### Regional Trends and Physical Controls of Streamflow droughts in Tropical Pluvial Flow Regimes of India

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#### Abstract

The analysis of drought onset and their potential relationship to drought severity (deficit volume) are critical for providing timely information for agricultural operations, such as cultivation planning and crop productivity monitoring. A coupling between drought timing and deficit volume can be used as a proxy for drought-related damage estimation and associated risks. Despite its high importance, so far little attention was paid to determine the timing of drought and its linkage with deficit volume for hydrological droughts. This study utilizes quality-controlled streamflow observations from 1965 to 2018 to unveil regional patterns of hydrological drought onset, trends in event-specific deficit volume, and nonlinear relationships between onset timing and deficit volume across 97 rain-dominated catchments in Peninsular India (8-24° N, 72-87° E). Our results show a shift towards earlier hydrological drought onset in conjunction with a decrease in deficit volume during the Indian monsoon (June-September) season, which is contrasted by a delayed onset in the pre-monsoon (March-May) and post-monsoon (October-February) seasons. Further, approximately one-third of the catchments show a significant nonlinear dependency between drought deficit volume and onset time. We find environmental controls, such as soil organic carbon, vertical distance to channel network, and soil wetness are dominant factors in influencing droughts. Our analysis provides new insights into the causal chain and physical processes linking climatic and physiographic controls on streamflow drought mechanisms, which can support drought forecasting and climate impact assessment studies.

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2	Flow Regimes of India
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10	Key Points:
11 12	• Shifts in streamflow drought onset and deficit volume (or severity) are apparent in Peninsular river basins of India
13	• Nonlinear dependency between drought onset and deficit volume is observed
14 15	• Drought timing is strongly correlated to rainfall and soil moisture deficits in the eastern coastal plains of Peninsular India
16	Abstract
17 18	The analysis of drought onset and their potential relationship to drought severity (deficit volume) are critical for providing timely information for agricultural operations, such as cultivation

planning and crop productivity monitoring. A coupling between drought timing and deficit volume can be used as a proxy for drought-related damage estimation and associated risks. Despite its high importance, so far little attention was paid to determine the timing of drought and its linkage with deficit volume for hydrological droughts. This study utilizes quality-controlled streamflow observations from 1965 to 2018 to unveil regional patterns of hydrological drought onset, trends in event-specific deficit volume, and nonlinear relationships between onset timing and deficit volume across 97 rain-dominated catchments in Peninsular India (8-24° N, 72-87° E). Our results show a shift towards earlier hydrological drought onset in conjunction with a decrease in deficit volume during the Indian monsoon (June-September) season, which is contrasted by a delayed onset in the pre-monsoon (March-May) and post-monsoon (October-February) seasons. Further, approximately one-third of the catchments show a significant nonlinear dependency between drought deficit volume and onset time. We find environmental controls, such as soil organic carbon, vertical distance to channel network, and soil wetness are dominant factors in influencing 

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33 climatic and physiographic controls on streamflow drought mechanisms, which can support

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### 35 Plain Language Summary

In the tropics, streamflow droughts occur due to the failure of the southwest monsoon. A 36 combination of erratic rainfall distributions and catchment-specific attributes aggravates the 37 38 severity of streamflow droughts. In this paper, we analyze the change in average timing of streamflow droughts and deficit volume (severity) over Peninsular India and explore the role of 39 physical controls on streamflow droughts. We show that during the monsoon season, a significant 40 trend in early drought onset coincides with an increase in deficit volume. In contrast, the opposite 41 trend is observed during the summer and winter for drought onset. A strong linkage between 42 43 drought onset time and deficit volume suggests credible risk management, which requires assessement of nonlinear dependence between the interrelated attributes. To this end, we discuss 44 45 the possible physical controls that drive streamflow drought dynamics. We show that while climatic controls (rainfall and soil moisture) primarily drive streamflow drought onset, physical 46 environmental controls (catchment and terrain attributes) influence drought deficit volume 47 together with climatic drivers. Understanding regional drivers of streamflow droughts aid in 48 forecasting efforts and mitigation of climate change adaptation. 49

### 50 **1 Introduction**

Drought is a slow-onset natural hazard characterized by an extended deficit in rainfall, resulting in 51 water shortage (Wilhite, 2005). Droughts have caused about 137 million USD damage in India 52 between 1965 and 2019, affecting around 1.4 billion people, as reported in the Emergency Event 53 Database in 2022 (EM-DAT, 2022). Droughts are classified into four classes such as 54 meteorological, hydrological, agricultural and socio-economic droughts (Mishra & Singh, 55 2010). The abnormally low water availability in the streams is addressed as streamflow droughts. 56 Climate change variability and change further intensify the severity of droughts (Preethi et al., 57 2019), gradually pushing the country to near Day Zero condition (Parvatam & Privadarshini, 58 2019). Peninsular India (8-24°N, 72-87°E) is one of the significant contributors to the economy of 59 the Indian sub-continent, such as agricultural activity, manufacturing industries, cash crops (e.g., 60 sugarcane, 39% and cotton, 51%) and shares around 57% of the Indian population (ASG, 2019). 61 The basin-wide surface water potential capacity of Peninsular river basins accounts for  $654.44 \times 10^9$ 62  $m^{3}$ /annum, contributing ~30% of the total surface water potential of the country (IWRS, 2021). 63 The rivers in this region of the country are rain-fed, and a large portion of streamflow occurs in 64 the monsoon (June-September) season. Prolonged 'breaks' in the southwest (June-September) 65 and/or northeastern (October-December) monsoon results in severe dry spells, *i.e.*, consecutive 66

days without precipitation (Mishra et al., 2021a; Raman & Rao, 1981), leading to hydrological 67 droughts (streamflow and groundwater deficits). Peninsular India frequently experiences droughts 68 in recent years, for example, in 2015, 2018-2019 (Bhosale and Sally, 2015; GDO, 2019; The 69 70 Hindu, 2019; Sahana et al., 2020), which was triggered due to the combination of the southwest monsoon failure, unprecedented heatwaves and inadequate rainfall (GDO, 2019). Hydrological 71 72 droughts are manifested by drying reservoirs, streamflow reduction, and declining groundwater levels (van Loon, 2015), over-exploitation of available water resources for irrigation and 73 hydropower production, impacting regional food-energy-water-ecosystem resilience (Barik et al., 74 2017; Sanders, 2015). Further, the weakening of the monsoon in recent years (Bollasina et al., 75 2011; Huang et al., 2020; Kumar et al., 2020) because of global warming has increased the 76 likelihood of persistent dry spells and hydrological droughts over Peninsular India (Bhardwaj et 77 al., 2020; Mishra et al., 2021a). 78

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Using well-calibrated semi-distributed Infiltration 80 Variable Capacity-coupled-simple Groundwater Model (VIC-SIMGM), Shah & Mishra (2020) showed that the majority of 81 hydrological drought onset in Indian subcontinent occurs during the southwest monsoon season. 82 Droughts across Peninsular India are largely controlled by the sea surface temperature (SST) 83 increase over the Indian Ocean (Shah & Mishra, 2020). Further, the recent multi-season drought 84 episodes of 2016-2018 in southern India are shown to be linked with unprecedented low 85 northeastern monsoon rainfall (Mishra et al., 2021b). Despite available literature insights into the 86 causes of droughts (Hoerling et al., 2014; Wang et al., 2021), onset and persistence (Mo, 2011; 87 Shah & Mishra, 2020), and frequency analyses (Chiang et al., 2018; Hoerling et al., 2011; Hoerling 88 et al., 2012), to the best of our knowledge, analysis of co-variability between streamflow drought 89 onset and deficit volume (severity) has until received little attention. Unlike previous drought 90 assessments (Bhardwaj et al., 2020; Ganguli & Reddy, 2012, 2014; Shah & Mishra, 2020a; Shah 91 & Mishra, 2016) over Peninsular India, we use high-resolution daily streamflow records for 92 delineation of streamflow droughts that can account for strong seasonal pattern in streamflow time 93 series (Heudorfer & Stahl, 2017a; van Loon & Laaha, 2015). The use of high-resolution temporal 94 streamflow records enables the detection of rapid intensification/onset of catchment-scale "flash 95 droughts," which aid in improving seasonal-to-sub-seasonal predictability of streamflow-based 96 droughts and devising sustainable food security policy (Pendergrass et al., 2020). 97

Second, little is known about whether any space-time relationship exists between timing of streamflow drought onset and the severity of the event. Understanding of drought onset and shift in its time of occurrence is especially crucial for Peninsular India, where the agrarian economy is highly dependent on rainfall distribution. Although a recent study investigated changes in dry spell duration and their timing using station-based in-situ observation at a global scale (Breinl et al., 2020), none of the assessments have investigated changing patterns of streamflow drought onset, deficit volume, and the dependence between the two drivers. In particular, it remains unclear

whether streamflow drought seasonality is the dominant driver of the severity of droughts at the 105 tropical rain-fed catchments (van Loon and Laaha, 2015; van Loon, 2015). Third, very few 106 assessments are available on understanding environmental controls (soil moisture, soil and 107 catchment properties) on streamflow droughts at a regional scale, although assessment of soil and 108 catchment properties on streamflow droughts exists in the literature (van Loon & Laaha, 2015; 109 Ganguli et al, 2022). Since the seasonality of rainfall primarily mediates streamflow drought 110 responses in Peninsular India (Cook et al., 2010; Mishra et al., 2021b; van Loon, 2015), it is still 111 not clear whether the timing of drought onset alters its severity. Therefore, our research seeks to 112 answer the following questions: 113

- 1) What are the regional trends in the drought onset pattern and deficit volume of streamflow droughts in the Peninsular India?
- 2) Is there a clearly identifiable relationship between streamflow drought onset and deficitvolume?
- 3) How are changing patterns of onset-time and deficit volume linked to variousenvironmenal controls on streamflow droughts across Peninsular India?
- To address these issues, we use daily stream gauge records of 97 sub-catchments of 17 medium to 120 large-sized river basins over Peninsular India. We find mean drought onset is clustered around late 121 monsoon seasons (August-September) for most gauges (71%), which show persistency in onset 122 timing. Several gauges (30%) show a delay in drought onset than that of the sites with an earlier 123 onset, which is limited to only 9% of the catchment. Further, we identify the environmental drivers 124 influencing drought dynamics. Overall, our observation-based assessment helps to improve 125 126 understanding of multivariate attributes, drought onset and severity, and their changes at river basin scales of Peninsular India, which have implications for building resilience to extreme 127 droughts in the future. 128

### 129 **2 Data and Methods**

### 130 **2.1 Data**

We collect daily streamflow records of over five decades (1965 – 2018) from 97 catchments of 131 Peninsular India available at the India-Water Resource Information System (India-WRIS) archive. 132 Peninsular India (8-24° N, 72-87° E) covers nearly half of the Indian terrain with diverse topology 133 and climate (Figure 1). To screen quality-controlled streamflow records, we apply the following 134 criteria: (1) basins with at least a catchment area of 1000 km<sup>2</sup> or larger; (2) available record lengths 135 of 20 years or more (3) at least 70% of daily discharge records availability. Based on these criteria, 136 we initially selected 97 stream gauges (Table S1) from 17 large major river basin systems of 137 138 Peninsular India (Figure 1).

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140 Further, as a first-order assessment of possible anthropogenic impacts on streamflow variability,

141 we analyze the correlation between annual average rainfall and annual mean discharge. For this,

we collected high-resolution (0.25°) daily precipitation records archived at the India Metrological
 Department (IMD; Pai et al., 2014). We download the gridded rainfall records for the period 1965-

Department (IMD; Pai et al., 2014). We download the gridded rainfall records for the period 1965-2018, the same length as the streamflow records, and then compute catchment-averaged rainfall

145 time series. We obtain the monthly soil moisture records (at a depth of 1.6m; Dool et al., 2003)

- 146 from the Climate Prediction Center (CPC; https://psl.noaa.gov/data/gridded/data.cpcsoil.html)
- 147 available at a 0.5° spatial resolution. We use catchment boundaries available at the Global
- 148 Streamflow and Meta data Archive (GSIM I; Do et al., 2018) for delineation of river basins.
- 149

150 Figure 1a shows the locations of stream gauges within each catchment. The Figure S1 shows the

- 151 period of year-wise streamflow record availability. Selected basins are not affected by any major
- 152 surface irrigation projects and dams. The fraction of area under surface irrigation for the finally
- selected catchmnts lies within the range of 0.95%-15.2% with a median value of 5.6% (MoA,

2021). We obtain soil property from the Digital Soil Maps (DSM) of India (Reddy et al., 2021a).
DSM was developed based on Indian legacy soil database obtained from various archives such as
the National Bureau of Soil Survey and Land Use Planning (ICAR-NBSS&LUP:

157 https://nbsslup.icar.gov.in/) and other organizational publications (Reddy et al., 2021b). We

- retrieve soil properties at two different depths: 30 cm (weighted average of depths 0-5, 5-15, 15-
- 159 30 cm) and 100 cm (weighted average of depths 0-5, 5-15, 15-30, 30-60, 60-100 cm) for the study
- 160 catchments. We determine the catchment properties using the digital elevation model (DEM)
- archived at the Shuttle Radar Topographic Mission (SRTM) at a spatial resolution of 90 m (Jarvis
- 162 et al., 2008).
- 163

### 164 **2.2 Methods**

First, we collect daily streamflow time series and precipitation records for the selected stream 165 gauges. Since selected basins are non-perennial and rain-fed in nature, the relationship between 166 rainfall and runoff records are considered as a deciding factor to select basins for further analyses. 167 The workflow has several steps, such as, developing flow duration curve (FDC), comparing annual 168 rainfall and runoff responses considering the water year ( $1^{st}$  June –  $31^{st}$  May). For analysing the 169 FDC, the flow records are split into two periods, the pre-versus the post-2000s: 1965-1999 and 170 2000-2018 (Figure S2). Finally, we analyze the double mass curves by establishing a graphical 171 172 relationship of accumulated rainfall depth verses accumulated discharge to identify cumulative departures from the mean (Figure S3). However, analyzing the graphical relationship of rainfall 173 depth versus the discharge of all 97 sites is a non-trivial task; hence, we consider a quantitative 174 assessment by establishing a non-parametric association between annual average rainfall and 175 annual mean discharge to discard gauges with possible human alterations. The annual time scale 176 177 avoids the influence of inter-annual variability, affecting the rainfall-runoff relationship. We assess

the degree of association between annual average streamflow and annual mean precipitation for

the whole analysis period using Kendall's rank correlation coefficient, tau ( $\tau$ ), which measures the

similarity or difference of temporal patterns of the two time series (Kendall, 1938). We discard

those stations (Table S2) where Kendall's  $\tau$  dependence between mean annual rainfall and runoff

is less than 0.05. Finally, we selected 82 stations. Figure 2 shows the overall workflow of theanalyses.

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### 185 **2.2.1 Streamflow Drought Identification**

The streamflow records often contain missing values, which we infilled using time series 186 interpolation (Ganguli & Ganguly, 2016). We identify streamflow drought using a daily variable 187 threshold approach, where we compute the variable threshold using the 20<sup>th</sup> percentile discharge 188 threshold (the 20<sup>th</sup> percentile flow represents the flow that is equaled or exceeded for 80% of flow 189 records, often represented by  $Q_{80}$  for each day, determined using a centered moving average 190 window of 30 days (Heudorfer and Stahl, 2017; van Lanen et al., 2013; Brunner, 2021). We 191 implemented centered moving window through convolution operation available in MATLAB 192 computing environment. Next, we obtain different threshold values for each calender day of the 193 year (considering leap year) for each catchment. We then identify drought events when 194 consecutive daily flow values remain below the variable thresholds continuously over a period of 195 atleast 30 days. We identify drought characteristics, deficit volume as the cumulative sum of the 196 streamflow volume lower than the variable threshold throughout the duration of the event. 197

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### 199 2.2.2 Determining Mean Onset of Drought

We obtain the onset day based on Gregorian calendar (Julian date), which is then transformed into circular variable using the Eq.1. The onset date ( $J_i$ ) can be then converted to an angular value ( $\theta_i$ ), in radians for an event "*i*" using the following relationship:

203 
$$\theta_i = J_i \frac{2\pi}{L} \tag{1}$$

where, J = 1 for January 1 and J = 365 for December 31 (or 366 for leap year); L is the number of days in a year, *i.e.*, 365 for a normal year and 366 for a leap year. Next, we categorized drought events into different seasons, pre-monsoon (March-May), monsoon (June-September), and postmonsoon (October to the following year February), based on their occurrence dates. We further describe the method to determine the mean onset day and corresponding regularity (*i.e.*, seasonality) in the supplementary information, S1.1.

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### 211 2.2.3 Analysis of Linear versus Circular Trends

For computing changes in deficit volume and event duration, we applied the simple Theil-Sen slope estimates (Sen, 1968). To make trends comparable for different catchment sizes and

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climatology, we report the trend using percentage change per year by normalizing the climatology of the index time series during the analysis period (Gudmundsson et al., 2019). For computing changes in onset day, we applied Theil-Sen slope estimates with the correction for circular nature

of the data. The slope estimates ( $\beta$ ) is the median of difference of dates over all possible pairs of

218 years (i and j) within the time series (Blöschl et al., 2017).

219

220 
$$\beta = median\left(\frac{D_j - D_i + k}{j - i}\right)$$
(2)

221

222  
with 
$$k = \begin{cases} -\overline{m}, if D_j - D_i > \frac{\overline{m}}{2} \\ \overline{m}, if D_j - D_i < -\frac{\overline{m}}{2} \\ 0 & otherwise \end{cases}$$
(3)

$$\overline{m} = \frac{1}{n \sum_{1}^{i=n} L} \tag{4}$$

223 Where  $\overline{m}$  is the average number of days per year,  $D_j$  and  $D_i$  are the onset time (Julian date) in time 224 periods, j and i (j>i) respectively. Here, if there are *n* values of data in the time series, it results in 225 as many as N =  ${}^{n}C_2$  number of slope estimates, *i.e.*,  $\beta$  values with units of days per year. The

parameter, k in Eq. 3 adjusts the circular nature of dates because the difference in event dates cannot be greater than the number of days associated with half a year.

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### 229 2.2.4 Spatial Synchronicity in Drought Onset versus Deficit Volume

The onset of drought is a circular variable but the deficit volume is a linear variable. For assessing the relationship between linear and circular variable, a circular-linear correlation method is utilized, which is different from typical correlation measures, such as Pearson's correlation or Kendall's  $\tau$ . The circular-linear correlation values lie between 0 and 1, where no negative correlation exists between two underlying drivers. We have considered both a parametric and a non-parametric correlation methods in our analysis.

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### • Parametric method

In the parametric approach, it is assumed that the linear variable, X(i.e., deficit volume) is normally

distributed and the expected value of X depends on the circular variable,  $\theta$  (here, drought onset

time) with a constant variance. Second, it is assumed that n pairs of observations are mutually

- independent. In particular, the expected value of *X* is assumed to be a function of angular distance
- between the angle  $\theta_0$  (direction of maximum effect) (Lototzis et al., 2018; Maridia, 1972).
- In this case, the correlation coefficient between the linear variable, X and the circular variable,  $\theta$
- (denoted by  $r_{X\theta}$ ) is defined as the (non-negative) square root of  $r_{X\theta}^2$ ,

245 
$$r_{X\theta}^2 = \frac{r_{XC}^2 + r_{XS}^2 - 2r_{XC}r_{XS}r_{CS}}{1 - r_{CS}^2}$$
(5)

where  $r_{XC}$ ,  $r_{XS}$  are respectively the partial correlation coefficient of *X* with *C* and *S* representing the cosine and the sine function of the angle,  $\theta$ . The  $r_{CS}$  is the correlation among the cosine and sine function of the angle.

If X and  $\theta$  are independent and X is normally distributed, then the Eq. (5) will follow a *F*distribution with *n*-3 degrees of freedom. The statistical significance of this relationship can be assessed by the following equation:

$$\frac{(n-3)r_{X\theta}^2}{1-r_{X\theta}^2} \tag{6}$$

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### • Non-parametric method

In this method, the rank of the data is being used to find the circular-linear association. The linear variable *X* here is the deficit volume arranged in an ascending order  $X_1 \le X_2 \le X_3 \le \dots \le X_n$ . If  $r_1, \dots, r_n$ are the circular ranks for the drought onset time, and  $\theta_1, \dots, \theta_n$  are the corresponding ranks of the variable, then the uniform scores allotted to each of these variables are represented by  $\alpha_{1,\dots,\alpha_n}$ 

 $\alpha = \frac{2\pi r_i}{n} \tag{7}$ 

Finally, the linear-circular rank correlation coefficient is defined as  $U_n$  (Maridia, 1972)

261 
$$U_n = \frac{24(C^2 + S^2)}{n^2(n+1)}$$
(8)

262 with, 
$$C = \sum_{i=1}^{n} i \cos \alpha_i$$
 and  $S = \sum_{i=1}^{n} i \cos \alpha_i$ 

263

 $U_n$  have no particular range so it is important to have a correlation coefficient to lie in the range of [0,1]. This is known as scaled correlation coefficient and is represented as  $D_n$ . (Maridia, 1972)

267 
$$D_n = a_n (C^2 + S^2),$$
 (9)

268 where, 
$$a_n = \begin{cases} \frac{1}{\{1+5\cot^2\left(\frac{\pi}{n}\right)+4\cot^4\left(\frac{\pi}{n}\right)\}}, & n \text{ even} \\ \frac{2\sin^4\left(\frac{\pi}{n}\right)}{\{1+\cos\left(\frac{\pi}{n}\right)\}^3}, & n \text{ odd} \end{cases}$$

Further, we assess the significance of the non-parametric relationship by bootstrap resampling with N = 1000 iterations.

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### 272 **2.2.5 Identification of Drought Clusters**

273 Finally, we detect the drought onset-severity hotspots within Peninsular India using a Densitybased clustering with Noise algorithm (DBSCAN; Hahsler et al., 2019), which is robust towards 274 outliers. Typically DBSCAN requires only two parameters, epsilon,  $\varepsilon$  and the minimum points, p, 275 where  $\varepsilon$  indicates the radius from the core point and p is the minimum number of points that should 276 be considered in each cluster. We consider ranges of attributes for regionalization, such as latitude 277 and longitude of gauges, average annual rainfall (mm), non-parametric dependency  $D_n$ , average 278 deficit volume (mm), mean onset day and subsurface storage of the catchment. We calculated the 279 catchment-wise Baseflow Index (BFI), which is the ratio of baseflow volume to total streamflow 280 volume (WMO, 2008), and used it as a proxy for the subsurface catchment storage. 281

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### 283 2.2.6 Determining Environmental Controls on Streamflow Droughts

We investigate the environmental controls on streamflow drought onset and deficit volume using 284 catchment averaged soil moisture time series for identified drought cluster. While a complete or 285 286 partial failure of monsoon primarily causes droughts and largely controls severity of events in India (Cook et al., 2010; Zachariah et al., 2020), the temporal variability of soil moisture influences 287 intensity and onset (Liang & Yuan, 2021; Zeri et al., 2022). To investigate the role of other physical 288 controls on streamflow droughts, we consider 4 soil signatures and 11 catchment-specific 289 signatures (Table S3; Beven, 2011; Wlostowski et al., 2021). To uncover dominant environment 290 controls on streamflow droughts, we present a graphical assessment measure, Taylor Diagram 291 (Taylor, 2001) for each drought cluster. Taylor Diagram compares influence of each of drivers 292 against the reference variable (here drought characteristics, onset and deficit volume) using 293 Pearson correlation coefficient, root-mean-square-deviation (RMSD), and standard deviation. To 294 quantitatively evaluate the influence of each static control on droughts, we use Taylor Skill Score 295 (TSS) and pattern Kendall's  $\tau$  dependence metrics. While pattern Kendall's  $\tau$  correlation 296 coefficient quantifies the similarity or difference in spatial patterns of two series, i.e., regional 297 drought deficit volume versus static controls, the TSS evaluates the similarity between the 298 distribution and amplitude of the spatial pattern of the two signals (Hirota & Takayabu, 2013; 299 Taylor, 2001). 300

301 
$$S = \frac{4(1+R_0)}{\left(SDR + \frac{1}{SDR}\right)^2 (1+R_0)}$$
(10)

Where *R* is the pattern correlation between regional drought characteristics and static controls. *SDR* is the ratio of the normalized spatial standard deviations of the static controls to that of the regional drought characteristics. The term  $R_0$  indicates the maximum attainable correlation of static controls versus regional drought characteristics. As the variance of static controls approaches the variance of drought characteristics, *R* approaches  $R_0$  and the TSS tends to become unity. When the variance of regional drought characteristics approaches zero or the correlation value tends to become negative, TSS approaches zero value.

### 309 **3 Results and Discussion**

### 310 **3.1 Distribution of Streamflow Drought Onset and Persistency in Onset Timing**

The mean onset of droughts is primarily clustered around the month of August and September for 311 71% of the catchments (Figure 3a). It confirms that streamflow droughts in peninsular catchments 312 of India are primarily caused by prolonged dry spell and failure of the southwest monsoon (van 313 Loon, 2015). The seasonality of droughts ranges from 0.5 to 1 (Figure 3a) – while the high 314 315 seasonality (or regularity) with a value of 1 indicates persistence in drought timing, the low value 0 shows that the onset of drought is uniformly distributed throughout the year with no clear pattern. 316 Southern India shows more variability in mean drought onset time. Summer droughts show a mean 317 onset day clustered around April, which could be due to unavailibity of pre-monsoon showers. The 318 319 mean onset of events during the monsoon occurs during July to September months. In case of 320 failure of monsoonal rainfall, the high BFI values can sustain streamflow, resulting in a delayed arrival of streamflow droughts. During post monsoon season, mean onset time typically clustered 321 322 around the beginning of the season (October). The overall Kendall's  $\tau$  dependence of regularity of drought onset versus the BFI reveals a strong negative association of -0.44, significant at a 10% 323 324 significance level. The second quadrant in Figure 3b (right panel) shows catchments with high regularity and low BFI. The catchments with low BFI indicates rivers are associated with small 325 catchment memory due to less permeable soils with low soil water storage capacity, resulting in 326 327 high persistence in drought onset time (Rumsey et al., 2015; Salinas et al., 2013; Yaeger et al., 2012). 328

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The spatial distribution of frequency (number of events) of droughts for the whole year without considering seasonal stratifications suggests that overall central India is characterized with a larger number of drought events (Figure S4a). When considering individual seasons, more streamflow drought events are apparent during post-monsoon season as compared to the pre-monsoon and monsoon seasons (Figure S4b). This could be probably linked to dry winter months (3<sup>rd</sup> week December-March) when monsoon winds retreat and cold, high-pressure air mass over northern Asia moves towards the equator (Webster, 1981).

### 337 **3.2 Temporal Shifts in Drought Onset and Deficit Volume**

Next, we investigate trends in streamflow drought onset and deficit volume. Considering no 338 seasonal stratifications for the whole year (Figure 4a-b, left panel), we find around 30% catchments 339 show a significant delay in drought onset that ranges from 1 to 10 days per year over the period of 340 1965-2018. The delayed arrival of streamflow drought is compounded by a decreasing deficit 341 volume over 20% of the peninsular catchments. Possible mechanisms that drive shift in onset time 342 of drought and trends in deficit volume are large scale shifts in monsoon-driven precipitation 343 (Guimberteau et al., 2012; Loo et al., 2015; Marvel et al., 2019), intensification in localized 344 345 extreme rainfall events (Katzenberger et al., 2021; Krishnan et al., 2016a; Roxy et al., 2017a) and changes in evapotranspiration rate (Aadhar & Mishra, 2020; Padrón et al., 2020; Willett et al., 346 2007). 347

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While the seasonal stratification shows a earlier onset of monsoon droughts with decreasing trends 349 in deficit volume, the non-monsoonal (*i.e.*, summer and winter) droughts present a completely 350 contrasting patterns (Figure 4): more fraction of gauges show a delayed drought onset. The drying 351 trend is more prominent across the catchments of Krishna and Cauvery River basins (i.e., the 352 Southern part of Peninsular India). In contrast, an apparent wetter trend prevails across north and 353 north-east Peninsular India in monsoon season (Figure 4, lower panel). This is in agreement with 354 earlier findings, which reported monsoonal weakening in recent decades significantly enhances 355 localized intense rainfall events, for example, in the core monsoon zone (18°-28° N and 73°-356 82°) of the country (Singh et al., 2014, Krishnan et al., 2016; Roxy et al., 2017). More irrigation 357 and the type of irrigation in the northern India modifies the intra-seasonal properties of monsoonal 358 precipitation, causing delayed arrival of droughts (Devanand et al., 2019). 359

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The inter quartile range of the shift in onset varies within  $\pm 2$  days, likewise, changes in the deficit 361 volume ranges from -1.7% to 1.2%. Our findings, overall decreasing trend in deficit volume 362 (Figure 4b) contrasts with earlier assessments (Gudmundsson et al., 2019; 2021) that showed an 363 increasing trend in low flows across Peninsular Indian river basins in observations and climate 364 model simulations (see Figure 1 in Gudmundsson et al., 2021). However, in earlier assessments 365 (Gudmundsson et al., 2019; 2021), low flows were detected by following a constant threshold 366 approach, which was applied for the entire year irrespective of considering seasonal variability. 367 To summarize, we find that the variable threshold credibly captures the seasonality in the drought 368 369 onset pattern and the deficit volume, which was not precisely reported in earlier assessments (Gudmundsson et al., 2019; 2021), relied on constant threshold methods to detect low flow trends. 370

371

### 372 **3.3** Asymmetrical Shift toward Stronger Dependency of Onset Time versus Deficit Volume

To determine the strength of dependency between the onset time and deficit volume, we implemented linear-circular dependence metrics (Eqs. 5 and 9). We find spatially coherent pattern

- 375 (Figure 5) among different dependence metrics with strong dependence strengths ( $D_n > 0.6$ ) across
- Vaitarana, Pennar, Periyar, Baitarani, 50% of Brahmani, 50% of Bharatpuzha, 45% of Krishna,
- 29% of Cauvery, 18% of Narmada, 20% of Godavari, and 12% of Mahanadi River basin, whereas
- weaker dependence strengths (< 0.4) across Vaigai, 29% of Cauvery, 18% of Narmada, 9% of
- 379 Krishna, 5% of Godavari River basin. While the values of parametric dependency vary between
- 0.14 and 0.98, the non-parametric dependency varies between 0.13 and 0.99. In both metrics, more
- than half of catchments show dependency strengths higher than 0.5 (*i.e.*,  $\sim$  58% in parametric and
- 382 74% in non-parametric dependence), indicating stronger positive dependency between onset time
- and deficit volume.
- 384

The probabilty desity function (PDF) comparison of spatial footprints of parametric versus nonparametric dependence strengths show a significant (two-sample Kolmogorov-Smirnov test) rightward shift with an extended right tail. The asymmetrical shift towards stronger dependence is more prominent for non-parametric dependence measure as clearly depicted in the rightward shift in median with dependence strengths of more than 0.6. This suggests water resources manager should consider the adverse impact of non-linear dependence between drought onset time and deficit volume while planning, which may aid in understanding how the timing of droughts would

- 392 alter event-specific severity.
- 393

### 394 3.4 Regionalization of Droughts Considering Spatial Coherency in Drought Onset versus 395 Deficit Volume

The spatial distribution of drought onset versus deficit volume dependence (Figure 3) provides 396 information on river basins that show a close correspondence between drought deficit volume and 397 its onset time. Next, we performed regionalization of streamflow droughts using density-based 398 spatial clustering (Hahsler et al., 2019b). The sites are then classified into three distinct regimes. 399 Figure 6 depicts general characteristics of spatial variability of streamflow droughts classified 400 based on a suite of geospatial and hydrometeorological attributes, such as geospatial locations of 401 gauges, linear-circular dependency of drought attributes, basin-wide mean rainfall and subsurface 402 403 storage property manifested by the BFI (see Methods). A few gauges overlap spatially among different regions due to similar hydrometeorological and geomorphological attributes. 404

405

The Region 1 contains 50 sub-catchments (the location of the flow gauging stations are shown in Figure 6a) and includes large river basins across central India (northern peninsular), such as Godavari and Narmada. The Region 1 contains the highest number of catchments and has a moderate (5.36 mm) median deficit volume with a high variance (Figure 6b). The median rainfall (Figure 6c) in this region is the highest (1189.2 mm) as compared to other regions with mean drought onset days clustered around August – September with high variability in onset time 412 (Figure 6b). The low BFI (Figure 6c) in this area could possibly the reason for a high regularity in413 drought onset in this region.

414

Region 2 contains 13 sub-catchments, whereas Region 3 contains the least number of sub-415 catchments, i.e., 10. Region 2, where the majority of gauges are clustered around eastern coastal 416 plains and a few gauges around southern coastal plains, shows a higher median deficit volume as 417 compared to the other two regions (Figure 6c). Despite relatively higher BFI at Region 2, the high 418 deficit volume at Region 2 is due to the low average rainfall. Interestingly, catchments in Region 419 2 show the strongest dependence strengths of onset time versus deficit volume, indicating that 420 mean onset time, which varies from mid-August to the beginning of October (i.e., monsoon 421 drought), possibly drives the high deficit volume. 422

423

424 The mean onset time and deficit volume of droughts at Region 3 show a large variability as indicated by a wider temporal spread in onset time (Figure 6b) and a large interquartile range in 425 the deficit volume (Figure 6c). However, Region 3 shows low variability in annual average rainfall. 426 The mean onset time of droughts ranges from the middle of August to the beginning of November 427 with a large concentration of mean onset time in the September. Region 3 is characterized by the 428 lowest median BFI with a large variability in baseflow, indicating presence of impermeable basin 429 geology with a flashy catchments (*i.e.*, steep rising limb of the hydrograph with a small lag-time). 430 Interestingly, rivers in this regime show the lowest dependence strengths of onset time versus 431 deficit volume, although they exhibits the highest regularity (Figure 6b-c). A few catchments in 432 this regime show a mean drought onset time between October and November with regularity 433 values greater than 0.5 to close to 1. To summarize, rivers in Region 3 have the least groundwater 434 recharge; the failure of both southwest and northeast monsoon drives streamflow droughts for this 435 regime. Our findings are in agreement with an earlier study (Mishra et al., 2021b) that showed 436 moderate-to-exceptionally low northeastern monsoon in recent years driving severe droughts and 437 water scarcity in southern India. 438 439

Taken together, aggregating all three regimes, we find that the mean drought onset is in September 440 441 for more than 41% of gauges. The interquartile ranges of mean drought deficit volume and dependence strengths for these gauges vary from 2.8-6.2 mm and 0.62-0.73, respectively. In 442 contrast, only 19% of catchments show the mean drought onset around the middle of October and 443 the beginning of November and these are primarily clustered around the southern part of 444 Peninsular India. The interquartile ranges of mean drought deficit volume and dependence 445 strengths of these catchments varies from 2.2-4 mm and 0.41-0.68, respectively. This implies that 446 monsoon drought is slightly more severe as compared to post-monsoon droughts in Peninsular 447 India. Severe monsoon droughts possibly result from the concurrence of heatwaves and dry spells, 448 which intensifies land-atmosphere feedback, leading to unprecedented low soil water regime over 449

450 large areas, moisture limitations suppressing cloud formation, and increased temperatures in a

multi-week episode (Dirmeyer et al., 2021; Miralles et al., 2019; Panda et al., 2017). Next, we

452 assess inter-regional differences in bivariate drought properties, i.e., dependence strengths of mean

453 onset time versus deficit volume using Wilcoxon rank sum test (Kim, 2014). Our analyses show

454 that inter-regional differences across clusters are statistically indistinguishable considering

- 455 dependence strengths between onset time of streamflow droughts and corresponding deficit
- 456 volume (see the supplementary information, S1.2 for details).
- 457

### 458 **3.4 Assessing Streamflow Droughts with Environmental Controls**

To understand climate and physiographic controls on streamflow droughts, first we investigate the 459 temporal variability of soil moisture relative to drought deficit time series during 1980-2018. As 460 the soil moisture shows the integrated effect of rainfall, temperature and other metrological 461 parameters, we have chosen this variable to access the environmental controls. The temporal 462 evolutions of streamflow droughts follow asynchronous variability of soil moisture – high (low) 463 soil moisture leads to low (high) deficit volume (Figure 7), which strongly suggests a causal link. 464 A pronounced lag effect between soil moisture and deficit volume is often apparent due to its 465 memory effect (Wilby et al., 2004). The deeper layer of soil moisture (as here) evolves slowly and 466 supports drought monitoring (NOAA, 2022). The inter-annual variability is prominent in soil 467 moisture versus the deficit volume time series. For example, in Region 1, soil moisture 468 observations show an increasing wet pattern during the epoch (1990 - 2000), which could be 469 associated with an increasing wet spells and reduced number of drought years in central India after 470 1980s (Sahoo & Yadav, 2022). Typically, Region 1 contains a few severe outlying drought events 471 with large deficit volume (i.e., > 15 mm) during the years 1983, 1987, 2002 and 2009, which were 472 typically associated with large-scale climatic "teleconnection" pattern, El Niño events. 473 Precipitation in India is known to be linked with large-scale teleconnections through sea surface 474 temperature (SST), which induces large-scale atmospheric patterns triggering the development of 475 dry spells and monsoon failures, resulting in severe droughts/dry spells (Mooley & Parthasarathy, 476 1983; Pai et al., 2017; Schulte et al., 2020). The concurrences of heatwaves and drought in 2002 477 and 2009 (Gadgil et al., 2004; Panda et al., 2017), resulted in crop failures, depletion in surface 478 and subsurface water availability, shortages in power production, and overall huge economic 479 losses of  $\sim 1\%$  of gross domestic production in 2002. 480

481

In Region 2, the median of weighted average deficit volume of all events remains the highest nearing 7.4 mm (Figure 7, middle panel). A time lag typically exists between soil moisture and deficit volume with recovery from baseflow, possibly due to higher sand content in the eastern coastal plain (Rumsey et al., 2015), which slows down the development of droughts and lowers deficit volume. This could be attributed to highly permeable soil layers (Kelly et al., 2020) in this region as manifested by the high BFI of catchments (Figure 6c). In Region 3, the soil moisture is 488 generally higher than that of the other two regions. The deficit volume in this region shows high 489 variability. After 1990, we find a sharp drop in drought deficit volume in Region 3, which is

490 contrasted by an increase in the soil moisture. Drought during the year 2000-2003 was severe as

- reported in several studies (Bhat, 2006; Mishra, 2020); especially during the year 2002, India
- 492 incurred a total damage of 8.3 Million USD, which affected around 300 million people (EM-DAT,
- 493 2022). The severe drought of 2002 is well captured in all three regions as depicted by low soil
- 494 moisture during this period.
- 495

While climatic properties, *e.g.*, soil moisture influences drought onset, both climatic (soil moisture) 496 and catchment (catchment, topographic, and soil) properties have a key role in determining the 497 deficit volume. Once environmental controls are analyzed against the drought characteristics, we 498 refer to them as (potential) covariates. We identify the influence of static environmental controls 499 (Table S3) on streamflow droughts using Taylor diagrams and Taylor Skill score (See Methods). 500 The Taylor diagram demonstrates the skill of static environmental controls in mediating drought 501 deficit volume using a set of performance measures, such as standard deviation, RMSD, and 502 centered pattern correlation coefficients relative to the index series, *i.e.*, region-wise station-based 503 drought deficit volume in a single plot (Figure 8). We find that the static environmental controls 504 have trivial influence on timing of drought onset as manifested by very low TSS that varies from 505 0 to 0.046 for all three regions. Out of three regimes, Region 1 shows the least skill (the maximum 506 TSS value of 0.004). Although Regime 1 shows very low pattern linear-circular correlation 507 coefficients for onset time versus static environmental controls ( $D_n = 0.003 \dots 0.09$ ), for the other 508 two regimes, the correlation is relatively higher and varies from 0.09 to 0.92 (Figure S5). Typically, 509  $D_n$  values are larger for soil properties in Region 2 than Region 3, whereas catchment properties 510 are strongly correlated with onset time in Region 3 (Figure S5). Among soil attributes, Soil organic 511 carbon (SOC) and stocks at the surface and sub-surface levels are significantly correlated with 512 onset timing, whereas among catchment attributes, aspect and slope are dominant physiographic 513 controls for both regions. 514

515

Figure 8 shows static soil features, surface (30 cm) and sub-surface (up to 1m) soil organic stock 516 and cation exchange capacity (CEC) are the dominant soil controls. In Region 1, organic stock is 517 negatively correlated with drought deficit volume, indicating a low SOC content results in a high 518 519 deficit volume (Figure 8a). In contrast, the TSS scores of drought deficit volume versus soil organic stock are generally high (Figure 8b) and show significant positive dependence in Region 520 3 (Figure 8a). This might be due to soil texture and structure that impact the permeability. The 521 average clay and sand percentages indicate clay texture of soil over the region 3. As we move from 522 region 1 to 3, the sand fraction decreases, but the clay fraction tends to increase (Figure S6). While 523 524 regions 1 and 3 show a significant negative association with near-surface (30 cm depth) clay content versus drought deficit volume, only sub-surface (i.e., 1 m deep) clay content shows a 525

significant negative association with drought deficit volume for Region 2 (Figure 8a). A negative

association between sub-surface clay content and drought deficit volume suggests at a deeper 527 depth, soil permeability has increased gradually, which is also reflected in high BFI values in 528 Region 2. This region has low & highly variable median CEC content with relatively high 529 subsurface clay content (Figure S6). Interestingly, this region also has a high proportion of sand, 530 making soils highly conductive to the flow of water. Further, across all depths, significant negative 531 correlations are apparent for the CEC versus deficit volume, except Region 1, TSS values are low 532 for other two regions. This implies that although there is an anti-synchronicity between the two 533 spatial series as indicated by a robust negative pattern Kendall's  $\tau$ , a high variability exists in the 534 drought deficit volume relative to the index series, *i.e.*, soil CEC (Figure 8a). The soil CEC helps 535 soil to hold nutrients, organic matter contents and buffer pH, and thus plays a crucial role in 536 maintaining soil structure and further aids in tolerance of vegetation towards drought (Ruiz Sinoga 537 et al., 2012; Fang et al., 2017; Lukowska & Józefaciuk, 2016). Soils with low CEC may show low 538 539 water holding capacities leading to quick drying, compounding streamflow drought deficit volume, which may possibly explains the negative association between these two variables. Region 2 has 540 the highest average deficit volume, which is supported by the lowest median CEC (Figures 6 and 541

542

S6).

543

Likewise, catchment properties, such as topographic ruggedness index (TRI), slope, topographic 544 wetness index (TWI), vertical distance to channel network (VDCN), and longitudinal curvature 545 are found to be dominant physiographic covariates controlling the streamflow drought deficit 546 volume. The TSS values of dominant catchment-specific attributes versus drought deficit volume 547 varies from 0-0.53 with pattern Kendall's  $\tau$  from -0.69 to 0.59. However, a few physiographic 548 attributes do not show any substantial association with deficit volume, such as aspect, convergence 549 index, hillshading and relative slope. The catchment properties, slope, TRI and cross sectional 550 curvature shows significant positive correlation with deficit volume, whereas the long curvature 551 shows negative correlation. The environmental covariate, VDCN shows the largest TSS score 552 relative to the deficit volume. VDCN enhances the vegetative yield, in turn more water availability 553 for plants enhancing soil SOC (Horst et al., 2018). The slope, terrain curvatures, and topographic 554 heterogeneity, indicated by the TRI, influence catchment-related hydrological responses driving 555 flow direction, water accumulation, runoff velocity and soil moisture, therefore, play a vital role 556 in regulating water availability in a catchment (Amatulli et al., 2018). Figure S7 shows the 557 variability in dominant catchment properties across different regimes. The TWI is commonly used 558 559 as a proxy for soil moisture distribution and measure terrain-driven balance of the catchment water supply and local drainage (Kopecký et al., 2021; Raduła et al., 2018), which drives the negative 560 correlation of TWI against streamflow deficit volume. The lowest median TWI (Figure S7) and 561 low median soil moisture values (Figure 7) across Region 2 are responsible for the highest median 562 drought deficit volume. Overall our findings aid in understanding the causal chain of physical 563

processes, linking climatic and physiographic controls on streamflow droughts. Further, it helps in
 understanding tropical climate response to water availability in a changing climate.

566

### 567 4. Summary and Conclusions

In this paper, we proposed a data-driven analysis to quantify streamflow droughts and analysed 568 569 their space-time clustering patterns over Peninsular India. We investigated catchment-wise onset patterns and explored the relationships between bivariate drought characteristics, the timing of 570 drought onset, and event-specific deficit volume using *circular-linear* dependence metrics. The 571 analyzed physiographic controls include variables related to the antecedent catchment wet-572 /dryness manifested by soil moisture, soil and topographic characteristics, and event-specific 573 characteristics, such as the onset timing and dependence between drought onset and deficit volume. 574 Using quality-controlled river discharge records from streamflow gauges covering Peninsular 575 India, we proposed a methodology to (a) find the spatial distribution of persistency in the timing 576 of drought onset; (b) identify shifts in the mean timing of droughts and deficit volume that expose 577 disparate trends considering seasonal stratifications; (c) use circular-linear dependence metrics 578 not only to identify temporal coherency between drought onset and event-specific deficit volume 579 across individual catchments but also to identify spatial drought clusters to detect vulnerable areas 580 where onset timing is closely related to the severity of events. The key insights from our study can 581 be summarized as follows: 582

- We show a statistically significant relationship between the onset timing of streamflow droughts and event-specific deficit volume across river basins of Peninsular India and detected temporal synchronicity in onset timing. In addition, we find an inverse relationship of persistency in the timing of streamflow drought (i.e., regularity) versus the BFI, a proxy for catchment sub-surface water storage.
- The analysis of trends in streamflow drought onset timing and deficit volume by season 588 • 589 show a disparate pattern between monsoon and non-monsoon events. A significant shift to an earlier onset of monsoon drought is observed which is associated by a decrease in deficit 590 volume for most of the catchments, whereas the pre-monsoon and post-monsoon seasons 591 show an delayed drought onset. The contrasting trends in drought onset versus deficit 592 volume in the monsoon season are linked to monsoonal weakening in recent decades, 593 594 substantially enhancing localized extreme rain events (Krishnan et al., 2016; Roxy et al., 2017). 595
- Our observational evidence shows a strong coherence between streamflow drought onset time and deficit volume (severity) in several peninsular catchments and particularly in the Krishna River basin. Our assessments suggest that the timing of drought onset plays a central role in controlling drought deficit volume in the pluvial discharge regime. For the

first time, we show that streamflow drought onset and deficit volume co-vary and often show synchronicity in space-time.

• We identified three distinct drought clusters based on similarity measures of 602 hydrometeorological attributes. Drought onset in Region 1 is temporally clustered around 603 August – September. The high rainfall pattern in this region results in a moderate deficit 604 volume of droughts, although there is evidence of a few severe outlying events. In contrast, 605 Region 2 shows the highest average deficit volume, which is associated with low annual 606 average rainfall distribution. Furthermore, catchments in Region 3 show the lowest 607 subsurface storage with a high variability because of low BFI values, which may result in 608 a highly regular drought onset time. 609

The association of static physiographic signatures with onset time showed robust linear-610 • circular correlation for all catchments except for region 1. Soil attributes such as organic 611 stocks are significantly correlated to the onset time for both regions 2 and 3. These 612 properties strongly mediate drought deficit volume in all three regions, while the strength 613 varies from low to high across Region 1 to Region 3. A robust skill score is apparent for 614 sub-surface stock versus deficit volume for Regions 2 and 3, whereas the skill is relatively 615 low at Region 1. Among catchment attributes TRI, TWI, VDCN and longitudinal curvature 616 are found to be dominant physiographic attributes controlling the streamflow drought 617 deficit volume. 618

The dependence of drought onset and deficit volume and evidence of causal interactions
 between catchment-scale droughts and physiographic signatures provides the possibility of
 developing drought early warning tools and improving probabilistic assessment of drought
 risks by linking it to hazard frequency. The drought hazard assessment considering onset
 timing as a conditioning driver could help to enhance probabilistic prediction of seasonal
 to sub-seasonal low flows and inform timely forecast.

Our analysis is purposefully limited to rain-fed catchments across Peninsular India. The effect of 625 626 snow-melt in drought propagation is negligible here, and our analysis focused on an integrated aspect of streamflow droughts resulting from precipitation variability. Second, it has been widely 627 acknowledged that the onset of drought is associated with anomalous moisture transport linked to 628 large-scale atmospheric-ocean teleconnection (Emerton et al., 2019; Ionita & Nagavciuc, 2020). 629 Investigations of dominant modes of teleconnection patterns (Azad & Rajeevan, 2016; Dutta & 630 Maity, 2020), namely El Niño-Southern Oscillation (ENSO) and the Equatorial Indian Ocean 631 Oscillation (EQUINOO), on shifts in drought timing and its catchment-specific responses requires 632 a separate in-depth analysis. Nevertheless, we like to stress that the derived insights would enhance 633 seasonal to sub-seasonal streamflow drought forecasts and risk management, essential for water 634 managers and stakeholders coping with water stress, especially in regions or seasons with low 635 636 drought predictability. Moreover, the process-informed statistical framework presented here

would also benefit the prediction of other hydroclimatic extremes, such as floods and wildfire (Do
et al., 2020; Engström et al., 2022).

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649

### 650 **Open Research**

The streamflow data is obtained from India-Water Resource Information System (India-WRIS, 651 https://indiawris.gov.in/wris/#/). The precipitation data is retrieved from the daily precipitation 652 records archived at the India Metrological Department (IMD, 653 654 https://www.imdpune.gov.in/Clim Pred LRF New/). We obtain the monthly soil moisture records from the Climate Prediction Center (CPC; 655 https://psl.noaa.gov/data/gridded/data.cpcsoil.html) available at a 0.5° spatial resolution. We use 656 catchment boundaries available at the Global Streamflow and Meta data Archive 657 (https://doi.pangaea.de/10.1594/PANGAEA.887477). The digital soil mapping for India was 658 659 developed using an Indian soil legacy database that utilized archived data from various sources, the National Bureau of Soil Survey such as and Land Use Planning 660 (NBSS&LUP; https://www.nbsslup.in/) and other institution publications. The MATLAB Codes 661 used for analysis have been archived by the authors and are available on request from P.G., 662 pganguli@agfe.iitkgp.ac.in. The source codes for Digital Soil Map of India codes are available 663 from authors through personal request. 664

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Figure 1. Distribution of stream gauges and variable threshold approach for streamflow drought
identification. (a) Location of stream gauges over the catchments of peninsular India. Histogram
shows period of record availability versus number of stations. Streamflow drought identification
using daily variable threshold approach for the year 1982 at: (b) Jamshedpur station over
Subernarekha River (c) Handia gauge at Narmada River basin.



Figure 2. Overall workflow of the analysis.



**Figure 3. Trends in streamflow drought onset and regularity.** (a) (Left panel) the spatial map presenting mean onset of drought without considering the seasonal stratifications. The shades in the pie chart in the lower-left corner show the mean timing of streamflow droughts, whereas the histogram in the lower-right corner shows the mean onset months for gauges (in percentage). (Right panel) seasonal distribution of regularity in the mean onset time. (b) scatter plot of regularity in mean onset time versus the Base Flow Index (BFI). The red circles indicate significant association (with p < 0.1) between regularity and BFI, computed using Kendall's  $\tau$ . The size of the circle increases as the regularity increases. (c) Mean onset of drought with seasonal stratifications: (left panel) pre-monsoon: March-May, (middle panel) monsoon: June-September, and (right panel) post-monsoon: October-February.

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998Figure 4. Trends in drought onset and deficit volume. (a) Trends in streamflow drought onset (days-year<sup>-1</sup>) considering without (left most panel)999and with seasonal stratifications. The station with significant trend at 10 % significance level are marked with a yellow + sign. Significance1000in at-site trends are determined using bootstrap resampling procedure with at p < 0.10 level for negative trends and at p > 0.90 level for1001positive trends (Gudmundsson et al., 2019). The donut chart shows the fraction of stations (in %) with an earlier, delayed and no significant1002changes in drought onset. (b) The same as in panel (a) but for deficit volume. The donut chart shows the fraction of stations with changes in1003deficit volume.





Figure 5. Spatial footprints of dependence strengths of drought onset versus deficit volume. Dependence between streamflow drought onset
 and deficit volume quantified using (a) Parametric method (b) non-parametric method (c) Probability density functions (PDFs) comparing
 parametric (in dotted red lines) versus non-parametric (in solid red lines) dependency. The vertical dotted lines shows median values of
 dependence strengths.



Figure 6. Regionalization of streamflow droughts. (a) Catchments are regionalized based on similarity measures, considering geospatial and 1011 hydrometeorological drivers using a density based clustering technique. The grey circles represent catchments that are neither part of any 1012 regions nor a border ('noise' points detected in DBSCAN). (b) Region-wise weighted average deficit volume (mm) considering duration of 1013 the event as a weighing factor. The radii along the half circles represent the regularity of drought onset - the closer the points to origin, i.e., 1014 1015 close to zero indicates onset of drought is uniformly distributed throughout the year with no persistency in onset timing, whereas a value close to 1 indicates onset of drought clustered around the same time of the year with a high persistency in the drought onset time. The radius 1016 1017 of each circle indicates circular variance, a measure of the dispersion of circular data. (c) Hydroclimatic drivers used for regionalization of streamflow droughts. Shades of boxplots denote each region, whereas widths vary with the number of catchments within each region. The 1018 horizontal line represents the median point at the center of the boxplot. 1019



1022Figure 7. Time series (1980 to 2018) comparison of soil moisture versus deficit volume. Temporal evolution of catchment-averaged1023soil moisture versus drought deficit volume for each region. The (dotted) horizontal line (in blue) shows region-wise mean1024monthly soil moisture. The black line represents the Locally Weighted Scatterplot Smoothing (LOWESS) regression of drought1025deficit volume with a span length of 0.1. The uncertainty envelope (mean  $\pm 1$  standard deviation) of the LOWESS curve is1026obtained through 1000 bootstrap iterations. The red circles indicate individual streamflow drought events. The blue line indicates1027the smoothened soil moisture using a 12-month moving average filter.





Figure 8. Static environmental controls on drought deficit volume. (a) Taylor diagrams illustrate the root mean square deviations
 (in green dotted lines), standard deviation (in solid black lines), and centered pattern correlation coefficient (in solid blue lines).
 (b) Heat maps of Taylor skill score for soil and catchment properties. The asterisks represent significant correlations at a 5% significance level.

## **@AGU**PUBLICATIONS

Supporting Information for

### Regional Trends and Physical Controls of Streamflow droughts in Tropical Pluvial Flow

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### **Contents of this file**

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### Introduction

In this supporting file, we provide a supplementary text and supplementary figures to support the results presented in the main manuscript.

### Text S1

### **S1.1 Mean Onset and Regularity**

For *n* drought events, we determine the mean onset date using the following equations (Burn & Whitfield, 2018; Chen et al., 2012)

$$\overline{X} = \frac{\sum_{i=1}^{n} v_i \cos \theta_i}{\sum_{i=1}^{n} v_i}; \overline{Y} = \frac{\sum_{i=1}^{n} v_i \sin \theta_i}{\sum_{i=1}^{n} v_i}$$
(1)

Where,  $\overline{X}$  and  $\overline{Y}$  are the *x*- and *y*- coordinates of the mean onset date. The equation (1) is derived using the weighted average of drought deficit volume, *v*. Then, we obtain the onset time using mean event angle,  $\overline{\phi}$  of individual drought occurrences using the following relationships (2).

$$\bar{\phi} = \begin{cases} \tan^{-1}\left(\frac{\bar{Y}}{\bar{X}}\right), & \text{if } \bar{X} > 0 \text{ and } \bar{Y} > 0\\ 180 + \tan^{-1}\left(\frac{\bar{Y}}{\bar{X}}\right) & \text{if } \bar{X} < 0 \text{ and } \bar{Y} > 0\\ 180 + \tan^{-1}\left(\frac{\bar{Y}}{\bar{X}}\right), & \text{if } \bar{X} < 0 \text{ and } \bar{Y} < 0\\ 360 + \tan^{-1}\left(\frac{\bar{Y}}{\bar{X}}\right), & \text{if } \bar{X} > 0 \text{ and } \bar{Y} < 0\\ \frac{\pi}{2} \qquad , & \text{if } \bar{X} = 0 \text{ and } \bar{Y} > 0\\ \frac{3\pi}{2} \qquad , & \text{if } \bar{X} = 0 \text{ and } \bar{Y} < 0 \end{cases}$$

$$(2)$$

Finally, we obtain the mean onset date as:

$$\omega = \tan^{-1}(Mean \,Onset \,Angle)\left(\frac{lenyr}{2\pi}\right) \tag{3}$$

Where,  $\omega$  is the average date of occurrence of the drought. The regularity ( $\overline{r}$ ) of droughts can be determined from:

$$\bar{r} = \sqrt{\bar{X}^2 + \bar{Y}^2}, \quad 0 \le \bar{r} \le 1$$
(4)

Where, r is a dimensionless number.  $\overline{r} = 0$  indicates low regularity, implying droughts are widely spread throughout the year, whereas  $\overline{r} = 1$  denotes high regularity, suggesting droughts at a station occur on the same time of the year. The variability in mean onset timing can be derived using the circular variance (s<sup>2</sup>)

$$s^2 = -2\ln(\bar{r}) \tag{5}$$

### S1.2 Assessment of Inter-regional Differences

We performed the Wilcoxon rank sum test to assess the inter-regional difference between dependence strengths of mean onset time versus deficit volume. For the p-values obtained, we further implemented Bonferroni correction for pairwise comparison and obtained the corrected p-values (Ranstam, 2016) to increase the strengths of the tests. While the Wilcoxon rank-sum test indicates that regions 2 and 3 are geographically distinct at a 5% significance (p-value = 0.04) level, the Bonferroni adjusted p-values for the pairwise comparison demonstrate that inter-regional differences across clusters are statistically indistinguishable (p-value > 0.1) considering the linear-circular dependence between onset time of streamflow droughts and event-specific deficit volume.



**Figure S1. Latitudinal profile of streamflow record availability.** The x-axis represents the starting and ending year, whereas the y-axis shows the corresponding latitude of all 97 stream gauges. The red shades indicated the period of records availability.



**Figure S2.** Flow duration curve (FDC) for selected rivers. The FDC for each year during the observation period is shown in blue lines. The FDC in red shows the entire records considering all available records. The FDC for the pre-2000 (1965 – 2000) time window is shown in green, whereas FDC for the post-2000 (2001-2018) is shown in black dashed line. The variations in the FDC indicates the flow properties and storage availability in the catchment. Further, they show the influence of basin geology and climate on the streamflow variability.



**Figure S3.** Graphical assessment of rainfall versus runoff for the selected river basin, Anandpur (21.21°N-86.12°E), at Baitarni River basin, in Odhisa. (Top panel; left) compares the temporal variability in rainfall versus runoff time series during the period of records availability. (Top panel – right) shows the scatter plot of annual average rainfall versus annual average runoff showing the degree of association between the two time series. The each year is shown using circles in blue. (Bottom panel - *left*) shows the streamflow elasticity (annual average streamflow, Q/basin averaged rainfall, P). (Bottom panel – right) compares the accumulated rainfall versus accumulated runoff using double mass curve, showing the degree of consistency between the two time series.



**Figure S4. Frequency of droughts (number of events) per year.** (a) Average number of droughts per year without accounting for seasonal stratifications. (b) The percentage occurrence of droughts during different seasons.



Figure S5. Circular-linear dependency of catchment controls and the time of onset of streamflow drought. The heatmap shows the non-linear dependence between different catchment and soil properties for three distinct regions.



**Figure S6. Soil properties across different regions.** (a) Box plots showing standardized anomaly of soil properties at (a) 30 cm- and (b) 100 cm-depth. The y-axis shows the standardized spatial anomaly for each region. The median value of standardized anomaly is represented using the horizontal line within the box plot. Box bottom and top edges show 25th and 75th percentiles, respectively, whereas the spread of the boxes indicates interquartile range.



Figure S7.Variations in catchment properties across different regions. The y-axis shows the standardized spatial anomaly for each region. Shades in the boxplot denote catchment properties.

Divor	Station		Longitude	Area	Starting	Ending
Doitomi	Anonodnun	$\frac{(2\mathbf{N})}{21,2090}$	(°E) 96 1222	(KIII <sup>-</sup> ) 8570	<u>year</u>	<u>year</u>
Ballarii Dharatharaich a	Ananaopur	21.2089	80.1255	8570 0775	1975	2018
Bharathapuzha	Mankara	10.7011	76.4801	2775	1980	2018
Bharathapuzha	Pudur	10.78	/6.5/5	1313	1980	2018
Branmani	Jenapur	20.8897	86.0142	33955	1990	2018
Brahmani	Gomlai	21.8378	84.9425	21950	1980	2018
Brahmani	Tilga	22.3333	84.5042	3160	1980	2018
Brahmani	Jaraikela	22.3217	85.1047	9160	1973	2018
Cauvery	Musiri	10.9433	78.435	66243	1974	2011
Cauvery	Kodumudi	11.0811	77.8903	53233	1972	2016
Cauvery	Urachikottai	11.4778	77.7	44100	1980	2018
Cauvery	Biligundulu	12.18	77.73	36682	1972	2018
Cauvery	Kollegal	12.1892	77.1	21082	1972	2018
Cauvery	Kudige	12.5025	75.9611	1934	1974	2018
Cauvery	Savandapur	11.5217	77.51	5776	1979	2018
Cauvery	Thengumarahada	11.5728	76.9192	1370	1980	2018
Cauvery	T.K. Halli	12.4167	77.1925	7890	1979	2015
Cauvery	K.M.Vadi	12.3422	76.2875	1330	1980	2015
Cauvery	M.H. Halli	12.8189	76.1333	3050	1979	2018
Godavari	Perur	18.5872	80.3958	268200	1966	2015
Godavari	Mancherial	18.8358	79.4447	102900	1967	2014
Godavari	Yelli	19.0439	77.4556	53630	1979	2011
Godavari	G.R. Bridge	19.0206	76.7264	33934	1977	2013
Godavari	Dhalegaon	19.2203	76.3633	30840	1966	2007
Godavari	Pathagudem	18.8525	80.3494	40000	1966	2008
Godavari	Chindnar	19.0794	81.3011	17270	1972	2013
Godavari	Jagdalpur	19.1081	82.0228	7380	1966	2011
Godavari	Nowrangpur	19.1975	82.5119	3545	1966	2011
Godavari	Bhatpalli	19.3303	79.5042	3100	1987	2018
Godavari	Nandgaon	20.5344	78.8114	4580	1987	2018
Godavari	Pauni	20.7947	79.6478	35520	1965	2005
Godavari	Kumhari	21.8842	80.175	8070	1987	2018
Godavari	Keolari	22.3819	79.9	2970	1989	2014
Godavari	Satrapur	21.2167	79.2331	11100	1987	2017
Godavari	Ramakona	21.7189	78.8242	2500	1987	2016

**Table S1.** Details of selected basins, station locations, catchment area and data available period

Godavari	Rajegaon	21.6256	80.2539	5380	1987	2018
Godavari	Somanpally	18.6197	79.8069	12691	1967	2013
Godavari	Polavaram	17.2519	81.6564	307800	1966	2018
Godavari	Konta	17.7989	81.3928	19550	1966	2013
Godavari	Koida	17.4825	81.3867	305460	1978	2005
Krishna	Wadenapalli	16.7889	80.1314	235544	1966	2018
Krishna	Huvinhedgi	16.4906	76.92	55150	1977	2016
Krishna	Keesara	16.7156	80.3164	9854	1965	2015
Krishna	Paleru Bridge	16.9489	80.0478	2928	1966	2002
Krishna	Bawapuram	15.8833	77.9572	67180	1966	2015
Krishna	Mantralayam	15.9483	77.4264	60630	1973	2015
Krishna	Oollenur	15.4917	76.7169	33018	1973	2002
Krishna	Haralahalli	14.8261	75.6731	14582	1967	2015
Krishna	T. Ramapuram	15.6578	76.9647	23500	1966	2006
Krishna	Marol	14.9389	75.6181	4901	1967	2012
Krishna	Shimoga	13.9269	75.585	2831	1973	2016
Krishna	Yadgir	16.7375	77.1253	69863	1966	2010
Krishna	Takli	17.4131	75.8478	33916	1966	2000
Krishna	Narasingpur	17.9728	75.1397	22856	1967	2010
Krishna	Cholachguda	15.87	75.725	9373	1984	2006
Krishna	Warunji	17.2717	74.1656	1890	1967	2009
Mahanadi	Andhiyarkore	21.8325	81.60389	2210	1978	2016
Mahanadi	Baronda	20.91111	81.88472	3225	1979	2017
Mahanadi	Hirakud	21.51833	83.85361	83400	1991	2011
Mahanadi	Tikarapara	20.60167	84.77583	124450	1973	2018
Mahanadi	Basantpur	21.72194	82.78944	57780	1972	2018
Mahanadi	Seorinarayan	21.715	82.59639	48050	1986	2017
Mahanadi	Rajim	20.975	81.87778	8760	1972	2014
Mahanadi	Kantamal	20.6525	83.72333	19600	1972	2018
Mahanadi	Kesinga	20.20444	83.22222	11960	1979	2018
Mahanadi	Salebhata	20.97833	83.55139	4650	1974	2017
Mahanadi	Sundargarh	22.11361	84.00861	5870	1978	2018
Mahanadi	Kurubhata	21.97833	83.21361	4625	1979	2018
Mahanadi	Bamnidhi	21.89778	82.71389	9730	1972	2018
Mahanadi	Rampur	21.65528	82.52139	2920	1972	2017
Mahanadi	Jondhra	21.71306	82.35833	29645	1980	2018
Mahanadi	Simga	21.62694	81.69167	30761	1972	2016
Mahanadi	Ghatora	22.04194	82.22278	3035	1980	2018
Muvattupuzha	Ramamangalam	9.9406	76.4744	1342	1979	2018

Narmada	Mohgaon	22.7608	80.6236	3919	1981	2018
Narmada	Patan	23.3111	79.6619	3950	1980	2017
Narmada	Belkheri	22.9289	79.3394	1508	1978	2017
Narmada	Barmanghat	23.0297	79.0158	26453	1972	2012
Narmada	Gadarwara	22.9228	78.7908	2270	1978	2018
Narmada	Sandia	22.9158	78.3475	33953	1979	2014
Narmada	Hoshangabad	22.7561	77.7328	44548	1973	2014
Narmada	Handia	22.4917	76.9936	54027	1978	2018
Narmada	Kogaon	22.1014	75.6842	3919	1979	2017
Narmada	Mandleshwar	22.1683	75.6608	72809	1974	2018
Narmada	Garudeshwar	21.885	73.6544	87892	1973	2016
Pampa	Malakkara	9.3325	76.6631	1713	1986	2018
Pennar	Alladupalli	14.7172	78.6686	8758	1986	2018
Periyar	Arangaly	10.2814	76.3153	1342	1979	2018
Ponnaiyar	Vazhavachanur	12.0667	78.9775	10780	1979	2006
Ponnaiyar	Gummanur	12.555	78.1386	4620	1979	2018
Subarnarekha	Ghatsila	22.5806	86.4683	14176	1972	2014
Subarnarekha	Jamshedpur	22.8156	86.2161	12649	1973	2014
Subarnarekha	Adityapur	22.7914	86.1736	6309	1972	2018
Tapi	Burhanpur	21.2994	76.235	8487	1973	2017
Tapi	Gopalkheda	20.8742	76.9897	9500	1978	2016
Tapi	Yerli	20.9358	76.4756	16517	1974	2017
Vaigai	Theni	10.0011	77.485	1200	1979	2018
Vaitarna	Durvesh	19.7131	72.93	2019	1972	2018

River	Station	Latitude (°N)	Longitude (°E)	Area (km²)	Rainfall- Runoff Correlation
Cauvery	Musiri	10.9433	78.435	66243	0.006
Cauvery	Kodumudi	11.0811	77.8903	53233	0.019
Cauvery	Thengumarahada	11.5728	76.9192	1370	0.012
Cauvery	T.K. Halli	12.4167	77.1925	7890	0.025
Godavari	Yelli	19.0439	77.4556	53630	-0.02
Krishna	Bawapuram	15.8833	77.9572	67180	0.011
Krishna	Mantralayam	15.9483	77.4264	60630	0.015
Krishna	Oollenur	15.4917	76.7169	33018	0.039
Krishna	Haralahalli	14.8261	75.6731	14582	0.021
Krishna	Marol	14.9389	75.6181	4901	0.012
Muvattupuzha	Ramamangalam	9.9406	76.4744	1342	0.033
Ponnaiyar	Vazhavachanur	12.0667	78.9775	10780	0.025
Ponnaiyar	Gummanur	12.555	78.1386	4620	-0.009
Tapi	Gopalkheda	20.8742	76.9897	9500	-0.021
Tapi	Yerli	20.9358	76.4756	16517	-0.049

Table S2. Details of station that were distracted due to low rainfall-runoff correlation

Variable Name	Abberviation	Unit	Variable Type
Clay content at 30 and 100 cm depth	Clay <sub>30cm</sub>	Percentage	Soil
	Clay <sub>100cm</sub>	(%)	
Soil organic carbon at 30 and 100 cm	SOC <sub>30cm</sub>	Percentage	Soil
depth	SOC <sub>100cm</sub>	(%)	
Cation exchange capacity at 30 and 100	CEC <sub>30cm</sub>	cmol/kg	Soil
cm depth	CEC <sub>100cm</sub>	_	
Stock at 30 and 100 cm depth	Stock <sub>30cm</sub>	t/ha	Soil
-	Stock <sub>100cm</sub>		
Aspect	-	radian	Catchment
Convergence Index	-	-	Catchment
Cross sectional curvature	-	$m^{-1}$	Catchment
Hill shading	-	radian	Catchment
Longitudinal curvature	Long	m <sup>-1</sup>	Catchment
	curvature		
Slope length gradient factor	LS factor	-	Catchment
Relative slope	-	-	Catchment
Slope	-	Radian	Catchment
Terrain rug index	TRI	-	Catchment
Topographic wetness index	TWI	-	Catchment
Vertical distance to channel network	VDCN	М	Catchment

Table S3. Details of soil and catchment properties used for attribution of static controls

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