On the role of ULF waves in the spatial and temporal periodicity of energetic electron precipitation

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Abstract

Energetic electron precipitation to the Earth's atmosphere is a key process controlling radiation belt dynamics and magnetosphere-ionosphere coupling. One of the main drivers of precipitation is electron resonant scattering by whistler-mode waves. Low-altitude observations of such precipitation often reveal quasi-periodicity in the ultra-low-frequency (ULF) range associated with whistler-mode waves, causally linked to ULF-modulated equatorial electron flux and its anisotropy. Conjunctions between ground-based instruments and equatorial spacecraft show that low-altitude precipitation concurrent with equatorial whistler-mode waves also exhibits a spatial periodicity as a function of latitude over a large spatial region. Whether this spatial periodicity might also be due to magnetospheric ULF waves spatially modulating electron fluxes and whistler-mode chorus has not been previously addressed due to a lack of conjunctions between equatorial spacecraft, LEO spacecraft, and ground-based instruments. To examine this question, we combine ground-based and equatorial observations magnetically conjugate to observations of precipitation at the low-altitude, polar-orbiting CubeSats ELFIN-A and -B. As they sequentially cross the outer radiation belt with a temporal separation of minutes to tens of minutes, they can easily reveal the spatial quasi-periodicity of electron precipitation. Our combined datasets confirm that ULF waves may modulate whistler-mode wave generation within a large MLT and L-shell domain in the equatorial magnetosphere, and thus lead to significant aggregate energetic electron precipitation exhibiting both temporal and spatial periodicity. Our results suggest that the coupling between ULF and whistler-mode waves is important for outer radiation belt dynamics.

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Abstract

Energetic electron precipitation to the Earth's atmosphere is a key process controlling radiation belt dynamics and magnetosphere-ionosphere coupling. One of the main drivers of precipitation is electron resonant scattering by whistler-mode waves. Low-altitude observations of such precipitation often reveal quasi-periodicity in the ultra-low-frequency (ULF) range associated with whistler-mode waves, causally linked to ULF-modulated equatorial electron flux and its anisotropy. Conjunctions between ground-based instruments and equatorial spacecraft show that low-altitude precipitation concurrent with equatorial whistler-mode waves also exhibits a spatial periodicity as a function of latitude over a large spatial region. Whether this spatial periodicity might also be due to magnetospheric ULF waves spatially modulating electron fluxes and whistler-mode chorus has not been previously addressed due to a lack of conjunctions between equatorial spacecraft, LEO spacecraft, and ground-based instruments. To examine this question, we combine ground-based and equatorial observations magnetically conjugate to observations of precipitation at the low-altitude, polar-orbiting CubeSats ELFIN-A and -B. As they sequentially cross the outer radiation belt with a temporal separation of minutes to tens of minutes, they can easily reveal the spatial quasi-periodicity of electron precipitation. Our combined datasets confirm that ULF waves may modulate whistler-mode wave generation within a large MLT and L-shell domain in the equatorial magnetosphere, and thus lead to significant aggregate energetic electron precipitation exhibiting both temporal and spatial periodicity. Our results suggest that the coupling between ULF and whistler-mode waves is important for outer radiation belt dynamics.

Key Points:

- We report quasi-periodic energetic electron precipitation observed by low-altitude ELFIN CubeSats
- Quasi-periodicity of the precipitation is due to periodicity of whistler-mode wave generation at the equator
- Whistler-mode wave generation is modulated by ultra-low-frequency waves seen within a large MLT, L-shell domain

1 Introduction

Resonant scattering of energetic electrons from Earth's radiation belts by electromagnetic whistler-mode waves is the main driver of energetic electron precipitation from the outer radiation belt to the atmosphere (e.g., Millan & Thorne, 2007; W. Li & Hudson, 2019; Thorne et al., 2021). Statistical analyses of observed wave characteristics (e.g., Meredith et al., 2012; W. Li, Thorne, Bortnik, Shprits, et al., 2011; O. V. Agapitov et al., 2013), coupled with global numerical simulations of resonant scattering, can provide estimates of long-term electron losses due to precipitation (e.g., Mourenas et al., 2014; Orlova et al., 2016; Ma et al., 2018, 2020; Hsieh et al., 2021, and references therein). Conversely, case studies using equatorial spacecraft observations of wave and plasma characteristics specific for each event can describe well the dynamics of resonant electron fluxes, which are often localized (e.g., O. V. Agapitov et al., 2015; Foster et al., 2014; Gan et al., 2020; Capannolo et al., 2019). Neither statistical nor equatorial spacecraft case studies can separate the temporal and spatial (mesoscale, $\sim R_E$ at the equator) variations of precipitation. However, multi-spacecraft measurements at low altitudes or correlative studies using low-altitude and equatorial measurements can be used to infer such scales. These two approaches were employed to study the most intense but highly transient and localized precipitation events, microbursts (O'Brien et al., 2004; Douma et al., 2017), by

(Shumko et al., 2018) and (e.g., Breneman et al., 2015; Mozer et al., 2018; Shumko et al., 2021), respectively. Mesoscale precipitation events, with temporal and spatial scales comparable to those of equatorial chorus waves (O. V. Agapitov et al., 2017; O. Agapitov et al., 2018), have yet to be investigated with similar methods.

A classical example of dynamic precipitation is the pulsating aurora (Belon et al., 1969; Coroniti & Kennel, 1970; Johnstone, 1978; McEwen et al., 1981), which is associated with ~ 10 keV electron precipitation as a result of quasi-periodic whistler-mode (chorus) wave scattering (Nishimura et al., 2010; Kasahara et al., 2018). The quasi-periodicity of these wave emissions may be caused by ultra-low-frequency (ULF) waves modulating plasma and energetic electron fluxes around the equator (Coroniti & Kennel, 1970; Bryant et al., 1971; W. Li, Thorne, Bortnik, Nishimura, & Angelopoulos, 2011; W. Li, Bortnik, et al., 2011; Motoba et al., 2013; Jaynes, Lessard, et al., 2015). Recent optical and low-altitude measurements showed that $\lesssim$ 10 keV precipitation forming the pulsating aurora may be accompanied by precipitation of relativistic electrons (Miyoshi et al., 2020; Shumko et al., 2021), which makes such a quasi-periodic precipitation pattern particularly important in the context of energetic electron losses and altering of atmosphere properties (Miyoshi et al., 2021). Incoherent scatter radar and ionospheric total electron content also show that ULF-modulated precipitation can significantly alter ionospheric conductance, potentially affecting the dynamics of a range of magnetosphere-ionosphere current systems and ULF waves (e.g., Buchert et al., 1999; Pilipenko, Belakhovsky, Kozlovsky, et al., 2014; Pilipenko, Belakhovsky, Murr, et al., 2014; Wang et al., 2020). Using ground-based ULF and equatorial whistler wave observations to characterize precipitation can at most confirm the temporal periodicity of its equatorial source, but cannot resolve the spatial periodicity of the precipitation nor the spatial periodicity of its equatorial sources. The most promising way to reveal both the temporal and spatial scales of electron precipitation patterns is to combine low-altitude, near-equatorial, and ground-based measurements.

In this study we analyze three events of quasi-periodic electron precipitation driven by near-equatorial electron scattering due to whistler-mode waves modulated by compressional ULF waves. We combine ground-based magnetometer measurements of ULF waves, low-altitude ELFIN-A and -B (Angelopoulos et al., 2020) measurements of >50keV electron precipitation, and near-equatorial Time History of Events and Macroscale Interactions during Substorms (THEMIS; (Angelopoulos, 2008)) measurements of whistler-mode and ULF waves. Ground-based measurements localize the L-shell and MLT sector of ULF waves during the entire interval. Multi-spacecraft THEMIS measurements provide us with estimates of ULF wavelength (spatial scale), which serve as a good proxy for the spatial periodicity scale of whistler-mode wave modulation. Low-altitude ELFIN measurements of quasi-periodic electron precipitation show a spatial periodicity similar to the estimated ULF wavelength. Finally, the combination of near-equatorial measurements of whistler-mode wave characteristics and background plasma properties provides typical resonant energies of precipitating electrons, which agree well with the precipitating energy spectra in ELFIN measurements. In summary, these three selected events confirm that compressional ULF waves can modulate whistler-mode waves and result in spatially quasi-periodic precipitation of energetic electrons over a large L-shell and MLT domain.

The paper is organized as follows: in Sect. 2 we describe available datasets and methods of data analysis; in Sect. 3 we describe three events with combined ground-based, THEMIS, and ELFIN measurements; and in Sect. 4 we discuss our results and their possible application in radiation belt modeling.

2 Spacecraft Instruments and Dataset

Investigation of electron precipitation requires pitch-angle and energy resolved electron distributions within the loss cone, which is almost impossible near the equator due to the small loss cone size there (see, e.g., Kasahara et al., 2018). However, the much larger loss cone size at low altitudes allows polar-orbiting ionospheric spacecraft to measure trapped and precipitating (those within the loss cone) electron fluxes. In this study, we employ energetic ($>$ 50 keV) electron precipitation measurements by the low-altitude ($\sim$ 450 km) twin ELFIN CubeSats (ELFIN-A and ELFIN-B), which provide electron pitch-angle distributions between 50 keV to 6 MeV, with energy resolution $<$ 40%, angular resolution of $\sim 22.5^{\circ}$ and temporal resolution of 2.8s (spin period) (Angelopoulos et al., 2020). We use the ratio of j_{loss} (pitch-angle-averaged flux within the loss cone) to j_{trap} (pitch-angle-averaged flux outside the loss cone) to study enhancements of precipitation driven by near-equatorial scattering of energetic electrons by whistler waves (see detailed analysis of ELFIN measurements of whistler-driven precipitation events in Artemyev et al., 2021; Zhang, Artemyev, et al., 2022; Mourenas et al., 2022; Tsai et al., 2022).

ELFIN measurements of j_{loss}/j_{trap} are supplemented by ULF wave observations from the THEMIS ground-based magnetometer (GMAG) network ((Russell et al., 2008); FYKN, BRW), USGS magnetometer network (CMO, SHU), AUTUMNX magnetometer network (PUVR), and University of Iceland magnetometer (LRV). The main advantage of ground-based observations is the absence of spatio-temporal ambiguity inherent in spacecraft measurements. ELFIN flux ratio j_{loss}/j_{trap} measurements and ground-based ULF observations will be compared with equatorial THEMIS (Angelopoulos et al., 2008) measurements of ULF and VLF (whistler-mode frequency range) fields. The THEMIS fluxgate magnetometer (FGM; (Auster et al., 2008)) provides magnetic field with a 1/128s, 1/4s or 3s (spin period) sample rate (depending on availability and choice of data product), whereas the THEMIS search-coil magnetometer (SCM; (Le Contel et al., 2008)) provides $<$ 8kHz waveform measurements that are further converted to on-board processed, Fast-mode Fast Fourier series, "FFF", spectra data over 32 or 64 frequency bands (Cully et al., 2008).

3 Analysis of Selected Events

We select three quasi-periodic precipitation events at ELFIN, which are in good conjunction with THEMIS, providing equatorial measurements of ULF and whistler waves, and with ground-based magnetometers provding measurements of ULF waves. We show detailed analysis of the first event, and then reinforce the conclusions using the two other events.

3.1 Event #1

The first event happened on June 17, 2021. Figure 1 shows the projections of THEMIS (three spacecraft, TH-A, TH-D, and TH-E) orbits from 03:30 UT to 06:00 UT, and the projection of ELFIN-A orbits from 05:32:30 UT to 05:33:30 UT. We also include two ground stations (PURV and LRV) located near the ELFIN-A (EL-A) and THEMIS footpoints.

Figures 2(a-c) show the wavelet analysis of the ULF magnetic field component observed by THEMIS-E and two ground stations: the parallel (compressional) component is shown for THEMIS observations, and the East-West component (corresponding to poloidal oscillations in space) for the ground stations. The ground stations and THEMIS-E observed compressional ULF waves with similar frequency ranges, indicating that the same ULF waves existed over a large MLT (22-03) and $L-$shell$\in[6,9]$ domain (L shells here are calculated using the T89 model (Tsyganenko, 1989)). During this event, THEMIS-E was in fast survey mode (when FFF data is available) and SCM measured quasi-periodic whistler wave bursts from 03:30 UT to 04:30 UT at L$\sim$6-9 (see Panel (d)). Within the

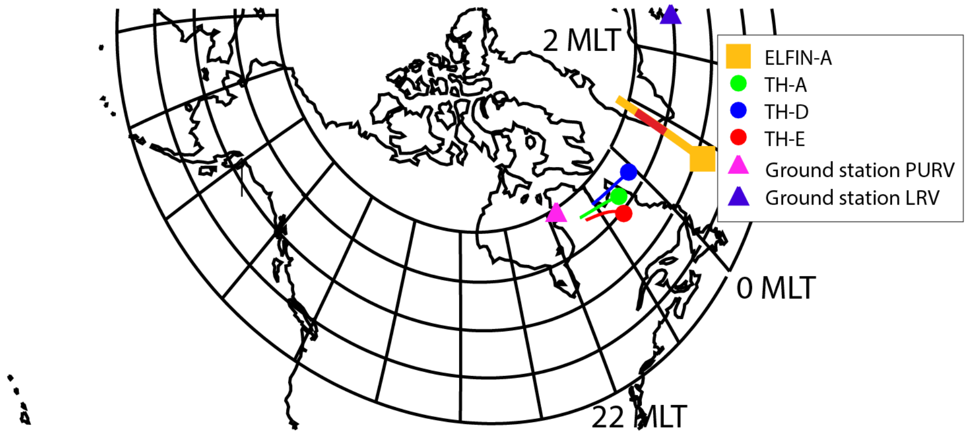

Figure 1. Projection of ELFIN-A orbits and THEMIS orbits to the ground, and the location of two ground stations on June 17, 2021, from 03:30 UT to 06:00 UT. The red trace along ELFIN-A orbit shows the sub-interval (05:32:30 UT to 05:33:30 UT) analyzed in Figure 3. The dots mark the start time of each orbit.

same L-shell range, THEMIS-A and -D also observed similar whistler wave bursts (not shown here), consistent with expectation from the ground stations that the periodic whistler emission extended over a wide MLT range in space. Panel (e) shows the THEMIS-E whistler wave spectrum (in color) and the line-plot (black trace) of the field-aligned magnetic field component variation ($\delta Bz{=}\delta B_{\|}$) of ULF waves between ~9-12mHz. ULF waves in this frequency range are visible in THEMIS-E, consistent with the wave-power in the ground-based station measurements (see Panels (a-c)). There is a reasonably good correlation of whistler wave bursts and local $\delta B_{\|}$ minima (see expanded view of Panel (e) in Panel (g)). These observations are consistent with a scenario of a ULF-wave modulated thermal electron anisotropy, resulting in the observed quasi-periodic generation of whistler waves (as previously reported by W. Li, Bortnik, et al., 2011; Xia et al., 2020; Zhang et al., 2019; L. Li et al., 2022).

To determine the typical energies of electrons precipitated by the observed quasi-periodic whistler waves, we calculate the mean wave frequency $\langle f\rangle$, and the frequency width Δf, using the following equations:

$$\langle f\rangle = \frac{\int_{f_{lh}}^{f_{ce}/2} B_w^2(f) f df}{\int_{f_{lh}}^{f_{ce}/2} B_w^2(f) df}$$

$$\Delta f = \left(\frac{\int_{f_{lh}}^{f_{ce}/2} B_w^2(f)\left(f^2-\langle f\rangle^2\right) df}{\int_{f_{lh}}^{f_{ce}/2} B_w^2(f) df}\right)^{1/2}$$

where B_w^2 is the wave intensity at a specific frequency, f_{lh} is the lower hybrid frequency, and f_{ce} is the electron cyclotron frequency. Figure 2(d) shows that the mean wave frequency $\langle f\rangle$ (depicted by the red crosses), is between 200-800 Hz (0.2-0.4 f_{ce}) and decreases with increasing L-shell. We calculate the cyclotron resonance energy of electrons for field-aligned whistler waves at the estimated mean frequency, $\langle f\rangle$, and at the minimum frequency, $f_{min} = \langle f\rangle - \Delta f$, using the equation (Kennel & Petschek, 1966):

$$E_{res} = \frac{B^2}{2\mu_0 n_e}\frac{f_{ce}}{f}\left(1-\frac{f}{f_{ce}}\right)^3$$

where B is the magnetic field strength, estimated using the dipole model scaled at the equatorial field intensity from THEMIS-E measurements, and n_e is the electron density

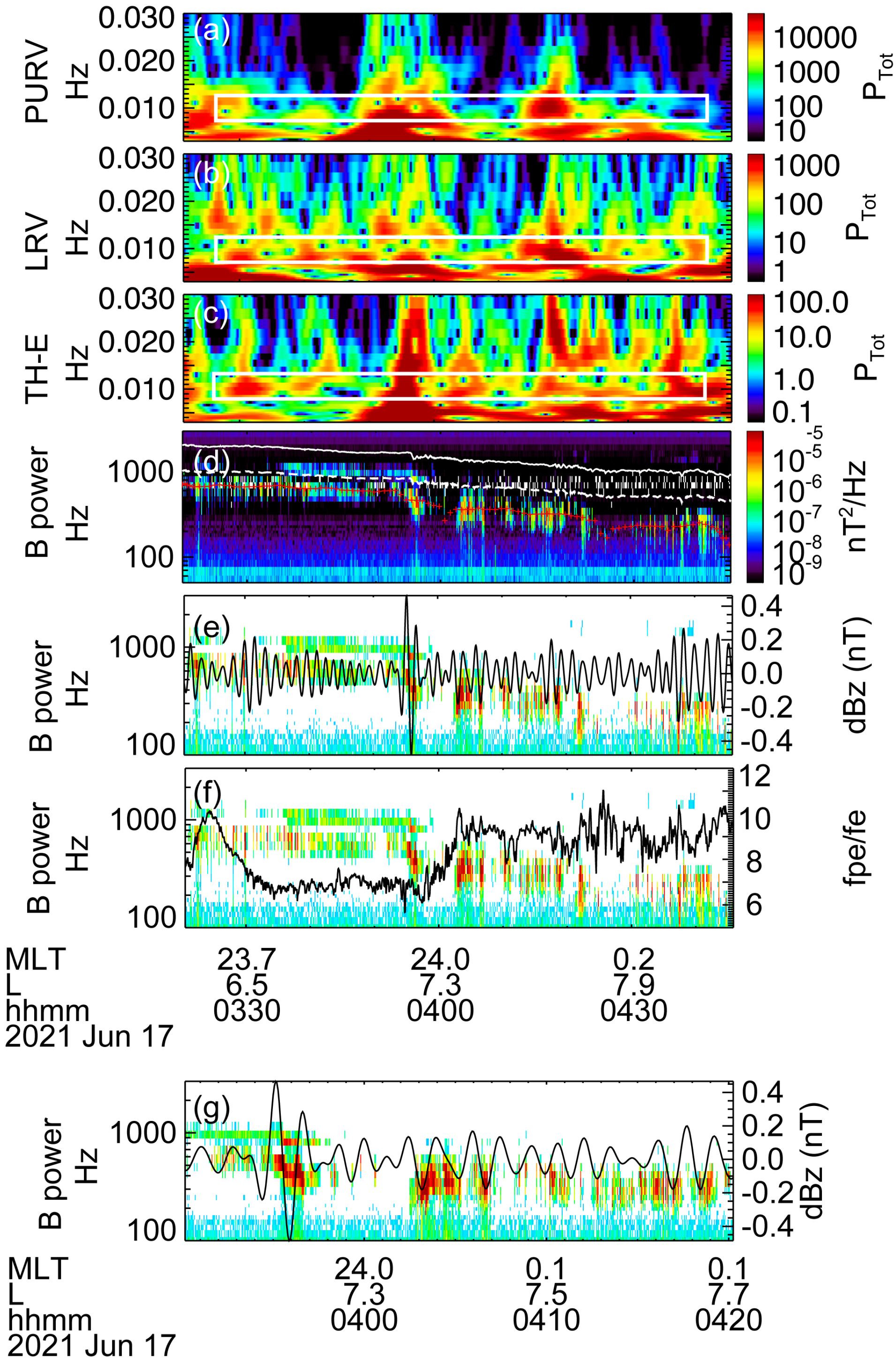

Figure 2. Observations of ULF and whistler waves. Wavelet power spectra of the East-West component magnetic field at two ground stations (Panels a, b) and of the parallel magnetic field component at TH-E (Panels c,d, covering the ULF and VLF range respectively). Panels (a-c) also demarcate, in white rectangles, the ULF band and time range of enhanced magnetic field fluctuations of interest. Panel (d) denotes peak whistler wave power in red crosses at 1min cadence. Over-plotted in solid and dashed lines are f_{ce} and $0.5f_{ce}$. Panels (e, f) show the same overview of the VLF waves at TH-E, containing the same information as Panel (d), except only showing intensities greater than 10^{-7}nT^2/Hz. Overploted on them are the band-pass filtered waveforms of $\delta B_{\|}$ of ~10 mHz ULF waves, and f_{pe}/f_{ce}, respectively. Panel (g) is a zoomed-in view, in time, of Panel (e).

from THEMIS-E equatorial measurements assumed to be constant along magnetic field lines. As the resonance energy increases with latitude, it is important to constrain waves to a reasonable latitudinal extent: $|\lambda| \sim 30°$ is used here as derived from the empirical whistler wave model (see Meredith et al., 2001, 2003; O. V. Agapitov et al., 2018) at the MLT sector of our observations. The resultant resonance energies are in the range of ∼50-300 keV, showing that the observed whistlers can provide scattering and lead to precipitation of electrons in this energy range. It is worth noting that for the observed f_{pe}/f_{ce} ∼6-12, typical electromagnetic ion cyclotron (EMIC) waves can only lead to the loss of >1 MeV electrons (e.g., Kersten et al., 2014), and therefore precipitation of 100s of keV electrons should be mostly attributed to scattering by whistler waves. We anticipate that the quasi-periodic whistler waves, modulated by ULF waves, will lead to quasi-periodic energetic electron precipitation.

To test this hypothesis, we examine ELFIN-A observations of precipitating electron fluxes j_{loss}, trapped electron fluxes j_{trap}, and their ratio j_{loss}/j_{trap} (Figure 3). At the same L-shell and MLT sector where THEMIS observed ULF-modulated whistler waves, ELFIN-A indeed captured quasi-periodic precipitation of $\sim 50{-}200$ keV electrons with average peaks of j_{loss}/j_{trap} greater than 0.3, indicative of fast scattering caused by whistler waves. Note that moving along a low-altitude orbit, ELFIN crosses the entire L-shell range of precipitation within a couple of minutes, and thus the periodicity at ELFIN observations represents a spatial periodicity of the scattering process at and near the equator.

It is evident that these periodic j_{loss}/j_{trap} peaks contribute to most of the precipitating flux observed by ELFIN at $L = 6 - 9$. This indicates that such periodic precipitation can play a major role in energetic electron losses during intervals with ULF-modulated whistler bursts. The highest energy channel showing the periodic j_{loss}/j_{trap} peaks is around 200 keV (Figure 3), located in Figures 3b, 3c) between the upper (black crosses) and mean (white crosses) resonance energies corresponding to $\langle f \rangle$ and f_{min}, respectively. Thus, ELFIN measurements confirm that quasi-periodic whistler wave bursts observed by THEMIS are indeed responsible for quasi-periodic electron precipitation.

However, the periodicity seen by THEMIS is temporal, whereas the periodicity seen by ELFIN is spatial. ULF waves observed by THEMIS extend over a large L-shell and MLT sector (as revealed by ground-based observations), and thus ELFIN likely measures precipitation from spatially periodic whistler bursts with the periodicity comparable to ULF wavelengths. To confirm this, we compare the spatial scale of periodic precipitation peaks (δL) and our estimate of ULF wavelengths $\delta\lambda$. ELFIN moves along its orbit at a nearly constant geomagnetic longitude. Therefore, when mapped to the equator, ELFIN's trajectory, and the measured quasi-periodic precipitation, correspond approximately to a radial sampling of the equatorial magnetosphere. The spatial periodicity (δL) of the precipitation can be estimated by calculating the spatial separations between the peaks of j_{loss}/j_{trap}. For three observed j_{loss}/j_{trap} peaks, the average spatial scale is $0.85 \pm 0.28 R_E$ (Figure 3e, where ELFIN time-series of precipitation were converted to L-shell profiles of precipitation using the T89 model). If the precipitation is driven by ULF-modulated whistler waves, then this δL should be comparable to the ULF wavelength $\delta\lambda$ in the radial direction (or, more precisely, the ULF field $\delta B_{\|}$ periodicity in the radial direction). The wavelength in the radial direction can be calculated by using cross-correlation analysis on the ULF fields measured from two THEMIS spacecraft at similar MLT (mostly separated in the radial direction) to obtain the phase difference for the ULF wave. If the two spacecraft are radially separated by a distance r, and $\delta B_{\|}$ (at a particular frequency f) at the two spacecraft has a phase difference $\delta\phi$, then the wavelength can be estimated as $\lambda/2\pi = r/\delta\phi$. The phase difference between two spacecraft can be calculated using $\delta\phi = 2\pi\delta t/T$, where δt is the lag time as inferred from the peak cross-correlation between the ULF wave field measured at the two spacecraft and T is the period of the wave. We use THEMIS-A and THEMIS-E measurements separated mostly along the radial direction over distances comparable with the expected ULF wavelength. We examined the phase difference of $9-12$ mHz ULF waves between THEMIS-A and THEMIS-E; Figure 3f shows that the cross-correlation peaks at a lag time of $\delta t \sim 26.5$s (the comparison of observed ULF waveforms is shown in the Supplementary Information). The corresponding wavelength is $\delta\lambda \sim 0.78 R_E$, which is very close to the $\delta L \approx 0.85 \pm 0.28 R_E$ derived above from ELFIN measurements. These spatial dimensions are consistent with past studies of ULF-induced precipitation (e.g., Baddeley et al., 2017), and provide further support for the idea that the periodic precipitation is driven by ULF-modulated periodic whistler waves.

3.2 Event #2

The second conjunction event occurred on May 13, 2021. Figure 4 shows the orbit projections of THEMIS-A, -D, and -E in the northern hemisphere from 17:00 UT to 19:00 UT, and the ELFIN-B orbit projection from 17:12 UT to 17:15 UT. Two ground stations (BRW and FYKN) were located near the ELFIN-B and THEMIS footpoints at the time. The ground stations and three THEMIS spacecraft observed ULF waves over a similar frequency range (Figures 5a-c). We are mostly interested in ULF waves from 3 mHz to 5 mHz (depicted by the rectangle in Figure 5c), because THEMIS-E observed quasi-periodic whistler wave bursts (from 17:00 UT to 19:00UT, see Fig. 5(d)) modulated at a frequency $\sim$ 4mHz; see Fig. 5(e) for the correlation of the peaks of whistler wave intensity and $\delta B_{\parallel}$ of compressional ULF wave component band-pass filtered at $3-$5mHz. The whistler waves' mean frequency increases from 150 Hz to 700 Hz (0.1-0.2 f_{ce}) as THEMIS-E moves to lower L-shells. Such whistler waves can resonate with $\sim$50-1000 keV electrons for the observed $f_{pe}/f_{ce} \sim 6-20$ (see Fig. 5(f)). Note that for such a low f_{pe}/f_{ce}, we can ignore the contribution of EMIC waves to the scattering of $\lesssim$ 1MeV electrons (Summers et al., 2007).

Around 17:13 UT, ELFIN-B traversed the same L-shell and MLT region where THEMIS captured quasi-periodic whistler waves. ELFIN-B observed strong bursts of electron precipitation with the precipitating to trapped flux ratio reaching (or even slightly exceeding) one for 10s-100s of keV electrons (see Fig. 5h-i). The periodic peaks of j_{loss}/j_{trap} are observed up to $\sim 500-600$ keV. As in the previous event studied, this energy range is consistent with the resonance energies of electrons for the observed whistler waves (with an assumption of wave propagation up to $\sim 30^\circ$ of magnetic latitude; the typical latitudinal spread of whistler waves at this MLT sector, see Meredith et al. (2001, 2003); O. V. Agapitov et al. (2018)). Within the quasi-periodic precipitation, ELFIN also observed spin-resolution bursts with $j_{loss}/j_{trap} \geq 1$ up to 600 keV, around the mean resonance energies estimated for THEMIS measurements of whistler waves and background plasma. These are likely very short, microburst-like precipitation lasting less than one spin of ELFIN (see detailed analysis of such microbursts observed by ELFIN in Zhang, Angelopoulos, et al., 2022). Miyoshi et al. (2020); Shumko et al. (2021) previously reported that relativistic microbursts may be embedded into quasi-periodic precipitation of low-energy (< 50 keV) electrons. Our observations of strong precipitation at the minimum detectable energy ($\approx$ 50keV), are suggestive that precipitation extends to < 50 keV and support the idea that < 50keV and > 500keV losses can occur simultaneously. Furthermore they indicate that such broad energy precipitation can occur during ULF-driven, quasi-periodic precipitation.

Figure 5(k) marks the local precipitation maxima (peaks) in the plot of j_{loss}/j_{trap} as a function of L. Only peaks with $j_{loss}/j_{trap} > 0.3$ have been considered. The spatial periodicity of these peaks is $\delta L = 0.5 \pm 0.17 R_E$. The phase difference of 3-5 mHz ULF waves between THEMIS-A and THEMIS-E using the method described for Event #1 (see Figure S1 (b) in Supplementary information) results in an inferred equatorial ULF wavelength of $0.62 R_E$, approximately in the radial direction, which is close to the δL derived from ELFIN measurements.

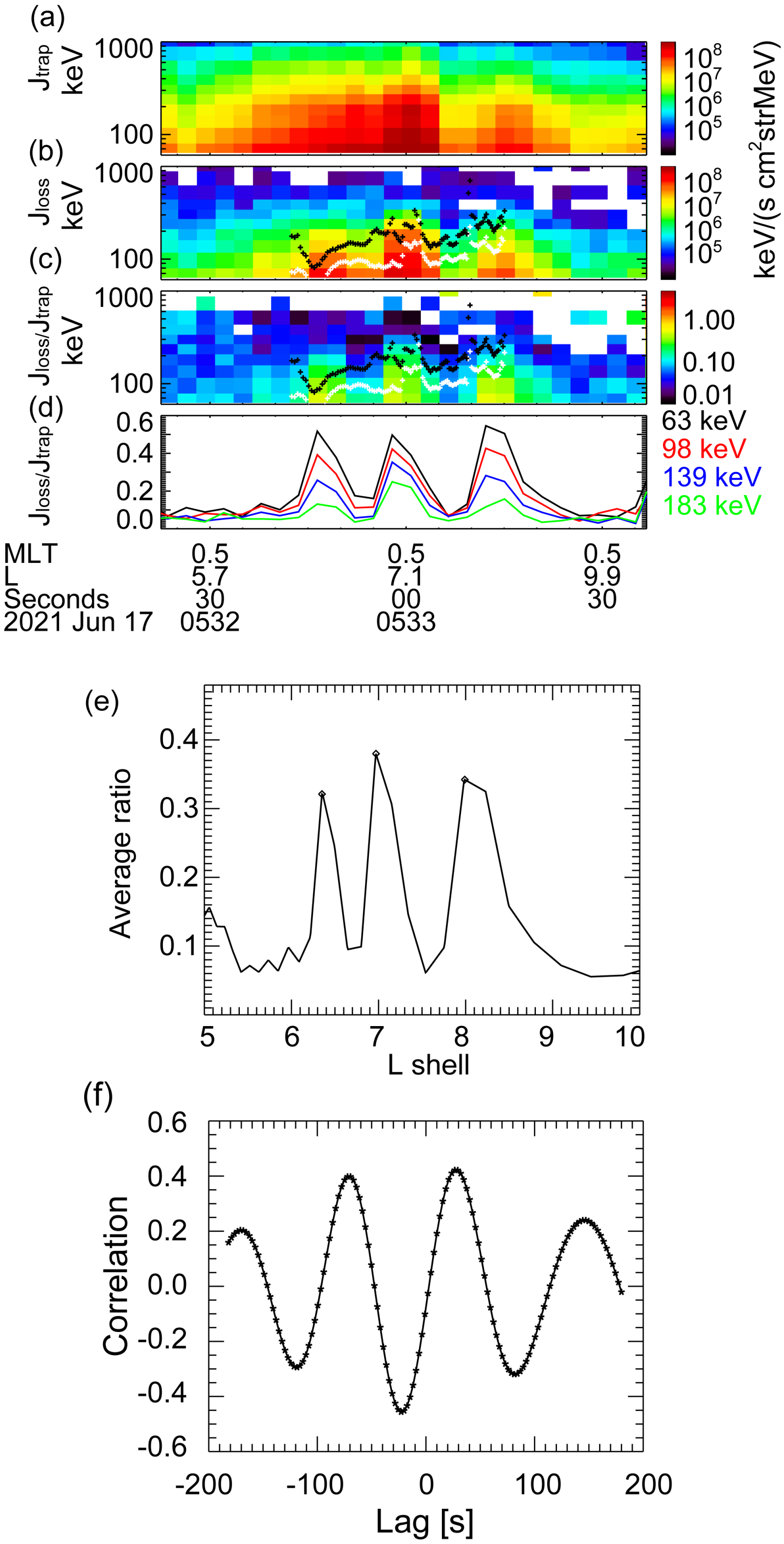

Figure 3. ELFIN-observed trapped electron flux j_{trap} (a), precipitating flux j_{loss} (b), and the ratio j_{loss}/j_{trap} (c and d, in spectral- and line-plot format respectively). Black and white crosses in Panels (b, c) show the upper and mean resonance energy of the observed whistler waves (see text for details). (e) The average j_{loss}/j_{trap} of the first four energy channels. (f) Cross-correlation between the ULF wave field from THEMIS-A and THEMIS-E as a function of lag time.

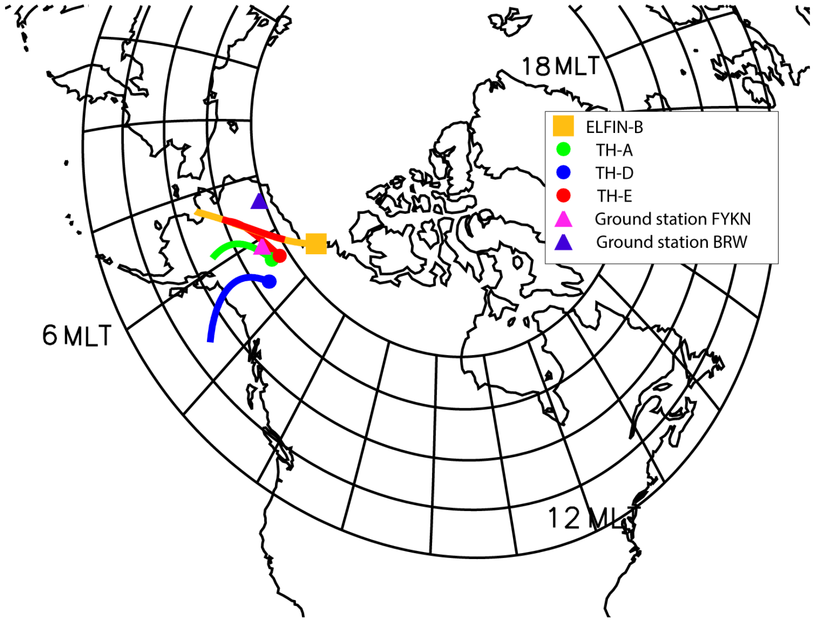

Figure 4. Projection of ELFIN-B and THEMIS orbits to the ground, and the location of two ground stations on May 13, 2021, from 17:00 UT to 19:00 UT. The red trace along ELFIN-B's orbit shows the sub-interval (17:12:30 UT to 17:14:40 UT) analyzed in Figure 5. The dots mark the start time of each orbit.

3.3 Event #3

The third event occurred on Oct 22, 2021. Figure 6 shows the orbit projections of THEMIS from 10:00 UT to 12:00 UT and the ELFIN-A orbit projection from 11:48 UT to 11:51 UT. THEMIS-E observed quasi-periodic whistler waves modulated by 10-20 mHz compressional ULF waves during 10:20 UT to 10:50 UT (Figure 7(c)). For this event, THEMIS and ELFIN footpoints in the north hemisphere are located near $MLT = 23$. No ground-based stations are available in the same MLT, however, ground-based stations (SHU and CMO) at $MLT = 0{-}1$ detected the same, 10-20 mHz frequency ULF wave (Figures 7a, 7b)), therefore the ULF waves covered a large MLT, L-shell domain. ELFIN-A observed quasi-periodic electron precipitation within $L \in [5.5, 7.5]$ (corresponding to whistler wave bursts observed by THEMIS) with $\delta L = 0.33 \pm 0.045$ (δL is the average spatial scale between the precipitation peaks shown in Figure 7(k)). The precipitating to trapped flux ratio of 10s-100s of keV electrons exhibits peaks at around 0.1−0.3. The highest energy channel showing the periodic peaks, ~ 300 keV, is between the upper and mean resonance energies estimated for THEMIS measurements of whistler waves using the local plasma density (assumed to be the same as at the equator). The wavelength of the 10-20 mHz ULF waves (estimated by comparing THEMIS-A and THEMIS-E ULF measurements) is $\delta\lambda \sim 0.27 R_E$ (the ULF fields are shown in Figure S1 (c) in the Supplementary information), consistent with the spatial periodicity of electron precipitation. Both Events #2 and #3 support the hypothesis that the ULF-modulated periodic whistler waves lead to the observed periodic precipitation.

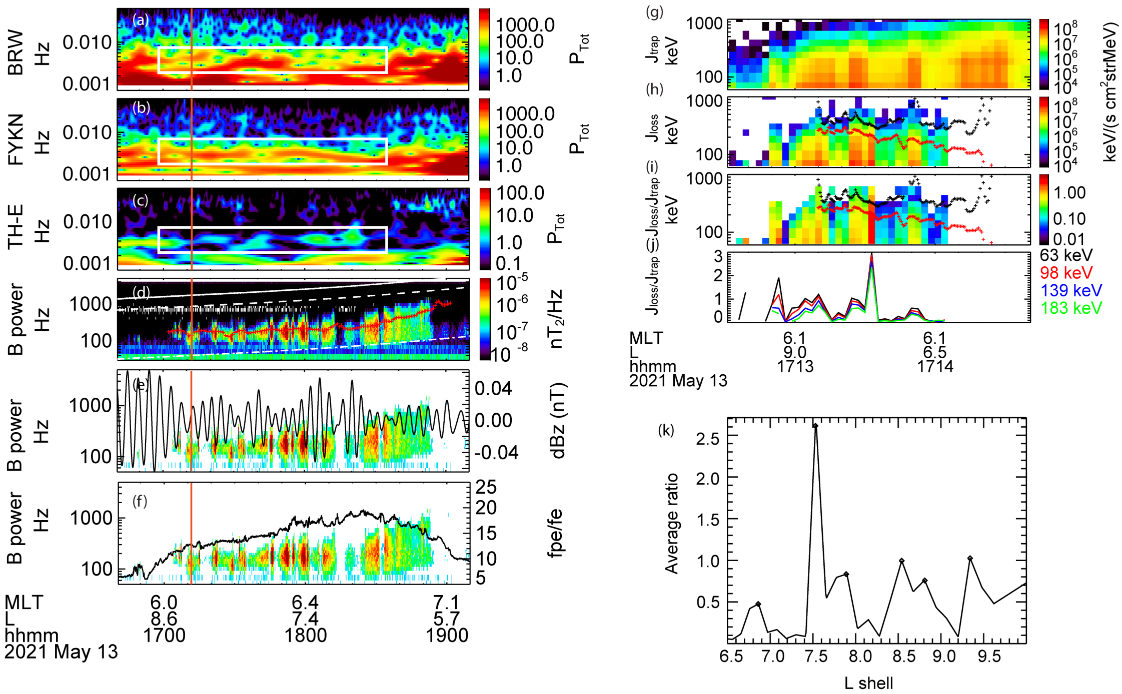

Figure 5. Observations of ULF and whistler waves. East-West component magnetic field at two ground stations (Panels a, b) and of the parallel magnetic field component at TH-E (Panels c,d, covering the ULF and VLF range respectively). Panels (a-c) also demarcate, in white rectangles, the ULF band and time range of enhanced magnetic field fluctuations of interest. Panel (d) denotes peak whistler wave power in red crosses at 1min cadence. Over-plotted in solid, dashed, and dashed-dotted lines are f_{ce}, $0.5f_{ce}$, and f_{lh}, respectively. Panels (e, f) show the same overview of the VLF waves at TH-E, containing the same information as Panel (d), except only showing intensities greater than $10^{-7}\mathrm{nT}^2/\mathrm{Hz}$. Overploted on them are the band-pass filtered waveforms of $\delta B_{\parallel}$ of $\sim$4 mHz ULF waves, and f_{pe}/f_{ce}, respectively. The vertical red line indicates the time of ELFIN-B pass. Panels (g, h and i) show ELFIN observations of trapped electron flux j_{trap}, precipitating flux j_{loss}, and the ratio j_{loss}/j_{trap}, respectively. Black and red crosses show the upper and mean resonance energy of whistler waves. Panel (j) is the same information as Panel (i) except only for the 4 lowest energies, with the ratios depicted as line-plots. Panel (k) is the average j_{loss}/j_{trap} of the first four energy channels.

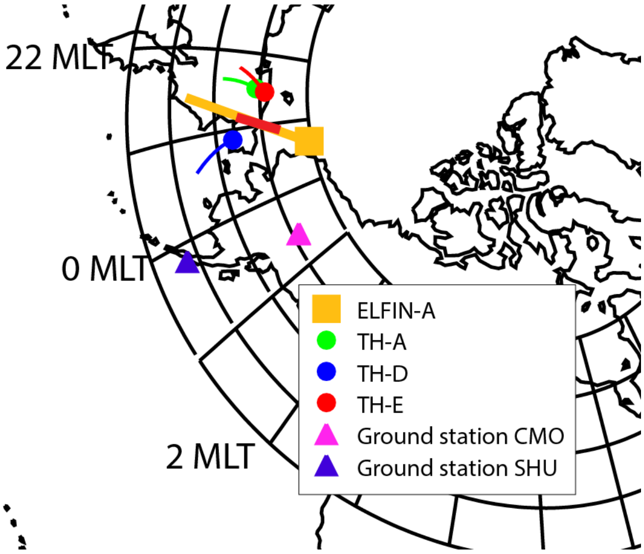

Figure 6. Projection of ELFIN-A orbits and THEMIS orbits to the ground, and the location of two ground stations on Oct 22, 2021, from 10:20 UT to 10:50 UT. The red trace along the ELFIN-A orbit projection shows the sub-interval (11:48:50 UT to 11:49:40 UT) analyzed in Figure 7. The symbols mark the start time of each orbit.

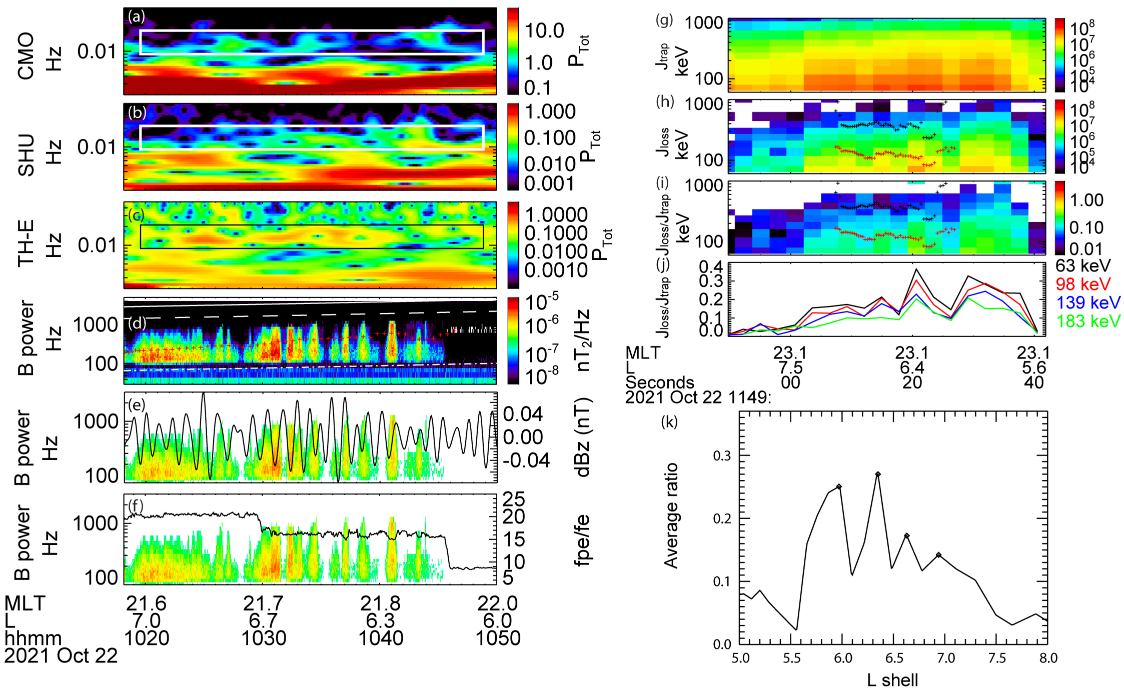

Figure 7. ULF wavelet spectra at two ground stations and THEMIS-E, spectra of whistler waves at THEMIS-E, and electron precipitation observed at ELFIN-A, in the same format as Figure 5.

4 Discussion and Conclusions

Compressional ULF waves are known to modulate equatorial electron populations causing whistler-mode wave generation (W. Li, Thorne, Bortnik, Nishimura, & Angelopoulos, 2011; Xia et al., 2016, 2020; Zhang et al., 2019), resulting quasi-periodic electron precipitation (Nishimura et al., 2010; Kasahara et al., 2018). Such precipitation is not only modulated by ULF waves but actually generated by such waves: the ULF waves can drive marginally unstable plasmas of the inner magnetosphere to whistler-mode instability which can cause subsequent electron precipitation (Xia et al., 2016; Zhang et al., 2019). The subject ULF waves are typically generated at the magnetopause by solar wind transients and propagate towards the inner magnetosphere (e.g., O. V. Agapitov et al., 2009; Hartinger et al., 2013, 2014; Hwang & Sibeck, 2016). Both the ULF waves (Klimushkin et al., 2019; Wright & Elsden, 2020; Elsden et al., 2022; Di Matteo et al., 2022) and the correlated whistler-mode waves (Zhang et al., 2020) have been observed to extend over a large L-shell and MLT range. However, the efficiency of electron precipitation by such ULF-driven whistler-mode waves (e.g., the precipitating electron energy range, flux magnitude and net contribution to the ionospheric energy input) cannot be reliably determined from equatorial spacecraft measurements alone. In this study we use low-altitude observations of such precipitation in conjunction with ground based and equatorial measurements of the ULF and whistler waves to show that during three events:

- Electron precipitation exhibits spatial periodicity (in L-shell) with a scale comparable to that of equatorial ULF wavelengths. ULF waves and the associated whistler-mode wave driven precipitation extend over L-shells from the plasmasphere to the magnetopause (in agreement with Wright & Elsden, 2020; Zhang et al., 2020; Sandhu et al., 2021). The spatially and temporally periodic ULF waves and whistler-mode waves, and lead to similar periodic scattering of energetic electrons across the entire L-shell region and, by inference, the wide MLT region where ULF waves are present and can generate whistlers.
- The energy of the precipitating electrons can range from below the low energy limit of the ELFIN instrument (50keV) to upwards of 100 keV, to as high as ~ 1 MeV. The upward limit is consistent with the estimated maximum resonance energy of the observed whistler-mode waves, for the observed equatorial plasma conditions, and empirical constraints on the wave distribution along magnetic field lines (see O. V. Agapitov et al., 2018). This energy range of precipitation covers the entire radiation belt "seed" electron population, and extends upwards into the low-energy portion of radiation belt electrons (Jaynes, Baker, et al., 2015; Boyd et al., 2018; Turner et al., 2021).
- The observed quasi-periodic precipitation of $\sim$ 500keV-1 MeV electrons which is squarely attributed to quasi-periodic whistler-mode waves, can only be the result of resonance at middle to high magnetic latitudes (where the local f_{pe}/f_{ce} can be sufficiently low). For the waves to propagate to such high latitudes (along the field line) without becoming oblique and Landau-damped by the thermal electrons, it must be that they are ducted (see discussion in Artemyev et al., 2021) by plasma density gradients (e.g., Hanzelka & Santolík, 2019; Streltsov & Bengtson, 2020; Chen et al., 2021). The ducts themselves must be also be set up by the compressional character of the ULF waves, since the observed relativistic electron precipitation exhibits the spatial structure of the ULF waves.

These results confirm the important role of ULF waves in precipitating not only auroral ($<$ 10 keV) electrons (Nishimura et al., 2010; Kasahara et al., 2018), but also energetic ($\sim$ 100 keV) and even relativistic ($>$ 500 keV) electrons. Therefore, whistler-mode waves generated by ULF-modulated thermal electron anisotropy can contribute significantly to radiation belt dynamics. The generation of whistler-mode waves by ULF-wave modulation of the plasma sheet electrons is very different from the classical mechanism of whistler-mode wave generation by electron injections during substorms (e.g., Thorne et al., 2010; Tao et al., 2011; Fu et al., 2014). Thus, the electron precipitation discussed herein does not necessary coincide with geomagnetic activity associated with substorms (identified using the AE and AL indices). Such a mechanism of energetic electron precipitation during instantaneously low AE intervals can still significantly deplete the remnant radiation belts that may have been built up by prior activity. Yet, this mechanism may be underestimated or completely absent in models of inner magnetosphere dynamics and magnetosphere-ionosphere coupling. While ULF waves can also be generated by substorm-time injections (e.g., Runov et al., 2014; Liu et al., 2017), magnetopause buffeting by solar wind pressure variations and by ion foreshock transients (e.g., Hartinger et al., 2013, 2014; Hwang & Sibeck, 2016) is thought to result in the largest amplitude ULF waves (e.g., Di Matteo et al., 2022). Examination of a wider variety of events using the methods presented herein can determine how the spatial scale of ULF-modulated precipitation varies according to the ULF wave driver. Future parameterization of ULF-driven whistler-mode waves and inclusion of this wave population in radiation belt models can improve our understanding of solar wind transients as a driver of electron precipitation, through ULF wave generation at the dayside magnetopause and subsequent whistler wave generation as ULF waves propagate inward as well as towards the flanks.

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Open Research

ELFIN data is available at https://data.elfin.ucla.edu/. Data analysis was done using SPEDAS V4.1 Angelopoulos et al. (2019) available at https://spedas.org/.

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Supporting Information for "On the role of ULF waves in the spacial and temporal periodicity of energetic electron precipitation"

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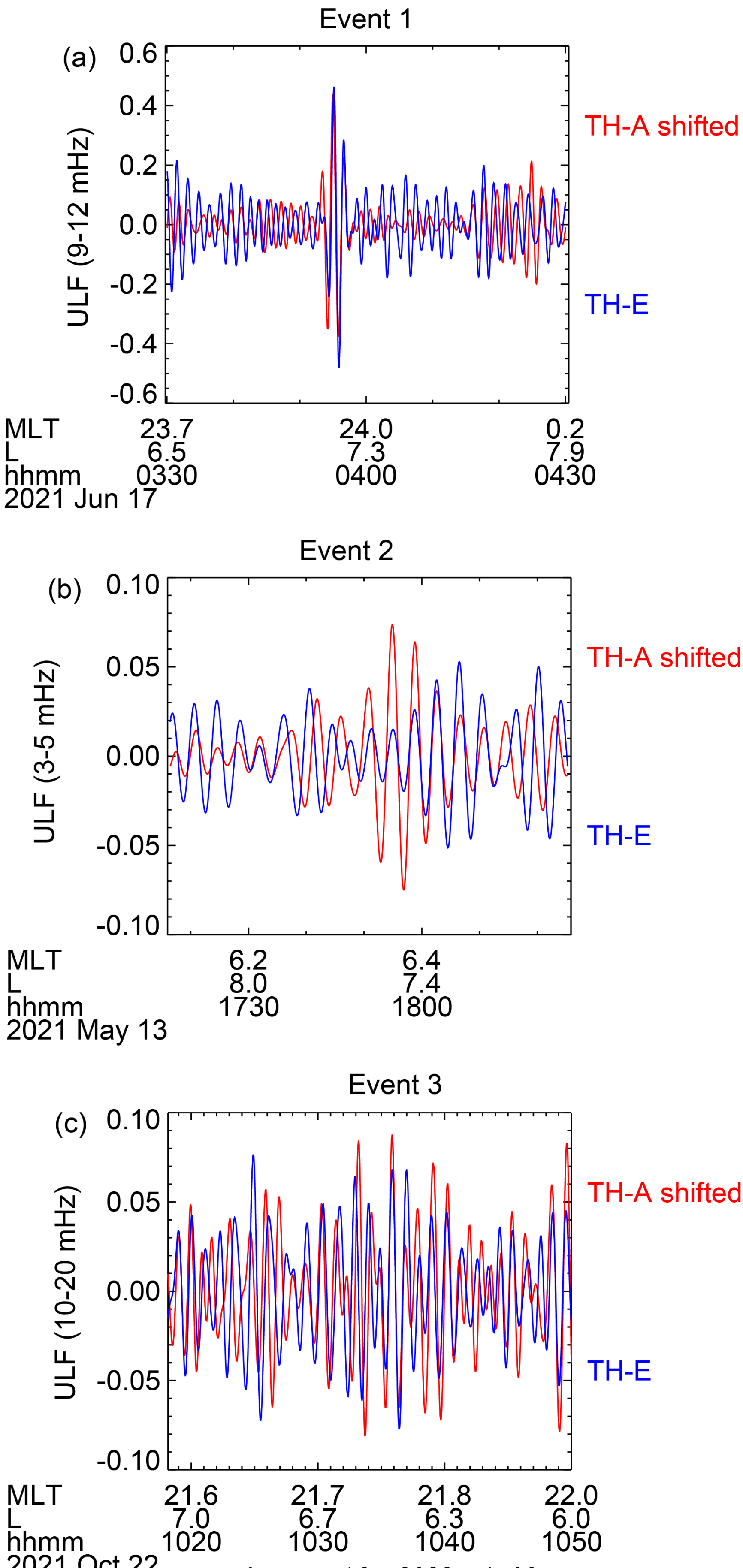
Event 1
(a)
ULF (9-12 mHz)
0.6
0.4
0.2
0.0
-0.2
-0.4
-0.6
TH-A shifted
TH-E
MLT 23.7 24.0 0.2
L 6.5 7.3 7.9
hhmm 0330 0400 0430
2021 Jun 17
Event 2
(b)
ULF (3-5 mHz)
0.10
0.05
0.00
-0.05
-0.10
TH-A shifted
TH-E
MLT 6.2 6.4
L 8.0 7.4
hhmm 1730 1800
2021 May 13
Event 3
(c)
ULF (10-20 mHz)
0.10
0.05
0.00
-0.05
-0.10
TH-A shifted
TH-E
MLT 21.6 21.7 21.8 22.0
L 7.0 6.7 6.3 6.0
hhmm 1020 1030 1040 1050
2021 Oct 22

Figure S1. Comparisons of ULF waves magnitude $\delta B_{\parallel}$ measure by THEMIS-A and THEMIS-E. Blue lines show the ULF waves measured by THEMIS-E. Red lines show the THEMIS-A observations after shifted by the lag time with maximum correlation.