# Characterization of kaolinized nontronite by visible to near infrared (VNIR) reflectance spectroscopy: implication for the genesis of compositional stratigraphy on Mars

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### Abstract

Compositional stratigraphy, consisting of Al-rich kaolinite overlying Fe/Mg-richnontronite, is sporadically distributed within 40{degree sign}S to 30{degree sign}N on Mars. The compositional stratigraphy was considered a typical product of a warm and wet climate, and a window into understanding the atmospheric conditions of early Mars. However, the question remains as to whether the compositional stratigraphy was formed by chemical weathering or sedimentation. Variations in mineralogical/geochemical properties along the compositional stratigraphy can provide important clues for interpreting the genesis of the compositional stratigraphy. Visible to near infrared (VNIR) reflectance spectroscopy has been used as an effective tool to quantitatively characterize the abundance of kaolinite, nontronite, and weathering intensity in a basaltic weathering succession, as demonstrated by a terrestrial regolith profile. Nevertheless, the VNIR spectra could be influenced by primary minerals and organics in a basalt succession. To test the effectiveness of spectral parameters, the stepwise transformation of nontronite to kaolinite was experimentally modeled and quantitatively investigated using thermogravimetric (TG) and VNIR. The correlation between BD1400 and the content of OH, BD1900 and the H<sub>2</sub>O content, and BD1400/BD1900 and the OH/H<sub>2</sub>O ratio were quantitatively constrained to demonstrate their effectiveness as spectral proxies. The obtained data set was also compared with the VNIR spectra from the compositional stratigraphy on Mars, and the continuous variations of the spectral proxies suggest the compositional stratigraphy is formed by a surface chemical weathering process. Accordingly, Mars likely had a warm and wet climate that could maintain liquid water on its surface over a geologic time span.









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2	reflectance spectroscopy: implication for the genesis of compositional
3	stratigraphy on Mars
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17	Key points:
18	• VNIR reflectance spectroscopy is effective in assessing the OH and H <sub>2</sub> O content
19	in different stages of kaolinized nontronite.
20	• The VNIR data set is reliable for identifying kaolinite/ nontronite ratios and
21	weathering intensities in a basaltic weathering succession.
22	• The continuous variation of BD1400/BD1900 ratios suggest the compositional
23	stratigraphy on Mars was formed by a chemical weathering process.
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### 25 Abstract

Compositional stratigraphy, consisting of Al-rich kaolinite overlying Fe/Mg-26 27 richnontronite, is sporadically distributed within 40 °S to 30 °N on Mars. The 28 compositional stratigraphy was considered a typical product of a warm and wet climate, 29 and a window into understanding the atmospheric conditions of early Mars. However, 30 the question remains as to whether the compositional stratigraphy was formed by chemical weathering or sedimentation. Variations in mineralogical/ geochemical 31 32 properties along the compositional stratigraphy can provide important clues for 33 interpreting the genesis of the compositional stratigraphy. Visible to near infrared (VNIR) reflectance spectroscopy has been used as an effective tool to quantitatively 34 characterize the abundance of kaolinite, nontronite, and weathering intensity in a 35 36 basaltic weathering succession, as demonstrated by a terrestrial regolith profile. Nevertheless, the VNIR spectra could be influenced by primary minerals and organics 37 in a basalt succession. To test the effectiveness of spectral parameters, the stepwise 38 39 transformation of nontronite to kaolinite was experimentally modeled and quantitatively investigated using thermogravimetric (TG) and VNIR. The correlation 40 41 between BD1400 and the content of OH, BD1900 and the H<sub>2</sub>O content, and BD1400/BD1900 and the OH/H<sub>2</sub>O ratio were quantitatively constrained to demonstrate 42 their effectiveness as spectral proxies. The obtained data set was also compared with 43 the VNIR spectra from the compositional stratigraphy on Mars, and the continuous 44 45 variations of the spectral proxies suggest the compositional stratigraphy is formed by a

- 46 surface chemical weathering process. Accordingly, Mars likely had a warm and wet47 climate that could maintain liquid water on its surface over a geologic time span.
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# 49 Plain Language Summary

50 Compositional stratigraphy consisting of kaolinite overlying nontronite is an 51 intriguing landscape on the surface of Mars. However, it is still unclear how the compositional stratigraphy is formed. An analogous study suggests that it may have 52 53 been formed by top-down chemical weathering as is the case with a terrestrial regolith 54 profile, since the features of spectral proxies BD1400/BD1900 in the compositional stratigraphy become progressively stronger from bottom to top. Nevertheless, the 55 aforementioned spectral proxies could potentially be influenced by variations in 56 57 protolithic minerals and/or organics in the basaltic succession. To test the effectiveness of spectral parameters on weathering intensity in a basaltic succession and to discuss 58 59 the genesis of compositional stratigraphy, the stepwise transformation of nontronite to 60 kaolinite was experimentally modeled and quantitatively investigated using TG and VNIR. Accordingly, the gradual upward increasing trend of VNIR spectra ratios 61 62 BD1400/BD1900 in the compositional stratigraphy on the Mars reflect gradual decomposition of nontronite, formation of kaolinite and increasing weathering intensity. 63 Therefore, the compositional stratigraphy on the Mars is likely formed by a surface 64 chemical weathering process and Mars likely experienced a warm and wet climate 65 66 capable of sustaining liquid water on its surface over a geologic time span.

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### 68 **1 Introduction**

Clay minerals have been discovered at numerous sites on the Martian surface, 69 70 including the Nili Fossae region, north of the Syrtis Major volcanic plateau, in the 71 Mawrth Vallis region, and Gale Crater (Bibring et al., 2006; Bristow et al., 2021; Carter 72 et al., 2013; Ehlmann et al., 2011a, 2011b; Viviano et al., 2013). One of the most 73 exciting discoveries is the compositional stratigraphy ubiquitous in the Eridania Basin, Noachis Terra, Nili Fossae, Valles Marineris and Mawrth Vallis (Figure 1a), located 74 75 within 40 S to 30 N (Bishop et al., 2018; Carter et al., 2015; Gaudin et al., 2011; 76 Michalski et al., 2010, 2013; Wray et al., 2008, 2009). Most of the compositional stratigraphy on the Mars developed from the Late Noachian to the Early Hesperian, and 77 78 is characterized by Al-rich kaolinite and underlying Fe/Mg nontronite (Figure 1b) 79 (Carter et al., 2015; Gaudin et al., 2011; Liu et al., 2021b; Michalski et al., 2013).

The compositional stratigraphy was considered to be detrital phyllosilicate 80 sediments deposited in lacustrine, alluvial, and aeolian environments due to their 81 82 layered appearance and served as lithologic evidence for the presence of lakes on the ancient terrains of Mars (Bishop et al., 2020; Lowe et al., 2020; McKeown et al., 2009; 83 84 Michalski et al., 2010; Michalski & Noe Dobrea, 2007). Nevertheless, the genesis of compositional stratigraphy has also been attributed to top-down chemical weathering 85 of the Fe/Mg-clay bearing crust or to basaltic deposition under different climatic 86 conditions, as the contact between the different Al-rich and Fe/Mg-rich clay units is 87 conformable (Bishop et al., 2018; Carter et al., 2015; Ehlmann et al., 2013; Gainey et 88 al., 2014; Gaudin et al., 2011; Liu et al., 2021b; Ye & Michalski, 2021). 89



### 90

91 Figure 1. Mineral distribution and infrared spectroscopy of a compositional stratigraphy profile in 92 the Mawrth Vallis region, Mars. (a) View of typically compositional stratigraphy, *i.e.*, Al-clays (blue 93 tone) overlying Fe-clays clays (brown tone), in a Muara crater wall at Mawrth Vallis region (HiRISE 94 image, PSP\_004052\_2045, located at 340.68° E, 24.35° N, data from Planetary Data System); (b) 95 Comparison between CRISM spectra of Al-clays (blue) and Fe-clays clays (brown) and reference 96 spectra of nontronite and kaolinite. Note that the spectrum of Fe clays is identical to nontronite, and 97 the spectrum of Al-clays is identical to kaolinite. The reference spectra of kaolinite and nontronite were collected from the Maoming Kaolin Clay Company in Guangdong Province, China, and the 98 99 Uley Graphite Mine in South Australia, respectively.

101	A proper constraint on the genesis of compositional stratigraphy is a necessary
102	prerequisite for considering it as a window to understanding the past climate and
103	atmospheric conditions of the early Mars (Gaudin et al., 2011; Liu et al., 2021b).
104	Visible/near infrared (VNIR) reflectance spectra provide by far the most reliable and
105	effective data set for identifying chemical and mineralogical features of stratigraphy on
106	the Mars (Chauvir éet al., 2021; Cuadros et al., 2016; Fox et al., 2021; Michalski et al.,
107	2015; Pineau et al., 2022; Viviano-Beck et al., 2014). The absorption bands at ~1400,
108	~1900. ~2200 and ~2290 nm are essentially related to OH (octahedral metal-OH, metal

109 = Al, Fe and Mg) and H<sub>2</sub>O (interlayer water) of kaolinite and nontronite (Bibring et al.,

110 2005; Ehlmann et al., 2013; Pineau et al., 2022). Accordingly, remote sensing VNIR

spectra show that the compositional stratigraphy is characterized by Al-rich kaolinite

and underlying Fe/Mg-rich nontronite (Figure. 1b) (Carter et al., 2015; Ehlmann et al.,

113 2013; Gaudin et al., 2011; Liu et al., 2021b; Michalski et al., 2013).

114 Analogous to the compositional stratigraphy on the Mars, some terrestrial basalt weathering successions evolve from nontronite of 2:1 type to kaolinite of 1:1 type due 115 116 to progressive chemical weathering (Gaudin et al., 2011; Liu et al., 2021a; Prudencio 117 et al., 2002). During the chemical weathering, hydrolysis leads to the formation of OH by the reaction of water with Al and/ or Fe in the octahedral sheet of kaolinite, and 118 leaching tends to reduce both alkaline (earth) elements and H<sub>2</sub>O (interlayer water) 119 120 within the interlayer of nontronite (Cuadros & Michalski, 2013; Liu et al., 2021a). Therefore, the changes in the content of OH and H<sub>2</sub>O during the transformation of 121 nontronite to kaolinite are essentially related to the weathering intensity of a basaltic 122 123 weathering succession (Liu et al., 2021a). It was suggested that the VNIR spectral proxies for variations of OH and H<sub>2</sub>O, e.g., BD1400/BD1900, were positively 124 125 correlated with the weathering intensity of terrestrial weathering successions (Liu et al., 126 2021a;). The early study also suggested that the compositional stratigraphy in Mawrth Vallis was likely formed by chemical weathering in view of the upward increasing trend 127 of BD1400/BD1900 (Liu et al., 2021b). However, a weathering succession may include 128 129 sections formed from protoliths with systematic variations in minerals and/or organics, which may affect absorption features at ~1400 and ~1900 nm (Liu et al., 2021a; Tan et 130

al., 2022). Therefore, whether the band depth of the VNIR absorption and their ratios
are due to chemical weathering still remains an open question, and a more reliable and
quantitative correlation should be defined to interpret the genesis of compositional
stratigraphy on the Mars.

135 The correlation between VNIR spectral features and weathering intensity of basaltic weathering succession can be quantified using different stages of kaolinized nontronite, 136 whose OH and H<sub>2</sub>O variations can be quantitatively analyzed by thermogravimetric 137 138 analysis (TG). In the present study, the transformation of nontronite to kaolinite was 139 modeled experimentally, avoiding the influences of other minerals and organics in 140 natural samples. The kaolinized nontronite samples with different OH and H<sub>2</sub>O contents were characterized using a combination of X-ray diffraction (XRD), scanning electron 141 142 microscopy (SEM), TG and VNIR. The relationships between OH and BD1400, H<sub>2</sub>O content and BD1900, and the suitability of BD1400/BD1900 for characterizing 143 144 weathering intensity (OH/H<sub>2</sub>O) were quantitatively investigated. The obtained dataset 145 allows us to better interpret the near-infrared remote sensing data from Mawrth Vallis on Mars and discuss the genesis of compositional stratigraphy. Our study provides a 146 147 quantitative correlation between VNIR parameters and weathering intensities of basaltic weathering successions. The obtained results shed light on whether or not 148 compositional stratigraphy is related to top-down chemical weathering, which is critical 149 to understanding the climate and atmospheric conditions of the early Mars. 150

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# 152 **2 Sample preparation**

A series of kaolinized nontronite samples were prepared by converting nontronite 153 154 to kaolinite with different experimental durations, according to the experiment of Li et al. (2020). The light green colored raw nontronite is from the Uley Graphite Mine in 155 156 South Australia and is described as NAu, and the chemical formula can be denoted as 157 M<sup>+</sup>1.05 [Al<sub>0.26</sub>Fe<sub>3.71</sub>Mg<sub>0.03</sub>] [Si<sub>6.97</sub>Al<sub>1.03</sub>] O<sub>20</sub> (OH)<sub>4</sub> (Ding & Frost, 2002; Frost et al., 2002). In this study, 0.5 g of N<sub>Au</sub> was added to 20 mL of 0.1 mol L<sup>-1</sup> AlCl<sub>3</sub> solution and 158 transferred to a 250 mL para-phenylene lined autoclave. Given that the duration of these 159 160 transformations at room temperature may exceed the experimentally observable duration, we performed the transformation experiments at 250 °C for 6, 12, 18, 24, 36, 161 48, 96, and 144 hrs to accelerate the transformation of nontronite to kaolinite (Li et al., 162 163 2020). The obtained products were washed with deionized water and centrifuged at 11,000 rpm, and dried at 80 °C for 24 hrs, denoted as NAu-X, where X refers to the 164 experimental duration. 165

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### 167 **3 Analytical Methods**

168 *X-ray diffraction (XRD).* XRD analysis was performed on a Rigaku MiniFlex-600 169 X-ray diffractometer with Cu/K $\alpha$  radiation and a Ni filter operating at a current of 15 170 mA and voltage of 40 kV. The specimens were scanned from 3° to 70° (2 $\theta$ ) with a step 171 size of 0.02 ° and a scanning rate of 10 %min.

Scanning electron microscopy (SEM). SEM images were obtained using an
Analytical Scanning Electron Microscope (TESCAN MIRA 3) equipped with an energy

dispersive X-ray spectrometer (EDS) operated at an accelerating voltage of 20 kV and
working distance (WD) of 15-16 mm.

176 Thermogravimetric analysis (TG). TG analyses were conducted on a Netzsch STA 409PC instrument at the Key Laboratory of Mineralogy and Metallogeny of the 177 Guangzhou Institute of Geochemistry, Chinese Academy of Sciences (GIGCAS). 178 179 About 10 mg of the samples were heated in a corundum crucible. The samples were heated from 30 to 1000  $^{\circ}$ C at a heating rate of 10  $^{\circ}$ C/min in an N<sub>2</sub> atmosphere (60 cm<sup>3</sup>) 180 /min). The differential thermogravimetric (DTG) curves were derived from the TG data. 181 182 Visible/near infrared (VNIR) reflectance spectroscopy. VNIR reflectance spectroscopy spectra were acquired at wavelengths from 350-2500 nm using an 183 Analytical Spectral Devices (ASD) Terraspec-4 spectrometer at University of Hong 184 185 Kong (Hong Kong, China), and the spot size is ~20 mm. Reflectance was measured relative to a white reflectance standard Spectral on plate. One hundred scan acquisitions 186 were set to yield an average spectrum for each measurement to improve the signal-to-187 noise ratio. The ASD spectral files were splice corrected and averaged using the 188 ViewSpecPro (version 6.0) software and then converted to ASCII format. Spectral 189 parameters (e.g., band depth) were calculated according to Liu (2021a, 2021b) and 190 Viviano-Beck (2014). 191

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### 193 **4 Results**

194 4.1. Phases and morphology of different stages of kaolinized nontronite

195 Based on the mineral composition and transformation duration of nontronite to kaolinite, the samples can be divided into three stages. The Stage I samples (NAu, NAu-196 197 <sub>6h</sub> and N<sub>Au-12h</sub>) were dominated by nontronite, which corresponded to the infantile weathering stage of nontronite. The Stage II samples (NAu-18h, NAu-24h, NAu-36h and NAu-198 48h) were composed of nontronite, hematite and kaolinite, corresponding to the 199 intermediate weathering stage of nontronite. The Stage III samples (NAu-96h and NAu-200 201 144h) consisted of kaolinite, hematite, and little nontronite, corresponding to the advanced weathering stage of nontronite. 202





Figure 2. (a) XRD patterns (CuKα) of different stages of kaolinized nontronite; (b) Enlarged XRD
patterns of (060) region from the dotted rectangle in (a). Note, the samples are divided into Stage I
(N<sub>Au</sub>, N<sub>Au-6h</sub> and N<sub>Au-12h</sub>), Stage II (N<sub>Au-18h</sub>, N<sub>Au-24h</sub>, N<sub>Au-36h</sub> and N<sub>Au-48h</sub>) and Stage III (N<sub>Au-96h</sub> and
N<sub>Au-144h</sub>) based on the mineral composition and the duration of experiment.

208	The Stage I samples showed characteristic reflections at ~14.8 and ~1.52 Å (Figure
209	2), corresponding to the (001) and (060) reflections of nontronite (Fernandez-Caliani et
210	al., 2004; Kawi & Yao, 1999). SEM observations showed that the Stage I samples
211	exhibited plate-like morphology with jagged grain boundaries (Figure 3a), which is a
212	typical feature of smectite group minerals (Zhang et al., 2017). The Stage I samples
213	showed gradually weakening reflections of nontronite (e.g., 1.52 Å) with increasing
214	duration of the experiment (Figure 2b), indicating a decrease in nontronite content. In
215	addition, $N_{Au\text{-}6h}$ and $N_{Au\text{-}12h}$ showed characteristic reflections of boehmite at ~6.1 Å
216	(Figure 2a), a common phase precipitated from $Al^{3+}$ -bearing solution (Li et al., 2020).
217	Compared to the Stage I samples, the Stage II samples showed three additional
218	reflections at ~7.2, ~3.6 and ~1.49 Å (Figure 2), corresponding to the (001), (002) and
219	(060) reflections of kaolinite, respectively. Accordingly, the reflections of nontronite
220	gradually decreased at ~14.8 and ~1.52 Å. It is noteworthy that the Stage II samples
221	also displayed two reflections at d-values of ~2.7 and ~2.5 Å (Figure 2a), corresponding
222	to the (104) and (110) reflections of hematite, respectively. Well crystallized kaolinite
223	and hematite were ubiquitous in the Stage II (Figures. 3b-c). As the transformation of
224	nontronite to kaolinite progressed, the reflection intensities of both hematite and
225	kaolinite gradually increased (Figure 2), indicating an increase in their abundance.
226	Moreover, the grain size of kaolinite in the Stage II samples gradually increased from
227	~2 $\mu$ m (N <sub>Au-24h</sub> ) to ~6 $\mu$ m (N <sub>Au-48h</sub> ) (Figures. 3b-c).

Compared to the Stage II samples, the Stage III samples showed prominent
reflections of kaolinite at ~7.2, ~3.6 and ~1.49 Å, and hematite at ~2.7 and ~2.5 Å, and

these reflections were sharper compared to the Stage II samples (Figure 2). In contrast, the reflections of nontronite were negligible (Figure 2), indicating that the Stage III samples were composed mainly of kaolinite and hematite with little nontronite. The (060) reflection of kaolinite occurred at 1.49 Å (Figure 2b), which corresponded to the Al-infilling oxygen octahedral sheets of kaolinite. Accordingly, the Stage III samples, e.g., N<sub>Au-96h</sub>, showed well-crystallized kaolinite layers (Figure 3d).



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Figure 3. Scanning electron microscopic images of different stages of kaolinized nontronite. (a)
 nontronite particle in sample N<sub>Au</sub>; (b) kaolinite particle in sample N<sub>Au-24h</sub>; (c) kaolinite and hematite
 particle in sample N<sub>Au-48h</sub>; and (d) kaolinite particle in sample N<sub>Au-96h</sub>.

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# 241 4.2 Hydroxyl (OH) and water molecule (H<sub>2</sub>O) contents

242 Nontronite generally showed significant mass losses in the range from room243 temperature to ca. 300 °C, which originates from loss of interlayer water associated with

interlayer cations (*e.g.*,  $K^+$ , Na<sup>+</sup>, and Ca<sup>2+</sup>). Significant mass losses at temperature above 300 °C can be attributed to dehydroxylation of clay minerals (He et al., 2017; Li et al., 2020; Zhang et al., 2017). Accordingly, the OH and H<sub>2</sub>O contents of the three stages of samples can be quantified using the TG analysis based on the mass loss caused by dehydration and dehydroxylation (Figure 4).



Figure 4. TG and DTG curves collected from different stages of kaolinized nontronite. The solid
line is the curves of DTG and the dashed line is the curves of TG. The colors of TG and DTG curves
correspond to the sample stage colors in Figure 2.

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The TG and DTG curves of the Stage I samples showed two major mass losses at 100–120  $^{\circ}$  and 455–500  $^{\circ}$  (Figures 4a-c), corresponding to the dehydration and dehydroxylation temperatures of nontronite, respectively (Ding & Frost, 2002). In general, the Stage I samples had a higher dehydration temperature (Figures 4a-c). The

258 Stage I samples generally lost about 15 wt.% mass at 30-300 °C due to dehydration, and about 6 wt.% mass at 300–700  $^{\circ}$  due to dehydroxylation (Figures 4a-c). 259 260 The Stage II samples generally had a lower dehydration temperature compared to 261 the Stage I samples. In particular, the TG and DTG curves of the Stage II samples 262 showed significant mass loss at about 90 and 500 °C (Figures 4d-g), corresponding to 263 the dehydration and dehydroxylation temperatures of the nontronite and kaolinite mixture. As the transformation of nontronite to kaolinite progressed, the dehydration 264 temperatures gradually decreased from 97 to 76  $^{\circ}$ C and the main dehydroxylation 265 266 temperature occurred at ~500 ℃. Calculations showed that the mass losses of dehydration were 4–10 wt.% and the mass losses of dehydroxylation were 7–10 wt.% 267

268 (Figures 4d-g).

The Stage III samples had different dehydration temperatures and mass losses during dehydration and dehydroxylation compared to the Stage II samples. The TG and DTG curves of the samples from the Stage III showed two prominent mass loss temperatures at ~64 and ~500  $\mathbb{C}$  (Figures 4h-I), corresponding to the dehydration and dehydroxylation of kaolinite, respectively. In addition, the samples from the Stage III exhibited ~3 wt.% mass loss due to dehydration at 30–300  $\mathbb{C}$  and ~10 wt.% mass losses due to dehydroxylation at 300–700  $\mathbb{C}$  (Figures 4h-I).

276 4.3 VNIR spectra of kaolinized nontronite

VNIR spectroscopy (350–2500 nm) includes the visible (350–1000 nm) and near
infrared (1000–2500 nm) regions (Hunt, 1977; Hunt & Ashley, 1979). In this study, the

absorption bands at 350–1000 nm are likely due to the electronic transitions of  $Fe^{3+}$  in

nontronite and hematite. The absorption bands at 1000–2500 nm corresponded to the
overtone and combination of water and metal-OH (metal=Al, Fe and Mg) in nontronite

and kaolinite (Bishop et al., 2002, 2008; Cuadros et al., 2016; Fox et al., 2021).





284 Figure 5. Visible/near-infrared reflectance spectra of different stages of kaolinized nontronite. (a) 285 Continuum-removed reflectance spectra of Fe-related bands within the range of 350-1200 nm; (b) Continuum-removed reflectance spectra of OH at the band of 1200-1600 nm; (c) Continuum-286 287 removed reflectance spectra at the band of 1600-2500 nm, and show a decrease trend of the 288 absorption band at 1900 nm (d) Second derivative curves of OH at the band of 1200-1600 nm and 289 show an increase trend of the absorption band at 1410 nm. The spectra have been offset for clarity 290 but are shown on a common scale for band intensity comparison. The colors of spectral curves 291 correspond to the sample stage colors, referring to figure 2.

292 Spectra of the Stage I samples showed characteristic absorptions of nontronite at ~950, ~1430, ~1900 and ~2290 nm (Figure 5a). The absorption at ~950 nm 293 corresponded to the  $Fe^{3+}$  electronic transitions of nontronite. The absorption at ~1430 294 nm was the first overtone of the Fe<sup>3+</sup>Fe<sup>3+</sup>-OH stretching of nontronite. The band at 295 296 ~1900 nm corresponded to a combination of stretching and bending vibrations of the 297 interlayer H<sub>2</sub>O. Furthermore, the absorption at ~2290 nm was from the combination of stretching and bending vibrations of Fe<sup>3+</sup>Fe<sup>3+</sup>-OH and was the diagnostic absorption of 298 nontronite (Bibring et al., 2005; Bishop et al., 2008; Ehlmann et al., 2009). The 299 300 absorption depth at ~2290 nm of the samples gradually decreased with progressive transformation of nontronite to kaolinite (Figure 5c). 301

The Stage II samples showed additional characteristic absorptions at ~1390, ~1410, 302 303 ~2160 and ~2210 nm (Figures 5b-c). The absorptions at ~1390 and ~1410 nm were derived from the first overtone of AlAl-OH stretching, and the absorptions at ~2160 304 and ~2210 nm can be attributed to the combination of stretching and bending vibrations 305 306 of AlAl-OH in kaolinite. The Stage II samples also showed characteristic absorption of hematite at  $\sim$ 530 and  $\sim$ 860 nm, which can be attributed to electron transitions from Fe<sup>3+</sup>. 307 308 As the transformation of nontronite to kaolinite progressed, the Stage II samples showed a gradual increase in the absorption intensity of hematite at ~530 nm and 309 kaolinite at ~1410 nm (Figures 5a & d). Correspondingly, the absorption of nontronite, 310 *e.g.*, at ~1430 nm, was gradually weakened (Figure 5d). 311

The absorption of nontronite (*e.g.*, ~1430 nm) in the Stage III samples was further
weakened and disappeared (Figure 5d), while the absorption of kaolinite and hematite

was gradually enhanced (Figure 5). Specifically, the Stage III samples showed the
absorption of hematite at ~530 and ~860 nm and that of kaolinite at ~1390, ~1410,
~2160 and ~2210 nm.

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### 318 **5 Discussion**

319 5.1. Transformation of nontronite to kaolinite in basaltic weathering successions

Weathering of basalt is dominated by the surface process of top-down leaching, 320 which leads to decomposition of rock-forming minerals (*e.g.*, olivine and pyroxene) 321 322 and subsequent formation of secondary minerals (e.g., nontronite and kaolinite) (Liu et al., 2021a; Nahon et al., 1982). Chemical reactions between water and basalt tend to 323 convert anhydrous materials, e.g., olivine and pyroxene, to the clay mineral nontronite 324 325 of type 2:1 at the stage of early weathering, by leaching composition elements (e.g., Ca, Mg and Si) and inducing OH and H<sub>2</sub>O (Cuadros & Michalski, 2013; Ehlmann et al., 326 2011b; Nahon et al., 1982; Wilson, 2004). H<sub>2</sub>O tends to form complexes with interlayer 327 cations (e.g.,  $K^+$ ,  $Na^+$ ,  $Ca^{2+}$ ) within the interlayer sites of nontronite, whereas OH is 328 likely bound to the Al/Fe/Mg-O octahedral sheet of nontronite (Cuadros & Michalski, 329 330 2013).

In the context of the weathering profile, nontronite is a transient phase that forms in weathered rocks (Wilson, 2004). Basaltic weathering successions generally show a gradual increase in the amount of kaolinite from bottom to top due to progressive weathering, as evidenced by the transitional zones containing different proportions of nontronite and kaolinite in basaltic weathering successions formed under warm climates (Gaudin et al., 2011; Prudencio et al., 2002). During the transformation of
nontronite to kaolinite, more OH is incorporated into the clay mineral structure by
hydrolysis, while H<sub>2</sub>O in the interlayer, cations, and Si-O tetrahedral sheets tend to be
gradually leached (Li et al., 2020). As a result, the transformation of nontronite to
kaolinite mainly involves changes in the OH/H<sub>2</sub>O and Si/Al ratios in the clay minerals,
which are essentially positively correlated with the weathering intensity of a basaltic
weathering succession (Liu et al., 2021a).

343

344 5.2. Change of H<sub>2</sub>O and OH content in the transformation of nontronite to kaolinite Sustained leaching of Si from nontronite lowers the Si/Al ratio in the mineral-water 345 346 reaction system, facilitating the transformation of nontronite to kaolinite. In the present 347 study, a similar transformation process is modeled experimentally by lowering the Si/Al ratio of the nontronite-water reaction system with external Al<sup>3+</sup>. With increased reaction 348 time, the initial mineral nontronite is gradually transformed into a mixture of nontronite 349 and kaolinite, and finally to kaolinite (Figures 2 & 3), which is consistent with clay 350 mineral transformation in a basaltic weathering succession (Gaudin et al., 2011; Liu et 351 352 al., 2021a; Prudencio et al., 2002).

In the transformation of nontronite to kaolinite, nontronite is the main host of H<sub>2</sub>O bound with its interlayer cations, whereas kaolinite contains few interlayer cations and H<sub>2</sub>O (Cuadros, 1997; Ding & Frost, 2002; Fernandez-Caliani et al., 2004; Fox et al., 2021; Pineau et al., 2022). Analysis of TG shows that the H<sub>2</sub>O concentration of the transformation products gradually decreases from ~15 wt.% in the Stage I samples to 358 4-10 wt.% in the Stage II samples and to ~3 wt.% in Stage III samples (Figure 4 and Figure 6a). Furthermore, the dehydration temperature systematically shifts to lower 359 360 temperatures as the transformation of nontronite to kaolinite progresses, from 100-120 °C in the Stage I samples to ~76–97 °C in the Stage II samples, and finally to ~64 °C 361 362 in Stage III samples (Figure 4 and Figure 6b). The decrease in dehydration temperature 363 indicates that less energy is required to break the hydrogen bond between the  $H_2O$ molecules in the nontronite (Madejov áet al., 2002), corresponding to a decrease in the 364 abundance of nontronite in the transformation products (Figure 2&3). Thus, the 365 366 decrease in H<sub>2</sub>O content is consistent with the abundance of nontronite during the progressive transformation of nontronite into kaolinite. 367

368 The transformation of nontronite to kaolinite also leads to significant changes in 369 the OH content of the different stages of kaolinized nontronite. The kaolinite has 8 hydroxyl groups per complete structural formula, whereas nontronite has only 4 370 hydroxyl groups (Cuadros et al., 2019; Li et al., 2020). Thus, one half of the Si-O 371 tetrahedral sheets should be lost and hydroxyl groups induced when nontronite 372 transforms to kaolinite. The analyses of TG show that the content of OH gradually 373 increases from ~6 wt.% to ~10 wt.% as the transformation of nontronite to kaolinite 374 progresses (Figure 4 and Figure 6c). Thus, the increase in OH content is consistent with 375 the abundance of kaolinite during the progressive transformation of nontronite into 376 kaolinite. 377



379

**Figure 6**. Change of H<sub>2</sub>O and OH content in the transformation of nontronite to kaolinite. (a) The mass losses of dehydrations of different stages of nontronite  $\rightarrow$  kaolinite transformation products; (b) The dehydrations temperature of different stages of nontronite  $\rightarrow$  kaolinite transformation products; and (c) The mass losses of dehydroxylations in different stages of nontronite  $\rightarrow$  kaolinite transformation products.

385

# 386 5.3. Spectral proxies for kaolinized nontronite

387 Previous studies suggest that interlayer cations and the amount of interlayer water

determine the VNIR spectral features at band of 1900 nm (Bishop et al., 1994).

389 Nevertheless, interlayer cations of clay minerals only have indirect effects on ~1900 nm absorption by affecting the amount of H<sub>2</sub>O molecules bound on them (Bishop et al., 390 391 1994). In the present study, the band depth at ~1900 nm (BD1900) gradually decreases 392 as the transformation of nontronite to kaolinite progresses (Figure 5c). Remarkably, BD1900 shows a positive linear correlation ( $R^2=0.82$ ) with the H<sub>2</sub>O content in the 393 394 different stages of kaolinized nontronite (Figure 7a). Since nontronite is the major host of H<sub>2</sub>O, the BD1900 can serve as an effect spectral proxy to quantify the abundance of 395 396 nontronite in the transformation products.

397 The band position of OH absorption at 1400 nm is affected by the electronegativity and ionic radius of the octahedral cations (Mart nez-Alonso et al., 2002). The VNIR 398 absorption at ~1400 nm possible includes three bands originating from metal-OH 399 400 vibrations in kaolinite and nontronite, *i.e.*, 1390 nm, 1410 nm, and 1430 nm (Figure 5b&d). The band at 1390 nm originates from the first overtone of inner-surface 401 hydroxyl groups' stretching vibration of AlAl-OH in kaolinite, the band at 1410 nm 402 originated from the first overtone of inner hydroxyl groups' stretching vibration of 403 AlAl-OH in kaolinite (Tan et al., 2022), and the band at near 1430 nm derived from 404 stretching vibration of Fe<sup>3+</sup>Fe<sup>3+</sup>-OH in nontronite (Bishop et al., 2008; Ehlmann et al., 405 2009). Therefore, the band depths at 1390 and 1410 nm tend to increase, while the band 406 depth at 1430 nm decreases as the transformation of nontronite to kaolinite progresses 407 (Figures 5b & d). As a result, the band depth at ~1400 nm (BD1400) poorly correlates 408 409 with the concentrations of OH in the different stages of kaolinized nontronite, due to the interference of the three bands (Figure 7b). In contrast, the spectral proxy 410

411 H1410\_2nd (height of second derivative curves at the band of 1410 nm) gradually

412 increases (Figure 5d) and shows a positive linear correlation ( $R^2=0.96$ ) with the content

413 of OH in the kaolinized nontronite (Figure 7c).



415 **Figure 7**. Spectral proxies of H<sub>2</sub>O and OH content in the nontronite  $\rightarrow$  kaolinite transformation. (a)

- 416 Plots of the spectral parameters BD1900 versus  $H_2O$  content; (b) Plots of the spectral parameters
- 417 BD1400 versus OH content; and (c) Plots of the spectral parameters H1410\_2nd versus OH content.
- 418

419 5.4 Spectral proxies for weathering intensity of basaltic successions

Progressive chemical weathering of terrestrial basalt results in replacement of 420 421 nontronite with kaolinite, leading to an increase in OH content and a concomitant decrease in H<sub>2</sub>O content (Figure 4&6). Thus, the ratio of OH to H<sub>2</sub>O content is 422 423 essentially related to the weathering intensity of a basaltic weathering succession and 424 increases gradually (Figure 8a). The present study has shown that the content of  $H_2O$ and OH can be quantitatively assessed by the spectral proxies BD1900 (Figure 7a) and 425 H1410 2nd (Figure 7c), respectively. In particular, the ratio of H1410 2nd to BD1900 426 (H1410 2nd/BD1900) shows a strong positive correlation ( $R^2=0.98$ ) with weathering 427 intensity (OH/H<sub>2</sub>O, Figure 8b) and the abundance ratio of kaolinite to nontronite 428 (Kln/Non, Figure 8c). Therefore, the weathering intensity of a basaltic weathering 429 430 succession can be well estimated by the spectral proxy H1410\_2nd/BD1900 (Figure 8c;  $R^2 = 0.91$ ). 431

Specifically for CRISM spectra collected from the surface of Mars, the data set has 432 433 a relatively low signal-to-noise ratio (Pineau et al., 2022; Zhang et al., 2022) and is characterized by weak and broad absorption (Cuadros et al., 2016). As a result, the 434 435 absorption at the 1390 nm, 1410 nm, and 1430 nm can hardly be resolved, and it is unreasonable to use H1410 2nd/BD1900 to quantitatively constrain the weathering 436 intensities of a basaltic weathering succession on Mars. Nevertheless, photooxidation 437 may have affected the Fe-clay minerals formed near the surface and caused the 438 absorption of  $Fe^{3+}Fe^{3+}$ -OH to disappear at ~1400 nm (Rivera Banuchi et al., 2022). The 439 absorption band at ~1400 nm is likely caused by AlAl-OH of kaolinite on Mars, so 440

BD1400 is likely effective for characterizing the OH content of kaolinite on Mars. Given that the ratio of BD1400 to BD1900 (BD1400/BD1900) also demonstrates a reasonable positive correlation with the abundance ratio of kaolinite to nontronite (Figure 8d;  $R^2$ =0.83), the BD1400/BD1900 can serve as a good indication of weathering intensity in the basaltic weathering succession on Mars.



446

Figure 8. Spectral proxies for weathering intensity of basaltic succession. (a) Plots of the abundance
ratio of OH to H<sub>2</sub>O (OH/H<sub>2</sub>O) versus the abundance ratio of kaolinite (Kln) to nontronite (Non)
(Kln/Non); (b) Plots of H1410\_2nd/BD1900 versus the weathering intensity proxy OH/H<sub>2</sub>O; (c)
Plots of H1410\_2nd/BD1900 versus Kln/Non and (d) Plots of BD1400/BD1900 versus Kln/Non.

451

## 452 5.5. Analogue spectra of the compositional stratigraphy on Mars

The main debate about the genesis of compositional stratigraphy on the Martian surface is whether the clay minerals changed through chemical weathering (Bibring et al., 2006; Bishop et al., 2018; Carter et al., 2015; Ehlmann et al., 2013; Liu et al., 2021b; Ye & Michalski, 2021) or formed by deposition of various sediments (Bishop et al., 2020; Ehlmann et al., 2013; Lowe et al., 2020; Michalski et al., 2013). This study
provides spectral parameters collected from different stages of kaolinized nontronite, *e.g.*, BD1400, BD1900 and BD1400/BD1900, to investigate the content of OH, H<sub>2</sub>O,
and weathering intensity of the different units consisting compositional stratigraphy on
Mars, which could provide crucial clues to the genesis of compositional stratigraphy.



462

463 **Figure 9**. Comparison between the reflectance spectra of the nontronite → kaolinite transformation 464 products with the CRISM spectra collected from a compositional stratigraphy on Mars. (a) The 465 spectra of sample N<sub>Au</sub> and its transformed products; (b) the CRISM spectra from the different depths 466 of a compositional stratigraphy on Mars (the data on which this figure is based are available in Liu 467 et al., 2021b), and D is depth of the thick clays profile.

468

469 Spectra from the α1 zone of the compositional stratigraphy on Mars show
470 absorption bands at ~1400, ~1900, and ~2290 nm (Figure 9b). The band positions of

471 these absorptions are consistent with those of nontronite from Stage I samples in the 472 laboratory (Figure 9a) and the absorption at ~2290 nm is the diagnostic absorption of 473 nontronite (Bibring et al., 2005), suggesting that nontronite, is the dominant mineral in 474 the  $\alpha$ 1 zone.

In the  $\alpha 2$  zone of the compositional stratigraphy, the spectra have absorptions at ~1400, ~1900, ~2200 and ~2290 nm (Figure 9b). The band positions of these absorptions are consistent with those of the Stage II kaolinized nontronite (Figure 9a). The band asymmetry of the ~2200 absorption gradually shift toward the short wavelength side, indicating the presence of kaolinite (Bibring et al., 2005). As a result, the  $\alpha 2$  zone of the compositional stratigraphy represent a mixture of nontronite and kaolinite.

482 Moreover, BD1900 gradually decreases while BD1400 gradually increases from bottom to top in the  $\alpha 2$  zone (Figure 10b), which is exactly consistent with the 483 transformation of the samples of the Stage II (Figure 10a). This shows that hydroxyl 484 and leaching gradually increase upward. In addition, BD1400/BD1900 shows a 485 consistent increasing trend from the bottom to top in the Martian profile (Figure 10b), 486 487 which is accordant with the progressive transformation of nontronite to kaolinite (Figure 10a). These variation trends in spectral proxies along the compositional 488 stratigraphy from bottom to top reflect the gradual decomposition of nontronite and 489 formation of kaolinite with increasing weathering intensity, by relating to the 490 491 experimentally modeled transformation of nontronite to kaolinite.

492



Figure 10. Variation trends of the spectral parameters of different stages of kaolinized nontronite and a compositional stratigraphy in the Mawrth Vallis region, Mars. (a) Variation of the spectral parameters BD1900, BD1400, BD1400/BD1900, H1410\_2nd and H1410\_2nd/BD1900 in the progressive transformation of nontronite to a mixture of nontronite and kaolinite, and finally to kaolinite; (b) The variation of the spectral parameters BD1900, BD1400/BD1900 along the compositional stratigraphy on Mars (the data on which this figure is based are available in Liu et al., 2021b).

501

502 The spectra from the α3 zone show absorptions at ~1400 and ~1900 nm and a 503 doublet at ~2200 nm while the absorption at ~2290 nm disappears (Figure 9b). The 504 band positions of these absorptions are consistent with those of kaolinite from 505 experimentally modeled Stage III samples (Figure 9a). The doublet at ~2200 nm is the 506 diagnostic absorption of kaolinite, indicating that kaolinite becomes the dominant phase 507 in the  $\alpha$ 3 zone.

508 These observed variation trends in CRISM spectra reflect evolutionary features of 509 both mineral composition and weathering intensity in the compositional stratigraphy of 510 Mars (Figure 10). In particular, the variation trends of BD1900, BD1400 and 511 BD1400/BD1900 continue from the  $\alpha$ 1 zone to the  $\alpha$ 3 zone in the compositional stratigraphy (Figure 10b), corresponding to a progressive conversion of nontronite to 512 kaolinite by chemical weathering from top to bottom. Such continuous variation trends 513 514 can hardly be achieved by the stratigraphic deposition of detrital phyllosilicate sediments, which are likely to exhibit irregular fluctuations in mineral composition and 515 weathering intensity due to the unpredictable origin of the sediments and abrupt 516 517 changes in protolithic minerals (Liu et al., 2021a; Tan et al., 2022). Thus, the compositional stratigraphy on Mars may have been formed by a top-down chemical 518 weathering process. It is possible that Mars had a warm and wet climate capable of 519 maintaining liquid water on the surface over geologic time and the compositional 520 stratigraphy formed through the ongoing reaction between the liquid water and the 521 522 primary minerals in basalt.

523

524 6 Conclusions

525 The transformation of nontronite to kaolinite is modeled experimentally and 526 studied quantitatively using TG and VNIR to test the effectiveness of spectral proxies 527 for mineral evolution and weathering intensity in a basaltic succession. Analysis of TG 528 shows that the H<sub>2</sub>O content gradually decreases, while OH gradually increases with the progressive transformation of nontronite to kaolinite. Thus the ratio of OH/H2O is 529 530 positively correlated with the weathering intensity of a basaltic weathering succession. The present study demonstrates the effectiveness of BD1900, H1410 2nd, H1410 2nd 531 532 /BD1900 and BD1400/BD1900 as spectral proxies for H<sub>2</sub>O content, OH content, and 533 weathering intensity during the conversion of nontronite to kaolinite in a basaltic weathering succession. The obtained results allow us to reveal the genesis of 534 compositional stratigraphy on Mars. The continuous trends of BD1900, BD1400 and 535 536 BD1400/BD1900 indicate a progressive transformation of nontronite into a mixture of nontronite and kaolinite, and finally into kaolinite. Accordingly, the compositional 537 stratigraphy on Mars may have been formed by a progressive chemical weathering 538 539 process from top to bottom. Thus, the formation of the compositional stratigraphy suggests that Mars had a warm and wet climate that could hold liquid water on its 540 surface over geologic time span. 541

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548

### 549 Data Availability Statement

CRISM hyperspectral data and HiRISE image used in this work are available via the 550 551 Planetary Data System (https://pds.nasa.gov). The mineralogical and VNIR 552 spectroscopic characteristics of different stages of kaolinized nontronite is available in https://data.mendeley.com/datasets/ws34469r9x. 553 external repository via an 554 ViewSpecPro software (version 6.0) provided by ASD Inc. was used to process the original VNIR spectral data. 555

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