Incorporating plant access to groundwater in existing global, satellite-based evaporation estimates

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Abstract

Groundwater is an important water source for evaporation, especially during dry conditions. Despite this recognition, plant access to groundwater is often neglected in global evaporation models. This study proposes a new, conceptual approach to incorporate plant access to groundwater in existing global evaporation models. To this end, the Global Land Evaporation Amsterdam Model (GLEAM) is used, and the resulting influence of groundwater on global evaporation is assessed. The new GLEAM-Hydro model relies on the linear reservoir assumption for modelling groundwater flow, and introduces a transpiration partitioning approach to estimate groundwater contributions. Model estimates are validated globally against field observations of evaporation, soil moisture, discharge and groundwater level for the time period 2015-2021, and compared to a regional groundwater model. Results indicate only mild improvements in evaporation estimates, as most eddy-covariance stations are located in energy-limited regions or regions with no plant access to groundwater. The temporal dynamics of the simulated evaporation improves across 75% of the stations where groundwater is a relevant water source. The skill of the model for variables such as soil moisture and runoff remains similar to GLEAM v3. Representing groundwater access influences evaporation in 22% of the continental surface, and it increases evaporation globally by 2.5 mm year⁻¹ (0.5% of terrestrial evaporation). The proposed approach enables a more realistic process representation of evaporation under water-limited conditions in satellite-data driven models such as GLEAM, and sets the ground to assimilate satellite gravimetry data in the future.

Incorporating plant access to groundwater in existing global, satellite-based evaporation estimates

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Key Points:

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7	• Plant access to groundwater is often ignored in global evaporation estimates, yet it
8	can be crucial during dry conditions.
9	• A new, conceptual approach to incorporate groundwater-sourced evaporation in ex-
10	isting global, satellite-based models is presented.
11	• Considering groundwater affects the dynamics of evaporation in 22% of the continenta

surface and increases global land evaporation by 0.5%.

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13 Abstract

Groundwater is an important water source for evaporation, especially during dry condi-14 tions. Despite this recognition, plant access to groundwater is often neglected in global 15 evaporation models. This study proposes a new, conceptual approach to incorporate plant 16 access to groundwater in existing global evaporation models. To this end, the Global Land 17 Evaporation Amsterdam Model (GLEAM) is used, and the resulting influence of ground-18 water on global evaporation is assessed. The new GLEAM-Hydro model relies on the linear 19 reservoir assumption for modelling groundwater flow, and introduces a transpiration par-20 titioning approach to estimate groundwater contributions. Model estimates are validated 21 globally against field observations of evaporation, soil moisture, discharge and groundwater 22 level for the time period 2015–2021, and compared to a regional groundwater model. Results 23 indicate only mild improvements in evaporation estimates, as most eddy-covariance stations 24 are located in energy-limited regions or regions with no plant access to groundwater. The 25 temporal dynamics of the simulated evaporation improves across 75% of the stations where 26 groundwater is a relevant water source. The skill of the model for variables such as soil 27 moisture and runoff remains similar to GLEAM v3. Representing groundwater access influ-28 ences evaporation in 22% of the continental surface, and it increases evaporation globally 29 by 2.5 mm year⁻¹ (0.5% of terrestrial evaporation). The proposed approach enables a more 30 realistic process representation of evaporation under water-limited conditions in satellite-31 data driven models such as GLEAM, and sets the ground to assimilate satellite gravimetry 32 data in the future. 33

³⁴ Plain Language Summary

Groundwater can be a crucial source of water for plants: plants that have access to 35 groundwater through their root system are more likely to survive periods of rainfall scarcity. 36 However, many (satellite-based) models neglect this water source and assume plants only 37 depend on the unsaturated-zone soil moisture. This assumption results in underestimated 38 evaporation values during dry conditions, when groundwater may become the main (or 39 even the only) source of water. In this study, we propose a new approach to incorporate 40 groundwater in an existing global, satellite-based evaporation model. The impact of this 41 modification on the model's accuracy and on the resulting evaporation is evaluated. Repre-42 senting groundwater increases the evaporation globally by 2.5 mm year^{-1} (0.5%) with much 43 higher increases in certain regions. 44

45 **1** Introduction

Land evaporation couples the energy and water cycles, cooling the surface (K. Tren-46 berth et al., 2009) and supplying 40% of terrestrial precipitation (Oki & Kanae, 2006; 47 K. E. Trenberth et al., 2007; van der Ent et al., 2010). Accurate evaporation estimates are 48 crucial, not only for improved understanding of the water and energy cycles (e.g. Koppa et 49 al., 2021), but also for specific applications, such as irrigation planning, drought prediction, 50 monitoring ecosystem health, and estimating water availability for societies (Fisher et al., 51 2017; Vicente-Serrano et al., 2010; Konapala et al., 2020). Unfortunately, in situ observa-52 tions of evaporation are often point-based and limited in space and time, making it difficult 53 to obtain accurate estimates over large, heterogeneous regions and long time periods. As a 54 result, evaporation is often calculated based on meteorological and surface data using either 55 dedicated algorithms, or more complex land surface and hydrological models, in which evap-56 oration uncertainties will propagate to both atmospheric and hydrological variables such as 57 temperature and runoff. 58

⁵⁹ During the past two decades, multiple satellite-based evaporation algorithms have been ⁶⁰ developed. These algorithms enable the estimation of evaporation globally, including poorly ⁶¹ gauged regions, and thus facilitate global-scale applications (Kalma et al., 2008; K. Zhang et ⁶² al., 2016; J. Zhang et al., 2020). Satellite-based evaporation algorithms often aim to close the

energy balance (Bastiaanssen et al., 1998; Su, 2002; Mallick et al., 2014), employ empirical 63 methods based on *in situ* observations (Jung et al., 2009), or compute stress indicators to 64 constrain potential evaporation (Miralles et al., 2011; Fisher et al., 2008). Some of these 65 evaporation products also use soil moisture estimates to assess plant water availability for 66 transpiration (e.g. Miralles et al., 2011; Loew et al., 2016). Similarly, many hydrological 67 (e.g. Samaniego et al., 2010; Bieger et al., 2017) and land surface models (e.g. Clark et al., 68 2015; Blyth et al., 2021) estimate the evaporation as a function of soil moisture. However, 69 these models often assume plants only have access to the water stored in the unsaturated 70 zone which is solely replenished from the surface, i.e., they assume groundwater is not a 71 relevant water source for transpiration. But in many regions of the world plant roots have 72 access to groundwater (e.g. Miguez-Macho & Fan, 2021; Fan, 2015; Evaristo & McDonnell, 73 2017; Maxwell & Condon, 2016; Kollet & Maxwell, 2008; Taylor et al., 2013). Miguez-Macho 74 and Fan (2021) use inverse modelling and isotope observations to illustrate that 32% of land 75 evaporation in the Mediterranean originates from groundwater during dry months, whereas 76 the globally-averaged contribution is limited to 1%. Barbeta and Peñuelas (2017) use global 77 isotope data to show that groundwater uptakes constitute on average 49% of evaporation 78 in dry seasons and 28% in wet seasons. 79

Many studies have explored the added value of incorporating groundwater interactions 80 in existing models. One popular avenue has been the coupling of land surface or hydrolog-81 ical models to a groundwater model (e.g. Tian et al., 2012; Sulis et al., 2017; Maxwell & 82 Miller, 2005; Kuffour et al., 2020; de Graaf et al., 2017; Amanambu et al., 2020). These 83 models typically aim to improve the simulation of soil moisture by introducing interactions 84 with groundwater, which then indirectly influences evaporation estimates. While two-way 85 coupling with groundwater models allows for a more accurate representation of the subsur-86 face, the increased data and computational requirements challenge the application at large 87 scales (Condon et al., 2021; Gleeson et al., 2021) such that it is not routinely applied in 88 global models. To overcome this challenge, several studies propose adding a single ground-89 water layer that interacts with the soil moisture in the unsaturated zone, assuming that 90 lateral groundwater flow is insignificant at the chosen spatio-temporal resolution (e.g. Yeh 91 & Eltahir, 2005; Lam et al., 2011; Niu et al., 2007; Sutanudjaja et al., 2018). Other ap-92 proaches include the estimation of groundwater-sourced evaporation directly, for example 93 as a function of the soil moisture (Liu & Luo, 2012; Liu et al., 2015) or the fraction of roots accessing groundwater (Orellana et al., 2012; Laio et al., 2009). 95

Modelling studies that simulate groundwater-surface interactions typically detect higher 96 groundwater uptake by plant roots under dry conditions (Balugani et al., 2017; Maxwell 97 & Condon, 2016; Lam et al., 2011; Miguez-Macho & Fan, 2021). This is also confirmed 98 with a field experiment by Tfwala et al. (2021) who show that under dry conditions, total 99 transpiration decreases while its groundwater contribution increases. Barbeta and Peñuelas 100 (2017) find that the groundwater uptake is independent of the depth to the groundwater 101 table in saturated soils, which is possibly due to the increased water-uptake efficiency of 102 roots (Orellana et al., 2012). This is also concluded by Beyer et al. (2018) who state that 103 "even if the fraction of roots reaching the water table is small, the efficiency of tap roots 104 can be hundreds of times larger than roots in drier soil and large amounts of water can be 105 transported". However, uncertainty regarding the impact of groundwater on evaporation 106 remains large and stems, among others, from the considered root depth that determines 107 whether plants have access to the aquifer, or soil properties that influence the hydraulic 108 conductivity and the corresponding groundwater level (Keune et al., 2016; Fan et al., 2017; 109 Sulis et al., 2019). 110

In this study, we propose a novel, conceptual approach to incorporate plant access to groundwater in large-scale models, that is globally applicable owing to limited additional data and computational requirements. The proposed approach is based on two concepts: (i) a linear reservoir for the groundwater flow (e.g. Sutanudjaja et al., 2018; Fenicia et al., 2006; Gao et al., 2014), and (ii) a partitioning of transpiration into contributions from the unsaturated zone and groundwater that reflects an increased groundwater uptake during
dry conditions (Liu & Luo, 2012; Liu et al., 2015). The approach is incorporated in the
satellite-based Global Land Evaporation Amsterdam Model (GLEAM), and its impact on
land evaporation estimates is evaluated. The structure of the paper is as follows: Section
2 describes the new GLEAM-Hydro model. Sections 3 and 4 describe the input data and
validation strategy. Results are presented and discussed in Sections 5 and 6, respectively,
before conclusions are drawn in Section 7.

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124 2 Methods

This study introduces groundwater-sourced evaporation via plant access to groundwa-125 ter in GLEAM, creating a new version of the model, hereafter referred to as GLEAM-Hydro. 126 The original GLEAM v3, which does not consider groundwater-vegetation interactions, is 127 used as reference. GLEAM-Hydro is validated regionally over the Netherlands, where a 128 reliable groundwater model and abundant *in situ* groundwater level observations are avail-129 able, and globally using in situ observations of evaporation, soil moisture, discharge and 130 groundwater levels. After validation, the effect of representing plant access to groundwater 131 on global evaporation is assessed by comparing GLEAM-Hydro to the baseline GLEAM v3. 132

133 2.1 GLEAM-Hydro

2.1.1 Baseline GLEAM v3

The baseline model for GLEAM-Hydro is GLEAM (Miralles et al., 2011) on its current 135 version 3 (v3) (Martens et al., 2017). GLEAM v3 estimates the total evaporation as the sum 136 of interception loss, transpiration, bare soil evaporation, open-water evaporation, and subli-137 mation. Transpiration $(E_{\rm t})$ is estimated by constraining potential evaporation $(E_{\rm p})$ with a 138 stress factor S_t (i.e., $E_t = S_t \cdot E_p$) which is a function of soil moisture and vegetation optical 139 depth (VOD) to account for changes in phenology. Similarly, bare soil evaporation $(E_{\rm b})$ is 140 estimated using a stress factor which is a function of the soil moisture only (Martens et al., 141 2017). Potential evaporation is estimated with the Priestley and Taylor (1972) equation. 142 Within each grid cell, the following four land cover types are distinguished: tall vegetation, 143 short vegetation, bare soil, and open water bodies. The root zone is divided into three soil 144 layers (0–0.1 m, 0.1–1 m, 1–2.5 m) depending on the land cover fraction, i.e., tall vegetation 145 has three soil layers, short vegetation two, and bare soil a single layer. Below the bottom soil 146 layer, the water content is assumed to be at field capacity at all times, and a free drainage 147 approach is applied. Thus, in GLEAM v3 $E_{\rm t}$ and $E_{\rm b}$ depend only on the energy demand 148 (i.e., $E_{\rm p}$) and water availability in each soil layer (i.e., w) — see Martens et al. (2017) for 149 150 more information.

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2.1.2 Groundwater reservoir: water balance

In GLEAM-Hydro, the groundwater system is represented by a single reservoir with only one inflow (i.e., recharge) and multiple fluxes leaving the system (i.e., baseflow, evaporation and overland flow), assuming lateral groundwater flows are insignificant. The groundwater reservoir is implemented at the grid cell level, i.e., the groundwater level is assumed to be the same for all land cover classes, and comprises the entire soil column. The implementation further allows to differentiate between the water volumes stored in the saturated zone in and/or below the three soil layers (S_s) , and the groundwater levels (GWL).

The water balance for $S_{\rm s}$ is estimated with

$$\frac{dS_{\rm s}}{dt} = Q_{\rm r} - Q_{\rm s} - E_{\rm GW} - Q_{\rm OF} \tag{1}$$

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with $Q_{\rm r}$ recharge into saturated zone [mm d⁻¹], $Q_{\rm s}$ groundwater flow [mm d⁻¹], $E_{\rm GW}$ groundwater-sourced evaporation [mm d⁻¹], and $Q_{\rm OF}$ overland flow [mm d⁻¹]. The groundwater level GWL is then estimated using the specific yield ($\theta_{\rm y}$) to obtain absolute levels rather than water volumes (Lv et al., 2021; Healy & Cook, 2002):

$$GWL = \frac{1}{\theta_{\rm y}} \cdot S_{\rm s} \tag{2}$$

(3)

$$heta_{
m y}= heta_{
m por}- heta_{
m flc}$$

with $\theta_{\rm y}$ specific yield [m³ m⁻³], $\theta_{\rm por}$ soil porosity [m³ m⁻³], and $\theta_{\rm flc}$ field capacity [m³ m⁻³].

2.1.3 Groundwater fluxes: Recharge, baseflow and overland flow

The recharge is assumed to be equal to the drainage leaving the bottom soil layer across all land cover classes. The groundwater flow is estimated with the linear reservoir assumption, as commonly used in (global) hydrological models (e.g. Sutanudjaja et al., 2018; Gao et al., 2014; Samaniego et al., 2010):

$$Q_{\rm s} = \max(0, S_{\rm s}) \cdot K_{\rm s} \tag{4}$$

with the recession constant $K_{\rm s}$ [d⁻¹]. When plant roots have access to groundwater, groundwater-sourced evaporation is greater than zero and estimated with Eq. 6 and 9 (see Section 2.1.4). Overland flow occurs when groundwater levels exceed the surface level:

$$Q_{\rm OF} = \frac{\max(0, GWL \cdot \theta_{\rm y})}{\Delta t} \tag{5}$$

with Δt is the time step which is equal to one day [d]. Note that the land surface is used as reference for the groundwater level, which is defined as negative below the surface and positive above the surface.

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2.1.4 Groundwater fluxes: Groundwater-sourced evaporation

When plants do not have access to groundwater, then all the water stored in the root 183 zone comes from the surface through infiltration (see Fig. 1a). However, when plants 184 have access to groundwater, then water for evaporation originates from both infiltration 185 $(E_{t,nonGW})$ and groundwater $(E_{t,GW})$, see Fig. 1b). We assume that plants extract water 186 first from the groundwater system, assuming water is more easily accessible there, after 187 which plants extract water from soil moisture stored above the water table. Note that the 188 maximum rooting depths considered here are 0.1-2.5 m depending on the land cover class 189 (see Section 2.1.1), and that plants cannot access the groundwater system beyond that depth 190 in GLEAM-Hydro. 191

¹⁹² To distinguish between the uptake of groundwater and infiltrated water for transpira-¹⁹³tion, the groundwater contribution fraction (f_{GW} , [-]) is introduced as:

$$f_{\rm GW} = \min(1, \max(0, \frac{1}{l_{\rm sat, max}} \cdot \sum_{l=1}^{l_{\rm sat, max}} \frac{\theta_{\rm l, flc} - w_{\rm l}}{\theta_{\rm l, flc} - \theta_{\rm l, crt}}))$$
(6)

with *l* soil layer number [-], $l_{\text{sat,max}}$ maximum number of soil layers in the root zone affected by groundwater [-], θ_{flc} field capacity [m³ m⁻³], *w* soil moisture [m³ m⁻³], and θ_{crt} critical soil moisture [m³ m⁻³]. The relative contribution of groundwater to transpiration is defined such that it is highest under dry conditions and lowest under wet conditions; i.e., $f_{\text{GW}} = 1$ if $w \leq \theta_{\text{crit}}$ (dry soil) and $f_{\text{GW}} = 0$ if $w \geq \theta_{\text{flc}}$ (wet soil). If the groundwater affects multiple soil layers, then the fraction is averaged over the affected layers.

Transpiration is divided into $E_{t,GW}$ and $E_{t,nonGW}$ by incorporating f_{GW} into the evaporative stress factor:

$$S_{t} = f_{GW} \cdot S_{t,GW} + (1 - f_{GW}) \cdot S_{t,nonGW}$$

$$\tag{7}$$



Figure 1. Scheme of plant water sources available for evaporation. a) Deep groundwater: water in the root zone originates only from infiltration (for example rainwater, irrigation, snow melt etc.),b) Shallow groundwater: water in the root zone originates from infiltration and groundwater.

with $S_{\rm t}$ combined stress factor [-], $S_{\rm t,GW}$ groundwater stress factor [-], and $S_{\rm t,nonGW}$ nongroundwater stress factor [-]. By definition, $S_{\rm t,GW} = 1$ since there is no stress in the saturated zone. Analogous to GLEAM v3, $S_{\rm t,nonGW}$ is a function of soil moisture in the unsaturated zone (Martens et al., 2017).

208 209 Transpiration $E_{\rm t} \ [{\rm mm} \ {\rm d}^{-1}]$ is then calculated as

$$E_{\rm t} = f_{\rm GW} \cdot S_{\rm t,GW} \cdot E_{\rm p} + (1 - f_{\rm GW}) \cdot S_{\rm t,nonGW} \cdot E_{\rm p} \tag{8}$$

210 with

$$E_{\rm t,GW} = f_{\rm GW} \cdot S_{\rm t,GW} \cdot E_{\rm p} \tag{9}$$

the transpiration that is sourced from groundwater $[mm d^{-1}]$, and

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$$E_{\rm t,nonGW} = (1 - f_{\rm GW}) \cdot S_{\rm t,nonGW} \cdot E_{\rm p} \tag{10}$$

the transpiration sourced from soil moisture in the unsaturated zone [mm d^{-1}].

This approach is applied for all land cover fractions individually, i.e., for tall vegetation, short vegetation and bare soil. The latter is included to represent water evaporating from shallow groundwater directly without root extraction (Balugani et al., 2017). The aggregated groundwater-sourced evaporation ($E_{\rm GW}$) is then used in the water balance equation (Eq. 1).

With this approach, the total stress factor S_t cannot exceed 1, meaning that the total transpiration is always equal to or below potential evaporation. In addition, we assume that

there is unlimited groundwater available for $E_{\rm GW}$, hence it does not depend on $S_{\rm s}$. As a 222 result, $E_{\rm GW}$ can potentially surpass the water volume stored in the groundwater reservoir, 223 resulting in negative $S_{\rm s}$ values and groundwater levels lower than the initial condition (see 224 Section 2.2). In that case, there is no groundwater flow until the reservoir is refilled and 225 $S_{\rm s}$ values are positive again (see Eq. 4). Furthermore, the two water sources available for 226 evaporation in the root zone (infiltrated water and groundwater) are treated separately for 227 simplicity. In other words, groundwater does not directly influence the unsaturated-zone 228 soil moisture reservoir, i.e., that reservoir is not saturated at or below the groundwater 229 level, which allows retaining the original drainage function in GLEAM. Nevertheless, this 230 approach indirectly mimics the interaction between the unsaturated and saturated zone: 231 With shallow groundwater levels, the water content in the unsaturated zone becomes com-232 paratively higher, as plants partly extract water from the groundwater instead of extracting 233 only from the unsaturated zone. 234

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2.2 Experiments set-up

GLEAM v3 and GLEAM-Hydro are run on daily timescale at 0.25° resolution and for 236 the time period 2015–2021. Global analyses cover all land regions within $90^{\circ}N-90^{\circ}S$ and 237 $180^{\circ}\text{E}-180^{\circ}\text{W}$, whereas analyses for the Netherlands cover the region $3^{\circ}\text{E}-7.5^{\circ}\text{E}$ and $50.5^{\circ}\text{N}-180^{\circ}\text{W}$. 238 54° N. In GLEAM-Hydro, initial conditions for GWL are based on the global water table 239 depth from Fan et al. (2013) using the monthly mean values for January. Initial conditions 240 for $S_{\rm s}$ are obtained through a spin-up, in which the model is run over the full period (2015– 241 2021). The spin-up starts with long-term mean values for $S_{\rm s}$ which is estimated with the 242 water balance equation (Eq. 1) assuming $\frac{d\bar{S}_s}{dt} \approx 0$, zero groundwater-sourced evaporation 243 and overland flow, applying Eq. 4 for $Q_{\rm s}$, and using recharge $(Q_{\rm r})$ from GLEAM v3. Initial 244 conditions for $S_{\rm s}$ to run GLEAM-Hydro are then based on the median $S_{\rm s}$ in January from 245 the spin-up period. 246

²⁴⁷ **3 Input data**

Satellite observations and reanalysis datasets are used as input. Air temperature is 248 obtained from Atmospheric Infrared Sounder (AIRS) level 3 version 7.0 (Aumann et al., 249 2003). Net radiation and shortwave outgoing radiation are obtained from Clouds and the 250 Earth's Radiant Energy System (CERES) Edition 4.1 (Wielicki et al., 1996). Precipita-251 tion data are obtained from the Multi-Source Weighted-Ensemble Precipitation (MSWEP) 252 version 2.8 (Beck et al., 2019). Snow water equivalent is based on GLOBSNOW v2.0 obser-253 vations (Takala et al., 2011). Vegetation optical depth (VOD) is based on the Vegetation 254 Optical Depth Climate Archive (VODCA, Moesinger et al., 2020). Finally, land cover class 255 fractions are derived from MOD44B version 6 Vegetation Continuous Fields (VCF, DiMiceli 256 et al., 2015). All observations are available globally and, if needed, interpolated bi-linearly 257 to 0.25° resolution. These observations are used in both GLEAM v3 and GLEAM-Hydro 258 consistently. In addition, GLEAM-Hydro includes recession constant data which are de-259 rived globally by Sutanudjaja et al. (2018) for the PCRaster GLOBal Water Balance model 260 (PCR-GLOBWB). Furthermore, global water table depth observations according to Fan et 261 al. (2013) are employed for the initial conditions as mentioned in Section 2.2. 262

²⁶³ 4 Validation

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4.1 In situ observations

Global *in situ* observations with respect to evaporation, soil moisture, discharge and groundwater level are collected for the study period 2015–2021 from 10,951 sites. These observations are obtained from multiple platforms including AmeriFLUX, European Fluxes Database Cluster (EFDC), FLUXNET-CH4, Global Runoff Data Centre (GRDC), Integrated Carbon Observation System (ICOS), International Groundwater Resources Assess-

ment Centre (IGRAC), and International Soil Moisture Network (ISMN). See Table A1 for 270 more information regarding observation type, number of sites per source, website links and 271 references. These observations include not only variables directly used for validation, but 272 also additional variables used, for example, to filter rain and snow days (i.e., precipitation, 273 air temperature, snow depth, net radiation, surface heat flux and ground heat flux) — see 274 below. For the Netherlands, the above-mentioned global databases provide data to validate 275 evaporation and soil moisture. In addition, groundwater level observations at 2750 sites are 276 available from the DINO (Data en Informatie van de Nederlandse Ondergrond) database. 277

In situ observations are pre-processed to remove outliers (values smaller or larger than 278 the 1^{st} or 99^{th} percentile, respectively), duplicates, and daily observations with low qual-279 ity flag or coverage (<25%) at sub-daily scale where available. When validating evapora-280 tion, rain days $(> 0 \text{ mm d}^{-1})$ and stations with a poor energy balance closure are removed 281 $\left(\frac{R_{n}-G-H}{LE}>0.2\right)$ with R_{n} net radiation, G ground heat flux, H surface heat flux, and LE 282 latent heat flux). Evaporation is calculated from latent heat flux observations using air 283 temperature data. When validating with respect to soil moisture, days with snowfall (> 10284 mm) or low temperature ($< 0^{\circ}$ C) are removed. GLEAM-based soil moisture estimates are 285 linearly interpolated to the depth of the observation. Sites with less than 365 observation 286 points within the study period are removed. In case of gaps in the *in situ* observations used 287 for the filtering procedure — i.e., gaps in precipitation, snow or temperature data at the 288 station — GLEAM forcing data are used too. For the validation of runoff, stations with a 289 temporal coverage of less than 75% are removed. In addition, discharge stations are removed 290 when the corresponding gridded basin area at 0.25° resolution deviates substantially from the 291 actual area as provided by GRDC (i.e., $|\frac{A_{\text{gridded}} - A_{\text{actual}}}{A_{\text{actual}}}| > 0.2$). Also, stations with a basin area smaller than 2500 km² are not considered. Further, nested river basins are avoided 292 293 by favouring downstream stations. Similar approaches for *in situ* data pre-processing have 294 been applied in previous studies (Martens et al., 2020, 2017). Appendix Fig. A1 visualises 295 all the stations available for validation after pre-processing. 296

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4.2 Regional validation: The Netherlands

Regional simulations of GLEAM v3 and GLEAM-Hydro for the Netherlands are validated using *in situ* data from 4 eddy-covariance, 22 soil moisture and 1714 groundwater level sites. See Section 4.1 for more information on the *in situ* observations used.

To assess the accuracy of the groundwater level estimates of GLEAM-Hydro, ground-301 water levels from the groundwater model LHM version 4.1 (Landelijk Hydrologisch Model, 302 https://www.nhi.nu/nl/index.php/modellen/lhm/) are used as a reference. LHM v4.1 303 uses MODFLOW (Langevin et al., 2017) for the saturated zone, and other models for the 304 remaining components such as the unsaturated zone, surface water and routing (Janssen et 305 al., 2020). Note that this model does not consider feedbacks of evaporation on groundwater 306 levels. LHM-based groundwater level estimates are also validated against the same 1714 307 groundwater level sites. As LHM simulations are only available until 2018, groundwater 308 level validations over the Netherlands are done for the time period 2015–2018. The remain-309 ing variables are validated over the entire study period (2015–2021), depending on in situ 310 data availability. 311

312 4.3 Global validation

Global simulations of GLEAM v3 and GLEAM-Hydro are validated for the time period 2015–2021 using 100 eddy-covariance, 3422 soil moisture, 97 discharge and 1329 groundwater level sites (Fig. A1 in the Appendix). See Section 4.1 for more information on the *in situ* observations used.

4.4 Performance metrics

Evaporation, soil moisture and groundwater levels are validated by comparing observa-318 tions and simulated time series from the respective grid cells where the stations are located. 319 For this purpose, the following performance metrics are used: Spearman correlation coeffi-320 cient (R), root mean square error (RMSE), and Kling-Gupta efficiency (KGE, Gupta efficiency)321 al., 2009). R ranges between -1 and 1, RMSE between 0 and ∞ , and KGE between $-\infty$ 322 and 1. A "perfect" performance is represented by R = 1, RMSE = 0 and KGE = 1. If the 323 reference level of groundwater observations is unknown, performance metrics are estimated 324 using groundwater level anomalies, i.e., the observed and estimated data are subtracted by 325 their mean using identical observation days. 326

Runoff from GLEAM is estimated based on the long-term water balance, assuming storage changes are insignificant compared to the magnitude of the fluxes over the simulation period, i.e., $\bar{Q} = \bar{P} - \bar{E}$. Runoff estimates are compared to discharge observations and their accuracy is evaluated with the mean difference $(MD = \bar{Q}_{\text{GLEAM}} - \bar{Q}_{\text{In situ}})$ and the percentage bias $(PBIAS = \frac{|\bar{Q}_{\text{GLEAM}} - \bar{Q}_{\text{In situ}}|}{\bar{Q}_{\text{In situ}}} \cdot 100\%).$

332 5 Results

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5.1 GLEAM-Hydro validation

5.1.1 Regional validation: The Netherlands

335 Evaporation

In the Netherlands and the near surroundings, evaporation is represented well by the refer-336 ence model, GLEAM v3, with a median correlation of $R_{\rm median} = 0.90$. The other perfor-337 mance metrics agree with the skill indicated by the correlation, with $RMSE_{median} = 0.85$ 338 mm d^{-1} and $KGE_{median} = 0.78$ for GLEAM v3. Incorporating plant access to groundwa-339 ter with GLEAM-Hydro does not affect these performance metrics and retains the median 340 accuracy of the simulations (Table 1 and Fig. 2). However, this assessment is based on 341 only 4 eddy-covariance stations of which only 1 station (at Cabauw, $51.97^{\circ}N$ and $4.93^{\circ}E$) is 342 located in a region with shallow groundwater levels (above -2.5 m). In addition, this station 343 is located in a region that is primarily energy-limited, as any other station in the Nether-344 lands. During the simulation period, 94% of the days at Cabauw show no or only little 345 water limitation, i.e., $E_{\rm p} - E < 0.5$ mm d⁻¹, which results in a small evaporation increase 346 from 617.2 mm year⁻¹ (GLEAM v3) to 630.4 mm year⁻¹ (GLEAM-Hydro). Hence, over 347 the Netherlands, groundwater barely affects the magnitude of transpiration. 348

349 Soil moisture

The soil moisture is represented reasonably well by the reference model GLEAM v3 with a 350 median correlation of $R_{\text{median}} = 0.74$. The remaining performance metrics are $RMSE_{\text{median}}$ 351 = 7.69% and $KGE_{\rm median} = 0.49$ for GLEAM v3 (Table 1). Incorporating plant access to 352 groundwater with GLEAM-Hydro does not affect the skill of the simulated soil moisture 353 over the Netherlands (Table 1 and Fig. 2c). This assessment is based on 22 sites, yet only 354 1 site is located in a region with shallow groundwater levels (in Bergambacht near Cabauw, 355 51.93° N and 4.79° E). Also this station is located in an energy-limited region where 94% of 356 the days show no water limitation and where the impact of groundwater on evaporation is 357 small. 358

359 Groundwater level

The groundwater level dynamics over the Netherlands are represented well by GLEAM-Hydro with a median correlation of $R_{\text{median}} = 0.78$ (Table 1). The median correlation is only slightly better with LHM, despite the latter being calibrated for the Netherlands (Table 1 and Fig. 2d). In both models, correlations are greater than 0.5 at 88% of the sites, with a standard deviation (σ) in the correlations of $R_{\sigma} = 0.21$. LHM shows slightly better median *RMSE* and *KGE* values than GLEAM-Hydro (Table 1). Based on the correlation



Figure 2. a)-b) Taylor diagrams illustrating the performance of (a) GLEAM v3 and (b) GLEAM-Hydro with respect to evaporation for the Netherlands. The standard deviation and RMSE are normalised using the standard deviation of the observed time series such that the red star serves as reference point. c)-d) Violin plots illustrating the validation of (c) soil moisture and (d) groundwater level based on the correlation.

366	coefficients, 44% of the sites perform better or similarly well with GLEAM-Hydro compared
367	to LHM (62% based on $RMSE$, 34% based on KGE). Fig. 3a shows an example of
368	a station where groundwater levels are estimated better with GLEAM-Hydro than LHM
369	(GLEAM-Hydro: $R = 0.64$, $RMSE = 0.05$ m, $KGE = 0.62$, LHM: $R = 0.22$, $RMSE = 0.62$
370	1.37 m, $KGE = -1.36$), whereas Fig. 3b illustrates the opposite (GLEAM-Hydro: $R = 0.85$,
371	RMSE = 0.67 m, KGE = 0.45, LHM: R = 0.92, RMSE = 0.12 m, KGE = 0.80).

At multiple sites, significant biases are detected in the simulated groundwater level (see Fig. A2 in the Appendix). The groundwater level bias in GLEAM-Hydro is a result of the bias in the initial conditions. In GLEAM-Hydro (LHM), *RMSE* is smaller than 5 m at 97% (96%) of the sites.

Median values		GLEAM v 3	GLEAM-Hydro	LHM	Unit
Evaporation	R	0.90	0.90	n/a	-
	RMSE	0.85	0.85	n/a	${ m mm}~{ m d}^{-1}$
	KGE	0.78	0.79	n/a	-
Soil moisture	\mathbf{R}	0.74	0.74	n/a	-
	RMSE	7.69	7.94	n/a	%
	KGE	0.49	0.49	n/a	-
Groundwater	R	n/a	0.78	0.79	-
	RMSE	n/a	0.98	0.73	m
	KGE	n/a	-0.18	0.02	-

Table 1. Median statistics for the Netherlands for different variables and GLEAM versions. Performance metrics include correlation (R), root mean square error (RMSE), and Kling-Gupta Efficiency (KGE).

Overall, the groundwater representation in GLEAM-Hydro is able to mimic the skill of LHM in simulating groundwater levels. The degree of uncertainty, i.e., the variation in the

performance metrics, in GLEAM-Hydro is comparable to LHM (Fig. 2d).



Figure 3. Time series of groundwater levels at two sample locations in the Netherlands, comparing GLEAM-Hydro and LHM with observations from corresponding well observations. The sites are located in (a) the province North-Holland (52.33°N and 4.64°E), and (b) the province Drenthe (52.72°N and 6.53°E).

379 5.1.2 Global validation

380 Evaporation

Across all eddy-covariance stations available globally, evaporation from GLEAM v3 is al-381 ready represented well with a median correlation of $R_{\rm median} = 0.81$, which is similar to 382 previous studies (Martens et al., 2017). The remaining performance metrics amount to 383 $RMSE_{median} = 1.01 \text{ mm d}^{-1} \text{ and } KGE_{median} = 0.49 \text{ for GLEAM v3 (Table 2). Incorporat-$ 384 ing groundwater in GLEAM-Hydro does not influence the median performances significantly 385 (see Fig. 4 and Table 2) as, again, many stations are located in regions with energy-limited 386 conditions or deep groundwater levels (see Fig. A1a in the Appendix). Note that in only 387 39% of the continental surface, average groundwater levels simulated by GLEAM-Hydro 388 are shallower than -2.5 m. When considering only stations where groundwater becomes a 389 relevant water source for transpiration (8 out of 100 stations), then the median correlation 390 improves from $R_{\text{GLEAMv3}} = 0.66$ to $R_{\text{GLEAM-Hydro}} = 0.69$ (Fig. 4c and Table 2), indicating 391 the temporal dynamics of evaporation are better simulated if groundwater is considered 392 as a source for transpiration. However, this improvement is not reflected in the median 303 KGE and RMSE values (see Table 2), as only 4 of the 8 stations improved with respect 394 to RMSE and KGE. See Fig. 5 for an example eddy-covariance station in Italy, where 395 the incorporation of groundwater in the model influences evaporation and increases the 396 accuracy of the estimates. There, the maximum evaporation increase due to groundwater 397 access is 2.5 mm d⁻¹. The correlation increases from R = 0.82 in GLEAM v3 to R = 0.89398 in GLEAM-Hydro, and the RMSE and KGE change from $RMSE = 0.82 \text{ mm d}^{-1}$ and 399 KGE = 0.82 (GLEAM v3) to RMSE = 0.89 mm d⁻¹ and KGE = 0.68 (GLEAM-Hydro). 400

Table 2. Median statistics for different variables and GLEAM versions with respect to all stations globally, and in brackets with respect to stations where groundwater is a relevant water source. Performance metrics include correlation (R), root mean square error (RMSE), and Kling-Gupta Efficiency (KGE).

Median values		GLEAM v3	GLEAM-Hydro	Unit
Evaporation	R	0.81 (0.66)	0.81(0.69)	_
	RMSE	1.01(1.20)	1.02(1.32)	${ m mm}~{ m d}^{-1}$
	KGE	0.49(0.32)	0.48(0.19)	-
Soil moisture	R	0.71(0.67)	0.71(0.63)	-
	RMSE	9.49(9.44)	9.51 (9.13)	%
	KGE	0.26(0.30)	0.26(0.26)	-
Groundwater	R	n/a	0.22(-0.03)	-
	RMSE	n/a	1.60(0.75)	m
	KGE	n/a	-0.87 (-0.86)	-

401 Soil moisture

The soil moisture from GLEAM v3 is represented well at most sites with $R_{\rm median} = 0.71$ 402 (Table 2), which is similar to previous studies (Martens et al., 2017; Beck et al., 2021). The 403 remaining performance metrics amount to $RMSE_{median} = 9.49\%$ and $KGE_{median} = 0.26$. 404 Similar to evaporation, the soil moisture performance does not change substantially when 405 incorporating plant access to groundwater (see Fig. 4d and Table 2). The differences remain 406 small also when validating only for sites where groundwater becomes a relevant water source 407 for transpiration (Table 2), which is the case for 143 out of 3422 sites (see Fig. A1b in the 408 Appendix). At those sites, the performance metrics change slightly, without clear signals 409 for improvement, from R = 0.67, RMSE = 9.44% and KGE = 0.30 for GLEAM v3 to R =410 0.63, RMSE = 9.13% and KGE = 0.26 for GLEAM-Hydro (Table 2). Note, that changes 411 in the soil moisture only occur indirectly through altered transpiration (see Section 2.1.4). 412



Figure 4. a)-b) Taylor diagrams illustrating the global performance of (a) GLEAM v3 and (b) GLEAM-Hydro with respect to evaporation. The standard deviation and RMSE are normalised using the standard deviation of the observed time series such that the red star serves as reference point. c) Correlation of evaporation simulated with GLEAM v3 (blue) and GLEAM-Hydro (red) against observations at those eddy-covariance stations that are influenced by groundwater. The dashed line indicates the median correlation over the selected stations. d)-e) Violin plots illustrating the validation of (d) soil moisture and (e) groundwater level based on the correlation.



Figure 5. Evaporation at the eddy-covariance tower San Rossore 2 in Italy (IT-SR2 at 43.73°N and 10.29°E) and as simulated with GLEAM v3 and GLEAM-Hydro.

413 Runoff

Over all stations, the average runoff over the simulation period is represented reasonably well 414 with GLEAM v3 compared to the discharge observations, with R = 0.84 (Fig. A3a in the 415 Appendix). The median MD is equal to $MD_{\text{median}} = -123.2 \text{ mm year}^{-1}$ (Fig. A3b in the 416 Appendix), largely reflecting biases in precipitation and/or the simulated evaporation. The 417 median percent bias amounts to $PBIAS_{median} = 40.4\%$. Overall, runoff is overestimated 418 at 11 of the 97 stations with GLEAM v3, and underestimated at 81 stations. Runoff is 419 simulated well at 5 stations, where only small biases (i.e., $|MD| < 10 \text{ mm year}^{-1}$) are 420 found. 421

Incorporating plant access to groundwater in GLEAM-Hydro leads to a slight correlation increase (R = 0.85), and the *MD* changes between $\Delta MD = 0.0-30.7$ mm year⁻¹ with $\Delta MD = MD_{\text{GLEAM v3}} - MD_{\text{GLEAM-Hydro}}$. Changes in the percent bias range between $\Delta PBIAS = -105.6-40.6\%$ ($\Delta PBIAS = PBIAS_{\text{GLEAM v3}} - PBIAS_{\text{GLEAM-Hydro}}$) with positive values indicating runoff improved with GLEAM-Hydro (Fig. A3c and d in the Appendix). At 61 of the 97 stations, runoff changes are small ($\Delta |PBIAS| < 1\%$) as groundwater access is limited in the basins associated with these stations.

Compared to GLEAM v3, evaporation in GLEAM-Hydro either increases when ground-429 water is a relevant source for transpiration, or remains the same when the groundwater level 430 is too deep. Hence, the long-term averaged runoff can only decrease or remain the same. 431 Therefore, the skill of those stations that overestimate runoff with GLEAM v3 (11 of 97 432 stations) improve (8 stations) or remain the same (3 stations). On the other hand, at 433 those stations that already underestimate runoff with GLEAM v3 (81 of 97 stations), the 434 bias further increases with GLEAM-Hydro, except when the roots have no access to the 435 groundwater level. This results in a decreased accuracy at 24 of the 81 stations that already 436 underestimate runoff with GLEAM v3. 437

Groundwater level

438

The global groundwater level performance varies considerably among the 1329 sites (Fig. 440 4e). The median correlation of simulated groundwater levels in GLEAM-Hydro with obser-441 vations is equal to $R_{\text{median}} = 0.22$. This increases to $R_{\text{median}} = 0.54$ when considering only 442 those sites with shallow water table depths, i.e., where plants have access to the groundwater 443 system based on the modelled or observed groundwater levels. The remaining performance metrics amount to $RMSE_{median} = 1.60$ m and $KGE_{median} = -0.87$ when considering all sites (Table 2). The correlation is greater than 0.5 at 31% of the sites (Fig. A4 in the Appendix) and RMSE is smaller than 5 m at 62% of the sites (Fig. A5 in the Appendix). As an example, Fig. A6 in the Appendix shows the time series of observed and simulated groundwater level for a well represented station near Philadelphia in the United States (at 74.84°W and 39.99°N, R = 0.85, RMSE = 0.21 m, KGE = 0.83).

450

5.2 Global influence of groundwater on evaporation

Representing plant access to groundwater increases the annual-mean, globally-averaged 451 terrestrial evaporation from $392.4 \text{ mm year}^{-1}$ to $394.8 \text{ mm year}^{-1}$. This corresponds to 452 an increase of 2.5 mm year⁻¹ globally-averaged; the standard deviation of all land pixels 453 amounts to $11.0 \text{ mm year}^{-1}$. In other words, the terrestrial evaporation increases with 404 454 $\rm km^3~year^{-1}$ over the continental surface, from 74,064 $\rm km^3~year^{-1}$ (GLEAM v3) to 74,468 455 km³ year⁻¹ (GLEAM-Hydro). Relative to GLEAM v3, the annual-mean, globally-averaged 456 evaporation increases with 0.5% with a standard deviation of 2.2%. The globally-averaged 457 groundwater contribution to evaporation $f_{\rm GW}$ is equal to 0.008 with a standard deviation 458 of 0.03. 459

The maximum local increase of annual-mean evaporation is $245.2 \text{ mm year}^{-1}$ (Fig. 460 6) or 149.7% relative to GLEAM v3 (Fig. A7 in the Appendix). The aggregated mean 461 groundwater contribution to evaporation $f_{\rm GW}$ reaches up to 0.36. At daily-scale, the evap-462 oration increases locally up to 5.5 mm d^{-1} . Large evaporation increases are observed in 463 for example Canada, Russia, and several regions in Congo and South America. In those 464 regions, the groundwater level is shallow (Fan et al., 2013) as illustrated in Fig. A8 in the 465 Appendix. Hence, groundwater-sourced evaporation is, as expected, strongly influenced by 466 the groundwater level (Fig. 7a–f). 467

Finally, groundwater-sourced evaporation is the highest in drylands, i.e., in regions with an aridity index larger than 0.65 (Fig. 7). Moreover, groundwater-sourced evaporation is higher for tall vegetation compared to short vegetation and bare soil (Fig. 7h) — which is expected given the deeper roots of tall vegetation (see Section 2.1.1).



Figure 6. Average evaporation increase due to the incorporation of plant access to groundwater in GLEAM ($\Delta E = E_{\text{GLEAM}-\text{Hydro}} - E_{\text{GLEAM v3}}$) averaged over the study period.



Figure 7. Groundwater contribution fraction $(f_{\rm GW})$ and groundwater-sourced evaporation $(E_{\rm GW})$ for tall vegetation, short vegetation and bare soil (a)–(f) as a function of aridity (Ep/P) and groundwater levels (GWL), and (g)–(h) distinguishing between drylands $(E_{\rm p}/P > 0.65)$ and non-drylands $(E_{\rm p}/P < 0.65)$. Results are averaged over the study period.

472 6 Discussion

This study has introduced groundwater-sourced evaporation in the satellite-based evap-473 oration model GLEAM. A novel, conceptual approach of groundwater-vegetation interac-474 tions has been developed building upon already-existing approaches: Water table fluctu-475 ations are estimated by introducing a groundwater reservoir below the soil layers (Yeh & 476 Eltahir, 2005; Niu et al., 2007) and using specific yield to derive absolute groundwater levels 477 (Lv et al., 2021; Healy & Cook, 2002). The groundwater flow is estimated by applying the 478 linear reservoir assumption analogous to Lam et al. (2011); Sutanudjaja et al. (2018); Fenicia 479 et al. (2006). The contribution of groundwater to evaporation is modelled by introducing a 480 groundwater contribution fraction in the stress function of GLEAM; this fraction is defined 481 as a function of soil moisture similar to Liu and Luo (2012). 482

Incorporating groundwater interactions increases the annual-mean, globally-averaged 483 evaporation by 2.5 mm year^{-1} . The contribution of groundwater-sourced evaporation is es-484 timated such that it is higher under dry conditions and for tall vegetation, in agreement with 485 previous studies (Balugani et al., 2017; Miguez-Macho & Fan, 2021; Maxwell & Condon, 2016; Tfwala et al., 2021; Barbeta & Peñuelas, 2017). The globally-averaged contribution 487 of groundwater to evaporation in GLEAM-Hydro ($f_{\rm GW} = 0.008$) is similar to findings by 488 Miguez-Macho and Fan (2021), who estimate that approximately 1% of the global evapora-489 tion is sourced from groundwater. However, Barbeta and Peñuelas (2017) show a median 490 $f_{\rm GW} = 0.56$ for tall vegetation in dry seasons which is almost twice as large compared to 491 the findings of this study ($f_{\rm GW} = 0.31$, Fig. 7g). The spatial pattern of the groundwater 492 contribution in this study differs considerably from previous studies (e.g. Miguez-Macho & 493 Fan, 2021) and shows higher contributions in for example Canada, Russia and Congo where 494 the groundwater levels are shallow (Fig. A8 in the Appendix). These differences may be 495 attributed to uncertainties in the evaporation estimates in both this study (as discussed 496 below) and previous studies. 497

There are several sources of uncertainty in the proposed approach to incorporate plant 498 access to groundwater. First, this approach assumes lateral groundwater flow is insignificant 499 at the chosen spatial resolution, which is plausible based on findings in previous studies 500 (Krakauer et al., 2014). Second, this approach does not include capillary rise nor the 501 existence of roots deeper than 2.5 m tapping into the groundwater system. Furthermore, we 502 assume that there is no direct interaction between groundwater and the unsaturated zone 503 (see also Section 2.1.4). These interactions are only mimicked through plants extracting 504 (part of the) water from the groundwater, provided they have access to it, resulting in less 505 extraction from the unsaturated zone and hence an increased soil moisture. That is also 506 why the simulated soil moisture changes only marginally and the skill of soil moisture does 507 not improve from GLEAM v3 to GLEAM-Hydro at the limited observation sites available 508 (see Section 5.1.2). Moreover, results are sensitive to data uncertainties, including initial 509 groundwater levels and soil properties. Last but not least, results here are constrained to 510 the processes represented in GLEAM, which neglects human impacts such as pumping and 511 irrigation. These are all potential avenues for improvements in the future, but are considered 512 outside the scope of this study. 513

Alternatively, to reduce uncertainties related to the groundwater representation of 514 GLEAM-Hydro, GLEAM could be coupled to a groundwater model. Its impact on the evap-515 oration is illustrated for the Netherlands by using LHM-based groundwater levels as forcing 516 in GLEAM (i.e., GLEAM-LHM). Compared to GLEAM-Hydro, GLEAM-LHM reproduces 517 the spatial pattern of evaporation (Fig. A9 in the Appendix). However, the annual-mean 518 evaporation for the region increases even more with GLEAM-LHM (4.8 mm year $^{-1}$ or 0.2% 519 relative to GLEAM v3) than with GLEAM-Hydro (2.4 mm year⁻¹ or 0.1%). It is noted, 520 however, that two-way coupling between evaporation and groundwater were not considered 521 in GLEAM-LHM. 522

Future studies should address the limitations mentioned above. In addition, estimated 523 groundwater level dynamics could be improved further by using total water storage anoma-524 lies as observed from satellites (Landerer & Swenson, 2012; Swenson & Wahr, 2006) for data 525 assimilation. The proposed approach for groundwater-vegetation interactions could further 526 be tested at higher resolutions. However, note that this may require additional modifica-527 tions, since lateral groundwater flow may become significant at finer scales (de Graaf & 528 Stahl, 2022). Furthermore, it would be very valuable if new eddy-covariance stations, lo-529 cated in dry regions and combined with groundwater level and root depth field observations, 530 are available. This would benefit the verification of groundwater access and validation of 531 evaporation at locations where groundwater becomes relevant. Unfortunately, most eddy-532 covariance stations used here are located in regions with deep water tables (according to 533 Fan et al. (2013)) or in energy-limited regions with abundant water. As such, the effect of 534 groundwater on evaporation could only be validated at a limited number of *in situ* stations. 535

536 7 Conclusion

The goal of this study was to incorporate plant access to groundwater in existing largescale evaporation estimates, and to assess the impact of groundwater on evaporation globally. To that end, a novel, conceptual approach to estimate groundwater-vegetation interactions was developed. It connected conceptual elements of groundwater reservoirs and (observed) groundwater contributions to transpiration. This approach was incorporated into GLEAM, yielding the GLEAM-Hydro version of the model.

The impact of groundwater on evaporation was analysed globally by comparing 543 GLEAM v3 with GLEAM-Hydro: While the globally-averaged annual-mean evaporation 544 increased only by 2.5 mm year^{-1} (0.5%), local changes in regions with a shallow water table 545 were much higher (up to $245.2 \text{ mm year}^{-1}$). In general, little improvements were found in 546 the simulation of evaporation as the majority of the eddy-covariance stations was located in 547 regions with no groundwater access or energy-limited regions, where the impact of groundwater on evaporation was marginal. However, at 75% of the stations where groundwater 549 was a relevant water source, the temporal dynamics of the simulated evaporation improved. 550 The skill of the model, also for other variables such as soil moisture and discharge, remained 551 more or less unaltered. The skill of GLEAM-Hydro to simulate groundwater levels was 552 further demonstrated through the comparison to a dedicated regional groundwater model 553 (LHM). For the Netherlands, where abundant water table observations were available, both 554 models showed considerable skill. However, LHM performed better in terms of RMSE and 555 *KGE* which was to be expected for a groundwater model calibrated for the Netherlands. 556

The presented approach paves the way towards the integration of groundwater in, for 557 example, land surface and hydrological models and other algorithms that aim to derive 558 evaporation from, for example, satellite-based observations. Representing groundwater in 559 GLEAM also sets the ground to assimilate satellite gravimetry data in the future (Girotto et 560 al., 2017). Even though the validation in this study could not unambiguously demonstrate 561 the improved skill of the model, this approach is a first step towards a more realistic process 562 representation in models that aim to incorporate groundwater processes at low computa-563 tional costs. 564

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569 Data availability

All *in situ* observations were downloaded in June/July 2021 from multiple platforms as shown in Table A1. GLEAM output data that are generated in this study and are required to reproduce the main results and figures, are available at https://doi.org/10.5281/ zenodo.7099512.

574 Appendix A

Source	Long name	Data type	Nr sta- tions	Website, Citation	Coverage
AmeriFlux	-	Radiation, meteorolog- ical & soil moisture data	512	https://ameriflux .lbl.gov/	Global
DINO	Data en Informatie van de Nederlandse Ondergrond	Groundwater level data	2750	https://www .dinoloket.nl/ standen	The Nether- lands
EFDC	European Fluxes Database Cluster	Radiation, meteorolog- ical & soil moisture data	88	http://www.europe -fluxdata.eu/	Global
FLUXNET-CH4	-	Radiation, meteorolog- ical & soil moisture data	67	https://fluxnet .org/, (Pastorello et al., 2020; Delwiche et al., 2021; Knox et al., 2019)	Global
GRDC	Global Runoff Data Centre	Discharge data	108	https://www.bafg.de/ GRDC/EN/Home/ homepage_node.html	Global
ICOS	Integrated Carbon Ob- servation System	Radiation, meteorolog- ical & soil moisture data	145	https://www.icos-cp .eu/, (ICOS RI, 2021)	Global
IGRAC	International Ground- water Resources As- sessment Centre	Groundwater level data	5359	https://ggis.un -igrac.org/view/ggmn	Global
ISMN	International Soil Moisture Network	Meteorological & soil moisture data	4672	https://ismn.geo .tuwien.ac.at/en/, (W. A. Dorigo et al., 2011; W. Dorigo et al., 2013, 2021)	Global

 Table A1. In situ observations used in this study



Figure A1. Map of stations with (a) evaporation, (b) soil moisture, (c) groundwater level, and (d) discharge stations (including basin outline in blue) used in this study. Black dots indicate all stations used, and red dots indicate stations where groundwater becomes a relevant source for evaporation.



Figure A2. Groundwater level validation results in the Netherlands: a) correlation and b) *RMSE*



Figure A3. Runoff performance. a) Long-term average runoff according to *in situ* data (x-axis) vs. GLEAM v3 (y-axis) and with $\Delta Q_{\text{GLEAM}} = Q_{\text{GLEAMv3}} - Q_{\text{GLEAM}-\text{Hydro}}$ for the colors. b) Mean difference (*MD*) for GLEAM v3 and GLEAM-Hydro with positive values indicating the GLEAM-based runoff are overestimated. c) Difference in *PBIAS* (i.e., $\Delta PBIAS = PBIAS_{\text{GLEAMv3}} - PBIAS_{\text{GLEAM}-\text{Hydro}}$). d) Spatial pattern of $\Delta PBIAS$ with positive values indicating the bias improves in GLEAM-Hydro.



Figure A4. Global groundwater level validation results: Correlation



Figure A5. Global groundwater level validation results: RMSE



Figure A6. Groundwater levels at a well represented station near Philadelphia in the United States (74.84°W and 39.99°N).



Figure A7. Average evaporation increase due to the incorporation of plant access to groundwater in GLEAM ($\Delta E = E_{\text{GLEAM-Hydro}} - E_{\text{GLEAMv3}}$) relative to GLEAM v3 averaged over the study period.



Figure A8. Initial groundwater level based on (Fan et al., 2013)



Figure A9. Average evaporation increase in the Netherlands due to the incorporation of plant access to groundwater in GLEAM. Subplots (a)–(b) illustrate absolute differences, subplots (c)–(d) relative differences. Suplots a) and c) use GLEAM-Hydro, subplots b) and d) use GLEAM-LHM. Absolute difference: $\Delta E = E_{\text{GLEAM}-\text{Hydro}/\text{LHM}} - E_{\text{GLEAMv3}}$, relative difference: $\frac{\Delta E}{E_{\text{GLEAMv3}}}$

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