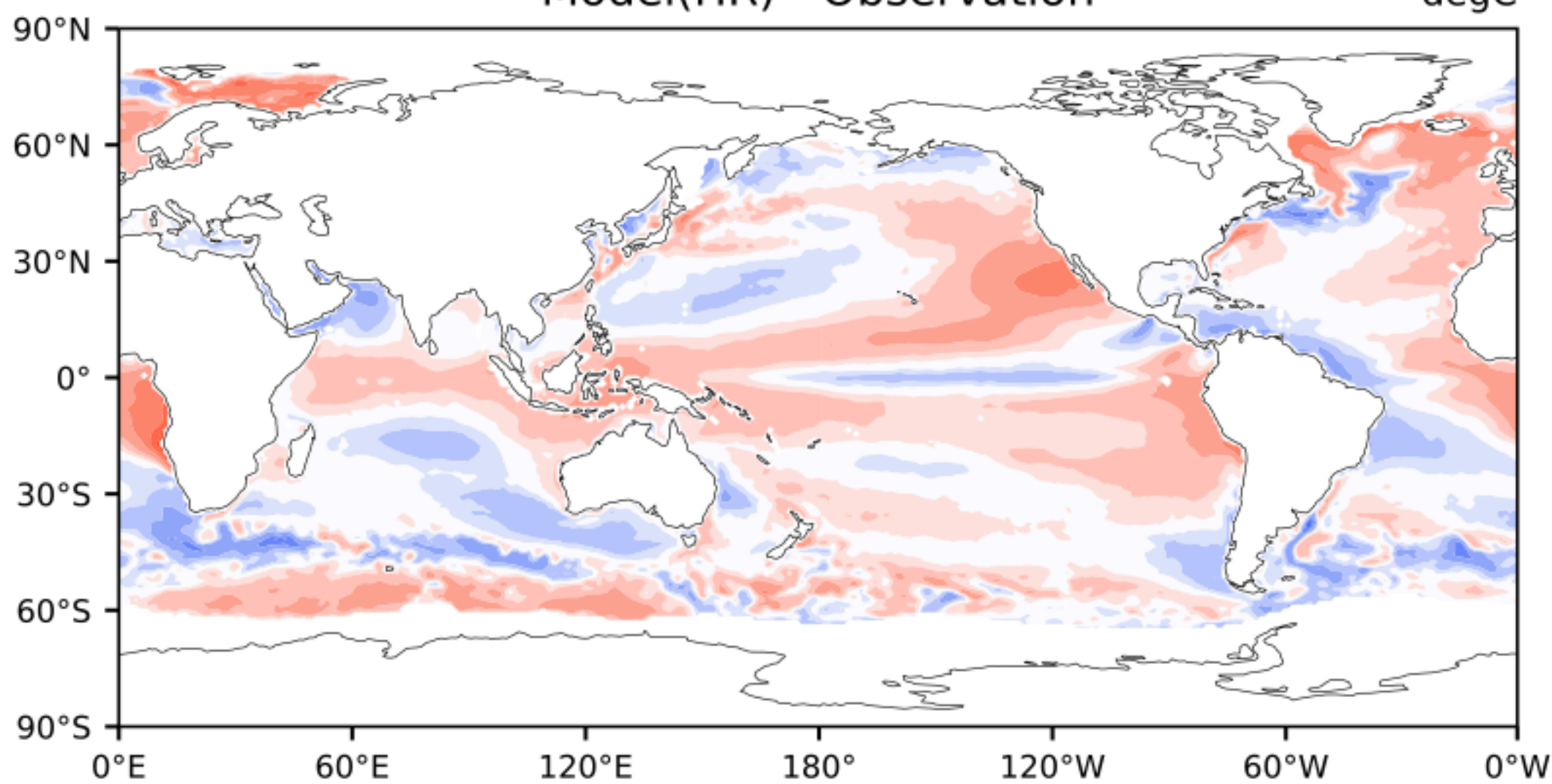
Max 4.84  
Mean -0.80  
Min -9.03



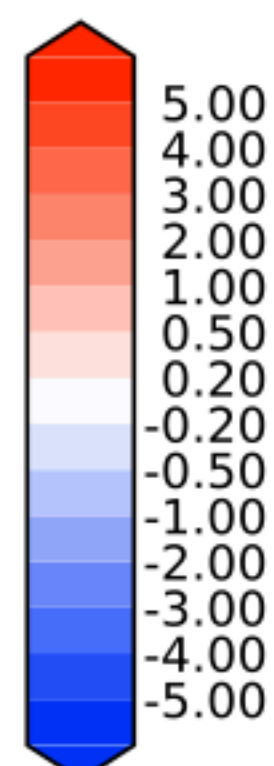
RMSE 1.26  
CORR 0.99

Model(HR) - Observation

degC



Max 5.63  
Mean 0.20  
Min -3.76



RMSE 0.69  
CORR 1.00

Figure 10.



# Daily Precipitation Extremes

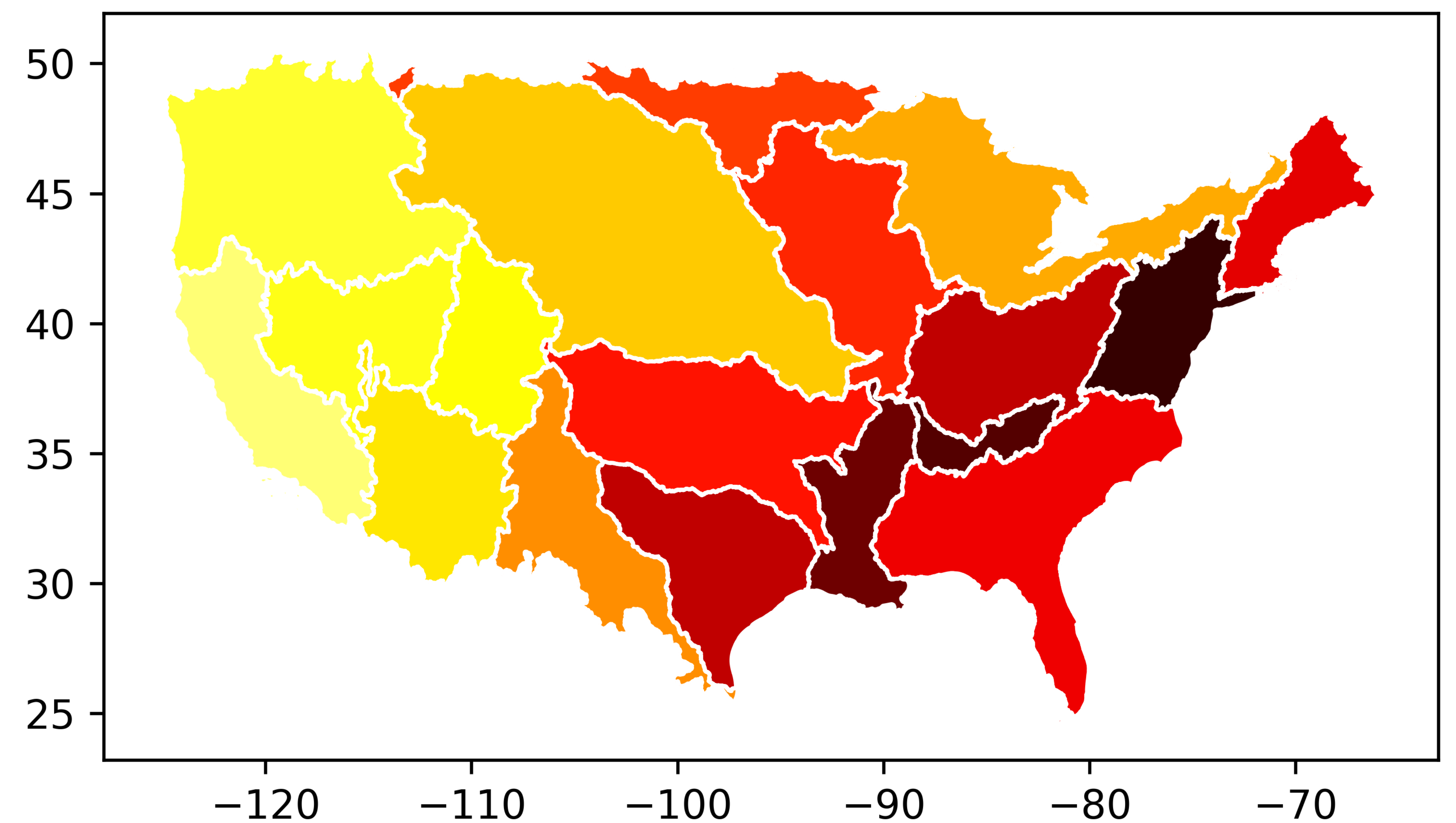
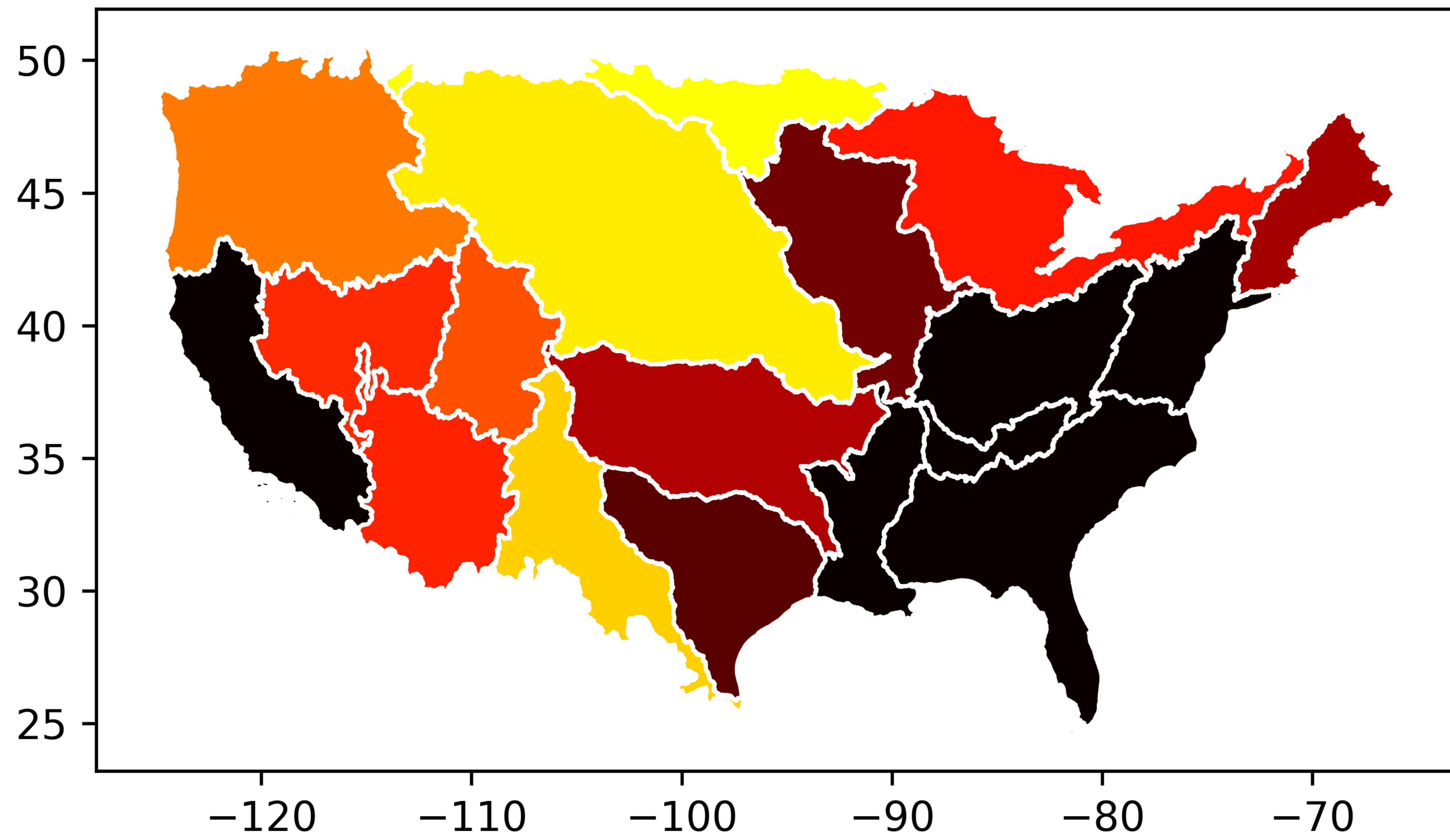
Winter Season (DJF)

Summer Season (JJA)

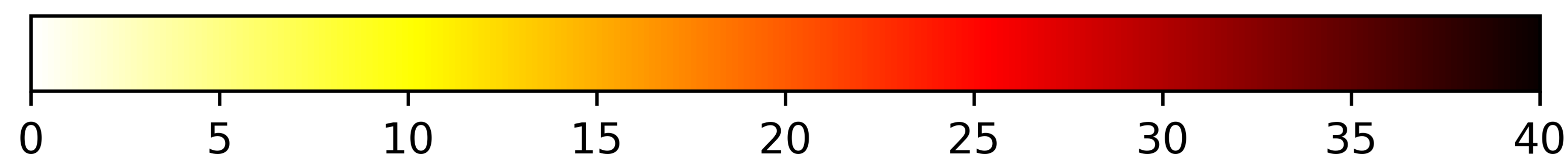
Observational Data: GPCP

a.

d.



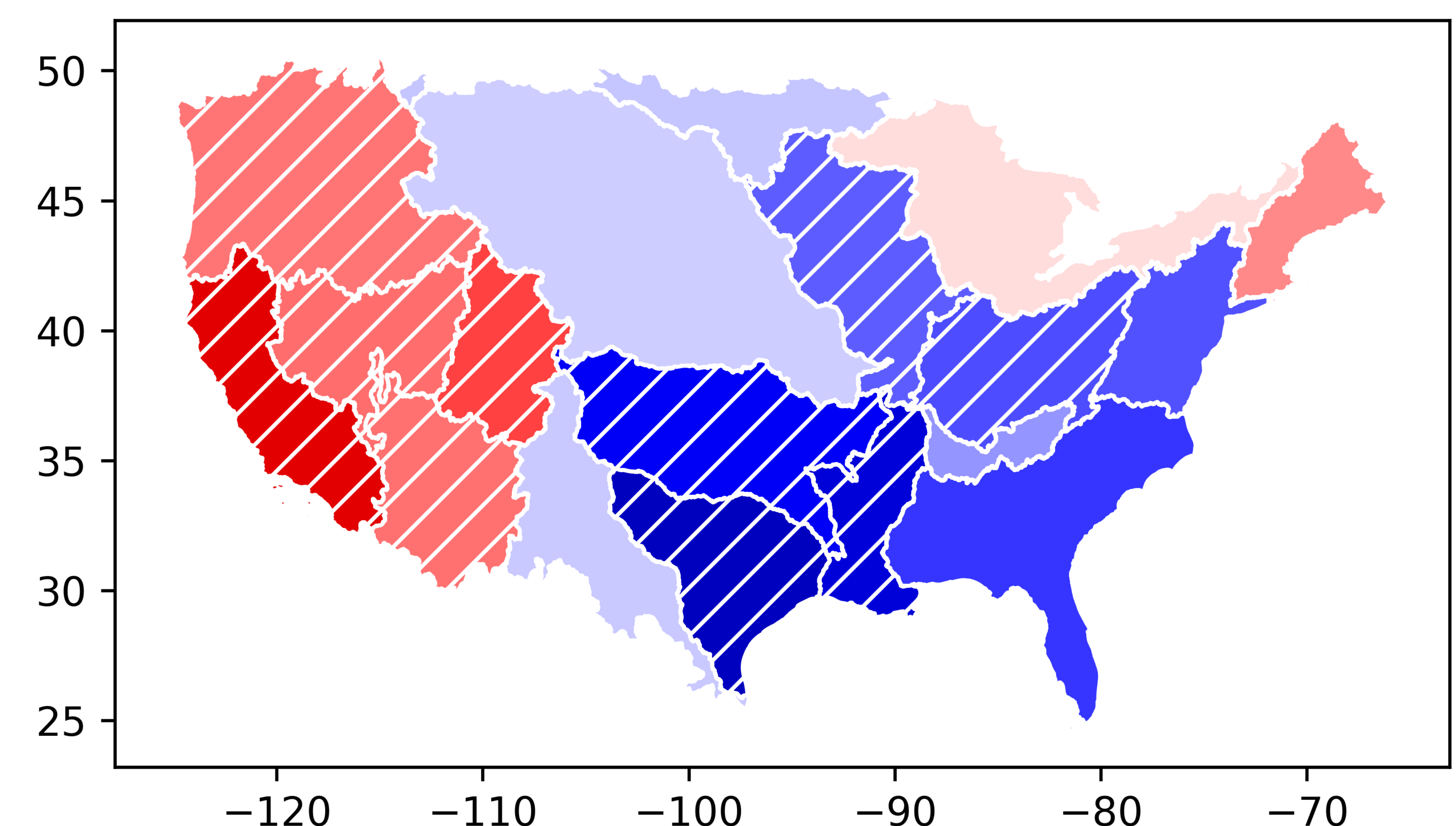
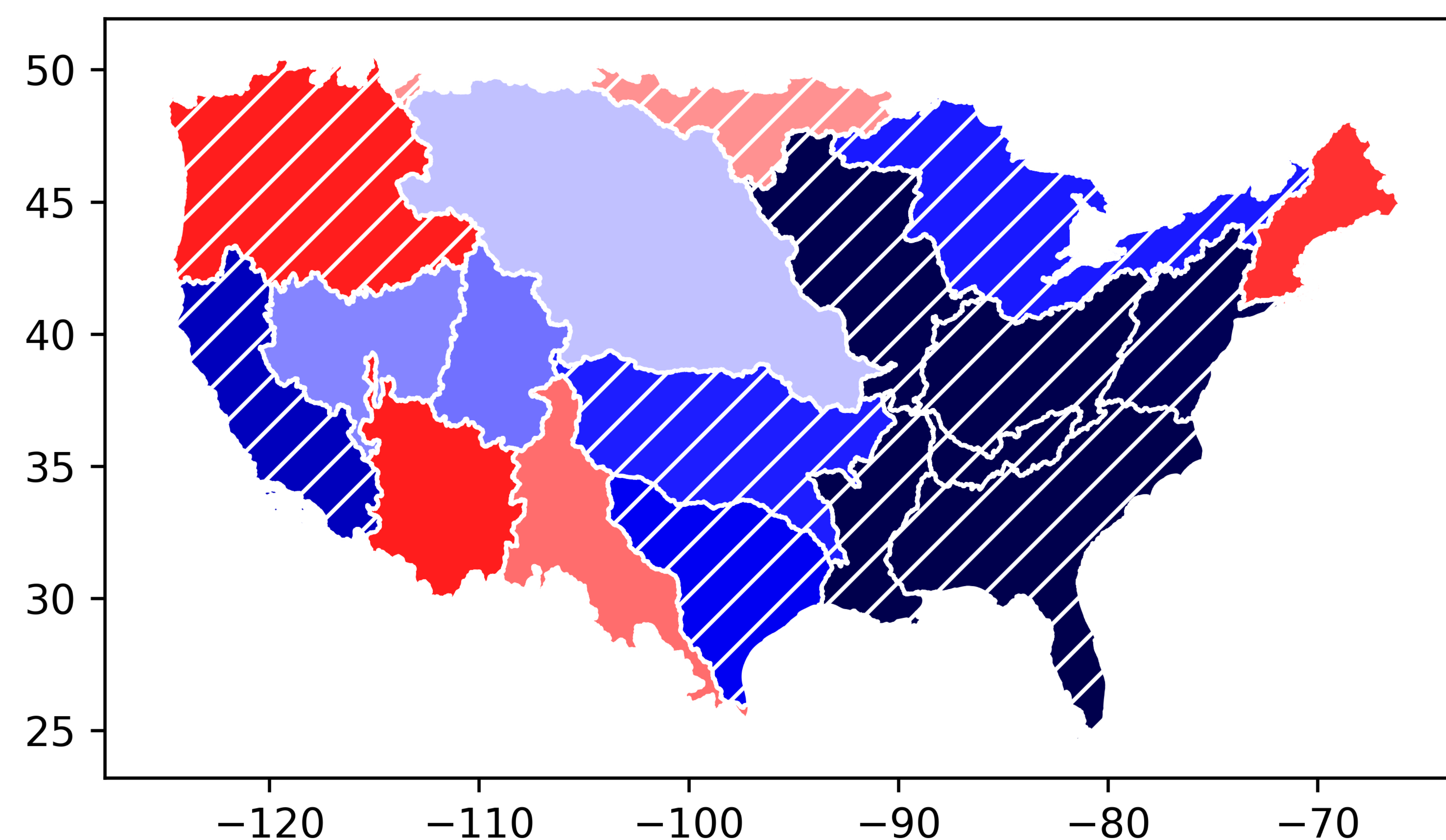
20-year Return Period Level (mm/day)



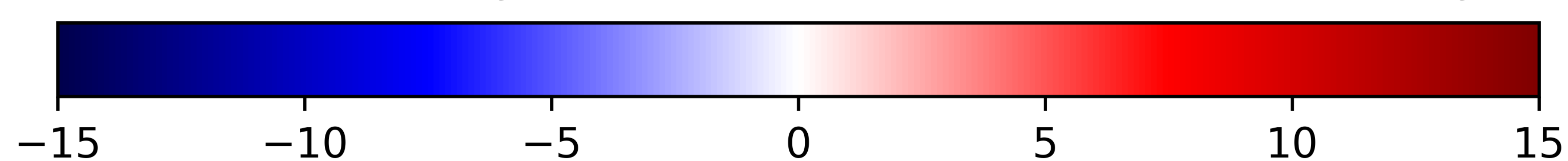
b.

Difference: LR - GPCP

e.



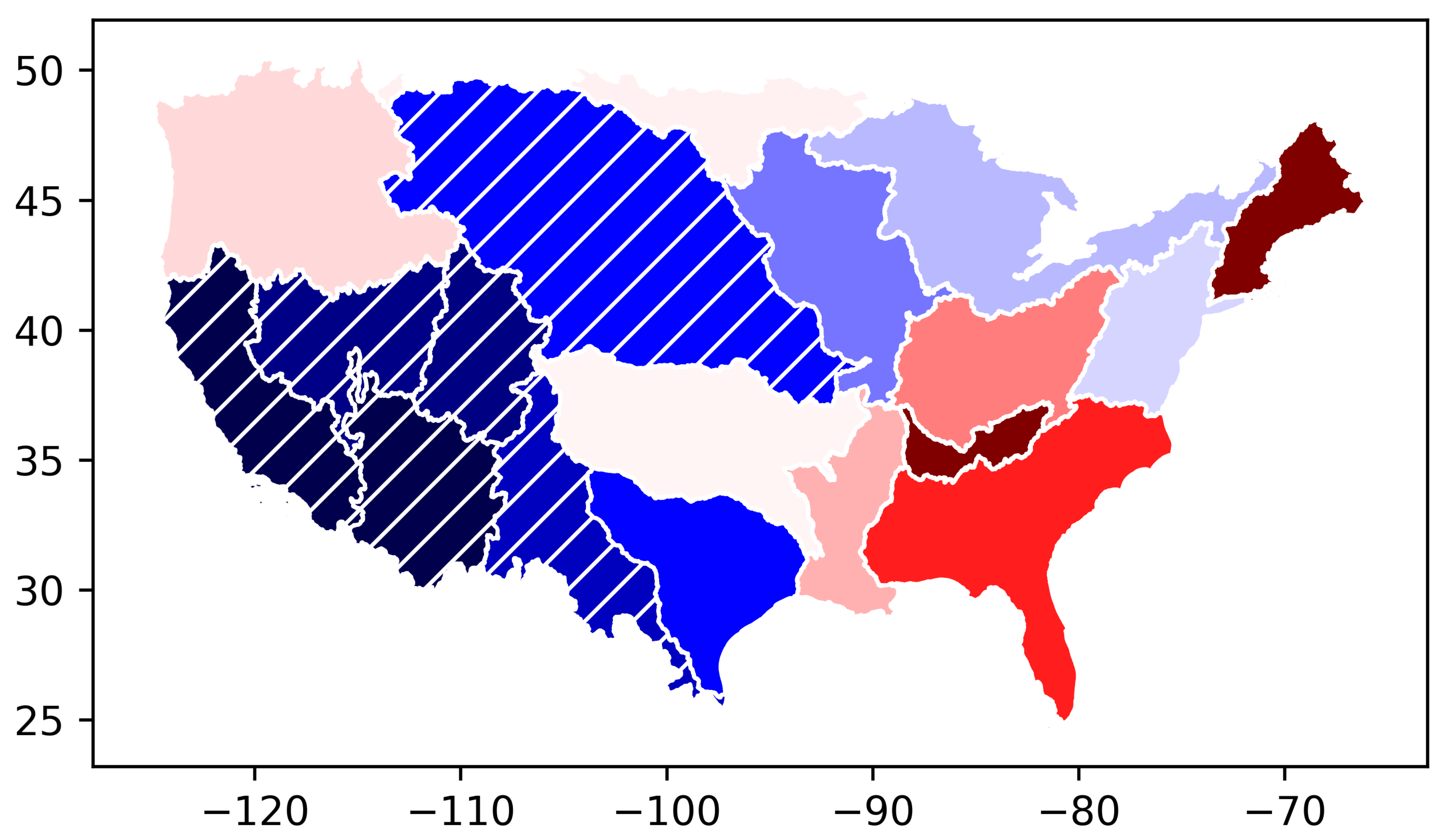
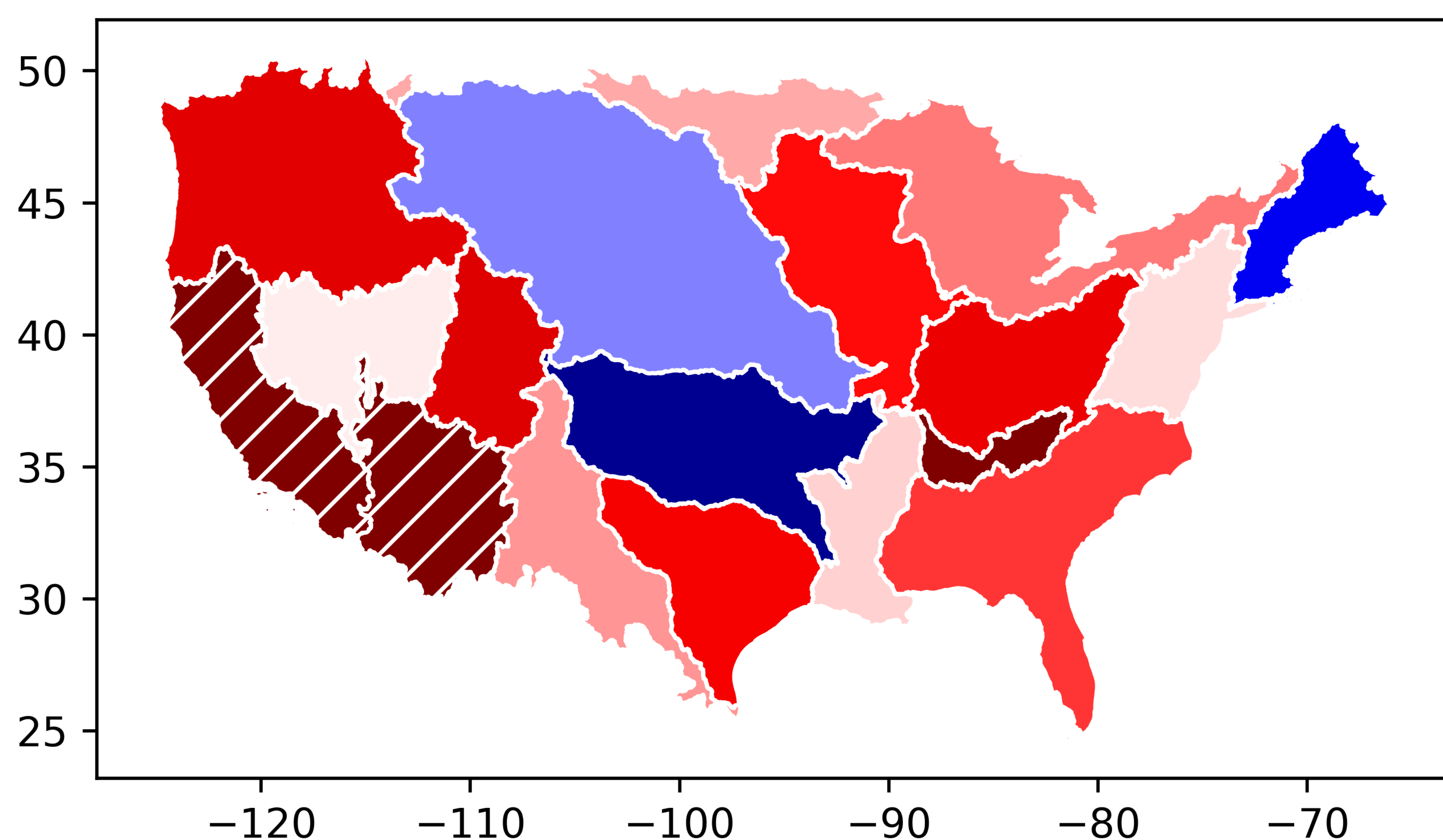
Difference: 20-year Return Period Level (mm/day)



c.

Difference: HR - LR

f.



Difference: 20-year Return Period Level (mm/day)

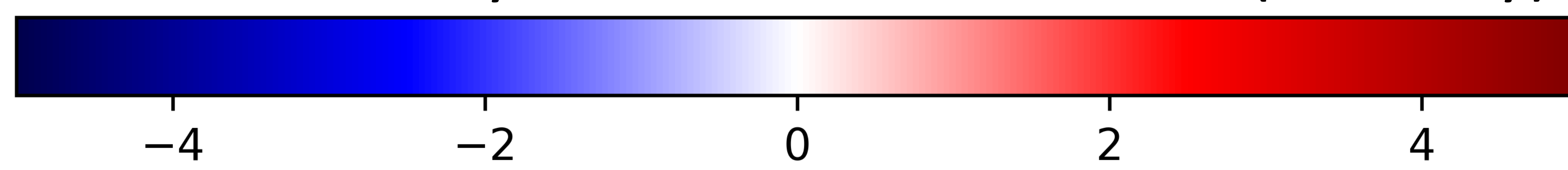




Figure 11.

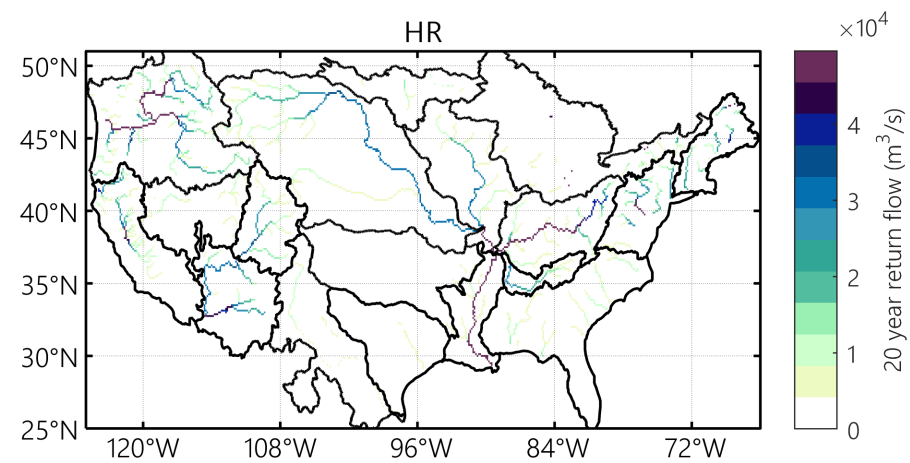
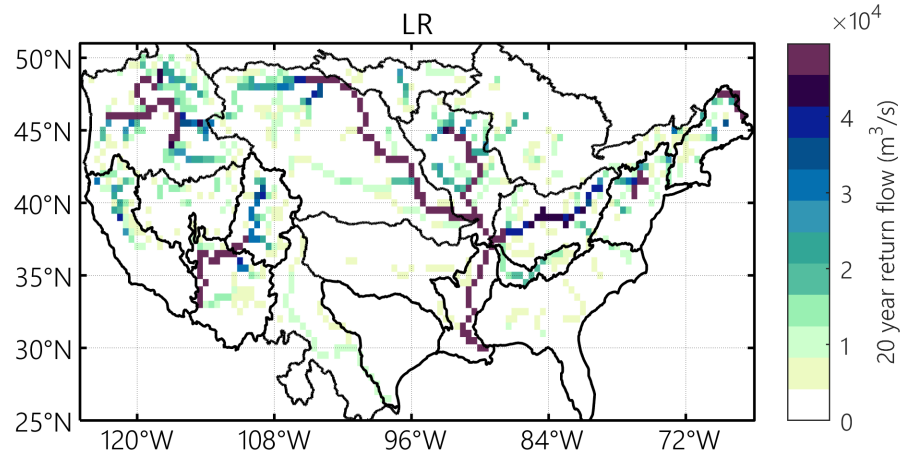


Figure 12.

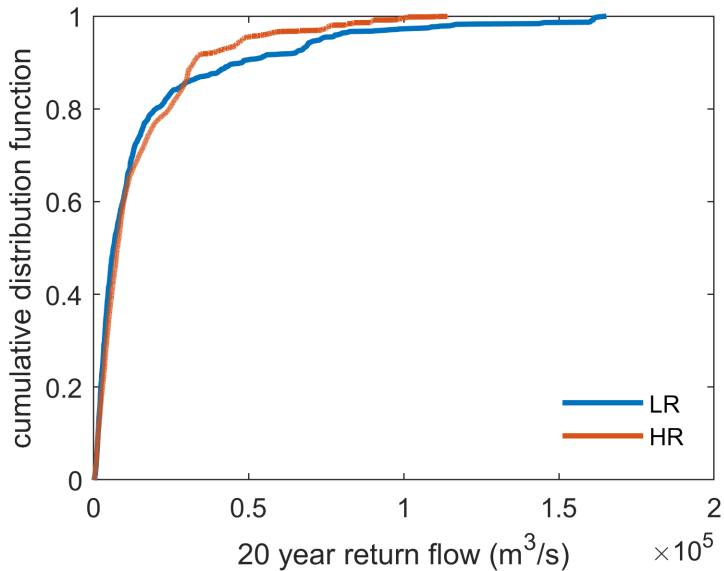




Figure 13.

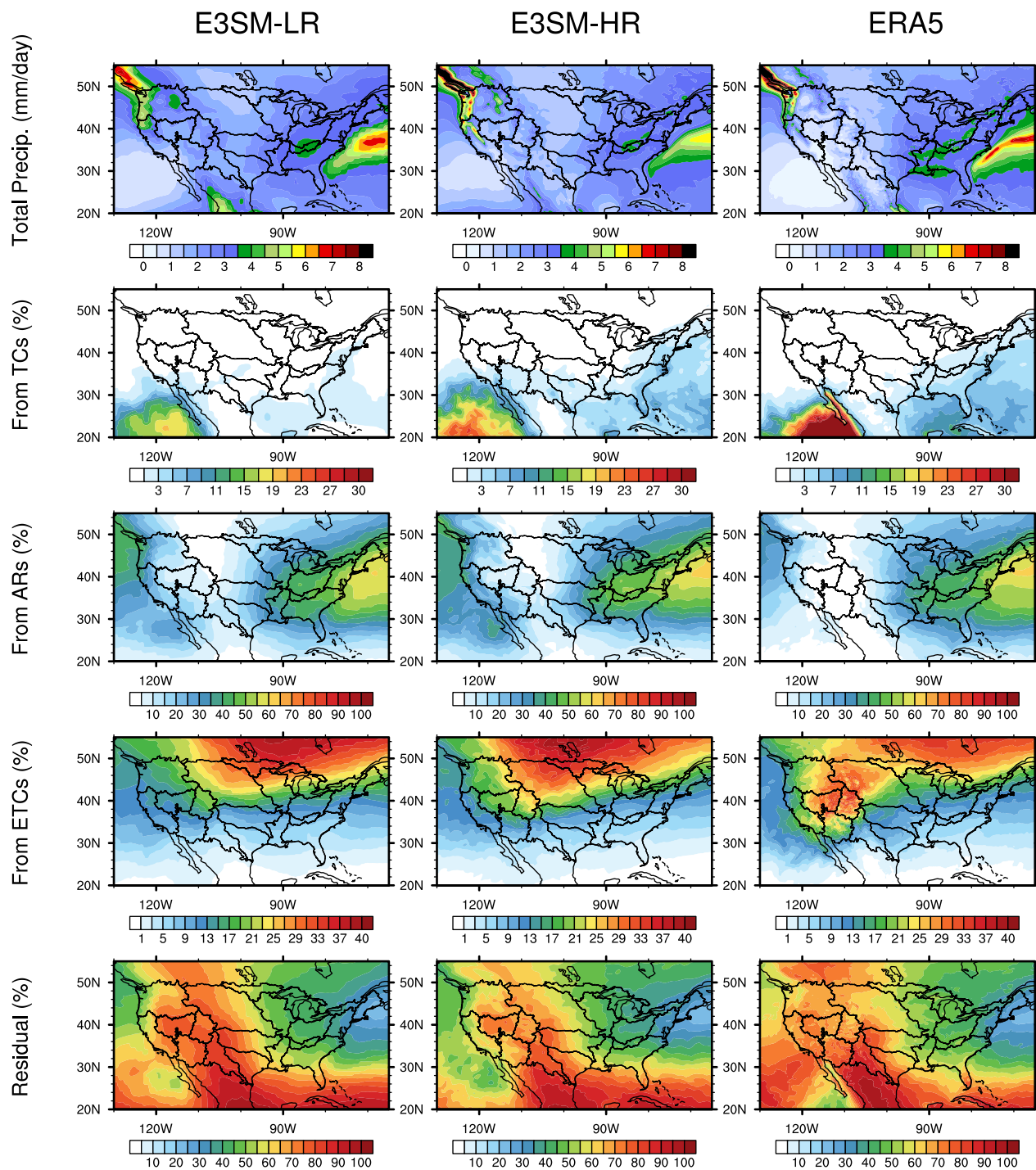
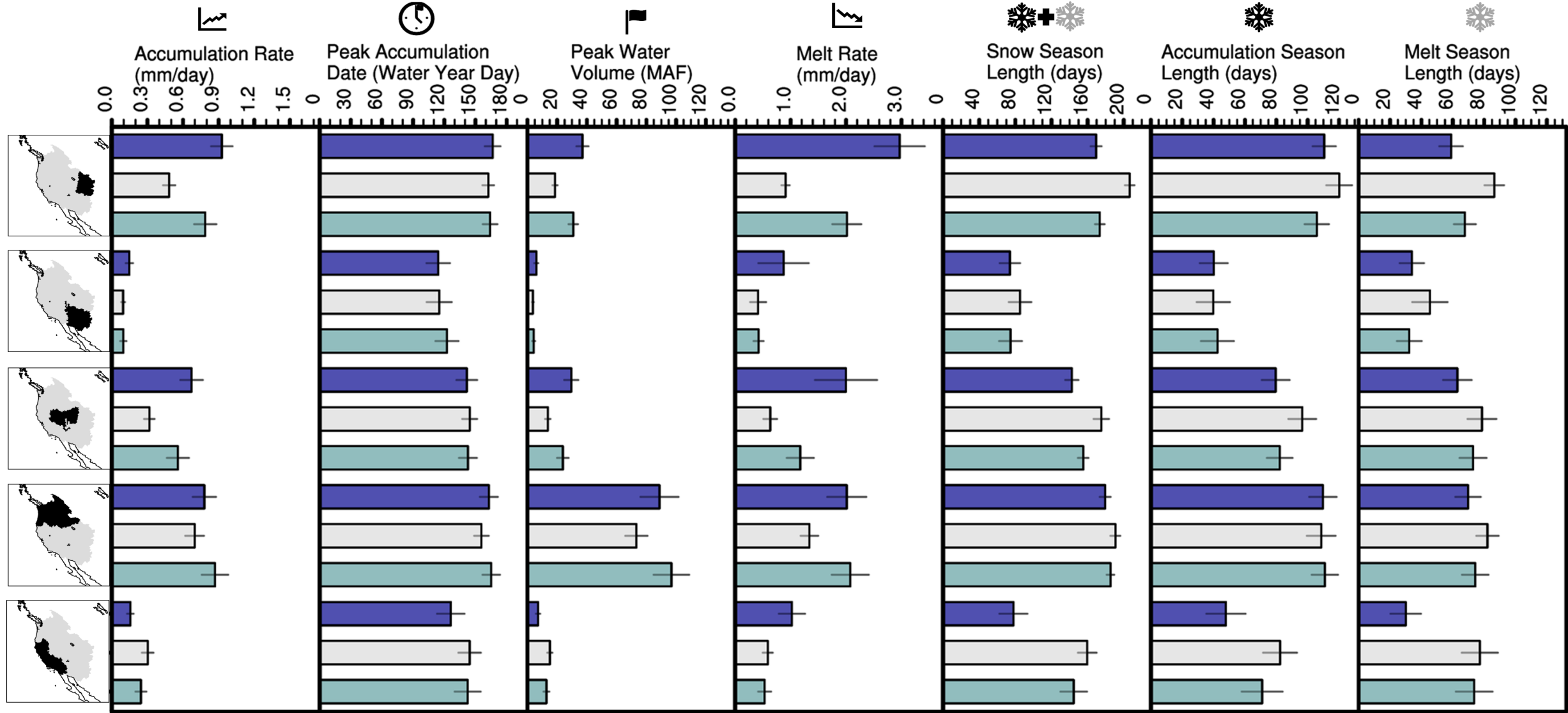


Figure 14.



# Supporting Information for “Evaluating the water cycle over CONUS at the watershed scale for the Energy Exascale Earth System Model version 1 (E3SMv1) across resolutions”

Bryce E. Harrop<sup>1</sup>, Karthik Balaguru<sup>1</sup>, Jean-Christophe Golaz<sup>2</sup>,

L. Ruby Leung<sup>1</sup>, Salil Mahajan<sup>3</sup>, Alan M. Rhoades<sup>4</sup>, Paul A. Ullrich<sup>5</sup>,

Chengzhu Zhang<sup>2</sup>, Xue Zheng<sup>2</sup>, Tian Zhou<sup>1</sup>, Peter M. Caldwell<sup>2</sup>,

Noel D. Keen<sup>4</sup>, Azamat Mametjanov<sup>6</sup>

<sup>1</sup>Pacific Northwest National Laboratory, Richland, WA, USA

<sup>2</sup>Lawrence Livermore National Laboratory, Livermore, CA, USA

<sup>3</sup>Oak Ridge National Laboratory, Oak Ridge, TN, USA

<sup>4</sup>Lawrence Berkeley National Laboratory, Berkeley, CA, USA

<sup>5</sup>Department of Land, Air, and Water Resources, University of California-Davis, Davis, CA, USA

<sup>6</sup>Argonne National Laboratory, Lemont, IL, USA

## Contents of this file

1. Table S1

2. Figures S1 to S31

**Summary** The following material provides additional results meant to supplement those presented within the main manuscript. Figures include the full seasonal cycle of each water budget term for all of the CONUS HUC2 watersheds, the streamflow sensitivity for

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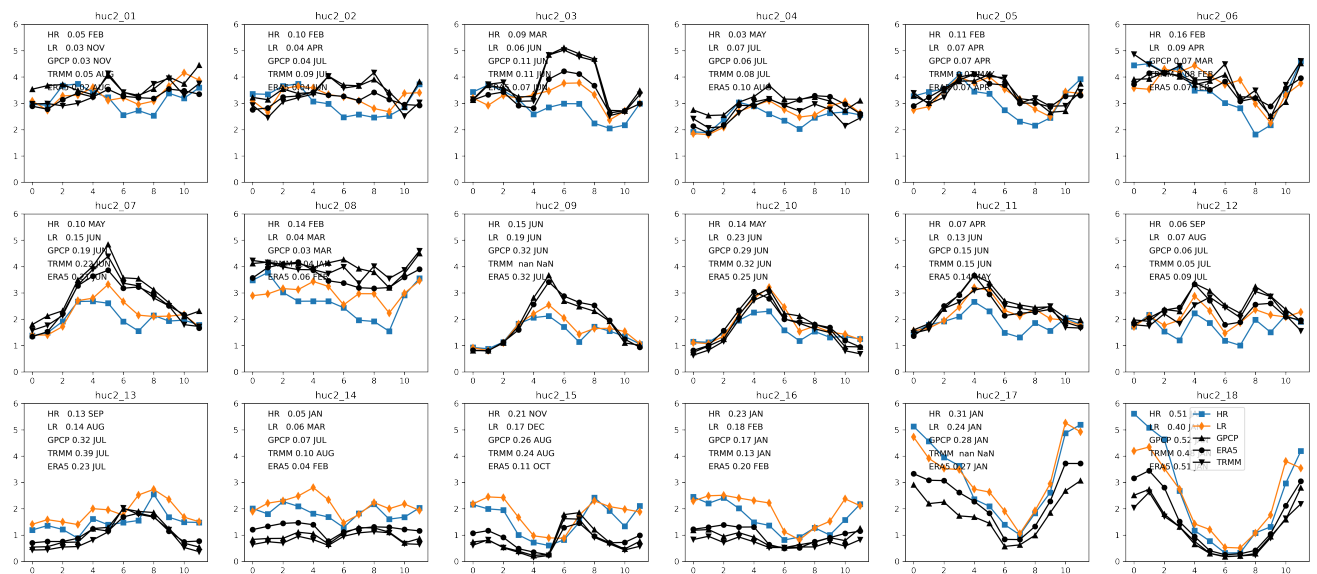
each watershed, as well as several other figures that provide insight into the water cycle changes between HR and LR.

## References

Golaz, J., Caldwell, P. M., Van Roekel, L. P., Petersen, M. R., Tang, Q., Wolfe, J. D.,  
... Zhu, Q. (2019). The DOE E3SM coupled model version 1: Overview and  
evaluation at standard resolution. *Journal of Advances in Modeling Earth Systems*.  
doi: 10.1029/2018ms001603

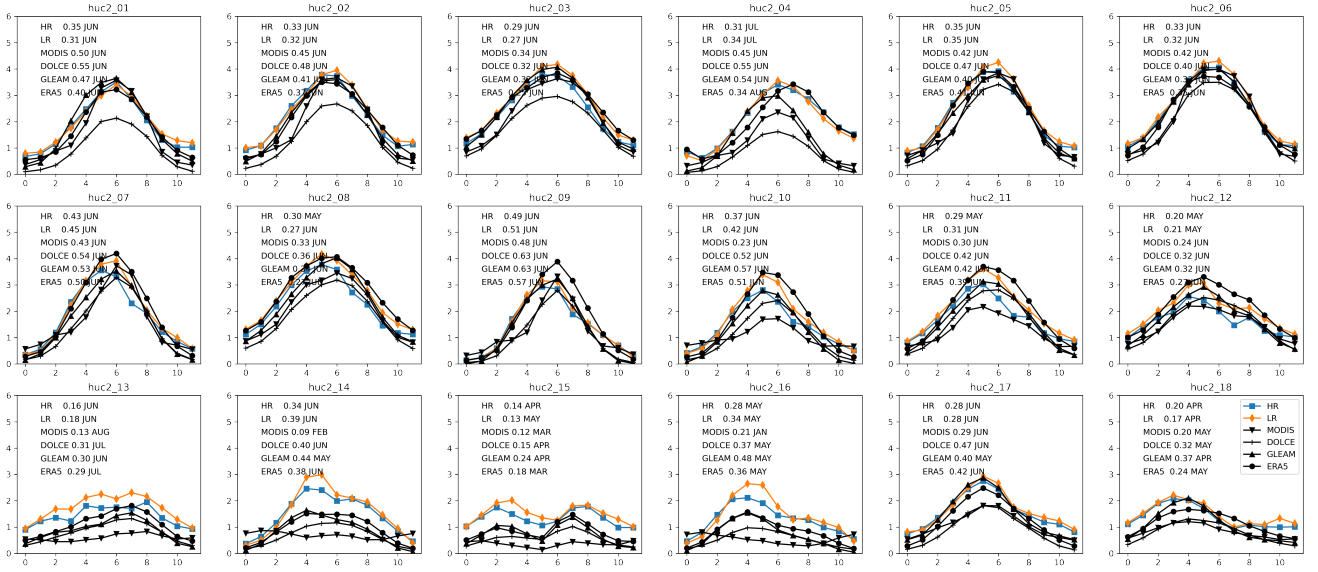
HUC2 Region	Eastern CONUS			Central CONUS			Western CONUS		
	LR	HR	ERA5	LR	HR	ERA5	LR	HR	ERA5
Tropical Cyclones	1.7%	6.5%	4.7%	0.5%	2.0%	1.6%	0.0%	0.0%	0.1%
Atmospheric Rivers	30.5%	26.5%	29.4%	13.0%	12.5%	17.1%	5.2%	4.6%	3.0%
Extratropical Cyclones	6.9%	5.9%	5.6%	8.9%	12.7%	8.4%	9.6%	19.4%	18.8%
Residual	60.9%	61.1%	60.3%	77.5%	72.8%	72.9%	85.2%	76.0%	78.1%
Normalized SDI	0.66	0.72	0.70	0.51	0.60	0.58	0.47	0.61	0.56

**Table S1.** Percentage contribution to precipitation totals in each CONUS region, filtered by associated features. For the Eastern and Central CONUS, the averaging time period is June-September, while for the Western CONUS, the averaging time period is April-July. These time periods are consistent with the analysis in section 3.2.

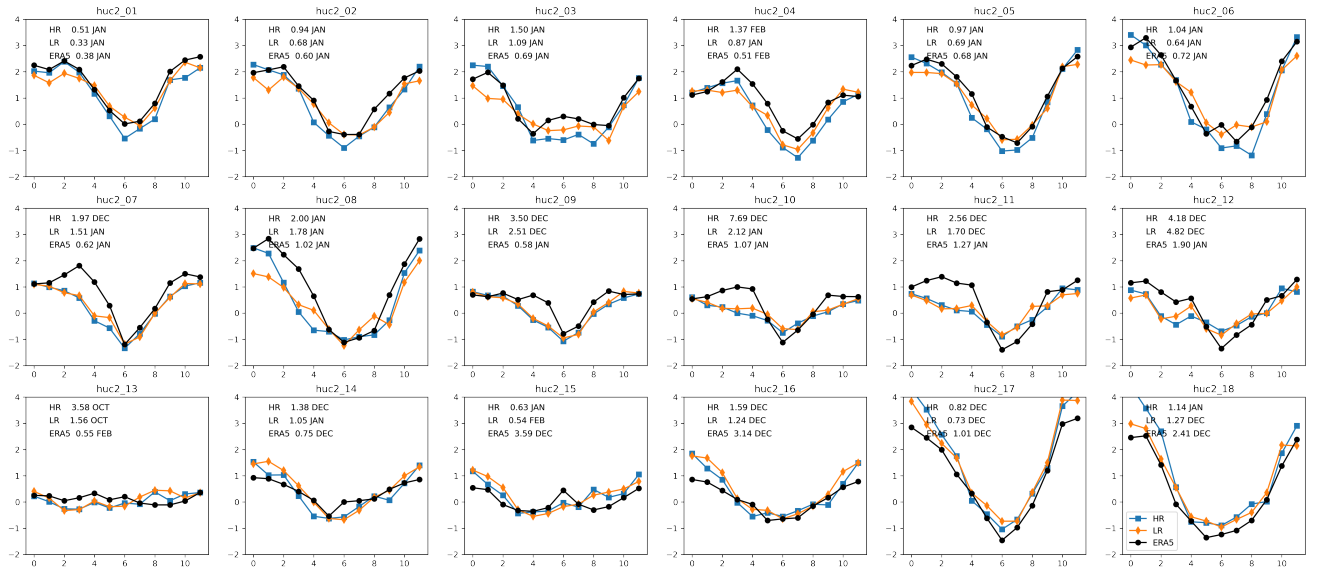


**Figure S1.** Seasonal timeseries of precipitation for HR (blue), LR (orange), and observational and reanalysis datasets (black) for each watershed (panels). The numbers in each panel provide the amplitude of the first Fourier mode, denoting the amplitude of the seasonal cycle. The month denotes the phase of the seasonal cycle.

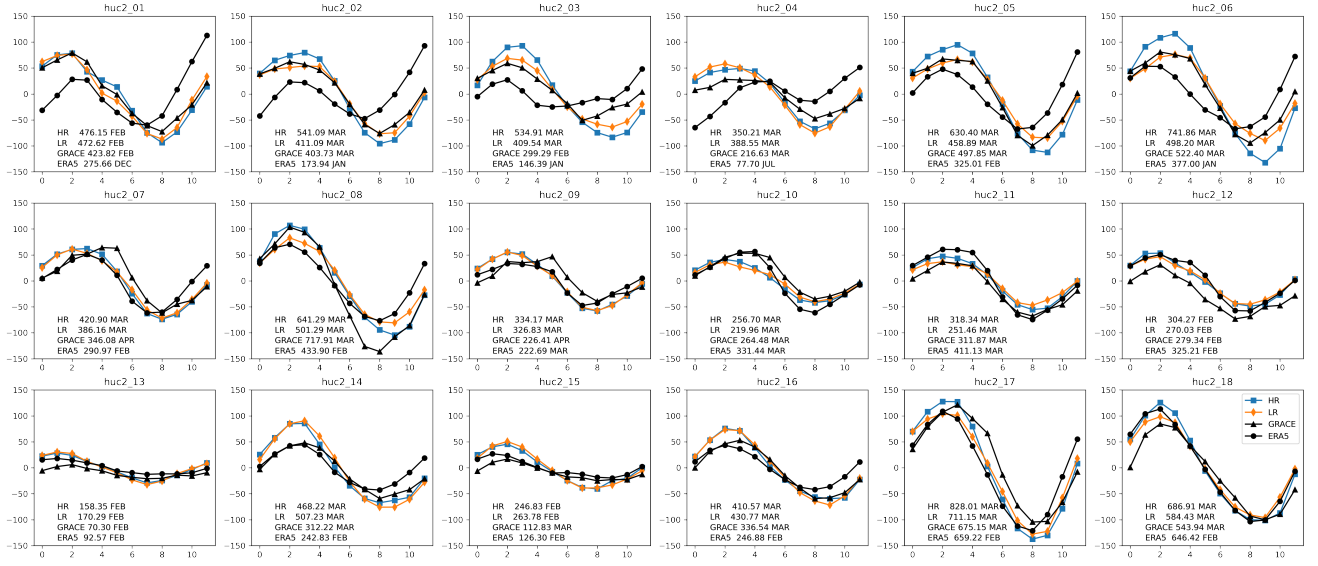




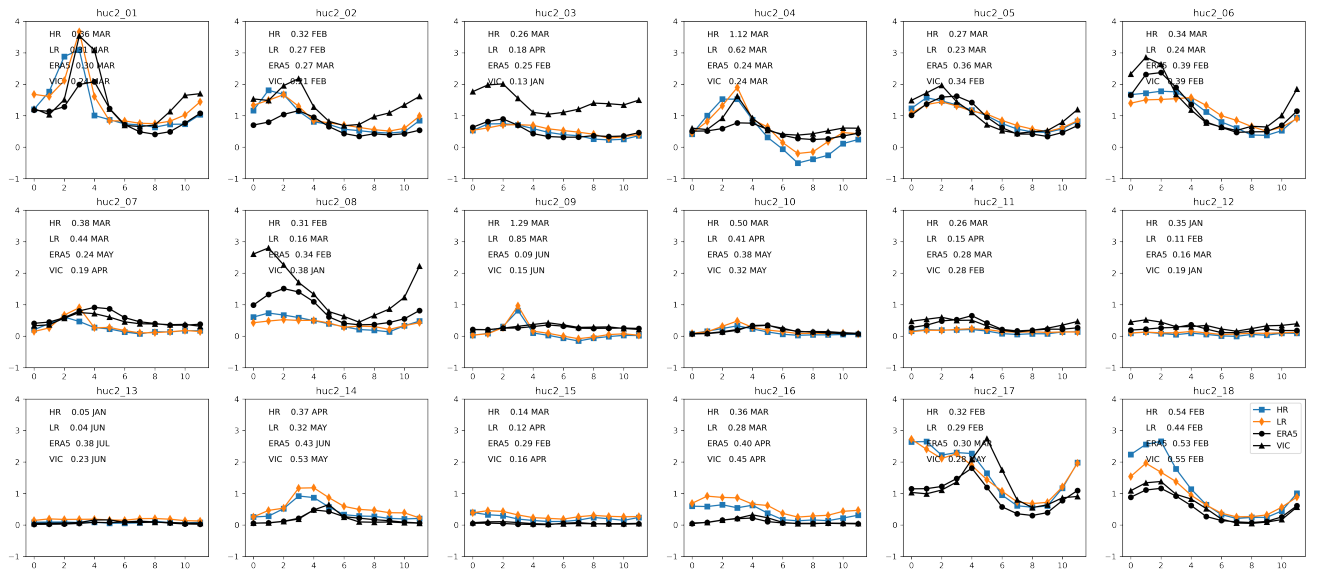
**Figure S2.** Seasonal timeseries of evapotranspiration for HR (blue), LR (orange), and observational and reanalysis datasets (black) for each watershed (panels). The numbers in each panel provide the amplitude of the first Fourier mode, denoting the amplitude of the seasonal cycle. The month denotes the phase of the seasonal cycle.



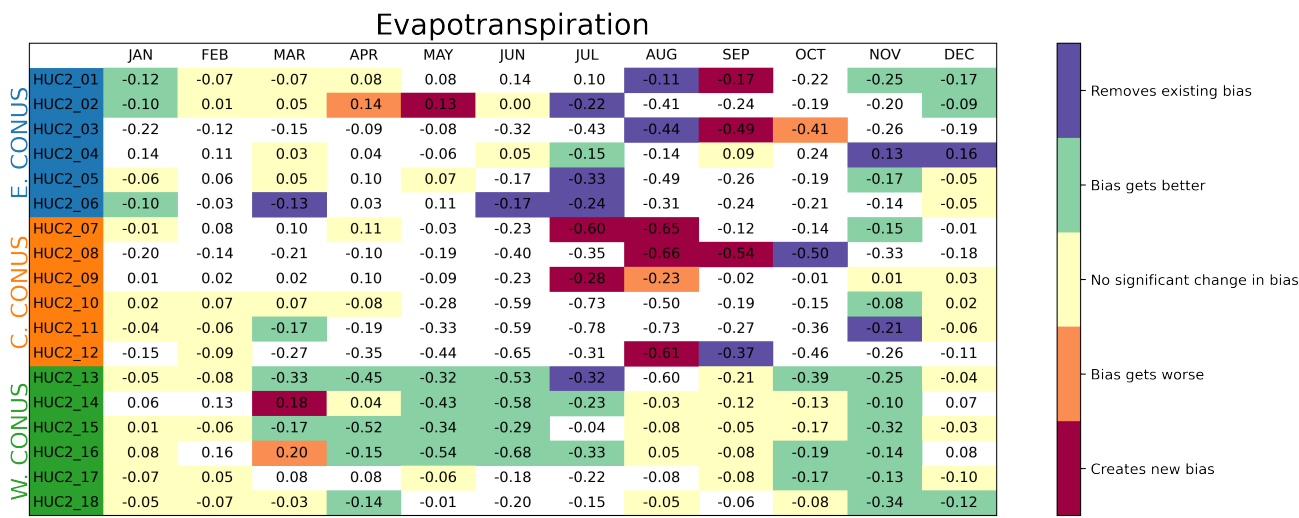
**Figure S3.** Seasonal timeseries of moisture convergence for HR (blue), LR (orange), and observational and reanalysis datasets (black) for each watershed (panels). The numbers in each panel provide the amplitude of the first Fourier mode, denoting the amplitude of the seasonal cycle. The month denotes the phase of the seasonal cycle.



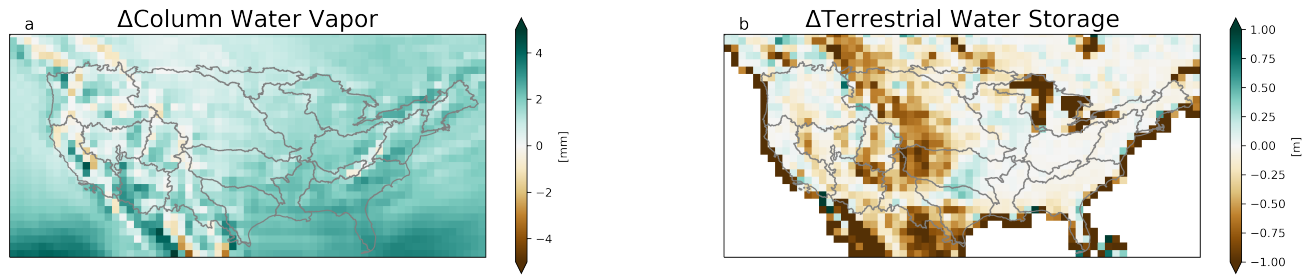
**Figure S4.** Seasonal timeseries of terrestrial water storage anomaly for HR (blue), LR (orange), and observational and reanalysis datasets (black) for each watershed (panels). The numbers in each panel provide the amplitude of the first Fourier mode, denoting the amplitude of the seasonal cycle. The month denotes the phase of the seasonal cycle.



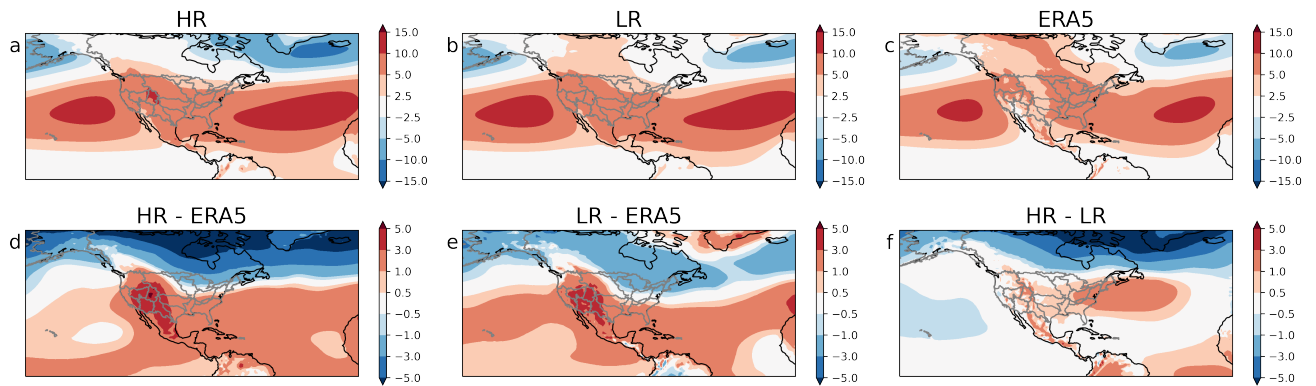
**Figure S5.** Seasonal timeseries of runoff (combined surface and sub-surface) for HR (blue), LR (orange), and observational and reanalysis datasets (black) for each watershed (panels). The numbers in each panel provide the amplitude of the first Fourier mode, denoting the amplitude of the seasonal cycle. The month denotes the phase of the seasonal cycle.



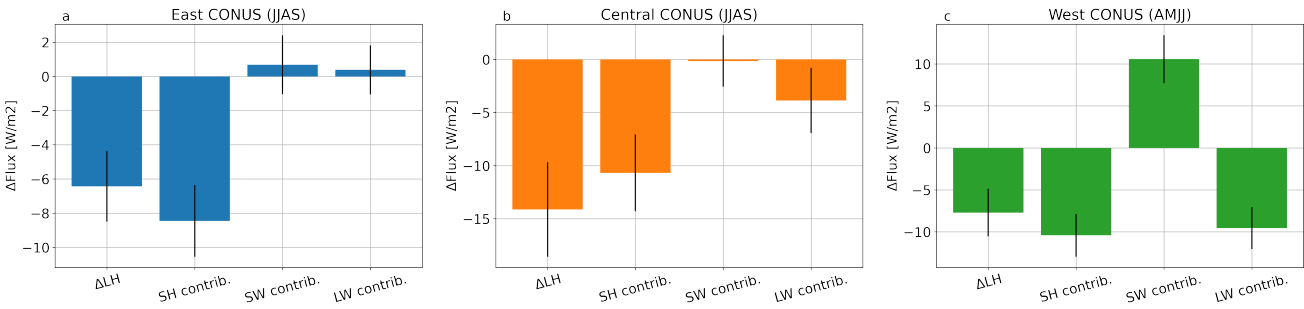
**Figure S6.** Stoplight diagram for evapotranspiration. Each column represents a month and each row a HUC2 watershed. The values in each cell are the mean difference between LR and HR ( $HR - LR$ ). White denotes a month where no significant bias exists between either LR or HR with the observations. Yellow denotes months where no significant bias exists between LR and HR, but both are significantly biased relative to observations. Purple denotes months where LR is biased relative to observations, while HR is not. Green denotes months where LR is biased relative to observations and HR makes a significant improvement upon that bias. Orange denotes the opposite of green – both LR and HR are biased against observations, but the bias is significantly larger in HR than in LR. Finally, red denotes regions where no bias exists for LR, but a bias does occur for HR. Statistical significance is determined using a t-test with a 95% significance threshold and treating each year as an independent sample for a particular basin and month. Comparison datasets for evapotranspiration include MODIS, GLEAM, and ERA5, but do not include DOLCE.



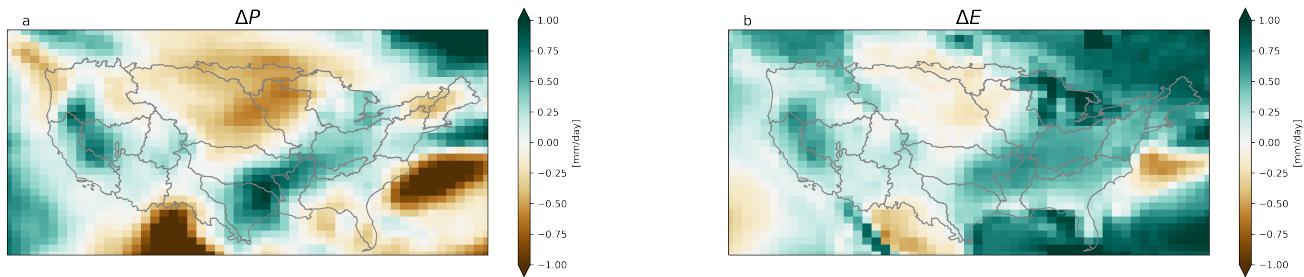
**Figure S7.** Changes in column water vapor (a) and terrestrial water storage (b) going from LR to HR. Both HR and LR are remapped to a regular 1x1 degree lat-lon grid for comparison. The remapping from the different land meshes creates noise around the coastlines which should be ignored when comparing the differences.



**Figure S8.** Surface pressure (with global mean subtracted) for (a) HR, (b) LR, and (c) ERA5. Differences between (d) HR and ERA5, (e) LR and ERA5, and (f) HR and LR are shown in the bottom row. All values are given in units of hPa.

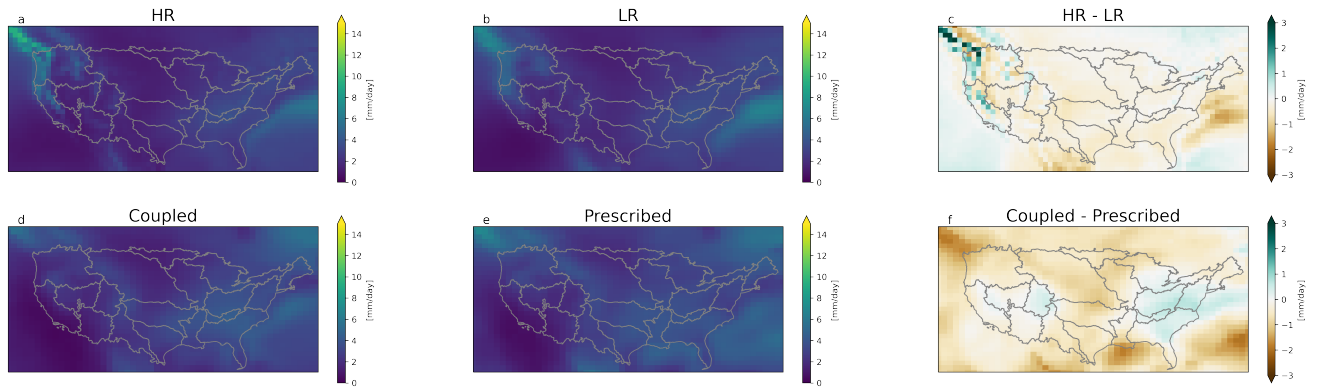


**Figure S9.** Mean difference in latent heat between LR and HR, and contributions to that difference from sensible heat flux, surface net shortwave radiative flux, and surface net longwave radiative flux for (a) Eastern CONUS, (b) Central CONUS, and (c) Western CONUS. The error bars provide the 95% confidence interval for the mean differences.

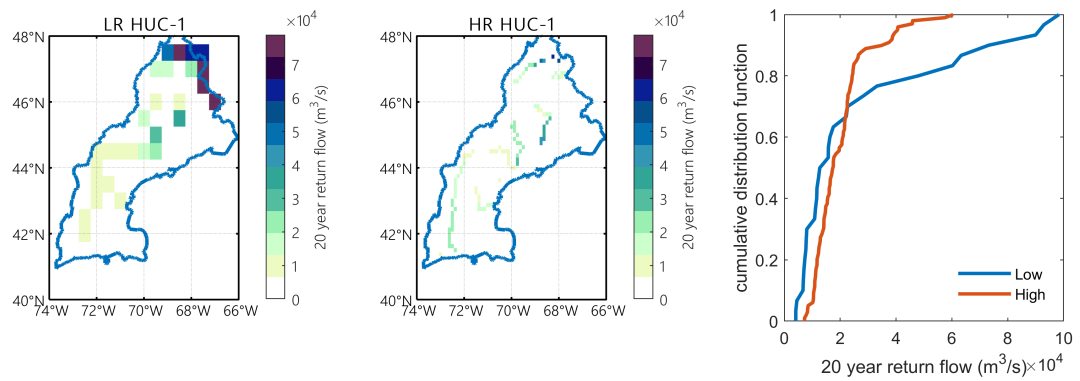


**Figure S10.** Changes in precipitation (a) and evapotranspiration (b) between the piControl and abrupt4xCO2 E3SMv1 experiments detailed by Golaz et al. (2019).

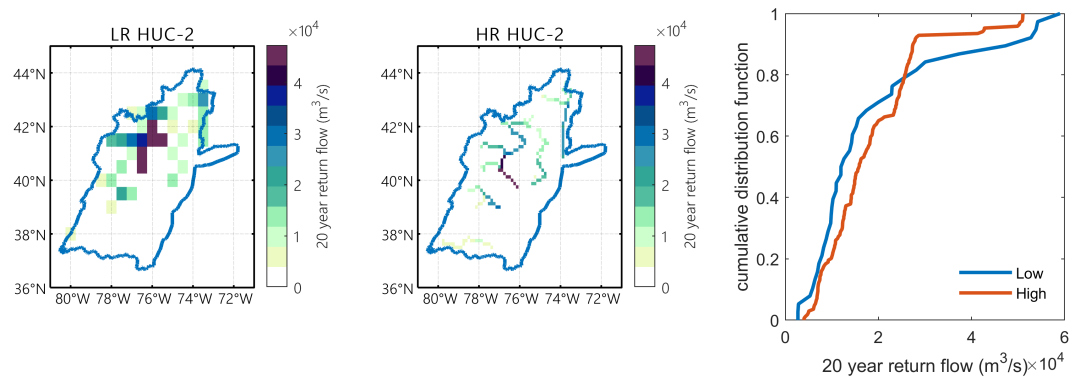




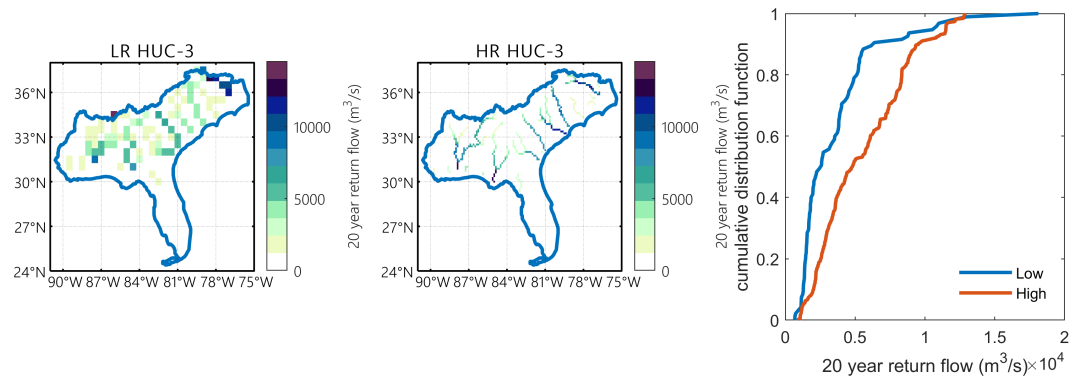
**Figure S11.** Precipitation for HR (a), LR (b), the fully coupled abrupt4xCO<sub>2</sub> experiment (d), and an experiment with SSTs prescribed from the abrupt4xCO<sub>2</sub> experiment (e). Panel c shows the difference in precipitation between HR and LR, and panel f shows the difference in precipitation between interactive and prescribed SSTs.



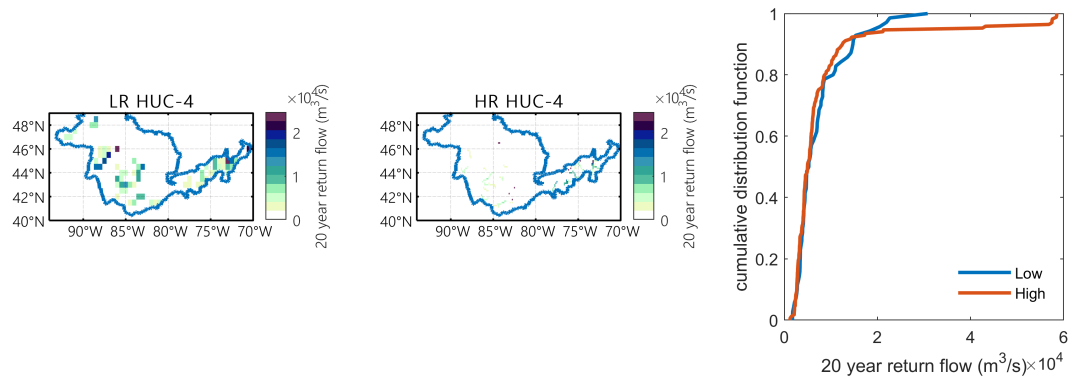
**Figure S12.** Simulated 20-year return streamflow for low resolution (Left) and high resolution (Middle), and the comparison of the cumulative distribution functions (CDFs) between HR and LR (Right) for the New England (1) region.



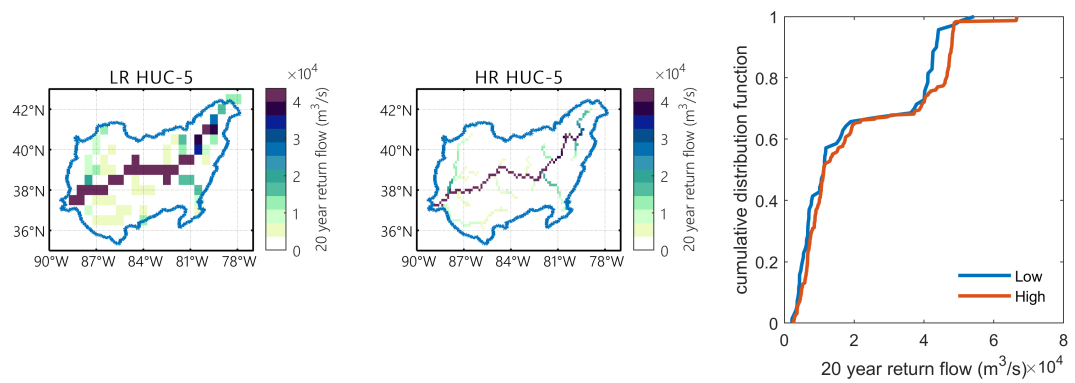
**Figure S13.** Same as Figure S12, only for the Mid Atlantic (2) region.



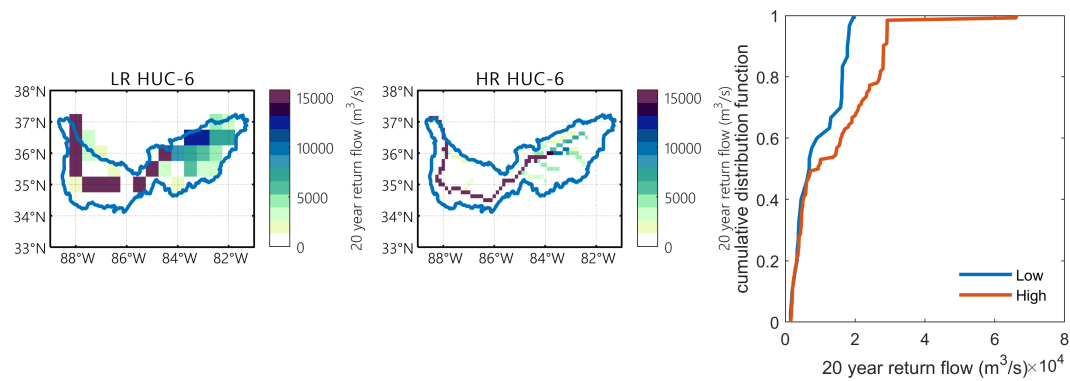
**Figure S14.** Same as Figure S12, only for the South Atlantic-Gulf (3) region.



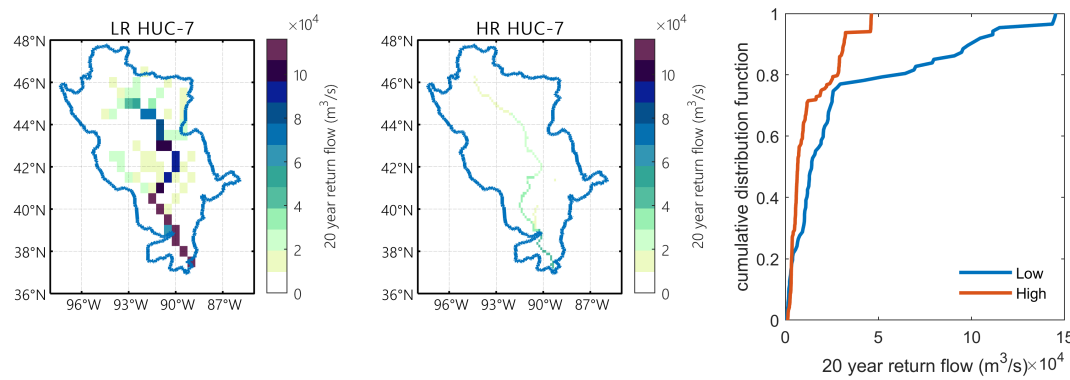
**Figure S15.** Same as Figure S12, only for the Great Lakes (4) region.



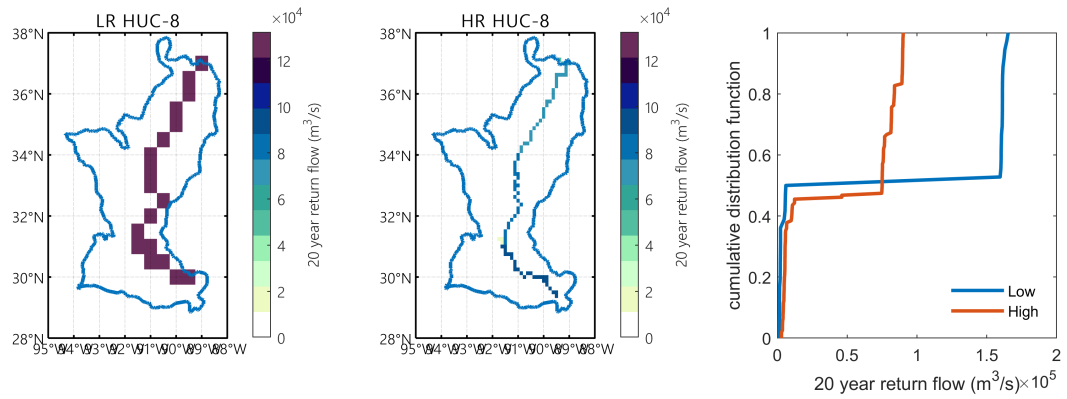
**Figure S16.** Same as Figure S12, only for the Ohio (5) region.



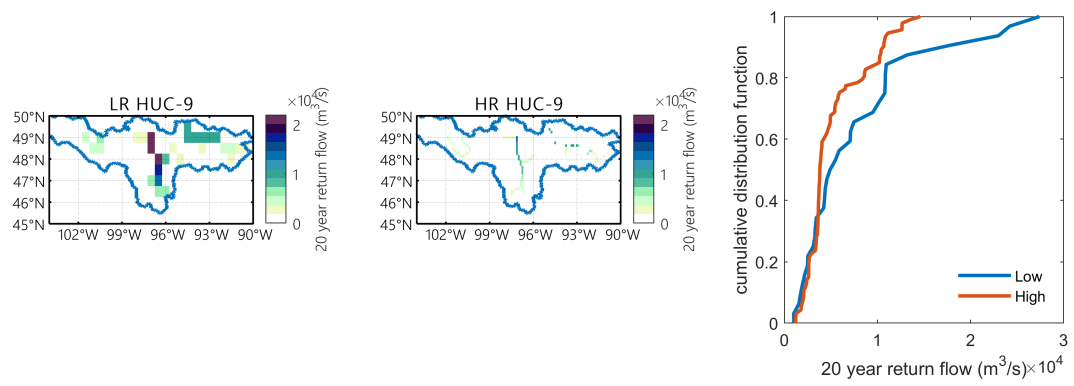
**Figure S17.** Same as Figure S12, only for the Tennessee (6) region.



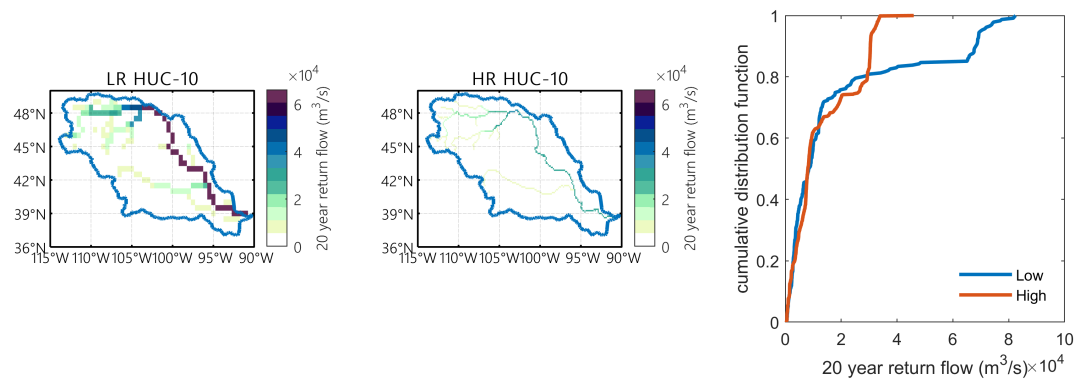
**Figure S18.** Same as Figure S12, only for the Upper Mississippi (7) region.



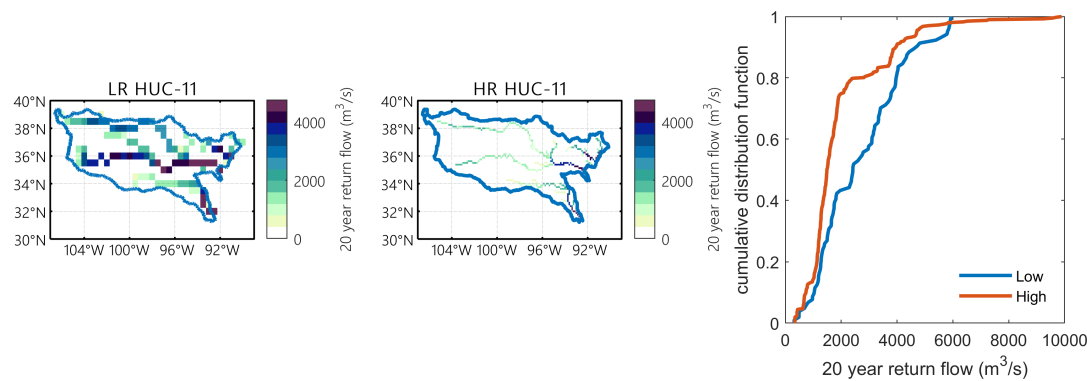
**Figure S19.** Same as Figure S12, only for the Lower Mississippi (8) region.



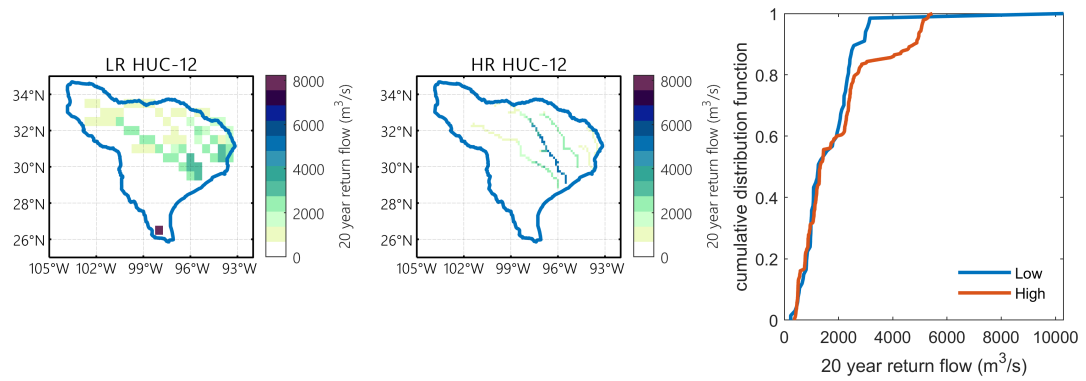
**Figure S20.** Same as Figure S12, only for the Souris-Red-Rainy (9) region.



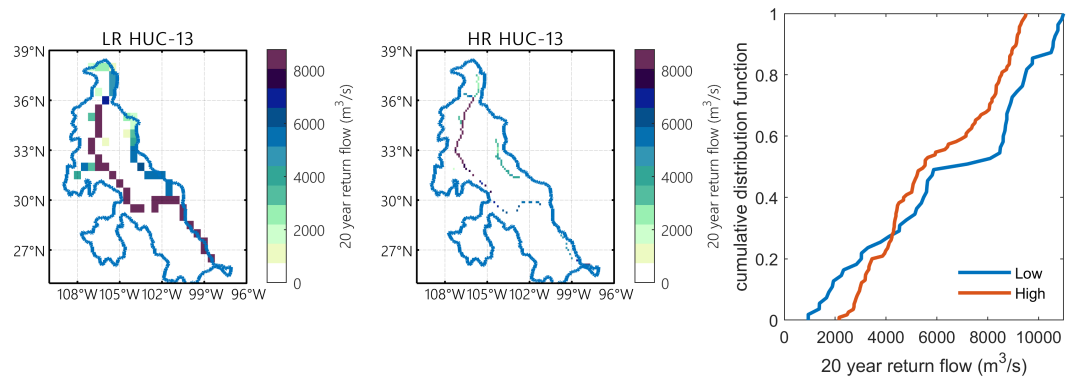
**Figure S21.** Same as Figure S12, only for the Missouri (10) region.



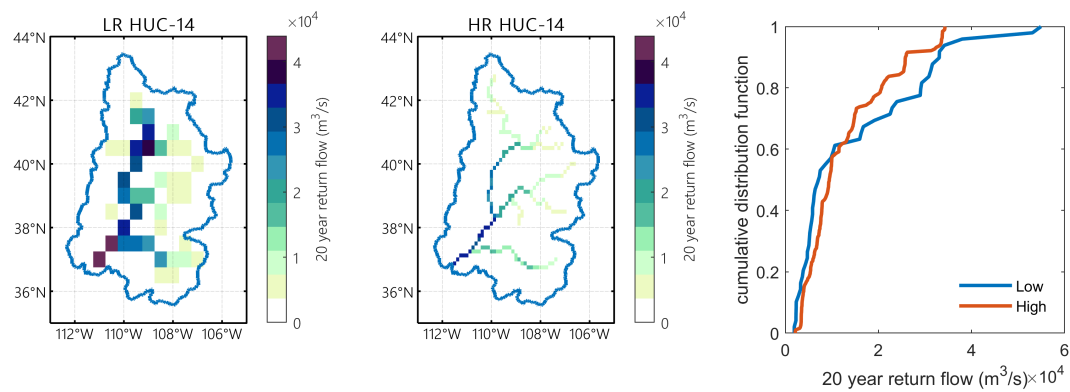
**Figure S22.** Same as Figure S12, only for the Arkansas-White-Red (11) region.



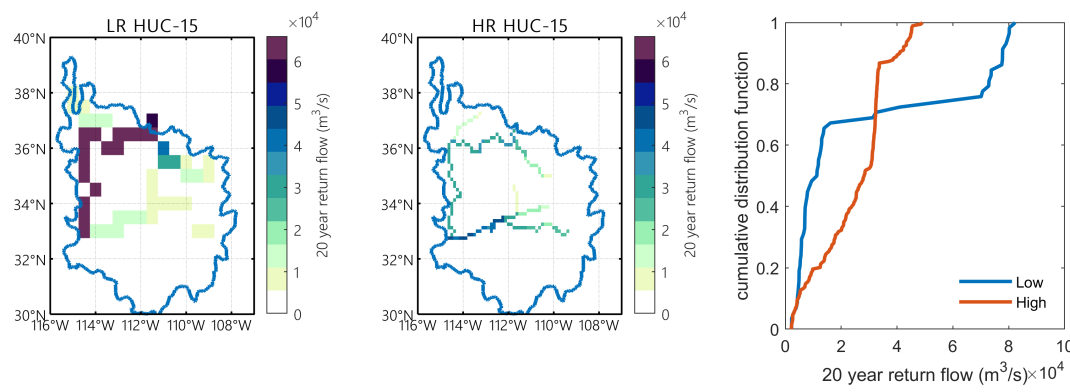
**Figure S23.** Same as Figure S12, only for the Texas-Gulf (12) region.



**Figure S24.** Same as Figure S12, only for the Rio Grande (13) region.

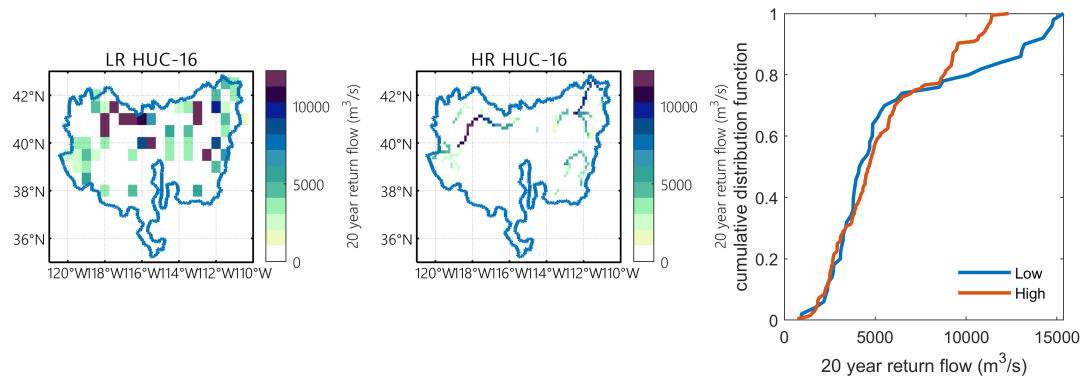


**Figure S25.** Same as Figure S12, only for the Upper Colorado (14) region.

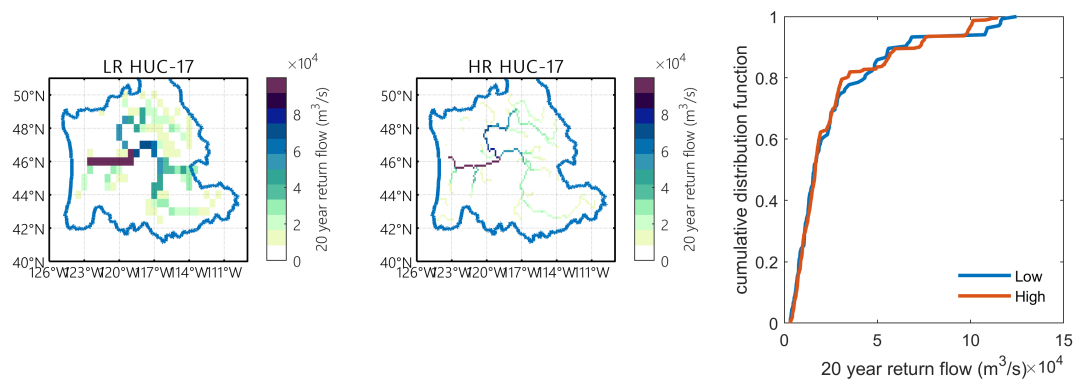


**Figure S26.** Same as Figure S12, only for the Lower Colorado (15) region.

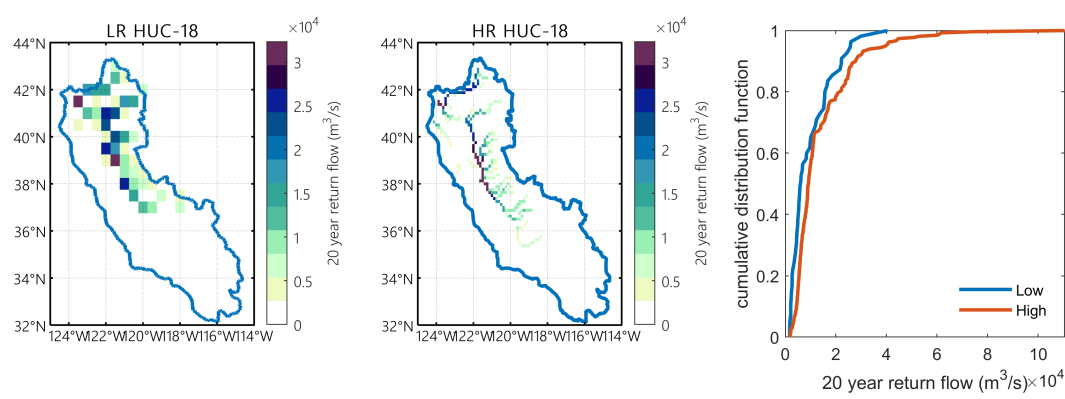




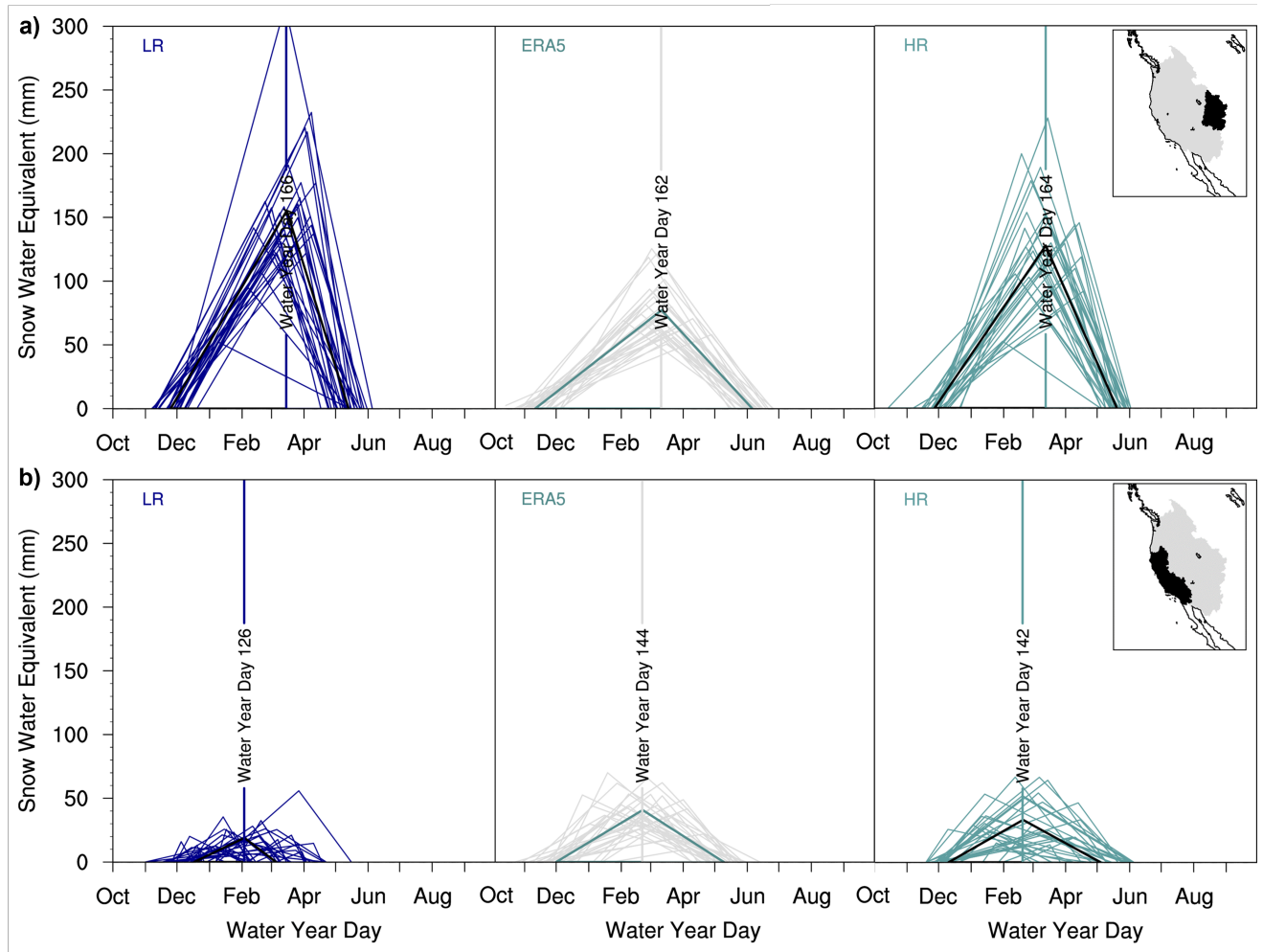
**Figure S27.** Same as Figure S12, only for the Great Basin (16) region.



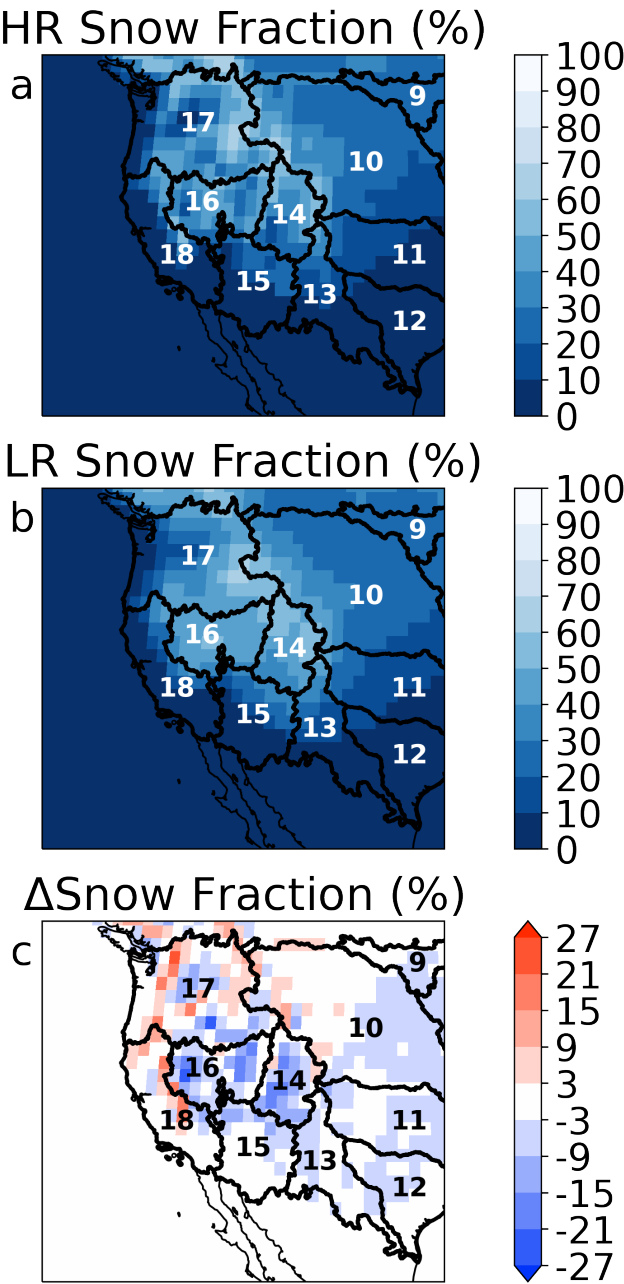
**Figure S28.** Same as Figure S12, only for the Pacific Northwest (17) region.



**Figure S29.** Same as Figure S12, only for the California (18) region.



**Figure S30.** The seasonal snow cycle is characterized by its daily snow water equivalent (SWE) and linearly decomposed using the SWE triangle methodology to assess two western United States mountainous hydrologic units, a) California and b) Upper Colorado, for the E3SM low-resolution (LR,  $1.00^\circ$ , blue) and high-resolution (HR,  $0.25^\circ$ , aquamarine) simulations spanning 1985-2014 with the climatological average SWE triangle represented in black. ERA5 is shown in gray.



**Figure S31.** Fraction of total annual mean precipitation falling as snow for HR (a), LR (b), and their difference (c). All panels have units of percent.