Stronger Response to the Aerosol Indirect Effect due to Cooling in Remote Regions

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Abstract

It is often assumed that effective radiative forcings, regardless of forcing agent, are additive in the temperature change. Using climate model simulations with abruptly applied aerosol forcing we find that the temperature response per unit forcing is larger if induced by aerosol-cloud interactions than directly by aerosols. The spatial patterns of forcing and temperature change show that aerosol-cloud interactions induce cooling over remote oceans in the extratropics, whereas the effect of increased emissions is localized around the emission sources primarily over tropical land. The results are consistent with ideas of how the patterns of sea surface temperature impact radiative feedbacks, and a large forcing efficacy of aerosol-cloud interactions could help explain previously observed intermodel spread in the response to aerosols.

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Key Points:

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6	• The forcing efficacy from an enhanced aerosol indirect effect is larger than unity
7	• The aerosol indirect effect induces remote cooling at mid- to high latitudes, in contrast
8	to the local cooling from the direct effect
9	• The different spatial patterns of temperature change from the aerosol direct and
10	indirect effects excite different feedbacks

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11 Abstract

It is often assumed that effective radiative forcings, regardless of forcing agent, are addi-12 tive in the temperature change. Using climate model simulations with abruptly applied 13 aerosol forcing we find that the temperature response per unit forcing is larger if induced 14 by aerosol-cloud interactions than directly by aerosols. The spatial patterns of forcing and 15 temperature change show that aerosol-cloud interactions induce cooling over remote oceans 16 in the extratropics, whereas the effect of increased emissions is localized around the emis-17 sion sources primarily over tropical land. The results are consistent with ideas of how the 18 patterns of sea surface temperature impact radiative feedbacks, and a large forcing efficacy 19 of aerosol-cloud interactions could help explain previously observed intermodel spread in 20 the response to aerosols. 21

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Plain Language Summary

Aerosols, small particles suspended in the atmosphere, emitted by humans tend to cool 23 the climate. They do this directly by reflecting incoming sunlight, and indirectly by affecting 24 cloud properties foremost such that clouds reflect more sunlight. Here, we investigate how 25 the global surface air temperature responds to changes in the two types of aerosol interaction 26 with solar radiation. We find that the cloud effect causes a relatively larger global mean 27 temperature change than the direct effect of the aerosol particles. Interactions between 28 aerosols and clouds are difficult to represent in climate models and are sometimes excluded 29 entirely. Our results highlight the importance of including the cloud effect to get an accurate 30 representation of the Earth's climate. 31

32 1 Introduction

The state of the climate system is determined by the radiative balance at the top of the 33 atmosphere: a positive imbalance causes warming of the system, and vice versa. The largest 34 contributor of uncertainty to the total imbalance is the radiative effect from anthropogenic 35 aerosols, particularly from aerosol-cloud interactions (Forster et al., 2021). When studying 36 the temperature response to an applied radiative forcing a linear energy balance framework 37 is often used, where the global mean top of atmosphere (TOA) radiative imbalance, N, 38 is a function of an external effective radiative forcing, F, and the resulting surface air 39 temperature change, ΔT (relative to an unforced reference state), according to 40

$$N = F + \lambda \Delta T, \tag{1}$$

where λ is a feedback parameter (Gregory et al., 2004). It is usually assumed that the feedback parameter, λ , is universal, such that the individual effective radiative forcings that make up F can be added linearly without scaling. This assumption is used by the Intergovernmental Panel of Climate Change (IPCC) in their Sixth Assessment Report (AR6) (Forster et al., 2021), among other things to make projections of global warming in the 21st Century.

It has, however, been shown that in global climate models the temperature response 48 per unit forcing varies depending on the type of forcing, both in idealized scenarios with 49 abruptly applied forcing (e.g., Hansen et al., 2005; Modak et al., 2016, 2018; Modak & Bala, 50 2019; Richardson et al., 2019; Shindell et al., 2015) and in transient scenarios (e.g., Zhao et 51 al., 2019). The concept of forcing efficacy has been introduced to account for these differ-52 ences in the temperature response (Hansen et al., 2005). Several studies have argued that 53 the intermodel variation in the efficacy of different forcing agents likely causes discrepancies 54 in estimates of the equilibrium climate sensitivity (ECS), defined as the equilibrium tem-55 perature response to doubled CO_2 concentration over preindustrial levels, between model 56 based estimates and constraints from observational data (Kummer & Dessler, 2014; Marvel 57 et al., 2016; Richardson et al., 2019). These studies have highlighted the importance of 58 including forcing efficacy in the linear energy balance framework. 59

Forcing efficacy can be incorporated into the linear framework as a factor E, using CO₂ as a reference:

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$$E = \frac{\lambda_{2 \times CO_2}}{\lambda},\tag{2}$$

where $\lambda_{2 \times CO_2}$ is the feedback parameter in a simulation with abruptly doubled atmospheric CO₂ concentration. Under the assumption of a time-invariant λ the efficacy can be approximated as

$$E = \frac{\Delta T/F}{\Delta T_{2 \times CO_2}/F_{2 \times CO_2}},\tag{3}$$

where ΔT and F are defined as in equation (1), and $\Delta T_{2 \times CO_2}$ and $F_{2 \times CO_2}$ are the corresponding quantities under a doubling of the CO₂ concentration. This approximation is used by Richardson et al. (2019) and is adopted here.

The concept of forcing efficacy is not new, yet the aerosol forcing efficacy remains diffi-70 cult to constrain. Richardson et al. (2019) studied forcing efficacy in a set of global climate 71 models and found a considerable spread in the response to aerosol forcing. Nevertheless, re-72 cent studies based on the models that participated in the sixth phase of the Coupled Model 73 Intercomparison Project (CMIP6) show an enhanced climate response to aerosol forcing 74 (Salvi et al., 2022; Smith & Forster, 2021). Salvi et al. (2022) suggested that the strong 75 temperature response, compared to the corresponding response to CO_2 forcing, stems from 76 the latitudinal distribution of the aerosol forcing. 77

Aerosols affect the radiative balance of the climate system both through direct inter-78 action with radiation (direct effect) and through aerosol-cloud interactions (indirect effect), 79 for example by increasing the cloud reflectivity by distributing the cloud water on more 80 abundant but smaller droplets (*Twomey effect*, Twomey, 1974). However, insight into the 81 relative contributions from the direct and indirect effects from aerosols to the climate re-82 sponse are lacking in the literature. In this study, we systematically investigate the climate 83 response to aerosol forcing by disentangling the aerosol direct and indirect effects. Using 84 MPI-ESM1.2 we run idealized simulations with aerosol forcing applied abruptly and held 85 constant to assess the climate response. We show that the direct effect causes local cooling 86 and has a forcing efficacy close to unity, while an enhanced indirect effect causes a stronger 87 global mean temperature response per unit forcing. The behavior is related to a remote 88 response at mid- to high latitudes and consistent with ideas of how the patterns of change 89 influence radiative feedbacks. 90

91 **2** Background: Pattern Effects

How large the temperature response to an applied forcing is depends on the feedback mechanisms in the climate system. The spatial pattern of temperature change is believed to affect the feedback mechanisms that are activated following an initial change in the surface temperature and the idea of so-called pattern effects has gained much attention (e.g., Armour et al., 2013; Ceppi & Gregory, 2017; Dong et al., 2019, 2020).

Various explanations have been suggested for how pattern effects influence the global climate. Pierrehumbert (1995) pointed to the importance of "radiator fins" over areas with cold sea surface temperatures (SSTs), where subsidence makes the air dry and clear, in stabilizing the climate. Building on this idea, Ceppi and Gregory (2017) argued that

changes in tropospheric temperatures aloft govern changes in the global mean feedback 101 parameter with time, and that this is controlled by areas with comparatively warm SSTs, 102 such as the West Pacific warm pool region, where the tropospheric stability depends directly 103 on the local SST. In other regions the stability depends on the SST relative to that in the 104 warmer areas, because heat is advected from warm areas in the free troposphere, leading 105 to temperature inversions over areas with lower SSTs. Using a Green's function approach, 106 Dong et al. (2019) likewise identified temperature change in the tropical West Pacific region 107 as important for the global mean energy balance. A similar effect has been found in studies 108 showing cooling from low clouds forming below inversions (e.g., Mauritsen, 2016; Zhou et 109 al., 2016). 110

The mechanisms of pattern effects are typically discussed in the context of time-varying 111 feedbacks under CO_2 induced warming, but local temperature change due to a localized 112 forcing, such as from aerosols, will cause SST patterns that can be studied analogously. 113 Studies where the climate has been forced with inhomogeneous patterns of carbon dioxide, 114 aerosols, or SSTs suggest that the location of a radiative forcing affects the location and 115 strength of the temperature response (e.g., Dong et al., 2020; Forster et al., 2000; Hansen et 116 al., 1997, 2005; Modak & Bala, 2019; Persad & Caldeira, 2018; Salvi et al., 2022; Stuecker 117 et al., 2020). Here, we investigate the patterns of forcing and the corresponding response to 118 the aerosol direct and indirect effects. 119

¹²⁰ **3** Model and Methods

We have run simulations with the Max Planck Institute for Meteorology Earth System Model version 1.2 (MPI-ESM1.2). The next two sections describe the model and the parameterization of the Twomey effect. The third section explains how the experiments were set up.

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3.1 MPI-ESM1.2

MPI-ESM1.2 is a state-of-the-art Earth system model (Mauritsen et al., 2019). The model was used here because it has a simple aerosol scheme (described below) and can be run at a low resolution, and still accurately simulates aerosol forcing close to the best estimate of the IPCC AR5 and a historical global warming in close agreement with observations (Mauritsen et al., 2019). We ran MPI-ESM1.2 at its lowest resolution (*coarse resolution*, CR; see Mauritsen et al., 2019), as a higher resolution would limit the number of simulated years and thus restrict the comprehensiveness of the analysis. To verify the results from the CR model we also ran a few key experiments in the LR version, but it should be noted that the model versions differ in more than just the resolution as some important tuning parameters were also set differently.

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3.2 A Parameterized Twomey Effect

The complexity of aerosol and cloud interactions makes their climate impact challenging 137 to constrain (Bellouin et al., 2020; Forster et al., 2021). In models with sophisticated 138 interactive aerosol modules the cloud interactions are difficult to isolate and control, whereas 139 in MPI-ESM1.2, which uses the simple plume implementation of the second version of the 140 Max Planck Institute Aerosol Climatology (MACv2-SP), this is relatively simple. In this 141 model the aerosol emissions are represented by nine plumes in major source regions, and 142 skewed Gaussian functions are used to represent the spatial distribution of aerosol optical 143 depth (Stevens et al., 2017). In the MACv2-SP, aerosol-cloud interactions are represented 144 entirely by the Twomey effect (Stevens et al., 2017; Twomey, 1974). The exclusion of other 145 cloud effects from anthropogenic aerosols, such as the cloud lifetime effect (Albrecht, 1989), 146 was based on the argument that they are too poorly understood (Fiedler et al., 2017). 147 However, here we enhance the Twomey effect as a proxy for representing other uncertain 148 indirect effects. This is reasonable in so far as cloud processes, such as rain formation, are 149 more susceptible to aerosols where also the Twomey effect dominates, i.e., where aerosol 150 concentrations are relatively low. 151

In MACv2-SP, the strength of the Twomey effect, as described by the cloud droplet number density (N), depends on the optical depth of both natural background aerosol (τ_{bg}) and anthropogenic aerosol (τ_a) , according to

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$$\frac{N}{N_{1850}} = \frac{\ln(b_N(\tau_a + \alpha \tau_{bg}) + 1)}{\ln(b_N \alpha \tau_{bg} + 1)},$$
 (4)

where b_N is a model parameter. The scaling parameter α has been introduced here to enable altering of the assumed optical depth of the background aerosol for an enhanced Twomey effect: a reduced background aerosol optical depth ($\alpha < 1$) gives a stronger Twomey effect than with the original formulation.

This simple parameterization of the Twomey effect makes it possible to adjust the 160 strength of the aerosol-cloud interactions in MPI-ESM1.2 (Fiedler et al., 2017; Stevens et 161 al., 2017). One could argue that a more complex aerosol module with interactive aerosols 162 would be better for studying the climate response to aerosol-cloud interactions (e.g., Ekman, 163 2014), but running a model with an interactive aerosol module is computationally expensive 164 and each change would in principle require a re-tuning and a new spin-up of the model 165 (Golaz et al., 2013). The simplicity of MACv2-SP makes it computationally lightweight 166 and it does not require re-tuning, yet it produces an evolution of aerosol forcing in line 167 with past estimates (Mauritsen et al., 2019). This allows us to perform a large number of 168 simulations, enabling systematic investigation of the climate response to aerosol forcing of 169 different strengths. 170

Figure 1 shows simulations of the historical period (1850 to present day) with MPI-171 ESM1.2, with standard settings and with an enhanced indirect effect. There is good 172 agreement between the observed warming and the simulated temperature change with the 173 standard setting, in part because the model has been tuned to the observational record 174 (Mauritsen & Roeckner, 2020). An enhanced Twomey effect clearly gives a too cold tem-175 perature evolution with the present climate sensitivity; however, different combinations of 176 aerosol cooling and climate sensitivity can be used to achieve a temperature evolution that 177 matches the observational record (e.g., Golaz et al., 2013; Kiehl, 2007). 178

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3.3 Experimental Setup

To analyze the climate response to aerosol forcing, idealized simulations were run with forcing applied abruptly and held constant for 150 years. The spatial pattern of aerosol emissions of year 2005 was used in all simulations, and the forcing was strengthened using a combination of enhanced aerosol-cloud interactions (enhanced indirect effect) and increased aerosol emissions (enhanced direct effect). The experiments with abruptly applied aerosol forcing are here called *abrupt-aerosol* experiments. For the increased emissions, the 2005 emission levels were scaled by a common factor in all nine emission regions.

Most simulations were run with very strong aerosol forcing. Previous studies looking into different aspects of the forcing and climate response following the shift in the aerosol emission pattern between the 1970s and present day in MPI-ESM1.2 have found it difficult to distinguish a signal from the internal variability of the model (Fiedler et al., 2017, 2019;



Figure 1. Temperature change over the historical period (1850 to present day) simulated by MPI-ESM1.2, relative to the 1850-1900 mean. The blue line shows the standard settings in MPI-ESM1.2 and the green and pink lines show simulations with enhanced aerosol indirect effect (using the scaling parameter α in equation (4)). For comparison the figure also includes the HadCRUT5 data set of observed surface temperature over the historical period.

Fiedler & Putrasahan, 2021). Therefore, we strongly enhanced the forcing to get a better signal-to-noise ratio. Furthermore, to investigate the importance of the location of aerosol emissions we ran additional simulations with emissions from one single region at a time, with emissions from all other plumes turned off.

All simulations were run with a fully coupled model to assess the radiative forcing, tem-195 perature response and feedbacks, using the linear regression method suggested by Gregory 196 et al. (2004). Some simulations were also run using only the atmospheric component, 197 ECHAM6.3, with prescribed SSTs fixed in a preindustrial pattern, to obtain the spatial 198 distribution of radiative forcing (Hansen et al., 2005). All coupled runs were 150 years long, 199 while the fixed-SST simulations were 150 years for the simulations with all emissions and 200 30 years for simulations with emissions from a single source region. To achieve a stronger 201 signal-to-noise ratio, five-member ensembles were used for some coupled runs. The ensem-202 bles were created by running simulations from different initial conditions, selected at ten 203 year intervals from a preindustrial control simulation. 204



Figure 2. Gregory plot of four *abrupt-aerosol* experiments with varying direct and indirect aerosol effect. The standard *abrupt-2xCO2* and *abrupt-4xCO2* simulations with MPI-ESM1.2-CR are shown for reference.

²⁰⁵ 4 Results and Discussion

In the following sections we present the simulated global mean temperature response to aerosol forcing and the implications for forcing efficacy, as well as the spatial patterns of forcing and temperature change. All presented values are anomalies compared to timeaverages from corresponding preindustrial control simulations.

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4.1 Global Mean Response to Aerosol Forcing

We first inspect four idealized experiments with similar forcing strength, achieved 211 through different combinations of enhanced direct and indirect effects, as shown in Fig-212 ure 2. The four experiments show a clear difference in their slopes, meaning that they have 213 different values of the feedback parameter (λ). This indicates a difference in forcing efficacy, 214 since the efficacy describes the magnitude of the feedback parameter compared to that of 215 carbon dioxide (equation (2)). The feedback parameter is consistently smaller (less nega-216 tive), and hence the efficacy is larger, for an enhanced indirect effect than for an enhanced 217 direct effect. Thus, for a given effective radiative forcing the cooling is stronger when the 218 ratio of indirect to direct aerosol cooling is large. 219

For the purpose of illustration the forcing in all four simulations is large compared to 220 the present-day aerosol cooling (Forster et al., 2021). This helps obtaining a clear signal: 221 in weaker-forcing scenarios the weak signal-to-noise ratio makes it difficult to distinguish 222 the direct and indirect effect experiments from each other (see Figure S1 in the Supporting 223 Information). However, due to the state dependency of climate feedbacks (Bloch-Johnson 224 et al., 2015; Meraner et al., 2013), the value of the feedback parameter depends on the 225 forcing strength. Therefore, we use five-member ensembles to enable distinction between 226 the direct and indirect effects also in cases with smaller forcing strength (around -2.2 to 227 -3.2 Wm^{-2} , see Figure S2), confirming that there is a difference in efficacy between the 228 direct and indirect effect also in cases where the forcing strength is closer to the lower bound 229 of estimates of the present-day value (Forster et al., 2021). 230

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4.2 Model Dependence

Next, we ask whether this behavior is model dependent, or if it is more likely to be a general behavior. There is some indication in the results of Richardson et al. (2019) that the aerosol forcing efficacy is larger in models which perturb aerosol emissions rather than concentrations. Models with perturbed emissions are typically also models that include an indirect effect through complex representations of aerosol-cloud interactions, thus supporting the results obtained here.

To further investigate the model dependence we compared two versions of MPI-ESM1.2 238 and found that in the LR version of the model the variation in the feedback parameter 239 with the strength of the indirect effect persists, see Figure S3 and Table S1. The overall 240 pattern is the same in both model versions, although the feedback parameter values in the 241 corresponding cases are not the same (Table S1): the effect is larger in the CR model. 242 The two versions of MPI-ESM1.2 differ by more than resolution, most importantly, certain 243 tuning parameters are not set the same way. The CR model version was finalized before the 244 LR model version and uses a much smaller value of a parameter that enhances the amount 245 of marine stratocumulus clouds. The large parameter value was set in MPI-ESM1.2-LR 246 because it was found to induce a negative stratocumulus cloud feedback, and so dampened 247 the very high climate sensitivity of MPI-ESM1.2-LR (Mauritsen & Roeckner, 2020). The 248 MPI-ESM1.2-CR version instead has a weaker radiative forcing from CO_2 , which by chance 249 results in a similar climate sensitivity to that of MPI-ESM1.2-LR. Our interpretation is that 250 the negative stratocumulus cloud feedback in MPI-ESM1.2-LR dampens the remote region 251

surface cooling, resulting in less sensitivity of the efficacy to aerosol indirect effects. Since
the observational estimates of the stratocumulus cloud feedback is weak but positive (Myers
et al., 2021) one could argue that the behavior of MPI-ESM1.2-CR in this regard is more
realistic than that of the LR version.

In summary, within both model versions we have used, the cooling from an enhanced indirect effect is larger than the cooling from the direct effect. We find anecdotal evidence for this also in other models, and therefore there is strong model based evidence that enhancing the indirect effect causes a larger forcing efficacy.

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4.3 Spatial Distribution of Forcing and Temperature Change

To identify the mechanisms behind the larger efficacy of the aerosol indirect effect, we study the spatial patterns of forcing and resulting temperature change. As described in the following, we find that the indirect effect causes a remote temperature change at mid- to high latitudes, in contrast to the local tropical response to the direct effect. In addition, we find that variations in the latitude of the forcing seem to be of importance to the magnitude of the temperature response.

First, we examine the temperature change and forcing in two experiments with similar 267 global mean forcing strength (1xemiss., $\alpha = 0.01$ and 5xemiss., $\alpha = 1$, Figure 3). The aerosol 268 indirect effect causes a forcing mainly over the North Pacific, likely because aerosols emitted 269 in South and East Asia, which are the regions with the heaviest emissions in 2005 (Stevens 270 et al., 2017), are assumed to be transported with the westerlies over the ocean (Figure 3b). 271 Above the ocean the optical depth of the aerosols is initially small, so enhancing the indirect 272 effect has a large effect there, consistent with the mechanism of the Twomey effect (Carslaw 273 et al., 2013). When the background aerosol optical depth scaling factor (α) is reduced the 274 indirect effect becomes stronger, causing strong forcing in the remote regions. The forcing 275 drives a local cooling, as well as a remote temperature response over the Arctic (Figure 3a). 276 In contrast, enhancing the direct effect gives a forcing as well as a temperature response that 277 are localized to major emission source regions, mainly in South and East Asia and Central 278 Africa (Figure 3c-d). 279

The radiative forcing from the indirect effect is concentrated over the northern part of the Pacific Ocean (Figure 3b). Dong et al. (2019) showed that local SST changes in that area have little to no effect on the global average net TOA radiation balance. This means



Figure 3. Maps showing the temperature anomaly (ΔT , first column) and forcing (F, second column) averaged over the last 30 years of *abrupt-aerosol* experiments with enhanced indirect effect (a-b) and direct effect (c-d). Panels e-f show the difference in temperature and forcing between the two experiments. All values are normalized against the global average to better show the spatial pattern, and multiplied by -1 to get a more intuitive color scale (darker blue signifies stronger cooling than the global average). The forcing was obtained from fixed-SST simulations while the temperature changes are from fully coupled simulations. The numbers in the lower left corner in panels a-d show the global mean, in K and Wm⁻², respectively. The parameter values are 1*xemiss.*, $\alpha = 0.01$ (indirect effect) and 5*xemiss.*, $\alpha = 1$ (direct effect).

that the global mean temperature response per unit forcing will be larger for a forcing there 283 than in the case of a forcing in the equatorial West Pacific where an SST change is efficiently 284 propagated throughout the troposphere and hence dampened by negative feedbacks. Since 285 Dong et al. (2019) forced the system with warmed SSTs they did not chart the effect of a 286 local temperature change over land, but it seems reasonable to expect a similar response to 287 changes over South and East Asian land areas as to changes over the northern Indian Ocean 288 and the tropical West Pacific Ocean. Therefore, the direct effect, with forcing over land in 289 Asia, results in a stronger change to the TOA radiation balance and thus a small efficacy. 290 Furthermore, the forcing is located at different latitudes in the two cases, with the indirect 291 effect causing cooling preferentially at higher latitudes (Figure 3). A connection between 292 extratropical forcing and a large aerosol forcing efficacy is in line with previous studies (e.g., 293 Salvi et al., 2022), and also supported by studies on the latitude dependence of other forcing 294 agents (e.g., Hansen et al., 1997, 2005; Stuecker et al., 2020). Thus, based on the current 295 understanding of physical processes and previous studies we argue for the general validity 296 of our conclusions. 297

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4.4 Emissions from Single Source Regions

The latitude dependence can be further investigated in simulations with emissions from one source location at a time. Figure 4a shows the forcing efficacy in simulations with emissions from each of the nine source regions in MACv2-SP. In the cases that show a signal that is distinguishable from the noise, the efficacy of an enhanced indirect effect is consistently larger than that of an enhanced direct effect (in Europe, East and South Asia, and, to some extent, North America). Emissions from Europe stand out with a very large efficacy from aerosol-cloud interactions.

The patterns of temperature change resulting from an enhanced indirect effect in the 306 three cases with the strongest emissions (Europe and South and East Asia) are shown in 307 Figure 4b-d. The forcing and temperature change from all emission source regions with 308 enhanced direct and indirect effect, respectively, are shown in Figures S4-S7. The results 309 show a strong Arctic response from both the European and East Asian emissions. However, 310 whereas the global mean forcing is the strongest from East and South Asian emissions, 311 European emissions contribute disproportionally to the global mean temperature change due 312 to the strong cooling in the Arctic. The enhanced Arctic response suggests that mechanisms 313 related to the Arctic amplification or ocean energy transport (e.g., Pithan & Mauritsen, 314



Figure 4. a) Forcing efficacy (equation (3)) (upper part) and global mean radiative forcing (lower part) in simulations with emissions from each region separately, and b-d) the spatial pattern of temperature change from emissions in the three regions that cause the largest global mean temperature change, with $\alpha = 0.01$. Error bars in panel a) show the 68% range and were obtained using Monte Carlo sampling for the efficacy, and the standard deviation of the mean in the final 20 years of fixed-SST simulations for the forcing.

2014; Acosta Navarro et al., 2016) are likely to dominate the temperature response to European aerosol emissions.

There are large statistical uncertainties in the efficacy in some of the regions (North Africa, South America, the Maritime Continent, South Central Africa, and Australia). In those regions the emissions in 2005, and thus also the forcing, are' weak (Figure 4a). Therefore, the signal is obscured by the internal variability of the climate system and no conclusion can be drawn regarding the forcing efficacy from emissions in those regions.

5 Conclusions and Implications

We have shown that in MPI-ESM1.2, aerosol forcing from an enhanced aerosol indirect 323 effect causes a temperature response per unit forcing that is larger than the corresponding 324 response to forcing from increased aerosol emissions. In other words, the aerosol forcing 325 efficacy is larger when the ratio of indirect to direct effect is large. The response to the 326 enhanced indirect effect is dominated by remote oceans and an Arctic-amplified cooling, in 327 contrast to the direct effect which causes a radiative forcing and a resulting temperature 328 response localized to major emission source regions. Indirect effects from European emis-329 sions contribute disproportionately to the strong Arctic cooling, while the overall stronger 330 emissions in South and East Asia dominate the total response. 331

We provide a mechanistic explanation for the enhanced remote response to the aerosol indirect effect. An enhanced indirect effect induces stronger forcing in mid- to high latitude remote ocean regions where the aerosol optical depth is low to begin with, and a forcing in the mid- and high latitudes generally leads to a larger forcing efficacy compared to a forcing closer to the equator (e.g., Hansen et al., 1997, 2005; Salvi et al., 2022; Stuecker et al., 2020).

A larger-than-unit aerosol forcing efficacy reported in recent studies (Salvi et al., 2022; Smith & Forster, 2021) could be related to a large efficacy of the aerosol indirect effect in the models applied in those studies. Furthermore, our results could help reconcile intermodel differences in the temperature response to aerosol forcing (e.g., Richardson et al., 2019). A larger aerosol forcing efficacy also has implications for estimates of the climate sensitivity based on the historical warming (e.g., Otto et al., 2013), and projections of future aerosol forcing when emissions from fossil fuel burning eventually decline.

³⁴⁵ 6 Open Research

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6.1 Data Availability Statement

The source code for MPI-ESM1.2 is available through https://mpimet.mpg.de/en/ science/models/mpi-esm (Mauritsen et al., 2019). The output data used to produce the figures for this paper, and the accompanying Python scripts, are available through Zenodo at https://doi.org/10.5281/zenodo.7057855 (Huusko et al., 2022). The observational temperature data presented in Figure 1 was downloaded via https://crudata.uea.ac.uk/ cru/data/temperature/ (Morice et al., 2021).

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Geophysical Research Letters

Supporting Information for

Stronger Response to the Aerosol Indirect Effect due to Cooling in Remote Regions Linnea Huusko¹, Angshuman Modak¹, Thorsten Mauritsen¹

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Figures S1 to S7 Table S1

Introduction

Figure S1 shows the feedback parameter as a function of the forcing strength in a set of abrupt-aerosol simulations with varying emission strength and strength of the aerosol indirect effect. Simulations were run with emissions and Twomey effect ranging from the model standard to strongly enhanced. The figure indicates that the feedback parameter is smaller (less negative) for a larger ratio of indirect to direct effect.

Figure S2 displays how the use of ensemble averaging reduces the noise in the data. The bottommost panel confirms that the feedback parameter is smaller in the cases with an enhanced indirect effect.

Figure S3 corresponds to Figure 2 but the simulations have been run in the LR version of MPI-ESM1.2. Note that in the LR model the four simulations do not have the same forcing strength. Instead they intersect at a similar temperature change. This may give the impression that the pattern in Figure S3 is opposite of that in Figure 2, which is not the case: had the forcing strengths lined up the two figures would look more similar. The value of the feedback parameter in all simulations in Figures 2 and S3 are shown in Table S1.

Figures S4 and S5 show the temperature change with enhanced indirect and direct effects, respectively, with emissions isolated to each of the nine source regions in MACv2-SP. Figures S6 and S7 show the corresponding radiative forcing.



Figure S1. The feedback parameter as a function of the radiative forcing in *abrupt-aerosol* simulations with different combinations of the emission strength (different symbols) and values of the background aerosol scaling parameter (α , different colours). Gregory plots for the four points in the grey box are shown in Figure 2. The four points marked with a black outline are examined further in Figure S2. Error bars show standard errors from the linear regression.



Figure S2. Comparison of two *abrupt-aerosol* experiments (with *1xemissions*, $\alpha = 0.01$ and *5xemissions*, $\alpha = 1$): evolution of temperature change with time (a-b) and Gregory plots (TOA imbalance against temperature change, c-d). Lines and dots in colour show individual ensemble members while black lines and dots show the five-member ensemble average. Panel e shows the feedback parameter as a function of forcing strength in the four experiments marked with black outlines in Figure S1. The error bars show the standard error from linear regression.

u = 0.001 $u = 0$	u = 0.1	$\alpha = 1$
CR -0.57 $-0.$	69 -0.95 33 -1 49	-1.18 -1.63

Table S1. Feedback parameter (λ , in Wm²K⁻¹) values in the simulations shown in Figures 2 and S3.



Figure S3. As Figure 2 but simulations run in the LR (low resolution) version of MPI-ESM1.2.



Temperature, 1xemissions, $\alpha = 0.01$

Figure S4. Maps of the absolute temperature change averaged over the last 30 years of 150 year long *single-plume* experiments with *1xemissions* and $\alpha = 0.01$. Each panel shows the resulting temperature pattern when the model is forced by emissions from a single plume only (emissions from all other plumes held at zero). The red dot on each map shows the plume location. The number in the lower left corner of each panel is the global mean temperature change in K.



Figure S5. As Figure S4 but for experiments with *10xemissions* and $\alpha = 1$. The number in the lower left corner of each panel is the global mean temperature change in K.

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Forcing, 1xemissions, $\alpha = 0.01$



Figure S6. As Figure S4 but for forcing, averaged over the last 20 years of the 30 year long simulations. The number in the lower left corner of each panel is the global mean forcing in Wm⁻².

Forcing, 10xemissions, $\alpha = 1$



Figure S7. As Figure S5 but for forcing, averaged over the last 20 years of the 30 year long simulations. The number in the lower left corner of each panel is the global mean forcing in Wm⁻².